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## **Influence of Lateral Heterogeneities on Strong Motion Shear Strains: Simulations in the Historical Center of Rome (Italy)**

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1                   **Influence of lateral heterogeneities on strong motion shear strains:**  
2                   **simulations in the historical center of Rome (Italy)**

3  
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14  
15                   **Abstract**

16                   The influence of lateral heterogeneities in alluvial deposits represents a topic of particular interest in  
17                   the field of urban planning and engineering design of structure and infrastructures. This work is  
18                   focused on the effects of such heterogeneities on the shear strains produced within the recent  
19                   alluvial deposits of the Tiber River in Rome historical center in case of the worst expected  
20                   earthquake scenario. At this aim, a 3D engineering-geology model of the subsoil is used to derive 4  
21                   geological sections across the Tiber River valley as well as 48 soil columns in order to perform  
22                   numerical simulations. Various models are considered: a viscoelastic equivalent linear rheology in a  
23                   1D finite difference model for one motion component (EERA code), a nonlinear elasto-plastic  
24                   model in a 1D finite element scheme for three motion components and a nonlinear visco-elasto-

25 plastic rheology in a 2D finite difference model under one-component horizontal input. As it results  
26 from comparing these different simulations, the lateral heterogeneities play a key role with respect  
27 to the expected shear strains within multilayered soils. At this aim some specific indexes are  
28 introduced to estimate the maximum shear strain concentration index within the soil layers as well  
29 as to highlight their effect due to the stratigraphic position of the layers, within the soil column,  
30 independently from its depth. A final differential index leads to the evaluation of the lateral  
31 heterogeneity effect on the estimated maximum shear strain, demonstrating their prevalent role with  
32 respect to the bedrock shape (i.e. the angle of inclination of the buried valley slopes). From these  
33 results, a maximum shear strain zoning map is obtained for the historical center of Rome, showing  
34 that the local seismic response should be modeled by assuming 1D or 2D conditions depending on  
35 the location considered.

#### 36 **Keywords**

37 Lateral heterogeneities, strong motion, site effects, earthquake-induced strains, numerical modeling,  
38 Rome

39

#### 40 **Introduction**

41 Local seismic response in large urban areas is often estimated through one-dimensional (1D) and  
42 two-dimensional (2D) numerical simulations (Rovelli et al., 1994, 1995; Panza et al., 2004;  
43 Bozzano et al., 2008; Bonilla et al., 2010; Bonilla et al., 2006; Bouden-Romdhane et al., 2003;  
44 Semblat and Pecker, 2009). During the last decades multi-dimensional seismic wave amplification  
45 have been pointed out in different basins from noise and weak-motion records. This topic is  
46 particularly important in urban areas where the original morphology of the natural valley can be  
47 hidden also by the presence of human structures and infrastructures (Rassem et al., 1997; Semblat et  
48 al., 2000, 2002; Bouden–Romdhane et al., 2003; Kham et al., 2006; Sørensen et al., 2006; Semblat

49 et al., 2008). 2D amplification effects can be detected by seismometric measurements but a  
50 significant effort needs to be done to relate them to geological constraints (Di Giulio et al., 2008;  
51 Lenti et al., 2009), especially in the case of heterogeneous valley fills or of irregular bedrock  
52 geometries. In this regard, previous studies were mostly focused on the effects related to the  
53 impedance contrast among horizontal layers, i.e. few researches were devoted so far on the  
54 contrasts due to the existence of lateral contacts among different lithologies (Semblat et al., 2005;  
55 Peyrusse et al., 2014). In cases where superficial seismic measurements are not suitable since they  
56 are not representative for free-field condition as a consequence of site-city interaction effects  
57 (Kham et al., 2006; Semblat et al., 2008) and records from vertical seismic arrays are not available,  
58 an important role can be played by numerical models able to account for basin effects, i.e. multi-  
59 dimensional geometries and lateral soil heterogeneities.

60 The use of numerical methods is widespread; some experiments have demonstrated the reliability of  
61 these numerical approaches in reproducing observed local seismic effects also for irregular  
62 geometries of the fill deposits and the bedrock (Semblat et al., 2002a,b). Moreover, numerical  
63 modeling makes it possible to obtain transfer functions resulting from bedrock/outcrop ratios in  
64 both linear and nonlinear conditions (Lanzo and Silvestri, 1999) or amplification functions resulting  
65 from outcropping-fill/outcropping-bedrock ratios (Borcherdt, 1994). More recently, the percentage  
66 of non-linearity (PNL) and the associated shift frequency ( $S_h$ ) parameters were introduced by  
67 Regnier et al. (2013) to describe and estimate the effects of soil nonlinear behaviour on site  
68 response.

69 The numerical methods were mainly devoted to analyse possible local effects due to the  
70 modification of the input seismic wavefield in the superficial layers, both in linear and non-linear  
71 conditions. The numerical models (Bard, 1983; Bard and Bouchon, 1980a, b, 1985; Mozco and  
72 Bard, 1993; Pergalani et al., 1999; Makra et al., 2005; Semblat et al., 2005; Pergalani et al., 2008;  
73 Lenti et al., 2009; Gélis and Bonilla, 2012; 2014) demonstrate that in case of basins-like systems  
74 filled by homogeneous and heterogeneous deposits, local seismic response depends on many

75 features such as soil geometry, impedance contrast, dynamic properties, as well as on the stress field  
76 variations induced by the seismic motion that may lead to relevant nonlinear effects.  
77 Among the effects related to the local seismic response, the study presented herein focuses on the  
78 analysis of earthquake-induced strains, within soil deposits that fill a basin-like system, by taking  
79 into account the heterogeneities due to both lateral and vertical contacts. In this regard, a nonlinear  
80 soil behaviour should be considered where the most severe expected earthquake scenario. At this  
81 aim, we propose an approach based on the comparison among different numerical modeling  
82 solutions to elicit the contributions due to 1D vs. 2D effects, linear vs. nonlinear soil behaviour. The  
83 effects of a multiaxial stress state in the soil, modeled by a 3D-rheology, are also investigated since  
84 they can play a significant role on the resulting nonlinear strains (Santisi d'Avila et al., 2012, 2013).  
85 Moreover, this study is particularly focused on the maximum shear strain distribution in the Rome  
86 historical centre, to get an insight for possible interaction with structure (i.e. foundations or  
87 infrastructures) by mapping where 1D approximation is sufficient to assess maximum shear strain  
88 and where prevalent 2D effects control.

89 The recent alluvial plain of the Tiber River in the historical center of Rome (Italy) was selected as  
90 study area (Fig.1) for this research because of the relevance of the historical heritage, the  
91 documented historical damages on both monuments and buildings related to the historical strong  
92 earthquakes (Ambrosini et al., 1986; Molin and Guidonboni, 1989; Donati et al., 1999; Donati et  
93 al., 2008; Bozzano et al., 2011) as well as for the geological and geotechnical data availability from  
94 previous studies (Bozzano et al., 2000; Bozzano et al., 2008; Raspa et al., 2008) (Fig. 1).

95 Rome is located at a distance of some tens of kilometers from the central Apennines seismogenic  
96 zone, where earthquakes of tectonic origin and of a magnitude up to 7.0 can be expected (Fig.1).  
97 The most recent major earthquake occurred on April 6<sup>th</sup>, 2009 (Mw 6.3) close to L'Aquila city,  
98 about 100 km northeast (NE) from Rome (Blumetti et al., 2009) and was felt in Rome up to V MCS  
99 intensity. Smaller earthquakes, with a focal depth less than 6 km and maximum magnitude of 5,

100 originate at the Colli Albani hills volcanic source (Amato et al., 1994). Moreover, a local seismicity  
101 in the urban area can produce earthquakes with a magnitude below 4 (Tertulliani et al., 1996); these  
102 smaller events are expected to produce a maximum intensity of VI to VII in Rome.

103 Several studies on the local seismic response in Rome are already available in the literature. Rovelli  
104 et al. (1994; 1995) performed 2D finite difference simulations and a hybrid technique based on  
105 summation and finite differences was proposed by Fäh et al. (1993). This model was designed  
106 assuming a homogeneous fill of the Tiber River valley, except for a basal layer of gravels on the  
107 local seismic bedrock, and a viscoelastic rheology attributed to the alluvial soils. Olsen et al. (2006)  
108 generated a 3D velocity model for Rome embedded in a 1D regional model, considering a  
109 homogeneous fill of the Tiber River valley, and estimated long-period ( $>1$  s) ground motions for  
110 such scenarios from finite difference simulations of viscoelastic wave propagation. This model  
111 confirmed a 1Hz resonance frequency for the alluvial deposits while pointed out durations much  
112 longer than those from previous studies that omitted important wave-guide effects between the  
113 source and the city. Bozzano et al. (2000; 2008) analyzed static and dynamic geomechanical  
114 properties of the Holocene alluvial fill within the Tiber River valley and demonstrated that the silty-  
115 clay deposits, representing the most part of the Tiber alluvial body, play a key role in assessing the  
116 soil column deformation profile since it can be affected by nonlinear effects induced by the  
117 maximum expected earthquake. The first seismic ground-motion recorded in the urban area of  
118 Rome (at the Vasca Navale array) corresponds to the April 2009 L'Aquila seismic sequence  
119 (Caserta et al., 2013); the empirical soil transfer function shows a significant amplification at almost  
120 1Hz according to the 1D simulations already obtained for the same site (Bozzano et al., 2008).

121 Rome historical centre is a good case study to assess the role of 1D vs 2D effects as it regards the  
122 shape ratio of the bedrock in the Tiber River valley. According to Bard and Bouchon (1985), the  
123 computed values are always lower than 0.3 and therefore suitable for a 1D resonance combined to  
124 lateral wave effect. Moreover, according to Semblat et al. (2010) amplification lower than 20

125 should be expected in the Tiber river valley at the fundamental frequency of about 1Hz under  
126 perfectly elastic conditions. This results by considering a  $\kappa_h$  ratio ( $=L/H$  where  $L$ = half length of the  
127 valley and  $H$  is the maximum depth) much more higher than 6 and impedance ratio  $\chi$  parameter  
128 ( $=V_{S\_bedrock}/V_{S\_soft\ soil}$ ) ranging from 1 to 2.

### 129 **The Rome historical center case study**

130 Rome is one of the main historical cities of Italy and its political center. The millenary history of the  
131 city, its extraordinary historical heritage and the actual population of about 4 millions inhabitants  
132 entails a high vulnerability and exposure to natural risks. The actual geological setting of Rome  
133 urban area results from a recent evolution of the Tiber River alluvial valley connected to the  
134 adjacent coastal plain. Nonetheless, this evolution represents the final stage of the geodynamic  
135 processes responsible for the genesis of the Central Apennines chain (Fig. 1). Several studies  
136 contributed so far to the reconstruction of the geological setting of Rome subsoil (Corazza et al.,  
137 1999; Bozzano et al., 2000; Campolunghi et al., 2007; Bozzano et al., 2008; Raspa et al., 2008;  
138 Milli et al., 2013, Mancini et al., 2013).

139 The area of Rome historical center is characterised by marine sedimentary conditions from Pliocene  
140 through early Pleistocene times (4.5-1.0 myr). This Plio-Pleistocene succession consists of  
141 alternating, decimetre-thick layers of clay and sand, with an overconsolidation ratio (OCR) greater  
142 than 5 and low compressibility (Bozzano et al., 1997). Given its lithological features, the Monte  
143 Vaticano Unit (UMV) is considered to be the geological bedrock of the area of Rome. During  
144 middle-late Pleistocene and Holocene, the sedimentary processes were confined to fluvial channels  
145 and coastal plains and strongly controlled by glacio-eustatic sea-level changes (Karner and Renne,  
146 1998; Karner and Marra, 1998, Marra et al., 1998). At the same time, this area also experienced  
147 strong volcanic activity, which caused the emplacement of a thick pyroclastic cover that became  
148 intercalated into the continental sedimentary deposits.

149 The current hydrographic network of the Tiber valley and its tributaries, were originated from the

150 Würm glacial period (18 kyr) and it results from re-incision and deepening of valleys hereditated  
151 from the previous glacial-interglacial phases.

152 The sediments partially filling the Holocene valleys (Bozzano et al., 2000) are generally  
153 characterised by a fining-upward succession, with a few meters thick basal layer of gravels grading  
154 into a thick pack of sands and clays (Fig. 2). This fine-grained portion of the deposit is represented  
155 by normally to weakly overconsolidated clayey and sandy silts, saturated in water, with low  
156 stiffness. According to Bozzano et al., (2000), the alluvial deposits were distinguished in 7  
157 lithotechnical units, in the following named “layers” for simplicity. Figure 2 shows a basal G layer  
158 is constituted of coarse grained deposits, up to 10 m thick, covering the UMV and composed of  
159 limestone gravel in a grey sandy-silty matrix. The D layer is composed by grey coloured silty-sands  
160 passing to clayey-silts. These layers were recently distinguished in two sub-layers (Bozzano et al.,  
161 2012): the D1 sub-layer characterised by a prevalent sandy grain size; the D2 sub-layer  
162 characterized by a prevalent silty-clay grain size. The C layer is composed by grey clays passing to  
163 silty-clays with a variable organic content which is responsible for local dark colour; this layer is  
164 mainly located close to the boundary of the valley and, in particular, on its right side, where it  
165 reaches a maximum thickness of about 50 m.

166 The clayey C layer is locally carved by some furrows filled by the B layer, which is generally  
167 composed by brown to yellow coloured sands (B1) and locally passes to silty-sands and clays (B2).  
168 The recent alluvia of the Tiber (A level) complete the sedimentary succession; these alluvia are  
169 mainly composed of silty-sands locally passing to clayey-silts, up to 15 m thick, in correspondence  
170 to the left side of the valley.

171 Finally, the R layer, up to 8 m thick, represents man-made fills, i.e. the most recent deposits which  
172 overly the Tiber alluvia and they are characterised by abundant, variously sized brick fragments and  
173 blocks of tuff embedded in a brown-green silty-sandy matrix, also including ceramic and mortar  
174 fragments.

175



176 Based on the geomechanical characterisation by Bozzano et al. (2000; 2008), the C layer is  
177 classified as inorganic silty-clay of average-high compressibility with a very low OCR of about 1.2,  
178 whereas the UMV are defined as stiff silty-clays ( $OCR \cong 6$ ). Lithotypes A and D2 are defined as  
179 silty-clays with middle-low compressibility. Based on oedometer tests, the A layer clayey silts are  
180 highly overconsolidated ( $OCR \approx 10$ ), probably due to changes in the water table position.

181 The B1 layers is characterised by sand, sandy loam and sandy-clayey loam while the B2 layer is  
182 predominantly characterised by sandy loam, sandy-clayey loam with subordinate silty clay and clay  
183 of low to medium plasticity. The D1 sub-layer includes deposits with a sandy-silty grain size which  
184 were differentiated with respect to the silty-clayey D2 sub-layer on the basis of borehole log-  
185 stratigraphies as well as of available grain size distributions (Bozzano et al., 2012).

186 Site and laboratory testing of the Tiber alluvial deposits (Bozzano et al., 2008), demonstrated that a  
187 significant difference exists between sandy or silty-clayey deposits (A, B, C, D layers) and the  
188 basal sandy gravels (G layer). In terms of shear wave (S-wave) velocity ( $V_s$ ) the above mentioned  
189 difference corresponds to a  $\Delta V_s$  of about 300 m/s (Fig.3a). In this regard, the G layer can be  
190 considered as the local seismic bedrock, since it has a  $V_s > 700$  m/s (Bozzano et al., 2008).

191 Relatively low  $V_s$  values ( $< 600$  m/s) were measured within the first 10 m of UMV; this finding is  
192 consistent with a softening effect related to the stress release caused by the late Pleistocene fluvial  
193 erosion (Bozzano et al., 2006). As a consequence, linearly increasing  $V_s$  values (e.g., from 540 up  
194 to 1000 m/s) have been assumed in the numerical models in the first 20 meters within the UMV.

195 The dynamic properties of the Tiber alluvial deposits were derived by resonant column and cyclic  
196 torsional shear tests assuming confining pressure in the range 200-300kPa (Bozzano et al., 2008).

197 At low strain levels (i.e., for strain levels where no significant reduction of shear moduli are  
198 observed, strain level  $< 10^{-6}$ ) these tests lead to a difference between the stiffness related to the  
199 Tiber alluvia and the high consistency UMV clays of the bedrock equal to about 100 MPa.  
200 Conversely, the differences measured inside the alluvia (i.e. between C and A layers) are less  
201 significant and anyway in the 50–100 MPa range. The decay curves deduced from the same tests

202 (Fig.3b) put in evidence that the linearity threshold ( $\gamma_l$ ) for the shear strains is of about 0.005% for  
203 the UMV and in the range 0.01% - 0.02% for the A and C layers of the alluvial deposits, while the  
204 volume shear deformation threshold ( $\gamma_v$ ) for the A and C layers ranges from 0.02% to 0.05%. The  
205 D1 layer was characterised by resonant column tests on reconstituted samples (Bozzano et al.,  
206 2012). At this aim, the Proctor optimum of the granular mix was reached at a saturation of 90%,  
207 with a water content (w) of 17.6%, corresponding to a density ( $\gamma_d$ ) of 16.70 kN/m<sup>3</sup>. Resonant-  
208 column tests yielded a  $\gamma_l$  of 0.005% and a  $\gamma_v$  of 0.03%. As it resulted from the laboratory tests,  
209 seven G/G<sub>0</sub> and D vs. shear strain curves were associated to the lithotechnical units as reported in  
210 Fig.3b.

211 According to the resonant column tests, a hysteretic constitutive law was attributed to layers with  
212  $V_s < 800$  m/s; whereas a viscoelastic constitutive law was attributed to the other UMV layers  
213 (Fig.3).

214

## 215 **Numerical models**

### 216 ***3D engineering-geology model of the subsoil***

217 A 3D engineering-geological model of the alluvial fill in Rome historical center was  
218 reconstructed based on log-stratigraphies from 78 boreholes collected so far from literature  
219 studies and technical reports (Fig.4). The depths reached by these boreholes range from 30 up to  
220 67 m b.g.l. and 28 reach the high-consistency clays of the UMV geological substratum. The 3D  
221 model reconstruction was performed by co-relating and interpolating the borehole stratigraphies  
222 on different planes with a depth interval of 5 m and by obtaining a vertical correlation among  
223 them (Fig.4a). The engineering-geology model was obtained by differentiating the lithotechnical  
224 units (cfr. § 2.2) and by deriving their geometries within the alluvial fill, i.e. by describing the  
225 vertical and horizontal contacts existing among them. Based on the 3D geological model, 12  
226 cross sections were derived all along the Tiber River valley (displayed in Fig.1) and 48 soil

227 columns were extracted along these sections. To identify the 48 columns selected along the  
228 sections a binomial label was attributed that reports the Arabic number of the section and a  
229 capital letter indicating the position of the column along the section as reported in Fig.1 (for  
230 example the 1A soil column is located along section 1 at position A). As displayed in Figs.4b  
231 and 4c, the 12 sections extracted from the 3D engineering-geological model were smoothed in  
232 order to be used for the related numerical models.

233 Both geological cross sections and soil columns show the high heterogeneity of alluvial deposits  
234 that fill the Tiber River valley in Rome historical center. In particular, the 3D engineering-  
235 geological model points out that (Figure 4): the G layer is always present at the basis of the  
236 deposits, the D1 layer is generally centered with respect to the valley; the C layer fills the most  
237 part of the valley and it is inter-layered with D2 layer; the B1, B2 and A layers are distributed  
238 within the first 25 m b.g.l.. From the considered soil columns it is obvious that the most part of  
239 the fill is constituted by the inorganic clays ascribable to the C layer (i.e. almost 33% of the  
240 cumulative thickness of the alluvial deposits along the considered columns that is of about 3km  
241 as shown in Fig.5a) whose thickness varies up to 50 m (see thickness distribution in Fig. 5b).

#### 242 ***Reference input motion*** 243

244 For this study a unique three component time history representative for the maximum ground  
245 motion expected in the historical center of Rome at 475 years was considered. The reason of  
246 such a choice is that a deterministic approach for the earthquake-induced strain effects was  
247 adopted following previous studies on the seismic response in the Rome historical center  
248 (Rovelli et al., 1994; 1995; Olsen et al., 2006). In addition, a previous study by Bozzano et al.  
249 (2008) shows that inputs representative for other seismogenetic sources (such as the Colli  
250 Albani one) are not suitable for producing non linear effects within the alluvial soils of the Tiber  
251 river in the Rome urban area. No synthetic inputs were used, in agreement with the present  
252 Italian technical rule for geotechnical constructions, but time history selected among several

253 natural accelerometric records collected in the European Strong-motion Database (ESD).  
254 Moreover, to avoid that specific features of the seismic input could influence the modelled  
255 seismic response, the spectral content of the selected time history was checked to have a regular  
256 distribution in a wide frequency range (0.1-10Hz).

257 It was not possible to consider the acceleration time history of the 2009 L'Aquila mainshock  
258 recorded by the vertical array of Valco S. Paolo station in Rome (Caserta et al., 2013) since the  
259 measured peak of ground acceleration (PGA) was around  $10^{-3}g$  that is two orders of magnitude  
260 lower than the current study. As a consequence, a three-component time history has been  
261 produced (Bozzano et al., 2012), taking into account the maximum PGA expected in the  
262 historical center of Rome (i.e. 0.1258g at 475 years according to the project INGV-DPC 2004-  
263 2006). As a first step, a historical analysis of the felt seismicity was performed by considering  
264 the last 2000 years, obtaining a couple of (magnitude-distance) values representative for the  
265 maximum seismic scenario expected in Rome. These parameters allowed to select from the  
266 European Strong-motion Database (ESD) a first set of three-component time histories,  
267 representative of the maximum expected ground motion. As a second step, the response spectra  
268 (5% inelastic damping) related to these time histories were calculated and compared to the  
269 reference response spectrum expected for Rome. The latter is already available and defined in  
270 the framework of the national project UHS INGV, Cluster 6, Central Italy. The best fit allowed  
271 selecting only one three-components time history among the whole set of data selected starting  
272 from the ESD. The horizontal component with the maximum ground acceleration value was  
273 scaled to the characteristic PGA value for the historical center of Rome. The other components  
274 were then scaled taking into account the ratios between the PGA of the three original time  
275 histories. This procedure allowed obtaining three acceleration time histories representative for  
276 the maximum ground motion expected in Rome and which were used in the numerical modeling  
277 (Fig. 6).

278

279 *1D numerical models*

280 The relevance of 1D modelling consist in providing transfer functions as well as the maximum  
281 shear strain (MSS) distribution with depth that can reveal the role of the vertical heterogeneities  
282 (i.e. layering) of the subsoil also depending on the non linear effects in case of strong motion  
283 (Bonilla et al., 2011; Regnier et al., 2013) . In this study the 1D modeling was performed for the  
284 48 selected soil columns (Table 1), using two 1D numerical wave propagation models, an  
285 equivalent linear model (EERA code by Bardet et al., 2000) and a truly nonlinear approach  
286 (SWAP\_3C code by Santisi d'Avila et al., 2012), and the time histories obtained by the  
287 previous procedure. In particular, EERA allows evaluating the local seismic response of  
288 horizontally stratified soil to the one-directional wave propagation of one-component vertically  
289 incident waves, considering the equivalent linear approach in the frequency domain.  
290 Conversely, SWAP\_3C can model the one-directional propagation of a three-component ground  
291 motion in a soil profile. In the SWAP\_3C code, the three-dimensional nonlinear cyclic elasto-  
292 plastic constitutive model, originally proposed by Iwan (Iwan, 1967; Joyner, 1975; Joyner and  
293 Chen, 1975) for dry soils, is implemented in a finite element scheme. Iwan's constitutive  
294 relationship, defined as a Masing-Prandtl-Ishlinskii-Iwan (MPII) type model by Segalman and  
295 Starr (2008), has been selected because few parameters commonly available (density and shear  
296 modulus decay curve) are necessary to characterize the soil hysteretic behaviour (Santisi  
297 d'Avila et al., 2012). The MPII model is nonlinear in loading and unloading. Shear and pressure  
298 seismic waves are simultaneously propagated along the vertical  $z$ -direction in a nonlinear soil  
299 profile, from the top of an underlying semi-infinite elastic seismic bedrock to the free surface.  
300 The stresses normal to the free surface are assumed null and an elastic boundary condition is  
301 imposed at the soil-bedrock interface (Joyner and Chen, 1975; Bardet and Tobita, 2001), in  
302 terms of stresses normal to the soil column base, allowing energy to be radiated back into the  
303 underlying medium, to take into account the finite rigidity of the bedrock. The multilayered soil

304 is assumed of horizontal infinite extent, with consequent no strain variation in horizontal  
305 directions  $x$  and  $y$ . At a given depth, soil is assumed to be a continuous and homogeneous  
306 medium.

307 This procedure can be used to evaluate the role of geotechnical and ground motion parameters  
308 affecting the soil response.

309

### 310 ***2D numerical models***

311 2D models are relevant since they point out amplification effects due to horizontal  
312 heterogeneities (due to heteropy or unconformity of lateral geological contacts) in terms of  
313 amplification functions ( $A(f)$ ) as well as of MSS distribution within the alluvial body. In this  
314 regard both the  $A(f)$  and the MSS distribution can be influenced by the basin shape, the  
315 impedance contrast between soft soil and bedrock (Bard and Bouchon, 1985; Lenti et al., 2009;  
316 Semblat et al., 2010) and the non linearity effects in case of strong motion (Bonilla et al., 2005;  
317 Assimaki and Li, 2012; Gélis and Bonilla, 2012; 2014). In this study, the 2D numerical  
318 modeling was carried out on 4 among the 12 available cross sections realised across the Tiber  
319 River valley in Rome historical center (Fig.7). These selected cross sections (1, 6, 7 and 11) are  
320 representative of the alluvial fill deposit main features: i) a variable position of the D1 layer  
321 with respect to the middle portion of the valley, ii) a thickness of the upper alluvial deposit that  
322 includes layers R, A and B varying in the range 10 – 30 m, iii) different lateral contacts between  
323 layer D1, D2 and C; iv) the angles of the buried valley slopes measured from the ground level to  
324 the top of the gravel varying up to 30°.

325 Finite difference (FD) stencil proposed by Saenger et al. (2000) is considered to model the 2D  
326 propagation of P and Vertical Shear waves (P-SV). This stencil allows computing all  
327 components of the stress-strain tensor in one point of the numerical mesh, which simplifies the  
328 implementation of the computation of nonlinear soil rheologies. Consequently wave

329 propagation in heterogeneous linear and nonlinear media is efficiently modelled. Furthermore,  
330 the free surface is easily introduced by zeroing Lamé parameters above the free surface and  
331 surface waves can be modeled more accurately (Gélis et al., 2005) than with traditional  
332 staggered-grid methods (Virieux, 1986).

333 The models are 90 m deep and almost 4 km wide; nevertheless, the domain corresponding to the  
334 basin of each section profile was laterally extended in order to have a numerical reference in the  
335 model so that rock outcropping motions can be obtained. Furthermore, absorbing boundary  
336 conditions are guaranteed at the bottom and the sides of the model.

337 In this study, attenuation for all linear simulations was introduced by using the method proposed  
338 by Day and Bradley (2001). The minimum values of the quality factor for S-waves ( $Q_S$ ) was  
339 directly derived from the  $V_s$  values if not directly inferred them from the Resonant Column  
340 laboratory tests. The values of the quality factor for pressure waves (P-waves) ( $Q_P$ ) were  
341 assumed equal to  $2Q_S$ . The spatial and time discretizations were  $dx = 0.5\text{m}$  and  $dt = 5e-5\text{ s}$   
342 which permit to have reliable results in linear and nonlinear simulations up to 10 Hz.

343 The strain-stress relation, governing the non linear behaviour modeling and used at each time  
344 step, is based on the multishear mechanism model proposed by Towhata and Ishihara (1985).  
345 The multishear mechanism model is a plane strain formulation to simulate pore pressure  
346 generation in sands under cyclic loading and undrained conditions. After the work by Iai et al.  
347 (1990ab), the model was modified to account for the cyclic mobility and dilatancy of sands.  
348 However, in its basic form, this formulation models the soil nonlinearity without accounting for  
349 co-seismic water pore pressures. Bonilla (2000) added the damping control to the soil  
350 constitutive model.

351 The multiple mechanism model relates the effective stresses ( $\sigma'$ ) to the strain ( $\epsilon$ ) through the  
352 following incremental equation,

$$353 \quad \{d\sigma'\} = [G] (\{d\epsilon\} - \{d\epsilon_p\}) \quad (1)$$

354 where the curly brackets represent the vector notation;  $\{\epsilon_p\}$  is the volumetric strain produced by

355 the pore pressure, and  $[G]$  is the tangent stiffness matrix. This matrix takes into account the  
356 volumetric and shear mechanisms, which are represented by the bulk and tangent shear moduli,  
357 respectively. The latter is idealized as a collection of I springs separated by  $\Delta\theta = \pi / I$ . Each  
358 spring follows the hyperbolic stress-strain model (Konder and Zelasko, 1963) and the  
359 generalized Masing rules for the hysteresis process. For more details on the nonlinear stress-  
360 strain rheology, the reader may see the papers by Iai et al. (1990ab) and Bonilla (2000).

361

## 362 **Results from the models**

363 The numerical results are analysed in terms of amplification functions  $A(f)$ , expressed by the  
364 spectral ratio among the superficial receivers and the reference total wavefield at the  
365 outcropping bedrock, as well as in terms of maximum shear strains (MSS) distributions along  
366 the vertical columns (for 1D models) or along the cross sections (for 2D models). Moreover, a  
367 comparison among the computed MSS and the  $\gamma_v$  is used to evaluate the representativeness of  
368 the rheological assumption (i.e. of viscoelastic equivalent linear and nonlinear elasto-plastic  
369 cyclic model).

370

## 371 ***Results from 1D numerical models***

372 The 1D numerical models performed on the 48 soil columns by the use of EERA (equivalent  
373 linear) and SWAP\_3C (nonlinear) codes pointed out that the first mode of resonance for all the  
374 columns is close to 1Hz with  $A(f)$  values generally almost equal to 2. In several cases,  
375 depending on specific stratigraphical situations, other modes of resonance result at frequencies  
376 varying from 2 up to 5 Hz with  $A(f)$  values up to 5, as in the case of the columns 11D, 11E and  
377 5B.

378 The MSS computed through the nonlinear model (SWAP\_3C) represents the octahedral shear  
379 strain; it takes into account the effects due to the 3D rheology and is generally higher than the



380 MSS computed through the equivalent linear model (EERA), see (Fig.8). Nevertheless this  
381 result depends at the same time on the various rheologies (equivalent linear and cyclic  
382 nonlinear) and on the number of components of the seismic input (i.e. 3 components for SWAP  
383 and 1 component for EERA). To evidence the role of the cyclic nonlinear rheology with respect  
384 to the equivalent linear one, a comparison of the computed MSS by EERA and SWAP  
385 considering one input component only is displayed in Fig. 8. As it results from this comparison,  
386 the MSS computed by SWAP generally exceed the ones computed by EERA.

387 The MSS resulting for the C layers are always higher than the ones measured in the other soil  
388 layers; moreover, they result more concentrated where the C layer is thinner, i.e. it results boxed  
389 within stiffer layers such as D1, B and G. Based on these outputs and considering the largest  
390 presence of the C layer when compared to the other ones within the alluvial deposits, this study  
391 was mostly focused on the behaviour of such a clayey layer within the alluvial fill. By analysing  
392 the MSS distribution along each selected soil column and within the C layers it results that: i)  
393 the highest values are generally located at the bottom of the layer (Fig.8), ii) the MSS increase  
394 with decreasing C layer thickness at the same depth (compare columns 7C and 8E in Fig.8); iii)  
395 the MSS values increase with depth for the same thickness of the stratum (see columns 7B and  
396 8E in Fig.8) and iv) the MSS generally exceed the  $\gamma_v$  of the C layer (Figs. 8, 9).

397 In particular, Fig.9 shows that the exceedance of the  $\gamma_v$  threshold (expressed through the  
398 MSS/ $\gamma_v$  ratio also considering the related standard deviation) is independent of the thickness of  
399 the C layer and the assumed rheology (i.e. EERA vs. SWAP)

400 These results highlight that both the layer thickness and the layer stratigraphical position along  
401 the soil column control the resulting MSS.

402

### 403 ***Results from 2D numerical models***

404 The 2D models along the 4 selected sections (1, 6, 7 and 11) confirmed that the 1Hz frequency

405 is amplified all along the models with  $A(f)$  values up to 4 (Figs.10,11,12,13); nevertheless  
406 significant amplifications result at higher frequencies (up to 8Hz). Along each section, 1D  
407 transfer functions were computed by discretizing the numerical domain in 5 m-spaced soil  
408 columns and by assuming a viscoelastic rheological model; the so obtained  $A(f)$  values were  
409 reported in a unique plot as a function of the distance along the section (Figs.10b,11b,12b,13b).  
410 The computed 1D  $A(f)$  functions were compared with the  $A(f)$  functions obtained by the 2D  
411 viscoelastic modelling: such a comparison reveals a significant difference in the distribution of  
412 the amplification effects (Figs. 10b,c; 11b,c; 12b,c; 13b,c). In correspondence with lateral  
413 contact between stratigraphic layers characterised by high impedance contrasts (i.e.  $\Delta V_s > 200$   
414 m/s) the  $A(f)$  functions do not appear as continuous since they are perturbed by interference  
415 fringes (Fig. 10c, 11c, 12c, 13c). The effects of nonlinearity are not negligible in terms of  $A(f)$   
416 distributions since they generally induce a reduction of the fundamental frequencies of about 0.5  
417 Hz (Fig. 10d, 11d, 12d, 13d). Nonlinearity is also relevant for the interference fringes since they  
418 are significantly reduced and the basin effects related to the lateral heterogeneities correspond to  
419  $A(f)$  values lower than 2.

420 The resulting MSS distributions point out that for the same lithotechnical unit the maximum  
421 values result at the base of the alluvial body (Fig. 14). In particular, with reference to the C  
422 layer, a significant increase of the MSS values results for decreasing thickness of the layer itself  
423 and for a vertical confinement of thin layers between stiffer layers. This is particularly obvious  
424 around the central portion of the section 1 where the C layer is vertically confined between two  
425 layers D1 at a depth of about 35 m b.g.l..

426 As it results from the 2D numerical modeling the MSS generally exceed the  $\gamma_v$  threshold within  
427 the C layer (Fig.14); the percentage of exceedance is of the same order as for the 1D models  
428 (Fig.9, 14). Higher percentage values of exceedance are obtained where the C layer is thinner  
429 and vertically confined between stiffer deposits as for the MSS absolute values.

430

431 **Discussion**

432 Numerical results are analyzed in order to point out the effect of both vertical and lateral  
433 heterogeneities on the computed MSS. At this aim, a differential scheme is herein proposed: it  
434 is based on evaluating the difference, compared to a reference value, in some specific  
435 parameters influencing the MSS within the soil deposits.

436 Three main contributions are considered: the vertical heterogeneity related to the layering of the  
437 soil layers; the stratigraphic position of the layer, i.e. the depth measured from the ground layer;  
438 the lateral heterogeneities due to the contacts among soil deposits with significant impedance  
439 contrast, including the lateral contacts between soil and bedrock due to the 2D geometry of the  
440 river valley.

441 As previously discussed the present analysis is focused on the C layer only.

442 A first index (Shear Strain Concentration Index – SSCI) was introduced to quantify the  
443 concentration of MSS within the C layer in the form:

444 
$$SSCI = \frac{\Delta\gamma}{\Delta h} = \frac{(\gamma_{max} - \gamma_{min})}{h_{max} - h_{min}} \quad (2)$$

445 where:

446  $\gamma_{max}$  is the maximum shear strain within the C layer in the considered column;  $\gamma_{min}$  is the  
447 minimum shear strain within the C layer in the considered column;  $(h_{max}-h_{min})$  is the difference  
448 between the two depths at which the minimum and maximum values of the shear strain are  
449 obtained within the C layer; this difference generally coincides with the thickness of the same  
450 layer (Fig. 15).

451 To subtract the effect due to the stratigraphic position of the layer (i.e. to its depth b.g.l.) the  
452 same index was computed for homogeneous reference columns only constituted by sands or  
453 clays over a stiff gravel layer representing the seismic bedrock. Eighteen reference columns  
454 were constructed by considering 2 soil compositions (sandy and clayey) and 9 thicknesses (i.e.

455 varying from 50 up to 70 m) to be representative for the different cases encountered in the 48  
456 modeled soil columns.

457 A differential index was defined in the form:

$$458 \quad \Delta\Gamma = SSCI - SSCI_{ref} \quad (3)$$

459 where  $SSCI$  is the shear strain concentration index for the C layer in each considered column  
460 and the  $SSCI_{ref}$  is the one defined for the specific reference column.

461 The  $\Delta\Gamma$  index reveals the effect due to vertical heterogeneity only, by excluding the effect due  
462 to the depth of the layer in the soil column; as it is shown by the graphs in Fig.16 a good  
463 correlation exists between the thickness of the C layer and the  $\Delta\Gamma$  computed averaging all the  
464 values corresponding to the outputs of the soil columns characterized by the same thickness of  
465 the C layer. Such a correlation results for both the EERA and the SWAP\_3C models (Fig.16a,b)  
466 and demonstrates that as the soil column heterogeneity increases (i.e. the C layer thickness is  
467 lower than 10 m which corresponds to almost 20% of the entire soil column) the average  
468  $\Delta\Gamma$  increases as well as the related standard deviation. As it results from these outputs, at an  
469 increasing vertical heterogeneity of the soil column corresponds a lower reliability of the shear  
470 strain prevision within the C layer, as it is strongly affected by the soil column stratigraphy, i.e.  
471 by the soil layering.

472 A similar analysis was carried out for the 2D modeling (Fig.16c); also in this case, the effect  
473 due to the vertical heterogeneity was analyzed by using the  $\Delta\Gamma$  index. At this aim, 17 soil  
474 columns were extracted from the 4 modeled cross sections in correspondence to the same soil  
475 columns among the 48 considered ones, that are distributed along these sections. Also in this  
476 case, a good correlation exists between the thickness of the C layer and the  $\Delta\Gamma$  computed  
477 averaging all values corresponding to the outputs of soil columns extracted along the sections  
478 and characterized by the same C layer thickness. Similarly to results obtained by the 1D models,  
479 the resulting  $\Delta\Gamma$  distribution shows that the reliability of the shear strain prevision within the C

480 layer is strongly affected by the soil column stratigraphy as the computed standard deviation has  
481 a very sharp increase in the cases of C layer thickness lower than 10m.

482 In order to evaluate the effects of the horizontal heterogeneities, i.e. due to the lateral contacts  
483 among the soil layers as well as between the soil deposit and the bedrock, another differential  
484 index was introduced by subtracting the  $\Delta\Gamma$  from 1D to the one from 2D model in the form:

$$485 \Delta\Gamma_{1D\_2D} = | \Delta\Gamma_{1D} - \Delta\Gamma_{2D} | \quad (4)$$

486 As  $\Delta\Gamma$  already subtracts the effect due to the stratigraphic position of the C layer with respect to  
487 its depth in the soil column, the  $\Delta\Gamma_{1D\_2D}$  index only takes into account the role of lateral  
488 heterogeneities in the computed MSS. To allow a comparison with the 2D modeling results and  
489 to better constrain the results expressed by  $\Delta\Gamma_{1D\_2D}$  index, the  $\Delta\Gamma_{1D}$  was computed from the 1D  
490 models performed by the SWAP\_3C code but using one ground motion component only.

491 A sensitivity analysis was performed by correlating the  $\Delta\Gamma_{1D\_2D}$  values and the distance ( $\Delta X$ )  
492 measured from each considered column to the closest lateral contact due to heterogeneities  
493 which are characterized by a  $\Delta V_s > 200$  m/s, these last ones including the basin seismic bedrock  
494 (Bard and Bouchon, 1985; Semblat et al., 2010). The obtained  $\Delta\Gamma_{1D\_2D}$  vs.  $\Delta X$  distribution  
495 demonstrates that the  $\Delta\Gamma_{1D\_2D}$  index is suitable for revealing the effect of lateral heterogeneities  
496 since its value significantly increases for decreasing distances between the soil column and the  
497 closest lateral contact. In particular, for distances lower than 300 m the  $\Delta\Gamma_{1D\_2D}$  value sharply  
498 increases from 0.005 up to about 0.025 according to an exponential correlation function (Fig.  
499 17a). A similar analysis was carried out by searching a correlation among the  $\Delta\Gamma_{1D\_2D}$  index and  
500 the angle of inclination of the buried slopes at the basin edges (i.e. measured from the ground  
501 surface to the top of the G layer which represents the local seismic bedrock) (Fig. 17b). In this  
502 case, the outputs only show a decreasing trend of  $\Delta\Gamma_{1D\_2D}$  values with increasing slope angle;  
503 nevertheless, a proper correlation does not result and also for small slope angle ( $< 10^\circ$ ) the  
504  $\Delta\Gamma_{1D\_2D}$  values are not negligible. These results demonstrate the main role played by lateral

505 heterogeneities with respect to the slope angle in the MSS concentration within the clay C layer  
506 of the Tiber River alluvia at Rome historical center. They also highlight the relevance of 2D  
507 models in case of lateral heterogeneities, where the lateral contacts are closer than 300 m from  
508 the considered soil column. Heterogeneities inside the basin can as well lead to different 1D and 2D  
509 basin responses (e.g.,  $x=1600\text{m}$  in section 11).

510 Based on these results a zonation of the historical center of Rome is proposed by distinguishing  
511 the areas in which the 1D conditions appear suitable for the numerical computing of the MSS  
512 within the C layer and the areas in which 2D conditions are more appropriate (Fig. 18). To  
513 obtain such a map, the 3D engineering geology model of the alluvial fill was used for  
514 contouring the 300 m distance from the C layer and the high-impedance lateral contacts as this  
515 distance seems suitable for assuming 1D instead of 2D numerical modeling conditions. This  
516 zonation shows that 1D effects are admissible for the Prati and P.zza Mancini quarters, while  
517 2D conditions are generally more relevant for Rome historical quarters of Via del Corso, P.zza  
518 Venezia and Isola Tiberina island. An exception to this is provided by the area of P.zza Navona  
519 where it results a local stratigraphic setting suitable for 1D conditions.

520 The spatial distribution of the MSS computed for the C layer in the Rome historical center is  
521 also derived by the 1D or the 2D models depending on the more suitable resulting conditions;  
522 such a map represents a synthetic output which restitutes the shear strains expected for the  
523 maximum expected earthquake scenario within the clay deposits of the Tiber River in the Rome  
524 historical center. The relevance of the derived MSS distribution regards the possible interaction  
525 in case of ground motion of the building foundations and the infrastructures (such as pipelines,  
526 tunnels, tube-lines) with the highest deformability layers of the Rome subsoil that are generally  
527 encountered within the first 30 m b.g.l.. These outputs could be relevant for the design of  
528 seismic reinforcement also in case of monumental buildings or for new construction design.

529 Based on the transfer function measured by Caserta et al. (2013) at the Valco S. Paolo vertical  
530 accelerometric array, a 1D seismic response was observed for the site. In this case, the lateral

531 heterogeneities due to high-impedance contrast are localized at a distance higher than 300 m  
532 (Bozzano et al., 2008), i.e. in agreement with the correlation reported in Fig.17a the expected  
533  $\Delta\Gamma_{1D\_2D}$  value is indeed suitable to a 1D strain effect.

534 It is worth noticing that, as it results from both the 1D and the 2D numerical models, the  $MSS/\gamma_v$   
535 ratio distributions indicate that in several cases the  $\gamma_v$  threshold is exceeded more than one order  
536 of magnitude. Although the shaking conditions considered herein correspond to a very severe  
537 earthquake scenario for the city of Rome (i.e. the computed MSS are the maximum expected for  
538 a 10% of PGA exceedance in 50 years), a kind of criticism remains in the relevancy of the  
539 dynamic parameters resulting from resonant column tests (that generally provide the available  
540 dynamic parameters used for numerical modeling as in this study). This is particularly true  
541 under strictly nonlinear conditions (i.e. by considering strong motion effects), that imply a  
542 significant increase of the pore water pressures, and in case of heterogeneous deposits which not  
543 necessarily respect plane-parallel layering conditions. Another source of uncertainty is the  
544 variability of the reference input ground motion which could be addressed by further details in a  
545 more specific study.

546

547

## 548 **Conclusions**

549 This study was focused on the effects of earthquake shaking on shear strains by taking into  
550 account the effect of vertical and lateral heterogeneities due to the contacts among different soils  
551 within an alluvial fill deposit.

552 At this aim, the Rome historical center was selected as case study since a detailed 3D  
553 engineering-geology model of the subsoil is already available and a significant exposure exists  
554 due to the intense urbanization and to the monumental historical heritage of the area.

555 1D and 2D numerical models were focused on the evaluation of MSS within the clayey deposits

556 (i.e. ascribable to the lithotechnical layer C) which constitute the most part of the alluvial fill.  
557 Nonetheless, a kind of criticism remains on the suitability of properties derived from resonant  
558 column laboratory tests in case of high-strain level and heterogeneous soil conditions as the  
559 present results generally show a significant exceedance of the volume shear strain threshold  $\gamma_v$   
560 in all the performed models.

561 To distinguish the effect due to both vertical heterogeneities (i.e. to the strata layering) and  
562 lateral heterogeneities, some specific indexes were defined. The SSCI index expresses the shear  
563 strain concentration within a specific layer of each soil column. The  $\Delta\Gamma$  index subtracts the  
564 effect of the stratigraphic position of the considered soil layer since it compares the effect of a  
565 multilayered column with the one obtained along a homogeneous reference one. Finally, the  
566  $\Delta\Gamma_{1D\_2D}$  evidences the effect due to the lateral heterogeneities; the responsiveness of this index  
567 to the distance of a soil column from the closest lateral contact with a high impedance contrast  
568 demonstrated its reliability and pointed out the dependence of the soil column position along a  
569 specific cross section to assume 2D or 1D conditions for numerically computing the expected  
570 MSS.

571 The  $\Delta\Gamma_{1D\_2D}$  was used for a zonation of the MSS in clay layer C of Rome historical center in terms of  
572 suitable areas for 1D or 2D numerical models. The present approach also provides useful  
573 indications for selecting the most suitable numerical approaches in the frame of seismic  
574 microzonation studies that, for the specific case of Rome, were not yet carried out.

575 This study shows the relevance of 2D models to provide expected values of MSS in case of soil  
576 deposits characterized by lateral heterogeneities; the obtained findings also point out that the  
577 role of heterogeneities is more relevant with respect to the shape of the valley bedrock, since the  
578 numerically computed MSS correlates well with the distance to the lateral contact while,  
579 conversely, no significant correlation exists with the angle of inclination of the buried slopes.

580 These obtained results encourage to improve the quality of the MSS evaluation within soil  
581 deposits under severe earthquake scenarios in urban areas, as they can interact with structure



582 foundations or infrastructures.

583

#### 584 **Data and Resources**

585 The web-site of the Italian national project UHS INGV, Central Italy was visited at the web-site  
586 <http://esse1.mi.ingv.it/> (last accessed December 2013). For selecting the reference input the  
587 European Strong-motion Database (ESD) was visited at the web-site  
588 [http://www.isesd.hi.is/ESD\\_Local/frameset.htm](http://www.isesd.hi.is/ESD_Local/frameset.htm) (last accessed July 2012).

589

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600

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833 **Captions to figures**

834 Fig.1 – a) Location of the city of Rome respect to the central Apennines (modified from Cipollari et  
835 al., 1993) the : 1) alluvial and coastal deposits; 2) volcanic deposits; 3) terrigenous flysch deposits;  
836 4) limestones; 5) main thrust; 6) main fault; 7) epicentral location of the 1915 Avezzano and of the  
837 2009 L’Aquila earthquakes. b) satellite GoogleEarth view the Rome historical center; the locations  
838 of the considered soil columns and sections are also shown (the 2D modeled sections are indicated  
839 by a circled number).

840 Fig.2 – Borehole stratigraphic log showing the main lithotechnical units that were distinguished in  
841 the Tiber River alluvial deposits at Rome historical center.

842 Fig.3 – a) Rheological and velocity model assumed for the subsoil of the Rome historical center, (\*)  
843 dynamic properties available so far from specific laboratory tests; b) normalized shear modulus

844 (G/G<sub>0</sub>) and damping (D) vs. shear strain ( $\gamma$ ) used in the numerical models and referred to each  
845 lithotechnical unit.

846 Fig.4 – a) 3D engineering-geology model of the alluvial fill in the Rome historical center; b)  
847 example of geological cross section extracted from the 3D model and; c) smoothing of the  
848 geological cross-section for the numerical models.

849 Fig.5 – Percentage distribution of the soil layers (a) and percentage distribution of the clayey C  
850 layer thickness (b) within the here considered 48 soil columns of the Tiber River alluvial deposits at  
851 Rome historical center.

852 Fig.6 – Reference 3-component input used for the numerical modeling (by Guido Martini, ENEA –  
853 Italy): timehistories (left column) and Fast Fourier Transform (right column) of the horizontal (up  
854 and middle) and vertical (down) components of the input.

855 Fig.7 – Engineering-geological cross sections along the traces 1, 6, 7 and 11 (see Fig.1 for location)  
856 used for the performed 2D numerical models. The 17 soil columns considered for computing the  
857  $\Delta\Gamma_{1D\_2D}$  index are also shown (see also Fig.1 for location).

858 Fig.8 – MSS distribution along some of the 48 modeled soil columns (see Fig.1 for location) by the  
859 codes EERA and SWAP; in the case of SWAP the MSS distribution for both the 1-component input  
860 (SWAP\_1C) and for the 3-component input (SWAP\_3C) are distinguished.

861 Fig.9 – Average MSS/ $\gamma_v$  vs. the C layer thickness distributions (+/- standard deviation, dashed lines)  
862 in the case of: a) EERA (1-component input); b) SWAP (3-component input). The labels close to  
863 the black circles indicate the number of cases considered for the mean.

864 Fig.10 – Outputs of the 2D numerical model performed along section 1 of Fig.7: a) V<sub>s</sub> value  
865 distribution in the numerical domain; b) A(f) function from the 1D viscoelastic solution; c) A(f)

866 function from the 2D viscoelastic solution; d) A(f) function from the 2D viscoplastic solution. The  
867 A(f) functions are plotted for the basin width only.

868 Fig.11 – Outputs of the 2D numerical model performed along section 6 of Fig.7: a) Vs value  
869 distribution in the numerical domain; b) A(f) function from the 1D viscoelastic solution; c) A(f)  
870 function from the 2D viscoelastic solution; d) A(f) function from the 2D viscoplastic solution. The  
871 A(f) functions are plotted for the basin width only.

872 Fig.12 – Outputs of the 2D numerical model performed along section 7 of Fig.7: a) Vs value  
873 distribution in the numerical domain; b) A(f) function from the 1D viscoelastic solution; c) A(f)  
874 function from the 2D viscoelastic solution; d) A(f) function from the 2D viscoplastic solution. The  
875 A(f) functions are plotted for the basin width only.

876 Fig.13 – Outputs of the 2D numerical model performed along section 11 of Fig.7: a) Vs value  
877 distribution in the numerical domain; b) A(f) function from the 1D viscoelastic solution; c) A(f)  
878 function from the 2D viscoelastic solution; d) A(f) function from the 2D viscoplastic solution. The  
879 A(f) functions are plotted for the basin width only.

880 Fig.14 – MSS/ $\gamma_v$  ratio distributions resulting by the 2D numerical models for section 1 (a), 6 (b), 7  
881 (c) and 11 (d); the MSS distributions within the models are also reported.

882 Fig.15 – Sketch that illustrates the  $\Delta\Gamma$  index obtained by subtracting the SSCI index computed for  
883 the C layer in a general column to the same index computed for the corresponding reference  
884 column.

885 Fig.16 -  $\Delta\Gamma$  index distributions vs. C layer thickness as they result from the EERA, SWAP\_3C (for  
886 a 3-component input) and 2D numerical models. The outputs are referred to the 48 soil columns of  
887 Fig.1 for the 1D models and to the 17 soil columns of Fig.7 for the 2D models. The labels close to  
888 the black circles indicate the number of cases considered for the mean.

889 Fig,17 – a)  $\Delta\Gamma_{1D\_2D}$  index distributions vs. the maximum distance of the C layer from the closest  
890 high-impedance ( $\Delta V_s > 200$  m/s) lateral contact ( $\Delta X$ ) and b)  $\Delta\Gamma_{1D\_2D}$  index distributions vs. the  
891 inclination angles of the slope buried below the alluvial deposits in the Tiber River valley at Rome  
892 historical center.

893 Fig.18 – GoogleEarth satellite view of the Rome historical center in which the Tiber River alluvial  
894 deposits are bounded by a bold white lines and the zones suitable for 1D (areas with the white lines)  
895 and 2D (areas without lines) shear strain effects are mapped; MSS values expected in the C layer  
896 for the 475-years earthquake scenario are also reported.

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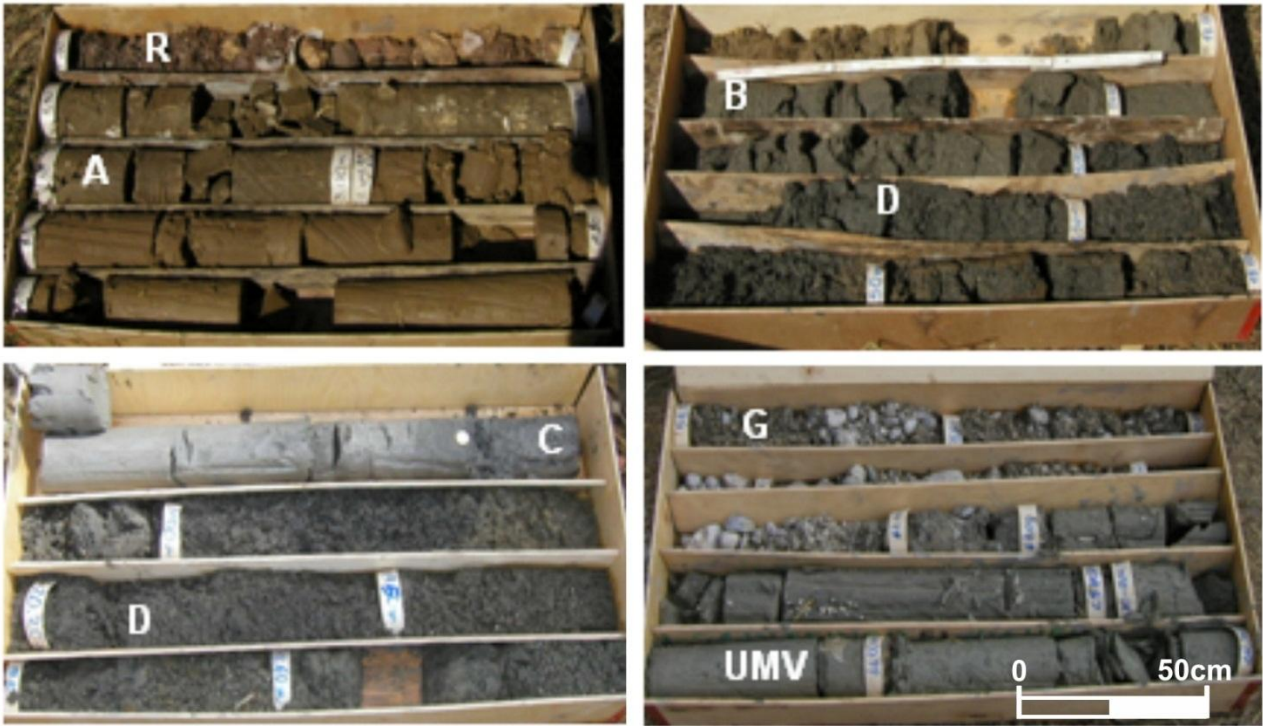
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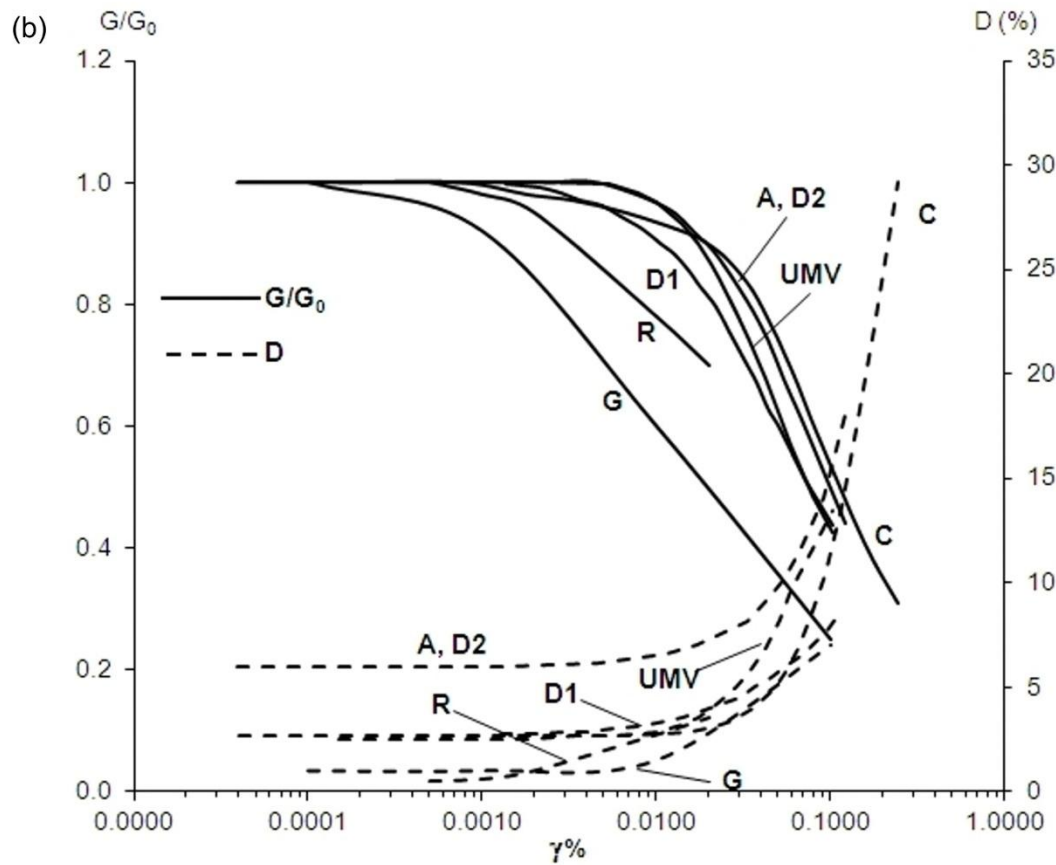
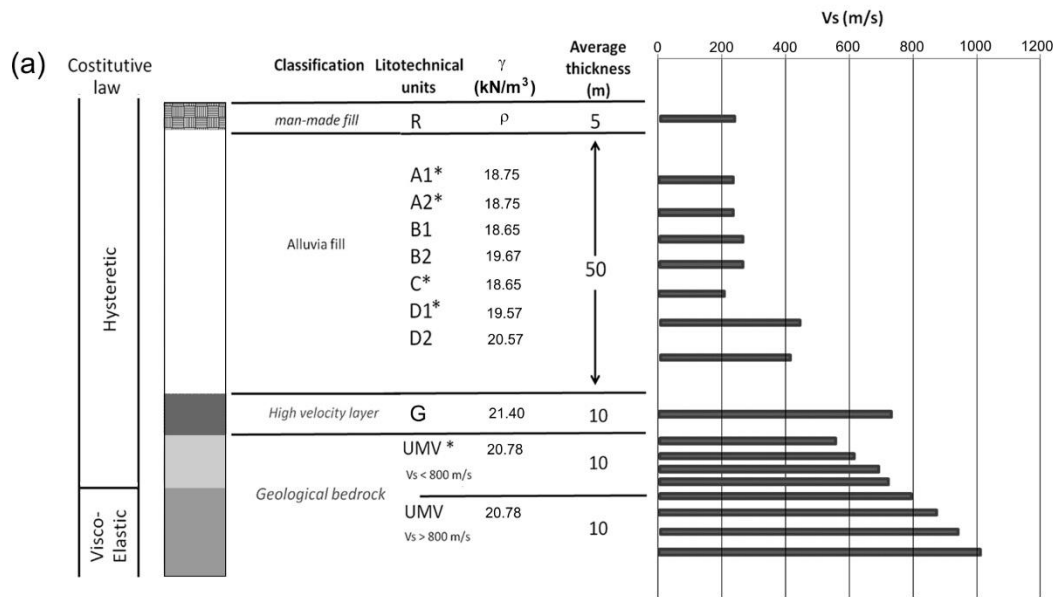




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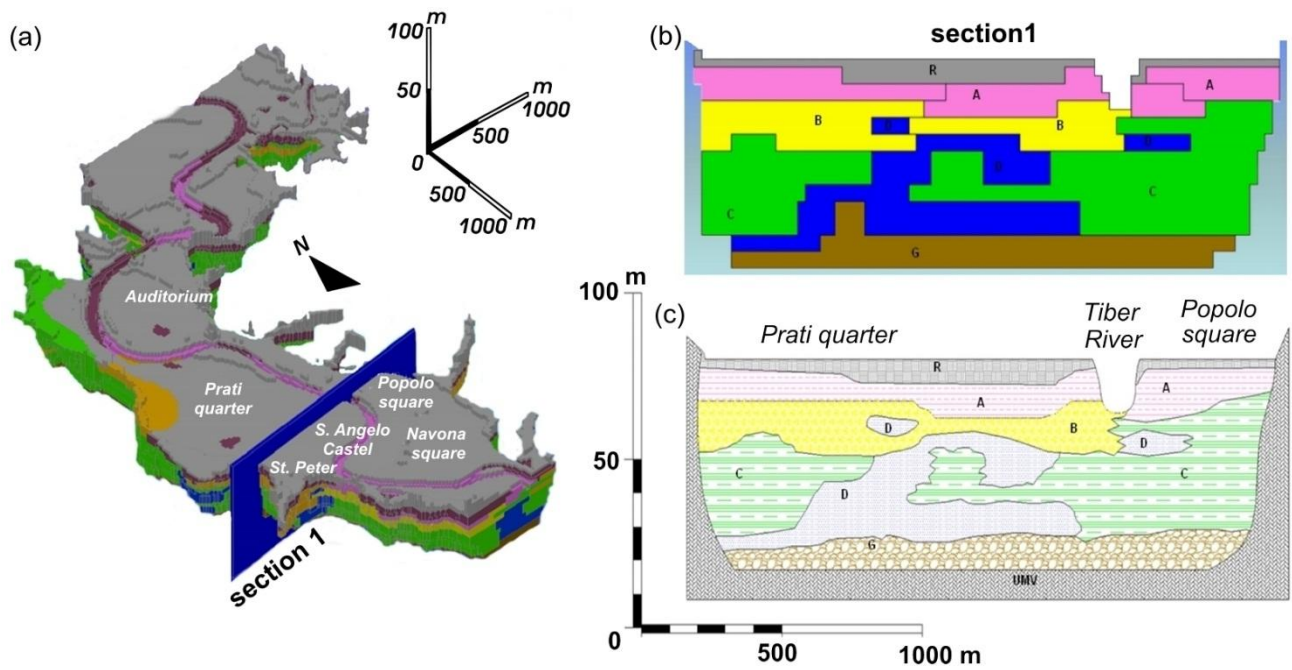
920 Fig.2 – Borehole stratigraphic log showing the main lithotechnical units that were distinguished in  
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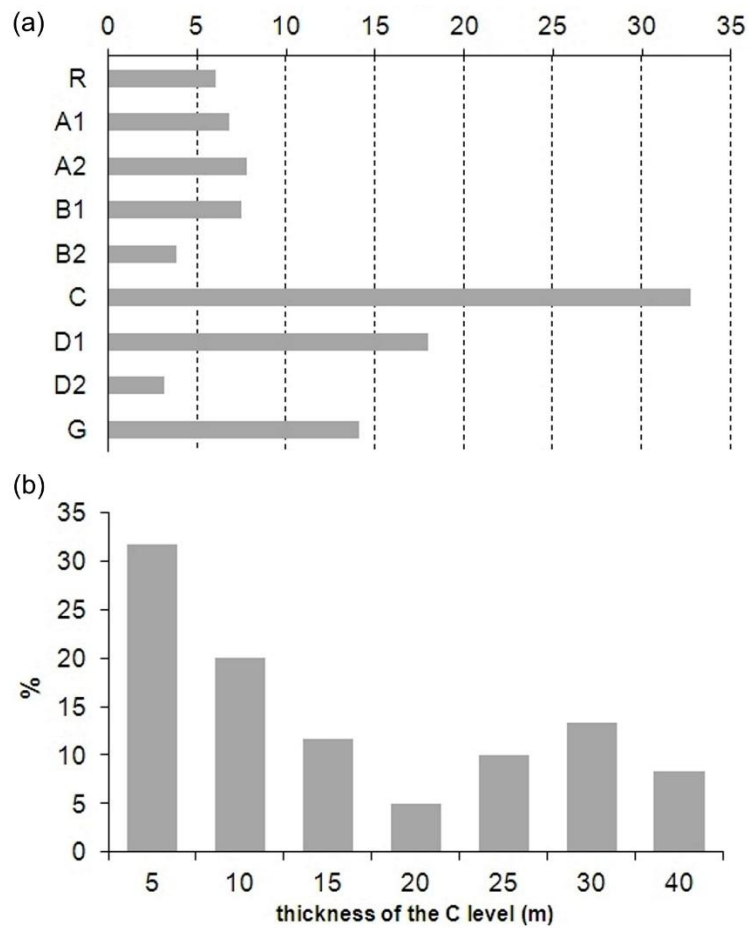
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923 Fig.3 – a) Rheological and velocity model assumed for the subsoil of the Rome historical center, (\*)  
 924 dynamic properties available so far from specific laboratory tests; b) normalized shear modulus  
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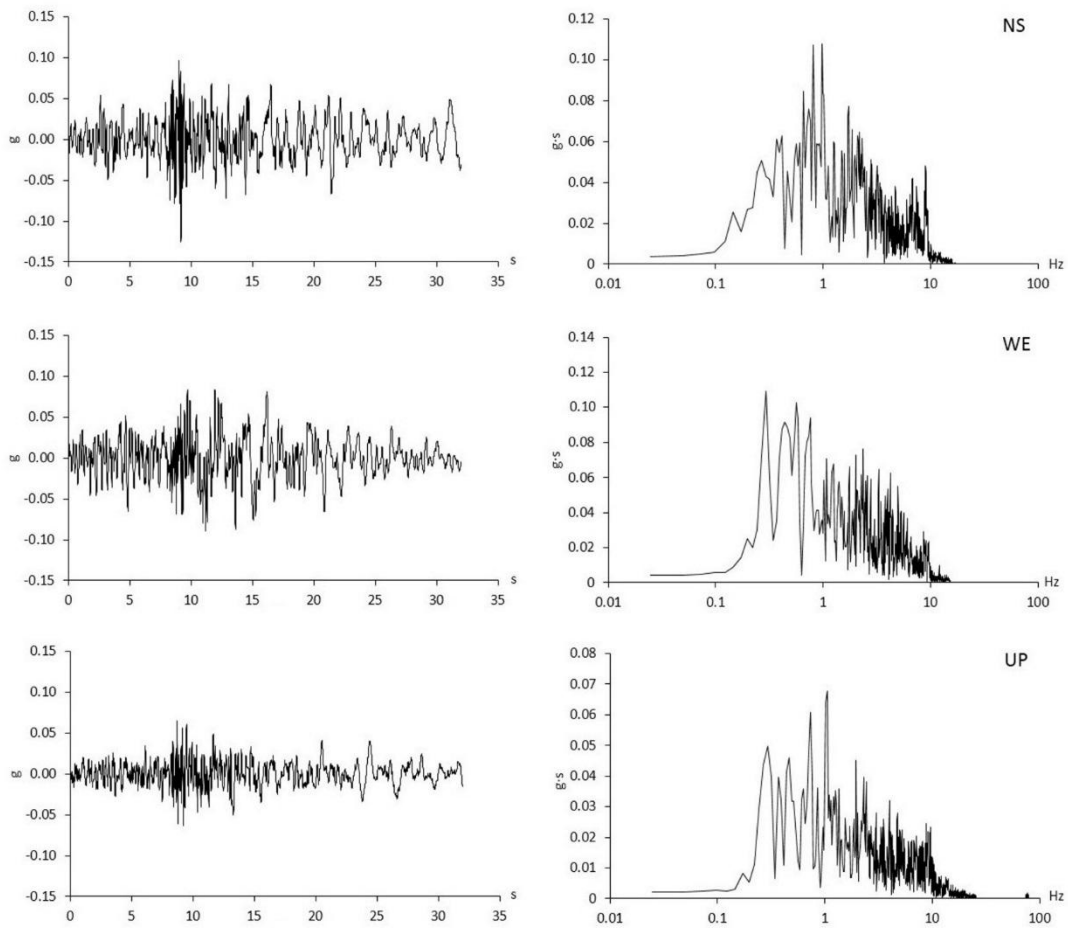
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928 Fig.4 – a) 3D engineering-geology model of the alluvial fill in the Rome historical center; b)  
 929 example of geological cross section extracted from the 3D model and; c) smoothing of the  
 930 geological cross-section for the numerical models.



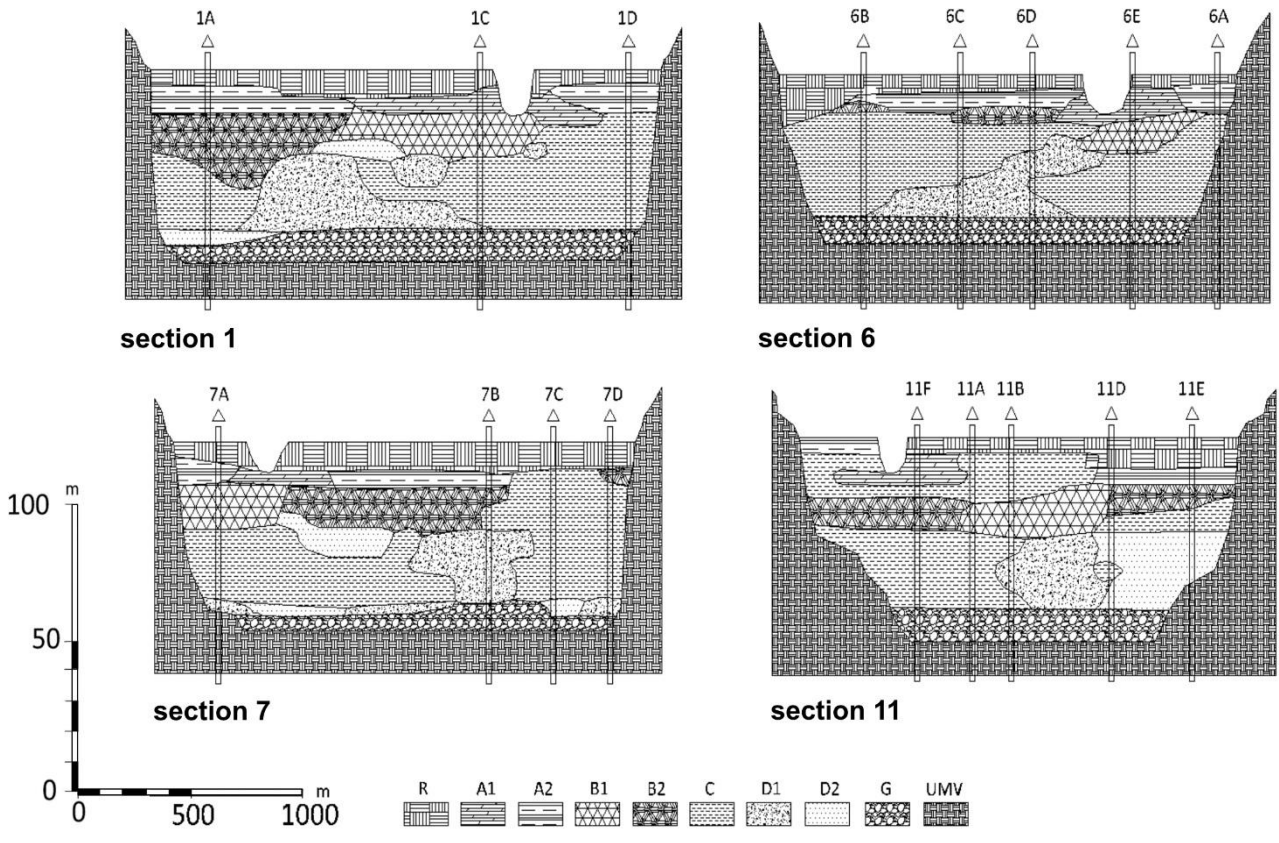
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932 Fig.5 – Percentage distribution of the soil layers (a) and percentage distribution of the clayey C  
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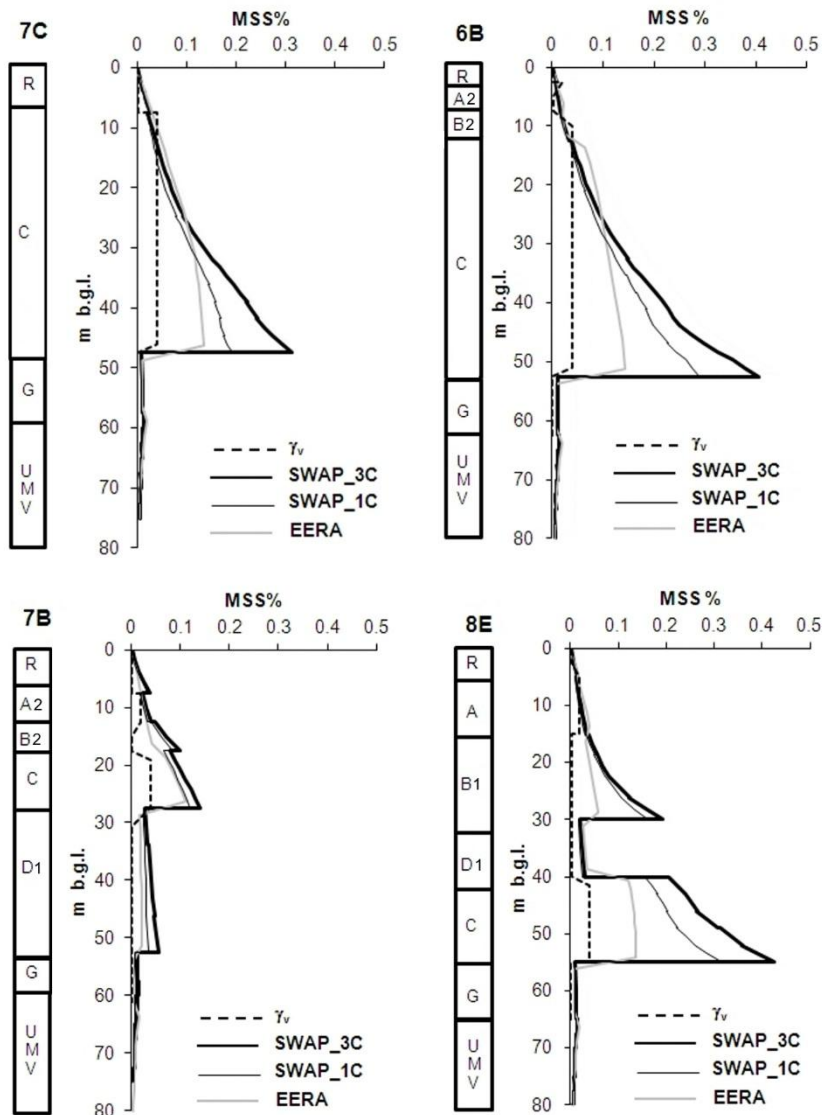
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936 Fig.6 – Reference 3-component input used for the numerical modeling (by Guido Martini, ENEA –  
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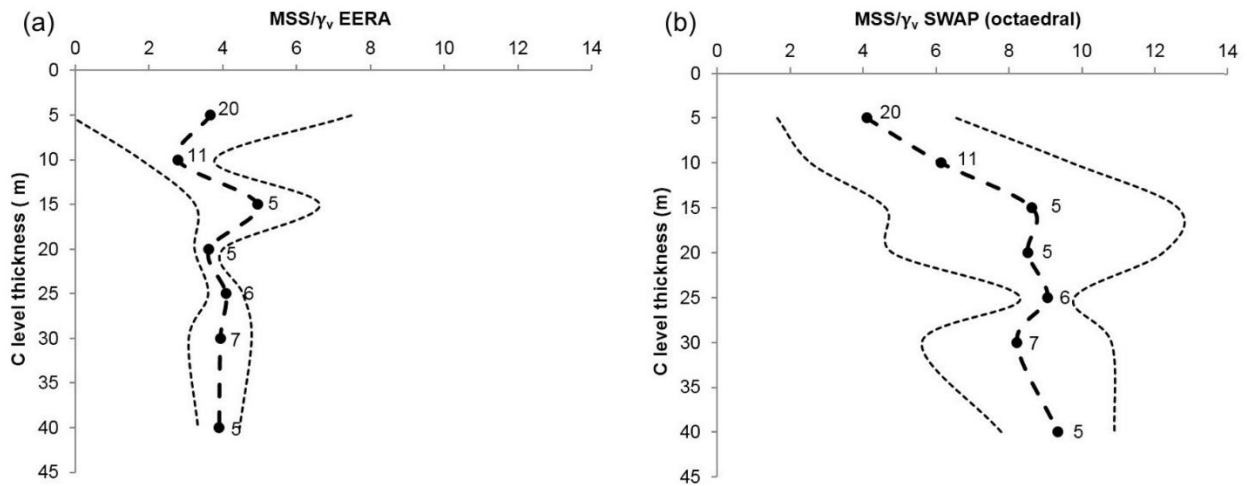
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940 Fig.7 – Engineering-geological cross sections along the traces 1, 6, 7 and 11 (see Fig.1 for location)  
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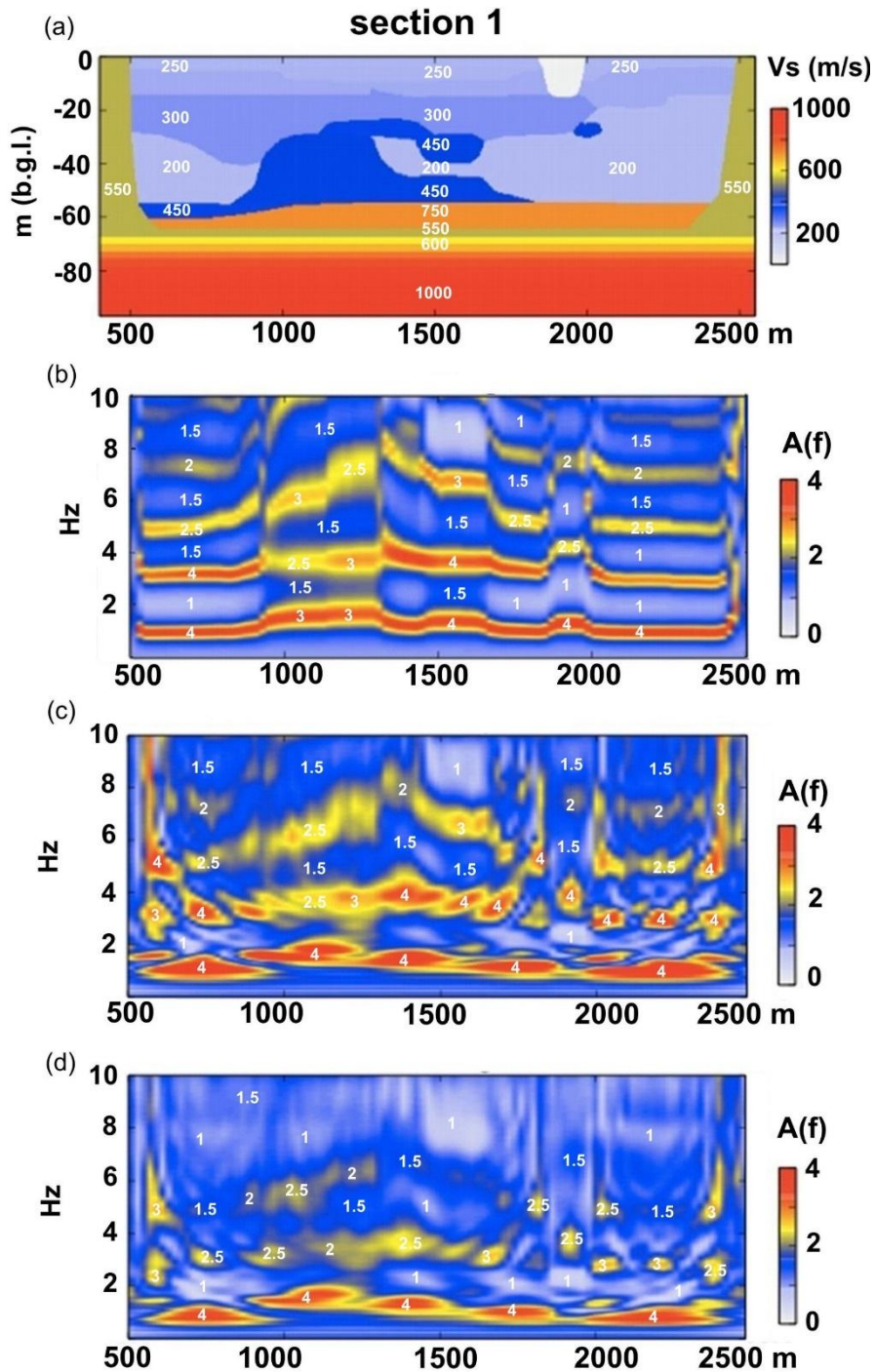
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944 Fig.8 – MSS distribution along some of the 48 modeled soil columns (see Fig.1 for location) by the  
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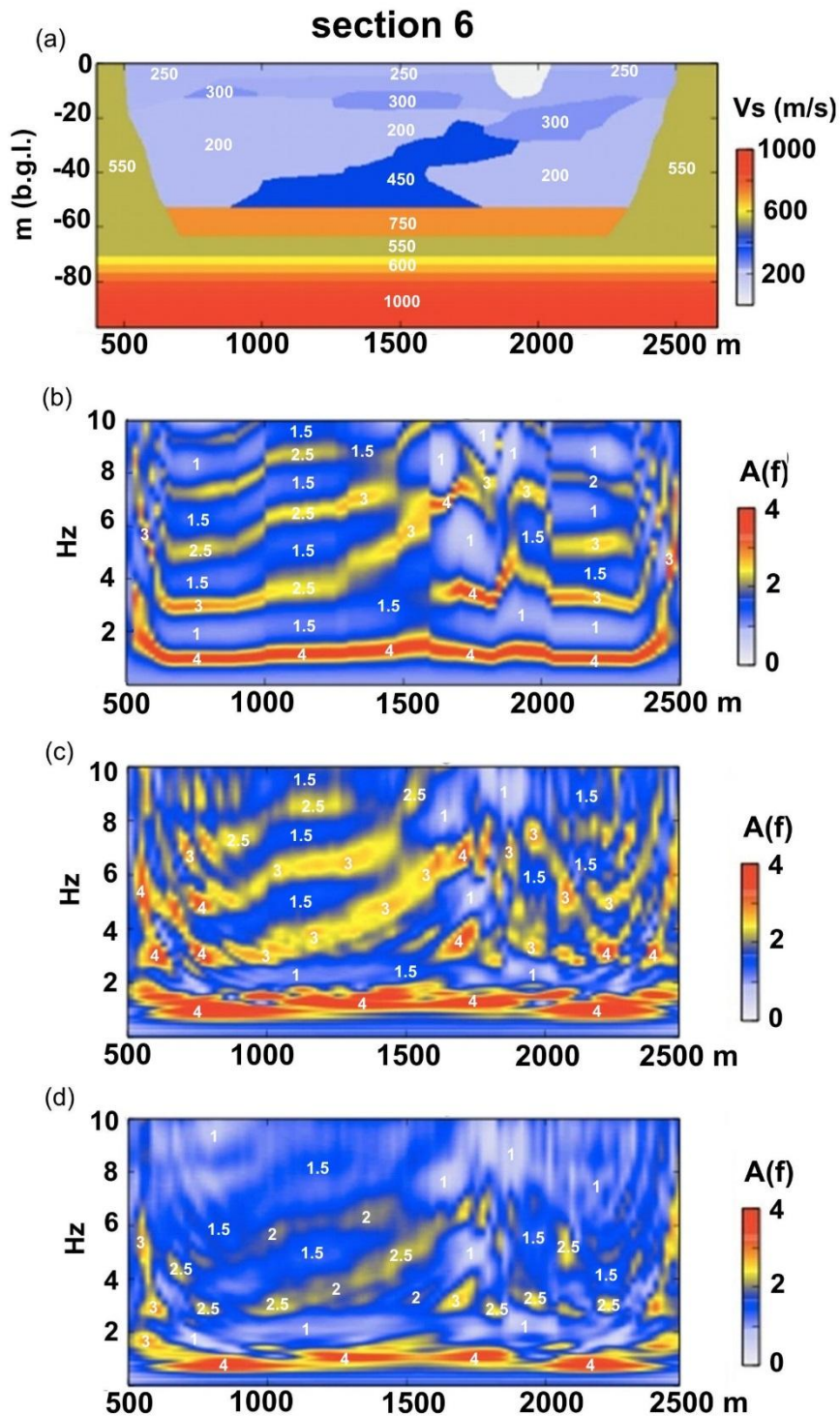
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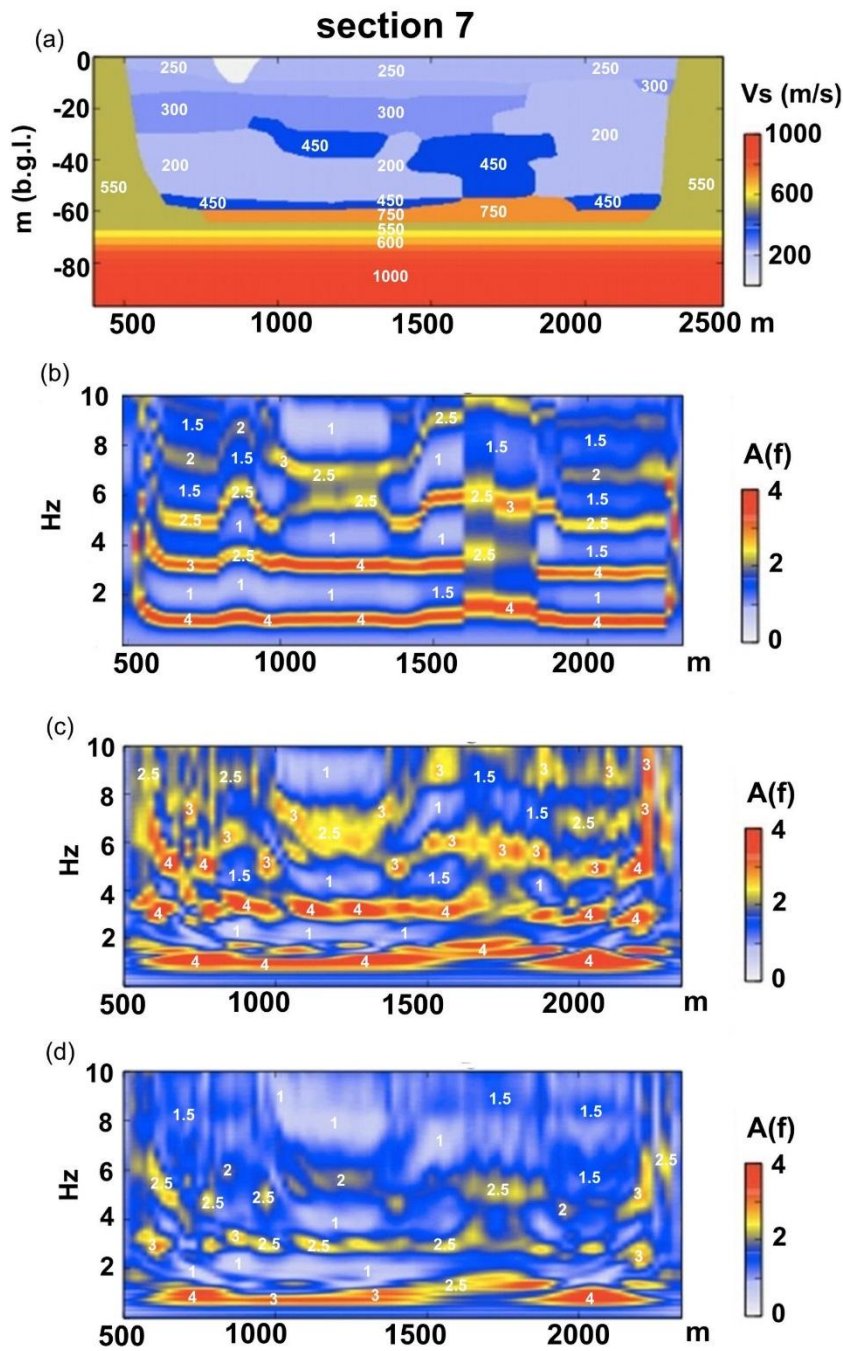
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 953 distribution in the numerical domain; b)  $A(f)$  function from the 1D viscoelastic solution; c)  $A(f)$   
 954 function from the 2D viscoelastic solution; d)  $A(f)$  function from the 2D viscoplastic solution. The  
 955  $A(f)$  functions are plotted for the basin width only.





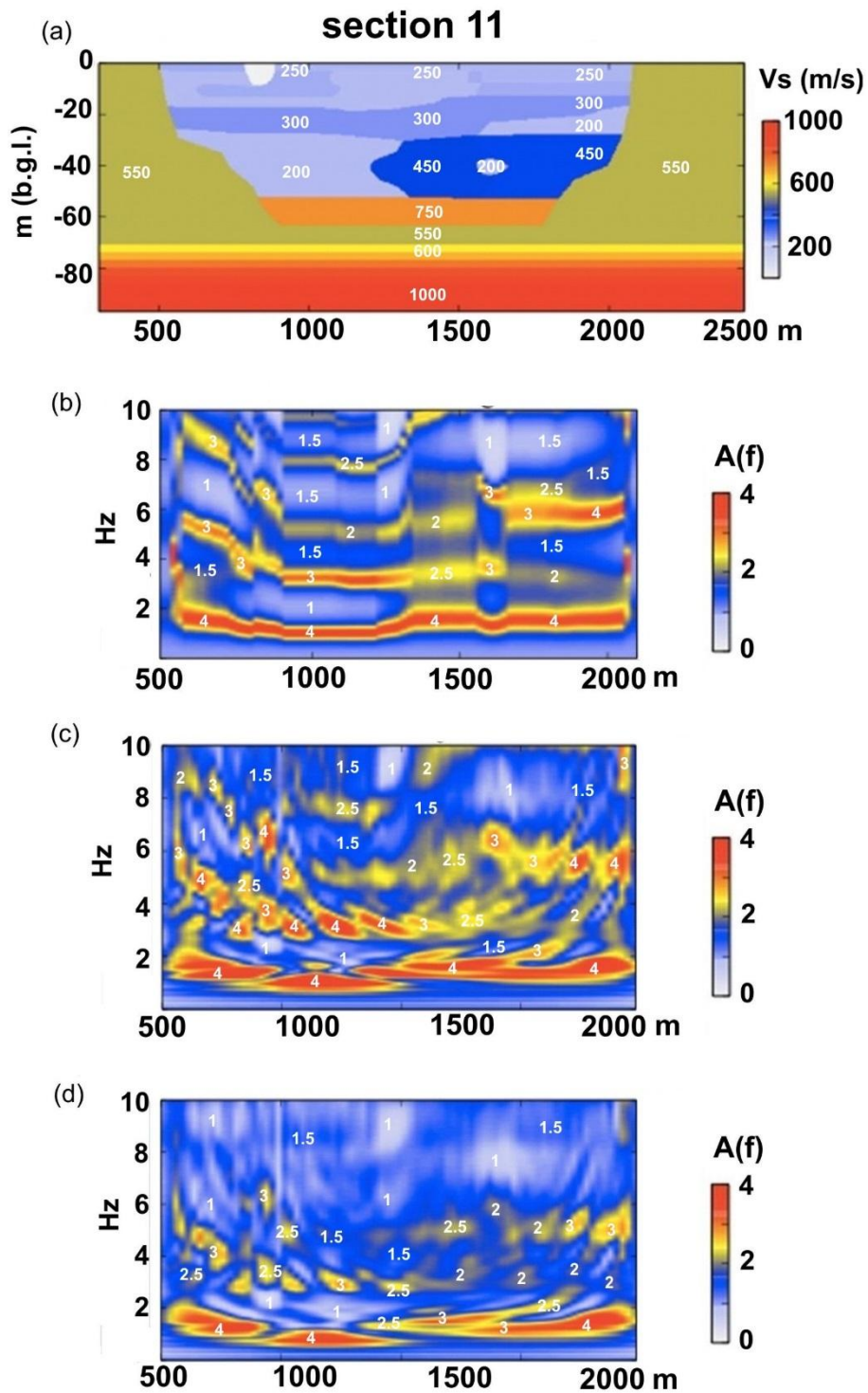
956

957 Fig.11 – Outputs of the 2D numerical model performed along section 6 of Fig.7: a)  $V_s$  value  
 958 distribution in the numerical domain; b)  $A(f)$  function from the 1D viscoelastic solution; c)  $A(f)$   
 959 function from the 2D viscoelastic solution; d)  $A(f)$  function from the 2D viscoplastic solution. The  
 960  $A(f)$  functions are plotted for the basin width only.



961

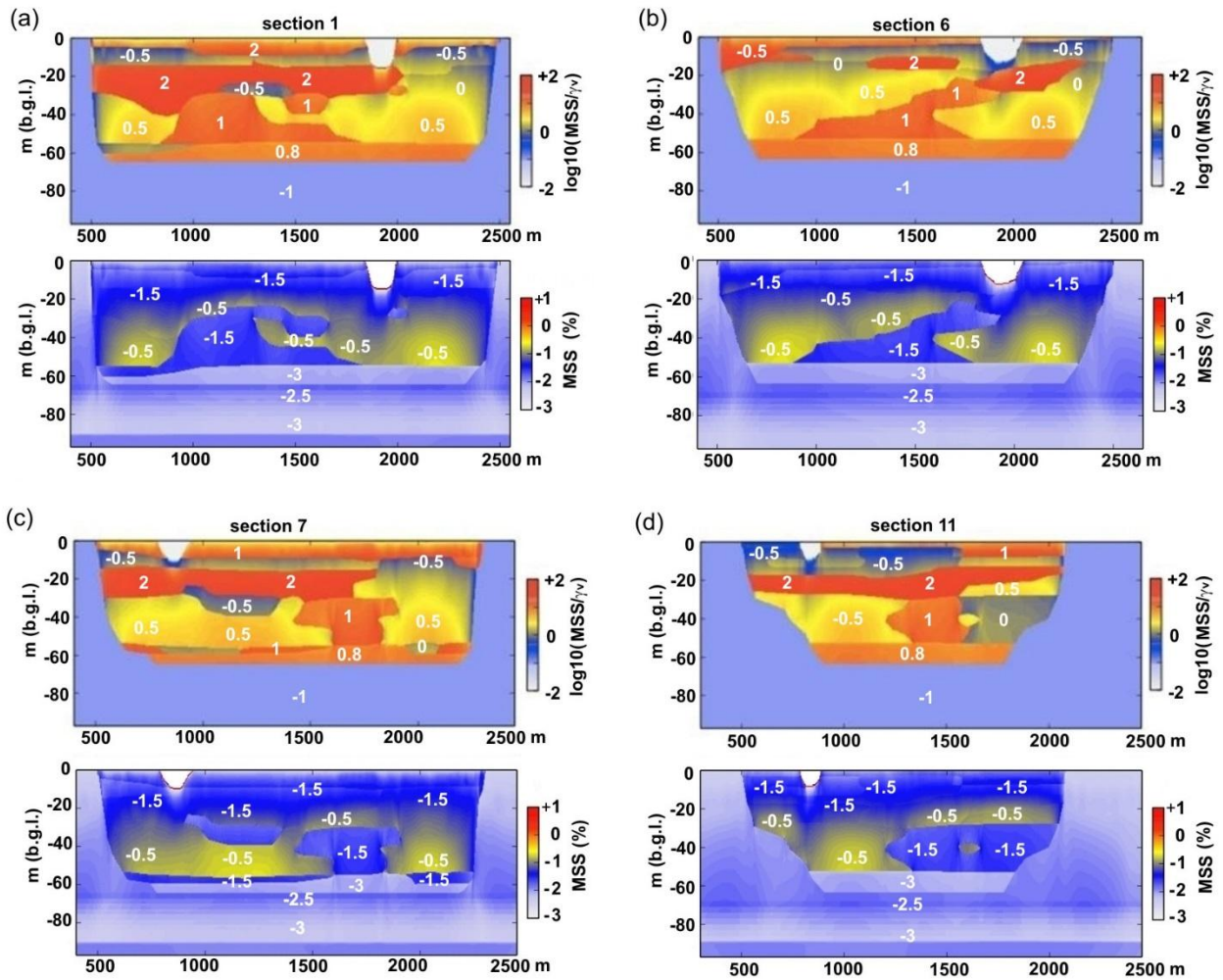
962 Fig.12 – Outputs of the 2D numerical model performed along section 7 of Fig.7: a)  $V_s$  value  
 963 distribution in the numerical domain; b)  $A(f)$  function from the 1D viscoelastic solution; c)  $A(f)$   
 964 function from the 2D viscoelastic solution; d)  $A(f)$  function from the 2D viscoplastic solution. The  
 965  $A(f)$  functions are plotted for the basin width only.



966

967 Fig.13 – Outputs of the 2D numerical model performed along section 11 of Fig.7: a) Vs value  
 968 distribution in the numerical domain; b) A(f) function from the 1D viscoelastic solution; c) A(f)  
 969 function from the 2D viscoelastic solution; d) A(f) function from the 2D viscoplastic solution. The  
 970 A(f) functions are plotted for the basin width only.

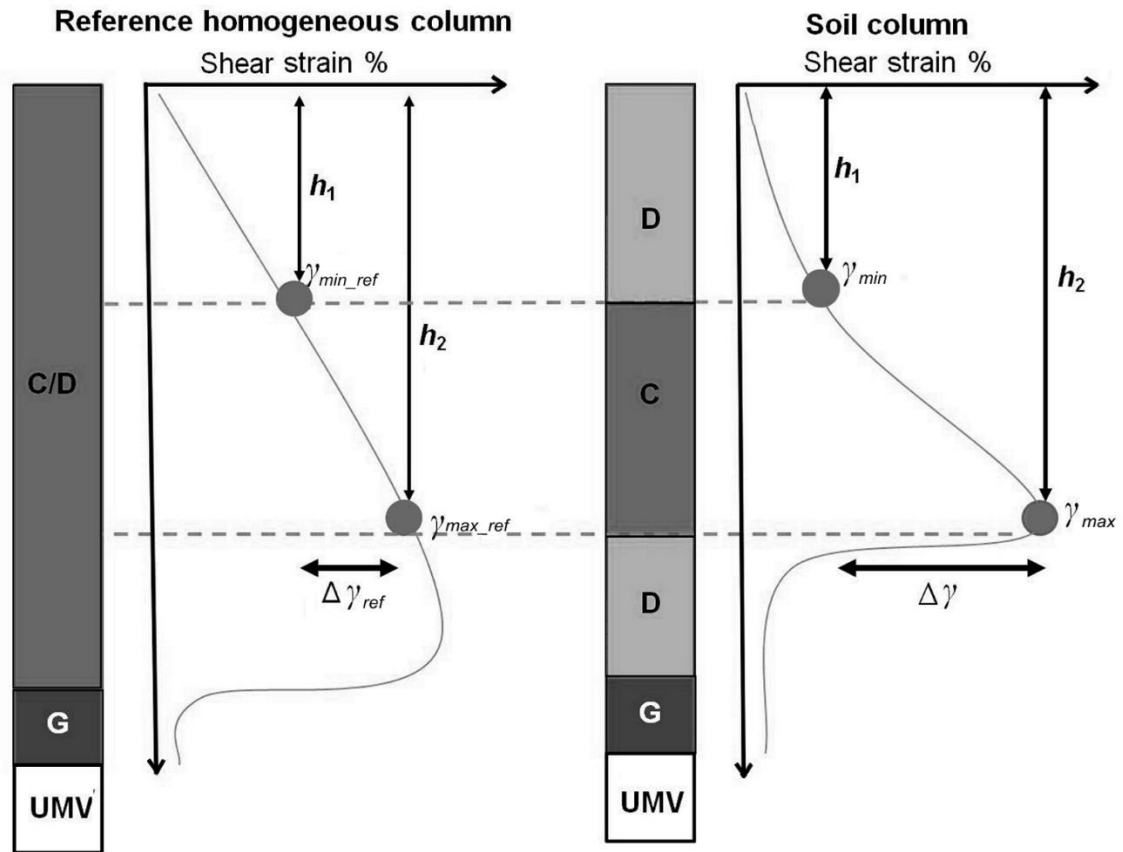




971

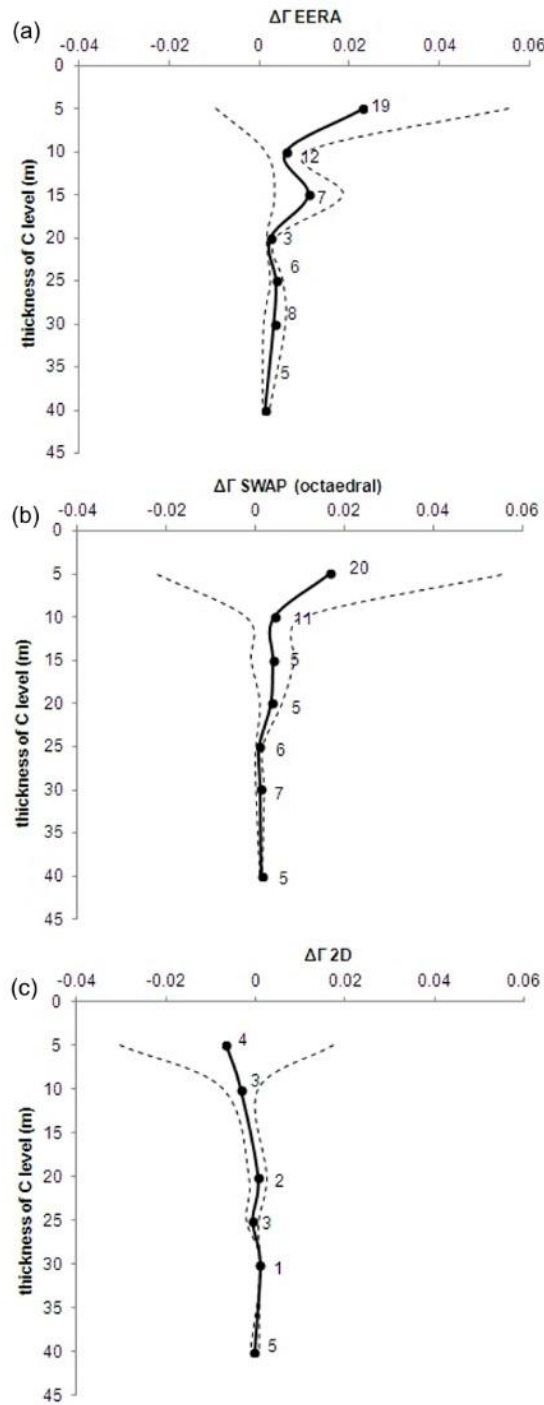
972 Fig.14 – MSS/γ<sub>v</sub> ratio distributions resulting by the 2D numerical models for section 1 (a), 6 (b), 7

973 (c) and 11 (d); the MSS distributions within the models are also reported.



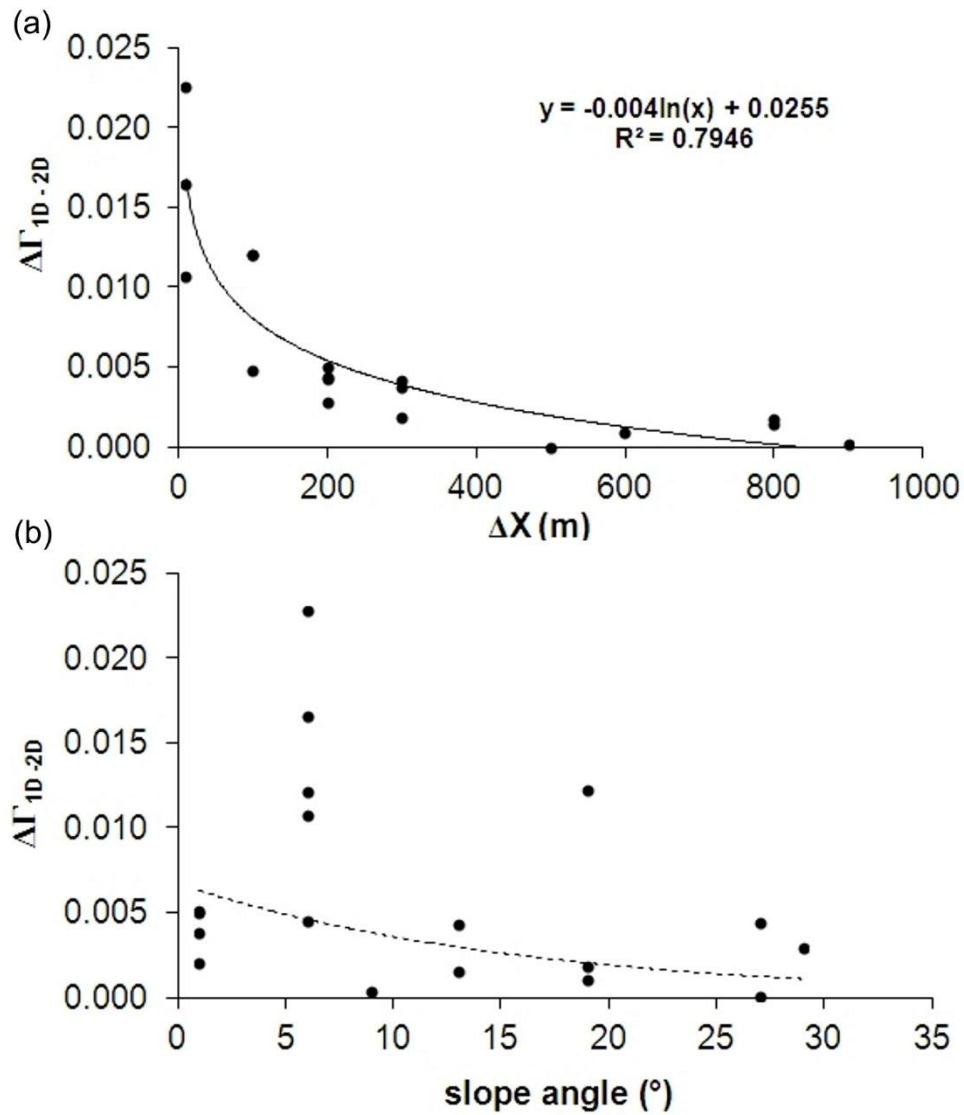
974

975 Fig.15 – Sketch that illustrates the  $\Delta\Gamma$  index obtained by subtracting the SSCI index computed for  
 976 the C layer in a general column to the same index computed for the corresponding reference  
 977 column.



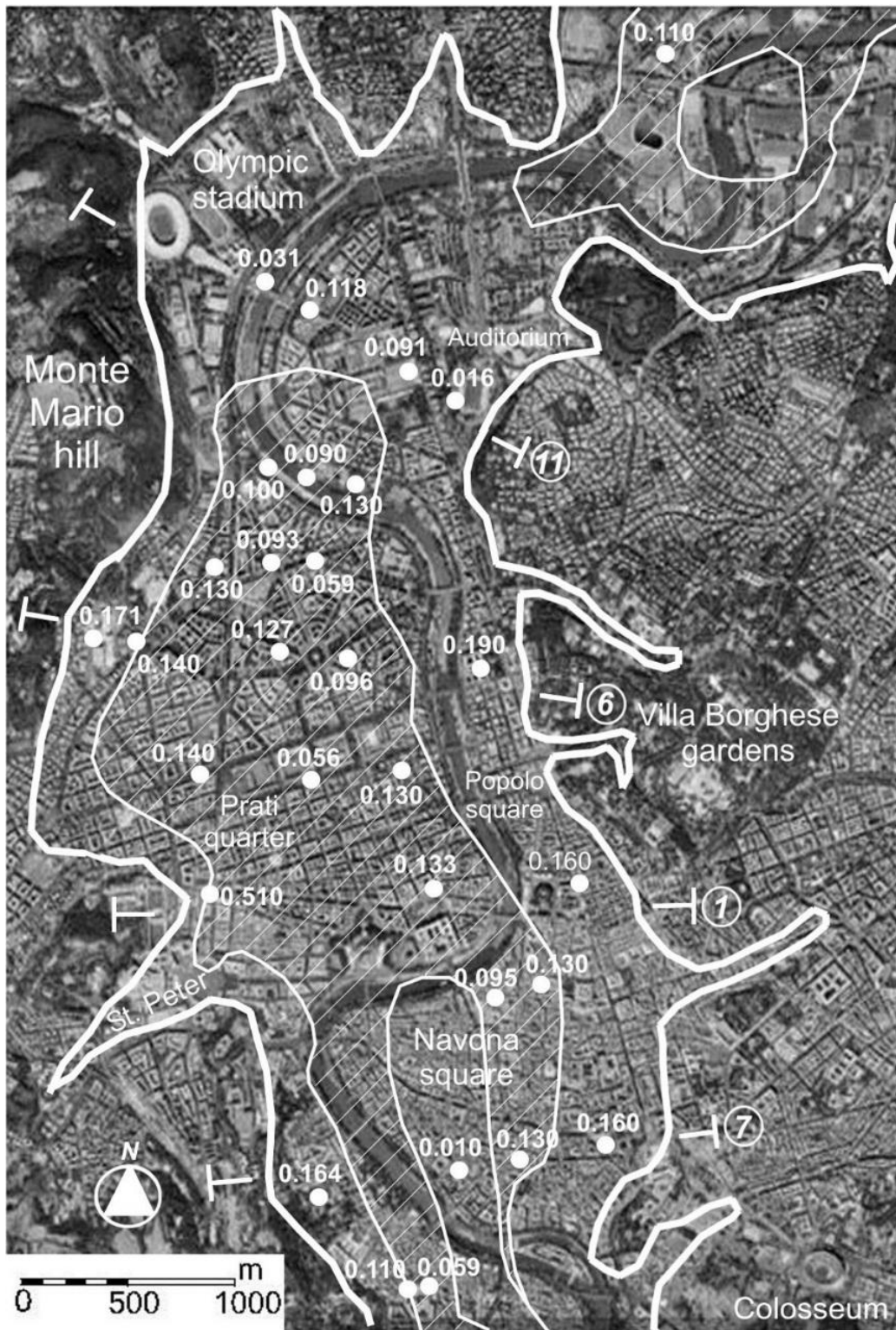
978

979 Fig.16 -  $\Delta\Gamma$  index distributions vs. C layer thickness as they result from the EERA, SWAP\_3C (for  
 980 a 3-component input) and 2D numerical models. The outputs are referred to the 48 soil columns of  
 981 Fig.1 for the 1D models and to the 17 soil columns of Fig.7 for the 2D models. The labels close to  
 982 the black circles indicate the number of cases considered for the mean.



983

984 Fig,17 – a)  $\Delta\Gamma_{1D-2D}$  index distributions vs. the maximum distance of the C layer from the closest  
 985 high-impedance ( $\Delta V_s > 200$  m/s) lateral contact ( $\Delta X$ ) and b)  $\Delta\Gamma_{1D-2D}$  index distributions vs. the  
 986 inclination angles of the slope buried below the alluvial deposits in the Tiber River valley at Rome  
 987 historical center.



988

989 Fig.18 – GoogleEarth satellite view of the Rome historical center in which the Tiber River alluvial  
 990 deposits are bounded by a bold white lines and the zones suitable for 1D (areas with the white lines)  
 991 and 2D (areas without lines) shear strain effects are mapped; MSS values expected in the C layer  
 992 for the 475-years earthquake scenario are also reported.



n°	ID	number of layers	total thickness (m)	soil column stratigraphy (lithotechnical level, thickness (m))									% clay	% sand	soil composition of the reference
1	2B	8	70.0	R, 10	A2, 5	A1, 5	B1, 15	D1, 10	C, 5	D1, 10	G, 10	21	79	D	
2	5B	8	65.0	R, 5	A2, 5	A1, 10	B1, 5	D1, 5	C, 10	D1, 15	G, 10	38	62	D	
3	9A	8	65.0	R, 10	A1, 5	A2, 5	B1, 5	C, 5	D1, 10	C, 15	G, 10	46	54	D	
4	12E	8	62.5	R, 2.5	A1, 10	A2, 5	C, 10	D1, 10	C, 5	D1, 10	G, 10	48	52	D	
5	3C	8	62.5	R, 2.5	A2, 5	A1, C,	B1, 5	C, 5	D1, 20	C, 10	G, 10	40	60	D	
6	5C	8	62.5	R, 2.5	A1, 5	A2, 5	B1, 10	C, 5	D1, 10	C, 15	G, 10	48	52	D	
7	6D	8	62.5	R, 2.5	A2, 10	B2, 5	C, 5	D1, 15	C, 5	D1, 10	G, 10	32	68	D	
8	10A	8	60.0	R, 5	A1, 5	C, 5	B2, 5	D1, 5	D2, 15	C, 10	G, 10	58	42	C	
9	9D	8	60.0	R, 5	A1, 5	A2, 5	C, 5	D1, 5	C, 15	D1, 10	G, 10	50	50	C	
10	11D	8	57.5	R, 2.5	A2, 5	B1, 10	C, 5	D2, 10	C, 5	D1, 10	G, 10	43	57	D	
11	2D	7	67.5	R, 7.5	A2, 10	C, 5	B1, 5	C, 5	D1, 25	G, 10		30	70	D	
12	9C	7	67.5	R, 7.5	A1, 10	B1, 15	D1, 10	C, 5	D1, 10	G, 10		33	67	D	
13	10D	7	62.5	R, 2.5	A2, 5	A1, 10	B1, 10	D1, 10	C, 15	G, 10		48	52	D	
14	10B	7	62.5	R, 2.5	A2, 5	A1, 5	C, 10	D2, 20	C, 10	G, 10		64	36	C	
15	10C	7	62.5	R, 2.5	A2, 10	B2, 5	C, 5	D1, 30	D2, 5	G, 5		32	68	D	
16	12C	7	62.5	R, 2.5	A2, 5	B2, 5	A2, 5	C, 20	D1, 15	G, 10		48	52	D	
17	12D	7	62.5	R, 2.5	A2, 5	B2, 5	A2, 5	C, 10	D1, 25	G, 10		32	68	D	
18	5A	7	62.5	R, 2.5	A2, 5	A1, 5	C, 10	B1, 5	C, 25	G, 10		88	12	C	
19	9E	7	62.5	R, 7.5	A1, 5	C, 5	D1, 5	C, 20	D1, 10	G, 10		64	36	C	
20	8D	6	65.0	R, 5	A1, 5	B2, 10	C, 10	D1, 25	G, 10			23	77	D	
21	8E	6	65.0	R, 5	A1, 10	B1, 15	D1, 10	C, 15	G, 10			38	62	D	
22	11B	6	62.5	R, 2.5	A1, 15	B1, 10	D1, 15	C, 10	G, 10			40	60	D	
23	12B	6	62.5	R, 2.5	A2, 5	B2, 5	C, 30	D1, 10	G, 10			56	44	C	
24	1A	6	62.5	R, 2.5	A2, 10	B2, 25	C, 15	D2, 5	G, 5			48	52	D	
25	2C	6	62.5	R, 2.5	A1, 10	A2, 5	C, 5	D1, 30	G, 10			32	68	D	
26	3A	6	62.5	R, 2.5	A1, 10	A2, 5	B1, 10	C, 30	G, 5			72	28	C	
27	3B	6	62.5	R, 2.5	A2, 5	A1, 5	B1, 15	C, 30	G, 5			64	36	C	
28	6C	6	62.5	R, 2.5	A2, 10	B2, 5	C, 20	D1, 15	G, 10			48	52	D	
29	7A	6	62.5	R, 2.5	A1, 10	B1, 15	C, 25	D2, 5	G, 5			64	36	C	
30	8A	6	62.5	R, 2.5	A2, 5	B2, 5	C, 30	D1, 10	G, 10			56	44	C	
31	7B	6	60.0	R, 10	A2, 5	B2, 5	C, 10	D1, 25	G, 5			25	75	D	
32	8C	6	60.0	A2, 5	A1, 5	B2, 5	C, 25	D1, 10	G, 10			58	42	C	
33	10E	6	57.5	R, 2.5	A2, 5	A1, 5	B1, 15	C, 20	G, 10			52	48	C	
34	11E	6	57.5	R, 2.5	A2, 5	B2, 10	C, 5	D2, 25	G, 10			60	40	C	
35	2A	6	55.0	A2, 10	A1, 5	C, 5	D2, 5	C, 25	G, 5			90	10	C	
36	6E	5	70.0	R, 10	A2, 10	B1, 10	C, 30	G, 10				57	43	C	
37	3E	5	67.5	R, 2.5	A2, 10	C, 15	D1, 30	G, 10				37	63	D	
38	11A	5	62.5	R, 2.5	A1, 15	B1, 10	C, 25	G, 10				64	36	C	
39	1C	5	62.5	R, 2.5	A1, 10	B1, 15	C, 25	G, 10				56	44	C	
40	6B	5	62.5	R, 2.5	A2, 5	B2, 5	C, 40	G, 10				72	28	C	
41	9F	5	62.5	R, 7.5	A2, 5	C, 30	D1, 10	G, 10				56	44	C	
42	8B	5	60.0	A1, 5	B2, 5	C, 30	D1, 10	G, 10				58	42	C	
43	6A	4	62.5	R, 2.5	A2, 15	C, 40	G, 10					88	12	C	
44	3D	4	60.0	A1, 15	C, 5	D1, 30	G, 10					33	67	D	
45	1D	4	57.5	R, 2.5	A2, 10	C, 40	G, 5					86	14	C	
46	7D	4	57.5	R, 7.5	C, 40	D1, 5	G, 5					69	31	C	
47	12A	3	65.0	B2, 15	C, 40	G, 10						61	39	C	
48	7C	3	60.0	R, 10	C, 40	G, 10						66	34	C	

993

994 Tab.1 – Log-stratigraphies of the 48 soil columns located in Fig.1 which were derived from the 3D  
995 engineering-geology model of the Tiber River alluvial deposits at Rome historical center and that  
996 were used for the here performed 1D numerical modeling. The ID of each column is referred to  
997 Fig.1b and the codes of the soil layers are referred to Fig.3. The corresponding reference columns  
998 for the  $\Delta\Gamma$  index computation are also indicated.