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Brief Announcement: Left-Right - A Concurrency Control Technique with Wait-Free Population Oblivious Reads

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We present a new concurrency control algorithm with Blocking Starvation-Free write operations and Wait-Free Population Oblivious read operations, which we named the Left-Right technique. This technique requires using two instances of a given resource, and can be used on any data structure, allowing concurrent access to it, similar to a Reader-Writer lock, but in a non-blocking manner for reads, and it does not need an automatic Garbage Collector (GC).

To allow concurrent read and write access to a data structure or object written for single threaded execution, a common approach is to use a Reader-Writer lock. Another alternative is Copy-On-Write (COW), which consists of replacing the instance by a copy of that instance with the applied modification. Peterson [3] has presented several solutions to the *Concurrent Reading While Writing* problem. One of them guarantees wait-free progress for both reads and writes, allowing Readers and Writer to access simultaneously *buff1* and *buff2* instances, which compromises memory reclamation.

The Left-Right is a concurrency control technique with two identical objects or data structures, that allows an unlimited number of threads (Readers) to access one instance in read-only mode, while a single thread (Writer) modifies the other instance. The Writer starts by working on the right-side instance (rightInst) while the Readers read the left-side instance (leftInst), and once the Writer completes the modification, the two instances are switched and new Readers will read from the rightInst. The Writer will wait for all the Readers still running on the leftInst instance to finish, and then repeat the modification on the leftInst, leaving both instances up-to-date. It us up to the Writer to ensure that Readers are always running on the data structure that is currently not being modified. The synchronization between Writers is achieved with an exclusive lock that is used to protect write-access (writersMutex).

The components ensuring a Writer performs in exclusivity are the following: a leftRight variable which is toggled by the Writer between LEFT and RIGHT, that indicates which instance the Readers should go into; a versionIndex variable, which is modified by the Writer, functioning like a timestamp; and a Reader's indicator [1], readIndic, for each Reader to publish the versionIndex it read. The readIndic is a data structure that allows Readers to publish their state through arrive() and depart(), and for the Writer to determine the presence of ongoing Readers with isEmpty(). A simple implementation of the readIndic is to use two single atomic synchronized counters, one per versionIndex.

```
const int arrive(void) {
                                            template<typename R, typename A>
  int vi = _versionIndex.load();
                                            R applyRead (A& arg1,
                                                          function < R(T*,A) > & f)  {
   readIndic[vi]->arrive();
                                              const int vi = arrive();
T* inst = _leftRight.load() == LEFT
  return vi;
                                              ? _leftInst : _rightInst;
R ret = f(inst, arg1);
void depart(const int vi)
                                              depart (vi);
  _readIndic[vi]->depart();
                                              return ret;
void toggleVersionAndWait(void)
                                            template<typename R, typename A>
                                           R applyMut(A& arg1,
  int vi = _versionIndex.load();
                                                         function <R(T*,A)>& f) {
  int p = vi \& 0x1;
                                              lock_guard < mutex > m(_writers Mutex );
if (_leftRight.load() == LEFT) {
      n = (vi+1) & 0x1;
  while (!_readIndic[n]->isEmpty())
                                                f(_rightInst , arg1);
                                                 _leftRight .store(RIGHT);
    this_thread::vield();
                                                 toggleVersionAndWait()
                                                 return f(_leftInst , arg1);
  _versionIndex.store(n);
                                                 else {
f(_leftInst , arg1);
_leftRight .store(LEFT);
  while (! readIndic[p]->isEmpty())
    this_thread:: yield();
                                                 toggleVersionAndWait();
                                                 return f(_rightInst, arg1);
```

As shown on the C++ code above, the Writer calling applyMut() will acquire the lock on writersMutex to guarantee mutual exclusivity between Writers, and proceed to modify the instance opposite to the one currently referenced by leftRight. Then, it toggles the leftRight, making the modification visible to new Readers. The final step is to modify the other instance, but first, it is necessary to guarantee that no Reader is accessing the intance, and this guarantee is provided by toggleVersionAndWait(). The method applyRead() shown above has no loops, and always executes in a constant number of steps, thus ensuring that read operations are wait-free population oblivious.

In summary, read operations can run concurrently with all operations, and will never have to wait for a Writer or for other Readers. Moreover, new Readers have no impact on the Writer's progress, making its progress starvation free relative to Readers. In addition, Writers will be starvation free if a starvation free writersMutex lock is used. We believe that due to its performance, low latency, and flexibility of usage, in practice, this technique can be used to wrap any data structure or object, as an alternative to other synchronization techniques, such as Reader-Writer locks, or COW with RCU [2] memory reclamation.

References

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