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## Synthesis of Ni-poor NiO nanoparticles for p-DSSC applications

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### ABSTRACT

To improve the performances of p-Dye Sensitized Solar Cell (p-DSSC) for the future, the synthesis of modified p-type nickel oxide semiconductor, commonly used as photocathode in such devices, was initiated with  $\text{Ni}_3\text{O}_2(\text{OH})_4$  as precursor. This specific nickel oxyhydroxide was first characterized by X-ray photo-electron spectroscopy and magnetic susceptibility measurements. Then its thermal decomposition was thoroughly studied in order to control the particles size of the as-prepared NiO nanopowders. Low temperature decomposition in air of this precursor allows the formation of  $\text{Ni}_{1-x}\text{O}$  nanoparticles with a large amount of Ni vacancies and specific surface areas up to  $250 \text{ m}^2 \cdot \text{g}^{-1}$ . Its ammonolysis at  $250^\circ\text{C}$  leads to nanostructured N-doped NiO (NiO:N) materials.

*Keywords : Synthesis, Ni-poor NiO, Nitrogen doping, Nanoparticles*

## 1. Introduction

Over the past decade, p-type semiconductors (p-SC) have known a renewed interest for applications for light-emitting diodes, transistors, solar cells, etc. In particular, since the achievement of the first Dye Sensitized Solar Cells (DSSC) by Grätzel in 1991 [1], a new generation of solar cells has been developed where the n-type SC is replaced by a p-type one [2]. Such devices are based on the photoinjection of holes instead of electrons in the external circuit. To date nickel oxide (NiO) is the reference p-type semiconductor for p-DSSC applications [3]. However yields are still far from those of n-DSSC and many studies aim to replace NiO by nanoparticles of  $\text{CuAlO}_2$ ,  $\text{CuGaO}_2$ ,  $\text{CuCrO}_2$  or  $\text{NiCo}_2\text{O}_4$  to achieve higher photovoltaic performances [4-7]. Following our recent synthesis of N-doped ZnO nanoparticles with large amount of Zn vacancies (up to 20%) and stabilization of p-type charge carriers [8], we focus on the preparation of Ni-poor NiO and N-doped NiO nanoparticles to improve the p-type semiconductivity of NiO. Its origin is still not totally elucidated but is commonly attributed to a nickel non-stoichiometry inducing a  $\text{Ni}^{3+}/\text{Ni}^{2+}$  mixed valence in  $\text{Ni}_{1-x}\text{O}$ . The nanostructuration will also be a key factor to optimize the p-SC/Dye contact surface. Indeed, to integrate perfectly a p-SC in a p-DSSC, a high specific surface area is necessary to coat the largest amount of dye molecules on NiO nanoparticles to favor the exchange of charge carriers and high photogenerated current. The nanostructuration could also promote a change in the chemical formulas with variation of the  $\text{Ni}^{3+}/\text{Ni}^{2+}$  ratio that governs the conducting behavior. Moreover, the substitution of one nitrogen atom for one oxygen atom should allow the increase of the concentration and the mobility of positive charge carriers ( $\text{O}^{2-} \rightarrow \text{N}^{3-} + \text{h}^+$ ) in order to optimize the injection of holes in the electrical circuit of the p-type cell, and thus its performances. We discuss in this work the thermal decomposition of a nickel oxyhydroxide precursor under air and ammonia that leads to nanostructured Ni-poor NiO and N-doped NiO respectively with very high specific surface areas.

## 2. Experimental

### 2.1 Measurements

**X-Ray diffraction.** X-Ray diffraction patterns were recorded in the  $5\text{-}120^\circ$   $2\theta$  range on a Panalytical X'PERT Powder (Cu  $K\alpha$ , 40 kV, 40 mA) diffractometer. In situ temperature (30-700 °C range) X-Ray diffraction patterns were recorded in the  $10\text{-}90^\circ$   $2\theta$  range on a Bruker D8 Advance diffractometer using Cu K-L2,3 radiation. All phase analyses were performed using the HighScore Plus software and all data refinements were carried out with the Fullprof suite software [9].

**Chemical analysis.** Nitrogen and oxygen contents were determined with a Leco TC-600 analyzer using the inert gas fusion method. Nitrogen was detected as  $N_2$  by thermal conductivity and oxygen as  $CO_2$  by infrared detection.

**Density measurements.** The Micromeritics AccuPyc 1330 system was used for density measurements by pycnometry under He pressure.

**Specific Surface Area measurements.** The Brunauer-Emmet-Teller (BET) specific surface area measurements were carried out with a Micromeritics FlowSorb II 2300 instrument using a mixture of  $N_2/He$  (30% / 70%) as gas analyzer.

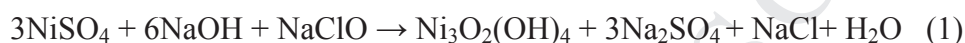
**X-ray Photoelectron Spectroscopy.** XPS spectra (Mg K-L3 = 1253.6 eV) were collected in a Leybold-Heraeus ultrahigh vacuum environment with an analyzer operating in the constant pass energy mode (31.5 eV). All spectra were calibrated in energy using C 1s = 284.7 eV as a reference.

**Transmission electron microscopy.** Transmission electron microscopy (TEM) analyses were realized on a Hitachi H9000NAR (300 kV, Scherzer resolution 0.18 nm) microscope.

**Magnetic measurements.** Magnetic measurements were performed on a Quantum Design SQUID magnetometer (MPMS XLS 5). The data were collected in the temperatures range from 2 to 300 K under a magnetic field of 5000 Oe.

### 2.2 Synthesis of nickel oxyhydroxide nanoparticles

The nickel oxyhydroxide precursor ( $\text{Ni}_3\text{O}_2(\text{OH})_4$ ) was prepared as previously reported [10]. A strong alkaline solution was prepared by dissolving 5.1 g of sodium hydroxide (NaOH, 98 % Sigma-Aldrich) in 36 mL of a sodium hypochlorite solution (NaClO, 5 % available chlorine Acros) used as an oxidizing agent [11]. 15.5 g of nickel sulfate ( $\text{NiSO}_4 \cdot 6\text{H}_2\text{O}$ , 99 % Acros) were dissolved in 35 mL of distilled water. Then the alkaline solution was slowly added dropwise to the nickel sulfate aqueous solution under vigorous stirring to promote the precipitation according to the following chemical reaction (1):



The resulting black suspension was kept under stirring for 90 min at room temperature. The precipitate was separated from the solution by centrifugation at 2500 rpm for 5 min, dispersed in distilled water and separated again by centrifugation. These dispersion/separation cycles were repeated 6 times in order to remove any impurities and the resulting precipitate was dried in an oven at 70 °C overnight. Finally, the product was crushed in an agate mortar to obtain the nickel oxyhydroxide powder precursor which was immediately stored in a desiccator to avoid any surface hydration.

### 2.3 Synthesis of nickel-poor nickel oxide

600 mg of  $\text{Ni}_3\text{O}_2(\text{OH})_4$  precursor placed in an alumina crucible was decomposed in a muffle furnace in air in the temperature range from 250 to 800°C. These temperatures were dwelled for 2 hours with a heating rate of 10 °C.min<sup>-1</sup>. The samples were cool down to room temperature after turning off the furnace and stored in a desiccator.  $\text{Ni}_{1-x}\text{O}$  materials prepared at X °C are hereafter labelled as NiO-X.

#### 2.4 Synthesis of nitrogen doped nickel oxide

200 mg of  $\text{Ni}_3\text{O}_2(\text{OH})_4$  precursor powder was placed in a tubular furnace under  $\text{N}_2$  flow. The furnace was purged during 10 minutes before introducing  $\text{NH}_3$  flow ( $10 \text{ L.h}^{-1}$ ). Then, the temperature was raised in a the  $200\text{-}350^\circ\text{C}$  range with a heating rate of  $10^\circ\text{C.min}^{-1}$  and dwelled for 30 minutes. Finally, the tubular furnace was cooled to room temperature by turning it off and the product was stored in a desiccator.  $\text{Ni}_{1-x}\text{O}$  materials prepared at  $X$  °C are hereafter labelled as NiO-N-X.

### 3. Results and discussion

#### 3.1 Characterization of nickel oxyhydroxide nanoparticles

In order to prepare undoped and nitrogen-doped nickel oxide nanoparticules with a large amount of nickel vacancies, we have proceeded as in our previous work on N-doped ZnO (ZnO:N) [8] and highly Zn-deficient ZnO nanoparticles [12] with zinc peroxide as precursor. Indeed, like  $\text{ZnO}_2$ , nickel (oxy)hydroxide compounds ( $\text{Ni}^{2+}_{1-x}\text{Ni}^{3+}_x\text{O}_x(\text{OH})_{2-x}$  with  $0 \leq x \leq 1$ ), are very unstable oxygen-rich precursors which decompose at low temperature ( $\leq 400^\circ\text{C}$ ) into the cubic-type NiO structure [13-15]. Consequently, the thermal decomposition of  $\text{Ni}^{3+}$ -rich precursors such  $\text{Ni}_3\text{O}_2(\text{OH})_4$  is expected to favor the presence of  $\text{Ni}^{3+}$  and thus to promote the stabilization of nickel vacancies in the resulting nickel oxide. The observed XRD pattern of as-prepared oxyhydroxide is displayed in Figure 1. All the very broad diffraction peaks can be attributed to the  $\text{Ni}_3\text{O}_2(\text{OH})_4$  phase (JCPDS file 06-0144) that crystallizes in a structure type related to that of lamellar  $\text{Ni}(\text{OH})_2$  [16]. To determine the  $\text{Ni}^{3+}/\text{Ni}^{2+}$  ratio and thus to confirm the chemical composition of  $\text{Ni}_3\text{O}_2(\text{OH})_4$ , X-ray photoelectron spectroscopy experiments at the Ni threshold and magnetic susceptibility measurements were carried out. Figure 2 depicts the Ni 2p XPS spectrum of  $\text{Ni}_3\text{O}_2(\text{OH})_4$  and its inverse susceptibility versus temperature. The oxidation states of nickel have been determined from the position in energy of Ni  $2p_{3/2}$  XPS peak. Namely, binding energies (BE) of 852.6, 854.6 and

856.1 eV are assigned to Ni<sup>0</sup>, Ni<sup>2+</sup> and Ni<sup>3+</sup> species, respectively [17]. The peak deconvolution of Ni 2p<sub>3/2</sub> state (Fig. 2a) clearly indicates two oxidation states of nickel; Ni(II) and Ni(III). Ni<sup>3+</sup> 2p<sub>3/2</sub> exhibits a BE at 855.8 eV with a peak surface area of 63 %, while Ni<sup>2+</sup> 2p<sub>3/2</sub> exhibits a BE at 854.3 eV with a peak surface area of 37 %. The resulting Ni<sup>3+</sup>/Ni<sup>2+</sup> ratio equals 2. This ratio can be also estimated from magnetism measurements (Fig. 2b) with the value of the Curie constant (C) calculated from the curve 1/χ = f(T). Using the slope coefficient represented by a grey dashed line, we have determined a Curie constant of 0.63 (SI units) and a magnetic moment μ<sub>eff</sub> = √(8\*C) = 2.24 μ<sub>B</sub>. Considering the experimental magnetic moments (μ<sub>eff</sub>) of Ni<sup>2+</sup> and Ni<sup>3+</sup> (3.2 and 1.7 μ<sub>B</sub> respectively), we calculate the ratio Ni<sup>3+</sup>/Ni<sup>2+</sup> ≈ 2. According to the nickel oxyhydroxide formulation NiO<sub>x</sub>(OH)<sub>y</sub>, the formula is well balanced with two Ni<sup>3+</sup> for one Ni<sup>2+</sup> in agreement with the Ni<sub>3</sub>O<sub>2</sub>(OH)<sub>4</sub> chemical composition identified from the JCDPS database (Fig. 1). The Ni<sub>3</sub>O<sub>2</sub>(OH)<sub>4</sub> powder has also a high specific surface area of 234 m<sup>2</sup>.g<sup>-1</sup> (Table 1) suitable for the synthesis of nickel oxide nanoparticles [18]. This agrees with particles size estimated to be around 2-3 nm from a TEM analysis (Fig. 3a), that explains the overall feature of the X-ray diffraction pattern.

### 3.2 Thermal decomposition of nickel oxyhydroxide nanoparticles

#### 3.2.1 Decomposition of nickel oxyhydroxide in air

Figure 4 represents the temperature evolution of *in situ* XRD patterns recorded during the decomposition of Ni<sub>3</sub>O<sub>2</sub>(OH)<sub>4</sub> in air. The phase transformation from the lamellar oxyhydroxide structure into the NiO cubic structure (NaCl type) occurs around 150-200 °C. From 200 to 700 °C, the full width at half maximum (FWHM) of the diffraction peaks decreases which attests a gradual increase of the crystallite size of NiO with temperature. The picture in inset of Figure 4 shows the sample after a 700 °C heating. The color of the as-prepared NiO sample is grey-black [19], but

becomes green at temperatures typically above 800°C as expected for stoichiometric NiO. Figure 5 shows the evolution of the density of prepared “NiO” samples versus the decomposition temperature of Ni<sub>3</sub>O<sub>2</sub>(OH)<sub>4</sub>. The densities measured for samples prepared up to 600 °C deviates from the theoretical density of stoichiometric bulk NiO (6.81). For samples prepared below 350°C the density is drastically lower than that expected and rises strongly with increasing temperature. For samples prepared at higher temperature ( $\geq 400$  °C), the density is still lower than expected but evolves linearly (see the dashed line) until reaching the theoretical density of stoichiometric nickel oxide at 750°C. The low density measured for samples prepared below 600°C could indicate the formation of nickel oxide nanoparticles deficient in nickel (i.e. Ni<sub>1-x</sub>O) similarly as what was observed during the preparation of zinc deficient zinc oxide [12]. To estimate the amount of nickel vacancies the following equations were used (2, 3):

$$d_{exp} = \frac{Z \times M_{comp}}{N_A \times V_{ref}} \quad (2) \quad x = 1 - \frac{M_{comp} - M_O}{M_{Ni}} \quad (3)$$

with  $d_{exp}$  the measured density;  $Z$  the atomic number;  $M_{comp}$  the experimental molar weight of the compound;  $N_A$  the Avogadro's number;  $V_{ref}$  the refined cell volume;  $M_O$  and  $M_{Ni}$  the oxygen and nickel molar weights respectively.

The density value measured for Ni<sub>1-x</sub>O prepared at 250 °C (NiO-250) would almost correspond to a 50 % nickel deficient Ni<sub>1-x</sub>O material. For NiO-500, the amount of nickel vacancy is estimated to be 20%. Such low densities are correlated to a high specific surface area and low particles size (see below) and the strong propensity of the material to exhibit oxygen or hydroxide terminated surfaces. Due to the nanoscale of the particles, surface moisturization and carbonation are likely to happen leading to lower measured densities, thus the estimated vacancy rate is overestimated. A Rietveld refinement on NiO-500 leads to a lower value ( $\approx 10\%$ ) taking more account of the "bulk" feature of the nanoparticles. The effective vacancy rate is probably intermediate between those



values. Nickel oxyhydroxide seems to be an ideal candidate to prepare Ni-poor NiO samples with tunable Ni deficiency via the control of the decomposition temperature of the precursor. The characterization of the nickel oxides morphology was investigated by TEM microscopy (Fig. 3b, 3c), BET specific surface areas and XRD to calculate the crystallites size by Rietveld refinement (Table 1). TEM images confirm the nanostructuring of NiO-250 (similar case to  $\text{Ni}_3\text{O}_2(\text{OH})_4$ ) as agglomerates of nanoparticles (2-3 nm). As observed by XRD, the increase in temperature allows the further crystallization of NiO samples with larger particles sizes. In fact, NiO-500 is still nanostructured with dispersed particles sizes around 10-15 nm. According to Table 1 the crystallites sizes are very close to the particles sizes determined by TEM analyses. In order to optimize the performances of NiO in p-DSSCs, high specific surface area is necessary to coat a maximum number of dye molecules on NiO nanoparticles. Thus, we have also measured the specific surface areas of Ni-poor NiO samples. The results demonstrate for NiO-250 a very high specific surface area close to  $250 \text{ m}^2.\text{g}^{-1}$  that is 3 times higher than that of Inframat NiO ( $\approx 80 \text{ m}^2.\text{g}^{-1}$ ) commonly used as a reference in p-DSSCs. The thermal treatment at  $250 \text{ }^\circ\text{C}$  maintains the high specific surface area of the nickel precursor despite the structural change from  $\text{Ni}_3\text{O}_2(\text{OH})_4$  to NiO. Note that the specific surface area drops to  $42 \text{ m}^2.\text{g}^{-1}$  for NiO-500, due to the increase in particles sizes.

### 3.2.2 Decomposition of nickel oxyhydroxide under ammonia

To our knowledge, the stabilization of a nitrogen-doped nickel oxide powder has not been evidenced yet. Only theoretical study [20] or N-doped NiO film [21] have been recently reported. However, the study of the decomposition of  $\text{Ni}_3\text{O}_2(\text{OH})_4$  under ammonia flow allows the stabilization of N-doped NiO at low temperatures (see NiO-N-250). Indeed, Figure 6 represents the evolution of XRD patterns of  $\text{Ni}_3\text{O}_2(\text{OH})_4$  nitridation at temperatures ranging from 200 to  $350 \text{ }^\circ\text{C}$ . The investigated temperatures range is narrower than the one for previous  $\text{Ni}_{1-x}\text{O}$  materials because of the rapid reduction of nickel under ammonia at  $T \geq 350^\circ\text{C}$ . At temperatures below  $250 \text{ }^\circ\text{C}$  a

NiO-type cubic phase is formed with very broad peaks. When the nitridation temperature is over 300 °C, a shoulder on the (200) reflection of NiO appears at  $\sim 44.5^\circ 2\theta$  that corresponds to the most expected intense peak of Ni<sub>3</sub>N (111 reflection) (JCPDS file 89-5144). Over 350 °C, Ni<sub>3</sub>N is unambiguously formed. For higher temperatures, nickel cations are definitely reduced into elemental nickel. To assert the insertion of N in NiO-N-250, N(1s) XPS experiments were carried out. Figure 7 displays for NiO-250 no characteristic signal of nitrogen, whereas NiO-N-250 exhibits a N 1s BE peak at 398.2 eV. It proves unambiguously the presence of nitrogen into the NiO host lattice [8]. The nitrogen content in NiO-N-250 was determined to be 0.8 wt. % whereas the nitrogen amount in NiO-250 and Ni<sub>3</sub>O<sub>2</sub>(OH)<sub>4</sub> was measured to 0.11 and 0.19 wt. % respectively. The morphology of NiO-N-250 was investigated by TEM analysis (Fig. 3d), XRD and BET specific surface area (Table 1). The results are quite similar to those of NiO-250, i.e. nanoparticles of 2-3 nm in diameter are observed in agreement with the calculated crystallites sizes. An important result is the conservation under nitridation of the high specific surface area that shifts from 250 to 200 m<sup>2</sup>.g<sup>-1</sup> from NiO-250 to NiO-N-250.

#### 4. Conclusion

The thermal decomposition of nickel oxyhydroxide nanoparticles was thoroughly studied in order to control the particles size of the as-prepared NiO nanopowders. The determination of the Ni<sup>3+</sup>/Ni<sup>2+</sup> ratio by XPS and magnetism in the nickel oxyhydroxide confirms the Ni<sub>3</sub>O<sub>2</sub>(OH)<sub>4</sub> formulation. The decomposition of this compound in air at temperatures lower than 600 °C leads to non-stoichiometric NiO nanoparticles with tunable nickel vacancies concentration and high specific surface areas. Additionally, the stabilization of nitrogen-doped NiO is possible by low temperature nitridation of Ni<sub>3</sub>O<sub>2</sub>(OH)<sub>4</sub>. All these modified-NiO materials aim to be tested soon in a p-DSSC.

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ACCEPTED MANUSCRIPT

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## Figures & table captions

**Table 1.** Summary of the specific surface areas, crystallites and particles sizes for  $\text{Ni}_3\text{O}_2(\text{OH})_4$ , NiO-250, NiO-500 and NiO-N-250 samples.

**Fig. 1.** Powder X-ray diffraction pattern of nickel oxyhydroxide synthesized by precipitation route.

**Fig 2.** a) Ni 2p XPS spectrum of  $\text{Ni}_3\text{O}_2(\text{OH})_4$ ; b) Magnetic properties of  $\text{Ni}_3\text{O}_2(\text{OH})_4$ .

**Fig 3.** TEM images of a)  $\text{Ni}_3\text{O}_2(\text{OH})_4$ ; b) NiO-250; c) NiO-500; d) NiO-N-250 samples.

**Fig 4.** *In situ* XRD study patterns of the decomposition of  $\text{Ni}_3\text{O}_2(\text{OH})_4$  in air. The interval of temperature is 50°C between each XRD data.

**Fig 5.** Evolution of the density and Ni vacancies amount in prepared  $\text{Ni}_{1-x}\text{O}$  samples versus decomposition temperature in air with  $\text{Ni}_3\text{O}_2(\text{OH})_4$  as precursor.

**Fig 6.** X-ray diffraction patterns of N-doped NiO materials issued from the ammonolysis of  $\text{Ni}_3\text{O}_2(\text{OH})_4$  at 200, 250, 300 and 350°C.

**Fig 7.** N 1s XPS spectra of NiO-250 and NiO-N-250 samples.

**Table 1.** Summary of the specific surface areas, crystallites and particles sizes for Ni<sub>3</sub>O<sub>2</sub>(OH)<sub>4</sub>, NiO-250, NiO-500 and NiO-N-250 samples.

<i>Sample</i>	<i>Specific surface area (m<sup>2</sup>.g<sup>-1</sup>)</i>	<i>Crystallite size (nm)</i>	<i>Particle size (nm)</i>
Ni <sub>3</sub> O <sub>2</sub> (OH) <sub>4</sub>	234	-	2-3
NiO-250	247	2.0	2-3
NiO-500	42	9.1	10-15
NiO-N-250	198	1.8	2-3

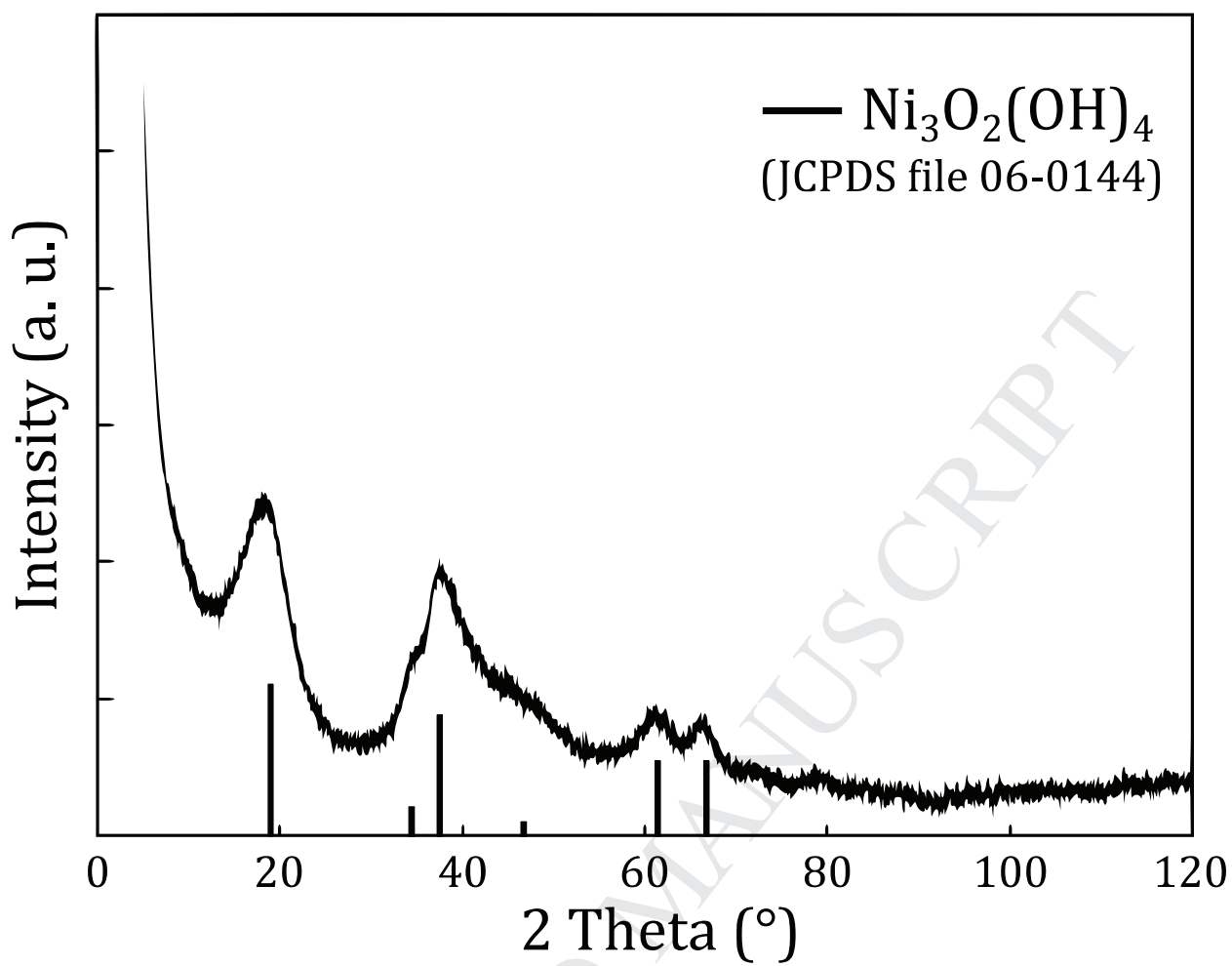


Fig. 1. Powder X-ray diffraction pattern of nickel oxyhydroxide synthesized by precipitation route.



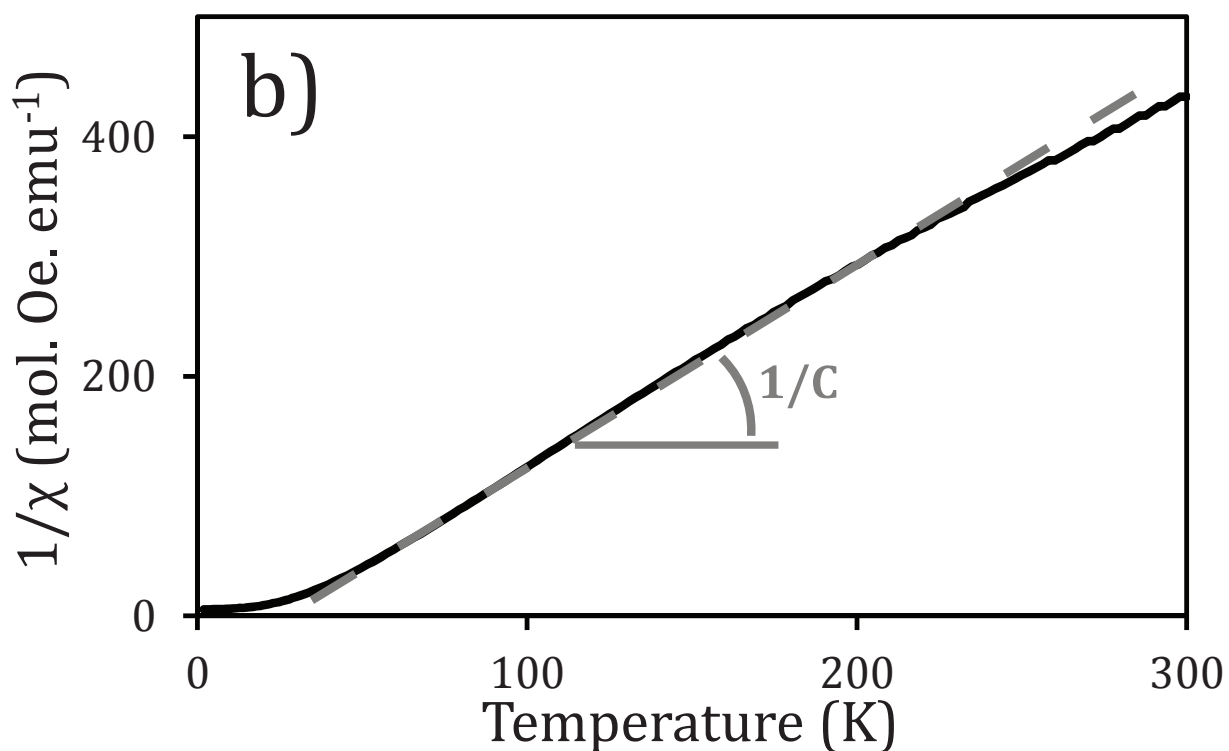
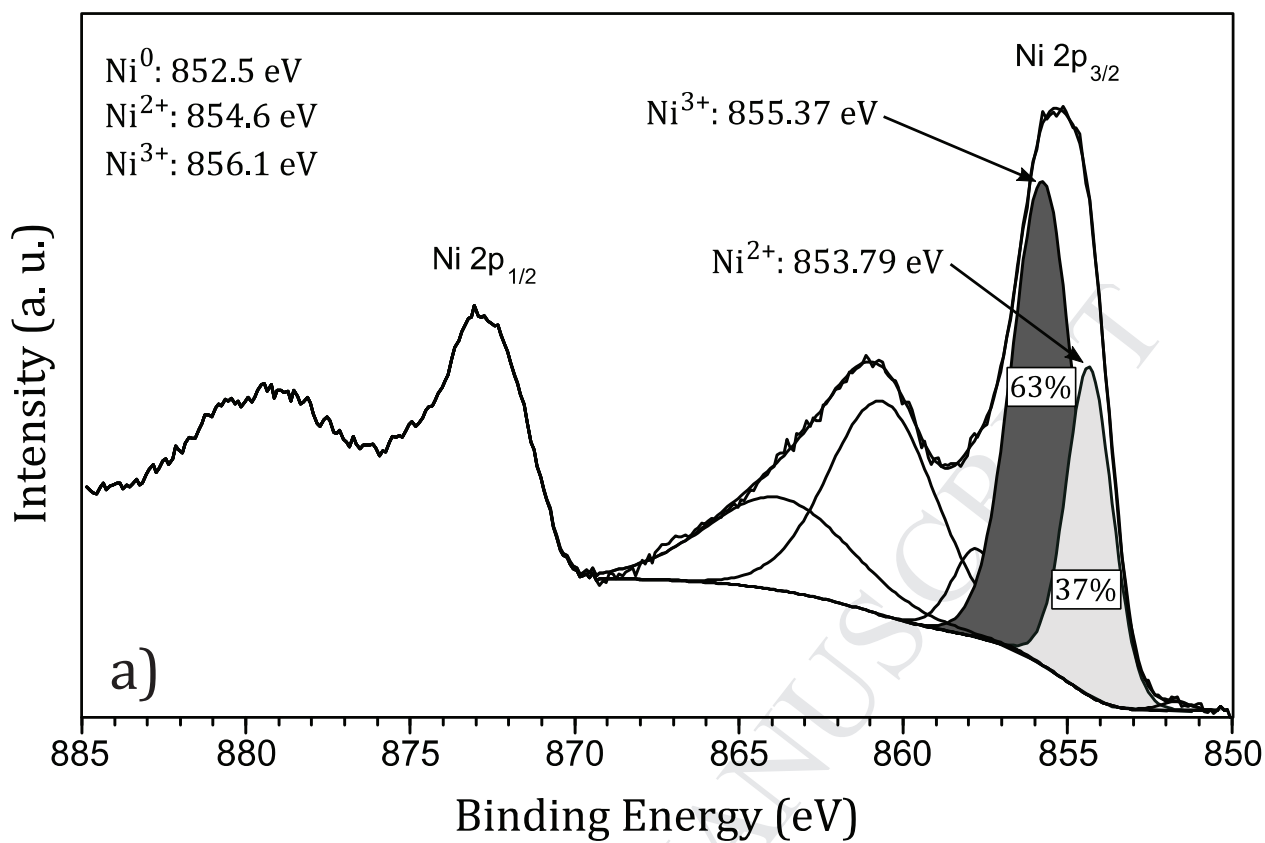
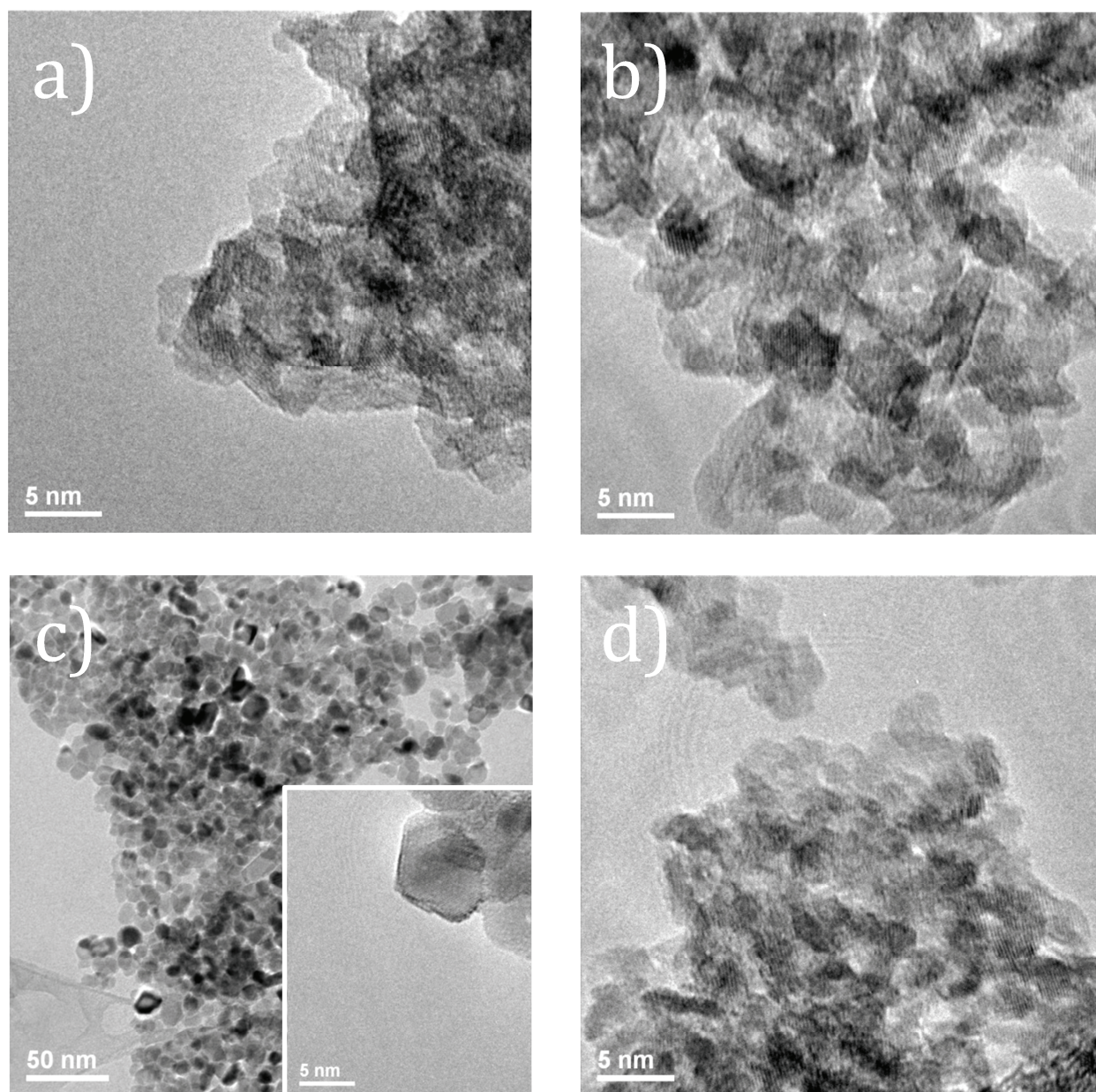
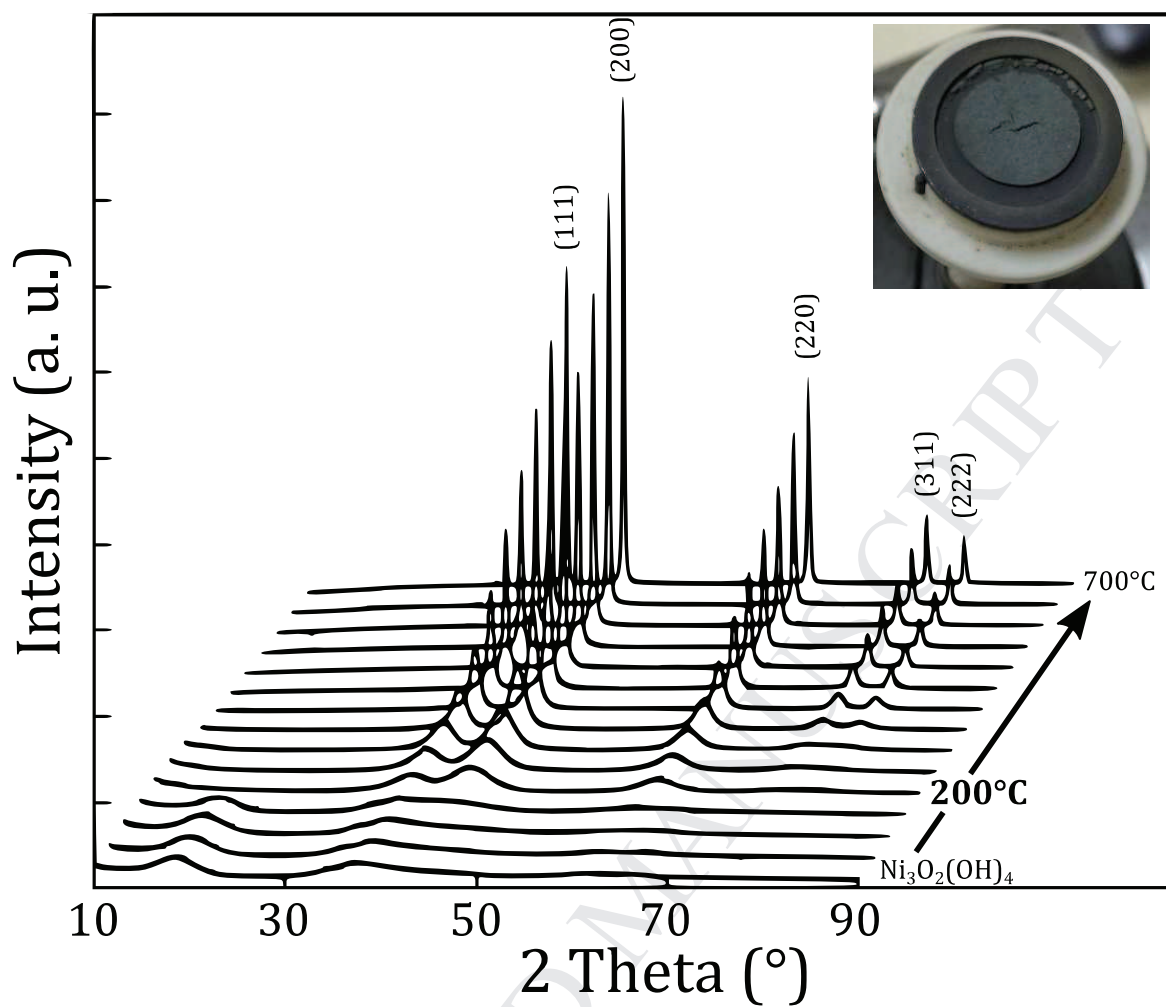


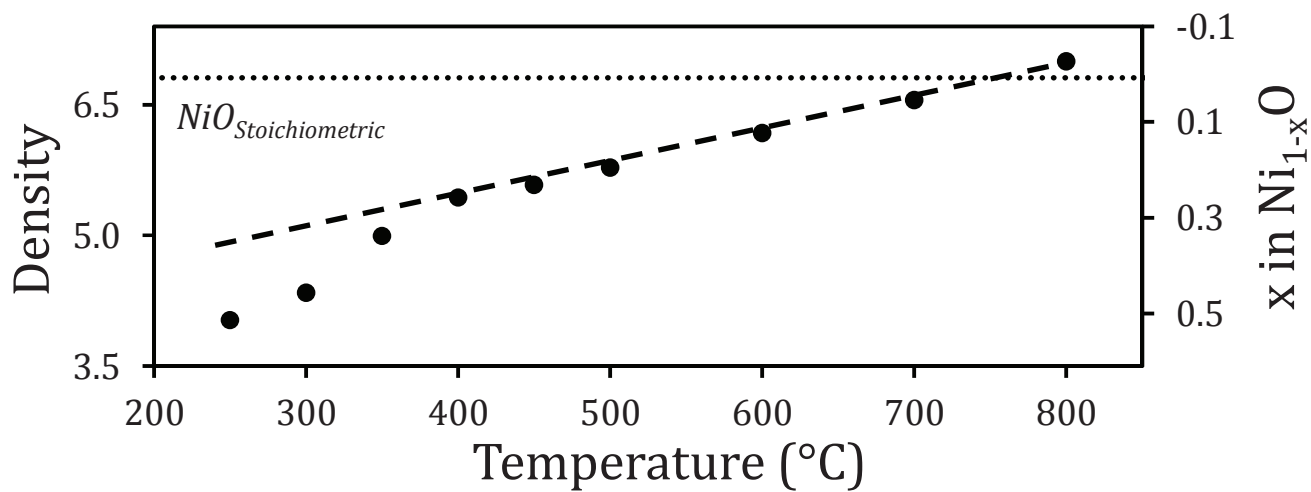
Fig 2. a) Ni 2p XPS spectrum of Ni<sub>3</sub>O<sub>2</sub>(OH)<sub>4</sub>; b) Magnetic properties of Ni<sub>3</sub>O<sub>2</sub>(OH)<sub>4</sub>.



**Fig 3.** TEM images of a)  $\text{Ni}_3\text{O}_2(\text{OH})_4$ ; b) NiO-250; c) NiO-500; d) NiO-N-250 samples.

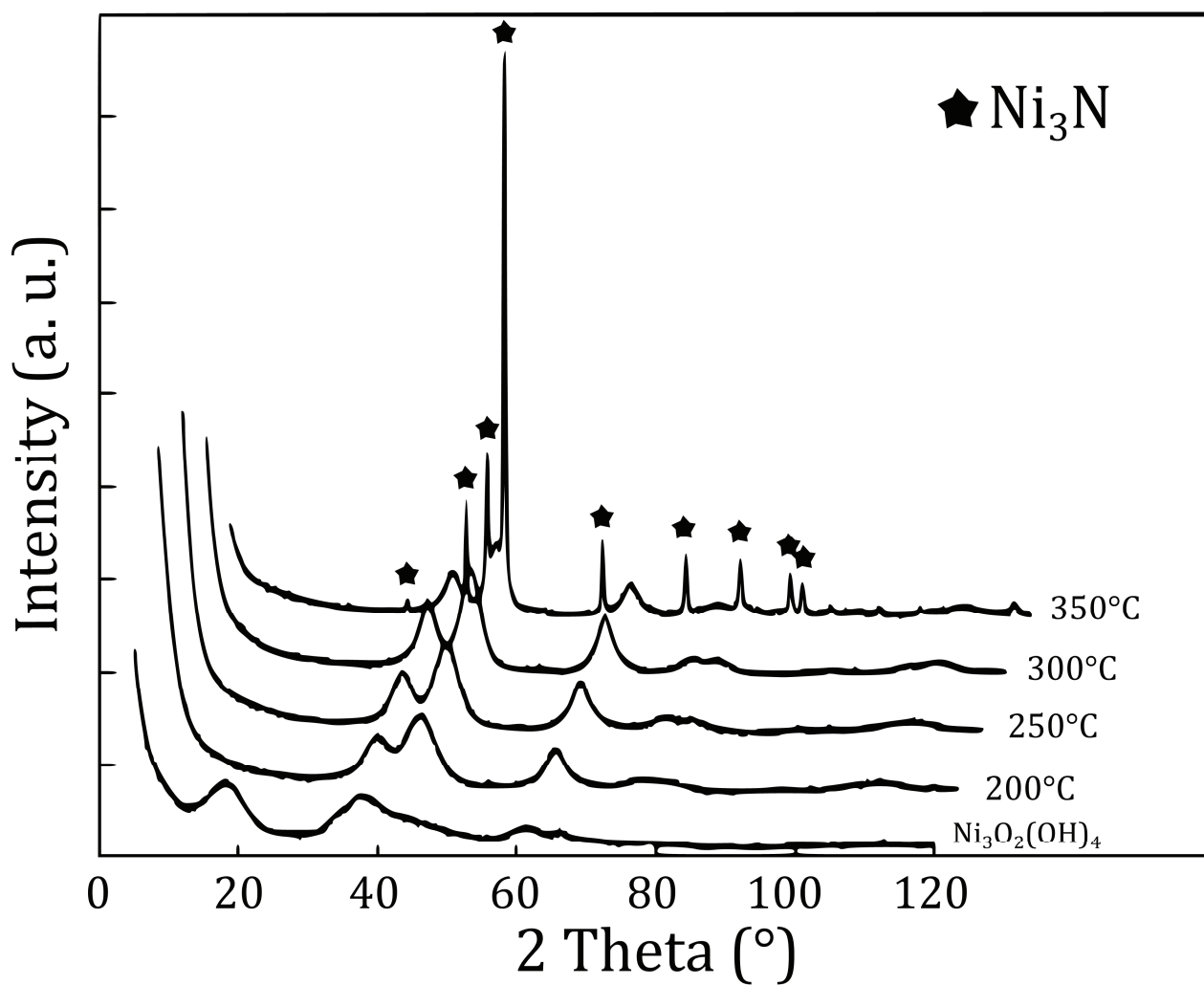


**Fig 4.** *In situ* XRD study patterns of the decomposition of  $\text{Ni}_3\text{O}_2(\text{OH})_4$  in air. The interval of temperature is 50°C between each XRD data.

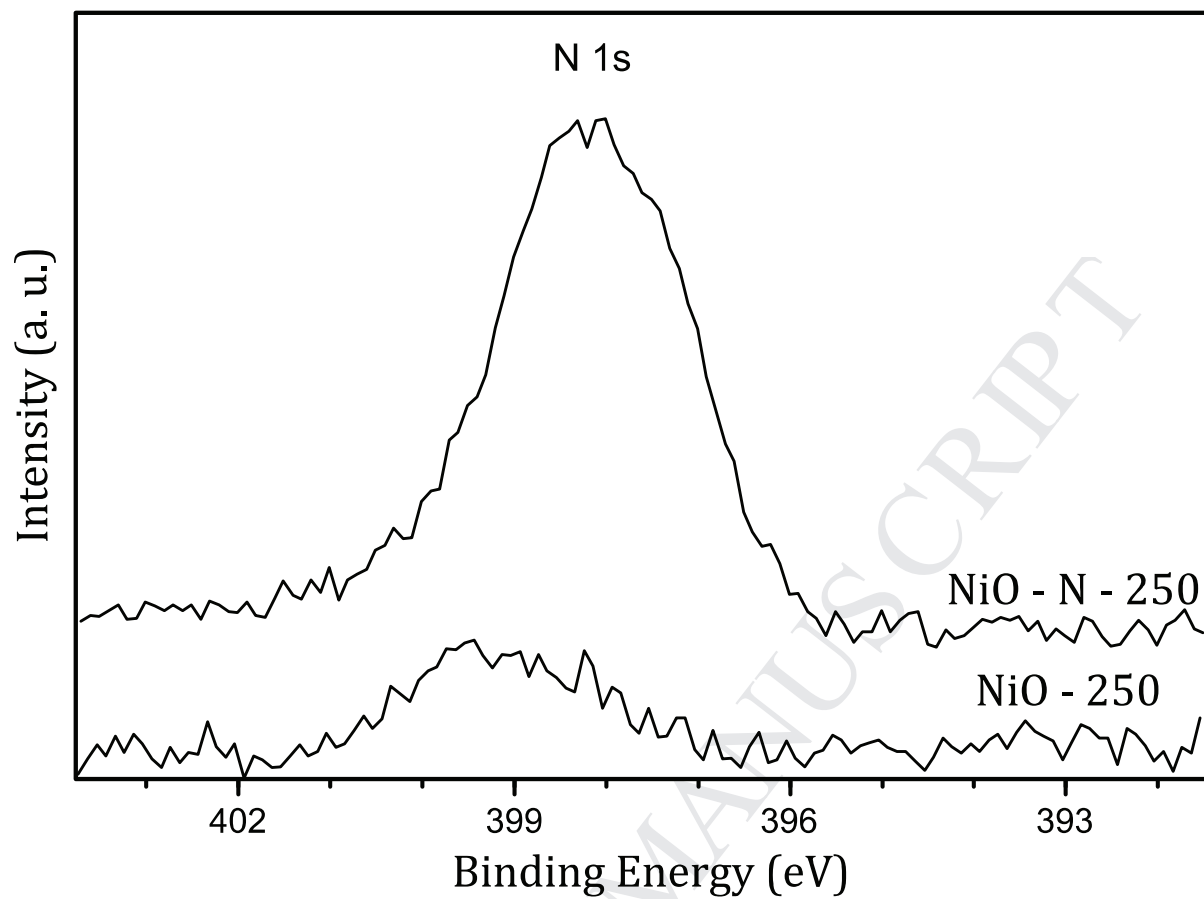


**Fig 5.** Evolution of the density and Ni vacancies amount in prepared  $\text{Ni}_{1-x}\text{O}$  samples versus decomposition temperature in air with  $\text{Ni}_3\text{O}_2(\text{OH})_4$  as precursor.

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**Fig 6.** X-ray diffraction patterns of N-doped NiO materials issued from the ammonolysis of  $\text{Ni}_3\text{O}_2(\text{OH})_4$  at 200, 250, 300 and 350°C.



**Fig 7.** N 1s XPS spectra of NiO-250 and NiO-N-250 samples.

## Highlights

Synthesis of nickel precursor  $\text{Ni}_3\text{O}_2(\text{OH})_4$  nanoparticles with high  $\text{Ni}^{3+}/\text{Ni}^{2+}$  ratio

Synthesis of Ni-poor NiO nanoparticles by thermal decomposition of  $\text{Ni}_3\text{O}_2(\text{OH})_4$

Synthesis of N-doped NiO nanoparticles by ammonolysis of  $\text{Ni}_3\text{O}_2(\text{OH})_4$