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Charlotte Grossiord, André Granier, Arthur Gessler, Michael Scherer-Lorenzen, Martina Pollastrini, et al.. Application of Loreau & Hector's (2001) partitioning method to complex functional traits. Methods in Ecology and Evolution, 2013, 4 (10), pp.954-960. 10.1111/2041-210X.12090 . hal-01268362

### HAL Id: hal-01268362 https://hal.science/hal-01268362

Submitted on 28 May 2020

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# Application of Loreau & Hector's (2001) partitioning method to complex functional traits

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#### 14 RUNNING TITLE

- 15 Complex traits in B-EF studies
- 16

#### 17 AUTHORSHIP

18 CG and DB elaborated the adaptation of the method to complex functional traits. DB, AG<sup>1</sup>,

- 19 AG<sup>2</sup> and MSL designed the experimental study. DB, MP and AG<sup>1</sup> performed the experimental
- 20 work. CG, DB, AG<sup>1</sup> and AG<sup>2</sup> analyzed the results. CG and DB wrote the first draft of this

21 manuscript and all authors substantially contributed to revisions.

- 22
- 23

#### 24 Key words

25 Biodiversity effect, BIOTREE, carbon isotope composition, complementarity effect,
26 FunDivEUROPE, method, selection effect, water use efficiency

27

28 **Type of article:** Standard

29

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#### 34 **Tweetable abstract:**

- 35 We applied Loreau and Hector's (2001) method to calculate biodiversity effects of complex
- 36 functional traits.

#### 37 Abstract

1- In 2001, Loreau & Hector proposed a method to calculate the effect of biodiversity on ecosystem-level properties that distinguished selection effects from complementarity effects. The approach was designed, and has been widely used, for the study of yield in mixed-species situations taking into account the relative abundance of each species in ecosystem-level yield. However, complex functional traits commonly used to integrate ecosystem-level properties cannot be analyzed like yield data because the weighted contribution of each species is not determined by its relative abundance.

45 2- We adapted the original method by clearly identifying ecologically meaningful weighting46 coefficients to represent species specific contributions to ecosystem function.

47 3- We applied the adapted method of analysis to tree foliar carbon isotope composition in an 48 experimental plantation in order to test the influence of species richness on plot water use 49 efficiency ( $WUE_{plot}$ ). The appropriate weights for the  $WUE_{plot}$  of each species are leaf CO<sub>2</sub> 50 assimilation rate.

4- We observed a large range of  $WUE_{plot}$  and biodiversity effects among plots. The absence of a significant selection effect on  $WUE_{plot}$  indicated that the overall net biodiversity effect was primarily driven by a complementarity effect. The net biodiversity and complementarity effects were mostly negative, suggesting that interspecific interactions resulted in a decrease in the ratio between carbon acquisition and transpiration at the ecosystem level.

56 5- The application of the method to complex components of ecosystem functioning provides
57 important new insights for the practical and conceptual aspects of functional biodiversity
58 research.

#### 60 INTRODUCTION

61 The loss of biodiversity occurring in most natural environments worldwide has sparked an 62 interest among the scientific community in the relationship between biodiversity and 63 ecosystem functioning (Hooper et al. 2012). More than twenty years of ecological studies 64 have led to a consensus that ecosystem performance is highly dependent on species richness 65 and on species functional characteristics (Loreau *et al.* 2001; Hooper *et al.* 2005; Zhang *et al.* 66 2012). However, the mechanisms underlying biodiversity-function relationships have been 67 hotly debated. Two major groups of mechanisms were initially proposed to explain positive 68 effects of biodiversity: (1) a sampling or selection effect which arises, as species richness 69 increases, from the increasingly probable occurrence of one or several species that strongly 70 contribute to the ecosystem function observed (Aarssen 1997; Huston 1997; Tilman 1997), and (2) a complementarity effect driven either by niche differentiation among species, which 71 72 tends to increase the efficiency with which coexisting species use the available resources or to 73 facilitation or other mutualistic interactions among species (Tilman *et al.* 1997; Loreau 1998). 74 Recently, the combination of evenness, richness, and life-history variations were also 75 successfully linked to the mechanisms producing positive biodiversity effects (Zhang et al. 76 2012).

To quantitatively evaluate this biodiversity - ecosystem functioning relationship and partition 77 78 the underlying mechanisms, Loreau & Hector (2001) proposed a convenient method to 79 calculate the influence of species mixture on ecosystem productivity: the net biodiversity 80 effect on the yield ( $\Delta Y$ ) of a given mixture can be calculated as the difference between the 81 observed total yield in the mixture ( $Y_O$ ) and the expected total yield in the mixture ( $Y_E$ ) under 82 the null hypothesis that intra- and inter-specific interactions are identical. The original method 83 was extended by Fox (2005) to include trait-dependent and trait-independent complementarity 84 effects in addition to the dominance effect (tripartite partitioning).

Loreau & Hector's method has been widely used and so far more than 100 peer-reviewed papers analyzing the relationships between species richness and ecosystem functioning in highly diverse biomes have been published. Even though the method has proven to be very popular, existing studies have focused on a limited number of ecosystem functions, mostly on standing biomass.

90 One explanation for this limited application could stem from the fact that some ecological 91 functions in mixed species stands cannot be treated at the ecosystem level in the same way as 92 observed yield. For complex functional properties, ecosystem-level values correspond to the 93 mean value of the species present in the community weighted by the contribution of each 94 species to the given function; this weighted contribution can be totally different from the 95 relative abundance of these species in terms of frequency or biomass, which the original 96 method does not imply. These complex functions include, among others, any measurement 97 related to the efficiency of individuals to acquire and use resources (e.g. water use efficiency, 98 photosynthesis efficiency, nutrient use efficiency), whatever the ecosystem (plant or aquatic 99 ecosystems, bacterial communities...). The isotope composition of organic or mineral 100 elements in biological material or the density of any gas flux (e.g. sap flow density, density of 101 CO<sub>2</sub> respiration) are also examples of such complex functional traits.

102 In this paper, we extend Loreau & Hector's (2001) method to complex functional traits by 103 clearly identifying ecologically meaningful weighting coefficients which represent species 104 specific contributions to ecosystem functioning. We illustrate the usefulness of the adapted 105 equations for leaf carbon isotope composition. More precisely, we analyze the effect of species richness on foliar carbon isotope composition ( $\delta^{13}C$ ) at the ecosystem level in a 106 temperate mixed-species tree plantation. Foliar  $\delta^{{}^{13}C}$  is a convenient proxy for time-integrated 107 108 intrinsic water use efficiency (WUE<sub>int</sub>) (Farquhar et al. 1982) and reflects the trade-off between CO<sub>2</sub> acquisition and stomatal regulation of transpiration at the leaf level. Foliar  $\delta^{13}C$ 109

and  $WUE_{int}$  can only be obtained at the individual level and ecosystem-level  $\delta^{I3}C$  cannot be calculated by simply taking into account the summed contribution of the individuals in terms of biomass or frequency. Instead, the proportional amount of CO<sub>2</sub> assimilated by each species in the plot needs to be considered to correctly weight each species' contribution to ecosystemlevel functioning.

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## 116 APPLICATION OF LOREAU & HECTOR'S (2001) METHOD TO COMPLEX 117 FUNCTIONAL TRAITS

118 According to Loreau & Hector (2001), the net biodiversity effect on the yield ( $\Delta Y$ ) of a given 119 mixture of species is the difference between the observed total yield in the mixture ( $Y_o$ ) and 120 the expected total yield in the mixture ( $Y_E$ ) calculated as the sum of the products between the 121 yield of the different species in their corresponding monocultures and the proportion of the 122 species in the mixture (defined in terms of individual frequency or biomass):

123

124 , Eqn 1

125

where *N* is the number of species in the mixture,  $Y_{Os}$  and  $Y_{Es}$  denote the observed and expected yield of species *s* in the mixture,  $M_s$  is yield of species *s* in the monoculture,  $RY_{Os}$  is the observed relative yield of species *s* in the mixture, and  $RY_{Es}$  is the expected relative yield of species *s* in the mixture.  $RY_{Os}$  is calculated as the ratio of the observed yield of species *s* in the mixture and the yield of species *s* in the monoculture, whereas  $RY_{Es}$  is simply the proportion of species *s* seeded or planted in the mixture.

For complex functional properties where the contribution of each species to a givenecosystem-level function is not simply proportionate to the frequency of these species or their

proportion in biomass, we introduce a weighting coefficient ( $W_{Os}$ ) to calculate the contribution of species *s* to the complex function (*F*) in the mixed plots.  $W_{Os}$  is normalized to one and therefore represents a proportional contribution. Thus, the net biodiversity effect on a complex function ( $\Delta F$ ) is written as:

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141 where  $F_{Oi}$  and  $F_{Ei}$  denote the observed and expected value of the function of species *i* in the 142 mixture. This equation is a generalisation of the equation proposed by Loreau & Hector 143 (2001). If one considers that  $F_{Oi}$  is the observed biomass of species *i* in the mixed plot and  $W_{Oi}$ 144 is the proportion of species *i* in the mixed plot in terms of the number of seeded individuals or 145 in terms of biomass, then  $F_{Oi} \times W_{Oi}$  equals  $Y_{Oi}$ . Similarly, if one considers that  $F_{Ei}$  is the observed biomass of species *i* in the monoculture and  $W_{Oi}$  is the proportion of species *i* in the 146 147 mixed plot in terms of the number of seeded individuals or in terms of biomass, then  $F_{Ei}$  × 148  $W_{Oi}$  equals  $Y_{Ei}$ .

149 The weighting coefficients are specific to each studied complex property and must take into 150 account the underlying biological and ecological mechanisms to correctly estimate the contribution of each species to ecosystem-level functioning. In Table 1, we have listed some 151 152 weighting factors that could be used in plant ecological studies. Let us illustrate this point with sapflow density. Sapflow density ( $L_{sap} dm^{-2}_{sapwood} h^{-1}$ ) represents the density of the flow of 153 154 raw sap circulating in the xylem vessels of trees and can be directly measured with sapflow 155 sensors at the single tree level. However, sapflow density cannot simply be added among the 156 trees to calculate total plot sapflow density and to estimate the influence of biodiversity on 157 this ecosystem-level property, because the proportion in biomass or tree frequency among species in the mixture does not give the proportional contribution of each species to total plot sapflow density. Rather, sapwood area (the cross-sectional, water conducting area in the trunk) of each tree is the correct weighting coefficient and should be used as the quantity  $W_{Oi}$ in equation 2.

162 The goal of Loreau & Hector's (2001) method is to partition  $\Delta Y$  into two effects generated by 163 species interactions in mixtures: selection effects (*SE*) and complementarity effects (*CE*). *SE* 164 arises from interspecific competition leading to the dominance of a given species with 165 particular functional traits. *CE* reflects the degree to which niche differences and facilitation 166 outweigh interference competition and other negative species interactions (Loreau *et al.* 167 2012). As when calculating  $\Delta Y$ , partitioning the effects of complex functions into *SE* and *CE* 168 must also take  $W_{Oi}$  into account. The equation to calculate *CE* can be rewritten as:

169

170 , Eqn 3

171

172 and the equation for *SE* is:

173

174 . Eqn 4

175

As our approach is intended to be a generalisation of the original method and can be applied to complex functions which are not directly related to yield, changes in species contribution with time are not taken into account in equation 4. The selection effect thus here stresses the dominance of a one or more species for the considered complex ecosystem function at a given time.

## 182 APPLICATION OF THE MODIFIED EQUATIONS TO ECOSYSTEM-LEVEL 183 CARBON ISOTOPE COMPOSITION

184 A positive effect of species mixture on forest ecosystem productivity (Paquette & Messier 185 2011; Zhang et al. 2012) and transpiration (Forrester et al. 2010; Kunert et al. 2012) has 186 previously been reported. Complementarity effects (CE) and selection effects (SE) for these 187 ecosystem-level parameters were highlighted with Loreau & Hector's (2001) method, thus 188 making it possible to explain differences in ecosystem functioning among species richness 189 levels. Promoting a mixture of tree species to enhance the ratio of ecosystem-level 190 productivity to transpiration (i.e. high water use efficiency) has been advocated for sustainable 191 forest management (McCarthy et al. 2011), of particular importance in a context of climate 192 change. To further investigate this relationship, we applied the widely used carbon isotope 193 approach (Farquhar *et al.* 1982) to study the impact of tree species mixtures on ecosystem-194 level water use efficiency ( $WUE_{plot}$ ) in a temperate mixed plantation.

195 At leaf level, intrinsic water use efficiency ( $WUE_{int}$ ) represents the ratio between 196 photosynthetic assimilation of CO<sub>2</sub> by the leaf (A) and stomatal conductance for water vapor 197 ( $g_s$ ) and depends on the molar fraction of CO<sub>2</sub> in the air ( $C_a$ ) and in the leaf intercellular 198 spaces ( $C_i$ ) following this equation:

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200 .

201

202 During photosynthetic assimilation of CO<sub>2</sub>, plants discriminate against molecules of CO<sub>2</sub> 203 containing <sup>13</sup>C because <sup>13</sup>CO<sub>2</sub> diffuses more slowly from the atmosphere to the site of 204 carboxylation (stomatal diffusion) than does <sup>12</sup>CO<sub>2</sub>. <sup>13</sup>CO<sub>2</sub> also reacts less with the primary

Egn 5

carboxylating enzyme (Rubisco) (Fig. 1). Farquhar *et al.* (1982) showed that foliar carbon isotope composition ( $\delta^{13}C$ , ‰) is strongly negatively correlated with  $WUE_{int}$  following this simplified equation:

208

209 , Eqn 6

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where  $\delta^{13}C_{air}$  is the carbon isotope composition of the air and *a* and *b* are factors 211 212 characterising the discrimination against <sup>13</sup>CO<sub>2</sub> during stomatal diffusion and carboxylation, respectively. Therefore,  $\delta^{13}C$  provides a convenient time-integrated estimate of  $WUE_{int}$ . Values 213 214 for  $\delta^{13}C$  are obtained at the individual tree level by sampling representative subsets of leaves 215 or needles, but individual values cannot simply be added to represent ecosystem-level carbon isotope composition ( $\delta^{13}C_{plot}$ ). Instead, the contribution of a single tree to the carbon isotope 216 217 composition of the whole population depends on tree-specific CO<sub>2</sub> assimilation rates that 218 control carbon isotope fractionation during photosynthesis (Lloyd & Farquhar 1994). 219 Consequently, when  $\delta^{13}C$  values are scaled up from tree or species level to ecosystem level, 220 tree or species  $\delta^{13}C$  values should be weighted by these assimilation rates (Fig. 2). Since direct 221 measurements of CO<sub>2</sub> assimilation rates cannot easily be made for each tree in the field, we 222 used a convenient proxy for canopy-level, species CO<sub>2</sub> assimilation. In a given environment, 223 the quantum yield for reduction of end electron acceptors at the PSI acceptor side is strongly positively correlated with time-integrated leaf CO<sub>2</sub> exchanges (Genty *et al.* 1989). Thus, the 224 225 proportions of the measured quantum yield of species *i* can therefore be used as the weighting coefficient ( $W_{Oi}$ ) for  $\delta^{13}C$  values. 226

227

228 Materials

229 We conducted our study at the BIOTREE tree biodiversity experimental site in Germany 230 (Kaltenborn site, Scherer-Lorenzen *et al.* 2007), which was planted in winter 2003/2004. This 231 plantation is located on acidic sandy soils and includes four species: Fagus sylvatica (L.), 232 Quercus petraea (Matt.), Picea abies (L.) Karst. and Pseudotsuga menziesii (Mirb.) Franco. 233 The plantation was designed to assure maximum above- and below-ground interactions 234 among species at the adult stage. Therefore, in the mixed plots of 120 x 48m, each species 235 was planted in monospecific rectangular patches of 8 x 8m, arranged in a regular pattern in 236 order to reduce out-competition of slow growing species at an early stage and to maximize 237 interspecific interactions along borders and corners (Scherer-Lorenzen et al. 2007). In 238 summer 2011, we sampled leaves and needles from four trees per species and per plot in 239 monocultures (n = four plots), two-species mixtures (n = six), three-species mixtures (n = six). four) and four-species mixtures (n = one). In each plot, we only took samples from trees at the 240 241 corners of the patches. The samples were oven-dried at 60°C for 48 hours, then finely ground.  $\delta^{13}C$  analysis was carried out at the Stable Isotope Facility of UC Davis, USA. The  $\delta^{13}C$  (‰) 242 243 values are expressed relative to the Vienna Pee Dee Belemnite standard. The quantum yield 244 for reduction of end electron acceptors at the PSI acceptor side was measured with a 245 HandyPea fluorimeter (Hansatech Instruments, Pentney-Norfolk, UK) on leaves or needles in 246 close vicinity to the ones harvested for elemental and isotope analyses following the procedure described by Strasser *et al.* (2010). This value was then used as a weighting 247 coefficient ( $W_{Oi}$ ) when calculating biodiversity effects on  $\delta^{13}C_{plot}$ . We used the non-parametric 248 249 Wilcoxon test to check for complementarity, selection and net effects among mixture levels 250 and t-tests to evaluate whether all the indices differed significantly from zero (SAS 9.3, SAS 251 Institute, Cary, USA).

252

#### 253 Results and Discussion

We found large differences in  $\Delta F$ , *CE*, and *SE* among plots, with either positive or negative 254 255 values (Fig. 3, Table 2). Positive or negative values confirmed that in this plantation, interactions among species drive  $\delta^{13}C_{plot}$ , and thus  $WUE_{plot}$ . When considering individual 256 species richness levels, we found that both the net and complementarity effects were 257 258 significantly different from zero (P < 0.05) for the two-species mixtures; however, we found 259 no selection effect (P = 0.27). Furthermore, the net and complementarity effects were mostly negative, suggesting lower observed  $\delta^{13}C_{plot}$  than what would have been expected based on the 260 monoculture values. Since  $\delta^{13}C$  and water use efficiency are positively related (Farguhar *et al.* 261 262 1982), our findings point toward lower  $WUE_{plot}$  when several different species coexist. This result contrasts with previous patterns of enhanced water use efficiency found in species 263 264 mixtures (Forrester et al. 2010; Kunert et al. 2012).

265 The absence of a significant selection effect on  $WUE_{plot}$  indicates that the overall negative net 266 biodiversity effect observed in the 2-species mixtures was primarily driven by a 267 complementarity effect. Our interpretation is that the species coexisting in the mixed plots are 268 in direct competition for the same resources because they still share the same ecological niche 269 at the early establishment stage (7 years after planting, at the time of our measurements). This 270 competition most likely caused a decrease in the ratio between carbon acquisition and 271 transpiration at the ecosystem level in the two-species mixtures. As no overshading was 272 observed, the competition among species is presumably occurring belowground. This 273 assumption is consistent with the strong competition among fine roots observed by Lei *et al.* 274 (2012) in this plantation.

Furthermore, we did not observe any significant effect of richness level for any of the biodiversity effects (P > 0.05). This indicates that the number of species competing for resources does not significantly affect the difference in  $\delta^{13}C_{plot}$  between observed and expected 278 values. The  $\delta^{13}C$  value for a given species in the two-, three- and four-species mixtures did not 279 greatly change.

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#### 282 CONCLUSION

283 Applying the version of Loreau & Hector's (2001) method to complex components of 284 ecosystem functioning will provide important new practical applications as well as conceptual 285 insights in functional biodiversity research. We have shown here that, with the appropriate 286 weighting factors for specific, complex functional properties, the method can be applied to a 287 broad range of functional properties, rather than to yield alone. In our case, we used the quantum vield for reduction of end electron acceptors at the PSI acceptor side as the 288 289 weighting factor for ecosystem-level carbon isotope composition. This combination of 290 weights and functions provides an estimate of intrinsic water use efficiency in mixed species 291 plots. For other complex traits, the selection of the most pertinent weighting factor should 292 make it possible to determine the contribution of each species to the studied ecosystem 293 property. Some of the weighting factors may be difficult to measure precisely with currently 294 available equipment, as in the case of CO<sub>2</sub> assimilation rates in our study. Nevertheless, if 295 appropriate measurements are not easily obtainable, proxies could be found that provide the 296 same proportional contributions; modelling approaches may be of help in this case. In the 297 young mixed temperate plantation in our study, complementarity rather than selection effects 298 were the substantial drivers of plot water use efficiency. As the plantation ages and taller trees 299 with broader root systems begin to compete for light and soil resources, the complementarity 300 effects might increase and selection effects might arise due to more frequent interspecific 301 interactions. It will thus be interesting to follow the changes in the relative importance of

- 302 these two components of net biodiversity effects for a multitude of ecological processes and
- 303 functions.

#### 304 ACKNOWLEDGMENTS

305 We thank Laureline Bes De Berc for her considerable technical support in leaf or needle 306 collection and grinding. We would like to acknowledge the anonymous reviewers who greatly 307 contributed to the improvement of a previous version of this manuscript. The research leading 308 to these results was done within the FunDivEUROPE project, receiving funding from the European Union Seventh Framework Programme (FP7/2007-2013) under grant agreement n° 309 310 265171. We also acknowledge the participants of the BACCARA project (FP7/2007-2013, 311 grant agreement 226299) who contributed to data acquisition at the Kaltenborn plantation. 312 This work was supported by the French National Research Agency through the Laboratory of Excellence ARBRE (ANR-12- LABXARBRE-01). CG was supported by a grant from INRA 313 314 Nancy in the framework of the FunDivEUROPE project.

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378

379 Table 1. Examples of complex functional properties used in plant ecological studies, with380 units and suitable corresponding weighting coefficients.

Properties	Units	Weighting coefficient		
Sap flow density	L dm <sup>-2</sup> h <sup>-1</sup>	Sapwood area		
Bark CO <sub>2</sub> efflux	µmol m <sup>-2</sup> s <sup>-1</sup>	Trunk surface		
Photosynthesis	$\mu mol m^{-2} s^{-1}$	Leaf area		
Leaf water use efficiency	µmol mol <sup>-1</sup>	Leaf CO <sub>2</sub> exchange		
Carbon isotope composition	%0	Leaf CO <sub>2</sub> exchange		
Plant water use efficiency	$\mathrm{Kg}\mathrm{L}^{\text{-1}}$	Leaf area		
Nutrient uptake rates	µmol g <sup>-1</sup> h <sup>-1</sup>	Root surface area		

387 ‰), expected mean species foliar carbon isotope composition measured in the monoculture 388 plots ( $F_{Ei}$ , ‰), and proportional weighting coefficient ( $W_{Oi}$ ) of species *i*, in each studied plot 389 for the four studied species: *Fagus sylvatica* (Fs), *Pseudotsuga menziesii* (Pm), *Quercus* 390 *petraea* (Qp) and *Picea abies* (Pa). Species richness, net biodiversity effect ( $\Delta F$ ), 391 complementarity effect (*CE*), selection effect (*SE*) and calculated plot carbon isotope 392 composition ( $F_o$ ) are shown for each plot.

**Table 2.** Mean species foliar carbon isotope composition measured in the mixed plots ( $F_{Oi}$ ,

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386

Plot	Richnes s level	Species	Foi	F <sub>Ei</sub>	Woi	ΔF	СЕ	SE	Fo
3	2	Fs	-28.46	-28.82	0.46	0.26	0.26	2 11×10- <sup>3</sup>	26.00
		Pm	-25.74	-25.91	0.54	0.20	0.20	5.11~10	-20.99
6	2	Qp	-27.43	-26.60	0.50	0.48	0.47	5 01×10 <sup>-3</sup>	26.64
		Ра	-25.84	-25.72	0.50	-0.40	-0.47	-5.91~10	-20.04
7	2	Pm	-26.36	-25.91	0.51	0.52	0.52	7 29×10-4	26 77
		Qp	-27.19	-26.60	0.49	-0.52	-0.52	-7.30~10	-20.77
10	2	Pm	-26.13	-25.91	0.58	0.30	.30 -0.30	2.14×10 <sup>-4</sup>	-26.13
		Ра	-26.15	-25.72	0.42	-0.50			
12	2	Fs	-28.99	-28.82	0.41	0.52	0.53	$1.50 \times 10^{-2}$	28.02
		Qp	-27.36	-26.60	0.59	-0.52	-0.55	1.55~10	-20.02
16	2	Fs	-28.58	-28.82	0.36	0.26	0.28	$2.54 \times 10^{-2}$	77 11
		Ра	-26.26	-25.72	0.64	-0.20	-0.20	2.34^10	-2/.11
4	3	Fs	-28.60	-28.82	0.25				
		Qp	-26.73	-26.60	0.39	-0.22	-0.24	1.61×10 <sup>-2</sup>	-27.06
		Ра	-26.35	-25.72	0.36				
5	3	Fs	-28.57	-28.82	0.29				
		Pm	-25.57	-25.91	0.36	0.15	0.15	-5.72×10 <sup>-4</sup>	-26.84
		Qp	-26.75	-26.60	0.35				
9	3	Fs	-28.82	-28.82	0.27				
		Pm	-25.70	-25.91	0.34	-0.07	-0.07	3.58×10 <sup>-3</sup>	-26.70
		Ра	-26.09	-25.72	0.39				

15	3	Pm	-26.25	-25.91	0.38				
		Qp	-27.20	-26.60	0.30	-0.47	-0.47	2.54×10 <sup>-2</sup>	-27.11
		Pa	-26.23	-25.72	0.31				
8	4	Fs	-28.92	-28.82	0.18		-0.02	-3.15×10 <sup>-3</sup>	-26.61
		Pm	-25.24	-25.91	0.25	0.02			
		Qp	-26.95	-26.60	0.30	-0.05			
		Pa	-26.00	-25.72	0.27				

396 Figure legends:

397 **Figure 1:** Schematic representation of the processes involved in leaf carbon isotope

- 398 discrimination during photosynthesis. The carbon isotope composition ( $\delta^{13}$ C) of total leaf
- 399 organic matter is determined by the carbon isotope composition of  $CO_2$  in the air ( $\delta^{13}C_{air}$ ) and
- 400 the CO<sub>2</sub> concentration in the air ( $C_a$ ) and in the leaf intercellular spaces ( $C_i$ ). Discrimination
- 401 processes against <sup>13</sup>CO<sub>2</sub> occur during photosynthetic CO<sub>2</sub> assimilation (*A*) when CO<sub>2</sub> passes
- 402 through the stomata ( $g_s$ ) from the outside air (fractionation factor a) and during the
- 403 carboxylation process by the Rubisco enzyme inside the chloroplasts (fractionation factor *b*).
- 404 The plain arrow represents *A* and the dotted arrow represents the transpiration flux.

405

406 Figure 2: Comparison of the factors taken into account to calculate the observed trait at plot 407 level for yield ( $Y_0$ ) and carbon isotope composition ( $F_0$ ). For yield, the observed biomass of 408 each species *i* in the mixture  $(B_{Oi})$  and the proportion of each species in the mixture  $(p_i)$  are 409 taken into account to calculate  $Y_0$ . For carbon isotope composition,  $F_0$  is dependent on the observed carbon isotope composition of species *i* in the mixture ( $\delta^{13}C_{Oi}$ , i.e.  $F_{Oi}$ ) and the 410 411 corresponding  $CO_2$  assimilation rate during photosynthesis ( $A_i$ ) representing the species-412 specific contribution to plot level carbon isotope composition. Letters on the trees denote 413 different species.

414

415 **Figure 3:** Application of the adapted method to plot carbon isotope composition ( $\delta^{13}C_{plot}$ ). 416 Net, complementarity and selection effects calculated for  $\delta^{13}C_{plot}$  for the different richness 417 levels. Asterisks denote significant differences from zero for each effect (t-test, \*, *P* < 0.05). 418

Figure 1







