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## NON-SMOOTH MODAL ANALYSIS OF PIECEWISE-LINEAR IMPACT OSCILLATORS

Seminar, Lab. of Solid Mechanics, École Polytechnique, France

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INTRODUCTION

## PHASE SPACE

## Model

- Mechanical system


## 身 $\mathrm{H}_{1}$

- Generalised coordinates $x_{1}, x_{2}$
- Laws of physics

$$
\mathbf{M} \ddot{\mathbf{x}}+\mathbf{K x}=\mathbf{0}, \quad \mathbf{x}=\left[\begin{array}{l}
x_{1} \\
x_{2}
\end{array}\right]
$$

## Mathematical standpoint

- Ordinary differential equation (ODEs)
- Solutions: trajectories



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- Mechanical system
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x_{1} \\
x_{2}
\end{array}\right]
$$

## Mathematical standpoint

- Ordinary differential equation (ODEs)
- Solutions: trajectories



## Equivalence:

Mechanical state


Point in the phase space

## LINEAR MODAL ANALYSIS

## Model

## 

$$
\mathbf{M} \ddot{\mathrm{x}}+\mathrm{Kx}=\mathbf{0}, \quad \mathrm{x}=\left[\begin{array}{l}
x_{1} \\
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$$

Time-evolution


## Mathematical standpoint



## LINEAR MODE 1

Linear system

## 気 num- - - м m -

$$
\mathbf{M} \ddot{\mathrm{x}}+\mathrm{Kx}=\mathbf{0}, \quad \mathrm{x}=\left[\begin{array}{l}
\mathrm{x}_{1} \\
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\end{array}\right]
$$

Time-evolution


Phase space: linear mode


## Definition (Linear mode)

Invariant plane of periodic orbits in the phase space.

## LINEAR MODE 2

Linear system
纹 1 mun- WWMW-

$$
\mathbf{M} \ddot{\mathbf{X}}+\mathbf{K X}=\mathbf{0}, \quad \mathbf{X}=\left[\begin{array}{l}
x_{1} \\
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Time-evolution


Phase space: linear mode


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Invariant planes of periodic orbits in the phase space.

## LINEAR MODES: SUMMARY

Linear modes:

- rely on superposition principle
- structure of vector space (base: linear modes)
- linear algebra ( $\sim$ end of 19th)
- eigenvalues: resonance


## WHAT ABOUT NONLINEAR SYSTEMS?

## Nonlinear modes:

- superposition principle no longer holds
- impossible to calculate all the trajectories


## WHAT ABOUT NONLINEAR SYSTEMS?

## Nonlinear modes:

- superposition principle no longer holds
- impossible to calculate all the trajectories
- but maths results (Lyapunov theorem (1907) + central manifold theorem (~ 1970))
- existence of fix points, periodic orbits, attractors, continuums of periodic orbits in the vicinity of fixed points
- applied to dynamical systems (Rosenberg, Shaw, Pierre, Vakakis, etc.)
- practical interest: local behaviour + prediction of resonance


## NONLINEAR MODES

## Nonlinear system

总WMWM-

$$
\mathbf{M} \ddot{\mathbf{x}}+\mathbf{K}\left(\mathbf{x}+\alpha \mathbf{x}^{3}\right)=\mathbf{0}
$$



## Time-evolution



## Phase space



## Definition (Nonlinear mode)

Continuum of periodic orbits in the phase space, tangent to a linear mode.

## NONLINEAR MODES

## Nonlinear system

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## NONLINEAR MODES

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## Time-evolution



## Phase space



## Definition (Nonlinear mode)

Continuum of periodic orbits in the phase space, tangent to a linear mode.

## LIMITATION OF NONLINEAR MODAL ANALYSIS

## Limitation

Computation of nonlinear modes relies on smoothness.

## $\longrightarrow$ What about non-smooth systems?

- Non-smooth system: system undergoing impact and/or dry friction.


Newton cradle [Inria]


Turbomachine [McGill]

- Long-term objective: extend non-linear modal analysis to non-smooth systems
- Literature in (ultra) short: nonlinear smooth modes or time-evolution of non-smooth systems


## OUTLINE

1. Introduction
2. Formulation
3. Resolution
4. Illustrations
5. Additional properties
6. Conclusions

FORMULATION

## INVESTIGATED SYSTEMS: ASSUMPTIONS



$$
\begin{aligned}
& \mathbf{M}=\left[\begin{array}{lll}
\bullet & & 0 \\
& \ddots & \\
0 & & \bullet
\end{array}\right] \\
& \mathbf{M}=\left[\begin{array}{ccc}
\bullet & \cdots & \bullet \\
\vdots & & \vdots \\
\bullet & \cdots & \bullet
\end{array}\right]
\end{aligned}
$$

- linear system (material + geometry)
- autonomous (= unforced)
- conservative (= undamped)


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- linear system (material + geometry) piecewise
- autonomous (= unforced)
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- unique contact condition


## INVESTIGATED SYSTEMS: ASSUMPTIONS



- linear system (material + geometry) piecewise
- autonomous (= unforced)
- conservative (= undamped)
- unique contact condition
- perfectly elastic impact law


## FREE FLIGHT



- Dynamics $\mathbf{M} \ddot{\mathrm{x}}+\mathrm{Kx}=\mathbf{0}$
- First-order $\dot{\mathbf{X}}=\mathbf{A X}$ with $\mathbf{X}=\binom{\mathbf{x}}{\dot{\mathbf{x}}}$ and $\mathbf{A}=\left[\begin{array}{cc}\mathbf{0} & \mathbf{I} \\ -\mathbf{M}^{-1} \mathbf{K} & \mathbf{0}\end{array}\right]$
- Solutions $\mathbf{X}(t)=e^{t \mathbf{A}} \mathbf{X}(0)$


## FREE FLIGHT



- Dynamics $\mathbf{M} \ddot{\mathrm{x}}+\mathrm{Kx}=\mathbf{0}$
- First-order $\dot{\mathbf{X}}=\mathbf{A X}$ with $\mathbf{X}=\binom{\mathbf{X}}{\dot{\mathbf{x}}}$ and $\mathbf{A}=\left[\begin{array}{cc}\mathbf{0} & \mathbf{I} \\ -\mathbf{M}^{-1} \mathbf{K} & \mathbf{0}\end{array}\right]$
- Solutions $\mathbf{X}(t)=e^{t \mathbf{A}} \mathbf{X}(0)$
- After a change of basis: $\overline{\mathbf{X}}(t)=\mathbf{S}(t) \overline{\mathbf{X}}(0)$ where $\mathbf{S}(t)$ is block-diagonal:

$$
\left[\begin{array}{cc}
\cos \left(\omega_{i} t\right) & -\sin \left(\omega_{i} t\right) \\
\sin \left(\omega_{i} t\right) & \cos \left(\omega_{i} t\right)
\end{array}\right]
$$

$\Longrightarrow \mathbf{S}(t)$ acts like $n$ rotations in $n$ orthogonal planes

## IMPACT



- gap: $g(\mathbf{x})=g_{0}-\mathbf{x}$ with $g_{0}$ unconstrained gap
- Newton impact law: $\dot{x}_{n}\left(t_{\mathrm{imp}}^{+}\right)=-e \dot{x}_{n}\left(t_{\mathrm{imp}}^{-}\right)$with $e=1$
$\triangleright$ conservative when $\mathbf{M}$ is diagonal: $\dot{\mathbf{x}}^{+\top} \mathbf{M} \dot{\mathbf{x}}^{+}=\sum_{i} m_{i, i} \dot{x}_{i}^{+2}=\dot{\mathbf{x}}^{-\top} \mathbf{M} \dot{\mathbf{x}}^{-}$


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$\triangleright$ non-conservative in general: $\dot{\mathbf{x}}^{+\top} \mathbf{M} \dot{\mathbf{x}}^{+} \neq \dot{\mathbf{x}}^{-\top} \mathbf{M} \dot{\mathbf{x}}^{-}$


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- more generally: $\dot{\mathbf{x}}^{+}=\mathbf{N} \dot{\mathbf{x}}^{-}$with $\mathbf{N}=\mathbf{M}^{-1 / 2}\left(\mathbf{I}-2 \mathbf{r} \mathbf{r}^{\top}\right) \mathbf{M}^{1 / 2}$


## IMPACT



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$\triangleright$ conservative when $\mathbf{M}$ is diagonal: $\dot{\mathbf{x}}^{+\top} \mathbf{M} \dot{\mathbf{x}}^{+}=\sum_{i} m_{i, i} \dot{x}_{i}^{+2}=\dot{\mathbf{x}}^{-\top} \mathbf{M} \dot{\mathbf{x}}^{-}$ $\triangleright$ non-conservative in general: $\dot{\mathbf{x}}^{+\top} \mathbf{M} \dot{\mathbf{x}}^{+} \neq \dot{\mathbf{x}}^{-\top} \mathbf{M} \dot{\mathbf{x}}^{-}$
- more generally: $\dot{\mathbf{x}}^{+}=\mathbf{N} \dot{\mathbf{x}}^{-}$with $\mathbf{N}=\mathbf{M}^{-1 / 2}\left(\mathbf{I}-2 \mathbf{r r}^{\top}\right) \mathbf{M}^{1 / 2}$
$\Longrightarrow \mathbf{N}$ acts as a reflection w.r.t to a hyperplane


## LINEAR MAPPING AFTER K IMPACTS



- State at time $t$ :

$$
\mathbf{X}(t)=\mathbf{S}(t) \mathbf{X}_{0}
$$

## LINEAR MAPPING AFTER K IMPACTS



- State at time $t$ :

$$
\mathbf{X}(t)=\mathbf{N S}\left(\sigma_{1}\right) \mathbf{X}_{0}
$$

## LINEAR MAPPING AFTER K IMPACTS

## "ג~w who



- State at time $t$ :

$$
\mathbf{X}(t)=\mathbf{S}\left(t-t_{1}\right) \mathbf{N S}\left(\sigma_{1}\right) \mathbf{X}_{0}
$$

## LINEAR MAPPING AFTER K IMPACTS



- State at time $t$ :

$$
\mathbf{X}(t)=\mathbf{N S}\left(\sigma_{2}\right) \mathbf{N S}\left(\sigma_{1}\right) \mathbf{X}_{0}
$$

## LINEAR MAPPING AFTER K IMPACTS



- State at time $t$ :

$$
\mathbf{X}(t)=\mathbf{N S}\left(\sigma_{k}\right) \ldots \mathbf{N S}\left(\sigma_{2}\right) \mathbf{N S}\left(\sigma_{1}\right) \mathbf{X}_{0}
$$

## LINEAR MAPPING AFTER K IMPACTS



- State at time $t$ :

$$
\mathbf{X}(t)=\mathbf{N S}\left(\sigma_{k}\right) \ldots \mathbf{N S}\left(\sigma_{2}\right) \mathbf{N S}\left(\sigma_{1}\right) \mathbf{X}_{0}=\mathbf{X}_{0}
$$

## LINEAR MAPPING AFTER K IMPACTS



- State at time $t$ :

$$
u\left(\mathbf{X}_{0}\right)=\mathbf{X}(t)=\mathbf{N S}\left(\sigma_{k}\right) \ldots \mathbf{N S}\left(\sigma_{2}\right) \mathbf{N S}\left(\sigma_{1}\right) \mathbf{X}_{0}=\mathbf{X}_{0}
$$

## LINEAR MAPPING AFTER K IMPACTS



- State at time $t$ :

$$
u\left(\mathbf{X}_{0}\right)=\mathbf{X}(t)=\mathbf{N S}\left(\sigma_{k}\right) \ldots \mathbf{N S}\left(\sigma_{2}\right) \mathbf{N S}\left(\sigma_{1}\right) \mathbf{X}_{0}=\mathbf{X}_{0}
$$

- Formulation: For a given $k$, find $\mathbf{X}_{0}$ and $t_{1}, \ldots, t_{k}$ such that

$$
\left\{\begin{array}{l}
u\left(\mathbf{X}_{0}\right)=\mathbf{X}_{0} \\
\mathbf{X} \text { determined by } \mathbf{X}_{0} \text { such that: } \\
\forall i \in \llbracket 1, k \rrbracket, g\left(\mathbf{X}\left(t_{i}\right)\right)=0 \\
\forall t \in[0, T], g(\mathbf{X}(t)) \geq 0
\end{array}\right.
$$

NC1 (dynamics + periodicity)

NC2 (gap closures)
NC3 (non-interpenetration)

RESOLUTION

## MAIN RESULTS

- Formulation: For a given $k$, find $\mathbf{X}_{0}$ and $t_{1}, \ldots, t_{k}$ such that

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\left\{\begin{array}{l}
u\left(\mathbf{X}_{0}\right)=\mathbf{X}_{0} \\
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NC1 (dynamics + periodicity)

NC2 (gap closures)
NC3 (non-interpenetration)

## MAIN RESULTS

- Formulation: For a given $k$, find $\mathbf{X}_{0}$ and $t_{1}, \ldots, t_{k}$ such that

$$
\begin{cases}u\left(\mathbf{X}_{0}\right)=\mathbf{X}_{0} & \text { NC1 (dynamics }+ \text { periodicity) } \\ X \text { détermined by } X_{0} \text { such that: } & \\ \forall i \in[1, k], g\left(X\left(t_{i}\right)\right)=0 & \text { NC2 (gap closures) } \\ \forall t \in[0, T], g(X(t)) \geq 0 & \text { NC3 (non-interpenetration) }\end{cases}
$$

- Example: for $n=2, k=2, N C 1$ :

$$
\mathbf{N S}\left(\sigma_{2}\right) \mathbf{N S}\left(\sigma_{1}\right) \mathbf{X}_{0}=\mathbf{X}_{0}
$$

## MAIN RESULTS

- Formulation: For a given $k$, find $\mathbf{X}_{0}$ and $t_{1}, \ldots, t_{k}$ such that

$$
\begin{cases}u\left(\mathbf{X}_{0}\right)=\mathbf{X}_{0} & \text { NC1 (dynamics }+ \text { periodicity) } \\ X \text { détermined by } X_{0} \text { such that: } & \\ \forall i \in[1, k], g\left(X\left(t_{i}\right)\right)=0 & \text { NC2 (gap closures) } \\ \forall t \in[0, T], g(X(t)) \geq 0 & \text { NC3 (non-interpenetration) }\end{cases}
$$

- Example: for $n=2, k=2, \mathrm{NC1}$ :

$$
\begin{aligned}
& \mathbf{N S}\left(\sigma_{2}\right) \mathbf{N S}\left(\sigma_{1}\right) \mathbf{X}_{0}=\mathbf{X}_{0} \\
& \mathbf{N}=\left[\begin{array}{llll}
1 & & & \\
& 1 & & \\
& & 1 & \\
& & & -1
\end{array}\right] \quad \mathbf{S}(t)=\mathbf{P}\left[\begin{array}{lll}
\cos \left(\omega_{1} t\right) & -\sin \left(\omega_{1} t\right) & \\
\sin \left(\omega_{1} t\right) & \cos \left(\omega_{1} t\right) & \\
& & \begin{array}{l}
\cos \left(\omega_{2} t\right) \\
\\
\\
\\
\end{array} \\
& & \sin \left(\omega_{2} t\right) \\
& \sin \left(\omega_{2} t\right)
\end{array}\right] \mathbf{P}^{-1}
\end{aligned}
$$

Very computationally expensive!

## MAIN RESULTS

- Formulation: For a given $k$, find $\mathbf{X}_{0}$ and $t_{1}, \ldots, t_{k}$ such that

$$
\left\{\begin{array}{l}
u\left(\mathbf{X}_{0}\right)=\mathbf{X}_{0} \\
X \text { détermined by } X_{0} \text { such that: } \\
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\forall t \in[0, T], g(X(t)) \geq 0
\end{array}\right.
$$

NC1 (dynamics + periodicity)

## Theorem (NC1)

$\mathrm{X}_{0}$ is invariant by $u$ iff $\exists \lambda \in \mathbb{R}^{k}$ such that

$$
\left\{\begin{array}{l}
\boldsymbol{\Pi}\left(t_{1}, \ldots, t_{k}\right) \boldsymbol{\lambda}=\mathbf{0} \\
\mathbf{X}_{0}=\varphi(\boldsymbol{\lambda})
\end{array}\right.
$$

The applications $\boldsymbol{\Pi}$ and $\varphi$ are known!
$\Pi$ is a skew-symmetric $k \times k$ matrix.

## MAIN RESULTS

- Formulation: For a given $k$, find $\mathbf{X}_{0}$ and $t_{1}, \ldots, t_{k}$ such that

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\forall i \in \llbracket 1, k \rrbracket, g\left(\mathbf{X}\left(t_{i}\right)\right)=0
\end{array}\right.
$$

NC1 (dynamics + periodicity)
NC2 (gap closures)
NC3 (non-interpenetration)

## MAIN RESULTS

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$$

## Theorem (NC2)

$\mathrm{X}_{0}$ verifies $N C 2$ iff $\exists \boldsymbol{\lambda} \in \mathbb{R}^{k}$ such that

$$
\left\{\begin{array}{l}
\boldsymbol{\Sigma}\left(t_{1}, \ldots, t_{k}\right) \boldsymbol{\lambda}=g_{0} \boldsymbol{j} \\
\mathbf{X}_{0}=\varphi(\boldsymbol{\lambda})
\end{array}\right.
$$

with $\mathbf{j}=[1, \ldots, 1]^{\top}$.
The applications $\boldsymbol{\Sigma}$ and $\varphi$ are known, and $\varphi$ is the same as in Theorem 1 . $\boldsymbol{\Sigma}$ is a symmetric $k \times k$ matrix.

## MAIN RESULTS

- For a given $k$, find $\mathbf{X}_{0} \in \mathbb{R}^{2 n}$ and $t_{1}, \ldots, t_{k}$ such that

$$
\begin{cases}u\left(\mathbf{X}_{0}\right)=\mathbf{X}_{0} & \text { NC1 } \\ \mathbf{X} \text { determined by } \mathbf{X}_{0} \text { such that: } & \\ \forall i \in \llbracket 1, k \rrbracket, g\left(\mathbf{X}\left(t_{i}\right)\right)=0 & \text { NC2 } \\ \forall t \in[0, T], g(\mathbf{X}(t)) \geq 0 & \text { NC3 }\end{cases}
$$

becomes:

- For a given $k$, find $\boldsymbol{\lambda} \in \mathbb{R}^{k}$ and $t_{1}, \ldots, t_{k}$ such that

$$
\begin{cases}\Pi\left(t_{1}, \ldots, t_{k}\right) \boldsymbol{\lambda}=\mathbf{0} & \text { NC1 } \\ \boldsymbol{\Sigma}\left(t_{1}, \ldots, t_{k}\right) \boldsymbol{\lambda}=g_{0} \boldsymbol{j} & \text { NC2 } \\ \mathbf{X} \text { determined by } \mathbf{X}_{0}=\varphi(\boldsymbol{\lambda}) \text { such that: } & \\ \forall t \in[0, T], g(\mathbf{X}(t)) \geq 0 & \text { NC3 }\end{cases}
$$

ILLUSTRATIONS

## CASE $k=1$

- For a given $k$, find $\boldsymbol{\lambda} \in \mathbb{R}^{k}$ and $t_{1}, \ldots, t_{k}$ such that

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- Example $k=1$ :
$\triangleright \boldsymbol{\Pi}\left(t_{1}\right)=[0]$, so NC1 is always satisfied.


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- Example $k=1$ :
$\triangleright \boldsymbol{\Pi}\left(t_{1}\right)=[0]$, so NC1 is always satisfied.
$\triangleright \boldsymbol{\Sigma}\left(t_{1}\right)=\left[f\left(t_{1}\right)\right]$ so NC2 reads:

$$
f\left(t_{1}\right) \lambda=g_{0} .
$$

If $g_{0} \neq 0, \lambda=g_{0} / f\left(t_{1}\right)$

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$$

If $g_{0} \neq 0, \lambda=g_{0} / f\left(t_{1}\right)$ so $\mathbf{X}_{0}=\varphi\left(g_{0} / f\left(t_{1}\right)\right)$.
$\Longrightarrow$ vector of initial conditions $\mathbf{X}_{0}$ is parametrised by $t_{1}=T$ !

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If $g_{0} \neq 0, \lambda=g_{0} / f\left(t_{1}\right)$ so $\mathbf{X}_{0}=\varphi\left(g_{0} / f\left(t_{1}\right)\right)$.
$\Longrightarrow$ vector of initial conditions $\mathbf{X}_{0}$ is parametrised by $t_{1}=T$ !
$\triangleright$ NC3 has to be checked numerically.

## CASE $k=1$

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## TERMINOLOGY

## Definition (Potential solution)

## Initial conditions satisfying NC1 + NC2

## Definition (Admissible solution)

Initial conditions satisfying NC1 $+\mathrm{NC} 2+\mathrm{NC} 3$
In practice, seek potential solutions and check NC3 numerically.

## NON-SMOOTH MODE (1 IMPACT PER PERIOD)




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## METHODOLOGY

1. Find a potential solution (solve $\boldsymbol{\Pi}(\mathbf{s}) \boldsymbol{\Sigma}^{-1}(\mathbf{s}) \mathbf{j}=\mathbf{0}$ for $\mathbf{s} \in \mathbb{R}_{+}^{k}$ ).
$\triangleright \operatorname{det}(\boldsymbol{\Pi})=\operatorname{Pf}^{2}(\boldsymbol{\Pi})$ : Pfaffian, defined for skew-symmetric matrices
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3. Check admissibility $(\forall t, g(\mathbf{x}(t)) \geqslant 0)$.

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ADDITIONAL PROPERTIES

## SYMMETRIES



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time $t$

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## Theorem (Symmetries if $\boldsymbol{\Sigma}$ invertible)

If $\left(\sigma_{i}\right)$ is invertible, then positions have 2 axes of symmetry.

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\begin{aligned}
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$\Longrightarrow$ continuum of orbites of same frequency!


## SINGULARITY OF $\boldsymbol{\Sigma}(\mathrm{s})$ : EXAMPLE WITH 2 IPP




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Other lessons:

- large variety of behaviours induced by contact
- importance of mathematics and multidisciplinary collaborations

Thank you for your attention


