

Improving quantitative exposure assessment by considering genetic diversity of B. cereus in cooked, pasteurised and chilled foods

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1 Improving quantitative exposure assessment by considering genetic

2 diversity of *B. cereus* in cooked, pasteurised and chilled foods

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13 Abstract

The natural contamination of foods with a bacterial pathogen frequently consists of a mixture of strains with their own characteristics of survival, growth potential and virulence. Quantitative Microbial Risk Assessment (QMRA) must account for this genetic diversity to reflect the variability of the pathogen risk and to identify the genetic groups present at key stages of the food pathway.

To describe the transmission dynamics of a heterogeneous population of B. 19 cereus, we developed an exposure model that covers a food processing chain from 20 "farm to table". The studied food was a cooked, pasteurized and chilled courgette 21 purée used as an example of Refrigerated Processed Food of Extended Durability 22 (REPFED). The B. cereus population consists of a continuum of genetic groups 23 ranging from mesophilic and highly-heat resistant, to psychrotrophic and moderately-24 heat resistant ones. At each step in a processing chain comprising cooking, blending, 25 mixing with ingredients providing a secondary contamination, pasteurisation and 26 chilling for several weeks, the prevalence of contaminated units (batches or packages) 27 and the spore load within the units were determined for each genetic group, as well as 28 their proportion to the total *B.cereus* population in the units. The model predicted that 29 all packages contain mesophilic groups just after partitioning. The addition of 30 mesophilic strains by the ingredients during the process of the courgette purée was an 31 32 important contribution. At the end of the domestic storage, the model predicted a dominance of the mesophilic groups, while only some psychrotrophic groups were 33 34 present.

35 Keywords

Bacillus cereus, Bacterial diversity, Quantitative microbial risk assessment,
 Modelling, Food production chain, Minimal processing, Monte Carlo simulation.

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38

1. Introduction 39

Most quantitative microbial risk assessments (OMRA) in foods performed over the 40 last twenty years were focussed on a single pathogen/food combination. However, 41 within many species, bacterial foodborne pathogenic bacteria generally show genetic 42 diversity, which may correspond to variable properties of resistance (to heat in 43 particular), of adaptation to low temperatures; and/or of virulence. For instance, 44 diarrhoeagenic E. coli are categorized into specific groups based on virulence 45 properties with some pathotypes, such as EHEC (and specifically E. coli O157:H7), 46 some of which being more resistant to acidity (Meng et al., 2007). Similarly, only 47 three out of the known serovars of Listeria monocytogenes account for more than 90% 48 of human and animal cases of listeriosis (Swaminathan et al., 2007). 49

Bacillus cereus is a common cause of foodborne gastro-enteritis in heat 50 processed, convenience foods and its broad diversity was recently acknowledged as a 51 source of difficulty for risk assessment in foods (EFSA, 2005). The genetic structure 52 of Bacillus cereus groups was recently resolved and the main features linked to 53 adaptation and to survival of the genetic groups were described (Guinebretière et al., 54 2008). The growth domains of the *B. cereus* genetic groups range from nearly 55 thermophilic to psychrotrophic strains. The more thermophilic strains grow up to 55 56 °C or higher. The more psychrotrophic strains are able to grow at 5 °C and seem to be 57 less heat-resistant (Guinebretière et al., 2008). Carlin et al. (2006) also observed 58 different percentages in spore germination at chilled temperatures among the B. 59 cereus genetic groups. B. cereus is responsible of diarrhoea and emetic symptoms 60 (Granum 2007). The virulence of each strain is not clearly demonstrated, but some 61 genetic groups are likely or potentially more virulent (genetic proximity with Bacillus 62

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anthracis, for instance) (Guinebretière et al., 2008) and some of the psychrotrophic strains seem to have a low ability to cause gastro-enteritis (Choma et al., 2000; Carlin et al., 2006; Guinebretière et al., 2008).

The studied food was a cooked, pasteurized and chilled vegetable courgette 66 purée, manufactured and stored in a complex food pathway, representative of that of 67 many other cooked chilled foods. Extensive data on microbial flows in these courgette 68 purées, including prevalence and concentrations of B. cereus at different processing 69 steps, were available in recently published papers (Guinebretière et al., 2003; 70 Guinebretière and Nguyen-The, 2003). Previous microbial risk assessments on 71 courgette purées (Nauta, 2001; Nauta et al., 2003; Malakar et al., 2004) assumed that 72 each product was contaminated by one type of strain, either mesophilic or 73 psychrotrophic. The final product was then either contaminated, or not, by this type. 74 In Nauta (2001), the model was run for a single strain, neglecting the prevalence of 75 other strains. However, real foods can be contaminated by a mixture of various 76 genetic groups and, to assess the proportions of the various groups at each step of the 77 chain, it is interesting to consider all the groups in the same model run. 78

Using the same quantitative risk assessment scheme as Nauta et al. (2003), we 79 studied the fate of a *B. cereus* population modelled as a complex mixture of strains 80 belonging to the genetic groups defined by Guinebretière et al. (2008). The objective 81 82 of this work was to develop an exposure model able to predict the proportion of each B. cereus genetic group at each step of processing and storage, as well as the number 83 of contaminated packages and the mean concentration in *B. cereus* per genetic group. 84 The model was built using a classical approach of Monte Carlo simulation, with the 85 whole bacterial population consisting in a mixture of groups, each having relatively 86 variable genetic characteristics. 87

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88 2. Materials and methods

An exposure model before consumption was implemented following the Modular 89 Process Risk Model (MPRM) methodology (Nauta, 2002), i.e the food pathway was 90 split up into smaller stages. Each stage was identified as one basic process or 91 consumer operation associated with the pathogen transmission. It allowed the 92 assessment of the final contamination of a food from the input variables (parameters) 93 that acted on this contamination. Numerical simulation methods by Monte-Carlo were 94 then used in order to compute the distribution of the final contamination from 95 variability and/or uncertainty distributions attributed to each of these model 96 parameters. Thus, these distributions reflected variability and uncertainty of 97 characteristics within the genetic groups that they represented. 98

99

2.1 Data on the initial contamination in the vegetable and its ingredients

The initial contamination levels of each *B. cereus* genetic group came from observed 100 data published in former studies on the contamination with aerobic spore-forming 101 bacteria in a courgette purée processing line (Guinebretière et al., 2003; Guinebretière 102 & Nguyen-the, 2003). We used the genetic typing of *B. cereus* strains isolated from 103 the product at various processing steps (Guinebretière & Nguven-the, 2003) to 104 retrospectively attribute each strain to one of the seven genetic groups numbered I, II, 105 III, IV, V, VI and VII defined by Guinebretière et al. (2008) and which main 106 characteristics are summarized in Table 1. We did not consider group I, because none 107 of the strains within this group was isolated from foods (Guinebretière et al., 2008). 108 Groups II and VI are psychrotrophic, groups III, IV and VII are mesophilic and group 109 V has an intermediate behaviour. Psychrotrophic groups II and VI prevailed in the 110 raw courgette, whereas mesophilic groups III and IV prevailed in the ingredients 111 (milk proteins and starch). In the other ingredients (UHT dairy cream and salt), no B. 112

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cereus was detected (Guinebretière & Nguyen-the, 2003). Some strains of groups II,
III, IV, V and VII were associated with foodborne illnesses, but none of group VI
(Guinebretière et al., 2008).

116 *2.2 The food pathway*

117 Commercial cooked, pasteurized and chilled courgette purées considered in this work 118 were manufactured by a factory located in Normandy, France. Figure 1 gives the flow 119 diagram of the food processing chain from "farm to table". Table 2 gives the constant 120 values or the variability distributions of time-temperature combinations (t, T) for each 121 stage. These were estimated from the data recorded by the company, expert's opinion 122 of the company staff or literature data.

During processing, fresh courgettes were firstly trimmed and peeled, washed, then steam cooked for a mean equivalent time at 90°C of 161.4 min and blended for 15 min at a temperature of 80 °C (Table 2). The mixing process included 300 kg of cooked and blended courgettes and 44 kg ingredients (29 kg of UHT dairy cream and salt, 10 kg of starch, and 5 kg of milk proteins) and produced a courgette purée batch. Starch and milk proteins were a second source of bacterial contamination (Guinebretière et al., 2003).

Then, the batch was split up into 860 packages of courgette purée. Partitioning means portioning of a 344 kg batch into 860 packages of 400 g each. The random distribution of the spore numbers within the packages after partitioning (Nauta, 2005) was modelled with a Multinomial distribution, more valid than the Poisson approximation for low numbers of spores, i.e. those of the undetected genetic groups by enrichment. Mixing and partitioning of the courgette purée were in practice very brief events and were consequently assumed to be instantaneous.

Page 6 / 44 Comment citer ce document : Afchain, A. L., Carlin, F., Nguyen The, C., Albert, I. (2008). Improving quantitative exposure assessment by considering genetic diversity of B. cereus in cooked, pasteurised and chilled foods. International Journal of Food Microbiology, 128 (1), 165-173. DOI : 10.1016/j. jifcodmicro. 2008.07.028

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The packaged courgette purées were then pasteurised in a water bath at the reference temperature of 90 °C for a mean equivalent time estimated to be 4 min. A time interval between partitioning and pasteurisation, modelled by a Gamma distribution with a mean duration of 55.5 min and a mean temperature of 46 °C, generally occurred during processing.

Before leaving the factory, the packages were stored at a refrigeration temperature of 4 °C for 4 days on average in the company warehouse, and then were sent to the retail establishments by refrigerated road transport. During retail, they were stored in refrigerated cabinets till purchase by consumers, home transportation and home storage in refrigerators before consumption (Table 2).

147

2.3 Microbial basic processes

We attributed to each stage of the food pathway one or two microbial basic biological processes (Table 3). We assumed that cooking, blending of a still hot cooked courgettes and pasteurisation caused *B. cereus* inactivation; and that chill chain in the processing plant, at the retail shop, in transports and in the domestic refrigerator allowed *B. cereus* growth.

153

2.3.1 Thermal inactivation model

154 The thermal inactivation model used (Mafart et al., 2002; van Boekel, 2002) applied155 to each genetic group:

(1)

$$\log_{10}\left(\frac{N_t^s}{N_0^s}\right) = -\left(\frac{t}{\delta_s'}\right)^{p_s}$$

157

156

158 where

159 - t (min) is the duration of the thermal process,

Page 7 / 44 Comment citer ce document : Afchain, A. L., Carlin, F., Nguyen The, C., Albert, I. (2008). Improving quantitative exposure assessment by considering genetic diversity of B. cereus in cooked, pasteurised and chilled foods. International Journal of Food Microbiology, 128 (1), 165-173. DOI : 10 1016/j iifoodmicro 2008 07 028

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160 - N_t^s (CFU/g) is the modelled bacterial concentration of genetic group s after a

161 thermal process for time t,

162 - N_0^s (CFU/g) is the initial bacterial concentration of genetic group s at the start of

163 thermal process,

164 - p_s is the shape parameter of the Weibull distribution for genetic group s,

165 - δ'_s (min) is the time to the first decimal reduction time of the spore population for

166 genetic group s under an iso-thermal regime at T (°C), computed from the following

(2)

relation (Couvert et al., 2005):

168

169

170 where

171 - T (°C) is the temperature of the product,

172 - T_r (°C) is the reference temperature, fixed at 90 °C,

173 - δ_s^r (min) is the calculated δ -value corresponding to $T=T_r$ for genetic group s,

174 -z (°C) is the increase in temperature resulting in a 10-fold reduction in the time to 175 first decimal reduction δ .

Time-temperature profiles of raw vegetable cooking and pasteurisation of the courgette purées recorded by the company were converted into an equivalent heat treatment of time $t=t_{eq}$ at the target temperature $T_r=90$ °C using Equation (3) (Fernandez and Peck, 1997), in order to account for the product temperature variability observed during these two thermal processes. Equation (3) is as follows:

$$t_{eq} = \sum_{k=1}^{n} (t_k - t_{k-1}) 10^{\frac{1}{2} \left(\frac{T_k + T_{k-1}}{2} - 90\right)}$$
(3)

182 where

181

Page 8 / 44 Comment citer ce document : Afchain, A. L., Carlin, F., Nguyen The, C., Albert, I. (2008). Improving quantitative exposure assessment by considering genetic diversity of B. cereus in cooked, pasteurised and chilled foods. International Journal of Food Microbiology, 128 (1), 165-173. DOI : 10.1016/j.iifeedmiare. 2009.07.029

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183 - $t_k - t_{k-1}$ (min) is the time interval between two temperature measurements,

184 - T_k (°C) is the *k*-th observed temperature in sample (T_0 , ..., T_n) constituted by n+1185 temperatures T_0 , ..., T_n , where T_n is measured at the end of the thermal process.

186 - z (°C) is the increase in temperature resulting in a 10-fold reduction in the time to

187 first decimal reduction δ , as previously described.

188

2.3.2 Spore germination

We assumed that uncooked courgettes, starch and milk proteins were initially 189 contaminated with spores only (Table 4), and we assumed that spore germination was 190 191 relevant for the time interval between partitioning and pasteurisation (Table 2), and at the beginning of chill chain, because B. cereus spores germinate and grow in 192 courgette purée (Choma et al., 2000), and because temperatures monitored during 193 these processes were compatible with spore germination. Reduction in spore numbers 194 during cooking, blending and pasteurisation was described by a heat inactivation 195 model (Eq. 1, 2 and 3). 196

Spores might germinate during the time interval between partitioning and 197 pasteurisation, as the recorded temperature decreased enough. Germinated spores 198 were then assumed to be killed during pasteurisation, as the temperature during this 199 process was substantially higher than 70 °C for 15 min. In other words, populations of 200 the genetic groups with the highest germination rate will be the most reduced by 201 pasteurisation. Within the range of the considered temperatures, the germination was 202 assumed to occur below 45°C for spores of groups III, IV, V and VII, below 42°C for 203 spores of group II, and only below 37°C for spores of group VI. When germination 204 occurs, it was also assumed to be instantaneous, and that 90 % of the spores of each 205 group germinate (Johnson et al., 1983; Nguyen-the and Carlin, unpublished data). 206 After a pasteurisation equivalent to 4 min at 90 °C, which eliminates vegetative cells, 207

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B. cereus populations consisted de facto of spores only. During subsequent storage 208

periods, all spores were assumed to germinate, and growth depended only on the 209

- growth parameters of each genetic group. 210
 - 2.3.3 Growth model

The primary growth model used was the logistic model with delay (Rosso et al., 1995) 212

applied to each genetic group, as follows: 213

214

$$N_{t}^{S} = \begin{cases} \frac{N_{\max}}{1 + \left(\frac{N_{\max}}{N_{0}^{S}} - 1\right)} e^{-\mu_{\max}^{S}(t-\lambda)} & \text{if } t \ge \lambda \\ N_{0}^{S} & \text{if } t < \lambda \end{cases}$$
215
(4)

211

where 216

- t (h) is the duration of the considered stage in the food pathway, 217

- N_t^s (CFU/g) is the modelled bacterial concentration of genetic group s after a 218

growth period for time t, 219

- N_0^s (CFU/g) is the modelled initial bacterial concentration of genetic group s at the 220 beginning of the considered stage, 221

- N_{max} (CFU/g) is the modelled maximum bacterial concentration in the product, 222

assumed to be the same for the six genetic groups, 223

- $\mu_{\max}^{s}(h^{-1})$ is the maximum growth rate for genetic group s, 224

- λ is the lag time and equal to 0 in this work as no proper value was available for 225

any of the *B. cereus* genetic groups. In addition, this is a fail-safe assumption. 226

227

Page 10 / 44 Comment citer ce document : Afchain, A. L., Carlin, F., Nguyen The, C., Albert, I. (2008). Improving quantitative exposure assessment by considering genetic diversity of B. cereus in cooked, pasteurised and chilled foods. International Journal of Food Microbiology, 128 (1), 165-173. DOI :

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228 The secondary model used was a CTMI (CTMI: cardinal temperature model with

229 inflection point) model (Rosso et al., 1993) characterized for each genetic group:

230
$$\mu_{\max}^{s}(T) = \begin{cases} \frac{\mu_{opt}(T - T_{\max}^{s})(T - T_{\min}^{s})^{2}}{(T_{opt}^{s} - T_{\min}^{s})[(T_{opt}^{s} - T_{\min}^{s})(T - T_{opt}^{s}) - (T_{opt}^{s} - T_{\max}^{s})(T_{opt}^{s} + T_{\min}^{s} - 2T)]} & T \in [T_{\min}^{s}; T_{\max}^{s}] \\ 0 & T \notin [T^{s}, ; T^{s}] \end{cases}$$

231 (5) where

- 232 μ_{opt} (day⁻¹) is the optimal growth rate in the product,
- 233 T (°C) is the core temperature of the product,
- 234 T_{\min}^{s} (°C) is the minimal cardinal temperature for genetic group *s*,
- 235 T_{opt}^{s} (°C) is the optimal cardinal temperature for genetic group *s*,
- 236 T_{max}^{s} (°C) is the maximal cardinal temperature for genetic group *s*.
- The whole *B. cereus* population, considered as a mixture of genetic groups II-VII, cannot exceed N_{max} i.e. no genetic group is able to continue its growth once the whole population of *B. cereus* reaches N_{max} . This proposition can be formalized in the following expression:

$$N_{t}^{s} = \begin{cases} N_{t}^{s} & \text{if } \sum_{k=1}^{n} N_{t}^{k} \leq N_{max} \\ N_{max} \left(\frac{N_{t}^{s}}{\sum_{k=1}^{n} N_{t}^{k}} \right) & \text{otherwise} \end{cases}$$
(6)

242 where

241

243 - N_{max} (CFU/g) is the maximum bacterial concentration in the product, as previously 244 defined,

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- N_{\star}^{s} (CFU/g) is the bacterial concentration of genetic group s after a growth period 245 for time t when satisfying or not the constraint of the maximal concentration in the 246 product, 247

- N_t^s (CFU/g) is the bacterial concentration of genetic group s after a growth period 248 for time t, as previously defined in Equation (4) (i.e. the whole bacterial population 249 did not exceed N_{max}), 250

- *n* is the number of genetic groups. 251

252

2.4 Monte-Carlo simulation

The stochastic exposure model before consumption was elaborated by Monte Carlo 253 simulation using R software (R Development core Team, 2004). The parameters of 254 the exposure model were the initial contamination level for the six genetic groups (II 255 to VII), the time and temperature combinations for each stage of the food pathway, 256 the thermal inactivation parameters, the germination rate and the bacterial growth 257 parameters, and they were represented by defined values, variability distributions, 258 and/or uncertainty distributions (Frey & Burmaster, 1999). For each simulation, 2000 259 iterations, representing 2000 independent industrial batches were run in the exposure 260 model before consumption, to consider the variability of the initial contamination and 261 the process variability and/or uncertainty within each genetic group. At each iteration 262 (or batch), the six (II to VII) groups of the *B. cereus* population, with various genetic 263 characteristics and concentrations were followed along the food pathway. In the 264 present simulation model, each B. cereus genetic group underwent inactivation, 265 germination and growth with specific parameters independently of each other. After 266 mixing, the B. cereus population from the batch was pooled with the B.cereus 267 population from the ingredients to form a new population. After partitioning, this 268

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population was distributed into the packages, which subsequently followed their own pathway in the chain (one time and temperature history at each stage). After partitioning of one batch into 860 packages, only 100 packages of contaminated vegetable purées were followed until the home refrigeration for reasons of computer memory capacity. Partitioning into 100 packages gave the same distribution of the genetic groups among packages as partitioning into 860 packages (data not shown).

The initial contamination levels for each genetic group were modelled by 275 Beta-Pert distributions (Vose, 2000), each sampled by batch to take the variability of 276 the initial contamination into account. B. cereus initial concentrations in courgette and 277 courgette purée ingredients were too low to enumerate using direct plating and were 278 detected after enrichment only. For the groups detected by enrichment, the 279 distribution of concentrations was contained in the interval, which lower and upper 280 bounds were respectively the limit of detection of the enrichment procedure and the 281 limit of enumeration by direct plating. For the genetic groups undetected by 282 enrichment, we assumed that they were present, but in concentrations lower than the 283 limit of detection, which represents a fail-safe assumption. The distribution of B. 284 cereus concentrations had the same width as that of the genetic groups detected by 285 enrichment. Their parameters were shown in Table 4. The most likely value of Beta-286 Pert distributions corresponded to the middle of the interval on which concentrations 287 were distributed. 288

Table 5 shows the heat-resistance parameters of the *B. cereus* genetic groups estimated from a collection of strains representative of groups II (n = 7), III (n = 35), IV (n = 27), V (n = 2), VI (n = 11) and VII (n = 2). Parameter estimation and strain typing were performed in previous works (Carlin et al., 2006; Guinebretière et al., 2008). In our exposure model, a Beta-Pert distribution was used for p_s (see Eq. 1) and

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294 δ_s^r (see Eq. 2). For some heat resistant strains (group III), curve fitting did not allow a proper determination of δ -values. For those strains, a δ -value of 180 min was chosen 295 by default. The parameters of the distribution of z values (see Eq. 2 and Table 5), 296 assumed to be independent of the genetic groups, were estimated from 94 literature 297 data (Gilbert et al., 1974; Bradshaw et al., 1975; Johnson et al., 1983; Rajkowski & 298 Mikolajcik, 1987; Picoche et al., 1993; Mazas et al., 1995; ICSMF, 1996; Faille et al., 299 1997; Gonzalez et al., 1997; Gaillard et al., 1998 (a), 1998 (b); Fernandez et al., 1999; 300 301 Gonzalez et al 1999; Mazas et al., 1999; Mafart et al., 2002) and followed a lognormal distribution truncated on a temperature interval. The median was 8.3 °C. For 302 cooking and pasteurisation, an exponential distribution was fitted to the equivalent 303 times t_{eq} computed from Equation (3) on 5 and 40 time-temperature profiles recorded 304 by the company, respectively. In this computation, an uncertainty on z value (see Eq. 305 2 and Table 5) was inserted. 306

We assumed that cardinal temperatures T_{\min}^{s} , T_{opt}^{s} and T_{\max}^{s} (see Eq. 5) follow 307 normal distributions. Their mean values are shown in Table 6. Their standard 308 deviation was fixed to 1° C for all B. cereus genetic groups II to VII, in absence of 309 published data. For Listeria monocytogenes for instance, standard deviation for T_{min} 310 was 0.7 °C when estimated for a large set of culture conditions and strains (Pouillot et 311 al., 2003). Table 6 also gives the variability distributions of μ_{opt} (see Eq. 5) and N_{max} 312 313 (see Eq. 4-6) in courgette purée, which values were assumed to be independent of the genetic groups. The μ_{opt} -value was derived from previous μ_{max} (see Eq. 5) data in a 314 courgette broth (Choma et al., 2000). In that study, the inoculum was made of strains 315 able to grow at 6.5°C, which suggests the strains were of genetic groups II, V or VI 316 that contain psychrotrophic *B. cereus*. The variability distribution on μ_{ont} was 317

Page 14 / 44 Comment citer ce document : Afchain, A. L., Carlin, F., Nguyen The, C., Albert, I. (2008). Improving quantitative exposure assessment by considering genetic diversity of B. cereus in cooked, pasteurised and chilled foods. International Journal of Food Microbiology, 128 (1), 165-173. DOI :

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assumed to be a Beta-Pert distribution, with parameters estimated to 0.58 h⁻¹, 0.70 h⁻¹, 1.04 h⁻¹, respectively (Table 6). For $\log N_{max}$, Choma et al. (2000) studied a broad range of products and reported maximal numbers of *B. cereus* at the end of refrigeration storage reaching 8.5 ± 0.6 log CFU/g. Thus, we assumed that this last parameter followed a normal distribution with a mean of 8.5 log CFU/g and a standard deviation of 0.6 log CFU/g (Table 6).

Only packs of purée units containing B. cereus represent a hazard for 324 consumers. The relevant information to assess consumer exposure is therefore the 325 326 concentration of *B. cereus* in positive units, combined with the prevalence of positive units, and addressing a null risk to negative units. At main key stages of the food 327 pathway (initial contamination of 300 kg batches of raw courgettes, after cooking of 328 300 kg batches, after mixing with ingredients and partitioning into 400 g packages, 329 after pasteurisation of 400 g packages and at the end of home storage in 330 refrigerators), the prevalences of packages containing at least one *B. cereus* spore, 331 whatever the genetic group, were computed, and the bacterial load (log CFU/g) of the 332 contaminated units (batch or package) per genetic group was calculated, taking into 333 account units containing at least one CFU of this group. The proportions of each B. 334 cereus genetic group to the whole B. cereus population in the batches or packages 335 were also calculated, considering all units. 336

337 3. Results

Before cooking, all genetic groups were predicted to be present in any unprocessed vegetable batches (Table 7), and the two psychrotrophic groups (II and VI) prevailed (Fig. 2A), as shown by Guinebretière and Nguyen-the (2003). After cooking, any genetic group was present in at least 30 % of batches (Table 7). Figure 3 and Table 8 present the changes of respectively *B. cereus* mean concentrations in positive units

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(batch or packages) and also the corresponding 95% confidence intervals (CI) per 343 genetic group, reflecting the uncertainty assumed in the model. There was a ranking 344 of the genetic groups as a function of their resistance to cooking (Fig. 3). The 345 mesophilic group VII was the most resistant of all groups, and among the 346 psychrotrophic groups, group II was the most resistant, whereas group VI was the 347 most sensitive to heat. This resulted in a major decrease in the number of the 348 psychrotrophic group VI after cooking in positive units. However, their prevalence 349 (47%) in units after cooking was similar to that of the more heat resistance group IV 350 (49%), because group VI was more abundant in the uncooked courgette (Table 7). 351 The upper bounds of CI were relatively unchanged by cooking (Table 8). The lower 352 bound of CI corresponded to one spore in a 300 kg batch. Among the psychrotrophic 353 groups, group II prevailed, because of heat-resistance higher than that of group VI 354 (Fig. 2B). The proportions of groups III, IV, and VII, rare in uncooked vegetables 355 (Fig. 2A), increased after cooking (Fig. 2B) likely because of their high resistance to 356 heat and the corresponding decrease from 48.3% to 13.1% of the proportion of the 357 more heat sensitive group VI. 358

The addition of ingredients to the cooked courgette (Fig. 4A) further increased the mean proportions of the mesophilic groups (groups III and IV) compared to the psychrotrophic groups (groups II and VI), as experimentally observed by Guinebretière and Nguyen-the (2003). In conclusion, cooking and addition of ingredients resulted in a marked change in the *B. cereus* population, from psychrotrophic to mesophilic groups (Fig. 2A and Fig. 4A).

After partitioning, all the 400-g packages were contaminated by group IV; group III was present in about 81% of the packages and group II in 33 % (Table 7). The prevalence of groups V, VI and VII in the courgette purées packages after

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partitioning was much lower (below 10%) than that of the other groups. This was 368 likely due to a low concentration of these groups in the batch before partitioning. In 369 particular, because of partitioning, group VI, the most able to multiply during chill 370 chain, was undetectable from the majority of packages. 371

Pasteurisation following germination achieved a strong, but not total, 372 reduction of spores, and modified the proportions of the genetic groups among the 373 packages (Table 7). The prevalence of positive packages decreased for all groups. 374 Groups V, VI and VII reached prevalences below 1%. However, the concentrations 375 376 per positive package were already low before the pasteurisation process (Fig. 3 and Table 8), close to the limit of 1 CFU per package (i.e. -2.6 log CFU/g). The predicted 377 mean concentration of the genetic groups in contaminated packages remained nearly 378 379 unchanged (Fig. 3). Compared to cooking, pasteurisation slightly affected the proportion of the genetic groups (Fig. 4B) and group IV was still the most represented 380 in the packages after pasteurisation (about 75% of the whole *B. cereus* population). 381 No additional inactivation of *B. cereus* was done on the sealed packages: the 382 prevalence of the genetic groups (Table 7) remained consequently unchanged in steps 383 following pasteurisation. 384

At the end of home refrigeration, very few of packages (0.07%) had predicted 385 cell concentrations equal to N_{max} (Eq. 6). During the simulated chill chain, mean 386 concentrations (Fig. 3) of *B. cereus* genetic groups II and VI increased by 387 approximately 2.1 and 3.8 log CFU/g, whereas groups V and IV increased much less, 388 and groups III and VII showed almost no growth on average during the simulated 389 shelf-life. At the end of home storage in refrigerators, group II and VI had the highest 390 mean concentrations per positive package (approximately by 0.2 and 1.2 log CFU/g, 391 respectively) (Fig. 3). These groups were present in only 19% and 0.1% of packages 392

Page 17 / 44

Version définitive du manuscrit publié dans / Final version of the manuscript published in : International Journal of Food Microbiology, 2008, vol.128, no.1, 165-173, DOI: 10.1016/j.ijfoodmicro.2008.07.028

respectively (Table 7). The simulation model was consistent with observations from 393 the company processing the vegetable purees, i.e. that packages rarely contained 394 concentrations of *B. cereus* detectable by direct plating (threshold of 2 log CFU/g) at 395 the end of shelf-life when storage between 4 and 8°C for 21 or 28 days was simulated 396 (Nguyen-the, unpublished data). The model predicted that, at the end of home storage 397 in refrigerators, only 2.5% of the packages contaminated by group II exceeded a 398 bacterial concentration of 6.7 log CFU/g (Table 8). As shown by Figure 5, these high 399 concentrations were typically associated with low concentrations of genetic group III 400 (a mesophilic group). However, some high concentrations of genetic group II (a 401 psychrotrophic group) were associated with medium or high concentrations of genetic 402 group III (Fig. 5). From Figure 4C, a slight increase in the mean proportion of the 403 psychrotrophic groups was observed at the end of the home refrigeration, due to their 404 ability to grow at lower temperatures than the other groups. In particular, group II 405 represented on average 16.8% of the B. cereus population per package. Group V, 406 which heat-resistance and ability to grow at low temperature was between those of 407 psychrotrophic group II and mesophilic group IV, could have an intermediate 408 behaviour. However, in our model, this group was rare over all the processing chain, 409 presumably because of a low prevalence in raw material and ingredients. 410

4. Discussion 411

Considering a mixture of pathogenic *B. cereus* strains along a food pathway in a 412 single exposure model gave a representation of the qualitative and quantitative genetic 413 diversity within the whole bacterial population. Particularly, our simulation suggested 414 that, at the end of home refrigeration (and therefore at the time of consumption), B. 415 cereus may be present in all the packages of courgette purée, but also that all B. 416 cereus genetic groups were not present in all packages. The proportion of each genetic 417

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group relatively to the whole *B. cereus* population was strongly influenced by 418 processing, storage and contamination routes. By integrating the various components 419 of a bacterial population in a single model, instead of running various models 420 independently for each component, we were able to simulate the prevalence of the 421 genetic groups and the composition of the bacterial population (proportion between 422 the different groups in the whole B. cereus population) at any stage of the food 423 pathway. The mean proportion of all genetic groups cannot be interpreted 424 independently of bacterial concentration in contaminated packages and of prevalence 425 426 of each genetic group. According to the exposure model, the most psychrotrophic group VI was eliminated from most of the packages because of low heat resistance, 427 but it reached the highest predicted *B. cereus* populations in some packages (indicated 428 by the upper bounds of confidence intervals). In contrast, the prevailing groups were 429 the mesophilic ones, which better resisted the heat treatments, but with a much lower 430 growth potential during refrigeration storage. Their concentrations rarely exceeded 431 10^3 CFU/g, which usually is not a concern for food safety (Granum, 2007). A proper 432 risk assessment should also include the virulence properties of each genetic group. 433 Most of the strains related to cases or outbreaks of B. cereus foodborne poisoning 434 belong to groups III and IV (Guinebretière et al. 2008). Considering psychrotrophic 435 groups, Stenfors et al. (2002) observed that B. weihenstephanensis, which belongs to 436 437 B. cereus group VI (Guinebretière et al., 2008), was poorly cytotoxic on Vero cells compared to other *B. cereus*. Similarly, Choma et al. (2000) found the most 438 psychrotrophic strains of B. cereus the least toxic on Caco2 cells. According to 439 Svensson et al. (2007), most of the strains isolated from refrigerated pasteurized milk 440 were B. weihenstephanensis (i.e. B. cereus group VI) and produced very low amount 441 of enterotoxins. These works strongly suggest that *B. cereus* group VI is less prone to 442

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cause diarrhoea than other groups. In our work, B. cereus group II was predicted to 443 prevail over genetic group VI after refrigerated storage, presumably because its spores 444 were more heat resistant and the heat treatments applied in our process were more 445 severe than for milk pasteurisation. The virulence of B. cereus group II is not 446 characterized, but as some strains implicated in foodborne poisoning belong to group 447 II (Guinebretière et al., 2008), it is presumably more virulent than group VI, which 448 does not contain any of the known strains associated with cases or outbreaks of 449 foodborne poisonings (Guinebretière et al., 2008). Therefore, the risk of B cereus 450 451 foodborne poisoning linked to refrigerated heat processed foods will presumably be associated with the presence and growth of this group of psychrotrophic (group II) B. 452 *cereus* in the food at consumption. Finally, a major perspective of the present work 453 would be to determine what makes the highest contribution to the risk for the 454 consumer, between a low *B. cereus* prevalence in processed foods and a high growth 455 potential (as those of the psychrotrophic genetic groups) on one side, and on the other 456 side a high prevalence with a lower growth potential (as those of the mesophilic 457 groups). In conclusion, a simulation tool was designed to predict the fate of the 458 various components of a complex B. cereus population of pathogens during food 459 processing. The exposure model will facilitate the assessment of the risk due to 460 different genetic groups of *B. cereus* when new food processes are evaluated. 461

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596 Figure legends

Figure 1: Flow diagram of the processing and chill chain of cooked, pasteurised and
chilled courgettes purée (Guinebretière et al., 2003).

Figure 2: Mean proportion of each *B. cereus* genetic group relatively to the whole *B. cereus* population in 2000 batches of 300kg in (A) uncooked and (B) cooked
courgettes (estimated by our exposure model).

^a number of the considered *B. cereus* genetic group: mean % of the group in the whole *B. cereus* population.

Figure 3: Mean concentration (log cfu/g) of the whole *B. cereus* population (Total) and per genetic group (II, III, IV, V, VI and VII) on the axes at various stages of the processing chain: initial contamination of raw courgettes (\Box), after cooking (\triangle), after mixing and partitioning (\blacktriangle), after pasteurisation (\times) and at the end of home storage in refrigerators (\blacksquare). Only units containing at least one spore of the genetic group were considered to calculate the mean concentration. Units were either the whole batch of 344kg before partitioning or the packages of 400g after partitioning.

Figure 4: Mean proportion of each genetic group relatively to the whole *B. cereus* population (A) after mixing and partitioning, (B) after pasteurisation and (C) at the end of home storage in refrigerators.

^a number of the considered *B. cereus* genetic group: mean % of the group in the whole *B. cereus* population.

616 ^B (IV, V, VI) = (0.006%, 0.01%, 0.04%)

Page 27 / 44 Comment citer ce document : Afchain, A. L., Carlin, F., Nguyen The, C., Albert, I. (2008). Improving quantitative exposure assessment by considering genetic diversity of B. cereus in cooked, pasteurised and chilled foods. International Journal of Food Microbiology, 128 (1), 165-173. DOI : 10.1016/j. iifeadmicro. 2008. 07.028

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617 ^C	(IV,	V, VI)	= (0.02%,	0.08%,	0.02%).
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- 618 **Figure 5**: Joint concentrations (log cfu/g) of genetic group II (a psychrotrophic group)
- and genetic group III (a mesophilic group) at the end of home storage in refrigerators.

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Page 28 / 44 Comment citer ce document : Afchain, A. L., Carlin, F., Nguyen The, C., Albert, I. (2008). Improving quantitative exposure assessment by considering genetic diversity of B. cereus in cooked, pasteurised and chilled foods. International Journal of Food Microbiology, 128 (1), 165-173. DOI : 10 1016/i iifoodmicro 2008 07 028

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Figure 1

Page 29 / 44 Comment citer ce document : Afchain, A. L., Carlin, F., Nguyen The, C., Albert, I. (2008). Improving quantitative exposure assessment by considering genetic diversity of B. cereus in cooked, pasteurised and chilled foods. International Journal of Food Microbiology, 128 (1), 165-173. DOI:

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Page 30 / 44 Comment Afchain, A. L., Carlin, F., Nguyen The, C assessment by considering genetic diver foods. International Journal of Fred Microbiology, 128 (1), 165-173. DOI : 10.1016/i.ijfe Imicro.2008.07.028



ll: 54.9%^a

Figure 2

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Page 31 / 44 Comment citer ce document : Afchain, A. L., Carlin, F., Nguyen The, C., Albert, I. (2008). Improving quantitative exposure assessment by considering genetic diversity of B. cereus in cooked, pasteurised and chilled foods. International Journal of Food Microbiology, 128 (1), 165-173. DOI : 10.1016/i.iifoodmicro.2008.07.028

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Figure 4

Page 32 / 44 Comment Afchain, A. L., Carlin, F., Nguyen The, C. Assessment by considering genetic divertion of B. cereus in cooked, pasteurised and chilled foods. International Journal of Food Microbiology, 128 (1), 165-173. DOI : 10.1016/i.iifo

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Page 33 / 44

Version définitive du manuscrit publié dans / Final version of the manuscript published in : International Journal of Food Microbiology, 2008, vol.128, no.1, 165-173, DOI: 10.1016/j.ijfoodmicro.2008.07.028

Table 1: Some characteristics of strains belonging to the genetic groups of *Bacillus cere*us defined by Guinebretière et al. (2008).

Genetic group			at	Asso	Association to		
	7°C	10°C	43°C	cases of foodborne poisonings	currently defined species or group		
I	No	Yes*	No*	No	B. pseudomycoïdes		
II	Yes*	Yes	No	Yes	nd		
Ш	No	No	Yes	Yes	Emetic B. cereus strains		
IV	No	Yes	Yes*	Yes	nd		
V	No	Yes	No	Yes	nd		
VI	Yes	Yes	No	No	B. weihenstephanensis, B. mycoïdes		
VII	No	No	Yes	Yes	nd		
* for most of the strains.		<	7				
nd: not defined							
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Table 2: Variability distributions of time and temperature for the stages of the food pathway derived from industrial data, industrial expert's

oni	nion	or	literature	data.
0 μ	mon	OI.	morature	uata.

d's	3		
Stage	Time t or t _{eq}	Temperature T (°C)	Reference
Cooking Z	^a E(1/161.4) min	$T=^{b}T_{r}=90$	Company own data
Blending	^c U(15,20) min	U(80,90)	Company expert's opinion
Time interval	^d Γ(1.85,0.5) h	\geq ^e N(45.6,8.5) on [^f 24.3,59.8]	Company own data
Pasteurisation	E(1/4) min	$T=T_{r}=90$	Company own data
Chill chain	U(3,5) days and $U(1,7)$ days	N(4,1) on [2;5]	Company expert's opinion
Refrigerated road transport	^g BP(18,36,72) h	BP(4,4.01,8)	Nauta, 2003
Chill chain at retail	× ×		
809	% U(0,14-BP(18,36,72)) days	N(4,2.17)	Nauta, 2003
2 <mark>0</mark> 9	% 14-BP(18,36,72)+U(0,7) days	N(4,2.17)	Nauta, 2003
Home transportation	Γ (5.24,8.17) min	BP(4,10,25)	Nauta, 2003
Home storage in refrigerator	E(3/(^h SBD- ⁱ PD)) days	N(6.64,2.35) [profile	Nauta, 2003
SBD	21 days	"Europe"]	Nauta, 2003

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 $^{a}E()$ is the Exponential distribution with one parameter (mean).

 ${}^{b}T_{r}$ is the reference temperature.

 $^{\circ}U()$ is the Uniform distribute on with two parameters (inferior and superior limits).

 ${}^{d}\Gamma$ () is the Gamma distribution with two parameters (the first is the shape parameter and the second is the scale parameter).

^eN() is the normal distribution with two parameters (mean and standard deviation), eventually truncated on the interval [].

^fThe temperatures are recorded by the company at the end of the period.

^gBP() is the BetaPert distribution with 3 parameters (minimum, most likely and maximum values).

^hSBD is the Sell By Date.

ⁱPD is the Purchase Date.

10.1

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Table 3: Link between the stage in the food pathway and the microbial basic biological process of *B. cereus*.

Stage of the food pathway	Microbial basic biological process of <i>B. cereus</i>
Cooking	Inactivation of spores
Blending	Inactivation of spores
Time interval	Germination of spores
Pasteurisation	Total elimination of vegetative cells and inactivation of spores
Chill storage at the company	Germination of spores and growth of vegetative cells
Refrigerated road transport	Growth of vegetative cells
Chill storage at retail	Growth of vegetative cells
Home transportation	Growth of vegetative cells
Home storage in refrigerator	Growth of vegetative cells

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Table 4: Minimum (Min), most-likely (Ml) and maximum (Max) values of Beta-Pert distributions for the initial spore contamination (log cfu/g) for each *B. cereus* genetic group (adapted from Guinebretière et al., 2003) in unprocessed courgettes, milk proteins and starch (in bold, groups detected by enrichment in Guinebretière et al., 2003).

	5								
Genetic group	Unpred	essed cou	irgettes	Mi	lk prote	ins)	Starch	
	Min H	Ml	Max	Min	Ml	Max	Min	Ml	Max
II	-2	-0.8	0.4	-5.6	-3.9	-2.1	-4.2	-2.8	-1.3
III	-4.4 tsciibt	-3.2	-2	-2.1	-0.4	1.4	-4.2	-2.8	-1.3
IV	-4.4 num	-3.2	-2	-2.1	-0.4	1.4	-1.3	0.2	1.6
V	-4.4 /	-3.2	-2	-5.6	-3.9	-2.1	-4.2	-2.8	-1.3
VI	l'auteur	-0.8	0.4	-5.6	-3.9	-2.1	-4.2	-2.8	-1.3
VII	-4.4 nscrit d	-3.2	-2	-5.6	-3.9	-2.1	-4.2	-2.8	-1.3
	5								

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Table 5: Variability distribution per batch of thermal inactivation parameters δ_i and ps for the *B. cereus* genetic groups (II, III, IV, V, VI)

and VII) and uncertainty distribution per batch of thermal inactivation parameter z for the whole *B. cereus* population (ALL).

	r/A			<u> </u>				
Genetic group	g, anter	δ_s (min)				ps		
	nuscrit	Minimum	Most likely ^a	Maximum	Minimum	Most likely ^a	Maximum	
II	Data not available	2.3	30.5	76.3	0.5	1	1.4	
III	D <mark>ata not available</mark>	2.4	39	171.7	0.01	0.6	7	
IV	Data not available	3.2	25.7	148.3	0.2	0.7	2.7	
V	Data not available	14.8	20.5	26.2	0.6	0.8	1	
VI	Data not available	0.01	1.7	10	0.2	0.4	0.8	
VII	D_{at} a not available	40.8	90.3	139.9	1.2	1.3	1.4	
ALL	^b logN(25, 1.7) on [6.5;14.5							

^aThe most likely value is the median of observed values.

^blogN(m,s) on [a;b] is the log-normal gistribution with two parameters (m: mean and s: standard deviation) truncated on the interval [a; b].

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Table 6: Mean cardinal temperatures $(T_{\min}^{s}, T_{opt}^{s}, T_{\max}^{s})$ estimated from the range of growth temperatures recorded for each genetic *B*. *cereus* group from II to VI (Guinebretière et al., 2008) and uncertainty distribution of $\log_{10} N_{\max}$ and μ_{opt} per batch for the whole *B*.

cereus population (ALL).

Genetic group	log ₁₀ N _{me}	(log cfu/g)	μ_{opt} (h ⁻¹)	T_{\min}^{s} (°C)	T_{opt}^{s} (°C)	T_{\max}^{s} (°C)	Source
II	≥ Data not	t available	Data not available	1.8	34.9	39.4	Expert's opinion
III	Data n <mark>ē</mark> t	t available	Data not available	6.0	39.8	46.9	Sym'Previus (http://www.symprevius.net/),
	manusc		Li -				Auger et al., 2008
IV	Data net	t available	Data not available	4.4	39.9	46.5	Sym'Previus, Auger et al., 2008
V	Data ng	t available	Data not available	3.0	35.4	39.4	Sym'Previus
VI	Data ng	t available	Data not available	0.5	34.3	38.2	Sym'Previus, Auger et al., 2008
VII	Data not	t available	Data not available	12.1	41.4	56.5	Auger et al., 2008
ALL	^a N(8.	.5, 0.6)	^b BP(0.58,0.76,1.04)				Choma et al., 2000

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^aN(m, s) is the normal distribution with 2 parameters (m: mean and s: standard deviation).

^bBP() is the BetaPert distribution with 3 parameters (minimum, most likely and maximum values). like.

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Table 7: Prevalence of units ^a containing at least one *B. cereus* spore (%) from each

genetic group (II, III, IV, V, VI, or VII) at main key stages of the food pathway.

anuscript	B. cereus genetic group	Prevalence (%) after the designated processing stage							
Ë		Initial contamination	After	After mixing	After	At the end of			
lor		of 300-kg batches of	cooking of	with	pasteurisation of	home storage			
uth		raw courgettes	300-kg	ingredients and	400-g packages	in refrigerators			
A /			batches of	partitioning					
eur			raw	into 400-g					
qute			courgettes	packages					
d'a	II	100%	62%	33%	19%	19%			
nit	III	100%	54%	81%	37%	37%			
usc	IV	100%	49%	100%	82%	82%			
lan	V	100%	30%	4%	0.1%	0.1%			
Σ	VI	100%	47%	6%	0.1%	0.1%			
	VII	100%	65%	9%	0.6%	0.6%			
_	Total	100%	83%	100%	87%	87%			
L.	^a The _j	prevalences of units are of	computed on 2	000 batches or 200	000 packages.				
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Table 8: Confidence interval (CI) at 95% of the mean *B. cereus* concentration (log cfu/g)

per genetic group and per unit^a at main key stages of the food pathway.

B.	CI at 95 % of mean <i>B. cereus</i> concentration (log cfu/g) after the designated							
cereus			processing stage					
genetic				Ó				
group								
	Initial	After	After mixing	After	At the end			
	contamination of	cooking of	with	pasteurisation	of			
	300-kg batches	300-kg	ingredients and	of 400-	home			
	of	batches of	partitioning	gpackages	storage			
	raw courgettes	raw	into 400-g		in			
		courgettes	packages		refrigerators			
II	[-1.5;0]	[-5.5;-0.6]	[-2.6;-0.6]	[-2.6;-0.8]	[-2.6;6.7]			
III	[-4;-2.4]	[-5.5;-2.8]	[-2.6;-1]	[-2.6;-1.1]	[-2.6;0]			
IV	[-4;-2.4]	[-5.5;-2.9]	[-2.1;-0.4]	[-2.6;-0.6]	[-2.6;1.7]			
V	[-4;-2.5]	[-5.5;-3.1]	[-2.6;-2.3]	[-2.6;-2.6]	[-2.6;3.4]			
VI	[-1.6;0]	[-5.5;-1.5]	[-2.6;-1.8]	[-2.6;-2.3]	[-2;7.8]			
VII	[-4;-2.4]	[-5.5;-2.7]	[-2.6;-2.1]	[-2.6;-2.1]	[-2.6;-2.1]			
Total	[-1;0.2]	[-5.5;-0.6]	[-1.8;-0.4]	[-2.6;-0.5]	[-2.6;3.5]			

^aOnly units containing at least one spore of the genetic group were considered to calculate the

mean concentration.

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