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UNIVERSAL ALGEBRA OF A HOM-LIE ALGEBRA AND GROUP-LIKE ELEMENTS

CAMILLE LAURENT-GENGOUX, ABDENACER MAKHLOUF AND JOANA TELES

ABSTRACT. We construct the universal enveloping algebra of a Hom-Lie algebra and endow it with a Hom-Hopf algebra structure. We discuss group-like elements that we see as a Hom-group integrating the initial Hom-Lie algebra.

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INTRODUCTION

Hom-Lie algebras are a generalization of Lie algebras, formalizing an algebraic structure which appeared first in quantum deformations of Witt and Virasoro algebras, see for example [Aizawa, CKL, CZ, Kas, Hu]. A quantum deformation or a q -deformation of an algebra of vector fields is obtained when replacing a usual derivation by a σ -derivation d_σ that satisfies a twisted Leibniz rule $d_\sigma(fg) = d_\sigma(f)g + \sigma(f)d_\sigma(g)$, where σ is an algebra endomorphism of a commutative associative algebra. An example of a σ -derivation is the Jackson derivative on polynomials in one variable. A general construction of quasi-Lie algebras and the introduction of Hom-Lie algebras were given in [HLS06]. The corresponding associative algebras, called Hom-associative algebras, were introduced in [MS1], where it is shown that they are Hom-Lie admissible, while the enveloping algebra of a Hom-Lie algebra was constructed in [Yau1]. Moreover, Hom-bialgebras and Hom-Hopf algebras were studied in [MS2, MS5, Yau4]. Further results could be found in [AM, AEM, BEM, E, LS, MS3, MS4, Sheng, Yau2, Yau3].

The purpose of this article is two-fold. First, we construct explicitly the universal enveloping algebra of a multiplicative Hom-Lie algebra and show that it is a Hom-Hopf algebra. Then, we intend to mimic the

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construction of a Lie group integrating a Lie algebra \mathfrak{g} obtained by choosing, as a candidate for integrating the Lie algebra \mathfrak{g} , group-like elements of the universal enveloping algebra $\mathcal{U}\mathfrak{g}$.

This task, in particular the second step, is not trivial, and forced us to reconsider the way antipodes are generally defined on bialgebras, as well as the definition of invertible elements on Hom-groups. Still, we are able to present a Hom-group that we claim to be the integration of a Hom-Lie algebra, but it is more involved than simply group-like elements in the universal enveloping algebra of a Hom-Lie algebra. Before describing our construction step by step, let us discuss what inverse means in the context of Hom-algebras.

Invertibility and inverse on Hom-algebras or Hom-groups. There is one natural manner, which was already considered by [Yau1], to construct the universal enveloping algebra $\mathcal{U}\mathfrak{g}$ of a multiplicative Hom-Lie algebra \mathfrak{g} . This object is, as expected, a Hom-bialgebra. But, as we shall see while proving Theorem 4.11, it turns out *not* to be a Hom-Hopf algebra in the sense of [MS5], because the antipode is not an inverse of the identity map for the convolution product.

This failure is, however, productive, in the sense that it paves the way for what seems to be a definition of a Hom-Hopf algebra suitable in this context. Let us explain the situation. In the Hom-bialgebra $(\mathcal{U}\mathfrak{g}, \vee, \alpha, \Delta, \eta, \epsilon)$ the usual antipode S (for Hopf algebra structure) does not satisfy the axiom:

$$S \star id = id \star S = \eta \circ \epsilon$$

with \star being the convolution product, defined by $S \star T = \vee \circ (S \otimes T) \circ \Delta$ for two arbitrary linear endomorphisms S, T of $\mathcal{U}\mathfrak{g}$, as in the classical case. The antipode S only satisfies a weakened condition: for any $x \in \mathcal{U}\mathfrak{g}$, there exists an integer $k \in \mathbb{N}$ such that:

$$(1) \quad \alpha^k \circ \vee \circ (S \otimes id) \circ \Delta(x) = \alpha^k \circ \vee \circ (id \otimes S) \circ \Delta(x) = \eta \circ \epsilon(x).$$

The smallest such integer k is called the invertibility index of x . We define Hom-Hopf algebras as bialgebras satisfying this weakened condition.

This definition is indeed not surprising. Given a Hom-associative algebra (A, \vee, α) that admits a unit $\mathbf{1}$, it is tempting to define invertible elements as being elements x in A such that there exists $y \in A$ with $x \vee y = y \vee x = \mathbf{1}$. Alternatively, it may be tempting to define invertible elements to be those elements x in A such that there exists $y \in A$ with $\alpha(x \vee y) = \alpha(y \vee x) = \mathbf{1}$ as in [F]. However, there is an issue with both definitions: invertible elements in any of these two senses are in general not stable under \vee .

In order to get a notion of invertible elements that would allow those to be invariant under \vee , we say that an element $x \in A$ is **hom-invertible** if and only if there exists $y \in A$ (not necessarily unique) called a **hom-inverse** and an integer $k \in \mathbb{N}$ such that

$$\alpha^k(x \vee y) = \alpha^k(y \vee x) = \mathbf{1}.$$

This definition is consistent with Equation (1). The antipode S that we have constructed on the Hom-bialgebra $(\mathcal{U}\mathfrak{g}, \vee, \Delta, \eta, \epsilon)$ becomes now a kind of hom-inverse of the identity for the convolution product, making $(\mathcal{U}\mathfrak{g}, \vee, \Delta, \eta, \epsilon, S)$ a Hom-Hopf algebra.

This issue being solved, we intend to find a Hom-group integrating a Hom-Lie algebra. Having modified the definition of a hom-inverse, we have to modify the definition of Hom-Lie group accordingly.

Definition 0.1. A **Hom-group** is a set $(G, \vee, \alpha, \mathbf{1})$ equipped with a Hom-associative product with unit $\mathbf{1}$ and an anti-morphism $g \rightarrow g^{-1}$ such that, for any $g \in G$, there exists an integer $k \in \mathbb{N}$ satisfying

$$\alpha^k(g \vee g^{-1}) = \alpha^k(g^{-1} \vee g) = \mathbf{1}.$$

The smallest such integer k is called the invertibility index of g .

From Hom-Lie algebras to Hom-groups. Group-like elements in a Hom-Hopf algebra A (equipped with an antipode satisfying the weakened assumption (1)) form a Hom-group (group-like elements being defined as formal series $g(\nu) \in A[[\nu]]$ with some assumptions). It is therefore tempting to define the object integrating the Hom-Lie algebra \mathfrak{g} as being the set of group-like elements in the Hom-Hopf algebra $\mathcal{U}\mathfrak{g}[[\nu]]$. However, this definition is irrelevant: there is in general very little group-like elements in $\mathcal{U}\mathfrak{g}[[\nu]]$, except for the unit $\mathbf{1}$ itself.

It is possible, fortunately, to go around this difficulty by defining, for all $p \in \mathbb{N}$, p -order formal group-like elements as being elements in $\mathcal{U}\mathfrak{g}[[\nu]]$ satisfying $g(0) = \mathbf{1}$ and:

$$\Delta g(\nu) = g(\nu) \otimes g(\nu) \quad [\nu^{p+1}]$$

(where $[\nu^{p+1}]$ means "modulo ν^{p+1} "). It is routine to check that p -order formal group-like elements do form a Hom-group, with inverse given by the antipode. Then we consider sequences $(g_p(\nu))_{p \geq 0}$, with $g_p(\nu)$ a p -order formal group-like element, such that the quotient of $g_{p+1}(\nu)$ modulo ν^{p+1} is $\alpha(g_p(\nu))$ for all $p \in \mathbb{N}$. We call these sequences formal group-like sequences when their invertibility index is bounded. We show that formal group-like sequences do form a Hom-group, with inverse again induced by the antipode. Moreover an exponential map valued in formal group-like sequence can be constructed making this Hom-group a reasonable candidate for being considered as a Hom-group integrating the Hom-Lie algebra \mathfrak{g} , as several theorems will show at the end of this article.

1. HOM-LIE ALGEBRAS, HOM-ASSOCIATIVE ALGEBRAS AND HOM-BIALGEBRAS

Given \mathfrak{g} a vector space and a bilinear map $[\cdot, \cdot] : \mathfrak{g} \otimes \mathfrak{g} \rightarrow \mathfrak{g}$, by **endomorphism of $(\mathfrak{g}, [\cdot, \cdot])$** , we mean a linear map $\alpha : \mathfrak{g} \rightarrow \mathfrak{g}$ such that

$$\alpha([x, y]) = [\alpha(x), \alpha(y)]$$

for all $x, y \in \mathfrak{g}$. We now define Hom-Lie algebras, sometimes called multiplicative Hom-Lie algebras.

Definition 1.1. [HLS06] A **Hom-Lie algebra** is a triple $(\mathfrak{g}, [\cdot, \cdot], \alpha)$ with \mathfrak{g} a vector space equipped with a skew-symmetric bilinear map $[\cdot, \cdot] : \mathfrak{g} \otimes \mathfrak{g} \rightarrow \mathfrak{g}$ and an endomorphism α of $(\mathfrak{g}, [\cdot, \cdot])$ such that:

$$(2) \quad [\alpha(x), [y, z]] + [\alpha(y), [z, x]] + [\alpha(z), [x, y]] = 0, \quad \forall x, y, z \in \mathfrak{g} \quad (\text{Hom-Jacobi identity}).$$

A **morphism** between Hom-Lie algebras $(\mathfrak{g}, [\cdot, \cdot]_{\mathfrak{g}}, \alpha)$ and $(\mathfrak{h}, [\cdot, \cdot]_{\mathfrak{h}}, \beta)$ is a linear map $\psi : \mathfrak{g} \rightarrow \mathfrak{h}$ such that $\psi([x, y]_{\mathfrak{g}}) = [\psi(x), \psi(y)]_{\mathfrak{h}}$ and $\psi(\alpha(x)) = \beta(\psi(x))$ for all $x, y \in \mathfrak{g}$. When \mathfrak{h} is a vector subspace of \mathfrak{g} and ψ is the inclusion map, one speaks of **Hom-Lie subalgebra**.

Remark 1.2. Let $(\mathfrak{g}, [\cdot, \cdot], \alpha)$ be a Hom-Lie algebra. The subspace $\mathfrak{k} \subset \mathfrak{g}$ of elements $x \in \mathfrak{g}$ such that there exists an integer k with $\alpha^k(x) = 0$ is a Hom-Lie subalgebra. It is even a Hom-Lie ideal, i.e. the quotient $\mathfrak{g}/\mathfrak{k}$ inherits a structure of Hom-Lie algebra. By construction, its induced morphism $\underline{\alpha} : \mathfrak{g}/\mathfrak{k} \rightarrow \mathfrak{g}/\mathfrak{k}$ is invertible. The induced bracket $\underline{\alpha}^{-1} \circ [\cdot, \cdot]$ is therefore a Lie algebra bracket, see [G]. Also, the natural projection $\mathfrak{g}/\mathfrak{k}$ is a morphism of Hom-Lie algebra.

Definition 1.3. [MS1] A **Hom-associative algebra** is a triple (A, μ, α) consisting of a vector space A , a bilinear map $\mu : A \otimes A \rightarrow A$ and an endomorphism α of (A, μ) satisfying

$$\mu(\alpha(x), \mu(y, z)) = \mu(\mu(x, y), \alpha(z)), \quad \forall x, y, z \in A \quad (\text{Hom-associativity}).$$

A Hom-associative algebra (A, μ, α) is called **unital** if there exists a linear map $\eta : \mathbb{K} \rightarrow A$ such that

$$\mu \circ (id_A \otimes \eta) = \mu \circ (\eta \otimes id_A) = \alpha \text{ and } \alpha \circ \eta = \eta.$$

We denote a unital Hom-associative algebra by a quadruple (A, μ, α, η) . The unit element (or unit, for simplicity) is $\mathbf{1} = \eta(1_{\mathbb{K}})$.

Notice that a Hom-associative algebra (A, μ, α) is unital, with unit $\mathbf{1} \in A$, when $\mu(x, \mathbf{1}) = \mu(\mathbf{1}, x) = \alpha(x)$ and $\alpha(\mathbf{1}) = \mathbf{1}$.

Morphisms between Hom-associative algebras are defined in the similar way as Hom-Lie algebras. For unital Hom-associative algebras, the image of the unit is a unit.

Example 1.4. [Yau2, MS1] Given a vector space \mathfrak{g} equipped with a bilinear map $[\cdot, \cdot] : \mathfrak{g} \otimes \mathfrak{g} \rightarrow \mathfrak{g}$ and an endomorphism $\alpha : \mathfrak{g} \rightarrow \mathfrak{g}$ of $(\mathfrak{g}, [\cdot, \cdot])$. Define $[\cdot, \cdot]_{\alpha} : \mathfrak{g} \otimes \mathfrak{g} \rightarrow \mathfrak{g}$ by

$$[x, y]_{\alpha} = \alpha([x, y]), \quad \forall x, y \in \mathfrak{g}.$$

Then $(\mathfrak{g}, [\cdot, \cdot]_{\alpha}, \alpha)$ is a Hom-Lie algebra (resp. a Hom-associative algebra, resp. unital Hom-associative algebra) if and only if the restriction of $[\cdot, \cdot]$ to the image of α^2 is a Lie bracket (resp. an associative product, resp. a unital associative product). In particular, Hom-Lie structures are naturally associated to

Lie algebras equipped with a Lie algebra endomorphism [Yau2]. Such Hom-Lie structures are said to be **obtained by composition** or **Twisting principle**.

Example 1.5. As one can expect, the commutator of a Hom-associative algebra is a Hom-Lie algebra [MS1]. More precisely, for every Hom-associative algebra (A, μ, α) (see Definition 1.3 above), the triple $(A, [\cdot, \cdot], \alpha)$ is a Hom-Lie algebra, where

$$[x, y] := \mu(x, y) - \mu(y, x)$$

for all $x, y \in A$.

Definition 1.6. An element x in a unital Hom-associative algebra $(A, \mu, \alpha, \mathbf{1})$ is said to be **hom-invertible** if there exists an element x^{-1} and a non-negative integer $k \in \mathbb{N}$, such that

$$(3) \quad \alpha^k \circ \mu(x, x^{-1}) = \alpha^k \circ \mu(x^{-1}, x) = \mathbf{1}.$$

The element x^{-1} is called a **hom-inverse** and the smallest k is the **invertibility index** of x .

When it exists, the hom-inverse may not be unique, which prevents hom-invertible elements to be a Hom-group in the sense of Definition 0.1. However, the following can be shown.

Proposition 1.7. *For every unital Hom-associative algebra $(A, \mu, \alpha, \mathbf{1})$, the unit $\mathbf{1}$ is hom-invertible, the product of any two hom-invertible elements is hom-invertible and every inverse of a hom-invertible element is hom-invertible.*

Proof. The only non-trivial point is that $\mu(x, x')$ is a hom-invertible element if both x and x' are, i.e. if there exists $y, y' \in A$, $k, k' \in \mathbb{N}$ such that

$$\alpha^k \circ \mu(x, y) = \alpha^k \circ \mu(y, x) = \alpha^{k'} \circ \mu(x', y') = \alpha^{k'} \circ \mu(y', x') = \mathbf{1}.$$

Hom-associativity implies

$$\alpha \circ \mu(\mu(x, x'), \mu(y', y)) = \mu(\alpha^2(x), \mu(\mu(x', y'), \alpha(y))).$$

So that

$$\begin{aligned} \alpha^{k+k'+1} \circ \mu(\mu(x, x'), \mu(y', y)) &= \alpha^k (\mu(\alpha^{k'+2}(x), \mu(\alpha^{k'} \mu(x', y'), \alpha^{k'+1}(y))) \\ &= \alpha^k (\mu(\alpha^{k'+2}(x), \mu(\mathbf{1}, \alpha^{k'+1}(y))) \\ &= \alpha^k (\mu(\alpha^{k'+2}(x), \alpha^{k'+2}(y))) \\ &= \alpha^{k+k'+2}(\mu(x, y)) \\ &= \alpha^{k'+2}(\mathbf{1}) = \mathbf{1}. \end{aligned}$$

This completes the proof. \square

Remark 1.8. For every unital Hom-associative algebra $(A, \mu, \alpha, \mathbf{1})$, the subspace \mathfrak{k} of all elements $x \in A$ such that $\alpha^k(x) = 0$ for some integer $k \in \mathbb{N}$ is an ideal, i.e. the quotient map A/\mathfrak{k} is a unital Hom-associative algebra for which the induced map $\underline{\alpha}$ is invertible for the induced product $\underline{\mu}$. In particular A/\mathfrak{k} equipped with the product $\underline{\alpha}^{-1} \circ \underline{\mu}$ is an algebra.

An element $x \in A$ is invertible in $(A, \mu, \alpha, \mathbf{1})$ if and only if its image in A/\mathfrak{k} is invertible in the usual sense, which gives an alternative proof of Proposition 1.7.

We now recall the notion of Hom-coalgebras.

Definition 1.9. [MS1] A **Hom-coalgebra** is a triple (A, Δ, β) where A is a vector space and $\Delta : A \rightarrow A \otimes A$, $\beta : A \rightarrow A$ are linear maps.

A **Hom-coassociative coalgebra** is a Hom-coalgebra (A, Δ, β) satisfying

$$(\beta \otimes \Delta) \circ \Delta = (\Delta \otimes \beta) \circ \Delta.$$

A Hom-coassociative coalgebra is said to be **co-unital** if there exists a linear map $\epsilon : A \rightarrow \mathbb{K}$ satisfying

$$(id \otimes \epsilon) \circ \Delta = \beta, (\epsilon \otimes id) \circ \Delta = \beta \text{ and } \epsilon \circ \beta = \epsilon.$$

We refer to a counital Hom-coassociative coalgebra with a quadruple $(A, \Delta, \beta, \epsilon)$.

Let (A, Δ, β) and (A', Δ', β') be two Hom-coalgebras (resp. Hom-coassociative algebras). A linear map $f : A \rightarrow A'$ is a morphism of Hom-coalgebras (resp. Hom-coassociative coalgebras) if

$$(f \otimes f) \circ \Delta = \Delta' \circ f \quad f \circ \beta = \beta' \circ f.$$

It is said to be a weak Hom-coalgebras morphism if it holds only the first condition. If furthermore the Hom-coassociative coalgebras admit counits ϵ and ϵ' , we have moreover $\epsilon = \epsilon' \circ f$.

The category of coassociative Hom-coalgebras is closed under weak Hom-coalgebra morphisms.

Example 1.10. [MS2] The dual of a Hom-algebra (A, μ, α) is not always a Hom-coalgebra, because the coproduct does not land in the good space $\mu^* : A^* \rightarrow (A \otimes A)^* \supseteq A^* \otimes A^*$. Nevertheless, it is the case if the Hom-algebra is finite-dimensional, since $(A \otimes A)^* = A^* \otimes A^*$. The converse always holds true. Let (A, Δ, β) be a Hom-coassociative coalgebra. Then its dual vector space is provided with a structure of Hom-associative algebra (A^*, Δ^*, β^*) where Δ^*, β^* are the transpose maps. Moreover, the Hom-associative algebra is unital whenever A is counital.

[MS5] Let $(A, \Delta, \beta, \epsilon)$ be a counital Hom-coassociative coalgebra and $\alpha : A \rightarrow A$ be a weak Hom-coalgebra morphism. Then $(A, \Delta_\alpha = \Delta \circ \alpha, \beta \circ \alpha, \epsilon)$ is a counital Hom-coassociative coalgebra. In particular, let (A, Δ, ϵ) be a coalgebra and $\beta : A \rightarrow A$ be a coalgebra morphism. Then $(A, \Delta_\beta, \beta, \epsilon)$ is a counital Hom-coassociative coalgebra

Definition 1.11. [MS2] An (α, β) -**Hom-bialgebra** (simply called Hom-bialgebra when there is no ambiguity) is a heptuple $(A, \mu, \alpha, \eta, \Delta, \beta, \epsilon)$ where

- (i) (A, μ, α, η) is a Hom-associative algebra with unit η and unit element $\mathbf{1}$,
- (ii) $(A, \Delta, \beta, \epsilon)$ is a Hom-coassociative coalgebra with a counit ϵ ,
- (iii) the linear maps Δ and ϵ are compatible with the multiplication μ and the unit η , that is for $x, y \in A$

$$(a) \quad \Delta(\mu(x \otimes y)) = \Delta(x) \cdot \Delta(y) = \sum_{(x)(y)} \mu(x_1 \otimes y_1) \otimes \mu(x_2 \otimes y_2), \text{ where } \cdot \text{ denotes the multiplication on the tensor algebra } A \otimes A,$$

$$(b) \quad \Delta(\mathbf{1}) = \mathbf{1} \otimes \mathbf{1},$$

$$(c) \quad \epsilon(\mathbf{1}) = 1,$$

$$(d) \quad \epsilon(\mu(x \otimes y)) = \epsilon(x)\epsilon(y),$$

$$(e) \quad \epsilon \circ \alpha(x) = \epsilon(x).$$

If $\alpha = \beta$ the (α, α) -Hom-bialgebra is denoted by the hexuple $(A, \mu, \eta, \Delta, \epsilon, \alpha)$.

A Hom-bialgebra morphism is a linear endomorphism which is simultaneously a Hom-algebra and Hom-coalgebra morphism.

Example 1.12. Let $(A, \mu, \eta, \Delta, \epsilon, \alpha)$ be a Hom-bialgebra and $\beta : A \rightarrow A$ be a Hom-bialgebra morphism. Then $(A, \mu_\beta = \beta \circ \mu, \eta, \Delta_\beta = \Delta \circ \beta, \epsilon, \beta \circ \alpha)$ is a Hom-bialgebra. In particular, if $(A, \mu, \eta, \Delta, \epsilon)$ is a bialgebra and $\beta : A \rightarrow A$ is a bialgebra morphism then $(A, \mu_\beta, \eta, \Delta_\beta, \epsilon, \beta)$ is a Hom-bialgebra. This construction method of Hom-bialgebra, starting with a given Hom-bialgebra or a bialgebra and a morphism, is called composition method or twisting principle [MS5]. We can also define an (α, β) twist. If $(A, \mu, \eta, \Delta, \epsilon)$ is a bialgebra and $\alpha, \beta : A \rightarrow A$ are bialgebra morphisms which commute, that is $\alpha \circ \beta = \beta \circ \alpha$, then $(A, \mu_\alpha = \alpha \circ \mu, \alpha, \eta, \Delta_\beta = \Delta \circ \beta, \beta, \epsilon)$ is a Hom-bialgebra. In particular we can consider one of the morphisms equal to identity.

2. HOM-HOPF ALGEBRAS

The following theorem holds and the proof goes through a direct verification of the axioms.

Theorem 2.1. [MS2, MS5] *Let $(A, \mu, \alpha, \eta, \Delta, \beta, \epsilon)$ be an (α, β) -Hom-bialgebra. Then $(\text{Hom}(A, A), \star, \gamma)$ is a unital Hom-associative algebra, with \star being the multiplication given by the convolution product defined by*

$$f \star g = \mu \circ (f \otimes g) \circ \Delta$$

and γ being the homomorphism of $\text{Hom}(A, A)$ defined by $\gamma(f) = \alpha \circ f \circ \beta$. The unit is $\eta \circ \epsilon$.

We now define Hom-Hopf algebra in a manner that differs from [MS2, MS5]. In those works, an antimorphism S of A is said to be an antipode if it is an inverse (in the usual sense) of the identity over A for the Hom-associative algebra $\text{Hom}(A, A)$ with the multiplication given by the convolution product, i.e. $S \star id = id \star S = \eta \circ \epsilon$. This definition matches examples given by twisting principle out of a Hopf algebra but does not match our examples. For this reason, and also in view of the Definition 1.6 of an invertible element in the context of Hom-algebras, we prefer to define an antipode as being an anti-morphism S of A which is a relative hom-inverse of the identity, defined as follows. We say that $S \in \text{Hom}(A, A)$ is a **relative hom-inverse** of $T \in \text{Hom}(A, A)$ if and only if for every $x \in A$ there exists an integer k (depending on x) such that:

$$\alpha^k(S \star T)(x) = \alpha^k(T \star S)(x) = \eta \circ \epsilon(x).$$

Remark 2.2. Notice that S is not a hom-inverse of T in the sense of Definition 1.6. However, if there exists an integer \bar{k} such that $\alpha^{\bar{k}}(S \star T)(x) = \alpha^{\bar{k}}(T \star S)(x) = \eta \circ \epsilon(x)$ for all $x \in A$, then S is a hom-inverse of T and the smallest \bar{k} is the invertibility index of S .

This amounts to the following definition:

Definition 2.3. Let $(A, \mu, \alpha, \eta, \Delta, \beta, \epsilon)$ be an (α, β) -Hom-bialgebra. An anti-homomorphism S of A is said to be an **antipode** if

- a) $S \circ \alpha = \alpha \circ S$,
- b) $S \circ \eta = \eta$ and $\epsilon \circ S = \epsilon$,
- c) S is a relative Hom-inverse of the identity map $id : A \rightarrow A$ for the convolution product given as in Theorem 2.1.

An (α, β) -**Hom-Hopf algebra** is an (α, β) -Hom-bialgebra admitting an antipode.

Recall that condition (c) means equivalently that, for every $x \in A$, there exists $k \in \mathbb{N}$ such that:

$$(4) \quad \alpha^k \circ (S \star id)(x) = \alpha^k \circ (id \star S)(x) = \eta \circ \epsilon(x).$$

Notice that we do not need to assume that S and β commute (in most examples, β is either the identity or coincides with α).

Example 2.4. Let $(A, \mu, \eta, \Delta, \epsilon, S)$ be a Hopf algebra, and $\alpha, \beta : A \rightarrow A$ be commuting bialgebra morphisms satisfying $S \circ \alpha = \alpha \circ S$. Then

$$(A, \mu_\alpha = \alpha \circ \mu, \alpha, \eta, \Delta_\beta = \Delta \circ \beta, \beta, \epsilon, S)$$

is an (α, β) -Hom-Hopf algebra, called the (α, β) -**twist** of the Hopf algebra A . More generally, the same idea turns a (α', β') -Hom-Hopf algebra in a $(\alpha \circ \alpha', \beta \circ \beta')$ -Hom-Hopf algebra.

Indeed, according to Example 1.12, $(A, \mu_\alpha = \alpha \circ \mu, \alpha, \eta, \Delta_\beta = \Delta \circ \beta, \beta, \epsilon)$ is a Hom-bialgebra. It remains to show that S is still an antipode for the Hom-bialgebra. We have

$$S(\mu_\alpha(x, y)) = S(\alpha(\mu(x, y))) = \alpha(S(\mu(x, y))) = \alpha(\mu(S(y), S(x))) = \mu_\alpha(S(y), S(x)),$$

and

$$\mu_\alpha \circ (S \otimes id) \circ \Delta_\beta = \alpha \circ \mu \circ (S \otimes id) \circ \Delta \circ \beta = \mu \circ (S \otimes id) \circ \Delta \circ \alpha \circ \beta = \eta \circ \epsilon \circ \alpha \circ \beta = \eta \circ \epsilon,$$

which complete the proof. The proof for the general case is similar.

Remark 2.5. For every (α, β) -Hom-Hopf algebra, the following properties hold:

- (1) Using counitality, we have (in Sweedler's notation): $\beta(x) = \sum x_1 \epsilon(x_2) = \sum \epsilon(x_1) x_2$.
- (2) Let x be a primitive element (which means that $\Delta(x) = \mathbf{1} \otimes x + x \otimes \mathbf{1}$), then $\epsilon(x) = 0$.
- (3) If x and y are two primitive elements in A , then we have $\epsilon(x) = 0$ and the commutator $[x, y] = \mu(x \otimes y) - \mu(y \otimes x)$ is also a primitive element.
- (4) The set of all primitive elements of A , denoted by $\text{Prim}(A)$, admits a natural structure of Hom-Lie algebra, with bracket given by the commutator $[x, y] := \mu(x \otimes y) - \mu(y \otimes x)$, see [MS2, MS5].
- (5) If x, y, z are primitive elements in A , then the Hom-associator $\mu(\alpha(x), \mu(y, z)) - \mu(\mu(x, y), \alpha(z))$ is a primitive element.

- (6) Using counitality and unitality, we have $S \star (\eta \circ \epsilon) = \alpha \circ S \circ \beta = \gamma(S)$, and more generally $(\alpha^p \circ S \circ \beta^q) \star (\eta \circ \epsilon) = \alpha^{p+1} \circ S \circ \beta^{q+1}$.
- (7) For all linear endomorphism S, T of A , we have $\alpha(S \star T) = (\alpha \circ S) \star (\alpha \circ T)$.
- (8) The antipode condition (4) can be stated as $(\alpha^k \circ S) \star \alpha^k = \alpha^k \star (\alpha^k \circ S) = \eta \circ \epsilon$.

Proposition 2.6. *Let $(A, \mu, \alpha, \eta, \Delta, \beta, \epsilon)$ be an (α, β) -Hom-bialgebra. Assume that S and S' are two antipodes. Let $x \in A$ and $k, k' \in \mathbb{N}$ such that:*

$$\alpha^k(S \star \text{id})(x) = \alpha^k(\text{id} \star S)(x) = \eta \circ \epsilon(x) \text{ and } \alpha^{k'}(S' \star \text{id})(x) = \alpha^{k'}(\text{id} \star S')(x) = \eta \circ \epsilon(x).$$

Then, the following relation holds

$$\alpha^{K+2} \circ S \circ \beta^2(x) = \alpha^{K+2} \circ S' \circ \beta^2(x)$$

with $K = \max(k, k')$.

Proof. We assume that $k' \leq k$. Recall that for any f , $f \star \eta \circ \epsilon = \alpha \circ f \circ \beta$. For simplicity, we omit the composition circle.

$$\alpha^{k+2} S' \beta^2 = \alpha(\alpha^{k+1} S' \beta) \beta = (\alpha^{k+1} S' \beta) \star (\eta \epsilon) = (\alpha^{k+1} S' \beta) \star (\alpha^k(\text{id} \star S)) = (\alpha^{k+1} S' \beta) \star (\alpha^k \star \alpha^k S).$$

By Hom-associativity we have

$$\alpha^{k+2} S' \beta^2 = (\alpha^k S' \star \alpha^k) \star (\alpha^{k+1} S \beta) = (\alpha^{k-k'}(\alpha^{k'}(S' \star \text{id}))) \star (\alpha^{k+1} S \beta) = (\alpha^{k-k'} \eta \epsilon) \star (\alpha^{k+1} S \beta).$$

Since $\alpha \eta = \eta$ and $\epsilon \beta = \epsilon$, we have

$$\alpha^{k+2} S' \beta^2 = (\eta \epsilon) \star (\alpha^{k+1} S \beta) = (\alpha^{k+1} \eta \epsilon \beta) \star (\alpha^{k+1} S \beta) = \alpha^{k+1} (\eta \epsilon \star S) \beta = \alpha^{k+2} S \beta^2.$$

□

Remark 2.7. This proposition means that the antipode is in some sense unique. Indeed, when α and β are invertible, the antipode is unique when it exists.

3. ELEMENTS OF GROUP-LIKE TYPE IN AN (α, β) -HOM-HOPF ALGEBRA

For $(A, \mu, \alpha, \mathbf{1}, \Delta, \beta, \epsilon, S)$ an (α, β) -Hopf-algebra, all the structural maps extend by $\mathbb{K}[[\nu]]$ -linearity to yield an (α, β) -Hom-bialgebra structure on the space $A[[\nu]]$ of formal series with coefficients in A . However, it may not be an (α, β) -Hom-Hopf algebra because S may not be a relative-inverse of the identity map. However, for formal series $g(\nu) = \sum_{i \geq 0} g_i \nu^i$ such that the invertibility indexes of the elements $(g_i)_{i \in \mathbb{N}}$ are bounded, there exists $k \in \mathbb{N}$ such that

$$\alpha^k \circ (S \star \text{id})(g_i) = \alpha^k \circ (\text{id} \star S)(g_i) = \eta \circ \epsilon(g_i).$$

Summing up these relations, we obtain:

Proposition 3.1. *The space $A_b[[\nu]]$ of formal series $g(\nu) = \sum_{i \geq 0} g_i \nu^i$, such that the invertibility indexes of the elements $(g_i)_{i \in \mathbb{N}}$ are bounded, is an (α, β) -Hom-Hopf algebra.*

In particular, polynomial elements are in $A_b[[\nu]]$.

Definition 3.2. Let $(A, \mu, \alpha, \mathbf{1}, \Delta, \beta, \epsilon, S)$ be an (α, β) -Hopf-algebra. An element $g \in A$ is a **group-like element** if $\Delta(g) = g \otimes g$ and $\epsilon(g) = 1$. Let ν be a formal parameter.

(i) We call a **formal group-like element** a formal series $g(\nu) \in A_b[[\nu]]$ such that:

(5)
$$\Delta(g(\nu)) = g(\nu) \otimes g(\nu) \text{ and } \epsilon(g(\nu)) = 1.$$

(ii) Elements in $A[\nu]$ (i.e. polynomials in ν) are called **p -order group-like elements** when:

(6)
$$\Delta(g(\nu)) = g(\nu) \otimes g(\nu) \text{ modulo } \nu^{p+1} \text{ and } \epsilon(g(\nu)) = 1.$$

(iii) A **formal group-like sequence** is a sequence $(g_p(\nu))_{p \in \mathbb{N}}$ of elements in $A[\nu]$ such that

a) for all $p \geq 1$, $g_p(\nu)$ is a p -order formal group-like element,

b) $g_{p+1}(\nu) = \alpha(g_p(\nu))$ modulo ν^{p+1} ,

c) there exists an integer k such that the invertibility index of $g_p(\nu)$ is less or equal to k for all $p \in \mathbb{N}$.

We denote by $G_{seq}(A)$ the set of all formal group-like sequences of A .

It is classical that group-like elements form a group. More generally:

Proposition 3.3. *Let $(A, \mu, \alpha, \mathbf{1}, \Delta, \beta, \epsilon, S)$ be an (α, β) -Hom-Hopf algebra. Group-like elements, formal group-like elements, p -order group-like elements for an arbitrary $p \in \mathbb{N}$, and formal group-like sequences form a Hom-group. Its product is μ , the inverse of g is $S(g)$, and the unit is $\mathbf{1}$.*

Proof. Stability under μ of group-like elements, k -order group-like elements and formal group-like sequences is an immediate consequence of the compatibility relation between the multiplication and the comultiplication. The fact that group-like elements are hom-invertible and that $S(g)$ is a hom-inverse of a group-like element g follows from (4), which implies that there exists $k \in \mathbb{N}$ such that

$$\alpha^k \circ \mu(S \otimes \text{id}) \circ \Delta(g) = \alpha^k \circ \mu(\text{id} \otimes S) \circ \Delta(g) = \eta \circ \epsilon(g),$$

which amounts the relation

$$\alpha^k \circ \mu(S(g), g) = \alpha^k \circ \mu(g, S(g)) = \mathbf{1}.$$

The same applies to p -order group-like elements, by taking the previous relation modulo ν^{p+1} . It then applies for formal group-like sequences, upon noticing that the assumption (iii), c) in Definition 3.2 guarantees that $S(g_p(\nu))$, which is a Hom-inverse of $g_p(\nu)$, has an invertibility index bounded independently from p , hence that $(S(g_p(\nu)))_{p \in \mathbb{N}}$ is a Hom-inverse of $(g_p(\nu))_{p \in \mathbb{N}}$. For formal group-like elements, the proof follows the same lines, upon noticing that for every formal group-like element $g(\nu) = \sum_{i=0}^{\infty} g_i \nu^i$, there is by assumption an integer k such that for all $i \in \mathbb{N}$:

$$\alpha^k \circ \mu(S \otimes \text{id}) \circ \Delta(g_i) = \alpha^k \circ \mu(\text{id} \otimes S) \circ \Delta(g_i) = \eta \circ \epsilon(g_i) = \mathbf{1},$$

so that $S(g(\nu)) = \sum_{i=0}^{\infty} S(g_i) \nu^i$ is a Hom-inverse of $g(\nu)$. \square

Remark 3.4. Also, any morphism Φ of (α, β) -Hom-Hopf algebra induces in an obvious manner a morphism $\underline{\Phi}$ of Hom-groups between their respective group-like elements, formal group-like elements, p -order group-like elements for an arbitrary $p \in \mathbb{N}$, and formal group-like sequences. Assigning to an (α, β) -Hom-Hopf algebra any of the previous types of Hom-groups, one obtains therefore a functor. We call G_{seq} the functor which associates to an (α, id) -Hom-Hopf algebra its Hom-group of formal group-like sequences.

4. WEIGHTED TREES AND UNIVERSAL ENVELOPING ALGEBRAS AS HOM-HOPF ALGEBRAS

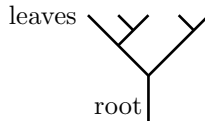
Donald Yau [Yau1, Yau4] associated to any Hom-Lie algebra $(\mathfrak{g}, [,], \alpha)$ a Hom-associative algebra $(U\mathfrak{g}, \mu, \alpha_F)$, that he called the universal enveloping algebra of \mathfrak{g} and proved to be a Hom-bialgebra. His construction went through two steps: he first associated to any vector space E , equipped with a linear map $\alpha : E \rightarrow E$, the **free Hom-nonassociative algebra** $(F_{HNAs}(E), \mu_F, \alpha_F)$, then, he considered the quotient of this algebra through the ideal $I^\infty = \bigcup_{n \geq 1} I^n$ where I^1 is the two-sided ideal

$$I^1 = \langle \text{Im}(\mu_F \circ (\mu_F \otimes \alpha_F - \alpha_F \otimes \mu_F)); [x, y] - (xy - yx) \text{ for } x, y \in \mathfrak{g} \rangle,$$

and $(I^n)_{n \in \mathbb{N}}$ is given by the recursion relation $I^{n+1} = \langle I^n \cup \alpha(I^n) \rangle$. He did not show that the henceforth obtained Hom-bialgebra comes with an antipode.

In the multiplicative case, a more direct construction exists, that we now present. We need first to introduce some generalities about weighted trees, and to define the free Hom-associative multiplicative algebra. Moreover, we will see that it carries a structure of Hom-Hopf algebra.

4.1. Weighted trees as the free Hom-associative algebra with 1-generator. A planar tree is an oriented graph drawn on a plane with only one root. It is called binary when any vertex is trivalent, i.e., one root and two leaves. Usually we draw the root at the bottom of the tree and the leaves are drawn at the top of it:



For any natural number $n \geq 1$, let T_n denote the set of planar binary trees with n leaves and one root. For $n = 1$, T_1 admits only one element, namely the unique tree with one leaf and the root. Below are the sets T_n for $n = 1, 2, 3, 4$:

$$T_1 = \left\{ \left| \right. \right\}, \quad T_2 = \left\{ \begin{array}{c} \diagup \quad \diagdown \\ \text{---} \end{array} \right\}, \quad T_3 = \left\{ \begin{array}{c} \diagup \quad \diagdown \quad \diagup \quad \diagdown \\ \text{---} \end{array}, \begin{array}{c} \diagup \quad \diagdown \quad \diagdown \quad \diagup \\ \text{---} \end{array} \right\}$$

$$T_4 = \left\{ \begin{array}{c} \diagup \quad \diagdown \quad \diagup \quad \diagdown \quad \diagup \quad \diagdown \\ \text{---} \end{array}, \begin{array}{c} \diagup \quad \diagdown \quad \diagdown \quad \diagup \quad \diagup \quad \diagdown \\ \text{---} \end{array}, \begin{array}{c} \diagup \quad \diagdown \quad \diagup \quad \diagdown \quad \diagdown \quad \diagup \\ \text{---} \end{array}, \begin{array}{c} \diagup \quad \diagdown \quad \diagdown \quad \diagup \quad \diagdown \quad \diagup \\ \text{---} \end{array}, \begin{array}{c} \diagup \quad \diagdown \quad \diagup \quad \diagdown \quad \diagdown \quad \diagdown \quad \diagup \\ \text{---} \end{array} \right\}.$$

An element $\varphi \in T_n$ shall be called an n -tree for short. When necessary we label the leaves of an n -tree by $1, 2, 3, \dots, n$ from left to right.

For $\varphi \in T_n$ and $\psi \in T_m$ be a pair of trees, the $(n + m)$ -tree $\varphi \vee \psi$, called the *grafting of φ and ψ* , is obtained by joining the roots of φ and ψ to create a new root. For instance,

$$\begin{array}{c} \diagup \quad \diagdown \\ \text{---} \end{array} \vee \begin{array}{c} \diagup \quad \diagdown \\ \text{---} \end{array} = \begin{array}{c} \diagup \quad \diagdown \quad \diagup \quad \diagdown \\ \text{---} \end{array}$$

Note that grafting is neither an associative nor a commutative operation. For any tree $\varphi \in T_n$, there are unique integers p and q with $p + q = n$ and trees $\varphi_1 \in T_p$ and $\varphi_2 \in T_q$ such that $\varphi = \varphi_1 \vee \varphi_2$. It is clear that any tree in T_n can be obtained from $\left| \right.$, the 1-tree, by successive graftings.

Yau's construction of $U\mathfrak{g}$ used weighted trees. In the sequel, since we are dealing with multiplicative case, it suffices to work with leaf weighted trees:

Definition 4.1. A **leaf weighted n -tree** is a pair (φ, a) where:

- $\varphi \in T_n$ is a n -tree,
- a is an n -tuple $(a_1, a_2, \dots, a_n) \in \mathbb{N}^n$ of non-negative integers.

We call the tree φ the underlying tree of the leaf weighted n -tree (φ, a) while, for all $i = 1 \dots, n$, the integer a_i shall be referred to as the **weight** of the leaf i .

We will indeed barely use the notation (φ, a) at all, and find more convenient to picture a leaf weighted n -tree $(\varphi, a_1, a_2, \dots, a_n)$ by drawing the tree φ and putting the weight a_i next to each leaf. For example, here are two leaf weighted 3-trees:

$$\begin{array}{c} 0 \quad 2 \quad 1 \\ \diagup \quad \diagdown \\ \text{---} \end{array} \quad \begin{array}{c} 0 \quad 1 \quad 0 \\ \diagup \quad \diagdown \\ \text{---} \end{array}$$

The grafting operation extends to leaf weighted n -trees. For example:

$$\begin{array}{c} 0 \quad 2 \quad 1 \\ \diagup \quad \diagdown \\ \text{---} \end{array} \vee \begin{array}{c} 0 \quad 1 \quad 0 \\ \diagup \quad \diagdown \\ \text{---} \end{array} = \begin{array}{c} 0 \quad 2 \quad 1 \quad 0 \quad 1 \quad 0 \\ \diagup \quad \diagdown \quad \diagup \quad \diagdown \\ \text{---} \end{array}$$

For all $n \geq 1$, we let B_n denote the set of leaf weighted n -trees. Let B denote the union over $n \in \mathbb{N}$ of the sets B_n together with an element that we call the unit and denote by $\mathbf{1}$. Note that the element $\mathbf{1}$ is different from the leaf weighted 1-tree $\left| \right.$. We then consider the free vector space \mathbb{T} generated by the set B .

We define on \mathbb{T} two natural linear maps:

- $\alpha : \mathbb{T} \rightarrow \mathbb{T}$ sending $\mathbf{1}$ to $\mathbf{1}$, i.e. $\alpha(\mathbf{1}) = \mathbf{1}$ and sending a leaf weighted n -tree to the leaf weighted n -tree obtained adding $+1$ to all the weights of the leaves, i.e. $\alpha((\varphi, a_1, a_2, \dots, a_n)) = ((\varphi, a_1 + 1, a_2 + 1, \dots, a_n + 1))$;
- a product \vee that, for any pair of leaf weighted trees, is just the grafting of these trees and such that for any weighted tree φ

$$\varphi \vee \mathbf{1} = \mathbf{1} \vee \varphi = \alpha(\varphi)$$

and $\mathbf{1} \vee \mathbf{1} = \mathbf{1}$.

For example,

$$\alpha \left(\begin{array}{c} 0 \quad 2 \quad 1 \\ \diagdown \quad \diagup \\ \text{---} \\ \diagup \quad \diagdown \\ \text{---} \\ | \end{array} \right) = \begin{array}{c} 1 \quad 3 \quad 2 \\ \diagdown \quad \diagup \\ \text{---} \\ \diagup \quad \diagdown \\ \text{---} \\ | \end{array}$$

The proof of the following lemma is trivial:

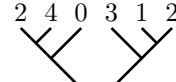
Lemma 4.2. *The map α defined in item (i) above is a morphism for the grafting of trees, that is*

$$\alpha(\varphi \vee \psi) = \alpha(\varphi) \vee \alpha(\psi)$$

for any $\varphi, \psi \in \mathbb{T}$.

We now define an important operation which consists in eliminating leaves while changing weights of the remaining ones:

Definition 4.3. Let $\varphi \in B_n$ and $I \subset \{1, 2, \dots, n\}$, we will denote by φ_I the tree obtained by replacing all the leaves in $\{1, 2, \dots, n\} \setminus I$ by $\mathbf{1}$. In particular, $\varphi_\emptyset = \mathbf{1}$ and $\varphi_{\{1, 2, \dots, n\}} = \varphi$.

As an example, if φ is the tree  and $I = \{3, 5, 6\}$, then

$$\varphi_I = \begin{array}{c} \mathbf{1} \quad \mathbf{1} \quad 0 \quad \mathbf{1} \quad 1 \quad 2 \\ \diagdown \quad \diagup \\ \text{---} \\ \diagup \quad \diagdown \\ \text{---} \\ | \end{array} = \begin{array}{c} \mathbf{1} \quad 0 \quad 2 \quad 3 \\ \diagdown \quad \diagup \\ \text{---} \\ \diagup \quad \diagdown \\ \text{---} \\ | \end{array} = \begin{array}{c} 1 \quad 2 \quad 3 \\ \diagdown \quad \diagup \\ \text{---} \\ \diagup \quad \diagdown \\ \text{---} \\ | \end{array}$$

We then define a coproduct $\Delta : \mathbb{T} \rightarrow \mathbb{T} \otimes \mathbb{T}$ by

$$(7) \quad \Delta\varphi = \sum_{\substack{I \cup J = \{1, \dots, n\} \\ I \cap J = \emptyset}} \varphi_I \otimes \varphi_J$$

for a leaf weighted n -tree φ , extended by linearity, and $\Delta(\mathbf{1}) = \mathbf{1} \otimes \mathbf{1}$.

Lemma 4.4. *This coproduct satisfies the following:*

(i) $(\Delta \otimes id) \circ \Delta = (id \otimes \Delta) \circ \Delta$, i.e., Δ is coassociative.

(ii) $\sigma_{12} \circ \Delta = \Delta$, i.e., Δ is cocommutative (with $\sigma_{12} : A \otimes B \rightarrow B \otimes A$ being the twist map defined by $\sigma_{12}(a \otimes b) = b \otimes a$.)

Proof. (i) Using Definition 7 of Δ , we have

$$(\Delta \otimes id) \circ \Delta\varphi = \sum_{\substack{I \cup J \cup K = \{1, \dots, n\} \\ I \cap J = \emptyset \\ I \cap K = \emptyset \\ J \cap K = \emptyset}} \varphi_I \otimes \varphi_J \otimes \varphi_K = (id \otimes \Delta) \circ \Delta\varphi$$

for any $\varphi \in B_n$.

(ii) Trivial in view of (7). □

We also define a counit $\epsilon : \mathbb{T} \rightarrow \mathbb{K}$ by $\epsilon(\mathbf{1}) = 1$ and $\epsilon(\varphi) = 0$, for any $\varphi \in B_n$, using then linearity. Using the above Lemma 4.4, it is easy to prove that:

Proposition 4.5. *The triple $(\mathbb{T}, \Delta, \epsilon)$ is a co-unital coassociative cocommutative coalgebra.*

This co-unital coassociative cocommutative coalgebra is compatible with the product \vee in the following sense:

Lemma 4.6. *For all $\varphi, \psi \in \mathbb{T}$ the compatibility relation*

$$(8) \quad \Delta(\varphi \vee \psi) = \Delta(\varphi) \vee \Delta(\psi),$$

holds with the understanding that the right hand side of (8) is equipped with the natural algebra structure on the tensor algebra.

Proof. For I a subset of $\{1, \dots, n\}$, let us denote by I^c the complement set. For all $\varphi \in B_n$ and $\psi \in B_m$, equation (7) gives

$$\Delta(\varphi \vee \psi) = \sum_{I \subseteq \{1, \dots, n+m\}} (\varphi \vee \psi)_I \otimes (\varphi \vee \psi)_{I^c}.$$

Let $I_n = I \cap \{1, \dots, n\}$ and $I_m = I \cap \{n+1, \dots, n+m\}$, and define I_n^c and I_m^c to be the complements of I_n and I_m in $\{1, \dots, n\}$ and $\{n+1, \dots, n+m\}$, respectively. A direct computation gives:

$$\begin{aligned} \Delta(\varphi \vee \psi) &= \sum_{\substack{I_n \subseteq \{1, \dots, n\} \\ I_m \subseteq \{n+1, \dots, n+m\}}} (\varphi_{I_n} \vee \psi_{I_m}) \otimes (\varphi_{I_n^c} \vee \psi_{I_m^c}) \\ &= \sum_{\substack{I_n \subseteq \{1, \dots, n\} \\ I_m \subseteq \{1, \dots, m\}}} (\varphi_{I_n} \otimes \varphi_{I_n^c}) \vee (\psi_{I_m} \otimes \psi_{I_m^c}) \\ &= \left(\sum_{I_n \subseteq \{1, \dots, n\}} \varphi_{I_n} \otimes \varphi_{I_n^c} \right) \vee \left(\sum_{I_m \subseteq \{1, \dots, m\}} \psi_{I_m} \otimes \psi_{I_m^c} \right) \\ &= \Delta(\varphi) \vee \Delta(\psi). \end{aligned}$$

If φ or ψ are equal to $\mathbf{1}$, (8) is equivalent to:

$$(9) \quad \Delta \circ \alpha = (\alpha \otimes \alpha) \circ \Delta,$$

which follows directly from (7). This completes the proof. \square

Because the map \vee is not associative the set $(\mathbb{T}, \vee, \mathbf{1}, \Delta, \epsilon)$ is not a bialgebra. Let us consider now the bilateral ideal \mathcal{I} , with respect to \vee , generated by the following elements:

$$(\phi \vee \psi) \vee \alpha(\chi) - \alpha(\phi) \vee (\psi \vee \chi)$$

where ϕ, ψ, χ are either arbitrary leaf weighted trees or the unit $\mathbf{1}$. Notice that in case ϕ, ψ or χ are equal to $\mathbf{1}$, then $c(\phi, \psi, \chi) = 0$, using Lemma 4.2. We call the quotient \mathbb{T}/\mathcal{I} , equipped with its structural maps \vee, α and the unit $\mathbf{1}$, the **free Hom-associative algebra with 1-generator**.

Remark 4.7. The free Hom-associative algebra with 1-generator should be considered as an algebra that encodes all the possible operations that can be described purely in terms of the Hom-associative product and α . These operations can then be applied to an arbitrary Hom-associative algebra A .

Proposition 4.8. *The coproduct Δ and the counit ϵ of \mathbb{T} go to the quotient, and endow the free Hom-associative algebra with 1-generator \mathbb{T}/\mathcal{I} with a Hom-bialgebra structure.*

We first prove an obvious lemma:

Lemma 4.9. *Let e, f, g, h be elements in \mathbb{T} . If $e - f \in \mathcal{I}$ and $g - h \in \mathcal{I}$, then $e \otimes g - f \otimes h \in \mathcal{I} \otimes \mathbb{T} + \mathbb{T} \otimes \mathcal{I}$.*

Proof. We just have to use the algebraic identity $e \otimes g - f \otimes h = (e - f) \otimes g + f \otimes (g - h)$. \square

Lemma 4.10. *The ideal \mathcal{I} is a coideal of $(\mathbb{T}, \Delta, \epsilon)$.*

Proof. We have to check that:

- $\epsilon(\mathcal{I}) = 0$.
- Δ maps \mathcal{I} to $\mathcal{I} \otimes \mathbb{T} + \mathbb{T} \otimes \mathcal{I}$.

The first point is trivial by definition of ϵ . For the second point, since Δ and \vee are compatible (Lemma 4.6) it suffices indeed to show that generating elements of \mathcal{I} , i.e. elements of the form $c(\phi, \psi, \chi) = ((\phi \vee \psi) \vee \alpha(\chi) - \alpha(\phi) \vee (\psi \vee \chi))$, are mapped to $\mathcal{I} \otimes \mathbb{T} + \mathbb{T} \otimes \mathcal{I}$.

For arbitrary $\phi \in B_n$, $\psi \in B_m$ and $\chi \in B_k$, we have on the one hand:

$$\begin{aligned}
\Delta((\phi \vee \psi) \vee \alpha(\chi)) &= (\Delta(\phi) \vee \Delta(\psi)) \vee \Delta(\alpha(\chi)), \quad \text{by Lemma 4.6} \\
&= (\Delta(\phi) \vee \Delta(\psi)) \vee \alpha^{\otimes 2}(\Delta(\chi)), \quad \text{by equation (9)} \\
&= \sum_{\substack{I \subseteq \{1, \dots, n\} \\ J \subseteq \{1, \dots, m\} \\ K \subseteq \{1, \dots, k\}}} ((\phi_I \otimes \phi_{I^c}) \vee (\psi_J \otimes \psi_{J^c})) \vee (\alpha(\chi_K) \otimes \alpha(\chi_{K^c})) \\
&= \sum_{\substack{I \subseteq \{1, \dots, n\} \\ J \subseteq \{1, \dots, m\} \\ K \subseteq \{1, \dots, k\}}} ((\phi_I \vee \psi_J) \vee \alpha(\chi_K)) \otimes ((\phi_{I^c} \vee \psi_{J^c}) \vee \alpha(\chi_{K^c})),
\end{aligned}$$

while on the other hand, we have

$$\Delta(\alpha(\phi) \vee (\psi \vee \chi)) = \sum_{\substack{I \subseteq \{1, \dots, n\} \\ J \subseteq \{1, \dots, m\} \\ K \subseteq \{1, \dots, k\}}} (\alpha(\phi_I) \vee (\psi_J \vee \chi_K)) \otimes (\alpha(\phi_{I^c}) \vee (\psi_{J^c} \vee \chi_{K^c})).$$

Applying Lemma 4.9 to $e = (\phi_I \vee \psi_J) \vee \alpha(\chi_K)$, $f = (\phi_{I^c} \vee \psi_{J^c}) \vee \alpha(\chi_{K^c})$, $g = \alpha(\phi_I) \vee (\psi_J \vee \chi_K)$, $h = \alpha(\phi_{I^c}) \vee (\psi_{J^c} \vee \chi_{K^c})$, we see that the difference between $\Delta((\phi \vee \psi) \vee \alpha(\chi))$ and $\Delta(\alpha(\phi) \vee (\psi \vee \chi))$ is an element in $\mathcal{I} \otimes \mathbb{P} + \mathbb{P} \otimes \mathcal{I}$, which completes the proof. \square

Proof of the proposition. By definition of the ideal \mathcal{I} , the quotient space \mathbb{P}/\mathcal{I} , is a Hom-associative algebra when equipped with \vee , and $\mathbf{1}$ is a unit. From Proposition 4.5, it also follows that $(\mathbb{P}/\mathcal{I}, \Delta, \epsilon)$ is a coassociative algebra with counit ϵ . According to Lemma 4.6, these induced structures are compatible. \square

We now intend to define an antipode on the free Hom-associative algebra with 1-generator. We first define $S : \mathbb{P} \rightarrow \mathbb{P}$ by:

- $S(\mathbf{1}) = \mathbf{1}$;
- $S(|, a_1) = -(|, a_1)$, or $S\left(\begin{array}{c} a_1 \\ | \end{array}\right) = -\begin{array}{c} a_1 \\ | \end{array}$, where a_1 is a non-negative integer;
- S is an antimorphism of (\mathbb{P}, \vee) , i.e., $S(\varphi \vee \psi) = S(\psi) \vee S(\varphi)$, for any $\varphi, \psi \in B$.

Explicitly, S maps a tree with n -leaves to $(-1)^n$ times the image of that tree through a vertical symmetry applied at each node, for example:

$$S\left(\begin{array}{c} 2 \quad 4 \quad 0 \quad 3 \quad 2 \\ \diagdown \quad \diagup \quad \diagdown \quad \diagup \\ \diagdown \quad \diagup \\ \diagdown \quad \diagup \\ | \end{array}\right) = (-1)^5 \begin{array}{c} 2 \quad 3 \quad 0 \quad 4 \quad 2 \\ \diagdown \quad \diagup \quad \diagdown \quad \diagup \\ \diagdown \quad \diagup \\ \diagdown \quad \diagup \\ | \end{array}$$

The map S clearly goes to the quotient and induces an endomorphism of the free Hom-associative algebra with 1-generator. The main result of this section is:



Theorem 4.11. *The free Hom-associative algebra with 1-generator is an (α, id) -Hom-Hopf algebra (in the sense of Definition 2.3) when equipped with the antipode S .*

Proof. It is obvious that S commutes with α and $S(\mathcal{I}) \subset \mathcal{I}$, so S goes to the quotient. The only non-immediate result is that S satisfies (4). The result is true for $\mathbf{1}$ and for any element in B_1 , i.e., of the form $\begin{array}{c} a_1 \\ | \end{array}$ where a_1 is a non-negative integer. Let us prove that if the result holds true for leaf weighted trees φ, ψ it also holds true for $\varphi \vee \psi$. Let k be the non-negative number such that $\alpha^k \circ \vee \circ (S \otimes id) \circ \Delta(\varphi) = 0$,

then

$$\begin{aligned}
 & \alpha^{k+1} \circ \vee \circ (S \otimes id) \circ \Delta(\varphi \vee \psi) = \\
 & = \sum_{J,I} \alpha^{k+1} \circ \vee \circ (S \otimes id)(\varphi_J \vee \psi_I) \otimes (\varphi_{J^c} \vee \psi_{I^c}) \\
 & = \sum_{J,I} \alpha^{k+1} ((S(\psi_I) \vee S(\varphi_J)) \vee (\varphi_{J^c} \vee \psi_{I^c})) \\
 & = \sum_{J,I} \alpha^k (\alpha^2(S(\psi_I)) \vee (\alpha(S(\varphi_J)) \vee (\varphi_{J^c} \vee \psi_{I^c}))), \text{ using Hom-associativity} \\
 & = \sum_{J,I} \alpha^k (\alpha^2(S(\psi_I)) \vee ((S(\varphi_J) \vee \varphi_{J^c}) \vee \alpha(\psi_{I^c}))), \text{ using again Hom-associativity} \\
 & = 0.
 \end{aligned}$$

An induction step completes the proof. \square

The antipode S is an inverse in the usual sense for quite a few trees. By inverse in the usual sense we mean that we can take $k = 0$ in (4). Let us call **ferns** weighted trees whose underlying tree is such that each node is related to a leaf. For instance, the following trees are ferns:  while , is not a fern.

We still call **space of ferns** the subspace of the free vector space \mathbb{T} generated by ferns and the subspace of the free Hom-associative algebra with 1-generator which is the image of ferns in \mathbb{T} through the canonical projection from \mathbb{T} to the free Hom-associative algebra with 1-generator.


Let us investigate the subspace of the free Hom-associative algebra with 1-generator \mathbb{T}/\mathcal{I} made of all elements with invertibility index 0, i.e. the subspace of all elements $g \in \mathbb{T}/\mathcal{I}$ such that the following relation holds

$$(10) \quad \vee \circ (S \otimes id) \circ \Delta(g) = \vee \circ (id \otimes S) \circ \Delta(g) = \eta \circ \epsilon(g).$$

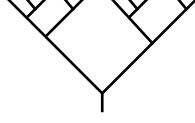
Proposition 4.12. *The subspace of the free Hom-associative algebra with 1-generator \mathbb{T}/\mathcal{I} made of all elements with invertibility index 0 is stable under left or right multiplication under elements in B_1 . It contains the space of ferns, as well as the spaces $B_i, i = 1, 2, 3, 4$.*

Proof. Let φ be an element in \mathbb{T} where (10) holds, then it also holds for any tree of the form $\begin{array}{c} a_1 \\ | \\ \vee \varphi \end{array}$ (in this case we need to use the Hom-associativity of \vee):

$$\begin{aligned}
 \vee \circ (S \otimes id) \circ \Delta\left(\begin{array}{c} a_1 \\ | \\ \vee \varphi \end{array}\right) &= \vee \circ (S \otimes id) \circ \left(\Delta\left(\begin{array}{c} a_1 \\ | \\ \vee \varphi \end{array}\right) \vee \Delta(\varphi)\right) \\
 &= \vee \circ (S \otimes id) \circ \left(\left(\begin{array}{c} a_1 \\ | \\ \otimes \mathbf{1} + \mathbf{1} \otimes \begin{array}{c} a_1 \\ | \\ \vee \varphi \end{array} \end{array}\right) \vee (\varphi_J \otimes \varphi_{J^c})\right) \\
 &= \vee \circ (S \otimes id) \circ \left(\begin{array}{c} \mathbf{1} \quad \varphi_J \\ \vee \quad \otimes \alpha(\varphi_{J^c}) + \alpha(\varphi_J) \otimes \begin{array}{c} \mathbf{1} \quad \varphi_{J^c} \\ \vee \end{array} \end{array}\right)
 \end{aligned}$$

which proves the claim. It turns out that this also proves that relations $\vee \circ (S \otimes id) \circ \Delta(\varphi) = \mu \circ (id \otimes S) \circ \Delta(\varphi) = 0$ hold for all ferns, since any fern in B_{n+1} is obtained out of a fern in B_n by either left or right grafting with an element in B_1 . In particular, this relation also holds for all elements in B_2, B_3 , since those spaces are included in the space of ferns. To check it for all elements in B_4 , it suffices to check it in the unique element which is not a fern, i.e. , which is a direct computation. \square

We give an example of an element in the free Hom-associative algebra with 1-generator for which the antipode does not satisfy Relation (10):

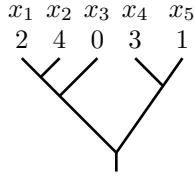


Proof: Left to the reader.

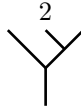
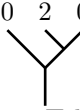
4.2. Universal enveloping Lie algebra of a Hom-Lie algebra. Let $(\mathfrak{g}, [,], \alpha)$ be a multiplicative Hom-Lie algebra. Let us apply the so-called Schur functor [Loday] to \mathbb{T} and \mathfrak{g} . We define $\mathbb{T}^{\mathfrak{g}}$ to be the vector space:

$$\mathbf{1} \oplus \bigoplus_{n \geq 1} B_n \otimes \mathfrak{g}^{\otimes n}.$$

Elements of $B_n \otimes \mathfrak{g}^{\otimes n}$ shall be pictured for every $\phi \in B_n$, $x_1, \dots, x_n \in \mathfrak{g}$, by inserting, for all $i = 1, \dots, n$, the element x_i at the top of the leaf with label x_i :



From now, we only write down the weight of a given leaf of an element in $B_n \otimes \mathfrak{g}^{\otimes n}$ when it is not equal

to zero. So  is a short hand for .

The operations $\vee, S, \Delta, \epsilon, \alpha$ defined on \mathbb{T} have natural extensions to $\mathbb{T}^{\mathfrak{g}}$, that we denote by the same symbols. The extension Δ is still a coproduct with counit ϵ , which is still compatible with \vee . Moreover, the subspace $\mathcal{I}^{\mathfrak{g}} = \bigoplus_{n \geq 3} \mathcal{I}_n \otimes \mathfrak{g}^{\otimes n}$, (with \mathcal{I}_n being the subspace of $\mathcal{I} \cap B_n$) is an ideal for \vee and a coideal for Δ . It follows directly from Theorem 4.11 that the sextuple $(\mathbb{T}^{\mathfrak{g}}/\mathcal{I}^{\mathfrak{g}}, \vee, \Delta \circ \alpha, S, \mathbf{1}, \epsilon)$ is an (α, id) -Hom-Hopf algebra. We call this Hom-Hopf algebra the **free Hom-associative algebra with generators in \mathfrak{g}** .

We now consider the quotient of the latter Hom-Hopf algebra by the ideal $\mathcal{J}^{\mathfrak{g}}$ of $(\mathbb{T}^{\mathfrak{g}}/\mathcal{I}^{\mathfrak{g}}, \vee, \mathbf{1})$ generated by:

- (i) elements of the form $\begin{array}{c} x \\ | \\ \begin{array}{c} \diagup \\ \diagdown \end{array} \\ | \\ \alpha^n(x) \end{array} - \begin{array}{c} \alpha^n(x) \\ | \\ \begin{array}{c} \diagup \\ \diagdown \end{array} \\ | \\ x \end{array}$, (recall that for all $y \in \mathfrak{g}$, $\begin{array}{c} y \\ | \\ \begin{array}{c} \diagup \\ \diagdown \end{array} \\ | \\ 0 \end{array}$ is a short hand for $\begin{array}{c} y \\ | \\ 0 \end{array}$)
- (ii) elements of the form $\begin{array}{c} x \quad y \\ \diagdown \quad \diagup \\ | \quad | \\ y \quad x \end{array} - \begin{array}{c} y \quad x \\ \diagdown \quad \diagup \\ | \quad | \\ x \quad y \end{array} - \begin{array}{c} [x, y] \\ | \\ \begin{array}{c} \diagup \\ \diagdown \end{array} \\ | \\ \end{array}$.

We first establish the following result.

Proposition 4.13. *The ideal $\mathcal{J}^{\mathfrak{g}}$ is a Hom-Hopf ideal of the free Hom-associative algebra with generators in \mathfrak{g} .*

Proof. Again, it suffices to show that the structural maps go to the quotient with respect to $\mathcal{J}^{\mathfrak{g}}$. Since Δ and \vee are compatible in the sense of equation (8), to show that Δ goes to the quotient suffices to

show that for all $x, y \in \mathfrak{g}$, both $\Delta \left(\begin{array}{c} x \\ | \\ \begin{array}{c} \diagup \\ \diagdown \end{array} \\ | \\ \alpha^n(x) \end{array} - \begin{array}{c} \alpha^n(x) \\ | \\ \begin{array}{c} \diagup \\ \diagdown \end{array} \\ | \\ x \end{array} \right)$ and $\Delta \left(\begin{array}{c} x \quad y \\ \diagdown \quad \diagup \\ | \quad | \\ y \quad x \end{array} - \begin{array}{c} y \quad x \\ \diagdown \quad \diagup \\ | \quad | \\ x \quad y \end{array} - \begin{array}{c} [x, y] \\ | \\ \begin{array}{c} \diagup \\ \diagdown \end{array} \\ | \\ \end{array} \right)$ are elements in

$\mathcal{J}^{\mathfrak{g}} \otimes \mathbb{T}^{\mathfrak{g}}/\mathcal{I}^{\mathfrak{g}} + \mathbb{T}^{\mathfrak{g}}/\mathcal{I}^{\mathfrak{g}} \otimes \mathcal{J}^{\mathfrak{g}}$. This follows from the following two computations, obtained by using directly the definition (7) of the coproduct :

$$\Delta \left(\begin{array}{c} x \\ | \\ \begin{array}{c} \diagup \\ \diagdown \end{array} \\ | \\ \alpha^n(x) \end{array} - \begin{array}{c} \alpha^n(x) \\ | \\ \begin{array}{c} \diagup \\ \diagdown \end{array} \\ | \\ x \end{array} \right) = \left(\begin{array}{c} x \\ | \\ \begin{array}{c} \diagup \\ \diagdown \end{array} \\ | \\ \alpha^n(x) \end{array} \right) \otimes \mathbf{1} + \mathbf{1} \otimes \left(\begin{array}{c} x \\ | \\ \begin{array}{c} \diagup \\ \diagdown \end{array} \\ | \\ \alpha^n(x) \end{array} \right)$$

and

$$\Delta \left(\begin{array}{c} x \quad y \\ \diagdown \quad \diagup \\ \quad \quad \quad \\ y \quad x \\ \diagdown \quad \diagup \\ \quad \quad \quad \\ [x, y] \\ | \end{array} \right) = \left(\begin{array}{c} x \quad y \\ \diagdown \quad \diagup \\ \quad \quad \quad \\ y \quad x \\ \diagdown \quad \diagup \\ \quad \quad \quad \\ [x, y] \\ | \end{array} \right) \otimes \mathbf{1} + \mathbf{1} \otimes \left(\begin{array}{c} x \quad y \\ \diagdown \quad \diagup \\ \quad \quad \quad \\ y \quad x \\ \diagdown \quad \diagup \\ \quad \quad \quad \\ [x, y] \\ | \end{array} \right).$$

The antipode S being an antimorphism of \vee , it suffices to check that it preserves the set of generators of $\mathcal{J}^{\mathfrak{g}}$ to state that preserves $\mathcal{J}^{\mathfrak{g}}$. This simply follows from the definition of S , since:

$$S \left(\begin{array}{c} x \\ n \\ | \end{array} - \begin{array}{c} \alpha^n(x) \\ | \end{array} \right) = - \left(\begin{array}{c} x \\ n \\ | \end{array} - \begin{array}{c} \alpha^n(x) \\ | \end{array} \right)$$

$$\text{and}^1 S \left(\begin{array}{c} x \quad y \\ \diagdown \quad \diagup \\ \quad \quad \quad \\ y \quad x \\ \diagdown \quad \diagup \\ \quad \quad \quad \\ [x, y] \\ | \end{array} \right) = - \left(\begin{array}{c} x \quad y \\ \diagdown \quad \diagup \\ \quad \quad \quad \\ y \quad x \\ \diagdown \quad \diagup \\ \quad \quad \quad \\ [x, y] \\ | \end{array} \right).$$

This completes the proof. \square

Definition 4.14. The **universal enveloping algebra of a multiplicative Hom-Lie algebra** $(\mathfrak{g}, [,], \alpha)$ is by definition the quotient of the free Hom-associative algebra with generators in \mathfrak{g} (which is an (α, id) -Hom-Hopf algebra) by the Hom-Hopf ideal $\mathcal{J}^{\mathfrak{g}}$. This quotient is itself an (α, id) -Hom-Hopf algebra that we denote by $\mathcal{U}\mathfrak{g}$, while we keep the usual symbols for its structural maps.

Example 4.15. For $\alpha = \text{id}$, Hom-Lie algebras are just Lie algebras. It is routine to check that the universal enveloping algebra of $(\mathfrak{g}, [,], \text{id})$ coincides with the usual universal enveloping algebra.

For $\alpha = 0$ and $[\cdot, \cdot]$ an arbitrary skew-symmetric map, all weighted trees are in the ideal $\mathcal{I}^{\mathfrak{g}}$ unless the weight of each leaf is 0 and the universal enveloping algebra is obtained by applying the Schur functor to the algebra of all binary trees, then dividing the outcome by the ideal (for grafting) generated by

$$\begin{array}{c} x \quad y \\ \diagdown \quad \diagup \\ \quad \quad \quad \\ y \quad x \\ \diagdown \quad \diagup \\ \quad \quad \quad \\ [x, y] \\ | \end{array}$$

for all $x, y \in \mathfrak{g}$. This is of course not associative (it is by construction Hom-associative with respect to a map that satisfies $\alpha = 0$ on (the image of) $\bigoplus_{n \geq 1} B_n \otimes \mathfrak{g}^{\otimes n}$, which is not a strong constraint). Also, the coproduct is simply: $\Delta(\phi) = \phi \otimes \mathbf{1} + \mathbf{1} \otimes \phi$ for all $\phi \in \bigoplus_{n \geq 1} B_n \otimes \mathfrak{g}^{\otimes n}$.

Remark 4.16. Any Hom-Lie algebra morphism $\psi : \mathfrak{g} \rightarrow \mathfrak{g}'$ induces a natural (α, id) -Hom-Hopf algebra morphism $\mathcal{U}\psi : \mathcal{U}\mathfrak{g} \rightarrow \mathcal{U}\mathfrak{g}'$ by:

$$\mathcal{U}\psi : \phi \otimes (x_1 \otimes \cdots \otimes x_n) \mapsto \phi \otimes (\psi(x_1) \otimes \cdots \otimes \psi(x_n))$$

for all weighted n -tree ϕ and $x_1, \dots, x_n \in \mathfrak{g}$. Associating to a Hom-Lie algebra \mathfrak{g} a universal enveloping algebra $\mathcal{U}\mathfrak{g}$, one therefore obtains a functor \mathcal{U} from the category of Hom-Lie algebras to the category of (α, id) -Hom-Hopf algebras.

Notice that for Hom-Lie algebras constructed by composition out of a Lie algebra \mathfrak{g} , equipped with a bracket $[\cdot, \cdot]_{\text{Lie}}$, through an endomorphism α , there are two natural Hom-Hopf algebra structures: (i) the universal enveloping algebra of the Hom-Lie algebra $(\mathfrak{g}, \alpha \circ [\cdot, \cdot], \alpha)$ as in Definiton 4.14 and (ii) the $(\tilde{\alpha}, \text{id})$ -twist of the universal enveloping algebra (in the usual sense) $U^{\text{Lie}}(\mathfrak{g})$ of the Lie algebra $(\mathfrak{g}, [\cdot, \cdot]_{\text{Lie}})$ through the Hopf algebra morphism $\tilde{\alpha}$ associated to α (this is an (α, id) -Hopf-algebra by Example 2.4).

These two Hom-Hopf algebra do not agree. This is easily seen when $\alpha = 0$, for the product is simply 0 for the Hom-Hopf algebra of item (ii) (since its product is $\mu_{\alpha} = \alpha \circ \mu$ with μ the product of $U^{\text{Lie}}(\mathfrak{g})$), while it is not zero for the universal enveloping algebra of the Hom-Lie algebra $(\mathfrak{g}, \alpha \circ [\cdot, \cdot], \alpha)$. For instance, the product

$$\begin{array}{c} x \quad x \\ | \quad | \\ \vee \\ | \end{array}$$

¹Notice that this is the first time that we are using the skew-symmetry of the bracket $[\cdot, \cdot]$ on \mathfrak{g} .

does not vanish if $x \in \mathfrak{g}$ a non-zero element. Notice that the spaces of which they are defined also do not coincide.

4.3. Primitive elements on the free Hom-associative algebra with 1-generator. Besides the properties of primitive elements of an (α, β) -Hom-Hopf algebra described in Remark 2.5, we aim to discuss the case of \mathbb{T}/\mathcal{I} . By construction, $\alpha : \mathbb{T} \rightarrow \mathbb{T}$ is injective, and it is natural to ask if its induced map α on the free Hom-associative algebra with 1-generator \mathbb{T}/\mathcal{I} is injective as well. The answer is negative, in view of the next proposition, which introduces a useful element in building counterexamples. It shows in particular that although all elements in B_1 (i.e. leaf weighted 1-trees) are primitive for the coassociative coproduct (defined as in second item of Remark 2.5), the transpose is not true: there are primitive elements which are not in B_1 .

Proposition 4.17. *Consider the following element in \mathbb{T} :*

$$\begin{array}{c} 0 \quad 1 \quad 1 \quad 0 \\ \diagdown \quad / \\ \diagdown \quad / \\ \diagdown \quad / \\ \text{---} \\ \diagdown \quad / \\ \diagdown \quad / \\ \diagdown \quad / \\ \text{---} \end{array} - \begin{array}{c} 1 \quad 0 \quad 0 \quad 0 \\ \diagdown \quad / \\ \diagdown \quad / \\ \diagdown \quad / \\ \text{---} \\ \diagdown \quad / \\ \diagdown \quad / \\ \diagdown \quad / \\ \text{---} \end{array}.$$

Denote by u its class in the free Hom-associative algebra with 1-generator \mathbb{T}/\mathcal{I} . Then $u \neq 0$ but $\alpha(u) = 0$. Moreover, u is a primitive element, and any element in the algebra (for \vee) generated by u is primitive.

Proof. It is clear that the tree that defines u is not contained in \mathcal{I} , which is therefore not equal to

zero. Also, $\alpha(u) = \begin{array}{c} 1 \quad 2 \quad 2 \quad 1 \\ \diagdown \quad / \\ \diagdown \quad / \\ \diagdown \quad / \\ \text{---} \\ \diagdown \quad / \\ \diagdown \quad / \\ \diagdown \quad / \\ \text{---} \end{array} - \begin{array}{c} 2 \quad 1 \quad 1 \quad 1 \\ \diagdown \quad / \\ \diagdown \quad / \\ \diagdown \quad / \\ \text{---} \\ \diagdown \quad / \\ \diagdown \quad / \\ \diagdown \quad / \\ \text{---} \end{array} = 0$, using Hom-associativity. By a direct computation,

$\Delta(u) = u \otimes \mathbf{1} + \mathbf{1} \otimes u$, i.e. u is a primitive element.

As a consequence, u is a primitive element contained in the kernel of α . Now, for every pair u_1, u_2 of primitive elements contained in the kernel of α , $u_1 \vee u_2$ is a primitive element, as follows from equation (8):

$$\begin{aligned} \Delta(u_1 \vee u_2) &= \Delta(u_1) \vee \Delta(u_2) \\ &= (u_1 \otimes \mathbf{1} + \mathbf{1} \otimes u_1) \otimes (u_2 \otimes \mathbf{1} + \mathbf{1} \otimes u_2) \\ &= (u_1 \vee u_2) \otimes \mathbf{1} + \mathbf{1} \otimes (u_1 \vee u_2) \\ &\quad + (u_1 \vee \mathbf{1}) \otimes (\mathbf{1} \vee u_2) + (\mathbf{1} \vee u_2) \otimes (u_1 \vee \mathbf{1}) \\ &= (u_1 \vee u_2) \otimes \mathbf{1} + \mathbf{1} \otimes (u_1 \vee u_2) \\ &\quad + \alpha(u_1) \otimes \alpha(u_2) + \alpha(u_2) \otimes \alpha(u_1) \\ &= (u_1 \vee u_2) \otimes \mathbf{1} + \mathbf{1} \otimes (u_1 \vee u_2) \end{aligned}$$

Moreover, Equation (4.2) implies that any element in the algebra generated by u is in the kernel of α . Altogether, these properties imply that the space of elements which are primitive and in the kernel of α is stable under \vee . In particular, every element in the algebra generated by u is primitive. \square

Remark 4.18. In view of Remark 4.7, Proposition 4.17 implies that for any Hom-associative algebra (A, \vee, α) , and any $x, y, z, t \in A$, the element

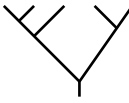
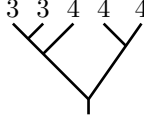
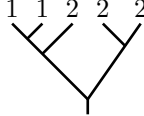
$$\alpha(t) \vee ((x \vee y) \vee t) - (t \vee \alpha(x)) \vee (\alpha(y) \vee z)$$

is in the kernel of α .

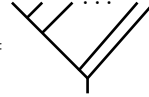
4.4. Canonical n -ary operations on Hom-associative algebras. Let A be a Hom-associative algebra. On A , making the product of n elements, $n \geq 3$ depends on the order in which the products are taken. There is however a natural manner to define n -ary operations $A^{\otimes n} \rightarrow A$, as we will see in the sequel. Recall that by Remark 4.7, operations of n elements of A are encoded by leaf weighted n -trees.

Given a n -tree $\varphi \in T_n$ (without weights) and an integer $n \leq k$, we define a leaf weighted n -tree $\varphi[k]$ by assigning to the leaf with label i the integer $k - \ell(i)$ with ℓ being the length of the branch from the root

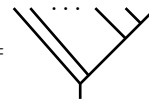
to the leaf. The length of the leaf i is then the length of the path from the root to the leaf, when the tree

is seen as a graph. For instance, let $\varphi =$  then $\varphi[7] =$  and $\varphi[5] =$ .

Let us call **right n -fern** the n -tree (without weights) obtained by successive graftings on the right of the 1-tree and denote it by F_n^r , i.e.

$$F_n^r = \left(\left(\dots \left((| \vee |) \vee | \right) \vee \dots \vee | \right) \vee | \right) =$$


Of course, the right n -fern is a fern. Similarly we call **left n -fern** the n -tree (without weights) obtained by successive graftings on the left of the 1-tree and denote it by F_n^l , i.e.

$$F_n^l = \left(| \vee \left(| \vee \dots \vee \left(| \vee \left(| \vee | \right) \dots \right) \right) \right) =$$


We first prove a lemma:

Lemma 4.19. *Let k, n be non-negative integers with $n \leq k$. The identity*

$$F_n^l[k] = F_n^r[k]$$

holds in the free Hom-associative algebra with 1-generator \mathbb{T}/\mathcal{I} .

Proof. This follows by a finite induction using definitions of these trees and Hom-associativity. \square

The following Lemma is straightforward.

Lemma 4.20. *Let $\varphi_1 \in T_p, \varphi_2 \in T_q$ be two trees and k an integer such that $k \geq p + q$. Then*

$$(\varphi_1 \vee \varphi_2)[k] = \varphi_1[k-1] \vee \varphi_2[k-1].$$

Now, we state the main result of this section showing that the operations on Hom-associative algebras encoded by the weighted n -trees $\varphi[k]$ depends only on n and k .


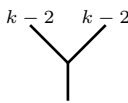
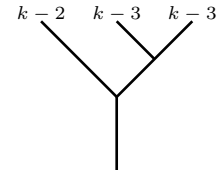
Proposition 4.21. *Let k, n be two non-negative integers with $n \leq k$. For any n -trees $\varphi, \psi \in T_n$, the identity $\varphi[k] = \psi[k]$ holds in the free Hom-associative algebra with 1-generator \mathbb{T}/\mathcal{I} .*

Proof. It suffices to show that, for any tree $\varphi \in T_n$, we have $\varphi[k] = F_n^r[k]$. For $n = 1, 2, 3$, it is routine to check that this identity is true (using Hom-associativity in case $n = 3$). Let us suppose now that this equality holds for any tree in T_p with $p < n$. Let φ be a tree in T_n with $n > 3$, then there exist $\varphi_1 \in T_p, \varphi_2 \in T_q$ such that $\varphi = \varphi_1 \vee \varphi_2$ and $p + q = n$. By Lemma 4.20 we have

$$\varphi[k] = \varphi_1[k-1] \vee \varphi_2[k-1]$$

and applying the hypothesis $\varphi_1[k-1] = F_p^r[k-1]$ and $\varphi_2[k-1] = F_q^r[k-1] = F_q^l[k-1]$, using also the Lemma 4.19. If $q = 1$, then $\varphi[k] = F_p^r[k-1] \vee \overline{1}^k = F_n^r[k]$, if not, using in each step Hom-associativity, we have $\varphi[k] = F_p^r[k-1] \vee F_q^l[k-1] = F_{p+1}^r[k-1] \vee F_{q-1}^l[k-1] = F_{p+2}^r[k-1] \vee F_{q-2}^l[k-1] = \dots = F_{p+q-1}^r[k-1] \vee \overline{1}^k = F_{p+q}^r[k] = F_n^r[k]$. \square

As a consequence, for every non-negative integers k, n with $n \leq k$, we denote by $[e^n]_k$ the element in \mathbb{T}/\mathcal{I} , defined by $\varphi[k] \in \mathbb{T}/\mathcal{I}$ for an arbitrary n -tree $\varphi \in T_n$. It is called the **k -weighted n -ary product**.

Example 4.22. $[e^1]_k =$ , $[e^2]_k =$ , $[e^3]_k =$ .

Lemma 4.23. *For all non-negative integers k, n, m with $n < k$ and $m < k$, we have*

- (1) $\Delta(\lfloor e^n \rfloor_k) = \sum_{i=0}^n \binom{n}{i} \lfloor e^i \rfloor_k \otimes \lfloor e^{n-i} \rfloor_k$,
- (2) $\lfloor e^n \rfloor_k \vee \lfloor e^m \rfloor_k = \lfloor e^{n+m} \rfloor_{k+1} = \alpha(\lfloor e^{n+m} \rfloor_k)$,
- (3) $S(\lfloor e^n \rfloor_k) = (-1)^n \lfloor e^n \rfloor_k$.

Given a formal power series in one variable with real coefficients $f(\nu) = \sum_{i \geq 0} a_i \nu^i$, we denote by $\widehat{f}_p(\nu)$ and call it **k -weighted realization of f** the element in $\mathbb{T}/\mathcal{I}[[\nu]]$ modulo ν^{p+1} given by:

$$(11) \quad \widehat{f}_p(\nu) = a_0 \mathbf{1} + \sum_{i \geq 1}^p a_i \nu^i \lfloor e^i \rfloor_p.$$

We call the sequence $(\widehat{f}_p(\nu))_{p \in \mathbb{N}}$ the **realization of f** and denote it by $\widehat{f}(\nu)$.

We provide the following properties:

Proposition 4.24. *Given two formal power series in one variable with real coefficients $f(\nu) = \sum_{i \geq 1} a_i \nu^i$, $g(\nu) = \sum_{i \geq 1} b_i \nu^i$. We have:*

- (1) $\widehat{f}(\nu) \vee \widehat{g}(\nu) = \alpha(\widehat{f} \widehat{g}(\nu))$,
- (2) for all $k \in \mathbb{N}$, $\widehat{f}_{p+1}(\nu) = \alpha(\widehat{f}_p(\nu))$ modulo ν^{p+1} ,
- (3) $S(\widehat{f}(\nu)) = \widehat{f}(-\nu)$,
- (4) the invertibility index of $\widehat{f}_p(\nu)$ is equal to 0 for all $p \in \mathbb{N}$,

where α , \vee and S are the structure operations of \mathbb{T}/\mathcal{I} extended by $\mathbb{R}[[\nu]]$ -linearity to $\mathbb{T}/\mathcal{I}[[\nu]]$.

Proof. The first assertion follows from the second item of Lemma 4.23. The second one is obtained by considering the definition (11) and the relation $\lfloor e^i \rfloor_{p+1} = \alpha(\lfloor e^i \rfloor_p)$. The third one is a consequence of the third item of Lemma 4.23. Let us prove the last assertion. Proposition 4.21 implies that

$$\widehat{f}_p(\nu) = a_0 \mathbf{1} + \sum_{i \geq 1}^p a_i \nu^i \lfloor e^i \rfloor_p$$

can be represented by ferns. The conclusion then follows from Proposition 4.12. \square

5. A HOM-GROUP INTEGRATING A HOM-LIE ALGEBRA

In this section, we aim to associate to any Hom-Lie algebra a Hom-group. This construction uses the study of the universal enveloping algebra and elements of group-like type.

5.1. Group-like elements in the free Hom-associative algebra with 1-generator. For $g(\nu) = \mathbf{1} + \sum_{i=1}^{\infty} g_i \nu^i$ a formal group-like element, g_1 is primitive. Unlike for the free associative algebra with 1-generator, not any primitive element of \mathbb{T}/\mathcal{I} could be the first order element of a formal group-like element with $g_0 = \mathbf{1}$.

Proposition 5.1. *The (α, id) -Hom-Hopf algebra $\mathbb{T}/\mathcal{I}[[\nu]]$ does not admit formal group-like elements of the form $g(\nu) = \mathbf{1} + \sum_{i=1}^{\infty} g_i \nu^i$ where g_1 is the leaf weighted 1-tree $\begin{array}{c} 0 \\ | \end{array}$.*

Proof. Assume that $g(\nu) = \mathbf{1} + \sum_{i=1}^{\infty} g_i \nu^i$ is a formal group element. For example, the coefficient of ν^2 in $\Delta(g(\nu)) = g(\nu) \otimes g(\nu)$ yields

$$\Delta(g_2) = g_1 \otimes g_1 + g_2 \otimes \mathbf{1} + \mathbf{1} \otimes g_2.$$

This imposes that g_2 is in B_2 which is impossible, because the projection of $\Delta(g_2)$ on $B_1 \otimes B_1$ is a linear

combination of elements of the form $\begin{array}{c} k \\ | \\ \otimes \\ | \\ l \end{array}$ with k or l strictly positive. \square

Remark 5.2. The proof of Proposition 5.1 gives indeed that there is no 2-order formal group-like elements of the form $g(\nu) = \mathbf{1} + \sum_{i=1}^p g_i \nu^i$ where g_1 is the leaf weighted 1-tree $\begin{array}{c} 0 \\ | \end{array}$.

Let us show that formal group-like sequence is a relevant object by showing that the free Hom-associative algebra with 1-generator admits a 1-parameter family of formal group-like sequences, although it admits very few group-like elements.

For all $s \in \mathbb{R}$, consider the realization $\widehat{exp}(s)$ of the formal series $exp(s) = \sum_{i=0}^{\infty} \frac{s^i}{i!} \nu^i$. We call the assignment $s \rightarrow \widehat{exp}(s)$ the **exponential sequence**.

For a better understanding of $\widehat{exp}(s)$, we give its first terms:

$$\begin{aligned} \widehat{exp}_0(s) &= \mathbf{1} \\ \widehat{exp}_1(s) &= \mathbf{1} + s\nu \begin{array}{c} 0 \\ | \end{array} \\ \widehat{exp}_2(s) &= \mathbf{1} + s\nu \begin{array}{c} 1 \\ | \end{array} + \frac{s^2 \nu^2}{2!} \begin{array}{c} 0 \quad 0 \\ \diagdown \quad / \\ \text{---} \\ | \end{array} \\ \widehat{exp}_3(s) &= \mathbf{1} + s\nu \begin{array}{c} 2 \\ | \end{array} + \frac{s^2 \nu^2}{2!} \begin{array}{c} 1 \quad 1 \\ \diagdown \quad / \\ \text{---} \\ | \end{array} + \frac{s^3 \nu^3}{3!} \begin{array}{c} 1 \quad 0 \quad 0 \\ \diagdown \quad / \quad \diagup \quad \diagdown \\ \text{---} \\ | \end{array} . \end{aligned}$$

Generally, we have

$$\widehat{exp}_p(s) = \mathbf{1} + \sum_{i=1}^p \frac{s^i}{i!} \nu^i [e^i]_p.$$

Theorem 5.3. *The exponential sequence $s \rightarrow \widehat{exp}(s)$ is valued in the Hom-group $G_{seq}(\mathbb{T}/\mathcal{I})$ of formal group-like sequence.*

Moreover,

- (1) $\widehat{exp}(0)$ is the unit element of the Hom-group of formal group-like sequences.
- (2) For all $s, t \in \mathbb{R}, k \in \mathbb{N}$, $\widehat{exp}(s) \vee \widehat{exp}(t) = \alpha(\widehat{exp}(s+t))$.
- (3) $S(\widehat{exp}(s)) = \widehat{exp}(-s)$ is a strict inverse, i.e.

$$\widehat{exp}(s) \vee \widehat{exp}(-s) = \widehat{exp}(-s) \vee \widehat{exp}(s) = \mathbf{1}.$$

Proof. The first item just follows from the definition of the realization of the formal series $f(\nu) = e^{0\nu} = 1$. The second item follows from the first item in Proposition 4.24 applied to the classical relation $e^{s\nu} e^{t\nu} = e^{(s+t)\nu}$. The third item follows from the third item in Proposition 4.24.

It remains to show that the exponential sequence is valued in formal group-like sequence, defined in item (iii) of Definition 3.2. The fourth item in Proposition 4.24 implies that $\widehat{exp}_p(s)$ (i.e. the p -th term in the sequence $\widehat{exp}(s)$) has invertibility index equal to 0, so that condition c) holds. The second item in Proposition 4.24 implies item b) in Definition 3.2. We are left with the task of showing that $\widehat{exp}_p(s)$ is a p -order group-like element. This follows from the following computation, which is done modulo ν^{p+1} :

$$\begin{aligned} \Delta(\widehat{exp}_p(s)) &= \Delta(\mathbf{1}) + \sum_{i=1}^p \frac{s^i}{i!} \nu^i \Delta[e^i]_p \\ &= \mathbf{1} \otimes \mathbf{1} + \sum_{i=1}^p \sum_{j=1}^i \frac{s^i}{i!} \nu^i \binom{i}{j} [e^j]_p \otimes [e^{i-j}]_p \\ &= \widehat{exp}_p(s) \otimes \widehat{exp}_p(s), \end{aligned}$$

where the first item of Lemma 4.23 was used to go from the first to the second line. \square

5.2. Formal group-like sequences of the universal enveloping algebra. Now, we define the exponential map for a Hom-Lie algebra $(\mathfrak{g}, [\cdot, \cdot], \alpha)$, in order to achieve a construction of a functor from the category of Hom-Lie algebras to the category of Hom-groups. For all $x_1, \dots, x_i \in \mathfrak{g}$, and $p, i \in \mathbb{N}$ with $p \geq i$, define an element in $\mathcal{U}\mathfrak{g}$ by:

$$[x_1, \dots, x_i]_p = e_p^i \otimes (x_1 \otimes \dots \otimes x_i).$$

If $x_1 = \dots = x_i = x$, then we denote this product as $[x^i]_p$. For $f(\nu) = \sum a_i \nu^i$ a formal series, we call **realization of $f(\nu)$ evaluated at x** the sequence

$$\left(\sum_{i=0}^p \frac{s^i}{i!} [x^i]_p \right)_{p \in \mathbb{N}}.$$

For $f = \exp(\nu)$ in particular, we define the exponential map $\widehat{\exp}(sx)$ to be the sequence in $\mathcal{U}\mathfrak{g}[[\nu]]$ obtained by taking the realization evaluated at x of the formal series $e^{s\nu}$. By construction, $\widehat{\exp}(sx)$ is obtained by applying the Schur construction to $\widehat{\exp}(s)$ and to the element x , and the following theorem can be derived easily from Theorem 5.3.

Theorem 5.4. *For all $x \in \mathfrak{g}$ the exponential sequence $s \rightarrow \widehat{\exp}(sx)$ is valued in the Hom-group $G_{seq}(\mathfrak{g})$. Moreover,*

- (1) $\widehat{\exp}(0x)$ is the unit element $\mathbf{1} \in G_{seq}(\mathfrak{g})$.
- (2) For all $s, t \in \mathbb{R}, k \in \mathbb{N}$, $\widehat{\exp}(sx) \vee \widehat{\exp}(tx) = \alpha(\widehat{\exp}((s+t)x)) = \widehat{\exp}((s+t)\alpha(x))$.
- (3) $S(\widehat{\exp}(sx)) = \widehat{\exp}(-sx)$ is a strict inverse, i.e.

$$\widehat{\exp}(sx) \vee \widehat{\exp}(-sx) = \widehat{\exp}(-sx) \vee \widehat{\exp}(sx) = \mathbf{1}.$$

For a better understanding of $\widehat{\exp}(sx)$, we give its first terms:

$$\begin{aligned} \widehat{\exp}_0(sx) &= \mathbf{1} \\ \widehat{\exp}_1(sx) &= \mathbf{1} + s\nu \begin{array}{c} x \\ | \end{array} \\ \widehat{\exp}_2(sx) &= \mathbf{1} + s\nu \begin{array}{c} \alpha(x) \\ | \end{array} + \frac{s^2 \nu^2}{2!} \begin{array}{c} x \quad x \\ \diagdown \quad / \\ \quad | \end{array} \\ \widehat{\exp}_3(sx) &= \mathbf{1} + s\nu \begin{array}{c} \alpha^2(x) \\ | \end{array} + \frac{s^2 \nu^2}{2!} \begin{array}{c} \alpha(x) \quad \alpha(x) \\ \diagdown \quad / \\ \quad | \end{array} + \frac{s^3 \nu^3}{3!} \begin{array}{c} \alpha(x) \quad x \quad x \\ \diagdown \quad / \quad / \\ \quad | \end{array}. \end{aligned}$$

An immediate consequence of the expression of $\widehat{\exp}_1(sx)$ is the next proposition, that we invite the reader to see as saying that $G_{seq}(\mathfrak{g})$ is large enough to be meaningful.

Proposition 5.5. *For every $s \neq 0$, the assignment $x \mapsto \widehat{\exp}(sx)$ is an injection from \mathfrak{g} to the Hom-group of formal group-like sequences $G_{seq}(\mathfrak{g})$.*

In order to integrate a Hom-Lie algebra into a Hom-group, we compose the following two functors:

- (1) The functor \mathcal{U} from the category of Hom-Lie algebras to the category of Hom-Hopf algebras which consists in assigning to a Hom-Lie algebra \mathfrak{g} its universal algebra $\mathcal{U}\mathfrak{g}$, as in Remark 4.16.
- (2) The functor G_{seq} from the category of Hom-Hopf algebras to the category of Hom-groups, which consists in assigning to a Hom-Hopf algebra A its formal group-like sequences, as in Remark 3.4.

Therefore the composition of these functors is a functor \mathfrak{G} from the category of Hom-Lie algebras to the category of Hom-groups. Proposition 5.5 implies that this functor is not trivial. Notice that it is compatible with the exponential map in the sense that for every morphism of Hom-Lie algebra $\varphi : \mathfrak{g} \rightarrow \mathfrak{g}'$,

the following diagram

$$\begin{array}{ccc}
 \mathfrak{g} & \xrightarrow{\varphi} & \mathfrak{g}' \\
 \downarrow \widehat{\text{exp}}(s \cdot) & & \downarrow \widehat{\text{exp}}(s \cdot) \\
 \mathfrak{G}(\mathfrak{g}) & \xrightarrow{\mathfrak{G}(\varphi)} & \mathfrak{G}(\mathfrak{g}').
 \end{array}$$

is commutative.

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