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# UV photoionization of Cytosine catalyzed by $\text{Ag}^+$

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## ABSTRACT

The photo-induced damages of DNA in interaction with metal cations, which are found in various environments, still remain to be characterized. In this paper, we show how the complexation of a DNA base (cytosine (Cyt)) with metal cation ( $\text{Ag}^+$ ) changes its electronic properties. By means of UV photofragment spectroscopy of cold ions it was found that the photoexcitation of the  $\text{CytAg}^+$  complex at low energy (315 - 282) nm efficiently leads to ionized cytosine ( $\text{Cyt}^+$ ) as the single product. This occurs through a charge transfer state in which an electron from the p orbital of Cyt is promoted to  $\text{Ag}^+$ , as confirmed by *ab-initio* calculations at the TD-DFT/B3LYP and RI-ADC(2) theory level using the SV(P) basis set. The low energy ionization of Cyt in presence of  $\text{Ag}^+$  could have important implications as point mutation of DNA upon sunlight exposition.

## I. INTRODUCTION

The knowledge of the molecular mechanisms of DNA mutations has been the subject of many studies since the double-helix structure was discovered by Watson and Crick.<sup>1</sup> The hydrogen bond interactions between the nucleobases are responsible for the bio-recognition of the Adenine-Thymine (AT) and Guanine-Cytosine (GC) canonical base pairs and therefore for the storage and transfer of biological information. As suggested by Watson and Crick, the genetic code may be modified by the presence of rare tautomeric forms of any of the four DNA bases generating failure in the recognition through the hydrogen-bonds. The genetic code is constantly subject to DNA damage derived from endogenous and exogenous sources, and this can lead to genomic instability and carcinogenesis if the DNA repair mechanisms fail.<sup>2</sup>

Among the exogenous sources of DNA damage, UV<sup>3,4</sup> and ionizing<sup>5,6</sup> radiations play a relevant role and understanding the interaction of light with DNA bases is of great motivation.<sup>7-12</sup> Particularly interesting is the photoionization of the DNA bases (e.g. by VUV,  $\gamma$ ,  $\beta$  or X-Rays radiation) because it can develop different kind of cancers.<sup>5,6,13-15</sup> The genotoxic effect of ionizing radiation in living cells can be produced by secondary species generated by the primary radiation<sup>5</sup> or by direct interaction of the photons with the DNA bases leading to their ionization within the WC pair.<sup>14-17</sup> Indeed, after ionization of one of the bases, usually Guanine because it has the lowest ionization potential among the four bases,<sup>14</sup> a proton transfer (PT) reaction within the WC base pair can take place, leading to rare tautomers.<sup>14-17</sup> If the PT reaction is not reverted before the DNA is replicated a damage in the genetic code occurs.

On the other hand, the interaction of DNA with several cations is also very important in nature<sup>18-20</sup> and in many cases it has been recognized to alter the fidelity of DNA synthesis and those cations have been considered mutagens or carcinogens.<sup>21</sup> It has been reported that some

cations can promote rare DNA bases tautomers<sup>19</sup> or induce a lowering in the energy barrier for the PT reaction within the WC pair.<sup>20</sup> One of the most interesting examples is  $\text{Ag}^+$  whose  $\text{AgNO}_3$  salt has been considered as mutagen class I,<sup>21</sup> however, silver is extensively used as an antimicrobial<sup>22</sup> e.g. by coating the surface of prosthesis with silver layers, wound dressings or as a water cleaning agent in swimming pools or even in drinkable water filters, etc. In addition, highly fluorescent silver nanoparticles can be synthesized in cytosine and/or guanine rich DNA templates<sup>23-26</sup> due to the high affinity and site specificity of  $\text{Ag}^+$  upon interaction with cytosine.<sup>27,28</sup> The fast development of nanoscience and nanotechnology and the fact that silver nanoparticles can be easily synthesized, have spread their use in many technological applications in which medicine and biology are not the exception.<sup>29</sup> Despite of the extensive use of  $\text{Ag}^+/\text{Ag}$  in medicine and its established strong affinity by DNA,<sup>27,28</sup> insufficient information is available about the secondary effects of the long and short term exposure to this element on the human health.

The joint effect of UV light and  $\text{Ag}^+$  interaction with DNA bases is still unknown. Very recently, it has been reported that  $\text{Ag}^+$  can induce the formation of non-canonical DNA pairs such as silver mediated cytosine pair ( $\text{Cyt}_2\text{Ag}^+$ ).<sup>27,28,30</sup> Whereas the excited state lifetime of Cyt is short,<sup>31,32</sup> which is identified as one of the photoprotection mechanisms of DNA,<sup>7-9,11,12,33</sup> it was also shown that the lifetime of the  $\text{Cyt}_2\text{Ag}^+$  complex is long,<sup>34</sup> which means that the effect of this cation on the electronic properties of the DNA bases could be very important.

We report here the UV photofragmentation spectrum of the gas phase Cytosine- $\text{Ag}^+$  ( $\text{CytAg}^+$ ) complex as a reductionist approach to gain information about the effect of  $\text{Ag}^+$  on the optical properties of the DNA bases and especially on the mutagenic role of this cation. From these results it is suggested that the interaction of Cytosine (Cyt) with  $\text{Ag}^+$  cations, broadly used as

antimicrobial, induces low energy ionization of the DNA base upon irradiation with photon energies in the UVB solar spectrum (as low as 3.9 eV).

## II. EXPERIMENTAL

The electronic spectrum of the  $\text{CytAg}^+$  complex was obtained via parent ion photofragmentation spectroscopy in a cryogenically-cooled quadrupole ion trap (QIT). The  $\text{CytAg}^+$  complex was produced by photofragmentation of isolated  $\text{Cyt}_2\text{Ag}^+$  complex and re-isolated in the same QIT, as described below.

The experimental setup has been previously described.<sup>35</sup> Briefly, ions are produced in an electrospray ionization source built at Aarhus University,<sup>36</sup> by introducing a solution of cytosine (500  $\mu\text{M}$ ) and silver nitrate (250  $\mu\text{M}$ ) in a methanol (50%)/water (50%) solvent and are stored in an octopole ion guide before being introduced into the cold QIT. A mass gate between the octopole and the QIT allows selecting the  $m/z$  of the  $\text{Cyt}_2\text{Ag}^+$  complex. The 3D QIT (Paul Trap from Jordan TOF Products, Inc.) is cooled by a closed-cycle helium cryostat (CoolpakOerlikon). The isolated  $\text{Cyt}_2\text{Ag}^+$  ions are cooled by collisions with helium buffer gas, which is introduced into the QIT 2 ms before the ions arrive, the final ion temperature being around 40 K.<sup>37</sup> After the final ion temperature is reached, the first photo-dissociation laser (Laser 1), tuned at 273.4 nm, is triggered. At this wavelength the photofragmentation efficiency of  $\text{Cyt}_2\text{Ag}^+$  to produce  $\text{CytAg}^+$ , is maximum.<sup>34</sup>

The  $\text{CytAg}^+$  complex is re-isolated by removing the remaining  $\text{Cyt}_2\text{Ag}^+$  and other fragment ions from the QIT by resonantly exciting its axial micromotion with an auxiliary dipolar Radio Frequency (RF) as reported in a previous work.<sup>38</sup> A second photo-dissociation tunable laser (Laser 2) excites the isolated  $\text{CytAg}^+$  complex, around 60 ms after Laser 1. The fragment and

parent  $\text{CytAg}^+$  ions are then extracted to the TOF mass spectrometer. The complete mass spectrum is recorded on a micro channel plate (MCP) detector with a digitizing storage oscilloscope interfaced to a PC. The photofragment yield spectrum of each detected ion is normalized to the parent ion signal and the laser power.

The photo-dissociation lasers are two OPO lasers from EKSPLA, which have a 10 Hz repetition rate, 10 ns pulse width, a resolution of c.a.  $10\text{ cm}^{-1}$  and a scanning step of 0.02 nm. The lasers are shaped to a  $1\text{ mm}^2$  spot to fit the entrance hole of the QIT.

### III. THEORETICAL

*Ab initio* calculations have been performed with the TURBOMOLE program package,<sup>39</sup> making use of the resolution-of-the-identity (RI) approximation for the evaluation of the electron-repulsion integrals.<sup>40</sup> The equilibrium geometry of the complex in its ground electronic state ( $S_0$ ) was calculated at the MP2 level using the SV(P) basis set with TURBOMOLE as well as at the DFT/B3LYP level using the 6-311G++(d,p) basis set for the C, H, N, and O atoms and the SDD effective core potential with their accompanying basis set for  $\text{Ag}^{41}$  incorporated in Gaussian 09.<sup>42</sup> The vertical transition energies for the lowest excited states were also calculated at the TD-DFT/B3LYP and MP2 levels using the SV(P) basis set in both cases. Excitation energy and equilibrium geometry of the three lowest excited singlet states were determined at the RI-ADC(2)/SV(P) level.

### IV. RESULTS AND DISCUSSION

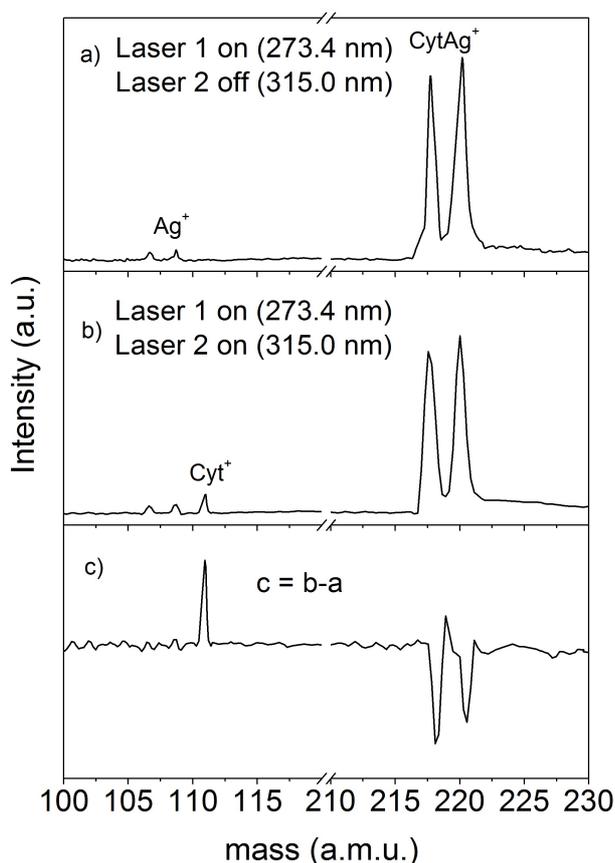
The  $\text{CytAg}^+$  complex was prepared in a cold Paul ion trap, by photofragmentation of the  $\text{Cyt}_2\text{Ag}^+$ , with Laser 1 tuned at 273.4 nm where the maximum of the absorption band of this

complex is observed.<sup>34</sup> The  $\text{CytAg}^+$  complex was re-isolated by applying an auxiliary dipolar Radio Frequency (RF) to remove the remaining  $\text{Cyt}_2\text{Ag}^+$  complexes.

Figure 1.a shows the mass spectrum after re-isolation of the  $\text{CytAg}^+$  with Laser 1 (273.4 nm) on, where remaining quantities of  $\text{Ag}^+$  from the photodissociation of  $\text{Cyt}_2\text{Ag}^+$  are also observed in the spectrum. After the  $\text{CytAg}^+$  complex is re-isolated a second Laser 2 is triggered around 60 ms after Laser 1 and induces the photofragmentation of the  $\text{CytAg}^+$  complex (Figure 1.b). The subtraction of the mass spectrum with both lasers on (Fig. 1.b) minus the mass spectrum with only laser 1 on (Fig. 1.a) is shown in Figure 1.c where the photodepletion of the parent mass ion  $\text{CytAg}^+$  is observed concurrently with the rising of a fragment at  $m/z = 111$ , corresponding to  $\text{Cyt}^+$ .

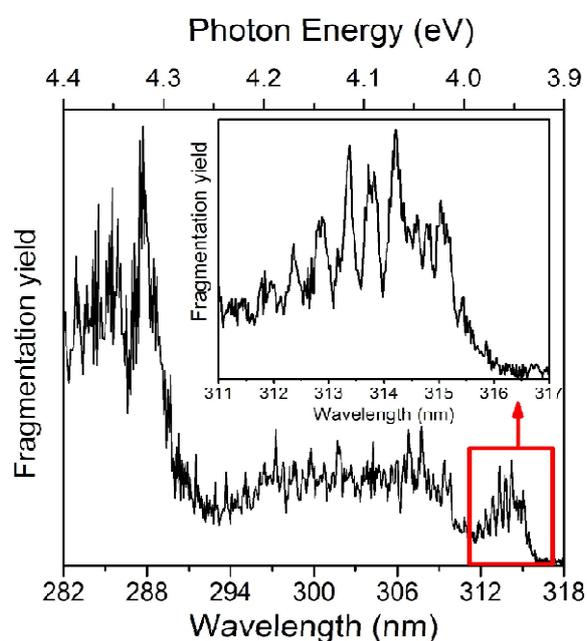
Laser 2 was continuously tuned in the spectral range 282 – 318 nm and the photofragmentation spectrum of  $\text{CytAg}^+$  in this spectral region recorded on the mass channel of  $\text{Cyt}^+$  and is shown in Figure 2. It is worth noting that under the present experimental conditions we were unable to detect any other fragment. The first absorption band recorded on the mass of  $\text{Cyt}^+$  photofragment starts at 315.17 nm (3.93 eV). A second system of absorption bands is observed at 287.3 nm (4.32 eV) leading to an even higher intensity of  $\text{Cyt}^+$ . The first band was recorded with higher resolution (inset Figure 2) and it shows a well resolved and complex vibrational structure, with a progression of around  $50 \text{ cm}^{-1}$ , whose spectral width is within the laser bandwidth, indicating that the excited state is long-lived as observed previously for  $\text{Cyt}_2\text{Ag}^+$  complex<sup>34</sup> and some protonated DNA bases<sup>37</sup> and their homodimers.<sup>43</sup> The most unexpected result here is the fact that the dissociation of  $\text{CytAg}^+$  yields  $\text{Cyt}^+$  and  $\text{Ag}$  as the main products, which is completely different from the results observed in the ground state fragmentation of the  $\text{CytAg}^+$  complex, achieved by IR-MPD leading to the loss of the HNC O neutral fragments as the main

fragmentation channel.<sup>44</sup> This result strongly suggests that the excited state is a Charge Transfer (CT) state that involves the promotion of an electron from Cyt to  $\text{Ag}^+$  and subsequent fragmentation.



**Figure 1:** Mass spectra recorded under different conditions. a) After photolysis of the  $\text{Cyt}_2\text{Ag}^+$  complex with Laser 1 tuned at 273.4 nm and re-isolation of the  $\text{CytAg}^+$  complex. b) After photofragmentation of  $\text{CytAg}^+$  complex with Laser 2 tuned at 315.0 nm. c) The result of the subtraction of spectrum b) minus spectrum a), where the depletion of the parent ion and the rising of the  $\text{Cyt}^+$  photoproduct intensity are observed. The signal observed on  $m/z = 107$  and  $109$  in Figures 1.a) and 1.b), corresponds to background  $\text{Ag}^+$  ions, since the intensity of these peaks is not affected by the photofragmentation Laser 2. The double peaks observed at masses  $m/z = 107, 109$  and  $218, 220$  correspond to the isotopic distribution of  $\text{Ag}^+$  and  $\text{CytAg}^+$ , respectively.

It is important to note that  $\text{Cyt}^+$  could be the statistically dominant channel in the  $S_0$  state above 4 eV of excitation energy as in this case. Since IR-MPD is blind to this channel, as dissociation takes place as soon as the excess energy is above the threshold of the lowest energy channel, a better comparison would be with CID results at center-of-mass collision energies close to 4 eV or more. However, this data are no available until now and we expect they will be provided by specialists for comparison.



**Figure 2:** Photofragmentation spectrum of the  $\text{CytAg}^+$  complex in the spectral region 282 – 318 nm (4.4 – 3.9 eV), recorded on the  $\text{Cyt}^+$  ion mass. The inset shows a higher resolution spectrum of the lowest energy band (311 – 317) nm.

To get more insight into the structure and excited state dynamics of this system, ground and excited state optimizations were performed. The ground state structure of the complex has been determined previously by combining IR-MPD spectroscopy and DFT/B3LYP calculations.<sup>44</sup> It

was shown that the complex is planar ( $C_s$  symmetry group) with the cytosine molecule in the keto-amino tautomeric form, in which  $Ag^+$  interacts simultaneously with N(3) and C(2)=O (see Table I for atoms labels) and the calculated binding energy ( $E_b(S_0)$ ) at this theory level is 2.86 eV. In this ground state structure the positive charge is mainly localized on the  $Ag^+$  cation. The population of other tautomers was suggested to be negligible.<sup>44</sup>

The calculated vertical transition energies at the TD-DFT/B3LYP and ADC(2) levels and adiabatic transition energies in  $C_s$  symmetry at the RI-ADC(2) levels of theory are shown in Table I. Among the ten  $A'$  and  $A''$  excited states optimized, only three low lying  $A'$  states have enough oscillator strength to be potentially excited and detected (see Table I). The first two states are CT states for which one electron mostly localized on the Cyt molecule is transferred to a  $\sigma^*$  orbital localized on the Ag moiety, leaving the positive charge on  $Cyt^+$ . These states correlate directly with  $Cyt^+ + Ag$  dissociation products. The third allowed transition is the  $\pi\pi^*$  transition localized in the Cyt moiety which corresponds to the excitation of the HOMO ( $8a'' \pi$ ) to the first empty  $a''$  symmetry orbital ( $9a''$ ,  $\pi^*$ ).

The vertical and adiabatic transition energies of the first CT state (CT1) were calculated at 3.95 eV and 3.75 eV at the ADC(2) and RI-ADC(2) levels, respectively. The adiabatic transition energy for this state differs only 5 % from the experimental transition energy of the first absorption band (3.93 eV) and it is suggested that this state is responsible for the absorption in this spectral region. The adiabatic transition energies of the second CT state (CT2) and the  $\pi\pi^*$  state were found very close, 4.54 eV and 4.60 eV, respectively, at the same theory level and with similar oscillator strengths, which are one order of magnitude larger than the oscillator strength of CT1. The adiabatic energy of both states are only 5-6 % higher than the experimental transition energy of the second very intense system of bands at 4.32 eV, that may be assigned to

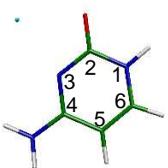
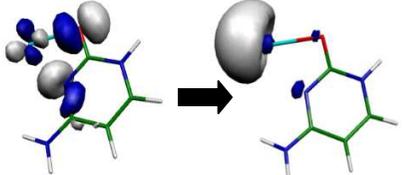
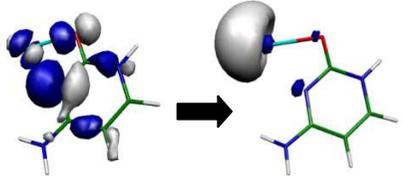
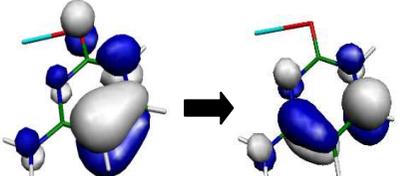
any of these two states. However, the fact that  $\text{Cyt}^+$  is also the main photofragment in this band suggests a large contribution of the CT2 state.

The origin of the electronic transition in the complex (3.93 eV) is very close to the origin of the keto tautomer of bare Cyt molecule.<sup>45</sup> However, a comparison between the spectra of the  $\text{CytAg}^+$  complex and the Cyt molecule is not straightforward since in the complex the absorption is mostly due to the charge transfer states, while in the bare molecule it is due to the  $\pi\pi^*$  state and the first  $\pi\pi^*$  state in the complex is at the same energy (4.6 eV) as in the enol form of Cyt.<sup>45</sup>

Considering the lowest or threshold excitation energy ( $E_{\text{th}}$ ) at which the  $\text{Cyt}^+$  fragment is observed ( $E_{\text{th}} = 3.93$  eV) and the Ionization Potentials (IP) of Cyt ( $\text{IP}_{\text{Cyt}} = 8.733$  eV),<sup>46</sup> and Ag ( $\text{IP}_{\text{Ag}} = 7.576$  eV),<sup>47</sup> the lowest limit for the ground state binding energy of the  $\text{CytAg}^+$  complex ( $E_{\text{b}}(\text{S}_0)$ ) is estimated as  $E_{\text{b}}(\text{S}_0) \leq E_{\text{th}} + \text{IP}_{\text{Ag}} - \text{IP}_{\text{Cyt}} \leq 2.77$  eV, in good agreement with the value of 2.86 eV calculated at the DFT/B3LYP level.

Ionization of DNA bases is known to induce PT reactions within the WC base pairs, leading to the production of rare tautomeric forms that in turn induces mutations in the genetic code.<sup>14-17,48</sup> Usually, primary photoionization of Guanine, the base with the lowest  $\text{IP}^{14}$  takes place upon direct interaction with ionizing radiation with photons near 8 eV. In this work we show that Cyt can be also ionized, but at lower photon energies (less than 4 eV), when interacting with  $\text{Ag}^+$ , through a CT state. This is a relevant result, since silver ions are extensively used as antimicrobial and with medical purposes, but their secondary effects on the human health are still unknown. However, we show that it can induce photo-ionization of DNA bases with UV photons arriving to the earth during the day. Thus, we want to point out the potential hazard of using silver ions as an antimicrobial in combination with solar radiation as it is the case in swimming pools.

**Table 1:** Experimental and Theoretical (vertical and adiabatic) electronic excitation energies of the CytAg<sup>+</sup> complex for the three lowest A' symmetry excited electronic states in planar Cs symmetry, calculated at the TD-DFT/B3LYP and ADC(2) and RI-ADC(2) theory level using the SV(P) basis set. All the energies are given in eV.

 A' STATES	Name	Oscillator Strength	Vertical Transition Energy (eV) B3LYP	Vertical Transition Energy (eV) ADC(2)	Adiabatic Transition Energy (eV) RI-ADC(2)	Experimental Transition Energy (eV)
 30a' → 31a'	CT1	$5 \times 10^{-3}$	3.74	3.95	3.75	3.93
 29a' → 31a'	CT2	$4 \times 10^{-2}$	4.56	4.57	4.54	4.32
 8a'' → 9a''	$\pi\pi^*$	$7 \times 10^{-2}$	4.93	4.93	4.60	

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