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A PRECISE AND GENERAL NOTION OF MANIFOLD

WOLFGANG BERTRAM

ABSTRACT. We give a completely formalized definition of a notion of “general manifold”. It turns out that “gluing data” form an *equivalence-partially ordered set (e-pos)*, which is a special instance of an *ordered groupoid*. We state and prove reconstruction theorems, allowing to reconstruct general manifolds and their morphisms from such gluing data. To describe morphisms between manifolds, the notion of *natural relations between groupoids* is introduced, which emphasizes the close analogy with natural transformations of general category theory.

“*La notion générale de variété est assez difficile à définir avec précision.*”
(*Elie Cartan*)¹

“*Be either consequent or inconsequent, never both together.*”
(*An unknown moralist*)²

1. INTRODUCTION

When talking about manifolds, physicists usually are consequent, and mathematicians are not: physicists usually do not try to give a formal definition of what a manifold is, whereas mathematicians often start in a formal way, but, following the example of Elie Cartan, from a certain point on end phrases with “and so on”, “whenever defined”, or similarly. As far as I know, a fully formalized definition of a sufficiently general notion of “manifold” has never been written up.³ Today, I will try to be consequent (to allow myself to be inconsequent tomorrow). The desire for consequence and full formalisation arose from a discussion with Anders Kock on Appendix D of my paper [Be15a]: he remained sceptical about the general notion of “primitive manifold (with atlas)” defined there. Indeed, when working over completely general base rings, where analytic constructions in charts may be replaced by “formal” constructions, our intuition coming from real, finite-dimensional manifolds may fail, and one needs to care about complete formalization. In the present note I shall fill in, rather pedantically, the missing details of Appendix D loc. cit. However, when doing so, I realized that this is not quite a simple exercise of re-writing: some changes have to be made, in particular with regard to *notation* –

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Key words and phrases. groupoid, double groupoid, double category, ordered groupoid, manifold, atlas, e-pos, natural relation, octonion plane.

¹ “It is rather difficult to define precisely the general notion of manifold.” [Ca28], Chapitre III.

² quoted from the preface to [FdV69].

³ To avoid misunderstandings, most modern texts, like e.g., [Hu94, KoNo63], are completely rigorous, of course; but authors make a deliberate choice not to push formalization up to the end, since they consider this to be unimportant or even counter-intuitive.

namely, in loc. cit., I followed the classical notation $\phi_{ij} : V_{ji} \rightarrow V_{ij}$ (see e.g. [Hu94], or the wikipedia-article) for *transition maps* between charts (ϕ_i, U_i) and (ϕ_j, U_j) . But it turns out that this notation is cumbersome since it mixes up *two different* aspects of transition maps:

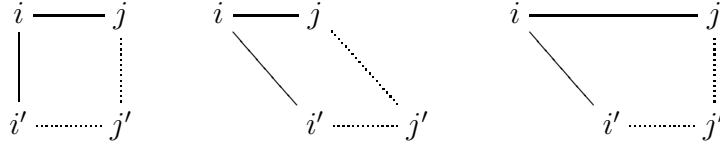
- (1) the aspect of *restricting* things (“wherever defined”, i.e., on $U_{ij} = U_i \cap U_j$),
- (2) the aspect of *transition between local coordinates* (ϕ_{ij} is a bijection).

I think that, for better understanding the formal structure of the notion of manifold, it is advisable to separate (1) and (2) notationally: aspect (1) corresponds to a *partial order* L on the index set I (one chart may be included in another one, we write $i' \leq i$ or $(i', i) \in L$), and aspect (2) corresponds to an *equivalence relation* E on the index set I (two charts are equivalent if their chart domains coincide, we write $(i, j) \in E$). There is a natural compatibility condition turning the index set I into what we call an “e-pos”. This is an interesting mathematical structure in its own right (in fact, it is a special case of an *ordered groupoid*, cf. Appendix A):

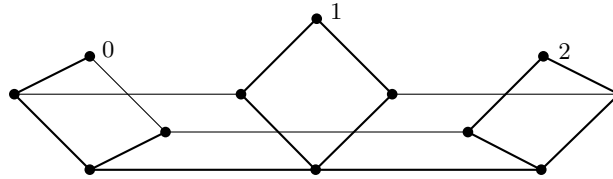
Definition 1.1. *An equivalence-partially ordered set (e-pos) is a set I together with an equivalence relation E and a partial order L (or \leq) on I satisfying*

(Epos) *if $i' \leq i$ and $(i, j) \in E$, then there exists a unique $j' \in I$ such that:
 $(i', j') \in E$ and $j' \leq j$.*

Adopting the convention that horizontal dashes mean “in relation E ” and non-horizontal dashes “in relation L (with i' placed lower than i)”, property (Epos) is represented by figures like these:



Every manifold M with atlas \mathcal{A} has an underlying e-pos. If the atlas is finite, we get a finite e-pos. For instance, the projective plane over a field \mathbb{K} with its 3 “canonical” charts ϕ_ν with domains $[x_0, x_1, x_2]$ where $x_\nu \neq 0$ for $\nu = 0, 1, 2$, leads to an e-pos with $|I| = 12$: each of the three canonical charts can be restricted to the intersection with the remaining 2 charts domains (giving rise to 6 charts on the next lower level), and finally all three canonical charts can be restricted to $U_0 \cap U_1 \cap U_2$. This e-pos is visualized as follows:



On the other hand, *maximal atlases* give rise to very big, often uncountable, e-poses (Section 4). In the general case, the transition functions ϕ_{ij} for every pair $(i, j) \in E$, together with restrictions for every pair $(i', i) \in L$, define a *morphism of the underlying e-pos into the pseudogroup of the model space V of the manifold* (Section 2). Conversely, every such morphism can be seen as “gluing data” of an

abstract manifold M , that can be constructed by taking some kind of quotient with respect to E , taking account of all restrictions by L (Section 3, Theorem 3.2). For instance, the finite gluing data for the projective plane shown above satisfy our conditions when \mathbb{K} is an *alternative division algebra*, and hence the theorem yields a very natural construction of the octonion projective plane $\mathbb{O}\mathbb{P}^2$ (see Example 4.3). This equivalence also allows to perform constructions, like the one of *tangent bundles*, in complete generality: whenever we have a functorial construction associating to the pseudogroup of V a pseudogroup on another space W , then the gluing data yield gluing data modelled on W , and thus give rise to a manifold modelled on W (Theorem 3.4).

On a next level, one wishes to describe *morphisms of manifolds* (i.e., smooth maps, if the manifold is smooth) via the gluing data. A formal analysis of the usual construction makes it clear that we won't get a plain "morphism of e-poses", since a map $f : M \rightarrow N$ won't induce a map assigning to a transition function on M another one on N (unless f is a bijection). We rather get a *natural relation between e-poses*. Indeed, this is a special instance of the more general notion of *natural relation between groupoids or small categories* (Appendix B): natural relations are very closely related to natural transformations from general category; just like these, they give rise to double categories and 2-categories ([M98]), and thus may be of general interest for category theorists (cf. remark B.2). We give some comments and mention some topics for further work in the final section 7.

Acknowledgment. Besides Anders Kock for his critical and constructive remarks, I would like to thank the students of the lecture series "Concepts géométriques" for their patience – some of the material of this paper was presented there.

Notation. By $\mathcal{P}(X)$ we denote the power set of a set X . *Relational composition* of binary relations $R \subset (C \times B)$, $S \subset (B \times A)$ is defined by $R \circ S = \{(c, a) \mid \exists b \in B : (c, b) \in R, (b, a) \in S\}$, and the *graph* of a map $f : A \rightarrow B$ is $\Gamma_f = \{(f(x), x) \mid x \in A\}$. This notation is best compatible with writing the function symbol f on the left of its argument x .

Throughout, V is a non-empty set, called the *model space*, and I is a set, called the *index set*, which will serve as "chart index" for atlases. In practice, V will often be equipped with a topology, whereas the set I should be considered as "discrete".

2. FROM ATLASES TO ATLAS DATA

Definition 2.1. A chart of a set M , modelled on V is a bijection $\phi : U \rightarrow W$ of a subset $U \subset M$ onto a subset $W \subset V$. An atlas on M is a collection of charts $\mathcal{A} = (\phi_i : U_i \rightarrow V_i)_{i \in I}$, or $(U_i, \phi_i, V_i)_{i \in I}$, such that:

- (1) $[\phi_i = \phi_j] \Rightarrow [i = j]$ (atlas without repetition),
- (2) $M = \cup_{i \in I} U_i$ (the atlas covers M),
- (3) (intersection and restriction of charts) whenever $(U_i \cap U_j) \neq \emptyset$, then there exists a unique $k \in I$ (which we denote by $k = [ij]$) such that

$$U_k = (U_i \cap U_j), \quad \forall y \in U_k : \phi_k(y) = \phi_i(y).$$

Sometimes, one may prefer to work with the following slightly weaker version of (3):

(3') for all $x \in (U_i \cap U_j)$, there exists $k \in I$ such that

$$x \in U_k \subset (U_i \cap U_j), \quad \forall y \in U_k : \phi_k(y) = \phi_i(y).$$

If V and M are equipped with topologies, we generally assume that the sets U_i and V_i are open, and that the ϕ_i are homeomorphisms. In this case, we will say that the atlas is saturated if every homeomorphism from a (non-empty) open subset of M onto an open subset of V is of the form ϕ_k with some $k \in I$.

One may note that (3) implies (1), but (3') doesn't. See Section 4 for the definition of *atlases* with certain properties (such as smoothness), and of *maximal* such atlases.

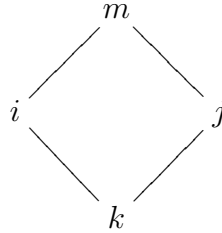
Definition 2.2. For (M, \mathcal{A}) as above, we define

- (a) a relation $E \subset (I \times I)$ by: $(i, j) \in E$ iff $U_i = U_j$ (i.e., both charts have same domain, but need not coincide as charts),
- (b) a relation $L \subset (I \times I)$ by: $(i', i) \in L$ iff $[U_{i'} \subset U_i, \text{ and } \forall y \in U_{i'} : \phi_{i'}(y) = \phi_i(y)]$ (i.e., one chart is included in the other; this implies $V_{i'} \subset V_i$).

Lemma 2.3. Assume (1), (2), (3') hold. Then:

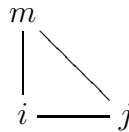
- (i) The relation E is an equivalence relation on I , and L is a partial order on I . (We shall henceforth write $i' \leq i$ instead of $(i', i) \in L$.)
Assume moreover that (3) holds. Then
- (ii) the triple (I, E, L) forms an e-pos in the sense of Definition 1.1,
- (iii) if $i \leq m$ and $j \leq m$ and $(V_i \cap V_j) \neq \emptyset$, then there exists a unique $k \in I$ with $k \leq i$ and $k \leq j$ and $V_k = V_i \cap V_j$.

We represent (ii) by a diagram, as in the Introduction, and (iii) as follows:



Proof. It is clear that E is an equivalence relation and L a partial order. Uniqueness in (ii) is clear since necessarily $U_{j'} = U_{i'}$ and $\phi_{i'}(x) = \phi_{j'}(x)$, and existence follows from (3): $j' := [j, i']$ satisfies (Epos). Similarly, (iii) is proved: uniqueness follows from the given conditions, and existence by taking $k := [ij]$. \square

Remark 2.1. By uniqueness, in every e-pos, $[i \leq j \text{ and } (i, j) \in E]$ implies $i = j$. Likewise, $[i \leq m, j \leq m, (i, j) \in E]$ implies $i = j$. Thus in an e-pos, triangles such as the following are always degenerate in the sense that $i = j$:



Remark 2.2. En e-pos is a special instance of an *ordered groupoid*, see Appendix A.

Remark 2.3. In terms of relational composition, (Epos) implies that $E \circ L \subset L \circ E$. This implies that both $H_1 := L \circ E$ and $H_2 := E \circ L$ are transitive relations. In case of Definition 1.2, $(i, k) \in H_\nu$ means, for $\nu = 1, 2$, “ U_i is included in U_k ”, respectively, “ U_i is included in U_k , and ϕ_i extends to chart onto U_k ”. Thus H_1 and H_2 are different relations, in general. We will not work with them in this paper. They can be used to relate our approach to the one of V.V. Vagner who describes manifolds via *inverse semigroups*, cf. [Sch79, LaS04].

Example 2.1. The sphere S^n with charts s , resp. n , given by stereographic projection from the south pole and from the north pole, along with the restrictions s_n and n_s to the intersection domain, gives rise to the epos $I = \{n, s, n_s, s_n\}$ with

$$\begin{array}{ccc} s & & n \\ | & & | \\ s_n & \text{---} & n_s \end{array}$$

Thus E has three equivalence classes. Condition (Epos) is meaningless in this case.

Example 2.2. The e-pos of the projective plane over a (skew)field \mathbb{K} has been described in the Introduction (Section 1): there are the 3 “canonical” charts ϕ_ν , $\nu = 0, 1, 2$, and 9 other charts given by restricting them to all possible intersections of chart domains. Every quadrangle appearing in this graph stands for a configuration given by three indices i, j, k , namely: if there are two horizontal edges, the trapezoid stands for $\phi_j|_{U_k}, \phi_k|_{U_j}$ (upper edge), $\phi_j|_{U_k \cap U_i}, \phi_k|_{U_j \cap U_i}$ (lower edge), and if no edge is horizontal, the quadrangle stands for ϕ_k (top vertex), $\phi_k|_{U_i}, \phi_k|_{U_j}, \phi_k|_{U_i \cap U_j}$ (bottom vertex; for esthetical reasons, we try to represent such quadrangles by parallelograms, if possible).

Example 2.3. For $n \geq 2$, the e-pos of $\mathbb{K}\mathbb{P}^n$ consists of $n + 1$ different n -hypercubes of restrictions of the $n + 1$ “canonical” charts to all possible intersections of chart domains (so $|I| = (n + 1)2^n$); the vertices of different hypercubes are linked among each other if they correspond to the same intersection of domains. An elegant description of this e-pos is by identifying its index set I with the *set of edges of an $n + 1$ -cube* (cf. [Be15b] for notation): the edge (β, α) with $\alpha = \beta \cup \{i\}$ is identified with the restriction of the canonical chart ϕ_i to the intersection $U_\beta = U_{\beta_0} \cap \dots \cap U_{\beta_\ell}$ where $i \notin \beta$. Two edges $(\beta, \alpha), (\beta', \alpha')$ are equivalent iff $\alpha = \alpha'$. They are in relation L , $(\beta', \alpha') \leq (\beta, \alpha)$, iff $[\beta' \subset \beta$ and $i = i'$ (same “direction”)].

Definition 2.4. If $(i, j) \in E$, we define the transition map $\phi_{ij} := \phi_i \circ \phi_j^{-1} : V_j \rightarrow V_i$.

Lemma 2.5. The transition maps satisfy, whenever $(i, j), (j, k) \in E$,

- (1) $\phi_{ii} = \text{id}_{V_i}$,
- (2) $\phi_{ij} \circ \phi_{jk} = \phi_{ik}$,
- (3) $\phi_{ij}^{-1} = \phi_{ji}$.

Proof. This follows directly from the definitions. □

The preceding definitions and lemmas can be summarized by saying that

$$(I, E; L) \rightarrow (\mathcal{P}(V), \mathcal{B}_{loc}(V); \subset), \quad (k, (i, j); (i', i)) \mapsto (V_k, \phi_{ij}, (V_{i'} \subset V_i))$$

is an (injective) morphism of ordered groupoids. This is what we are going to call “atlas data” in the following section.

Definition 2.6. *Assume given a pseudogroup $G = (G_0, G_1) \subset (\mathcal{P}(V), \mathcal{B}_{loc}(V))$ of transformations of the model space V (cf. Def. A.2), we say that atlas data, and the atlas \mathcal{A} , are of type G if $V_k \in G_0$ and $\phi_{ij} \in G_1$ whenever $k \in I$, $(i, j) \in E$. In case (G_0, G_1) is the pseudogroup of locally defined diffeomorphisms of a topological \mathbb{K} -module V , we say that \mathcal{A} is a smooth atlas.*

3. FROM ATLAS DATA TO ATLASES

Definition 3.1. *We call atlas data (with model space V and chart index I) the following: the index set I is an e-pos (I, E, L) , and*

- (1) *to each $i \in I$, is associated a set $V_i \subset V$ (“chart range”),*
- (2) *to each pair $(i, j) \in E$ is associated a bijection $\phi_{ij} : V_j \rightarrow V_i$ such that*

$$\phi_{ii} = \text{id}_{V_i}, \quad \phi_{ij} \circ \phi_{jk} = \phi_{ik}, \quad \phi_{ij}^{-1} = \phi_{ji},$$
- (3) *if $i' \leq i$, then $V_{i'} \subset V_i$, and if $(i, j) \in E$, $(i', j') \in E$, $i' \leq i$, $j' \leq j$, then*

$$\forall x \in V_{j'} : \quad \phi_{i'j'}(x) = \phi_{ij}(x).$$

- (4) *if $i \leq m$ and $j \leq m$ and $(V_i \cap V_j) \neq \emptyset$, then there exists a unique $k \in I$ with $k \leq i$ and $k \leq j$ and $V_k = V_i \cap V_j$. We write $[i, j] := k$.*

We say that atlas data are topological if V carries a topology, all V_i are open and all ϕ_{ij} are homeomorphisms.

The idea how to reconstruct the manifold M from these data is simple: a point $x \in V_i$ shall be identified with a point $y \in V_j$ iff, possibly after restricting chart ranges to smaller sets $V_{i'}$, resp. $V_{j'}$, there is a transition function such that $y = \phi_{j'i'}(x)$. That is, we shall define M as a quotient of the set

$$S := \{(x, i) \mid x \in V_i\} \subset V \times I$$

under a suitable equivalence relation which arises from combining E and L :

Theorem 3.2. *The following defines an equivalence relation on S :*

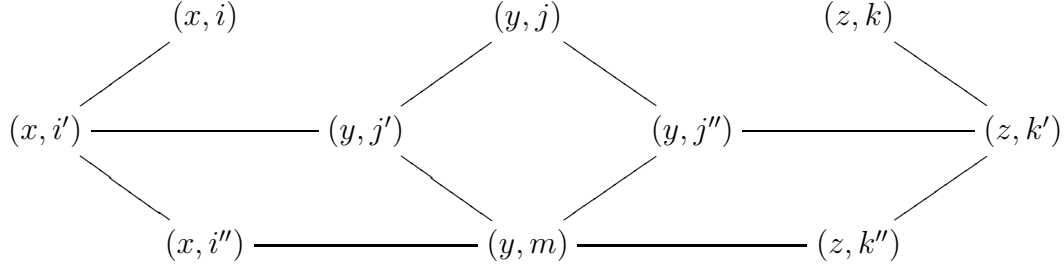
- $(x, i) \sim (y, j)$ iff:
- $\exists i' < i, \exists j' < j : (i', j') \in E$ and $(x, i') \in S, (y, j') \in S, \phi_{i'j'}(y) = x$:
symbolically,

$$\begin{array}{ccc} (x, i) & & (y, j) \\ | & & | \\ (x, i') & \text{---} & (y, j') \end{array}$$

The quotient set $M := S / \sim$ carries an atlas \mathcal{A} (satisfying the condition (3') of Def. 2.1), with index set I , and charts defined by $U_i := \{[x, i] \mid x \in V_i\}$, $\phi_i([x, i]) := x$.

Proof. Symmetry and reflexivity of \sim are clear. Let us prove transitivity: assume $(x, i) \sim (y, j)$ and $(y, j) \sim (z, k)$. There exist $i' \leq i$, $j' \leq j$, $j'' \leq j$, $k' \leq k$ such that $(i', j'), (j'', k'') \in E$ and $\phi_{i'j'}(y) = x$, $\phi_{j''k''}(z) = y$. Using (4), we let $m := [j'j'']$. By property (Epos) there exist i'' and k'' such that $i'' \leq i'$, $(i'', m) \in E$, $k'' \leq k'$,

$(k'', m) \in E$. By (3) and transitivity of L and E , it follows that $(x, i) \sim (z, k)$. The whole argument is summarized by the following diagram:



Now let $M = S/\sim$ and define the U_i as in the claim. Then each $[x, i] \in M$ belongs to some U_i , so the U_i form a covering of M . Let us show that $\phi : U_i \rightarrow V_i$ is well-defined. When U_i is defined as in the claim, the map $V_i \rightarrow U_i, x \mapsto [x, i]$ is surjective. Let's show that it is injective: assume $[x, i] = [y, i]$, so there exists $i' \leq i, j' \leq i$ with $\phi_{i'j'}(y) = x$. But according to Remark 2.1, this implies $i' = j'$, and so $x = \phi_{i'i'}(y) = y$. It follows that $V_i \rightarrow U_i$ is bijective, and hence its inverse $\phi_i : U_i \rightarrow V_i, [x, i] \rightarrow x$ is well-defined. Moreover, it follows that (using (3) for the last equality)

$$\phi_j(\phi_i^{-1}(x)) = \phi_j[x, i] = \phi_j[\phi_{j'i'}(x), j] = \phi_{j'i'}(x) = \phi_{ji}(x),$$

so the ϕ_{ij} indeed describe the transition functions between the charts. This implies that, if $(i, j) \in E$, then $U_i = U_j$.

Let's show that (3') from Def. 2.1 holds: assume $[x, i] = [y, j] \in (U_i \cap U_j)$. There exist $i' \leq i, j' \leq j$ such that $(i', j') \in E$ (whence $U_{i'} = U_{j'}$) and $\phi_{i'j'}(y) = x$. Thus (3') holds by taking $m = i'$. \square

Remark 3.1. We get “almost” an equivalence between atlases and atlas data, the only difference being that, starting with (1), (2), (3) from Def. 2.1, we only recover (1), (2), (3'). The reason for this is that, in the procedure of reconstructing, we loose control over size of chart intersections (we just require that they are non-empty). It would be a bit technical to impose conditions allowing to keep such control, and we refrain from this.

Theorem 3.3. *Assume that atlas data are topological and equip M with the final topology with respect to all maps $\phi_i^{-1} : V_i \rightarrow M$ (so $U \subset M$ is open iff, for all $i \in I$, the set $\phi_i(U \cap U_i)$ is open in V). Then all charts $\phi_i : U_i \rightarrow V_i$ are homeomorphisms.*

Proof. The maps ϕ_i^{-1} are continuous by definition of the topology on M . To see that $\phi_j : U_j \rightarrow V_j$ is continuous, let $W \subset V_j$ be open. We have to show that, for all $i \in I$, the set $Z := \phi_i(U_i \cap \phi_j^{-1}(W))$ is open in V_j . When $(i, j) \in E$, then we have $Z = \phi_{ij}(W)$, which is open since ϕ_{ij} is a homeomorphism. Else, using property (3') of Definition 2.1, restrict to smaller charts i', j' with $(i', j') \in E$, and the same argument implies that each point of Z is an inner point, and so Z is open. \square

See [BeNe05], Theorem 5.3, for examples of smooth manifolds obtained by the construction described in the theorem. Note that, already for usual, real manifolds, M need not be Hausdorff, even if V is Hausdorff. A simple counter-example is given by atlas data $V_1 = V_2 = \mathbb{R}, V_{12} = \mathbb{R}^\times, \phi_{12}(x) = x$, so M is “ \mathbb{R} with origin doubled”.

Theorem 3.4. *Assume M has an atlas \mathcal{A} of type $G = (G_0, G_1)$, and assume that T is a functor from G to a pseudogroup $H = (H_0, H_1)$ acting on a space $W = TV$. Then we may define a manifold TM with atlas $T\mathcal{A}$ given by all $(TV_k, T\phi_{ij})_{k \in I, (i,j) \in E}$, which is modelled on W and of type H .*

Proof. $T\mathcal{A} = (TV_k, T\phi_{ij})_{k \in I, (i,j) \in E}$ are again atlas data, for the same e-pos (I, E, L) , and hence give rise, by the preceding theorem, to an atlas. \square

For instance, if all ϕ_{ij} are smooth, then T may be the tangent functor, or any other Weil functor. Thus one constructs the tangent bundle, or other Weil bundles, of a manifold M (cf. [BeS14]).

4. EXAMPLES; MAXIMAL ATLASES

From an economical viewpoint, one is interested in keeping atlases of manifolds as small as possible. On the other hand, for theoretical purposes, most mathematicians are used to work with *maximal* atlases.

Example 4.1. An atlas is trivial, $I = \{e\}$ and $\phi_{ee} = \text{id}$, if and only if $M = U_e = V_e \subset V$, with just one chart.

Example 4.2. An atlas has e-pos of the form given in Example 2.1 (sphere) iff M arises from “gluing together” two subsets of V along certain proper subsets which are identified via a bijection ϕ .

Example 4.3. Consider the e-pos with 12 elements belonging to the projective plane (Introduction and Example 2.2), and let $V = V_0 = V_1 = V_2 = \mathbb{K}^2$, and

$$\begin{aligned} V_{01} = \{(u, v) \mid u \neq 0\} = V_{02}, \quad V_{12} = \{(u, v) \mid v \neq 0\}, \quad V_{012} = \{(u, v) \mid u \neq 0, v \neq 0\}. \\ \phi_{01}(u, v) = (u^{-1}, u^{-1}v), \\ \phi_{02}(u, v) = (u^{-1}v, u^{-1}), \\ \phi_{12}(u, v) = (v^{-1}u, v^{-1}). \end{aligned}$$

By direct computation, the reader may check that each of these maps is of order two (so $\phi_{\nu\mu} = (\phi_{\mu\nu})^{-1} = \phi_{\mu\nu}$) iff in \mathbb{K} the identities

$$(x^{-1})^{-1} = x, \quad x(x^{-1}y) = y$$

are satisfied. Likewise, we have $\phi_{01} \circ \phi_{12} = \phi_{02}$ on V_{012} iff, moreover in \mathbb{K} we have:

$$(xy)^{-1}x = y^{-1}.$$

Now, it is well-known that these identities hold in any alternative field (in particular, for $\mathbb{K} = \mathbb{O}$, the octonions). Thus our reconstruction theorem implies that for all alternative fields we may glue together copies of \mathbb{K}^2 to get a projective plane over \mathbb{K} . (Essentially, this way of constructing the octonion plane is the one described by Aslaksen, [As91].) If \mathbb{K} is associative, similar formulas permit to describe the groupoid of transition functions. It is special for this example that this groupoid embeds into a finite subgroup of the projective group $\mathbb{PGL}(n+1; \mathbb{K})$.

Example 4.4. Assume $M = V$. The biggest possible atlas is given by *all* possible local bijections of V , that is, $I = \mathcal{B}_{loc}(V)$, where an index g is identified with the chart $g : \text{dom}(g) \rightarrow \text{im}(g)$ it describes. Then L is given by inclusion: $f \leq g$ if f is a restriction and corestriction of g , and

$$E = \{(f, g) \in \mathcal{B}_{loc}(V)^2 \mid \text{dom}(f) = \text{dom}(g)\}$$

The transition functions are $\phi_{fg}(x) = fg^{-1}(x)$. It follows that the morphism of the e-pos (I, E, F) to $(\mathcal{P}(V), \mathcal{B}_{loc}(V))$ is given by

$$I \rightarrow \mathcal{P}(V), f \mapsto \text{im}(f), \quad E \rightarrow \mathcal{B}_{loc}(V), (f, g) \mapsto f \circ g^{-1}.$$

This atlas is *maximal* in the sense to be described next. If V carries a topology, then of course one will take only open sets and homeomorphisms.

Example 4.5. If $M = V$, we may also take $I = \text{Bij}(V)$, the group of all bijections (or some subgroup, like the group $\text{Gl}(V)$ if V is a linear space). Then $E = I \times I$, and L is trivial. Transition functions are as in the preceding example.

Theorem 4.1. *Assume \mathcal{A} is a G -atlas on M , modelled on V , where G is a pseudogroup of transformations on V . Then there exists a maximal G -atlas $\tilde{\mathcal{A}}$ containing \mathcal{A} , which is defined by:*

$$\tilde{\mathcal{A}} = \left\{ (U, \phi) \mid \begin{array}{l} \phi : U \rightarrow W \text{ bijection, } W \in G_0, U \subset M, \\ \forall i \in I : [\phi_i \circ \phi^{-1} : \phi(U \cap U_i) \rightarrow \phi_i(U \cap U_i)] \in G_1 \end{array} \right\}$$

Proof. This statement is standard in many differential geometry textbooks (e.g., [KoNo63], p.2). The main point is to check that $\phi \circ \psi^{-1} \in G_1$, for any two charts ϕ, ψ having same domain (or having non-empty intersection). This is locally true, by intersecting with suitable charts from \mathcal{A} , and the local-to-global property (PsG) of a pseudogroup (Def. A.2) permits to conclude that $\phi \circ \psi^{-1} \in G_1$. We leave it to the reader to formalize these arguments (much like the proof of Theorem 3.2). \square

Definition 4.2. *A (V, G) -manifold is a set M with a maximal G -atlas. A smooth manifold (over \mathbb{K}) is a (V, G) -manifold, where V is a topological \mathbb{K} -module over a topological ring \mathbb{K} , and G the pseudogroup of locally defined diffeomorphisms of V .*

5. FROM MORPHISMS TO MORPHISM DATA

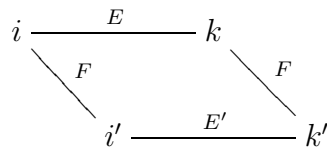
In this section, assume (M, \mathcal{A}, V) and (M', \mathcal{A}', V') are manifolds with atlas, and $f : M \rightarrow M'$ a map. We describe f with respect to the atlases.

Definition 5.1. *Let (I, E, L) , resp. (I', E', L') be the e-pos of M , resp. of M' . Then f induces binary relations $F \subset (I \times I')$ and $R \subset (E \times E')$ by*

$$F := \{(i', i) \in I \times I \mid f(U_i) \subset U_{i'}\},$$

$$R := \{(i, k), (i', k') \in E \times E' \mid (i', i), (k', k) \in F\}.$$

Elements $(i, k, i', k') \in R$ may be represented by a parallelogram:



Lemma 5.2. *The relation F respects the partial order L in the sense that:*

- (1) *if $(i', i) \in F$ and $k \leq i$, then $(i', k) \in F$,*
- (2) *if $(i', i) \in F$ and $i' \leq m'$, then $(m', i) \in F$.*

Proof. (1) $f(U_i) \subset U_{i'}$ and $U_k \subset U_i$ implies $f(U_k) \subset U_{i'}$. (2): similar. \square

Definition 5.3. *Whenever $(i', i) \in F$, we define the (i', i) -component of f by*

$$f_{i'i} := \phi_{i'} \circ f \circ \phi_i^{-1} : V_i \rightarrow V_{i'}.$$

Lemma 5.4. *Whenever $((i, k), (i', k')) \in R$, then $\phi_{k'i'} \circ f_{i'i} = f_{k'k} \circ \phi_{ki}$:*

$$\begin{array}{ccc} V_i & \xrightarrow{f_{i'i}} & V_{i'} \\ \downarrow \phi_{ik} & & \downarrow \phi_{k'i'} \\ V_k & \xrightarrow{f_{k'k}} & V_{k'} \end{array}$$

Proof. Immediate from the definition of the ϕ_{ij} and $f_{j'i}$. \square

If we want to reconstruct f from the data $(f_{i'i})_{(i', i) \in F}$, then every x must admit a neighborhood on which f is described by some component. This is not automatic – it is a condition, very much like “ordinary continuity”:

Definition 5.5. *We say that f is atlas-continuous if, for all $x \in M$ and all $j \in I'$ with $f(x) \in V_j$, there exists $i \in I$ with $x \in V_i$ and $f(V_i) \subset V_j$ (that is, $(j, i) \in F$).*

Remark 5.1. If the atlas of M is saturated, then this condition amounts to saying that f is continuous in the usual sense.

6. FROM MORPHISM DATA TO MORPHISMS

We shall reconstruct f from the “morphism data” f_{ij} . More precisely, we shall see that *morphism data* are certain natural relations of certain ordered groupoids (cf. Def. B.1). Written out, this means:

Definition 6.1. *Assume $(I, E, L, (\phi_{ij})_{(i,j) \in E})$ and $(I', E', L', \phi_{i'j'})_{(i',j') \in E'}$ are atlas data belonging to manifolds with atlases $(M, \mathcal{A}), (M', \mathcal{A}')$. Morphism data between them are given by: a special natural relation (F, R) between the e-pos (I, E, L) and (I', E', L') , that is, a pair of binary relations $(F, R) \subset (\mathcal{P}(I \times I') \times \mathcal{P}(E \times E'))$ such that: whenever $((i, k), (i', k')) \in R$, then $(i', i) \in F$ and $(k', k) \in F$, and, for each $(i', i) \in F$, a map $f_{i'i} : V_i \rightarrow V_{i'}$ such that, whenever $((i, k), (i', k')) \in R$, then*

$$\begin{array}{ccc} V_i & \xrightarrow{f_{i'i}} & V_{i'} \\ \downarrow \phi_{ik} & & \downarrow \phi_{k'i'} \\ V_k & \xrightarrow{f_{k'k}} & V_{k'} \end{array} \quad (*)$$

Moreover, the following restriction and co-restriction properties shall be satisfied:

- (1) *if $(i', i) \in F$ and $k \leq i$, then $(i', k) \in F$ and $f_{i'k} = f_{i'i}|_{V_k}$,*
- (2) *if $(i', i) \in F$ and $i' \leq m'$, then $(m', i) \in F$ and $\forall x \in V_i: f_{i'i}(x) = f_{m'i}(x)$.*

Morphism data are called full if

$$\forall k \in I, \forall x \in U_k: \exists i \leq k, \exists i' \in I': x \in U_i, (i', i) \in F.$$

Theorem 6.2. *Let $(M, \mathcal{A}), (M', \mathcal{A}')$ be manifolds with atlas. Then, given full morphism data, there is a unique map $f : M \rightarrow M'$ such that, whenever $x \in M, (i', i) \in F$ and $x \in U_i,$*

$$f(x) = \phi_{i'}^{-1}(f_{i'i}(\phi_i(x))).$$

Proof. Uniqueness is clear from the last formula, since (by the fullness condition) for every $x \in M$ there exist $(i', i) \in F$ with $x \in U_i$. To prove existence, we define $f(x)$ by that formula, with respect to some choice of $(i', i) \in F$ with $x \in U_i,$ and we have to prove that with respect to another such choice, $(j', j),$

$$\phi_{i'}^{-1}(f_{i'i}(\phi_i(x))) = \phi_{j'}^{-1}(f_{j'j}(\phi_j(x))).$$

If $(i, j) \in E$ and $(i', j') \in E',$ so $((i, j), (i', j')) \in R,$ then this follows from $\phi_{j',i'} \circ f_{i'i} = f_{j'j} \circ \phi_{ji}.$ If (i, j) or (i', j') are not in $E,$ resp. $E',$ then using fullness and restriction and corestriction properties, we may find smaller charts with the corresponding property, and we get the same result. \square

Example 6.1. Assume that $M = M'.$ Then $f_{ij} := \phi_{ij}$ defines morphism data. The preceding theorem shows that these morphism data belong to the identity map $\text{id}_M.$ Thus one may say that manifold data are “morphism data of a would-be-identity”.

Definition 6.3. *Morphism data, and morphisms, are said to have some property, such as smoothness, if all components f_{ij} have this property.*

Theorem 6.4. *If g and f are (smooth) composable morphisms (having some property, such as smoothness) of manifolds with atlas, then so is $g \circ f.$*

Proof. One has to check that $(g \circ f)_{\ell i} = g_{\ell k} \circ f_{ji},$ together with the relation $G \circ F$ from I to $I'',$ define (smooth) morphism data. No new ideas are involved here, and we may leave it to the reader. \square

One may say that the proof of Theorem 6.4 consists of putting diagrams of the type (*) from Def. 6.1 next to the other (horizontally), and thus define new diagrams. But one may also put them one over the other (vertically), and this again defines new diagrams. Indeed, this can be done for general natural relations (appendix B), and then defines a *double category.* However, in the present case the second category structure is not relevant (since atlas data are a “would-be-identity” morphism, as said above, and hence the second composition law somehow only reflects the composition of identity maps). Nevertheless, these remarks show that morphisms of manifolds sit inside very natural and bigger double categories. There is also a link with *2-categories:*

Example 6.2. Consider the case $V = M, V' = M'$ with their atlases described in example 4.4. Let $f : V \rightarrow V'$ be a map (continuous if data are topological). Then $\phi_{gh}(x) = gh^{-1}(x)$ and

$$f_{k\ell}(x) = kf\ell^{-1}(x).$$

Following the standard terminology from matrix theory, let us say that all components $f_{k\ell}$ are *equivalent* to each other. In our example, f can be recovered directly

from its equivalent pictures, via $f = f_{\text{id}_{V'}, \text{id}_V}$. For a general manifold, there is no such formula, and one has to use the definition given in Theorem 6.2.

Example 6.3. Assume now that, with notation as in the preceding example, $V = V'$. Then we may recover f already from the restricted data $f_{kk} = kfk^{-1}$. Following usual terminology from the linear case, we say that these components are *similar* to each other.

Definition 6.5. *With notation as in Definition 6.1 and Theorem 6.2, assume that $M = M'$, $V = V'$, $\mathcal{A} = \mathcal{A}'$. Then the collection $(f_{k'k})$ with $(k', k) \in F$ such that $k \leq k'$ are called restricted morphism data, or similarity data.*

Morally, if f is “sufficiently close to the identity map of M ”, then f can be recovered from its restricted morphism data, as in Theorem 6.2, just as in linear algebra, where we describe *endomorphisms* by using the *same* base in the domain and in the range space.

7. COMMENTS

Some short remarks and comments on related topics and open problems:

- (1) (Finite atlases.) Like projective spaces (example 4.3), many algebraic varieties come together with, more or less “canonical”, finite atlases. In particular, this is true for *Grassmann and Lagrangian varieties*, and more generally, *symmetric R-spaces* (the “Jordan geometries” from [BeNe05, Be14]) where such atlases have a direct relation with Jordan theory. It should be interesting to describe and study the interaction between the abstract theory and the combinatorial and algebraic structure of such atlases.
- (2) (Conceptual calculus.) As said in the introduction, this is the starting point for the present work ([Be15a]). The *first order difference groupoid* $M^{\{1\}}$ of a manifold M (cf. loc. cit.) is a natural example for the following item:
- (3) (3-categories.) As said above, 2-categories are naturally related to the present approach. What about 3-categories? When the space M carries itself the structure of some kind of groupoid or pregroupoid (e.g., principal bundles, Lie groupoids), then such structure together with those described in the present work should be compatible, and thus give rise to (strict) higher order categories.
- (4) (Categorical aspects.) For a discussion of purely categorial aspects of the notion of manifolds and their morphisms, see the *n-lab*, in particular <https://ncatlab.org/nlab>. Our definition of manifolds via e-poses is also related to Lawson’s construction of ordered groupoids via *combinatorial groupoids*, [La05].

APPENDIX A. ORDERED GROUPOIDS

Notation. I use notation as in [Be15a], Appendix B: a *groupoid* is an algebraic structure $G = (G_0, G_1, \pi_1, \pi_0, \delta, *)$, where G_0 is the set of *objects*, G_1 is the set of *morphisms*, $\pi_1 : G_1 \rightarrow G_0$ the *target*, and $\pi_0 : G_1 \rightarrow G_0$ the *source projection*, $\delta : G_0 \rightarrow G_1$ the *unit section*, and $*$: $G_1 \times_{G_0} G_1 \rightarrow G_1$ the composition map. Our convention is that $g * h$ is defined iff $\pi_1(h) = \pi_0(g)$. The *inverse* of g is denoted

by g^{-1} . A *small category* (*small cat*) is defined like a groupoid, without assuming existence of inverses.

The definition of *ordered groupoid* goes back to Charles Ehresmann, see references in [La05]. The following form of the axioms is taken from [AGM14]:

Definition A.1. An ordered groupoid is a groupoid $(G_0, G_1, \pi_0, \pi_1, \delta, *)$ together with partial order relations L (or \leq) on the sets G_0 and on G_1 , such that:

- (OG0) $\forall x, y \in G_0: x \leq y$ iff $\delta(x) \leq \delta(y)$,
- (OG1) $g \leq h$ iff $g^{-1} \leq h^{-1}$,
- (OG2) if $g \leq h, g' \leq h'$ and if $g * g'$ and $h * h'$ are defined, then $g * g' \leq h * h'$,
- (OG3) for all morphisms $g : x \rightarrow y$ and all objects x' with $x' \leq x$, there exists a unique morphism $g' : x' \rightarrow y'$ with $g' \leq g, y' \leq y$:

$$\begin{array}{ccc} x & \xrightarrow{g} & y \\ \left| \begin{array}{c} L \\ \dots \\ L \end{array} \right. & & \left. \begin{array}{c} \dots \\ L \end{array} \right. \\ x' & \xrightarrow{\dots g' \dots} & y' \end{array}$$

One may think of g' as a kind of *restriction of g to x'* .

Remark A.1. Every partially ordered set gives rise to a small category (subcat of the pair groupoid), and hence the set $[x] = \{x' \mid x' \leq x\}$ is the object set of a small cat. Every $g \in G_1$ then defines a functor from $[\pi_0(g)]$ to $[\pi_1(g)]$.

Example A.1. (e-poses) Recall that an equivalence relation E on a set I is the morphism set of a groupoid with object set I . Thus an e-pos (I, E, L) (Definition 1.1) is an ordered groupoid. Here the partial order on E is completely determined by the partial order on I : necessarily, $(i', j') \leq (i, j)$ iff $[i' \leq i$ and $j' \leq j]$.

Example A.2. (local bijections) Recall that *endorelations on a set V* form a small category $(C_0, C_1) = (\mathcal{P}(V), \mathcal{P}(V \times V))$. Morphisms are relations $R \subset (V \times V)$ with composition being relational composition, source and target

$$\begin{aligned} \pi_0(R) &= \text{dom}(R) = \{x \in V \mid \exists y \in V : (y, x) \in R\} \\ \pi_1(R) &= \text{im}(R) = \{y \in V \mid \exists x \in V : (y, x) \in R\}. \end{aligned}$$

Objects and morphisms carry a natural partial order L given by inclusion of sets. The *full pseudogroup of V* , or *groupoid of local bisections of the pair groupoid of V* , is the subcat $(\mathcal{P}(V), \mathcal{B}_{loc}(V))$ of (C_0, C_1) whose morphisms are precisely the *local bijections*, that is, the *injective and locally functional* relations R :

$$(y, x), (y', x), (y, x') \in R \quad \Rightarrow \quad y = y', x = x'.$$

These are the graphs Γ_f of bijections $f : V' \rightarrow V''$ with $V', V'' \subset V$, and $f \leq g$ means that f is a restriction of g . Properties (OG0) – (OG3) are easily checked.

Example A.3. (pseudogroups of smooth maps) Assume now that V carries additional structure, e.g., V is a topological vector space over a topological field \mathbb{K} . Then we may define the subgroupoid of $\mathcal{B}_{loc}(V)$ of all locally defined diffeomorphisms of class C^k : its objects are open subsets of V , and its morphisms graphs

of C^k -diffeomorphisms $f : V' \rightarrow V''$ with V', V'' open in V . It is again an ordered groupoid, since restriction (together with co-restriction to the image) of f is again a C^k -diffeomorphism. This defines an ordered groupoid $\mathcal{B}_{loc}^k(V)$, called the *pseudogroup of local C^k -diffeomorphisms*. Similarly, any kind of structure on V with structure preserving maps that can be restricted (and co-restricted) to suitable subsets, defines a certain pseudogroup, which is an ordered subgroupoid of $\mathcal{B}_{loc}(V)$. If the property is defined by “local” properties (such as smoothness), the following pseudogroup property is ensured:

Definition A.2. A subgroupoid $G = (G_0, G_1)$ of the full pseudogroup $(\mathcal{P}(V), \mathcal{B}_{loc}(V))$ is called a pseudogroup of transformations of V if:

(PsG) assume that $U = \cup_{\alpha \in J} U_\alpha$ with all $U_\alpha \in G_0$ and that $f : U \rightarrow U' \subset V$ is a bijection such that $\forall \alpha \in J: f|_{U_\alpha} \in G_1$. Then $f \in G_1$.

APPENDIX B. NATURAL RELATIONS BETWEEN GROUPOIDS

Assume $G = (G_0, G_1)$ and $G' = (G'_0, G'_1)$ are groupoids. A *morphism* between G and G' , or *functor*, is a pair of maps $h_0 : G_0 \rightarrow G'_0$, $h_1 : G_1 \rightarrow G'_1$ preserving all structures. However, there are other ways to turn groupoids into a category, such as the following:

Definition B.1. A natural relation between G and G' is given by a pair (F, K) of binary relations on objects, and a binary relation R on morphisms

$$(F, K) \in \mathcal{P}(G'_0 \times G_0)^2, \quad R \in \mathcal{P}(G'_1 \times G_1)$$

such that:

(NR) if $(g, h) \in R$, then letting $x := \pi_0(g)$, $x' := \pi_0(g')$, $y := \pi_1(g)$, $y' := \pi_1(h)$, we have $(x, x') \in F$ and $(y, y') \in K$:

$$\begin{array}{ccc} x & \xrightarrow{g} & y \\ & \searrow F & \searrow K \\ & & x' & \xrightarrow{h} & y' \end{array}$$

If, moreover, $F = K$, we speak of a special natural relation.

Remark B.1. Condition (NR) implies that, under relational composition,

$$\pi_0 \circ R = F \circ \pi_0, \quad \pi_1 \circ R = K \circ \pi_1.$$

Example B.1. In an ordered groupoid, L is a special natural endorelation of G . If we wanted to draw diagrams of natural relations between ordered groupoids, we would need a third dimension for representing them!

It should be clear that composition of natural relations gives again a natural relation: if $(F, K; R), (F', K'; R')$ are natural between G and G' , resp. between G' and G'' , then $(F' \circ F, K' \circ K; R' \circ R)$ is natural between G and G'' . For the purposes of the present paper, this remark suffices. However, it is certainly useful to note that just as for natural transformations in general category theory, there are in fact *two* compositions, and that we get a *double category* (see, e.g., [Be15a, Be15b] for definitions):

Theorem B.2. *The set $\mathcal{N}(G)$ of natural relations on a groupoid G forms (the set of 2-morphisms of) a small double category*

$$\begin{array}{ccc} \mathcal{N}(G) & \rightrightarrows & \mathcal{P}(G_1) \\ \Downarrow & & \Downarrow \\ \mathcal{P}(G_0 \times G_0) & \rightrightarrows & \mathcal{P}(G_0) \end{array}$$

where the two compositions on 2-morphisms are given by:

- (1) *relational composition: if $(F, K; R)$ and $(F', K'; R')$ are composable pairs of natural relations, then $(F' \circ F, K' \circ K; R' \circ R)$ is again a natural relation,*
- (2) *pointwise $*$ -composition: if $(F, K; R)$ and $(F', K'; R')$ are natural relations on the same groupoid G and $K = F'$, let $R' * R :=$*

$$\left\{ (g'', h'') \in G_1 \times G_1 \mid \begin{array}{l} \exists (g', h') \in R', (g, h) \in R, \\ (g', g), (h', h) \in G_1 \times_{G_0} G_1, g'' = g' * g, h'' = h' * h \end{array} \right\}.$$

Then $(F, K'; R' * R)$ is again a natural relation.

Proof. The shortest proof is probably by remarking first that the *pair groupoid functor* \mathbf{PG} applied to a small category $C = (C_0, C_1)$, yields a small double cat $\mathbf{PG}(C)$:

$$\begin{array}{ccc} C_1 \times C_1 & \rightrightarrows & C_1 \\ \Downarrow & & \Downarrow \\ C_0 \times C_0 & \rightrightarrows & C_0. \end{array}$$

(Indeed, \mathbf{PG} is a *cat rule* in the sense of Appendix B in [Be15b].) Applying the power set functor \mathcal{P} to this, we get again a small double cat $\mathcal{P}(\mathbf{PG}(C))$. Now observe that the structure defined in the theorem, when $G = C$, is nothing but a sub-double cat of this small cat. Indeed, composition of 2-morphisms corresponds to putting parallelograms as depicted in Def. B.1, side by side, resp. one over the other. \square

It is obvious that the special natural endorelations of G form a sub-doublecat, where the upper horizontal double arrows are replaced by a single arrow. On the other hand, to every natural endorelation $(F, K; R)$ we may associate a relation \check{R} between objects and morphisms of C by restricting to units:

$$\check{R} := \{(x, g) \in G_0 \times G_1 \mid (\delta(x), g) \in R\},$$

and define $\check{\mathcal{N}}(G) \subset \mathcal{P}(G_0 \times G_1) = \{\check{R} \mid R \in \mathcal{N}(G)\}$. The relation \check{R} is the precise analog of what one might call a *natural transformation from the functor $F : C_0 \rightarrow C'_0$ to the functor $K : C_0 \rightarrow C'_0$* . Just as natural transformations form a (strict) 2-category, so does $\check{\mathcal{N}}(G)$:

$$\begin{array}{ccc} \check{\mathcal{N}}(G) & \rightrightarrows & \mathcal{P}(G_1) \\ & \searrow & \Downarrow \\ & & \mathcal{P}(G_0). \end{array}$$

Remark B.2. It seems that the concept of natural relation has so far not yet been considered in category theory (the reason might be that people generally work in the context of “large” categories, where one is not used to consider binary relations that are not necessarily functional). See, however, a short remark in the *n*-lab, <https://ncatlab.org/nlab/show/natural+transformation> (“An alternative but ultimately equivalent way...”), pointing into the direction pursued here.

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