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Development and validation of a non-linear spectral model for water waves over variable depth

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Abstract

In the present paper two numerical schemes for propagating waves over a variable bathymetry in an existing High-Order Spectral (HOS) model are introduced. The first scheme was first developed by Liu and Yue (1998), and the second one is an improved scheme which consider two independent orders of non-linearity: one for the bottom and one for the free-surface elevation. We investigate the numerical properties (accuracy, convergence rate, efficiency) of both schemes with respect to the numerical parameters on a simple configuration. To validate the proposed schemes, we first consider Bragg reflection from a sinusoidal bottom patch - as an example of a small bottom variation around a mean water depth. The second validation case focuses on a larger bottom variation with the study of the shoaling of linear waves. Finally, an application is performed to demonstrate the applicability of the proposed model to non-linear cases where the bottom variation is important. In this concern, the very well documented test case of a 2D underwater bar is studied in details. Comparisons are provided with both experimental and numerical results.

Keywords: Hydrodynamics, Non-linear water waves, High-Order Spectral method, Variable bathymetry.

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1. Introduction

The accurate modelling of surface gravity waves over non-negligible bottom topography is of major interest in ocean engineering, especially in the field of marine renewable systems. These marine structures are intended to be deployed in limited water depth, where the effect of variable bathymetry on local wave conditions is very significant. Indeed, when entering shallow water zones, waves are strongly affected by the bottom through shoaling, refraction, diffraction, reflection and the resulting variations in local wave speed. Thus, the accurate description of the wave field over variable depth is a prerequisite for the accurate prediction of wave loads acting on structures in coastal zones.

For this purpose, a wide variety of non-linear flow models have been developed during the last decades. Some of them are based on the solution of the Reynolds Averaged Navier-Stokes equations, such as the CFD models presented in Westphalen et al. (2014), but the computational effort with these models remains prohibitive. Thus, most of the non-linear flow models for wave propagation were developed in the framework of the potential flow theory, considering that the propagation in the ocean is mostly irrotational and inviscid (neglecting wave breaking at the sea surface and dissipation due to bottom friction).

The Boundary Element Model (BEM) (see for instance Grilli et al. (2001)) is one of the methods used to represent wave propagation over non-uniform depth in wide domains. The problem is solved on the boundaries, allowing the reduction of the problem size. Moreover, it is a very flexible method as it can account for a variable bottom and very complex geometries, including structures. Nevertheless, it requires the inversion of full matrices, reducing the efficiency of the method. Some recent developments using the Fast Multiple Acceleration technique (see Korsmeyer et al. (1993); Fochesato and Dias (2006)) intend to improve the efficiency of the BEM model. Finite difference methods

(see Engsig-Karup et al. (2009)) are also flexible in terms of geometries, but as any volume-type method it requires a high number of nodes to represent the whole computational domain. However, this formulation leads to sparse matrices allowing the use of advanced numerical procedures and resulting in a good efficiency. Other methods for modelling wave propagation over variable depth can also be found in the literature such as Finite Element Methods (Wu and Taylor (1994); Ma and Yan (2006)) or a recent fully dispersive coupled-mode model described by Belibassakis et al. (2014). Boussinesq methods were initially developed for small relative water depths in the framework of weakly non-linear waves, but the last developments of high order versions of Boussinesq approximations developed by Bingham et al. (2004) and Madsen et al. (2006) allow to account for larger water depths at little extra computational cost which remains quite attractive.

Interesting properties of spectral methods in terms of convergence have led to the development of numerous models. We can first cite the Direct Method, introduced by Fenton and Rienecker (1982), which solves the problem on the free-surface (free-surface method) allowing a reduction of one dimension. Nevertheless, the required inversion of a fully populated matrix makes it not very efficient. The pseudo-spectral σ -transform model introduced by Chern et al. (1999) allows the modelling of more complex geometries but still with a high computational time due to the necessity to discretize the whole fluid domain. The pseudo-integral/spectral method improved by Fructus et al. (2005) uses a pseudo-spectral solution added to an integral solution to cope with steeper cases and variable bathymetries. The DNO (Dirichlet to Neumann Operator) method was initiated by Craig and Sulem (2001) for a flat bottom. This method was extended to a variable bottom by Guyenne and Nicholls (2005) and Craig et al. (2005) by introducing another operator depending only on the variation of the bottom. It was then improved numerically by Guyenne and Nicholls (2007).

60

In the present paper, we use the High-Order Spectral (HOS) method. This

non-linear potential method has been initially developed by West et al. (1987) and Dommermuth and Yue (1987) for a flat bottom, and extensively validated for different configurations in the LHEEA Lab., from regular waves up to irregular multidirectional wavefields (see Ducrozet et al. (2007, 2012b)). This model, 65 named HOS-ocean is available as an open-source version¹. The HOS formalism presents expansions identical to the DNO method, as demonstrated in Schäffer (2008), and several advantages. First of all, the problem is formulated on the free surface, allowing a reduction of one dimension when solving the problem. 70 Moreover, it allows the solution of the problem with the fully non-linear free surface boundary conditions, it shows excellent convergence properties and it has a low computational cost. Therefore this method is very efficient and accurate, but is initially limited to simple geometries in both horizontal and vertical directions. Non-linear potential flow models cited above consider a varying bottom 75 but few of them were based on the HOS scheme. As demonstrated in Ducrozet et al. (2012a), the HOS model appears more efficient than the most advanced potential flow solvers, when compared for wave propagation on uniform depth. Thus it appears interesting to extend such a model to a variable bathymetry in order to broaden its application range, while keeping the numerical efficiency. 80 Liu and Yue (1998) provided one study with the HOS method which takes into account a variable bathymetry, and presented one simulation case but limited to a small variation of the bottom. The first scheme used in the present paper is based on their work. The second scheme is an improvement of the first one by considering two different orders of non-linearity: one for the bottom and one for 85 the free-surface. This dissociation of the orders of non-linearity was presented with the DNO method in Guyenne and Nicholls (2007), and will be adapted here to the HOS formalism.

The two HOS methods presented (called original method and improved 90 method) are explained in detail in the present paper. Some of the work with

¹<https://github.com/LHEEA/HOS-ocean/wiki>

the original method presented hereafter has already been partially introduced in Gouin et al. (2015). For documenting the accuracy of both schemes, a test case with a constant variation of the bottom is computed to check the convergence on a simple geometry with a non-linear reference solution. It is a highly demanding test case because a constant but wrong depth is imposed in the whole domain. Then both methods are applied to 2D monochromatic cases. Bragg reflection from a sinusoidal bottom patch will first be considered, as an example of a small bottom variation around a mean water depth. To validate our models with larger bottom variation, the shoaling of linear waves is studied in the second case. Finally, two well-documented application cases (both numerically and experimentally) are provided. We validate and compare the two methods for realistic and large bottom variations. The first case considers the transformation of a non-linear, monochromatic wave as it travels up and over a submerged bar with a mild slope. This validation case has often been used as a discriminating test case for non-linear models of surface waves propagation over a variable bottom because it requires the accurate propagation of waves in both deep and shallow water. A comparison to the experimental data and to other numerical results is provided, as well as a comparison of both methods. The second application case considers the same experimental set-up but with a steeper slope, and proves the ability of our models to represent cases with strong variations of the bathymetry and large bottom gradients.

2. Methods and algorithms

2.1. Hypothesis and formulation of the problem

A 2D rectangular fluid domain and a Cartesian coordinate system with the origin O located at one corner of the domain are considered. The z axis is vertical and oriented upwards, with the level $z = 0$ corresponding to the mean water level. $z = \eta(x, t)$ represents the free surface elevation, h the total water depth, h_0 the mean depth and $\beta(x)$ the bottom variation, such as $-h(x) = -h_0 + \beta(x)$. Thus, the domain considered is: $-h_0 + \beta(x) \leq z \leq \eta(x)$ (see Fig.1).

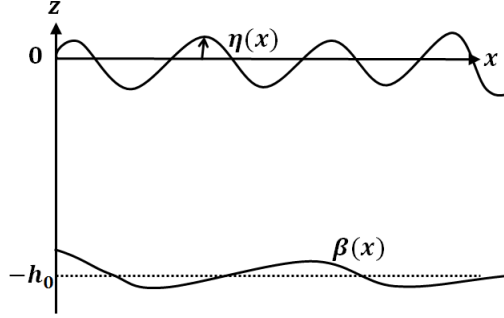


Figure 1: Description of the fluid domain

120 An infinite domain assumption is adopted with the assumption of periodic boundary conditions in the horizontal plane.

A potential flow formalism is used (incompressible and inviscid fluid, irrotational flow). Given these assumptions, the velocity \vec{V} derives from a potential ϕ : $\vec{V}(x, z, t) = \vec{\nabla}\phi$ and the continuity equation becomes the Laplace equation in the fluid domain D:

$$\Delta\phi = 0 \quad (1)$$

Following Zakharov (1968), both kinematic and dynamic non-linear free-surface boundary conditions (FSBC) are written in terms of surface quantities η and $\tilde{\phi}$ ($\tilde{\phi}(x, t) = \phi(x, z = \eta, t)$), and expressed at the exact free-surface position $z = \eta$:

$$\frac{\partial\eta}{\partial t} = \left(1 + \left|\frac{\partial\eta}{\partial x}\right|^2\right) \frac{\partial\phi}{\partial z} - \frac{\partial\tilde{\phi}}{\partial x} \cdot \frac{\partial\eta}{\partial x} \quad (2)$$

$$\frac{\partial\tilde{\phi}}{\partial t} = -g\eta - \frac{1}{2} \left|\frac{\partial\tilde{\phi}}{\partial x}\right|^2 + \frac{1}{2} \left(1 + \left|\frac{\partial\eta}{\partial x}\right|^2\right) \left(\frac{\partial\phi}{\partial z}\right)^2 \quad (3)$$

To account for the time evolution of the quantities of interest η and $\tilde{\phi}$ one only needs to evaluate the vertical velocity at the free surface $W(x, t) = \frac{\partial\phi}{\partial z}(x, z = \eta(x, t), t)$. This is the purpose of the HOS method described in 2.2.

125

The HOS method was initially developed for a flat bottom but here for a

non-constant bathymetry the bottom boundary condition reads :

$$\frac{\partial \phi}{\partial x} \frac{\partial \beta}{\partial x} - \frac{\partial \phi}{\partial z} = 0 \quad \text{on } z = -h_0 + \beta(x) \quad (4)$$

To account for a varying bathymetry, Liu and Yue (1998) introduces an additional potential. Thus, the total potential ϕ_{tot} solution of the problem (which was named ϕ before) is expressed as:

$$\phi_{tot} = \phi_{h_0} + \phi_{\beta} \quad (5)$$

ϕ_{h_0} satisfies a Neumann condition on $z = -h_0$, therefore ϕ_{h_0} is solution of the problem at constant depth h_0 :

$$\frac{\partial \phi_{h_0}}{\partial z}(x, z = -h_0, t) = 0 \quad \text{on } z = -h_0. \quad (6)$$

ϕ_{β} allows the definition of the correct bottom boundary condition (Eq.4) and satisfies a Dirichlet condition on $z = 0$:

$$\phi_{\beta}(x, z = 0, t) = 0 \quad \text{on } z = 0. \quad (7)$$

In 2D, the potentials are expanded on basis functions taking into account previous boundary conditions and the periodicity of the domain:

$$\phi_{h_0}(x, z, t) = \sum_j A_j(t) \frac{\cosh(k_j(z + h_0))}{\cosh(k_j h_0)} e^{ik_j x} \quad (8)$$

$$\phi_{\beta}(x, z, t) = \sum_j B_j(t) \frac{\sinh(k_j z)}{\cosh(k_j h_0)} e^{ik_j x} \quad (9)$$

with $k_j = j \frac{2\pi}{L_x}$ and A_j and B_j the modal amplitudes of ϕ_{h_0} and ϕ_{β} respectively.

2.2. High-Order-Spectral Method

The HOS model is a pseudo-spectral model initially developed in West et al. (1987); Dommermuth and Yue (1987). The potential is expressed as a truncated

power series of components $\phi_{tot}^{(m)}$ for $m = 1$ to M (M is the order of non-linearity of the HOS method). Then, the potential evaluated at the free surface is expanded in a Taylor series with respect to the mean water level $z = 0$ (Eq.10). Combining these two expansions gives a triangular set of Dirichlet problems for the components that can be solved by means of a spectral method (allowing the use of Fast Fourier Transforms (FFTs) for efficient computations).

$$\tilde{\phi}_{tot} = \phi_{tot}(x, z = \eta, t) = \sum_{m=1}^M \sum_{n=0}^{M-m} \frac{\eta^n}{n!} \frac{\partial^n \phi_{tot}^{(m)}}{\partial z^n}(x, z = 0, t) \quad (10)$$

$$\frac{\partial \phi_{tot}}{\partial z}(x, z = \eta, t) = W(x, t) = \sum_{m=1}^M \sum_{k=0}^{m-1} \frac{\eta^k}{k!} \frac{\partial^{k+1} \phi_{tot}^{(m-k)}}{\partial z^{k+1}}(x, 0, t) \quad (11)$$

Equations 11 can be reduced to Eq.(5.7) cited in Liu and Yue (1998) by an appropriate change of variables.

130 One more equation (the bottom condition) is needed to find the modal amplitudes of order m : $A_j^{(m)}(t)$ and $B_j^{(m)}(t)$. These modal amplitudes are the Fourier coefficients of the potentials Eqs.(8,9) at order m . Once $A_j^{(m)}(t)$ and $B_j^{(m)}(t)$ are computed (see Fig.2), by evaluating the vertical derivative of the potential and using the same kind of double expansion, the vertical velocity W
135 at the free surface can be obtained. Equations (2,3) provide the time derivatives of the unknowns η and $\tilde{\phi}_{tot}$ (as presented in Fig.3), which are used in a time-marching classical fourth-order Runge-Kutta scheme with an adaptative time step (see Fructus et al. (2005) for the details of the procedure).

Thus, the free surface conditions (Eqs. 2 and 3) are marched in time in a
140 similar manner as for the initial HOS procedure (see Ducrozet et al. (2007)). Note that a careful dealiasing is performed as explained in Bonnefoy et al. (2010) to ensure the method's convergence and accuracy, even for high steepnesses. Indeed, for high non-linearities, a full or total dealiasing allows us to reduce the errors observed by Dommermuth and Yue (1987). The two following sections
145 will present the addition due to the consideration of a non-uniform bottom.

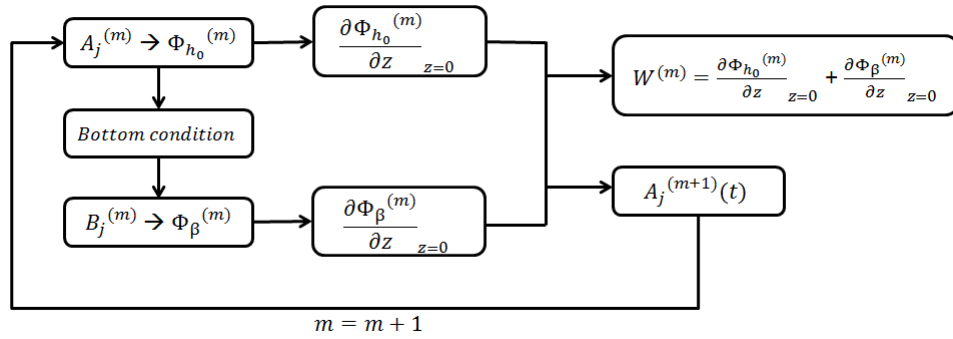


Figure 2: Use of the bottom condition in the temporal solution.

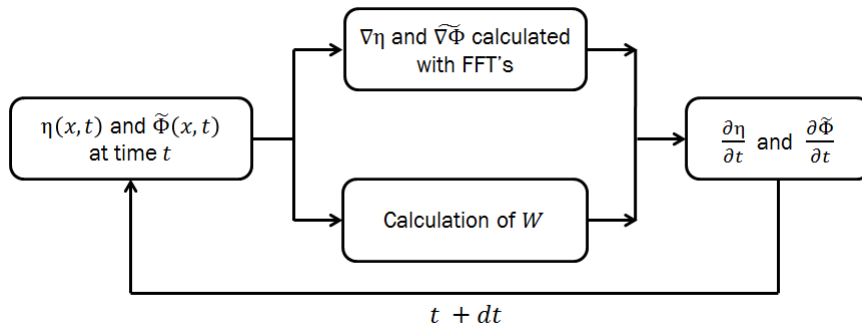


Figure 3: Temporal solution of the FSBC.

2.3. Original method

The way of using the bottom condition to find the modal amplitudes $B_j^{(m)}(t)$ (Eq.(5.4) from Liu and Yue (1998)) is depicted in Fig.2 and explained hereafter. The bottom condition (Eq.4) truncated at the order M reads:

$$\sum_{m=1}^M \frac{\partial \phi_{tot}^{(m)}}{\partial x} \frac{\partial \beta}{\partial x} - \sum_{m=1}^M \frac{\partial \phi_{tot}^{(m)}}{\partial z} = 0 \text{ on } z = -h_0 + \beta(x) \quad (12)$$

By assuming that $\frac{\beta}{h_0} \ll 1$ we can write a Taylor expansion with respect to the mean depth $z = -h_0$:

$$\begin{aligned} & \sum_{m=1}^M \left[\left(\frac{\partial \phi_{tot}^{(m)}}{\partial x} \frac{\partial \beta}{\partial x} \right)_{-h_0} + \beta \frac{\partial}{\partial z} \left(\frac{\partial \phi_{tot}^{(m)}}{\partial x} \frac{\partial \beta}{\partial x} \right)_{-h_0} + \dots + \frac{\beta^M}{M!} \frac{\partial^M}{\partial z^M} \left(\frac{\partial \phi_{tot}^{(m)}}{\partial x} \frac{\partial \beta}{\partial x} \right)_{-h_0} \right] \\ &= \sum_{m=1}^M \left[\left(\frac{\partial \phi_{tot}^{(m)}}{\partial z} \right)_{-h_0} + \beta \left(\frac{\partial^2 \phi_{tot}^{(m)}}{\partial z^2} \right)_{-h_0} + \dots + \frac{\beta^M}{M!} \left(\frac{\partial^{M+1} \phi_{tot}^{(m)}}{\partial z^{M+1}} \right)_{-h_0} \right] \quad (13) \end{aligned}$$

Since we follow the potential theory (Eq.1) we have in two dimensions: $\frac{\partial^2 \phi_{tot}}{\partial z^2} = -\frac{\partial^2 \phi_{tot}}{\partial x^2}$, and we obtain:

$$\begin{aligned} & \sum_{m=1}^M \left[\left(\frac{\partial \phi_{tot}^{(m)}}{\partial x} \frac{\partial \beta}{\partial x} \right)_{-h_0} + \dots + \frac{\beta^M}{(M)!} \frac{\partial^M}{\partial z^M} \left(\frac{\partial \phi_{tot}^{(m)}}{\partial x} \frac{\partial \beta}{\partial x} \right)_{-h_0} \right] \\ &= \sum_{m=1}^M \left[\left(\frac{\partial \phi_{tot}^{(m)}}{\partial z} \right)_{-h_0} - \beta \left(\frac{\partial^2 \phi_{tot}^{(m)}}{\partial x^2} \right)_{-h_0} - \dots - \frac{\beta^M}{M!} \left[\frac{\partial^{M-1}}{\partial z^{M-1}} \left(\frac{\partial^2 \phi_{tot}^{(m)}}{\partial x^2} \right) \right]_{-h_0} \right] \quad (14) \end{aligned}$$

We also assume $O(\beta) \equiv O\left(\frac{\partial \beta}{\partial x}\right) \equiv O(\eta)$ and we only keep terms of order $\eta^{(m)}$:

$$\sum_{l=1}^{m-1} \frac{\beta^{l-1}}{(l-1)!} \frac{\partial^{l-1}}{\partial z^{l-1}} \left(\frac{\partial \phi_{tot}^{(m-l)}}{\partial x} \frac{\partial \beta}{\partial x} \right)_{-h_0} = \left(\frac{\partial \phi_{tot}^{(m)}}{\partial z} \right)_{-h_0} - \sum_{l=1}^{m-1} \frac{\beta^l}{l!} \left[\frac{\partial^{l-1}}{\partial z^{l-1}} \left(\frac{\partial^2 \phi_{tot}^{(m-l)}}{\partial x^2} \right) \right]_{-h_0} \quad (15)$$

Thus we find the equations presented in Liu and Yue (1998):

$$m = 1 : \frac{\partial \phi_{tot}^{(1)}}{\partial z}(x, -h_0, t) = 0$$

$$m = 2, \dots, M : \frac{\partial \phi_{tot}^{(m)}}{\partial z}(x, -h_0, t) = \sum_{l=1}^{m-1} \frac{\partial}{\partial x} \left[\frac{\beta^l}{l!} \frac{\partial^{l-1}}{\partial z^{l-1}} \left(\frac{\partial \phi_{tot}}{\partial x} \right)^{(m-l)} \right]_{z=-h_0} \quad (16)$$

Perturbation expansions are truncated at order M . This development (Eq.(16) with Eqs.(8, 9)) allows the computation of the modal amplitudes $B_{j_1}^{(m)}(t)$ at each order as functions of the $A_{j_1}^{(m)}(t)$. Modal amplitudes are independent of the position x , thus FFTs can still be used, preserving the numerical efficiency of the original HOS scheme.

2.4. Improved method

As described in Schäffer (2008), the HOS method exhibits a formalism similar to the DNO method. The improved method is based on the extension of the DNO scheme to a variable bottom proposed by Craig et al. (2005) and Guyenne and Nicholls (2007). Starting from Eq.(12) and assuming that $\frac{\beta}{h_0} \ll 1$ we can write the same Taylor expansion of the bottom boundary condition as before (Eq.14) but at a different order of truncation M_b :

$$\begin{aligned} & \sum_{m=1}^M \left[\left(\frac{\partial \phi_{tot}^{(m)}}{\partial x} \frac{\partial \beta}{\partial x} \right)_{-h_0} + \beta \frac{\partial}{\partial z} \left(\frac{\partial \phi_{tot}^{(m)}}{\partial x} \frac{\partial \beta}{\partial x} \right)_{-h_0} + \dots + \frac{\beta^{M_b-1}}{(M_b-1)!} \frac{\partial^{M_b-1}}{\partial z^{M_b-1}} \left(\frac{\partial \phi_{tot}^{(m)}}{\partial x} \frac{\partial \beta}{\partial x} \right)_{-h_0} \right] \\ & = \sum_{m=1}^M \left[\left(\frac{\partial \phi_{tot}^{(m)}}{\partial z} \right)_{-h_0} - \beta \left(\frac{\partial^2 \phi_{tot}^{(m)}}{\partial x^2} \right)_{-h_0} - \dots - \frac{\beta^{M_b}}{(M_b)!} \left[\frac{\partial^{M_b-1}}{\partial z^{M_b-1}} \left(\frac{\partial^2 \phi_{tot}^{(m)}}{\partial x^2} \right) \right]_{-h_0} \right] \end{aligned} \quad (17)$$

In the left-hand side of Eq.17, the expansion is made up to order $M_b - 1$ because we assume that $O(\beta) \equiv O\left(\frac{\partial \beta}{\partial x}\right)$. Thus the left-hand side is of order M_b , and is consistent to the right-hand side.

Eq.17 can be written as:

$$\sum_{m=1}^M \left(\frac{\partial \phi_{tot}^{(m)}}{\partial z} \right)_{-h_0} = \sum_{m'=1}^M \sum_{l=1}^{M_b} \left\{ \frac{\partial}{\partial x} \left[\frac{\beta^l}{l!} \frac{\partial^{l-1}}{\partial z^{l-1}} \left(\frac{\partial \phi_{tot}^{(m')}}{\partial x} \right) \right]_{-h_0} \right\} \quad (18)$$

We adopt the hypothesis that each potential $\phi_{\beta}^{(m)}$ can be expressed as a truncated power series of components $\phi_{\beta}^{(m,l)}$ for $l = 0$ to M_b (with M_b the order of

non-linearity of the bottom):

$$\phi_\beta^{(m)} = \sum_{l=1}^{M_b} \phi_\beta^{(m,l)} \quad (19)$$

and the corresponding modal amplitudes are given by:

$$B_j^{(m)} = \sum_{l=1}^{M_b} B_j^{(m,l)} \quad (20)$$

Thus, at each order m , introducing $\phi_{tot}^{(m)} = \phi_{h_0}^{(m)} + \phi_\beta^{(m)}$ and keeping only the terms of maximum order $m + M_b$ (assuming as for the original method that $O(\beta) \equiv O\left(\frac{\partial\beta}{\partial x}\right) \equiv O(\eta)$) we get:

$$\begin{aligned} \left(\frac{\partial\phi_\beta^{(m)}}{\partial z}\right)_{-h_0} &= \sum_{l=1}^{M_b} \left(\frac{\partial\phi_\beta^{(m,l)}}{\partial z}\right)_{-h_0} = \sum_{l=1}^{M_b} \left\{ \frac{\partial}{\partial x} \frac{\beta^l}{l!} \left[\frac{\partial^{l-1}}{\partial z^{l-1}} \left(\frac{\partial\phi_{h_0}^{(m)}}{\partial x} \right) \right]_{-h_0} \right\} \\ &+ \sum_{l=1}^{M_b} \sum_{p=1}^{l-1} \left\{ \frac{\partial}{\partial x} \frac{\beta^p}{p!} \left[\frac{\partial^{p-1}}{\partial z^{p-1}} \left(\frac{\partial\phi_\beta^{(m,l-p)}}{\partial x} \right) \right]_{-h_0} \right\} \quad (21) \end{aligned}$$

160 We can then derive an iterative formula to calculate each $B_j^{(m,l)}$ at a given order m . This expression can be found in the DNO formalism in Guyenne and Nicholls (2007). Here we use the same formalism to compare our formulas with the ones given in their paper.

For l odd:

$$\begin{aligned} kB^{(m,l)} &= -k \left[\frac{\beta^l}{l!} \operatorname{sech}(kh_0) k^{l-1} k \right] \\ &- k \left[\sum_{p=2, \text{even}}^{l-1} \frac{\beta^p}{p!} k^{p-2} k kB^{(m,l-p)} - \sum_{p=1, \text{odd}}^{l-2} \frac{\beta^p}{p!} \tanh(kh_0) k^{p-2} k k F_{l-p} \right] \\ &+ ik \frac{\partial\beta}{\partial x} \left[\frac{\beta^{l-1}}{(l-1)!} \operatorname{sech}(kh_0) k^{l-2} k \right] \\ &+ ik \frac{\partial\beta}{\partial x} \left[\sum_{p=2, \text{even}}^{l-1} \frac{\beta^{p-1}}{(p-1)!} k^{p-3} k kB^{(m,l-p)} - \sum_{p=1, \text{odd}}^{l-2} \frac{\beta^{p-1}}{(p-1)!} \tanh(kh_0) k^{p-3} k kB^{(m,l-p)} \right] \quad (22) \end{aligned}$$

And for l even:

$$\begin{aligned}
kB^{(m,l)} = & -k \left[\sum_{p=2,even}^{l-2} \frac{\beta^p}{p!} k^{p-2} kB^{(m,l-p)} - \sum_{p=1,odd}^{l-1} \frac{\beta^p}{p!} \tanh(kh_0) k^{p-2} kB^{(m,l-p)} \right] \\
& + ik \frac{\partial \beta}{\partial x} \left[\sum_{p=2,even}^{l-2} \frac{\beta^{p-1}}{(p-1)!} k^{p-3} kB^{(m,l-p)} - \sum_{p=1,odd}^{l-1} \frac{\beta^{p-1}}{(p-1)!} \tanh(kh_0) k^{p-3} kB^{(m,l-p)} \right]
\end{aligned} \tag{23}$$

165 If we compare Eq.(22,23) to the developments obtained by Guyenne and Nicholls (2007), one can notice that we obtain an additional part due to the gradient of the bottom (this part is missing in Guyenne and Nicholls (2007)). Others terms are identical. Previous equations (22 and 23) should then be used in the DNO method with bottom variation.

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In comparison to the original method, we can compute the modal amplitudes $B_j^{(m)}(t)$ due to the bottom even for $m = 1$ (with the original method we have to begin at $m = 2$ for the computation of the $B_j^{(m)}(t)$).

175 Moreover, with this improved method, the orders of non-linearity on the free-surface and on the bottom can be selected independently. This gives a better flexibility to the model and should be more convenient to compute cases with different requirements in bottom variation and free-surface non-linearities.

If we choose $M_b = M$ with the improved method, the results are a bit different to those obtained with the original method because we do not calculate 180 the same terms at each sub-step $i = 1, \dots, M$. Section 3.1.3 shows the differences on the error made on the vertical velocity with the two methods.

2.5. Lateral boundary conditions and relaxation zones

With the present scheme periodic boundary conditions are required in the x -direction. However, practical applications often requires non-periodic boundary 185 conditions (see e.g. the test cases presented). A simple way is to increase the size of the computational domain but it is not suitable for long-time simulations. Here we proposed to use relaxation zones.

Wave generation and absorption is achieved by relaxing the numerical solution towards a specific solution over regions near the boundary domain. In 2D,

190 these boundary regions are located at the right and left of the domain.

Relaxation zones are created by defining a relaxation coefficient $0 \leq C_r(x) \leq 1$ and an exact imposed solution $(\eta_{imp}, \tilde{\phi}_{imp})$ as described in Bingham and Zhang (2007) and Guyenne and Nicholls (2007). In our cases, the imposed solution will be either the stream solution of Rienecker and Fenton (1981) (for non-linear regular wave definition) or the zero solution (for complete absorption).
195

After each stage of the time integration in the Runge-Kutta scheme, the solution in the relaxation zone is redefined by:

$$\eta(x, t) = (1 - C_r(x)) \cdot \eta_{imp} + C_r(x) \cdot \eta(x, t) \quad (24)$$

$$\tilde{\phi}(x, t) = (1 - C_r(x)) \cdot \tilde{\phi}_{imp} + C_r(x) \cdot \tilde{\phi}(x, t) \quad (25)$$

To avoid reflections, C_r should be smooth. A polynomial of order 3 has been used to define it on zones of several wavelengths.

200 Moreover, when calculating the derivatives of the bottom, FFTs are used, so the bottom needs to be periodic. When computing a non-periodic 2D case, the bathymetry is thus artificially modify at the end of the domain (in the direction of propagation) to match the depth at the beginning of the domain (the same needs to be done in the transverse direction for 3D cases). This does not affect the results as the modification of the bathymetry will take place in
205 the relaxation zones.

3. Regular wave propagation

A non-linear regular wave propagating over a flat bottom is considered. The initialisation of η and $\tilde{\phi}$ is made by a non-linear regular wave solution of Rienecker and Fenton (1981) built at water depth h . The potential ϕ_{h_0} is
210 defined at the mean depth h_0 (which differs from h) and the potential ϕ_β must modify and correct the water depth β (β is a constant) which is introduced

artificially (cf Fig.4). The goal is to rebuild the potential ϕ_{tot} at the total water depth $-h(x) = -h_0 + \beta(x)$. This validation case is highly demanding because
 215 an inadequate depth is imposed in the whole domain. Thus if this test case shows accurate results, other cases will appear less demanding and should also work.

3.1. Error on the vertical velocity.

In order to advance in time the unknowns on the free surface η and $\tilde{\phi}$
 220 (cf Eqs 2 and 3), we first need to evaluate the vertical velocity $W(x, t) = \frac{\partial \phi}{\partial z}(x, z = \eta(x, t), t)$ associated to ϕ_{tot} . This test case proposes to study the error $\epsilon_w = \frac{W - W_{RF}}{W_{RF}}$ made on the evaluation of the vertical velocity once η and $\tilde{\phi}$ are known (W_{RF} denotes the reference vertical velocity from Rienecker and Fenton (1981)). The level of error correctly quantifies the accuracy of the model
 225 in the propagation of such waves, as demonstrated in Bonnefoy et al. (2010).

The regular wave is described by its steepness ka and the relative water depth kh . The influence of the following parameters is investigated : ka (from 0.01 to 0.2), kh (from 0.5 to 10), the bottom variation $\frac{\beta}{h_0}$, the number of nodes N and the HOS orders M and M_b . Results will be compared to those obtained
 230 in the case of a finite constant depth ($\frac{\beta}{h_0} = 0\%$) which was extensively validated in Bonnefoy et al. (2010). With the original HOS model on flat bottom, the error achieved on the vertical velocity presents an exponential convergence in function of N and M .

This convergence test is used to characterize both methods and has already
 235 been presented in Gouin et al. (2014) for the original method. More details and a comparison with the improved method are given hereafter. It allows to assess the convergence of both schemes on the reconstruction of the vertical velocity with a wide variety of wave conditions and non-negligible bottom variations.

3.1.1. Original method

240 We first investigate the accuracy achieved with the original method as a function of the number of nodes N and the non-linear HOS order M . We

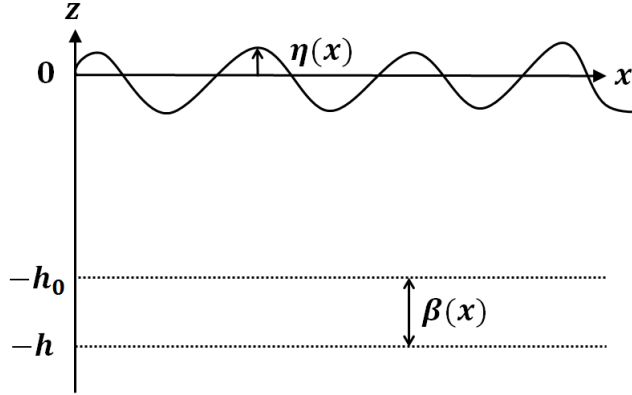


Figure 4: Schematic view of the validation case

choose a case where $ka = 0.1$ and $kh = 0.92$. This case presents a small relative water depth and a medium steepness. Indeed, the highest stable wave is given by Williams (1981) and the parametrized formula of Fenton (1990). In this case of shallow water conditions ($kh = 0.92$), the value of the steepness ($ka = 0.1$) represents 33% of the maximum curve.

Fig.5 presents the logarithm of the relative error made on the vertical velocity as a function of N and M for two values of $\frac{\beta}{h_0}$. The lower surface is for $\frac{\beta}{h_0} = 0\%$ (*i.e* the initial HOS method) while the upper surface represents the convergence for a variation of the bottom $\frac{\beta}{h_0} = 25\%$. We observe that when $\frac{\beta}{h_0}$ increases, the error on the vertical velocity becomes higher than the error with a flat bottom. Nevertheless, the method still converges exponentially and preserves the accuracy for perturbations of the bottom equal to 25%. In this particular case, one can notice that the convergence is more difficult to achieve in the M -direction, because of the large non-linearities induced by the bottom. The order of non-linearity at the bottom probably needs to be larger than the one at the free-surface, showing a possible advantage of the improved method presented hereafter.

We can compute cases where $\frac{\beta}{h_0} = 50\%$. The results are presented in Fig.6. We still observe the exponential convergence of the method, but with a reduced rate compared to the previous case (as we saw just before, the convergence is

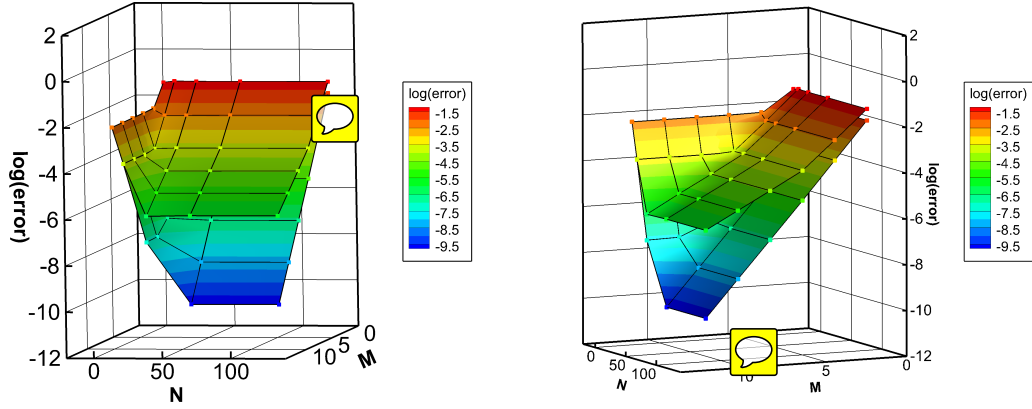


Figure 5: Error on the vertical velocity in function of N and M - $kh=0.916$ and $ka=0.1$. Lower-surface : $\frac{\beta}{h_0} = 0\%$. Higher-surface : $\frac{\beta}{h_0} = 25\%$.

more difficult to achieve in the M -direction). We also computed different combinations of the parameters kh , ka , $\frac{\beta}{h_0}$. An example of errors achieved with $N = 64$, $M = 8$ and different values of kh and ka is shown in Tab.1.

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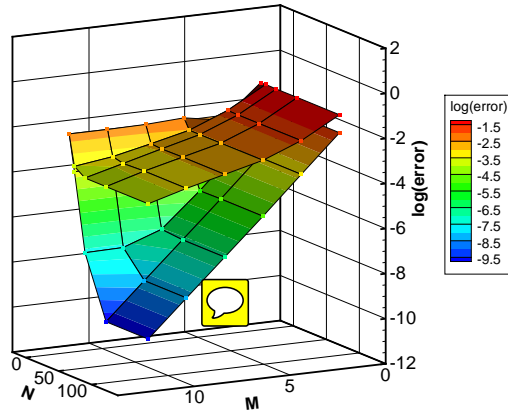


Figure 6: Error on the vertical velocity in function of N and M - $kh=0.916$ and $ka=0.1$. Lower-surface : $\frac{\beta}{h_0} = 0\%$ Higher-surface : $\frac{\beta}{h_0} = 50\%$.

We conclude that with the original method, when kh increases (with ka

kh=0.916			
$\frac{\beta}{h_0}$	k.a=0.01	k.a=0.05	k.a=0.1
0%	1.7 10 ⁻¹⁴	4.0 10 ⁻¹⁰	2.5 10 ⁻⁷
5%	4.8 10 ⁻¹²	1.8 10 ⁻⁹	3.0 10 ⁻⁷
25%	5.5 10 ⁻⁸	2.6 10 ⁻⁶	3.4 10 ⁻⁵
50%	3.9 10 ⁻⁶	1.1 10 ⁻⁴	1.2 10 ⁻³
ka=0.1			
$\frac{\beta}{h_0}$	k.h=0.67	k.h=3	k.h=10
0%	5.7 10 ⁻⁸	6.3 10 ⁻⁹	6.2 10 ⁻⁹
5%	2.2 10 ⁻⁷	6.3 10 ⁻⁹	6.2 10 ⁻⁹
25%	3.9 10 ⁻⁵	1.8 10 ⁻⁶	2.6 10 ⁻⁷
50%	1.1 10 ⁻³	3.8 10 ⁻⁴	1.8 10 ⁻⁴

Table 1: Relative error on W , $N = 64$, $M = 8$.

and $\frac{\beta}{h_0}$ fixed) the convergence rate is better. This result was expected because when $\frac{h}{\lambda} > 2$ (i.e. $kh > \pi$), we may consider to be in a deep water problem with no influence of the bottom on wave propagation. Thus the bottom has less
270 influence and the convergence rate is higher.

When ka and $\frac{\beta}{h_0}$ increase (with the other parameters fixed), the convergence rate decreases. Of course there is a limit in the admissible values of ka and $\frac{\beta}{h_0}$. The steepness can not exceed the theoretical highest stable wave given by the formula of Fenton (1990) and $\frac{\beta}{h_0}$ has to remain lower than 100%.

275 So this test case (which is very demanding) shows that a quite large range of parameters can be treated with the original HOS method.

3.1.2. Improved method

The same test case is studied with the improved method. Remember that the influence of the order of non-linearity of the bottom M_b has to be investigated
280 in addition to other parameters. We thus fix $N = 32$ (we have checked that the method converges with N with an exponential convergence rate), and we observe the influence of M and M_b for different values of the parameters kh , ka , and $\frac{\beta}{h_0}$.

285 We observed with the original method that the convergence was more difficult to achieve in the M -direction for the case $ka = 0.1$, $kh = 0.92$ and

$\frac{\beta}{h_0} = 25\%$. We guess that with the improved method we have to increase M_b (more than M) in order to reach the convergence. The error achieved on the vertical velocity is presented in Fig.7. In this figure, we can clearly see that the method converges exponentially with M and M_b , which is a very interesting feature of the new improved scheme. Of course the method also converges exponentially with N but it can not be seen on the figure. The same conclusions as before can be drawn with increasing kh , ka and $\frac{\beta}{h_0}$ and comparisons between the two approaches are provided in the following section.

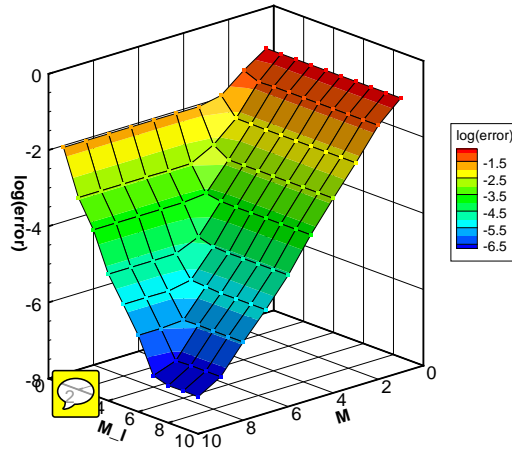


Figure 7: Error on the vertical velocity in function of M and M_b - $kh = 0.916$, $ka = 0.1$ and $\frac{\beta}{h_0} = 25\%$.

3.1.3. Comparison of the two methods

As both methods present an exponential convergence rate (with N and with the different orders of non-linearity M and M_b), it is now interesting to investigate which method is more accurate, depending on the values of kh , ka , and $\frac{\beta}{h_0}$.

Fig.8 and Fig.9 present the error achieved on the vertical velocity as function of M and M_b for $\frac{\beta}{h_0} = 40\%$, $kh = 0.92$ and two different values of ka . In Fig.9, from $M_b = 10$ the error achieved on the vertical velocity is converged so the three corresponding curves ($M_b = 10$, $M_b = 15$ and $M_b = 20$) are superimposed. Fig.8

($ka = 0.01$) shows that at a fixed value M , we can always find a M_b (threshold) to get at least the same error on the vertical velocity as with the original method. Moreover, if M_b is higher than this threshold value, the accuracy is better. Thus the improved method can improve the accuracy achieved on the vertical velocity. For steeper cases, Fig.9, ($ka = 0.15$) proves that the improved method is always more accurate than the original one (if $M_b > 1$). It means, in the case of Fig.9, that the non-linearities due to the free-surface are more important than in the case of Fig.8, because of the higher steepness.

Fig.8 also highlights another distinction between the two methods. Indeed, one could think that the original method with $M = 10$ and the improved method with $M = 10$ and $M_b = 10$ would lead to the same results. Nevertheless, when computing the modal amplitudes $B_j^{(m)}(t)$ at each step m , the improved method calculates more terms (if $M_b > M$), inducing a difference in the error achieved on the vertical velocity.

The influence of $\frac{\beta}{h_0}$ is represented on Fig.10 and Fig.11. In Fig.10, for $M_b \geq 3$ the error achieved on the vertical velocity is converged so the corresponding curves are superimposed. For these cases we see that for a small variation of the bottom (Fig.10), both methods present the same accuracy. But when $\frac{\beta}{h_0}$ increases, the improved method seems much more accurate, for the same reason as before: when $\frac{\beta}{h_0}$ is higher, high non-linearities induced by the bottom are more important than those due to the free-surface, so a larger M_b is needed. Fig.11 also shows the capacity of the improved scheme to treat high variations of the bottom (here $\frac{\beta}{h_0} = 75\%$ with a medium steepness $ka = 0.15$ and a small relative water depth $kh = 0.916$).

The influence of the relative water depth kh can be seen on Fig.12 and Fig.13. In Fig.12 the curves corresponding to $M_b \geq 3$ are superimposed. For small kh both methods show almost the same accuracy because the non-linearities on the free-surface elevation are important, and thus the accuracy is governed by the order M . However, for higher kh , the improved method allows a better accuracy, because as before, the non-linearities induced by the bottom become more important than those due to the free-surface.

An important conclusion from these investigations is that, with the improved
 335 method, we can always find numerical parameters to achieve at least the same
 accuracy as with the original method. Thus the improved method is more ac-
 curate if the different orders of non-linearity are correctly chosen. Moreover, in
 some cases, the use of a couple (M, M_b) rather than a couple (M, M) should
 reduce the computational effort, while maintaining the accuracy (see 5.1 below).

340

Once the vertical velocity is correctly computed, one can advance the solu-
 tion in time. One way to estimate whether the propagation is correct is to check
 the phase shift with respect to the reference numerical solution of Rienecker and
 Fenton (1981). A phase shift is usually observed after a long propagation time
 345 with any numerical method. The HOS method is very accurate with respect
 to this phase shift (see Bonnefoy et al. (2010)). It happens even if the bottom
 is flat (*ie* $\frac{\beta}{h_0} = 0\%$), and we have checked in Gouin et al. (2015) that neither
 method induces a prohibitive phase shift.

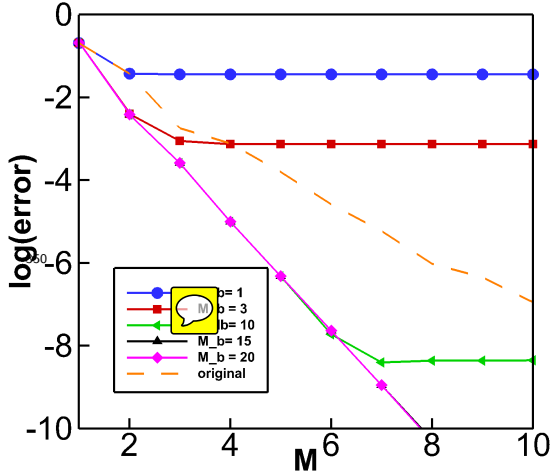


Figure 8: Error on the vertical velocity as function of M and M_b - $\frac{\beta}{h_0} = 40\%$ - $kh = 0.916$ and $ka = 0.01$.

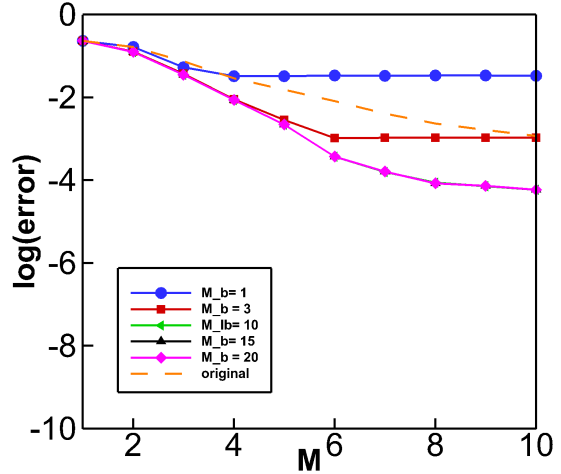


Figure 9: Error on the vertical velocity as function of M and M_b - $\frac{\beta}{h_0} = 40\%$ - $kh = 0.916$ and $ka = 0.15$.

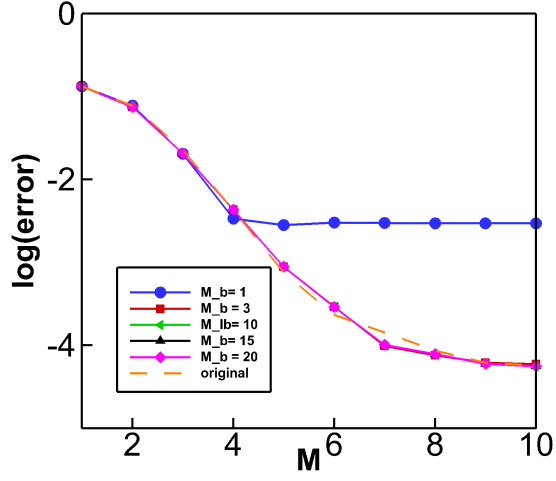


Figure 10: Error on the vertical velocity as function of M and $M_b - \frac{\beta}{h_0} = 10\%$ - $kh = 0.916$ and $ka = 0.15$.

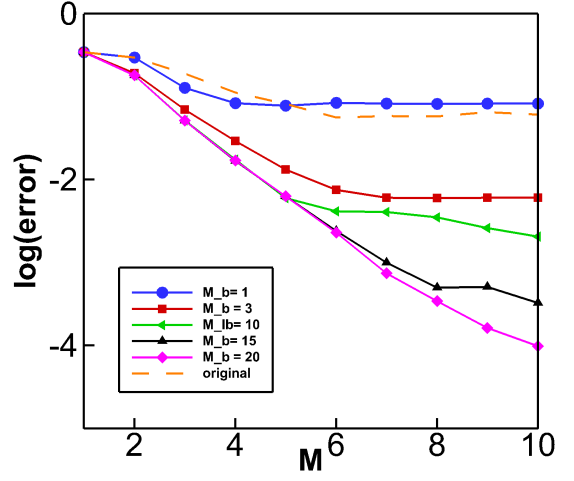


Figure 11: Error on the vertical velocity as function of M and $M_b - \frac{\beta}{h_0} = 75\%$ - $kh = 0.916$ and $ka = 0.15$.

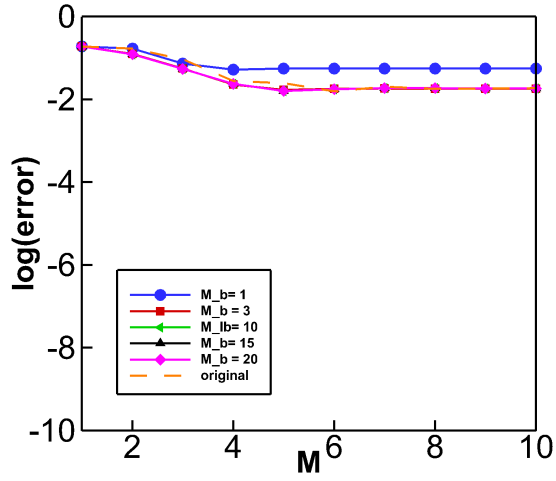


Figure 12: Error on the vertical velocity as function of M and $M_b - \frac{\beta}{h_0} = 40\%$ - $kh = 0.5$ and $ka = 0.1$.

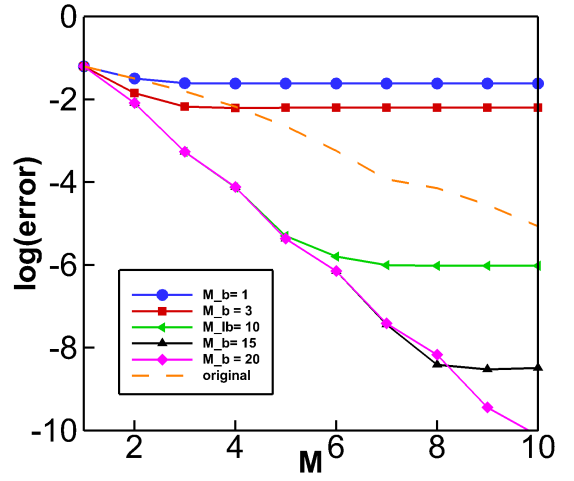


Figure 13: Error on the vertical velocity as function of M and $M_b - \frac{\beta}{h_0} = 40\%$ - $kh = 3$ and $ka = 0.1$.

355 *3.2. Computational effort*

The computational effort is directly proportional to the number of FFTs used in the HOS procedure. For the initial HOS method with a flat bottom (Eqs. 10 and 11), the number of FFTs required is $M \frac{M+3}{2}$. To account for a variable bathymetry, the additional number of FFTs used in the original method (Eq.16) is:

$$n = 2M(M - 1) \quad (26)$$

While for the improved method this number is:

$$n = 4M_b M \quad (27)$$

Even if the additional cost induced by a variable bathymetry is in $O(M^2)$, we are confident in the efficiency of the two HOS methods which are very interesting in terms of CPU time compared to other existing non-linear potential flow solvers.

Moreover, we observe that if $M = M_b$, the original method will be more efficient. On the contrary, the improved method should be more adequate if:

$$M_b < \frac{M - 1}{2} \text{ or } M < \frac{M_b - 1}{2} \quad (28)$$

360 As we saw just before, the improved method allows to achieve errors on the vertical velocity less than or equal to those obtained with the original method, depending on the value of M_b . Thus, in some cases where the two needed orders of non-linearities are very different, the use of a couple (M, M_b) rather than a couple (M, M) will reduce the computational effort (while keeping the accu-
365 racy).

In order to confirm the asymptotic scaling of the computational effort $N_d \log(N_d)$ of the proposed models, Fig.14 presents the computational time for 100 periods with respect to the number of dealiased points N_d in a computational domain
370 of 50 wavelengths. Here $N_d = N \frac{M+1}{2}$ as we perform a total dealiasing. This

figure was obtained with a HOS order fixed to $M = 8$ and a variation of the bottom $\frac{\beta}{h_0} = 40\%$. The initial HOS method with a flat bottom and $M = 8$ is also presented for comparison. The dashed lines represent the expected linear scaling in $N_d \log(N_d)$. We observe that the proposed models and the initial HOS method scale the same way with $N_d \log(N_d)$, which is a very interesting feature of the new HOS methods. Moreover, with this choice of parameters ($M = 8$ and $\frac{\beta}{h_0} = 40\%$), the computational time needed to compute a variable bathymetry is three times the computational effort necessary for a flat bottom. Looking at the number of FFTs required, the initial method for $M = 8$ needs $n = 44$ FFTs while the original method requires $n = 122 + 44 = 156$ FFTs (cf Eq.26). The theoretical ratio is thus 3.5 which agrees well with the empirical result of 3.

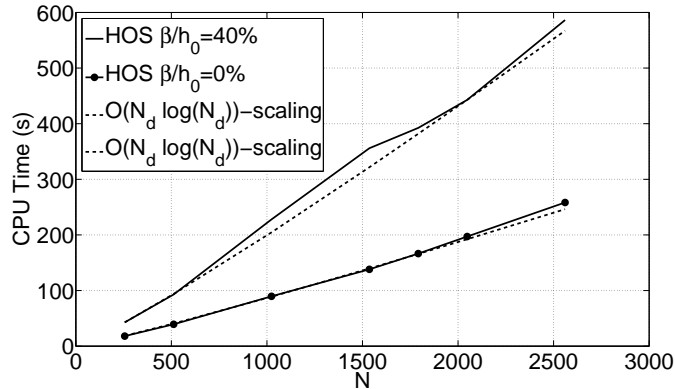


Figure 14: Computational effort with respect to N . $M = 8$.

3.3. Partial conclusion

Both methods can compute various cases depending on the value of $\frac{\beta}{h_0}$, kh and ka . They present a good accuracy as well as an attractive scaling of the computational effort with increasing problem size. Moreover, for increasing steepness, the error on the vertical velocity becomes larger and the convergence is slower, but still exists. The improved method is more flexible as we can dissociate the different orders of non-linearity. Furthermore, we can always find numerical parameters to achieve a better accuracy than with the original method.

390 The computational effort, which scales as $N_d \log(N_d)$ (with N_d the number of dealiased points), will also probably be reduced in some configurations if the orders of non-linearity needed on the free-surface and on the bottom are different. We will compare the required computational time in the applications cases given in the following.

395 Thus this highly demanding test case demonstrates the ability of our methods to represent non-negligible variations of the bottom with high accuracy and efficiency. We are now confident on the applicability of these schemes to realistic problems.

4. Validation

400 Two test cases have been treated to demonstrate the ability of the proposed schemes to model wave propagation over a variable bathymetry in realistic configurations. As an example of a small bottom variation around a mean water depth, the first test case considers Bragg reflection from a sinusoidal bottom patch. The second one intends to focus on a larger bottom variation with the
405 shoaling phenomenon.

4.1. Bragg reflection

This case aims at representing a small bottom variation around a mean water depth in order to satisfy the conditions of the Taylor expansion (see Eq.13). We consider the development of incident and Bragg reflected waves over a sinusoidal
410 bottom patch of wavenumber k_b (see Fig.15). If the class I Bragg condition is satisfied, the reflected wave should be amplified as a result of resonant quadratic interaction between the incident wave and the bottom variation. This case has been studied experimentally by Davies and Heathershaw (1984) and numerically by Bingham and Zhang (2007); Guyenne and Nicholls (2007); Liu and Yue
415 (1998).

For small incident waves and small bottom slopes, reflection near Bragg resonances is well predicted by the multiple-scale perturbation theory of Mei

(1985). Here we analyse non-linear effects using the original method. Note that the improved method gives exactly the same results. The conditions of Davies and Heathershaw (1984) are used to compare with experiments.

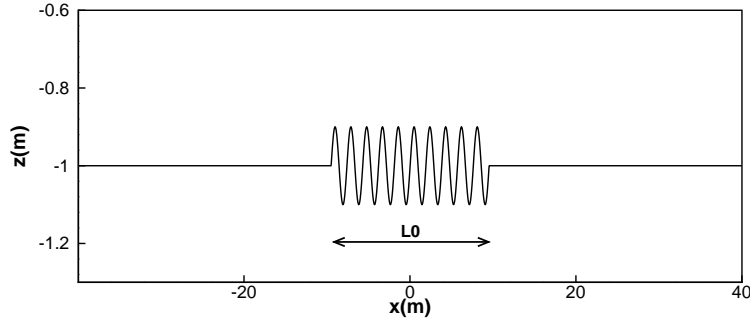


Figure 15: Bottom topography with a patch of 10 sinusoidal ripples of amplitude $d = 0.1$ and slope $k_b d = 0.31$.

The bottom ripple patch is defined as the variation around the mean water depth $h = h_0 + \beta(x)$ by :

$$\beta(x) = d \sin(k_b x) \quad \text{for} \quad \frac{-L_0}{2} \leq x \leq \frac{L_0}{2} \quad (29)$$

as depicted in Fig.15. The free surface is located at $z = 0\text{m}$ and the mean water depth is at $h_0 = 1\text{m}$. The ripple slope is $k_b d = 0.31$, the ripple amplitude is $d = 0.1\text{m}$ and the length of the patch is $\frac{L_0}{\lambda_b} = 10$ (i.e. we consider a patch of 10 sinusoidal ripples of wavelength $\lambda_b = \frac{2\pi}{k_b}$). The incident wave is set at the linear resonance condition of $k = \frac{k_b}{2}$ with a wave steepness $ka = 0.05$.

We perform simulations with $\frac{N}{\lambda} = 16$ nodes per wavelength and a HOS order $M = 2$ with the original method (the equivalence is obtained with $M = 2$ and $M_b = 1$ for the improved method). The steady-state is reached after $t = 41T$. This choice of order of non-linearity on the free-surface and on the bottom variation is sufficient to obtain converged results, since main physical phenomena are accounted for by Class I Bragg reflection which is second order, as explained in Liu and Yue (1998). The local reflection coefficient $R(x)$ is then extracted

using the method of Suh et al. (2001) and analysed hereafter.

435 The results are presented in Fig.16 along with the experimental measurements of Davies and Heathershaw (1984) and the solution given by the perturbation theory of Mei (1985). Ripples are located in $x/\lambda_b \in [-5; 5]$. It appears

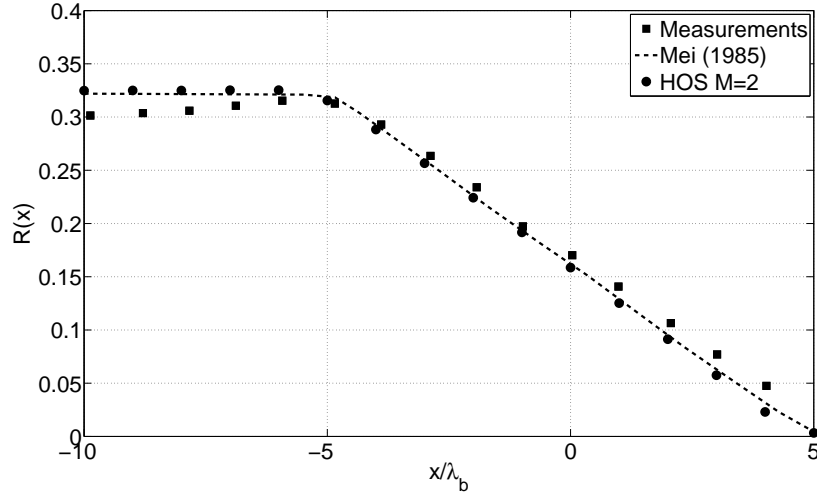


Figure 16: Bragg reflection from a sinusoidal bottom ripple patch over $-5\lambda_b \leq x \leq 5\lambda_b$ with $ka = 0.05$ and $k_b d = 0.31$.

clearly that our numerical results accurately reproduce the experiments and are in excellent agreement with the perturbation theory. Both methods (original
 440 and improved) give exactly the same results as those presented in Liu and Yue (1998). Thus the proposed methods are validated for a classical configuration with a small variation of the bottom ($\frac{\beta}{h_0} = 0.1$). We will now focus on the next test cases with higher bottom variations, to check the ability of the methods to treat realistic bathymetry profiles with non-negligible variations.

445 4.2. Shoaling of linear waves

Here we consider the shoaling of linear waves which are deformed during their propagation from deep to shallow water (see Fig.17). This validation case has been run with the improved method only. Indeed, it requires a linear

solution at the free-surface (to compare our results to an analytical solution)
 450 and a highly non-linear order at the bottom to account for the influence of the
 Taylor expansion around a mean water depth. This test case has been studied
 for instance in Bingham and Agnon (2005); Guyenne and Nicholls (2007).

We used a bottom profile defined by:

$$\beta(x) = \frac{h_0 - h_1}{2} \left[1 + \tanh \left(\frac{\sin(\pi x/L)}{1 - (2x/L)^2} \right) \right], \quad -\frac{L}{2} \leq x \leq \frac{L}{2} \quad (30)$$

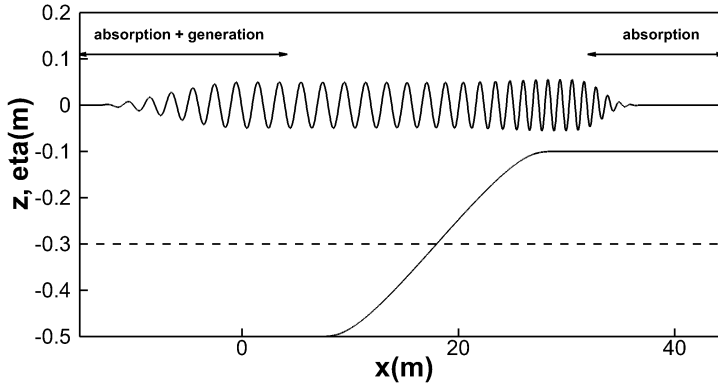


Figure 17: Computed wave profile (scaled by a factor of 10) of a linear wave of amplitude $a_0 = 5.10^{-3}m$ and wavelength $\lambda = 2m$ over a shoaling bottom profile at steady-state.

with $h_0 = 0.5 m$, $h_1 = 0.1 m$ and $L = 24 m$. Here $\frac{\beta}{h_0} = 0.8$ so the hypothesis of
 the Taylor expansion Eq.17 is not fulfilled. Nevertheless, we want to investigate
 455 if the method can deal with a high relative variation of the bathymetry. The
 bottom topography and the incident conditions allow a transition from deep to
 shallow water (from $kh = 1.57$ to $kh = 0.56$). A linear wave of amplitude $a_0 =$
 $5.10^{-3} m$ and wavelength $\lambda_0 = 2m$ is generated at the left end of the domain
 and is propagated until a steady-state is reached everywhere. A relaxation zone
 460 at the left of the domain generates the incoming wave and another zone at the
 right of the domain absorbs the waves after shoaling to prevent reflection and to
 ensure periodicity. Relaxation zones are thus composed of one absorbing zone
 (5 wavelengths), one generation zone (3 wavelengths), the observation zone (12

wavelengths) and a second absorbing zone (10 wavelengths) (see Fig.17).

465 The mean water depth h_0 is chosen at 0.3 m to reduce the maximum $\frac{\beta}{h_0}$ (from 0.8 to 0.66 at its maximum value) and to ensure a convergence with respect to M_b .

The steady-state is reached after $t = 27T$. Fig.18 shows the computed steady state amplitude envelope ($M = 1$ and $M_b = 15$ with $h_0 = 0.3m$) and the one predicted by energy conservation from linear theory:

$$\frac{a}{a_0} = \left[\frac{k (1 + 2h_0k_0 / \sinh(2h_0k_0))}{k_0 (1 + 2hk / \sinh(2hk))} \right]^{1/2} \quad (31)$$

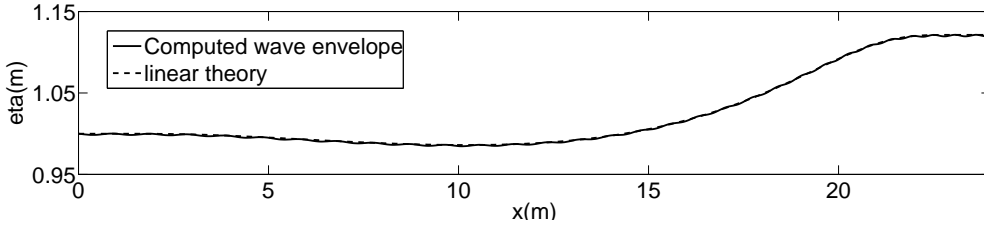


Figure 18: Comparison between the envelope predicted by the linear theory and the envelope obtained with the computed wave profile.

470 We see that the amplitude first decreases slightly with the bottom slope, and then increases and stabilizes. We note that our model compares accurately with the linear prediction. The increasing value of the wavenumber as the wave travels from deep to shallow water is also an expected phenomena (see Bingham and Agnon (2005)). Thus this test case validates the improved method even for
475 non-negligible relative bottom variations $\frac{\beta}{h_0}$.

5. Application : Harmonic Generation over a Submerged Bar

These application cases consider the transformation of a non-linear monochromatic wave as it travels up and over a submerged bar. As the waves travel up the front slope of the bar, they steepen dramatically, and higher harmonics are generated due to non-linear interactions. These higher harmonics produce an irregular pattern behind the bar. These validation cases have often been used as a discriminating test case for non-linear models of surface wave propagation over a variable bottom because they require an accurate propagation of waves in both deep and shallow water. The first case presented considers a bar with mild slopes while the second one focuses on steeper slopes.

5.1. Mild slope

This test case has been studied both experimentally by Dingemans (1994); Luth et al. (1994) and numerically by Guyenne and Nicholls (2007); Bingham and Zhang (2007). The bottom variation is defined by:

$$\beta(x) = \begin{cases} 0.05(x - 6) & \text{for } 6 \leq x \leq 12, \\ 0.3 & \text{for } 12 \leq x \leq 14, \\ 0.3 - 0.1(x - 14) & \text{for } 14 \leq x \leq 17, \\ 0 & \text{elsewhere,} \end{cases} \quad (32)$$

and can be seen in Fig.19. It has been scaled with a factor of two in comparison with the experiment of Dingemans (1994). The value of the front and back slopes are respectively 5% and 10%, corresponding to classical orders of magnitude observed on continental shelves. The relative variation of the bottom is $\frac{\beta}{h_0} = 0.75$ and we want to investigate if the method can deal with such variations.

Regular waves are generated at the left side of the domain using the solution of Rienecker and Fenton (1981) of steepness $ka = 0.017$ and relative water depth $kh = 0.67$. The period is fixed to 2.02s with an amplitude of 0.01m.

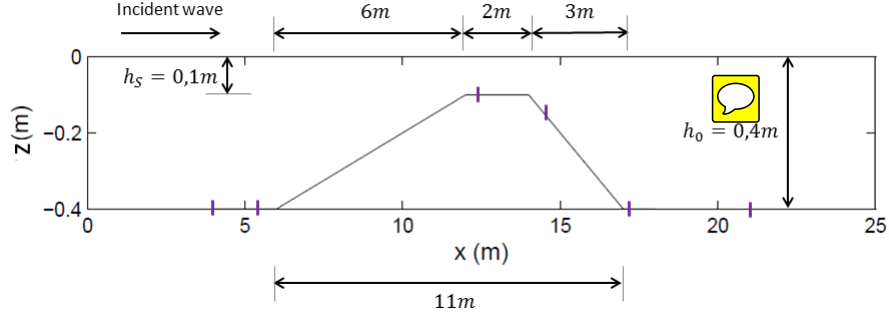


Figure 19: Sketch of the submerged bar as described in the experiments of Dingemans (1994). Blue markers correspond to the gauges in the experiments.

With the two methods the convergence and steady-state are reached after $t = 25T$ with a number of nodes per wavelength $\frac{N}{\lambda} = 40$. The original method needs an HOS order $M = 17$ to reach the steady-state. Indeed, such a high-order is needed on the bottom because non-linearities on the free-surface are small (see
500 the value of ka) while the ones induced by the bottom variation are important. As a comparison, the improved method only needs an order $M = 3$ on the free surface and an order $M_b = 16$ on the bottom to reach the steady-state.

When the convergence and steady-state are achieved, exactly the same results are obtained with the two methods. Thus, in the following, we present
505 only the results with the improved method. Moreover, we demonstrated in that when the two needed orders of non-linearities are very different, the use of a couple (M, M_b) rather than a couple (M, M) will reduce the computational effort. Thus, in this case, the use of the improved method rather than the original method improves the efficiency, while keeping the accuracy.

510 5.1.1. Time series of free surface elevations

For the incident wave conditions $(T_0, a_0) = (2.02s, 0.01m)$, a snapshot of the surface elevation (scaled by a factor of 3) is presented in Fig.20 and the time histories of the surface elevations at various locations are shown in Fig.21. The location of the gauges can be seen in Fig.19. The experimental data comes from

515 the experiment of Luth et al. (1994).

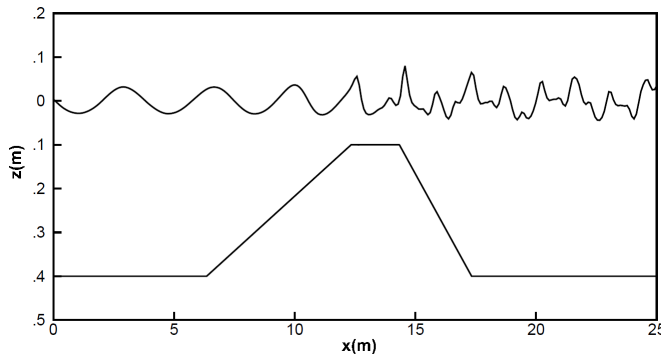


Figure 20: Snapshot of the surface elevation at a steady-state (scaled by a factor of 3).

The comparison between our numerical results and the experimental data is very good, and similar to the results obtained with other numerical methods (Guyenne and Nicholls (2007); Bingham and Zhang (2007)). In particular, the asymmetry of the shoaling waves and the generation of higher harmonics are correctly reproduced by the two methods. Thus, both free-surface non-linearities and bottom non-linearities are correctly solved and we are confident in the accuracy of the model and its ability to treat large bottom variations.

5.1.2. Harmonic analysis

For a deeper comparison, a harmonic analysis of the surface elevation is run as presented in Bingham and Zhang (2007). Our results are shown in Fig.22 where the normalized amplitude of the first five harmonics of the wavefield are depicted as functions of x . As expected, we can clearly observe the generation of high-harmonics over the bar. Moreover, the comparison of all harmonics with the measurements is good even up to the fifth-harmonic, indicating that non-linear effects due to the bottom variation are correctly resolved. It is also very similar to the numerical results presented in Bingham and Zhang (2007), especially with similar slight discrepancies observed on the first harmonic. The oscillations are due to the interaction between the incident waves and the waves reflected on the first slope of the bar. We can notice that even if $\frac{\beta}{h_0} = 0.75$ over

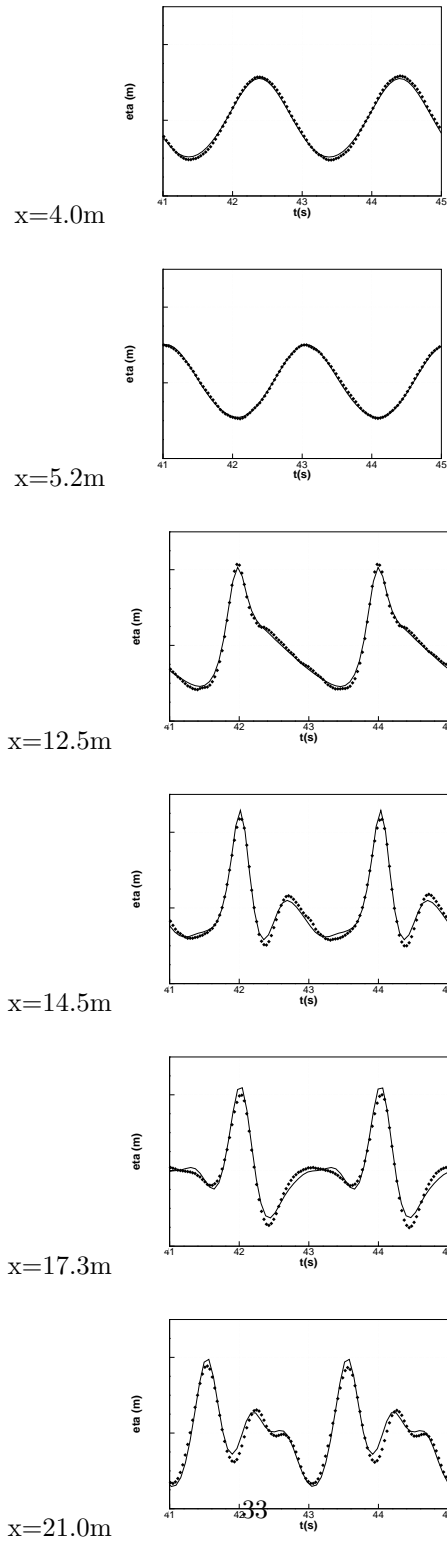


Figure 21: Time series of measured and computed surface elevations at 6 positions. Solid line: calculations. Points: measurements Luth et al. (1994).

535 the submerged bar (which represents a very large relative bottom variation),
the steepness is weak ($ka = 0.017$), and the bottom variation does not take
place in the whole domain, helping the model to solve this problem accurately.
Looking at the computational effort, we observe that the improved method is
more efficient: the CPU time needed to compute one period is 5.5s with the
540 improved method ($M = 3$ and $M_b = 16$) and 8.6s with the original method
($M = 17$).

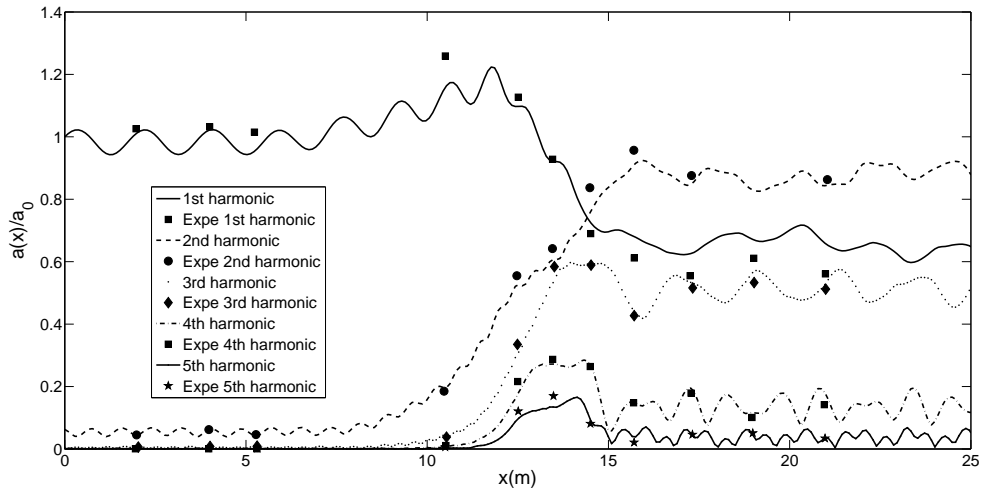


Figure 22: Harmonic analysis at steady-state for the mild slope case. $\frac{N}{\lambda} = 40$, $M = 3$ and $M_b = 16$.

5.2. Steep slope

We now apply the two HOS methods to a submerged bar with steeper front
545 and back slopes, as presented in the experimental set-up of Ohyama et al. (1995).
In this case, $\frac{\beta}{h_0} = 0.7$ and the value of the slope is 50% so it allows to check the
domain of validity of our model. This experiment has also been used by Shen
et al. (2004); Gobbi and Kirby (1998); Benxia and Xiping (2009) as a validation
case for their numerical methods.

The bottom variation is presented in Fig.23 and defined for this steeper case

by:

$$\beta(x) = \begin{cases} 0.5(x - 2.6) & \text{for } 2.6 \leq x \leq 3.3, \\ 0.35 & \text{for } 3.3 \leq x \leq 4.8, \\ 0.35 - 0.5(x - 4.8) & \text{for } 4.8 \leq x \leq 5.5, \\ 0 & \text{elsewhere,} \end{cases} \quad (33)$$

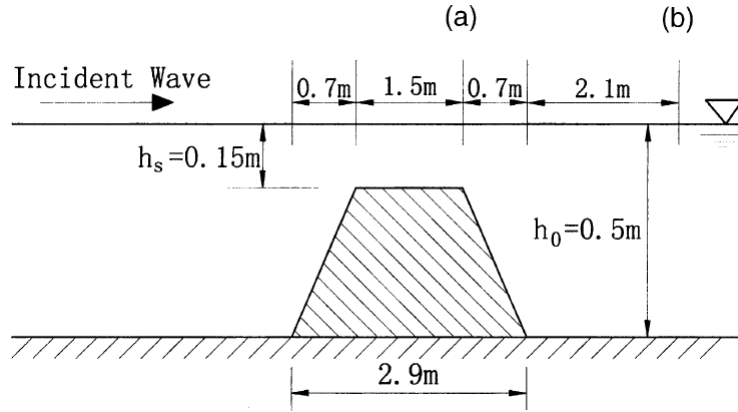


Figure 23: Sketch of the submerged bar from Ohya et al. (1995).

The initialisation of η and $\tilde{\phi}$ is based on a solution of Rienecker and Fenton (1981) of steepness $ka = 0.039$ and relative water depth $kh = 0.77$. The period is fixed to 2.01s with an amplitude of 0.025m. The relaxation zones are the same as previously.

555 Simulations are run until the steady-state is reached after $t = 30T$ with a number of nodes per wavelength $\frac{N}{\lambda} = 60$ and an HOS order $M = 17$. The improved method only needs an order $M = 5$ on the free surface and an order $M_b = 16$ on the bottom to reach the steady-state. As previously, the results are identical with the two methods, so we only present the results achieved with the

560 improved one. The computational effort of the two methods is different because it depends from the numerical parameters M and M_b , as seen before.

For the incident wave conditions $(T_0, a_0) = (2.01s, 0.025m)$, the time histories

of the surface elevations at locations a (over the bar) and b (after the bar) (see Fig.23) are shown in Fig.24. The experimental data come from the experiment
 565 of Ohyama et al. (1995).

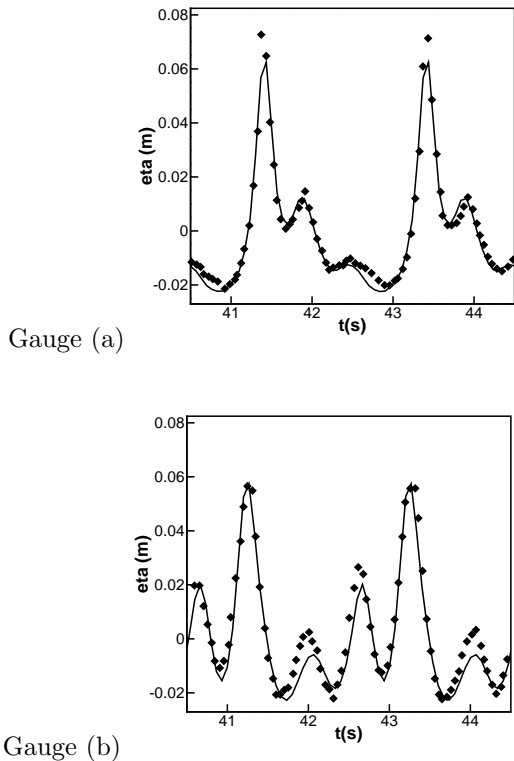


Figure 24: Time series of measured and computed surface elevations at 2 positions. Solid line: calculations. Points: measurements Ohyama et al. (1995).

The comparison between our numerical results and the experimental data is also good even if we observe slight discrepancies with respect to the experiments. In this steeper case, the maximum variation of the bottom is almost the same as in the mild slope case ($\frac{\beta}{h_0} = 70\%$) but the gradient is much larger.
 570 Nevertheless, the large slopes are more difficult to simulate with the proposed models. However, in this extreme configuration, we still manage to obtain reasonable accuracy on the free-surface elevation. Moreover, the level of accuracy achieved on the time series of the free-surface elevations is better than the one

presented in Shen et al. (2004) and almost the same as in Gobbi and Kirby
575 (1998); Benxia and Xiping (2009).

With the two proposed methods, the bottom and the gradient need to be
continuous, and we clearly see that this is not the case in these two configura-
tions. Nevertheless, we reach to treat the two cases of the underwater bars with
580 mild and steep slopes. For more complicated bathymetries, the bathymetry
could be smoothed to avoid the development of instabilities.

Thus, these HOS models appear suitable to simulate wave propagation with
large variations of water depth and relatively large bottom slopes.

6. Conclusion

585 In the frame of the initial HOS model developed for a flat bottom, we have
implemented two numerical methods to simulate non-linear free surface waves
over variable depth. Both methods are based on a Taylor expansion of the bot-
tom boundary condition with respect to the mean water depth. The first method
uses the same order of non-linearity for the bottom and for the free-surface as
590 presented in Liu and Yue (1998), while the second method is an extension of
the work of Guyenne and Nicholls (2007) to the HOS formalism by considering
two independent orders of non-linearity.

We first characterized and demonstrated the validity of both methods by
595 computing large but constant bottom variations. We demonstrated that the
error made on the vertical velocity converged exponentially with increasing N ,
 M and M_b . The improved method is more flexible as we can dissociate the
different orders of non-linearity. Moreover, the computational effort to account
for a variable bathymetry scales with $N_d \log(N_d)$ for both methods (where N_d
600 is the number of dealiased nodes), similarly to the initial HOS method. We also
saw that we can always find numerical parameters (M, M_b) leading to a better
accuracy with the improved method than with the original one. In some cases

(where the two orders of non-linearities are very different), the use of a couple
(M, M_b) rather than a couple (M, M) will even reduce the computational effort
605 (while keeping or improving the accuracy). The flexibility and efficiency of the
improved method are thus important features of the new scheme.

Then, by a series of two validation cases, we have shown the accuracy and effi-
ciency of the two methods. Efficient procedures for waves generation/absorption
have been developed to ensure the periodicity of the simulation. The first test
610 case reproduces Bragg reflection over small bottom variations and shows results
in agreement with Liu and Yue (1998); Bingham and Zhang (2007); Guyenne
and Nicholls (2007). The second test case was implemented to reproduce the
shoaling of linear waves. It shows very good agreement with the analytical
solution and with numerical results described in Bingham and Agnon (2005);
615 Guyenne and Nicholls (2007).

Finally, the application case of a submerged bar which simulates large and
realistic bottom variations has been presented. It shows very good agreement
with both numerical and experimental data, and thus proves the ability of the
two methods to accurately simulate the propagation of waves over large varia-
620 tions of the bathymetry and of its gradient. The improved method seems to be
more efficient on the specific case of the underwater bar because the bottom and
the free-surface do not require expansions with the same order of non-linearity,
so the computational time is reduced with this method.

625 Thus, we have demonstrated the ability of our methods to compute high
water depth variations and bottom slopes. Despite the limitations inherent to
the Taylor expansions, very good results have been obtained even for very steep
waves over highly varying bathymetries. Moreover, the two iterative methods
presented appear very effective, and the choice of one or another will depend
630 on the computed case. They both can be used to accurately characterize the
wavefields in coastal regions. The calculation of corresponding wave loads on
marine renewable energy systems is the next step. These loads may be directly
computed from wave kinematics using added mass and drag terms estimated

from Morison's formula. A more reliable and accurate solution will be to couple
635 one of the proposed models for wave propagation, with a CFD solver accounting
for the interaction with the structure.

The extension of the model to irregular waves and to 3D cases is conceptually
straightforward with the use of relaxation zones and is under development.

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765

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