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## On the Hartle-Hawking-Israel states for spacetimes with static bifurcate Killing horizons

#### Christian GÉRARD

ABSTRACT. We revisit the construction by Sanders [S1] of the Hartle-Hawking-Israel state for a free quantum Klein-Gordon field on a spacetime with a static, bifurcate Killing horizon and a wedge reflection. Using the notion of the Calderón projector for elliptic boundary value problems and pseudodifferential calculus on manifolds, we give a short proof of its Hadamard property.

#### 1. INTRODUCTION

Let (M, g) be a globally hyperbolic spacetime, with a *bifurcate Killing horizon*, see [KW], [S1] or Subsect. 2.1 for precise definition. The bifurcate Killing horizon  $\mathscr{H}$  is generated by the *bifurcation surface*  $\mathscr{B} = \{x \in M : V(x) = 0\}$ , where V is the Killing vector field. It allows to split (M, g) into four globally hyperbolic regions, the *right/left wedges*  $\mathscr{M}^+$ ,  $\mathscr{M}^-$  and the *future/past cones*  $\mathscr{F}$ ,  $\mathscr{P}$ , each invariant under the flow of V. An important object related with the Killing horizon  $\mathscr{H}$  is its *surface gravity*  $\kappa$ , which is a scalar, constant over all of  $\mathscr{H}$ .

Let us consider on  $({\cal M},g)$  a free quantum Klein-Gordon field associated to the Klein-Gordon equation

$$-\Box_q \phi(x) + m(x)\phi(x) = 0,$$

where  $m \in C^{\infty}(M, \mathbb{R})$ , m(x) > 0 is invariant under V, and its associated free field algebra.

If V is time-like in  $(\mathcal{M}^+, g)$ , ie if  $(\mathcal{M}^+, g, V)$  is a stationary spacetime, there exists (see [S2]) for any  $\beta > 0$  a thermal state  $\omega_{\beta}^+$  at temperature  $\beta^{-1}$  with respect to the group of Killing isometries of  $(\mathcal{M}^+, g)$  generated by V.

It was conjectured by Hartle and Hawking [HH] and Israel [I] that if  $\beta = \frac{2\pi}{\kappa}$  is the *inverse Hawing temperature*, denoted by  $\beta_{\rm H}$  in the sequel, then  $\omega_{\beta}^+$  can be extended to the whole of M as a pure state, invariant under V, the *Hartle-Hawking-Israel state*, denoted in the sequel by  $\omega_{\rm HHI}$ .

The rigorous construction of the HHI state was first addressed by Kay in [K4], who constructed the HHI state in the Schwarzschild double wedge of the Kruskal spacetime. In such a double wedge, the HHI state is a *double KMS state*, see [K2, K3]. Later Kay and Wald [KW] considered the more general case of spacetimes with a bifurcate Killing horizon, and study general properties of stationary states on this class of spacetimes. They emphasized in particular the importance of the *Hadamard condition*. They proved that a specific sub-algebra of the free field algebra has at most one state invariant under V and Hadamard. They also showed that if M admits a wedge reflection (see Subsect. 2.2) the restriction of such a state to  $\mathcal{M}^+$  will necessarily be a  $\beta_{\rm H}$ -KMS state. These results were later improved in [K1].

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The existence of such a state, ie of the HHI state, was however not proved in [HH]. The first proof of the existence of  $\omega_{\text{HHI}}$  was given by Sanders in the remarkable paper [S1], if the bifurcate Killing horizon is static, ie if V is static in  $\mathscr{M}^+$ , assuming also the existence of a wedge reflection. Sanders showed that there exists a unique Hadamard state  $\omega_{\text{HHI}}$  on  $\mathcal{M}$  extending the double  $\beta_{\text{H}}-\text{KMS}$  state  $\omega_{\beta}$  on  $\mathscr{M}^+ \cup \mathscr{M}^-$ . The double  $\beta_H-\text{KMS}$  state  $\omega_{\beta}$  is a pure state on  $\mathscr{M}^+ \cup \mathscr{M}^-$  which is the natural extension of  $\omega_{\beta}^+$  defined using the wedge reflection, see [K2, K3]. It is an exact geometrical analog of the Fock vacuum vector in the Araki-Woods representation of a thermal state.

1.1. Content of the paper. In this paper we revisit the construction in [S1] of the Hartle-Hawking-Israel state in a spacetime with a static bifurcate Killing horizon. Using the notion of the *Calderón projector* (see Sect. 5), which is a standard tool in elliptic boundary value problems, we significantly shorten the proof of the Hadamard property of  $\omega_{\text{HHI}}$ .

In [S1] the fact that  $\omega_{\text{HHI}}$  is Hadamard was proved by a careful comparison of the Hadamard parametrix construction for the D'Alembertian  $-\Box_g + m$  associated to the Lorentzian metric g and for the Laplacian  $-\Delta_{\hat{g}} + m$  associated to the Riemannian metric  $\hat{g}$  obtained from g by Wick rotation in the Killing time coordinate.

In our paper we avoid working with the spacetime covariances of states and instead systematically work with the *Cauchy surface covariances* (see Subsect. 3.3) associated with a Cauchy surface  $\Sigma$  containing the bifurcation surface  $\mathscr{B}$ .

It turns out that the Cauchy surface covariances  $\lambda^{\pm}$  of the double  $\beta$ -KMS state  $\omega_{\beta}$  are related to a Calderón projector D.

Let us informally recall what is the Calderón projector associated to a elliptic boundary value problem, see Sect. 5 for more details:

let  $(N, \hat{g})$  be a complete Riemannian manifold and  $P = -\Delta_{\hat{g}} + m(x)$  for  $m \in C^{\infty}(N)$ , m(x) > 0 a Laplace-Beltrami operator. Let also  $\Omega \subset M$  a smooth open set. To  $\Omega$  is naturally associated the canonical surface density dS, defined by  $\langle dS | u \rangle = \int_{\partial \Omega} u d\sigma$ , for  $u \in C_c^{\infty}(M)$ , where  $d\sigma$  is the induced surface element on  $\partial \Omega$ .

If  $\partial_{\nu}$  is the external normal derivative to  $\partial\Omega$  and  $\gamma u = \begin{pmatrix} u \mid_{\partial\Omega} \\ \partial_{\nu} u \mid_{\partial\Omega} \end{pmatrix}$  for  $u \in C^{\infty}(\overline{\Omega})$ the Calderón projector D is a map from  $C_{c}^{\infty}(\partial\Omega) \otimes \mathbb{C}^{2}$  to  $C^{\infty}(\partial\Omega) \otimes \mathbb{C}^{2}$  defined by:

$$Df := \gamma \circ G(f_1(dVol_{\hat{g}})^{-1}dS - f_0(dVol_{\hat{g}}^{-1})\partial_{\nu}^*dS), \ f = \begin{pmatrix} f_0 \\ f_1 \end{pmatrix} \in C_{\rm c}^{\infty}(\partial\Omega) \otimes \mathbb{C}^2,$$

where  $G = P^{-1}$ . It is easy to see that  $f \in C^{\infty}(\Sigma) \otimes \mathbb{C}^2$  equals  $\gamma u$  for some  $u \in C^{\infty}(\overline{\Omega})$  solution of Pu = 0 in  $\Omega$  if and only if Df = f.

In our case we take  $N = \mathbb{S}_{\beta} \times \Sigma^+$ , where  $\mathbb{S}_{\beta}$  is the circle of length  $\beta$  and  $\Sigma^+ = \Sigma \cap \mathscr{M}^+$  is the right part of the Cauchy surface  $\Sigma$ . The Riemannian metric is  $\hat{g} = v^2(y)d\tau^2 + h_{ij}(y)dy^idy^j$ , obtained by the *Wick rotation*  $t =: i\tau$  of the Lorentzian metric  $g = -v^2(y)dt^2 + h_{ij}(y)dy^idy^j$  on  $\mathscr{M}^+ \sim \mathbb{R} \times \Sigma^+$  where  $\mathscr{M}^+$  is identified to  $\mathbb{R} \times \Sigma^+$  using the Killing time coordinate t.

The existence of an extension of  $\omega_{\beta_{\rm H}}$  to M is then an almost immediate consequence of the fact that  $(N, \hat{g})$  admits a smooth extension  $(N_{\rm ext}, \hat{g}_{\rm ext})$  if and only if  $\beta = \beta_{\rm H}$ , a well-known result which plays also a role in [S1].

In fact this geometrical fact implies that D, viewed as an operator defined on  $C_c^{\infty}(\Sigma \setminus \mathscr{B}) \otimes \mathbb{C}^2$  uniquely extends to a Calderon projector  $D_{\text{ext}}$ , defined on  $C_c^{\infty}(\Sigma) \otimes \mathbb{C}^2$ . From  $D_{\text{ext}}$  one can then easily obtain a pure quasi-free state  $\omega_{\text{HHI}}$  on the whole of M.

The Hadamard property of  $\omega_{\text{HHI}}$  follows then from the well-known fact that  $D_{\text{ext}}$ , being a Calderón projector, is a 2 × 2 matrix of pseudodifferential operators on  $\Sigma$ , and of the Hadamard property of  $\omega_{\beta}$  in  $\mathcal{M}^+ \cup \mathcal{M}^-$ .

Beside shortening the proof of the Hadamard property of  $\omega_{\text{HHI}}$ , we think that our paper illustrates the usefulness of pseudodifferential calculus for the construction and study of Hadamard states, see also [GW1], [GW2], [GW3], [GOW] for other applications. We believe that Calderón projectors could also be used to construct the Hartle-Hawking-Israel state in the still open case of spacetimes with a Killing horizon that is only stationary.

1.2. **Plan of the paper.** Let us now briefly give the plan of the paper. In Sect. 2 we recall the notion of a static bifurcate Killing horizon, following [S1] and introduce the associated Klein-Gordon equation.

Sect. 3 is devoted to background material on  $CCR^*$ -algebras, bosonic quasi-free states and their spacetime and Cauchy surface covariances in the case of quantum Klein-Gordon fields. We use the framework of *charged fields*, which is in our opinion more elegant, even when considering only neutral field equations. We also recall the notion of *pseudodifferential operators* on a manifold, which will be useful later on and formulate a consequence of [GW1] which states that the Cauchy surface covariances of *any* Hadamard state for Klein-Gordon fields is given by a matrix of pseudodifferential operators.

In Sect. 4 we define various 'Euclidean' Laplacians,  $K = -\Delta_{\hat{g}} + m$  acting on  $N = \mathbb{S}_{\beta} \times \Sigma^+$  and a related operator  $\tilde{K}$ , obtained from Wick rotation of the Lorentzian metric on M in the Killing time coordinate, which are considered in [S1]. It is sufficient for us to define these Laplacians by quadratic form techniques, which simplifies some arguments.

In Sect. 5 we recall the definition of the *Calderón projector*, which is a standard notion in elliptic boundary value problems. In Sect. 6, using the explicit expression for  $\tilde{K}^{-1}$ , we show that the projection associated to the double  $\beta$ -KMS state  $\omega_{\beta}$  equals to the Calderón projector D associated to K and the open set  $\Omega = ]0, \beta/2[\times \Sigma^+.$ 

In Sect. 7, we recall the well-known fact that a smooth extension  $(N_{\text{ext}}, \hat{g}_{\text{ext}})$ of  $(N, \hat{g})$  exists iff  $\beta = \beta_{\text{H}}$ . The extended Calderón projector  $D_{\text{ext}}$  generates a pure state on M, which is the Hartle-Hawking-Israel state  $\omega_{\text{HHI}}$ . In Prop. 7.4, we show that such an extension is unique among quasi-free states whose spacetime covariances map  $C_c^{\infty}(M)$  into  $C^{\infty}(M)$  continuously. Finally we give in the proof of Thm. 7.5 a new and elementary proof of the Hadamard property of  $\omega_{\text{HHI}}$ , using the pseudodifferential calculus on  $\Sigma$ .

## 2. Spacetimes with a static bifurcate Killing Horizon

2.1. Static bifurcate Killing horizons. We consider as in [S1] a globally hyperbolic spacetime (M, g) with a *static bifurcate Killing horizon*. We recall, see [S1, Def. 2.2], that this is a triple (M, g, V), such that

- (1) the Lorentzian manifold (M, g) is globally hyperbolic,
- (2) V is a complete Killing vector field for (M, g),
- (3)  $\mathscr{B} := \{x \in M : V(x) = 0\}$  is an compact, orientable submanifold of codimension 2,
- (4) there exists a Cauchy hypersurface  $\Sigma$  containing  $\mathscr{B}$ ,
- (5) V is g-orthogonal to  $\Sigma$ ,
- see Figure 1 below where the vector field V is represented by arrows.

Hartle-Hawking-Israel states and Calderón projectors



Figure 1.

For simplicity we will also assume that the bifurcation surface  $\mathscr{B}$  is connected. Denoting by *n* the future pointing normal vector field to  $\Sigma$  one introduces the *lapse function*:

(2.1) 
$$v(x) := -n(x) \cdot g(x) V(x), \ x \in \Sigma,$$

and  $\Sigma$  decomposes as

$$\Sigma = \Sigma^- \cup \mathscr{B} \cup \Sigma^+,$$

where  $\Sigma^{\pm} = \{x \in \Sigma : \pm v(x) > 0\}$ . The spacetime M splits as

$$M = \mathscr{M}^+ \cup \mathscr{M}^- \cup \overline{\mathscr{F}} \cup \overline{\mathscr{P}},$$

where the future cone  $\mathscr{F} := I^+(\mathscr{B})$ , the past cone  $\mathscr{P} := I^-(\mathscr{B})$ , the right/left wedges  $\mathscr{M}^{\pm} := D(\Sigma^{\pm})$ , are all globally hyperbolic when equipped with g.

2.2. Wedge reflection. Additionally one has to assume the existence of a wedge reflection, see [S1, Def. 2.6], ie a diffeomorphism R of  $\mathcal{M}^+ \cup \mathcal{M}^- \cup U$  onto itself, where U is an open neighborhood of  $\mathscr{B}$  such that:

- (1)  $R \circ R = \mathrm{Id},$
- (2) R is an isometry of  $(\mathcal{M}^+ \cup \mathcal{M}^-, g)$  onto itself, which reverses the time orientation,
- (3)  $R = \text{Id on } \mathscr{B},$
- (4)  $R^*V = V$  on  $\mathcal{M}^+ \cup \mathcal{M}^-$ .

It follows that R preserves  $\Sigma$ , see [S1, Prop. 2.7], and we denote by r the restriction of R to  $\Sigma$ . Denoting by h the induced Riemannian metric on  $\Sigma$  one has:

(2.2) 
$$r^*h = h, r^*v = -v.$$

2.3. Killing time coordinate. Denoting by  $\Phi_s^V : M \to M$  the flow of the Killing vector field V, we obtain a diffeomorphism

$$\chi: \mathbb{R} \times (\Sigma \backslash \mathscr{B}) \ni (t, y) \mapsto \Phi_t^V(y) \in \mathscr{M}^+ \cup \mathscr{M}^-,$$

which defines the coordinate t on  $\mathcal{M}^+ \cup \mathcal{M}^-$  called the *Killing time coordinate*. The metric g on  $\mathcal{M}^+ \cup \mathcal{M}^-$  pulled back by  $\chi$  takes the form (see [S1, Subsect. 2.1]):

(2.3) 
$$g = -v^2(y)dt^2 + h_{ij}(y)dy^i dy^j,$$

where the Riemannian metric  $h_{ij}(y)dy^i dy^j$  is the restriction of g to  $\Sigma$ .

2.4. Klein-Gordon operator. We fix a real potential  $m \in C^{\infty}(M)$ . As in [S1] we assume that m is stationary w.r.t. the Killing vector field V and invariant under the wedge reflection, ie:

(2.4) 
$$V^a \nabla_a m(x) = 0, \ m \circ R(x) = m(x), \ x \in \mathscr{M}^+ \cup \mathscr{M}^- \cup U.$$

For simplicity we also assume that

(2.5) 
$$m(x) \ge m_0^2 > 0, \ x \in M$$

ie we consider only massive fields. Note that in [S1] the weaker condition m(x) > 0 was assumed. We consider the Klein-Gordon operator

$$(2.6) P = -\Box_g + m.$$

#### 3. Free Klein-Gordon fields

In this section we briefly recall some well-known background material on free quantum Klein-Gordon fields on globally hyperbolic spacetimes. We follow the presentation in [GW1, Sect. 2] based on *charged fields*.

## 3.1. Charged CCR algebra.

3.1.1. Charged bosonic fields. Let  $\mathcal{Y}$  a complex vector space,  $\mathcal{Y}^*$  its anti-dual. Sesquilinear forms on  $\mathcal{Y}$  are identified with elements of  $L(\mathcal{Y}, \mathcal{Y}^*)$  and the action of a sesquilinear form  $\beta$  is correspondingly denoted by  $\overline{y}_1 \cdot \beta y_2$  for  $y_1, y_2 \in \mathcal{Y}$ . We fix  $q \in L_h(\mathcal{Y}, \mathcal{Y}^*)$  a non degenerate hermitian form on  $\mathcal{Y}$ , is such that Ker  $q = \{0\}$ .

The CCR \*-algebra CCR( $\mathcal{Y}, q$ ) is the complex \*-algebra generated by symbols  $\mathbf{1}, \psi(y), \psi^*(y), y \in \mathcal{Y}$  and the relations:

$$\begin{split} \psi(y_1 + \lambda y_2) &= \psi(y_1) + \overline{\lambda} \psi(y_2), \ y_1, y_2 \in \mathcal{Y}, \lambda \in \mathbb{C}, \\ \psi^*(y_1 + \lambda y_2) &= \psi^*(y_1) + \lambda \psi^*(y_2), \ y_1, y_2 \in \mathcal{Y}, \lambda \in \mathbb{C}, \\ [\psi(y_1), \psi(y_2] &= [\psi^*(y_1), \psi^*(y_2)] = 0, \ [\psi(y_1), \psi^*(y_2)] = \overline{y}_1 \cdot q y_2 \mathbf{1}, \ y_1, y_2 \in \mathcal{Y}, \\ \psi(y)^* &= \psi^*(y), \ y \in \mathcal{Y}. \end{split}$$

A state  $\omega$  on CCR( $\mathcal{Y}, q$ ) is (gauge invariant) quasi-free if

$$\omega(\prod_{i=1}^{p}\psi(y_{i})\prod_{i=1}^{q}\psi^{*}(y_{j})) = \begin{cases} 0 \text{ if } p \neq q, \\ \sum_{\sigma \in S_{p}}\prod_{i=1}^{p}\omega(\psi(y_{i})\psi^{*}(y_{\sigma(i)})) \text{ if } p = q. \end{cases}$$

There is no loss of generality to restrict oneself to charged fields and gauge invariant states, see eg the discussion in [GW1, Sect. 2]. It is convenient to associate to  $\omega$  its (complex) covariances  $\lambda^{\pm} \in L_{\rm h}(\mathcal{Y}, \mathcal{Y}^*)$  defined by:

$$\begin{split} &\omega(\psi(y_1)\psi^*(y_2)) =: \overline{y}_1 \cdot \lambda^+ y_2, \\ &\omega(\psi^*(y_2)\psi(y_1)) =: \overline{y}_1 \cdot \lambda^- y_2, \end{split} \quad y_1, y_2 \in \mathcal{Y}. \end{split}$$

The following results are well-known, see eg [DG, Sect. 17.1] or [GW1, Sect. 2]:

– two hermitian forms  $\lambda^{\pm} \in L_{\rm h}(\mathcal{Y}, \mathcal{Y}^*)$  are the covariances of a quasi-free state  $\omega$  iff

(3.1) 
$$\lambda^{\pm} \ge 0, \ \lambda^{+} - \lambda^{-} = q.$$

- Let  $\mathcal{Y}_{\omega}$  be the completion of  $\mathcal{Y}$  for the Hilbertian scalar product  $\lambda^+ + \lambda^-$ . If there exist linear operators  $c^{\pm} \in L(\mathcal{Y}_{\omega})$  such that

$$c^+ + c^- = \mathbf{1}, \ (c^{\pm})^2 = c^{\pm},$$

(ie  $c^{\pm}$  is a pair of complementary projections) and  $\lambda^{\pm} = \pm q \circ c^{\pm}$ , then  $\omega$  is a *pure state*.

3.1.2. *Neutral bosonic fields.* We complete this subsection by explaining the relationship with the formalism of neutral fields, see eg [GW1, Subsect. 2.5].

Let  $\mathcal{X}$  a real vector space,  $\mathcal{X}^{\#}$  its dual, and  $\sigma \in L_{\mathrm{a}}(\mathcal{X}, \mathcal{X}^{\#})$  a symplectic form on  $\mathcal{X}$ . The \*-algebra  $\mathrm{CCR}(\mathcal{X}, \sigma)$  is the complex \*-algebra generated by symbols  $\mathbf{1}, \phi(x), x \in \mathcal{X}$  and relations:

$$\phi(x_1 + \lambda x_2) = \phi(x_1) + \lambda \phi(x_2), \ x_1, x_2 \in \mathcal{X}, \lambda \in \mathbb{R},$$
$$[\phi(x_1), \phi(x_2] = ix_1 \cdot \sigma x_2 \mathbf{1}, \ x_1, x_2 \in \mathcal{X},$$
$$\phi(x)^* = \phi(x), \ x \in \mathcal{X}.$$

To relate the neutral to the charged formalism one sets  $\mathcal{Y} = \mathbb{C}\mathcal{X}$  and for  $\beta \in L(\mathcal{X}, \mathcal{X}^{\#})$  denote by  $\beta_{\mathbb{C}} \in L(\mathcal{Y}, \mathcal{Y}^{*})$  its sesquilinear extension.  $\mathcal{Y}_{\mathbb{R}} \sim \mathcal{X} \oplus \mathcal{X}$  is the real form of  $\mathcal{Y}$ , ie  $\mathcal{Y}_{\mathbb{R}} = \mathcal{Y}$  as a real vector space. Then  $(\mathcal{Y}_{\mathbb{R}}, \operatorname{Re}\sigma_{\mathbb{C}}) \sim (\mathcal{X}, \sigma) \oplus (\mathcal{X}, \sigma)$  is a real symplectic space and we denote by  $\phi(y), y \in \mathcal{Y}_{\mathbb{R}}$  the selfadjoint generators of  $\operatorname{CCR}(\mathcal{Y}_{\mathbb{R}}, \operatorname{Re}\sigma_{\mathbb{C}})$ . Under the identification  $\phi(y) \sim \phi(x) \otimes \mathbf{1} + \mathbf{1} \otimes \phi(x')$  for  $y = x + \mathrm{i}x'$  we can identify  $\operatorname{CCR}(\mathcal{Y}_{\mathbb{R}}, \operatorname{Re}\sigma_{\mathbb{C}})$  with  $\operatorname{CCR}(\mathcal{X}, \sigma) \otimes \operatorname{CCR}(\mathcal{X}, \sigma)$  as \*-algebras.

Note also that under the identification

$$\psi(y) \sim \frac{1}{\sqrt{2}}(\phi(y) + \mathrm{i}\phi(iy)), \ \psi^*(y) \sim \frac{1}{\sqrt{2}}(\phi(y) - \mathrm{i}\phi(iy)), \ y \in \mathcal{Y}$$

we can identify  $CCR(\mathcal{Y}_{\mathbb{R}}, \operatorname{Re}\sigma_{\mathbb{C}})$  with  $CCR(\mathcal{Y}, q)$  for  $q = i\sigma_{\mathbb{C}}$ .

A quasi-free state  $\omega$  on  $CCR(\mathcal{X}, \sigma)$  is determined by its real covariance  $\eta \in L_s(\mathcal{X}, \mathcal{X}^{\#})$  defined by:

$$\omega(\phi(x_1)\phi(x_2)) =: x_1 \cdot \eta x_2 + \frac{\mathrm{i}}{2} x_1 \cdot \sigma x_2, \ x_1, x_2 \in \mathcal{X}.$$

A symmetric form  $\eta \in L_{s}(\mathcal{X}, \mathcal{X}^{\#})$  is the covariance of a quasi-free state iff

$$\eta \ge 0, \ |x_1 \cdot \sigma x_2| \le 2(x_1 \cdot \eta x_1)^{\frac{1}{2}} (x_2 \cdot \eta x_2)^{\frac{1}{2}}, \ x_1, x_2 \in \mathcal{X}.$$

To such a state  $\omega$  we associate the quasi-free state  $\tilde{\omega}$  on  $CCR(\mathcal{Y}_{\mathbb{R}}, \operatorname{Re}\sigma_{\mathbb{C}})$  with real covariance  $\operatorname{Re}\eta_{\mathbb{C}}$ . Then its complex covariances  $\lambda^{\pm}$  are given by (see [GW1, Subsect. 2.5]):

(3.2) 
$$\lambda^{\pm} = \eta_{\mathbb{C}} \pm \frac{1}{2} \mathrm{i} \sigma_{\mathbb{C}}$$

Applying complex conjugation, we immediately see that in this case

$$(3.3) \qquad \qquad \lambda^+ \ge 0 \iff \lambda^- \ge 0$$

so it suffices to check for example that  $\lambda^+ \geq 0$ .

3.2. Free Klein-Gordon fields. Let  $P = -\Box_g + m(x)$ ,  $m \in C^{\infty}(M, \mathbb{R})$  a Klein-Gordon operator on a globally hyperbolic spacetime (M, g) (we use the convention (1, d) for the Lorentzian signature). Let  $E^{\pm}$  be the advanced/retarded inverses of P and  $E := E^+ - E^-$ . We apply the above framework to

$$\mathcal{Y} = \frac{C_{\rm c}^{\infty}(M)}{PC_{\rm c}^{\infty}(M)}, \ \overline{[u]} \cdot q[u] = \mathrm{i}(u|Eu)_M,$$

where  $(u|v)_M = \int_M \overline{u}v dV ol_g$ .

One restricts attention to quasi-free states on  $CCR(\mathcal{Y}, q)$  whose covariances are given by distributions on  $M \times M$ , is such that there exists  $\Lambda^{\pm} \in \mathcal{D}'(M \times M)$  with

(3.4) 
$$\begin{aligned} \omega(\psi([u_1])\psi^*([u_2])) &= (u_1|\Lambda^+ u_2)_M, \\ \omega(\psi^*([u_2])\psi([u_1])) &= (u_1|\Lambda^- u_2)_M, \end{aligned} \quad u_1, u_2 \in C^\infty_{\mathrm{c}}(M). \end{aligned}$$

In the sequel the distributions  $\Lambda^{\pm} \in \mathcal{D}'(M \times M)$  will be called the *spacetime* covariances of the state  $\omega$ .

In (3.4) we identify distributions on M with distributional densities using the density  $dVol_g$  and use the notation  $(u|\varphi)_M$ ,  $u \in C_c^{\infty}(M)$ ,  $\varphi \in \mathcal{D}'(M)$  for the duality bracket. We have then

(3.5) 
$$P(x,\partial_x)\Lambda^{\pm}(x,x') = P(x',\partial_{x'})\Lambda^{\pm}(x,x') = 0,$$
$$\Lambda^{+}(x,x') - \Lambda^{-}(x,x') = iE(x,x').$$

Such a state is called a *Hadamard state*, (see [R] for the neutral case and [GW1] for the complex case) if

(3.6) 
$$\operatorname{WF}(\Lambda^{\pm})' \subset \mathscr{N}^{\pm} \times \mathscr{N}^{\pm},$$

where WF( $\Lambda$ )' denotes the 'primed' wavefront set of  $\Lambda$ , ie  $S' := \{((x,\xi), (x', -\xi')) : ((x,\xi), (x',\xi')) \in S\}$  for  $S \subset T^*M \times T^*M$ , and  $\mathscr{N}^{\pm}$  are the two connected components (positive/negative energy shell) of the characteristic manifold:

(3.7) 
$$\mathscr{N} := \{(x,\xi) \in T^*M \setminus \{0\}\} : \xi_{\mu} g^{\mu\nu}(x)\xi_{\nu} = 0\}$$

3.3. Cauchy surface covariances. Denoting by  $Sol_{sc}(P)$  the space of smooth space-compact solutions of  $P\phi = 0$ , it is well known that

$$[E]: \frac{C_{\rm c}^{\infty}(M)}{PC_{\rm c}^{\infty}(M)} \ni [u] \mapsto Eu \in Sol_{\rm sc}(P)$$

is bijective, with

$$\mathbf{i}(u_1|Eu_2) = \overline{Eu_1} \cdot qEu_2, \ u_i \in C^{\infty}_{\mathbf{c}}(M),$$

for

(3.8) 
$$\overline{\phi}_1 \cdot q\phi_2 := \mathrm{i} \int_{\Sigma} (\nabla_{\mu} \overline{\phi}_1 \phi_2 - \overline{\phi}_1 \nabla_{\mu} \phi_2) n^{\mu} d\sigma,$$

where  $\Sigma$  is any spacelike Cauchy hypersurface,  $n^{\mu}$  is the future directed unit normal vector field to  $\Sigma$  and  $d\sigma$  the induced surface density. Setting

$$\rho: C^{\infty}_{\rm sc}(M) \ni \phi \mapsto \begin{pmatrix} \phi \upharpoonright_{\Sigma} \\ {\rm i}^{-1} \partial_{\nu} \phi \upharpoonright_{\Sigma} \end{pmatrix} = f \in C^{\infty}_{\rm c}(\Sigma) \oplus C^{\infty}_{\rm c}(\Sigma)$$

Since the Cauchy problem

$$\left\{ \begin{array}{l} P\phi=0,\\ \rho u=f \end{array} \right.$$

as a unique solution  $\phi \in Sol_{sc}(P)$  for  $f \in C_{c}^{\infty}(\Sigma) \oplus C_{c}^{\infty}(\Sigma)$  the map

$$\frac{C_{\rm c}^{\infty}(M)}{PC_{\rm c}^{\infty}(M)} \ni [u] \mapsto \rho E u \in C_{\rm c}^{\infty}(\Sigma) \oplus C_{\rm c}^{\infty}(\Sigma)$$

is bijective, and

$$i(u|Eu)_M = \overline{\rho Eu} \cdot q\rho Eu,$$

for

(3.9) 
$$\overline{f} \cdot qf := \int_{\Sigma} \overline{f}_1 f_0 + \overline{f}_0 f_1 d\sigma_{\Sigma}, \ f = \begin{pmatrix} f_0 \\ f_1 \end{pmatrix}$$

It follows that to a quasi-free state with spacetime covariances  $\Lambda^{\pm}$  one can associate its *Cauchy surface covariances*  $\lambda^{\pm}$  defined by:

(3.10) 
$$\Lambda^{\pm} =: (\rho E)^* \lambda^{\pm} (\rho E).$$

Using the canonical scalar product  $(f|f)_{\Sigma} := \int_{\Sigma} \overline{f}_1 f_1 + \overline{f}_0 f_0 d\sigma_{\Sigma}$  we identify  $\lambda^{\pm}$  with operators, still denoted by  $\lambda^{\pm}$ , belonging to  $L(C_c^{\infty}(\Sigma) \oplus C_c^{\infty}(\Sigma), \mathcal{D}'(\Sigma) \oplus \mathcal{D}'(\Sigma))$ .

A more explicit expression of  $\lambda^{\pm}$  in terms of  $\Lambda^{\pm}$  is as follows, see eg [GOW, Thm. 7.10]: let us introduce Gaussian normal coordinates to  $\Sigma$ 

$$U \ni (t, y) \mapsto \chi(t, y) \in V,$$

where U is an open neighborhood of  $\{0\} \times \Sigma$  in  $\mathbb{R} \times \Sigma$  and V an open neighborhood of  $\Sigma$  in M, such that  $\chi^* g = -dt^2 + h_{ij}(t, y)dy^i dy^j$ . We denote by  $\Lambda^{\pm}(t, y, t', y') \in \mathcal{D}'(U \times U)$  the restriction to  $U \times U$  of the distributional kernel of  $\Lambda^{\pm}$ . By (3.5) and standard microlocal arguments, their restrictions to fixed times t, t', denoted by  $\Lambda^{\pm}(t, t') \in \mathcal{D}'(\Sigma \otimes \Sigma)$  are well defined.

We know also that  $\partial_t^k \partial_{t'}^{k'} \Lambda^{\pm}(0,0) \in \mathcal{D}'(\Sigma \times \Sigma)$  is well defined for k, k' = 0, 1. Then setting  $\lambda^{\pm} =: \pm q \circ c^{\pm}$  we have:

(3.11) 
$$c^{\pm} = \pm \begin{pmatrix} i\partial_{t'}\Lambda^{\pm}(0,0) & \Lambda^{\pm}(0,0) \\ \partial_{t}\partial_{t'}\Lambda^{\pm}(0,0) & i^{-1}\partial_{t}\Lambda^{\pm}(0,0) \end{pmatrix}.$$

Large classes of Hadamard states were constructed in terms of their Cauchy surface covariances in [GW1, GOW] using pseudodifferential calculus on  $\Sigma$ , see below for a short summary.

3.4. **Pseudodifferential operators.** We briefly recall the notion of (classical) pseudodifferential operators on a manifold, referring to [Sh, Sect. 4.3] for details.

For  $m \in \mathbb{R}$  we denote by  $\Psi^m(\mathbb{R}^d)$  the space of classical pseudodifferential operators of order m on  $\mathbb{R}^d$ , associated with poly-homogeneous symbols of order m see eg [Sh, Sect. 3.7].

Let N be a smooth, d-dimensional manifold. Let  $U \subset N$  a precompact chart open set and  $\psi : U \to \tilde{U}$  a chart diffeomorphism, where  $\tilde{U} \subset \mathbb{R}^d$  is precompact, open. We denote by  $\psi^* : C_c^{\infty}(\tilde{U}) \to C_c^{\infty}(U)$  the map  $\psi^* u(x) := u \circ \psi(x)$ .

**Definition 3.1.** A linear continuous map  $A : C_c^{\infty}(N) \to C^{\infty}(N)$  belongs to  $\Psi^m(N)$  if the following condition holds:

(C) Let  $U \subset N$  be precompact open,  $\psi : U \to \tilde{U}$  a chart diffeomorphism,  $\chi_1, \chi_2 \in C_c^{\infty}(U)$  and  $\tilde{\chi}_i = \chi_i \circ \psi^{-1}$ . Then there exists  $\tilde{A} \in \Psi^m(\mathbb{R}^d)$  such that

(3.12) 
$$(\psi^*)^{-1}\chi_1 A \chi_2 \psi^* = \tilde{\chi}_1 \tilde{A} \tilde{\chi}_2$$

Elements of  $\Psi^m(N)$  are called (classical) pseudodifferential operators of order m on N.

The subspace of  $\Psi^m(N)$  of pseudodifferential operators with properly supported kernels is denoted by  $\Psi^m_c(N)$ .

Note that if  $\Psi^{\infty}_{(c)}(N) := \bigcup_{m \in \mathbb{R}} \Psi^{m}_{(c)}(N)$ , then  $\Psi^{\infty}_{c}(N)$  is an algebra, but  $\Psi^{\infty}(N)$  is not, since without the proper support condition, pseudodifferential operators cannot in general be composed.

We denote by  $T^*N \setminus \{0\}$  the cotangent bundle of N with the zero section removed. To  $A \in \Psi^m(N)$  one can associate its *principal symbol*  $\sigma_{\rm pr}(A) \in C^{\infty}(T^*N \setminus \{0\})$ ,

which is homogeneous of degree m in the fiber variable  $\xi$  in  $T^*M$ , in  $\{|\xi| \ge 1\}$ . A is called *elliptic* in  $\Psi^m(N)$  at  $(x_0, \xi_0) \in T^*N \setminus \{0\}$  if  $\sigma_{\rm pr}(A)(x_0, \xi_0) \neq 0$ .

If  $A \in \Psi^m(N)$  there exists (many)  $A_c \in \Psi^m_c(N)$  such that  $A - A_c$  has a smooth kernel.

Finally one says that  $(x_0, \xi_0) \notin \operatorname{essupp}(A)$  for  $A \in \Psi^{\infty}(N)$  if there exists  $B \in \Psi^{\infty}_{c}(N)$  elliptic at  $(x_0, \xi_0)$  such that  $A_c \circ B$  is smoothing, where  $A_c \in \Psi^{\infty}_{c}(N)$  is as above, ie  $A - A_c$  is smoothing.

3.5. The Cauchy surface covariances of Hadamard states. We now state a result which follows directly from a construction of Hadamard states in [GW1, Subsect. 8.2].

**Theorem 3.2.** Let  $\omega$  be any Hadamard state for the free Klein-Gordon field on (M,g) and  $\Sigma$  a spacelike Cauchy hypersurface. Then its Cauchy surface covariances  $\lambda^{\pm}$  are  $2 \times 2$  matrices with entries in  $\Psi^{\infty}(\Sigma)$ .

**Proof.** It is well known (see eg [R]) that if  $\omega_1, \omega_2$  are Hadamard states, then  $\Lambda_1^{\pm} - \Lambda_2^{\pm}$  are smoothing operators on M. Using (3.10) this implies that  $\lambda_1^{\pm} - \lambda_2^{\pm}$  are matrices of smoothing operators on  $\Sigma$ . From the definition of  $\Psi^{\infty}(\Sigma)$  it hence suffices to construct *one* Hadamard state  $\omega$  whose Cauchy surface covariances  $\lambda^{\pm}$  are matrices of pseudodifferential operators. The state constructed in [GW1, Subsect. 8.2] has this property, as can be seen from [GW1, Equ. (8.2)].  $\Box$ 

#### 4. EUCLIDEAN OPERATORS

The construction of the  $\beta$ -KMS state on  $\mathscr{M}^+$  with respect to the Killing vector field V relies on the *Wick rotation*, where  $(\mathbb{R} \times \Sigma^+, g)$  is replaced by  $(\mathbb{S}_{\beta} \times \Sigma^+, \hat{g})$ :

(4.1) 
$$\hat{g} = v^2(y)d\tau^2 + h_{ij}(y)dy^i dy^j$$

is the Riemannian metric obtained from (2.3) by setting  $t = i\tau$  and  $\mathbb{S}_{\beta} = [0, \beta]$  with endpoints identified is the circle of length  $\beta$ .

In this section we recall various 'Euclidean' operators related to  $\hat{g}$  appearing in [S1, S2]. It will be convenient to construct them by quadratic form techniques.

We set

$$N := \mathbb{S}_{\beta} \times \Sigma^+,$$

whose elements are denoted by  $(\tau, y)$ . We equip N with the Riemannian metric  $\hat{g}$ in (4.1) and the associated density  $dVol_{\hat{g}} = |v|(y)|h|^{\frac{1}{2}}(y)d\tau dy$ . The hypersurface  $\Sigma^+$  is equipped with the induced density  $dVol_h = |h|^{\frac{1}{2}}(y)dy$ .

## 4.1. Euclidean operator on N. We consider the operator

$$K := -\Delta_{\hat{g}} + m(y),$$

for m as in Subsect. 2.4. Note that m depends only on y since m is invariant under the Killing flow. We have

$$K = -v^{-2}(y)\overline{\partial}_{\tau}^{2} - |v|^{-1}(y)|h|^{-\frac{1}{2}}(y)\overline{\partial}_{y^{i}}|v|(y)|h|^{\frac{1}{2}}(y)h^{ij}(y)\overline{\partial}_{y^{j}} + m(y).$$

K is well defined as a selfadjoint operator on  $L^2(N, dVol_{\hat{g}})$  obtained from the quadratic form:

(4.2) 
$$Q(u,u) := \int_{N} \left( |v|^{-2} |\partial_{\tau} u|^{2} + \partial_{i} \overline{u} h^{ij} \partial_{j} u + m |u|^{2} \right) dVol_{\hat{g}},$$

which is closeable on  $C_c^{\infty}(N)$ , since K is symmetric and bounded from below on this domain. Denoting its closure again by Q and the domain of its closure by Dom Q, K is the selfadjoint operator associated to Q, ie the Friedrichs extension of its restriction to  $C^{\infty}(\mathbb{S}_{\beta}) \otimes C_c^{\infty}(\Sigma^+)$ . We know that  $u \in \text{Dom } K$ , Ku = f iff

(4.3) 
$$u \in \text{Dom } Q \text{ and } Q(w,u) = (w|f)_{L^2(N)}, \ \forall w \in C^{\infty}_{c}(N).$$

From (2.5) we know that  $K \ge m_0^2$  hence is boundedly invertible and we set

$$G := K^{-1}.$$

4.2. Change of volume form. Let us set  $\hat{Q}(u, u) = Q(vu, vu)$ ,  $\operatorname{Dom} \hat{Q} = \{u \in L^2(N) : vu \in \operatorname{Dom} Q\}$ . By (2.5) we have  $\hat{Q}(u, u) \ge m_0^2 ||vu||^2$ . If  $u_n \in \operatorname{Dom} \hat{Q}, u \in L^2(N)$  with  $||u_n - u|| \to 0$  and  $\hat{Q}(u_n - u_m, u_n - u_m) \to 0$  then from the inequality above we obtain that  $vu \in L^2(N)$  and  $||v(u_n - u)|| \to 0$ . Since Q is closed we obtain that  $u \in \operatorname{Dom} \hat{Q}$  and  $\hat{Q}(u_n - u, u_n - u) \to 0$ , ie  $\hat{Q}$  is closed.

Let  $\hat{K}$  be the injective selfadjoint operator associated to  $\hat{Q}$ , (which is formally equal to vKv) and let  $\hat{G} = \hat{K}^{-1}$ . We claim that

(4.4) 
$$G = v\hat{G}v, \text{ on } v^{-1}L^2(N).$$

This follows easily from the caracterization (4.3) of G and similarly of  $\tilde{G}$ .

Let now  $U: L^2(N) \to L^2(\mathbb{S}_\beta) \otimes L^2(\Sigma^+)$  the unitary map given by  $Uu = v^{\frac{1}{2}}u$ . We set

$$\tilde{K} := U\hat{K}U^*.$$

We have

$$\tilde{K} = -\overline{\partial}_{\tau}^2 + \epsilon^2(y, \overline{\partial}_y),$$

where:

$$\epsilon^{2}(y,\overline{\partial}_{y}) = -|v|^{\frac{1}{2}}(y)|h|^{-\frac{1}{2}}(y)\overline{\partial}_{y^{i}}|v|(y)|h|^{\frac{1}{2}}(y)h^{ij}(y)\overline{\partial}_{y^{j}}|v|^{\frac{1}{2}}(y) + v^{2}(y)m(y),$$

is obtained as above from the quadratic form

(4.5) 
$$\int_{\Sigma^+} \left( \partial_i |v|^{\frac{1}{2}} \tilde{u} |v| h^{ij} \partial_i |v|^{\frac{1}{2}} u + |v|^2 m |u|^2 \right) |h|^{\frac{1}{2}} dy.$$

If  $\tilde{G} := \tilde{K}^{-1}$  we have by (4.4):

(4.6) 
$$G = |v|^{1/2} \tilde{G} |v|^{3/2}, \text{ on } v^{-3/2} L^2(N).$$

We now recall a well known expression for  $\tilde{G}$ . Let

$$F(\tau) = \frac{\mathrm{e}^{-\tau\epsilon} + \mathrm{e}^{(\tau-\beta)\epsilon}}{2\epsilon(1 - \mathrm{e}^{-\beta\epsilon})}, \ \tau \in [0, \beta[,$$

extended to  $\tau \in \mathbb{R}$  by  $\beta$ -periodicity. In particular we have:

(4.7) 
$$F(\tau) = \frac{\mathrm{e}^{-|\tau|\epsilon} + \mathrm{e}^{(|\tau|-\beta)\epsilon}}{2\epsilon(1-\mathrm{e}^{-\beta\epsilon})}, \ \tau \in [-\beta,\beta]$$

The following expression for  $\tilde{G}$  is well-known (see eg [DG, Sect. 18.3.2]):

(4.8) 
$$\tilde{G}\tilde{u}(\tau) = \int_{\mathbb{S}_{\beta}} F(\tau - \tau')\tilde{u}(\tau')d\tau', \ \tilde{u} \in L^{2}(S_{\beta}) \otimes L^{2}(\Sigma \backslash \mathscr{B}).$$

Note that since  $\epsilon^2 \geq mv^2$  by (4.5), we have also  $\epsilon^{-2} \leq m^{-1}v^{-2}$  by Kato-Heinz theorem hence  $C_c^{\infty}\Sigma^+ \subset Dom F(\tau)$ .

## 5. CALDERÓN PROJECTORS

In this section we recall some standard facts on *Calderón projectors*. We refer the reader to [CP, Sects. 5.1- 5.3] for details.

5.1. The Calderón projector. Let (N, h) a complete Riemannian manifold and  $P = -\Delta_h + m$ , where  $m \in C^{\infty}(N)$  is a real potential with  $m(x) \ge m_0^2 > 0$ . As in Sect. 4 we construct P as a selfadjoint operator on  $L^2(N, dVol_h)$  using the quadratic form

(5.1) 
$$Q(u,u) = \int_{N} \partial_{i} \overline{u} h^{ij} \partial_{j} u + m(x) |u|^{2}(x) dV ol_{h}.$$

We obtain that  $0 \in \rho(P)$ , hence  $G := P^{-1}$  is a bounded operator on  $L^2(N, dVol_h)$ , defined by

(5.2) 
$$Q(Gv,w) = (v|w)_{L^2(N)}, \ \forall w \in C^{\infty}_{c}(N).$$

Let  $\Omega \subset N$  an open set such that  $\partial \Omega = S = \bigcup_{i=1}^{n} S_i$ , where  $S_i$  are the connected components of S and are assumed to be smooth hypersurfaces. We denote by  $C^{\infty}(\overline{\Omega})$  the space of restrictions to  $\Omega$  of functions in  $C^{\infty}(N)$ .

We associate to  $S_i$  the distribution density  $dS_i$  defined by:

$$\langle dS_i | u \rangle := \int_{S_i} u d\sigma_h^{(i)}, \ u \in C^\infty_{\rm c}(N),$$

where  $d\sigma_h^{(i)}$  is the induced Riemannian density on  $S_i$  and we set

$$dS = \sum_{i=1}^{n} dS_i.$$

We denote by  $\partial_{\nu}$  the unit exterior normal vector field to S and set

 $\langle \partial^*_{\nu} dS | u \rangle := \langle dS | \partial_{\nu} u \rangle, \ u \in C^{\infty}_{c}(N).$ 

For  $u \in C^{\infty}(\overline{\Omega})$  we set

$$\gamma u := \begin{pmatrix} u \upharpoonright_S \\ \partial_{\nu} u \upharpoonright_S \end{pmatrix} =: \begin{pmatrix} \gamma_0 u \\ \gamma_1 u \end{pmatrix}.$$

For  $v \in C^{\infty}_{c}(S)$  we denote by  $\tilde{v} \in C^{\infty}_{c}(N)$  an extension of v to N such that  $\tilde{u}|_{S} = u, \partial_{\nu}\tilde{u}|_{S} = 0.$ 

**Definition 5.1.** Let  $f = \begin{pmatrix} f_0 \\ f_1 \end{pmatrix} \in C^{\infty}_{c}(S) \oplus C^{\infty}_{c}(S)$ . We set:  $Df := \gamma \circ G(\tilde{f}_1(dVol_h)^{-1}dS - \tilde{f}_0(dVol_h^{-1})\partial_{\nu}^*dS).$ 

- The operator  $D: C_c^{\infty}(S) \oplus C_c^{\infty}(S) \to C^{\infty}(S) \oplus C^{\infty}(S)$  is continuous and is called the Calderón projector associated to (P, S).

- The operator D is a  $2 \times 2$  matrix of pseudodifferential operators on S.

Note that dS and  $\partial^*_{\nu} dS$  are distributional densities, hence  $(dVol_h)^{-1} dS$  and  $(dVol_h)^{-1}\partial^*_{\nu}dS$  are distributions on N, supported on S.

Note also that the Calderón projector is obviously covariant under diffeomorphisms: if  $\chi : (N,h) \to (N',h')$  is an isometric diffeomorphism with  $S' = \chi(S)$ ,  $P = \chi^* P'$ , then

 $D = \psi^* D'.$ 

where  $\psi: S \to S'$  is the restriction of  $\chi$  to S.

5.1.1. Expression in Gaussian normal coordinates. Let  $U_i$  be a neighborhood of  $\{0\} \times S_i$  in  $\mathbb{R} \times S_i$  and  $V_i$  a neighborhood of  $S_i$  in N such that Gaussian normal coordinates to  $S_i$  induce a diffeomorphism:

$$\chi_i: U_i \ni x \mapsto (s, y) \in V_i$$

from  $U_i$  to  $V_i$ , and  $ds^2 + k_s(y)dy^2 = \chi_i^* h$  on  $U_i$ . Then for  $f \in C_c^{\infty}(S_i) \otimes \mathbb{C}^2$  we have

(5.3) 
$$\chi_i^* \left( \hat{f}_1 (dVol_h)^{-1} dS - \hat{f}_0 (dVol_h)^{-1} \partial_\nu^* dS \right) \\ = \delta_0(s) \otimes (f_1(y) - r_0(y) f_0(y)) - \delta_0'(s) \otimes f_0(y),$$

where  $r_s(y) = |k_s|^{-\frac{1}{2}}(y)\partial_s|k_s|^{\frac{1}{2}}$ . If  $\varphi \in C_c^{\infty}(\mathbb{R})$  with  $\varphi \ge 0$ ,  $\int \varphi(s)ds = 1$ , setting  $\varphi_n(s) = n\varphi(ns)$ , we can compute Df for  $f \in C_c^{\infty}(S_i) \otimes \mathbb{C}^2$  as

(5.4) 
$$Df = \lim_{n \to +\infty} \gamma \circ G(\varphi_n(s) \otimes (f_1(y) - r_0(y)f_0(y)) - \varphi'_n(s) \otimes f_1(y)),$$

where the limit takes place in  $C^{\infty}(S) \oplus C^{\infty}(S)$ .

Note that it is not obvious that  $Df \in C^{\infty}(S) \oplus C^{\infty}(S)$ . To prove it one can first replace G by a properly supported pseudodifferential parametrix  $P^{(-1)} \in \Psi_c^{-2}(N)$ . Using then Gaussian normal coordinates near a point  $x^0 \in S$ , one is reduced locally to  $N = \mathbb{R}^d$ ,  $S = \{x_1 = 0\}$ . The details can be found for example in [CP, Sects. 5.1 - 5.3]

Another useful identity is the following: for  $u \in C^{\infty}(\overline{\Omega})$  let Iu be the extension of u by 0 in  $N \setminus \overline{\Omega}$ . Then

(5.5) 
$$PIu = \tilde{f}_1 (dVol_h)^{-1} dS - \tilde{f}_0 (dVol_h^{-1}) \partial_\nu^* dS + IPu, \text{ for } f = \gamma u.$$

#### 6. The double $\beta$ -KMS state

In this section we consider the double  $\beta$ -KMS state  $\omega_{\beta}$  in  $\mathcal{M}^+ \cup \mathcal{M}^-$ . It is obtained as the natural extension to  $\mathcal{M}^+ \cup \mathcal{M}^-$  of the state  $\omega_{\beta}^+$  in  $\mathcal{M}^+$ , which is a  $\beta$ -KMS state in  $\mathcal{M}^+$  with respect to the Killing flow. Its construction, for the more general stationary case is given in [S1, Thm. 3.5].

Since  $\Sigma \setminus \mathscr{B}$  is a Cauchy surface for  $\mathscr{M}^+ \cup \mathscr{M}^-$ , we associate to  $\omega_\beta$  its (complex) Cauchy surface covariances on  $\Sigma \setminus \mathscr{B} \lambda^{\pm}$ , and (since  $\omega_\beta$  is a pure state), the pair of complementary projections  $c^{\pm} = \pm q^{-1} \circ \lambda^{\pm}$ , see Subsect. 3.1. We will study in details the projection  $c^+$ .

We identify  $C^{\infty}_{c}(\Sigma \setminus \mathscr{B})$  with  $C^{\infty}_{c}(\Sigma^{+}) \otimes \mathbb{C}^{2}$  using the map

(6.1) 
$$\hat{R}: \quad C_{c}^{\infty}(\Sigma^{+}) \otimes \mathbb{C}^{2} \to C_{c}^{\infty}(\Sigma^{+}) \oplus C_{c}^{\infty}(\Sigma^{-})$$
$$g = g^{(0)} \oplus g^{(\beta/2)} \mapsto f = g^{(0)} \oplus r^{*}g^{(\beta/2)},$$

where  $r: \Sigma \to \Sigma$  is the restriction to  $\Sigma$  of the wedge reflection R, see Subsect. 2.2. We will show that

$$C := \hat{R}^{-1} \circ c^+ \circ \hat{R}$$

is exactly the Calderón projector for the Euclidean operator  $K_+$  acting on  $(N, \hat{g})$ , see Subsect. 4.1, and the open set

$$\Omega := \{ (\tau, y) \in N : 0 < \tau < \beta/2 \}.$$

6.1. The double  $\beta$ -KMS state. We recall now the expression of  $\omega_{\beta}$  given by Sanders, see [S1, Sect. 3.3].

There are some differences in signs and factors of i with the expression given by Sanders in [S1, Sect. 3.3]. They come from two differences between our convention for quantized Klein-Gordon fields and the one of Sanders:

- our convention for Cauchy data of a solution of Pu = 0 is given the map  $\rho u = \begin{pmatrix} u \mid_{\Sigma} \\ i^{-1} \partial_{\nu} u \mid_{\Sigma} \end{pmatrix} =: f$ , which is more natural for complex fields and leads to a more symmetric formulation of the Hadamard condition, while Sanders uses  $\rho u = \begin{pmatrix} u \mid_{\Sigma} \\ \partial_{\nu} u \mid_{\Sigma} \end{pmatrix} = g$ , so  $f = \begin{pmatrix} 1 & 0 \\ 0 & -i \end{pmatrix} g$ .

- we use as complex symplectic form  $\overline{f} \cdot \sigma f' = (f_1|f'_0) - (f_0|f'_1)$ , while Sanders uses  $g \cdot \sigma g' = (g_0|g'_1) - (g_1|g'_0)$ . In terms of spacetime fields, we use  $i^{-1}E$ , Sanders uses iE.

Let us unitarily identify  $L^2(\Sigma, |h|^{\frac{1}{2}}dy)$  with  $L^2(\Sigma^+, |h|^{\frac{1}{2}}dy) \oplus L^2(\Sigma^-, |h|^{\frac{1}{2}}dy)$ , by

$$u \mapsto u_+ \oplus u_-, \ u_\pm = u \upharpoonright_{\Sigma^\pm}$$

Under this identification the action of the wedge reflection  $r^*u = u \circ r$  will be denoted by T, with:

(6.2) 
$$T(u_+ \oplus u_-) \coloneqq r^* u_- \oplus r^* u_+.$$

A direct comparison with the formulas in [S1, Sect. 3.3], using the identity (3.2) gives the following proposition.

**Proposition 6.1.** The double  $\beta$ -KMS state on  $\mathcal{M}^+ \cup \mathcal{M}^-$  is given by the Cauchy surface covariance  $\lambda^+ = \begin{pmatrix} \lambda_{00}^+ & \lambda_{01}^+ \\ \lambda_{10}^+ & \lambda_{11}^+ \end{pmatrix}$  where:

(6.3) 
$$\begin{aligned} \lambda_{00}^{+} &= \frac{1}{2} |v|^{\frac{1}{2}} \left( \epsilon^{-1} \coth(\frac{\beta}{2}\epsilon) + \epsilon^{-1} T \operatorname{sh}^{-1}(\frac{\beta}{2}\epsilon) \right) |v|^{\frac{1}{2}}, \\ \lambda_{11}^{+} &= \frac{1}{2} |v|^{-\frac{1}{2}} \left( \epsilon \coth(\frac{\beta}{2}\epsilon) - \epsilon T \operatorname{sh}^{-1}(\frac{\beta}{2}\epsilon) \right) |v|^{-\frac{1}{2}}, \\ \lambda_{01}^{+} &= \lambda_{10}^{+} &= \frac{1}{2} \mathbf{1}. \end{aligned}$$

As in Subsect. 3.1 we have  $\lambda^- = \lambda^+ - q$ , where the charge  $q = i\sigma$  is given by the matrix  $q = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ . We introduce the operators  $c^{\pm} := \pm q^{-1}\lambda^{\pm}$  and obtain

(6.4) 
$$c^+ = \begin{pmatrix} \frac{1}{2} & \lambda_{00}^+ \\ \lambda_{11}^+ & \frac{1}{2} \end{pmatrix}$$

Note that if

$$b_0 = \epsilon^{-1} \coth(\frac{\beta}{2}\epsilon) + \epsilon^{-1} T \operatorname{sh}^{-1}(\frac{\beta}{2}\epsilon), \ b_1 = \epsilon \coth(\frac{\beta}{2}\epsilon) - \epsilon T \operatorname{sh}^{-1}(\frac{\beta}{2}\epsilon),$$

then using that  $[T, \epsilon] = 0$  we obtain that

$$b_0 b_1 = b_1 b_0 = \operatorname{coth}(\frac{\beta}{2}\epsilon)^2 - \operatorname{sh}^{-1}(\frac{\beta}{2}\epsilon)^2 = 1,$$

from which it follows easily that  $c^{\pm}$  are (formally) projections. This is expected since the double  $\beta$ -KMS state  $\omega_{\beta}$  is a pure state in  $\mathcal{M}^+ \cup \mathcal{M}^-$ .

6.2. Conjugation by  $\hat{R}$ . The map  $\hat{R}$  defined in (6.1) allows to unitarily identify  $L^2(\Sigma^+) \otimes \mathbb{C}^2$  with  $L^2(\Sigma^+) \oplus L^2(\Sigma^-)$ . We have:

(6.5) 
$$\hat{R}^{-1}\epsilon\hat{R} = \epsilon_+ \oplus \epsilon_+, \ \hat{R}^{-1}T\hat{R} = \begin{pmatrix} 0 & 1\\ 1 & 0 \end{pmatrix}.$$

Denoting by  $c_{ij}^+$  for  $i, j \in \{0, 1\}$  the entries of the matrix  $c^+$  and setting

$$C_{ij} := \hat{R}^{-1} \circ c_{ij}^+ \circ \hat{R},$$

we obtain after an easy computation using (6.3), (6.1): (6.6)

$$\begin{split} C_{00}g_{0} &= \frac{1}{2}g_{0}^{(0)} \oplus \frac{1}{2}g_{0}^{(\beta/2)}, \\ C_{11}g_{1} &= \frac{1}{2}g_{1}^{(0)} \oplus \frac{1}{2}g_{1}^{(\beta/2)}, \\ C_{01}g_{1} &= \frac{1}{2}|v|^{\frac{1}{2}}\epsilon_{+}^{-1}\coth(\frac{\beta}{2}\epsilon_{+})|v|^{\frac{1}{2}}g_{1}^{(0)} + \frac{1}{2}|v|^{\frac{1}{2}}\epsilon_{+}^{-1}\mathrm{sh}^{-1}(\frac{\beta}{2}\epsilon_{+})|v|^{\frac{1}{2}}g_{1}^{(\beta/2)} \\ &\oplus \frac{1}{2}|v|^{\frac{1}{2}}\epsilon_{+}^{-1}\coth(\frac{\beta}{2}\epsilon_{+})|v|^{\frac{1}{2}}g_{1}^{(\beta/2)} + \frac{1}{2}|v|^{\frac{1}{2}}\epsilon_{+}^{-1}\mathrm{sh}^{-1}(\frac{\beta}{2}\epsilon_{+})|v|^{\frac{1}{2}}g_{1}^{(0)}, \\ C_{10}g_{0} &= \frac{1}{2}|v|^{-\frac{1}{2}}\epsilon_{+}\coth(\frac{\beta}{2}\epsilon_{+})|v|^{-\frac{1}{2}}g_{0}^{(0)} - \frac{1}{2}|v|^{-\frac{1}{2}}\epsilon_{+}\mathrm{sh}^{-1}(\frac{\beta}{2}\epsilon_{+})|v|^{-\frac{1}{2}}g_{0}^{(\beta/2)} \\ &\oplus \frac{1}{2}|v|^{-\frac{1}{2}}\epsilon_{+}\coth(\frac{\beta}{2}\epsilon_{+})|v|^{-\frac{1}{2}}g_{0}^{(\beta/2)} - \frac{1}{2}|v|^{-\frac{1}{2}}\epsilon_{+}\mathrm{sh}^{-1}(\frac{\beta}{2}\epsilon_{+})|v|^{-\frac{1}{2}}g_{0}^{(0)}. \end{split}$$

In (6.6) the upper indices (0),  $(\beta/2)$  refer to the two connected components  $\{\tau = 0\}$ and  $\{\tau = \beta/2\}$  of  $\partial\Omega$ , while the lower indices 0, 1 refer to the two components of g.

6.3. The Calderón projector. We now compute the Calderón projector for  $K_+$ , associated to the Riemannian manifold  $(N, \hat{g})$ . We choose

$$\Omega = \{ (\tau, y) \in N : 0 < \tau < \beta/2 \}.$$

We have  $S = \partial \Omega = S_0 \cup S_{\beta/2}$  and we write  $f \in C_c^{\infty}(S) \oplus C_c^{\infty}(S)$  as  $f = f^{(0)} \oplus f^{(\beta/2)}$ for  $f^{(i)} \in C_c^{\infty}(S_i) \oplus C_c^{\infty}(S_i)$ .

for  $f^{(i)} \in C_c^{\infty}(S_i) \oplus C_c^{\infty}(S_i)$ . We denote by  $\gamma^{(i)}$ ,  $i = 0, \beta/2$  the trace operators on  $S_i$  defined by  $\gamma u = \gamma^{(0)} u \oplus \gamma^{(\beta/2)} u$  for  $u \in C^{\infty}(\overline{\Omega})$ . We have:

(6.7) 
$$\gamma^{(0)}u = \lim_{\tau \to 0^+} \begin{pmatrix} u(\tau, y) \\ -|v(y)|^{-1}\partial_{\tau}u(\tau, y) \end{pmatrix}, \\ \gamma^{(\beta/2)}u = \lim_{\tau \to (\beta/2)^-} \begin{pmatrix} u(\tau, y) \\ |v(y)|^{-1}\partial_{\tau}u(\tau, y) \end{pmatrix}.$$

We denote similarly by  $\partial_{\nu}^{(i)}$  the exterior normal derivatives on  $S_i$ .

We compute the Calderón projector D defined in Subsect. 5.1 using the coordinates  $(\tau, y)$ . Since  $dS_i = |h|^{\frac{1}{2}}(y)dy$  and  $dVol_{\hat{g}} = |v|^{\frac{1}{2}}(y)|h|^{\frac{1}{2}}(y)dy$ , we obtain:

(6.8) 
$$Df = D^{(0)}f \oplus D^{(\beta/2)}f,$$

for

(6.9) 
$$D^{(i)}f = \gamma^{(i)} \circ G \circ |v|^{-1} \left( \partial_{\nu}^{(0)} \delta_0(\tau) \otimes f_0^{(0)}(y) + \delta_0(\tau) \otimes f_1^{(0)}(y) + \partial_{\nu}^{(\beta/2)} \delta_{\beta/2}(\tau) \otimes f_0^{(\beta/2)}(y) + \delta_{\beta/2}(\tau) \otimes f_1^{(\beta/2)}(y) \right).$$

Since  $G = |v|^{\frac{1}{2}} \tilde{G} |v|^{3/2}$  we have  $G \circ |v|^{-1} = |v|^{\frac{1}{2}} \tilde{G} |v|^{\frac{1}{2}}$ . Denoting by  $D_{kl}^{(i)(j)}$  for  $i, j \in \{0, \beta/2\}$  and  $k, l \in \{0, 1\}$  the various entries of D, we obtain:

(6.10) 
$$D_{kl}^{(i)(j)}v = \begin{cases} \gamma_k^{(i)}|v|^{\frac{1}{2}}\tilde{G}|v|^{\frac{1}{2}}(\partial_{\nu}^{(j)}\delta_j(\tau)\otimes v(y)), \ l=0,\\ \gamma_k^{(i)}|v|^{\frac{1}{2}}\tilde{G}|v|^{\frac{1}{2}}(\delta_j(\tau)\otimes v(y)), \ l=1. \end{cases}$$

We also set

$$\partial_{\tau}^{(i)} = \mp \partial_{\tau}, \text{ for } i = 0, \beta/2,$$

so that  $\partial_{\nu}^{(i)} = |v|^{-1}(y)\partial_{\tau}^{(i)}$ .

**Proposition 6.2.** We have  $D = \hat{R}^{-1} \circ c^+ \circ \hat{R}$ .

**Proof.** We recall that  $C_{ij}$  are the entries of  $\hat{R}^{-1} \circ c^+ \circ \hat{R}$ . We compute  $D_{kl}^{(i)(j)}$  using (6.10) and the explicit formulas (4.7), (4.8) for the kernel  $\tilde{G}(\tau, \tau')$  of  $\tilde{G}$ . Computation of  $D_{00}$ :

$$\begin{split} D_{00}^{(0)(0)} u &= \gamma_0^{(0)} |v|^{\frac{1}{2}} \tilde{G} |v|^{-\frac{1}{2}} \partial_{\tau}^{(0)} \delta_0 \otimes u \\ &= |v|^{\frac{1}{2}} \lim_{\tau \to 0^+} \partial_{\tau'} \tilde{G}(\tau, 0) |v|^{-\frac{1}{2}} u = \frac{1}{2} u, \\ D_{00}^{(0)(\beta/2)} u &= \gamma_0^{(0)} |v|^{\frac{1}{2}} \tilde{G} |v|^{-\frac{1}{2}} \partial_{\tau}^{(\beta/2)} \delta_{\beta/2} \otimes u \\ &= -|v|^{\frac{1}{2}} \lim_{\tau \to 0^+} \partial_{\tau'} \tilde{G}(\tau, \beta/2) |v|^{-\frac{1}{2}} u = 0, \\ D_{00}^{(\beta/2)(0)} u &= \gamma_0^{(\beta/2)} |v|^{\frac{1}{2}} \tilde{G} |v|^{-\frac{1}{2}} \partial_{\tau}^{(0)} \delta_0 \otimes u \\ &= |v|^{\frac{1}{2}} \lim_{\tau \to \beta/2^-} \partial_{\tau'} \tilde{G}(\tau, 0) u = 0, \\ D_{00}^{(\beta/2)(\beta/2)} u &= \gamma_0^{(\beta/2)} |v|^{\frac{1}{2}} \tilde{G} |v|^{-\frac{1}{2}} \partial_{\tau}^{(\beta/2)} \delta_{\beta/2} \otimes u \\ &= -|v|^{\frac{1}{2}} \lim_{\tau \to \beta/2^-} \partial_{\tau'} \tilde{G}(\tau, \beta/2) |v|^{-\frac{1}{2}} u = \frac{1}{2} u. \end{split}$$

Hence

## $D_{00}g_0 = C_{00}g_0.$

Computation of  $D_{11}$ :

$$\begin{split} D_{11}^{(0)(0)} u &= \gamma_1^{(0)} |v|^{\frac{1}{2}} \tilde{G}|v|^{\frac{1}{2}} \delta_0 \otimes u \\ &= -|v|^{-\frac{1}{2}} \lim_{\tau \to 0^+} \partial_\tau \tilde{G}(\tau, 0) |v|^{\frac{1}{2}} u = \frac{1}{2} u, \\ D_{11}^{(0)(\beta/2)} u &= \gamma_1^{(0)} |v|^{\frac{1}{2}} \tilde{G}|v|^{\frac{1}{2}} \delta_{\beta/2} \otimes u \\ &= -|v|^{-\frac{1}{2}} \lim_{\tau \to 0^+} \partial_\tau \tilde{G}(\tau, \beta/2) |v|^{\frac{1}{2}} u = 0, \\ D_{11}^{(\beta/2)(0)} u &= \gamma_1^{(\beta/2)} |v|^{\frac{1}{2}} \tilde{G}|v|^{\frac{1}{2}} \delta_0 \otimes u \\ &= |v|^{-\frac{1}{2}} \lim_{\tau \to \beta/2^-} \partial_\tau \tilde{G}(\tau, 0) |v|^{\frac{1}{2}} u = 0, \\ D_{11}^{(\beta/2)(\beta/2)} u &= \gamma_1^{(\beta/2)} |v|^{\frac{1}{2}} \tilde{G}|v|^{\frac{1}{2}} \delta_{\beta/2} \otimes u \\ &= |v|^{-\frac{1}{2}} \lim_{\tau \to \beta/2^-} \partial_\tau \tilde{G}(\tau, \beta/2) |v|^{\frac{1}{2}} u = \frac{1}{2} u. \end{split}$$

Hence

$$D_{11}g_0 = C_{11}g_0.$$

Computation of  $D_{01}$ :

$$\begin{split} D_{01}^{(0)(0)} u &= \gamma_0^{(0)} |v|^{\frac{1}{2}} \tilde{G} |v|^{\frac{1}{2}} \delta_0 \otimes u \\ &= |v|^{\frac{1}{2}} \lim_{\tau \to 0^+} \tilde{G}(\tau, 0) |v|^{\frac{1}{2}} u = \frac{1}{2} |v|^{\frac{1}{2}} \epsilon_+^{-1} \coth(\frac{\beta}{2} \epsilon_+) |v|^{\frac{1}{2}} u, \\ D_{01}^{(0)(\beta/2)} u &= \gamma_0^{(0)} |v|^{\frac{1}{2}} \tilde{G} |v|^{\frac{1}{2}} \delta_{\beta/2} \otimes u \\ &= |v|^{\frac{1}{2}} \lim_{\tau \to 0^+} \tilde{G}(\tau, \beta/2) |v|^{\frac{1}{2}} u = \frac{1}{2} |v|^{\frac{1}{2}} \epsilon_+^{-1} \mathrm{sh}^{-1}(\frac{\beta}{2} \epsilon_+) |v|^{\frac{1}{2}} u, \\ D_{01}^{(\beta/2)(0)} u &= \gamma_0^{(\beta/2)} |v|^{\frac{1}{2}} \tilde{G} |v|^{\frac{1}{2}} \delta_0 \otimes u \\ &= |v|^{\frac{1}{2}} \lim_{\tau \to \beta/2^-} \tilde{G}(\tau, 0) |v|^{\frac{1}{2}} u = \frac{1}{2} |v|^{\frac{1}{2}} \epsilon_+^{-1} \mathrm{sh}^{-1}(\frac{\beta}{2} \epsilon_+) |v|^{\frac{1}{2}} u, \\ D_{01}^{(\beta/2)(\beta/2)} u &= \gamma_0^{(\beta/2)} |v|^{\frac{1}{2}} \tilde{G} |v|^{\frac{1}{2}} \delta_{\beta/2} \otimes u \\ &= |v|^{\frac{1}{2}} \lim_{\tau \to \beta/2} \tilde{G}(\tau, \beta/2) |v|^{\frac{1}{2}} u = \frac{1}{2} |v|^{\frac{1}{2}} \epsilon_+^{-1} \coth(\frac{\beta}{2} \epsilon_+) |v|^{\frac{1}{2}} u. \end{split}$$

Hence

$$D_{01}g_1 = C_{01}g_1$$

Computation of  $D_{10}$ :

$$\begin{split} D_{10}^{(0)(0)} u &= \gamma_1^{(0)} |v|^{\frac{1}{2}} \tilde{G} |v|^{-\frac{1}{2}} \partial_{\tau}^{(0)} \delta_0 \otimes u \\ &= -|v|^{-\frac{1}{2}} \lim_{\tau \to 0^+} \partial_{\tau} \partial_{\tau'} \tilde{G}(\tau, 0) |v|^{-\frac{1}{2}} u = \frac{1}{2} |v|^{-\frac{1}{2}} \epsilon_+ \coth(\frac{\beta}{2} \epsilon_+) |v|^{-\frac{1}{2}} u, \\ D_{10}^{(0)(\beta/2)} u &= \gamma_1^{(0)} |v|^{\frac{1}{2}} \tilde{G} |v|^{-\frac{1}{2}} \partial_{\tau}^{(\beta/2)} \delta_{\beta/2} u \\ &= |v|^{-\frac{1}{2}} \lim_{\tau \to 0^+} \partial_{\tau} \partial_{\tau'} \tilde{G}(\tau, \beta/2) |v|^{-\frac{1}{2}} u = -\frac{1}{2} |v|^{-\frac{1}{2}} \epsilon_+ \operatorname{sh}^{-1}(\frac{\beta}{2} \epsilon_+) |v|^{-\frac{1}{2}} u, \\ D_{10}^{(\beta/2)(0)} u &= \gamma_1^{(\beta/2)} |v|^{\frac{1}{2}} \tilde{G} |v|^{-\frac{1}{2}} \partial_{\tau}^{(0)} \delta_0 \otimes u \\ &= |v|^{-\frac{1}{2}} \lim_{\tau \to \beta/2^-} \partial_{\tau} \partial_{\tau'} \tilde{G}(\tau, 0) |v|^{-\frac{1}{2}} u = -\frac{1}{2} |v|^{-\frac{1}{2}} \epsilon_+ \operatorname{sh}^{-1}(\frac{\beta}{2} \epsilon_+) |v|^{-\frac{1}{2}} u, \\ D_{10}^{(\beta/2)(\beta/2)} u &= \gamma_1^{(\beta/2)} |v|^{\frac{1}{2}} \tilde{G} |v|^{\frac{1}{2}} \partial_{\nu}^{(\beta/2)} \delta_{\beta/2} \otimes u \\ &= -|v|^{-\frac{1}{2}} \lim_{\tau \to \beta/2^-} \partial_{\tau} \partial_{\tau'} \tilde{G}(\tau, \beta/2) |v|^{-\frac{1}{2}} u = \frac{1}{2} |v|^{-\frac{1}{2}} \epsilon_+ \coth(\frac{\beta}{2} \epsilon_+) |v|^{-\frac{1}{2}} u \\ &= -|v|^{-\frac{1}{2}} \lim_{\tau \to \beta/2^-} \partial_{\tau} \partial_{\tau'} \tilde{G}(\tau, \beta/2) |v|^{-\frac{1}{2}} u = \frac{1}{2} |v|^{-\frac{1}{2}} \epsilon_+ \coth(\frac{\beta}{2} \epsilon_+) |v|^{-\frac{1}{2}} u \\ &= -|v|^{-\frac{1}{2}} \lim_{\tau \to \beta/2^-} \partial_{\tau} \partial_{\tau'} \tilde{G}(\tau, \beta/2) |v|^{-\frac{1}{2}} u = \frac{1}{2} |v|^{-\frac{1}{2}} \epsilon_+ \coth(\frac{\beta}{2} \epsilon_+) |v|^{-\frac{1}{2}} u \\ &= -|v|^{-\frac{1}{2}} \lim_{\tau \to \beta/2^-} \partial_{\tau} \partial_{\tau'} \tilde{G}(\tau, \beta/2) |v|^{-\frac{1}{2}} u = \frac{1}{2} |v|^{-\frac{1}{2}} \epsilon_+ \coth(\frac{\beta}{2} \epsilon_+) |v|^{-\frac{1}{2}} u \\ &= -|v|^{-\frac{1}{2}} \lim_{\tau \to \beta/2^-} \partial_{\tau} \partial_{\tau'} \tilde{G}(\tau, \beta/2) |v|^{-\frac{1}{2}} u = \frac{1}{2} |v|^{-\frac{1}{2}} \epsilon_+ \coth(\frac{\beta}{2} \epsilon_+) |v|^{-\frac{1}{2}} u \\ &= -|v|^{-\frac{1}{2}} \lim_{\tau \to \beta/2^-} \partial_{\tau'} \tilde{G}(\tau, \beta/2) |v|^{-\frac{1}{2}} u = \frac{1}{2} |v|^{-\frac{1}{2}} \epsilon_+ \coth(\frac{\beta}{2} \epsilon_+) |v|^{-\frac{1}{2}} u \\ &= -|v|^{-\frac{1}{2}} \lim_{\tau \to \beta/2^-} \partial_{\tau'} \tilde{G}(\tau, \beta/2) |v|^{-\frac{1}{2}} u = \frac{1}{2} |v|^{-\frac{1}{2}} \epsilon_+ \det(\frac{\beta}{2} \epsilon_+) |v|^{-\frac{1}{2}} u \\ &= -\frac{1}{2} |v|^{-\frac{1}{2}} (\varepsilon_+) |v|^{-\frac{1}{2}} |v|^{-\frac{1}{2}} \varepsilon_+ (\varepsilon_+) |v|^{-\frac{1}{2}} u \\ &= -\frac{1}{2} |v|^{-\frac{1}{2}} (\varepsilon_+) |v|^{-\frac{1}{2}} |v|^{-\frac$$

Hence

## $D_{10}g_0 = C_{10}g_0.$

This completes the proof of the proposition.  $\Box$ 

## 7. The Hartle-Hawking-Israel state and its properties

7.1. The smooth extension of  $(N, \hat{g})$  and the Hawking temperature. The existence of the Hartle-Hawking-Israel state and the definition of the Hawking temperature  $T_H = \kappa (2\pi)^{-1}$  (where  $\kappa$  is the surface gravity) rely on the existence of an extension  $(N_{\text{ext}}, \hat{g}_{\text{ext}})$  of  $(N, \hat{g})$  such that the two components  $S_0, S_{\beta/2} \sim \Sigma^+$  of  $\partial\Omega$  are smoothly glued together into  $\Sigma \subset N_{\text{ext}}$ .

The extended Riemannian metric  $\hat{g}_{\text{ext}}$  is smooth iff  $\beta = (2\pi)\kappa^{-1}$  (for other values of  $\beta$  ( $N_{\text{ext}}, \hat{g}_{\text{ext}}$ ) has a conic singularity on  $\mathscr{B}$ ).

Let us embed  $\Sigma \setminus \mathscr{B}$  into N by:

$$\hat{r}: \left\{ \begin{array}{l} x \mapsto (0, x) \text{ for } x \in \Sigma^+, \\ x \mapsto (\beta/2, r(x)) \text{ for } x \in \Sigma^-, \end{array} \right.$$

Note that for  $\hat{R}$  defined in (6.1) we have

(7.1) 
$$\hat{R} = (\hat{r})^*.$$

We recall that the function  $m: \Sigma \to \mathbb{R}^+$  was introduced in Subsect. 2.4.

**Proposition 7.1.** [S1, Subsect. 2.2] Assume that  $\beta = (2\pi)\kappa^{-1}$ . Then there exists a smooth complete Riemannian manifold  $(N_{\text{ext}}, \hat{g}_{\text{ext}})$  and

(1) a smooth isometric embedding  $\psi: \Sigma \to N_{\text{ext}}$ ,

(2) a smooth isometric embedding  $\chi : (N, \hat{g}) \to (N_{\text{ext}} \setminus \mathscr{B}_{\text{ext}}, \hat{g}_{\text{ext}})$  for  $\mathscr{B}_{\text{ext}} = \psi(\mathscr{B})$ ,

(3) a smooth function  $m_{\text{ext}}: N_{\text{ext}} \to \mathbb{R}$  with  $m_{\text{ext}} \ge m_0^2 > 0$  such that

$$\psi \upharpoonright_{\Sigma \setminus \mathscr{B}} = \chi \circ \hat{r}, \ \psi^* m_{\text{ext}} = m \upharpoonright_N$$



This fundamental fact is well explained in [S1, Subsect. 2.2]. Let us briefly recall the construction of  $\chi$  following [S1]: one introduces Gaussian normal coordinates to  $\mathscr{B}$  in  $(\Sigma, h)$ , where h is the Riemannian metric induced by g on  $\Sigma$ . We choose the unit normal vector field to  $\mathscr{B}$  pointing towards  $\Sigma^+$ . Using these coordinates we can, since  $\mathscr{B}$  is compact, identify a small neighborhood U of  $\mathscr{B}$  in  $\Sigma$  with  $] - \delta, \delta[\times \mathscr{B}]$ . Denoting by  $\omega$  local coordinates on  $\mathscr{B}$  we have a map

$$\begin{split} \phi : &] - \delta, \delta[\times \mathscr{B} \ni (s, \omega) \mapsto y = \exp^h_{\omega}(s) \in U, \\ &U^+ = \phi(]0, \delta[\times \mathscr{B}), \\ \phi^* h = ds^2 + k_{\alpha\beta}(s, \omega) d\omega^{\alpha} d\omega^{\beta}, \end{split}$$

for  $U^+ = \Sigma^+ \cap U$ . In the local coordinates  $(\tau, s, \omega)$  on  $\mathcal{S}_\beta \times U^+$  the embedding  $\chi$  takes the form:

(7.2) 
$$\chi: \begin{array}{c} \mathcal{S}_{\beta} \times ]0, \delta[\times \mathscr{B} \to B_2(0, \delta) \times \mathscr{B} \\ (\tau, s, \omega) \mapsto (s \cos(\beta(2\pi)^{-1}\tau), s \sin(\beta(2\pi)^{-1}\tau), \omega) =: (X, Y, \omega), \end{array}$$

where  $B_2(0, \delta) = \{(X, Y) \in \mathbb{R}^2 : 0 < X^2 + Y^2 < \delta^2\}$ . A straightforward computation performed in [S1, Subsect. 2.2] shows that  $\hat{g}$  admits a smooth extension  $\hat{g}_{\text{ext}}$  to  $N_{\text{ext}}$  iff  $\beta = (2\pi)\kappa^{-1}$ .

7.2. The extension of  $\omega_{\beta}$  to M. We recall from Subsect. 4.1 that K is defined from the closure  $\overline{Q}$  of the quadratic form Q on  $C_{c}^{\infty}(N)$ .

Similarly  $K_{\text{ext}} = -\Delta_{\hat{g}_{\text{ext}}} + m_{\text{ext}}$ , acting on  $N_{\text{ext}}$  is defined using the corresponding quadratic form  $Q_{\text{ext}}$ .

The following lemma is equivalent to [S1, Prop. 5.2], for completeness we give a short proof using quadratic form arguments (note that we assume the stronger condition that  $\inf m(x) > 0$ ). Lemma 7.2. Let  $U: C_c^{\infty}(N) \to C_c^{\infty}(N_{ext} \setminus \mathscr{B}_{ext})$  defined by  $Uu = u \circ \chi^{-1}.$ 

Then U extends as a unitary operator  $U: L^2(N) \to L^2(N_{\text{ext}})$  with  $K_{\text{ext}} = UKU^*$ .

**Proof.** U clearly extends as a unitary operator. To check the second statement it suffices, taking into account the way K and  $K_{\text{ext}}$  are defined, to prove that  $C_c^{\infty}(N_{\text{ext}} \setminus \mathscr{B}_{\text{ext}})$  is a form core for  $Q_{\text{ext}}$ . The domain of  $\overline{Q}_{\text{ext}}$  is the Sobolev space  $H^1(N_{\text{ext}})$  associated to  $\hat{g}_{\text{ext}}$ , so we need to show that  $C_c^{\infty}(N_{\text{ext}} \setminus \mathscr{B}_{\text{ext}})$  is dense in  $H^1(N_{\text{ext}})$ . Using the coordinates  $(X, Y, \omega)$  near  $\mathscr{B}_{\text{ext}} \sim \{0\} \times \mathscr{B}$ , this follows from the fact that  $C_c^{\infty}(\mathbb{R}^2 \setminus \{0\})$  is dense in  $H^1(\mathbb{R}^2)$ , see eg [A, Thm. 3.23].  $\Box$ 

We recall that the projection  $c^+$  associated to the double  $\beta$ -KMS state  $\omega_\beta$  was defined in (6.4). Let us identify in the sequel  $\Sigma$  with  $\Sigma_{\text{ext}} = \psi(\Sigma) \subset N_{\text{ext}}$ .

**Theorem 7.3.** Let  $D_{\text{ext}}$  the Calderón projector for  $(K_{\text{ext}}, \Sigma)$ . Then for  $f, g \in C_{c}^{\infty}(\Sigma \setminus \mathscr{B}) \otimes \mathbb{C}^{2}$  we have:

$$(g|c^+g)_{L^2(\Sigma)} = (g_{\text{ext}}|D_{\text{ext}}f_{\text{ext}})_{L^2(\Sigma)},$$

where  $f_{\text{ext}} = (\psi^*)^{-1} f$ ,  $g_{\text{ext}} = (\psi^*)^{-1} g$ .

**Proof.** This follows from Prop. 6.2, the fact that  $\hat{R}$  is implemented by the embedding  $\hat{r}$  of  $\Sigma \setminus \mathscr{B}$  into N, (see (7.1)) and Lemma 7.2.  $\Box$ 

7.3. Uniqueness of the extension. We discuss now the uniqueness of extensions of  $\omega_{\beta}$  to M. Other types of uniqueness results were obtained before in [KW] and [K1].

**Proposition 7.4.** There exists at most one quasi-free state  $\omega$  for the Klein-Gordon field on M such that:

- (1) the restriction of  $\omega$  to  $\mathscr{M}^+ \cup \mathscr{M}^-$  equals  $\omega_\beta$ ,
- (2) the spacetime covariances  $\Lambda^{\pm}$  of  $\omega$  map  $C_{c}^{\infty}(M)$  into  $C^{\infty}(M)$ .

**Proof.** Let  $\omega$  a quasi-free state for the Klein-Gordon operator P in M, with spacetime covariances  $\Lambda^{\pm}$ . We assume that  $\Lambda^{\pm} : C_{\rm c}^{\infty}(M) \to C^{\infty}(M)$ . Denoting by  $\Lambda^{\pm}(x, x')$  their Schwartz kernels, we have  $P(x, \partial_x)\Lambda^{\pm}(x, x') = P(x', \partial_{x'})\Lambda^{\pm}(x, x') = 0$ , which implies that

(7.3) 
$$\operatorname{WF}(\Lambda^{\pm})' \subset \mathscr{N} \times \mathscr{N},$$

where  $\mathscr{N}$  is defined in (3.7). We claim that the entries  $c_{k,k'}^{\pm}$ , k, k' = 0, 1 of  $c^{\pm}$  defined in (3.11) map  $C_c^{\infty}(\Sigma)$  into  $C^{\infty}(\Sigma)$ . In fact by (7.3) we have  $\Lambda^{\pm} = \Lambda^{\pm} \circ A$  modulo smoothing, where  $A \in \Psi^0(M)$  is a pseudodifferential operator with essupp(A) included in an arbitrary small conical neighborhood of  $\mathscr{N}$ . For  $u \in C_c^{\infty}(\Sigma)$  we have, modulo factors of i:

$$c_{k,k'}^{\pm} u = \partial_t^k \Lambda^{\pm} \circ A(-\partial_t^{k'} \delta_0 \otimes u) |_{t=0} ,$$

see (3.11). Since WF $((-\partial_t^{k'}\delta_0) \otimes u) \subset N^*\Sigma$ , where  $N^*\Sigma \subset T^*M$  is the conormal bundle to  $\Sigma$  and  $\Sigma$  is spacelike, we have  $N^*\Sigma \cap \mathscr{N} = \emptyset$ , hence  $A(-\partial_t^{k'}\delta_0 \otimes u) \in C^{\infty}(M)$ , which proves our claim.

Let now  $\omega_i$ , i = 1, 2 be two quasi-free states as in the proposition. Since  $(u|(\Lambda_1^+ - \Lambda_2^+)v)_{L^2(M)} = 0$  for  $u, v \in C_c^{\infty}(\mathcal{M}^+ \cup \mathcal{M}^-)$  we obtain that  $(f|(\lambda_1^+ - \lambda_2^+)g)_{L^2(\Sigma)\otimes\mathbb{C}^2} = 0$  for  $f, g \in C_c^{\infty}(\Sigma \setminus \mathscr{B}) \otimes \mathbb{C}^2$  hence  $\operatorname{supp}(\lambda_1^+ - \lambda_2^+)g \subset \mathscr{B}$  for  $g \in C_c^{\infty}(\Sigma \setminus \mathscr{B}) \otimes \mathbb{C}^2$ . Since we have seen that  $\lambda_i^+ : C_c^{\infty}(\Sigma) \otimes \mathbb{C}^2 \to C^{\infty}(\Sigma) \otimes \mathbb{C}^2$  this implies that  $(\lambda_1^+ - \lambda_2^+)f = 0$  for  $f \in C_c^{\infty}(\Sigma \setminus \mathscr{B}) \otimes \mathbb{C}^2$ . Since  $\lambda_i^+$  are selfadjoint for  $L^2(\Sigma, dVol_h) \otimes \mathbb{C}^2$  this implies that  $\supp(\lambda_1^+ - \lambda_2^+)f \subset \mathscr{B}$  for  $f \in C_c^{\infty}(\Sigma) \otimes \mathbb{C}^2$ , hence  $(\lambda_1^+ - \lambda_2^+)f = 0$  using again that  $\lambda_i^+ : C_c^{\infty}(\Sigma) \otimes \mathbb{C}^2 \to C^{\infty}(\Sigma) \otimes \mathbb{C}^2$ .  $\Box$ 

#### 7.4. The Hartle-Hawking-Israel state.

Theorem 7.5 ([S1]). Let us set

$$\lambda_{\rm HHI}^+ := q \circ D_{\rm ext}, \ \lambda_{\rm HHI}^- := \lambda_{\rm HHI}^+ - q,$$

where  $D_{\text{ext}}$  is the Calderón projector for  $(K_{\text{ext}}, \Sigma)$  and the charge quadratic form q is defined in (3.8). Then:

- (1)  $\lambda_{\text{HHI}}^{\pm}$  are the Cauchy surface covariances for the Cauchy surface  $\Sigma$  of a quasifree state  $\omega_{\text{HHI}}$  for the free Klein-Gordon field on M.
- (2) the Hartle-Hawking-Israel state  $\omega_{\text{HHI}}$  is a pure Hadamard state and is the unique extension to M of the double  $\beta$ -KMS state  $\omega_{\beta}$  with the property that its spacetime covariances  $\Lambda_{\text{HHI}}^{\pm}$  map continuously  $C_{c}^{\infty}(M)$  into  $C^{\infty}(M)$ .

**Proof.** Let us first prove (1). By (3.3) it suffices to check the positivity of  $\lambda_{\text{HHI}}^+$ . This was shown in [S1, Thm. 5.3] using reflection positivity. For the reader's convenience, let us briefly repeat the argument:

for  $u \in L^2(N)$  we set  $Ru(\tau, y) = u(-\tau, y)$ , for  $\tau \in [-\beta/2, \beta/2] \sim \mathbb{S}_{\beta}$ . The operator  $G = K^{-1}$  is reflection positive, i.e.

(7.4) 
$$(Ru|Gu)_{L^2(N)} \ge 0, \ \forall u \in L^2(N), \ \operatorname{supp} u \subset [0, \beta/2] \times \Sigma^+.$$

In fact setting  $\tilde{u} = |v|^{3/2}u$ , (7.4) is equivalent to

(7.5)  $(R\tilde{u}|\tilde{G}\tilde{u})_{L^2(\mathbb{S}_\beta)\otimes L^2(\Sigma^+)} \ge 0, \ \forall \tilde{u} \in L^2(\mathbb{S}_\beta)\otimes L^2(\Sigma^+), \ supp\tilde{u} \subset [0,\beta/2] \times \Sigma^+.$ 

Using (4.7) we obtain

$$(R\tilde{u}|G\tilde{u})_{L^{2}(\mathbb{S}_{\beta})\otimes L^{2}(\Sigma^{+})}$$
  
=  $(u_{0}|\frac{1}{2\epsilon(1-e^{-\beta\epsilon})}u_{0})_{L^{2}(\Sigma^{+})} + (u_{\beta}|\frac{1}{2\epsilon(1-e^{-\beta\epsilon})}u_{\beta})_{L^{2}(\Sigma^{+})},$ 

for

$$u_0 = \int_{S_{\beta}} e^{-\tau \epsilon} \tilde{u}(\tau) d\tau, \ u_{\beta} = \int_{S_{\beta}} e^{(\tau - \beta/2)\epsilon} \tilde{u}(\tau) d\tau$$

where  $\tilde{u}$  is identified with the map  $\mathbb{S}_{\beta} \ni \tau \mapsto \tilde{u}(\tau) \in L^2(\mathbb{S}_{\beta}; L^2(\Sigma^+))$ . This proves (7.4).

By Lemma 7.2 and using that  $G_{\text{ext}} = K_{\text{ext}}^{-1}$  is bounded on  $L^2(N_{\text{ext}})$ , we deduce from (7.4) that  $G_{\text{ext}}$  is also reflection positive, ie (7.6)

$$(R_{\text{ext}}u|G_{\text{ext}}u_{\text{ext}})_{L^2(N_{\text{ext}})} \ge 0, \ u \in L^2(N_{\text{ext}}), \ \text{supp}u \subset N_{\text{ext}}^+ = \chi([0,\beta/2] \times \Sigma^+),$$

for  $R_{\text{ext}} = URU^*$ . By the remark before [S1, Thm. 5.3], if (s, y) are Gaussian normal coordinates to  $\Sigma$  in  $N_{\text{ext}}$  we have  $R_{\text{ext}}u(s, y) = u(-s, y)$ , ie  $R_{\text{ext}}$  is given by the reflection in Gaussian normal coordinates. This map is a isometry of  $(N_{\text{ext}}, \hat{g}_{\text{ext}})$ , which implies that if  $\hat{g}_{\text{ext}} = ds^2 + h_{\text{ext}}(s, y)dy^2$  near  $\Sigma$ , we have  $h_{\text{ext}}(s, y) = h_{\text{ext}}(-s, y)$  hence if  $r_s(y) = |h_{\text{ext}}(s, y)|^{-\frac{1}{2}}\partial_s |h_{\text{ext}}(s, y)|^{\frac{1}{2}}$  we have  $r_0(y) \equiv 0$ .

If 
$$f \in C_{c}^{\infty}(\Sigma) \otimes \mathbb{C}^{2}$$
 it follows from (5.3) that  $D_{ext}f = \gamma G_{ext}Tf$  for

$$Tf = \delta_0(s) \otimes f_1 - \delta'_0(s) \otimes f_0.$$

We have  $R_{\text{ext}}Tf = \delta_0(s) \otimes f_1 + \delta'_0(s) \otimes f_0$ . Applying the reflection positivity (7.6) to u = Tf we obtain that:

$$(R_{\text{ext}}Tf|G_{\text{ext}}Tf)_{L^2(N_{\text{ext}})} = (f|qD_{\text{ext}}f)_{L^2(\Sigma)} \ge 0,$$

which proves the positivity of  $\lambda_{\text{HHI}}^+$ . To make the argument rigorous is suffices to approximate  $\delta_0$  by a sequence  $\varphi_n$  as in (5.4). This completes the proof of (1).

Let us now prove (2). The fact that  $\omega_{\text{HHI}}$  is the unique extension of  $\omega_{\beta}$  to M with the stated properties has been proved in Prop. 7.4. It remains to prove that  $\omega_{\text{HHI}}$  is a pure Hadamard state in M.

The fact that  $\omega_{\rm HHI}$  is pure follows from the fact that  $D_{\rm ext}$  is a projection. To prove the Hadamard property let us fix a reference Hadamard state  $\omega_{\rm ref}$  for the Klein-Gordon field in M. By Thm. 3.2 its Cauchy surface covariances on  $\Sigma \lambda_{\rm ref}^{\pm}$  are matrices of pseudodifferential operators on  $\Sigma$ . The same is true of  $c_{\rm ref}^{\pm} = \pm q^{-1} \circ \lambda_{\rm ref}^{+}$ and of  $c_{\rm HHI}^{\pm}$ , since Calderón projectors are given by matrices of pseudodifferential operators on  $\Sigma$ .

Moreover we know that the restriction of  $\omega_{\text{HHI}}$  to  $\mathcal{M}^+ \cup \mathcal{M}^-$  is a Hadamard state. The same is obviously true of the restriction of  $\omega_{\text{ref}}$  to  $\mathcal{M}^+ \cup \mathcal{M}^-$ . Going to Cauchy surface covariances, this implies that if  $\chi \in C_c^{\infty}(\Sigma^{\pm})$  then

 $\chi \circ (c_{\text{HHI}}^{\pm} - c_{\text{ref}}^{\pm}) \circ \chi$  is a smoothing operator on  $\Sigma$ .

We claim that this implies that  $c_{\rm HHI}^{\pm} - c_{\rm ref}^{\pm}$  is smoothing, which will imply that  $\omega_{\rm HHI}$  is a Hadamard state.

If fact let a be one of the entries of  $c_{\text{HHI}}^{\pm} - c_{\text{ref}}^{\pm}$ , which is a scalar pseudodifferential operator belonging to  $\Psi^m(\Sigma)$  for some  $m \in \mathbb{R}$ . We know that  $\chi \circ a \circ \chi$  is smoothing for any  $\chi \in C_c^{\infty}(\Sigma \backslash \mathscr{B})$ . Then its principal symbol  $\sigma_{\text{pr}}(a)$  vanishes on  $T^*(\Sigma \backslash \mathscr{B})$ hence on  $T^*\Sigma$  by continuity, so  $a \in \Psi^{m-1}(\Sigma)$ . Iterating this argument we obtain that a is smoothing, which proves our claim and completes the proof of the theorem.  $\Box$ 

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