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# Degeneration of the Nonrecombining Regions in the Mating-Type Chromosomes of the Anther-Smut Fungi

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## Abstract

Dimorphic mating-type chromosomes in fungi are excellent models for understanding the genomic consequences of recombination suppression. Their suppressed recombination and reduced effective population size are expected to limit the efficacy of natural selection, leading to genomic degeneration. Our aim was to identify the sequences of the mating-type chromosomes ( $a_1$  and  $a_2$ ) of the anther-smut fungi and to investigate degeneration in their nonrecombining regions. We used the haploid  $a_1$  *Microbotryum lychnidis-dioicae* reference genome sequence. The  $a_1$  and  $a_2$  mating-type chromosomes were both isolated electrophoretically and sequenced. Integration with restriction-digest optical maps identified regions of recombination and nonrecombination in the mating-type chromosomes. Genome sequence data were also obtained for 12 other *Microbotryum* species. We found strong evidence of degeneration across the genus in the nonrecombining regions of the mating-type chromosomes, with significantly higher rates of nonsynonymous substitution ( $dN/dS$ ) than in nonmating-type chromosomes or in recombining regions of the mating-type chromosomes. The nonrecombining regions of the mating-type chromosomes also showed high transposable element content, weak gene expression, and gene losses. The levels of degeneration did not differ between the  $a_1$  and  $a_2$  mating-type chromosomes, consistent with the lack of homogametic/heterogametic asymmetry between them, and contrasting with X/Y or Z/W sex chromosomes.

**Key words:** Y chromosome, *Silene latifolia*, *Microbotryum violaceum*, PAR, evolutionary strata, autosomes, allosomes, genetic map.

## Introduction

Loci determining which genotypes can mate sometimes reside within genomic regions that display exceptional characteristics, with extensive suppression of homologous recombination and cytological differentiation between members of the diploid chromosome pair (e.g., in sex chromosomes; Charlesworth 1991; Marais et al. 2008; Bergero and Charlesworth 2009; Bachtrog et al. 2011). Despite being fundamental to sexual reproduction, the suppression of

recombination renders these genomic regions particularly prone to degeneration (Bachtrog 2005). Degenerative changes in the coding sequences (CDS) of sex chromosomes include higher nonsynonymous substitution rates and/or lower expression levels than in recombining regions (e.g., Filatov and Charlesworth 2002; Bartolome and Charlesworth 2006). Degeneration may even extend to the point of gene loss, illustrated for example by the small size and limited gene content of the human Y chromosome (Repping

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2006). Nonrecombining regions also tend to accumulate transposable elements (TEs) whose insertions can cause deleterious mutations (e.g., Steinemann M and Steinemann S 1992; Erlandsson et al. 2000; Bachtrog 2003; Marais et al. 2008).

In plants and animals in which one sex is heterogametic (e.g., XY males in systems with XX females), degeneration of the sex chromosomes is asymmetric (Bachtrog 2013). Deleterious recessive mutations on the Y are sheltered, whereas mutations on the X can be exposed to selection when in the homogametic sex. The efficacy of selection on sex chromosomes is further limited because of their reduced effective population size, exacerbated by the process of hitchhiking by deleterious mutations, for example, linked to positively selected Y-linked mutations (Bergero and Charlesworth 2009; Bachtrog 2013). As with the sheltering of mutations, these population-level effects influence the Y chromosome more severely than the X.

Some fungal mating-type chromosomes can also display recombination suppression and size dimorphism analogous to sex chromosomes (Fraser et al. 2004; Menkis et al. 2008; Hood et al. 2013; Grognet et al. 2014). Mating-type chromosomes carry the genes regulating mating compatibility (e.g., through premating pheromones and receptors and postmating homeodomain proteins) but not those determining male/female functions (Billiard et al. 2011). Mating occurs in the haploid stage in fungi, and consequently their mating-type chromosomes are always in a heterogametic condition in diploids. Mating-type chromosomes are therefore expected, like sex chromosomes, to display phenomena of sheltering of deleterious mutations and smaller effective population size than nonmating-type (non-MAT) chromosomes, which may lead to degeneration. However, in contrast to sex chromosomes, the sheltering and reduced effective population size effects are expected to be symmetrical in the mating-type chromosome pair (Bull 1978).

The evolutionary consequences of suppressed recombination on fungal mating-type chromosomes have not been extensively studied. Nevertheless, footprints of degeneration have been reported in the form of high nonsynonymous substitution rates and degeneration of codon usage in the fungus *Neurospora tetrasperma* (Ellison et al. 2011; Whittle and Johannesson 2011; Whittle et al. 2011). Also, the accumulation of TEs has been shown in the mating-type chromosomes of *N. tetrasperma* and *Microbotryum lychnidis-dioicae* (Hood et al. 2004; Ellison et al. 2011). Further work is needed to assess whether reduced expression or loss of genes also occurs, and whether degeneration is similar in the two mating-type chromosomes. In the case of the *M. lychnidis-dioicae* mating-type chromosomes, opposite conclusions have been reached about the extent of suppressed recombination and whether evolutionary strata exist. Evolutionary strata are distinct regions of different levels of divergence between sex chromosomes, probably reflecting successive stages in the expansion of the region with suppressed recombination (Lahn and Page 1999; Bergero et al. 2007). Some results suggest a small region with distinct strata in *M. lychnidis-dioicae* (Votintseva and Filatov 2009), whereas others suggest that much of the chromosome pair is nonrecombining (Hood et al. 2013).

*Microbotryum lychnidis-dioicae* is a fungus causing anther-smut disease on *Silene latifolia*. It was the first fungus in which dimorphic fungal mating-type chromosomes ( $a_1$ , ~3.3 Mb, and  $a_2$ , ~4.0 Mb) were described (Hood 2002; Hood et al. 2013). The suppression of recombination between mating-type chromosomes is thought to be favored under a highly selfing mating system as occurs in this fungus (supplementary fig. S1, Supplementary Material online) (Antonovics and Abrams 2004; Hood and Antonovics 2004; Johnson et al. 2005; Giraud et al. 2008). Suppression of recombination linking the centromere, premating pheromones/receptors, and postmating homeodomain proteins renders a higher rate of gamete compatibility under intratetrad selfing (Hood and Antonovics 2000; Nieuwenhuis et al. 2013). Segregation analyses of progeny (Hood and Antonovics 2004) and the lack of colinearity found by analysis of optical maps, that is, ordered, chromosome-wide restriction maps (Hood et al. 2013), indicate that nonrecombining regions (NRRs) make up most of the *M. lychnidis-dioicae* mating-type chromosomes (up to 90%). In contrast, Votintseva and Filatov (2009) estimated that only 25% of the markers from the mating-type chromosomes segregated with the mating type. The NRRs of the mating-type chromosomes in *M. lychnidis-dioicae* are flanked at either end by recombining regions (MATRRs), as shown by segregation analyses of progeny (Votintseva and Filatov 2009) and by colinearity in the optical maps (Hood et al. 2013). Because these distal regions retain the ability to recombine, the transmission and population genetics of these chromosomes are expected to be similar to non-MAT chromosomes (Ellis et al. 1990).

Very ancient trans-specific polymorphism has been observed at the mating-pheromone receptor gene (370 My old; Devier et al. 2009) and, to a lesser extent, at other genes, not involved in mating but linked to the mating-type genes (Abbate and Hood 2010a; Petit et al. 2012). Such ancient trans-specific polymorphism indicates that cessation of recombination began before divergence of the *Microbotryum* anther-smut fungi. Numerous *Microbotryum* species have dimorphic mating-type chromosomes (Perlin 1996; Hood and Antonovics 2004), thus providing a unique opportunity to study the dynamics, roles, and patterns of degeneration in the NRRs in fungal mating-type chromosomes. However, the sequence of the  $a_1$  genome of the reference strain of *M. lychnidis-dioicae*, available at the Broad Institute, was insufficiently assembled to identify the  $a_1$  mating-type chromosome. Furthermore, no reference  $a_2$  genome was available. The aims of this work were therefore: 1) To identify the sequences of the  $a_1$  and  $a_2$  mating-type chromosomes and of their NRRs in *M. lychnidis-dioicae*, and 2) to investigate TE accumulation and degeneration in the NRRs of the mating-type chromosomes in the *Microbotryum* genus, including increased nonsynonymous substitution rates (dN/dS), reduced expression, and gene loss.

## Results

### Identification of Mating-Type Chromosomes, MATRRs, and NRRs in *M. lychnidis-dioicae*

We used the reference specimen whose  $a_1$  haploid genome was sequenced by the Broad Institute. Both  $a_1$  and  $a_2$

mating-type chromosomes from this reference specimen were isolated on pulsed-field electrophoretic gels and sequenced at very high coverage (1,175×). Mapping the  $a_1$  chromosome-specific DNA against the  $a_1$  reference assembly available at the Broad Institute allowed the identification of scaffolds corresponding to the  $a_1$  mating-type chromosome. We conducted de novo assembly of the sequences of the karyotype-isolated  $a_2$  mating-type chromosome.

The Broad Institute Supercontigs 37 and 43 could be anchored on previously established optical maps of the *M. lychnidis-dioicae* mating-type chromosomes (Hood et al. 2013). Each of these two scaffolds anchored on one of the two distal regions of structural colinearity between the  $a_1$  and  $a_2$  mating-type chromosomes (Hood et al. 2013). This allowed the sequences of the mating-type chromosome recombining regions (MATRRs) to be identified. As expected for recombining DNA in a selfing species, the sequences assigned to MATRRs showed less than 0.1% nucleotide divergence between the  $a_1$  and  $a_2$  chromosomes sequenced.

Because there were numerous repetitive sequences, the remaining scaffolds assigned to the mating-type chromosome NRRs could not be satisfactorily anchored on the optical maps. Nevertheless, their assignment to the mating-type chromosomes appeared reliable because, after filtering out repetitive elements: 1) These scaffolds were highly represented in the reads from the karyotype-isolated mating-type chromosomes, and 2) significant BLASTn hits were found between the unique genes from the  $a_1$  and  $a_2$  NRR scaffolds, with substantial divergence between most alleles, consistent with expectations for NRRs. Some unique genes within scaffolds assigned to NRRs appeared highly similar between  $a_1$  and  $a_2$ . However, these genes were embedded within scaffolds that carried other genes with more divergence between the two mating types (supplementary fig. S2, Supplementary Material online). Their assignment to NRRs therefore appears to be sound. Existing genetic maps were used for further validation of our scaffold assignments to NRRs and MATRRs. All 11 loci previously shown to be completely linked to the mating-type in segregation analyses of a single progeny (Abbate and Hood 2010b; Petit et al. 2012) were found on the scaffolds we assigned to NRRs. The sequences we assigned to NRRs included 11 additional loci shown to be linked to the mating type in another segregation analysis (Votintseva and Filatov 2009). One of the NRR loci (accession BZ782397 in Votintseva and Filatov 2009) corresponded to one of our NRR fragments with zero  $a_1$ – $a_2$  sequence divergence. Thus, even nondiverged regions may belong to the NRR. Five mating-type chromosome loci previously shown to be unlinked to mating type and with zero sequence divergence between  $a_1$  and  $a_2$  (Votintseva and Filatov 2009) were found in scaffolds we assigned to MATRR contigs (accessions BZ782138, BZ782443, BZ782547, BZ782204, and BZ782044).

In the  $a_1$  *M. lychnidis-dioicae* reference genome, 6,891 genes were predicted in non-MAT chromosomes, 349 genes in the NRRs, and 99 genes in the MATRRs. The NRRs thus carry 78% of the genes of the mating-type chromosome. Genes typically determining mating types in basidiomycetes

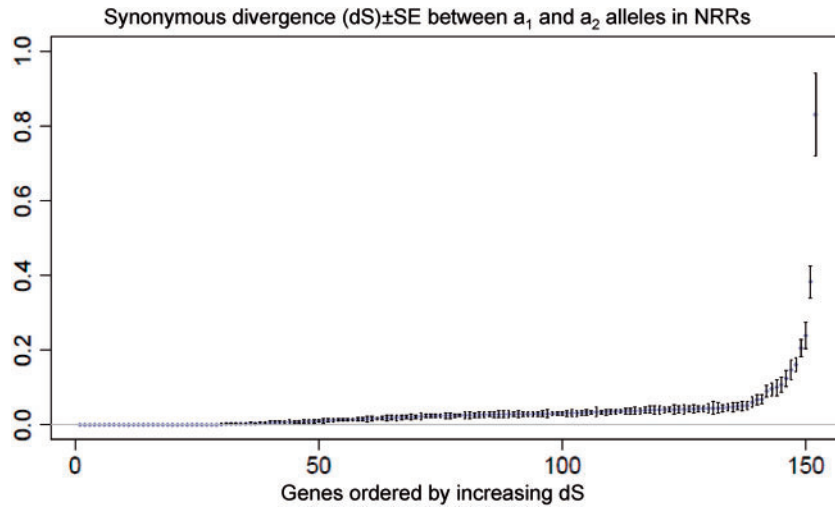
were found in the NRRs: 1) The genes encoding the mating pheromones and receptors, which determine conjugation compatibility, and 2) the genes encoding mating homeodomain regulating growth of the dikaryon after conjugation. Other than genes previously identified as belonging to the NRRs and involved in mating (Petit et al. 2012), no other genes in the NRRs had any putative mating function.

### Substantial Divergence between NRRs and Investigation of the Possibility of Evolutionary Strata in *M. lychnidis-dioicae*

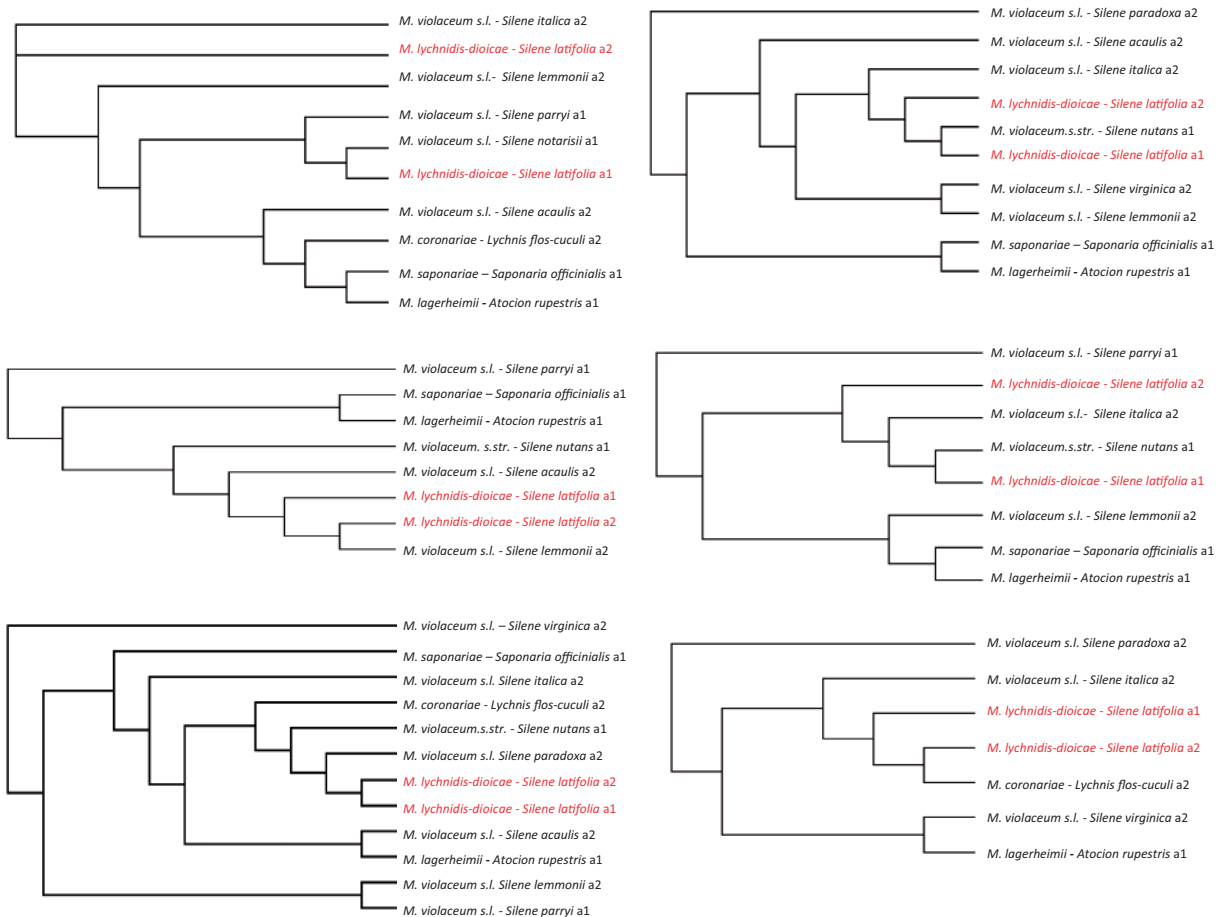
Using our de novo assembly of the  $a_2$  mating-type chromosome, we identified the reciprocal best hits between predicted NRR genes on the  $a_1$  and  $a_2$  sequences. We estimated the divergence between these alleles at synonymous coding positions, using alignments of concatenated exons per gene longer than 1,000 bp to avoid stochastic effects due to short sequences (fig. 1). Synonymous divergence was substantial, indicating that the suppression of recombination between the mating-type chromosomes of *M. lychnidis-dioicae* was very ancient, with some extreme values and divergence varying from 0 to 3.4, and a mean of 0.06. There were no marked gaps in the distribution of divergence values (fig. 1), as would be expected if different evolutionary strata had ceased recombining at different times. Because the assembly was incomplete, however, we could not plot the divergence levels against physical distance, which would be the most powerful approach to test for the existence of evolutionary strata. Nevertheless, the large scaffolds included genes displaying high variation in levels of  $a_1$ – $a_2$  divergence (supplementary fig. S2, Supplementary Material online), indicating that heterogeneity in divergence occurs at small scales of physical distance.

We then assessed when recombination suppression between the mating-type chromosomes of *M. lychnidis-dioicae* emerged relative to speciation events. We built trees of the predicted genes assigned to NRRs. Trees were built from alignments of putative orthologous groups (POGs) from the whole haploid genome sequences of either mating type (obtained by 454 technology) from 11 other *Microbotryum* species, as well as the  $a_1$  and  $a_2$  alleles of *M. lychnidis-dioicae*. In principle, recent recombination events would place the  $a_1$  and  $a_2$  alleles of *M. lychnidis-dioicae* close together relative to alleles of other species, whereas suppression of recombination established before speciation events will place *M. lychnidis-dioicae*  $a_2$  alleles closer to  $a_2$  alleles of other species than to *M. lychnidis-dioicae*  $a_1$  alleles (Devier et al. 2009; Petit et al. 2012). Among the 349 predicted NRR genes, only 45 reliable alignments could be obtained that included the alleles of both  $a_1$  and  $a_2$  of *M. lychnidis-dioicae* and of at least five other species. In 19 of the 45 trees built with confidently aligned NRR genes, the  $a_2$  alleles of *M. lychnidis-dioicae* clustered with  $a_2$  alleles of other species: *Microbotryum* from *S. notarsii*, from *L. flos-cuculi*, *S. nutans* and/or *S. lemmoni* (fig. 2). Such trans-specific polymorphism indicates that recombination was suppressed before the corresponding speciation events. The other 26 trees showed clustering of *M. lychnidis-dioicae*  $a_1$





**FIG. 1.** Synonymous divergence between  $a_1$  and  $a_2$  alleles in predicted genes in the NRRs of the mating-type chromosomes of *Microbotryum lychnidis-dioicae*, ranked by increasing divergence. Only trimmed alignments of concatenated exons longer than 1,000 bp were retained. Synonymous divergence was estimated as the numbers of synonymous substitutions (dS), divided by the number of synonymous sites in the sequence; standard errors are shown. A single dS value at 3.4 is not shown for optimal visualization of the other values. The divergence between the pheromone receptor alleles is not shown either as they could not be aligned in nucleotides.



**FIG. 2.** Examples of gene trees in NRRs of the mating-type chromosomes. Examples are shown of different placements of the  $a_1$  and  $a_2$  alleles of *Microbotryum lychnidis-dioicae* genes (in gray), relative to orthologs in other *Microbotryum* species (in black). The name of the host plant is indicated next to the *Microbotryum* species name.

and  $a_2$  alleles in a specific clade, with high similarity, suggesting that there had been recent gene conversion (fig. 2).

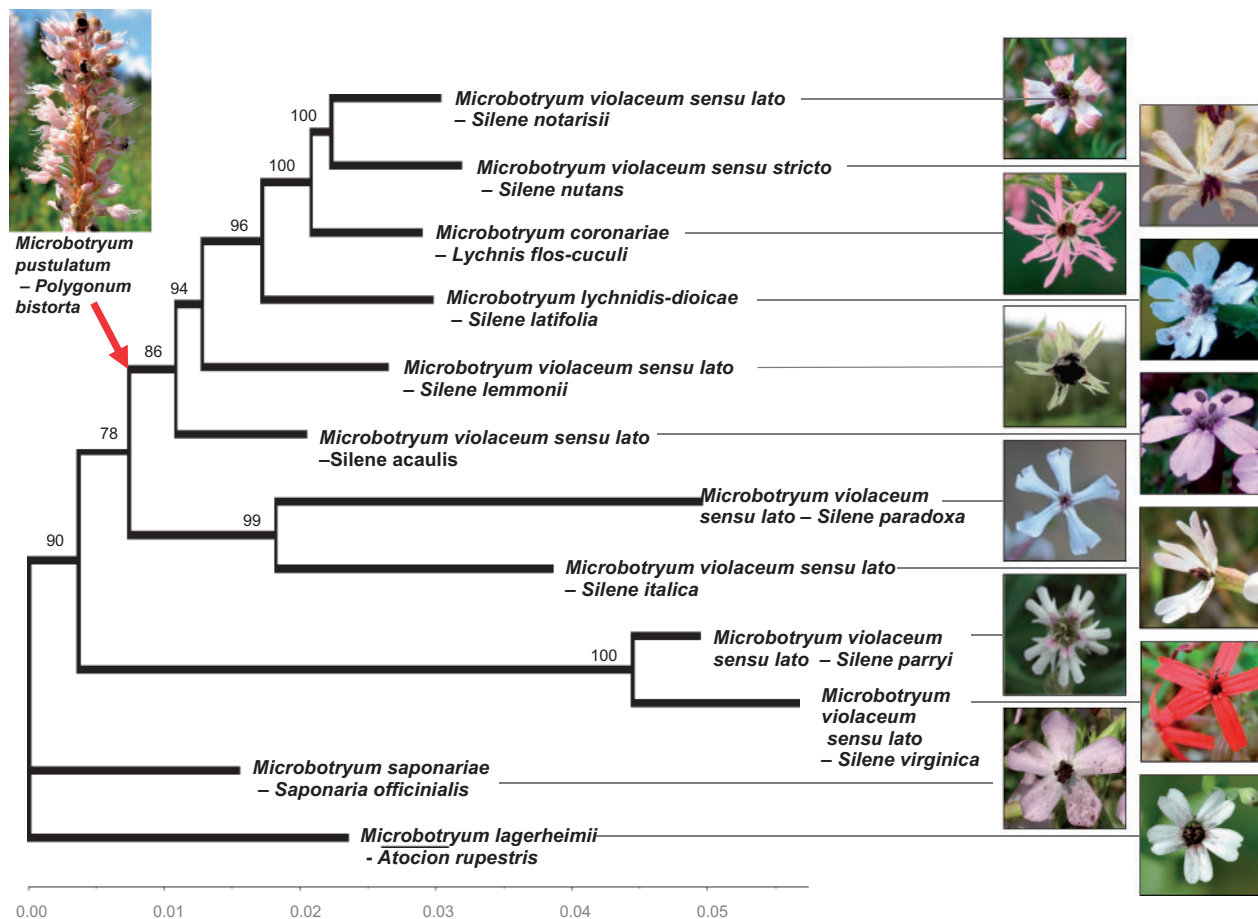
Gene conversion footprints were investigated for predicted genes in NRRs. We used the 196 POG alignments from various *Microbotryum* species and assigned to NRR (see below). A total of 11 gene conversion events were detected within these NRR genes, corresponding to  $1.9 \times 10^{-4}$  events per base pair, affecting 3.6% of the genes.

### Identification of POGs and Species Tree Reconstruction

We mapped the whole genome sequences of the 11 other *Microbotryum* species and the outgroup (haploid genomes of either mating type; fig. 3) onto the *M. lychnidis-dioicae* reference genome. We thereby identified 5,726 POGs of loci in which at least 6 of the 12 species were represented and that were longer than 150 bp. These sequences were filtered to remove intron positions and surrounding regions that did not align reliably. Based on their positions in the

*M. lychnidis-dioicae* reference genome, 5,453 POGs were assigned to non-MAT chromosomes, 196 to NRRs, and 69 to the MATRRs.

We built a phylogeny of the *Microbotryum* species based upon the set of 5,453 POGs from non-MAT chromosomes (fig. 3); genes on the mating-type chromosomes were excluded because they may display trans-specific polymorphism that could blur species relationships (Devier et al. 2009; Abbate and Hood 2010b; Petit et al. 2012). The relationships between species were consistent with those reported previously for this fungal genus (Kemler et al. 2006). The phylogeny was rooted using a subset of 46 POGs from the outgroup *M. pustulatum* parasitizing *Polygonum bistorta* (Kemler et al. 2006); the topology of the resulting rooted phylogeny was identical to the unrooted version based on the data set from non-MAT chromosomes, although with smaller bootstrap values. The topology obtained using the full set of 5,726 POGs (including from the mating-type chromosome loci) was also identical and



**Fig. 3.** Unrooted phylogenetic tree of the *Microbotryum* species studied, with a gene partition model under the maximum-likelihood framework. The topologies obtained with the different data sets were all identical: The full set of 5,726 putative orthologous genes (POGs), the 46 POGs including the outgroup, the 5,453 non-MAT chromosome POGs, and the set of 288 non-MAT chromosome POGs with high individual bootstraps. Bootstraps obtained using the full set of 5,726 POGs are indicated at the nodes. The root obtained with the 46 concatenated POGs including the outgroup species, *M. pustulatum* parasitizing *Polygonum bistorta*, is indicated with a red arrow. The scale indicates the total number of substitutions per base accumulated in each lineage. Fungal species names, and host species names, are indicated. *Microbotryum violaceum sensu lato* applies to all host-specific lineages for which no Latin name has been given, whereas *Microbotryum violaceum sensu stricto* indicates the host-specific lineage to which this Latin name was originally given. Pictures of diseased host plants are shown.

with smaller bootstrap values, due to trans-specific polymorphisms of genes in the NRRs.

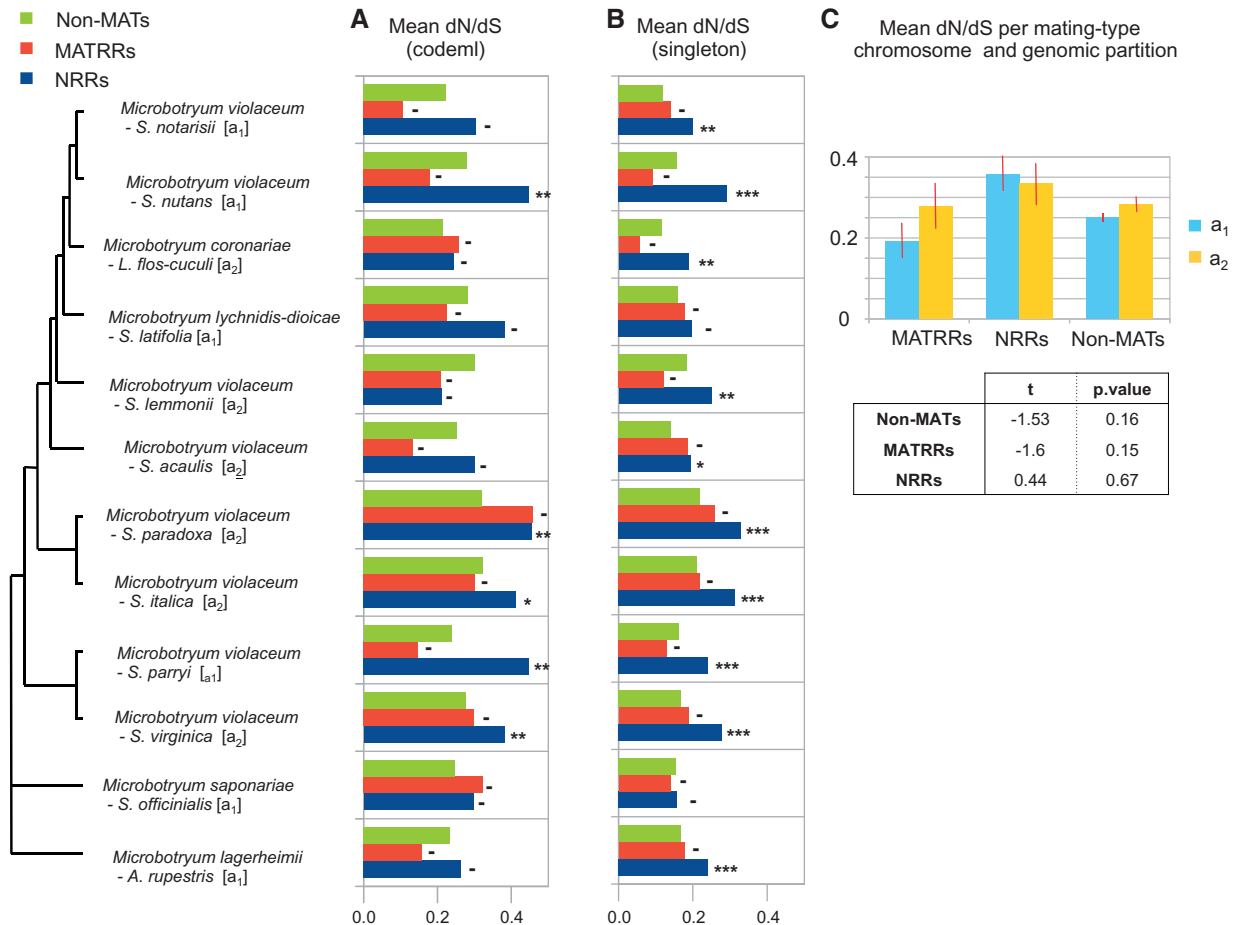
Bootstrap support of 100% for nodes in phylogenomic trees may nevertheless mask disagreement between trees for individual genes. The phylogeny was therefore reconstructed using only the 288 POGs from non-MAT chromosomes displaying strong bootstrap values in their individual gene trees as previously recommended (Salichos and Rokas 2013). This yielded the same topology as the rooted tree, with 100% bootstrap support for all nodes (fig. 3).

### Increased Nonsynonymous Substitution Rates in NRRs

Footprints of less effective selection were identified as the accumulation of nonsynonymous substitutions (dN, assumed to be deleterious) at a faster rate than of synonymous substitutions (dS) in the 12-species POG data set. The comparison of median  $\omega$  ( $=dN/dS$ ) values for terminal branches (fig. 4A) indicated that rates of nonsynonymous substitution

in NRRs were higher than those in non-MAT chromosomes in all species except in the branch of the *Microbotryum* species parasitizing *S. lemmonii*. The differences in  $\omega$  values between NRRs and non-MAT chromosomes were significant in four *Microbotryum* species, those parasitizing *S. nutans*, *S. paradoxa*, *S. parryi*, and *S. virginica*. In contrast,  $\omega$  values did not differ between MATRRs on the mating-type chromosomes and those on the non-MAT chromosomes;  $\omega$  values were, however, lower in the MATRR than in non-MAT chromosomes in 8 of the 12 species (fig. 4A). Sequencing errors are unlikely to be responsible for the differences in the estimated substitution rates between compartments (NRR, MATRR, or non-MAT chromosomes), as the mean read qualities for the different compartments were similar.

The NRRs of both the  $a_1$  and  $a_2$  mating-type chromosomes had higher rates of nonsynonymous substitutions than in non-MAT chromosomes across the genus as a whole (fig. 4C). We then compared degeneration between the  $a_1$



**FIG. 4.** Degeneration estimated by comparing mean nonsynonymous with synonymous substitutions ( $\omega = dN/dS$ ) across CDS in each of non-MAT chromosomes, nonrecombining regions of the mating-type chromosomes (NRRs), and recombining regions of the mating-type chromosomes (MATRRs) in 12 haploid *Microbotryum* genomes (either of  $a_1$  or  $a_2$  mating type): (A) With the full frequency spectrum of substitutions used to compute dN/dS through a free-ratio branch model (Codeml, PAML), or (B) with only singleton substitutions taken into account to focus on recent events. The significance of the differences between NRR and non-MAT chromosomes and between MATRRs and non-MAT chromosomes was assessed by bootstrapping. Significance levels of 1% (\*\*\*) , 5% (\*\*) and 10% (\*) and nonsignificance (-) are reported on barplots. (C) Mean  $\omega$  (dN/dS) per mating-type chromosome ( $a_1$  and  $a_2$ ), for NRRs MATRRs and non-MAT chromosomes. Red bars indicate standard deviations. Associated two-sample  $t$ -tests are presented in the table: No significant difference in mean dN/dS was detected between  $a_1$  and  $a_2$ .

and  $a_2$  NRR within a single species, *M. lychnidis-dioicae*. We computed the  $\omega$  values for the 45 NRR POGs with reliable alignments between the  $a_1$  and  $a_2$  alleles of *M. lychnidis-dioicae*. The difference between  $a_1$  and  $a_2$  was significant for only one of these 45 NRR genes, and thus there was no evidence for asymmetry of degeneration between  $a_1$  and  $a_2$  mating-type chromosomes.

To study recent, phylogenetically independent substitutions, we also analyzed  $\omega$  values for mutations found in only one of the species (singletons):  $\omega$  ratios were again significantly higher in NRRs than in non-MAT chromosomes (fig. 4B) in 10 of the 12 species (for all except *M. lychnidis-dioicae* parasitizing *S. latifolia*, and *M. saponariae* parasitizing *Saponaria officinalis*). MATRR  $\omega$  values again were not significantly different from those in non-MAT chromosomes (fig. 4B).

### Distinguishing Decreased Efficacy of Purifying Selection from Positive Selection

The higher nonsynonymous substitution rates in NRRs than in non-MAT chromosomes may reflect decreased efficacy of purifying selection, as would be expected under sheltering and reduced effective population size. Indeed, the results of codon-model tests with and without selection were not consistent with the alternative explanation of positive selection (supplementary table S1, Supplementary Material online). The proportions of loci inferred to evolve under positive selection in the 12-species POG data set appeared to be similar in NRRs (6%, 12 predicted genes), MATRRs and non-MAT chromosomes (4% each). Furthermore, discarding the POGs falling in the “nearly neutral selection” ( $0.8 < \omega < 1.2$ ) and “positive selection” ( $\omega > 1.2$ ) classes led to similarly higher mean  $\omega$  in NRR than in non-MAT chromosomes and MATRR compartments (supplementary fig. S3A and B, Supplementary Material online). This suggests that the generally higher  $\omega$  in NRRs than other compartments is a consequence of a lower efficacy of purifying selection rather than the effects of positive selection on genes.

### Higher TE Accumulation in NRRs than Recombining Regions

TE content was significantly higher in the NRRs than in non-MAT chromosomes of all *Microbotryum* species and this was true for both  $a_1$  and  $a_2$  mating-type chromosomes (fig. 5): The TE content was at least four times greater in NRR than in non-MAT chromosomes in all cases, and 26 times more in the case of *M. violaceum* sensu stricto parasitizing *S. nutans*. We examined separately the three most frequent TE families in *M. lychnidis-dioicae*: Copia-like, Gypsy-like, and rolling circle Helitron-like elements (Hood 2005; Hood et al. 2005; Yockteng et al. 2007). The greater TE content in NRR than non-MAT chromosomes applied to all the three families (supplementary fig. S3D, Supplementary Material online). MATRRs tended to harbor fewer TEs than the non-MAT chromosomes (fig. 5), but the difference was not significant.

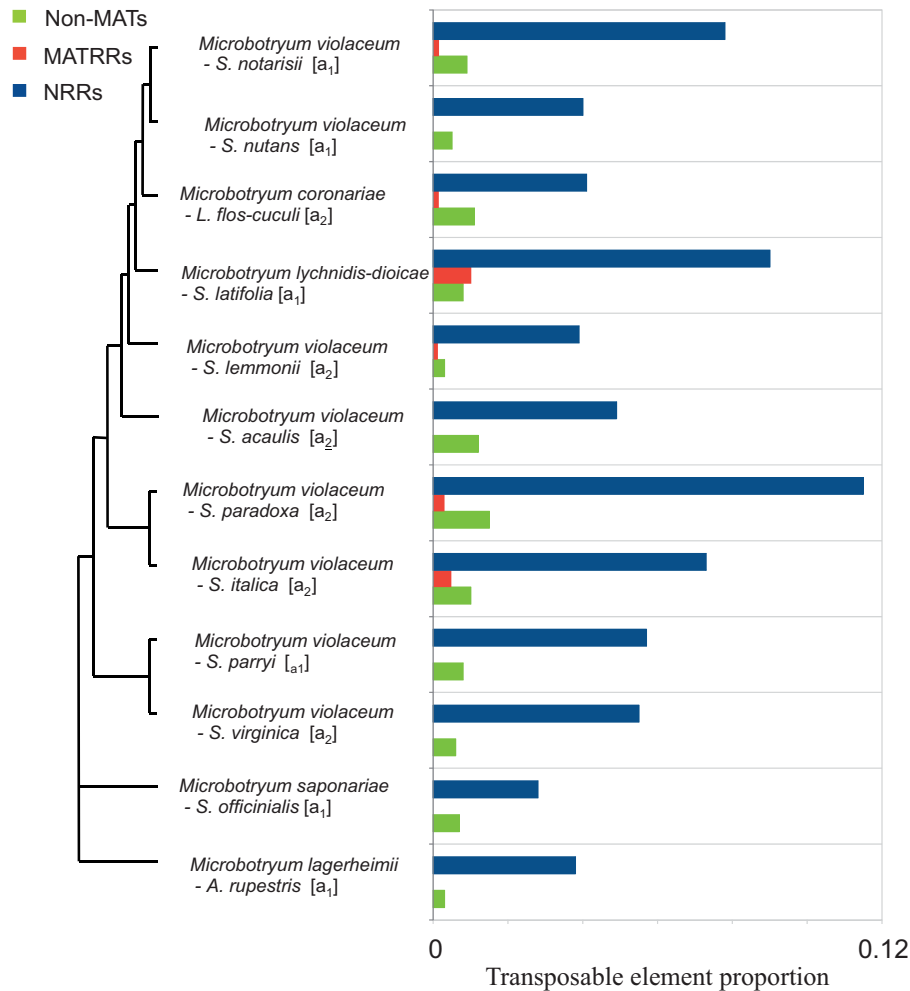
### Differential Expression or Gene Loss between the Mating-Type Chromosomes in *M. lychnidis-dioicae*

We then examined gene expression using RNA sequencing (RNA-Seq) in separated  $a_1$  and  $a_2$  haploid cultures of *M. lychnidis-dioicae*, under conducive (low nutrient water agar) and nonconductive (nutrient-rich medium) mating environments. The gene expression profiles (supplementary fig. S4A, Supplementary Material online) revealed 177 genes differentially expressed (cutoff  $1E^{-10}$ ) in *M. lychnidis-dioicae* between  $a_1$  and  $a_2$  haploid cultures in either low or high nutrient environments. Among these 177 genes, most of them (93) were differentially expressed under both conditions. Eighty-four of the 177 differentially expressed genes were located in the NRRs and two in the MATRRs. This represents a 49% enrichment of differentially expressed genes in the NRRs, which is highly significant (Pearson  $\chi^2 = 52.61$ ,  $P < 0.001$ ).

Differential expression of NRR genes between  $a_1$  and  $a_2$  cultures can be caused by 1) deleterious mutations impairing proper expression but sheltered in the dikaryotic/diploid stage by permanent heterozygosity in NRRs, or 2) selection favoring linkage to one mating type because of antagonistic effects in cells of the other mating type; this would be similar to genes on sex chromosomes with antagonistic effects in males and females (Charlesworth et al. 2005; Bergero and Charlesworth 2009). Were this second possibility the case, the differentially expressed genes in NRR would be expected to be associated with mating-type functions and thus potentially upregulated during mating. However, the differentially expressed genes in NRRs were not associated with any putative functions involved in mating, except for the mating-type pheromone receptor and homeodomain loci. Furthermore, we did not detect a higher percentage of genes upregulated during mating in NRRs than in non-MAT chromosomes or MATRRs: 2.63% of NRR genes were upregulated during mating, versus 2.02% in MATRRs and 1.71% in non-MAT chromosomes. Moreover, only 10 of the 130 genes upregulated during mating were also differentially expressed between separated  $a_1$  and  $a_2$  cells. Thus, few, if any, of the genes identified as differentially expressed between mating types are involved in mating-type determination, arguing against the idea that numerous genes have antagonistic effects between the two mating types. These observations suggest that most of the genes with weak or no expression in one mating type correspond to genes with deleterious mutations rather than mating-type specific roles.

In our RNA-Seq analysis, fewer genes appear to be expressed specifically in  $a_2$  than  $a_1$  haploid cells. Sixty-eight of the 86 differentially expressed genes mapping to the  $a_1$  mating-type chromosome were significantly more strongly expressed in  $a_1$  cultures and 18 in  $a_2$  cultures (supplementary fig. S3, Supplementary Material online). This was probably because reads were mapped against the gene set from the  $a_1$  reference genome, so genes lost from the  $a_1$  mating-type chromosome (and thus only expressed in  $a_2$  cells) would not have been identified. To recover those genes, RNA-Seq reads from the  $a_1$  and  $a_2$  cell libraries were separately assembled de novo. The resulting transcripts were mapped against both the





**FIG. 5.** TE contents in contigs assigned to non-MAT chromosomes, nonrecombining regions of the mating-type chromosomes (NRRs), and recombining regions of the mating-type chromosomes (MATRRs), in 12 haploid *Microbotryum* genomes (either of a<sub>1</sub> or a<sub>2</sub> mating type). The results reported are proportions of sequences corresponding to TEs in 1,000 random 200-bp fragments in each compartment (non-MAT chromosomes, NRRs, and MATRRs).

a<sub>1</sub> reference genome and a de novo assembly of the a<sub>2</sub> mating-type chromosome from the reference specimen. For some genes lacking transcripts in cultures of one mating type, there was evidence of gene loss from the corresponding mating-type chromosome. Sixteen of the 30 genes expressed only in cultures of the a<sub>1</sub> mating type were located in the NRR and not found among a<sub>2</sub> genome sequences. Similarly, 9 of the 21 genes expressed only in cultures of the a<sub>2</sub> mating type were located in the NRR and not found among a<sub>1</sub> genome sequences. The number of gene losses from a<sub>1</sub> and a<sub>2</sub> NRRs were not significantly different ( $\chi^2 = 0.2$ ;  $P = 0.35$ ). We confirmed gene loss from either one of the mating-type chromosomes by polymerase chain reaction (PCR) detection using gene-specific primers in the *M. lychnidis-dioicae* Lamole reference strain (supplementary table S2A, Supplementary Material online). We also screened 18 other *M. lychnidis-dioicae* or *M. silenes-dioicae* strains by PCR using the same primer pairs for the presence of these genes. Overall, the pattern of gene loss observed was same as that in the Lamole reference strain. However, a couple of genes were found in both mating types in several strains, indicating that the gene losses are not

in all cases fixed within the species (supplementary table S2B, Supplementary Material online).

## Discussion

### Identification of DNA Sequences of the Mating-Type Chromosomes in *M. lychnidis-dioicae*, and Its Large NRRs

Sequencing the chromosomes extracted from pulse-field gels allowed the identification of the a<sub>1</sub> and a<sub>2</sub> mating-type chromosomes within the reference genome sequence, as well as their MATRRs and NRRs. Several lines of evidence validated our assignments of scaffolds to NRRs, MATRRs, and non-MAT chromosomes, noting that any failure to properly assign a few sequences would obscure the differences between the mating-type chromosomes and non-MAT chromosomes. The tests for differences between NRRs and recombining regions should therefore be conservative.

Orthologs between the two mating-type chromosomes (a<sub>1</sub> and a<sub>2</sub>) were found in the NRRs. This finding supports the view that the dimorphic mating-type chromosomes in fungi

were initially derived from a homologous pair of non-MAT chromosomes, as observed for sex chromosomes and other fungal mating-type chromosomes (Charlesworth 1991; Charlesworth et al. 2005; Menkis et al. 2008). Several hundred genes were identified in the NRRs of the mating-type chromosomes, representing most of the predicted genes of the mating-type chromosomes. This is consistent with suppressed recombination extending over most of the mating-type chromosome pair in *M. lychnidis-dioicae*, as indicated by analysis of optical maps (Hood et al. 2013). Votintseva and Filatov (2009), in contrast, reported that the NRRs covered a much shorter section of the mating-type chromosomes in *M. lychnidis-dioicae*. That result, however, was partly due to the inclusion of loci that were repetitive sequences or were missassigned to MATRRs (Hood et al. 2013).

### Divergence between $a_1$ and $a_2$ Alleles in the NRRs of the Mating-Type Chromosomes in *M. lychnidis-dioicae*

The high divergence of synonymous substitutions between the  $a_1$  versus  $a_2$  alleles in many of the genes in the NRR indicates that the cessation of recombination is ancient. We found no evidence for allele divergence in discrete stages, which could have been expected were there evolutionary strata in mating-type chromosomes of *M. lychnidis-dioicae*. A high heterogeneity in divergence levels was found, which could result from the evolutionary strata, although the heterogeneity was observed at small physical scale. Physical mapping of loci may be required before a definitive conclusion about the existence of evolutionary strata or other processes is possible.

Dating the suppression of recombination in *M. lychnidis-dioicae* is limited by the inability to use absolute calibration. Indeed, calibration points are rare; exploitable calibrations points require the alignment of numerous genes in fungal species much more distant than the genus level examined here. Using fossil records for calibration, we estimated that the suppression of recombination at the highly conserved mating pheromone receptor gene in *M. lychnidis-dioicae* occurred approximately 370 Ma (Devier et al. 2009). Here, we could only estimate a relative date for the suppression of recombination by investigating the placement of the  $a_1$  and  $a_2$  alleles in the broader gene trees that contained several *Microbotryum* species. Among the subset of NRR genes that could reliably be aligned with other species, their placements often indicated that recombination was suppressed before the speciation of the sister species *M. lychnidis-dioicae* and *M. silenes-dioicae*. This speciation event has been estimated to have been 420,000 years ago (Gladieux et al. 2011). For some predicted genes, however,  $a_1$  and  $a_2$  alleles were very similar, indicating recent cessation of recombination or gene conversion. The heterogeneity of divergence times may be the result of an expansion of the region with suppressed recombination (i.e., evolutionary strata), transposition of genes, and/or the influence of gene conversion. Further studies that provide more complete assembly will aid in disentangling these various possibilities.

### Evidence of Degeneration in the Regions of Suppressed Recombination in the Mating-Type Chromosomes of Multiple *Microbotryum* Species

Consistent with the reduced efficacy of selection expected in the nonrecombining mating-type chromosomes, we detected signs of genetic degeneration in the NRRs of *Microbotryum* species, in the form of higher rates of nonsynonymous than synonymous substitutions in NRRs than in MATRRs or non-MAT chromosomes. Note that the nonsynonymous substitutions detected here may represent rare variants within the species, as we did not have polymorphism data to check whether the substitutions had been fixed at the species level. Even in this case, however, the finding of higher numbers of nonsynonymous substitutions in NRRs than in non-MAT chromosomes would still be a strong indication of a lower efficacy of purifying selection, leading to deleterious substitutions being maintained at higher frequencies in the NRRs than in non-MAT chromosomes.

The different patterns of gene expression between  $a_1$  and  $a_2$  haploids also provided strong evidence for degeneration, with some genes showing reduced expression or even having been lost in one mating type. The differential expression was not likely to be due to selection associated with mating-type determination because, other than mating pheromone receptors and homeodomain loci, differentially expressed genes in NRRs did not have any obvious mating functions. Consistent with this, cells of different mating types are not very differentiated and are of similar size, and the haploid stage is very transient and restricted to the tetrad formation (Zakharov 1986; Hood and Antonovics 2000, 2004) (supplementary fig. S1, Supplementary Material online). Only the mating-type genes at the pheromone/receptor and the homeodomain loci are therefore clearly expected to be associated with mating-type determinism, as is typical of basidiomycetes (Feldbrugge et al. 2004). Under “mating” conditions, the genes in the NRRs did not appear more frequently upregulated than genes on non-MAT chromosomes. The high frequency of genes with mating-type-specific expression in the NRRs is thus likely to be associated with the accumulation of deleterious mutations impairing proper expression. Indeed, genes not required for growth during the brief haploid stage may carry loss-of-function mutations at high frequencies, as these would be sheltered by the permanent heterozygosity of these regions.

The substantial degeneration in the NRRs agrees with observations of frequent haplolethal mutations in natural populations of several *Microbotryum* species. Deleterious alleles have been detected that were linked to one mating type ( $a_1$  or  $a_2$  depending on the strain), which prevent sustained growth of cells of one mating type when the haploid stage is artificially extended through in vitro culturing (Oudemans et al. 1998; Hood and Antonovics 2000; Thomas et al. 2003). In the case of the Lamole reference strain, from which the reference genome was obtained, both  $a_1$  and  $a_2$  cells can grow in vitro, so genes lost from the mating-type chromosomes in this genome are not essential for haploid growth.

The TE content of the *Microbotryum* mating-type chromosome NRRs was found in all species to be much higher than that of their MATRRs and non-MAT chromosomes. This is an expected consequence of suppressed recombination, as has been observed in sex chromosomes (Bachtrog 2013); TE insertions may contribute to degeneration by disrupting genes or altering their expression. TE accumulation was more similar between the MATRRs and non-MAT chromosomes, consistent with recombination occurring in MATRR and allowing for more effective selection such that deleterious TE insertions are more likely to be purged. Various families of TEs accumulated differently in NRRs of different *Microbotryum* species, providing further evidence of ongoing and independent mating-type chromosome degeneration during the divergence of these fungal lineages. Analyses of TE transcripts and of mutations also indicate that the activity of TEs in several *Microbotryum* species is continuing (Garber and Ruddat 1995, 1998; Yockteng et al. 2007).

A possible bias in our analyses is that all genomes were mapped against the *M. lychnidis-dioicae* reference assembly. Therefore, genes identified as present in the mating-type chromosomes based on the orthology with *M. lychnidis-dioicae* may be present on non-MAT chromosomes in other *Microbotryum* species. However, our analyses are conservative, as any movements between genomic compartments would obscure differences; our results indicate that sequences assigned to the mating-type chromosome evolved in a distinctive manner across species and that it is unlikely that many of them were misassigned between NRR, non-MAT chromosomes, and MATRR categories.

Overall, there are various lines of evidence for high levels of divergence, degeneration, and TE accumulation on the mating-type chromosomes in numerous species of *Microbotryum*. It is striking that a nonsex chromosome system exhibits such similar phenomena to well-characterized in sex chromosomes, with extensive suppressed recombination, loss of gene content, and accumulation of a high content of repetitive elements. Importantly, similar degeneration was observed on  $a_1$  and  $a_2$  mating-type chromosomes, in contrast to XY sex determining systems, where the Y chromosome degenerates much more than the X (Bachtrog 2013). This is consistent with Bull's prediction that degeneration is not expected to be asymmetrical in species where sex or mating compatibility is determined in the haploid stage and the chromosomes responsible are always heterogametic in the diploid stage (Bull 1978).

## Materials and Methods

### Reference Genome (*M. lychnidis-dioicae*)

Information on the  $a_1$  haploid genome of the Lamole reference strain of *M. lychnidis-dioicae* parasitizing *S. latifolia* was obtained from the Broad Institute web-server. This included the scaffolds (i.e., supercontigs) corresponding to the nonmitochondrial regions, the associated CDS annotations (gff files), and the annotations obtained with Interproscan, a powerful integrated database and diagnostic tool (Jones et al. 2014).

### Resequencing of the $a_1$ Mating-Type Chromosomes (*M. lychnidis-dioicae*)

The  $a_1$  mating-type chromosome from the same haploid Lamole genotype whose whole genome was sequenced by the Broad Institute was isolated using electrophoretic karyotype analysis as previously described (Hood et al. 2004). The DNA was subjected to multiple displacement amplifications with the REPLI-g Kit (QIAGEN), and then sequenced at a coverage of  $1,175 \times$  using 2- and 5-kb insert size, mate-paired libraries for Titanium version 454 technology ([www.rocke.com](http://www.rocke.com)). A first assembly using Newbler (the Roche assembler) was performed with approximately 30-fold and approximately 20-fold coverage.

### Identifying the Mating-Type Chromosome Scaffolds on the $a_1$ Reference Genome

To determine which scaffolds of the *M. lychnidis-dioicae* genome belonged to the  $a_1$  mating-type chromosomes and which to non-MAT chromosomes, the assembled contigs from the gel-isolated mating-type chromosomes were mapped against the *M. lychnidis-dioicae* reference genome using NUCmer (<http://mummer.sourceforge.net/>). Matches were assigned to the mating-type chromosome, and scaffolds without any match were assigned to non-MAT chromosomes. To validate these results, our 454 data (Newbler) were mapped onto the Broad Institute assembly scaffolds to identify contamination from non-MAT chromosomes by their scattered weak read coverage. Finally, all nonmitochondrial Broad Institute assembly scaffolds with coverage greater than 20-fold when mapped with contigs from the gel-isolated mating-type chromosomes were assigned to mating-type chromosomes.

### Sequencing and Assembly of the $a_2$ Mating-Type Chromosome (*M. lychnidis-dioicae*)

The  $a_2$  mating-type chromosome from the Lamole reference strain was isolated and amplified as described above for the  $a_1$  mating-type chromosome. Four libraries were sequenced on Illumina HiSeq 2000, one paired-end library (insert size of 250 bp) and three mate-pair libraries (expected insert sizes 3, 8, 20 kb; observed insert sizes of 2.2, 9, 12.5 kb, respectively). The sequence data for the paired-end library (100 bp sequenced at both ends of fragments) represent a coverage of  $1,175 \times$  (given the expected genome size of 25 Mb). The 2.2-, 9- and 12.5-kb libraries include 34, 6.4 and 2.2 millions of base pairs, respectively.

Contigs were generated from the paired-end data, with SOAPdenovo 2.04 (Li et al. 2010) using a kmer of 91. Then, a three-step process was applied to remove artifacts from the assembly: 1) Contigs fully included in longer contigs were removed; 2) contigs containing 15-mers that were not present in raw reads were split, as they are likely to be chimeras; and 3) contigs were trimmed (46 bp  $\sim$  1/2 kmer length) to remove the artificial overlaps created by the large kmer used.

Paired-end and mate-pair reads were mapped on contigs using the glint software (Faraut T and Courcelle E, unpublished data; <http://lipm-bioinfo.toulouse.inra.fr/>



download/glint; parameters --best-score --step 2 --no-lc-filtering --mms 5 --lrm 80). Due to the large numbers of highly conserved repeats, additional stringent criteria were used for further hit selection (length of the hit  $\geq 90$  bp; identity = 100%). LYNX scaffolder (Gouzy ), unpublished data) was used to generate scaffolds. A minimum number of five links was required for linking information from the 250-nt and 2.2-kb libraries to be considered, and at least two links for the 9- and 12.5-kb libraries. Finally, SOAPGapCloser was used to fill in gaps in the assembly. The final assembly was 24,832,594 nucleotides long in 388 scaffolds (N50 of scaffolds longer than 1,000 nt = 299 kb; 4.75% of N).

### Identification of the MATRRs (*M. lychnidis-dioicae*)

To identify sequence assemblies corresponding to the two MATRRs of *M. lychnidis-dioicae* mating-type chromosomes reported in optical maps (Hood et al. 2013), contigs were aligned with restriction-digest optical maps using the MapSolver software (OpGen) (Latreille et al. 2007). This software predicts restriction digest patterns from DNA sequence data for comparison with the observed optical maps (restriction-digest fragments sizes); alignments are based on a cumulative scoring function that rewards matching cut-site distributions and penalizes mismatch or missing sites. The software's default settings were used to calculate alignments.

### Genome Sequencing of Additional *Microbotryum* Species and Mapping against the Reference

The fungal material used in this study was collected as diploid teliospores from natural populations in North America and Europe. Some *Microbotryum* species have not received a specific Latin name yet and are referred to as *M. violaceum* sensu lato with the additional indication of their host plant species (fig. 3). *Microbotryum* species have been distinguished on the basis of a combination of multiple gene genealogies, inter-fertility assays, and hybrid fitness and fertility assessments (Le Gac, Hood, Fournier, et al. 2007; Le Gac, Hood, and Giraud 2007; de Vienne, Hood, et al. 2009; de Vienne, Refrégier, et al. 2009). Haploid cultures of the 11 *Microbotryum* species were obtained by micromanipulation of the postmeiotic yeast-like sporidial cells, which were grown on potato dextrose agar (Difco). Mating types of the haploid cultures were identified by pairing with cultures of known mating types and examining the conjugation response elicited by the alternate mating pheromone (Day 1979). Also, PCR primers that discriminate between  $a_1$  and  $a_2$  pheromone receptors (Devier et al. 2009) were used to test extracted DNA for mating type with the DNeasy Plant Mini Kit (QIAGEN). The extracted DNA from the *Microbotryum* species was also used to obtain shotgun sequence libraries using the Titanium version 454 technology (performed at the University of Virginia, Genomics Core Facility) with a coverage of  $2\text{--}2.5 \times$ . Only reliable sequenced positions, with sufficient qualities, were taken into account.

Sequence libraries for each of the 11 *Microbotryum* species were assembled individually by mapping against the *M. lychnidis-dioicae* reference genome using Newbler. Pairwise mapped sequences were realigned within species libraries

using Prank (Loytynoja and Goldman 2005, 2008) with the “-codon” option in accordance with recent recommendations based on simulations for the use of codon-based models to detect selection (Fletcher and Yang 2010; Markova-Raina and Petrov 2011). In the pairwise alignments obtained, the positions creating gaps on the reference sequence were discarded to maintain the initial coordinates in the reference.

Sequences matching the same fragments of the reference *M. lychnidis-dioicae* genome were clustered together as putative orthologs using Newbler-generated coordinates of the mapped contigs on the reference genome. Noncoding regions were filtered out from POGs using the CDS annotations of the reference genome provided by the Broad Institute. Loci shorter than 300 bp and those missing from more than six *Microbotryum* species were discarded.

An outgroup species, *M. pustulatum*, parasitizing *P. bistorta* (Kemler et al. 2006), was also sequenced and assembled against the reference genome as described above. Given its distance from the *Microbotryum* species (mean number of substitutions per site between the outgroup and the ingroups is  $0.085 \pm 0.067$ ), few POGs (46) were available for the phylogenetic studies. Consequently a second, larger data set was generated without the outgroup species, including 5,726 POGs, which was used for all the subsequent analyses.

### Species Phylogeny Inference

To avoid potential biases in the phylogenetic signal caused by trans-specific polymorphism, as observed at some mating-type genes (Devier et al. 2009; Abbate and Hood 2010b; Petit et al. 2012), only POGs not belonging to mating-type chromosomes were used for the species tree reconstruction. The 5,453 POGs not belonging to mating-type chromosomes were concatenated and JMODELTEST (Posada 2008) was used to infer the most suitable nucleotide substitution model. Phylogenetic trees were constructed in a maximum-likelihood framework using RAxML 7.8.1 (Stamatakis et al. 2005) with a GTR + gamma substitution model and on gene-partitioned data sets (substitution model parameters were optimized for each partition of genes with JMODELTEST). Other phylogenies based on codon position partitioning (computing estimations separately for the first two codon positions, GC<sub>12</sub>, vs. the third codon position, GC<sub>3</sub>) or on other amino acid substitution models, had identical topologies. A rooted phylogeny was also produced based on the small subset of 46 POGs for which orthologs were found in the *Microbotryum* species and the *P. bistorta* outgroup species (Lutz et al. 2005; Kemler et al. 2006).

The robustness of nodes in our tree was tested using only the POGs not belonging to the mating-type chromosomes yielding individual trees with a high mean bootstrap support (MBS) or a high Tree Certainty (TC) (Salichos and Rokas 2013). For each POG, ModelTest v 2.3.1 (Darriba et al. 2012) was used to find the best model, and PhyML v3 (Guindon and Gascuel 2003) to reconstruct each tree with 100 bootstrap replicates. For each tree, the MBS and the TC (using RAxML 7.8.1) were then computed. MBS and TC values being highly



correlated ( $R^2 = 0.8276$ ,  $P < 2.2e-16$ ), only MBS was used thereafter. POGs with  $MBS \geq 80$  were selected: The sequences of the 288 POGs selected were concatenated. RAxML v 7.2.8 was used to reconstruct the final trees using the gtr+gamma substitution model and 100 bootstrap replicates. Note that the tree obtained when selecting the genes based on their TC was the same as that based on MBS.

### Placement of NRR Alleles in $a_1$ and $a_2$ of *M. lychnidis-dioicae* in the Species Tree and Computation of Their Divergence

BLASTn and tBLASTn searches (NCBI blast 2.2.29 universal macosx) of the *M. lychnidis-dioicae*  $a_1$  alleles for predicted genes in NRRs were performed to search for hits in the  $a_2$  mating-type chromosome of *M. lychnidis-dioicae*. Both methods converged on the same hits. The  $a_2$  protein hits obtained from the tBLASTn search were added to the respective POG protein alignments obtained above and realigned using t-coffee 10.00.r1613 (Notredame et al. 2000) with default settings. The resulting alignments were trimmed with Trimal version 1.2rev59 (Capella-Gutierrez et al. 2009), removing 20% of the gaps introduced when adding the  $a_2$  sequences. We kept only the 45 reliable alignments, and used them to build the trees with RAxML version 7.4.4 (Stamatakis 2006) and the GTRGAMMA model. The placement of the  $a_1$  and  $a_2$  alleles relative to alleles of other species was visually inspected in the predicted trees. The synonymous divergence of NRR alleles between  $a_1$  and  $a_2$  of *M. lychnidis-dioicae* was computed as the estimated number of synonymous substitutions, including inferred multiple substitutions, divided by the number of synonymous sites in the sequence. The synonymous divergence and their associated standard error values were computed using the yn00 program in the PAML package (v. 4.7a) (Yang 2007) (fig. 1).

### Detection of Selection Signatures

The efficacy of purifying selection to maintain gene function was assessed by two approaches. First classic models of codon substitution (Yang 2007) were used: Parameters were inferred from the species phylogeny to reconstruct changes in the ratios of nonsynonymous to synonymous substitutions ( $\omega = dN/dS$ ). The rationale is that nonsynonymous, deleterious substitutions are expected to accumulate if purifying selection is weak or relaxed. Ratios of  $dN/dS$  were estimated for each POG using codon models with CODEML in the PAML package (Yang 2007). The tree used was the species tree (fig. 3). Species not represented in these POGs were pruned from the species tree using the Ape package in R. We also ran analyses using individual gene trees for NRR POGs instead of species tree, and similar results were obtained (supplementary fig. S3C, Supplementary Material online).

The efficacy of purifying selection was also investigated using an estimation of the global  $\omega$  per branch (the “free-ratio branch model” under CODEML): Mean and median  $\omega$  values per branch for each terminal lineage were calculated, and genes with  $dS$  values close to 0 that would produce artifactually very large  $\omega$  values were excluded. Positive

selection was tested by comparing neutral and selective codon models. Genes displaying unrealistically high  $dN$  or  $dS$  values (i.e., “999” in the codeml output) were discarded from the analysis as they probably corresponded to saturated levels of substitutions and would bias  $\omega$  estimations. We also excluded from the analysis those genes with null  $dS$  and  $dN$  values. More stringent filtering (discarding sequences with  $SdS$  lower than 2, 3, or 4, or filtering using branch length parameters) led to results for  $\omega$  similar to those presented.

To control for the possibility of positive selection increasing  $dN/dS$ , which would not constitute degeneration, positive selection was also tested using a branch-site test based on codon models which accounts for variation of the  $\omega$  ratio between both sites and lineages (Yang and Nielsen 2002; Zhang et al. 2005). A likelihood ratio test was used to compare a null model without positive selection and an alternative model allowing positive selection (Nielsen and Yang 1998). In cases where the null hypothesis was rejected, and the “selective” model retained, positions under positive selection among foreground branches were determined using a Bayesian empirical Bayes approach (Yang et al. 2005). Similar automated procedures (python + R scripts) were used to run codeml automatically and both extract and filter parameter values as in the free-ratio branch model described above.

The second approach used to assess the efficacy of purifying selection focused on recently derived substitutions; these have the advantage of being free of assumptions on phylogenetic relationships and are more likely to have occurred while being in the current genomic compartment; indeed, substitutions may have occurred before the putative transfer of genes between recombining regions and NRRs (Abbate and Hood 2010b; Petit et al. 2012). Recent substitutions were identified as singletons in aligned POGs. From the singleton data set for all alignments of orthologous loci, median  $dN/dS$  ratios between different genomic compartments (NRRs, MATRRs, and non-MAT chromosomes) were computed and compared. The nonsynonymous rate was computed as  $dN = n/N$ , where  $n$  is the nonsynonymous singleton count and  $N$  is the number of nonsynonymous sites in the alignment. Similarly, the synonymous rate was computed as  $dS = s/S$  where  $s$  is the count of synonymous singleton substitutions and  $S$  the number of synonymous sites in the alignment. This approach, however, does not take into account multiple substitutions at a given site so it may underestimate substitution counts.

### Gene Conversion Analyses

Geneconv v1.81 (Sawyer 1989) was used to look for footprints of gene conversion in NRRs directly. To be conservative, only results from estimations of “inner” gene converted fragments are reported. As defined in the Geneconv manual, such fragments represent evidence of possible gene conversion events between ancestors of two sequences in the alignment. Geneconv was executed through a batch script independently for the alignments of putative CDS regions (i.e., POGs) in NRRs.

## TE Analyses

TE contents of contigs were estimated and assigned to non-MAT chromosomes, NRRs, and MATRRs in each *Microbotryum* species. Because many contigs were small, especially in the NRRs, the analysis was run on fragments of similar sizes using databases of 200-bp fragments generated in each species and genomic compartment (non-MAT chromosomes, NRR, and MATRR). To compare databases of equivalent sizes, 1,000 reads were randomly resampled without replacement from each database. A set of full-length TEs of the most common types in *Microbotryum* (Hood 2005; Hood et al. 2005; Yockteng et al. 2007) (Copia-like, e.g., Supercontig 147: 1,901–7,063 bp; Gypsy-like, e.g., Supercontig 151: 14,408–18,631 bp; and rolling circle Helitron-like, e.g., Supercontig 124:8,251–12,551 bp) were used as queries to search against the 1,000 read databased using tBLASTx (Basic Local Alignment Search Tool; blast.ncbi.nlm.nih.gov/Blast.cgi) with the significance threshold set at  $E$  value  $< 10^{-6}$ . To assess the significance of the differences in TE counts between genomic compartments,  $Z$  tests were used.  $P$  values were assigned by comparing the computed  $Z$  value to the critical  $Z$  value for a two-tailed test in a standard normal distribution table. The null hypothesis was that the difference between the proportions of the two samples considered is zero.

## Expression Analysis in the Reference Species (*M. lychnidis-dioicae*)

Expression data were generated under five different conditions using the Lamole reference strain, with two biological replicates per condition. Haploid fungal cells of Lamole  $a_1$  sporidial cells (p1A1Rich) and  $a_2$  sporidial cells (p1A2Rich) were grown separately for 5 days on yeast peptone dextrose media (1% yeast extract, 10% dextrose, 2% peptone, 2% agar; rich growth conditions) at room temperature, and then harvested for RNA extraction. Similarly, haploid cells grown separately on 2% water agar for 2 days were harvested for RNA extraction and named p1A1Water and p1A2Water; these samples allowed comparison between the gene expression when haploid cells of different mating types were subjected to a nutrient-free environment without a mating partner. We also studied a “mated” condition: An equal mixture of  $a_1$  and  $a_2$  cells was kept at 14 °C on 2% water agar for 2 days, which induces conjugation, the first stage of mating (Day 1979).

RNA was extracted from samples of the cells cultured in these various conditions using the RNeasy Plant Mini Kit (QIAGEN, cat no: 74904) according to the manufacturer's instructions. Ambion's TURBO DNA-free (Applied Biosystems, cat no: AM1907) DNase was used according to the manufacturer's instruction. For quality assessment before Illumina sequencing, 5 µg of DNase-treated RNA was reverse transcribed with SuperScript III First Strand Synthesis System for reverse transcription PCR (Life Technologies, cat no: 18080-051). PCR was performed using TaKaRa Ex Taq Hot-Start DNA Polymerase (Takara, cat. no: RR001B) in a reaction volume of 25 µl. To check for DNA contamination and possible inhibitory substances in the RNA, two sets of housekeeping primers (Eurofins/MWG/Operon) were used. Targeting

the *Microbotryum mepA* gene, the forward primer, 5'-CTTT TGCCTAGGAAGAATGC-3' and the reverse primer, 5'-AGCA CTGAACACCCCAACTT-3' were used; this combination yielded a 532-bp fragment from cDNA, and a 1,039-bp fragment from genomic DNA. The other primer combination targeted the *Microbotryum* beta-tubulin gene, with forward primer, 5'-CGGACACCGTTGTCGAGCCT-3', and reverse primer, 5'-TGAGGTCGCCGTGAGTCCGT-3', yielding a 150-bp fragment from cDNA and a 215-bp fragment from genomic DNA. The PCR program was 30 s at 94 °C, 30 s at 60 °C, and 1 min at 72 °C for 35 cycles. An Agilent BioAnalyzer was also used to determine the quality of the RNA prior to Illumina RNA-Seq.

De novo assemblies of both the  $a_1$  and  $a_2$  RNA-Seq data were generated with Trinity (Grabherr et al. 2011) to identify genes specific to each mating chromosome. Assembled transcripts were compared between  $a_1$  and  $a_2$  using blast to identify transcripts specific for each cell type:  $a_1$  transcripts were mapped to the gene set based on the whole genome assembly; the  $a_2$  assembly is available at the Broad website, at [http://www.broadinstitute.org/annotation/genome/Microbotryum\\_violaceum/assets/Mvio\\_A2\\_trinity.fa.gz](http://www.broadinstitute.org/annotation/genome/Microbotryum_violaceum/assets/Mvio_A2_trinity.fa.gz).

Differential expression scripts in the Trinity pipeline version r2013-02-25 (Grabherr et al. 2011) were run to process RNA-Seq reads from the ten libraries (two biological replicates of each of p1A1Water, p1A2Water, p1A1Rich, p1A2Rich, and Mated). The RNA-Seq reads were aligned by bowtie (Langmead et al. 2009) against protein CDS extracted from the reference genome, adding 100 bases of flanking sequence to approximate UTRs. The read alignment files were then processed with RSEM (Li and Dewey 2011) to quantify transcript abundances. To identify genes differentially expressed between each pair of conditions, edgeR with the trimmed mean of  $M$ -value normalization method (TMM) (Robinson et al. 2010; Kadota et al. 2012) was run using an adjusted  $P$  value cutoff of  $1E-10$ ; TMM normalization is a method for estimating relative RNA production levels from RNA-Seq data by estimating scale factors between samples. The agglomerative hierarchical clustering method implemented in the R package cluster (Maechler et al. 2013) was then used to identify coexpressed gene clusters of those genes with significant differential expression between conditions. Expression data are available at the Broad Institute website, [www.broadinstitute.org/annotation/genome/Microbotryum\\_violaceum](http://www.broadinstitute.org/annotation/genome/Microbotryum_violaceum).

Some genes displayed mating-type-specific expression profiles, which could be the result of a lack of expression of these genes on one of the mating-type chromosomes or of hemizygosity (there is only a single copy of the gene, on only one of the mating-type chromosomes). To distinguish these possibilities, the presence of the genes expressed only from one mating-type chromosome was investigated using BLASTn by aligning the assembled cDNA against the genomic sequence of the opposite mating type (the published  $a_1$  haploid genome for  $a_2$ -specific transcripts, and the  $a_2$  mating type chromosome for  $a_1$ -specific ones).

The absence of some genes from one of the mating-type chromosomes was then checked with primers specific for

these genes (supplementary table S2, Supplementary Material online): DNAs extracted from  $a_2$  and  $a_1$  cells from the reference Lamole strain, 20 other *M. lychnidis-dioicae* strains, and one strain from each other species studied here, were tested for amplification with these primers.

### Statistical Tests Using Monte Carlo Resampling of the Genomic Background

To test for the significance of the differences in the values of several variables described above (dN/dS, GC content, preferred/unpreferred codons) between the NRRs, MATRRs, and non-MAT chromosomes, a null genomic distribution of the variable values was constructed through randomization. To do this, 1,000 sets of non-MAT chromosome loci were randomly sampled and the mean and median values were computed for the variables of interest. The size of each of these sets was selected to be equal to the number of loci observed in the region of interest (NRR or MATRR). Significance thresholds of 0.01 or 0.05 were used to score the mating-type chromosome data set (MATRR or NRR) as significantly different from the non-MAT chromosome set.

### Supplementary Material

Supplementary tables S1 and S2 and figures S1–S4 are available at *Molecular Biology and Evolution* online (<http://www.mbe.oxfordjournals.org/>).

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