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1	Agglomeration of wheat powders by a novel reverse wet agglomeration process
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16	Abstract - The wet agglomeration process is implemented to improve the powders
17	functionalities. The objective of the present work is to investigate a novel reverse wet
18	agglomeration process for reactive powders. This novel process is conducted in two main
19	successive phases during mechanical mixing. The first phase consists of mixing a specific
20	amount of powder and water to produce a continuous saturated paste, called dough, by
21	controlling the physicochemical reactivity of the biochemical components of the powder. The
22	second stage consists of generating the agglomerates by adding the powder inside the
23	continuous dough under mixing conditions. This agglomeration process is mainly promoted
24	by fragmentation mechanism. We have evaluated the impact of the process conditions on the
25	electric consumption, agglomeration yield and characteristics of the agglomerates.
26	Experiments were conducted using durum wheat semolina as raw materials. The results were
27	compared to those obtained by using the classical wet agglomeration process, at same final
28	water content of the agglomerates.
29	
30	Keywords - Reverse agglomeration, fragmentation mechanisms, electric consumption, wheat
31	powders, compactness, microstructure.

33 1. INTRODUCTION

Agglomeration is a unit operation, which is implemented to improve the powders 34 functionalities relating to flow properties, dust generation, mixing capacity, solubility, etc., by 35 increasing the size of structures. During agglomeration, native particles are gathered to form 36 larger assemblies, called the agglomerates. Wet controlled growth agglomeration refers to 37 agglomeration processes during which a liquid binder is sprayed over an agitated powder bed 38 (Ennis et al., 1991; Iveson et al., 2001). Knowledge about the agglomeration process of food 39 powders still remains partial, although significant scientific works have been conducted over 40 the last 15 years (Litster and Ennis, 2004; Saleh and Guigon, 2009; Palzer, 2011; Cuq et al., 41 2013). The description of the mechanisms, which are involved during the agglomeration of 42 43 the food powders, still represents a real scientific stake.

45 The wet agglomeration of powders requires the addition of water to generate capillary bridges which adds cohesion between particles. Agglomeration mechanisms result from the spatial 46 47 arrangement of the native particles with the binder components, promoting attractive interactions and links. The contribution of liquid bridges between native particles largely 48 overtakes the physical forces and the van der Waals interactions (Hapgood et al., 2003). For 49 food powders, besides the contribution of physical phenomena, the mechanisms also depend 50 on the physicochemical reactivity of the molecules, which strengthens the adhesion forces 51 between particles, by adding a significant contribution of the viscous forces (Cuq et al., 2013). 52 When the food powders are subjected to the addition of water, and/or to mechanical stresses, 53 the molecules are able to undergo the glass transition and/or irreversible physicochemical 54 changes (e.g. solubilisation, denaturation, diffusion, etc.). The process conditions, including 55 mechanical stress, temperature, water addition, and process time, greatly determine the 56 effective contribution of the physicochemical reactivity of the molecules (Cuq et al., 2013). 57 Based on their chemical composition, food molecules have the capacity to establish, between 58 themselves and with the surrounding molecules, interactions of different energies. Low 59 60 energy interactions include hydrogen bonds, hydrophobic interactions, and ionic interactions, while covalent bonds such as disulfide bonds represent high energy interactions. These 61 interactions contribute to defining their capacity to react when subjected to water addition 62 and/or heat treatments. For examples, the wheat proteins can establish continuous 63 macromolecular network when subjected to water addition and mixing, and they can establish 64 irreversible intermolecular covalent bonds, under heat treatments, that contribute to 65 66 irreversible reticulation mechanisms (Abecassis et al., 2012).

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Wet agglomeration is generally described as a combination of three processes at different 68 rates: wetting-nucleation, consolidation-growth, and attrition-breakage (Iveson et al., 2001). 69 The apparent rate of the agglomeration depends on the specific contribution of each 70 processes. The growth mechanisms depend on the opposite contributions of cohesion and 71 rupture mechanisms. The cohesion forces generate interactions between particles, while the 72 rupture forces and the local shearing effects lead to breakage. The mechanisms of attrition and 73 breakage help to improve homogeneity and strength of the granules by promoting 74 consolidation, in addition to the obvious growth retardation (Reynolds et al., 2005). 75

76 Recent works have investigated the interest to consider the mechanisms of breakage and 77 fragmentation as a major way to produce agglomerates. Rondet (2008) was the first to develop a reverse agglomeration process applied on three different powders (microcrystalline 78 79 cellulose, calcium phosphate, and kaolin) classically used for pharmaceutical applications. The first stage of the process is the preparation of a wet saturated paste by mixing the native 80 81 powder with high amounts of water. The second phase consists of adding the native powder inside the paste during mixing, in order to promote the fragmentation mechanisms and 82 generate the agglomerates with controlled water content. The authors demonstrated the 83 reversibility of the paste transition, between the discrete granular state and the continuous 84 paste state. The paste transition was identified at a specific range of water contents, close to 85 the plastic limits of the powders. The agglomerates are more spherical and compact than those 86 produced by the classical wet agglomeration process. 87

In 2010, a reverse wet granulation process was patented for pharmaceutical uses (Li et al., 2010). Granules are formed by incorporating a mixture of dry powders (*i.e.* the excipients) into the drug-polymer slurry (*i.e.* the active pharmaceutical ingredient), and by drying the products. The granules formed comprise a core containing the active pharmaceutical ingredient having poor aqueous solubility.

Recently, Wade et al. (2013, 2014, 2015) developed a novel reverse-phase wet granulation 93 94 process for pharmaceutical applications, based on the immersion of the dry powder mix, into the binder liquid. From a slurry state, the addition of the powder mass enables mechanical 95 distribution of binder liquid to take place, until the desired particle size is obtained, through 96 controlled breakage. The main mechanisms are supposed to be breakage of the large saturated 97 agglomerates. The binder liquid is dispersed throughout the powder by mechanical 98 distribution. This novel approach is supposed to have the potential advantages of eliminating 99 100 the traditional variations associated to the stage of granule nucleation, and of reducing the

potential risk of uncontrolled growth of the granules. They evaluated the effects of: liquid
saturation, binder liquid viscosity, and impeller speed on the physical and end-uses properties
of the granules.

104

105 The objective of the present paper is to investigate the novel reverse wet agglomeration process by fragmentation of a pasty state, in the specific case of reactive powders, able to 106 form a continuous dough stabilized by a molecular protein network. Durum wheat semolina 107 was used as reactive powder for the present study. The novel process is conducted as two 108 109 main successive phases, during continuous mechanical mixing. The first stage consists of mixing a specific amount of powder and water to produce a continuous saturated paste, called 110 the dough, by controlling the physicochemical reactivity of the biochemical components of 111 the powder. This paste could not be considered as a granular suspension because the original 112 granular state of the native particles is lost. While the cohesion of the granular paste is almost 113 reversible in the previous works (Rondet, 2008), the presence of reactive proteins of the wheat 114 115 (i.e. gluten) in the particles of durum wheat semolina led the formation of a dough stabilized by a biochemical molecular network and the irreversible loss of the native granular state. The 116 second stage consists of in generating the agglomerates, by adding the dry powder inside the 117 continuous dough, during the mixing. The fragmentation mechanisms induce dough 118 disruption and agglomerates production. 119

We have evaluated the impact of the process conditions on the electric consumption, 120 agglomeration yield and characteristics of the agglomerates. Experiments have been 121 conducted by using durum wheat semolina as raw materials. Durum wheat semolina is a 122 reactive powder able to form a continuous protein network, when it is wetted and 123 mechanically sheared (Pollini et al., 2012). The physicochemical reactivity was controlled by 124 the water content of the dough. Two conditions have been tested: low reactivity at low water 125 content of the dough (above the plastic state) and high reactivity at high water content of the 126 dough (above the pseudo-liquid state). The results are compared to those obtained by using 127 128 the classical wet agglomeration process, at the same final water contents of the agglomerates.

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131 2. MATERIAL AND METHODS

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- 133 2.1. <u>Raw materials</u>

Durum wheat semolina of industrial quality (Panzani group, France) was used as raw material
for the agglomeration experiments. Semolina was stored in hermetic containers at 4°C until
experiments were carried out.

Semolina was first characterized using standardized methods. The water content of semolina 137 $(16.4 \pm 0.5 \text{ g water}/100 \text{ g dry semolina})$ was determined according to the approved method 44-138 15A (AACC, 2000), by weighing after oven drying (RB 360, WC Heraeus GmbH, Hanau, 139 Germany) at 105°C for 24 h. The total nitrogen content (TN) of semolina was determined by 140 the Kjeldahl method, and the crude protein content (12.4 g protein/100 g dry matter) was 141 calculated according to TN x 5.7 based on the AFNOR method V 03-050 (AFNOR, 1970). 142 Median value of particle diameter of semolina ($d_{50} = 287 \pm 8 \mu m$) was measured by using laser 143 granulometry (Coulter TMLS 230, Malvern, England) at room temperature. The diameter 144 span $((d_{90}-d_{10})/d_{50})$ was 1.56. The semolina true density $(1.478 \pm 0.005 \text{ g.cm}^{-3})$ was measured 145 146 by helium pycnometry.

Liquid limit and plastic limit, which correspond to Atterberg tests used in soil science (Holtz 147 148 and Kovacs, 1981) are carried out on semolina in accordance with the French P 94-051 and P 94-052-1 methods (AFNOR, 1993, 1995). For granular media, the plastic limit (w_P) is the 149 150 water content which gives the transition between the solid and the plastic state. The liquid limit (w_L) is the water content which gives the transition between the plastic and the pseudo-151 liquid state. The plastic and liquid limit values are measured at respectively 59% and 76% for 152 the durum wheat semolina. The plasticity index (w_L-w_P) is equal to 17% and allows 153 assimilating the durum wheat semolina at a plastic organic soil like plastic silt (Holtz and 154 Kovacs, 1981). 155

156

157 2.2. <u>Agglomeration processes</u>

The present work specifically investigates the effect of two different agglomeration paths (the
direct classical wet agglomeration process and the reverse agglomeration process), by using a
low shear planetary mixer (Fig. 1).

161 *The direct classic wet agglomeration process* is conducted by spraying water directly 162 on the native powder inside the mixer bowl, during the mechanical mixing (Fig. 1). Water 163 spraying is conducted until reaching the defined water content (i.e. the process water content), 164 of the wet agglomerates (30, 40, or 50%).

165 *The reverse agglomeration process* is conducted with two successive steps. A part of 166 the native semolina powder is first mixed in the mixer bowl. The first step consists of 167 generating the continuous wet dough in the mixer bowl, by adding the defined amounts of

water on the native semolina, during the mechanical mixing. Water addition is conducted until reaching the defined water contents, to produce the plastic dough (at 75% water content) or the pseudo-liquid dough (at 110% water content) (Fig. 1). The second step corresponds to the formation of the powders by fragmentation mechanisms, lead by the addition of the native powder. The native powder was poured on the free surface of the dough and incorporated inside by the mechanical mixing. The amount of the added powder is defined to reach the final water content of the wet agglomerates (30, 40, or 50%).

We tested 9 different experimental conditions, which are associated to the 3 process paths and 175 176 to the 3 final water contents of the agglomerates. The reproducibility of the process paths was checked by realizing some experiments in triplicate, at least one for each different process 177 path. Experiments were done by using a batch planetary mixer (Kenwood Major 1200), 178 equipped with a fixed bowl of 5 liters and a K blade that rotates around a vertical axis. All the 179 180 experiments were conducted with the constant final load (1.5 kg) of the wet agglomerates and the constant rotation speed of the blade (70 rpm), to promote the conditions of low shear and 181 182 constant total time of mixing (12 min). The electric consumption of the mixer was continuously measured by using a wattmeter (Wattcom[®]). Before the start of the experiments, 183 we have conducted a stage of no-load mixing, at 70 rpm during a long enough duration (i.e. 184 30 min) to reach steady state conditions of the electric consumption of the mixer (Fig. 2). The 185 initial load of the native powder (Table 1) was rapidly poured inside the mixer bowl and 186 mixed for 2 min. During the wetting stage (Fig. 2), performed during the mixing, water was 187 directly sprayed on the free surface of the powder bed at constant flow rate (5.25 mL.s⁻¹) by 188 using a flat spray nozzle (TPU650017, Spraying System Emani, France) connected to the 189 water supply network. The diameter of the water droplets were estimated to 50-100 µm 190 (Mandato et al., 2012). In these conditions, the dimensionless spray flux was estimated to be 191 around 0.2. This value is slightly higher than the threshold value (0.1) that distinguishes the 192 nucleation regime by the drop controlled, in the nucleation regime map as defined by 193 Hapgood et al. (2003). 194

For the direct process, the spraying time (30-63 sec) was defined to incorporate the necessary mass of water (Table 1) to reach the water content of the final agglomerates. After the spraying phase, the wet agglomerates were mixed until a total time of process of 12 min (Fig. 2).

For the reverse processes, the first stage of the formation of the continuous dough is conducted during a constant time of 2 min. During this stage, the spraying time was defined to incorporate the necessary mass of water (Table 1) to reach the defined water content of the

continuous dough (Fig. 2). During the following fragmentation stage, the addition of the
powder was conducted at constant feeding rate (8.7 g.sec⁻¹) by using a weight feeder (K-SFS24, K-TRON, New Jersey, USA), which was directly placed above the mixer bowl. The time
for the addition of the powder was defined to incorporate the necessary mass of powder
(Table 1) to reach the water content of the wet agglomerates. After the powder addition phase,
the wet agglomerates were mixed until a total process time of 12 min.

208

The wet agglomerates were characterized according to their size distribution immediately 209 210 after the processing. 100 g of product was sampled inside the mixer and poured over a column of 11 sieves of decreasing mesh size (10, 5.6, 2, 1.25, 0.9, 0.8, 0.71, 0.63, 0.5, 0.4, and 0.315 211 mm). The sieve column was moved by gentle manual stirring for 1 min to separate the 212 agglomerate fractions. The repartition of the fractions on each sieve was measured by 213 weighing. The size distribution was expressed as the percent of total weight. Even if the 214 particle size distribution curves were not unimodal, the apparent median diameter (d_{50}) and 215 216 apparent span $((d_{90}-d_{10}) / d_{50})$ of the size distribution were calculated as global apparent descriptors, that could under or over-represent the trend. 217

219 2.2. Consolidation and stabilization of the agglomerates

After the agglomeration stage, the wet agglomerates were consolidated by the steaming stage and they were stabilized by the drying stage. The stages of steaming and drying were only conducted on the wet agglomerates that were sampled between the sieves of 1.25 and 2 mm mesh size. We made this choice, because it corresponds to one of the fields of application expected for our works: the manufacturing of the agglomerated couscous grains of durum wheat (Abecassis et al., 2012). Obviously, the knowledge of the properties of this fraction does not allow describing the properties of the larger or smaller fractions.

Immediately after sieving, the agglomerates were spread as a thin layer (about 3 mm) over a stainless steel plate, inside a steam cooker of 20 L (Ravant Chaudronnerie, France). The stage of steaming was conducted for 15 min at 100°C, under saturated steam flow of 20 kg.h⁻¹, and at atmospheric pressure. The steamed agglomerates were immediately dried as a thin layer (about 3 mm) over stainless steel mesh, by using a pilot scale dryer (Afrem, Lyon, France). The drying was conducted for 60 min at 70°C and at 80% relative humidity. The dried agglomerates were collected and stored inside hermetic plastic cups until characterization.

234

235 2.3. Characterization of the (wet and dried) agglomerates

236

237 <u>Water content</u> - The water content was determined on 3-5 g samples, by a drying method in
238 an oven (RB 360, WC Heraeus GmbH, Hanau, Germany) at 105°C for 24 h (AACC Method
239 44-15A). Mean values were determined from triplicate.

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241 <u>Compactness</u> - The solid mass (m_{Solid}; g dry matter) was first calculated from the weight
 242 values of the agglomerates (m; g) and their water content (w; g water / g dry matter)..

$$m_{Solid} = \frac{m}{1+w} \tag{1}$$

(2)

The density of the agglomerates (ρ ; g.cm⁻³) was determined by using a hydrostatic balance (Precisa serie 321 LX 120A SCS, equipped with kit for determining density for solid and liquid) and using paraffin oil. The solid volume fraction of the agglomerates (ϕ ; cm³ solid matter / cm³) was calculated using Eq. (2).

$$\phi = \frac{V_{Solid}}{V} = \frac{\rho}{\rho_{Solid}^*(1+w)}$$

249 Where V_{Solid} is the volume of the solid matter of the agglomerates (cm³ solid matter) and 250 ρ_{Solid}^* is the true density of material (1.478 ±0.005 g.cm⁻³) measured by helium pycnometry. 251 Mean values were determined from triplicate.

Shearing properties - Shearing properties of the wet agglomerates were measured using the 253 FT₄ powder rheometer (Freeman Technology, Worcestershire, UK) according to Rondet et al. 254 (2013). Measurements were carried out in a cylindrical glass cell (diameter = 50 mm; height = 255 50 mm) and the FT₄ probe (with a helix of a -5° angle and a diameter of 23.5 mm). The 256 sample was subjected to a pre-shearing stage $(0.3^{\circ}.s^{-1} \text{ on } 60^{\circ} \text{ with a pressure of } 15 \text{ kPa})$ 257 carried out with the FT₄ powder rheometer shearing probe. Four shearing cycles were carried 258 out for various normal stresses (1.75, 1.5, 1.25, and 1 kPa), at a speed of 0.3°.s⁻¹. Failure shear 259 stress was recorded for each studied normal stress. Undrained shearing tests were analysed 260 using Mohr's representation of the plane stress state with the normal stress (σ , kPa) and the 261 shearing stress (τ , kPa). The shearing properties were described using the Mohr-Coulomb 262 rupture criterion. 263

$$\tau = \mu \sigma + c \tag{3}$$

where μ is the friction coefficient (dimensionless) and *c* is the cohesion (kPa). The friction coefficient is the slope and cohesion is the intercept with the y-axis of the linear relationship between σ and τ . Experiments were carried out in triplicate.

268

<u>Scanning electron microscopy</u> - The microstructure of the dried agglomerates was observed
 by scanning electron microscopy (JSM-T2000, JEOL, Tokyo, Japan). A sample was mounted
 in epoxy resin and coated by gold to provide the conductivity and the emission of secondary
 electron. For each sample, pictures with different magnifications were taken.

273

<u>Color</u> – A sample of dried agglomerates was densely packed in a cylindrical glass cell (6 cm
 diameter and 2 cm thickness) and levelled off. Color coordinates (L* lightness, a* redness,
 and b* yellowness) were measured directly by using a reflectance colorimeter (Konica
 Minolta CR - 410, France) with the calibration to daily light (D65). Colours measurements
 were carried out in triplicate on three different samples. The arithmetic means of the
 coordinates were calculated together with total color difference.

$$\Delta E = \sqrt{\sum (x_i - x_0)^2}$$

281 Where x is L*, a* or b*, and *i* refers to the different products and 0 means durum wheat 282 semolina as standard.

(4)

(5)

283

Swelling capacity – The swelling capacity of the dried agglomerates was measured according 284 285 to Guezlane and Abecassis (1991). A sample (20 g \pm 0.1 g) was placed inside a graduated 100 mL test tube (25 cm long and 3 cm diameter) containing 50 mL of distilled water. The test 286 287 tube was sealed and turned upside down 10 times successively. Water at 20°C was carefully 288 added to wash down the agglomerates stuck to the internal sidewall of the test tube, until the final volume of the mixture reached 100 mL. The test tube was then placed at room 289 temperature for 30 min. The volume of solid hydrated "phase" was directly read on the tube. 290 Measurements were realized in triplicate. The swelling capacity criterion at 20°C (ml.g⁻¹) 291 corresponded to the ratio the final volume of the hydrated agglomerates (V; ml) over the 292 initial mass of agglomerates (M; g). 293

294

295

296 2.4. <u>Statistical analysis</u>

 $S_{20^{\circ}C} = 100 \frac{V}{M}$

297

The statistical significance of results was assessed using single-factor analysis of variance
(ANOVA). Multiple comparisons were performed by calculating the least significant
difference using Microsoft Excel 2011, at a 5% significance level.

302

303 3. <u>RESULTS</u>

The comparison of the direct or reverse agglomeration processes was conducted by both monitoring the energy consumption during the processing and by measuring the characteristics of the wet agglomerates and of the dried agglomerates.

307

308 3.1. Electric consumption during process

The electric consumption of the mixer was measured during the successive stages of the 309 310 agglomeration processes. During the first stage of no-load mixing, we observed a small peak of electric input power, followed by a progressive decrease of the electric power until it reach 311 312 a constant value, in steady state conditions (data not presented). According to Rondet et al. (2012), the electric input power during the no-load mixing is the sum of the mechanical 313 314 output power for rotating the blade and of the dissipation terms (heat dissipation, motor magnetic dissipation, and motor mechanical dissipation). The measured values of the electric 315 316 input power were normalized by subtracting the plateau value measured at the end of the stage of no-load mixing (Rondet et al., 2012). 317

During the mixing of the initial load of the native powder (Fig. 3), the normalized electric input power values are low. These values (Table 2) are correlated ($R^2 = 0.988$) with the weight of the native powder in the mixer tank before the water addition (Table 1), except when the initial load is too low (less than 400 g load), compared to the mixer size.

322

During the agglomeration stage, the curves of the normalized electric input power are specific of the process. For the direct agglomeration process (Fig. 3A), the electric input power rapidly increases during the spraying of the water (stage 3), due to the increase in cohesion between the particles (Rondet et al., 2013). The electric input power reaches a maximum value at the end of the stage of water spraying, and then progressively decreases during the final stage of mixing, and reaches a final plateau value.

For the reverse agglomeration processes (Fig. 3B-C), we first observe an increase in the electric input power during the stage of water spraying (stage 3), due to the formation of the continuous dough. For the plastic dough conditions (Fig. 3B), the power curve is characterized by a high peak and large bandwidth due to the high consistency of the dough. For the pseudo-liquid dough conditions (Fig. 3C), the power curve is characterized by a slight increase and small bandwidth, due to the liquid behaviour and low consistency of the dough. When starting the final stage of powder addition, we first can observe a short transitory phase

with fluctuations of the electric input power, due to the lubricant effect of the added particles, 336 which are not incorporated inside the dough structure. The addition of powder induces a rapid 337 increase of the electric input power (Fig. 3B-C), due to the increase of the dry matter content 338 of the dough: the continuous dough becomes more cohesive. Similar increases in the cohesion 339 of wheat semolina dough with decreasing water contents were observed by Rondet et al. 340 (2013). They identified a maximum dough cohesion value near 52% water content (i.e. the 341 plastic limit), which is the limit water content, at the structure transition from the continuous 342 dough to the granular pasta. Below 52%, the decrease of the water content decreases the 343 values of the cohesion of the discrete granular cohesive medium. During the reverse 344 agglomeration process (Fig. 3BC), we also observe that final powder addition decreases the 345 346 electric power values, due to the transition from the continuous dough to the discrete granular cohesive medium. During the final period of mixing (Fig. 3BC), the electric input power 347 348 continues to slightly decrease and reaches a final plateau value.

Whatever the processes, increases in the water content (from 30 to 50%) of the wet powders increase the values of the electric power measured at the end of the process (Table 2). This is due to the increase in the cohesion between the wet agglomerates (Rondet et al., 2013).

The measured values of the normalized electric input power were used to calculate the electric energy consumption during each stage of the process (Table 2). For the direct agglomeration process, the values of the total energy consumption are low (11-27 kJ), because the products still stay in a powdered granular state with low cohesion forces, which were determined at 4 to 11 kPa for the water contents ranging from 30% to 50% (Rondet et al., 2013). These forces are low when comparing to the wheat dough with continuous protein network, whatever the end water content of the wet agglomerates.

For the reverse agglomeration processes, the mixing of the continuous dough during the 360 stages of water addition and of fragmentation requires higher level of mechanical energy 361 (Table 2). Whatever the water content, the total energy consumption for the liquid dough 362 conditions (13-38 kJ) is slightly higher than those measured for the direct process. The level 363 of viscosity of the continuous pseudo-liquid dough does not require high levels of electric 364 energy. On the other hand, the total energy consumption for plastic dough conditions (21-61 365 kJ) are largely higher than those measured for the direct process. The cohesive behaviour of 366 the plastic dough requires high level of electric energy during the stage of mixing, whatever 367 the end water content of the wet agglomerates. 368

369

370 **3.2.** Characteristics of the wet agglomerates

To compare the agglomeration processes, we have measured the distribution of the size properties and of the hydro-textural characteristics of the wet agglomerates (diameter, water content, and compactness).

374

375 <u>3.2.1. Size distribution</u>

The agglomeration processes generate agglomerates with dispersed sizes. Whatever the 376 processes and the water contents, the end size distribution display multimodal shapes curves 377 378 (Fig. 4-5). The size distributions of the wet agglomerates were here described by considering four classes of agglomerates according to their diameter: small (d < 0.4 mm), medium (0.4 <379 380 d < 0.71 mm), large (0.71 < d < 1.25 mm), and very large (1.25 mm < d) agglomerates (Fig. 6). Even if the distribution curves of diameter did not display unimodal normal shape, we 381 382 calculated the apparent median diameter (d_{50}) and apparent dispersion parameter (span) as global criteria (Fig. 7). 383

The results demonstrated a significant impact of the process on the slope of the size 384 distribution curves (Fig. 4), whatever the water content. The reverse plastic process is 385 386 characterized by the agglomerates with slightly lower values of the apparent median diameters (-90 µm) and of the apparent span, compared to the direct process (Fig. 7). On the 387 other hand, the reverse liquid process is characterized by agglomerates with slightly higher 388 values of the apparent median diameters (+115 µm), and lower values of the apparent span, 389 compared to the direct process. These effects can be mainly observed at 30% and 50% 390 content, albeit with a greater difference at 50% level. We cannot give explanation into why at 391 40% the D_{50} for all process show similar values. 392

Whatever the process type, the increase of the water content induces expected increases of the apparent median diameter (Fig. 7), with lower contents of the small agglomerates and higher contents of the large agglomerates (Fig. 5-6). The content of the medium agglomerates is the highest at 40% water content. Increasing the water content (from 30 to 50%) does not affect the apparent diameter span values for the reverse processes (Fig. 7).

Large differences in the size distribution curves (Fig. 4-5) and in the apparent span values (Fig. 7) of the wet agglomerates have been found for the low water contents (30 or 40%). For the experiments conducted at 50% water content, only slight differences in the particles size distribution curves (Fig. 4C) and the apparent span values (Fig. 7) were demonstrated between the direct process and the reverse processes. There is a low impact of the process on

the properties of the agglomerates, when the water content is close to the plastic limit and to 403 the formation of continuous dough. 404

405

3.2.2. Water content distribution 406

As showed by Barkouti el al. (2014) for the direct agglomeration, the results demonstrate 407 whatever the process a significant dispersion of the measured values of the water content 408 between the four classes of the agglomerates, defined by their size (Fig. 8): the large 409 agglomerates are more wet than the small ones, whatever the process water content (30, 40, or 410 411 50%).

Increasing the process water content (from 30 to 50%) significantly increases of the measured 412 water content for the agglomerates of medium size (0.4 mm < d < 1.250 mm), but does not 413 affect the water content of the small and of the large agglomerates. 414

For the reverse plastic process, we observe a lower dispersion of the measured water contents 415 between the four classes of agglomerates (Fig. 8B), compared to the direct process (Fig. 8A). 416 417 For the reverse liquid process, we observe the higher dispersion of the measured water contents between the four classes of agglomerates (Fig. 8C), close to those of the direct 418 419 process.

420

3.2.3. Compactness distribution 421

Whatever the process, the results demonstrate a significant dispersion of the measured values 422 of the compactness between the four classes of the agglomerates, defined by their size (Fig. 9-423 10): the large agglomerates are less compact than the small ones, whatever the process water 424 content. Compactness of the agglomerates is linked to their water content, in the same way 425 that Barkouti et al. (2014) have showed. 426

The agglomerates produced by the reverse plastic process are characterized by slightly higher 427 and less dispersed values of the compactness, compared to the direct process. The 428 agglomerates produced by the reverse liquid process are characterized by slightly higher and 429 more dispersed values of the compactness, compared to the direct process. This difference is 430 certainly due to the difference between the agglomeration mechanisms. A potential future 431 work conducted at the particle scale could provide relevant data to describe the impact of the 432 reverse agglomeration process on the compactness values. 433

Increasing the process water content (from 30 to 50%) decreases the compactness values of 434 the wet agglomerates, but does not significantly affect the dispersion of the compactness 435 436 values.

437

438 <u>3.2.4. Rheological properties</u>

The cohesion and the friction coefficient were only measured for the selected wet 439 agglomerates (*i.e.* with size between 1.25 and 2 mm). The reverse processes (plastic or liquid) 440 give the agglomerates with higher values of the friction coefficient and lower values of the 441 442 cohesion, when compared with the direct process (Fig. 11). Whatever the process, the cohesion of the bed of the wet agglomerates increases with the process water content. We did 443 not observe significant effects of the process water content on the friction coefficient. Similar 444 445 increase in the cohesion of wheat semolina dough with increasing water contents was 446 observed and discussed by Rondet et al. (2013).

447

448 3.4. Properties of the dried agglomerates

The dried agglomerates were obtained after the stages of steaming and drying of the selected
wet agglomerates (*i.e.* between 1.25 and 2 mm).

451

452 <u>3.4.1. Microstructural description</u>

The topology of the surface of the dried agglomerates was described from scanning electron 453 microscopy (Fig. 12). The process significantly affects the superficial microstructure of the 454 agglomerates. The agglomerates produced by the direct process (Fig. 12A) are characterized 455 by more or less spherical shapes, with some irregularities on their surfaces. They are not 456 dense objects, since an apparent porosity opening on the surface is observed. At the low water 457 458 content, the surface of the agglomerates is made of small particles that seem to be glued to each other but still remained discernible. The increase of the water content during process 459 gives the dried agglomerates with smooth areas on their surface. It is difficult to identify the 460 native particles, because they are partly melted and merged, due to the specific reactivity of 461 the hydrated wheat proteins which composes the semolina particles. 462

The agglomerates produced by the reverse processes (Fig. 12B-C) are characterized with heterogeneous and shapes far from sphere. When processed at low water content (30%) by the reverse processes, the agglomerates are characterized by lengthened shapes. The surface of the agglomerates is made by an apparent continuous medium, with some visible small particles glued, giving the aspect of granular roughness. The proportion of the small particles is higher on surface of the agglomerates produced by the reverse plastic process, when compared to those produced by the reverse liquid process.

When processed at intermediary water content (40%) by the reverse processes, the agglomerates are characterized by original flat shapes, comparable to glitters or flakes. The shape ratio (i.e. apparent thickness / diameter) is estimated at 1/5. The surface of these agglomerates is made by the association of particles, which seems to be glued on each other.

When processed at high water content (50%) by the reverse processes, the agglomerates are characterized by heterogeneous and not spherical shapes. The surface of the agglomerates is then made by smooth areas on which some particles are merged. The proportion of the merged particles is lower on the agglomerates produced by the reverse plastic process, than on those produced by the reverse liquid process.

479

486

480 <u>3.4.2. Compactness</u>

Whatever the process water content of the wet agglomerates, the compactness of the dried agglomerates produced by the reverse plastic process (0.841) or by the reverse liquid process (0.848) are slightly higher than those produced by the direct process (0.831) (Fig. 13). We do not identify significant effect of the process water content on the values of compactness for the dried agglomerates.

487 <u>3.4.3. Colour properties</u>

The colour characteristics of dried agglomerates produced by the reverse processes are significantly different to those produced by the direct process (Fig. 13). The colour difference with wheat semolina (ΔE) is close to 36.8 for the agglomerates produced by the direct process. The reverse plastic process produced agglomerates with lower values of colour difference ($\Delta E = 33.4$), due to specific values of the brightness (L*). For the reverse liquid process, the colour difference ($\Delta E = 35.9$) is close to those of the direct process.

494

495 <u>3.4.4. Swelling properties</u>

The process influences the swelling ability of the agglomerates (Fig. 13). The swelling capacity of the dried agglomerates describes their ability to interact with water molecules, which depends on the crystalline state of the starch granules, and on the ability of the porous agglomerates to swell and entrap water inside the internal voids (Cuq et al., 2013).

The swelling capacity of the dried agglomerates produced by the reverse plastic or liquid process (3.10 or 3.08 mL.g⁻¹) are slightly higher than those measured for the direct process (2.95 mL.g⁻¹). Whatever the process, the increases in the process water content of the wet agglomerates before the stage of steaming increase their swelling capacity after the drying.

Higher water contents of the wet agglomerates enhance the mechanisms of gelatinization of
the starch granules during the steaming stage, the loss of the crystalline structures, and the
formation of amorphous hygroscopic structures in the dried agglomerates.

507

508 4. DISCUSSION

The major aim of this work was to investigate the performance of an original new reverse agglomeration process (based on the fragmentation of a dough and the formation of agglomerates, by adding a dry powder), in comparison with the direct wet agglomeration process: water droplets on particles, or the novel reverse-phase wet granulation process: particles in water layer (Wade et al., 2014).

514

During the reverse agglomeration process, the first stage of the elaboration of the continuous 515 dough is conducted to initiate physicochemical mechanisms based on the reactivity of the 516 wheat components under high water contents and mechanical shear stresses. These 517 mechanisms generate irreversible changes of the wheat components (solubilization of proteins 518 519 and fibers, formation of protein network) inside the dough (Bloksma 1990, Eliasson 1990,). Two conditions of dough water contents were investigated to modulate the extent of the 520 physicochemical mechanisms: the reserve plastic process at 75% water content, with the 521 dough of high consistency and low reactivity; the reverse liquid process at 110% water 522 content, with the dough of low consistency and high reactivity. 523

524

The present study allows investigating the apparent electric cost of the physicochemical 525 mechanisms during the process, and more particularly during the period of mixing of the 526 continuous dough and of the fragmentation stage. Compared to the direct process, the electric 527 cost of the reverse process is almost similar when considering the liquid dough conditions 528 (Table 2). The low consistency of the liquid dough does not require overconsumption of the 529 530 electric power in order to be mixed. The high molecular reactivity under the liquid dough conditions does not generate electric overcosts during the agglomeration process. The electric 531 532 costs of the mixing of the continuous dough of low consistency are close to those of the mixing of the granular paste in the classic agglomeration process. On the other hand, the 533 534 electric cost is higher when considering the plastic dough. The high consistency of the plastic dough requires electric overconsumption during the stage of mixing. 535

The energy cost of the reverse agglomeration process is thus not proportional to the extent of physicochemical mechanisms involving the wheat components. The reverse agglomeration process can thus be managed to promote different irreversible physicochemical reactions without negative impacts on the electric energy costs.

540

The present work demonstrates that the <u>characteristics</u> of the continuous dough are important factors during the reverse agglomeration process, because they directly contribute to the physical mechanisms involved in the formation of the agglomerates. They impact more particularly the relative dispersion of the individual properties of the agglomerates.

545 (*i*) The low consistency of the liquid dough is favourable to the mixing efficiency of 546 the mixer, in order to quickly generate homogeneous dough at the end of the initial stage of 547 the addition of water. On the other hand when considering the plastic dough with the high 548 consistency, more process time is required during the initial stage of mixing in order to obtain 549 a homogeneous dough.

However, the present results do not demonstrate the lower dispersion of the individual properties of the agglomerates produced by the liquid reverse process, when compared with the reverse plastic dough process (Fig. 8-9). The capability of the mixer to homogenize the dough thus does not seem to affect the dispersion of the individual properties of the agglomerates.

(ii) The final stage of the addition of power during the reverse process is conducted in 555 order to promote the controlled breakage mechanisms of the continuous dough. The 556 breakage mechanisms are generated by adding the native particles under mechanical stresses 557 to produce the wet agglomerates. The wet agglomerates are made by the association of small 558 pieces of dough and of the dry native particles. It should be pointed out that the spans of size 559 distributions obtained by using the reverse process are narrower than that obtained for direct 560 agglomeration process. The breakage mechanisms during the reverse process are thus not less 561 efficient in order to produce grains with homogeneous characteristics, compared to the growth 562 563 mechanisms during the direct process. The reverse process makes it possible to increase the agglomeration yields of the process. Wade et al. (2014, 2015) also indicated that size 564 distribution of the granules may be simpler to be controlled in the reverse-phase granulation 565 process. The size of the granules progressed towards a unimodal distribution indicating that 566 improved mechanical dispersion occurred. 567

The present work demonstrates that the ability of the continuous dough to be fragmented in 569 small pieces is partly dependent on the characteristics of the dough. The low consistency of 570 the liquid dough is favourable to the dispersed mechanisms of breakage: this allows the 571 formation of pieces of dough, with high dispersion in the sizes and properties (Fig. 8-9). On 572 the other hand, the high consistency of the plastic dough requires more energy for the 573 breakage mechanisms: the high cohesion of the dough favours the effects of mixing and 574 allows the formation of homogeneous pieces of dough, with low dispersion in the size and 575 576 properties, such as water content or compactness.

577

Strong differences in the **microstructure** of the agglomerates were demonstrated when 578 comparing the direct and reverse processes (Fig. 12). The direct process generated classical 579 round to ellipsoid structures. The reverse processes generate particles with no spherical 580 shapes, but with original shape close to the flakes. Our results, show different trends when 581 compared to Rondet (2008) who found that the reverse agglomeration experiments produced 582 583 "more spherical and compact (agglomerates) than those produced by the classical wet agglomeration process". These differences could be due to differences in the range of plastic 584 585 and liquid behaviour of the continuous dough. In addition, their microstructure is heterogeneous due to the fact that native particles at the surface are entrapped in an apparent 586 pasty matrix. This makes it possible to generate composite material with different 587 components. The end use properties can thus significantly differ from those of the 588 agglomerates obtained thanks to the direct process. It makes it possible to consider the 589 prospect of new product development in which the added powder is different from that used 590 to generate the dough. 591

592

The variations of the compactness and water content of the agglomerates during the process 593 can be discussed by using the hydro-textural diagram (Ruiz et al., 2005) (Fig. 14). This 594 diagram is limited in its upper part by the saturation curve, which represents the maximum 595 water content that a medium of a given compactness can contain. Whatever the process, the 596 increase in agglomerates size is concomitant with an increase in their water content and a 597 decrease of their compactness. The results are similar to those obtained thanks to a classical 598 agglomeration process, in the conditions of wetting and mixing which corresponding to the 599 drop control regime of nucleation (Rondet et al., 2010). Nevertheless, the agglomerates 600 generated in this study (and whatever the process chosen) are found to be totally saturated 601 602 with water (Fig. 14). In the case of the reverse process, this result is not surprising insofar the

agglomerates are generated from initially saturated dough pieces. When the direct
 agglomeration process is considered, the saturation of agglomerates is dependent on the
 conditions of wetting and mixing, that impose a mechanical dispersion regime of nucleation.

Wade et al. (2014) have demonstrated that the reverse-phase process is able to generate 606 607 granules with low intragranular porosity when starting from dense suspension, due to the complete filling of intraparticulate pores by the binder liquid in the initial phases of the 608 process. The addition of further powders reduces the liquid saturation of the granules, with the 609 desired particle size being obtained through controlled breakage. Proposed advantages of the 610 611 reverse-phase process over the conventional wet granulation approach include a reduced risk of uncontrolled granule growth. Wade et al. (2015) have indicated that the conventional 612 process showed granule growth driven by viscous forces, whereas the reverse-phase process 613 showed granule breakage driven by capillary forces. 614

615

616 5. <u>CONCLUSION</u>

The different agglomeration processes have been found to generate agglomerates with 617 specific functional attributes, due to the physicochemical reactivity of the wheat semolina 618 619 components. Dough characteristics and fragmentation mechanisms have been found to contribute to the determinism of the end use properties of the agglomerates. The multiplicity 620 of mechanisms and interactions identified during the reverse agglomeration of the durum 621 wheat semolina offers the opportunity to generate diversity of the agglomerates. The 622 relationship between the process parameters and the end use properties of the resulting 623 agglomerates still needs to be better understood, for instance by investigating the spatial 624 distribution of the mechanisms at a local scale, inside the structure of the agglomerates. This 625 work offers innovative potentials to design the reverse agglomeration process for food 626 powders and generate news products. 627

628

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Figure 1: Schematic description of the three investigated agglomeration processes, direct process (A), reverse plastic process (B), or reverse liquid process (C), as a function of the process water content.

Figure 2: Schematic description of the successive stages during the three investigated agglomeration processes: direct process (A) or reverse processes (B).

Figure 3: Typical normalized electric input power curves monitored during the agglomeration of wet agglomerates at 30% water content. With the direct agglomeration process (A), the reverse plastic process (B), and the reverse liquid process (C).

Figure 4: Impact of the agglomeration process (direct process, reverse plastic process, or reverse liquid process), and of the process water content (at 30% (A), 40% (B), or 50% (C) water content), on the measured particle size distribution curves of the wet agglomerates.

Figure 5: Impact of the process water content (at 30, 40, or 50%) and of the agglomeration process (direct (A), reverse plastic (B), or reverse liquid (C) process) on the measured particle size distribution curves of the wet agglomerates.

Figure 6: Mass proportion for the 4 classes of the wet agglomerates, as a function of the process water content (at 30, 40, or 50%) and the process type: direct process (A), reverse plastic process (B), or reverse liquid process (C).

Figure 7: Impact of the process water content on the apparent median diameter (d_{50}) (A) and apparent span diameter dispersion (B) of the wet agglomerates produced by the different processes.

Figure 8: Water content values for the 4 different classes of the wet agglomerates, as a function of the process water content (at 30, 40, or 50%) and the process type: direct process (A), reverse plastic process (B), or reverse liquid process (C).

Figure 9: Compactness values for the 4 classes of the wet agglomerates, as a function of the process water content (at 30, 40, or 50%) and the process type: direct process (A), reverse plastic process (B), or reverse liquid process (C).

Figure 10: Impact of the process water content on the compactness of the selected wet agglomerates (1.25 < diameter < 2 mm), produced by the different processes.

Figure 11: Impact of the process water content on the rheological properties (cohesion and friction coefficient) of the selected wet agglomerates (1.25 < diameter < 2 mm) produced by the different processes.

Figure 12: Microstructure by SEM of the dried agglomerates produced by the different process (direct, reverse plastic, or reverse liquid process) at the different process water content (at 30, 40, or 50%).

Figure 13: Impact of the process water content on the properties (compactness, color characteristics and the swelling properties) of the dried agglomerates, produced by the different processes.

Figure 14: Hydro-textural description (compactness vs. measured water content) of the wet agglomerates and of the dried agglomerates, produced by the different processes (direct, reverse plastic, or reverse liquid processes).

Table 1: Description of the experimental conditions (initial load of powder, mass of added water, and mass of added powder) defined for the successive stages of the agglomeration processes at the different process water content.

	Process water	Initial load of	Mass of added	Mass of added	Total final
	content (% db)	powder (g)	water (g)	powder (g)	Load (g)
Direct	30	1347	153		1500
	40	1250	250		1500
	50	1167	333		1500
Reverse	30	307	154	1039	1500
(75%)	40	500	250	750	1500
	50	667	333	500	1500
Reverse	30	191	153	1156	1500
(110%)	40	314	252	934	1500
	50	417	333	750	1500

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Table 2: Mechanical energy consumption (kJ) measured during the successive stages of the agglomeration processes at the different process water content.

	Process	Mechanical energy consumption (kJ)					
	water content	Total	Initial load	Water addition	Fragmen-tation		
	(% db)	Process	mixing	stage	stage		
Direct	30	$11.3 (\pm 0.6)^{a}$	$0.76 (\pm 0.07)^{e}$	$10.4 (\pm 0.9)^{\text{de}}$	-		
	40	$12.9 (\pm 0.8)^{b}$	$0.60 (\pm 0.05)^{d}$	$12.3 (\pm 1.2)^{e}$	-		
	50	$27.0 (\pm 1.6)^{d}$	$0.57 (\pm 0.06)^{d}$	$26.4(\pm 2.4)^{\rm f}$	-		
Reverse	30	$25.6 (\pm 1.5)^{d}$	$0.64 (\pm 0.06)^{\text{de}}$	$7.2 (\pm 0.8)^{c}$	$13.1 (\pm 1.4)^{a}$		
(75%)	40	34.3 (±2.0) ^e	0.11 (±0.02) ^b	$9.1 (\pm 1.0)_{\rm d}$	24.9 (±2.6) ^b		
	50	60.6 (±3.5) ^f	$0.26 (\pm 0.03)^{c}$	$10.8 \ (\pm 1.0)^{\text{de}}$	$49.3 (\pm 5.3)^{d}$		
Reverse	30	13.2 (±0.8) ^b	$0.05 (\pm 0.01)^{a}$	$1.4 (\pm 0.2)^{a}$	11.8 (±1.2) ^a		
(110%)	40	$16.7 (\pm 1.0)^{c}$	$0.31 (\pm 0.03)$ ^c	$3.3 (\pm 0.4)^{b}$	$13.1 (\pm 1.4)^{a}$		
	50	37.7 (±2.2) ^e	$0.07 (\pm 0.01)^{a}$	$10.2 (\pm 1.1)^{d}$	36.6 (±4.2) ^c		

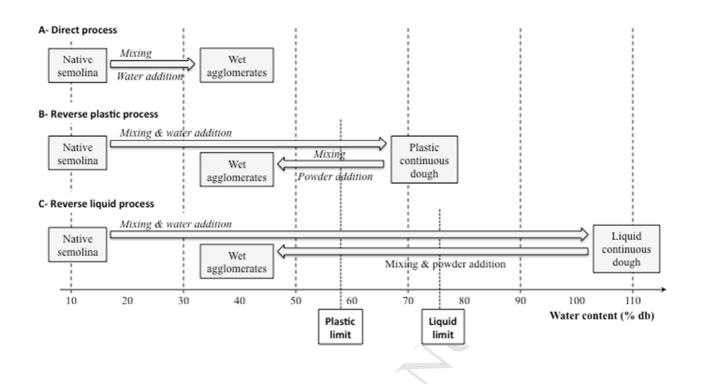


Figure 1: Schematic description of the three investigated agglomeration processes, direct process (A), reverse plastic process (B), or reverse liquid process (C), as a function of the process water content.

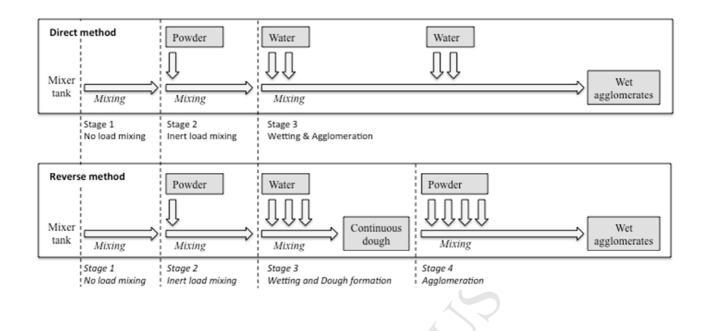


Figure 2: Schematic description of the successive stages during the three investigated agglomeration processes: direct process (A) or reverse processes (B).

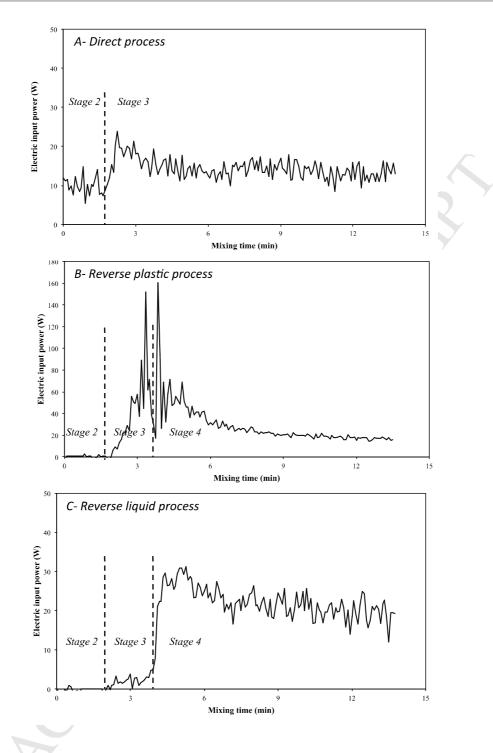


Figure 3: Typical normalized electric input power curves monitored during the agglomeration of wet agglomerates at 30% water content. With the direct agglomeration process (A), the reverse plastic process (B), and the reverse liquid process (C).

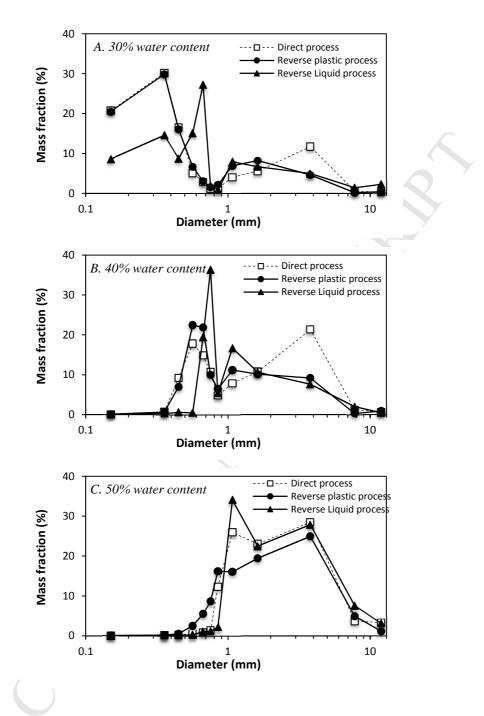


Figure 4: Impact of the agglomeration process (direct process, reverse plastic process, or reverse liquid process), and of the process water content (at 30% (A), 40% (B), or 50% (C) water content), on the measured particle size distribution curves of the wet agglomerates.

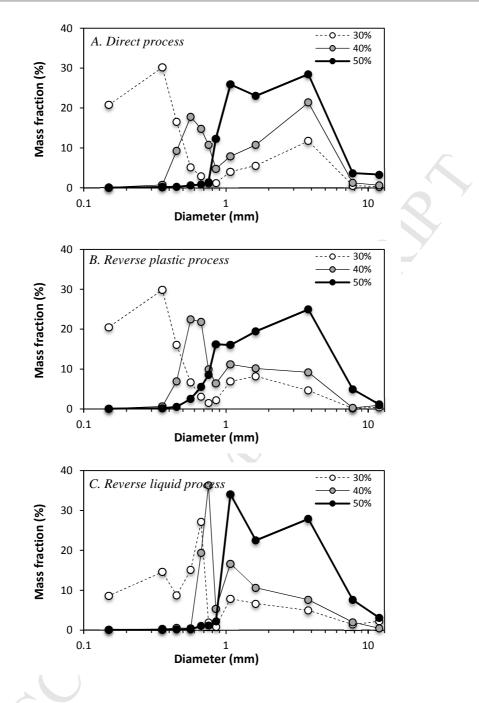


Figure 5: Impact of the process water content (at 30, 40, or 50%) and of the agglomeration process (direct (A), reverse plastic (B), or reverse liquid (C) process) on the measured particle size distribution curves of the wet agglomerates.

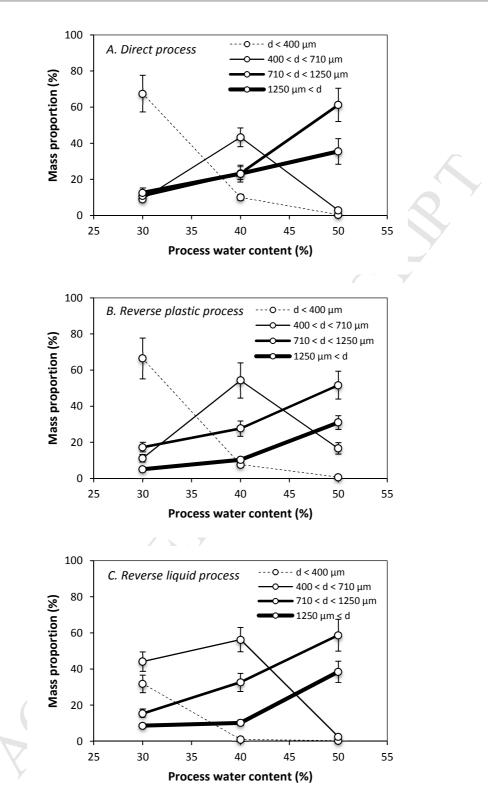


Figure 6: Mass proportion for the 4 classes of the wet agglomerates, as a function of the process water content (at 30, 40, or 50%) and the process type: direct process (A), reverse plastic process (B), or reverse liquid process (C).

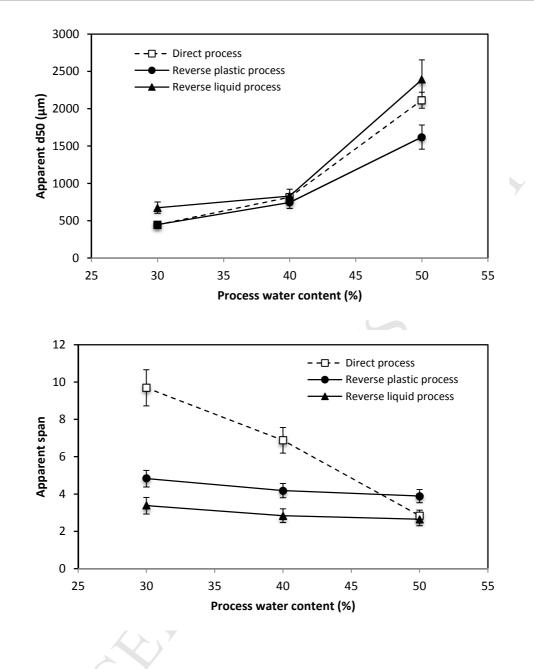


Figure 7: Impact of the process water content on the apparent median diameter (d_{50}) (A) and apparent span diameter dispersion (B) of the wet agglomerates produced by the different processes.

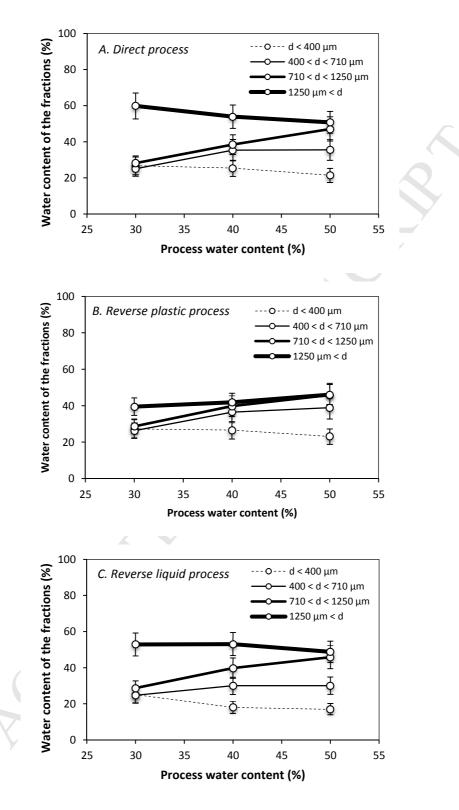


Figure 8: Water content values for the 4 different classes of the wet agglomerates, as a function of the process water content (at 30, 40, or 50%) and the process type: direct process (A), reverse plastic process (B), or reverse liquid process (C).

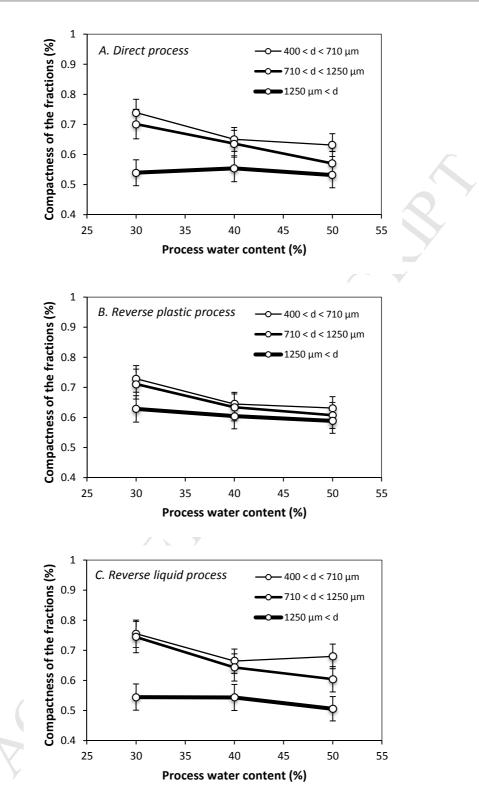


Figure 9: Compactness values for the 4 classes of the wet agglomerates, as a function of the process water content (at 30, 40, or 50%) and the process type: direct process (A), reverse plastic process (B), or reverse liquid process (C).

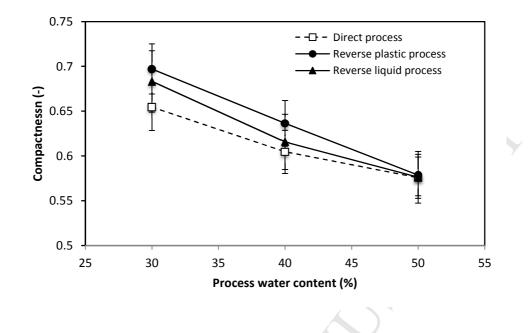


Figure 10: Impact of the process water content on the compactness of the selected wet agglomerates (1.25 < diameter < 2 mm), produced by the different processes.

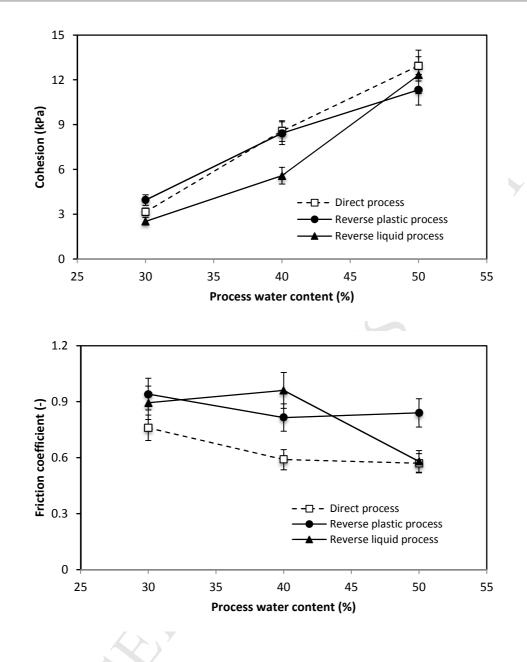


Figure 11: Impact of the process water content on the rheological properties (cohesion and friction coefficient) of the selected wet agglomerates (1.25 < diameter < 2 mm) produced by the different processes.

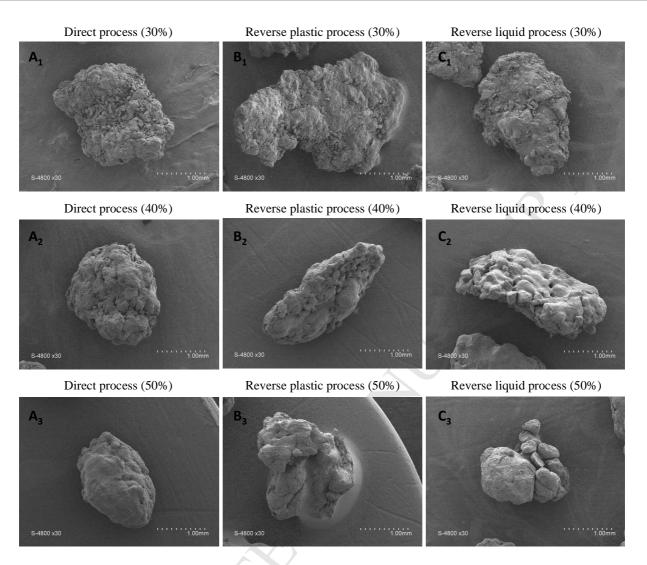


Figure 12: Microstructure by SEM of the dried agglomerates produced by the different process (direct, reverse plastic, or reverse liquid process) at the different process water content (at 30, 40, or 50%).

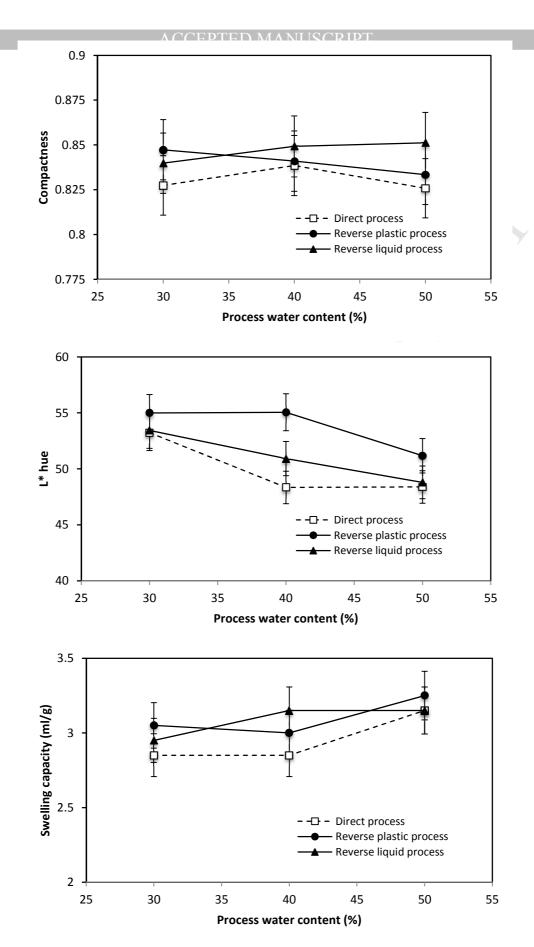


Figure 13: Impact of the process water content on the properties (compactness, color characteristics and the swelling properties) of the dried agglomerates, produced by the different processes.

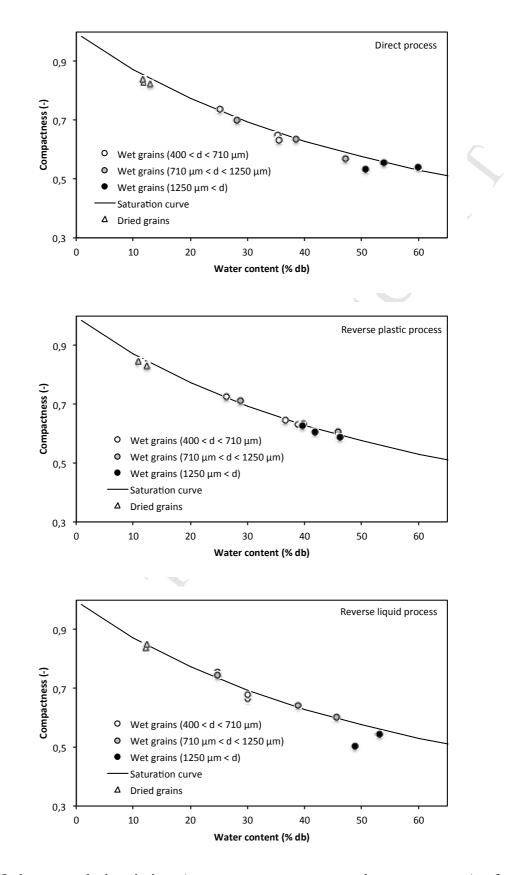


Figure 14: Hydro-textural description (compactness vs. measured water content) of the wet agglomerates and of the dried agglomerates, produced by the different processes (direct, reverse plastic, or reverse liquid processes).

Highlights

Innovative process to agglomerate wheat powder

Agglomerates were produced by the novel reverse wet agglomeration process.

The process conditions control the properties of the agglomerates.

The agglomeration process is mainly promoted by fragmentation mechanism.

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