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GEOCLIM: a global climatology of LAI, FAPAR, and FCOVER from VEGETATION observations for 1999-2010

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9 Abstract

10 Land-surface modelling would benefit significantly from improved characterisation of the seasonal variability of vegetation at a global scale. GEOCLIM, a global climatology of leaf area index (LAI), 11 fraction of absorbed photosynthetically active radiation (FAPAR), both essential climate variables, 12 13 and fraction of vegetation cover (FCOVER) is here derived from observations from the SPOT VEGETATION programme. Interannual average values from the GEOV1 Copernicus Global Land 14 time series of biophysical products at 1-km resolution and 10-day frequency are computed for 1999 15 to 2010. GEOCLIM provides the baseline characteristics of the seasonal cycle of the annual 16 vegetation phenology for each 1-km pixel on the globe. The associated standard deviation 17 18 characterises the interannual variability. Temporal consistency and continuity is achieved by the accumulation of multi-year observations and the application of techniques for temporal smoothing 19 and gap filling. Specific corrections are applied over cloudy tropical regions and high latitudes in 20 the Northern Hemisphere where the low number of available observations compromises the 21 reliability of estimates. Artefacts over evergreen broadleaf forests and areas of bare soil are 22

corrected based on the expected limited seasonality. The GEOCLIM data set is demonstrated to be 23 consistent, both spatially and temporally. GEOCLIM shows absolute differences lower than 0.5 24 compared with MODIS (GIMMS3g) climatology of LAI for more than 80% (90%) of land pixels, 25 with higher discrepancies in tropical and boreal latitudes. ECOCLIMAP systematically produces 26 higher LAI values. The phenological metric for the date of maximum foliar development derived 27 from GEOCLIM is spatially consistent (correlation higher than 0.9) with those of MODIS, 28 29 GIMMS3g, ECOCLIMAP and MCD12Q2 with average differences within 14 days at the global scale. 30

Keywords: Climatology; vegetation phenology; seasonal and interannual variability; biophysical
 variables; SPOT VEGETATION

33 1. Introduction

The state and dynamics of vegetation play key roles in the carbon cycle and global climate. A set of 34 essential climatic variables was identified as both accessible from remote sensing observations and 35 involved in key processes (GCOS 2010). Among those relating to land surfaces, the leaf area index 36 (LAI) and the fraction of absorbed photosynthetically active radiation (FAPAR) can be derived from 37 38 observations in the reflective solar domain. These biophysical variables of vegetation are crucial in several processes, including photosynthesis, respiration, and transpiration. LAI is defined as one 39 half the total area of green elements per unit area of horizontal ground (Chen and Black 1992; 40 GCOS 2010). It controls the exchanges of energy, water, and greenhouse gases between the land 41 42 surface and the atmosphere. FAPAR is defined as the fraction of radiation absorbed by the canopy in the 400-700 nm spectral domain under specified conditions of illumination and is a main input in 43 models of light-use efficiency (McCallum et al. 2009). The fraction of vegetation cover (FCOVER), 44 defined as the fraction of the background covered by green vegetation as seen from the nadir, is also 45

as a very pertinent variable that can be used in models of the surface-energy balance to separate the
contribution of the soil from that of the canopy (Gutman and Ignatov 1998; Su et al. 2005).

LAI, FAPAR, and FCOVER are routinely estimated from sensors with medium resolution such as 48 VEGETATION (Baret et al. 2013), Moderate Resolution Imaging Spectroradiometer (MODIS) 49 (Myneni et al. 2002) and the Advanced Very High Resolution Radiometer (AVHRR) (Zhu et al. 50 2013). The European Copernicus Global Land Service delivers global LAI, FAPAR, and FCOVER 51 52 products from SPOT VEGETATION data from 1999 to the present with a spatial sampling close to 1 km. The products, known as GEOV1 products, have benefitted from the development and 53 validation of existing products (Baret et al. 2013). Camacho et al (2013) demonstrated that GEOV1 54 55 products were more accurate and precise than current products.

Some land-surface models (LSMs) for simulating terrestrial water and carbon cycles use the 56 spatiotemporal variation of LAI or FAPAR, described by different lookup tables depending on the 57 58 type of vegetation (Viterbo and Beljaars 1995). The availability of satellite data in the last two decades describing the state and evolution of vegetation has allowed a better integration of 59 60 biophysical variables into LSMs. Previous studies have demonstrated the improved performance of LSMs due to a better characterisation of the seasonal and interannual variability of vegetation 61 functioning provided by the assimilation of satellite data. In particular, data assimilation yields a 62 63 more realistic parameterisation in phenological models and reduces the models' prediction errors to 21 and 15% for FAPAR and LAI, respectively (Stöckli et al. 2011). A number of studies have 64 shown the potential of assimilating LAI observations to correct vegetation model states (Demarty et 65 al. 2007; Gu et al. 2006) and the implications of introducing the observed seasonal (van den Hurk et 66 67 al. 2003) and interannual (Guillevic et al. 2002) variability of LAI in the annual cycle of hydrological fluxes. Boussetta et al (2013) showed that the assimilation of a MODIS derived LAI 68 69 monthly climatology, i.e. the interannual average of LAI time series (as opposed to a vegetationdependent constant LAI), in a model of global numerical weather prediction improved the forecast 70

71 of near-surface (screen-level) air temperature and relative humidity through its effect on 72 evapotranspiration. Barbu et al (2014) more recently demonstrated the potential of the assimilation of GEOV1 LAI into an ISBA-A-gs land-surface model to improve the monitoring of droughts. A 73 74 LAI climatology was also useful for the identification of anomalies and trends in global vegetation (Baret et al. 2012; Brandt et al. 2014; Verger et al. 2014b; Verger et al. 2013; Zhu et al. 2013). The 75 climatology of the biophysical variables reveals the seasonality inherent to the land-cover type and 76 77 improve land-cover classification (Verhegghen et al. 2014). A climatology gap filling can better cope with missing and noise-contaminated data than can standard temporal filters for most missing 78 data or large gaps in a single annual time series of satellite data, which have a large impact on the 79 80 accuracy of the phenological metrics extracted from the reconstructed time series (Guyon et al. 2011; Kandasamy et al. 2013; Verger et al. 2013). Extraction of phenological information is also 81 sensitive to the temporal (Pouliot et al. 2011; Zhang et al. 2009) and spatial (Fisher and Mustard 82 83 2007; Kovalskyy et al. 2011) resolution of the satellite data. The climatology derived from time series of moderate spatial resolution sensors preserves the high temporal frequency mandatory for 84 85 phenological studies (Guyon et al. 2011). Finally, the climatology information can make projections and improve the stability of near real time estimates (Jiang et al. 2010; Verger et al. 2014a). 86

Despite the significance of global phenology for earth system monitoring and modelling, there are 87 88 few data sets that explicitly describe the annual vegetation cycle at global scale. Boussetta et al. (2013) derived a monthly LAI climatology from 2000-2008 MODIS observations to be used in a 89 numerical weather prediction model as indicator of the leaf development stage. The ECOCLIMAP 90 91 programme (Faroux et al. 2013; Masson et al. 2003) is a dual database at 1 km resolution that 92 includes an ecosystem classification and a coherent set of land surface parameters (including LAI, FAPAR and FCOVER) that are primarily mandatory in meteorological modelling for 93 soil/vegetation-atmosphere transfer schemes. Other studies focus on the time variation of 94 vegetation indices to propose a global normalized difference vegetation index (NDVI) and 95

96 enhanced vegetation index (EVI) reference data set for land-surface phenology using 13 years of
97 VEGETATION observations (Verhegghen et al. 2014) or to derive phenological metrics from
98 VEGETATION (Guyon et al. 2011), MODIS (Ganguly et al. 2010; Zhang et al. 2003) or AVHRR
99 (Atzberger et al. 2013) time series.

The aim of this study is to provide a global climatology of LAI, FAPAR, and FCOVER for 100 describing the seasonal and interannual variability of the vegetation cycle at the global scale. The 101 derived climatology, GEOCLIM, will take advantage of the improvements in accuracy and 102 temporal consistency provided by GEOV1 over existing products (Camacho et al. 2013). We 103 propose to build a current climatology using a limited set of recent annual time-series since climate 104 105 and land cover are changing with time. The time series, however, are expected to be sufficiently long for depicting the baseline annual cycle of the vegetation and for encompassing anomalies 106 (Verhegghen et al. 2014). The climatology should simulate the data as closely as possible, i.e. it 107 108 does not use existing land-cover maps or a model to describe the seasonal dynamics, thereby preventing the introduction of possible artefacts due to the lack of realism of the model used. 109 110 GEOV1 time series from 1999 to 2010, corresponding to 12 years of estimates of biophysical variables at a spatial resolution of 1 km and a frequency of 10 days are used. The climatology is 111 computed for each pixel as the average for a given date in a year across all years of the time series. 112 113 The associated standard deviation characterises the interannual variability.

We will first describe the methodology and the data sets used to produce GEOCLIM. We will then evaluate GEOCLIM based on its main spatiotemporal features and its performance relative to other climatology data sets derived from AVHRR and MODIS data, with special emphasis on seasonality and the derived phenology.

118 2. GEOCLIM implementation

The generation of GEOCLIM was achieved from GEOV1 time series for the period 1999-2010
based on the interannual means of biophysical variables and the application of specific corrections.
The input data set and the steps required to produce GEOCLIM are described hereafter.

122 **2.1. GEOV1 biophysical products**

GEOV1 biophysical products provide global coverage of LAI, FAPAR, and FCOVER from 123 1998/12/24 to the present at a ground sampling distance of 1/112° (approximately 1 km at the 124 125 equator) and 10-day steps. A neural-network machine-learning algorithm was used to estimate GEOV1 products (Baret et al. 2013). Directionally normalised VEGETATION reflectances 126 (Roujean et al. 1992) from the top of the canopy in the red, near-infrared, and short-wave infrared 127 128 bands derived from the CYCLOPES processing line (Baret et al. 2007) were used as inputs to the neural networks. Based on the validation results for the available biophysical products (Garrigues et 129 al. 2008; Weiss et al. 2007), the MODIS and CYCLOPES products were selected for the training 130 process. The selected products were combined after re-projection onto the VEGETATION Plate-131 Carrée 1/112° grid, smoothed over time, interpolated at the 10-day frequency, combined, and 132 eventually re-scaled to better fit the expected range of variation. Further details for the training of 133 the neural networks and the generation of the product are provided in Baret et al (2013). Recent 134 validation studies indicated that GEOV1 outperformed existing products in both accuracy and 135 136 precision (Camacho et al. 2013). GEOV1 products are freely available at www1.

137 **2.2. Climatology generation**

For the generation of GEOCLIM only the GEOV1 biophysical products with the best quality were selected according to the quality flags on snow, aerosol, reflectance input and biophysical output status (Baret et al. 2010). The climatology is defined as the interannual mean of the best quality

GEOV1 products accumulated for 1999-2010. It is generated at the pixel scale (1-km spatial 141 resolution) and at a dekadal temporal step (a 10-day period, with 36 dekads per year) within a 30-142 day compositing window (± 15 days). The average values are then computed from three adjacent 143 dekads instead of only one dekad along the 12-year period, which allows an increase in the number 144 of points (12*3=36 compared to only 12), provides more robust and continuous estimates, and 145 induces fewer artefacts because the dynamics of the products are approximately linear between the 146 147 three dekads (Baret et al. 2010), as shown by Camacho et al (2013) for the smoothness of the GEOV1 products. A temporal smoothing and gap-filling (TSGF) technique (Verger et al. 2011) was 148 applied to correct the residual artefacts, especially when the GEOV1 products were systematically 149 150 unavailable across the years due to cloud coverage, and to ensure continuity and consistency in GEOCLIM as phenological studies request. Gap filling was achieved by linear interpolation. 151 152 Temporal smoothing relied on an adaptive Savitzky-Golay second-degree polynomial fitting by 153 processing three valid values on either side of the date (Verger et al. 2011). The compositing window may be asymmetric due to possible missing data. TSGF technique was demonstrated to 154 155 improve other existing temporal filters for reconstruction satellite LAI time series in terms of the accuracy as compared to the original data by ensuring robustness to noise and missing data, while 156 preventing over-smoothing (Kandasamy et al. 2013; Verger et al. 2011; Verger et al. 2013). An 157 158 example of a climatology computation is illustrated in Figure 1, and TSGF correction is illustrated in Figure 2a. 159

160

[Figure 1]

161

[Figure 2]

162 **2.3.** Correction of specific artefacts

163 The generated climatology was then corrected for specific problematic behaviours based on164 available expert knowledge:

- Some artefacts were observed at northern high latitudes during the winter: anomalous 165 166 seasonality and unexpected increases in LAI (FAPAR, FCOVER) (Figure 2b) with an artificial maximum peak in winter (Figure 2b) and high interannual variability resulting in 167 high standard deviations (Figure 3a). These artefacts were mainly due to snow cover or 168 very poor conditions of illumination that limited the number of valid observations and the 169 reliability of the bidirectional reflectance model applied for the correction of 170 VEGETATION data (Roujean et al. 1992) (Figures 2b, 3b). The LAI (FAPAR, FCOVER) 171 values are expected to be relatively stable and low due to the low temperatures, short days, 172 and low illumination during winter at these high latitudes. To correct these artefacts at 173 174 northern latitudes, the GEOCLIM inputs higher than the 20th percentile during winter (defined here as the period for which the sun zenith angle, SZA>70° at the time of 175 VEGETATION overpass, i.e. around 10:30) were fixed at the minimum pixel values 176 observed over the entire period. We used the minimum values by preferentially selecting 177 the values computed from at least three valid observations because the quality of 178 179 GEOCLIM inputs is highly correlated with the number of valid observations available for their composition (Figures 2b, 3). We used the minimum value computed over all dekads if 180 none of the dekads verified this condition. The areas where this specific correction was 181 182 applied are shown in Figure 4a. Similar approaches based on representative winter values and thresholds to fill gaps and correct values affected by snow or poor illumination at high 183 latitudes were also considered by Beck et al. (2006); Delbart et al. (2005); Zhang et al. 184 185 (2004).

Significant artefacts were also detected at equatorial and tropical latitudes due to aerosol cloud contamination that produced high instabilities, artificial seasonalities, and missing
 data in the GEOV1 products and, consequently, in the derived output (Figure 2c). The high
 standard deviations (Figure 3a) and the low number of available observations (Figures 2c,

3b) appeared to be good indicators of the high uncertainty associated with the computed 190 191 output over these tropical areas. Most of these cases corresponded to *evergreen broadleaf* forests (EBFs) (cf. Figures 3, 4b), so a minimum seasonality and high LAI values should 192 be observed. We thus identified a pixel as an EBF if the 90th percentile (P90) of the LAI 193 output was >4.5 and the 20th percentile was >P90-1.5. This method for the detection of 194 EBFs based only on GEOV1 products (Figure 4a) agreed well with the GLOBCOVER 195 land-cover map (Defourny et al. 2009) (Figure 4b). For EBFs, the GEOCLIM values were 196 fixed to the 90th percentile computed over the entire period (Figure 2c). 197

Some artefacts were also detected in the raw output for *areas of bare soil* (BS) where the observed seasonality was of the same order of magnitude of the precision of the GEOV1
 product (Figure 2d). A pixel was identified as BS if the 90th percentile of the LAI output was <0.05 (compare Figure 4a to the land-cover map in Figure 4b). For BS, the GEOCLIM
 values were fixed to the 50th percentile computed over the entire period (Figure 2d).

203

[Figure 3]

204

[Figure 4]

205 **3. Evaluation of GEOCLIM**

This section assesses the performance of GEOCLIM. The validation data sets are first described. The spatial and temporal consistencies of GEOCLIM are discussed and evaluated by comparison with climatologies derived from both AVHRR and MODIS data. For the sake of brevity, the results focus on LAI, because of the three variables LAI, FAPAR, and FCOVER, LAI is used most by the scientific community. The comparison was performed at 0.5° spatial sampling on a Plate-Carrée grid. The 0.5° spatial resolution corresponds to the typical resolution of global models and reduces computation time. For comparison purposes, the different LAI data sets were averaged at monthly time step because one-month corresponds to the lowest temporal sampling among the validationdatasets.

The global phenology derived from the temporal seasonality of GEOCLIM is also investigated in this section. For simplicity, we focus on the date of maximum foliar development, i.e. the timing of the peak of the growing season in the LAI annual cycle (Brown et al. 2012; Jönsson and Eklundh 2002). In addition to the phenological metrics derived from the LAI climatologies, we use also the MCD12Q2 MODIS phenological product (Zhang et al. 2003).

220 **3.1. Validation data sets**

221

3.1.1. ECOCLIMAP product

ECOCLIMAP is a database at 1/112° resolution on a Plate-Carrée grid resolution that includes a 222 classification of ecosystems and a consistent set of associated land-surface variables, including LAI, 223 224 at 10-day temporal sampling (Faroux et al. 2013). We used the latest Open-ECOCLIMAP v1 version, available since June 2014 at www2. It combines the global database of the first version, 225 ECOCLIMAP-I, and an upgraded version, ECOCLIMAP-II, for Europe. ECOCLIMAP-I contains 226 215 ecosystems obtained by combining existing land covers, climatic maps, and NDVI seasonal 227 profiles from AVHRR data acquired between April 1992 and March 1993 (Masson et al. 2003). For 228 229 each class of vegetation, the maximum and minimum LAI values are fixed based on in-situ 230 knowledge, and the annual cycle of LAI is constrained by the NDVI AVHRR temporal profiles using a linear relationship between NDVI and LAI. The second version, ECOCLIMAP-II, contains 231 232 573 ecosystems across Europe based on more recent land-cover maps, and the annual LAI profiles are derived from MODIS Collection 5 for the years 2002-2006 (Faroux et al. 2013). 233

The ECOCLIMAP LAI data set at the original 1/112° resolution was aggregated at 0.5° spatial
sampling and averaged at monthly temporal sampling.

3.1.2. MODIS climatology

The MODIS Collection 5 Boston University (BU) LAI product at 0.25° latitude/longitude grid is the 237 238 extracted best quality of standard MODIS LAI product based on MOD15A2 and MOD13A2 quality flags (Samanta et al. 2011). The standard MODIS LAI products relies on a biome dependent look-239 up table inversion of a radiative transfer model which ingests red and near infrared bidirectional 240 reflectance factor values, their associated uncertainties, the view-illumination geometry, and biome 241 type (within eight types based on MOD12Q1 land cover map). Further details on the retrieval 242 algorithm are provided in Myneni et al. (2002); Yang et al. (2006b). Valid 1 km 8-day values are 243 averaged to obtain monthly LAI (Samanta et al. 2011). The monthly LAI 1km sinusoidal product is 244 aggregated and projected onto a 0.25° Plate-Carrée projection. 245

We derived a monthly MODIS climatology as the interannual average from 2000 to 2010 MODIS
BU LAI product. The 0.25° product was aggregated to 0.5° spatial resolution for comparison
purposes.

249

3.1.3. GIMMS3g climatology

The GIMMS3g LAI product derived from AVHRR data is available at 15-day temporal steps and 250 1/12° spatial resolution for the period July 1981 to December 2011. The principles used for the 251 generation of this LAI data set are based on the use of neural networks which were trained first with 252 GIMMS NDVI3g and MODIS LAI products for the overlapping period 2000-2009. The trained 253 neural network algorithm is then applied using the land-cover class, the latitude and longitude 254 coordinates, and the NDVI3g as the input data to generate the full temporal coverage of the 255 GIMMS3g LAI data set. Further details on the algorithm for GIMMS3g retrieval can be found in 256 257 Zhu et al. (2013).

We derived the GIMMS3g climatology as the interannual mean of GIMMS3g LAI time series at 15-day temporal step for the period 1999-2010. We aggregated the 1/12° products at 0.5° spatial resolution and averaged at monthly temporal sampling for comparison purposes.

261

3.1.4. MCD12Q2 product

MCD12Q2 (Collection 5) (Ganguly et al. 2010; Zhang et al. 2003) provides global yearly 262 vegetation phenologies at 500 m from 2001 to 2010 MODIS time series. The MCD12Q2 algorithm 263 uses a series of piecewise logistic functions fitted over the annual cycle of EVI data (Zhang et al. 264 2003). Among the transition dates provided by the MCD12Q2 product, the parameter "onset of 265 greenness maximum" is used here for comparisons with the parameter "peak of growing season" 266 267 derived from the LAI climatologies, i.e. the date for which the climatology reach its maximum value in the LAI annual cycle. The onset of maximal greenness conceptually corresponds to the 268 transition date at which the annual cycle of the vegetation reaches maturity. This date is thus 269 270 expected to be earlier than the date of maximum vegetation. Zhang et al (2006) compared the 271 MCD12Q2 parameter to *in-situ* measurements and found that it corresponded to the time at which 85-90% of the individual leaves reached their final size. 272

The MCD12Q2 500 m sinusoidal product was projected onto a 0.5° Plate-Carrée projection using the MODIS re-projection tool (www3). Yearly MCD12Q2 values from 2001 to 2010 were then averaged to provide a typical phenology for comparison with the phenological metrics derived from the LAI climatology data sets.

277 **3.2. Spatiotemporal consistency**

The GEOCLIM biophysical variables had highly consistent spatial and temporal patterns (Figure 5a), in agreement with the global distributions of biomes (Figure 4b). The seasonal patterns of GEOCLIM LAI also reflected the expected regimes of vegetation at the global scale. Evergreen

broadleaf forests exhibited null seasonality (Figure 5d) in the tropical belt where LAI was near 5 281 282 throughout the year (Figure 5b). Deserts also had no seasonality (Figure 5d) where LAI was near zero (Figure 5b). These results were expected given the forcing applied for evergreen broadleaf 283 forests and bare soils (cf. Section 2.3). As expected, deciduous broadleaf forests and crops had the 284 highest seasonalities (Figure 5d). The observed seasonality in needleleaf forests (Figure 5d) with a 285 LAI ≤ 4 (Figure 5a) and means near 2 (Figure 5b) agreed with the observed seasonality of the 286 287 understory layer, which can reach a LAI of ~2 or more in summer but which is often near zero in winter (Chen et al. 1997; Jiao et al. 2014; Masson et al. 2003). 288

The areas with the highest interannual variabilities in GEOCLIM (Figure 5c) corresponded to 289 290 cropland in the USA and Eurasia, with intrinsic variabilities due to crop rotation or management, but also regions of severe drought and fire in South America, Africa, and Asia, regions of land-291 292 cover change such as the deforestation in Amazonian and Indonesian tropical forests, and regions of 293 extreme events such as drought and heat waves in Europe, eastern China, and Australia. High interannual variability, however, may also indicate a problem with the computed GEOCLIM value 294 295 due to instabilities in the GEOV1 data or to insufficient available GEOV1 data, as observed in the Gulf of Guinea (compare Figures 3b and 5c). In most regions, the interannual variability (Figure 5c) 296 was significantly lower than the seasonal variability (Figure 5d), demonstrating that GEOCLIM 297 298 provided a baseline vegetation annual cycle that was representative of the current phenology and that smoothed most of the anomalies. 299

300

[Figure 5]

301

3.3. Comparison with ECOCLIMAP, MODIS and GIMMS3g climatologies

The map of annual mean differences between GEOCLIM and the LAI climatologies derived from AVHRR and MODIS data (Figure 6) shows LAI differences of ± 0.5 for 54%, 83% and 91% of the land pixels as compared with ECOCLIMAP, MODIS and GIMMS3g, respectively. GEOCLIM

produced systematically lower values than ECOCLIMAP for the remaining 46% of pixels, with 305 larger differences for dense forests (northern boreal and tropical forests) but with significant 306 differences also for crops (e.g. USA and eastern Asia) (Figure 6a). These systematic negative bias 307 of GEOCLIM as compared to ECOCLIMAP was evident across latitudes and along the year (Figure 308 7). GEOCLIM produced also systematically lower values than MODIS (Figure 6b) over tropical 309 forests with differences ~0.5 along the year (Figure 7b) and over northern deciduous broadleaf 310 311 forest during the maximum growing leaf development (lower frequencies for the maximum values in Figure 8c). On the contrary GEOCLIM produced slightly higher LAI values than GIMMS3g 312 (Figure 6c) over Amazon and Indonesian evergreen broadleaf forests and over boreal needle leaf 313 314 forests in Russia and USA during the winter time (Figure 7d).

315

[Figure 6]

316 Despite the large discrepancies in the magnitude of LAI between the different datasets, due in part to the differences in sensors and retrieval algorithms, seasonality and its phasing generally agreed 317 well (Figure 7). Seasonality was inverted in the Southern Hemisphere relative to the Northern 318 Hemisphere (compare Figures 7a and 7c). In the Northern Hemisphere, LAI seasonality decreased 319 in the length of season (active growth period) with latitude (compare Figures 7c and 7d). In the 320 tropical latitudes (-20-10°) characterized by very limited seasonality GEOCLIM and GIMMS3g 321 systematically showed lower values than MODIS and ECOCLIMAP (Figure 7b). The largest 322 differences in terms of seasonality were in the Northern Hemisphere at high latitudes (40-70°) 323 where ECOCLIMAP produced longer growing seasons as compared to other LAI datasets and 324 325 higher values in the period of active growth (Figure 7d). Nevertheless, all the data sets agreed well for the base level of LAI during the dormancy period for the 40-70° latitudes validating a posteriori 326 327 the reliability of the specific correction applied in winter (SZA>70°) to the GEOCLIM values for high northern latitudes (Section 2.3). 328

[Figure 7]

329

Histograms of the LAIs (Figure 8) indicated very similar distributions between the different LAI 330 datasets for shrubs/savannah/bare soil. Some similarities in the position of the maximum frequency 331 were also observed for crops and grassland. Some discrepancies, however, were observed: 332 ECOCLIMAP produced low frequencies for LAIs of zero at the expense of higher intermediate 333 values, while GEOCLIM, MODIS and GIMMS3g produced a smoother transition. Forests had the 334 largest discrepancies between the different data sets. GEOCLIM and GIMMS3g produced a 335 bimodal distribution for deciduous broadleaf forests, with a peak for low values (LAI near 1) 336 corresponding to the dormant period of the vegetation in winter and a second mode for the period of 337 active growth with values higher than 6 in few occasions. MODIS produced also a peak for LAI 338 339 near 1 and a smooth transition up to maximum values around 6.5. ECOCLIMAP produced an even distribution for deciduous forests, but with unrealistic peaks. Evergreen broadleaf forests had 340 relatively consistent narrow distributions between GEOCLIM, ECOCLIMAP and MODIS but with 341 342 significant differences in the magnitudes (i.e. similar shapes but shifted distributions). The LAI modes were 5 for GEOCLIM, and 6 for ECOCLIMAP and MODIS. GIMMS3g produced broader 343 distributions with the LAI mode ~4. Needleleaf forests had similar distributions for GEOCLIM, 344 MODIS and GIMMS3g but with higher frequencies for low values compared to those in 345 ECOCLIMAP. The LAI mode around 1 for GEOCLIM (MODIS and GIMMS3g) for deciduous 346 broadleaf forests (Figure 8c) and needleleaf forests (Figure 8e) corresponded to the winter LAI 347 value and reproduced the expected seasonality in northern high latitudes (Figure 7d) while 348 349 ECOCLIMAP produced unrealistic LAI distributions and peaks' locations (Figures 8c and 8e).

350

[Figure 8]

351 **3.4. Assessment of global phenology**

The spatial pattern of the phenology derived by GEOCLIM (Figure 9) reflected the distributions of climate and biome type (Figure 4b). Seasonality was strongly dependent on temperature at northern latitudes >30°, and the timing of maximum greenness had a clear latitudinal gradient indicating a delay in the date of peak development with latitude (Figure 10). In other regions, seasonality had more complex spatial patterns that were driven mostly by biome type, land use, and the seasonal variation in rainfall (Figure 9).

The phenological metrics (Figure 10) were spatially consistent in the timing of the maximum of the 358 growing season as derived from the different data sets and particularly between GEOCLIM and 359 MODIS (GIMMS3g) with uncertainties of around 14 days in terms of RMSE, bias of less than 1 360 361 day, a correlation higher than 0.95 and a slope of the linear regression close to the unity (Table 1). The phenology derived from ECOCLIMAP was also highly spatially consistent with GEOCLIM 362 (correlation about 0.9, slope close to the unity and bias about 6 days, Table1) but it diverged to 363 364 some degree (uncertainties of about one month in terms of RMSE), mostly in the Southern Hemisphere (Figure 10) in regions with a limited seasonality (Figure 5d). As expected (Section 365 3.1.4), the phenological phase for the "onset of greenness maximum" retrieved in MCD12Q2 366 occurred earlier than the peak date in GEOCLIM (bias of 14 days, Table 1) due to differences in the 367 definitions of the phenological metrics. The phenological metrics derived from GEOCLIM 368 constitutes an intermediate solution across latitudes between MODIS, GIMMS3g, ECOCLIMAP 369 and MCD12Q2 for the date of maximum foliar development (Figure 10) 370

371

372

[Figure 9]

[Figure 10]

373

[Table 1]

Twelve years (1999-2010) of data from GEOV1 LAI, FAPAR, and FCOVER products were used to 375 compute GEOCLIM outputs for the interannual average seasonal cycle at a pixel scale. The main 376 assumptions were that (i) no land-cover change or abrupt disturbance leading to a change in the 377 phenological annual cycle occurred for the period considered and (ii) the time series were 378 379 sufficiently long to reduce the sensitivities of the averages to anomalies. Specific correction were applied at northern high latitudes, bare soils and evergreen broadleaf forests to overcome problems 380 associated, respectively, with strong bidirectional effects and snow cover, precision and signal to 381 noise ratio, and aerosol-cloud contamination (Section 2.3). The identification of bare soil and 382 evergreen broadleaf forests was completely driven by the data avoiding possible miss-classification 383 384 errors introduced by external land cover map information though a good spatial consistency with 385 GLOBCOVER map was observed. In these problematic cases, GEOCLIM was forced to fixed values derived from the input data at the pixel level under the following hypothesis: (i) minimum 386 387 vegetation activity in winter time at northern latitudes, and no seasonality in (ii) desert areas and (iii) every every the vegetation is respectively low (LAI~0) and high (LAI~5) 388 throughout the year. The last hypothesis constitutes an oversimplification of the reality because of 389 the possible seasonality of evergreen broadleaf forests. The high uncertainty associated with the 390 391 data due to poor atmospheric correction and very high cloud occurrence in equatorial and tropical latitudes prevented the extraction of meaningful phenology at the resolution of the individual pixels 392 393 of 1 km. The high spatial and temporal resolution of forthcoming Sentinel2 sensors should improve 394 the monitoring of vegetation in these problematic areas.

395 GEOCLIM was indirectly validated based on the comparison with AVHRR and MODIS derived 396 climatologies of LAI. Multitemporal ground data would be preferable for validating GEOCLIM but 397 unfortunately were rarely available. GEOCLIM showed a high agreement with MODIS 398 (GIMMS3g) climatology of LAI and absolute differences were higher than the Global Climate

Observing System (GCOS 2010) requirements for accuracy, i.e. 0.5 LAI, only in northern boreal 399 400 and tropical forests representing less than 20% (10%) of land pixels. GEOCLIM systematically produced lower values than MODIS over evergreen broadleaf forest as also observed in the 401 comparison between GEOV1 and MODIS (Camacho et al. 2013; Fang et al. 2013). The difficult 402 observational conditions in tropical latitudes with persistent clouds can cause irregularities in the 403 solution and thus variable but systematic underestimations of LAI (Verger et al. 2011). The specific 404 405 correction applied to GEOCLIM removed the instabilities in the solution but cannot correct possible biases in the magnitude of original GEOV1 products used as input data for GEOCLIM. Previous 406 studies have also shown that GEOV1 products produce slightly higher values than MODIS for 407 408 needleleaf forest in winter (Fang et al. 2013). The specific correction applied in GEOCLIM at northern high latitudes reduced these differences but may result in some underestimation of the 409 seasonal amplitude in winter time. Accurate estimation of LAI in needleleaf forests in winter is 410 411 challenging because contamination by clouds and snow limits the reliability of the reflectances used as inputs in the algorithms (Camacho et al. 2013). Further, the strong bidirectional effects of 412 surface-reflectance at very high latitudes are not well simulated by the radiative transfer models 413 currently used for product generation (Yang et al. 2006a). In addition, the understory and foliage 414 clumping are not well accounted for (Jiao et al. 2014; Pisek et al. 2010). 415

LAI values were systematically higher for ECOCLIMAP than for GEOCLIM, MODIS and 416 GIMMS3g. Boussetta et al (2013) reported similar higher LAI values for ECOCLIMAP than for 417 MODIS. Garrigues et al (2008) also reported large positive biases for ECOCLIMAP compared with 418 CYCLOPES, MODIS and GLOBCARBON and with ground measurements. The differences in the 419 temporal period, input data and sensors (VEGETATION for GEOCLIM and AVHRR and MODIS 420 for ECOCLIMAP, Section 3.1) can partially account for the significant discrepancies between 421 GEOCLIM and ECOCLIMAP although the relatively good agreement of GEOCLIM with MODIS 422 and GIMMS3g AVHRR derived LAI climatologies indicates that the major source of discrepancies 423

are related to the retrieval algorithms. The linear relationship between NDVI and LAI used to 424 retrieve the ECOCLIMAP product for pixels out of Europe (Masson et al. 2003) may have 425 introduced some overestimation because the LAI-NDVI relationship is exponential and saturates at 426 medium to high values (e.g. Myneni et al. (2002)). Since ECOCLIMAP assumes low spatial 427 variability within each class of land cover, it is limited to capture the LAI spatial variability as 428 compared to other LAI datasets (Garrigues et al. 2008). Nevertheless, identifying the source of the 429 430 differences between ECOCLIMAP and the other LAI datasets being analyzed would require further attention and it is out of the scope of this paper. 431

Further research should focus on the development of improved LAI datasets with due attention to areas (boreal and tropical latitudes) and periods (winter time) where higher uncertainties exist (Fang et al. 2013). In these cases characterized by high level of noise and missing data, the use of the climatology and temporal smoothing and gap filling techniques applied at daily estimates of biophysical variables may increase the robustness of the solution as compared to the classical composition techniques (Verger et al. 2014a).

The phenological metrics derived from GEOCLIM was highly spatially consistent (correlation higher than 0.9) with MODIS and AVHRR derived phenologies, including ECOCLIMAP ones, for the date of maximum foliar development with differences lower than six days in all cases except when compared with MCD12Q2 product (systematic biases of 14 days) due to the differences in the definition. A standardization in the definitions of the phenological metrics appears necessary (White et al. 2009). Disentangling the mechanisms that govern the seasonal and interannual variability in phenology and vegetation-climate dynamics at the global scale would require further analysis.

445 **5.** Conclusions

446 This article has presented and provided a first quality assessment of GEOCLIM—a global 447 climatology of LAI, FAPAR and FCOVER—from the multiannual time-series of 10-days and 1-km

GEOV1 products built from 1999 to 2010. Results showed GEOCLIM was temporally consistent 448 for the seasonality across biomes and latitudes. GEOCLIM showed a high agreement with MODIS 449 and AVHRR climatologies of LAI: differences within the Global Climate Observing System 450 requirements, i.e. ±0.5 LAI, in more than 80% (90%) of GEOCLIM land pixels compared with 451 MODIS (GIMMS3g). ECOCLIMAP systematically produced higher LAI values. Further research 452 should focus on tropical and boreal latitudes where higher uncertainties exist in the LAI datasets. 453 454 The phenology of the timing of maximum foliar development derived from GEOCLIM constituted an intermediate solution between those of GIMMS3g, MODIS, ECOCLIMAP and MCD12Q2: 455 differences within 14 days and spatial correlation >0.9 at the global scale. 456

The GEOCLIM data set is continuous, both spatially and temporally, and it can be used for a wide range of land-biosphere applications. It may contribute to a better characterisation of the seasonal variability of vegetation in global land-surface models. It provides the baseline characteristics of the seasonal cycle of LAI, FAPAR, and FCOVER for the identification of anomalies and trends in global vegetation.

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643	WWW sites
644	www1: GEOV1 Biophysical Products.
645	http://land.copernicus.eu/global (last accessed 22 June2015)
646	www2: ECOCLIMAP code and data
647	https://opensource.cnrm-game-meteo.fr/projects/ecoclimap (last accessed 22
648	June2015)
649	www3: MODIS Reprojection Tool
650	https://lpdaac.usgs.gov/tools/modis_reprojection_tool (last accessed 22 June2015)
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664 List of Figure Captions

Figure 1. Illustration of climatology computation over a grassland site (17.98°S, 16.82°E). (a) Time series of the GEOV1 LAI product for 1999-2010. (b) LAI values over the entire period (dots) in dekadal steps. The outputs (bold line) are computed as the interannual means LAI values over a 30day compositing window at dekadal steps. The shaded areas correspond to 75% (dark grey), 85% (medium grey), and 95% (light grey) of the population of values for a given date. DOY, day of the year.

Figure 2. Illustration of TSGF correction for LAI for four GLOBCOVER biome classes (Defourny et al. 2009): (a) grassland, (b) needleleaf forest, (c) evergreen broadleaf forest, and (d) bare soil. The thin lines and grey intervals represent the raw model output computed as interannual means and the associated standard deviations. The thick lines represent the final GEOCLIM output corrected for artefacts. The dashed lines represent the number of available observations (Nb). The latitudes and longitudes of the sites are indicated. DOY, day of the year.

Figure 3. Global maps of (a) the maximum standard deviations (Max. SD) of interannual LAI values observed over the 36 dekads and (b) the minimum number (Min. Nb) of valid observations over the 36 dekads for computing GEOCLIM. The areas in grey correspond to pixels with no data. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

Figure 4. (a) Map of northern high latitudes for which the sun zenith angle, SZA>70°, areas of bare soil and evergreen broadleaf forest where specific corrections were applied in GEOCLIM. (b) Simplified GLOBCOVER (Defourny et al. 2009) land-cover map after aggregating the 22 original classes into six main land-cover classes. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

Figure 5. GEOCLIM global maps of (a) the maximum LAI at the peak of the growing season (Max. LAI), (b) the mean annual LAI (Mean LAI), (c) the standard deviation of interannual LAI values for the date of the peak (interannual variability) (SD Max. LAI), and (d) the standard deviation of the mean LAI annual cycle (seasonal variability) (SD Mean LAI). The areas in dark grey correspond to pixels with no data. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

Figure 6. Maps of the mean LAI differences between (a) GEOCLIM and ECOCLIMAP, (b) GEOCLIM and MODIS, and (c) GEOCLIM and GIMMS3g. The percentage of land pixels for each interval of mean LAI differences is indicated on the right of the colour bar. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

Figure 7. Temporal profiles of GEOCLIM LAI averaged for 30° latitudinal bands and mean LAI
differences as compared to ECOCLIMAP, MODIS and GIMMS3g. DOY, day of the year.

Figure 8. Distributions of GEOCLIM, ECOCLIMAP, MODIS and GIMMS3g LAIs per biomes
based on the simplified GLOBCOVER (Defourny et al. 2009) land-cover map (Figure 4b): (a)
shrubs/savannah/bare soil, (b) crops and grassland, (c) deciduous broadleaf forests, (d) evergreen
broadleaf forests, (e) needleleaf forests, and (f) all biomes.

Figure 9. Global map of the day of the year (DOY) for maximum foliar development (date of peak of the growing season) derived from GEOCLIM. The areas of bare soil and evergreen broadleaf forests (Figure 4a) with insufficient seasonality for computing the phenological metrics are shaded in light grey. The areas in dark grey correspond to pixels with missing data. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

709	Figure 10. Latitudinal transects at resolution of 0.5 degrees of the average day of the year (DOY)
710	for maximum foliar development derived from GEOCLIM and mean differences as compared to the
711	phenological metrics derived from ECOCLIMAP, MODIS, GIMMS3g and MCD12Q2.
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Table 1. The root mean square error (RMSE), bias, standard deviation (σ), correlation coefficient (*R*), and slope of the regression line through the origin for comparisons between the dates of maximum foliar development derived from GEOCLIM, ECOCLIMAP, and MCD12Q2 at a global scale at 0.5°. The areas of bare soil and evergreen broadleaf forests (Figure 4a) with insufficient seasonality for computing the phenological metrics and 10% outliers were not included.

		RMSE	Bias	σ	R	Slope
	GEOCLIM – MCD12Q2	24.18	14.36	19.46	0.94	1.08
	GEOCLIM – ECOCLIMAP	30.37	5.98	29.78	0.89	1.01
	GEOCLIM – MODIS	14.38	0.97	14.35	0.98	1.00
	GEOCLIM – GIMMS3g	17.65	-0.41	17.64	0.96	0.99
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Figure 1. Illustration of climatology computation over a grassland site (17.98°S, 16.82°E). (a) Time
series of the GEOV1 LAI product for 1999-2010. (b) LAI values over the entire period (dots) in
dekadal steps. The outputs (bold line) are computed as the interannual means LAI values over a 30day compositing window at dekadal steps. The shaded areas correspond to 75% (dark grey), 85%
(medium grey), and 95% (light grey) of the population of values for a given date. DOY, day of the
year.



Figure 2. Illustration of TSGF correction for LAI for four GLOBCOVER biome classes (Defourny et al. 2009): (a) grassland, (b) needleleaf forest, (c) evergreen broadleaf forest, and (d) bare soil. The thin lines and grey intervals represent the raw model output computed as interannual means and the associated standard deviations. The thick lines represent the final GEOCLIM output corrected for artefacts. The dashed lines represent the number of available observations (Nb). The latitudes and longitudes of the sites are indicated. DOY, day of the year.

770



Figure 3. Global maps of (a) the maximum standard deviations (Max. SD) of interannual LAI
values observed over the 36 dekads and (b) the minimum number (Min. Nb) of valid observations
over the 36 dekads for computing GEOCLIM. The areas in grey correspond to pixels with no data.



Figure 4. (a) Map of northern high latitudes for which the sun zenith angle, SZA>70°, areas of bare
soil and evergreen broadleaf forest where specific corrections were applied in GEOCLIM. (b)
Simplified GLOBCOVER (Defourny et al. 2009) land-cover map after aggregating the 22 original
classes into six main land-cover classes.



Figure 5. GEOCLIM global maps of (a) the maximum LAI at the peak of the growing season (Max. LAI), (b) the mean annual LAI (Mean LAI), (c) the standard deviation of interannual LAI values for the date of the peak (interannual variability) (SD Max. LAI), and (d) the standard deviation of the mean LAI annual cycle (seasonal variability) (SD Mean LAI). The areas in dark grey correspond to pixels with no data.





Figure 6. Maps of the mean LAI differences between (a) GEOCLIM and ECOCLIMAP, (b)
GEOCLIM and MODIS, and (c) GEOCLIM and GIMMS3g. The percentage of land pixels for each
interval of mean LAI differences is indicated on the right of the colour bar.



Figure 7. Temporal profiles of GEOCLIM LAI averaged for 30° latitudinal bands and mean LAI
differences as compared to ECOCLIMAP, MODIS and GIMMS3g. DOY, day of the year.



Figure 8. Distributions of GEOCLIM, ECOCLIMAP, MODIS and GIMMS3g LAIs per biomes based on the simplified GLOBCOVER (Defourny et al. 2009) land-cover map (Figure 4b): (a) shrubs/savannah/bare soil, (b) crops and grassland, (c) deciduous broadleaf forests, (d) evergreen broadleaf forests, (e) needleleaf forests, and (f) all biomes.





Figure 9. Global map of the day of the year (DOY) for maximum foliar development (date of peak of the growing season) derived from GEOCLIM. The areas of bare soil and evergreen broadleaf forests (Figure 4a) with insufficient seasonality for computing the phenological metrics are shaded in light grey. The areas in dark grey correspond to pixels with missing data.



Figure 10. Latitudinal transects at resolution of 0.5 degrees of the average day of the year (DOY)
for maximum foliar development derived from GEOCLIM and mean differences as compared to the
phenological metrics derived from ECOCLIMAP, MODIS, GIMMS3g and MCD12Q2.

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