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## Importance of the hydrogen route in up-scaling electrosynthesis for microbial CO<sub>2</sub> reduction

Elise Blanchet,\* François Duquenne, Yan Rafrafi, Luc Etcheverry, Benjamin Erable and Alain Bergel

Microbial electrochemical reduction of  $CO_2$  was carried out under two different applied potentials, -0.36 V and -0.66 V vs. SHE, using a biological sludge as the inoculum. Both potentials were thermodynamically appropriate for converting  $CO_2$  to acetate but only -0.66 V enabled hydrogen evolution. No acetate production was observed at -0.36 V, while up to  $244 \pm 20$  mg  $L^{-1}$  acetate was produced at -0.66 V vs. SHE. The same microbial inoculum implemented in gas-liquid contactors with  $H_2$  and  $CO_2$  gas supply led to acetate production of 2500 mg  $L^{-1}$ . When a salt marsh sediment was used as the inoculum, no reduction was observed in the electrochemical reactors, while supplying  $H_2 + CO_2$  gas led to formate and then acetate production. Finally, pure cultures of *Sporomusa ovata* grown under  $H_2$  and  $CO_2$  gas feeding showed acetate production of up to 2904 mg  $L^{-1}$ , higher than those reported so far in the literature for *S. ovata* implemented in bioelectrochemical processes. Unexpected ethanol production of up to 1411 mg  $L^{-1}$  was also observed. All these experimental data confirm that hydrogen produced on the cathode by water electrolysis is an essential mediator in the microbial electrochemical reduction of  $CO_2$ . Implementing homoacetogenic microbial species in purposely designed gas-liquid biocontactors should now be considered as a relevant strategy for developing  $CO_2$  conversion.

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#### **Broader context**

In the context of  $CO_2$  conversion to fuels and chemicals, the association of electrochemistry with microbial catalysis has opened up promising new routes to reduce  $CO_2$  to acetate and other multi-carbon compounds. The present paper points out that some of these electro-microbial processes are based on two consecutive steps: first the electrochemical production of hydrogen by water electrolysis and, second, the reduction of  $CO_2$  by microbial species that use the hydrogen produced. In consequence, the implementation of homoacetogenic microorganisms with direct  $CO_2$  and hydrogen gas supply should now be considered as a worthwhile strategy for  $CO_2$  conversion. Hydrogen can be produced under optimal conditions by conventional electrolysis, preferentially fed with electrical energy harvested using renewable strategies, and then used to drive  $CO_2$  conversion in an  $H_2$ - $CO_2$  gas-liquid bioreactor.

### 1. Introduction

Bulk chemicals and liquid fuels are currently produced almost exclusively from petrochemical feedstock. In light of emission reduction targets, the production of chemicals from  $CO_2$  or other renewable resources may play an important role in decreasing our environmental impact. There are several advantages of using  $CO_2$  as a reactant, such as unlimited availability (atmosphere, waste gas, etc.), land-independence, ease of handling and limited toxicity. In this framework, electrochemical processes offer various options for converting  $CO_2$  to fuels and commodities. Reducing  $CO_2$  by electrochemistry is a way of converting electrical

energy harvested using renewable strategies, such as solar or wind, into chemical forms that can be stored and then distributed on demand. Various electro-catalysts have been designed with some success for the reduction of  $CO_2$  to methanol or formate, including enzymes. More specific attempts have also been reported, including the reduction to  $H_2$  + CO syngas mixtures and even reduction to carbon by molten salt electrolysis.

Over the past decade, microbial electrosynthesis has emerged as an additional option for the electro-reduction of  ${\rm CO_2}$  to fuels and commodities.<sup>6,7</sup> In this case, microorganisms act as an electro-catalyst by taking electrons from the cathode to reduce  ${\rm CO_2}$ . Various multi-carbon products have thus been synthesized from  ${\rm CO_2}$ ,<sup>8</sup> acetate being the most frequently obtained (Table 1).

Pure cultures and multispecies inocula have both been shown to be capable of catalysing the electrochemical reduction

 Table 1
 Summary of biocathodes reported for reduction of carbon dioxide to acetate and other products

| Cathode material                | Polarization (V $\nu$ s. SHE) | Biocatalyst                        | Electrode surface $(cm^2)$ | Catholyte<br>volume (L) | Main<br>product     | Max production rate (mM $day^{-1}$ ) | $\begin{array}{c} \text{Max production} \\ \text{(mg L}^{-1}) \end{array}$ | Other products detected      | Ref. |
|---------------------------------|-------------------------------|------------------------------------|----------------------------|-------------------------|---------------------|--------------------------------------|--|------------------------------|------|
| Plain graphite felt             | No                            | Sludge from phototrophic anode     | 8                          | 0.036                   | Electricity         | $(750 \text{ mW m}^{-2})$            |  | I                            | 6    |
| Carbon cloth + Pt catalyst      | No                            | Chlorella vulgaris                 | 48                         | 0.22                    | Electricity + algae | $(5.6 \text{ W m}^{-3})$             | I  | 1                            | 10   |
| Unpolished graphite sticks      | -0.4                          | Sporomusa ovata                    | 65                         | 0.2                     | Acetate             | 0.8                                  | 300  | Oxo-butyrate                 | 8    |
| Unpolished graphite sticks      | -0.4                          | Člostridium ljungdahlii            | 65                         | 0.2                     | Acetate             | 0.08                                 | 33   | Oxo-butyrate                 | 11   |
| Unpolished graphite sticks      | -0.4                          | Sporomusa sphaeroides              | 65                         | 0.2                     | Acetate             | 0.04                                 | 16   | Oxo-butyrate                 | 11   |
| Chitosan coated carbon cloth    | -0.4                          | Sporomusa ovata                    | 47                         | 0.2                     | Acetate             | 1.07                                 | 009  |                              | 12   |
| Granular graphite               | -0.59                         | Brewery waste                      | n.a.                       | 0.075                   | Acetate             | 4                                    | 1710   | $CH_4$ , $H_2$               | 13   |
| Granular graphite               | -0.59                         | Enriched culture from previous MES | n.a.                       | 0.075                   | Acetate             | 17.25                                | 10500  | $\mathrm{H}_2$               | 14   |
| Stainless steel                 | -0.4                          | Geobacter sulfurreducens           | 2.5                        | 0.45                    | Glycerol            | 0.67                                 | 800  | Succinate                    | 15   |
| Carbon felt                     | 7.0-                          | Activated sludge                   | 20                         | 0.15                    | Acetate             | 0.38                                 | 114  | $CH_4$ , $H_2$               | 16   |
|                                 | 6.0-                          |                                    |                            |                         |                     | 2.35                                 | n.a.   |                              |      |
| Carbon felt                     | -0.750 $-0.950$               | Activated sludge                   | 49                         | 0.245                   | Acetate             | 0<br>1.6                             | 96<br>0  | $\mathrm{CH_4},\mathrm{H_2}$ | 17   |
| Nickel nanowire-coated graphite | -0.4                          | Sporomusa ovata                    | 40                         | 0.2                     | Acetate             | 1.12                                 | 540  | I                            | 18   |
| Graphite plates anode           | Cathode as                    | Sporomusa ovata                    | 46                         | 0.25                    | Acetate             | 0.92                                 | 180  | I                            | 19   |
| poised at +0.5 V                | auxiliary<br>electrode        |                                    |                            |                         |                     |                                      |  |                              |      |
| Carbon cloth                    | 8.0-                          | Clostridium sp.                    | 6                          | 0.12                    | Acetate             | 2.05                                 | 1200   | Ethanol                      | 20   |
|                                 |                               |                                    |                            |                         | Butyrate            | 0.37                                 | 330  | Butanol                      |      |
| Graphite granules               | 9.0-                          | Enriched culture                   | n.a.                       | 0.05                    | Acetate             | 15                                   | 5220   | Formate                      | 21   |
|                                 | 8.0-                          | from brewery                       |                            |                         | Hydrogen            | 1000                                 | 1  | Butyrate                     |      |
|                                 |                               |                                    |                            |                         | Acetate             | 52                                   | 8770   | Formate                      |      |
|                                 |                               |                                    |                            |                         | Hydrogen            | 1300                                 | I  | Butyrate                     |      |
| Graphite rod                    | -0.4                          | Enriched homoacetogenic culture    | 30                         | 0.5                     | Acetate             | 9.5                                  | 4127   |                              | 22   |
| Assembly of graphite felt and   | -0.9                          | Mixed culture                      | 10                         | 0.4                     | Acetate             | 1.3                                  | 630  | $\mathrm{H}_2/\mathrm{CH}_4$ | 23   |
| stainless steel                 | -0.7                          | C. ljungdahlii                     | 15                         | 0.2                     | Acetate             | 0.94                                 | 559  | ${ m Ethanol/H}_2$           |      |

of CO<sub>2</sub>. Among the pure cultures, *Sporomusa ovata* is the most efficient species reported so far. Using a surface-modified carbon cathode polarized at  $-0.4~\rm V~\nu s$ . SHE, Zhang et al. <sup>12</sup> obtained 600 mg L<sup>-1</sup> of acetate after 9 days and Nie et al. <sup>18</sup> 540 mg L<sup>-1</sup> of acetate in 8 days. Multispecies inocula have given similar or better performance but it is difficult to compare various studies reported as they were carried out under different conditions and at different applied potentials. The highest acetate production rate was obtained by Marshall et al., <sup>14</sup> who used granular graphite as the cathode and an enriched culture from a previously established acetogenic biocathode as the inoculum. Their cathodes were polarized at  $-0.59~\rm V~\nu s$ . SHE, and rates of acetate production reached 17.25 mM day <sup>-1</sup> with accumulation to 10 500 mg L <sup>-1</sup> over 20 days. Hydrogen was also produced by the cathode, at rates reaching 100 mM day <sup>-1</sup>.

The electron transfer (ET) pathway from the cathode to the microbial cells that achieve CO2 reduction has not been clearly deciphered yet. It has been speculated that microbial cells could gain electrons from the cathode by direct ET through membrane-bound redox systems.<sup>6</sup> Similar direct ET from solid electron donors to microbial species has been identified in natural processes, especially in acidic environments such as mine drainage systems, where oxidations of solid iron(II) and sulfur are dominant microbial activities. For example, Acidithiobacillus ferrooxidans is commonly found in deep caves or acid mine drains and thrives in a pH range of 1.5-2.5. It has been shown to be able to accept electrons directly from solid Fe(II) minerals (e.g. pyrite) through c-type cytochrome Cyc2 contained in its outer membrane.<sup>24</sup> Electrons are thus extracted from insoluble minerals and transferred to oxygen, used as the final electron acceptor, which results in minerals being converted to their soluble state.

On the other hand, mediation by hydrogen has also often been suggested. The cathode produces hydrogen by water electrolysis and the microbial species use hydrogen to reduce carbon dioxide to acetate.  $^{7,16,17}$  In this case, electrosynthesis proceeds in two consecutive steps: first, the electrochemical production of hydrogen by water electrolysis and, second, the microbial reduction of  $\mathrm{CO}_2$ , which uses hydrogen.

Actually, microbial reduction of CO<sub>2</sub> to acetic acid using hydrogen as an electron donor is a well-known reaction called homoacetogenic fermentation.<sup>25</sup> First reported by Fischer *et al.*,<sup>26</sup> the discovery was followed by the isolation of the acetogenic strain *Clostridium aceticum*,<sup>27</sup> an obligate anaerobic species, which grows either chemolithotrophically with H<sub>2</sub> and CO<sub>2</sub> or chemoorganotrophically with compounds such as fructose, malate or pyruvate. Unfortunately, *C. aceticum* was lost soon after the third paper concerning it was published in 1948.<sup>28</sup> All attempts to re-isolate a chemolithotrophic acetogen failed until the purification of *Acetobacterium woodii*.<sup>29</sup>

In the context of microbial electrochemical conversion of  $CO_2$ , it is still difficult to establish whether ET is achieved by a direct pathway or indirectly by homoacetogenic species that use hydrogen produced at the cathode. This is obviously an important fundamental question, the answer to which should considerably impact the way the technology develops toward a large-sized industrial equipment.

The purpose of the present work was to assess the possible involvement of the hydrogen route in the microbial electrochemical reduction of CO<sub>2</sub>. Two multispecies inocula were used to form microbial cathodes under two different applied potentials: -0.36 and -0.66 V vs. SHE. Both potentials were thermodynamically low enough to ensure CO<sub>2</sub> transformation to acetate, but -0.36 V vs. SHE did not allow hydrogen evolution, while -0.66 V vs. SHE did. Stainless steel was used as the cathode material because it has been shown to be more effective than carbon in achieving fast cathodic ET with microbial cells, particularly with Geobacter sulfurreducens. 30,31 The same microbial systems were then implemented in gas-liquid contactors and were fed with hydrogen gas in order to assess their capacity to use hydrogen in the absence of an electrode. Finally, similar hydrogen supply tests were performed using pure cultures of Sporomusa ovata to evaluate the capacity of this species to use hydrogen compared to the performance reported in the literature for the electrochemical process. All these experimental data consistently supported the involvement of the hydrogen route in the microbial electrochemical reduction of CO2 to acetate. Implementing acetogenic microbial species in purposely designed gas-liquid contactors should now be considered as a relevant way to develop and scale-up the CO<sub>2</sub> conversion systems that have been revealed by microbial electrosynthesis.

### 2. Materials and methods

#### 2.1 Medium composition

Medium 1 was prepared as already described.  $^{30}$  It contained KCl (0.1 g L $^{-1}$ ), NaH $_2$ PO $_4$  (0.6 g L $^{-1}$ ), NH $_4$ Cl (1.5 g L $^{-1}$ ), and NaHCO $_3$  (2.5 g L $^{-1}$ ). The solution was sterilized using an autoclave (121  $^{\circ}$ C for 20 minutes) and a trace mineral mix (10 mL L $^{-1}$ , ATCC MD-TMS) and a vitamin mix (10 mL L $^{-1}$ , ATCC MD-VS) were then added.

Medium 2 consisted of medium 1 with the addition of NaCl (45 g  $\rm L^{-1}$ ), MgCl $_2$  (0.1 g  $\rm L^{-1}$ ) and CaCl $_2$  (0.01 g  $\rm L^{-1}$ ).

#### 2.2 Source of microorganisms

Two different environmental samples were used as the inoculum. The biological sludge was collected from a treatment plant (Suez Environnement, Evry, France). Prior to experiments, the inoculum was acclimated to an  $\rm H_2$  and  $\rm N_2\text{--}CO_2$  (80–20%) atmosphere for 5 days at 30 °C with the objective of favouring the development of homoacetogenic bacteria. HPLC analyses detected acetic acid at 1980 mg  $\rm L^{-1}$  and butyric acid at 23 mg  $\rm L^{-1}$  in the inoculum after 5 days of acclimation. This inoculum was always implemented with medium 1. The microbial electrochemical reactors were inoculated with 20 mL (3.3% v/v) added into the cathodic compartments. The gas–liquid contactors had 7 mL inoculated into the 210 mL medium.

The sediment collected from a salt marsh of the Mediterranean Sea (Gruissan, France) was used as the second source of microorganisms. This inoculum is known to contain halotolerant electroactive bacteria that have succeeded in forming efficient microbial bioanodes in solutions containing large amounts of salt, such as 45 g  $\rm L^{-1}$  NaCl.<sup>32</sup> This inoculum was always implemented with medium 2. The microbial electrochemical reactors were inoculated with 60 mL (10% v/v) in the cathodic compartments and 21 mL was injected into the 210 mL medium of the gas-liquid contactors. HPLC analyses detected lactic acid (370 mg  $\rm L^{-1}$ ), formic acid (91 mg  $\rm L^{-1}$ ) and butyric acid (83 mg  $\rm L^{-1}$ ) in this inoculum.

### 2.3 Design and operation of microbial electrochemical reactors (MERs)

The microbial electrochemical reactors (MERs) were two-chamber H-shaped electrochemical reactors (Fig. 1A), separated by a 7 cm<sup>2</sup> cation exchange membrane (Fumasep® FKE). A cation exchange membrane was chosen to avoid the migration to the anode compartment of acetate or other anionic compounds possibly produced. The two compartments, made with modified Schott glass (Duran), were of equal volume and dimensions (diameter 101 mm and height 152 mm). Each compartment was filled with 600 mL of medium with a 300 mL headspace. The cathode was a 7 cm × 3 cm stainless steel plate, connected to a 2 mm-diameter screwed titanium wire. The stainless steel electrodes were cleaned with an ethanol-acetone mixture 50-50% (v/v), then with a fluoronitric acid solution 2-20%, and finally thoroughly washed with distilled water. The anode was a 15 cm<sup>2</sup> platinum grid, first cleaned by heating to red-hot in a flame. The reference electrode was a saturated calomel electrode (SCE, Radiometer Analytical, +0.241 V vs. SHE). It was placed in the cathode compartment with the tip as close to the surface of the cathode as possible (less than 0.5 cm). Four holes were drilled in the cap that covered each electrochemical compartment; they were used to introduce the electrodes and the tubes for gas bubbling.

The experiments were conducted under potentiostatic control (chronoamperometry) using a potentiostat (VSP, Bio-logic SA) interfaced with a computer (software EC-Lab). Cathodes were polarized at -0.60 or -0.90 V vs. SCE, i.e. -0.36 and -0.66 V vs. SHE. The current was recorded every 10 minutes. Chronoamperometry was sometimes interrupted to perform cyclic voltammetry at a low scan rate (1 mV s $^{-1}$ ) starting from the polarization potential and in the range from -0.76 to +0.04 V vs. SHE. All experiments were conducted in a stove thermostated at 30  $^{\circ}$ C. Each compartment was continuously flushed with N $_2$ –CO $_2$  gas (80–20) to maintain anaerobic conditions. In each case, the pH of the cathodic compartment stabilized at around 7.1.

Volumes of 1 mL were sampled from the cathode compartment and filtered at  $0.2~\mu m$  for HPLC analysis.

Experiments were systematically carried out in duplicate. Four MERs were filled with medium 1 and inoculated with the acclimated biological sludge. For two of them, the cathode was initially polarized at -0.36 V  $\nu s$ . SHE for 40 days and then switched to -0.66 V  $\nu s$ . SHE for 26 days. For the other two, the cathode was polarized at -0.66 V  $\nu s$ . SHE from the beginning and for 40 days.

Four additional MERs were filled with medium 2 and inoculated with a salt marsh sediment inoculum. Two were run with the cathodes polarized at  $-0.6\,\mathrm{V}\,\nu\mathrm{s}$ . SHE for 30 days, while the cathodes of the other two were polarized at  $-0.66\,\mathrm{V}\,\nu\mathrm{s}$ . SHE.

#### 2.4 Gas-liquid contactors (GLCs)

Experiments without electrodes were run with the same media and inocula in gas–liquid contactors (GLCs) containing 210 mL medium (Fig. 1B). Washing bottles were used with a contact medium column height of 120 mm. The gas feed tube of each contactor was immersed to 7 mm from the bottom and the bubbles came freely out from the outlet of the tube. In the so-called "improved GLCs", the outlet of the gas feed tube was equipped with a porous tube with an aquarium diffuser at the end in order to better sparge the gas into the solution. Solution sampling was possible through a connection placed at a height of 110 mm.  $N_2$ –CO $_2$  gas was mixed with hydrogen before being injected into the contactors. Gas flows were controlled using flow valves (1 valve for  $N_2$ –CO $_2$ , 1 valve for  $H_2$  and 1 valve for the mixed gas before its injection into the contactor). GLCs were maintained at 30 °C in a water bath.

The media were inoculated and cultures were bubbled with  $10 \text{ mL min}^{-1}$  of  $N_2$ – $CO_2$  (80–20) gas mixed with hydrogen as the electron donor. Duplicate experiments were carried out using two GLCs in series with the gas outlet of the first bottle being the gas inlet of the second bottle. A total of 12 GLCs were run.

A first experimental run was carried out with 6 GLCs using medium 1 inoculated with the acclimated biological sludge, with different hydrogen flow rates. Hydrogen was supplied continuously at a constant flow rate of 2 mL min $^{-1}$  in two GLCs and at 6 mL min $^{-1}$  in another two. In the last two, hydrogen was alternately supplied at a rate of 2 mL min $^{-1}$  for 8 hours followed by 0 mL min $^{-1}$  for 16 hours by turning the flow on and off. Each GLC was continuously fed with N<sub>2</sub>–CO<sub>2</sub> at 10 mL min $^{-1}$ . A second experimental run was carried out under

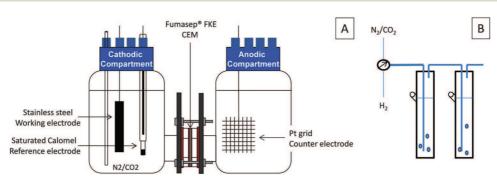


Fig. 1 Experimental set-up of (A) the microbial electrochemical reactor – MER and (B) the gas-liquid contactor – GLC.

identical conditions using 3 "improved GLCs" with a constant hydrogen flow rate of 0.5 mL min<sup>-1</sup>.

Two GLCs were implemented with medium 2 inoculated with the salt marsh sediment using 10 mL  $\rm min^{-1}$  of  $\rm N_2$ – $\rm CO_2$  (80–20) gas mixed with 6 mL  $\rm min^{-1}$  of hydrogen as the electron donor.

Four GLCs were implemented with pure culture of *S. ovata* (see below).

Initially the pH was stable at around 7.1 in each case but, at the end of the experiment, pH values were measured in a range of 5.5 to 7.3 depending on the amount of acetate produced.

#### 2.5 Culture of Sporomusa ovata in GLCs

S.~ovata was grown in the DSMZ-recommended growth medium (DSMZ 311) with casitone and resazurin omitted. A volume of 20 mL of the growing cells was used to inoculate the GLCs in the same medium but with the betaine omitted. In two GLCs, the culture was continuously fed with an excess of hydrogen-N<sub>2</sub>-CO<sub>2</sub> (50–40–10) gas mixture (40 mL min $^{-1}$ ). Two control experiments were carried out in GLCs fed only with N<sub>2</sub>-CO<sub>2</sub> (80–20). Samples were taken every day and filtered at 0.2  $\mu$ m for HPLC analyses.

#### 2.6 HPLC analyses

Samples were analysed for organic acids, sugar and ethanol by HPLC (Thermo Scientific, France) using a Rezex ROA-organic acid  $H^+$  (8%),  $250\times4.6$  mm phase-reverse column (Phenomenex, France) thermostated at 30  $^{\circ}C$  and associated with a refractive index detector in series with a UV detector. The elution was performed at 170  $\mu L$  min $^{-1}$  with an aqueous solution of sulfuric acid 10 mM (pH 2.2). The column was calibrated with a mixture of formate, acetate, lactate, propionate and butyrate, in the analysis concentration range.

### 3. Results

### 3.1 $CO_2$ electroreduction using the acclimated biological sludge as a catalyst

The stainless steel cathodes of two identical MERs were polarized at -0.36 V vs. SHE. After 4 days, the cathodic compartments were inoculated with the acclimated biological sludge (3.3% v/v). During 40 days of polarization at -0.36 V, current density never exceeded 0.1 A m<sup>-2</sup> and acetate was only detected initially due to the addition of the inoculum containing acetate (Fig. 2). When the potential was switched to -0.66 V vs. SHE, current density increased immediately to  $-1.2~\text{A}~\text{m}^{-2}$  for one reactor and  $-1.4 \text{ A m}^{-2}$  for the other. Acetate started to be produced, reaching 98 mg  $L^{-1}$  and 135 mg  $L^{-1}$  after 7 days. During this period, the production rate was 132 mM day<sup>-1</sup> m<sup>-2</sup> on average. This value was of the same order of magnitude as that obtained by Su et al. 16 working with 20 cm<sup>2</sup> of carbon felt at -0.70 V vs. SHE. They used activated sludge as the inoculum and found a maximum acetate production rate of 187 mM day<sup>-1</sup> m<sup>-2</sup>, the production of hydrogen also being detected.

Acetate concentration decreased 13 days after the switch in potential. A methanogenic population probably developed in the compartment, consuming the acetate produced. Methane has

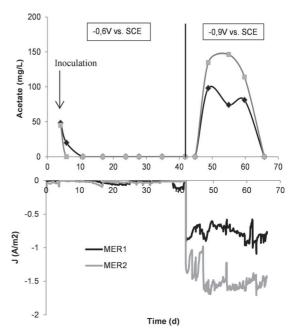


Fig. 2 Production of acetate and associated chronoamperometry in MERs initially polarized at -0.36 V vs. SHE for 40 days and then switched to -0.66 vs. SHE. On the 4th day, the MERs were inoculated with the acclimated sludge.

actually been detected in a number of MERs, <sup>13,16,17</sup> especially when an inhibitor (such as bromoethanesulfonate) was not used, as was the case here.

A control experiment run under the same conditions for the same length of time but keeping the electrodes at open circuit (no potential applied) did not produce any acetate; the only acetate present came from the bacterial injection.

A second set of two MERs was run under the same conditions but with an imposed potential of -0.66 V vs. SHE from the beginning (Fig. 3). Current densities at around  $-1.5 \text{ A m}^{-2}$  were recorded immediately at this potential. This confirmed that the immediate increase of current density observed in the previous experiments when the potential was switched from -0.36 to -0.66 V vs. SHE was due to the abiotic electrochemical reduction of water to dihydrogen at the surface of the stainless steel cathode. Bacteria were inoculated 3 days after the start of polarization. Acetate measured just after inoculation corresponded to the acetate contained in the acclimated sludge. In contrast to the previous experiments performed with an initial applied potential of -0.36 V vs. SHE, acetate did not disappear after a few days and its initial concentration was maintained. From day 10, the concentration of acetate increased. Between day 10 and day 20, the acetate concentration increased from 96  $\pm$  3 mg L $^{-1}$  to 244  $\pm$  20 mg L $^{-1}$  at an average rate of 140 mM day<sup>-1</sup> m<sup>-2</sup> over 10 days, which gave a Faradic yield of 53%. Then, the acetate concentration decreased in both MERs.

Cyclic voltammetries recorded initially after 1 day of polarization and before inoculation, which was done at day 3, confirmed that there was no hydrogen evolution at -0.36 V  $\nu$ s. SHE but there was hydrogen evolution at -0.66 V  $\nu$ s. SHE (Fig. 4A). Moreover the CVs recorded during the chronoamperometry (Fig. 4B) showed

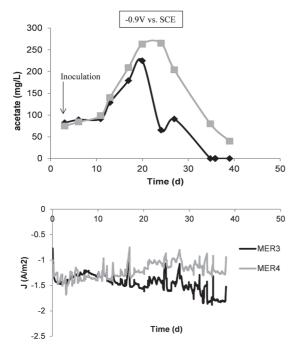


Fig. 3 Production of acetate and associated chronoamperometry in MERs polarized at -0.66 V vs. SHE for 40 days. On the 3rd day, the MERs were inoculated with the acclimated sludge.

no change. The presence of microorganisms (sessile and/or planktonic) did not significantly change the behaviour of the electrode.

### 3.2 CO $_2$ electroreduction using the sediment from a salt marsh as a catalyst

Four MERs were started using the sediment from a salt marsh as the inoculum in a highly saline medium that was supplemented with NaCl 45 g L $^{-1}$ . Such high salinity should be a great advantage if the objective is to scale up to large-sized MERs because it allows the internal resistance of the reactor to be significantly decreased in comparison to the low ionic conductive electrolytes that are commonly used in MERs. $^{33}$  Two MERs were polarized at -0.36 V  $\nu s$ . SHE, and the other two at -0.66 V  $\nu s$ . SHE.

Current densities never exceeded  $0.2 \text{ A m}^{-2}$  at -0.36 V, whereas they were around  $2 \text{ A m}^{-2}$  from the start of polarization at -0.66 V. The reduction current was established before bacteria were injected, confirming that the electrochemical reaction was the abiotic reduction of water to hydrogen. Acetate, formate or other VFAs were never detected during 28 days of experiments in any of the four MERs.

Careful observation of the chronoamperometries revealed variations in current, which were exactly correlated with the fluctuations in  $CO_2$  injection. In the MERs polarized at -0.66 V vs. SHE, when the CO<sub>2</sub> flow rate increased, the reduction current increased almost immediately (absolute value of the current). Specific checks were carried out at the end of the experiments to explain this behaviour. Bubbling air into the reactors instead of N2-CO2 continuously decreased the reduction for 4 hours. Actually, the current evolution perfectly fitted the pH increase that was provoked by desorption of CO2 from the medium during air bubbling (Fig. 5). Bubbling pure nitrogen instead of air decreased the reduction current (absolute value) a little more, because it suppressed the reduction of oxygen. Finally, using back N2-CO2 made the pH decrease, with the concomitant current recovery. Actually, the variations in current were related to the pH, which was linked to the CO2 flow rate. An increase in CO2 flow rate led to acidification of the solution, which favoured the electrochemical reaction of water reduction. The dependence

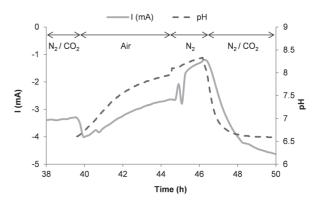


Fig. 5 Evolution of the pH due to different gas injection with or without carbon dioxide gas and the concomitant variation in intensity at a stainless steel cathode polarized at -0.66 V vs. SHE.

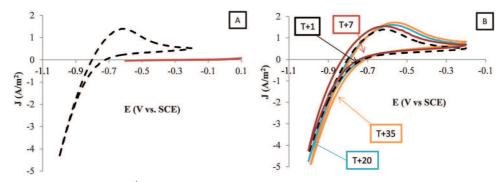


Fig. 4 Cyclic voltammetries recorded at 1 mV s $^{-1}$ . (A) CV recorded before bacteria injection (abiotic) and after 1 day of polarization (T + 1) at -0.36 V vs. SHE (=-0.60 V vs. SCE) solid line and -0.66 V vs. SHE (=-0.90 V vs. SCE) dotted line. (B) CV recorded at days 1, 7, 20 and 35 during the chronoamperometry at -0.66 V vs. SHE.

of the current on CO<sub>2</sub> flow rate that was observed here did not indicate that CO<sub>2</sub> was the reactant of the electrochemical reaction; it was an indirect phenomenon due to pH evolution.

### 3.3 CO<sub>2</sub> reduction using hydrogen as an electron donor with the acclimated biological sludge as a catalyst

Since hydrogen was strongly suspected to be the intermediate electron carrier in experiments conducted with the acclimated biological sludge, further experiments were run without electrodes but feeding the same medium directly with hydrogen, at different gas flow rates. Experiments were performed in gas–liquid contactors (GLCs) with 3 different hydrogen gas flow rates: constant flow rates of 2 mL min<sup>-1</sup> and 6 mL min<sup>-1</sup> and intermittent feeding in cycles of 2 mL min<sup>-1</sup> for 8 hours followed by 0 mL min<sup>-1</sup> for 16 hours. Each reactor was continuously fed with  $N_2$ – $CO_2$  at 10 mL min<sup>-1</sup>.

Acetate started to be produced after 2 days of latency in each GLC (Fig. 6). The production rate was linked to the hydrogen flow rate. A maximum production rate of 423 mg  $\rm L^{-1}$  day $^{-1}$  (7.2 mM day $^{-1}$ ) was reached between days 2 and 7 with the highest hydrogen flow rate, while the acetate production rate was 244 mg  $\rm L^{-1}$  day $^{-1}$  (4.1 mM day $^{-1}$ ) for the contactors supplied with hydrogen at 2 mL min $^{-1}$ . The intermittent hydrogen supply led to significantly lower acetate production, with a maximum production rate of 78 mg  $\rm L^{-1}$  day $^{-1}$  between days 7 and 14. After 15 days, the intermittent hydrogen supply was switched to continuous mode at 2 mL min $^{-1}$ . This change led the acetate concentration to increase to the same maximum plateau as in the other reactors. The hydrogen flow rate was consequently a major parameter impacting the acetate production rate.

A maximum acetate concentration of around 2500 mg  $\rm L^{-1}$  was reached after 15 days. This concentration was 10 times higher than those obtained using the bioelectrochemical reactors at -0.66 V  $\nu s$ . SHE.

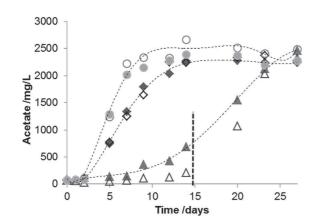


Fig. 6 Acetate production in 6 gas-liquid contactors inoculated with the acclimated activated sludge and fed with  $N_2/CO_2$  gas mixed with different  $H_2$  flow rates.  $H_2$  was injected at 6 mL min $^{-1}$  in GLCs 5–6 (round), 2 mL min $^{-1}$  in GLCs 3–4 (square) and with alternating supply in GLCs 1–2 (triangle) during first 15 days. The dotted line represents the switch in the feeding mode for GLCs 1–2: from the alternating mode to continuous feeding at 2 mL min $^{-1}$ . Each reactor was continuously fed with  $N_2$ – $CO_2$  at 10 mL min $^{-1}$ .

It was comparable to the acetate levels obtained with a pure culture of the acetogen *Clostridium ljungdahlii* using cysteine as the electron donor. The microbial system implemented here, which consisted of acclimated biological sludge in medium 1, proved to be fully efficient to reduce  $\rm CO_2$  to acetate with hydrogen as an electron donor. Moreover, its performance was directly controlled by the hydrogen supply rate in the GLCs. In comparison, the MERs gave lower performance, probably because of the lower hydrogen production rate. The reduction current density of around 1.5 A m<sup>-2</sup> recorded during the chronoamperometries at -0.66 V  $\nu$ s. SHE (Fig. 2 and 3) corresponded to a hydrogen production rate of 0.02 mL min<sup>-1</sup>. The lower acetate production rates obtained in MERs were consistent with the lower hydrogen supply rate achieved by the cathode compared to that of GLCs.

The hydrogen production rate of 0.02 mL min<sup>-1</sup> and the maximum acetate production rate of 140 mM day<sup>-1</sup> m<sup>-2</sup> gave a hydrogen conversion yield of 53% for the MERs polarized at -0.66 V vs. SHE (0.17 mmole day<sup>-1</sup> acetate was produced, while 1.29 mmole day<sup>-1</sup> hydrogen was supplied). The same calculations made for the GLCs supplied with 6 and 2 mL min<sup>-1</sup> hydrogen led to hydrogen conversion yields of 1.6% and 2.7%, respectively (7.2 and 4.1 mM day<sup>-1</sup> production rates of acetate mean that 1.5 and 0.86 mmole day<sup>-1</sup> of acetate were produced, while 386 and 129 mmole day<sup>-1</sup> of hydrogen were supplied).

The hydrogen conversion to acetate was maximized with the very low hydrogen supply achieved by the cathode in the MER.<sup>35</sup> The electrode was a more efficient hydrogen sparger than the simple tube used in GLCs. The cathode operating at low current density formed very small hydrogen bubbles, which drove a more efficient gas transfer to the liquid than the big bubbles formed at the outlet of the pipe used in GLCs. To check this hypothesis, a second run of experiments were performed with three "improved GLCs", which were aimed at ensuring more efficient hydrogen sparging into the solution. With a hydrogen supply rate of 0.5 mL min<sup>-1</sup>, a maximum acetate production rate of 5.2 mM day<sup>-1</sup> (309 mg L<sup>-1</sup> day<sup>-1</sup>) was maintained for around five days (Fig. 7). The yield of the conversion of hydrogen to acetate was 13% (1.1 mmole day<sup>-1</sup> acetate was produced, while 32.1 mmole day<sup>-1</sup> hydrogen was supplied). Changing the gas sparger in the GLCs improved the yield of hydrogen conversion to acetate by a factor of 8. These results confirmed that hydrogen gas/liquid transfer is one of the main parameters to be optimized when scaling-up microbial conversion of CO<sub>2</sub> to acetate.

The fair results recorded using the MERs with respect to hydrogen conversion yield are probably linked to the low hydrogen supply and efficient gas sparging achieved by the cathodes. Obviously, the occurrence of direct electron transfer may be another reason for better electron recovery in MERs but deciphering the fine electron transfer mechanisms was not the purpose of the present study. Here, it was shown that the cathode abiotically produced twice as much hydrogen as needed to sustain acetate production. The same inoculum implemented under identical conditions with direct hydrogen supply in GLCs gave similar acetate production with higher rates but lower hydrogen conversion yields. The GLC experiments

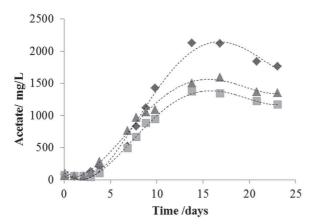


Fig. 7 Acetate production in 3 improved gas-liquid contactors (triplicate) inoculated with the acclimated activated sludge and fed with  $H_2$  at 0.5 mL min<sup>-1</sup> and  $N_2$ – $CO_2$  at 10 mL min<sup>-1</sup>.

confirmed that, the hydrogen yields were strongly linked to the gas sparger efficiency. These results showed that the hydrogen route plays an important role in the electro-microbial conversion of  $CO_2$ . Gas/liquid technology should consequently open up an alternative way to scale-up the systems discovered in the field of microbial electrosynthesis.

### 3.4 CO<sub>2</sub> reduction using hydrogen as an electron donor with the sediment from salt marsh as a catalyst

Similar hydrogen feeding was attempted with the second microbial system used here: salt marsh sediment implemented in the highly saline medium 2. Hydrogen was supplied at a flow rate of 6 mL min $^{-1}$ . Formate was the first molecule to be produced, after around 2 days of latency (Fig. 8), with a maximum production rate of about 200 mg  $\rm L^{-1}$  day $^{-1}$  on the first day of production. An average maximum formate concentration of 380 mg  $\rm L^{-1}$  was reached after 10 days, while acetate started to be produced after 13 days, concomitantly with the decrease of formate concentration.

Formate can be produced by enzymatic reduction of  $CO_2$  in an NADH- or ferredoxin-dependent manner.<sup>36</sup> Moreover, formate was

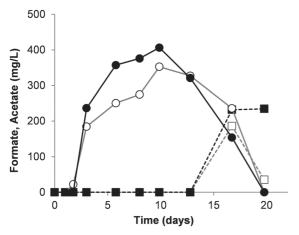


Fig. 8 Formate (round) and acetate (square) production in 2 gas-liquid contactors inoculated with the salt marsh sediment and fed with 10 mL  $\rm min^{-1}$   $\rm N_2-CO_2$  gas mixed with 6 mL  $\rm min^{-1}$   $\rm H_2$ .

previously found to be a precursor of the methyl group of acetate in *Clostridium* sp.,<sup>37</sup> which would explain the concomitance of formate consumption with acetate production.

The experiments performed with direct hydrogen supply showed that the microbial system based on the salt marsh sediment was significantly less efficient than the acclimated biological sludge. The electrochemical route led to considerably lower performance than direct hydrogen supply with the biological sludge inoculum. As the salt marsh sediment was less efficient than the biological sludge, it was not surprising that no production was found with the salt marsh sediment inoculum in the electrochemical reactors. Actually, direct hydrogen supply succeeded in revealing the capability of weakly efficient microbial systems to reduce CO<sub>2</sub>.

### 3.5 CO<sub>2</sub> reduction coupled to hydrogen oxidation using *Sporomusa ovata*

The model microorganism *Sporomusa ovata*, which is known for its electrosynthesis ability, was also implemented with direct hydrogen supply in gas-liquid contactors. In the pre-culture, it was noticed that the bacteria were not able to grow without a yeast extract, but the yeast extract may be a source of electron donor(s), which can support the reduction of CO<sub>2</sub>. So the yeast extract was kept in the medium and experiments with and without hydrogen supply were carried out in parallel in order to measure the role of hydrogen (Fig. 9). Cultures were run in duplicate.

When no hydrogen was injected (Fig. 9A), up to 1638  $\pm$  270 mg L<sup>-1</sup> of acetate was produced in 14 days, with the largest part produced during the first 2 days. When hydrogen was supplied (Fig. 9B), the production of acetate was almost tripled, to 4542  $\pm$  90 mg L<sup>-1</sup> in 9 days. Moreover, ethanol started to be produced after around 7 days, when acetate production reached a plateau. Production of 1411  $\pm$  156 mg L<sup>-1</sup> of ethanol was obtained after 10 days of culture.

The difference observed with and without hydrogen supply corresponded to a production of acetate of up to 2904 mg  $\rm L^{-1}$  at a maximum rate of 867 mg $^{-1}$  L $^{-1}$  day $^{-1}$  (14.7 mM day $^{-1}$ ) during the first 3 days. Moreover, ethanol production was promoted with hydrogen supply. The product ratio of ethanol and acetate was 0.49 g ethanol per gram acetate.

### 4. Discussion

#### 4.1 Discussion of the experimental results

From a thermodynamic point of view, the electrochemical reduction of carbonate ions  $HCO_3^-$  to acetate:

$$2HCO_3^- + 9H^+ + 8e^- \Leftrightarrow CH_3COO^- + 4H_2O$$
 (1)

is possible at potentials less that the formal potential of the equilibrium  $\left(E_{\mathrm{CO},/\mathrm{acetate}}^{0'}\right)$ :

$$E_{\text{CO}_2/\text{acetate}}^{0'} = E_{\text{CO}_2/\text{acetate}}^0 - \frac{RT}{nF} \ln \frac{[\text{CH}_3\text{COO}^-]}{[\text{HCO}_3^-]^2[\text{H}^+]^9}$$
 (2)

where  $\left(E_{\text{CO}_2/\text{acetate}}^0\right)$  is the standard potential of the CO<sub>2</sub>/acetate pair (0.187 V per SHE<sup>38</sup>), R is the gas constant (8.314 J mol<sup>-1</sup> K<sup>-1</sup>),

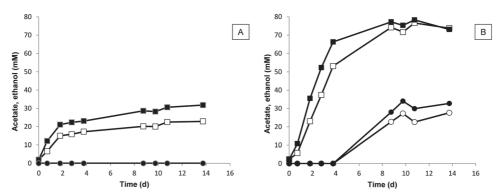


Fig. 9 Acetate (square) and ethanol (round) production in GLCs inoculated with *Sporomusa ovata* in its specific medium containing yeast extract (YE) fed with  $N_2/CO_2$  gas (20 mL min<sup>-1</sup>), without hydrogen supply (A) and with hydrogen supply injected at 20 mL min<sup>-1</sup> (B).

T is the temperature (303 K), n=8 is the number of electrons exchanged, F is the Faraday constant (96 485 C mol<sup>-1</sup>), and [] are the concentrations (mol L<sup>-1</sup>), as activities were taken to be equal to concentrations because of their low values. The pH value of the solution was 7.0. The reactors were supplied with N<sub>2</sub>-CO<sub>2</sub> 80:20, *i.e.* a CO<sub>2</sub> partial pressure of 0.2 atm. The concentration of HCO<sub>3</sub> ions calculated assuming equilibrium with CO<sub>2</sub> with p $K=10^{-6.3}$  gave 1.03 mM, so that:

$$E_{\text{CO}, \text{acetate}}^{0'} = 0.143 - 0.067 \text{ pH} - 0.0075 \log([\text{CH}_3\text{COO}^-])$$
 (3)

The value of acetate concentration produced did not have a significant effect on the formal potential, which was  $-0.298~V~\nu s$ . SHE with an acetate concentration of 0.2 mM (11.8 mg  $L^{-1}$ ) and decreased only to  $-0.309~V~\nu s$ . SHE for 5 mM (295 g  $L^{-1}$ ). The maximum acetate concentration produced in the MERs never exceeded 5 mM. It can thus be concluded that the applied potential of  $-0.36~V~\nu s$ . SHE was thermodynamically appropriate to support the transformation of HCO $_3^-$  to acetate and it was not a cause of the limitation of this production to less than 5 mM.

Hydrogen evolution at neutral pH:

$$2H_2O + 2e^- \Leftrightarrow H_2 + 2OH^- \tag{4}$$

has a formal potential expressed as:

$$E_{\rm H_2O/H_2}^{0'} = E_{\rm H_2O/H_2}^0 - 2.3 \frac{RT}{2F} \{ 2(\rm pH - 14) + \log p_{\rm H_2} \}$$
 (5)

where  $E_{\rm H_2O/H_2}^0$  is -0.828 V per SHE and  $p_{\rm H_2}$  is the hydrogen partial pressure. Assuming that hydrogen evolved at 1 atm gave the final equation:

$$E_{\rm H_2O/H_2}^{0'} = 0.014 - 0.060 \text{ pH}$$
 (6)

At pH 7.0, hydrogen can start to evolve from -0.41 V vs. SHE.

The applied potential of -0.36 V vs. SHE was too high to allow hydrogen gas evolution and acetate production was never observed at this potential, although it was thermodynamically possible. In contrast, the potential of -0.66 V vs. SHE allowed hydrogen evolution and led to significant production of acetate when the sludge was used as the inoculum. Furthermore, the same inoculum produced larger amounts of acetate and displayed higher production rates when it was directly fed with hydrogen in gas-liquid contactors than when it was in a MER.

From a fundamental point of view, it is difficult to compare a heterogeneous catalytic process, which is controlled by the surface area of the solid catalyst, with a gas-liquid reaction, which generally depends on the gas/liquid interface area. The direct comparison of the volumetric production rates obtained in the MERs with those in the GLCs (here 0.29 mM day<sup>-1</sup> and 7.2 mM day<sup>-1</sup>, respectively) is useful for a quick, preliminary comparison but cannot constitute an appropriate basis for envisioning the performance of larger sized devices. The MER performance is directly linked to the "cathode surface area vs. solution volume" ratio (the cathode of 21 cm2 surface area in 0.6 L gave  $3.5 \text{ m}^2 \text{ m}^{-3}$ ). The rather small surface area of  $21 \text{ cm}^2$ chosen here was the result of a compromise. On the one hand, according to electroanalysis rules, the cathode must be as small as possible in order to determine the electrochemical kinetics in the absence of most possible limitations<sup>39</sup> but, on the other hand, the production must be sufficient to allow the concentrations of the products to be measured easily with acceptable accuracy. This choice led to a modest volumetric production rate but to allow the rate per unit surface to be evaluated under optimal conditions. The rates per unit surface determined under this condition of 0.084 mole day<sup>-1</sup> m<sup>-2</sup> (140 mM day<sup>-1</sup> m<sup>-2</sup> in 0.6 L) can thus be used to design an appropriate electrochemical reactor. The following calculation gives a basis for comparing a GLC with the MER that could achieve the same acetate production in 1 L volume. In GLCs, the biological sludge inoculum ensured a production rate of acetate of 7.2 mM day<sup>-1</sup>. To have 1 L, the GLC could be straightforwardly scaled up to a column of 5 cm diameter and 51 cm height of liquid. Actually, the chosen diameter here is the same as that of the GLCs used in the present study, only the height was adjusted to correspond to 1 L volume of solution. To achieve the same production rate of 7.2 mM day<sup>-1</sup>, the MER needs a cathode surface area of 857 cm<sup>2</sup> (7.2/84 m<sup>2</sup>). With conventional filter-press architecture, the MER could be composed of two cells each equipped with a cathode 10 cm wide and 43 cm long. The distance between the cathode and the anode has to be as short as possible to minimize the ohmic drop, particularly because of the low ionic conductivity of the solution used here in comparison to the electrolytes used in conventional electrochemical cells (see Section 4.2.3). With 5 mm between the cathode and the membrane, the MER would contain approximately 430 mL, so a closed loop equipped with a pump and a storage tank of approximately 570 mL volume would need to be connected to the cathodic compartment of the MER (actually the volumes of the tubes and other side volumes should be subtracted).

This example points out the technical complexity of the MER in comparison to the GLC technology. Firstly, the MER architecture is technically complex: two electrodes to be maintained as close as possible with a separator between them, perfect tightness of each compartment, separate tubing for the cathode and anode compartments, connection of several cells, etc. In addition, the electrochemical process control can raise difficulties, particularly if microorganisms are included inside: ionic transfers between the two compartments must be managed in order not to affect microbial growth, pH gradients<sup>40</sup> must be controlled, disturbance of the anaerobic conditions of the cathode compartment by the oxygen evolving at the anode must be avoided, etc. The chemically rich culture media that are used in microbial electrosynthesis and the presence of microorganisms in the cathode compartment may also induce membrane (bio-)fouling. All these hindrances could certainly be overcome, at the price of finding compromises and fine-tuning the operating conditions, but they give the MER a huge level of complexity in comparison with the GLC technology.

According to the data obtained here with the biological sludge inoculum, the main advantage of the MER might be thought to be the Faradic yield of around 53%, which means that about 53% of the hydrogen produced is used to produce acetate. The yield of hydrogen conversion to acetate was low in GLCs, 1.6% to 2.7%, because no effort had been made to save hydrogen. Simply improving the sparger with means available in the laboratory increased the hydrogen conversion yield to 13%. Gas-liquid transfers are well mastered at the industrial level and chemical engineering offers many solutions to further improve the rough GLCs used here (see Section 4.3).

The salt marsh sediment was not an adequate inoculum for MERs. Nevertheless, direct supply with hydrogen in GLCs revealed its capacity to produce acetate. The maximum production rate was around 5 times lower than with the sludge. The salt marsh sediment was a less efficient inoculum than the biological sludge. Because of the lower production rate of hydrogen in the MER, the electrochemical process was not able to exploit the homoacetogenic capacity of this inoculum but GLC offered a simple way to reveal the inoculum capacity.

Finally, the experiments performed with pure cultures of *Sporomusa ovata* revealed the full interest of GLC technology in the context of autotrophic  ${\rm CO_2}$  reduction. Several studies have been reported in the literature, implementing *S. ovata* in electrochemical reactors with the electrode as the sole electron donor (absence of yeast extract and hydrogen). S,12,18 To the best of our knowledge, the highest reported production was 600 mg L $^{-112}$  and the maximum production rate was 1.12 mM day $^{-1}$ . Here the maximum acetate concentration and production rate were around 5 times (2904 mg L $^{-1}$ ) and 13 times (14.7 mM day $^{-1}$ ) higher than the results reported in MERs.

The GLCs revealed the capability of *S. ovata* to produce ethanol at concentrations up to 35 mM, which, to the best of our knowledge, has never been obtained before. *Sporomusa ovata* 

was known to exhibit fermentative properties, as is typical of acetogenic bacteria, with the production of a large amount of acetate. However, only a small amount of ethanol (below 1 mM) has been reported to be produced so far.<sup>41</sup> For comparison, Younesi *et al.*<sup>42</sup> obtained a maximum concentration of ethanol of 600 mg L<sup>-1</sup> (13 mM) using *Clostridium ljungdahlii* grown on syngas. Unlike *S. ovata, Clostridium ljungdahlii* was already identified as an acetogen that produced ethanol from CO<sub>2</sub>.<sup>23,43</sup> Actually, a few acetogens as *C. ljungdahlii*, *C. autoethanogenum* or *C. ragsdalei* are able to form large amounts of ethanol from CO<sub>2</sub>. Very recently, metabolic schemes have been proposed to elucidate how these anaerobes conserve energy, by determining the specific activities and cofactor specificities of all relevant oxidoreductases in cell extracts of H<sub>2</sub>/CO<sub>2</sub>-grown *C. autoethanogenum*.<sup>44</sup>

Here, *S. ovata* implemented with a direct supply of hydrogen gas revealed an interesting capacity to produce ethanol at a higher level than species already identified as ethanol producers. This unexpected result is another illustration of the technological interest of the GLC procedure to develop microbially-catalysed CO<sub>2</sub> reduction in value added molecules.

Three kinds of results, obtained in the present study with two environmental multispecies inocula and pure cultures, indicate direct hydrogen supply with a gas-liquid contactor as a valuable strategy to exploit the hydrogen route of CO<sub>2</sub> reduction. It should be noted that the possibility of direct electron transfer from cathodes to microbial biofilms in the absence of hydrogen as an electron carrier is not in doubt but, simply, it was not the subject of this study, which aimed to show that similar results can be obtained with GLC but in a technologically simpler manner. In parallel to the fundamental studies that aim to decipher fine electron transfer mechanisms, the results described here showed that the hydrogen pathway should now be considered as a promising route that could be implemented at a large scale *via* dedicated technologies.

#### 4.2 Why implement the hydrogen route in gas-liquid contactors

To be economically efficient, an electrochemical reactor must operate at high current densities. For example, chlor-alkali cells work at 1500 to 3000  $\mathrm{A}\ \mathrm{m}^{-2}$ , the electrosynthesis of adiponitrile from acrylonitrile is performed at 2000 to 4500  $\mathrm{A}~\mathrm{m}^{-2}$  and water electrolysis is carried out at current densities above 1000 A m<sup>-2</sup> in conventional cells and up to 10 000 A m-2 in bipolar configurations. 45 As soon as the objective is to design a largesized industrial process, an electrochemical process requires complex technology. Sophisticated technical solutions must be implemented to solve elementary problems such as current collection on the electrodes, perfect sealing of different parts (electrodes, membrane, and frames), control of the fluid motion in the narrow electrode-membrane spaces, electrical and hydraulic connections of several cells, etc. All these issues quickly become technically very cumbersome as the surface area of the electrodes increases. This is the reason why electrochemical processes are envisioned for large-scale production only when high current densities can be ensured.

**4.2.1.** Direct electron transfer in the absence of hydrogen evolution in the context of industrial constraints (Fig. 10A). The formal potentials for the CO<sub>2</sub>/acetate and H<sub>2</sub>O/H<sub>2</sub> redox couples

were -0.30 and -0.41 V vs. SHE at pH 7.0. These values are not considerably affected by the concentrations of the reactive and product compounds (eqn (3) and (6)), so the difference between the two redox couples is of the order of 100 mV under common operating conditions. Direct electron transfer for CO<sub>2</sub> reduction to acetate can start at -0.30 vs. SHE, while hydrogen evolution rapidly gives high current density when the potential becomes more negative than -0.41 V vs. SHE.  $CO_2$  reduction through direct electron transfer could be exploited inside this narrow potential zone, approximately 100 mV wide, in order to remain above the domain of hydrogen evolution. The overpotential of 100 mV is too small to ensure high current density for CO<sub>2</sub> reduction via direct electron transfer without penetrating the domain of hydrogen evolution. The ideal answer to the scientific challenge of exploiting the direct electron transfer pathway at industrial scale would be to design electrode materials that accelerate electron transfer to the biofilm while slowing the kinetics of hydrogen evolution. This would be an elegant solution for developing microbial electrosynthesis based on direct electron transfer. Nevertheless, in the current state of the art, designing such electrode materials remains a tremendous challenge, which still requires deep fundamental research.

The difficulty of implementing the direct electron transfer zone with high current density is straightforwardly linked to the proximity of the formal potential of the conversion of CO<sub>2</sub> to acetate with that of hydrogen evolution. The same situation is encountered for the conversion of CO<sub>2</sub> to ethanol:

$$2HCO_3^- + 14H^+ + 12e^- \rightarrow CH_3CH_2OH + 5H_2O$$
  
 $(E_0' = -0.335 \text{ V vs. SHE at pH 7.0})$  (7)

but would be less stringent for the conversion of acetate to ethanol:

$$2\text{CH}_3\text{COO}^- + 6\text{H}^+ + 5\text{e}^- \rightarrow \text{CH}_3\text{CH}_2\text{OH} + 3\text{H}_2\text{O}$$
 (8)

The conclusion may be completely different for reactions with formal potentials farther from that of hydrogen evolution. For example, the conversion of  $CO_2$  and succinate to glycerol, with a formal potential of 0.06 V  $\nu s$ . SHE at pH  $7.0^{15}$  offers a possible overpotential range of more than 400 mV before reaching hydrogen evolution. High current densities have been reported at potentials up to -0.09 V  $\nu s$ . SHE, at which hydrogen evolution cannot be suspected. This reaction may be appropriate to produce high current density  $\nu ia$  the direct electron transfer pathway and illustrates the need for further investigations to decipher and then exploit the direct electron transfer pathways for electrosynthesis purposes.

4.2.2. Direct electron transfer coupled with gentle hydrogen evolution in the context of industrial constraints (Fig. 10B). As a second option, it might be envisioned to implement hydrogen evolution and direct electron transfer through the biofilm concomitantly. Both routes could be implemented simultaneously. Direct electron transfer could occur on colonized patches of the electrode surface, while hydrogen could gently evolve on other parts (Fig. 10B). This option might be particularly appealing as some components of the biofilm can catalyse hydrogen evolution. For example, hydrogenases adsorbed on an electrode surface are known to catalyse the reduction of proton/water46,47 and this catalysis has recently been shown to occur also with hydrogenase released from cells during routine culturing. 48 Obviously, such mechanisms have great importance in the context of microbial corrosion, <sup>49,50</sup> where even modest current densities can lead to huge economic losses. Nevertheless, this option does not allow high current densities required in large-sized industrial plants to be reached. Actually, at high current density, hydrogen evolution would become largely dominant and gas evolution would mechanically keep the microbial cells away from the electrode surface. Vigorous hydrogen evolution from the electrode towards the bulk would obviously preclude colonization of the electrode surface by the microbial biofilm. It has already been observed that hydrogen evolving at the cathode, even

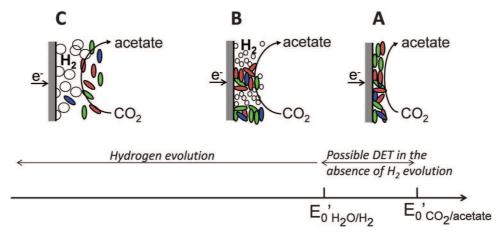


Fig. 10 Scheme of different potential zones relating to the conversion of  $CO_2$  to acetate vs. hydrogen evolution. (A) Direct electron transfer can occur in the absence of hydrogen evolution; (B) direct electron transfer can occur in biofilm patches concomitantly to hydrogen evolution; and (C) strong hydrogen evolution precludes colonization of the electrode surface.

under gentle current densities of around 10 A m<sup>-2</sup>, limits the biofilm formation.<sup>23</sup> Under such conditions, the benefit of the direct electron transfer route would be annihilated and CO<sub>2</sub> conversion would be driven by the hydrogen route only.

4.2.3. The hydrogen route in the context of industrial constraints (Fig. 10C). Finally, as a third option, the electrochemical reactor might be considered as the hydrogen supplier for the bacteria that develop in the bulk. In other words, this third option would consist of introducing the bacteria into a water electrolysis cell in order to perform both hydrogen production and the homoacetogenic CO2 conversion in the same device. High current densities could be used to support strong hydrogen evolution. The Faradic yields would be very low because of the short residence time of hydrogen in the reactor. Actually, designing efficient water electrolysis cells, i.e. cells able to ensure very high hydrogen evolution rates, but with long hydrogen residence time presents two opposing constraints from an engineering point of view. Other antagonistic requirements would also arise, such as the necessity to work at pH around neutrality for bacterial growth while optimum water electrolysis cells use extremely alkaline solutions (KOH more than 20%, pH 14 and above). The chemical complexity of the culture media can be another hindrance to the electrochemical process, because numerous cations that are necessary to microbial growth (e.g. Ca<sup>2+</sup>, Mg<sup>2+</sup>, etc.) are most likely to deposit on the cathode surface due to local alkalinization of the interface. Electrochemical cells also require electrolytes of very high ionic conductivity to keep ohmic power losses to the minimum. Concentrated potassium hydroxide solutions have ionic conductivities above 20 S m<sup>-1</sup>. In contrast, the most common culture media used in microbial electrochemistry have ionic conductivities ranging from 0.5 to a maximum of 2 S m<sup>-1</sup>. <sup>32</sup> For instance, an electrochemical cell with a 5 mm inter-electrode distance operated at 1000 A m<sup>-2</sup> must overcome an ohmic drop of less than 250 mV if a conventional electrolyte with ionic conductivity greater than 20 S m<sup>-1</sup> is used, while the ohmic drop would be 5 V with a culture medium of 1 S  $\mathrm{m}^{-1}$  conductivity.

In summary, implementing the acetogenic microbial reaction inside a water electrolysis cell would raise a huge number of cumbersome antagonistic constraints. They would have to be solved at the price of drastic performance degradation with respect to the current level of industrial water electrolysis devices.

In the current state of the art microbial electrosynthesis, as far as reductions with formal potential not very different from that of hydrogen evolution are concerned, the best strategy for short- or mid-term scaling up is to connect a microbial gasliquid contactor downstream of a conventional water electrolysis cell. This system constitutes a hybrid system, according to the terminology proposed recently for microbial electrochemical technologies. The high performance of water electrolysis is thus preserved and the efforts to be made for scaling up the homoacetogenic microbial synthesis are focused on the GLC. In this way, the chemical composition of the culture medium can be fitted to microbial requirements without any concern about possible deposits on the electrode surface or too weak ionic conductivity. The main problem linked to the low microbial

reaction rate can be coped with in GLC without additional constraints due to the electrochemical process.

### 4.3 How to improve the GLC technology for homoacetogenic CO<sub>2</sub> conversion

The preliminary experiments described here have evidenced the potential of the GLC option and illustrated the fast progress that can be made thanks to simple technological improvements. Here, just changing the gas sparger multiplied the hydrogen conversion yield by a factor of 8. At the industrial level, efficient solutions exist to improve the hydrogen/liquid transfers. Hydrogenation is the most ubiquitous reaction in the commercial organic chemical industry<sup>52</sup> and is commonly implemented in several-ton industrial plants under hydrogen pressure of several bars with metallic catalysts.<sup>53</sup>

Here, the microbial catalysis of the conversion of CO<sub>2</sub> to acetate using hydrogen:

$$2HCO_3^- + 4H_2 + H^+ \Leftrightarrow CH_3COO^- + 4H_2O$$
 (9)

does not ensure such high reaction rates as the metallic catalysts in conventional hydrogenations. That is why the existing technologies need to be adapted to implement the appropriate hydrogen/liquid transfer. Work in this direction has already started with success, using pure cultures of *Acetobacter woodii* for example, which have ensured a final acetate concentration of 44.7 g  $\rm L^{-1}$  by working under pressurized  $\rm H_2:CO_2$ ; more than 50 g  $\rm L^{-1}$  acetate have been reached in less than 4 days with recombinant strains.<sup>54</sup>

Similar studies carried out in the field of carbon monoxide fermentation are also very encouraging. Synthesis gas is a mixture of CO and H<sub>2</sub> (also called syngas), large amounts of which can be obtained by the gasification of biomass (straw, wood, *etc.*). Syngas fermentation by acetogenic species has started to raise commercial interest for its capacity to produce fuels and chemicals.<sup>55</sup> The low solubility of CO and H<sub>2</sub> has been overcome by chemical engineering solutions<sup>56</sup> so the process has become relatively mature and commercial scaling up can now be reasonably contemplated.<sup>57</sup> The low solubility of CO<sub>2</sub> should be overcome by similar technological solutions. For example, the bubbleless technologies using membrane contactors, which have been patented for syngas fermentation,<sup>56</sup> should be a promising way to adapt to the CO<sub>2</sub> conversion routes coming from microbial electrosynthesis.

### 5. Conclusion

Chronoamperometries performed at two different potentials showed that the hydrogen route was largely involved in the reduction of CO<sub>2</sub> to acetate. Using the same media in gas-liquid contactors supplied with hydrogen led to higher production rates and higher maximum concentrations than the electrochemical reactors. Moreover, gas-liquid contactors revealed a higher capacity of *Sporomusa ovata* to reduce CO<sub>2</sub> than observed so far and also its unsuspected ability to produce ethanol. The autotrophic culture of acetogens in hydrogen-supplied gas-liquid biocontactors should now be considered as a route of great interest

for scaling up the  $\mathrm{CO}_2$  reduction systems revealed by microbial electrosynthesis.

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