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# Inter-annual and inter-individual variations in survival exhibit strong seasonality in a hibernating rodent

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#### 14 Abstract:

Most research on the demography of wild animal populations has focused on characterizing the variation in the mortality of organisms as a function of intrinsic and environmental characteristics. However, such variation in mortality is difficult to relate to functional life history components (e.g. reproduction, dispersal, hibernation) due to the difficulty in monitoring biological processes at a sufficiently fine timescale.

In this study, we used a 10-year individual-based data set with an infra-annual timescale to investigate both intra- and inter-annual survival patterns according to intrinsic and environmental covariates in an introduced population of a small hibernating rodent, the Siberian chipmunk. We compared three distinct periods related to particular life history events: spring reproduction, summer reproduction and hibernation. Our results revealed strong interactions between intrinsic and temporal effects.

26 First, survival of male chipmunks strongly decreases during the reproduction periods, while survival is high and equal between sexes during hibernation. Second, the season of birth 27 28 affects the survival of juveniles during their first hibernation, which does not have long-29 lasting consequences at the adult stage. Third, at an inter-annual scale, we found that high food resource availability before hibernation and low chipmunk densities specifically favour 30 31 subsequent winter survival. Overall, our results confirm that the well-known patterns of yearly and inter-individual variation of mortality observed in animals are themselves strongly 32 variable within a given year, suggesting that they are associated with various functional 33 components of the animals' life history. 34

#### 35 Introduction

The mortality risk faced by wild animals depends on their intrinsic conditions [e.g. age-related 36 experience, body condition, quality of immune system (e.g. Forslund and Part 1995; 37 38 Chantepie et al. 2015; Théoret-Gosselin et al. 2015)], their instantaneous energetic requirements, which vary according to the life history component that they are experiencing, 39 such as reproduction or migration (e.g. Clutton-Brock 1988; Kirkwood and Rose 1991), and 40 their exposure to extrinsic sources of mortality [e.g. adverse climatic conditions, disease, 41 predation (e.g. Coulson et al. 2001)]. Thus, understanding the processes underlying mortality 42 requires characterization of the variations in the mortality of organisms as a function of their 43 characteristics (e.g. young vs. old, male vs. female), their conditions (e.g. spatial, or temporal 44 45 variation, e.g. habitat, resource availability) and their actions (e.g. foraging, breeding, dispersing, migrating, hibernating, etc.), which requires in turn the monitoring of biological 46 processes at a sufficiently fine timescale (e.g. Grant et al. 2005; Kraus et al. 2008; Hardouin et 47 al. 2012, 2014). Recent demographic studies have shown that precise information on 48 49 individual statuses can be used to gain insight into the relationships between mortality and some functional life history components. For example, the relationship between reproduction 50 and mortality can be approached through comparisons between the survival of breeders and 51 non-breeders using multi-state modelling (Robert et al. 2012). However, such approaches (1) 52 require data on individual statuses, which are often lacking; (2) do not allow for the study of 53 fine timing (e.g. seasonal pattern) of mortality if sampling is conducted at a larger timescale 54 (e.g. an annual time step); and (3) cannot be used if no variance exists within the population 55 with respect to the life history component of interest (e.g. if all individuals in the population 56 breed, migrate or hibernate). 57

In virtually all natural environments, the biology and ecology of organisms are affected by climatic seasonality leading to seasonal variations in life history components and cyclicity in

iteroparous organisms that can live several years. In such species, a long-term survival 60 61 assessment conducted at an appropriate infra-annual timescale is a method of gaining insight into the processes associated with mortality, notably by understanding (1) how intra-annual 62 patterns of mortality vary between seasons prominently associated with particular components 63 of the life history (e.g. breeding, migration, hibernation); (2) how specific seasonal variation 64 in biotic (e.g. abundance of resources, interspecific interactions) and abiotic factors (e.g. 65 66 changes in local meteorological conditions) affects patterns of mortality across seasons (e.g. Aars and Ims 2002; Rödel et al. 2004); and (3) how these intra-annual variations contribute to 67 overall mortality and its inter-annual variation (e.g. Kraus et al. 2008; Kerbiriou et al. 2009; 68 Duriez et al. 2012; Leyrer et al. 2013; Noël et al. 2013; Radchuk et al. 2014). 69

70 In mammals, the energetic investment of reproduction is particularly important in females 71 during gestation and lactation (Gittleman and Thompson 1988), as well as in males in their mating behaviour [including male-male competition, energy and time expenditure to locate 72 73 and mate with females (e.g. Lane et al. 2010)]. In relation to finite internal energy reserves (Stearns 1989, 1992; Roff 1992), energy allocation to reproduction is predicted to be costly 74 and may involve fitness costs, such as a decrease in short- and long-term fecundity or survival 75 76 (e.g. Descamps et al. 2009; Hamel et al. 2010). Such investments and associated costs induce a seasonality of breeding at the most energetically favourable periods, particularly in 77 temperate latitudes (Bronson 2009). The high-energy cost of reproduction events contrasts 78 with hibernation, which in temperate-zone mammals is generally regarded as an energy-79 saving strategy to survive seasonal periods of energy shortage (harsh climatic condition, food 80 or water shortage), ensuing from pre-hibernal energy storage [body fat reserves and/or food 81 storage (Humphries et al. 2003)] and/or hibernal metabolic depression (Heldmaier 1989; 82 Wang 1989). Although physiological costs are involved in torpor and arousal patterns [e.g. 83 decreased immunocompetence (Prendergast et al. 2002)], the hibernation period seems to be 84

associated with high monthly survival probabilities over winter, compared with the active
season, and appears to have a positive effect on the lifespan of mammal hibernators
(Wilkinson and South 2002; Humphries et al. 2003; Turbill et al. 2011).

In the present study, we examine both intra- and inter- annual survival patterns in a small 88 89 iteroparous mammal, with an emphasis on three contrasting seasons related to particular life history events: reproduction (two seasons), and hibernation. Although reproduction and 90 hibernation are hypothesized to affect the seasonal pattern of survival, surprisingly few 91 studies have attempted to assess the survival rates of free-living mammals during both the 92 active and hibernation seasons (e.g. Bieber et al. 2012; Bergeron et al. 2013). To tackle this 93 question, we analysed capture-mark-recapture data collected over 10 years, with an infra-94 95 annual resolution, on the Siberian chipmunk (Tamias sibiricus), a small mammalian 96 hibernator introduced to an oak-hornbeam forest in France. In its introduced area, this species is characterized by two reproductive seasons (in early spring and early summer) and a 97 98 hibernation period (from September-October to March); the beginning, the emergence and the duration of these depend on individual age and sex, as well as environmental conditions in 99 which individuals hibernate in underground burrows with stored food [mainly tree seeds 100 101 (Chapuis et al. 2009)].

102 We first quantify the inter- and intra-annual variation in survival of the Siberian chipmunks in relation to age and sex. Because the timing of births can affect survival (e.g. Feder et al. 103 2008), we examined whether spring-born and summer-born juveniles differ in their 104 probability to survive the first hibernation period, as well as in their survival rates as adults 105 (after the first winter). Second, we focus on the potential influence of environmental 106 conditions on seasonal patterns of survival, including the effects of local meteorological 107 108 conditions, food availability prior to hibernation, population density and winter groundwater height, used as an index of potential death-related burrow flooding. 109

We predict that the mortality probability per unit of time will be lower during the hibernation 110 111 period than during the reproductive periods, and we test whether this seasonal pattern will be influenced by age, sex and/or birth season. Because chipmunks do not rely on the same 112 113 resources in the different seasons, the inter-annual differences in survival are expected to vary between seasons (i.e. we predict an interaction between seasons and years on survival). 114 Additionally, we hypothesize that food availability and chipmunk density before the 115 116 hibernation period, as well as the meteorological conditions and high groundwater height in 117 winter, are likely to influence survival during and immediately after hibernation (for resource availability only). 118

#### 119 Material and methods

#### 120 *Study species*

Siberian chipmunks *Tamias sibiricus* are short-lived, ground-dwelling, solitary and sedentary sciurids native to Asia that were introduced into Europe to be sold as pets from the 1960s (Chapuis et al. 2009). Siberian chipmunk populations were observed a decade after their introduction in several European suburban forests and urban parks, including 11 settled populations recorded in France (Chapuis et al. 2009; Pisanu et al. 2013).

In France, chipmunks hibernate from September–October to February–March [the beginning 126 and duration of hibernation and an individual's emergence depend on individual age and sex, 127 as well as environmental conditions (Chapuis et al. 2009)] and can reproduce twice a year. 128 They use a single burrow to hibernate and several burrows during the year. This promiscuous 129 species exhibits a scramble competition mating system with a mating season extending from 130 the hibernation emergence date until the first half of July with two peaks in births: one in the 131 spring (i.e. mainly in April with juveniles emerging from their birth burrow from May to early 132 June) and the second in the summer [i.e. mainly in July with emergence from late August to 133 September (Marmet et al. 2012)]. All juveniles were classified as either 'spring-born' or 134

'summer-born'. Regardless of the birth season, individuals become sexually mature in their 135 second year (after their first hibernation) without sexual size dimorphism. Consequently, 136 individuals were considered as adults the year following their birth. Before entering 137 hibernation, adults and juveniles stored food (tree fruits-Quercus spp., Carpinus betulus, 138 *Prunus avium*) within their burrows, providing energy reserves during the hibernation period, 139 characterized by repeated arousals from torpor (Chapuis et al. 2009). In the summer, the 140 chipmunk diet is wide ranging and mainly composed of insects (e.g. Coleoptera, 141 Lepidoptera), fruits (e.g. cherry, hazelnut, blackberry) and herb seeds (Chapuis et al. 2009). 142

#### 143 *Study site and monitoring*

Population monitoring has been conducted since 2004 at the La Faisanderie site (14 ha; 144 48°39'N, 02°29'E), located in the temperate Sénart Forest (3200 ha), 22 km south-east from 145 Paris. The study area consisted of three main types of habitats: a 6-ha semi-open oak grove 146 147 (herbaceous layer, 90 %; shrubby layer, 5 %; arboreal layer, 60 % dominated by Quercus robur and Quercus petraea), a 6.5-ha closed oak-hornbeam grove (herbaceous layer, 10 %; 148 149 shrubby layer, 30 %; arborescent layer, 90 % dominated by Q. robur, Q. petraea, and C. betulus), and a mix of grassland and buildings representing an additional 1.5 ha (Marmet et al. 150 2009). 151

152 The population has been monitored using capture-mark-recapture methods. Throughout the study site, chipmunks were live trapped in baited Sherman traps during their main activity 153 period from March to October. A grid consisting of 80 geo-localised traps was used in 2004 154 and was surrounded by 24 extra traps during the subsequent years [see description in Marmet 155 et al. (2009)]. Two monthly capture sessions were performed at 15-day intervals, each 156 consisting of 3 and 2 consecutive trapping days in 2004 and 3 and 5 consecutive days between 157 2005 and 2007. From 2008 onward, only a 5-day-long trapping session was conducted each 158 month. At first capture, individuals were ear tagged and implanted with a subcutaneous 159

transponder chip (Marmet et al. 2009). At each trapping event, the date and trap location were recorded, as well as the chipmunk identity. Body mass, age (adult or juvenile, based on the date of capture and body mass), birth season (spring-born or summer-born chipmunks) and sex were also noted at the first capture of an individual in a trapping session. Some individuals could not be aged and were assigned as undetermined for age and birth season. After being handled, the chipmunks were released at their point of capture.

#### 166 Environmental factors

Due to the lack of data at our study site prior to 2006, we used an ordinal mean acorn 167 production index in nearby forests covering the 2004–2013 period (National Forests Office, 168 169 unpublished data; Online Resource 1), which was related to both the oak fructification index and the averaged spring acorn biomass in our study site (acorn index categories-0, none or 170 few acorns/small crop size; 1, medium; and 2, large crop size; Online Resource 1). Due to the 171 172 wide range of potential dietary items in summer, resource availability could not be quantified. Therefore, spring temperatures were used as a cue to identify the availability of resources in 173 174 the summer because temperature is a main driver of many developmental processes, including plant development (Badeck et al. 2004). Additionally, we used monthly mean temperatures 175 and precipitation (Méteo-France data, Melun-Villaroche station), together with groundwater 176 height measured by piezometry (Bureau de Recherches Géologiques et Minières data, 177 Montereau-sur-le-Jard station), as proxies for local meteorological data as no data were 178 available for our study site in Sénart Forest, located approximately ca. 15 km away in a 179 northwesterly direction (Online Resource 1). From capture-recapture, the monthly densities 180 of chipmunks (adults and juveniles for each sex separately) in April, June, and July were 181 estimated from spatially explicit capture-recapture models [SECR (Efford et al. 2009)]. Age 182 and sex were accounted for in SECR models because of known distinct space use behaviours 183 (Marmet et al. 2009, 2011, 2012), which may have affected both capture probabilities and 184

movement scales. We also tested for individual learned response to specific traps (Marsot et
al. 2013), which has been found to be a consistent behaviour for chipmunks in our population
(Boyer et al. 2010; Le Coeur et al. 2015b). Estimated densities for each sex were then
summed for each age (Online Resource 1).

189 Survival analysis using Huggin's robust design

We analysed capture history data collected from 2004 to 2013 with Huggins's closed capture 190 models within the robust design framework (Huggins 1989; Kendall et al. 1997; Hines et al. 191 2003). All models were performed in the program MARK (White and Burnham 1999), which 192 193 was accessed through the R (R Core Team 2014) package RMark version 2.1.6-1 (Laake and Rexstad 2014). This design provided a precise estimation of survival rates by controlling for 194 195 unbiased capture and recapture probabilities and taking temporary migration into account 196 (Kendall et al. 1997). Five parameters were estimated, including survival (S), first capture (p), recapture (c), temporary emigration ( $\gamma''$ ) and temporary immigration (1 -  $\gamma'$ ) probabilities. 197 Unlike Pollock's (1982) robust design, abundance parameter (N) is computed as a derived 198 parameter (i.e. outside the likelihood) from estimated capture probabilities and observed data 199 (i.e. number of captures). One advantage of these models is that chipmunk hibernation can be 200 201 modelled as temporary emigration (see Kendall et al. 1997; Kendall and Bjorkland 2001).

In relation to important life history components (hibernation, first and second reproduction), 202 April, June and August were selected as three primary sessions per year. Each of these three 203 primary sessions consisted of 3 (in 2004) or 5 (from 2005) trapping days at the beginning of 204 each month, hereafter referred to as 'secondary trapping sessions'. Then, the full sampling 205 design was composed of 30 primary sessions involving 144 secondary trapping sessions, 206 resulting in 1962 capture records (recaptures of an individual occurring the same day were not 207 included) of 526 individually marked chipmunks (individuals with known age, sex and birth 208 209 season only; see details in Online Resource 1) during the 10-year monitoring study (2004-

2013). Six groups were defined according to the sex, age (juvenile or adult; individuals of 210 211 undetermined age were not considered) and birth season (spring-born or summer-born individuals; individuals with unknown birth season, i.e. marked as adult or undetermined age, 212 213 were not considered) of chipmunks. Four hundred and ten chipmunks were marked as springborn juveniles and 116 as summer-born juveniles. In addition, inter- and/or intra-annual 214 variations (i.e. year, season and full-time variabilities) were tested as additive or interaction 215 216 terms for the five estimated parameters. Based on this model design, we defined three 217 seasons, spring (April–June), summer (June–August) and fall-winter (August–April). Because a full interaction general model would be over-parameterised, the most general model that 218 was considered included interactive effects of year, season, sex, age and birth season for S,  $\gamma''$ 219 and  $(1 - \gamma')$ , interactive effects of session, sex and birth season for c, and interactive effects of 220 sex and birth season for p (see description and notations in Table 1). To allow for the 221 222 comparison of estimates between seasons, we specified uneven time intervals between sampling occasions (expressed in numbers of months), such that S,  $\gamma'$  and  $\gamma''$  are expressed as 223 224 monthly probabilities (unless specified otherwise). Parameters values are given as the mean  $\pm$ 225 SE.

226 Model selection was based on Akaike's information criterion adjusted for small sample size 227 (AICc) (Burnham and Anderson 2002). As a general approach, we started model selection by testing temporal and group effects and their interaction on capture and recapture probabilities, 228 then used the most-parsimonious models of p and c to model the emigration parameters ( $\gamma'$ 229 and  $\gamma''$ ) and finally S (the complete set of models tested is available in Online Resource 2). 230 Random and Markovian temporary emigration were both tested in this analysis [i.e. for 231 random and Markovian movement, respectively, the probability of moving between 232 availability states between primary occasions i and i + 1 is independent/conditional on the 233 state of the individual at time i - 1 (Kendall et al. 1997)]. 234

#### 235 *Goodness-of-fit testing*

236 Prior to model selection, the capture-mark-recapture data set was tested for any violations of the assumptions under the robust design (see details in Online Resource 3). Both the closure 237 assumption within primary sessions (i.e. the population is assumed closed to additions and 238 deletions within a primary session) and the detection of individual heterogeneity in capture 239 probabilities were tested with the software CloseTest (Stanley and Burnham 1999) and 240 241 CAPTURE (Rexstad and Burnham 1992), respectively. Because goodness-of-fit (GoF) tests have not been developed for the robust design, we used two different approaches to test the fit 242 of our data. First, the GoF of the general model was tested using the approach based on cell-243 244 pooling contingency tests implemented in the software RDSURVIV (Kendall and Hines 1999). No overdispersion was revealed (c-hat = 1). Second, we tested the fit of data to an 245 open population model using U-CARE (Choquet et al. 2009), which indicated 246 247 'underdispersion' (c-hat = 0.45). We thus applied a conservative approach for variance adjustment (i.e., c-hat = 1; Online Resource 3) (Cooch and White 2014). 248

#### 249 Temporal covariates

Starting from the most parsimonious model, we tested whether the variation in environmental 250 covariates including (1) local meteorological variables (based on mean seasonal temperatures 251 252 and precipitation during the three time intervals related to the sampling design), (2) environmental conditions (groundwater height, resource availability from oak fructification 253 index), and (3) chipmunk densities (juveniles and adults), was related to the variation in 254 survival probabilities based on an analysis of deviance (ANODEV) (Skalski et al. 1993; see 255 models tested in Online Resource 4, with a significance threshold fixed at  $\alpha = 0.05$ ). An 256 257 alternative model considering a composite covariate derived from a principal component analysis on the three temporal covariates (oak fructification index, mean air temperature in 258

fall-winter and population density in July) was performed and yielded similar results (OnlineResource 5).

261 *Life expectancy* 

Mean annual survival rates estimated for each age-class (juveniles and adults; *a*) were used to estimate adult life expectancy depending on the sex and birth season (*b*) of chipmunks (LE=- $1/\ln(\phi^{annual}_{(a^*b+sex)}))$ ). Alternatively, more precise estimates of life expectancy at emergence from the birth burrow were computed based on stochastic simulations, using monthly survival estimates and taking into account the difference in the duration of the 'juvenile stage' between spring-born and summer-born individuals (i.e. the time elapsed from emergence from their birth burrow to the end of their first hibernation; all details presented in Online Resource 6).

#### 269 **Results**

#### 270 Annual and seasonal patterns of survival

The most parsimonious model [ $S_{(seas^*(year + sex) + b^*a)} \gamma'$  and  $\gamma''_{(seas markovian)} c_{(sess)} p_{(.)}$ ] revealed constant capture probabilities over time ( $p = 0.32 \pm 0.02$ ), temporal effects of season in interaction with year on *c*, seasonal Markovian temporary migration rates ( $\gamma''$  and  $\gamma'$ ), and the influence of birth season, age and their interaction with an additive effect of sex and year in interaction with the seasons [i.e. seas × (year + sex) +  $b \times a$ ] on *S* (model 59; Table 2).

Survival probabilities fluctuated over seasons and years (seas  $\times$  year interaction; Fig. 1), and annual survival rates ranged from  $0.17 \pm 0.06$  in 2012 to  $0.69 \pm 0.09$  in 2005 (model 79) with a mean of  $0.28 \pm 0.02$  (model 93). We found no strong differences in inter-annual variation among seasons, suggesting that the inter-annual pattern of survival was not shaped by a specific, highly variable season between years.

The seasonal pattern of survival (expressed as monthly survival probability; Fig. 2a) was characterized by high monthly winter survival (on average  $S_{\text{fall-winter}} = 0.91 \pm 0.01$ ; model 94) and slightly lowered survival throughout the active period ( $S_{\text{spring}} = 0.88 \pm 0.03$ ,  $S_{\text{summer}} = 0.87 \pm 0.04$ ; model 94). The survival difference between the hibernation and breeding periods was low, but considering distinct survival rates between spring and summer (on the one hand) and winter (on the other) slightly improved model quality (compare, e.g. models 74 and 76 in Table 2). Consequently, the probability of surviving the 8-month fall-winter season and the 4month active period was  $0.47 \pm 0.05$  and  $0.59 \pm 0.06$ , respectively.

This seasonality was strongly influenced by sex, age and birth season (Fig. 2b). At both the 289 juvenile (juv.) and adult (ad.) stages, males suffered high mortality in the summer (S<sub>spring-born</sub> 290  $_{juv.} = 0.70 \pm 0.05$ ;  $S_{spring-born ad.} = 0.75 \pm 0.05$  and  $S_{summer-born ad.} = 0.78 \pm 0.04$ ; model 80), 291 whereas summer female mortality was low ( $S_{\text{spring-born juv.}} = 0.93 \pm 0.05$ ;  $S_{\text{spring-born ad.}} = 0.94 \pm$ 292 0.04 and  $S_{\text{summer-born ad.}} = 0.95 \pm 0.03$ ; model 80). Additionally, we found that juvenile 293 chipmunks had substantially lower survival probabilities than adults, regardless of their sex 294 295 (annual survival rate,  $S_{juv}$ . = 0.23 ± 0.03 and  $S_{ad}$ . = 0.32 ± 0.03; model 90). In juveniles, a significant difference in the survival rates between spring-born and summer-born juveniles 296 occurred when both cohorts overlapped (fall-winter interval). We report a higher survival of 297 spring-born juveniles (0.90  $\pm$  0.01 and 0.92  $\pm$  0.02 for females and males, respectively) than 298 summer-born juveniles (0.81  $\pm$  0.06 and 0.84  $\pm$  0.05 for females and males, respectively; 299 300 model 80).

The sex-biased effect in adult survival was associated with notable sex differences in adult life expectancy. The adult life expectancy was approximately 1 year for females and 9 months for males. More precise estimates of life expectancy, computed at emergence from the birth burrow and accounting for the birth season of individuals, revealed that the life expectancy at emergence from the birth burrow ranges from 4.5 months for summer-born males to 10.5 months for spring-born females (details presented in Online Resource 6). Recapture probabilities varied among primary sessions and ranged from  $0.11 \pm 0.03$  in June 2010 to  $0.80 \pm 0.18$  in April 2004 (model 5; see Online Resource 2, Table 2.2) with a mean of 0.38  $\pm$  0.01 (model 16). During the 10-year study, the mean seasonal recapture probability was lower in August ( $c_{August} = 0.28 \pm 0.02$ ) than in April and June ( $c_{April} = 0.41 \pm 0.02$  and  $c_{June} = 0.41 \pm 0.01$ ; model 12 Online Resource 2).

The average seasonal estimate of Markovian temporary emigration rate for animals present during the previous period ( $\gamma''$ ) was the lowest in spring and peaked during summer ( $\gamma''_{spring} =$  $0.12 \pm 0.06$ ,  $\gamma''_{summer} = 0.51 \pm 0.04$  and  $\gamma''_{fall-winter} = 0.36\pm0.07$ ; model 59) whereas the temporary emigration rates for animals absent in the previous primary session ( $\gamma'$ ) were higher and similar between seasons ( $\gamma'_{spring} = 0.49 \pm 0.10$ ,  $\gamma'_{summer} = 0.63 \pm 0.12$  and  $\gamma'_{fall-winter} = 0.55 \pm$ 0.07; Model 59).

#### 318 Temporal covariates

Temporal covariates, including the fructification index and the mean fall-winter temperatures, 319 were significantly correlated (linearly) with the fall-winter survival probabilities of 320 chipmunks  $[R^2 = 54 \%, P$ -value = 0.02, model 103;  $R^2 = 47 \%, P$ -value = 0.04, model 108; 321 Fig. 3; Online Resource 4]. A threshold was reached at the fructification index 1 (i.e. medium 322 quantity of acorns), from which survival was significantly higher than for index 0 (i.e. none or 323 few acorns). Additionally, we found that adult densities in April, June and July were slightly 324 negatively correlated with spring, summer and fall-winter survival, respectively ( $R^2 = 13$  %, 325 P-value = 0.05, model 118; Fig. 4). These results suggest that higher resource availability 326 before hibernation, a warm winter and low chipmunk density favoured the probability to 327 survive the fall-winter. Spring temperatures, which are used to identify the availability of 328 resources in summer, are marginally related to summer survival ( $R^2 = 41$  %, P-value = 0.06, 329 model 112). No other correlation was found (see ANODEV results and figures in Online 330 331 Resource 4).

#### 332 Discussion

In iteroparous organisms that can live several years, the mortality risk is generally strongly 333 influenced by the sex and age of individuals, as well as by year-to-year environmental 334 variations including fluctuations in resources, meteorological conditions, competition, 335 predation and their interacting effects (e.g. Loison et al. 1999; Coulson et al. 2001). However, 336 such variation in mortality is difficult to relate to functional life history components due to the 337 difficulty in monitoring biological processes at a sufficiently fine timescale. Relying on 338 339 individual-based data collected at an infra-annual timescale in Siberian chipmunks, our study uncovered strong relationships between overwinter survival and several environmental 340 factors, including resource availability, meteorological conditions and chipmunk density. 341 More generally, we provided original insights about survival variation during the reproduction 342 and hibernation periods and highlighted that strong sex-specific mortality occurs only at a 343 specific period of the year. 344

In the population of Siberian chipmunks, the seasonal pattern of survival is characterized by 345 high monthly winter survival (hibernation season, on average  $S_{fall-winter} = 0.91 \pm 0.01$ ) and 346 slightly lower but comparable survival throughout the active period (breeding seasons, S<sub>spring</sub> 347 =  $0.88 \pm 0.03$  and  $S_{summer}$  =  $0.87 \pm 0.04$ , Model 94; Fig. 2a). Equally high winter survival of 348 adult chipmunks is observed, regardless of their sex and birth season. Although high monthly 349 350 winter survival has been observed previously in other hibernating mammals (Turbill et al. 351 2011), the seasonal pattern of chipmunk survival differs from those reported by previous studies on small European hibernating mammals of similar size and with a comparable 352 duration of hibernation, such as the common dormouse, Muscardinus avellanarius (Bieber et 353 354 al. 2012) and the edible dormouse, Glis glis (Lebl et al. 2011). In such species, the survival 355 pattern was characterized by an increase in survival probabilities throughout the year, with the lowest survival in the early active season, an increase in late summer and the highest survival 356

in winter. Moreover, in these species, as well as in the garden dormouse, *Eliomys quercinus* 357 358 (Schaub and Vaterlaus-Schlegel 2001), the probability of surviving the hibernation period (monthly timescale) at the adult stage is close to unity, whereas in our population, the winter 359 survival probability, related to the fall and hibernation periods, is much lower, an average of 360 0.92 for adults. These differences can be attributed to distinct life histories in glirids compared 361 with Siberian chipmunks, related to the activity time (nocturnal in glirids), as well as the 362 363 energy storage strategy during hibernation (fat in glirids versus food hoarding in chipmunks). Among closely related species, such as eastern, shadow and long-eared chipmunks, high 364 overwinter survival rates comparable to those of our population have been reported 365 366 [respectively, Tamias striatus (Bergeron et al. 2013), Tamias senex and Tamias quadrimaculatus (Wilson et al. 2008)]. The seasonal pattern of survival (summer and winter 367 estimates) in the eastern chipmunk was also found to be similar to the pattern found in our 368 369 study (Bergeron et al. 2013).

370 Most importantly, we found that the seasonality of survival strongly differed between sexes. Although male-biased mortality at a yearly time resolution was detected (in adults:  $S_{males} =$ 371  $0.25 \pm 0.03$ ,  $S_{\text{females}} = 0.35 \pm 0.03$ ; Model 84), our results show that this sex difference only 372 373 occurs in summer. In adult females, we underline a similar survival pattern among seasons, as found in the other small mammal hibernators previously cited (although with slightly lower 374 survival in the winter than in the late active period; in adult chipmunks:  $S_{\text{spring}} = 0.86 \pm 0.03$ , 375  $S_{summer}$  = 0.95  $\pm$  0.04 and  $S_{fall-winter}$  = 0.92  $\pm$  0.01; Model 81). In contrast, males clearly suffer 376 from higher mortality in summer than in other seasons (in adults,  $S_{spring} = 0.86 \pm 0.04$ ,  $S_{summer}$ 377 = 0.77  $\pm$  0.04 and S<sub>fall-winter</sub> = 0.93  $\pm$  0.01; Model 81). This survival pattern was associated 378 with substantial differences in the adult life expectancies of males and females. 379

Higher mortality rates and shorter lifespans in males than in females are commonly observed
among mammal species (Neuhaus and Pelletier 2001; Clutton-Brock and Isvaran 2007; Kraus

et al. 2008). Various but interrelated hypotheses have been proposed to explain juvenile and 382 383 adult male-biased mortality: 1) Lower immunocompetence of males, which increases their susceptibility to infectious disease and parasitism (Moore and Wilson 2002); 2) The costs of 384 sexual size dimorphism in the larger sex, usually males in polygynous or promiscuous 385 mammals (Promislow 1992; Moore and Wilson 2002); 3) The higher propensity of males to 386 engage in potentially risky behaviours, such as dispersal, sexual competition and roaming 387 (Greenwood 1980; Andersson 1994; Kraus et al. 2008); 4) The potential effects of deleterious 388 recessive alleles in the heterogametic sex (XY) (e.g. Libert et al. 2010) and 5) In polygynous 389 species with sexual size dimorphism, a high mortality of male juveniles in relation to a 390 391 biased mother's investment or adjustment of the sex of their offspring (Clutton-Brock et al. 1985; West and Sheldon 2002), which can also influence later adult mortality patterns 392 393 (Lindström 1999; Garratt et al. 2015).

In Siberian chipmunks, the difference in the observed pattern between male and female 394 395 survival may be primarily due to their specific mating system and associated behaviour. They are monomorphic and exhibit a scramble-competition mating system with promiscuity 396 (Obolenskaya et al. 2009; Marmet et al. 2012), occurring from late February-March until the 397 first half of July. As a consequence, the hypotheses related to sexual size dimorphism effects 398 on juvenile and adult survival can be rejected. According to the scramble-competition mating 399 system, male-male competition is associated with high mate-searching efforts rather than 400 401 physical or aggressive behaviour (Lane et al. 2010). In our study population, male chipmunks were found to increase their space use to increase their chances of meeting and fertilizing 402 403 females (Marmet et al. 2012). This activity (associated with both breeding and roaming behaviours) can induce high energetic costs for males which may exceed the corresponding 404 cost of female gestation and lactation (e.g. Lane et al. 2010) and can increase their risk of 405 406 predation and parasitism exposure during the breeding season (e.g. Krasnov et al. 2005; Boyer

et al. 2010; Le Coeur et al. 2015a). The absence of sex difference in overwinter survival
suggests a prominent role of risk-based processes over energy-based explanations. The two
remaining and non-mutually exclusive hypotheses of costly sexual risky behaviour and
immunocompetence handicap may then explain the low survival of males, as reported at the
end of the mating season.

Among juveniles (i.e. non-reproductive individuals), lower male survival in summer was also 412 reported. Because no sexual size dimorphism was found and the sex ratio is balanced among 413 juveniles for both cohorts (from the capture-recapture data; Online Resource 1), we exclude 414 the hypothesis of a biased parental investment. Marmet et al. (2011) previously showed in the 415 study population that natal dispersal distances are farther in males than in females. 416 Consequently, sex differences in estimates of apparent survival of juveniles may arise due to 417 both a higher survival cost of dispersal in males and higher rates of permanent emigration 418 419 from the study site.

When the two birth season cohorts overlap in the fall-winter interval (August-April), sex 420 differences are no longer detected among juveniles, but a strong birth season cohort effect was 421 observed, in which summer-born juveniles showed lower survival probabilities than spring-422 423 born juveniles. In environments with marked seasonal differences, the timing of births can have important fitness consequences (e.g. Kraus et al. 2005; Feder et al. 2008; Bieber et al. 424 425 2012). The observed phenomenon can be attributed to the short time available to summer-426 born juveniles for growth, to find a new suitable burrow and to store enough food before 427 hibernation to survive the winter. At the adult stage, the birth season cohort differences disappear (Fig. 2b). 428

Finally, we found that the seasonality of survival varied substantially among years (Fig. 1).We observed in particular that (1) inter-annual variations in survival are not strongly

431 correlated among seasons (i.e. Year and Season effects are non-additive), and (2) overall
432 annual survival variations are not shaped by a particular, highly variable season (i.e. there is
433 similar inter-annual variance among seasons).

Our results suggest that high resource availability before hibernation, as well as good winter 434 meteorological conditions and lower chipmunk densities (slight effect), may favour the winter 435 survival of chipmunks (Figs. 3, 4; see also the analysis based on composite covariate in 436 Online Resource 5). In the chipmunk, a food-storing hibernator, the availability of energy-rich 437 438 seeds, including oak and hornbeam fruits and wild cherry seeds, before hibernation could be a key factor influencing their foraging behaviour in late summer/fall, their hibernation survival 439 and potentially their reproduction in the next spring (e.g. Humphries et al. 2003). Associated 440 with resource availability, a high density of conspecifics can increase intraspecific 441 competition processes on foraging and storing food, as well as competition for hibernation 442 nests (Kawamichi 1996). Nevertheless, this relationship may be shaped by marginal years 443 444 such as 2008, which was characterized by an acorn crop failure in the fall, and the highest chipmunk density and the coldest winter of the time series (i.e. nine winter periods; Figs. 3, 4) 445 were observed. Moreover, the correlation between the fructification index and the winter 446 temperature can be confusing when determining which variable is the influential one (see 447 Online Resource 4, Fig. 4.2). In their native area, Siberian chipmunks live in a wide range of 448 environmental conditions, including harsher winter conditions than the local temperate 449 conditions encountered in the study population (Chapuis et al. 2009), which makes the winter 450 temperature effect the least likely hypothesis. Further investigations of longer time series are 451 452 necessary to confirm these results and disentangle the effects of the various covariates.

#### 453 Conclusion

454 Studying the seasonality of survival pattern in the Siberian chipmunk, a small hibernating 455 mammal, allowed us to highlight strong intra-annual variation of survival among ages and

sexes in relation with two main life history events, the reproduction and the hibernation. Our 456 457 results illustrate that accounting for intra-annual time scale in survival pattern can help improve our understanding of the relationship between a key fitness component, i.e., survival, 458 459 seasonal life history events and environmental variations. The hypotheses developed to explain costly reproductive behaviour on male survival should be further investigated by 460 performing complementary analyses on simultaneous reproduction and mortality patterns in 461 462 the Siberian chipmunk population. From high winter survival, our results seem to support the fact that hibernation can favour slower life histories of hibernators by increasing their 463 survival, compared with non-hibernating small rodents of similar size (Turbill et al. 2011). 464

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**Table 1.** Description and notation of temporal and group effects used in Huggin's robust design models. Parameters, for which a detailed effect was tested, are listed and correspond to survival (S), capture (p), recapture (c), temporary emigration ( $\gamma''$ ) and temporary immigration (1- $\gamma'$ ) probabilities.

Туре	Notation	Description	Parameters
Temporal	t	Full-time dependance	<i>S</i> , γ',γ''
	sess	Session effect	р, с
	year	Year effect	<i>S</i> , <i>p</i> , <i>c</i> , γ',γ''
	seas	Season effect	<i>S</i> , <i>p</i> , <i>c</i> , γ',γ''
	2 Seas	Only two seasons: active season VS. the fall-winter	S
Group	sex	Sex effect	S, p, c, γ',γ''
	b	Birth season effect (spring-born and summer-born individuals)	<i>S</i> , <i>p</i> , <i>c</i> , γ',γ''
	а	Age effect - juveniles and adults (> year of birth)	<i>S</i> , γ',γ''
	juvbs	Effect of birth season on juveniles only	S
		No effect. Constant parameter	<i>S</i> , <i>p</i> , <i>c</i> , γ',γ''
Temporary	Markovian	Markovian temporary emigration	γ',γ''
migration	Random	Random temporary emigration	γ',γ''

Table 2. Summary of model selection results for survival (S) in Siberian chipmunks 676 introduced to Forest of Sénart, during the 10-year study (2004-2013). According to the model 677 selection on p, c,  $\gamma'$  and  $\gamma''$  (see detailed model selection in Online Resource 2), each model 678 presented in the result table was defined by  $[\gamma' \text{ and } \gamma''_{(seas markovian)} c_{(sess)} p_{(.)}]$ . Model selection 679 is based on second-order Akaike's Information Criterion (AICc). Number of parameters (np), 680 AICc values and AICc differences between the best model and the current candidate model 681  $(\Delta_i)$  and the Akaike weight  $(w_i)$  were reported. Best models occur in bold  $(\Delta_i < 2)$ . The fully 682 parameterized model, the 11 best models and the models that are referred to in the text were 683 detailed in this table (see complete model selection in Online Resource 2). 684

N°	Models	np	AICc	$\Delta_{i}$	Wi	Deviance
59	seas * (year + sex) + b * a	73	8022.49	0	0.50	6449.57
60	seas * (year + sex) + juvbs	72	8022.54	0.05	0.48	6451.78
61	seas * (year + sex) + a	71	8028.41	5.92	0.03	6459.80
62	seas * (year + a * $(b + sex)$ )	82	8034.00	11.51	0.00	6441.56
63	t + sex + juvbs	69	8042.43	19.94	0.00	6478.13
64	t + sex + b * a	70	8042.90	20.41	0.00	6476.44
65	2 Seas * (year + sex) + b * a	61	8043.20	20.71	0.00	6496.02
66	t + a * (sex + b)	71	8044.82	22.33	0.00	6476.21
67	t + b * a	69	8047.53	25.04	0.00	6483.22
68	t + sex * b * a	73	8048.72	26.23	0.00	6475.80
69	t * sex + b * a	98	8048.77	26.28	0.00	6421.15
71	t	66	8063.55	41.06	0.00	6505.68
74	2 Seas + (year + sex) + b * a	52	8085.57	63.08	0.00	6557.48
76	year + sex + b $*$ a	51	8089.38	66.89	0.00	6563.40
79	year	47	8101.08	78.59	0.00	6583.53
80	seas*sex + b*a	46	8104.18	81.69	0.00	6588.72
81	seas * sex + a	44	8104.69	82.20	0.00	6593.43
84	sex + a	40	8115.52	93.03	0.00	6612.62

90	a	39	8120.15	97.66	0.00	6619.33
93		38	8122.04	99.55	0.00	6623.30
94	seas	40	8124.89	102.40	0.00	6621.98
95	t * sex * b * a	269	8386.01	363.52	0.00	6340.96

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\* interaction terms; + additive terms

#### 686 Figure legends

**Figure 1** Yearly survival probability  $\pm$ SE (a) in relation with monthly survival rates estimated at each season  $\pm$ SE (b) in the population of Siberian chipmunks introduced to the suburban Forest of Sénart and monitored from 2004 to 2013. The mean annual survival was indicated in grey (dashed line, panel a). The yearly and monthly survival rates were estimated from Models 79 and 71, respectively. Some monthly survival probabilities were poorly estimated (blank) and the annual survival rate in 2013 is not well-estimated (i.e., large SE).

Figure 2 Monthly survival probabilities ±SE of Siberian chipmunks for (a) each season (spring, summer, fall-winter), (b) according to age, sex and birth season. Spr.-born and sum.born refer to spring-born and summer-born individuals, respectively. The survival rates were estimated from Models 94 and 80 for (a) and (b), respectively.

**Figure 3** Correlation between estimated fall-winter survival probabilities and (a) the oak fructification index and (b) the mean fall-winter temperatures. Open circles represent the estimates from a time dependent model (standard errors SE are indicated by vertical bars). The continuous line is the regression line from the survival model constrained by the temporal covariate (SE is indicated by the grey shade; Models 103 and 108, respectively, Online Resource 4).

**Figure 4** Correlation between seasonal survival probabilities (spring, summer and fall-winter) and the adult density of chipmunks in April, June and July respectively. Open circles represent the monthly estimates from a time dependent model (standard errors SE are indicated by vertical bars). The continuous line is the regression line from the survival model constrained by the adult density (SE is indicated by the grey shade; Model 118, Online Resource 4).





Figure 2





Figure 4

