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# Leader election on two-dimensional periodic cellular automata

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## Abstract

This article explores the computational power of bi-dimensional cellular automata acting on periodical configurations. It extends in some sense the results of a similar paper dedicated to the one-dimensional case. More precisely, we present an algorithm that computes a “minimal pattern network”, *i.e.* a minimal pattern and the two translation vectors it can use to tile the entire configuration. This problem is equivalent to the computation of a leader, which is one equivalence class of the cells of the periodical configuration.

*Keywords:* cellular automata, leader election, bi-periodical configuration, equivalence classes, uniform computation

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## Introduction

Cellular automata are a well-studied computational model. Its uniform and local properties capture a large range of natural problems, and the model is simple enough to allow definitions of algorithms, or complexity classes. However, the infinite nature of its underlying structure may raise some issues when confronted with finite objects. There are two classical ways of solving these issues: either working on a finite subset of the automaton, or requiring the whole infinite configuration to be periodical.

Periodical configuration is quite a natural concept in the context of tiling problems. As a significant example, it was first (erroneously) conjectured by Hao Wang in [13] that each finite set of tiles that tiles the plane can always do it by some periodical configuration. Periodical configurations have also been extensively studied on cellular automata, when those are considered as dynamical systems: typically, questions of undecidability of the injectivity or reversibility of the transition function have been studied [5]. On the other hand, periodical configurations are much less studied from the point of view of computation, with some notable exceptions, such as the density classification problem [7].

A natural problem would be to compute a “minimal period” of a periodical configuration. However, performing computations on periodical configurations is somehow counterintuitive, because one cannot easily define essential notions, such as the origin and termination of the computation, or the time complexity of an algorithm. These difficulties are mainly due to the fact that unlike what happens on classical models such as Turing machines, you cannot choose a single cell to start the computation or bear its result. This difficulty is overridden when

34 the input of a cellular automaton is bounded by persistent symbols, because then  
 35 you can identify cells that are on the border of the computation area, and use  
 36 them as starting or stopping points. However, one cannot use such tricks when  
 37 the configuration is periodical; in that case, all notions related to computation  
 38 should be global.

39 This paper presents an extension of a previous work [1] dedicated to the  
 40 simpler one-dimensional case. In [1] we exhibited a one-dimensional cellular  
 41 automaton that computes in polynomial time a minimal period of an infinite  
 42 one-dimensional periodic configuration. We now want to deal with bi-periodical  
 43 configurations of dimension 2, *i.e.* configurations that have two independent  
 44 vectors of periodicity. A natural starting point would be to compute the *minimal*  
 45 *pattern* of a given configuration, *i.e.* the smallest pattern with which we are able  
 46 to rebuild the whole configuration by translation along the two orthogonal axis.  
 47 This problem is unsolvable in the case of cellular automata of dimension  $\geq 2$ , as  
 48 it is briefly suggested on Fig 1. Instead, we will solve the problem of exhibiting a  
 49 *minimal pattern network*, *i.e.* a minimal pattern and the two translation vectors  
 50 it can use to tile the entire configuration.

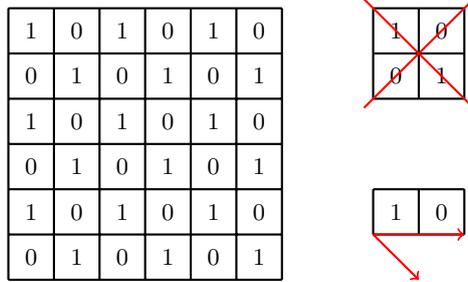


Figure 1: One cannot extract the  $2 \times 2$  square pattern from the configuration, because all cells marked with 1 are *indistinguishable* from each other. Nevertheless, it is possible to extract the  $2 \times 1$  pattern, with its two tiling vectors.

51 We can see that this minimal pattern network can be computed through the  
 52 election of a leading equivalence class of cells. This election will be the main  
 53 subject of this article.

54 After having clearly defined the problem of leader election on periodical  
 55 cellular automata, we will present some algorithmic tools that fit our needs.  
 56 Finally, we will present an algorithm that performs leader election in polynomial  
 57 time.

## 58 1. Context and basic definitions

### 59 1.1. The computational model

60 We will use along this article the standard definition of cellular automata  
 61 (CA) as a tuple  $\mathcal{A} = (d, \mathbf{Q}, V, \delta)$  (see [6]). In these lines, we will work with  $d = 2$ ,  
 62 *i.e.* with cellular automata whose underlying network is  $\mathbb{Z}^2$ .  $\mathbf{Q}$  denotes the set  
 63 of *states*, and  $V$  is the standard *Moore neighbourhood*<sup>1</sup>. The local transition

<sup>1</sup> $V = \{(-1, -1), (-1, 0), (-1, 1), (0, -1), (0, 0), (0, 1), (1, -1), (1, 0), (1, 1)\}$

64 function of the automaton is denoted by  $\delta : \mathcal{Q}^V \rightarrow \mathcal{Q}$ . As we work with cellular  
65 automata from the point of view of *language recognition*, we will identify a  
66 particular subset  $\Sigma \subseteq \mathcal{Q}$  as the *input alphabet*. A *configuration* is an application  
67  $C : \mathbb{Z}^2 \rightarrow \mathcal{Q}$ . We also introduce the *global transition function*  $F_\delta : \mathcal{Q}^{\mathbb{Z}^2} \rightarrow \mathcal{Q}^{\mathbb{Z}^2}$   
68 defined by the global synchronous application of  $\delta$  over configurations of  $\mathbb{Z}^2$ .

69 We suppose that the reader is familiar with the notions of signals and com-  
70 putation layers on cellular automata. If it is not the case, we strongly encourage  
71 the reading of [6] or [9] for such general matters on cellular automata.

72 **Definition 1.** We define a Toric-Cellular Automaton (toric-CA) as a cellular  
73 automaton whose initial configuration (and therefore any subsequent configura-  
74 tion) is bi-periodic (i.e. periodic in two independent directions).

75 Note that this model is equivalent to an automaton that would work on a  
76 finite, torus-like cell network.

77 **Definition 2.** Let  $w$  be a rectangular word over  $\Sigma$ , we denote  $C_w \in \mathcal{Q}^{\mathbb{Z}^2}$  the  
78 configuration formed by the uniform repetition of  $w$  over  $\mathbb{Z}^2$ .

79 **Definition 3.** We call a cell an element of the underlying network  $\mathbb{Z}^2$  and the  
80 state of  $\mathcal{Q}$  associated with it.

81 Note that the state of a given cell may change through time.

## 82 2. Leader election on toric-CA

83 We now consider our bi-periodic configurations, and will try to perform  
84 leader election upon them. We will see that it is not possible to elect a single  
85 cell on the configuration, and will introduce the definition of equivalence classes  
86 to deal with that issue.

### 87 2.1. Equivalence classes of cells

88 Let  $C$  be a bi-dimensional, bi-periodic configuration over  $\mathcal{Q}$ .

89 **Definition 4.** We say that two cells  $c_1$  and  $c_2$  of  $C$  are equivalent if the trans-  
90 lation that moves  $c_1$  on  $c_2$  leaves the configuration unchanged.

91 It is immediate to see that the previous definition induces an *equivalence*  
92 *relation*. Let us now consider the equivalence classes of that relation. As all  
93 the cells of an equivalence class have the same state and the same neighbour-  
94 hood, the application of the transition function on these cells will give the same  
95 resulting state. By recurrence, it appears that at any given time during the  
96 computation, each cell of an equivalence class will be in the same state. From  
97 a computational point of view, the cells of a given equivalence class are *undis-*  
98 *tinguishable from each other* (See Fig 2).

99 Because the configuration  $C$  we are considering is bi-periodic, it also appears  
100 that each cell belongs to an infinitely large equivalence class, and that there only  
101 exists a finite number of equivalence classes.

102 **Definition 5.** We define the size of a bi-periodic configuration as the number  
103 of its equivalence classes. The size of the initial configuration will be denoted as  
104  $N$ .

105 It is clear that  $N$  is the only pertinent parameter when one wants to discuss  
106 time complexity of algorithms running on toric-CA.

0	1	0	1	0	1
1	0	1	0	1	0
0	0	0	0	0	0
1	0	1	0	1	0
0	1	0	1	0	1
0	0	0	0	0	0

Figure 2: All darkened cells will have the same behaviour during the computation, as they belong to the same equivalence class.

107 *2.2. Proper definition of leader election*

108 As a corollary of previous statements, it appears that it is impossible to elect  
 109 a single cell of the configuration as a leader (or any finite subset of cells for that  
 110 matter).

111 The leader election problem on bi-periodic configurations actually sums up  
 112 to the *election of a single equivalence class*.

113 *2.3. Main result*

114 In the following sections, we will describe an algorithm that performs leader  
 115 election on toric-CA.

116 More precisely, the algorithm will process bi-periodic configurations such  
 117 that after a certain time:

- 118 • The states of all the cells of the elected equivalence class will be in a  
 119 certain final subset of states  $F \in \mathcal{Q}$  and will never leave it.
- 120 • The states of every other cell will never be in  $F$  any more.

121 **Theorem 1.** *The algorithm presented in this paper solves the leader election  
 122 problem in a time polynomial in the size  $N$  of the initial configuration.*

123 At the beginning, all cells will be candidates to this election, then our algo-  
 124 rithm will perform a percolation amongst those cells, only sparing those which  
 125 belong to a single equivalence class. Note that due to the nature of our compu-  
 126 tational model, it is impossible for a single cell to assert that the election is over  
 127 and no more cells are to be percolated. This can only be done by an observer  
 128 *outside* of the model.

129 **3. Basic objects and tools**

130 We will consider *patches* as the basic objects in this article. A patch denotes  
 131 a finite set of neighbouring cells, upon which computation will be performed. We  
 132 precisely intend to subdivide the whole configuration into patches, and we want  
 133 the behaviour of a patch to depend only on its content and the content of its  
 134 neighbours. Later in the article, we will introduce signals that will travel along  
 135 the borders of the patches. We want a single signal to be able to travel through  
 136 the entire border of a patch, which forbids patches to have holes. However, we  
 137 present in the next section a method to process patches that may have holes.

138 *3.1. Patches, borders and contents*

139 In order to properly define what a patch of cells is, we have to subdivide the  
140 cell network. More precisely, each cell of the network will be divided into four  
141 sub-cells (See Fig 3). Please note that this rather unusual definition is due to  
142 the dynamics of the main algorithm. It is convenient to first define a patch as  
143 a simple closed curve, and then as a set of cells.

144 **Definition 6** (patch as a curve). *We define a patch as a finite, simple, closed*  
145 *curve in the subdivided cell network that obeys some restrictions, which will be*  
146 *detailed thereafter.*

147 The restrictions focus on the angles of the curve, and consist of a set of  
148 allowed and forbidden angles, such as shown on Fig 4. They can be informally  
149 summarized as follows :

- 150 • Each outer angle (*i.e.*  $90^\circ$  angle when measured from the inside) must  
151 externally correspond to a full line corner.
- 152 • Each inner angle (*i.e.*  $270^\circ$  angle when measured from the inside) must  
153 internally correspond to a dashed line corner.

154 Fig 5 gives examples of simple curves of the subdivided network. We can  
155 note that the only curves which properly define a patch are those on Fig 5a and  
156 Fig 5b, while Fig 5c presents angles that are forbidden.

157 **Definition 7** (border). *The border of a patch is canonically defined as the*  
158 *projection of its curve over the corresponding outer cell edges of the original*  
159 *network.*

160 **Definition 8** (patch as a set of cells). *We define the content of a patch as the*  
161 *set of cells within its border, or indifferently the initial state of those cells.*

162 As an abuse of notation, we may use the term *patch* to denote its content.

163 Examples of patches with their contents and borders are shown on Fig 6.  
164 Note that this definition allows patches whose content may contain holes, but  
165 whose border can be travelled through by a single signal (see Fig 6b).

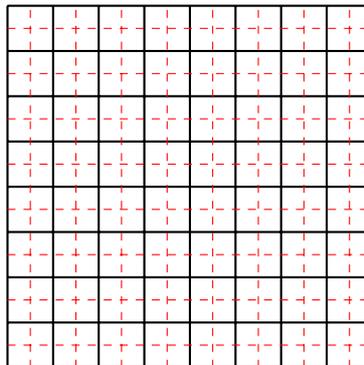


Figure 3: Dividing the cell network

166 *Proper patches.* We can see that some parts of the border of a patch might be  
 167 useless (see Fig 7). The following definition will give us a canonical representa-  
 168 tive for those patches.

169 **Definition 9** (Proper patch). *We say that a patch is a proper patch if the*  
 170 *curve defining it does not contain the pattern presented in Fig 7a. The proper*  
 171 *patch associated to a given raw patch is the patch where all the occurrences of the*  
 172 *forbidden pattern have been locally deleted (see Fig 7). Note that this operation*  
 173 *does not change the content of a patch.*

174 We would like to be able to distinguish a particular cell in a patch; this is  
 175 the goal of the next definition.

176 **Definition 10** (Patch leading cell). *We define the leading cell of a patch as the*  
 177 *uppermost cell amongst its rightmost.*

178 Note that this notion is *a priori* unrelated with the leader election problem  
 179 we exposed earlier.

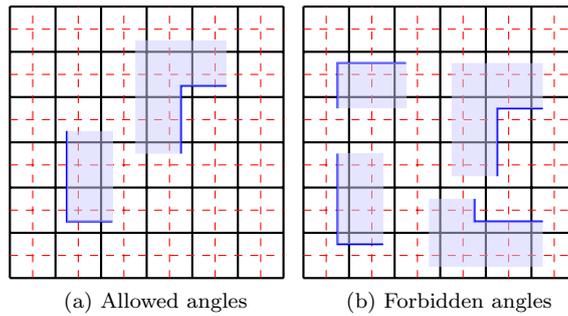


Figure 4: Local recognition of acceptable curves (the coloured area denotes the inside of the curve)

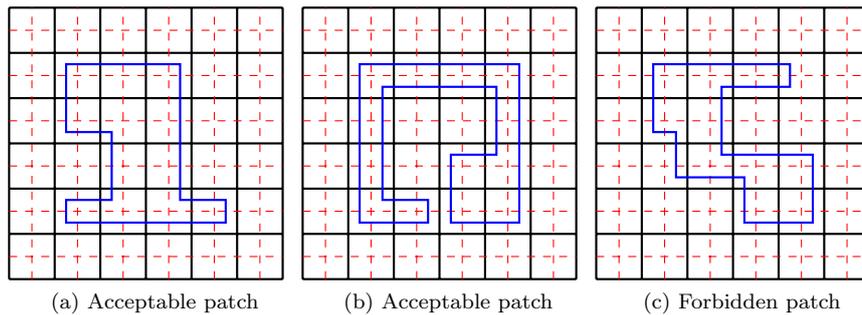


Figure 5: Examples of simple closed curves that may define patches

### 180 3.2. Patch word

181 We are going to design an algorithm able to compare patches. Because a  
 182 patch is a rather complex object, we have to find a canonical representation that

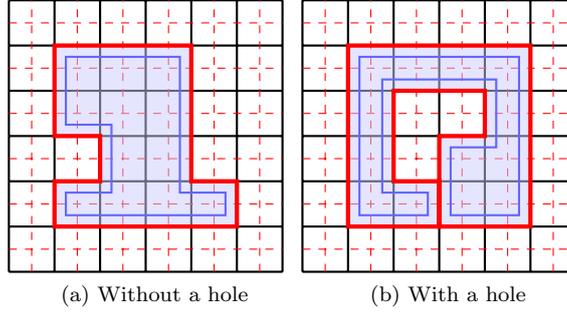


Figure 6: Examples of patches and their borders

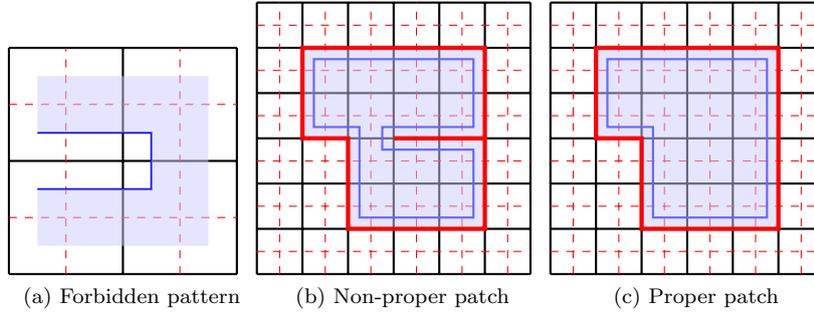


Figure 7: Transforming a raw patch into a proper one

183 our computational model can handle. A patch will be represented by a word  
 184 encoding both the shape of its border and its content.

185 **Definition 11** (Border word). *We define the border word associated to a patch*  
 186 *as the word over the alphabet  $\Delta = \{\uparrow, \downarrow, \leftarrow, \rightarrow\}$  that represents its border in*  
 187 *clockwise order, starting from the upper left angle of the patch leader.*

188 For instance, the border word of Fig 8 is  $\rightarrow\rightarrow\rightarrow\downarrow\downarrow\rightarrow\downarrow\leftarrow\leftarrow\leftarrow\leftarrow\uparrow\rightarrow\uparrow\leftarrow\uparrow$

189

190 Now we must extend our concept of border word to take the content of the  
 191 patch into account. A trivial solution that first comes to mind is to enumerate  
 192 the content of all the cells in reading order, starting from the patch leader.  
 193 However, this solution raises some issues due to the fact that it is hardly locally  
 194 computable. We propose instead the construction of a *spanning tree* over the  
 195 cells of a patch, whose root is the leading cell. We admit for the moment that  
 196 we can easily construct such a spanning tree on a proper patch with local rules,  
 197 once the leading cell is identified (the proof of this point will be given in section  
 198 5.4.3). The spanning trees associated to different shapes of patches can be seen  
 199 on Fig 9.

200 **Definition 12** (patch word). *Let  $\Sigma$  be the input alphabet of our automaton, we*  
 201 *define the patch word associated to a proper patch as the word  $w_c = w_\Delta w_\Sigma$ ,*  
 202 *where  $w_\Delta \in \Delta^*$  is the border word of the patch, and  $w_\Sigma \in \Sigma^*$  is the content*  
 203 *of the cells of the patch, ordered by the prefix order depth-first search of the*

204 *spanning tree associated to the patch (for a fixed order of the directions).*

205 For instance, here follows the patch word of the patch of Fig 8, whose span-  
 206 ning tree is the one on Fig 9a :

207  $w_c = \rightarrow \rightarrow \rightarrow \downarrow \downarrow \downarrow \rightarrow \downarrow \leftarrow \leftarrow \leftarrow \leftarrow \uparrow \rightarrow \uparrow \leftarrow \uparrow \uparrow 011010011010$

208 We note that there is a one-to-one correspondence between proper patches  
 209 and their patch word.

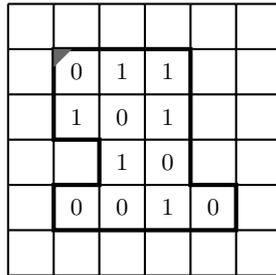


Figure 8: A proper patch and its content, with its leading cell highlighted

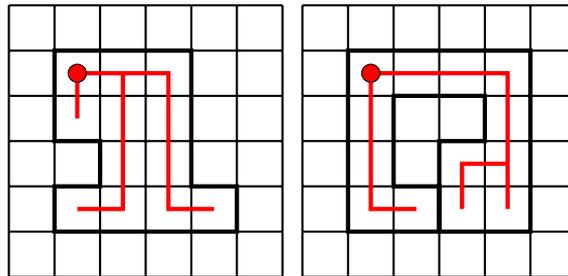


Figure 9: Example of spanning trees associated to proper patches, with their root highlighted

210 *3.3. Local neighbourhoods*

211 We introduce the partition of the border of a proper patch into *local neigh-*  
 212 *bourhoods* as follows:

213 **Definition 13.** *A local neighbourhood is a maximum, connected component of*  
 214 *the border for which the neighbouring patch does not change. We then canoni-*  
 215 *cally define the local neighbour associated with this neighbourhood as the adjacent*  
 216 *patch.*

217 As we want the entire configuration to be partitioned into patches, the as-  
 218 sumption that each patch must have neighbouring patches is satisfied during  
 219 the algorithm.

220 We can also see on Fig 10 that the number of local neighbours of a patch  
 221 can be greater than the number of its distinct adjacent patches. We also note  
 222 that a proper patch can be its own local neighbour.

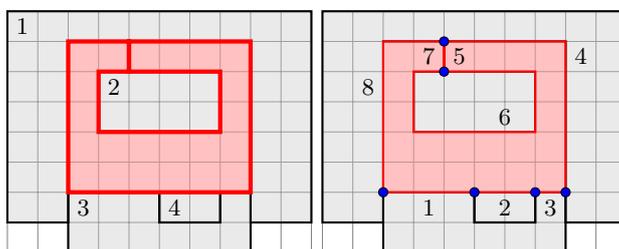


Figure 10: This patch has 4 adjacent patches, but 8 local neighbourhoods.

## 223 4. Overview of the leader election algorithm

224 All along the algorithm, the configuration will be entirely partitioned into  
 225 patches, as defined previously. Instead of talking about the behaviour of cells,  
 226 and for a better preliminary comprehension of the algorithm, we will talk about  
 227 *meta-states* of patches, and how those meta-states must evolve according to a  
 228 *meta-algorithm*. At the beginning of the algorithm, the configuration will be  
 229 entirely decomposed into patches of size 1, *i.e.* each cell will be alone in its  
 230 own patch. The final goal of the algorithm is to merge patches together, until  
 231 the configuration is only composed of the periodical repetition of the same big  
 232 patch. Fig 11 presents the evolution of a configuration from the starting point  
 233 to the final state.

### 234 4.1. Meta-states and meta-algorithm

235 Each proper patch will have a meta-state for each of its local neighbourhoods  
 236 (we will call them *merging* states), plus one special state (the *waiting* state).  
 237 Merging states can be interpreted as if the patch was trying to merge with the  
 238 local neighbour associated with the state, while the waiting state means that  
 239 the patch is performing some lower level computation.

240 The behaviour of a proper patch will follow those rules:

- 241 • At the beginning of its existence, a patch will be in the waiting state for  
 242 a finite time.
- 243 • After this time is over, the state will cycle over the merging states until  
 244 the patch merges.

245 The essential point is that each pair of adjacent patches simultaneously in  
 246 a merging state that corresponds to the same local neighbourhood must merge  
 247 with each other (see Fig 12). The actual merging process will be detailed later.

### 248 4.2. Reformulating the main result

249 We will now explain the link between patches and leader election. It is clear  
 250 that a single patch cannot contain two representatives of the same equivalence  
 251 class, because two cells of the same equivalence class cannot have different be-  
 252 haviours during the computation. It follows that the maximum size of a patch  
 253 (*i.e.* the maximum number of cells it contains) is the number  $N$  of equivalence  
 254 classes. Moreover, if a patch of size  $N$  is eventually constructed by the algo-  
 255 rithm, then the configuration is entirely tiled by translations of it. We can then

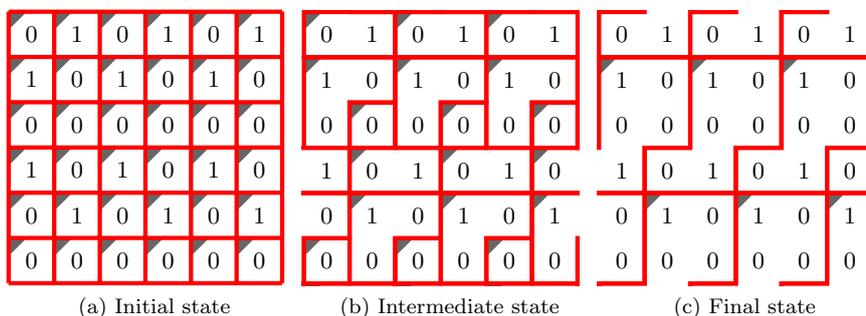


Figure 11: A possible evolution of a periodic configuration over time. Dark corners represent patch leaders.

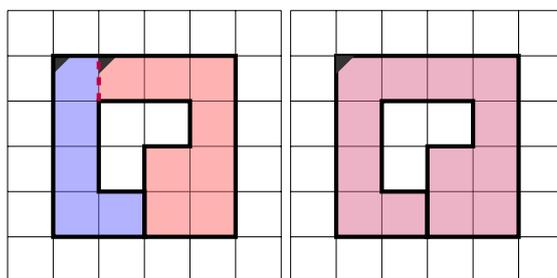


Figure 12: Two patches merging along a common local neighbourhood

256 elect a single equivalence class by simply selecting all the patch leading cells of  
 257 the configuration (those cells obviously belong to the same equivalence class).  
 258 In order to stick with the formalism used in theorem 1, we say that a cell is in  
 259 the final subset  $F \in \mathcal{Q}$  if it currently is a patch leading cell.

260 The goal of the algorithm is therefore to perform merging between patches  
 261 as long as there exist two adjacent patches that are different. Indeed, if there  
 262 exists two different adjacent patches on the configuration, then they are not of  
 263 maximum size.

264 Keeping this in mind, proving the following lemma is sufficient to prove the  
 265 main result:

266 **Lemma 1.** *If at a certain time there exists two adjacent patches whose patch*  
 267 *words are different, then at least one of them must merge within polynomial*  
 268 *delay.*

## 269 5. Detailed algorithm

### 270 5.1. Cell division

271 As we stated before, we will subdivide each cell of the configuration into  
 272 four sub-cells that will hold actual computation. We will talk about the *divided*  
 273 *configuration* when it is necessary to consider all four sub-cells separately, and  
 274 *united configuration* when it is not. The set of states  $\mathcal{Q}$  of the automaton is

275 therefore the product  $\Sigma \times Q_s^4$ , where  $\Sigma$  is the input alphabet and  $Q_s$  is the  
 276 states set of sub-cells. As we want to retain the input information at all time,  
 277 the projection of  $Q$  over  $\Sigma$  will never change.

278 If we associate each sub-cell to a corner of the original cell, we obtain the  
 279 informal division we used to define patches.

### 280 5.2. Detailed implementation of the meta-algorithm

281 In order to simulate the merging meta-states, each patch will construct and  
 282 maintain a signal that will travel along its border. More precisely, this signal  
 283 will cycle along each edge of the border, sometimes waiting longer than one  
 284 time step on each edge. From an intuitive point of view, the signal will travel  
 285 on the intersecting points between the closed curve we used to define a patch  
 286 and the subdividing lines in the cells (see Fig 13). As there exist at most four  
 287 of those points in a single cell, we can match each of them to a single sub-cell.  
 288 Moreover, each of those points can also be associated to a single neighbouring  
 289 cell, and therefore to a single local neighbourhood of the patch. Keeping this in  
 290 mind, we now claim that a patch is in the merging state corresponding to the  
 291 local neighbourhood associated to the point where its signal currently stands.

292 We note that, as we use Moore neighbourhood on the united configuration,  
 293 the signal can travel from an intersection point to the next one in one time step.

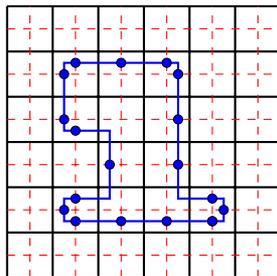


Figure 13: Highlighting of the waiting points of a patch

294 This signal will encode the shape and content of its patch into waiting delays  
 295 along the border. It will behave so that the signal of two different patches will  
 296 eventually de-synchronize and meet each other, thus leading to a merging.

### 297 5.3. First step

298 At the first time step, the automaton will construct patches of size 1 all over  
 299 the configuration. The result of this operation, which can be performed locally,  
 300 can be seen on Fig 14. Note that patches retain their input information at any  
 301 time.

### 302 5.4. Ongoing behaviour

303 In this section, we will consider the life cycle of a patch, from its birth to its  
 304 death. We make the assumption that the border of this patch is *synchronized*,  
 305 as done by a firing-squad-like algorithm (See [2] or [12]). This assumption is  
 306 satisfied at the beginning of the computation, because this beginning in itself  
 307 trivially is a synchronizing event. We will see later how a patch can synchronize  
 308 itself when it is newly created. We do not make the assumption that the patch  
 309 is a proper patch at the beginning of its existence.

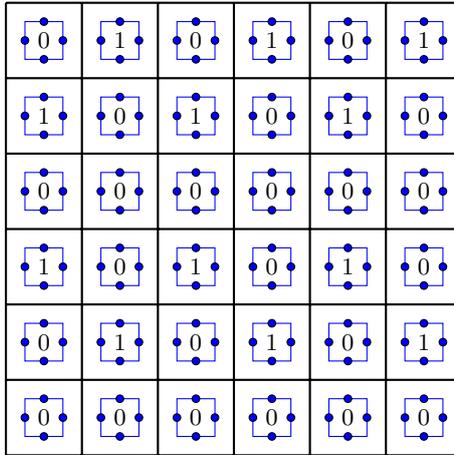


Figure 14: Patches of the initial configuration. Red lines are omitted for the sake of clarity.

310 *5.4.1. Patch leader selection*

311 Let us consider a newly created patch, that is assumed to be synchronized.  
 312 The first thing we want to do is to select the patch leading cell, as defined  
 313 previously. Let us also consider the set of sub-cells defining the border of the  
 314 patch. Those cells can be identified locally and form a ring-like structure. If we  
 315 select the leftmost amongst the uppermost of those sub-cells, it can be trivially  
 316 matched to the patch leading cell (see Fig 15).

317 It happens that the election of a particular cell on a ring-like structure on  
 318 cellular automata is a well studied problem, and that [11] provides an algorithm  
 319 that exactly fits our needs. We assumed that the border of the patch is syn-  
 320 chronized, so all we have to do is to run the algorithm presented in [11] on the  
 321 sub-automaton (*i.e.* the automaton whose cells match our sub-cells), then select  
 322 as a patch leading cell the one whose upper left sub-cell has been selected by  
 323 the algorithm. We note that this algorithm runs in polynomial time.

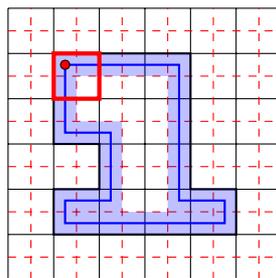


Figure 15: Highlighting the ring-like structure of the border

324 *5.4.2. Healing the patch*

325 We now want to turn our raw patch into a proper patch. This will be done  
 326 by deleting all occurrences of the local pattern forbidden into a proper patch  
 327 (see Fig 7a). From a practical point of view, a signal will be sent from the  
 328 leading cell and travel along the border. This signal will replace local patterns

329 according to the local rule presented in Fig 16. It is immediate to see that  
 330 the iterative application of this local rule will turn the patch into its associated  
 331 proper patch (see Fig 7).

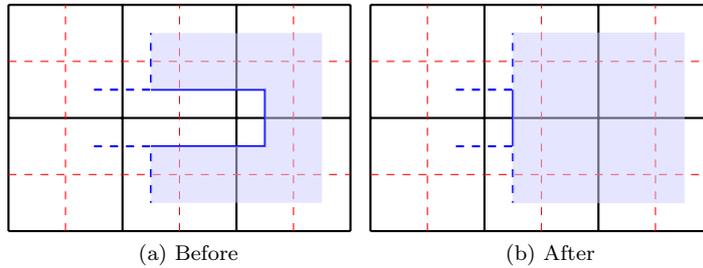


Figure 16: Local transformation turning a raw patch into a proper patch. Blue area denotes the inside of the patch.

### 332 5.4.3. Building and browsing the spanning tree

333 Now that the patch is proper and the leading cell is identified, we can build  
 334 the spanning tree starting from the leading cell with a very simple set of rules: If  
 335 a cell has a neighbour in the patch in which the tree is constructed, an edge will  
 336 be created between this cell and its neighbour at the next time step. If a cell has  
 337 more than one neighbour fulfilling the conditions, it chooses one according to a  
 338 predefined order among the direction (*e.g.* up > left > down > right). Fig 17  
 339 shows the ongoing construction of a spanning tree over an example patch. For  
 340 practical reasons, this tree is oriented toward the root.

341 We now want to browse the tree and provide the leading cell with the next  
 342 symbol of the tree on demand. This can be trivially done by simulating a finite  
 343 state 2D-automaton performing a depth-first search on the tree and providing  
 344 a symbol to the root each time it discovers a new cell. Note that this technique  
 345 can guarantee a time interval between the reception of two symbols that is linear  
 346 in the size of the tree.

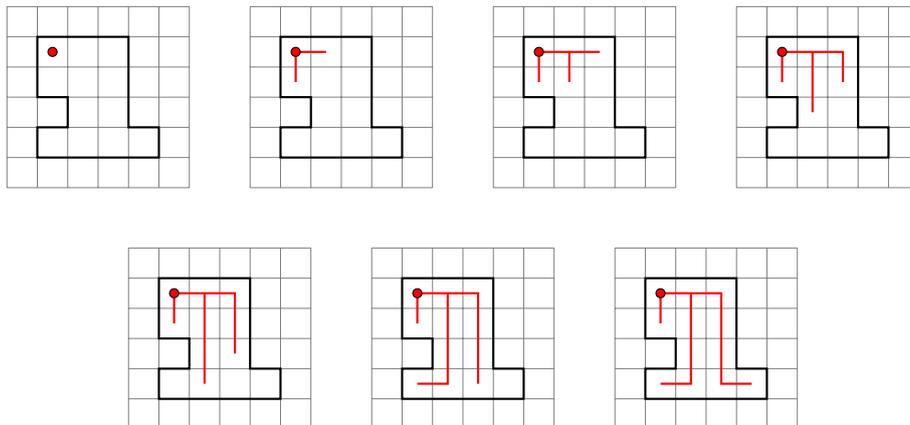


Figure 17: The construction of a spanning tree

347 5.4.4. *Launching the signal*

348 As soon as the patch is healed, the leading cell will send the signal that will  
349 trigger fusion between patches. The behaviour of this signal will be detailed in  
350 this section.

351 **Definition 14.** *We define the length of the border of a patch as its number of*  
352 *waiting points, as defined previously.*

353 The length of the border of the patch we consider all along this section will  
354 be denoted as  $n$ . Let us now consider the one-dimensional circular cellular au-  
355 tomaton composed by the waiting points of a patch. We will call this particular  
356 automaton the *border automaton* of the patch. Clearly this border automaton  
357 can be simulated step-by-step by our real two-dimensional cellular automaton.

358 **Definition 15.** *We define the leading waiting point of the border automaton*  
359 *as the upper waiting point of the leading cell of its patch.*

360 We want the leading waiting point to have access to the patch word. This  
361 can be done by browsing the border of the patch and its spanning tree and  
362 sending the right symbol back to the leading cell. The cell will store only one  
363 symbol at a given time, starting from the first one (always a “ $\rightarrow$ ” symbol) and  
364 sending a signal when it needs to retrieve the next one. We saw earlier that the  
365 time to retrieve a symbol of the patch word is linearly bounded by the size of the  
366 spanning tree, which means it is quadratically bounded in  $n$ . Let us note that  
367 this time does not introduce a delay in the algorithm, since the time between  
368 which two successive symbols are requested is  $O(n^3)$ . In the next sections, we  
369 will denote the patch word as  $a = a_0 a_1 \dots a_l$ , and  $i$  will denote the position of  
370 the symbol currently held by the leading waiting point.

371 Once the patch is healed, the leading waiting point will send a merging  
372 signal along the border automaton. This signal will cycle around the border  
373 automaton at maximum speed, and will sometimes carry a pebble, which we  
374 will call the *waiting pebble* (See Fig 18). At the beginning, the waiting pebble is  
375 positioned on the leading waiting point, which stores the symbol  $a_0$ . The signal  
376 then obeys the following rules, which are explained on Fig 18:

- 377 • Cycle around the border automaton at speed 1 until the waiting pebble is  
378 encountered (see Fig 18a).
- 379 • When the waiting pebble is encountered, move it to the next waiting point  
380 and wait for a certain *waiting time*  $\tau_n$  on that point (see Fig 18b).
- 381 • If the signal has just waited on the leading waiting point, the signal will  
382 perform some *extra cycles* around the border automaton. The exact num-  
383 ber of those cycles depends on  $a_i$ . The automaton will then set  $i$  to  $i + 1$   
384 (or 0 if  $a_i$  was the last symbol of the word) and resume normal behaviour  
385 (see Fig 18c).

386 Fig 20 sums up the behaviour of the signal and the pebble on a sample border  
387 automaton with  $n = 4$ . At any point during the existence of this signal, if it  
388 encounters a merging signal from another patch (see Fig 19a), then both signals  
389 are destroyed and a merging occurs. This merging process will be detailed in  
390 the next section.

391 **Definition 16** (waiting times). We define the waiting time as  $\tau_n = k.n^2$  where:  
 392  $k = \|\Sigma \cup \Delta\| = \|\Sigma\| + 4$  is the number of different symbols in a patch word;  
 393  $n$  is the length of the border, as defined previously.

394 **Definition 17** (extra cycles). The number of times the signal must cycle over  
 395 the border automaton is given by  $val(a_i)$ , where  $val$  is any bijection from  $\Sigma \cup \Delta$   
 396 into  $\llbracket 0; k - 1 \rrbracket$ .

397 Those extra cycles will only happen when the pebble is dropped on the patch  
 398 leading cell, thus inducing a time shift of  $n.val(a_i)$  in the behaviour of the signal.

399 Note that the time  $\tau_n$  is constructible<sup>2</sup> whatever the value of  $n$  is. For more  
 400 general matter on time constructibility, please refer to [10].

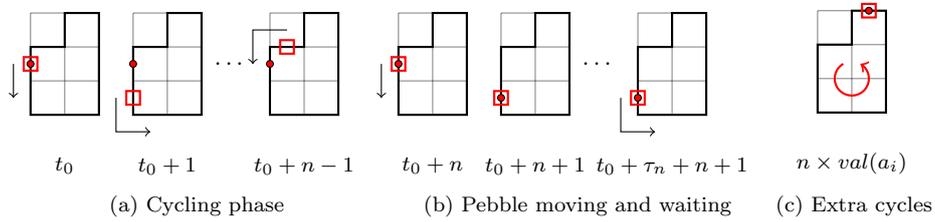


Figure 18: Overview of the behaviour of the signal in an example patch. The circle represents the waiting pebble, while the square represents the signal.

#### 401 5.4.5. Local fusion and synchronisation

402 When two merging signals encounter on the common border of two patches,  
 403 they must merge together, according to the global rule of our meta-algorithm.  
 404 This can be done locally, following the process depicted on Fig 19: It is sufficient  
 405 to delete the border portions on which the signals currently are, and "re-wire"  
 406 the border so that they now form the border of a new, probably non proper  
 407 patch. We can see that the resulting curve still respects the restrictions for  
 408 defining a patch.

409 Once this fusion is done, we need to delete both spanning trees, by sending  
 410 signals that will travel among them and destroy them, and both leading cells of  
 411 the two former patches.

412 We must now synchronize the whole patch border to get back to the situation  
 413 of 5.4.1. This can be done by considering the new border as a one-dimensional  
 414 cyclic cellular automaton of the divided network. We can identify a particular  
 415 cell of this CA, *e.g.* a cell in which the merging process occurred. This cell  
 416 can be used as the general of a firing squad algorithm, whose execution will  
 417 re-synchronize the whole border, in order to perform a new leading cell election  
 418 on the merged patch. For general matter on firing squad algorithms, see [8];  
 419 here we merely note that they perform in linear time.

<sup>2</sup>There exists a computation on a 1-dimensional CA which marks a distinguished cell every  $\tau_i$ , *i.e.* we can "count" up to  $\tau_n$ .

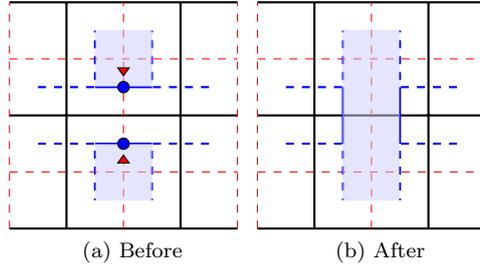


Figure 19: Local fusion when two signals face each other

Notes about Fig 20.

- We have  $n = 4$  for this patch. We suppose that  $C_3$  is the leading waiting point and  $val(a_i) = 3$  for the instant we consider.
- The sum of the unlabelled time spans is  $n$ , which corresponds to the  $n$  moves of the pebble to the next cell, each one of length 1.
- The border automaton is in the same configuration at the two circled times on the left  $C_1$  line, except that the symbol  $a_i$  pointed by the automaton has changed to the next one.

420

#### 421 5.5. “Ending” the computation

422 Now that we have described our ongoing behaviour, we must talk about the  
 423 ending of the computation. When all patches are of maximum size, *i.e.* when  
 424 their size is  $N$ , they cannot grow any larger, because of the very definition  
 425 of equivalence classes. Indeed, if two such maximal matches would merge, it  
 426 would mean that two cells of the same equivalence class would have two different  
 427 “roles” (intuitively, the cell of the patch on the right would be distinguishable  
 428 from the cell of the patch on the left), which is impossible.

429 From a practical point of view, the patches will not merge because their  
 430 patch words will be the same, and their signals will be completely synchronized.

431 Finally, at the end of the computation the configuration will entirely be  
 432 decomposed into translations of the same maximal patch, into which a signal  
 433 will cycle indefinitely. Note that due to the periodical nature of our compu-  
 434 tation model, we cannot have a particular cell to bear the information of the  
 435 termination, like it is the case with CA whose input is bounded by persistent  
 436 symbols.

#### 437 5.6. Justification

438 In this section, we will prove that our construction satisfies the main result  
 439 of 4.2, *i.e.* that if at a certain time there exists two adjacent patches whose  
 440 patch words are different, then at least one of them must merge in polynomial  
 441 time.

442 We will suppose that there exists  $P_1$  and  $P_2$  two patches with different patch  
 443 words, and we will prove that if neither of them have merged with a third patch  
 444 (in which case our main property would have been verified), then they must  
 445 merge together in polynomial time. We will denote  $n_1$  and  $n_2$  as the border

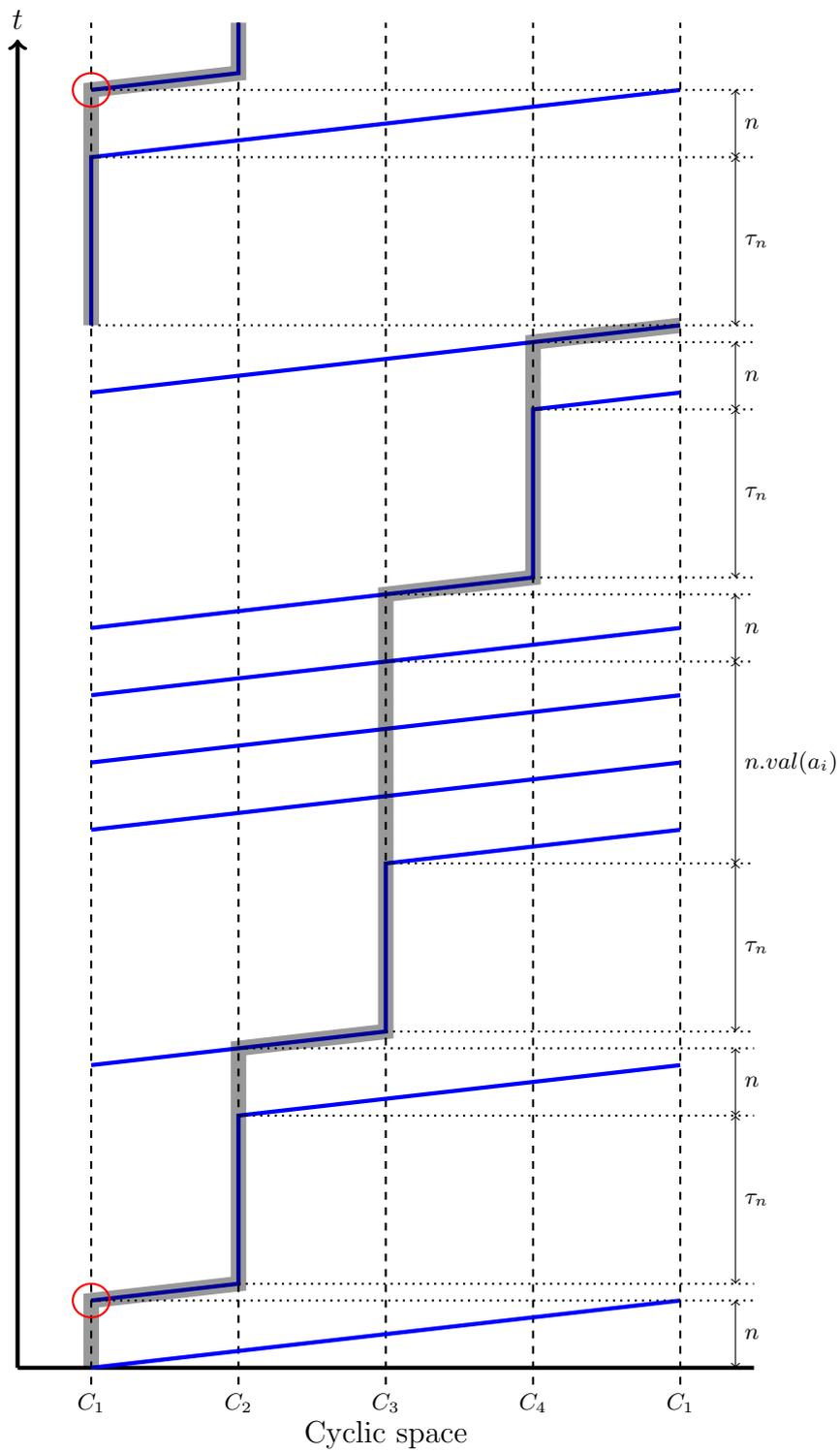


Figure 20: Evolution of the position of the signal (thin) and the pebble (thick) through time.

446 sizes and  $i$  and  $j$  as the symbol position on the patch words of  $P_1$  and  $P_2$   
 447 respectively. We study two disjoint cases: whether  $n_1 \neq n_2$  or  $n_1 = n_2$ .

#### 448 5.6.1. Different border sizes

449 We first suppose  $n_1 \neq n_2$ . We can assume without loss of generality that  
 450  $n_1 < n_2$ , namely  $n_2 \geq n_1 + 1$ . Let us now consider an instant when the waiting  
 451 pebble of  $P_2$  is dropped on a waiting point of the common border with  $P_1$ . The  
 452 merging signal of  $P_2$  will then wait for a certain time  $\tau_{n_2}$  on that waiting point.  
 453 We therefore have:

$$\begin{aligned}
 454 \quad & \tau_{n_2} = k.n_2^2 \\
 455 \quad & \tau_{n_2} \geq k.(n_1 + 1)^2 \\
 456 \quad & \tau_{n_2} > k.n_1^2 + 2k.n_1
 \end{aligned}$$

457 Let us now consider the maximum time during which the merging signal  
 458 from  $P_1$  can be absent from the corresponding waiting point on  $P_1$ . Clearly this  
 459 time  $\tau_{abs}$  is the sum of the waiting time on  $P_1$ ,  $\tau_{n_1}$  and the time for the signal  
 460 to make a complete revolution around  $P_1$ , which is  $n_1 - 1$ . We therefore have:

$$\begin{aligned}
 461 \quad & \tau_{abs} = \tau_{n_1} + n_1 - 1 \\
 462 \quad & \tau_{abs} = k.n_1^2 + n_1 - 1
 \end{aligned}$$

463 We clearly have  $\tau_{n_2} > \tau_{abs}$ , which means that the signal from  $P_1$  will en-  
 464 counter the signal from  $P_2$  before this signal leaves its waiting point. Therefore,  
 465 a merging will occur between  $P_1$  and  $P_2$ , *Q.E.D.*

#### 466 5.6.2. Same border size

467 Now we consider the case when  $n_1 = n_2 = n$ . Let us call  $S_1$ ,  $S_2$ ,  $a$  and  $b$  the  
 468 signals travelling through and the patch words of  $P_1$  and  $P_2$  respectively. Since  
 469  $P_1$  and  $P_2$  are different,  $a$  and  $b$  are also different. We now observe a single  
 470 waiting point on a common border of  $P_1$  and  $P_2$ .

471 Let us consider the behaviour of  $S_1$  on this waiting point : it frequently  
 472 passes through it, and sometimes waits for a time  $\tau_n$ . Let us call  $(t_k)_{k \geq 0}$  the  
 473 sequence of instants when  $S_1$  stops on the waiting point and waits during  $\tau_n$ . By  
 474 construction of the algorithm, there is exactly one instant between every  $t_k$  and  
 475  $t_{k+1}$  when  $S_1$  will wait on the leading waiting point of  $P_1$ , then cycle around  
 476 the automaton  $val(a_i)$  times, for a certain  $a_i$ . The same reasoning holds for  
 477  $S_2$ , which will wait on the leading waiting point of  $S_2$  and cycle an additional  
 478  $val(b_j)$  times at a single point between  $t_k$  and  $t_{k+1}$ . We can therefore associate  
 479 a couple of symbols  $(a_{i_k}, b_{j_k})$  to each  $t_k$ .

480 We know that  $a \neq b$ , and by construction  $a$  cannot be a shift of  $b$ . Therefore  
 481 there exists a  $k_0$  for which we have  $a_{i_{k_0}} \neq b_{j_{k_0}}$ . Let us introduce a few particular  
 482 times, shown on Fig 21:

#### 483 Definition 18.

- 484 • Let  $T_0$  be the last time  $S_2$  was present on the common waiting point before  
 485 the time  $t_{k_0}$  associated to  $k_0$ .
- 486 • Let  $\delta$  be  $t_{k_0} - T_0$

487 We note that there are two types of "holes" during which a signal is absent  
 488 from a particular waiting point: holes of size  $n$  and holes of size  $\tau_n + n$ . We know  
 489 that  $S_1$  is present on the common waiting point for a duration of  $\tau_n$ , starting  
 490 at time  $T_0 + \delta$ . If we suppose that it does not encounter  $S_2$  during that time<sup>3</sup>,  
 491 it means that this presence corresponds to a hole of size  $\tau_n + n$  on  $S_2$ , as we  
 492 clearly have  $\tau_n > n$ . We therefore know that  $S_2$  will be present on the waiting  
 493 point at time  $T_0 + \tau_n + n$ .

494 We note that the pebble performs a complete revolution around the automa-  
 495 ton in time  $n \cdot (\tau_n + n + 1) + n \cdot \text{val}(a_i)$  on  $P_1$ , and  $n \cdot (\tau_n + n + 1) + n \cdot \text{val}(b_j)$  on  
 496  $P_2$ . Now let us introduce a few more times, for the comprehension of which we  
 497 encourage the reader to refer to Fig 21:

498 **Definition 19.**

- 499 •  $T_1 = T_0 + \delta + n \cdot (\tau_n + n) + n \cdot \text{val}(a_i) + n$  is the next time  $S_1$  will wait  
 500 during  $\tau_n$  on the waiting point. Note that by our previous formalism, we  
 501 also have  $T_1 = t_{k_0+1}$ .
- 502 •  $T_2 = T_0 + n \cdot (\tau_n + n) + n \cdot \text{val}(b_j) + n$  is a time at which we are assured that  
 503  $S_2$  will be back on the waiting point, due to the quasi-periodic behaviour  
 504 of the signal (see Fig 20). We also know that  $S_2$  will be there on time  
 505  $T_2 + \tau_n + n$ .
- 506 •  $\delta' = T_1 - T_2$  is the difference between those two times.

507 We will now prove that if  $S_1$  and  $S_2$  have not encountered before, then they  
 508 will do so at time  $T_2$  or  $T_2 + \tau_n + n$ .

509 We clearly have  $0 < \delta < \tau_n + n$  by definition. Moreover, we must have  
 510  $\delta < n$ ; otherwise it means that  $S_1$  would come back while  $S_2$  is still here. We  
 511 finally have  $0 < \delta < n$ . It is also clear that  $\delta' = \delta + n \cdot (\text{val}(a_i) - \text{val}(b_j))$ . Let  
 512  $\Delta = \text{val}(a_i) - \text{val}(b_j)$ , by construction we have  $\Delta \in \llbracket -k + 1, -1 \rrbracket \cup \llbracket 1, k - 1 \rrbracket$ ,  
 513 because  $a_i \neq b_j$ . We will now discuss two sub-cases: whether  $\Delta < 0$  or  $\Delta > 0$ .

515 **Case 1:**  $\Delta < 0$ .

516 Now we have  $\Delta \in \llbracket -k + 1, -1 \rrbracket$  and  $0 < \delta < n$ . Therefore:

$$\begin{aligned} 517 \quad \delta + n \cdot (1 - k) &\leq \delta' \leq \delta - n \\ 518 \quad n \cdot (1 - k) &< \delta' < 0 \end{aligned}$$

519 These values for  $\delta'$  ensure that both signals encounter at time  $T_2$ .

520 **Case 2:**  $\Delta > 0$ .

521 Now he have  $\Delta \in \llbracket 1, k - 1 \rrbracket$  and  $0 < \delta < n$ . Therefore:

$$\begin{aligned} 522 \quad \delta + n &\leq \delta' \leq \delta + n \cdot (k - 1) \\ 523 \quad n &< \delta' < k \cdot n \end{aligned}$$

524 These values for  $\delta'$  ensure that both signals encounter at time  $T_2 + \tau_n + n$   
 525 (which happens on Fig 21).

---

<sup>3</sup>If it does encounter  $S_2$ , then  $P_1$  and  $P_2$  will merge and the proof is over.

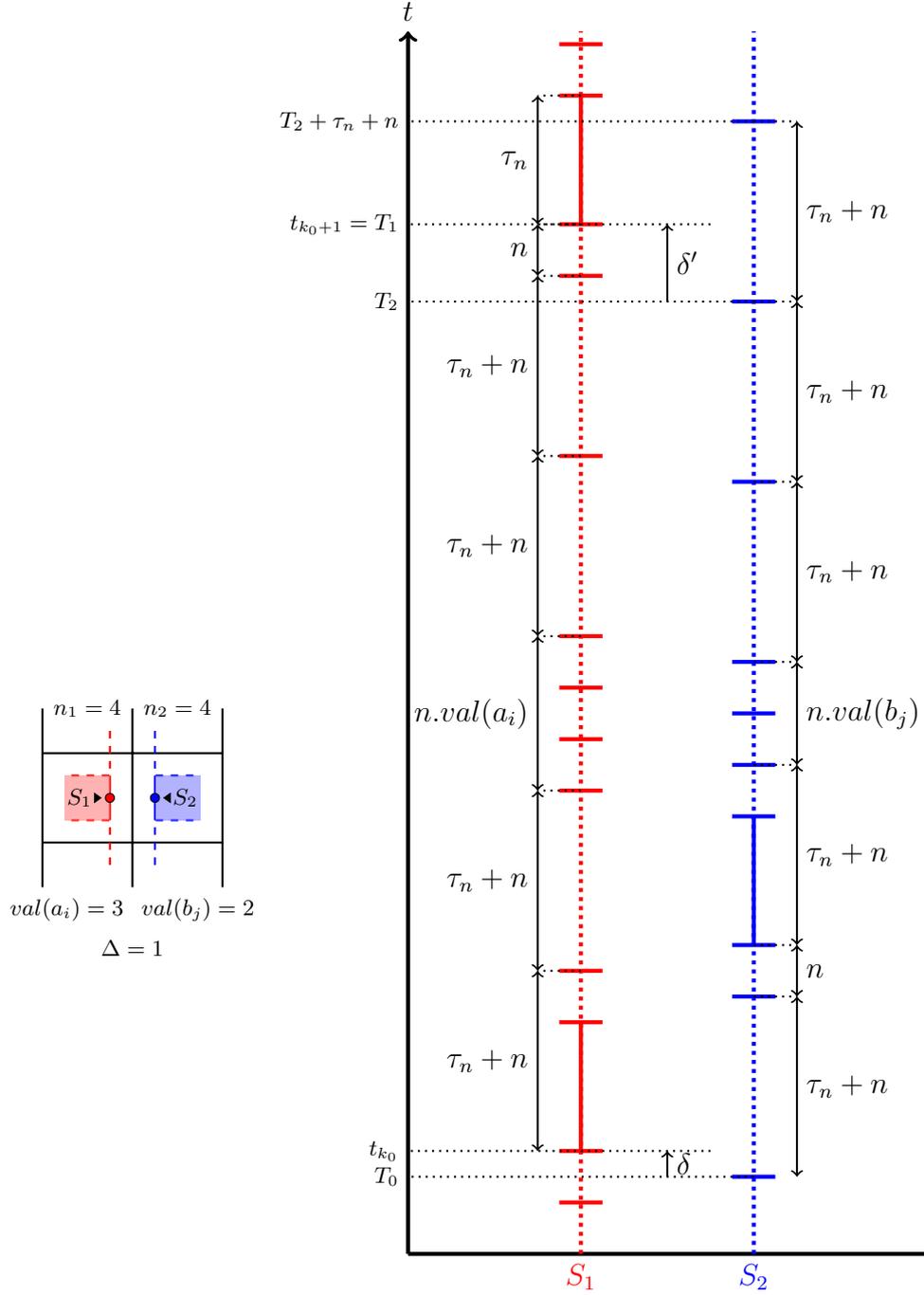


Figure 21: The signal presence diagram on a common waiting point of  $P_1$  and  $P_2$ . The full line denotes the presence of the signal, while the dotted line denotes its absence. We note that the presence diagram of  $S_1$  represents exactly the presence of the signal on the cell  $C_2$  of Fig 20. Similarly, the diagram of  $S_2$  could be the one of a neighbouring cell, for which the value of the symbol currently pointed would be  $val(b_j) = 2$ .

526 *5.7. Temporal analysis*

527 Now let us do a rough time analysis of the algorithm. We remember that  
528  $N$  is the number of equivalence classes of the initial configuration, which is an  
529 upper bound to the size of any patch during the computation. As the length of  
530 the border of a patch is at worst linear in its size, we therefore have  $n \in O(N)$   
531 for any patch.

532 The key point of the previous section was to prove that if two different  
533 patches are adjacent, then at least one of them must merge before a certain  
534 time. We know that this merging must occur before the patches have cycled  
535 over their respective border words. We remember that the border automaton  
536 changes the symbol pointed on its patch word every time the pebble does a  
537 complete rotation on the border, which is every  $O(N^3)$ . As the patch word is of  
538 size  $O(N)$ , we are assured that two adjacent and different patches must merge  
539 before time  $O(N^4)$ . We note that the cost of the operations needed to merge  
540 patches properly is way less than  $O(N^4)$ .

541 Finally, as there exist  $N$  distinguishable patches at the beginning of the  
542 computation, and at least two of them must merge every  $O(N^4)$ , we are assured  
543 that the leading equivalence class is elected in time  $O(N^5)$ , which proves that  
544 our algorithm is polynomial in  $N$ .

545 Note that this analysis is extremely rough, as it does not take into account  
546 the parallel nature of the algorithm, and only consider two patches at a given  
547 time. We are sure that a more refined analysis would at least allow us to gain  
548 a  $N$  or  $N^2$  factor, but it seems unnecessary regarding the primary goals of this  
549 paper.

550 *5.8. Final remarks about the algorithm*

551 An attentive reader would have noticed that we do not, in fact, *end* our  
552 computation, just have it cycle over a finite set of configurations. Informally  
553 speaking, it is a weak way to end a computation. We would rather want it to  
554 reach a fixed point, instead of a fixed cycle. We are convinced that a few tweaks  
555 to the algorithm can make it reach such a fixed point. The intuition is that if  
556 a patch has waited for a sufficient time without merging with another, it can  
557 determine that all of its neighbours are the same as itself, and can freeze its  
558 computations. It may start again later if one of its neighbours merges. If all the  
559 patches have determined that their neighbours are the same as themselves and  
560 have frozen their computations, then the fixed point is reached. At the opposite,  
561 if it were applied to a non-periodical configuration, our algorithm would never  
562 reach such a fixed point.

563 **6. Conclusion and open problems**

564 *6.1. About tiling*

565 It is important to note that our algorithm does not only compute a leading  
566 equivalence class, but also a polyomino that tiles the entire configuration by  
567 translation (this polyomino is the final patch). We know, thanks to [3], that the  
568 shape of this polyomino should be a pseudo-hexagon. In fact we can tweak our  
569 tiling patches to be rectangles (See Fig 22). This is done by starting with only  
570 the patch leading cells (Fig 22b), extending each rectangle to the right until  
571 another leading cell is encountered (Fig 22c), then extending it down until its

572 bottom line reaches another leading cell (Fig 22d). It is easy to verify that each  
 573 rectangle exactly contains one representative of each equivalence class. This is  
 574 a first step to a definition of a minimal pattern of periodical configurations of  
 575 dimension 2.

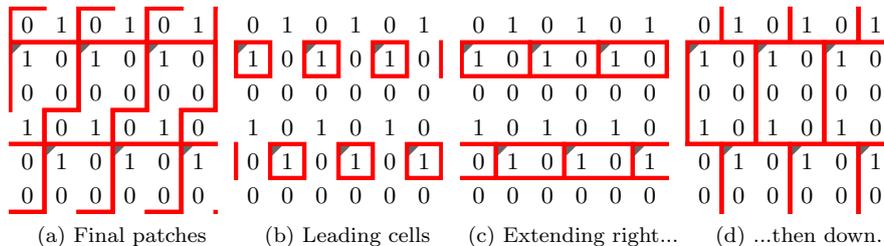


Figure 22: From the final patches to a rectangular tiling of the configuration.

### 576 6.2. Toward picture language recognition

577 We have established that our algorithm, completed with the modifications of  
 578 6.1, can compute rectangular patches, whose size is the number of equivalence  
 579 classes of the configuration. We can wonder if an extension of it could actually  
 580 solve decision problems over these rectangular pictures, *i.e.* perform recognition  
 581 of bi-dimensional languages (see [4]). We have studied the problem, and have  
 582 concluded that it would indeed be possible, as it was the case in one-dimensional  
 583 periodic configurations (see [1]). The results are not presented in this paper  
 584 because the mere definition of what kind of languages are actually recognizable  
 585 on a toric-CA should be discussed on its own, due to its intrinsic technicality.

### 586 6.3. Other uses of the algorithm

587 Our algorithm can serve other purposes than computing minimal patterns.  
 588 Indeed, the patches can simulate cellular automata with bounded input, with  
 589 the border of patches acting as persistent symbols. Keeping this in mind, we  
 590 can for instance solve a 2D version of the density classification problem [7],  
 591 *i.e.* determine if an infinite periodical configuration over the alphabet  $\{0, 1\}$   
 592 contains more 0's than 1's, with more than two states: we simply have to count  
 593 the number of 0's and 1's on a separate information layer inside the patches, then  
 594 display the result, *e.g.* on the patch leading cells. A similar modification could  
 595 also lead the algorithm to count the number of equivalence classes, instead of just  
 596 electing one (as the number of equivalence classes of the original configuration  
 597 is exactly the number of cells in the final patch).

### 598 6.4. Leader election in higher dimensions

599 We are convinced that the techniques presented in this paper could adapt  
 600 to higher dimensions, and compute a leading equivalence class on periodical  
 601 configurations of dimension 3, but such an extension is not trivial. For instance,  
 602 the problem of a signal running through the border of a "3-dimensional patch"  
 603 adds a new difficulty, such as the definition of a proper patch in dimension  
 604 3. However, even if some geometrical issues arise, the mechanism we used to  
 605 encode patch differences into delay differences is robust. As it weakly depends

<sup>606</sup> on the dimension of the object it considers, it will perfectly adapt to periodical  
<sup>607</sup> configurations of arbitrary dimensions.

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