

Human Cardiac Function Simulator for the Optimal Design of a Novel Annuloplasty Ring with a Sub-valvular Element for Correction of Ischemic Mitral Regurgitation

Brian Baillargeon, Ivan Costa, Joseph R. Leach, Lik Chuan Lee, Martin Genet, Arnaud Toutain, Jonathan F. Wenk, Manuel K. Rausch, Nuno Rebelo, Gabriel Acevedo-Bolton, et al.

► To cite this version:

Brian Baillargeon, Ivan Costa, Joseph R. Leach, Lik Chuan Lee, Martin Genet, et al.. Human Cardiac Function Simulator for the Optimal Design of a Novel Annuloplasty Ring with a Sub-valvular Element for Correction of Ischemic Mitral Regurgitation. Cardiovascular Engineering and Technology, 2015, 6 (2), pp.12. 10.1007/s13239-015-0216-z . hal-01196412

HAL Id: hal-01196412 https://hal.science/hal-01196412v1

Submitted on 5 Jan 2017

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers. L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

1	Human cardiac function simulator for the optimal design of
2	a novel annuloplasty ring with a sub-valvular element for
3	correction of ischemic mitral regurgitation
4	
5	
6	Brian Baillargeon ¹ , Ivan Costa ² , Joseph R. Leach ³ , Lik Chuan Lee ⁴ , Martin
7	Genet ^{5,6,7} , Arnaud Toutain ⁷ , Jonathan F. Wenk ⁸ , Manuel K. Rausch ⁹ , Nuno
8	Rebelo ¹ , Gabriel Acevedo-Bolton ^{3,7} , Ellen Kuhl ⁹ , Jose L. Navia ¹⁰ , *Julius M.
9	Guccione ⁷
10	
11	¹ Dassault Systèmes Simulia Corporation, Fremont, CA, USA
12	
13	² Graduate Program in Material Science(PPG-CIMA), Faculty UnB Planaltina,
14	University of Brasilia, Brasilia, Brazil.
15	³ Radiology and Biomedical Imaging Department, University of California at San
16	Francisco, USA
17	
18	⁴ Mechanical Engineering, University of Michigan, East Lansing, MI, USA
19	⁵ Marie-Curie International Outgoing Fellow
20	⁶ Institute for Biomedical Engineering, University and ETH Zürich, Switzerland
21	⁷ Surgery Department, University of California at San Francisco, USA,
22	

23	⁸ Mechanical Engineering, University of Kentucky, Lexington, KY, USA
24	⁹ Departments of Mechanical Engineering, Bioengineering and Cardiothoracic
25	Surgery, Stanford University, Stanford, CA, USA
26	¹⁰ Thoracic and Cardiovascular Surgery, Cleveland Clinic, Cleveland, OH, USA
27	
28	Running head: Novel device for correction of ischemic mitral regurgitation
29	
30	*Corresponding Author:
31	Julius M. Guccione, Jr., Ph.D.
32	Professor, Division of Adult Cardiothoracic Surgery
33	Department of Surgery, School of Medicine, UCSF
34	1657 Scott St., Mount Zion Harold Brunn Institute for Cardiovascular Research, Room 219
35	San Francisco, CA 94143
36	Phone: 415-680-6285
37	415.750.2181 (Fax)
38	Email: julius.guccione@ucsfmedctr.org

40 Abstract

Purpose: Ischemic mitral regurgitation is associated with substantial risk of death. We sought to:
(1) detail significant recent improvements to the Dassault Systèmes human cardiac function
simulator (HCFS); (2) use the HCFS to simulate normal cardiac function as well as pathologic
function in the setting of posterior left ventricular (LV) papillary muscle infarction; and (3) debut
our novel device for correction of ischemic mitral regurgitation.

46 Methods: We synthesized two recent studies of human myocardial mechanics. The first study presented the robust and integrative finite element HCFS. Its primary limitation was its poor 47 diastolic performance with an LV ejection fraction below 20% caused by overly stiff ex-vivo 48 49 porcine tissue parameters. The second study derived improved diastolic myocardial material 50 parameters using in-vivo MRI data from five normal human subjects. Combining these models, 51 ischemic mitral regurgitation was simulated by computationally infarcting an LV region including 52 the posterior papillary muscle. Contact between our novel device and the mitral valve apparatus was simulated using Dassault Systèmes SIMULIA software. 53

Results: Incorporating improved cardiac geometry and diastolic myocardial material properties in the HCFS resulted in a realistic LV ejection fraction of 55%. Simulating infarction of posterior papillary muscle caused regurgitant mitral valve mechanics. Implementation of our novel device corrected valve dysfunction.

58 Conclusions: Improvements in the current study to the HCFS permit increasingly accurate study 59 of myocardial mechanics. The first application of this simulator to abnormal human cardiac 60 function suggests that our novel annuloplasty ring with a sub-valvular element will correct 61 ischemic mitral regurgitation. 62 Word count 246

63

- 64 Keywords: finite element method; realistic simulation; myocardial infarction; ventricular
- 65 function; Ischemic mitral regurgitation; mitral annuloplasty

67 Introduction

68 Ischemic mitral regurgitation is a consequence of adverse left ventricular (LV) remodeling after 69 myocardial injury, in which there is enlargement of the LV chamber and mitral annulus, apical 70 and lateral migration of the papillary muscles, leaflet tethering, and reduced coaptation area. 71 These processes lead to malcoapation of the leaflets and consequently mitral insufficiency. The 72 leaflets themselves are normal, and the disease occurs in the myocardium rather than in the 73 valve itself [1]. Despite this, beyond medical therapy, surgical correction of mitral regurgitation 74 has largely relied upon native valve repair or valve replacement. A recent randomized clinical trial [1] found no significant difference in LV reverse remodeling or survival at 12 months 75 76 between patients who underwent mitral-valve repair with ring annuloplasty and those who 77 underwent mitral-valve replacement. Replacement provided a more durable correction however, with mitral regurgitation recurring less than 1/10th as often at 12 months compared to outcomes 78 of valve repair. We hypothesize that mitral-valve repair using a novel annuloplasty ring with a 79 80 sub-valvular element would provide a more effective treatment of ischemic mitral regurgitation 81 than mitral-valve repair using a standard annuloplasty ring. Our rationale is that the sub-valvular 82 element engages the chordae of the posterior leaflet, and contacts the inferior free edge of said 83 leaflet. As a consequence, it counterbalances an apical and lateral shifting of the coaptation line 84 in patients with ischemic cardiomyopathy, thus reestablishing proper leaflet coaptation and valve function. 85

Computational modeling is one way to systematically assess the effects of pathology and proposed surgical repair on heart function [2]. By simulating the effect of disease or injury on the heart, simulations allow investigators to explore a variety of pathologic conditions that would be difficult to consistently create in-vivo [3]. Models also have an important role in cardiac device

90 design, as application of myriad treatment designs to identical pathology allows for natural 91 isolation of treatment effects [4,5]. However, current models of cardiac function are limited by 92 assumptions related to geometry and material properties, and importantly, none have been fully 93 validated with detailed experimental data [6]. Dassault Systèmes SIMULIA recently launched 94 the Living Heart Project to develop an integrated physics-based simulator of cardiac physiology. 95 The Living Heart model or Human Cardiac Function Simulator (HCFS) not only includes the 96 entire heart—all four chambers, valves and major vessels—but also includes the 97 electrophysiology and fibrous architecture of the myocardium. There is increasing evidence that 98 this model can be used to predict the progression of pathology, the outcome of surgical repair 99 and the use of cardiac devices. We recently published a proof-of-concept methods paper using 100 this model to simulate the normal human heart [7]. Although groundbreaking, the initial model 101 had some limitations. Most importantly, the LV ejection fraction was only 19%, much lower than 102 the normal range at rest ($62.3 \pm 6.1\%$) as assessed using radionuclide angiocardiography [8]. In 103 the current study, we simulated a physiologically normal LV ejection fraction by using more 104 realistic heart geometry and diastolic myocardial material properties than those proposed in the 105 earlier proof-of-concept paper [7].

In this invited article concerned with mitral valve function, pathology and therapeutic options, we therefore seek to: (1) detail our significant improvements in the geometry and diastolic material properties of the Dassault Systèmes HCFS; (2) use the resulting more realistic model to simulate normal cardiac function as well as abnormal cardiac function due to a myocardial infarction that includes the posterior LV papillary muscle; and (3) use the abnormal human heart model to debut a novel annuloplasty ring with a sub-valvular element for correction of ischemic mitral regurgitation.

115 Materials and Methods

116 Human Cardiac Function Simulator

We refer the interested reader to Section 2 of Baillargeon et al [7] for our continuum model of electro-mechanical coupling based on the kinematic equations, the balance equations, and the constitutive equations. In Section 3 of that methods paper, we illustrate our computational model, based on the strong and weak forms of the governing equations, their temporal and spatial discretizations, their linearizations, and the handling of internal variables. In the present study, we use a more realistic solid model of the human heart (**Figure 1**).

123 The Solid Zygote 3D Heart geometries for computer-aided design (CAD) and simulation were 124 created by Zygote Media Group, Inc. (UT, USA). Generation I of the Solid Zygote Heart 125 geometry (the one we used in [7]) was developed using medical imaging data from a single 126 human subject in 2005. An 8-slice GE Light Speed CT platform was used to acquire 5mm axial slices, with an imaging matrix of 512x512. Generation II of the Solid Zygote Heart geometry (the 127 128 one used in the current study) was created by the Zygote Media Group in 2013, using improved 129 imaging techniques. A 64-slice GE Light Speed scanner, using retrospective ECG gating and 130 achieving a slice thickness of 0.75mm with 512x512 imaging matrix, acquired images of an 131 anonymous healthy middle-aged Caucasian male. Imaging data was resampled to twice the 132 voxel density in each dimension, reformatted into standard cardiac imaging views, and was 133 manually segmented to create a rough polygonal geometry. This rough geometry was then 134 cleaned of noise, artifacts, and anomalies using standard 3D editing software (Symbolics/Nichiman Graphics/Mirai, Wavefront, 3D Studio/3D Studio Max, Alias Power 135

136 Animator, Maya, Cinema 4D, Focus, Amira, and SolidWorks). Just as for the first generation 137 Solid Zygote 3D Heart, certain anatomic elements (e.g. papillary muscles, valves, and chordae tendineae) had to be added to the model manually, as their fine detail could not be captured 138 139 well with clinical imaging technology. In the same respect, the finest geometric details of certain 140 anatomical structures (e.g. trabeculae carneae) were simplified so as to avoid an unnecessarily 141 complex and computationally demanding model. It is important to note here that the Generation 142 II Solid Zygote Heart geometry is a component of a larger anatomical collection that includes integrated anatomical geometries of a 50th percentile (U.S.) male and hence, there are no 143 "patient-specific" anatomical features of the heart model. 144

145 Figure 2 shows the electrical finite element model of Zygote's second-generation solid heart geometry discretized with 449,560 linear tetrahedral elements, 655 1D linear conduction 146 147 elements, 103,770 nodes, and 103,770 electrical degrees of freedom. The model depicted in 148 that figure was created using Abaqus CAE. Figure 3 shows how the 655 1D linear electrical 149 conduction elements are distributed between the left and right ventricles. Figure 4 shows the mechanical finite element model of the human heart discretized with 449,560 linear tetrahedral 150 (C3D4) elements, 12,915 linear guadrilateral shells, 7577 linear triangular (S4R reduced 151 152 integration) shells, 636 linear truss (T3D2) elements, 16,824 rigid triangular elements, 130,290 153 nodes, and 443,564 mechanical degrees of freedom. The S4R is the only element type with 154 hourglass control (based on enhanced strain). Otherwise, the muscle fiber model, the fluid model, and most of the model parameters in the current study are as described in Section 4 of 155 156 Baillargeon et al [7].

The only non-valvular model parameters used in the current study that differ from those in Table 1 of Baillargeon et al [7] relate to the passive material properties of myocardium, and are as follows: k = 1,000 kPa; a = 0.33 kPa; b = 7.08; $a_{ff} = 0.25$ kPa; $b_{ff} = 5.34$; $a_{ss} = 0$; $b_{ss} = 0$; $a_{fs} = 0$; $b_{fs} = 0$. Our rationale for modifying these parameters is to correct the non-physiologic LV 161 ejection fraction of the first generation HCFS. In Baillargeon et al [7], we used model parameter 162 values from the literature (see references listed in their Table 1) concerning electrical, 163 mechanical, and electro-mechanical phenomena and flow in the heart and modified unknown 164 model parameter values concerning circulation in order to simulate an LV pressure-volume loop. 165 The resulting LV pressures and shape of the loop in Figure 10 of that study are realistic, but the LV volumes are not indicative of a normal human heart. Specifically, end-diastolic and end-166 167 systolic volumes were 103 and 83 mL, respectively, corresponding to a stroke volume of 20 mL and an ejection fraction of only 19.4% (= 20 mL divided by 103 mL). In a recent study by Genet 168 169 et al [5] five normal human subject-specific LV models were created, with an average ejection 170 fraction of 56 ± 3.95%. The passive mechanical model parameter values used in the current 171 study were obtained by fitting the passive mechanical behavior under shearing deformation 172 obtained from the constitutive model for the normal myocardium in Appendix A of Genet et al 173 [5]. Figure 5 shows a comparison of passive mechanical behavior under shearing deformation 174 between the human heart model of Baillargeon et al [7] and the one used in the current study.

175 Since the current study was mainly concerned with mitral valve function, pathology and 176 therapeutic options, additional attention was given to the mechanical properties of the leaflets 177 and chordae. Figure 6 shows the fit of model parameter values defining leaflet circumferential 178 and radial stress-strain relationships to measurements from the study of May-Newman and Yin 179 [9]. Thus, porcine data was used to simulate human mitral tissue. Similarly, Figure 7 shows the 180 fit of model parameter values defining chordae basal and marginal stress-strain relationships to 181 measurements from the study of Kunzelman and Cochran [10]. In both cases, the Dassault 182 Systèmes SIMULIA optimization software Isight was used to minimize least-squared differences between measured and predicted stresses at matching strains. 183

184 Human Cardiac Function Simulator with LV Myocardial Infarction

The state-of-the-art whole human heart model described above was then modified in the most straightforward manner to simulate regurgitant mitral valve mechanics. This modification involved creating an LV region that included the posterior papillary muscle. The myocardial material properties in that region were modified to ensure that no active myocardial stress was generated at any time during the cardiac cycle, thus simulating infarction. **Figure 8** shows the LV region in which active myocardial stress development was suppressed.

192 Novel Device for Correction of Ischemic Mitral Regurgitation

193 A recent randomized clinical trial [1] confirmed an excess of incidence of recurrence of mitral 194 regurgitation at 1 year among patients undergoing mitral-valve repair. The type of annuloplasty 195 ring was chosen according to the preference of the surgeon. The protocol mandated the use of 196 an approved rigid or semi-rigid complete annuloplasty ring, which was downsized for the 197 annulus diameter. In collaboration with the Cleveland Clinic (OH, USA) we created a prototype 198 undersized annuloplasty ring with a sub-valvular element shown in Figure 9a. To the best of our 199 knowledge, it is the first annuloplasty ring to include a sub-valvular element. Our rationale is that 200 the sub-valvular element engages the chordae of the posterior leaflet, and contacts the inferior 201 free edge of said leaflet. As a consequence, it counterbalances an apical and lateral shifting of 202 the coaptation line in patients with ischemic cardiomyopathy, thus reestablishing proper leaflet 203 coaptation and valve function. We created a rigid finite element representation of this novel 204 device, which is shown in Figure 9b. The device was modeled as rigid for simplicity. Figure 10a 205 is a close-up view of the whole heart model with the left atrium removed. Figure 10b clearly 206 illustrates the computational geometry of our novel device in contact with the mitral valve 207 apparatus. Tight, frictionless contact between the device and the mitral valve annulus was 208 activated at numerous points that represented sutures. It is important to point out here that there 209 was separation contact (separation was allowed); a standard penalty-based contact algorithm 210 was used.

211 **Results**

The more realistic geometry of the Generation II Solid Human heart model, and improved diastolic myocardial material properties caused LV ejection fraction to increase from 19.4% to 55%. **Figure 11** shows normal LV behavior predicted by this model. Additionally, the model 215 predicted maximum long-axis shortening of 12.1 mm, which is in agreement with the 216 measurements (12.2 ± 3.8 mm) of Rogers et al [11]. Figure 12 shows close-up views of the 217 second generation HCFS with the left atrium removed to clearly show the mitral valve in its open 218 and closed states during the cardiac cycle. Movie 1 shows the same view but with the mitral 219 valve in action throughout the cardiac cycle. To induce regurgitant mitral valve mechanics, the 220 size of the infarcted LV region was iteratively increased until the LV ejection fraction decreased 221 from 55% to 45%. The effect of myocardial infarction on the LV pressure-volume loop is shown 222 in Figure 13. Creating the infarcted LV region also resulted in decreased mitral valve leaflet 223 coaptation (Figure 14). This is shown dynamically in Movie 2. Simulation of the annuloplasty 224 ring with the sub-valvular component resulted in an increase in mitral valve coaptation in the 225 area originally affected by the LV infarction. Figure 15 shows a close-up view of the model with 226 the left atrium removed to clearly illustrate this. **Movie 3** shows the same view but with the mitral 227 valve in contact with our novel device throughout the cardiac cycle. The simulation was made 228 with a rigid (flat plane) annuloplasty ring and sub-valvular element, and thus, device stresses 229 and strains cannot be extracted from the analysis. In our opinion, another consequence of using 230 that particular rigid annuloplasty ring is that a non-negligible regurgitant area characterized the 231 post-annuloplasty configuration in the A1-P1 region in Movie 3. On the other hand, we were 232 able to extract average forces (Figure 16) in the chordae between the posterior mitral valve leaflet and the posterior LV papillary muscle during the cardiac cycle for the three cases (i.e., 233 234 healthy heart, heart with an LV myocardial infarction, and diseased heart treated with our novel 235 device). Following LV myocardial infarction peak average chordae force became 85% of that in 236 the healthy heart. Treatment of the diseased heart with our novel device caused peak average 237 chordae force to reduce further (to 72% of that in the healthy heart).

238 Discussion

239 Our study details three significant advancements in realistic simulation of human cardiac 240 function, pathology and therapeutic options, for the entire heart. First, incorporating improved 241 cardiac geometry and diastolic myocardial material properties in the Dassault Systèmes human 242 cardiac function simulator (HCFS) caused LV ejection fraction to increase from 19% to a more 243 physiological value of 55%. Second, simulating infarction of the posterior LV papillary muscle 244 caused regurgitant mitral valve mechanics; a pathologic state associated with a substantial risk of death [1]. Third, implementation of our undersized annuloplasty ring with a sub-valvular 245 246 element corrected valve dysfunction. Figure 10 clearly shows the impact of our novel device; the 247 engagement of the chordae causes the posterior leaflet to move forward, which ultimately 248 causes improved coaptation of the valve leaflets during ventricular systole. Moreover, our 249 results suggest that virtual valve repair, in combination with nonlinear programming tools, e.g., 250 the Dassault Systèmes Abagus software in conjunction with Isight, can be used to optimize the 251 design of novel devices for correction of ischemic mitral or tricuspid regurgitation. Lastly, the 252 simulations could be repeated with a deformable annuloplasty ring and sub-valvular element, 253 and fatigue-simulation software to make durability predictions.

254 The most effective surgical approach for treating severe ischemic mitral regurgitation remains 255 controversial [1]. In the past few years, the use of mitral-valve repair has greatly exceeded the 256 use of replacement [12]. However, no randomized trials have established the superiority of 257 repair across a spectrum of patients with severe ischemic mitral regurgitation [1]. In their 258 correspondence, Gorman et al [13] point out that there was substantial reverse remodeling 259 among the patients in the repair group in [1] who did not have recurrent moderate or severe 260 mitral regurgitation. They propose that all patients should undergo repair and that those in 261 whom postoperative moderate or severe mitral regurgitation develops should undergo

secondary percutaneous mitral-valve replacement. According to Acker et al [14], a less speculative and less aggressive approach would be to use predictive models of recurrent mitral regurgitation, and, in patients with a high likelihood of recurrence, to use replacement or a more complex repair technique that specifically addresses leaflet tethering.

266 Ours is the second study to be performed as part of the Living Heart Project. The first [7], which 267 presented a proof-of-concept simulator for a four-chamber human-heart model created from computed tomography and MRI data, illustrated the governing equations of excitation-268 269 contraction coupling and discretized them using an explicit finite element environment 270 (Abagus/explicit) [7]. To illustrate the basic features of their model, the authors visualized the 271 electrical potential and mechanical deformation across the human heart throughout its cardiac 272 cycle. Nine parameters defined the orthotropic passive myocardial response as measured in 273 excised porcine myocardium by Dokos et al [15], resulting in an LV ejection fraction of 19.4%. In 274 the present study, we used five different passive mechanical parameter values to define 275 transverse isotropy with respect to the local myofiber direction as observed in the LVs of five 276 normal human subjects, with a resultant ejection fraction of 55%. It might seem quite surprising 277 that these two sets of model parameters (both supposedly representing normal mammalian 278 passive myocardial mechanical properties) predict such different LV ejection fractions. The most 279 likely explanation for this discrepancy is that the excised porcine myocardium studied by Dokos 280 and co-workers was abnormally stiff (in contracture). This seems to indicate that material parameters determined or estimated from in-vivo clinical or experimental data predict cardiac 281 282 mechanics more realistically than those directly measured from ex-vivo experimental data.

Ours is one of the few finite element modeling studies to include the mitral valve and the LV. The first finite element model of the LV with mitral valve [16] did not include the right ventricle or either atrium. In that study, the authors expanded their previous finite element models of the LV to incorporate the leaflets and chordae of the mitral valve based on drawings of the ex-vivo 287 ovine mitral apparatus. Their LV model was based on MRI data from a sheep that developed 288 moderate ischemic mitral regurgitation after postero-basal myocardial infarction. They 289 demonstrated the utility and power of their finite element model by using it to test the hypothesis 290 that a reduction in the stiffness of the ischemic region will decrease dyskinesis of the posterior 291 LV wall, increase the displacement of the posterior papillary muscle and thereby increase 292 ischemic mitral regurgitation. However, in that study, the leaflets were not modeled to include 293 contact, which resulted in spurious penetration of the anterior leaflet into the posterior leaflet. 294 That limitation was corrected in a subsequent study of the effect of annuloplasty ring shape in 295 ischemic mitral regurgitation [17][18]. That study concluded that the effects of saddle shaped 296 and asymmetric mitral annuloplasty rings are similar. This conclusion begs the question as to 297 why are there so many different mitral annuloplasty rings available to cardiac surgeons and 298 more importantly, on what basis have they been designed [19,20].

300 Study Limitations and Future Directions

301 In our study, we made significant improvements in cardiac geometry and diastolic myocardial 302 material properties in the Dassault Systèmes HCFS. There is still much opportunity for added 303 realism in the HCFS, however. Our ultimate goal is to replace the compartment approach to the 304 fluids portion of the simulation with a resolved 3D fluid dynamics solution. A fully coupled fluid-305 structure interaction (FSI) model of the human heart is highly desirable, albeit hugely 306 challenging, and the major focus of research for numerous investigators. Kunzelman and co-307 workers [2,3,6] are utilizing an advanced FSI model of the mitral valve system that allows 308 analysis of the valve in the normal, diseased, or repaired states. Their findings are validated by 309 utilizing a well-established, but unique experimental in-vitro system in which mitral valve function 310 can be extensively assessed. Once fully validated, their FSI model can be used to explore and 311 compare various types of valvular pathology and repair. The long-term goal of their research is 312 to provide an advanced FSI model of the mitral valve that could ultimately be used for 313 individualized patient planning for mitral valve repair.

314 Including flow analysis like that mentioned above, would allow us to predict shear stresses on 315 the myocardial wall, and more importantly, on the four heart valves, through the entire cardiac 316 cycle. Such an approach presents tremendous opportunities to better understand the 317 mechanisms of valvular disease and optimize treatment in the form of valve repair or 318 replacement, either through open heart surgery or minimally invasive intervention. Despite the 319 limited treatment of fluids in the current analysis, we are confident that our novel annuloplasty 320 ring with a sub-valvular element will provide durable correction of ischemic mitral regurgitation 321 because of (unpublished) promising long-term outcomes data from treated sheep.

322 Conclusion

In conclusion, this study incorporated improved cardiac geometry and diastolic myocardial material properties in the Dassault Systèmes HCFS, which resulted in a realistic LV ejection fraction of 55%. Simulating infarction of the posterior LV papillary muscle predicted regurgitant mitral valve mechanics. Implementation of our undersized annuloplasty ring with a sub-valvular element corrected valve dysfunction. Our experience suggests that valve repair can be further optimized with additional software (e.g., fatigue, optimization) to develop novel annuloplasty rings with sub-valvular elements for correction of ischemic mitral or tricuspid regurgitation.

330 Acknowledgments

331 This work was performed as part of the Living Heart Project. The authors thank Pamela Derish 332 in the Department of Surgery, UCSF, for proofreading the manuscript. This work was supported 333 by NIH grants R01-HL-077921 and R01-HL-118627 (J.M. Guccione) and U01-HL-119578 (J.M. 334 Guccione and E. Kuhl); K25-NS058573-05 (G. Acevedo-Bolton); and Marie-Curie International 335 Outgoing Fellowship within the 7th European Community Framework Program (M. Genet). Ellen 336 Kuhl also acknowledges support by the National Science Foundation CAREER award CMMI 337 0952021, by the National Science Foundation INSPIRE grant 1233054, and by the National 338 Institutes of Health grant U54 GM072970. Ivan Costa acknowledges support by the Brazilian 339 Science Agency CNPg grants 474831/2012-4 and 245677/2012-7.

341 Disclosures

- 342 Brian Baillargeon and Nuno Rebelo are employees of Dassault Systèmes Simulia Corporation,
- 343 Fremont, CA, USA.

344 Statement of Human Studies

- 345 Not applicable. As detailed in the Materials and Methods section, we used a Solid Zygote 3D
- 346 Heart geometry created by Zygote Media Group. We did not acquire the images.

347 Statement of Animal Studies

348 Not applicable.

349

350 References

- Acker MA, Parides MK, Perrault LP, Moskowitz AJ, Gelijns AC, Voisine P, et al. Mitral-valve repair
 versus replacement for severe ischemic mitral regurgitation. N. Engl. J. Med. 370:23–32, 2014.
- 2. Kunzelman KS, Einstein DR, Cochran RP. Fluid-structure interaction models of the mitral valve:
 function in normal and pathological states. Philos. Trans. R. Soc. Lond. B. Biol. Sci. 362:1393–406,
 2007.
- 356 3. Einstein DR, Del Pin F, Jiao X, Kuprat AP, Carson JP, Kunzelman KS, et al. Fluid-Structure
 357 Interactions of the Mitral Valve and Left Heart: Comprehensive Strategies, Past, Present and Future. Int.
 358 J. Numer. Methods Eng. 26:348–80, 2010.
- 4. Wenk JF, Wall ST, Peterson RC, Helgerson SL, Sabbah HN, Burger M, et al. A method for automatically optimizing medical devices for treating heart failure: designing polymeric injection patterns. J. Biomech. Eng. 131:121011, 2009.
- 5. Genet M, Lee LC, Nguyen R, Haraldsson H, Acevedo-Bolton G, Zhang Z, et al. Distribution of normal
 human left ventricular myofiber stress at end diastole and end systole: a target for in silico design of heart
 failure treatments. J. Appl. Physiol. 117:142–52, 2014.
- 365 6. Siefert AW, Rabbah J-PM, Saikrishnan N, Kunzelman KS, Yoganathan AP. Isolated effect of geometry
 366 on mitral valve function for in silico model development. Comput. Methods Biomech. Biomed. Engin.
 367 2013.
- 368 7. Baillargeon B, Rebelo N, Fox D. The Living Heart Project: A robust and integrative simulator for
 369 human heart function. Eur. J. Mech. A/Solids. Nov 48:38–47, 2014.
- 8. Pfisterer ME, Battler A, Zaret BL. Range of normal values for left and right ventricular ejection
 fraction at rest and during exercise assessed by radionuclide angiocardiography. Eur. Heart J. 6:647–55,
 1985.
- 9. May-Newman K, Yin FC. Biaxial mechanical behavior of excised porcine mitral valve leaflets. Am. J.
 Physiol. 269:H1319–27, 1995.
- 375 10. Kunzelman KS, Cochran RP. Mechanical properties of basal and marginal mitral valve chordae
 376 tendineae. ASAIO Trans. 36:M405–8, 1990.
- 11. Rogers WJ, Shapiro EP, Weiss JL, Buchalter MB, Rademakers FE, Weisfeldt ML, et al.
 Quantification of and correction for left ventricular systolic long-axis shortening by magnetic resonance
 tissue tagging and slice isolation. Circulation. 84:721–31, 1991.
- Gammie JS, Sheng S, Griffith BP, Peterson ED, Rankin JS, O'Brien SM, et al. Trends in mitral valve
 surgery in the United States: results from the Society of Thoracic Surgeons Adult Cardiac Surgery
 Database. Ann. Thorac. Surg. 87:1431–7; discussion 1437–9, 2009.

- 383 13. Gorman RC, Gillespie MJ, Gorman JH. Surgery for severe ischemic mitral regurgitation. N. Engl. J.
 384 Med. 370:1462, 2014.
- 14. Acker MA, Gelijns AC, Kron IL. Surgery for severe ischemic mitral regurgitation. N. Engl. J. Med.
 370:1463, 2014.
- 387 15. Dokos S, Smaill BH, Young AA, LeGrice IJ. Shear properties of passive ventricular myocardium.
 388 Am. J. Physiol. Heart Circ. Physiol. 283:H2650–9, 2002.
- 389 16. Wenk JF, Zhang Z, Cheng G, Malhotra D, Acevedo-Bolton G, Burger M, et al. First finite element
 390 model of the left ventricle with mitral valve: insights into ischemic mitral regurgitation. Ann. Thorac.
 391 Surg. 89:1546–53, 2010.
- 392 17. Wong VM, Wenk JF, Zhang Z, Cheng G, Acevedo-Bolton G, Burger M, et al. The effect of mitral
 393 annuloplasty shape in ischemic mitral regurgitation: a finite element simulation. Ann. Thorac. Surg.
 394 93:776–82, 2012.
- 18. Lee LC, Genet M, Dang AB, Ge L, Guccione JM, Ratcliffe MB. Applications of computational
 modeling in cardiac surgery. J. Card. Surg. 29:293–302, 2014.
- Rausch MK, Bothe W, Kvitting J-PE, Swanson JC, Miller DC, Kuhl E. Mitral valve annuloplasty: a
 quantitative clinical and mechanical comparison of different annuloplasty devices. Ann. Biomed. Eng.
 40:750–61, 2012.
- 20. Bothe W, Rausch MK, Kvitting J-PE, Echtner DK, Walther M, Ingels NB, et al. How do annuloplasty
 rings affect mitral annular strains in the normal beating ovine heart? Circulation. 126:S231–8, 2012.

404 Figure captions

Figure 1: Solid model of the human heart used as the basis for our improved model. It was created from computed tomography and magnetic resonance imaging; adapted with permission from (Zygote Media Group and Inc., 2014).

Figure 2: Electrical finite element model of the human heart discretized with 449,560 linear
tetrahedral elements, 655 1D linear conduction elements, 103,770 nodes, and 103,770
electrical degrees of freedom. Red elements comprise the atria; blue elements comprise the
ventricles.

Figure 3: Representation of the 655 1D linear electrical conduction elements in the LV (red) and
RV (blue) used in the HCFS.

Figure 4: Mechanical finite element model of the human heart discretized with 449,560 linear tetrahedral elements, 12,915 linear quadrilateral shells, 7577 linear triangular shells, 636 linear truss elements, 16,824 rigid triangular elements, 130,290 nodes, and 443,564 mechanical degrees of freedom.

Figure 5: Comparison of passive mechanical behavior under shearing deformation between the human heart model of Baillargeon et al (2014) and that used in the current study. "FN" stands for shear in the fiber-normal plane. "FS" stands for shear in the fiber-sheet plane. True (Cauchy) stress and true (logarithmic) strain are plotted in this figure.

Figure 6: Fit of leaflet stress-strain relationships (red indicates circumferential, blue indicates radial) to experimental data. "Exp" stands for experimental. True (Cauchy) stress and true (logarithmic) strain are plotted in this figure.

Figure 7: Fit of chordae stress-strain relationships to experimental data. Red line indicates basal
chordae; blue line indicates marginal chordae. "Exp" stands for experimental. True (Cauchy)
stress and true (logarithmic) strain are plotted in this figure.

Figure 8: Ischemic mitral regurgitation was simulated by preventing active myocardial stress to be developed in an LV region (shown in red). Left: view of whole heart model; Right: close-up view of infarcted LV region showing endocardial surface and posterior papillary muscle.

Figure 9: Novel annuloplasty ring with a sub-valvular element for correction of ischemic mitral
regurgitation. A: photograph of actual prototype; B: finite element model of prototype.

Figure 10: A: Close-up view of whole heart model with left atrium removed. Sutures attaching
the novel device to the mitral valve annulus are shown in pink. B: Side views showing finite
element model of novel device in contact with mitral valve apparatus.

Figure 11: Plots showing normal human heart behavior. A: LV pressure-volume loop; B: LV
long-axis shortening versus time.

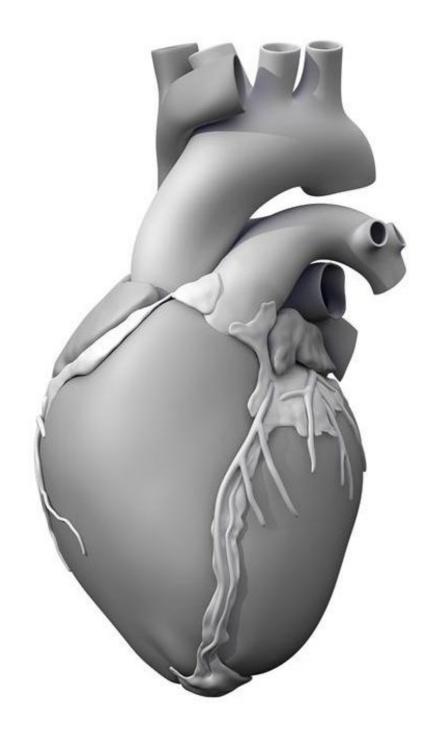
Figure 12: Close-up views of whole heart model with left atrium removed to clearly show mitral
valve in its open (left) and closed (right) states during the cardiac cycle.

440 Figure 13: Effect of myocardial infarction (blue line) on LV pressure-volume loop. The red line441 indicates no myocardial infarction.

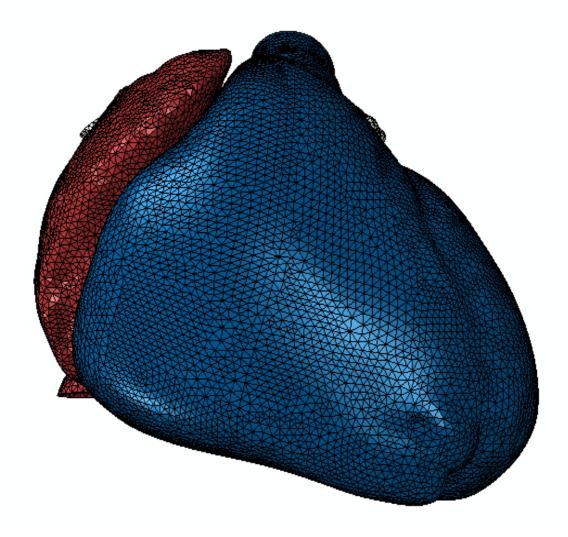
442 Figure 14: Effect of infarcted LV region on mitral valve leaflet coaptation. Left: view of entire
443 mitral valve; Right: close-up view of affected area.

Figure 15: Close-up view of the mitral valve in the whole heart model with a simulated infarcted
LV region. The left atrium was removed to clearly show how the simulated novel device
increases mitral leaflet coaptation.

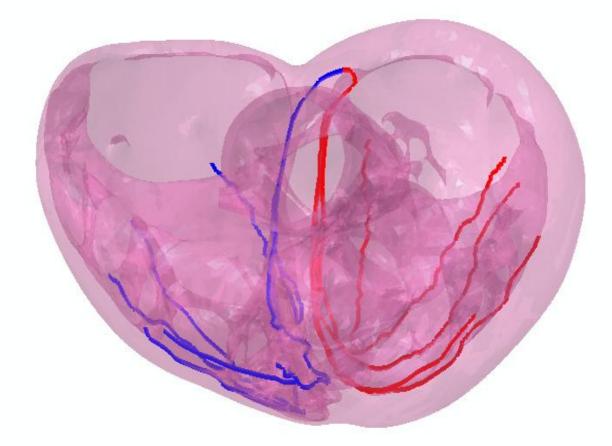
Figure 16: Average forces in chordae between the posterior mitral valve leaflet and the posterior LV papillary muscle during the cardiac cycle. The force time courses were normalized by the peak average chordae force value in the healthy heart (red curve). Also shown are the cases of LV myocardial infarction (blue curve) and diseased heart treated with our novel device (green curve).



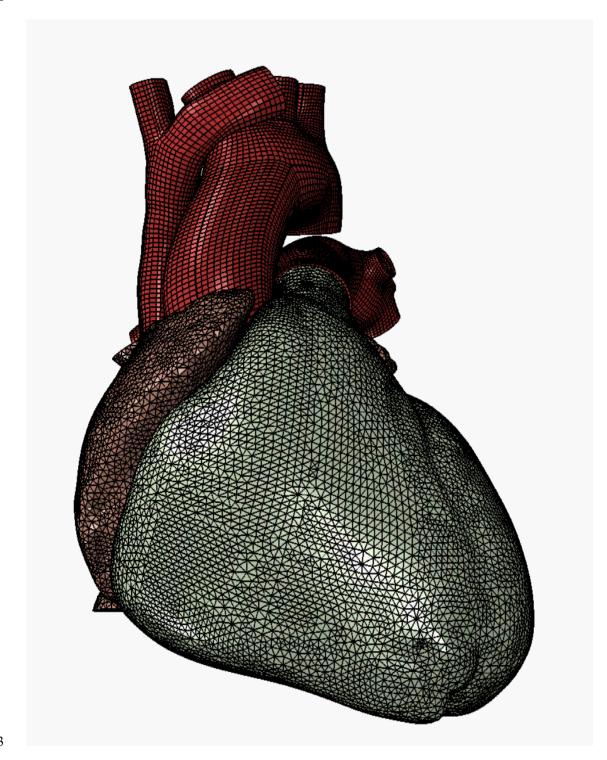
455 Figure 1



458 Figure 2

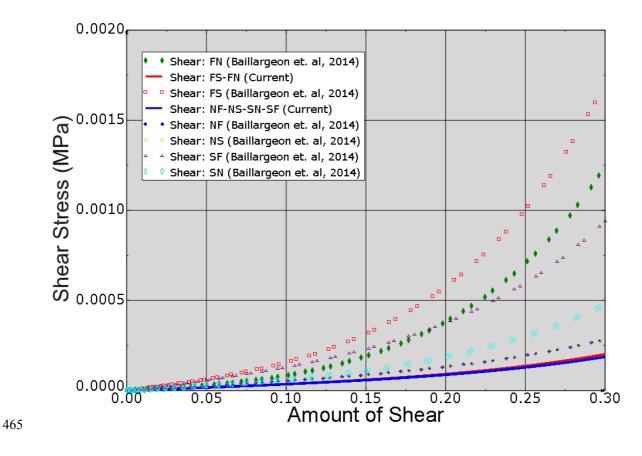


461 Figure 3

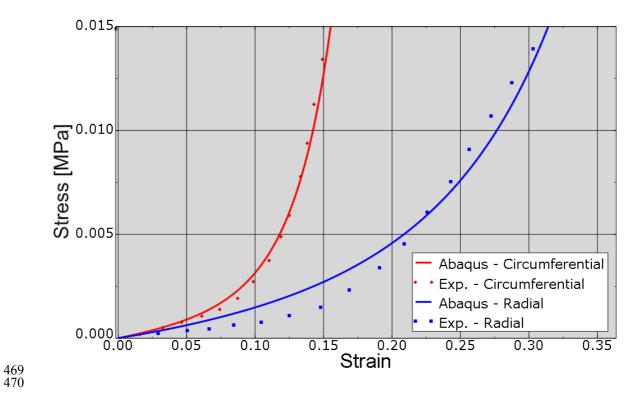


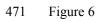


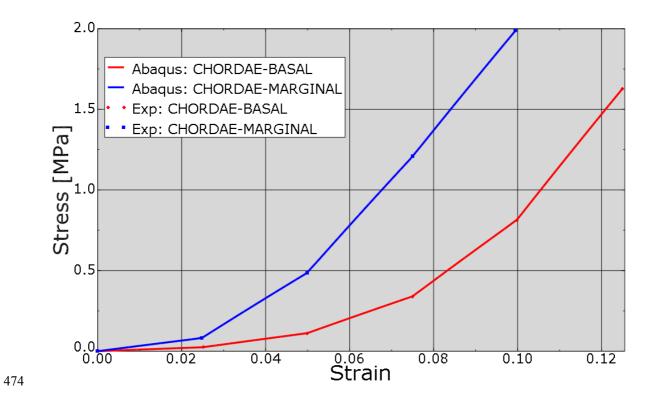
464 Figure 4

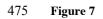


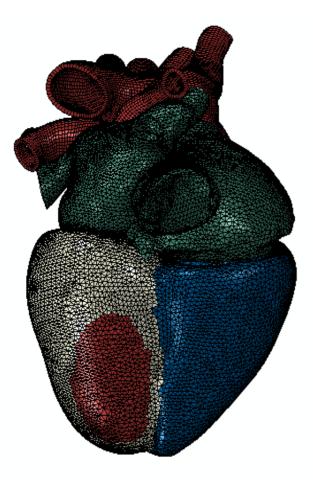


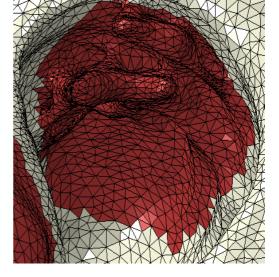




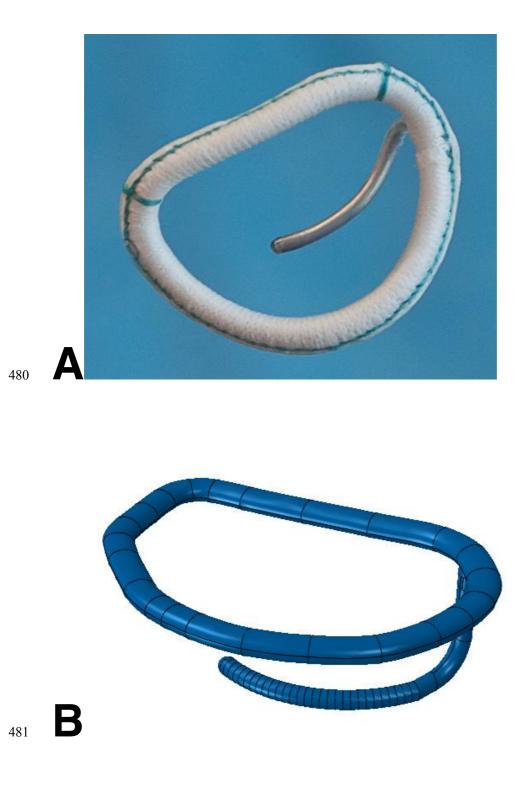




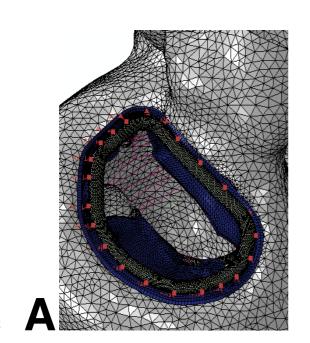


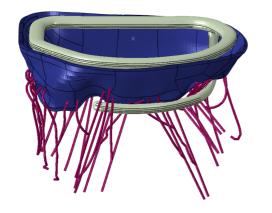


478 Figure 8



482 Figure 9







487 Figure 10

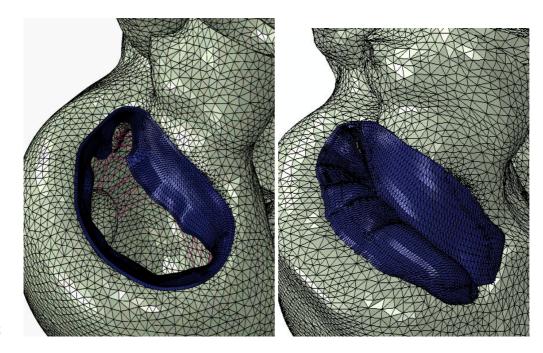
B

488

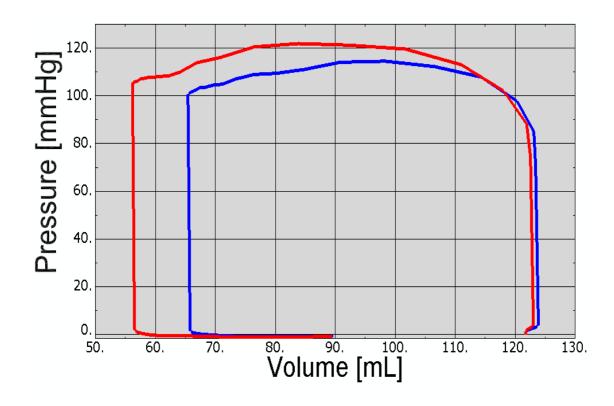
Lessence [] 20. 0. 50. Volume [mL] 60. 70. 110. 120. 130. 490 -14. 0.7 Time [s] 0.8 0.9 1.1 1.2 1.3 B

491

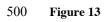
Figure 11 492

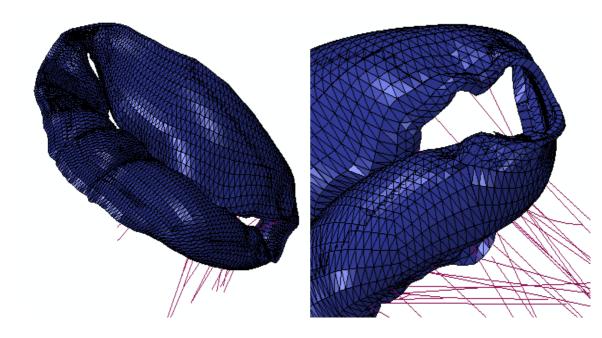


496 Figure 12

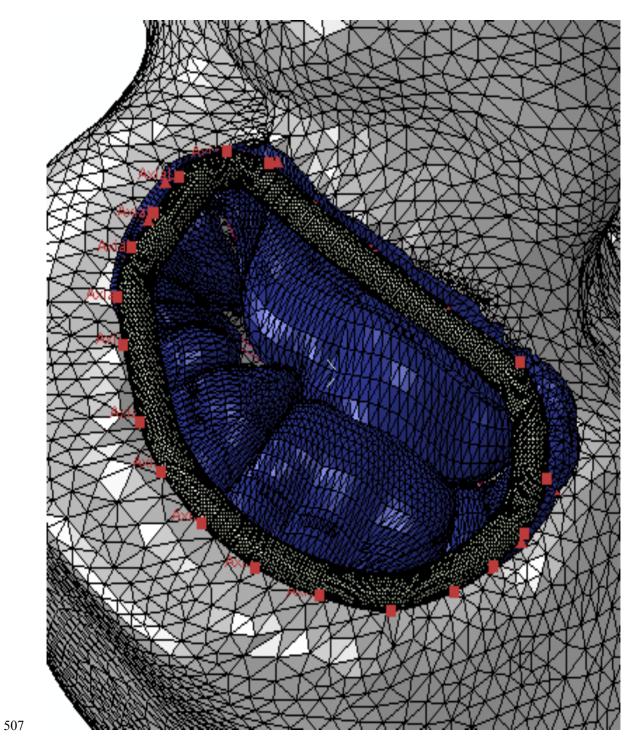


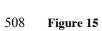


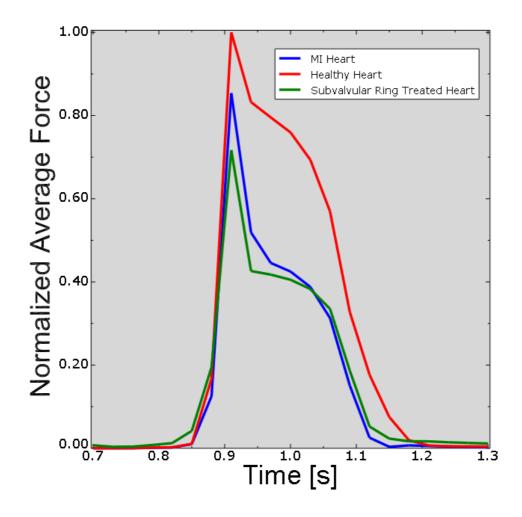




504 Figure 14







510 Figure 16