



**HAL**  
open science

# INFLUENCE OF DE-COHERENCE EFFECTS ON SONAR ARRAY GAIN: SCLAED EXPERIMENT, SIMULATIONS AND SIMPLIFIED THEORY COMPARISON

Gaultier Real, Xavier Cristol, Dominique Habault, Jean-Pierre Sessarego,  
Dominique Fattaccioli

► **To cite this version:**

Gaultier Real, Xavier Cristol, Dominique Habault, Jean-Pierre Sessarego, Dominique Fattaccioli. INFLUENCE OF DE-COHERENCE EFFECTS ON SONAR ARRAY GAIN: SCLAED EXPERIMENT, SIMULATIONS AND SIMPLIFIED THEORY COMPARISON. UACE2015 3rd Underwater Acoustics Conference & Exhibition , Jun 2015, Chania, Greece. hal-01313631

**HAL Id: hal-01313631**

**<https://hal.science/hal-01313631v1>**

Submitted on 10 May 2016

**HAL** is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

# INFLUENCE OF DE-COHERENCE EFFECTS ON SONAR ARRAY GAIN: SCLAED EXPERIMENT, SIMULATIONS AND SIMPLIFIED THEORY COMPARISON.

Gaultier Real<sup>a,b</sup>, Xavier Cristol<sup>b</sup>, Dominique Habault<sup>a</sup>, Jean-Pierre Sessarego<sup>a</sup>, and Dominique Fattaccioli<sup>c</sup>

<sup>a</sup>: Laboratoire de Mécanique et d'Acoustique (LMA), CNRS, 31 Chemin Joseph Aiguier, 13402 Marseille cedex 20, France, mail : [real@lma.cnrs-mrs.fr](mailto:real@lma.cnrs-mrs.fr), [habault@lma.cnrs-mrs.fr](mailto:habault@lma.cnrs-mrs.fr), [sessarego@lma.cnrs-mrs.fr](mailto:sessarego@lma.cnrs-mrs.fr).

<sup>b</sup>: Thales Underwater Systems SAS, 525 route des Dolines, 06903 Sophia-Antipolis, France, mail : [xavier.cristol@fr.thalesgroup.com](mailto:xavier.cristol@fr.thalesgroup.com).

<sup>c</sup>: DGA Naval Systems, avenue de la Tour Royale, BP 40915, 83050 Toulon Cedex, France, mail : [dominique.fattaccioli@intradef.gouv.fr](mailto:dominique.fattaccioli@intradef.gouv.fr).

**Abstract:** *Our study focuses on the subject of acoustic wave propagation through spatially fluctuating ocean. The fluctuations are here linear internal waves (LIW) and we developed an experimental protocol in water tank in order to reproduce the effects of LIW on ultrasound propagation. The present paper gathers the results obtained in terms of coherence function (second-order moment) for various configurations. Typical regimes of the  $\Lambda\Phi$  plane developed by Flatté were explored, resulting into coherence function becoming narrower as the saturation increases. We also relate the coherence function to an array gain degradation parameter,  $\delta AG$ , which accounts for how the system performance will be mitigated in a given configuration.  $\delta AG$  was calculated for various sizes of vertical linear array (VLA) and showed an important dependence on the VLA's length. Typically, in any case (scaled experiment, computer simulations and simplified theory), we note that the longer the VLA, the greater the corresponding  $\delta AG$ . Moreover, as the saturation induced by medium fluctuations increases,  $\delta AG$  increases as well. This highlights the need for corrective signal processing techniques when large VLAs are used in a fluctuating environment. Signal processing techniques from various domains (e.g. adaptive optics, radio) are also studied.*

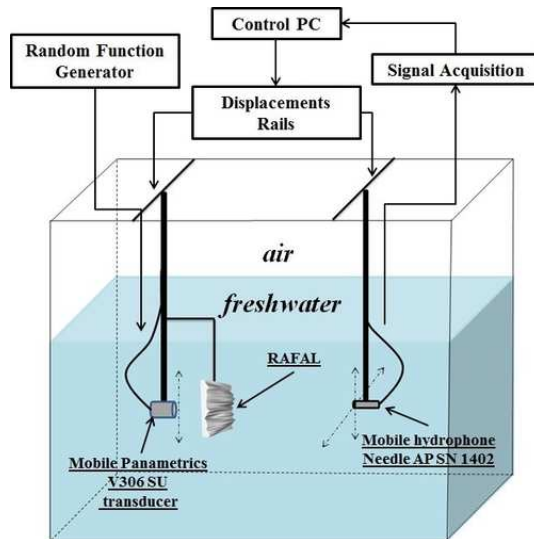
**Keywords:** *coherence, array gain, tank experiment, acoustic fluctuations, internal waves.*

## 1. INTRODUCTION.

Since the early XVIII<sup>th</sup> century, scientists studied the limitations of systems performance due to medium fluctuations [1]. The topic of wave propagation through randomly fluctuating media is addressed in many references [2,3]. The influence of these fluctuations on the system performance (detection, localization) is critical in acoustics (in air and underwater) [4-7]. A novel experimental protocol was proposed in [8] and detailed in a companion paper [9]: it allows to isolate the fluctuations due to LIW from other sources of signal de-coherence (scattering from the sea surface or the seabed) and provide reproducibility and control. Calculations of the second-order moment (or mutual coherence function, MCF) are proposed in this paper, using the experimental data acquired in our scaled experiment. A parameter accounting for the array gain degradation is deduced from the MCF [10]. These results are compared, with a satisfying agreement, with simulations [11,12], empirical calculations [6] and simplified theory [13].

## 2. EXPERIMENTAL PROTOCOL.

The experiments conducted here follow the scheme described in reference [9]. An ultrasonic signal ( $f=2.25\text{MHz}$ ) is propagated through the RAFAL (manufactured as presented in reference [9]), and the measurement of the acoustic pressure field throughout specific regions of the three-dimensional space is conducted. A diagram of the experimental configuration is given in Fig.2:



*Fig.1: Experimental configuration diagram.*

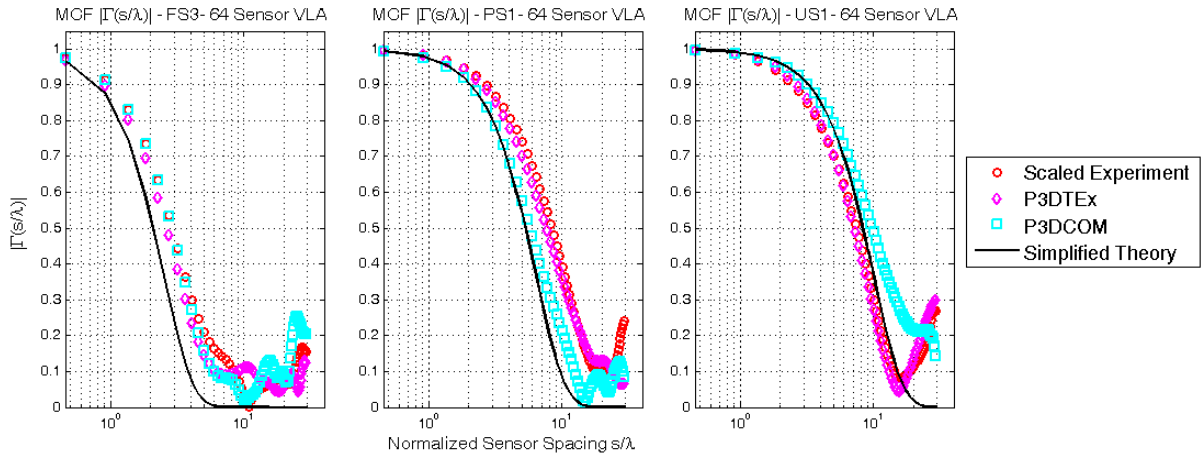
The configurations studied spanned from the unsaturated regime ( $US_i$ ) to the fully saturated regime ( $FS_i$ ) through the partially saturated regime ( $PS_i$ ) defined in [14]. In this paper, the index  $i$  increases with increasing saturation.

### 3. COHERENCE FUNCTION

The mutual coherence function (MCF) is often used to evaluate the correlation of the acoustic wave received by a linear array. The interspectral matrix is first computed, then averaged across the iso-spaced sensors, leading to a function of the sensor spacing  $\Gamma(l)$ , such that [5,15]:

$$\Gamma(l) = \left\langle \left\langle \frac{p(n)p^*(n+l)}{|p(n)||p(n+l)|} \right\rangle_N \right\rangle_{N_r}. \quad (1)$$

Four calculations are proposed: the simplified theoretical results [13], the scaled experiments results, and simulations from PE codes (Propagation in 3D Tank Experiment configuration – P3DTE<sub>x</sub>, Propagation in 3D Corresponding Ocean Medium – P3DCOM). The results in terms of MCF are satisfying: in the fully saturated regime, the simulations match the scaled experiments results. The simplified theory provides a narrower coherence function, meaning that it overestimates the de-coherence. In the partial saturation case, similar conclusions can be drawn (though P3DCOM is close to the simplified theory case). Finally, the unsaturated case shows a good agreement between all calculations.



*Fig.2: MCF  $|\Gamma(s/\lambda)|$  calculated in full (left), partial saturation (middle) and unsaturation (right).*

The evolution of the MCF is very consistent as a function of the saturation. In fact, a more saturated case leads to a narrower coherence function and, hence, to a more degraded array performance.

#### 4. ARRAY GAIN DEGRADATION.

Following [10], the array gain degradation can be evaluated from the MCF:

$$\delta AG = G_{th} - 10 \log \left( 1 + \sum_{l=1}^N \frac{2(N-l)}{N} \Gamma(l) \right), \quad (2)$$

where  $G_{th} = 10 \log(N)$  is the theoretical array gain, and  $N$  is the number of sensors. The results obtained with this calculation are presented in Figure 3. They are compared with the same calculations as the MCF, and also to the results obtained by Fattaccioli et al. [6], given by:

$$\delta AG = \begin{cases} 10 \log \left( \frac{L_a}{L_v / \lambda} \right) - 4 & \text{if } L_a > 5L_v / \lambda \\ 0.9 \left( \frac{L_a}{L_v / \lambda} \right) - 0.6 & \text{if } L_v / \lambda \leq L_a \leq 5L_v / \lambda, \\ \frac{1}{3} \left( \frac{L_a}{L_v / \lambda} \right)^{1.8} & \text{if } L_a < L_v / \lambda \end{cases}, \quad (3)$$

where  $L_a$  is the array length (expressed as a function of the normalized sensor spacing  $s / \lambda$  and  $L_v / \lambda$  is the normalized correlation length.

A very good agreement is found in the unsaturated regime for all array lengths in all cases. The simplified theory and empirical calculations are in very good agreement. Our scaled experiment results are consistent with the simulations carried out. Despite some differences between simplified theory, empirical calculations and our measurements and simulations in the other saturation regimes, the evolution of  $\delta AG$  is very consistent throughout the cases studied: the effect of increasing fluctuations is noticed on the AG degradation. We also notice the critical influence of the array length: as anticipated, the longer the VLA the more important the AG degradation (as predicted by the coherence function).

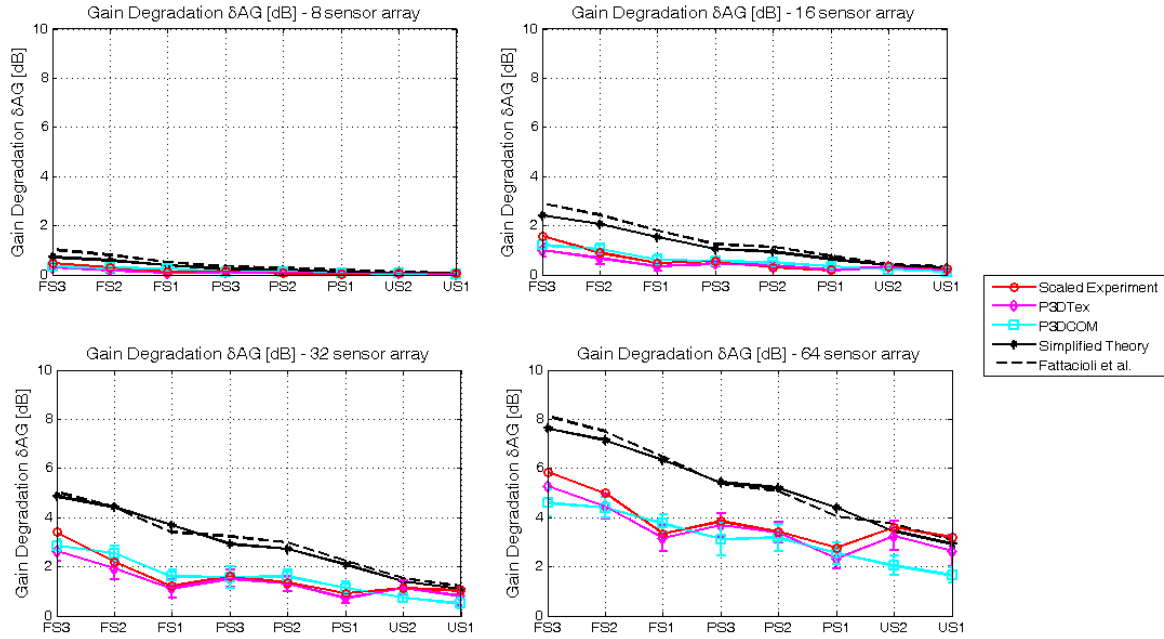


Fig.3: Array gain degradation  $\delta AG$  calculated in all saturation configurations for four VLA sizes (8, 16, 32 and 64 sensors).

## 5. CONCLUSION

In this paper, the array gain degradation due to environmental fluctuations was calculated using the mutual coherence function (or MCF). Theoretical and empirical results were compared to simulations and scaled experiments data, with satisfying agreement. The size of the linear array plays a decisive role in the sensitivity to the medium fluctuations. Indeed, in an unperturbed environment, a large array would perform better than a smaller one, but in our case, the degradation increases with the array size. In order to compensate for the observed degradations, corrective signal processing techniques should be used. Algorithms from other domains (optics, radio [16]) may be tested for underwater acoustic detection.

## ACKNOWLEDGMENT

This work is sponsored by a research grant of the Mission pour la Recherche et l'Innovation Scientifique (DGA – MRIS), the Laboratory of Mechanics and Acoustics (LMA – CNRS) and Thales Underwater Systems.

## REFERENCES

- [1] I.Newton, Opticks, (reprinted by Bell & Sons, London, 1931), p.107-111

- [2] A. Ishimaru. *Wave propagation and scattering in random media, volume 2*, (Academic press, New York, 1978), pp. 346-375.
- [3] V.I. Tatarskii, *The Effects of the Turbulent Atmosphere on Wave Propagation*, (National Technical Information Service, Springfield, VA, 1971), pp. 1-472. York, 2002), pp. 1-259.
- [4] D. K. Wilson, "Performance bounds for acoustic direction-of-arrival arrays operating in atmospheric turbulence," *J. Acoust. Soc. Am.* 103(3), 1306-1319 (1998).
- [5] E.Y. Gorodetskaya, A.I. Malekhanov, A.G. Sazontov, and N.K. Vdovicheva, "Deep-water acoustic coherence at long ranges: Theoretical prediction and effects on large-array signal processing," *IEEE J. Ocean. Eng.* 24(2), 156-171 (1999)
- [6] D. Fattaccioli, X. Cristol, D. F. Picard Destelan, and P. Danet, "Sonar processing performance in random environments," *Proc. Of Underwater Acoustic Measurements (UAM 09)*, 21-26 June 2009, Nafplion, Greece, pp. 1-6.
- [7] Laval R. Laval and Y. Labasque, "Medium inhomogeneities and instabilities: Effects on spatial and temporal processing", *Proc. of the NATO Advanced Study Institute*, 18-29 August 1980, Copenhagen, Denmark, pp. 41-70.
- [8] G. Real, J.-P. Sessarego, X. Cristol and D. Fattaccioli, "De-coherence effects in underwater acoustics: scaled experiments", *Proc. of the 2<sup>nd</sup> Underwater Acoustic Conference*, 22-27 June 2014, Rhodes, Greece, pp. 1-8.
- [9] G. Real, X. Cristol, D. Habault, J.-P. Sessarego and D. Fattaccioli, "RAFAL: Random Faced Acoustic Lens used to model internal waves effects on underwater acoustic propagation", *Proc. Of the 3<sup>rd</sup> Underwater Acoustic Conference*, 22-26 June 2015, Chania, Greece, pp. 1-8.
- [10] R. Ancey, "Spatial coherence of shallow waters acoustic signals"(in French), 4th Colloque sur le traitement du signal et des images, 26-30 April 1973, Nice, France, pp. 1-7.
- [8] P.F. Dobbins, *Degradation of Coherence of Acoustic Signals Resulting from Inhomogeneities in the Sea*, (Ph.D. dissertation, University of Bath (UK), 1989).
- [9] N.P. Chotiros and B.V. Smith, "Sound amplitude fluctuations due to a temperature microstructure," *J. of Sound and Vibration* 64(3), 349-369 (1979).
- [10] P. Blanc-Benon and D. Juvé, "Intensity fluctuations of spherical acoustic waves propagating through thermal turbulence," *Waves in random media* 3(2), 71-83 (1993).
- [11] G. Real, X. Cristol, J.-P. Sessarego and D. Fattaccioli, "Propagation of acoustic waves through a spatially fluctuating medium: theoretical study of the physical phenomena *Proc. of the 2<sup>nd</sup> Underwater Acoustic Conference*, 22-27 June 2014, Rhodes, Greece, pp. 1-6.
- [12] X. Cristol, D. Fattaccioli, and A.S. Couvrat, "Alternative criteria for sonar array-gainlimits from linear internal waves," *Proc. of ECUA 2012 (European Conference on Underwater Acoustics)*, 2-6 July 2012, Edimburg, UK, pp. 1-6.
- [13] R. Dashen, S.M. Flatté, and S.A. Reynolds, "Path-integral treatment of acoustic mutual coherence functions for rays in a sound channel," *J. Acoust. Soc. Am.* 77(5), 1716-1722 (1985).
- [14] R. Dashen, S.M. Flatté, W.H. Munk, K.M. Watson, and F. Zachariasen, *Sound transmission through a fluctuating ocean* (Cambridge University Press, London, 1979), pp. 1-299.
- [15] J.M. Collis, T.F. Duda, J.F. Lynch, and H.A. DeFerrari, "Observed limiting cases of horizontal field coherence and array performance in a time-varying internal wavefield," *J. Acoust. Soc. Am.* 124(3), EL97-EL103 (2008).
- [16] J. Lee, J. Jingon, and Jik K. Dong, "A method for the direction-of-arrival estimation of incoherently distributed sources," *IEEE Transactions on Vehicular Technology*, 57.5 (2008), pp. 2885-2893.