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Dominating factor of strain-induced crystallization in natural rubber

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The contribution of entropy change due to stretching of polymer chains in promoting crystal nucleation is theoretically derived for strain-induced crystallization of natural rubber. The results of theoretical calculation are compared with experimental results obtained by fast time-resolved wide-angle X-ray diffraction. Usual values of surface free energies corresponding to chain-folded nuclei lead to theoretical results far from experimental measurements. Because the discrepancy comes from the large activation energy of nucleation even after the stretching of polymer chains, additional contribution of reduced surface free energies due to the formation of bundle-like nuclei was taken into account. This treatment allows to faithfully reproduce experimental results and then to conclude that nuclei formed in natural rubber during stretching are of bundle-like type. Moreover, it reveals that surface energies have a greater effect on the decrease of critical free energy than the change in entropy due to deformation.

Keywords:

Nucleation

Crystallization rate

Shish-kebab structure

1. Introduction

Crystallization of oriented polymer chains induced by flow or stretching is an important issue in engineering because resulting changes in morphology strongly affect the properties (mechanical ones for example) of polymer materials. However, the theoretical treatment of crystallization kinetics under molecular orientation is still not successful.

A seminal theory describing the effect of chain stretching was first derived by Flory [1] considering strain-induced crystallization in rubber networks. Since then, other approaches for strain-induced crystallization of rubber have been investigated [2–5]. These theories focus on systems at equilibrium, but they hardly deal with crystallization kinetics. The first work devoted to the kinetics of crystallization of oriented polymer melt was proposed by Kobayashi and Nagasawa [6]; it incorporates the rubber elasticity into the nucleation theory developed by Hoffman and co-workers [7,8]. Later, Bushman and McHugh [9] derived a more advanced model considering the formalism of irreversible thermodynamics. In all these works, the emphasis is laid on the

decrease in entropy of stretched amorphous chains and on the resulting increase in melting temperature causing the acceleration of crystallization. As a different approach, some other researchers proposed that the change in orientation, rather than the stretch of polymer chains, is the main factor for the acceleration of crystallization [10,11]. To the authors' knowledge, these two points of view have not been considered simultaneously to explain experimental results. Furthermore, formation of characteristic morphologies such as shish-kebab structure [12,13] has not been related to these theories.

In the present paper, we evaluate the contribution of entropy change due to stretching of polymer chains in promoting crystal nucleation in cross-linked natural rubber (NR) and demonstrate that usual thermodynamic parameters cannot explain the experimentally observed dependence of crystallization rate on stretch ratio. Then we introduce additional contribution of reduced surface free energies due to the formation of bundle-like nuclei to explain the observed tendencies. The implication of smaller surface free energies for this type of nuclei rather than for chain-folded nuclei is finally discussed.

2. Experimental

Recently, studies on kinetics of strain-induced crystallization of

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cross-linked NR by wide-angle X-ray diffraction (WAXD) have been reported [14–17]. The details of the experiments, similar to those of ref. [14], are given below.

2.1. Materials

Sheets (1 mm or 2 mm thick) of vulcanized NR were prepared. The recipes for the preparation of the samples and the cure conditions are listed in Table 1. Ring-shaped specimens were die-cut from the sample sheets. The width and circumference of the specimens were ca. 1 mm and 50 mm, respectively. The initial length corresponds to the half of the circumference (i.e. 25 mm).

2.2. WAXD experiments

The WAXD experiments were performed at BL-40XU beam line in SPring-8, Japan. The wave length was 0.0832 nm (15 keV) and the camera length was ca. 125–140 mm. The specimen temperature was 302 K. The drawing axis of the specimen was tilted to adjust the 002 reflection to satisfy the Bragg reflection condition. The two-dimensional WAXD patterns were recorded every 36 ms using a Hamamatsu C4880-80 CCD camera. A custom-made tensile tester [14], which enabled WAXD analysis of a fixed part of the specimen was placed on the beam line. The specimen was deformed to the prefixed stretch ratio, α_s , at 1000 mm/s (40 s^{-1}) and allowed to relax for 14 s. Two-dimensional (2D) WAXD patterns were recorded during and after deformation. The origin (0 s) of the elapsed time t is defined at the cessation of the deformation.

2.3. Processing of the WAXD data

Equatorial intensity distribution was obtained from the 2D WAXD data using Fit2D software (European Synchrotron Radiation Facility). Then the equatorial intensity distribution was decomposed into linear background, 200 and 120 crystalline reflections and amorphous halo by fitting with Voigt functions using Fityk [19] (peak fitting software) in combination with home-made software to generate automatic execution script. Fig. 1 shows an example of decomposition of the intensity distribution. Relative intensity of the 200 reflection, $I(t)$, as a function of elapsed time, t , was calculated from the results of fitting. That is to say,

$$I(t) = \frac{I_{200}(t)}{I_{200}(t) + I_{120}(t) + I_{amorphous}(t)} \quad (1)$$

where $I_x(t)$ indicates the integrated intensity of the reflection or halo designated by the subscript x .

Then $I(t)$ was fitted using the formula [14];

$$I(t) = I_0 + I_f \left[1 - \exp\left(-\frac{t}{\tau_f}\right) \right] + I_s \left[1 - \exp\left(-\frac{t}{\tau_s}\right) \right] \quad (2)$$

where τ_f and τ_s are the time constants of the crystallization processes ($\tau_f < \tau_s$); I_f and I_s are, respectively, the amplitude of these

processes and I_0 is a constant related to the initial value. An example of time-dependent change of $I(t)$ and its regression curve are shown in Fig. 2. As has been described in Ref. [14], time constants do not show definite dependence on the stretch ratio α_s . Considering the experimental error, time constants were regarded as unchanged values, independent of α_s . In this case, each of I_0 , I_f and I_s is thought to be proportional to crystallization rate. In a previous study, Tosaka *et al.* obtained linear dependence of I_0 , I_f and I_s on α_s [14]. Therefore, their summation, I_{sum} , was evaluated as a measure of crystallization rate.

In the equatorial intensity distribution, 200 reflection was fitted again with Gaussian function along with linear background. Then crystallite size was estimated by using the Scherrer equation:

$$L_{hkl} = K \cdot \lambda / (\beta \cdot \cos\theta) \quad (3)$$

where L_{hkl} is the crystallite size in the direction perpendicular to the (hkl) plane, λ is the wavelength, β the half width estimated by the Gaussian fitting and θ is the Bragg angle (half of the scattering angle). The value 0.89 was used for K [20].

2.4. Tensile measurement

A conventional tensile tester (Shimadzu Autograph AGS-1kNG) was used for the uniaxial tensile measurements. The specimens were stretched at 25 mm/min at 302 K. The tensile force was recorded every 0.5 s.

2.5. Experimental results

Fig. 3 shows results of time-resolved WAXD analysis of strain-induced crystallization. We consider here four main features related to the kinetics of strain-induced crystallization: first the linear relationship between crystallization rate and stretch ratio in the studied interval of stretch ratio (Fig. 3a), second the small dependence of the crystallization rate on network-chain density (that is to say, among the samples), third the small increase in crystallite size during its time evolution (Fig. 3b), and fourth the decrease in crystallite size with the increase in crosslinking density (Fig. 3c). Invoking the latter two features, it can be stated that once a crystal nucleus is formed, it grows quickly to its maximal size, which is restricted by available space between crosslinks. Therefore, the rate of strain-induced crystallization can be approximated to be proportional to the nucleation rate, and then invoking the first feature, the nucleation rate is linearly related to the stretch ratio for the studied stretch ratios. Considering the crystal morphology [21–23] of strain-induced crystals of NR, this approximation should not induce severe errors as large as several orders of magnitude.

3. Theoretical estimation

3.1. Critical Gibbs free energy of nucleation

For the cross-linked nature of rubber, we consider that the local

Table 1
Recipes and densities of cross-linked NR samples.

Sample code	NR ^a (part)	Stearic acid (part)	ZnO (part)	CBS ^b (part)	Sulfur (part)	Curing time (min)	Network-chain density ^c (m ⁻³)
NR-S1.125	100	2	1	0.75	1.125	35	5.03×10^{25}
NR-S2.25	100	2	1	1.5	2.25	25	8.41×10^{25}
NR-S4.5	100	2	1	3	4.5	20	12.5×10^{25}

^a RSS No.1.

^b *N*-cyclohexyl-2-benzothiazole sulfenamide, curing temperature with sulfur 140 °C.

^c Network-chain density estimated from the initial slope of the stress–strain curve on the basis of the rubber elasticity theory [18].

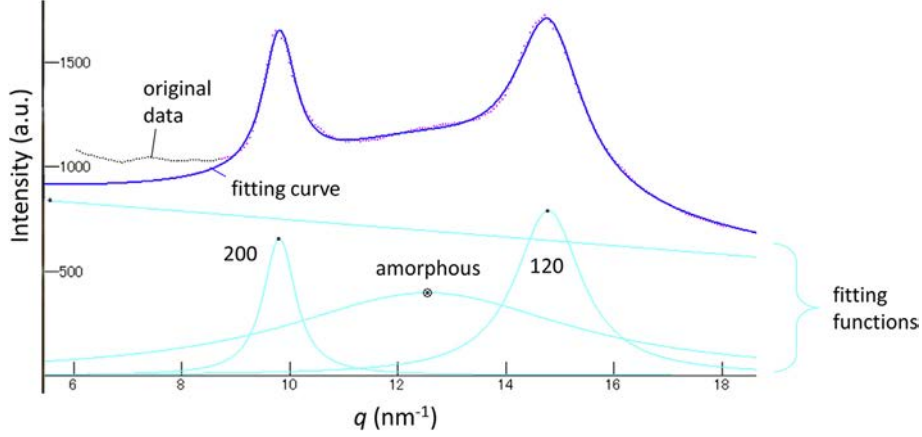


Fig. 1. An example of decomposition of WAXD intensity distribution. A part ($q < 9 \text{ nm}^{-1}$) of the original data (dotted line) was excluded from the fitting Sample: NR-S1.125, stretch ratio: 6, temperature: 29 °C, t :14 s.

stretch at chain scale is equal to the one applied to the macroscopic sample (affine assumption). Thus, nucleation rates issued from the rubber elasticity theory [18] can be compared with experimental (macroscopic) results. Our theoretical treatment for the evaluation of the effect of entropy change follows Flory's idea [1] and is similar to those of precedent studies [24–26]. Let us consider the change in Gibbs free energy ΔG due to the formation of a parallelepipedic crystal of dimensions L_1 (height in the c direction), L_2 and L_3 ($L_2 = L_3$) [27]:

$$\Delta G = 2L_2^2\sigma_e + 4L_1L_2\sigma + L_1L_2^2\Delta F \quad (4)$$

where σ_e is the end surface free energy (at the top and bottom surfaces of the crystallite) per unit area, σ is the side surface free energy and ΔF stands for the change in bulk free energy per unit volume assuming an infinitely large crystal. By solving the conditions for

$$\frac{d\Delta G}{dL_1} = 0, \quad \frac{d\Delta G}{dL_2} = 0, \quad (5)$$

which give the critical values for the nucleus to be able to grow, we obtain the critical sizes of primary nucleus:

$$L_1^* = -\frac{4\sigma_e}{\Delta F}, \quad L_2^* = -\frac{4\sigma}{\Delta F}, \quad (6)$$

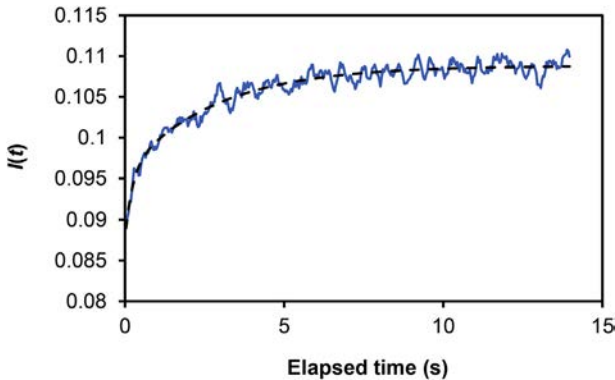


Fig. 2. Time-dependent change of experimental $I(t)$ (solid line) and its regression curve (broken line). Sample: NR-S1.125, stretch ratio: 6, temperature: 29 °C.

and the resulting Gibbs free energy for the formation of critical nucleus:

$$\Delta G^* = 2L_2^{*2}\sigma_e + 4L_1^*L_2^*\sigma + L_1^*L_2^{*2}\Delta F = 32\frac{\sigma_e^2}{\Delta F^2} \quad (7)$$

Following Flory's idea [1], ΔF is the key factor that is responsible for strain-induced crystallization. In the case of stretched amorphous material, ΔF is given by:

$$\Delta F = \Delta H - T\Delta S = \Delta H - T(\Delta S_0 - \Delta S_{def}) \quad (8)$$

with T the temperature, ΔS the total entropy change of crystallization, ΔS_0 the entropy change of crystallization of the unstretched amorphous material and ΔS_{def} the entropy change due to stretching of amorphous chains (equal to 0 when chains are unstretched). ΔH is the melting enthalpy, supposed to be independent of strain [5,24]. From the expression of equilibrium melting temperature of unstrained polymer T_m^0 , we get:

$$\Delta S_0 = \frac{\Delta H}{T_m^0} \quad (9)$$

therefore

$$\Delta F = \frac{T_m^0 - T}{T_m^0} \Delta H + T\Delta S_{def} \quad (10)$$

For readers' convenience, setting $\Delta F = 0$ in Eq. (8) and comparing with Eq. (9) leads to

$$T_{m,\alpha}^0 = \frac{\Delta H}{\Delta S_0 - \Delta S_{def}} > \frac{\Delta H}{\Delta S_0} = T_m^0 \quad (11)$$

which indicates the elevation in equilibrium melting temperature, $T_{m,\alpha}^0$, of stretched polymer.

ΔS_{def} is equal to the difference between the entropy of an unstrained material, $S(1)$, and the entropy at a given stretch ratio α , $S(\alpha)$. This entropy can be calculated as follows [18]. From the first law of thermodynamics, the change in internal energy dU in a reversible process is given as:

$$dU = dQ + dW = TdS + dW \quad (12)$$

where dQ and dW are respectively heat absorbed by the system and

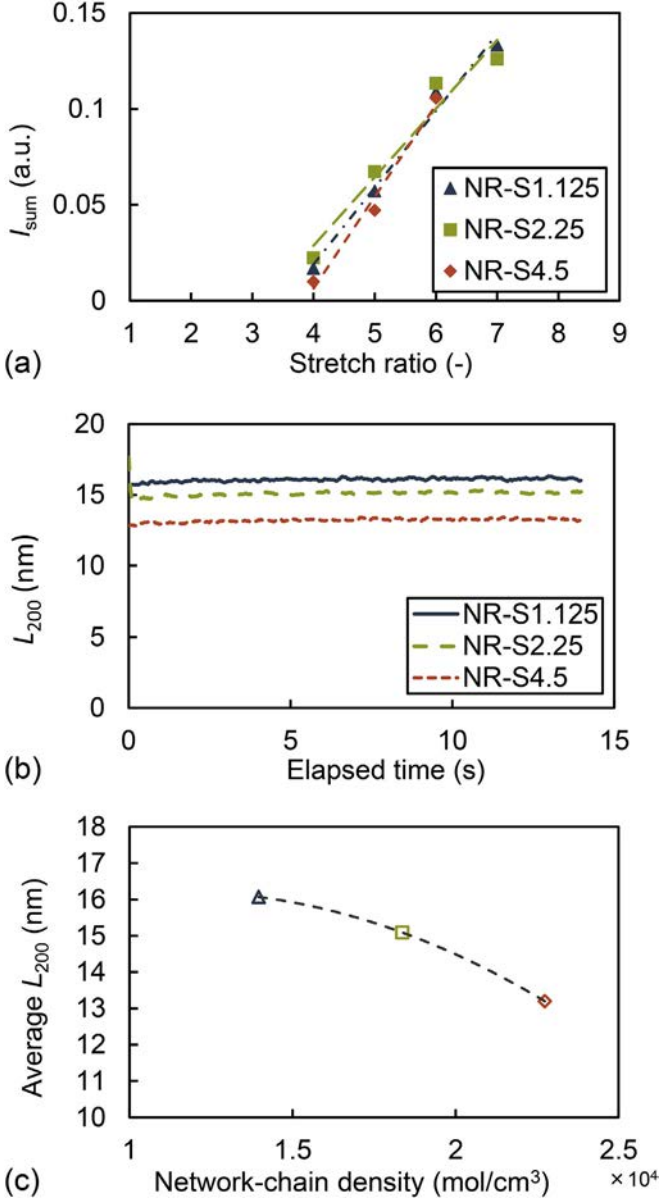


Fig. 3. Results of time-resolved WAXD measurements. (a) Dependence of the total increment of crystallinity index, I_{sum} , on stretch ratio. (b) Time evolution of crystallite size, L_{200} . (c) Dependence of crystallite size on network-chain density.

the work of external forces. The change in Helmholtz free energy dA for a system in equilibrium under elastic deformation is:

$$dA = dU - TdS \quad (13)$$

Combining Eqs. (12) and (13), we obtain for constant-volume condition:

$$dA = dW = fd\alpha \quad (14)$$

where f is tensile stress and α is the stretch ratio. From Eqs. (13) and (14), the tensile stress is expressed as follows:

$$f = \left(\frac{\partial W}{\partial \alpha} \right)_T = \left(\frac{\partial A}{\partial \alpha} \right)_T = \left(\frac{\partial U}{\partial \alpha} \right)_T - T \left(\frac{\partial S}{\partial \alpha} \right)_T \quad (15)$$

Indeed, we can reasonably assume that there is no volume change of the rubber sample upon stretching. Additionally, we can

assume that the deformation of rubber at constant temperature is associated with a reduction of entropy, with no change in internal energy. Under this assumption, the entropy can be calculated from the integration of the nominal stress f of network [18]:

$$S(\alpha) = -\frac{1}{T} \int f d\alpha \quad (16)$$

therefore

$$\Delta S_{def} = S(\alpha) - S(1) = -\frac{1}{T} \int_1^\alpha f(x) dx \quad (17)$$

By combining Eqs. (7), (8) and (17), the Gibbs free energy for the formation of a critical nucleus, ΔG^* , can be expressed as a function of stretch ratio α . For its numerical calculation, the integral included in Eq. (17) is evaluated by the integration of the experimental stress–strain curve of each sample (Fig. 4).

3.2. Rate of primary nucleation

Besides, the rate of primary nucleation, I , at constant temperature is written as [28]:

$$I_{sum} = I_0 \exp\left(-\frac{\Delta G^*}{kT}\right) \quad (18)$$

where I_0 is a constant. Eqs. (7) and (18) finally lead to

$$I_{sum} = I_0 \exp\left(-\frac{32\sigma^2\sigma_e}{kT\Delta F^2}\right) \quad (19)$$

The contribution of entropy change due to stretching of polymer chains can be directly derived in this way. The values of the necessary thermodynamic parameters are listed in Table 2.

4. Discussion

4.1. Comparison with experimental data

When usual values of σ and σ_e corresponding to chain-folded nuclei are considered (Table 2), the dependence of $I_{sum}/I_0 = \exp(-\Delta G^*/kT)$ on α shows a drastic increase of the nucleation rate, as shown in Fig. 5. The ordinate in linear scale (Fig. 5a) allows to distinguish the result for only one sample with a very steep slope around $\alpha = 8$ because the increase is of several orders of magnitude. The results for other samples lie near 0 on the graph. With a semilogarithmic scale representation (Fig. 5b),

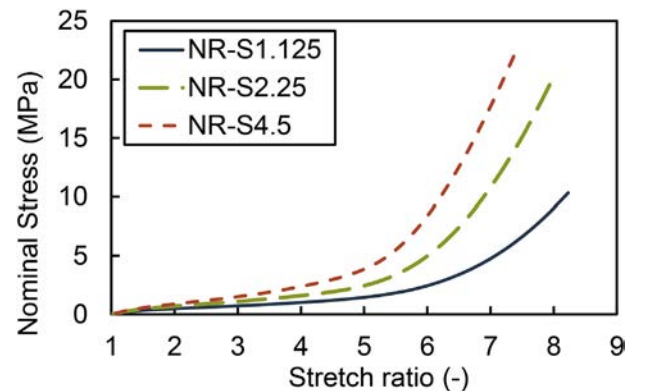


Fig. 4. Stress-strain curves of NR samples at 302 K.

Table 2
Values used for calculations and plots for chain-folded nucleus of NR.

	Symbol	Value	Ref.
Boltzmann constant	k	$1.38 \times 10^{-23} \text{ J K}^{-1}$	–
Temperature	T	302 K	–
Equilibrium melting temperature	T_m^0	309 K	[29]
Melting enthalpy	ΔH	$-5.99 \times 10^7 \text{ J m}^{-3}$	[30]
Side surface free energy	σ	0.013 J m^{-2}	[29]
End surface free energy	σ_e	0.024 J m^{-2}	[29]

we notice a strong dependence of nucleation rate on stretch ratio for all the samples, and at the same time, on network-chain density, which differs among the samples (see last row of Table 1). These features are considerably different from experimentally measured crystallization rate of NR (Fig. 3a), and this inconsistency is too large to be solely attributed to the assumption of proportionality between growth rate and primary nucleation rate.

Fig. 6 shows the calculated dependence on α of activation energy of nucleation ΔG^* using the values given in Table 2, and kT ($4.17 \times 10^{-21} \text{ J}$ at 302 K). As expected, ΔG^* decreases with increasing α . However, even at $\alpha = 8$, around which NR samples sometimes come to rupture, the absolute value of ΔG^* is larger than 10^{-19} J , which is three or more orders larger than kT . Thus the large dependence of nucleation rate on α (Fig. 5) comes from the large variations (from around 25 to 2×10^4) of $\Delta G^*/kT$ in the exponential function. In the first place, Eq. (18), which is of Arrhenius type, tells us that nucleation and subsequent crystallization will hardly occur when ΔG^* is too large compared to kT . The experimental fact that crystallization occurs and the mild dependence of crystallization rate on stretch ratio (Fig. 3a) suggest that ΔG^* is overestimated.

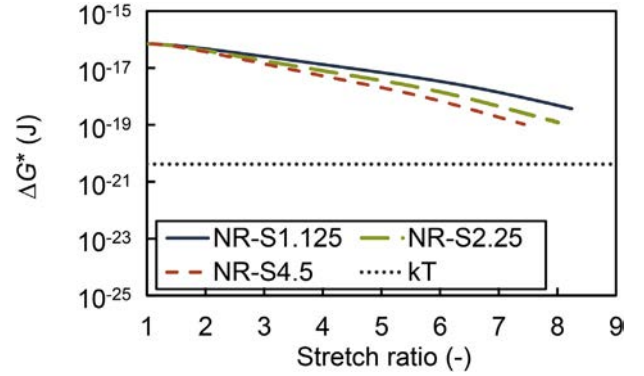


Fig. 6. Semilogarithmic scale plot of calculated ΔG^* for a chain-folded nucleus as a function of stretch ratio at 302 K.

Consequently, we have to consider other effects reducing ΔG^* to account for experimental facts. According to Eq. (7), ΔG^* is determined by the bulk free energy ΔF , and surface free energies, σ and σ_e . In the calculation of ΔF , the effect of chain stretching is already introduced and no additional change can be considered. The remaining parameters that can reduce ΔG^* are inevitably the surface free energies. Different values of surface free energies imply that nuclei have different surface structure from the above considered folded-chain nuclei. In case of strain-induced crystallization, such structure is reasonably attributed to the bundle-like one without chain folding, and to parallel orientation of the chains in the nuclei and surrounding amorphous chains. This morphology is also the one considered in Flory's basic models [1]. Even when the morphological model of nuclei is changed, the theoretical treatment [31] is essentially the same as described above.

4.2. Estimation of surface energies for SIC in NR

The bundle-like nucleus considered in strain-induced crystallization of NR is expected to have smaller σ_e because the work for chain folding is not consumed for the formation of the end surface.

In the case of polyethylene (PE), theoretically estimated σ_e for a bundle-like nucleus is 0.009 J m^{-2} [27], which is 1/10 of the corresponding chain-folded nucleus (0.09 J m^{-2}) [8]. Indeed, Yamazaki et al. [32] report smaller σ_e for bundle-like nuclei created in oriented melt of isotactic polypropylene (iPP) and PE than for chain-folded nuclei. Furthermore, Lu et al. [33] show that iPP crystal with less chain folding has smaller fold surface free energy on crystallization.

Moreover, Coppola et al. [11] calculate the reduction of free energy by flow-induced chain orientation. In the current study, nuclei are also surrounded by oriented amorphous, and a reduction of free energy can be attributed to a smaller σ (Eq. (4) and (5)). This reduction of σ is also reported by Yamazaki et al. for iPP and PE [32]. To this extent, effect of the orientation can be reasonably incorporated into the numerical calculation using Eq. (19) as the reduction of surface free energies, σ and σ_e , by the formation of bundle-like nuclei.

Currently, values of surface free energies for bundle-like nuclei of NR are not established. We therefore estimated the product of surface free energies, $\sigma^2\sigma_e$, from experimental crystallization rate (which is assumed to be proportional to the nucleation rate) by fitting with Eq. (19) under the assumption that $\sigma^2\sigma_e$ is constant for each sample. The results of fitting are shown in Fig. 7 and the estimated values of $\sigma^2\sigma_e$ are reported in Table 3. Compared to $4.056 \times 10^{-6} \text{ J}^3 \text{ m}^{-6}$ for chain-folded nuclei (issued from Table 2),

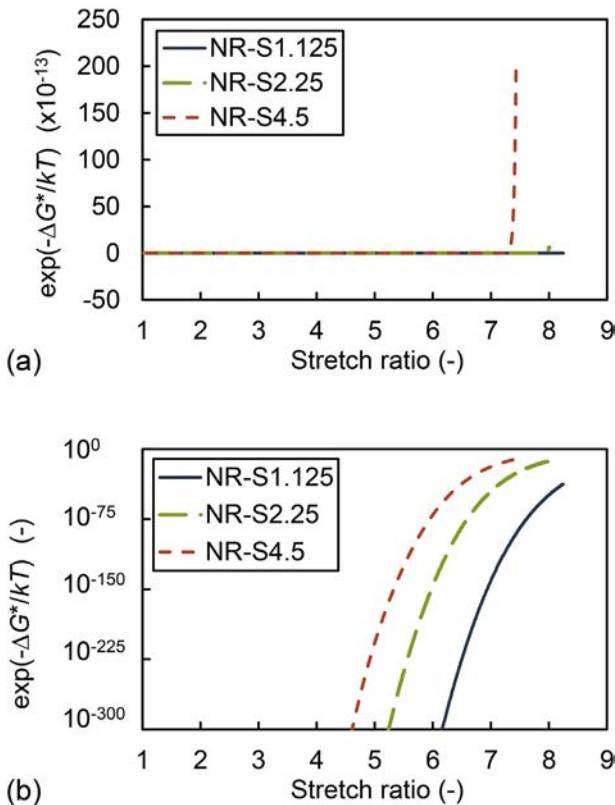


Fig. 5. Calculated dependence of $I_{\text{sunv}}/I_0 = \exp(-\Delta G^*/kT)$ on stretch ratio α at 302 K for chain-folded nuclei in linear scale (a) and semilogarithmic scale (b).

experimentally obtained values of $\sigma^2\sigma_e$ from the stretched samples are approximately 400–1520 times smaller (Table 3) and show a dependence to network-chain density. These ratios of reduction are of the same order of magnitude as those between bundle-like and chain-folded nuclei for iPP and PE reported by Yamazaki et al. [32]. On the basis of this consistency, we conclude that nuclei formed in strain-induced crystallization are of bundle-like type. The fluctuation of $\sigma^2\sigma_e$ in Table 3 is suspected to come mainly from the degree of orientation of amorphous chains in which nuclei are embedded.

Fig. 8 shows the same plots as Fig. 6, on which the new ΔG^* calculated with the fitted values of $\sigma^2\sigma_e$ (Table 3) have been superimposed. The reduction of $\sigma^2\sigma_e$ implies that ΔG^* is also reduced by two or three orders of magnitude, while entropy change due to chain stretching divides ΔG^* only by 5–20 from the unstretched state to $\alpha = 4$ (at which crystallization begins [34–37]). These numerical estimations allow us to argue that reduction of surface free energy by the formation of bundle-like nuclei is the

dominating factor in strain-induced crystallization of natural rubber. This argument is partly in agreement with previous works [10,11] in which orientation of polymer chains is considered to be the main factor for the acceleration of crystallization. However, the effects on the surface free energies have not been considered before.

Besides, smaller surface free energies of bundle-like nuclei should not be limited to cross-linked NR, considering the study by Yamazaki et al. [32]. Thus, it is strongly presumed that the reason why the previous theoretical treatments failed to explain some experimental results is this missing of the effect of changes in surface free energies. Hereafter, we further discuss the implication of smaller surface free energy of bundle-like nuclei for the formation of the shish-kebab structure in linear polymer.

4.3. Formation mechanism of shish-kebab structure in linear polymer

The formation process of the shish part of shish-kebab structure have been explained by chain extension caused by flow field [13]. However, growth of shish of isotactic polystyrene (iPS) crystal in the absence of flow field, which is inconsistent with the original model of the shish formation, has been reported by Petermann and coworkers [38,39]. Here, we can propose alternative model of shish formation which can explain Petermann's observation, considering the large difference in σ_e between chain-folded and bundle-like nucleus. Once oriented zone is generated in polymer melt by application of stretching or shear, bundle-like nuclei are preferentially formed as they are more stable than chain-folded nuclei. These bundle-like nuclei tend to keep the unfolded end surfaces because the transformation into folded surfaces will considerably increase σ_e . As long as local orientation of amorphous chains ahead of the growth front (end surface) is parallel to the growing direction of the bundle-like crystals, such growth continues and consequently, fibrillar shish structures are formed. Here we assume that bundle-like crystals are of very thin, limited sizes, otherwise the amorphous chains near the bundle-like boundaries would be overcrowded and the bundle-like interface would become unstable.

On the basis of this idea, Petermann's observation for shish-kebab growth of iPS is explained as follows: in the case of iPS, work of chain folding ($7.1 \text{ kcal mol}^{-1}$) is larger than the one of PE ($4.9 \text{ kcal mol}^{-1}$) [40]. Therefore, when the shish is going to grow under sufficient supercooling, the bundle-like form may be conserved, even when the growth front is surrounded by isotropic amorphous.

5. Conclusion

The free energy of nuclei in strain-induced crystallization of natural rubber has been estimated. Results assuming chain-folded nuclei are far different from experimental ones, and accordingly, the reduction of free energy due to the orientation of the stretched chains has to be taken in account. The reduction of free energy has been reasonably attributed to the formation of bundle-like nuclei. From the comparisons of numerical estimations with experimental data, smaller surface free energies of bundle-like nuclei are revealed to have a dominant effect on the reduction of the activation energy of nucleation. This idea of modification of surface energy is believed to contribute to overcome the failure of previous theoretical treatments [41] and bring a great progress in the understanding and theoretical derivation of crystallization in natural rubber, and more generally in oriented polymers. Particularly, this concept would also explain the preferential formation of shish part in the shish-kebab structure in isotropic amorphous.

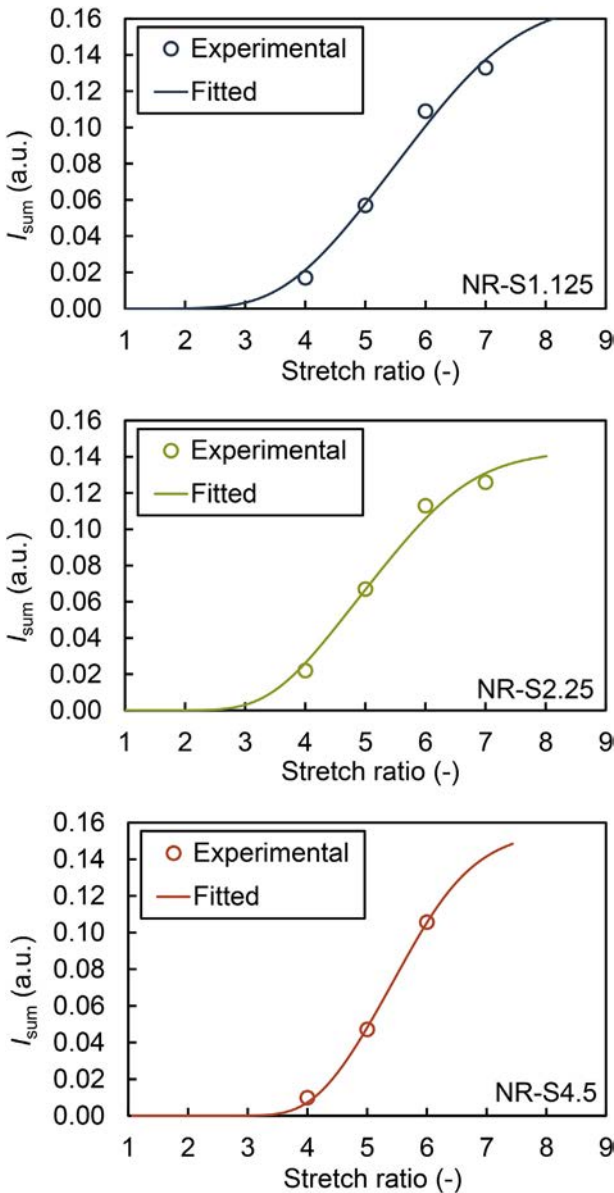


Fig. 7. Dependence of nucleation rate on stretch ratio at 302 K for bundle-like nuclei: experimental data (unfilled symbols) and fitted theoretical data (lines) with adjusted I_0 and $\sigma^2\sigma_e$.

Table 3
Values of fitted $\sigma^2\sigma_e$ for NR samples.

Sample	NR-S1.125	NR-S2.25	NR-S4.5
$\sigma^2\sigma_e$ for bundle-like nucleus ($\text{J}^3 \text{m}^{-6}$)	2.67×10^{-9}	3.62×10^{-9}	9.84×10^{-9}
$(\sigma^2\sigma_e)_{\text{bundle}}/(\sigma^2\sigma_e)_{\text{folded}}$	1/1519	1/1120	1/412

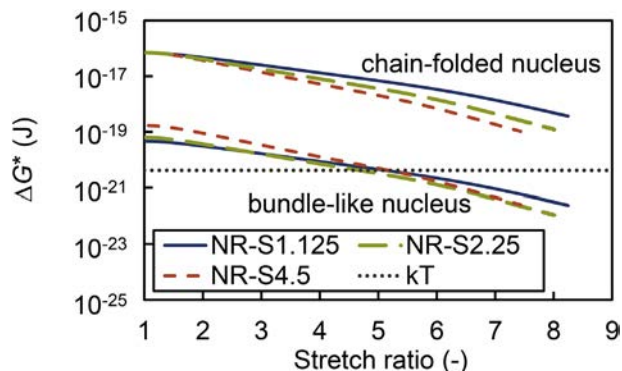


Fig. 8. Comparison of ΔG^* with respect to the stretch ratio calculated with values of $\sigma^2\sigma_e$ for folded-chain and bundle-like nuclei.

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