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# A graph theory approach to identify resonant and non-resonant transmission paths in statistical modal energy distribution analysis

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#### **8** Abstract

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Statistical modal energy distribution analysis (SmEdA) extends classical statistical energy analysis (SEA) to the mid frequency range by establishing power balance equations between modes in different subsystems. This circumvents the SEA requirement of modal energy equipartition and enables applying SmEdA to the cases of low modal overlap, locally excited subsystems and to deal with complex heterogeneous subsystems as well. Yet, widening the range of application of SEA is done at a price with large models because the number of modes per subsystem can become considerable when the frequency increases. Therefore, it would be worthwhile to have at one's disposal tools for a quick identification and ranking of the resonant and non-resonant paths involved in modal energy transmission between subsystems. It will be shown that previously developed graph theory algorithms for transmission path analysis (TPA) in SEA can be adapted to SmEdA and prove useful for that purpose. The case of airborne transmission between two cavities separated apart by homogeneous and ribbed plates will be first addressed to illustrate the potential of the graph approach. A more complex case representing transmission between non-contiguous cavities in a shipbuilding structure will be also presented.

9 Keywords: Statistical modal energy distribution analysis, Graph theory, Transmission path analysis

## 10 1. Introduction

The mid-frequency problem in the vibration analysis of built-up mechanical structures appears when 11 neither low frequency deterministic approaches (e.g., finite elements, semi-analytical modal expansions) nor 12 high frequency statistical energy methods (e.g., statistical energy analysis, SEA) can be used for the correct 13 description of the system response. Inconveniences with the former are due to the increase in computational 14 cost with frequency and to the fact that the vibrational behavior becomes strongly sensitive to the variability 15 in the structure properties. Yet, energy methods based on averaged power balance equations like SEA usually 16 require the assumption of some strong hypotheses concerning, for instance, the modal overlap and modal 17 number of the subsystems into which the structure has been divided (see e.g., [1]). 18

In recent years, several strategies have been followed to tackle the mid-frequency problem. Some ap-19 proaches have attempted at extending the range of applicability of deterministic methods to higher fre-20 quencies. For instance, this is the case of wave based methods (WBM) which adopt exact solutions of the 21 governing partial differential equations as basis functions, instead of the polynomials bases of finite elements 22 (FEM), see e.g., [2] for a review. Another option could be the scaling procedure proposed in the asymptotical 23 scaled modal analysis (ASMA) in [3]. Other methods tried combining deterministic and statistical methods. 24 In [4], a scale separation approach was proposed in which large scales were resolved using a deterministic 25 modal approach whereas the influence of small scales was taken into account using SEA. The coexistence of 26 deterministic and statistical subsystems for a given frequency band, typical of aircraft and submarine hulls, 27 has been addressed by means of hybrid approaches that couple FEM with SEA [5–7]. In [8, 9], a hybrid 28 approach was also proposed using power flow analysis and SEA. 29

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SEA has also been derived as limiting cases of wave intensity analysis and travelling wave packets [10– 12], and recently presented as a low resolution ray-tracing technique [13]. Besides, SEA can alternatively be viewed as a particular case of the more general energy distribution (ED) models (also referred to as energy flow models), which are likewise based on averaged power flow equations and where energy transmission is characterized by means of the so called energy influence coefficients [14]. What conditions an ED model should satisfy to become an SEA model has been a matter of research [15] leading to the definition of quasi and proper SEA systems [16, 17].

Statistical modal energy distribution analysis (SmEdA), originally proposed in [18–20], can be envisaged 37 in this last group of ED methods, in which SEA hypotheses are relaxed to extend SEA's range of applicabil-38 ity to mid frequencies. However, SmEdA has a clear distinctive feature with respect to most ED methods 39 in the sense that power balance equations are not established between subsystems but rather between the 40 resonant modes of different subsystems. These modes can be extracted from the modal bases of uncoupled 41 subsystems, which can be computed using FEM, thanks to the dual modal formulation (DMF) [19]. This 42 offers the possibility of considering subsystems with complex geometries and varying properties. Moreover, 43 circumventing SEA energy equipartition allows one to deal with locally excited subsystems with low modal 44 overlap [20], as well as to evaluate the spatial distribution of energy density within subsystems [21]. Recently, 45 SmEdA has been extended to incorporate the contribution of non-resonant transmission through conden-46 sation of the DMF equations. This has resulted in the appearance of indirect coupling between modes in 47 non-physically connected subsystems, standard non-resonant paths in SEA being recovered as a particular 48 case [22]. 49

Though SmEdA may offer several advantages when compared to SEA, the price to be paid is that 50 of dealing with large matrix systems (yet much smaller than those encountered in deterministic methods 51 like FEM, which can involve millions of degrees of freedom). This makes the analysis of the obtained 52 results difficult. For instance, determining which modes play a predominant role in the energy transmission 53 between subsystems for even simple cases, such as two cavities separated by a homogeneous wall, may 54 implicate hundreds of modes at mid-frequencies. A thorough analysis of the interaction of modal works and 55 involved modal coupling loss factors then becomes necessary to find the dominant modal energy transmission 56 paths between the excited cavity and the receiver one [22]. It is the goal of this work to try to lighten this 57 process by resorting to an alternative approach. In particular, it will be shown that graph theory may prove 58 useful for that purpose. The idea of using graph theory tools to solve vibroacoustics problems was first 59 introduced in [23], where it was pointed out that it was possible to define a SEA graph, whose weighting 60 matrix was identified with the generating matrix of the SEA system solution geometric series expansion. 61 In [24], an adaptation of the MSP algorithm (see [25, 26]) for the efficient computation and ranking of 62 energy transmission paths from sources to receivers in SEA systems was presented, the inclusion of variance 63 recently being taken into account in [27]. Graph cut algorithms were also used in [28] in an optimizing 64 process to diminish energy transmission between subsystems in SEA. The prospect of defining SEA graphs 65 for the more general ED models has recently been deemed viable in [29]. In this work, it will be shown that 66 67 this is also feasible for SmEdA models, so that path graph algorithms can be applied to identify and rank the relevant modes governing the energy transmission between subsystems. 68

The paper is organized as follows. In section 2 a brief overview on the basics of SmEdA is presented. In 69 section 3 it is exposed how to define a SmEdA graph, and the notion of modal energy transmission paths 70 becomes introduced. Two benchmark examples involving resonant and non-resonant energy transmission 71 between two adjacent cavities separated apart by homogeneous and ribbed plates are presented in section 4. 72 It is shown how the graph theory approach can provide very valuable information in a quicker and more 73 efficient way than when attempting a conventional analysis of the SmEdA results. A more complex case 74 dealing with vibrational and acoustic energy transmission in a shipbuilding built-up structure is addressed 75 in section 5. Conclusions close the paper in section 6. 76

## 77 2. An overview of SmEdA

78 2.1. Resonant transmission in a two-subsystem SmEdA model

An introduction to SmEdA will be next presented to describe its general performance, as well as to highlight some of its features which will allow one to establish a connection with graph theory. For the sake of simplicity, the SmEdA modal energy equations for a system simply made of two coupled subsystems will be first addressed.

As for SEA, the prerequisites of weak coupling and of dominant reverberant field will be assumed, so 83 that the vibratory behavior of the two coupled subsystems can be solely described in terms of the energy 84 transmission between resonant modes (i.e., modes having their modal frequencies within the frequency band 85 of excitation). Numerical tests [19, 30] have shown that this assumption is compliant if the substructuring 86 into subsystems is done well along mechanical impedance mismatch and if the boundary conditions at 87 the coupling surfaces are correctly imposed to determine the subsystem modes. This can be achieved by 88 describing the stiff subsystem by its uncoupled free modes (i.e., assuming free displacements on the coupling surface) while describing the soft one by its uncoupled blocked modes (i.e., imposition of null displacements 90 on the coupling surface). 91

For illustrative purposes, consider that the two subsystems consist of an acoustic cavity (subsystem 1) coupled to a vibrating structure (subsystem 2). The cavity is therefore the soft subsystem and becomes characterized by means of its blocked modes (i.e., its boundary is assumed to be composed of rigid walls) whereas the vibrating structure is the stiff subsystem and its free modes (i.e. in vacuo modes) should be used instead. According to the dual modal formulation (see [18] for the case of two coupled mechanical subsystems and [22] for a cavity-structure problem), the acoustic pressure field (stress field in a general case) is the appropriate one to describe subsystem 1, whereas the displacement field is adequate for subsystem 2. Denoting by  $\hat{P}$  and  $\hat{Q}$  the sets of resonant modes in subsystems 1 and 2, respectively containing  $N_p$  and  $N_q$  modes, the acoustic pressure at point M in the cavity and the displacement at point N on the structure can be estimated using the modal expansions

$$p(M,t) = \sum_{p \in \hat{P}} \xi_p(t) \,\tilde{p}_p(M) \,, \tag{1a}$$

$$u(N,t) = \sum_{q \in \hat{Q}} \zeta_q(t) \, \tilde{u}_q(N) \,, \tag{1b}$$

where  $\xi_p$  and  $\zeta_q$  denote modal amplitudes,  $\tilde{p}_p$  stands for the spatial acoustic pressure distribution of the *p*-th cavity mode, and  $\tilde{u}_q$  represents the displacement spatial shape of the *q*-th structure mode.

Following the DMF approach, expressions (1a)-(1b) are to be introduced in the weak formulation of the coupled problem. Taking advantage of the orthogonality of the uncoupled modes, presuming viscous damping and making the change of variables  $\xi_p = \chi'_p$ ,  $\forall p \in \hat{P}$  (with the prime symbol indicating time derivative), the following modal equations of motion can be derived (see [18] for details)

$$\chi_{p}^{\prime\prime}(t) + \omega_{p}\eta_{p}\chi_{p}^{\prime}(t) + \omega_{p}^{2}\chi_{p}(t) - \sum_{q \in \hat{Q}} W_{pq}\zeta_{q}^{\prime}(t) = Q_{p}(t), \qquad \forall p \in \hat{P},$$
(2a)

$$\zeta_q''(t) + \zeta_q \eta_q \zeta_q'(t) + \omega_q^2 \zeta_q(t) - \sum_{p \in \hat{P}} W_{pq} \chi_p'(t) = 0, \qquad \forall q \in \hat{Q}.$$
(2b)

In (2a)-(2b),  $\omega_p$ ,  $\omega_q$  denote the modal angular frequencies and  $\eta_p$ ,  $\eta_q$  the modal damping loss factors. The mode shapes are supposed to be normalized to a unit modal mass for the free subsystem and to a unit modal stiffness for the blocked subsystem.  $Q_p$  represents the modal source strength at mode p due to external excitation and  $W_{pq}$  corresponds to the modal interaction work between p and q. For each pair of modes, the latter is defined as the integral over the coupling surface,  $S_C$ , of the product between a displacement mode shape of the free subsystem and a stress mode shape of the blocked subsystem. For the cavity-structure example the modal interaction work would be  $W_{pq} = \int_{S_C} \tilde{p}_p \tilde{u}_q dS$ .

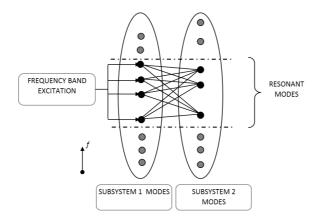


Figure 1: Sketch for the the modal coupling between two coupled subsystems.

The form of equations (2a)-(2b) allows one to interpret mode interactions as oscillators coupled by gyro-101 scopic elements (which introduce opposite sign coupling forces proportional to the oscillator velocities [18]). 102 A schematic representation of this modal coupling is proposed in Fig. 1. Note that a mode in one subsystem 103 is coupled to all modes in the other subsystem but it is not coupled with the modes in its own subsystem. 104 The number of back and forth modal energy direct couplings (the former symbolized with black lines in 105 Fig. 1) is  $2N_PN_Q$ , which strongly increases with the number of modes considered in each subsystem. More-106 over, energy may obviously go back and forth between subsystem modes resulting in transmission paths of 107 high order, the total number of them being infinite. In Section 3 it will be shown how to identify the ones 108 dominating energy transmission in an efficient way. 109

SmEdA equations describe the power balance between the modes in different subsystems and are obtained from the principle of energy conservation for each mode in a subsystem. For the p-th mode in subsystem 1, they result in

$$\Pi^{p}_{inj} = \Pi^{p}_{diss} + \sum_{q \in \hat{Q}} \Pi_{pq}, \quad \forall p \in \hat{P},$$
(3)

where  $\Pi_{inj}^{p}$  is the time-averaged injected power by the generalized force  $Q_{p}$ ,  $\Pi_{diss}^{p}$  is the time-averaged power dissipated by the internal damping of mode p and  $\Pi_{pq}$  is the time-averaged power flow exchanged between the resonant mode p of subsystem 1 and the resonant mode q of subsystem 2. The various powers appearing in (3) can be evaluated from already established relations for one single oscillator and/or two coupled oscillators, using the same assumptions as in SEA (e.g., white noise force spectra and uncorrelated modal interaction forces [31]). It follows that

$$\Pi_{inj}^{p} \approx \frac{\pi}{4} \bar{S}_{Q_{p}}, \qquad \Pi_{diss}^{p} \approx \omega_{p} \eta_{p} E_{p}, \qquad \Pi_{pq} \approx \beta_{pq} \left( E_{p} - E_{q} \right)$$
(4)

where  $\bar{S}_{Q_p}$  is the power spectral density of the modal source strength,  $E_p$  is the time averaged energy of mode p, and  $\beta_{pq}$  is called the modal coupling factor given by (see [20]),

$$\beta_{pq} = W_{pq}^2 \left[ \frac{\omega_p \eta_p \omega_q^2 + \omega_q \eta_q \omega_p^2}{\left(\omega_p^2 - \omega_q^2\right)^2 + \left(\omega_p \eta_p + \omega_q \eta_q\right) \left(\omega_p \eta_p \omega_q^2 + \omega_q \eta_q \omega_p^2\right)} \right].$$
(5)

<sup>121</sup> Note that  $\beta_{pq} \ge 0$  which will be crucial for the proper definition of modal energy transmission paths and <sup>122</sup> SmEdA graphs in the following section.

The power balance equation for any resonant mode of subsystem 2 will be analogous to that of subsystem 1 in (3) (though for simplicity it will be supposed that no external force is acting on subsystem 2). The  $N_p + N_q$  equations for all modes in subsystems 1 and 2 can be combined in the linear matrix system

$$\begin{pmatrix} \beta_{11} & -\beta_{12} \\ -\beta_{12}^{\top} & \beta_{22} \end{pmatrix} \begin{pmatrix} E_1 \\ E_2 \end{pmatrix} = \begin{pmatrix} \Pi_1 \\ 0 \end{pmatrix},$$
(6)

with unknown modal energies  $E_{1} = (E_{p})_{N_{P} \times 1}$  and  $E_{2} = (E_{q})_{N_{Q} \times 1}$ , external input power at subsystem 1 modes  $\Pi_{1} = (\Pi_{inj}^{p})_{N_{P} \times 1}$ , coupling loss factor matrix  $\beta_{12} = (\beta_{pq})_{N_{P} \times N_{Q}}$  and diagonal loss factor matrices  $\beta_{11} = \text{diag} \left( \omega_{p} \eta_{p} + \sum_{q \in \hat{Q}} \beta_{pq} \right)_{N_{P} \times N_{P}}$  and  $\beta_{22} = \text{diag} \left( \omega_{q} \eta_{q} + \sum_{p \in \hat{P}} \beta_{pq} \right)_{N_{Q} \times N_{Q}}$ . Inversion of (6) results in the SmEdA system modal energies. From the summation of all modal energies in a subsystem its overall energy can be obtained and then related to a spatial mean square velocity in the case of a vibrating plate, or to a mean square acoustic pressure in the case of a cavity, following standard SEA formulations [31–33].

# 132 2.2. Resonant and non-resonant transmission in a three-subsystem SmEdA model

A slightly more involved case is next considered which consists of a system made of three subsystems. 133 This will allow one to inspect how non-resonant transmission can be accounted for in SmEdA, a topic that 134 has only been addressed very recently [22]. For the ease of exposition and without loss of generality, suppose 135 that the system is made of two cavities separated by a panel. Subsystems 1 and 3 are identified with the 136 cavities and subsystem 2 with the panel (this system will be re-encountered in the benchmark examples of 137 Section 4). The sets of resonant modes for the cavities in the frequency range of interest will be denoted by 138  $\hat{P}$  and  $\hat{R}$ ,  $\hat{Q}$  standing for the set of panel resonant modes. The SmEdA matrix formulation analogous to (6) 139 if external input power is supplied to the first cavity, will be given by 140

$$\begin{pmatrix} \beta_{11} & -\beta_{12} & 0\\ -\beta_{12}^{\top} & \beta_{22}' & -\beta_{23}\\ 0 & -\beta_{23}^{\top} & \beta_{33} \end{pmatrix} \begin{pmatrix} E_1\\ E_2\\ E_3 \end{pmatrix} = \begin{pmatrix} \Pi_1\\ 0\\ 0 \end{pmatrix},$$
(7)

with  $E_1$ ,  $E_2$  and  $E_3$  respectively standing for the modal energy vectors of the first cavity, panel and second cavity.

Two remarks should be made with regard to (7). First, the diagonal matrix  $\beta'_{22}$  is different from  $\beta_{22}$ in (6) because it incorporates the coupling with subsystem 3. Its expression becomes

$$\boldsymbol{\beta_{22}'} = \operatorname{diag}\left(\omega_{q}\eta_{q} + \sum_{p\in\hat{P}}\beta_{pq} + \sum_{r\in\hat{R}}\beta_{qr}\right)_{N_{Q}\times N_{Q}}.$$
(8)

Second, the null blocks  $\mathbf{0}$  in (7) indicate that there is no direct coupling between the resonant modes 145 of both cavities, given that they are not physically connected. However, it is well known that resonant 146 transmission, as described by the standard SmEdA approach (7), cannot correctly represent the whole 147 acoustic transmission through the panel below the critical frequency, which is governed by the mass law. To 148 tackle with this problem it was proposed in [22] to include the panel non-resonant modes in the analysis. 149 Although the frequencies of these non-resonant panel modes do not coincide with those of the cavity resonant 150 modes, the modes are strongly coupled one to another because of spatial matching. If one incorporates non-151 resonant transmission in the DMF equations, it turns out that after matrix condensation the former can 152 be accounted for by establishing a direct coupling between the modes of both cavities (even though not 153 being physically connected). The coupling factors  $\beta_{pr}$  between modes in  $\hat{P}$  and  $\hat{R}$  are characterized by 154 spring connections rather than gyroscopic ones. The stiffness of the spring connection can be related to 155 the intermodal works between the resonant cavity modes and the non-resonant panel modes (see [22] for 156 details). 157

As a consequence, when non-resonant paths are considered (7) transforms to

$$\begin{pmatrix} \beta_{11}^{\prime\prime} & -\beta_{12} & -\beta_{13} \\ -\beta_{12}^{\top} & \beta_{22}^{\prime} & -\beta_{23} \\ -\beta_{13}^{\top} & -\beta_{23}^{\top} & \beta_{33}^{\prime\prime} \end{pmatrix} \begin{pmatrix} E_1 \\ E_2 \\ E_3 \end{pmatrix} = \begin{pmatrix} \Pi_1 \\ 0 \\ 0 \end{pmatrix},$$
(9)

where the block  $\beta_{13} = (\beta_{pr})_{N_P \times N_R}$  is no longer zero. Note that the matrices  $\beta_{11}^{\prime\prime}$  and  $\beta_{33}^{\prime\prime}$  differ from  $\beta_{11}$  and  $\beta_{33}$  in (9) because they include the terms corresponding to the direct connections between cavities,

characterized by  $\beta_{13}$ . Numerical validations on test cases [22] had shown the ability of this SmEdA approach to describe the non-resonant transmission through the panel, and in particular, the mass law behaviour. However, determining which cavity and panel modes play a relevant role in the transmission through spatial matching may be rather lengthy and intricate. A fast way to do so by resorting to graph theory will be presented for the cavity-panel-cavity system in Section 4.

<sup>166</sup> 2.3. General matrix formulation for an N-subsystem SmEdA model

Generalization of the above SmEdA matrix system formulation for a model consisting of N subsystems that includes both, resonant and non-resonant transmission, is straightforward and yields

$$\begin{pmatrix} \beta_{11} & -\beta_{12} & -\beta_{13} & \cdots & -\beta_{1N} \\ -\beta_{12}^{\top} & \beta_{22} & -\beta_{23} & \cdots & -\beta_{2N} \\ -\beta_{13}^{\top} & -\beta_{23}^{\top} & \beta_{33} & \cdots & -\beta_{3N} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ -\beta_{1N}^{\top} & -\beta_{2N}^{\top} & -\beta_{3N}^{\top} & \cdots & \beta_{NN} \end{pmatrix} \begin{pmatrix} E_1 \\ E_2 \\ E_3 \\ \vdots \\ E_N \end{pmatrix} = \begin{pmatrix} \Pi_1 \\ \Pi_2 \\ \Pi_3 \\ \vdots \\ \Pi_N \end{pmatrix},$$
(10)

with  $E_i = (E_i)_{I \times 1}$  standing for the vector of modal energies of the *i*-th subsystem where an external power  $\Pi_i = (\Pi_{inj}^i)_{I \times 1}$  is being input. In order to lighten forthcoming expressions, system (10) will be simply rewritten as

$$\beta E = \Pi. \tag{11}$$

#### 172 3. Modal energy transmission paths and SmEdA graphs

#### <sup>173</sup> 3.1. Modal energy transmission paths in SmEdA

The definition of energy transmission paths between subsystems in SEA models was introduced by Craik 174 in [32, 34]. As quoted in [35], the notion of transmission paths between two adjacent subsystems relies on 175 the concept of blocked transmissibility [36-38]; the energy transmitted from an arbitrary subsystem i to a 176 neighboring subsystem j is given by the quotient of energies  $E_j/E_i$  when i is excited and all energies in the 177 SEA model but i and j are set to zero. This results in  $E_j/E_i = \eta_{ij}/\eta_j$ ,  $\eta_{ij}$  standing for the SEA coupling loss 178 factor between i and j, and  $\eta_j$  for the total loss factor of subsystem j. Therefore  $\eta_{ij}/\eta_j$  can be interpreted 179 as the fraction of energy at j that directly comes from i, and thus identified with the weight of a first order 180 transmission path connecting subsystems i and j i.e.,  $w(p_{ij}^1) = \eta_{ij}/\eta_j$ . A second order path  $p_{st}^2$  linking e.g., 181 subsystem s with t through a third subsystem j could be built concatenating the first order paths  $p_{sj}^1$  and 182  $p_{jt}^1$ , with weight  $w(p_{st}^2) = (\eta_{sj}/\eta_j)(\eta_{jt}/\eta_t)$ . Following this procedure arbitrary *n*-order paths linking n+1183 subsystems can be built having weights  $w(p_{st}^n) = \prod_{h_i=1}^{n-1} (\eta_{h_i h_{i+1}}/\eta_{h_i})$  (s being identified with  $h_1$  and t with 184  $h_{n-1}$ ), see [23, 24] for details. 185

The same line of reasoning can be pursued to define energy transmission in SmEdA, from an arbitrary mode p in a subsystem P to a mode q in subsystem Q. The weight of the first order order transmission path,  $p_{pq}^1$ , from mode  $p \in \hat{P}$  to  $q \in \hat{Q}$ , in the case of subsystems P and Q being adjacent, will be given by

$$w(p_{pq}^1) = \frac{\beta_{pq}}{\beta_q}.$$
(12)

A general *n*-th order path between a mode  $s \in \hat{S}$  and a mode  $t \in \hat{T}$  in the SmEdA system can then be built by concatenation as

$$w(p_{st}^n) = \frac{\beta_{sh_1}}{\beta_{h_1}} \frac{\beta_{h_1h_2}}{\beta_{h_2}} \cdots \frac{\beta_{h_{n-1}t}}{\beta_t}.$$
(13)

<sup>191</sup> Note from the considerations in Section 2.1 that (13) makes sense given that  $\beta_{h_i h_{i+1}} \ge 0$  and  $\beta_{h_i} > 0$ , <sup>192</sup>  $\forall h_i \in \hat{P}, h_{i+1} \in \hat{Q}$ . Note also that, as specified, no transmission is allowed from a mode in one subsystem to another mode in the same subsystem. However, a path going from one mode, say  $p_1 \in \hat{P}$  to  $q \in \hat{Q}$  and then back to  $p_2 \in \hat{P}$ , or even  $p_1 \in \hat{P}$ , is perfectly feasible.

A transmission path, as defined in (13), allows one to know the energy that has been transmitted from 195 a particular source mode, where the external energy is input, to a target mode in a different subsystem, 196 involving a particular set of intermediate modes. As it will be shown in Section 4, the fast and efficient 197 computation of such paths will prove very useful to determine which modes play a significant role in reso-198 nant and non-resonant transmission in SmEdA models. However, it should be remarked that in order for 199 transmission path analysis to be a well-posed problem from a mathematical and physical point of view, an 200 additional condition has to be satisfied. It has to be possible to recover the overall energy at any target mode 201 as the summation of the energy contributions of all transmission paths linking the source mode with the 202 target one (see [24] for a detailed explanation in the case of SEA). Assuming fulfillment of that condition, 203 a transmission path analysis makes sense from a practical point of view whenever the energy transmission 204 is justified by a small set of dominant paths, so that one could act on their constituent modes/subsystems 205 for remedial action. 206

Let us next see that transmission path analysis in SmEdA is in fact a well-posed problem. Define the 207 matrix  $\boldsymbol{B} := \boldsymbol{I} - \text{diag}(1/\beta_{ii})\boldsymbol{\beta}$  whose diagonal is null and its off-diagonal entries non-negative. This matrix 208 is also irreducible, i.e. it cannot be made similar to a block upper triangular matrix via a permutation. This 209 amounts to saying that the adjacency matrix associated to B is that of a strongly connected directed graph 210 (this is actually the case, see next section). Next one can make use of the Perron-Frobenius theorem (see 211 e.g., [39]) and easily show that the spectral radius of B,  $\rho(B) < 1$ . As a consequence B is convergent, i.e., 212 the series of matrices  $\boldsymbol{B}^m$ , m = 0, 1, 2..., converge to the null matrix. Defining  $\boldsymbol{\Pi'} = \text{diag}(1/\beta_{\text{ii}}) \boldsymbol{\Pi}$  permits 213 rewriting (11) as 214

$$(I-B)E = \Pi' \tag{14}$$

215 so that

$$\boldsymbol{E} = \left(\boldsymbol{I} - \boldsymbol{B}\right)^{-1} \boldsymbol{\Pi'} = \left(\sum_{n=0}^{\infty} \boldsymbol{B}^n\right) \boldsymbol{\Pi'}.$$
 (15)

This proves that the energy at any mode can effectively be recovered as the infinite summation of the energy 216 contributions of the transmission paths linking the SmEdA system source modes with the target ones. The 217 entries in  $B^{\top}$  correspond to the energy contribution of the first order paths between pairs of modes; note 218 that  $B_{pq} = \beta_{pq}/\beta_p$ ,  $p \neq q$ , which according to (12) corresponds to a path from q to p (v.  $\beta_{pq} = \beta_{qp})$ . Thus 219  $B_{qp}$  will be the entry for a path from p to q. In the same way, the transposed of  $B^n$  includes the total 220 contribution of the n-th order paths linking modes in the SmEdA system. As the paths get longer their 221 contribution decreases because as said  $n \to \infty \Rightarrow B^n \to 0$ . A series like (15) was first proposed for SEA 222 systems in [40], see also [13, 23, 32, 40]. As will be shown next, (15) constitutes the key to link SmEdA with 223 graph theory. 224

#### 225 3.2. The SmEdA graph

Succinctly, a graph G = (U, E) consists of two sets of elements, U being the set of nodes and E the set of 226 arcs, or edges, connecting the nodes. The arcs in E can be assigned numerical values which can be gathered 227 in the so called weighting matrix of the graph [41]. A SmEdA graph can be built by defining all subsystem 228 modes as the nodes of the graph and identifying the arcs with the first order paths connecting them. The 229 arcs are then assigned the weights of these first order paths. Note that this would come down to identifying 230 the transpose of the generating matrix in (13),  $B^{\top}$ , as the weighting matrix of a strongly connected directed 231 SmEdA graph, analogously to what is done in SEA [23, 24]. The graph is strongly connected because for 232 any two arbitrary nodes in the graph there always exists a path connecting them. 233

For the correct definition of a SmEdA graph an additional subtle point has to be considered. In practice it is not possible to excite a particular mode of a given subsystem; standard mechanisms excite the whole subsystem at once. Moreover, one is usually interested in knowing the influence of a given transmission path to the whole target subsystem energy, not to one of its modes. To take into account these two facts, the SmEdA graph has to be enlarged with two fictitious nodes (not corresponding to system modes), one

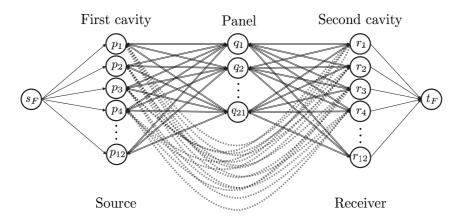


Figure 2: SmEdA graph corresponding to the cavity-panel-cavity model in Fig. 3

connected to the source subsystem modes, and the other one to the target subsystem modes. With regard to the former, one of the advantages of SmEdA is precisely that it can deal with both, localized and rain on the roof excitations. Let us denote the source subsystem as P with modes  $p \in \hat{P}$ . A fictitious source node  $s_F$  will be included in the graph with one-direction connections to every  $p \in \hat{P}$ . The weights of these connections will depend on the excitation being point-like or distributed. The power balance equation for a mode in the source subsystem P, when setting all remaining SmEdA system modal energies to zero, provides

$$\Pi^p_{inj} = \beta_p E_p. \tag{16}$$

In the case of a rain on the roof excitation  $\Pi_{inj}^p$  will be constant for all  $p \in \hat{P}$ . As opposes to this, in the case e.g. of a monopole source term,  $\Pi_{inj}^p \approx (\pi/4)\bar{S}_{Q_p}$ , with  $\bar{S}_{Q_p}$  standing for the power spectral density of the monopole, as stated in (4). The following weights will be thus assigned to the edges linking  $s_F$  to every  $p \in \hat{P}$ ,

$$w_{s_F p} = \frac{\Pi_{inj}^p}{\beta_p}.$$
(17)

With regard to the target or receiver subsystem, say R, another fictitious node  $t_F$  is included in the 249 graph. All modes  $r \in \hat{R}$  become connected to it through arcs with unitary weights  $w_{rt_F} = 1$ . The energy at 250 the target subsystem can be recovered from the summation of the energy of all its modes,  $E_T = \sum_{r \in \hat{R}} E_t$ . 251 To summarize, a SmEdA graph will consist of (N+2) nodes, where N is the total number of the SmEdA 252 system modes. The two additional nodes are a fictitious source node  $s_F$ , which is connected to all the modes 253 in the source subsystem with the weight in (17), and a fictitious target node to which all target subsystem 254 modes become connected with unit weight. As an example of SmEdA graph, in Fig. 2 that corresponding to 255 the cavity-panel-cavity example in Section 2.2 is presented, which will also be referred to in the forthcoming 256 Section 4 (see also Fig. 3). The arcs plotted in solid lines correspond to resonant first order paths while the 257 dashed line arcs correspond to non-resonant first order paths. The source is placed in the first cavity and 258 the second cavity corresponds to the target subsystem. Therefore, the node  $s_F$  is connected to all nodes in 259 the first cavity, whereas all nodes in the second cavity become connected to  $t_F$ . 260

Once a SmEdA graph has been generated, use can be made of previously developed algorithms in graph theory for the computation of transmission paths linking the source and the target subsystems. In particular, it suffices to apply the adaptation of the MPS algorithm [25, 26] to SEA that was done in [24], to compute a list of dominant transmission paths in SmEdA systems. With a brief post-process, it will be also possible to automatically identify the modes more often appearing in the dominant paths. This will considerably facilitate the cumbersome task of detecting those modes regulating the energy transmission between subsystems.

The MPS algorithm in [25, 26] was designed to solve the so called K-shortest loopless path problem in a graph. To do so, it resorts to the Bellman's optimality principle which states that there is a shortest path

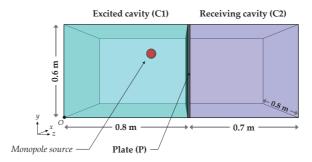


Figure 3: Cavity-panel-cavity system.

in a graph formed by shortest sub-paths. Once the shortest path between the source and target nodes has been computed, one can obtain the second-shortest path in the graph, the third-shortest path, the fourth and so on, from the analysis of their corresponding deviation paths [42]. The MPS algorithm was modified in [24] to allow one to compute maximum energy transmission paths instead of minimum ones, as well as to deal with the presence of loops.

## 275 4. Application to cavity-panel-cavity models

In this section, the above developments will be applied to cavity-panel-cavity models. Most conclusions 276 in [22] with regard to modal energy transmission between cavities will be validated, but now following a 277 totally different and faster approach. The models under analysis consist of two cavities separated apart 278 by a panel, as shown in Fig. 3. It will be assumed that energy transmission only takes place through the 279 dividing panel, the remaining cavity walls being totally rigid. In a first example a homogeneous panel will 280 be considered. This comprises of a steel plate with dimensions  $0.8 \text{ m} \times 0.6 \text{ m} \times 0.001 \text{ m}$ , mass density 281  $\rho = 7800 \text{ kg/m}^3$ , Young modulus  $E = 2 \times 10^{11} \text{ Pa}$  and damping loss factor  $\eta = 0.01$ . The panel is supposed 282 to be simply-supported on its four edges. In the second example, the steel plate will be stiffened with the 283 addition of some ribs, as detailed in Section 4.2. 284

The dimensions of the source cavity C1, are  $0.8 \text{ m} \times 0.6 \text{ m} \times 0.8 \text{ m}$ , and those of the receiver cavity 285 C2, 0.8 m × 0.6 m × 0.7 m. The cavities are filled with air (mass density  $\rho_0 = 1.29 \text{ kg/m}^3$ , speed of sound 286  $c_0 = 340$  m/s and damping loss factors  $\eta_{C1} = \eta_{C2} = 0.01$ ). C1 will be excited with a monopole source of unit 287 strength located at the point (0.24, 0.42, 0.54) m, according to the coordinate system [O; x, y, z] in Fig. 3. 288 In forthcoming explanations, the particular *i*-th mode belonging to the source cavity C1 will be referred to 289 as  $p_i \in \hat{P}$ , the *i*-th mode belonging to the plate as  $q_i \in \hat{Q}$  and the *i*-th mode in the receiver cavity C2 as 290  $r_i \in \hat{R}$ . For both examples, the most dominant modal energy transmission paths have been computed for 291 the one third octave bands ranging from 400 Hz to 4000 Hz central frequencies. 292

#### 293 4.1. Cavity – bare plate – cavity

In this case, the subsystem modal information required in SmEdA can be calculated analytically for 294 both, the natural frequencies and the interaction modal works (i.e. integral of the product between the 295 pressure cavity modes and the displacement panel modes). In Table 1, a first overview on how modal energy 296 transmission takes place for every analyzed 1/3 octave band is presented (first column in the table). The 297 results are built from the outputs of the the MPS algorithm as implemented in [24]. The second column in 298 the table contains the overall energy at the receiver cavity C2. The third one indicates the total number 299 of paths that have been computed for each band and the fourth and fifth columns show the contributions 300 of the computed paths, respectively in percentage and decibels, to the energy at C2. Next, the type of 301 302 paths that participate in the energy transmission from C1 to C2 are indicated (tri-block sixth column). The acronym PNR stands for purely non-resonant paths, herein identified with paths that only involve the bare 303 plate non-resonant modes, whereas PR stands for purely resonant paths, i.e. paths only involving the plate 304

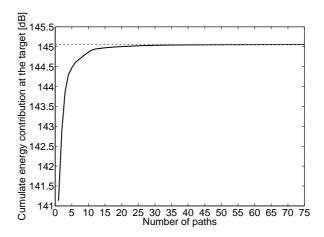


Figure 4: Cumulative path energy contribution at the receiver cavity C2 versus number of considered dominant paths, for the cavity - bare plate - cavity example at 630 Hz. Continuous black line: cumulative energy contribution, dashed grey line: total energy at the receiver C2.

resonant modes. M denotes mixed transmission paths that contain both, resonant and non-resonant plate
 modes. The contributions in percentage and decibels of the various types of paths is exposed in the tri-block
 columns seven and eight.

As observed in the table, though the number of resonant paths is large and for several bands surpass 308 that of non-resonant paths, their contribution to the energy level at C2 becomes negligible when compared 309 to the non-resonant one, as one would expect for the behavior of a homogeneous plate well below the 310 critical frequency  $f_c$  ( $f_c \sim 11$  kHz in the present example). Let us next focus, for the ease of exposition, 311 on the results of the 630 Hz one-third octave band. There are 12 resonant modes for the source cavity 312 C1 and for the receiver cavity C2 in this band. In what concerns the plate, it has 21 resonant modes and 313 75 non-resonant modes in the band. As explained, the latter do not result in extra nodes in the SmEdA 314 graph but in additional edges directly connecting the modes of the two cavities. The SmEdA graph for the 315 problem at hand will thus contain 47 nodes, 45 arising from all resonant modes in the system, plus two 316 nodes corresponding to the fictitious source and target nodes (see Fig. 2). 317

In Fig. 4, the cumulative contribution of the first 75 paths has been plotted. The dashed line represents the total energy at the receiver cavity which has a value of 145.06 dB ref.  $10^{-12}$  J, whereas the black solid line corresponds to the cumulative energy contribution when increasing the number of considered paths. It

Band	Band		Accum. Contr.	Accum. Contr.	Type	e of paths	s [%]	Accu	m. Contr	:. [%]	Accum. Contr. [dB]			
[Hz]	Energy	Paths	[%]	[dB]	PNR	$\mathbf{PR}$	М	PNR	PR	М	PNR	PR	М	
400	148.06	100	100.00	148.06	16.00	33.00	51.00	93.96	5.31	0.73	147.79	135.32	126.69	
500	136.57	100	100.00	136.57	10.00	50.00	40.00	80.16	18.89	0.96	135.61	129.33	116.37	
630	145.06	100	99.95	145.06	29.00	69.00	2.00	95.91	4.02	0.02	144.88	131.11	107.39	
800	143.72	100	99.38	143.69	41.00	57.00	2.00	84.57	14.76	0.05	142.99	135.41	110.86	
1000	142.08	200	98.69	142.02	39.50	60.50	0.00	87.57	11.12	0.00	141.50	132.54	$-\infty$	
1250	141.90	500	98.57	141.84	39.00	60.20	0.80	86.15	12.40	0.02	141.25	132.83	105.14	
1600	140.87	1000	97.79	140.77	39.90	60.10	0.00	91.41	6.38	0.00	140.48	128.92	$-\infty$	
2000	140.87	1000	95.83	140.68	65.10	34.90	0.00	92.56	3.26	0.00	140.53	126.00	$-\infty$	
2500	140.33	2000	93.72	140.04	69.75	30.25	0.00	90.02	3.69	0.00	139.87	126.00	$-\infty$	
3150	140.21	5000	93.10	139.90	67.10	32.90	0.00	89.97	3.13	0.00	139.75	125.16	$-\infty$	
4000	139.29	10000	91.39	138.90	71.58	28.42	0.00	88.76	2.64	0.00	138.77	123.50	$-\infty$	

Table 1: Cavity - bare plate - cavity example. Contributions of resonant, non-resonant and mixed transmission paths for the considered 1/3 octave frequency bands.

can readily be checked, for instance, that the first 5 paths had an overall contribution of 144.47 dB whereas the first 75 paths supply 145.05 dB of energy (99.9% of the overall energy at the target). At this point, it should be remarked that for practical applications in vibroacoustic problems differences of less than 1 dB become almost negligible (this constitutes in fact an eligible criteria to make a decision on the number of paths to be computed for each graph). Therefore, it can be observed that with the sole contribution of the five most dominant transmission paths it becomes possible to justify the whole transmission of energy from C1 to C2.

More insight can be gained by having a look at the results in Table 2. Its first column contains the number of transmission paths taken into account in the analysis, their cumulative energy contribution to the target subsystems in dB and percentage respectively being presented in columns two and three. The next three columns include the number of resonant modes of each subsystem (C1, panel and C2) that are involved in the corresponding paths of the first column, followed by the total summation of resonant modes in column number seven. Finally, the last column of the table indicates the difference between the total number of modes in the system and the number of modes appearing in the paths of the first column.

With regard to the row information in the table, the first row is a reference containing all data involved 335 in the exact transmission process from source to target. The second row shows the results when considering 336 75 dominant paths, which justify, as said, 99.9% of the energy at the receiver cavity. 38 modes from the 337 45 modes of the complete problem still play a role in the energy transmission process. However, by simply 338 considering 25 paths, the accumulated contribution is 99.16%, which leads to a negligible difference in 339 terms of decibels compared to the reference (less than 0.04 dB) and only 27 modes become involved in the 340 transmission. Focusing on the 8 most dominant paths, it can be observed that the difference in dB is still 341 insignificant (less than 1 dB) and that only 14 modes are of importance. There is also a very important 342 point to note in this case. As opposed to the preceding ones, it can be appreciated that no plate resonant 343 mode intervenes. In other words, the energy transmission between the two cavities at the 630 one-third 344 octave band is mainly non-resonant, as already noticed from the analysis of the results in Table 1. The 345 proposed graph approach not only allows one to corroborate this well-known point, but to determine which 346 are the most important non-resonant modes dominating the transmission. In Table 3, the ranking of the 10 347 stronger dominant paths has been listed. Non-resonant paths can be easily identified as they do not contain 348 any  $q_i$  element. As seen in the table, the first eight transmission paths are completely non-resonant, being 349 the ninth path the first one to include a  $q_i$  mode (in particular  $q_{21}$ ). 350

It should be remarked that obtaining the type of information in Tables 1, 2 and 3 is a straightforward 351 task from the output of the MPS algorithm. As opposed to this, trying to get this kind of information 352 directly from the analysis of the whole SmEdA system is a rather intricate and lengthy task that involves 353 several simplifying hypotheses [22]. This can be realized when trying to determine the first two or three 354 dominant paths in the SmEdA model following the procedure in [22]. To do so, the SmEdA model has 355 to be first simplified assuming weak coupling and taking advantage of the fact that the three subsystems 356 are connected in series. Then, it may be expected that the modal energies of the excited subsystem C1357 will be much higher than those of the homogeneous plate, which in turn will be higher than those in the 358 receiving cavity C2. The simplified SmEdA model relates the modal energies of C2 with the modal injected 359 powers through non-resonant and resonant paths, by means of equations (51) and (52) in [22], respectively. 360 Inspecting the values of the analytical factors relating these quantities, it becomes possible to determine 361

Number of paths	Accumulated contribution [dB]	Accumulated contribution [%]	Ŷ	$\hat{Q}^R$	$\hat{R}$	Total involved modes	Missing modes
$\infty$	145.06	100.00	12	21	12	45	0
75	145.05	99.91	12	14	12	38	7
25	145.02	99.16	12	5	10	27	18
10	144.86	95.59	7	1	7	15	30
8	144.73	92.82	7	0	7	14	31

Table 2: Cavity - bare plate - cavity example. Transmission path analysis and involved modes for the 630 Hz 1/3 octave band.

Path	Cavity – Homogenous plate – cavity
1	$S \to p_6 \to r_7 \to T$
2	$S \to p_{10} \to r_{10} \to T$
3	$S \to p_{12} \to r_{11} \to T$
4	$S \to p_1 \to r_3 \to T$
5	$S \to p_4 \to r_5 \to T$
6	$S \to p_3 \to r_6 \to T$
7	$S \to p_6 \to r_{10} \to T$
8	$S \rightarrow p_7 \rightarrow r_9 \rightarrow T$
9	$S \to p_{12} \to q_{21} \to r_{11} \to T$
10	$S \to p_{10} \to r_7 \to T$

Table 3: Cavity - bare plate - cavity example. Ranking of the 10 most dominant modal energy paths from a total of 75 computed paths for the 630 Hz 1/3 octave band

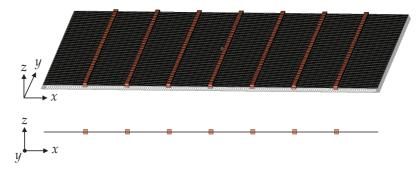


Figure 5: Ribbed plate finite element model

the two or three most dominant paths, which coincide with those in the ranking of Table 3. Therefore, one can resort to the procedure in [22] to validate the first paths resulting from the application of the MPS algorithm, but it should be emphasized that by no means is it possible to apply that procedure to generate an automatic list of dominant paths. Moreover, the approach in [22] only works under some restrictive assumptions which may not be valid in the case of complex SmEdA models. As seen, such difficulties can be overcome by resorting to the MPS algorithm.

# 368 4.2. Cavity – ribbed plate – cavity

In this second example the homogeneous bare plate becomes stiffened with some ribs. The ribs are 369 regularly placed 100 mm apart parallel to the plate y-axis and have a cross section of 10 mm  $\times$  10 mm, see 370 Fig. 5. It is well-known that the acoustic transmission of a ribbed plate differs from that of a bare plate 371 because its stiffness becomes increased in the rib direction. As a first approximation for low frequencies, 372 the ribbed plate may be considered equivalent to an orthotropic plate. Whereas the bare plate has a single 373 critical frequency ( $\sim 11$  kHz in the previous example), an orthotropic plate is characterized by having two 374 critical frequencies. The lowest one is that corresponding to the coincidence of waves traveling in the plate 375 stiffest direction (rib direction) with acoustic waves. In our case, this first critical frequency occurs at 376  $\sim 1.7$  kHz (the second being that of the bare plate at  $\sim 11$  kHz). Therefore and contrary to the bare plate, 377 for which resonant plate modes are only in spatial coincidence with the acoustic modes beyond 11 kHz, the 378 stiffened plate may have some resonant modes in spatial coincidence with the acoustic ones for frequencies 379 well below 11 kHz. One would then expect the resonant paths to play a more important role in the acoustic 380 transmission than the one they played for the bare plate. It is however difficult to make an a priori estimation 381 of the importance of the resonant paths compared to the non-resonant ones. As it will be shown below the 382 383 graph theory approach can substantially ease this task.

In order to build the SmEdA matrix for this case, the subsystem modes of the ribbed plate have been calculated with FEM. The plate has been modelled with 19200 quadrilateral shell elements while 900 onedimensional beam elements have been used for the ribs (see Fig. 5). Uncoupled extensional (in-plane)

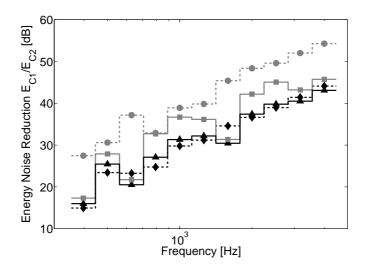


Figure 6: Energy ratio between the two cavities. -  $\bullet$  - (grey) bare plate with only resonant transmission, -  $\bullet$  - (black) bare plate with resonant and non-resonant transmission, -- (grey) ribbed plate with only resonant transmission -- (black) ribbed plate with resonant and non-resonant transmission.

and bending (out-plane) motions have been considered for the thin plate whereas bending, extensional and torsional motions have been taken into account for the beam-like stiffeners. Based on the classical FEM sampling criterion of using six elements per wavelength in the generated mesh, the results are expected to be valid up to 10 kHz. The normal modes have been computed using the SDTools code [43]. Besides, the cavity modes have been calculated analytically as in the cavity-bare plate-cavity example of the preceding subsection.

As expected, the energy transmission no longer presents two distinct ranges of behavior like for the bare 393 plate. This can be first observed in Table 4, built again from the outputs of the MPS algorithm, which 394 is the analogous to Table 1 but for the ribbed case. As seen, it is no longer true that the contribution to 395 the energy level at C2 becomes dominated by non-resonant paths, the resonant ones playing a determinant 396 role for several 1/3 octave bands. More graphically, this can be appreciated when analyzing the energy 397 noise reduction (ENR) between cavities [22]. The ENR is defined as  $ENR = 10 \log_{10}(E_{C1}/E_{C2})$ , with  $E_{C1}$ 398 and  $E_{C2}$  respectively standing for the time averaged total energies at cavities C1 and C2 respectively. In 399 Fig. 6 the ENR has been plotted in one-third octave bands for both, the bare plate of the previous example 400

Band	Target	Number of	Accum. Contr.	Accum. Contr.	Type of paths [%]			Accu	m. Contr	. [%]	Accum. Contr. [dB]		
[Hz]	Hz Energy	Paths	[%]	[dB]	PNR	PR	М	PNR	PR	М	PNR	PR	М
400	146.84	100	99.84	146.83	7.00	68.00	25.00	25.83	73.28	0.73	140.96	145.49	125.45
500	133.89	100	99.93	133.89	6.00	75.00	19.00	42.60	56.89	0.44	130.19	131.44	110.37
630	147.46	200	99.65	147.44	11.50	83.50	5.00	24.36	75.24	0.05	141.33	146.22	114.63
800	141.37	200	99.49	141.36	23.00	75.50	1.50	72.43	27.04	0.03	139.97	135.69	105.93
1000	140.53	300	99.48	140.51	27.00	72.00	1.00	70.77	28.69	0.02	139.03	135.11	104.14
1250	140.88	1000	98.79	140.83	17.70	82.10	0.20	59.76	39.03	0.01	138.64	136.79	98.38
1600	144.98	2000	98.55	144.91	16.00	83.55	0.45	18.92	79.62	0.02	137.74	143.99	107.25
2000	140.07	2000	94.89	139.84	33.35	66.65	0.00	66.07	28.82	0.00	138.27	134.67	$-\infty$
2500	139.50	5000	92.79	139.17	35.08	64.92	0.00	69.51	23.29	0.00	137.92	133.17	$-\infty$
3150	141.12	10000	82.84	140.30	27.56	72.44	0.00	44.81	38.03	0.00	137.63	136.92	$-\infty$
4000	140.32	10000	78.70	139.28	42.98	57.02	0.00	42.66	36.05	0.00	136.62	135.89	$-\infty$

Table 4: Cavity - ribbed plate - cavity example. Contributions of resonant, non-resonant and mixed transmission paths for the considered 1/3 octave frequency bands.

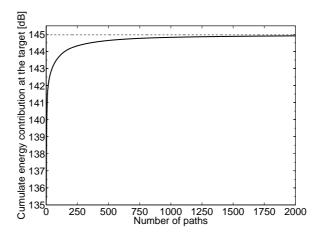


Figure 7: Cumulative path energy contribution at the receiver cavity C2 versus number of considered dominant paths, for the cavity - ribbed plate - cavity example for the 1600 Hz 1/3 octave band. Continuous black line: cumulative energy contribution, Continuous straight grey line: total energy at the receiver C2.

(dashed lines) and the ribbed one (solid lines). For each case two ENRs have been considered, the first one
only considers resonant modes in the transmission (grey lines) whereas the second one takes into account
resonant and non-resonant transmission as well (black lines).

Given that the maximum frequency limit in the figure is 4KHz, which is well below the critical frequency 404 of the bare plate, the resonant transmission ENR (dashed grey line) is clearer higher than the resonant plus 405 non-resonant ENR (dashed black line) because, as explained in Section 4.1 (see Table 1), transmission is 406 mainly dominated by non-resonant modes at this frequency range. However, when comparing the ENRs for 407 the ribbed plate, there is no clear dominance from one type of transmission or another. Besides, note from 408 Fig. 6 that the ENR of the ribbed plate considering all type of paths is generally higher than that of the 409 bare plate, except for the 630 Hz and 1600 Hz bands. At these frequencies, the transmission seems to be 410 mainly resonant for the ribbed plate, since the grey and black solid lines have really close values and there 411 are two dips in the ENR curves. This is confirmed by the results in Table 4. 412

If the transmission is mostly resonant, one could suspect that there is a group of modes of the cavities 413 and the plate which exhibit spatial and frequency coincidences, i.e. a group involving a path of the type 414  $p_i \rightarrow q_i \rightarrow r_i$ . One could then be tempted to check from all possible combinations of three modes which 415 of them present a high intermodal work  $W_{ij}$  (to account for the spatial matching) or which are closer in 416 frequency (to account for the frequency matching), to try to determine which modes control the energy 417 coupling. However, this is not a very good option because the effects of spatial and frequency coincidence 418 are studied separately. To determine modal energy transmission it is better to focus the analysis on the 419 modal coupling factors, see (5), which include the spatial and frequency coincidence effects at the same 420 time. Inspecting the values of these factors between the excited cavity and the ribbed panel on the one side, 421 and between the ribbed panel and the receiving cavity on the other side, it is possible to identify the most 422 dominant paths between each pair of coupled subsystems. However, it still becomes very difficult to identify 423 the most dominant paths when considering the coupling of all three subsystems together. For example, 424 one plate mode could be strongly coupled with a mode of the excited cavity whereas poorly coupled with 425 the modes of the receiving cavity. Such a mode could exchange much less energy than another one being 426 moderately coupled with the modes of both cavities. The analysis of the modal coupling may be a lengthy 427 and time consuming manual procedure which cannot fully guarantee that the inspected modes will be the 428 ones dominating energy transmission. Alternatively, resorting to graph theory allows one to gather this type 429 of information. 430

It is interesting to focus on the 1600 Hz frequency band for which resonant modes play a significant role. In Fig. 7 the cumulative energy contribution of the first 2000 paths in this band is depicted. The total energy at the receiver cavity is 144.97 dB and the contribution e.g., of the first 250 paths already provides 144.32 dB,

Path	Cavity – Ribbed plate – cavity
1	$S \rightarrow p_{49} \rightarrow q_{20} \rightarrow r_{49} \rightarrow T$
2	$S \to p_7 \to q_1 \to r_{13} \to T$
3	$S \to p_{14} \to q_5 \to r_{12} \to T$
4	$S \to p_{82} \to q_{31} \to r_{72} \to T$
5	$S \rightarrow p_{82} \rightarrow q_{31} \rightarrow r_{55} \rightarrow T$
6	$S \rightarrow p_{78} \rightarrow q_{20} \rightarrow r_{49} \rightarrow T$
7	$S \rightarrow p_{49} \rightarrow q_{20} \rightarrow r_{30} \rightarrow T$
8	$S \to p_{141} \to q_{57} \to r_{129} \to T$
9	$S \to p_{82} \to q_{31} \to r_{81} \to T$
10	$S \to p_{33} \to q_{20} \to r_{49} \to T$

Table 5: Cavity - ribbed plate - cavity example. Ranking of the 10 most dominant modal energy paths from a total of 2000 computed paths for the 1600 Hz 1/3 octave band.

<sup>434</sup> which is fairly close to the total value (less than 1 dB). Therefore, it becomes feasible to circumscribe the

analysis to the ranking of the first 250 paths. For every mode, the number of appearances in the list of the

<sup>436</sup> 250 paths and the number of paths that contain it have been counted. The results are presented in Fig. 8.

<sup>437</sup> It can be observed that for every subsystem, there is a relatively small group of outstanding modes, whose

maxima can respectively be identified as  $p_{49}$ ,  $q_{20}$  and  $r_{49}$ . One may presume that these modes will play a

<sup>439</sup> predominant role in energy transmission. Actually, if one inspects the ranking of the 250 paths (see Table 5

for the first 10 paths), it precisely follows that the preeminent path is  $p_{49} \rightarrow q_{20} \rightarrow r_{49}$  which contributes

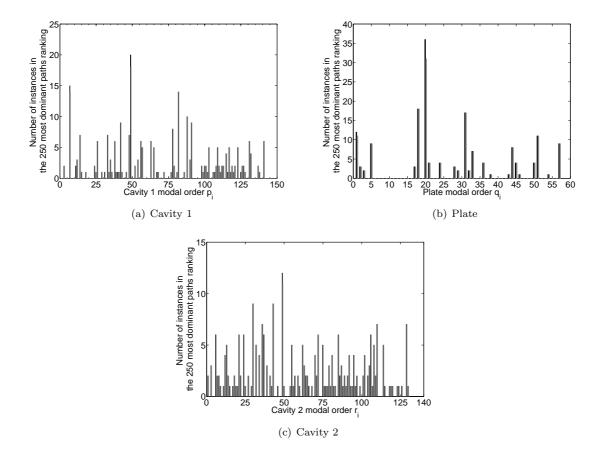


Figure 8: Number of instances of every mode in the 250 most dominant paths ranking. Black columns: total number of instances in the path ranking. Grey columns: number of paths containing a particular mode.

<sup>441</sup> 135.36 dB to the energy at the receiver cavity. The natural frequencies of these modes are 1547 Hz for  $p_{49}$ , <sup>442</sup> 1549 Hz for  $q_{20}$ , and 1565 Hz for  $r_{49}$ . These modes are relatively close in frequency but for the receiving <sup>443</sup> cavity, other modes closer to  $q_{20}$  than  $r_{49}$  can be found. For example,  $r_{42}$  has a frequency of 1547 Hz. Thus, <sup>444</sup> the matching in frequency cannot explain in itself why  $p_{49} \rightarrow q_{20} \rightarrow r_{49}$  dominates.

It is worth examining this point in more detail. In Fig. 9 the spatial distribution (pressure for cavity 445 modes and displacement for the ribbed plate) on the plate surface is plotted, for the three involved modes. 446 Although the natural flexural wavelength of the plate is  $\sim 0.08$  m at 1.5 kHz, a wavelength for mode  $q_{20}$ 447 along the y-direction can be appreciated well above this value, which is comparable to the wavelengths of 448 acoustic modes. This is due to the stiffness effect of the ribs and it leads to a spatial matching between this 449 plate mode and the acoustic modes  $p_{49}$  and  $r_{49}$  in the the y-direction. The intermodal works between  $p_{49}$ 450 and  $q_{20}$ , and  $q_{20}$  and  $r_{49}$  are, respectively, 66.7 J and 71.4 J and their modal coupling factors turn out to be 451 22.5 Hz and 12.8 Hz. The latter is lower than the former whereas the opposite happens for the intermodal 452 works. This can be simply explained by the fact that  $p_{49}$  and  $q_{20}$  are closer in frequency than  $q_{20}$  and  $r_{49}$ . 453 The spatial matching is here the key phenomenon which leads the path  $p_{49} \rightarrow q_{20} \rightarrow r_{49}$  to be the most 45 dominant one, as identified by the MPS algorithm. 455

In order to give the reader a point for comparison, an analysis is made of the interactions in the path  $p_{34} \rightarrow q_{14} \rightarrow r_{33}$  which does not appear in the MPS ranking of the first 250 paths, though its modes have very close natural frequencies (1514.2 Hz, 1512.0 Hz, 1512.6 Hz, respectively). The pressure and displacement spatial patterns of those modes on the plate surface have been plotted in Fig. 10 to be compared with those in Fig. 9. As observed, the plate mode  $q_{14}$  presents short wavelengths along the x and y directions

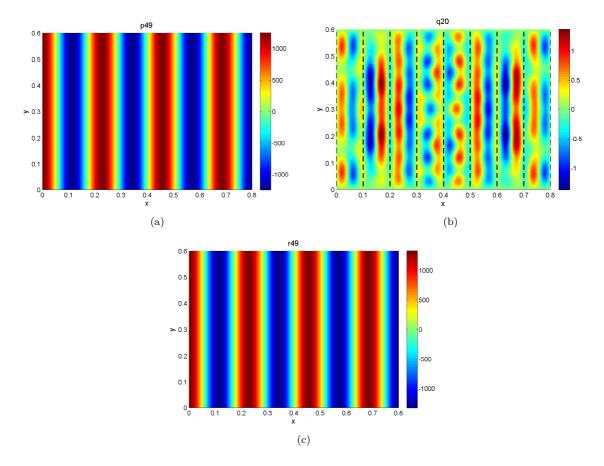


Figure 9: Magnitudes of the mode spatial shapes on the coupling surface: (a) p49; (b) q20 (Vertical dashed line: rib positions); (c) r49.

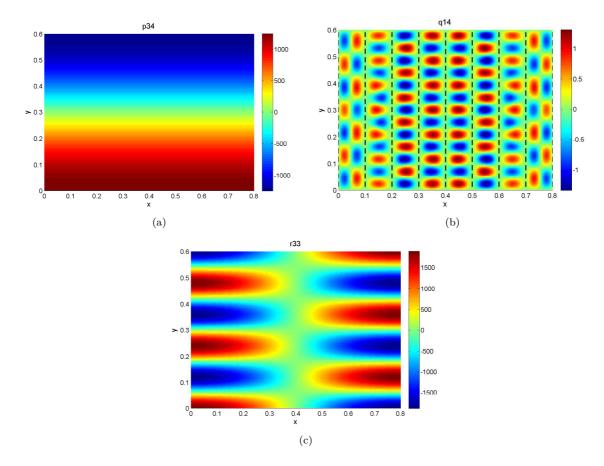


Figure 10: Magnitudes of the mode spatial shapes on the coupling surface: (a) p34; (b) q14 (Vertical dashed lines: rib positions); (c) r33.

compared to the wavelengths of the acoustic modes. This results in low values for the intermodal works 461  $(1.9 \times 10^{-7} \text{ J between } p_{34} \text{ and } q_{14} \text{ and } 8.7 \times 10^{-7} \text{ J between } q_{14} \text{ and } r_{33})$  and in very low values for the modal coupling factors  $(1.9 \times 10^{-16} \text{ Hz between } p_{34} \text{ and } q_{14} \text{ and } 3.9 \times 10^{-17} \text{ Hz between } q_{14} \text{ and } r_{33})$ , despite the 462 463 frequency matching. Hence, it becomes clear that the main difference between the paths  $p_{49} \rightarrow q_{20} \rightarrow r_{49}$ 464 and  $p_{34} \rightarrow q_{14} \rightarrow r_{33}$  is the effect of spatial matching. The former path is the most dominant one and this 465 is a consequence of the rib effects which lead to a low energy noise reduction in comparison to that of the 466 bare plate for the 1600 Hz third octave band (see Fig. 6). Therefore, it becomes clear again how from an 467 automatic and quick inspection of the outputs of the MPS algorithm it is possible to identify those modes 468 responsible of a sudden decrease in the ENR curves, and act upon them if necessary. Otherwise, one could 469 waste lots of time analysing paths with good frequency matching but no relevant energy contribution such 470 as  $p_{34} \to q_{14} \to r_{33}$ . 471

# 472 5. Application to a shipbuilding structure

# 473 5.1. Path analysis in SmEdA

The above developments will be applied in this section to a more complex case consisting of a shipbuilding structure made of 6 rooms distributed in two decks (see Fig. 11). The floor between decks and the vertical walls between rooms are made of steel ( $\rho = 7800 \text{ kg/m}^3$ , Young modulus  $E = 2 \times 10^{11}$  Pa and internal damping  $\eta = 0.01$ ). The thicknesses of the panels are 6 mm for the floor and 2 mm for the walls. The floor and the walls are stiffened with 6 T-shaped ribs. These ribs are regularly spaced along the floor's longest

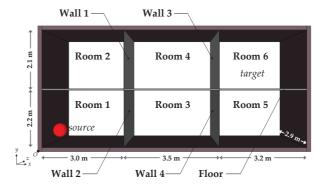


Figure 11: Sketch of the shipbuilding built-up structure model

edge and along the vertical wall edges. The rib spacing is 0.4 m and the first and the last ribs are respectively placed at 0.46 m and 0.44 m from the edges. The T-cross section dimensions are  $[80 \times 8] \text{ mm}^2 / [80 \times 8] \text{ mm}^2$ for the floor ribs and  $[60 \times 5] \text{ mm}^2 / [60 \times 5] \text{ mm}^2$  for the wall ones. Besides, to deal with a more amenable model the external walls and floors of the structure have been assumed rigid. The rooms are filled with air (mass density  $\rho_0 = 1.29 \text{ kg/m}^3$ , wavespeed  $c_0 = 340 \text{ m/s}$ , damping loss factors  $\eta = 0.01$ ). Overall, this results in a model with eleven subsystems: six rooms R1 - R6, the floor F and four separating walls W1 - W4 (see Fig. 11).

In this case, to build the SmEdA model only flexural vibration modes have been considered for the panels. 486 Different studies on ship structures [44, 45] showed that this type of vibration transmission is predominant 487 in the low and mid frequency range. For high frequencies (several kHz for typical naval frames), in-plane 488 modes may be of importance for some one third octave bands. Actually, it has been noticed that in-plane 489 longitudinal and shear motions may have significant contributions on the energy transmitted to a subsystem 490 far away from the excited one [46, 47]. However, given that the frequency range of analysis has been fixed 491 to [80 Hz-500 Hz], which is below the first in-plane mode of the floor (616 Hz), contemplating only bending 492 motions is a fair enough approximation. The modes of the floor and walls have been computed with FEM 493 and the SDTool code [43], whereas the cavity modes have been calculated analytically, like in the previous 494 examples. 495

An acoustic monopole has been located at point  $M_0 = (0.8, 0.9, 0.7)$  m in Room 1 (source subsystem), with unit power spectral density. Room 6 is considered as the receiver. In Fig. 13(a) the ENR between R1 and R6 has been plotted, whereas the ENR for the two adjacent rooms R1 and R3 is also presented in Fig. 13(b) for comparison. As observed, the energy reduction between R1 and R6 is considerably high except for the 200 Hz band where strong energy transmission takes place. One may then wonder which modes are responsible for the transmission at this frequency band to act upon them e.g., by increasing their damping and thus reducing the energy level at the receiver.

In Table 6 a path analysis is presented for the shipbuilding built-up structure, analogous to that in

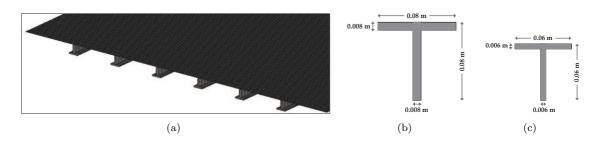


Figure 12: (a) Zoom view of the floor in the shipbuilding structure of Fig. 11. (b) Section of the floor ribs. (c) Section of the wall ribs.

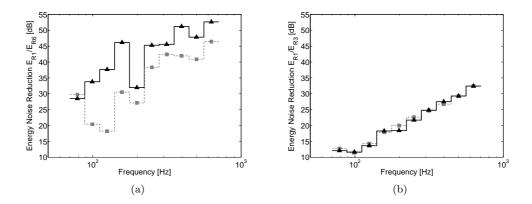


Figure 13: (a) Noise Energy Reduction  $E_{R6}/E_{R1}$  between Room 1 and Room 6. —  $\blacktriangle$  — (black) SmEdA results. - - (grey) SEA results. (b) Noise Energy Reduction  $E_{R3}/E_{R1}$  between Room 1 and Room 3. —  $\bigstar$  — (black) SmEdA results. - -  $\blacksquare$  - (grey) SEA results.

Table 2 for the cavity-homogeneous plate-cavity system. As seen from the table, there are a total of 326 modes involved in the 200 Hz band, the overall energy at the receiver subsystem being 45.06 dB (first row). Considering 1000 paths 93.77% of the energy at the receiver can be recovered, with a drastic reduction in the number of involved modes (from 326 to 112). The strongest reduction of modes takes place for subsystems R2, R3 and W1 - W4, which is logical. With the sole consideration of 25 paths the reconstructed energy at the receiver is less than one dB of its overall value, which may suffice for the analysis of the vibroacoustic behaviour of the structure.

Computing the number of instances of every mode in the ranking of paths (see Fig. 14) reveals that the dominant modes of the floor are  $f_{22}$  and  $f_{23}$ . This can also be appreciated in the ranking list of Table 7 for the first seven dominating transmission paths. These paths contribute 58.11% of the energy at the receiver and only contain modes belonging to the source room, the receiver room and the floor. This indicates that energy is mainly transmitted along the floor separating the two decks.

Similarly to what occurred for the cavity - ribbed plate - cavity example, a close inspection of modes 516  $f_{22}$  and  $f_{23}$  shows that they present high wavelengths in the floor's stiffest direction, which results in good 517 spatial matching with the cavity modes (see Figs. 15a, b). Despite the amplitudes of the mode shapes 518 being smaller in the junction area with room 1 than with room 6, there is still good spatial matching of  $f_{22}$ 519 and  $f_{23}$  with the cavity modes of the former. In contrast, mode  $f_{25}$ , for example, exhibits lower vibration 520 values in the junction areas with rooms 1 and 6 than in the junction with room 2, and also presents shorter 521 wavelengths than  $f_{22}$  and  $f_{23}$  (see Fig. 15c). This explains the lack of spatial matching leading to destructive 522 interference between the floor mode and the cavity modes. In turn, that results in low intermodal works 523 within room 1 and room 6 modes. 524

As a consequence, one could attempt to increase the damping of modes  $f_{22}$  and  $f_{23}$  in order to diminish the energy level in the receiver room. This has been simulated by setting their new internal damping values

Number of paths	Accum. Contr. [dB]	Accum. Contr. [%]	$\hat{R1}$	$\hat{R2}$	Â3	$\hat{R4}$	$\hat{R5}$	$\hat{R6}$	Ê	$\hat{W1}$	$\hat{W2}$	Ŵ3	$\hat{W4}$	Total involved modes	Missing modes
$\infty$	45.06	100.00	15	14	18	18	17	17	65	42	40	40	40	326	0
1000	44.78	93.77	12	5	6	13	8	17	37	2	5	7	0	112	214
500	44.67	91.39	11	5	3	8	4	15	30	1	3	5	0	85	241
100	44.44	81.67	8	3	3	4	3	12	14	0	0	2	0	49	277
25	44.18	70.85	3	1	0	0	1	5	6	0	0	0	0	16	310
7	42.70	58.11	1	0	0	0	0	3	3	0	0	0	0	7	319

Table 6: Shipbuilding structure. Transmission path analysis and involved modes.

Path	Shipbuilding
1	$S \to r1_6 \to f_{22} \to r6_5 \to T$
2	$S \to r1_6 \to f_{22} \to r6_6 \to T$
3	$S \to r1_6 \to f_{23} \to r6_5 \to T$
4	$S \to r1_6 \to f_{23} \to r6_6 \to T$
5	$S \to r1_6 \to f_{22} \to r6_7 \to T$
6	$S \to r1_6 \to f_{21} \to r6_5 \to T$
7	$S \rightarrow r1_6 \rightarrow f_{23} \rightarrow r1_6 \rightarrow f_{22} \rightarrow r6_5 \rightarrow T$

Table 7: Shipbuilding structure example. Ranking of the 10 most dominant modal energy paths from a total of 1000 computed paths

to  $\eta_{22} = \eta_{23} = 0.1$ , which has resulted in a 5.0 dB reduction of the transmitted energy. Of course this structural modification is only theoretical, but it confirms that the structural modes on which one should intervene for controlling the noise transmission have been well identified. In practice, viscoelastic layers can be used for increasing the modal damping. In order to optimize the increase of damping of a given mode the layers should be placed at the positions of maximum strain energy according to the mode shape [48]. The damping factors of all modes in the considered structure will be obviously influenced by these viscoelastic layers. They could be estimated using the Modal Strain Energy (MSE) method [49–51].

To summarize, the MPS algorithm has allowed for a quick identification of the two most critical modes determining the energy transmission between source and receiver in the SmEdA model of the shipbuilding built-up structure, which contains a total of 326 modes. The sole modification of the damping loss factors of two modes, from 65 modes in the floor, has resulted in a noise reduction of 5 dB.

All in all, it would be feasible to think of drawing a process for any built-up structure, which could start 538 with the identification of the most dominant modal transmission paths from source to receiver subsystems, 539 using the graph theory approach depicted heretofore. An analysis could then be made of the mode shapes 540 having the most significant participation in noise transmission (like modes  $f_{22}$  and  $f_{23}$ ), and then one could 541 try to reduce their energy contribution at the receiver by increasing their damping. A target noise reduction 542 value could be fixed for the receiver (like the 5 dB in room 6) and appropriate values for the problematic 543 mode damping (like 0.1 for  $f_{22}$  and  $f_{23}$ ) could be derived to reach that goal. Damping layers could judiciously 544 be placed to reach the objective and determined according to the MSE method. A final SmEdA simulation 545 would verify the performance of the solution in terms of noise reduction. A similar automatized procedure 546 in the easier case of SEA was developed in the framework of graph theory in [28]. The development of an 547 analogous process for SmEdA as described is, however, outside the scope of this paper. 548

549 5.2. Comparison with SEA results

A comparison with the results that can be obtained from a SEA model of the shipbuilding structure instead of the SmEdA one will be finally presented to highlight some of the advantages of the latter. A SEA

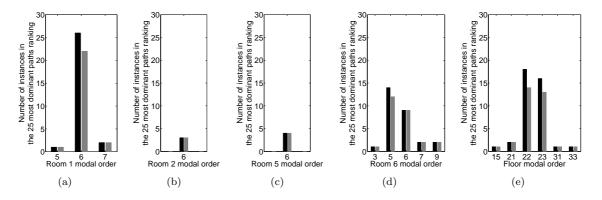


Figure 14: Number of instances of every mode in the 25 most dominant paths ranking. Black columns: total number of instances in the path ranking. Grey columns: number of paths containing a particular mode.

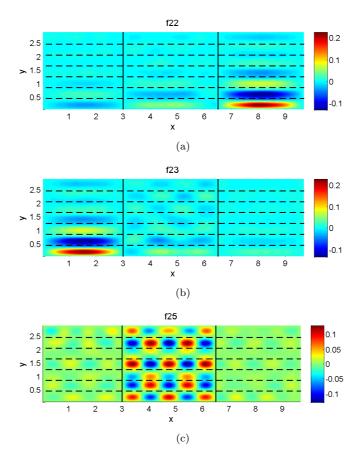


Figure 15: Magnitudes of the mode spatial shapes on the coupling surface (Horizontal dashed lines: rib positions): (a)  $f_{22}$ ; (b)  $f_{23}$ ; (c)  $f_{25}$ .

<sup>552</sup> system can be directly deduced from a SmEdA one by assuming modal energy equipartition, see [18, 20]. <sup>553</sup> The SEA coupling loss factors (CLF) and injected power can be related, respectively, to the SmEdA modal <sup>554</sup> coupling factors and modal injected powers. For the basic case of two coupled subsystems considered in <sup>555</sup> Section 2.1, the CLF between subsystems 1 and 2,  $\eta_{12}$ , can be computed as

$$\eta_{12} = \frac{\sum_{p \in \hat{P}} \sum_{q \in \hat{Q}} \beta_{pq}}{\omega_c N_p},\tag{18}$$

<sup>556</sup> and the injected power in subsystem 1 as

$$\Pi^1 = \sum_{p \in \hat{P}} \Pi^p_{inj}.$$
(19)

<sup>557</sup> These expressions can be easily generalized in the case of having more subsystems.

When the subsystem modal overlap is high (typically greater than one), energy equipartition takes place 558 to a good extent so that SEA and SmEdA provide similar results. However, for low modal overlap, modal 559 energy equipartition is no longer satisfied for subsystems located far away from the excited one, which leads 560 SEA to overestimate energy transmission [20]. This can be observed in Figs. 13(a) and (b) for the ENR 561 values between rooms 1 and 6 and rooms 1 and 3. In the case of adjacent rooms SEA and SmEdA yield 562 fairly close results. As opposed to this, in the case of rooms 1 and 6 which are at considerable distance apart 563 (see Fig. 11) a strong discrepancy can be observed between the predictions of SmEdA and SEA. The latter 564 gives a lower ENR value and thus a higher energy level at the receiver room 6. 565

Path	Shipbuilding SEA
1	$S \to r1 \to f \to r6 \to T$
2	$S \rightarrow r1 \rightarrow f \rightarrow r4 \rightarrow r6 \rightarrow T$
3	$S \rightarrow r1 \rightarrow w2 \rightarrow f \rightarrow r6 \rightarrow T$
4	$S \rightarrow r1 \rightarrow r3 \rightarrow f \rightarrow r6 \rightarrow T$
5	$S \to r1 \to f \to w3 \to r6 \to T$
6	$S \to r1 \to f \to r4 \to f \to r6 \to T$
7	$S \rightarrow r1 \rightarrow f \rightarrow r3 \rightarrow f \rightarrow r6 \rightarrow T$
8	$S \to r1 \to r2 \to f \to r6 \to T$
9	$S \rightarrow r1 \rightarrow f \rightarrow r5 \rightarrow r6 \rightarrow T$
10	$S \rightarrow r1 \rightarrow f \rightarrow r6 \rightarrow f \rightarrow r6 \rightarrow T$

Table 8: Shipbuilding structure example. Ranking of the 10 most dominant energy SEA paths from a total of 100 computed paths for the 200 Hz 1/3 octave band.

In Table 8, the ranking for the 10 dominant energy transmission paths between rooms 1 and 6 in the SEA model is presented. As observed the first path clearly involves energy transmission through the floor. However, and contrary to the results in Table 7, in this case no information at all is available on which modes are responsible for this transmission.

### 570 6. Conclusions

Energy interchange between modes in subsystems rather than between subsystems (groups of modes) 571 themselves, it is at the very core of SmEdA. As seen, avoiding modal energy equipartition allows one to 572 extend classical SEA to the mid frequency range and dealing with low modal overlap and/or locally excited 573 subsystems, as well as with complex geometry subsystems. However, given that many modes are considered 574 within subsystems, the dimensions of SmEdA matrices become considerably large even for medium sized 575 systems, like those representing sound transmission between two adjacent cavities. Energy transmission in 576 such type of systems is more often caused not so much by mode frequency matching as by mode spatial 577 matching. If one aims at vibroacoustic remedial actions, identifying those resonant and non-resonant modes 578 that play an essential role in energy transmission becomes a must. However, direct mode by mode inspection 579 may become a never-ending task even in the occurrence of frequency matching, as shown for some of the 580 analyzed examples. 581

It has been proved that graph theory offers a way out to this problem. Analogously to what was 582 previously done in SEA, and for some energy distribution models as well, it becomes possible to define a 583 SmEdA graph associated to any SmEdA model and apply a ranking path algorithm to it. The latter results 584 in a sorted list of modal energy transmission paths from source to receiver subsystems, which allows for the 585 straightforward identification of the modes that dominate the transmission process. Then one could focus 586 on analyzing the spatial matching of the modes in that dominating paths and see whether an increase of 587 damping, a structure reinforcement, or whatever action deemed appropriate, may result in a decrease of 588 the noise transmitted to the receiver. The validity of the graph approach to ease the analysis of SmEdA 589 systems has been demonstrated for different examples, consisting of the transmission of sound between 590 cavities separated by both bare and ribbed plates, as well as for the more complex case of a shipbuilding 591 structure 592

Number	Accum.	Accum.	Presence of the subsystem											
of paths	Contr. [dB]	Contr. [%]	R1	R2	R3	R4	R5	R6	F	W1	W2	W3	W4	
$\infty$	49.73	100.00	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	
100	49.73	99.93	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	
20	49.72	99.73	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	×	$\checkmark$	$\checkmark$	×	
10	49.68	98.72	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	×	$\checkmark$	$\checkmark$	×	
1	49.47	94.12	$\checkmark$	×	×	×	×	$\checkmark$	$\checkmark$	×	×	×	×	

Table 9: Shipbuilding structure. Transmission path analysis in the SEA model.

# 593 7. Acknowledgments

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