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\mathbf{DRAFT} : Optimal Consensus set for $n\mathbf{D}$ Fixed Width Annulus Fitting

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Abstract. This paper presents a method for fitting a nD fixed width spherical shell to a given set of nD points in an image in the presence of noise by maximizing the number of inliers, namely the consensus set. We present an algorithm, that provides the optimal solution(s) within a time complexity $O(N^{n+1}\log N)$ for dimension n, N being the number of points. Our algorithm guarantees optimal solution(s) and has lower complexity than previous known methods.

1 Introduction

Shape fitting is a general problem that is of very practical nature, namely extracting features from data with a high level of noise. This problem has been widely addressed in very different fields spanning from computer graphics and image processing to data mining in large dimensional databases [2]. In this paper we are looking into the problem of optimal fitting an nD annulus of fixed width in an image in the presence of outliers. The set of points which fits a model is called a *consensus set*. Note that an annulus in higher dimensions is sometimes referred to as n-sphere shell. We preferred to use the common denomination annulus for all dimensions.

This paper aims at finding the optimal consensus set (maximal number of inliers) inside a fixed width nD annulus, where the center and the radius are unknowns. Most annuli fitting methods try to minimize the thickness of the fitted annuli [1, 5, 17]. In our case, we are interested in digital circles and spheres and more specifically Andres digital circles and hyperspheres [3] or k-Flake digital circles-spheres [18]. In those cases, the thickness is directly linked with topological properties. The most common fitting methods are based on variants of the RANdom Sample Consensus (RANSAC) algorithm [8], which is a robust parameter estimation algorithm widely used in the field of computer vision. However RANSAC is inherently probabilistic in its approach and does not guarantee any optimality. In this paper we are looking for (all the) optimal solutions in order to generate base solutions to which we can compare and validate other, non exact, methods. It is also a problem when looking for multiple fittings in the same image.

In [20] and [4], brute force algorithms were proposed to compute the optimal consensus set respectively for Andres digital circles [3] (defined as digital points inside a classical annulus of fixed width) and 0-Flake digital circles [18] (8-connected circles) with a time complexity of $O(N^4)$ where N is the number of points. A new method was proposed in [11] for fitting 0-Flake digital circles that has a complexity $O(N^3 \log N)$. Our main contribution in this article is the extension of the fitting problem proposed in [20] to nD and reformulating it using a space transformation similar to the one proposed by [6] which was used in [11]. However the major difference with [6] is that the width is fixed and the parameter we want to maximize is the number of inliers.

The simpler problem of fitting circles and spheres is a largely studied problem. Most common approaches are based on least squares [15] or adapted Hough Transforms [10]. Those are however not adapted for annulus fitting. The circle fitting method proposed by O'Rourke et al. [13,16] that transforms a circle separation problem into a plane separability problem, is also not well suited because the fixed width of the digital circles translates into non fixed vertical widths for the planes. In this case, the problem is complicated (See [14] for some ideas on how to handle this difficulty). For annuli detection, various approaches have been proposed. Most of these methods are probabilistic approaches that minimize the width of the annuli. Among these algorithms, some consider that no noise is present in the image, and concentrate only on the problem of recognition instead of the fitting problem [1, 5, 17]. However noise in real world is omnipresent in the input and so many algorithms dealing with outliers have been proposed [7, 9, 12]; in these algorithms, the number of outliers is usually predefined [9, 12] which is not always realistic.

The idea of the 2D algorithm is the following: given a set S, we consider all the annuli that have two specific points of S on the border of the annulus. All the annuli centers with those two points on the border are then located on a straight line. This straight line is taken as a parametric axis. We then determine when a point of S enters and leaves the annulus while the center moves along the axis. This allows us to compute the intervals where the number of inliers is maximized. By considering all the combinations of points, we are able to compute the exhaustive set of all optimal consensus sets in $O(N^3 \log N)$. See [19] for a similar approach for line and plane fitting. The nD algorithm works in a similar way than the 2D algorithm [20]. However, we show that the annulus can de defined by n specific points that are all on the external border. This characterization allows to greatly simplify both the proof of the characterization of annuli and the computation of the parametric axis defined by annuli centers. The final algorithm has an $O(N^{n+1} \log N)$ time complexity and leads to the exact optimal solutions for the problem of fixed width annulus fitting.

The paper is organized as follows: in Section 2 we expose the problem of annulus fitting and present some properties of the annuli with fixed width. Section 3 presents the dual space we use and provide the algorithm for finding the optimal nD annuli. Section 4 presents some 2D and 3D experiments. Finally Section 5 states some conclusion and perspectives.

2 Annulus fitting

A nD annulus $A(C, R, \omega)$ of width ω and radius R centered at $C(c_1, c_2, ..., c_n)$, is defined by the set of points in \mathbb{R}^n satisfying two inequalities:

$$A(C, R, \omega) = \left\{ (p_1, p_2, ..., p_n) \in \mathbb{R}^n : R^2 \le \sum_{i=1}^n (p_i - c_i)^2 \le (R + \omega)^2 \right\}$$
 (1)

where $C(c_1, c_2, ..., c_n) \in \mathbb{R}^n$ and $R, \omega \in \mathbb{R}^+$.

Using the above annulus model, our fitting problem is then described as follows: given a finite set $S = \{P_i, i \in [1, N], \in \mathbb{R}^n\}$ of N nD points we would like to find the parameters (center and radius) of an annulus A of given width ω that contains the maximum number of points in S. Points $P_i \in S \cap A$ in nD are called inliers; otherwise they are called outliers. We also say that the annulus A covers the set $S \cap A$. We denote $B_i(C, R)$ (respectively $B_e(C, R + \omega)$) the internal (resp. external) border of the annulus $A(C, R, \omega)$, i.e. the set of points located at distance R (resp. $R + \omega$) from C.

2.1 Annulus Characterization

Our approach is focused on inlier sets, also called consensus sets. Since S is finite, the number of different consensus sets for the annulus is finite as well although too big to consider them all. However, as we are looking for the biggest consensus set(s), only the annuli that contain a minimum number of n+1 points, uniquely defining an annuli, are considered. For all the different consensus sets \mathcal{C} , with at least n+1 points from a given set S, we are going compute the size of each one. The center and radius are actually a side result of this search. We present in this section some characterization of nD annuli that will allow us to explore all those concensus sets and further on to build an optimal fitting algorithm for annuli.

2.2 nD Annular characterizations

In [20], we proposed a brute force algorithm for fitting fixed width annuli in 2D. We showed that if an optimal solution exists then there exists an equivalent optimal solution (with the same set of inliers) having three points on the border (internal and/or external). Testing all the configurations of three points and counting the inliers leads therefore to all the possible optimal solutions. This brute force method leads however to an $O(N^4)$ complexity for N the number of points to fit. Its extension to nD is straight forward and needs n+1 points to be on the border (either internal or external), but it also leads to an $O(N^{n+2})$ complexity.

In this article we need only n points instead of n+1 points in nD; however the restriction is that the n points must be on the external border of the annulus. The

last point is found in $O(N \log N)$ using a dual space, which will be presented in section 3.1. Using such a dual space, the algorithm has an overall time complexity of $O(N^{n+1} \log N)$. Note that we are looking for the exact optimal solutions, this complexity is, so far, the best to our knowledge to solve this problem.

The following theorem states that given an annulus A of width ω covering a set of points S, with $|S| \geq n$, there exists at least one other annulus A' of same width, that also covers S with at least n points of S on its external border B_e .

Theorem 1. Let S be a finite set of $N(N \ge n)$ points in \mathbb{R}^n . Let $A = (C, R, \omega)$ be an annulus covering S. Then it exists $A' = (C', R', \omega)$ covering S such that:

$$\exists ! \ Q_1, Q_2, ..., Q_n \in S \cap B_e(C', R' + \omega).$$

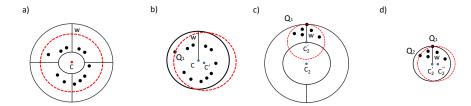


Fig. 1. Case A of the proof in 2D: a) While decreasing the radius of the annulus (colored black) to reach a first point Q_1 we may arrive to an internal radius of 0 (annulus in red) without any point on B_e , in this case a translation is needed as shown in b) to reach a point Q_1 on B_e . c) While Decreasing the radius of the annulus (colored black) by moving the center along axis C_2Q_1 to reach a second point Q_2 , we may arrive to an internal radius of 0 and no point Q_2 on Q_e (annulus in red), in this case a rotation is done as shown in d) until reaching a second point Q_2 on Q_e .

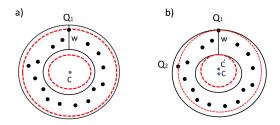


Fig. 2. Case B of the proof in 2D: a) Decreasing the radius until reaching a point Q_1 on B_e . b) Decreasing the radius by moving the center along axis CQ_1 until reaching a second point Q_2 .



Fig. 3. Case A of the proof in 3D: a) While decreasing the radius it may lead to an internal radius of 0 without any point on B_e ; in this case a translation is needed as shown in b) to reach a point Q_1 on B_e . c) Decreasing the radius while maintaining Q_1 on the border until reaching an internal radius of 0; in this case a rotation is needed as shown in d) in order to reach a point Q_2 on B_e .

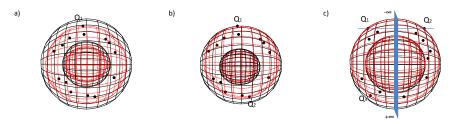


Fig. 4. Case B of the proof in 3D : a) Decreasing the radius until reaching a first point Q_1 on B_e . b) Decreasing the radius while maintaining Q_1 on the border until reaching a second point Q_2 . c) Case B of the proof in 3D: moving the center along the bisector of Q_1Q_2 until reaching a third point Q_3 .

Proof. Let S be a finite set of N $(N \ge n)$ points in \mathbb{R}^n . Let $A = (C, R, \omega)$ be an annulus that covers S. The theorem proof is given as follows:

- A. If the internal radius of the annulus is 0, the problem is reduced to the problem of a hyper-sphere of fixed radius. The hypersphere can either be translated towards the closest point of S if there are no point on the external border already (Figure 1a,b and Figure 3a,b) either it can be rotated around the axis formed by the already known points until reaching another point (Figure 1c,d and Figure 3c,d). This last step is done until n points lie on B_e .
- B. If the internal radius is greater than 0, the building process consists in decreasing the radius while keeping the width fixed until reaching the points on the external border B_e :
 - If there is no point on the border, the radius is decreased while the center is fixed until reaching a point on B_e (Figure 2a and Figure 4a).
 - If there is one point Q_1 on the border, the radius is decreased while the center moves along the straight line CQ_1 towards Q_1 (which keep Q_1 on B_e) until reaching a second point on B_e (Figure 2b, Figure 4b).
 - If there are already k > 1 points on B_e then, we consider the barycenter B of those k points. We can now consider the straight line Δ passing through

B and C. By moving the center of the annulus along Δ towards B we can reduce the radius of B_e while keeping these k points on B_e (Figure 4c). It should be noted that these steps are repeated until reaching n points on B_e or having an annulus with width 0 which leads to case A.

3 Fitting algorithm

Let us define an equivalence class of all the annuli that cover the same consensus set. We suppose that there are more than n points in the image, otherwise all the points can be covered and the problem is somewhat trivial. The annulus characterization in Theorem 1 ensures that the optimal consensus set has always at least n points. It also shows that we can always find a representative of the equivalent class with n points of S on the outer border. Let us find now, among the representatives of the equivalent classes of consensus sets with at least n points, the annulus that cover(s) the maximum number of points of S.

The idea behind our fitting method is inspired by [6] where the authors maximize the width of an empty annulus in 2D. In [6], the authors look for the biggest empty annulus in a dual space based on the distance to the center. For each couple of points (Q_1, Q_2) , the possible positions for the center of a 2D annulus passing through Q_1 and Q_2 is the bisector of both points which then forms the abscissa axis of the dual space. Each other point is associated to a curve that represents its distance to the possible centers. Using this specific space, they find when each point enters or leaves the annulus. A sorting of these intervals leads to the maximal empty annulus passing through Q_1 and Q_2 . A comparison among all the possible couples of points leads to the general result. In [6], the authors do not represent an annulus in their parameter space but only circles and the width ω of the annulus is maximized.

Our purpose in this work is different since, in our problem, we tried to maximize the number of inliers inside an annulus with fixed width, so we have two concentric circles with a fixed distance ω between them. Moreover, we have adapted this method to nD. As we will see, their idea of taking the axes where the possible centers of the annuli are located can be adapted to our case. We first describe the dual space (Section 3.1) and then explain how we obtain the optimal consensus set(s) (Section 3.2).

3.1 Dual space and annulus fitting in nD

According to Theorem 1, in nD, an annulus has at least n unique critical points $Q_1, ..., Q_n$ located on its external border. Such an annulus has necessarily its center on a straight line, denoted Δ , that passes through the barycenter B of the points $Q_1, ..., Q_n$ and that is orthogonal to the hyperplane H (of dimension n-1) induced by those same points (see Figure 5a for an example in 2D). Let us define a 2D dual space as follows:

- The origin $O_{dual}(0,0)$ corresponds to the barycenter B of the points $Q_1,..,Q_n$.

- The abscissa X axis represents the possible locations of the center (it is a representative of Δ): a center C is associated to $C_{dual}(dist(C, B), 0)$ (Figure 5b).
- The ordinate Y axis represents the euclidean distance: each point $T(t_1, ...t_n)$ in the original nD space is associated in the dual space with a curve that represents the distance between T and every point of Δ .

In this dual space, the points $Q_i, i \in [1, n]$ are all represented by the same curve L_Q^0 since they are all equidistant from each point of Δ (Figure 5b). We consider that all the points $Q_i, i \in [1, n]$ are on the external border of the annulus, therefore L_Q^0 represents the external radius (R_e) variation with respect to the center position. An annulus of width ω passing through the points $Q_i, i \in [1, n]$ is represented by a vertical segment of length ω having one of its endpoints on L_Q^0 . The X coordinate of the segment corresponds to the annulus center coordinate on Λ

The translation of L_Q^0 by $(0, -\omega)$ is denoted by L_Q^1 which represents the internal radius variation with respect to the center position.

In the dual space, an annulus A of center C(c',0) with points $Q_i, i \in [1,n]$ on its external border corresponds to the vertical line segment $[L_Q^0(c'), L_Q^1(c')]$ of length ω .

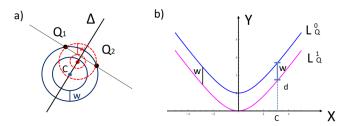


Fig. 5. a) The 2D annulus having Q_1 and Q_2 as border point has its centers on the bisector Δ of the line segment Q_1Q_2 . b) All annuli for which Q_1 and Q_2 are on B_e correspond to the set of all the vertical line segments of length ω having one of its endpoints on L_Q^0 and the other on L_Q^1 .

For every point T in the image, it is possible to see if it is inlier or outlier to an annulus of width ω centered on C and having the n points Q_i on its external border by examining its associated curve L_T . The point T is inlier if, in the dual space, L_T intersects the vertical segment $[L_Q^0(c'), L_Q^1(c')]$ with c' = dist(C, B) since in this case it is between $L_Q^0(c') = R_e$ and $L_Q^1(c') = R_i$ (Figure 6).

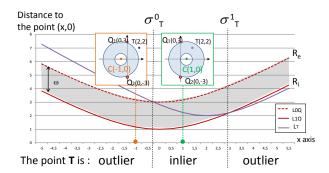


Fig. 6. 2D example: the point T represented in the dual space by the curve L_T intersects the curve L_Q^0 at σ_T^0 and the curve L_Q^1 at σ_T^1 . The point T is thus inlier to the annulus having Q_1 and Q_2 on its external border between σ_T^0 and σ_T^1 and becomes outlier otherwise. The point T is outlier to the annulus centered at C(-1,0) and inlier to the one centered at C(1,0).

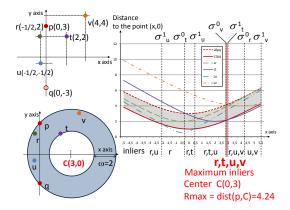


Fig. 7. 2D example: six points $p=Q_1$, $q=Q_2$, r, u, v, and t in the primal space and their corresponding curves. The maximum number of inliers for an annulus having p and q on B_e is reached when the center has an x-value around 3.

3.2 Finding the largest consensus set in a strip for a given $(Q_1,Q_2,...,Q_n)$

In order to know the number of inliers within any annulus defined by n points $Q_1...Q_n$, we check for every point T in the image, the intersections σ_T^0 and σ_T^1 of L_T with the strip boundaries L_Q^0 and L_Q^1 . This check is important since any annulus corresponding to a vertical segment between the two intersections σ_T^0 and σ_T^1 in the strip always contains T as an inlier; outside this interval, T is always an outlier (Figure 6).

When checking the intersections of every L_T with L_Q^0 and L_Q^1 , we use two values f_T^i for i=0,1, which is set to 1 if L_T enters the strip from L_Q^i , and -1 if L_T leaves the strip from L_Q^i . Once the intersections σ_T^i , and the associated values f_T^i for i=0,1 are calculated, we sort all the intersections in increasing order. As for determining the location(s) of the maximum number of inliers, a function F(x) is used; initially we set F(x)=n for every x, since we already know that $Q_1,...Q_n$ are inliers. Then the value f_r^i is added to F(x) following the sorted order. By looking for the maximum value of F(x), we obtain the center location(s) in the dual space corresponding to the maximum optimal consensus set(s). Figure 7 shows an example in 2D of this algorithm; the annulus in the primal space having $p=Q_1$ and $q=Q_2$ on its external border is optimal in terms of inliers at a center around 3 between σ_v^0 and σ_t^1 when all the dual curves are inside L_Q^0 and L_Q^1 (i.e. when all the points are inliers).

This procedure is repeated for all the combination of n points in the image until finding the right center(s) of the annulus (annuli) having n points on B_e that maximizes the number of inliers. Since a sorting of complexity $O(N \log N)$ of the intersection is needed and since the algorithm is repeated for every couple of n points, the final complexity is $O(N^{n+1} \log N)$.

3.3 Algorithm

Algorithm 1 gives an example of the $n{\bf D}$ annulus fitting algorithm. The inputs are a set S of $n{\bf D}$ points and a width ω . Output is a set V of centers and radius of the best annuli.

3.4 Degenerate cases

There are degenerate cases that must be treated carefully when examining the number of inliers and outliers. They can be summarized as follows:

- Invalid radius: L_Q^1 represents the internal border of the annulus; when it is negative the annulus is not valid. An example of such invalid radius is seen in Figure 8a, in this figure T becomes inlier at σ_T^1 and at σ_T^0 , instead of σ_T^0 since at σ_T^0 the radius is negative.
- since at σ_T^0 the radius is negative.

 Intersection of L_T with L_Q^0 and L_Q^1 : When both L_Q^0 and L_Q^1 are each intersected once we have the regular case explained in the Algorithm 1. The degenerate cases occur when one of the two curves is not intersected or when it is intersected twice. These cases can be explained as follows:
 - L_T and L_Q^0 have no common point: in this case the intersection of L_T with L_Q^1 must be verified. If L_Q^1 is not intersected, we must check if L_T is between the two curves, in this case T is always inlier, otherwise T is always outlier (Figure 8b). If L_Q^1 is intersected once, L_T is inside the two curves and T is always inlier (Figure 8c). If L_Q^1 is intersected twice, this means that L_T is between the two curves and thus the point T is inlier before the first intersection σ_T^1 of L_T and after the second intersection σ_T^2 as seen in Figure 9a.

Algorithm 1: *n*D Annulus Fitting

```
input: A set S of N grid points and a width \omega
   output: A list V of centers and radius of the best fitted annuli
 1
   begin
       initialize Max = 0;
 2
       for
each n\text{-}uplet \in \mathbf{S} do
 3
            compute the barycenter of the n-uplet;
 4
           initialize the array AR[k] for k = 1, ..., 2N + 2;
 5
 6
           set i = 0;
            foreach T \in S do
 7
               calculate \sigma_T^i for i = 0, 1;
 8
               9
10
11
                 12
               set the pair (\sigma_T^i, f_T^i), for i = 0, 1, in AR[2j + i];
13
               j = j + 1;
14
           sort the pair elements (\sigma_k, f_k) for k = 1, \dots, 2j in AR with the values
15
            \sigma_k as keys;
           initialize F = 0;
16
            for k=1,\ldots,2j do
17
                F = F + f_k;
18
               if F > Max then
19
                  set Max = F, Erase V and set it to the interval [\sigma_k, \sigma_{k+1}];
               [\sigma_k, \sigma_{k+1}] if F = Max then
21
                   add the interval [\sigma_k, \sigma_{k+1}] to V;
22
23
       return V;
```

• L_T has one intersection σ_T^0 with L_Q^0 : If L_T has no intersection with L_Q^1 , and L_T is inside the two curves before the intersection σ_T^0 , then T is inlier before the intersection σ_T^0 and becomes outlier after this intersection (Figure 9b); otherwise T is outlier before the intersection σ_T^0 and becomes inlier after this intersection.

If L_T has two intersections with L_Q^1 , then we have two cases: T is inlier before the intersection σ_T^1 and between σ_T^2 and σ_T^0 and becomes outlier otherwise (Figure 9c) or T is inlier between the two intersections σ_T^0 and σ_T^1 and after the intersection σ_T^2 and is outlier otherwise.

4 Experiments

This section presents the 2D and 3D experiments.

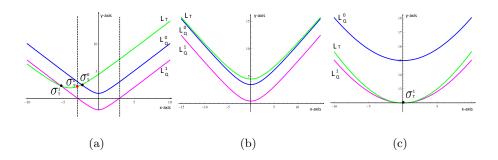


Fig. 8. Degenerate cases : a) The value of L_Q^1 is negative between the two vertical dashed lines; the point T is inlier between σ_T^1 and $\sigma_{T'}^0$, b) T is always outlier. c) T is always inlier.

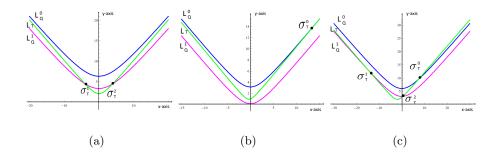


Fig. 9. Degenerate cases : a) T is inlier before σ_T^1 and after σ_T^2 . b) T is inlier before σ_T^0 . c) T is inlier before σ_T^1 and between σ_T^2 and σ_T^0 .

 ${\bf Table\ 1.\ The\ number\ of\ points\ and\ the\ optimal\ consensus\ set\ size.}$

Figures	Number of points	Center	R	width	Opt. cons. set size
Figure 10b	646	(104.992,31.017)	28.163	3	291
Figure 11a	225	(40.871,41)	19.522	1	113
Figure 11b	65	(31.109, 31.109)	14.425	1	65
Figure 12a	1127	(51,51.008)	26.992	6	1120
Figure 12b	56	(0.878, 1.244, 1.976)	1.916	3	51
Figure 13a	109	(-4,-4,-4)	2.742	1	90
Figure 13b	151	(0,0,0)	6	3	116

4.1 Example for a 2D Real Image

We tested our method on a real test image, as shown in Figure 10a, whose size is 140x69. Before applying our method, edge detection and mathematical



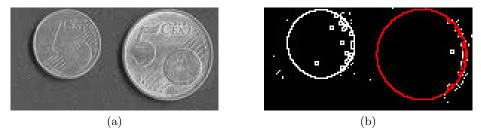
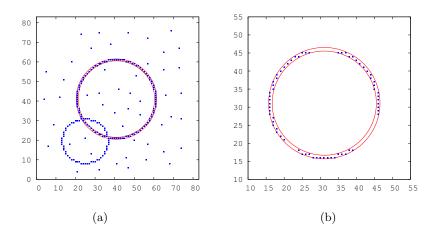


Fig. 10. An original image in a) and its optimal consensus set colored red in b).



 ${f Fig.\,11.}$ a) Annulus fitting for a noisy digital Andres circle of width 1. b) Annulus fitting for a digital Andres circle of width 1.

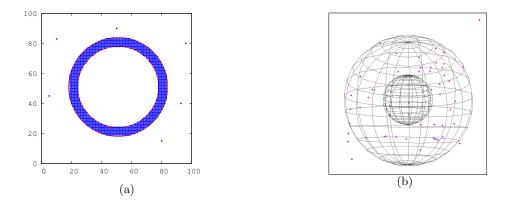
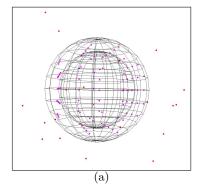


Fig. 12. a) Annulus fitting for a noisy digital Andres circle of width 6. b) Annulus fitting for noisy 3D data; a width w=3 is used.



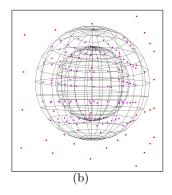


Fig. 13. a) Annulus fitting for noisy 3D data; a width w=1 is used. b) Annulus fitting for noisy 3D data; a width w=3 is used.

morphological filtering have been performed on the image; the number of points in the image after this preprocessing is 646 points. Our method is then applied to fit an annulus to the set of points. Figure 10b shows the optimal consensus set, which includes 291 inliers. The width of the annulus is fixed to 3.

4.2 Example on 2D noisy images

We then applied our method for 2D noisy digital Andres circles (points for Andres circles and noise are generated randomly for 2D as well as for 3D experiments) as shown in Figure 11a, 11b, 12a. For each of these set of points, an annulus of width $\omega=1$, $\omega=1$ and $\omega=6$ is used respectively. Table 1 show the number of points, the optimal consensus set size as well as the center position and the radius R of the inner circle obtained after the fitting.

4.3 3D noisy images

We applied our method for 3D noisy digital Andres spheres as shown in Figure 12a, 13a, 13b. For each of these set of points, an annulus of width $\omega=3$, $\omega=1$ and $\omega=3$ is used respectively. The last three lines of Table 1 shows the number of points, the optimal consensus set size as well as the center position and the radius R of the inner sphere obtained after the fitting.

5 Conclusion and Perspectives

In this paper we have proposed a new approach for fitting nD annulus to a set of points while fixing the width of the annulus. The main advantage of our approach is that it guarantees optimal and exhaustive results from the point of view of the optimal (maximal) consensus set: we are guaranteed to fit an annulus with the least amount of outliers. We are also guaranteed to find all the optimal

consensus sets. One of the future works concerns conic fitting such as ellipse, parabola and hyperbola. We also plan to implement a fast 2D/3D algorithm for fitting annulus; such algorithm does not guarantee optimality but guarantees local maximality of inliers in the sense of the set inclusion and thus has a lower time complexity. We also plan to adapt the nD characterization of annulus for k-Flake digital hyperspheres [18] as an extension of [4,11].

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