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Molecular Orbital Study of Electrophilic Substitution of Allylmetal Compounds: hyperconjugation, transition states, cations and solvent effects

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Summary

MO computations of "hard" (eg H^+) and "soft" (eg SO₂) electrophiles reacting with substituted cyclohex-2-enylmetals and allylmetals (Si, Ge, Sn) show:

- 1) The global electrophilicity index is a sensitive predictor of electrophilic reactions
- 2) Extensive hyperconjugative σ π stabilization in the ground state, carbocations, and transition states.
- 3) Small energy differences between carbocation intermediates and the transition states indicate that products can form via the cation or the transition state.
- 4) The C2 cations formed from protolysis of the substituted cyclohex-2-enylmetals have a bridging H^{\dagger} --C2=C3 structure, whereas bridging and non-bridging cations are formed with the allylmetals.
- 5) The transition states have structures similar to the cations. Strong nucleophilic solvents cause a shift from a non-bridging to a bridging structure.
- 6) The insertion transition states have $SO₂$ bridging the double bond in the cyclohex-2-enyltrimethylstannane, or non-bridging in allyltrimethylstannane.

Keywords: MO computations, allylmetals, electrophilicity index, hyperconjugation, transition states, carbocations, reaction mechanisms

1. Introduction

Electrophilic substitution reactions of organometal compounds of group 14 have been widely studied, mainly via reaction rates and stereochemistry. These reactions made a significant contribution to the fundamental basis of electrophilicity that exists today. Allylmetal compounds have been noted to involve very rapid allylic rearrangement when attacked by electrophiles, $\begin{bmatrix} 1 & -7 \end{bmatrix}$ attributed to significant σ - π hyperconjugation $[1,2, 8-10]$ invoked by contribution of electron density from the C – M bond to the charged β position. Electrophilic substitution of cyclic allylmetal compounds have also been studied, but the allylic strain imposed by the cyclic ring constraint $[11-13]$ has not been evaluated. The description of the reaction mechanisms, transition states and their interactions with solvents involved in these electrophilic substitution reactions needs to be revised using modern molecular orbital computations to give details which were previously not accessible. New fundamental concepts such as the global electrophilicity index, which derived from molecular orbital theory, have not been tested against reactivity data and mechanisms which formed the basis of the current theories of electrophilic substitution.

Details such as the nature of the electrophile, preferred site of electrophilic attack, the nature of transition states and reactive carbocationic intermediates, $[5, 8]$ and the factors which determine final product distribution are all available from such calculations. Hyperconjugative stabilization of the ground state and transition states can also be elucidated by molecular orbital calculations.

This study will examine the following issues:

- 1. The ground state molecular electronic configuration of a series of closely related substituted allyltrimethylmetal and cyclohex-2-enyltrimethyl metal compounds for silicon, germanium and mainly tin metals will be examined to identify which factors might influence electrophilic substitution (Figure 1). The influence of σ - π hyperconjugation and conformation on atomic charges of the allylic moiety will be highlighted, as well as the energies of the frontier HOMO and LUMO. The theoretical global electrophilicity index of these organometals is also examined to detect if this indicator can predict reactivity.
- 2. The electronic configurations of the transition states for the protolysis reaction and sulphur dioxide insertion reaction will be calculated (Figure 2).The hydrogen ion is an example of a "hard" electrophile, and SO_2 is an example of a "soft" electrophile.
- 3. The electronic configurations of the cation intermediates possibly involved in protolysis will be investigated (Figure 2).
- 4. The electronic configuration of possible reaction intermediates or transition states influence final product distribution will be assessed. In particular, how nucleophilic attack by the anions formed by acid dissociation are directed to the LUMO, and how intramolecular attack by the O of $SO₂$ during the insertion reaction is directed by the LUMO and the orbitals of the C – M bond.

Figure 1. Substituted Cyclohex-2-enyl Metallics and Allyl Metallics

2. Results and Discussion

2.1 Influence of ground state configuration on electrophilic attack

The nature of the electrophile and solvent is a major factor in electrophilic reactions. Protolysis of allylmetal compounds is an example of a "hard" electrophile, and can

involve intermediate cationic species when the H^+ ion attacks the double bond. This would then be followed by nucleophilic attack by the anion formed from acid dissociation in polar solvents, typically methanol. $[1-5, 8]$ The "hard" "soft" concept, structural reactivity and hyperconjugation have been previously discussed. ^{9-11]} Protolysis in less polar solvents such as chloroform have also been studied for trifluoroacetolysis in the cyclohex-2-enylstannane system. [6] Sulphur dioxide has been chosen as an example of a "soft" electrophile, where the "nucleophilic" component is a part of the electrophile. $[7, 12-14]$

It has been previously observed that "soft" heteroatom electrophiles usually form a cyclic bridging cation with the double bond in olefin systems, whereas "hard" electrophiles usually do not. [8] The bridging cation formed by "soft" heteroatom electrophiles usually have a lone pair so the bridging species notionally has 4 electrons, which are not present with "hard" electrophiles like the H^+ ion. Intermediate cationic species can be stable depending on what other substituents are present.

The molecular electronic configuration of the allylmetal species and the interaction of the HOMO on the allylmetal substrate with the LUMO of the electrophile will determine the pathway to the transition state. The energies of the HOMO, E_{HOMO} , are listed in Table 1 (as the Ionization Potential, IP, calculated from Koopmans' theorem for the HF theory), and the energies of the LUMO, E_{LIMO} , (as the electron affinity EA) along with the natural bond orbital charges for the Sn, C1, C2 and C3 atoms. Orbital coefficients would be preferable to atomic charges, but these are difficult to accurately assess for multi-electron systems with high atomic numbers with extensive electron correlation. The values derived from Koopmans' theorem are a good approximation of the IP and EA values. The energy of the all species studied were calculated using fully optimised geometries by the DFT B3LYP level of theory with the LANL2DZ basis set. Comparison calculations using the HF / LANL2DZ level showed that the same trends were observed although the absolute energy levels varied as expected. The object of the study (and the level of theory used) is to examine quantitative trends amongst a series of molecules, not determine the absolute accuracy of each calculation.

2.1.1 The global electrophilicity index (GEI = $[\{E_{HOMO} + E_{LUMO}\}/2]^2 / 2 \{E_{HOMO} - E_{LUMO}\}$ E_{LUMO} }) has been used to assess the electrophilicity of molecules. [18] However, it has been shown that the *inverse* of GEI also describes the nucleophilicity, ^[19] or the propensity of the molecule's HOMO to "donate" electrons to the vacant LUMO of the electrophile. Table 1 shows the calculated GEI for the allylmetal compounds under consideration, and it shows that:

- 1) For the cyclohex-2-enylmetallics where M is Si, Ge and Sn (axial or equatorial) the GEI decreases going down the periodic table, from 5.2, 5.15, 4.6 eV, so the nucleophilicity correspondingly increases. This trend is consistent with the known electrophilic reactivity of group $14^{[1-4, 8, 13]}$.
- 2) For the allylmetallics where M is Si, Ge and Sn, the GEI also decreases going down the periodic table, from 4.5, 4.4, 4.25eV, so the nucleophilicity correspondingly increases.
- 3) Me₃SnCH₂CH=CH₂ has a GEI of 4.25 eV compared to Me₃SnCH₂C(CH₃)=CH₂ (4.85 eV) and Me₃SnCH₂CH=CH-Me (anti, syn) of 4.55 and 4.9 eV all in methanol. The methyl substituents exert a significant effect of about 0.6 eV (14

kcal/mol) in lowering the propensity to electrophilic attack compared to the allylstannane. This observation is compared with the known kinetic data for the protolysis (HCl and $HCIO₄$) in methanol where the relative second order rates are $0.475, 24.8, 0.0274$ and 0.0508 l.mol⁻¹sec⁻¹ respectively ^[4]. The protolysis of 2methylprop-2-enestannane is clearly anomalous based on this data alone, and the very large rate enhancement caused by the 2-methyl substituent is not expected based on consideration of Hammett type substituent constants for the methyl group.[20]

- 4) The axial cyclohex-2-enylstannane where R_1 and R_2 are methyl, GEI is 5.15 eV, compared to the corresponding cases where R_1 = Me and R_2 = H or R_1 = H and R_2 = H, GEI is 4.6 and 4.55 eV respectively in the gas phase. As in the allylstannane case above, substitution of a Me group at C3 has a significant effect (about 0.6 eV) in lowering the propensity to electrophilic attack.
- 5) The transition states TS for protolysis (gas phase) for the cyclohex-2-enylmetals $(R_1$ and $R_2 = H$, or $R_1 = Me$, $R_2 = H$) show a decrease in GEI going down the table from Si to Sn, and hence an increased propensity to nucleophilic attack, as expected from electrophilic reactivity data.
- 6) The change in GEI going from the ground state to the TS is 4.15, 4.15 and 3.8 eV for the protolysis of cyclohex-2-enylmetals $(R_1$ and $R_2 = H$) from Si, Ge to Sn (gas state). The corresponding figures for the R_1 = Me and R_2 =H compounds are 4.05, 4.15 and 3.95 eV.
- 7) The change in GEI going from the ground state to the TS is 3.45, 4.40 and 3.40 eV for the protolysis of allylmetals from Si, Ge to Sn (gas state).
- 8) The changes in GEI for SO_2 insertion going from the ground state reactants to the TS are 4.0 and 3.70 eV for cyclohex-2-enylstannane and allylstannane (gas phase).
- 9) The effect of solvation on the TS for cyclohex-2-enylstannane shows an increase in GEI from gas to chloroform or methanol (PCM van der Waals continuum model) of 0.6 and 1.05 eV. The effect of solvation for the $SO₂$ insertion TS shows no effect going from the gas phase to chloroform solution on GEI, indicating a less polar TS, compared to the protolysis TS.
- 10) The GEI for the cations formed from protolysis of cyclohex-2-enylstannane have the following gas phase GEI values: C_3 cation 0.6 eV, C_2 cation 1.25 eV (axial Sn) and C_3 cation 0.6 eV, C_2 cation 1.25 eV (equatorial Sn). The same values are found for the 4-methyl substituted cyclohex-2-enylstannanes (equatorial and axial). For the 4 tBu-cyclohex-2-enylstannanes, the C_3 cation is 0.6 eV, C_2 cation is 1.3 eV (axial Sn). Clearly the ring conformation has little impact on the GEI.
- 11) The GEI for the most stable cations formed from protolysis of allylstannane and 2 methylpro-2-enylstannane have the following gas phase GEI values: C_2 cation 1.1 and 1.1 eV, which can be compared with the cations formed from syn and anti but-2-enylstannane which have GEI values of 1.1 (C_2 cation), 1.3 (C_3 cation) for the anti configuration, and 1.1 (C_2 cation), 0.65 (C_3 cation) for the syn configuration.

2.1.2 Summary of findings on the applicability of the global electrophilicity index (GEI):

1) The GEI is a relatively sensitive indicator which can differentiate among ground state reactants from different classes of compounds, such as substituted allylicmetals from group 14, transition states, and cationic intermediates.

- 2) The GEI clearly shows strong differentiation between "hard" and "soft" electrophile behaviour in the TS.
- 3) The GEI is sensitive to solvent effects on the TS.
- 4) The GEI cannot easily differentiate amongst changes to the allylic group, such as ring conformations in the cyclohexenylmetal compounds, or syn and trans isomers for allylicmetals.
- 5) The obvious advantage of utilizing the GEI (or its inverse as a measure of nucleophilicity) compared to single point molecular energies is the detail obtained about how frontier orbitals are influenced during electrophilic reaction.

2.1.3 Hyperconjugation [1,2, 8-10] can stabilize the ground state substituted of allylmetals, and particularly where a positive charge at the β position from the C—M bond exists. The sigma bond of $C-MR_3$ (Si, Ge, Sn, Pb) are powerful sigma donor orbitals as the electrons are weakly held, and polarised towards C atom. The importance of neutral σ - π hyperconjugation is expected to increase going down group 14, as the C—M bond becomes more polararized. Table 2 shows:

- 1) Comparison of the cyclohex-2-enylmetals (Si, Ge, Sn, axial configuration) with the series allylmetals (Si, Ge, Sn) shows the angles M-C1-C2-C3 changes from 117.1, 115.3, 111.8 to 108.4, 106.3 95.0 degrees correspondingly, which allows greater overlap of the C—M orbitals with the double bond orbitals for the allylmetals. This is evident by visual examination of the HOMO orbitals (double bond orbitals) with the second highest energy occupied orbitals, the C—M orbitals. The HOMO energies of the cyclohexenylmetals are -8.7, -8.6, -8.3 compared to the allylmetals where the values are -9.2, -9.0, -8.8 eV (the HOMO are "localized" around the double bond). The differences between the series are constant at 0.5 eV, indicating that geometrical constraint of the cyclohexenyl ring raises the energy of the (double bond) HOMO by12.5 kcal/mol. Such a difference would be manifest in electrophilic reactivity mechanisms.
- 2) Comparison of the axial and equatorial conformations for the substituted cyclohex-2-enylmetals (R_1 and $R_2 = H$; $R_1 = Me$, $R_2 = H$; $R_1 = Me$, $R_2 = Me$) shows that for Si and Ge the more stable conformation is equatorial, but is reversed for Sn. The differences in energy are small, about 0.5 kcal/mol in single point energies, and about 4.5 kcal/mol in the energies of the HOMO. The Sn examples are indicative of more extensive hyperconjugative σ - π stabilization. It is worth noting that the inversion barriers $\left[15,16\right]$ between the anti and syn cyclohexenyl molecules is about 5 kcal/mol.
- 3) Comparison of the NBO atomic charges at C1, C2 and C3 for the cyclohex-2 enylmetals and allylmetals in Table 1 also reflect the same trends as seen above for the HOMO orbitals as expected. The changes in atomic charges (although small) do indicate the sites of greatest localized electron density, and where electrophilic attack might occur if electronic factors only are involved.

2.1.4 Structure of potential carbocation intermediates involved in protolysis.

1) Lambert^[21] has suggested that that silicon preferentially stabilizes β carbocations (carbonium ions) via hyperconjugative vertical stabilization rather than a bridging (non-vertical) stabilization. A β silicon carbocation has been suggested as an intermediate in the electrophilic substitution of allyl- and vinyl-silanes, which are widely used in stereo-electronic controlled (anti- $S_E 2'$) organic synthesis. ^[8]

- 2) Examination of the cationic intermediates involved in protolysis reveals a strong stabilizing factor depending on whether the species produced by H⁺ addition to the double bond is a C2 or C3 cation. The C2 cation is the most stable cation because of hyperconjugative σ - π stabilization as per Figure 2. The M⁺ moiety sits midway between the C1 and C2 positions, and is a **bridging cation** for the cyclohex-2-enylmetals. This is contrary to the finding of Lambert. The **bridging cation** is found for Si, Ge and Sn even though hyperconjugative vertical stabilization would be expected to be more likely for Sn. However, for the allylmetals, the M^{+} moiety sits more directly over C1, which indicates that vertical hyperconjugative stabilization is operating. The significant difference is that for the allylmetal cations, the ${M⁺-Cl-C2-C3}$ angles are about 95[°] compared to the angles for the cyclohexenylmetals which are about $110-112$ ^o for the Si and Ge, but 92° for the Sn cation. The allylic conformational ring constraints imposed by the cyclohexenyl moiety account for the differences between the two classes of cations, with the exception being the Sn cation where the longer C—Sn bond length (and increased bond polarity) means greater bridging capacity. The Sn cation is more stable than the Ge and Si cations by 8.3 and 14.5 kcal/mol respectively. *In summary, the difference between a vertical hyperconjugative stabilization and a non-vertical bridging stabilization of these cations is finely balanced, and represent a continuum rather than discrete behaviours.*
- 3) Comparison of the C2 cations formed from the cyclohex-2-enylmetals (Si, Ge, Sn, axial configuration) with the series allylmetals (Si, Ge, Sn) shows that the HOMO energies change from -14.9 to -14.7 to -14.5 eV, and from -15.9 to -15.6 then - 15.3 eV for the allylmetals. The trends are consistent with the greater ability of the more polarised C—Sn bonding orbitals to engage in σ - π stabilization than either the C – Si or C—Ge orbitals. The higher energy values for the cyclohex-2 enylmetals reflect ring or allylic stain^{$[16.17]$} not present in the allylmetals.
- 4) Comparison of the C2 and C3 cations of cyclohex-2-enylstannanes show that the following HOMO energy values: C2 -14.5 and C3 -13.4 eV, a difference of 1.1 eV attributed to better hyperconjugative stabilization of the C2 cation. The molecular energies of the C2 and C3 cations are -357.4983 and -357.4356 AU. The C2 cation is more stable than the C3 cation by 39 kcal/mol from the total energies or 25.4 kcal/mol from the HOMO energies
- 5) Comparison of the C2 and C3 cations of but-2-enylstannanes show that the C2 cation is more stable by 35.7 kcal/mol in molecular energies, or 29.9 kcal/mol by HOMO energies.
- 6) The energies of the HOMO of the cations that can be formed from 2-methylprop-2-enyltrimethylstannane in methanol solvent are C2 (-11.3 eV) and C3 (-11.6 eV) a difference of 7 kcal/mol, indicating that the C3 cation is the most stable. The molecular energies are -280.1300 and -280.1695 AU respectively, a difference of 25 kcal/mol. This anomalous result is the result of a molecular rearrangement of the 2-methylprop-2-enyl moiety of the C3 cation where a methyl substituted cyclopropyl ring stabilizes a $Me₃Sn⁺$ moiety. This is an example of *homohyperconjugation* ^[10] (see Figure 3). The anomalously rapid kinetic data in methanol^[4] discussed above (see section on global electrophilicity above) is probably due to formation of cyclopropyl products rather than 2-methylpropene as the activation energy or the energy gap between the TS and the cation intermediates do not suggest unusually fast kinetics (see Table 3).

Figure 3. Cation formed from the C3 protonation of 2-methylprop-2 enyltrimethylstannane in methanol showing the molecular rearrangement to a homohyperconjugatively stabilized Sn⁺ - methylcyclopropyl cation

2.2 Transition States

2.2.1 The transition states (TS) for protolysis have been identified. As expected, H⁺ attachment to the double bond occurs, and the position of the attachment varies from attachment to C3 for the allylmetals to a bridging H which can sit closer to C2 in some cyclohex-2-enylmetals. Tables 1 and 2 show:

1) For the cyclohex-2-enylmetals, the bridging H sits closer to the C3 for Si, and gradually sits closer to C2 going from Ge to Sn. (Figures 4)

Figure 4. H⁺ bridged transition state from protolysis of cyclohex-2 enyltrimethylstannane showing HOMO

- 2) For the allylmetals, (Si, Ge, Sn) the H⁺ attaches to C3, and sits directly over C3 in the gas phase and chloroform. However for the allylSn compounds, the H^+ bridges the double bond in methanol. The 2 methylallyltin TS in methanol has the H^+ sitting above C2. The crotyl 3-methylallylstannanes (syn and anti) TS have a bridging H^+ sitting over the C2-C3 double bond, slightly closer to C2.
- 3) As a general rule, the protolysis TS for all cyclohex-2-enylmetals $(R_1 = Me, R_2 =$ *Me) and SO2 insertion TS, there is a lengthening of the C—M bond and shortening of the C1--C2 bond consistent with hyperconjugative σ - π stabilization of the bridged cationic double bond centre*.

4) Hyperconjugative stabilization of the TS can be seen for selected examples such as the TS formed from axial and equatorial 4-methylcyclohex-2 enyltrimethylstannane where the Sn-C1-C2-C3 angles are 121 and 138.1° (gas phase) respectively. The axial conformation has greater C—M orbital overlap with the protonated double bond than the equatorial conformation. The data below for the cyclohex-2-enylstannanes (anti and syn isomers) shows that the NBO charges are sensitive to hyperconjugative changes.

5) Visual inspection of the HOMO and LUMO of the various protolysis TS show the following features:

Generally the HOMO for the Sn TS for both allylmetals and cyclohex-2 enylmetals are more delocalised over the M-C1-C2-C3 part of the molecule than the Si and Ge equivalents. This is consistent with the increased hyperconjugative ability of the $C -$ Sn bond.

The HOMO for the Si and Ge molecules are usually centred mainly over the double bond and to a lesser extent over the C—M bonds.

The LUMO vary from extensive delocalisation over the molecule to localisation over the double bond or C—M bonds. The location of the LUMO will direct

nucleophilic attack by the counter-ion of the acid species, eg Cl, ClO₄, CF₃COO⁻ etc.

2.2.2 The transition states for SO_2 **insertion** involve either a bridged SO_2 -C2=C3 positively charged ring as in the cyclohex-3-enylstannane TS or a non-bridging SO_2 -C3 linkage for the allylstannane (see Figures 2, 5). As these reactions are known to be second order reaction mechanisms, $\left[13 \cdot 15\right]$ then the final insertion product is formed by an intramolecular process in which the LUMO of the TS centred on SO_2 "accepts" electrons from the C—Sn bond orbitals. For the allylSn TS the HOMO is centred over the double bond, and the C—Sn orbital is the $5th$ highest energy MO (the $2nd$ to $4th$ highest energy occupied MO are located on $SO₂$). For the cyclohexenylSn TS, the HOMO is delocalised over almost the whole molecule, and the LUMO is localised over the $(SO_2-\{C2=C3\}-C1-Sn)$ moiety. The TS in the gas phase and CHCl₃ are essentially the same in both HOMO and LUMO. The solvent effect on the activation energies is negligible.

Figure 5. Transition state for the insertion reaction of SO2 with cyclohex-2 enyltrimethylstannane showing bridging O2S—C==C structure

2.2.3 Transition states and possible cation intermediates in the protolysis

reaction can be assessed by identifying the initial TS energies for H⁺ addition to the double bond and comparing such energies to the energies of the possible cation molecules. The carbocations intermediates in the protolysis reactions have been identified. Only small energy difference between the most stable C2 intermediates and the transition states have been found, and in some cases, notably the Si and Ge allyls, and the cyclohexenylSi, the gap is negligible, indicating that these carbocations do not play any role in the reaction mechanism. However, where TS have a bridged H⁺ - C2 =C3 structure, two possible cations can form, the most stable C2 cation or the less stable C3 cation. The energy gaps between the C3 cations and the TS are very small, about 2-3 kcal/mol, (and much smaller than the gap between the C2 cation and the TS), indicating that products can form directly from the TS equally as fast as from the less stable C3 cation.

2.2.4 The activation energies (Ea) for the transition states for the allylmetals and the cyclohex-3-enylmetals are shown in Table 3 for a notional HCl reaction with the organometals, and for the SO_2 insertion reaction. These E_a for the protolysis reaction are nominal in that details of what the exact processes that lead to the electrophile H^+ attacking the organometal substrate are not addressed. HCl in the gas phase and in chloroform solution is not dissociated. In methanol, it is known $\begin{bmatrix} 4 \end{bmatrix}$ that HCl forms the protonated species $CH_3OH_2^+$, so the E_a values in methanol solution are calculated for the reaction of the $CH_3OH_2^+$ species with the organometals to give the TS and methanol.

The following key features are seen in Table 3:

- 1) The E_a for SO_2 insertion are over 100 kcal/mol lower than for those for protolysis for the tin TS. This reflects the difference between a "soft" electrophile where the large polarisable S atom of $SO₂$ can more easily "accept" electrons into the LUMO from the HOMO of the double bond compared to the smaller "harder" H^+ species. The charge separation that occurs in the TS of the protolysis reactions, but not so in the insertion reactions, is clearly a major factor in the difference values for E_a . The insertion reaction rates are correspondingly much faster than for protolysis.
- 2) There is a large solvent effect for the methanol E_a values compared to the gas phase and chloroform solution. This can be attributed to the labile H⁺ species

available from the $CH_3OH_2^+$ species. The E_a values for the cyclohexenylSn and allylSn are very low, and consistent with their known reactivity data. $\left[1-4\right]$ The strong solvent effect in methanol is due to the specific nucleophilic solvation of the C—Sn bond through the LUMO of the activated species. $^{[14]}$

- 3) The E_a values for the protolysis (in the gas phase or chloroform) of the allylmetals are similar to those for the cyclohexenylmetals. Allylic ring strain does not appear to be significant factor in the protolysis TS. The calculated gas phase E_a values are surprisingly high, similar to those for proton affinities. The cation H_2C^+ - $CH₂$ SnH₃ formed from the gas phase protonation of the vinylstannane has a proton affinity of 212 kcal/mol $^{[23]}$, propylene and isobutene have proton affinities of 179.5 and 195.9 kcal/mol respectively. $[24]$ The calculated gas phase E_a values are then entirely consistent with the strong electron donor ability of the $CH₂MR₃$ substituent to enhance the basicity of the allyl- and cyclohexenyl-metallics in the ground state.
- 4) Methyl substituents at C3 or C4 on the cyclohex-3-enyltrimethylstannanes have no effect on the E_a with the exception of the 4-tertiary-butyl substituent which raises the E_a by about 30 kcal/mol from the equivalent methyl substituent. This effect may be steric in origin, since the inductive or field effect of the methyl and t-butyl substituents $^{[20]}$ are 0.01 and -0.02.
- 5) As discussed above, the protolysis (HCl or $HClO₄$) of $Me₃SnCH₂CH=CH₂$ and the crotyl $Me₃SnCH₂CH=CH-Me$ (anti and syn) in 4% aqueous methanol have relative second order rates of 0.475, 0.0274 and 0.0508 1mol^{-1} sec⁻¹ respectively ^[4]. Kochi $[3]$ has suggested that the varying product distribution of 1-butene and 2butene (mainly syn, some anti) obtained from various carboxylic acids in various solvents may be due to rapid equilibrium between the crotyl isomer and the α methallyl isomer, and that protolysis only occurs by a S_E2' rearrangement mechanism. The structure of the TS for both syn and anti crotylstanannes in methanol has a **bridging** H^+ sitting over the double bond, with the H^+ slightly closer to the C2 position. The LUMO of the anti TS is delocalised over the Sn-C1- C2 moiety while the LUMO of the syn TS is more localised over the C3 position of the double bond, which explains the lower E_a for the anti-isomer. As the TS for these isomers have a bridging cationic structure, then both C2 and C3 intermediate carbocations can be formed. The energy gap between the TS and the C3 cation intermediate in methanol is very small, 2-3 kcal/mol, indicating that products can form from the less stable C3 cation intermediate as fast as they can from the TS directly.

3. Conclusions

MO computations of the reaction of "hard" (eg H^+) and "soft" (eg SO₂) electrophiles for a closely related series of substituted cyclohex-2-enylmetals and allylmetals (Si, Ge, Sn) have demonstrated that:

- 1) The global electrophilicity index and its inverse, the nucleophilicity index, are sensitive predictors of electrophilic attack
- 2) Comparison of the NBO atomic charges at C1, C2 and C3 for the cyclohex-2 enylmetals and allylmetals in Table 1 also reflect the same trends as seen above for the HOMO orbitals as expected. The changes in atomic charges (although small) do indicate the sites of greatest localized electron density, and where electrophilic attack might occur if electronic factors only are involved.
- 3) There is evidence of allylic ring strain in the ground state of the cyclo-hexen-2 enylmetal molecules.
- 4) Hyperconjugative σ π stabilization (at the β position to the metal) is extensive in the ground state organometallic reactants, carbocations formed by protonation of the substituted cyclohex-2-enylmetals and allylmetals, and the transition states for protolysis and $SO₂$ insertion.
- 5) The carbocations intermediates in the protolysis reactions have been identified. Only small energy difference between the most stable C2 intermediates and the transition states have been found, and in some cases, notably the Si and Ge allyls, and the cyclohexenylSi, the gap is negligible, indicating that these carbocations do not play any role in the reaction mechanism. However, where the TS have a bridged H^+ -C2 = C3 structure, two possible cations can form, the most stable C2 cation or the less table C3 cation. The energy gaps between the C3 cations and the TS are very small, about 2-3 kcal/mol, (and much smaller than the gap between the C2 cation and the TS), indicating that products can form directly from the TS equally as fast as from the less stable C3 cation.
- 6) The C2 cations formed from protolysis of the double bond of the substituted cyclohex-2-enylmetals have a bridging structure, whereas both bridging and nonbridging cations are formed from protolysis of the allylmetals. The difference between a vertical hyperconjugative stabilization and a non-vertical bridging stabilization of these cations is finely balanced, and represent a continuum rather than discrete behaviours.
- 7) The C3 cation formed by the protonation of 2-methylprop-2 enyltrimethylstannane is more stable than the C2 cation as a result of a molecular rearrangement where a methyl substituted cyclopropyl ring stabilizes a $Me₃Sn⁺$ moiety. This is an example of *homohyperconjugation* and explains the anomalously rapid protolysis kinetic data in methanol.^[4]
- 8) The transition states (TS) for the protolysis of the substituted cyclohex-2 enylmetals and allylmetals have been identified and their structures detailed. The substituted cyclohex-2-enylmetals TS have structures where the $H⁺$ bridges the double bond, whereas both bridging and no-bridging TS are found for the substituted allylmetals, with the former being most prevalent for Sn. Strong nucleophilic solvents can cause a shift from a non-bridging structure (gas phase or chloroform) to a bridging structure in methanol.
- 9) The insertion TS has SO_2 bridging the double bond in the cyclohex-2enyltrimethylstannane, or non-bridging over the C3 in allyltrimethylstannane.
- 10) The activation energies (E_a) of the TS have been calculated, with the protolysis TS showing large solvent effects between methanol and the gas phase or chloroform. The E_a in the gas phase or chloroform are similar to the gas phase proton affinities of similar molecules.
- 11) The E_a for the SO₂ insertion TS have very low values, generally much lower than the protolysis TS in methanol.
- 12) The product distribution from the protolysis (the kinetics in HCl or $HClO₄$ were identical) of the crotyl isomers $Me₃SnCH₂CH=CH-Me$ (anti and syn) in 4% aqueous methanol have been portrayed in the literature $^{[3]}$ as being due to a rapid equilibrium between the crotyl isomer and the α -methallyl isomer, and that protolysis only occurs by a S_E2' rearrangement mechanism. The structure of the TS for both syn and anti crotylstanannes has a **bridging** H^+ sitting over the C2=C3 double bond. As the TS for these isomers have a bridging cationic structure, then both C2 and C3 intermediate carbocations can be formed. The energy gap between

the TS and the C3 cation intermediate in methanol is very small, 2-3 kcal/mol, indicating that products can form from the less stable C3 cation intermediate as fast as they can from the TS directly.

Experimental Section

Computational molecular orbital calculations were conducted with the Gaussian 09 with Gaussview 5.0 suite of programs. All geometries were fully optimised using the DFT B3LYP level with the LANL2DZ basis set. Transition state calculations were confirmed by identifying in all cases, the singular negative vibration which was always a mode involving the H^+ or SO_2 . Visual animation of the mode (observing the link between the H^+ and SO_2 moiety and allylic double bond was conducted before or after final optimization to confirm the negative vibration was the relevant vibration. In some cases where solvent free optimisations were run, it was found that the solvent had a small effect on the negative vibration.

The validity of the DFT B3LYP / LANL2DZ calculations were tested against experimental data: (1) the first ionization potential of allyltrimethylsilane, benzyltrimethylgermane and allyltrimethylstannane were evaluated using Koopmans' Theorem for the Hartree-Fock theory to gauge the electron correlation error using the LANL2DZ basis set for Si, Ge and Sn: the calculated ionization potentials for the HOMOs were 9.19, 8.75 and 8.77 eV, compared to the literature values of 9.00, 8.36 and 8.70 eV taken from the NIST data base $^{[22]}$ a reasonable agreement, indicating the LANL2DZ basis set is applicable to the organometallic compounds used in this study. The corresponding calculated first ionization potential (HOMO) and electron affinity (LUMO) for the trimethylstannane radical was 7.7 and 1.25 eV (or 7.0 and 3.3 eV by the SCF difference method) compared to the experimental values $^{[25]}$ of 7.10 and 1.70 eV.

DFT B3LYP / LANL2DZ calculations have been widely applied to studies of organostannanes. [26-30]

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Table 1. Molecular orbital parameters for substituted cyclohex-2-enylmetal compounds, substituted allylmetal, cations and transition states for protolysis and sulphur dioxide insertion reactions

Footnotes to Table 1:

Ionization Potential (Energy) values of IP were calculated from Koopmans' Theorem (Hartree Fock theory) using electron propagator theory OVGF as –HOMO eV.

Values in parentheses are IP calculated by the SCF difference method: $E(M^+) - E(M)$

Electron Affinity (EA) values were calculated from Koopmans' Theorem (Hartree Fock theory) using electron propagator theory OVGF as LUMO eV.

Values in parentheses are EA calculated by the SCF difference method: $E(M) - E(M)$ GEI is the Global Electrophilicity Index = $\{[E_{HOMO} + E_{LUMO}]/2\}^2 / 2 [E_{HOMO} - E_{LUMO}]$

Atomic charges are Natural Bond Orbital charges

Energies are sum of electronic and thermal energies + thermal corrections

Table 2. Calculated structural parameters for selected cyclohex-2-enyl and allyl metallics, cations and transition states.

Table 3. Activation Energies and Molecular Parameters for Protolysis and Sulphur Dioxide Insertion Transition States

Footnotes to Table 3:

Activation energies are for the reaction of H-Cl + organometal \rightarrow {organometal—H⁺} + Cl⁻ in the gas phase and chloroform solution.

Activation energies in parentheses $(xx)*$ are for the reaction of $CH_3OH_2^+$ + organometal \rightarrow $\{organometal—H^+\}$ + MeOH in methanol solution.

Energy Gap is the difference between the transition state and the most stable cation intermediate (C2 cation) for the protolysis reaction. For some cases, both the energy differences between the C2 and C3 cations and their transition states are given, as indicated by [xx C3 cation].