

Global agronomy, a new field of research. A review

David Makowski, Thomas Nesme, François Papy, Thierry Doré

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Agronomy for Sustainable Development Global agronomy, a new field of research. A review. --Manuscript Draft--

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Abstract:	The global impact of agriculture has recently become a major research topic, stressed by the rapid growth of the world population. Agriculture management is indeed influencing the quality of water, air, soil and biodiversity at the global scale. The main agricultural challenges have already been reviewed, but these reviews did not discuss in detail the adaptations of agricultural techniques to global issues and the research challenges for agronomy. Here we propose a research planning for global agronomy including the following advices. Agronomists should update their research objects, methods and tools to address global issues. Yield trends and variations among various regions should be analyzed to understand the sources of these variations. Crop model simulations should be upscaled to estimate potential yields and to assess the effect of climate change and resource scarcity at the global scale. Advanced methods should analyze output uncertainty of complex models used at a global scale. Indeed various global models are actually used, but these models are too complex and the output uncertainty is difficult to analyze. The meta-analysis of published data is a promising approach for addressing global issues, though meta-analysis must be applied carefully with appropriate techniques. Finally, global datasets on the performance and environmental impact of cropping systems should be developed to allow agronomists to identify promising cropping systems.		
Author Comments:	Dear Editor,		
	We thank you again for taking time to consider this revision and giving us the opportunity to revise the paper. All the suggestions made by the Editor-in-chief have been taken into account.		
	We hope you will consider that your comments were appropriately addressed.		
	Sincerely yours,		
	David Makowski (on behalf of the co-authors)		

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20 Global agronomy, a new field of research. A review.

21

22 Abstract

23 The global impact of agriculture has recently become a major research topic, stressed by the rapid 24 growth of the world population. Agriculture management is indeed influencing the quality of water, 25 air, soil and biodiversity at the global scale. The main agricultural challenges have already been 26 reviewed, but these reviews did not discuss in detail the adaptations of agricultural techniques to 27 global issues and the research challenges for agronomy. Here we propose a research planning for 28 global agronomy including the following advices. Agronomists should update their research objects, 29 methods and tools to address global issues. Yield trends and variations among various regions should 30 be analyzed to understand the sources of these variations. Crop model simulations should be 31 upscaled to estimate potential yields and to assess the effect of climate change and resource scarcity 32 at the global scale. Advanced methods should analyze output uncertainty of complex models used at 33 a global scale. Indeed various global models are actually used, but these models are too complex and 34 the output uncertainty is difficult to analyze. The meta-analysis of published data is a promising 35 approach for addressing global issues, though meta-analysis must be applied carefully with appropriate techniques. Finally, global datasets on the performance and environmental impact of 36 37 cropping systems should be developed to allow agronomists to identify promising cropping systems.

38 Key-words: agronomy, food security, global changes, modeling

40	Contents
41	
42	Abstract 2
43	1. Introduction
44	2. Addressing new questions
45	2.1. Global nutrient management
46	2.2. Global food security7
47 48	3. Current knowledge and methods in agronomy: their utility and limitations for addressing global issues
49	3.1. Knowledge on how agroecosystems work9
50	3.2. Knowledge about farmers' practices and the factors driving them
51	4. Methods for addressing global issues in agronomy13
52	4.1. Experiments
53	4.2. Crop, global vegetation and land-use models15
54	4.3. Yield gap analysis17
55	4.4. Meta-analysis 20
56	5. Conclusion 21
57	References
58	

61 **1. Introduction**

The impact of agriculture has long been studied at the local scale by agronomists. Many experiments have been carried out to assess the effect of one or a small number of aspects of crop management (e.g. soil tillage, fertilizer rates, etc.) on one or a small number of variables of interest (e.g. yield, soil characteristics). Experiments have also been carried out to compare and assess cropping systems at the field and, in a few cases, farm scales (Vereijken 1997). Since the late 1980s, modeling tools have been used to optimize agricultural practices at the field and farm scales and, in few cases, at the regional or continental scales (van Ittersum et al. 1998; de Wit et al. 1988).

69 However, the effect of agricultural activities at the global scale has recently become an important 70 research topic. This shift is due to the large growth of the world population (Spiertz 2012) (Figure 1) 71 and increasing concerns about air, soil and water quality, the fate of biodiversity and resource 72 management (Mueller et al. 2012; Tscharntke et al. 2012). Agriculture has to deal with greater and 73 emerging challenges relating to food security and its impact on the global environment. The effect of 74 nitrogen fertilization on greenhouse gas emissions (Philibert et al. 2013), the global phosphorus 75 resource depletion, the estimation of future crop yield trends (Lobell and Burke 2010), yield gap 76 analysis at the global scale (Mueller et al. 2012), and the impact of invasive pests (Dupin et al. 2011) 77 are examples of research topics that have recently emerged and are now studied by major 78 agricultural research institutes. The results of these new investigations are frequently used in 79 prospective studies on food security (Paillard et al. 2010) and global environmental issues, such as 80 global nutrient flows (Gruber and Galloway 2008), global warming (Parry et al. 2007), and biodiversity loss (Tscharntke et al. 2012). Prospective studies, such as Agrimonde (Paillard et al. 2010) 81 are based on diverse scenarios based on different hypotheses concerning future food demand, food 82 83 production levels and impacts of agriculture on the environment. Scenarios about future cropping

systems are therefore required in prospective studies, and agronomists are now frequently asked to
provide data on future agricultural practices, and on future levels of crop productions (Figure 2).

86 These recent changes present agronomy with both opportunities and challenges. Agronomists have 87 the opportunity to deal with important global issues and to become important players in groups of 88 scientists working on food security and environment and resource protection. However, they will also face major challenges if they are to provide a useful contribution to the current research on 89 90 global issues. Agronomists need to jump from references established for crop production and the 91 environmental impact of agriculture at local scales to new references for use at larger scales. They 92 also need to find effective ways to communicate their results to other scientists (particularly 93 economists and climatologists), developing models simulating the impact of agricultural activities at 94 the regional, continental and global scales.

95 Several reviews on global food security have recently been published (Spiertz 2012; Tscharntke et al. 96 2012). They present the principal challenges to be faced by agriculture in the next few decades. 97 However, they do not discuss the ways in which current agricultural research methods would need to 98 be adapted to deal with global issues. We present here a research agenda for global agronomy. We 99 show that agronomists need to reconsider their research objectives and to update their research 100 tools before addressing global issues. Below, we present examples of topics that should be 101 investigated at the global scale. We then review the types of data already produced by agronomists 102 and assess the value of these data for studying the effect of agricultural activities at the global scale. 103 Finally, we present various methods for addressing global issues in agronomy, and analyze their 104 advantages and disadvantages.

105

106 **2. Addressing new questions**

107 Two examples of global issues in agriculture are presented below. We show that these issues create 108 new research objectives and pose new research questions that need to be addressed by agricultural 109 research institutes.

110 **2.1. Global nutrient management**

111 In the last decades, fertilizer applications to enhance crop production have been seen as agents of 112 environmental damage, causing nitrate leaching, eutrophication and greenhouse gas emission. Their 113 use was supported by the design of field-scale decision rules and crop models (van Ittersum and 114 Donatelli 2003), and by the assessment of nutrient flows at catchment scale. However, recent 115 concerns have emerged about the finite nature of global phosphorus (P) resources (Cordell et al. 116 2009; Van Vuuren et al. 2010) and the huge amount of reactive nitrogen (N) accumulating in the 117 biosphere at global scale (Galloway et al. 2008). Both these phenomena are due to the massive use 118 of mineral N and P fertilizers in agriculture (Bennett et al. 2001; Sutton et al. 2011; Tilman et al. 119 2002). Such issues raise new questions, concerning identification of the different drivers of global 120 fertilizer use, for example (Sattari et al. 2012). Reports have indicated that nutrient cycle closure is 121 relatively weak at the country scale, in many different contexts (Liu et al. 2008; Mishima et al. 2010; 122 Senthilkumar et al. 2012a) due to both a large proportion of organic waste being not recycled to agricultural soils (Elser and Bennett 2011) and to a high degree of specialization and of spatial 123 124 segregation of animal and feed production systems affecting nutrient flows and budgets (Grote et al. 125 2005; Liu et al. 2010; MacDonald et al. 2011; Naylor et al. 2005) and making it impossible to replace 126 mineral fertilizer with animal manure (Senthilkumar et al. 2012b).

127 New research objectives are required to deal with this issue. Studies assessing the consequences of 128 food/feed demand (e.g. the proportion of animal products in human diets, food losses, food chain 129 design) on global nutrient flows are required. This would involve dynamic models simulating the 130 effects of food diets on crop production requirements and ultimately on fertilizer use. It would also be necessary to assess the consequences of the spatial organization of global feed and animal 131 production basins and to study the effects of livestock feeding regimes on changes in land use in 132 133 regions of feed production (e.g. soy production in South America) and their environmental 134 consequences. Finally, research needs to pay more attention to the possibilities for waste recycling 135 (e.g., from the food industry or wastewater management) in agriculture, focusing, in particular, on 136 the conditions required for the effective replacement of mineral fertilizers with organic materials 137 derived from waste products.

138 **2.2. Global food security**

139 The food production dimension of food security is another important issue for agronomists. Crop 140 yield increase rates are key parameters for foresight studies on food security (Paillard et al., 2010), 141 and their values are very variable both spatially (Figure 2) and temporarily (Figure 3). In the past, 142 crop production and its variability were studied at field scale by means of experiments and of crop 143 models simulating the effect of cropping techniques on crop yield. However, tackling food production 144 at a global scale requires significant changes in research objectives, particularly as concerns climate 145 change. The effect of climate change on global food production has been investigated in many 146 studies (Lobell and Burke 2009). Such studies require three types of data: (i) data on future climatic 147 conditions, (ii) data on the effect of climatic variables on crop production, and (iii) data on the effect 148 of climatic variable on land use and cropping practices. For illustration, data quantifying the effect of 149 climate change on wheat yields were extracted from 90 published papers retrieved from the Web of 150 Knowledge between 1991 and 2012, and were displayed in Figure 4. These data represent relative 151 yield changes defined by RCY = 100 * (future average yield – baseline average yield) / baseline 152 average yield, where "baseline average yield" and "future average yield" correspond to simulated yield values averaged over years for both baseline and future climatic scenarios. Simulated yields 153 were generated using different types of crop models for different climate change scenarios in several 154

countries. The median RCY reported in the 90 published papers ranged from -4.5% (Spain) to +15%
(India) (Figure 4). The variability of RCY was very strong within a given country, especially in countries
where the number of reported data was high. For example, RCY ranged from -100% to +90.8% in
Australia and from -97.6% to + 155.8% in USA. This result shows that simulated climate change
impact on yield can be very different depending on the location, the considered crop models, and the
climatic scenarios.

161 Contrary to data of types (i) and (ii), data on agricultural land use and cropping practices are scarce, 162 particularly for larger scales. For this reason, the effects of climate change on crop production are 163 usually estimated for potential yields only, and the effects of other limiting factors are rarely taken 164 into account.

165 More generally, the global food security issue raises questions about the production capacities of 166 various types of farming systems (organic, intensive, integrated etc.) and their ability to satisfy the 167 demand for food. For instance, the ability of organic farming to feed the world has been much 168 debated in recent years (de Ponti et al. 2012; Seufert et al. 2012; Badgley et al. 2007). Organic 169 farming scenarios have been compared with conventional systems on the basis of crop yield ratios 170 (organic vs. conventional) determined at the field scale for various sites. However, the ratio-based 171 approach has several limitations. For example, it does not take into account the transition between 172 current levels of organic farming (approximately 1%) to a future 100% organic global farming system or the spatial interactions between organic and conventional cropping systems (e.g. the effects of 173 174 conventional spraying on pest dynamics might indirectly provide pest control for organic cropping 175 systems; (Norton et al. 2009; Ricci et al. 2009; Roschewitz et al. 2005; Thies and Tscharntke 1999). 176 Organic farming extension raises questions about the effectiveness of legume N fixation, use of 177 organic materials, and soil nutrient depletion to replace mineral fertilizer. The capacity of the current 178 agricultural area to fix enough N to sustain crop production and the ability of organic farming to 179 make use exclusively of soil P mining and P recycling without external P input from chemical

fertilisers have not been precisely quantified (de Ponti et al. 2012; Doberman 2012). Therefore, the transition from conventional to organic land use might increase competition for nutrients derived from organic fertilizers at the regional scale (Nesme et al. 2012).

Besides the global food security issue raises questions about spatial distribution of crops and cropping systems at the global scale under scenarios of climate or farming system change. It also highlights the need to deal with regional questions, such as spatial interactions between farming systems in terms of nutrient availability or pest/enemy relationships and the scaling-up of such interactions.

Table 1 lists a series of new scientific questions for global agronomy, using the two examplespresented above.

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- 191

192 3. Current knowledge and methods in agronomy: their utility and

193 limitations for addressing global issues

Many agronomic studies worldwide still make use of surveys, experiments and modeling. An exhaustive analysis of the literature is impossible, as this would require the examination of tens of thousands of articles. However, a qualitative approach to the topics covered by agronomic research can nevertheless be attempted.

198 **3.1.** Knowledge on how agroecosystems work

Most agronomic studies are carried out at the field scale. It is easy to find examples of such studies in any issue of the major agronomy journals (Harunur Rashid et al. 2012; Krueger et al. 2012; Nakano et al. 2012). Many studies in the second half of the 20th century focused on the effects of soil tillage, crop rotation, irrigation, fertilizer application, crop protection strategies, crop density, date of sowing
and, of course, genotype. Over the last two decades, new variables have emerged, such as the effect
of mixing species (Malezieux et al. 2008) or the use of new types of fertilizers (Cavanagh et al. 2011).
Attention has also shifted onto new topics, such as nonfood uses of crop products and the impact of
agriculture on environmental resources or ecosystem services (Otieno et al. 2011). These trends are
a consequence of the diverse major challenges currently facing agriculture and the need for changes
in agricultural systems, which may not in themselves be sufficient (Foley et al. 2011).

209 An increasing number of studies are comparing entire cropping systems rather than just a few sets of 210 techniques (e.g., a few fertilizer doses and a few cultivars), through experiments, model simulations, 211 or both (Rossing et al. 1997). For example (Farooq et al. 2011) considered the effects of conservation 212 and conventional agriculture, whereas (Michos et al. 2012) compared organic, integrated and 213 conventional orchards, in a similar way to (Reganold et al. 2001). Unlike experiments considering 214 only a limited number of technical elements, cropping system studies acknowledge that the effect of 215 a single technique cannot be reliably predicted if the other techniques of the cropping system are not 216 taken into account (Doré et al. 1997). These studies aim to bridge the gap between simplified 217 experiments and the real farming. However, the generic value of cropping system studies is 218 decreased by the lack of specificity of cropping system names, such as "conventional systems", 219 "organic systems" and "integrated systems" since many different practices are covered by such 220 names.

221 More recently, agronomists have enlarged both their spatial and temporal scales of investigation. 222 Some experiments are now also carried out at larger scales, particularly at the scale of the landscape. 223 A few decades ago, agronomists began to address environmental issues, such as soil erosion and 224 water pollution (Jones et al. 1990; Knickel 1990). They recently began studying the effects of land use 225 or cropping patterns on ecological processes (Ricci et al. 2009; Thies et al. 2011). Over the same 226 period, interest has increased in medium-term (e.g. several years; (Enfors et al. 2011) and long-term

(e.g. several decades; (Yang et al. 2011) assessments of cropping systems, and this has led to some methodological progress (Brandt et al. 2010). Such changes in time scale are driven by the fact that many ecosystem services (e.g. carbon sequestration) must be considered over the long term, together with the anticipation that some effects of cropping systems are unlikely to be evident immediately, instead being expressed only after stabilization of the agroecosystem.

Models simulating the effects of cropping systems on agroecosystems from field to regional scale and from year to decades are of key importance for global agronomy. Such models would facilitate the assessment of effects of changes in agricultural systems, or the design of new agricultural systems. For instance, estimates of N₂O emissions by the Tier 1 to Tier 3 methods (Eggleston et al. 2006) were used by the International Panel on Climate Change in their prospective studies dealing with greenhouse gas emission and climate change.

238 Another example is provided by the issue of fossil P reserve depletion, which may lead to a shortage 239 of P fertilizer and a potential decrease in soil P availability at global scale. This raises questions about 240 the effects of such decreases on long-term global food production. Recent studies have reported 241 current or future soil P budgets (soil P input minus soil P output) on a 50 km x 50 km grid, based on 242 fertilizer use and livestock density statistics (MacDonald et al. 2011; Van Vuuren et al. 2010). 243 However, there is a gap in our knowledge between these budgets on the one hand and the 244 consequences in terms of global crop production on the other (Sattari et al. 2012). In the future, 245 existing field-scale soil and crop models could be used to relate soil P budget to soil P availability (e.g. 246 soil P concentration) in a large range of soil conditions and cropping systems (Messiga et al. 2012), 247 and then to predict crop yields for some crop species as a function of soil P availability (Mollier et al. 248 2008) (Figure 5). Linking global scale P budgets and existing field-scale models would, therefore, be 249 very useful for assessing the consequences of global current or future P fertilization practices in 250 terms of global crop production.

251 However, we have to push the limits of our current knowledge for addressing global issues. The 252 various possible combinations of climate, soil and technical conditions do not receive equal amounts 253 of attention in agronomic studies (for example, studies of "minor" crops, such as tuber or some 254 cereal crops, are scarce, despite the possible regional importance of these crops in the diet of the 255 population). This inequality partly reflects the differences in investment in agronomic research across 256 the world. In addition, some of the crucial topics for addressing global issues have been largely 257 neglected. For instance, studies on the effects of farming systems on pest dynamics across countries 258 and continents are much rare than studies considering pest control at the field scale.

259

3.2. Knowledge about farmers' practices and the factors driving them

261 Agronomists have long studied farmers' practices. Research studies have investigated the 262 interactions between the various practices and the factors driving farming practices (Fresco 1984; 263 Collinson 2000). In these studies, a farm is seen as a place where a farmer coordinates different 264 practices in a comprehensive and coherent way, to satisfy a set of goals. Studies on cropping system 265 management and landscape management (e.g. slashing, field and hedgerow patterns, irrigation and 266 drainage devices) have shown that complex processes underlie the decisions taken by farmers (Papy 267 2001) and that farmers' decisions regarding crop rotations and cropping plans, as well as crop 268 management, can be formalized through decision rules and models (Cros et al. 2004; Aubry et al. 269 1998).

These studies have also highlighted the considerable diversity in farmers' goals and management practices. Agronomists have developed farm clustering methods to describe farm diversity at the regional scale. They have also developed and used user-friendly models to manage the rural landscape in a collective manner, to reduce run-off and erosion, for example (Joannon et al. 2006), or to introduce innovations in supply chains (Le Bail and Makowski 2004; Le Gal et al. 2008). Some of these models have been adopted as tools for collective training and scenario design (Souchère et al.

276 2010). Such models may be useful for discussing global scenarios of agricultural innovation.

277 Most of this research has been carried out at the farm and regional scales. However, information 278 about farmers' practices is required at a larger scale to address global agricultural issues. For 279 instance, although knowledge of land-use categories (forests, grasslands, crops) may be sufficient for 280 the assessment of carbon sequestration, an in-depth knowledge of farmers' practices (fertilization 281 rates and dates, grazing practices or soil tillage) may be required for the accurate estimation of 282 greenhouse gas emissions at large scale (Stehfest and Bouwman 2006). Remote-sensing and large-283 scale surveys are useful for describing current land use and farmers' practices (Mueller et al. 2012; 284 Ramankutty et al. 2008). (Mignolet et al. 2004) used such surveys to assess changes in cropping 285 patterns in the Seine basin in France (95 000 km²) over a 30-year period, to assess the link between 286 cropping systems and the nitrate content of the river water. They showed a gradual crop 287 specialization in this area (Le Ber et al. 2006). However, it would be difficult to apply their protocol at 288 a large scale. Expert knowledge may help to characterize cropping practices (Leenhardt et al. 2010; 289 Sacks et al. 2010). The gathering of data on farmers' practices over large scales remains, however, a 290 major challenge.

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292

293 **4. Methods for addressing global issues in agronomy**

In this section, we present and discuss various methods for addressing global issues in agronomy.
Their objectives, advantages and disadvantages are summarized in Table 2.

296 **4.1. Experiments**

297 Experimentation is probably still the most popular method used by agronomists. Experiments form 298 the foundations of most of the knowledge accumulated to date in agronomy (see Section 2.1). 299 Experimental results may help to formulate general laws concerning the function of agroecosystems 300 at global scale, but the definition of general laws from local experiments is not straightforward. In 301 the past, too many agronomic experiments were conducted considering agroecosystems as a black 302 box, without measuring internal variables. As a result, it was not possible to "understand the reasons 303 behind" the observed responses (Garside and Bell 2011), and to discuss the validity of conclusions 304 derived from observations.

305 Fortunately, agronomic experiments are increasingly making use of instrumentation, which is an 306 advantage for studies of global issues as it allows agronomists to explore a wide range of conditions. 307 Most of the experiments are carried out at field stations, but a growing number are carried out on 308 real farms (Tueche and Hauser 2011; Kiba et al. 2012; Bertomeu 2012; Piepho et al. 2011). Some 309 experimental studies are also based on farmers' practices, and make use of the diversity of these 310 practices to develop a heuristic design, without experimental treatment (Delmotte et al. 2011). This 311 approach takes into account the diversity of the farming conditions, which is absolutely essential for 312 addressing global issues as the results obtained at field stations may not be consistent with those 313 obtained on real farms. This may facilitate the prediction of agronomic results in areas in which few 314 factorial experiments have been carried out.

Experimental results are of critical importance for global agronomy. In particular, cropping system databases including experimental results may lead to general conclusions based on the findings of large numbers of experiments, e.g. the Chinese database of (Hou et al. 2012). They may also help researchers to define theoretical principles concerning the functioning of agroecosystems on the basis of large numbers of scattered references, through comparative agronomy (Doré et al. 2011). However, agronomic experiments currently suffer from the limited development of integrated databases for addressing global issues. There are some databases in existence, e.g., based on public statistics (e.g., FAOSTAT), but they include few data for cropping systems or experimental results.

323

4.2. *Crop, global vegetation and land-use models*

Crop models can be used to explore the response of key agronomic and environmental variables, (e.g. crop yield or N losses) to climate, cropping system variables or societal changes (see Section 3.1). An interesting feature of these models is that they account for the effect of a wide range of agricultural practices (Brisson et al. 2003; Stockle et al. 2003). They can thus be used to represent and optimize management decisions, and to assess the impact of these decisions on crop production and environmental variables (Bergez et al. 2002). Some of these models can also be used to generate and assess crop management options (Dogliotti et al. 2005).

Dynamic crop models are frequently used to study the effect of climate change on crop yields
(Brisson and Levrault 2010). For instance, 90 papers presenting model-based simulations of climate
change effects on wheat yield were retrieved from the Web of Knowledge from 1991 to 2012 (Figure
3).

However, crop models are usually implemented at the field level, and their implementation at larger scales is problematic. A major problem is obtaining the input data necessary to run the crop model: physical input data (climate, soil characteristics and initial conditions) and data concerning crop management. Several methods have been proposed for estimating input values at large scales, including zoning, interpolation and remote sensing (Leenhardt et al. 2006), but the application of these techniques is not always possible and may lead to uncertain input values.

342 On the contrary, Global dynamic vegetation models (GDVM) are now frequently used to assess the

343 regional or global impacts of climate changes on ecosystems. Unlike dynamic crop models, these 344 models generate an output that is regionally distributed over a regular grid and can thus be used to 345 draw maps at regional, national or continental scales. The ORCHIDEE model (Krinner et al. 2005) is an 346 example of a GDVM. This model calculates the energetic and hydrological budget of the soil and 347 vegetation continuum, together with the carbon and N cycles. Photosynthesis, phenology, the 348 allocation of carbon and nitrogen to the different organs, plant growth and mortality and the 349 decomposition of litter and soil organic matter are assessed with simple equations dependent on 350 various plant functional types. ORCHIDEE has been coupled to specific agricultural modules for 351 croplands (de Noblet-Ducoudré et al. 2004), to account for the characteristic phenology of such 352 anthropogenic ecosystems.

GDVM can be applied at local, regional or global scales over time scales extending from hours to decades. The versatility of these models makes them very useful for regional assessments of the impacts of climate change. However, GDVM have several limitations. Unlike dynamic crop models, they generally simulate crop types (e.g., C3 and C4 crops), rather than crop species. These models do not take into account agricultural practices and cannot be used to compare several cropping systems. Moreover their high computation times make the implementation of classical uncertainty and sensitivity techniques very difficult.

Species distribution models are frequently used to estimate the potential geographic distributions of crop pests (Dupin et al. 2011). These estimations are based on local climatic conditions and pest species requirements (e.g., optimal temperature for crop infection). Such models are frequently used to draw maps of biological invasion risk at regional and global scales. These maps can be used to assess future risks of yield and quality losses. However, the parameters of species distribution models are difficult to estimate and the predictions of these models can be inaccurate (Dupin et al. 2011).

367 Finally, land-use optimization models based on linear programming (LP) can also be used to address 368 global issues. Linear programming has been recognized as an important tool for agricultural land-use 369 exploration since the 1980s (de Wit et al. 1988). LP models can be used to explore land-use 370 allocations optimizing agricultural, economic or environmental objectives at the farm regional and 371 continental levels (van Ittersum et al. 1998). A LP model includes an objective function (to be 372 minimized or maximized) and one or several constraints. In LP models developed for land-use 373 exploration, the objective function may represent an economic, agricultural or environmental 374 objective. LP models can be used to find an optimal solution (e.g. an optimal set of areas allocated to 375 the production activities maximizing an objective function and satisfying the constraints included in 376 the models). LP models are useful for exploring the effect of a change of objective and/or constraints 377 (e.g. a stronger constraint on the total amount of pesticides applied) on agricultural land use in a 378 region, country or continent. However, LP models have important limitations: they are static and 379 cannot easily be used to study land-use change over time. Moreover, LP models are also known to 380 generate nearly optimal solutions that can be very different from the optimal solution in terms of 381 land-use allocation but very similar in terms of objective function values (Makowski et al. 2000, 382 2001).

383

384 **4.3. Yield gap analysis**

Yield gap analysis is a key method for addressing future food security issues at the global scale. A yield gap is defined as the difference between the potential yield value and the yield actually obtained by the farmer (Lobell et al. 2009). Yield gap values are useful for identifying geographic areas in which yields could be increased, for determining the main factors limiting yield and defining future research priorities (Casanova et al. 1999; Doré et al. 2008; Licker et al. 2010; Neumann et al. 2010; Prost et al. 2008). An analysis of yield gaps can thus help agronomists to determine where and 391 how crop productivity might be increased, if necessary. Yield gap analysis comprises two main steps: 392 yield gap estimation and the identification of factors explaining yield gap variability. Many studies 393 have focused on calculating and analyzing yield gaps, but several methodological problems are 394 encountered in attempts to apply this analysis at the global scale.

395 Four approaches have been proposed for estimating potential yields: i) crop model simulations 396 (Brisson et al. 2010), ii) field experiments and yield contests (Lobell et al. 2009), iii) farmers' 397 maximum yields (Lobell et al. 2009), iv) estimation from global crop datasets including yield values 398 and climatic variables (Licker et al. 2010; Monfreda et al. 2008; Mueller et al. 2012). Crop model 399 simulations are probably the most widely used (Lobell et al. 2009), but their implementation at the 400 global scale is problematic. Crop models require a large number of input variables related to climate, 401 soil characteristics and farmers' practices, and these variables are difficult to assess for large 402 numbers of sites. In addition, scaling up the results of crop model simulations to derive potential 403 yield estimates at the global scale is not straightforward. The maximum yields obtained by farmers 404 and local experiments can be used to estimate potential yield locally, but this approach cannot be 405 used directly at the global scale. In addition, the first two approaches cannot be used alone to 406 calculate yield gaps: they require a separate source of information concerning the actual yields 407 achieved by farmers.

408 Global crop yield databases can be used to estimate both potential yields and yield gaps at the global 409 scale (Licker et al. 2010). This approach is powerful and offers new perspectives for the analysis of 410 yield gaps at the global scale. However, the proposed technique for potential yield estimation 411 requires the categorization of climatic variables into a small number of categories and the number of 412 data in each category must exceed a certain minimum, for the calculation of yield percentiles. The 413 proposed method could be extended to the estimation of potential yields and yield gaps from global 414 crop datasets by means of quantile regression (Makowski et al., 2007). However, Figure 6 shows that the yield values estimated by these techniques are sensitive to the selected probability value. In this 415

figure, wheat yield gaps were computed from the global yield database used by Licker et al. (2010). 416 Potential yields were computed by quantile regression for several probabilities ranging from 0.90 417 (estimated potential yields correspond to the 90th percentiles of the yield data) to 0.995 (estimated 418 419 potential yields correspond to the 99.5th percentiles of the yield data). When the probability used for 420 computing potential yield was set equal to 0.90, the median yield gap over all wheat plots of the database was equal to 2.44 t ha⁻¹ (Figure 6). The median yield gap was much higher when this 421 probability was set to a higher value; it reached 3.54 t ha⁻¹ when the probability was set equal to 422 423 0.95, and 4.9 t ha⁻¹ when the probability was set equal to 0.99 (Figure 6). These results show that the 424 conclusions of a yield gap analysis can be highly sensitive to the procedure used to estimate potential 425 yields.

426 Other issues are the identification and ranking of limiting factors explaining yield gaps (Prost et al. 427 2008), the risk of confounding effects (i.e., the confusing roles of different variables due to 428 correlations, Bakker et al. 2005), and the dynamic changes in yield gaps over time (Laborte et al. 429 2012). Yield gap may vary over time due to the effect of climate change on potential yields and 430 changes in farmers' yields. Figure 3 shows the changes in farmers' wheat yields since the 1960s in 431 France and Spain. These two countries display different patterns of yield trends and yield variability. In France, wheat yields reached a plateau in the mid-1990s. No such plateau has yet been reached in 432 433 Spain, but the yield percentiles presented in Figure 3 show that between-year yield variability has 434 increased in Spain since the 1980s and that yield values remain lower in Spain than in France. Several 435 explanations relating to climate, input use and farmers' learning curves have recently been discussed 436 as ways of interpreting famers' yield dynamics (Brisson et al. 2010; Laborte et al. 2012). However, the 437 interpretation of farmers' yield dynamics remains a challenge, especially due to the high uncertainty 438 in the estimated yield trends. This high uncertainty is illustrated in Figure 7 where the standard 439 deviations of the estimated values of wheat yield yearly increase rates are shown for the 15 most important wheat producers in 2010 (FAOSTAT); standard deviations are often close to and evensometimes higher than the estimated values (Figure 7).

442

443 **4.4. Meta-analysis**

Meta-analysis could become a key method for determining general laws about the way in which agroecosystems work. Meta-analysis is a quantitative systematic review of the literature, with the application of a statistical treatment to the cumulative dataset. Most meta-analyses carried out to date have been performed in medical science (Borenstein et al. 2009). This approach has been applied, albeit less systematically, in other areas, such as ecology (Cardinale et al. 2006), and has sometimes been applied in animal science (Sauvant et al. 2008) and plant pathology (Rosenberg et al. 2004).

451 The meta-analysis framework provides an interesting alternative to dynamic crop models, because 452 these models include several sources of uncertainty and their predictions are not always reliable 453 (Barbottin et al. 2008; Makowski et al. 2009). When a large body of scientific data is available, meta-454 analysis appears to be a promising approach for assessing the agronomic and environmental 455 performances of agricultural practices at the global scale. For example, meta-analysis could be used 456 to assess the effect of a decrease in nitrogen application on N₂O emission at the global scale, based 457 on an analysis of an experimental dataset on N₂O emissions around the world. Meta-analysis can also 458 be used to study the global consequence of a change in cropping systems, such as the effects of 459 organic cropping systems on crop yields and food production (de Ponti et al. 2012).

460 Meta-analysis is a powerful tool, but its value may be greatly decreased by the use of inappropriate 461 techniques. Philibert *et al.* (2012) recently analyzed the quality of 73 meta-analyses carried out in 462 agronomy. They found that the quality of meta-analyses was generally lower in agronomy than in medical science. Based on this quality assessment, the following recommendations were formulated:
i) the procedure used to select papers from scientific databases should be explained, ii) individual
data should be weighted according to their level of precision when possible, iii) the heterogeneity of
data should be analyzed with random-effect models, iv) sensitivity analysis should be carried out and
v) the possibility of publication bias should be investigated.

468

469 **5. Conclusion**

The growth of the human population and increasing concerns about the global impact of agriculture are likely to lead to major changes in agronomic research in the next decade. As shown here, agricultural scientists will tend to study new topics (e.g. food security, global impact of agriculture activities on climate change and biodiversity) and to deal with new scales and new objectives. Agronomists have traditionally worked at the field scale and, to a lesser extent, at the farm and regional scales, but they are not yet used to working at the global scale.

476 Agronomists have developed a large range of methods and tools that may be of interest for 477 addressing global issues. However, this toolbox is not entirely suitable for application to global issues. 478 Most experiments and dynamic crop models are currently adapted to local issues (e.g., fertilization 479 management, local yield predictions) and their outputs cannot be easily be scaled up. Other 480 methods, such as global vegetation models, land-use models, and meta-analysis are likely to become increasingly widespread in the future. They will allow to assess the effects of cropping practices at a 481 large scale and to study the impact of various agricultural activities on food security and the 482 483 environment. However, global agronomy will face the difficult task of drawing up general, global laws about the way in which agroecosystems work. 484

485 Agronomists have a good knowledge of farmers' practices, and of the changes in and drivers of these 486 practices. They have shown that cropping practices result from many different determinants that 487 could be described through decision rules and models. Knowledge about farmers' practices may be 488 useful for the design of consistent scenarios of future, alternative cropping systems at the global 489 scale. Large databases on cropping systems would facilitate the design of such scenarios, but we still 490 lack reliable databases concerning farmers' practices (e.g. land use, fertilization, irrigation, sowing 491 dates). The situation is similar for the ecosystem services of agricultural activities, for which only a 492 few reference databases exist.

493

496 Table 1: How global issues raise new scientific questions for agronomy, concerning nutrient management

497 and global food security, for example

	Issue 1: Nutrient management		Issue 2: Food security	
Scale	Field, catchment	Global	Field, landscape	Global
Examples of	Assessing the	Identifying and	Assessing the	Understanding
research topics	effects of soil,	assessing the	effects of crop	global farming
	climate and crop	different drivers	management and	adaptation to
	management on	of global nutrient	landscape	climate change.
	nutrient	use.	characteristics on	Scaling up results
	dynamics.	Assessing the	crop yield.	from field-scale
	Assessing the	opportunities for		yield-gap analysis.
	effects of	nutrient recycling		Identifying which
	landscape			levers can be used
	characteristics on			and which should
	nutrient flows			not be used to
				increase crop
				production in a
				range of
				situations.
Examples of	Managing	Limiting global	Assessing and	Assessing the
	nutrients to	use of fossil P and	improving field-	global yield-gap of

objectives	maximize field	reactive N.	scale farming	different farming
	crop production		system	systems under
	and to minimize		productivity.	scenarios of
	environmental		Assessing the role	climate change
	losses.		of the different	and resource
	Designing		limiting factors	scarcity and
	landscapes that		(yield-gap	paving the way
	minimize		analysis at field	for regional,
	environmental		scale).	continental and
	losses.			global solutions.
Methods	Field	Global-scale	Field	Global and
	experiments, crop	modeling,	experiments, crop	regional scale
	and catchment	database	modeling.	crop modeling,
	modeling	management.		meta-analysis,
				yield-gap analysis.
Output	Decision support	Scenario	Decision support	Scenario
	tools.	assessment.	tools.	assessment.

501 Table 2. Objectives, advantages and disadvantages of methods for addressing global issues in 502 agronomy

Method	Objective	Advantage	Disadvantage
Experiments	Understanding how	Account for the	Future events (e.g.
	agroecosystems work	variability of climate,	climate change) cannot
		soil and farming	easily be accounted
		conditions	for; data gathering and
			data analysis can be
			difficult
Dynamic crop models	Simulating effects of	Account for a wide	Not easily applied at
	climate, soil, and	range of climate, soil	large scales due to the
	management variables	and farming conditions	problem of input
	on crop production		estimation
	and environment		
Global dynamic	Simulating soil, plant,	Can be applied at local,	Do not use a precise
vegetation models	and climate	regional or global	description of cropping
	characteristics at	scales for time scales	systems
	regional and/or global	extending from hours	
	scale	to decades	
Land-use optimization	Optimizing land use	Can be applied at farm,	May generate a wide
		regional and	range of land-use

models		continental scales.	allocations with similar
		Take various objectives and constraints into account	performances
Species distribution	Predicting the	Take into account local	Parameter estimation
models	geographic distribution	climatic conditions and	can be difficult
	of pests	pest requirements	
Yield-gap analysis	Estimating yield gaps,	Useful:	Require estimation of
	and ranking of yield-	- To identify the	potential yields
	limiting factors	geographic	Ranking of limiting
		areas in which	factors may be highly
		yields could be	uncertain
		increased,	
		- To determine	
		the main	
		factors limiting	
		yield,	
		- To define	
		future	
		research	
		priorities	
Meta-analysis	Drawing up of general	Assessment of	Not relevant when only

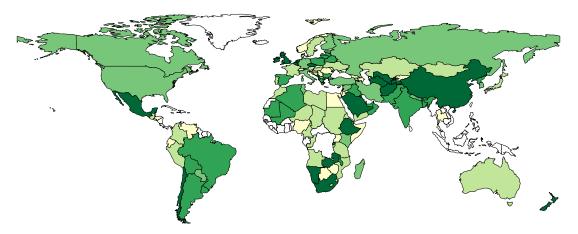
laws on how	agronomic and	a few papers are
agroecosystems work	environmental	available for the topic
based on the statistical	performances of	of interest.
treatment of a	agricultural practices	Its value may be
database derived from	at large scales	greatly decreased by
literature review		the use of
		inappropriate
		techniques

Figure 1. The global impact of agriculture has recently become a major research topic, stressed by
the rapid growth of the world population. The total population in Malaysia has increased by 256
percent during the last 50 years. In the Kuala Lumpur region, the population had tripled from its 1980
level.



511

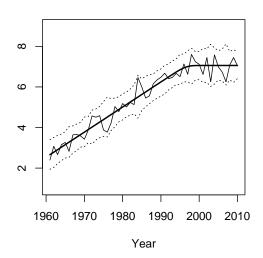
- 512 Figure 2. Map showing values of yearly increase rate of wheat yield in 2010 (t ha⁻¹ year⁻¹). Wheat
- 513 yield increase rates were estimated for different countries from FAOSTAT wheat yield time series
- using dynamic linear statistical models. For wheat in 2010, yearly increase rates range from negative
- values (indicating yield decrease, in light yellow) to values higher than +0.06 t ha⁻¹ year⁻¹ (dark green).
- 516 Yearly increase rate of crop yield is a key-parameter in foresight studies on food security.



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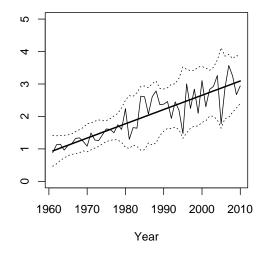
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- 519 Figure 3. Yield data (thin lines), fitted trends (thick lines), and 5 and 95% yield percentiles (dotted
- 520 lines) in France and Spain. Data are from FAOSTAT. Fitted trends and percentiles were estimated with
- 521 stochastic volatility statistical models (Meyer and Yu 2000). Yield percentiles indicate the level of
- between-year yield variability and show that the yield variability has increased since 1980 in Spain.



Yield in France (t ha-1)

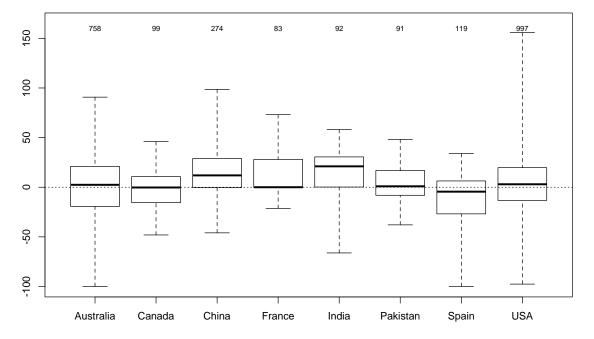
Yield in Spain (t ha-1)



523

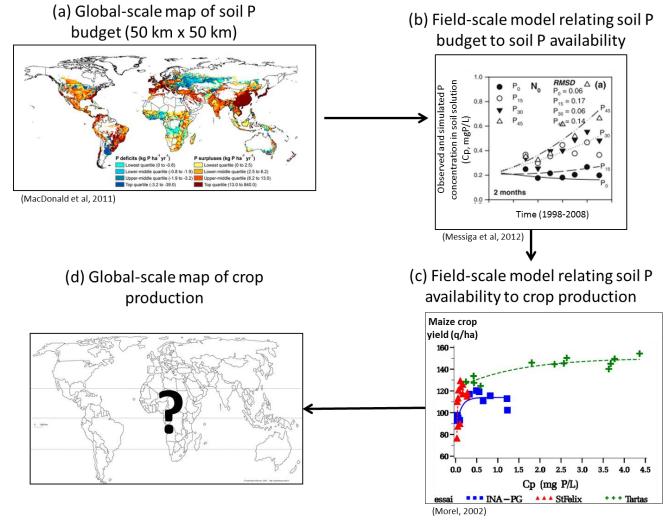
- 526 Figure 4. Distributions of relative change (%) in wheat yield due to future climate change. Yield
- 527 changes were computed from simulated data reported in 90 published papers for different countries.
- 528 Relative yield change was defined by RCY = 100 * (future average yield baseline average yield) /
- 529 baseline average yield, where "baseline average yield" and "future average yield" correspond to yield
- values simulated by crop models and averaged over years for both baseline and future climatic
- scenarios. Each boxplot indicates the minimum, 1st quartile, median, 3rd quartile, and maximum of
- the RCY values available for each country (the numbers of available RCY values are given at the tops
- 533 of the boxplots).

Relative yield change (%)

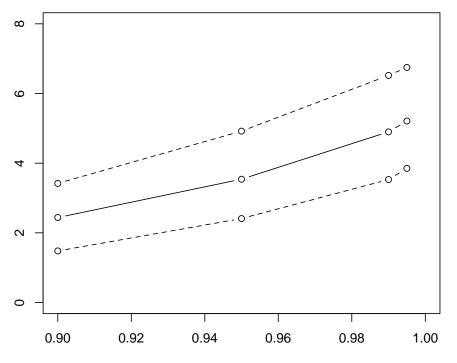


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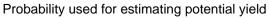
- 536 Figure 5. Using field-scale models simulating the effects of soil P budget on soil P availability (b) and
- 537 the effects of soil P availability on crop production (c) to relate global soil P budgets (a) to global crop
- 538 production (d). Step (d) needs further research works.



- 548 Figure 6. Sensitivity of wheat yield gaps to the probability chosen for estimating potential yields. The 549 continuous line indicates the median yield gaps over all wheat plots included in a global database at 550 the world scale (database used by Licker et al., 2010). The dashed lines indicate the 1st and 3rd
- 551 quartiles of the yield gaps over all wheat plots.



Yield gap (t ha-1)

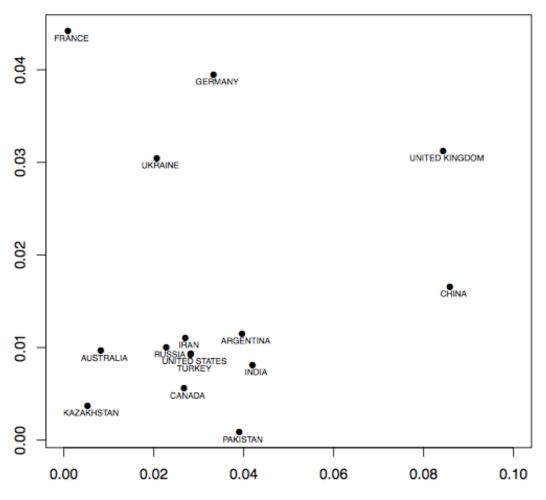


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- 556 Figure 7. Estimated yearly increase rates of wheat yield (t ha⁻¹ year⁻¹) in 2010 and standard deviations
- of the estimated values. Results were obtained for the 15 countries with the highest wheat
- productions in 2010, from a statistical analysis of yield time series (FAOSTAT).
- 559



Standard deviation of yield increase rate (t ha-1 year-1)

Yearly yield increase rate (t.ha-1.yr-1)

560

561

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