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A MODIFIED GALERKIN / FINITE ELEMENT METHOD FOR THE NUMERICAL SOLUTION OF THE SERRE-GREEN-NAGHDI SYSTEM

DIMITRIOS MITSOTAKIS, COSTAS SYNOLAKIS, AND MARK MCGUINNESS

ABSTRACT. A new modified Galerkin / Finite Element Method is proposed for the numerical solution of the fully nonlinear shallow water wave equations. The new numerical method allows the use of low-order Lagrange finite element spaces, despite the fact that the system contains third order spatial partial derivatives for the depth averaged velocity of the fluid. After studying the efficacy and the conservation properties of the new numerical method, we proceed with the validation of the new numerical model and boundary conditions by comparing the numerical solutions with laboratory experiments and with available theoretical asymptotic results.

1. INTRODUCTION

The motion of an ideal (inviscid, irrotational) fluid bounded above by the free surface and below by an impermeable bottom is governed by the full Euler equations of water wave theory, [Whi99]. Because of the complexity of the Euler equations, a number of simplified models describing inviscid fluid flow have been derived such as various Boussinesq type (BT) models. The Serre-Green-Naghdi (SGN) system can be considered to be a BT model that approximates the Euler equations, and models one-dimensional, two-way propagation of long waves, without any restrictive conditions on the wave height. The SGN system is a fully non-linear system of the form,

$$h_t + (hu)_x = 0 , \tag{1a}$$

$$[h + \mathcal{T}_b^h] u_t + gh(h + b)_x + hu u_x + \mathcal{Q}^h u + \mathcal{Q}_b^h u = 0 , \tag{1b}$$

where

$$h(x, t) \doteq \eta(x, t) - b(x) , \tag{1c}$$

is the total depth of the water between the bottom $b(x)$ and the free surface elevation $\eta(x, t)$, $u(x, t)$ is the depth averaged horizontal velocity of the fluid, and g the acceleration due to gravity. The operators \mathcal{T}^h , \mathcal{Q}^h and \mathcal{Q}_b^h depend on h , and are defined as follows:

$$\mathcal{T}_b^h w = h \left[h_x b_x + \frac{1}{2} h b_{xx} + b_x^2 \right] w - \frac{1}{3} [h^3 w_x]_x , \tag{1d}$$

$$\mathcal{Q}^h w = -\frac{1}{3} [h^3 (w w_{xx} - w_x^2)]_x , \tag{1e}$$

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$$\mathcal{Q}_b^h w = \frac{1}{2} [h^2(w^2 b_{xx} + w w_x b_x)]_x - \frac{1}{2} h^2 [w w_{xx} - w_x^2] b_x + h w^2 b_x b_{xx} + h w w_x b_x^2, \quad (1f)$$

In dimensional and unscaled form, the independent variable, $x \in \mathbb{R}$ is a spatial variable and $t \geq 0$ represents the time.

The SGN equations as derived by Seabra-Santos *et.al.* in [SSRA87] have also been derived in a three-dimensional form in [LB09] and in a different formulation by Green and Naghdi [GN76]. In the case of a flat bottom (i.e. $b_x = 0$) (1) is simplified to the so-called Serre system of equations derived first by Serre [Ser53a, Ser53b] and re-derived later by Su and Gardner, [SG69]. For these reasons the equations (1) are also known as the Serre, or Green-Naghdi, or Su and Gardner equations. We will henceforth refer to them here as the Serre-Green-Naghdi (SGN) equations.

Under the additional assumption of small amplitude waves (i.e. the solutions are of small amplitude), the SGN system reduces to the Peregrine system, [Per67a]:

$$h_t + (hu)_x = 0, \quad (2a)$$

$$u_t + g(h+b)_x + uu_x - \frac{b}{2}[bu]_{xxt} - \frac{b^2}{6}u_{xxt} = 0. \quad (2b)$$

Peregrine's system belongs to the weakly dispersive and weakly nonlinear BT systems. There are also other BT systems that are asymptotically equivalent to Peregrine's system, cf. [Mit09, Nwo93].

Although equations (2) can be derived from the SGN system, their solutions have different properties. For example the solutions of the SGN equations are invariant under the Galilean boost, while the respective solutions of (2) are not. It is noted that the SGN equations have a Hamiltonian formulation, [Li02, Isr11]. Specifically, for a stationary bathymetry the SGN system conserves the total energy functional [Isr11]:

$$I(t) = \int_{\mathbb{R}} g\eta^2 + hu^2 + T_b^h u \cdot u \, dx, \quad (3)$$

in the sense that $I(t) = I(0)$, for all $t > 0$. The conservation of this Hamiltonian will be used to measure accuracy and conservation properties of the proposed numerical methods.

Although both systems are known to admit solitary wave solutions propagating without change in their shape over a horizontal bottom $y = -b_0$, only the solitary waves of the SGN system have known formulas in a closed form. Specifically, a solitary wave of the SGN system with amplitude A can be written in the form:

$$h_s(x, t) = b_0 + A \operatorname{sech}^2[\lambda(x - c_s t)], \quad u_s(x, t) = c_0 \left(1 - \frac{b_0}{h(x, t)}\right), \quad (4a)$$

$$\lambda = \sqrt{\frac{3A}{4b_0^2(b_0 + A)}} \quad \text{and} \quad c_s = c_0 \sqrt{1 + \frac{A}{b_0}}, \quad (4b)$$

where c_s is the phase speed of the solitary wave and $c_0 = \sqrt{gb_0}$ is the linear wave speed.

In addition to the above properties the system (1) is known to have several favourable well-posedness properties. For example, it is locally well-posed in time for smooth bottom functions in \mathbb{R} . Specifically, if $C_b^\infty(\mathbb{R})$ is the space of bounded and continuously differentiable functions and H^s denotes the usual Sobolev space

of s -order weakly differentiable functions, then if $b \in C_b^\infty(\mathbb{R})$, $\kappa > 1/2$, $s \geq \kappa + 1$ and the initial condition is $(\eta_0, u_0) \in H^s(\mathbb{R}) \times H^{s+1}(\mathbb{R})$, then there is at least a maximal time $T_{\max} > 0$, such that the SGN equations admit a unique solution $(\eta, u) \in H^s(\mathbb{R}) \times H^{s+1}(\mathbb{R})$, cf. [Isr11]. We also mention that the solution will satisfy a non-vanishing depth condition when the initial data satisfy the same non-vanishing condition, i.e. there is an $\alpha > 0$ such that for all $t \geq 0$

$$\eta(x, t) - b(x) \geq \alpha > 0 . \quad (5)$$

For more information on the model equations, including the derivation, theory and justification, refer to [Lan13, LB09, Bar04].

We consider here the SGN equations on a finite interval. In addition to periodic boundary conditions, we will study physically relevant reflective boundary conditions. The linearized equations of (1) about the trivial solution coincide with the linearized Peregrine system. Initial-boundary value problems for Peregrine's system have been studied in [FP05], where the existence of solutions was proven for boundary data for $u(a, t)$ and $u(b, t)$. In a similar manner, the same boundary conditions $u(a, t) = u(b, t) = 0$ for $t \geq 0$ are sufficient for the system (1) to model the reflection of the waves on solid wall boundaries. The specific wall boundary conditions have been used widely to describe reflection of waves on the boundaries for various numerical models including BT systems such as the Nwogu system, [KD13].

The numerical discretization of the SGN equations is a challenging problem due to their complicated form. Not only do the operators in front of the temporal derivatives depend on the unknown function $h(x, t)$, but they also contain high order derivatives in nonlinear terms. Because of the presence of those high order spatial partial derivatives the solution to the system should be smooth. Recently several accurate numerical schemes have been proposed such as Finite Difference / Finite Volume Schemes [MS82, SSRA87, ADCSSA93, BCL⁺10, CBB06, CBB07, CLM10] and Discontinuous Galerkin methods [LGLX14, PDZ⁺14, PDZ⁺14]. Although these methods are very useful to study practical problems, such as the runup of nonlinear waves on slopes, they can exhibit dissipative behavior, in the sense that they introduce numerical dissipation or dispersion, due to the approximation of the nonlinear terms by appropriate flux functions.

Some other highly accurate numerical methods have been developed for the Serre equations in the case of a horizontal bottom, such as spectral methods [DCMM13] and Galerkin / Finite Element Method (FEM) [MID14]. Although these methods appeared to have satisfactory conservation properties, it is very difficult to extend them to the SGN equations especially in the case of two horizontal dimensions. For example the standard Galerkin method of [MID14] requires tensor products of cubic splines in order to be consistent in two spatial dimensions in a similar manner to [DMS07].

In this study, a new modified Galerkin / Finite Element Method (FEM) is proposed for the numerical solution of the SGN equations. This method allows the use of low-order finite elements such as P^2 or even P^1 Lagrange finite elements. Two of the main advantages of this method is that it is highly accurate, and it has very good conservation properties. Other advantages are the sparsity of the resulting linear systems, the low complexity of the algorithm due to the use of low-order finite element spaces and finally its potential to be extended to the two-dimensional model equations of [Lan13].

Similar techniques that reduce the requirement of high-order finite elements (for example the use of cubic splines) have been used previously for weakly-nonlinear Boussinesq systems in [WB99, WB02, DMS10] where the second derivative in the linear dispersive terms has been replaced by either the discrete Laplacian operator or the solution of an intermediate problem. In the case of the Bona-Smith type of Boussinesq systems with wall boundary conditions, the modified Galerkin method converges at an optimal rate showing great performance contrary to the suboptimal convergence rates achieved with the standard Galerkin / FEM method, [DMS10]. Similarly to the behavior of the modified Galerkin method for the weakly-nonlinear Boussinesq equations, the proposed FEM scheme for the SGN equations can achieve optimal convergence rates depending on the choice of the trial function spaces, contrary to the suboptimal rates obtained for the standard Galerkin method for the SGN system and also for Peregrine's system as shown in [AD12]. Specifically, in order to achieve optimal convergence properties one may use spaces of piecewise linear elements for the free surface elevation and piecewise quadratic elements for the horizontal velocity.

The validated numerical method is applied to study the SGN equations with reflective boundary conditions in a systematic way through a series of numerical experiments. In particular, we focus on the following issues:

- accuracy of the modified Galerkin method and invariant conservation;
- reflection of solitary waves on vertical wall; and
- shoaling of solitary waves on plain or composite beaches.

The convergence properties of the new numerical method is also tested in the case of periodic boundary conditions. For more information about the behavior and the properties of the Galerkin / FEM method with periodic boundary conditions we refer to [MID14].

This paper is organized as follows. Section 2 presents the fully discrete schemes for the SGN equations. In Section 3 we study the convergence, accuracy and numerical stability of the modified Galerkin method. Finally, Section 4 presents computational studies of shoaling and reflected waves validating both the choice of boundary conditions and the numerical scheme. We close the paper with conclusions in Section 5.

2. THE NUMERICAL METHODS

We consider the initial-boundary value problem (IBVP) comprising System (1), subject to reflective boundary conditions:

$$h_t + (hu)_x = 0 , \tag{6a}$$

$$[h + \mathcal{T}_b^h] u_t + gh(h + b)_x + huu_x + \mathcal{Q}^h u + \mathcal{Q}_b^h u = 0 , \tag{6b}$$

$$u(a, t) = u(b, t) = 0, \quad h(x, 0) = h_0(x), \quad u(x, 0) = u_0(x) , \tag{6c}$$

where again

$$\mathcal{T}_b^h w = h \left[h_x b_x + \frac{1}{2} h b_{xx} + b_x^2 \right] w - \frac{1}{3} [h^3 w_x]_x , \tag{6d}$$

$$\mathcal{Q}^h w = -\frac{1}{3} [h^3 (w w_{xx} - w_x^2)]_x , \tag{6e}$$

$$\mathcal{Q}_b^h w = \frac{1}{2} [h^2(w^2 b_{xx} + w w_x b_x)]_x - \frac{1}{2} h^2 [w w_{xx} - w_x^2] b_x + h w^2 b_x b_{xx} + h w w_x b_x^2, \quad (6f)$$

$x \in (a, b) \subset \mathbb{R}$ and $t \in [0, T]$. We assume that (6) possesses a unique solution, such that h and u are sufficiently smooth and, for any $t \in [0, T]$, in suitable Sobolev spaces

$$h(x, \cdot) \in H^s, \quad u(x, \cdot) \in H_0^{s+1},$$

where $s \geq 1$. Here and below, $\|\cdot\|_s$ denotes the standard norm in H^s while H_0^s will denote the subspace of H^s whose elements vanish at $x = a$ and $x = b$. We also use the inner product in $L^2 \equiv H^0$, denoted by (\cdot, \cdot) , which is

$$(u, v) = \int_a^b uv \, dx,$$

for all $u, v \in L^2$.

A spatial grid of the interval $[a, b]$ is a collection of points $x_i = a + i \Delta x$, for $i = 0, 1, \dots, N$, where Δx is the grid size, and $N \in \mathbb{N}$, such that $\Delta x = (b - a)/N$. Let $(\tilde{h}, \tilde{u}) \in S_h \times S_u$ be the corresponding spatially discretized solutions of the Galerkin / Finite Element Method (FEM) for suitable finite-dimensional spaces S_h and S_u . First we present the standard Galerkin / FEM semidiscretization.

2.1. The standard Galerkin method. For the standard Galerkin method, we consider the space of smooth splines

$$S^r = \{ \varphi \in C^{r-1}[a, b] \mid \varphi|_{[x_i, x_{i+1}]} \in \mathbb{P}^r, 0 \leq i \leq N-1 \},$$

where \mathbb{P}^r is the space of polynomials of degree r . The standard Galerkin method requires $r \geq 3$. Here, we take $r = 3$. The trial function space for the first equation and for the solution \tilde{h} is chosen as $S_h = S^r$, while for the second equation and for the approximation of the depth averaged velocity of the fluid \tilde{u} is $S_u = S^r \cap \{ \varphi \in C[a, b] \mid \varphi(a) = \varphi(b) = 0 \}$. To state the associated semi-discrete problem, let $\phi \in S_h$ and $\psi \in S_u$ be arbitrary test functions. After taking inner products, and using integration by parts, the semi-discrete problem takes the form:

$$(\tilde{h}_t, \phi) + ((\tilde{h}\tilde{u})_x, \phi) = 0, \quad (7a)$$

$$\mathcal{B}(\tilde{u}_t, \psi; \tilde{h}) + (\tilde{h} [g(\tilde{h} + \tilde{b})_x + \tilde{u}\tilde{u}_x], \psi) + \mathcal{Q}(\tilde{u}, \psi; \tilde{h}) + \mathcal{Q}_b(\tilde{u}, \psi; \tilde{h}) = 0, \quad (7b)$$

where \mathcal{B} , \mathcal{Q} and \mathcal{Q}_b are defined for any $\omega, \psi \in S_u$ as

$$\mathcal{B}(\omega, \psi; \tilde{h}) \doteq \left(\tilde{h} \left[1 + \tilde{h}_x \tilde{b}_x + \frac{1}{2} \tilde{h} \tilde{b}_{xx} + \tilde{b}_x^2 \right] \omega, \psi \right) + \frac{1}{3} (\tilde{h}^3 \omega_x, \psi_x), \quad (7c)$$

$$\mathcal{Q}(\omega, \psi; \tilde{h}) \doteq \frac{1}{3} (\tilde{h}^3 [\omega \omega_{xx} - \omega_x^2], \psi_x), \quad (7d)$$

$$\begin{aligned} \mathcal{Q}_b(\omega, \psi; \tilde{h}) \doteq & -\frac{1}{2} (\tilde{h}^2 (\omega^2 \tilde{b}_{xx} + \omega \omega_x \tilde{b}_x), \psi_x) - \\ & \frac{1}{2} (\tilde{h} \tilde{b}_x \{ \tilde{h} [\omega \omega_{xx} - \omega_x^2] - \omega^2 \tilde{b}_{xx} - \omega \omega_x \tilde{b}_x \}, \psi). \end{aligned} \quad (7e)$$

This is a system of ordinary differential equations (ODEs). Given the initial conditions $\tilde{h}(x, 0) \doteq \tilde{h}_0 = \mathcal{P}_h(h_0)$ and $\tilde{u}(x, 0) \doteq \tilde{u}_0 = \mathcal{P}_u(u_0)$ where \mathcal{P}_h and \mathcal{P}_u are appropriate projections on S_h and S_u respectively, we assume that the system (7)

has a unique solution. Appropriate projections of the initial conditions could be the standard L^2 -projections on S_h and S_u , defined as $H_0 \in S_h$ and $U_0 \in S_u$ such that

$$\int_a^b H_0 \phi \, dx = \int_a^b h_0 \phi \, dx, \text{ for all } \phi \in S_h ,$$

and

$$\int_a^b U_0 \psi \, dx = \int_a^b u_0 \psi \, dx, \text{ for all } \psi \in S_u .$$

The presence of the term ω_{xx} in \mathcal{Q} and \mathcal{Q}_b requires the use of at least C^2 smooth splines. In particular, one may use cubic splines, which correspond to $S_h \equiv S^3$, i.e., $r = 3$. A basis for the space S_h for a uniform grid of mesh-length Δx can be formed by the functions $\phi_j(x) = B(x - x_j/\Delta x)|_{[a,b]}$, $j = -1, 0, \dots, N+1$ where $x_{-1} = a - \Delta x$, $x_{N+1} = b + \Delta x$ and

$$B(x) = \begin{cases} \frac{1}{4}(x+2)^3, & -2 \leq x \leq -1, \\ \frac{1}{4}[1+3(x+1)+3(x+1)^2-3(x+1)^3], & -1 \leq x \leq 0, \\ \frac{1}{4}[1+3(1-x)+3(1-x)^2-3(1-x)^3], & 0 \leq x \leq 1, \\ \frac{1}{4}(2-x)^3, & 1 \leq x \leq 2, \\ 0, & x \in R - [-2, 2]. \end{cases}$$

The basis of $S_u = S_0^3$ can be described by the functions $\psi_j(x) = \phi_j(x)$ for $2 \leq j \leq N-2$, plus four functions $\psi_0, \psi_1, \psi_{N-1}, \psi_N$, taken as linear combinations of the $\phi_{-1}, \phi_0, \phi_1$ and $\phi_{N-1}, \phi_N, \phi_{N+1}$, which are such that $\psi_0(a) = \psi_1(a) = \psi_{N-1}(b) = \psi_N(b) = 0$. For example, we take $\psi_0 = \phi_0 - 4\phi_{-1}$ and $\psi_1 = \phi_1 - \phi_{-1}$, [Sch73]. The convergence properties of the standard Galerkin method with cubic splines are very similar to those of the modified Galerkin method with S^3 elements and thus are not presented here. Some details and numerical experiments with the standard Galerkin method can be found in [KKM15].

2.2. The modified Galerkin method. In real-world applications, the use of low-order finite element methods can lead to faster computations. We derive a numerical method that does not require high-order finite element spaces. This can be done by using similar techniques to those proposed in [WB99, WB02, DMS10], but applied to the nonlinear term uu_{xx} . The resulting method is a modified Galerkin method that allows the use of Lagrange elements as low as P^1 in order, consisting of piecewise linear functions. We proceed with the derivation of the modified Galerkin method but first we introduce the notation for the Lagrange finite element spaces that are subspaces of H^1 . It is noted that we cannot use Lagrange finite elements with the standard Galerkin method due to the second order derivative.

The space P^r of Lagrange finite elements is defined on the grid $x_i = x_0 + i\Delta x$, $i = 0, 1, \dots, N$ as:

$$P^r = \{ \chi \in C^0[a, b] \mid \chi|_{[x_i, x_{i+1}]} \in \mathbb{P}^r, 0 \leq i \leq N-1 \} , \quad (8)$$

We will restrict the analysis below to P^1 and P^2 Lagrange finite element spaces. The P^1 Lagrange elements can be defined using the basis functions

$$\chi_i(x) = \begin{cases} \frac{x-x_{i-1}}{\Delta x}, & \text{if } x \in [x_{i-1}, x_i], \\ \frac{x_{i+1}-x}{\Delta x}, & \text{if } x \in [x_i, x_{i+1}], \\ 0, & \text{otherwise} . \end{cases}$$

The P^2 Lagrange finite element space can be defined on the same grid $x_i = x_0 + i\Delta x$ for $i = 0, 1, \dots, N$ and at the midpoints $x_{i+1/2} = x_i + \Delta x/2$ for $i = 0, 1, \dots, N-1$ using the basis functions

$$\chi_i(x) = \varphi\left(\frac{x - x_i}{\Delta x}\right), \quad i = 0, 1, \dots, N,$$

and

$$\chi_{i+1/2}(x) = \psi\left(\frac{x - x_{i+1/2}}{\Delta x}\right), \quad i = 0, 1, \dots, N-1,$$

with

$$\varphi(x) = \begin{cases} (1+x)(1+2x), & -1 \leq x \leq 0, \\ (1-x)(1-2x), & 0 \leq x \leq 1, \\ 0 & \text{otherwise,} \end{cases}$$

and

$$\psi(x) = \begin{cases} 1 - 4x^2, & |x| \leq 1/2, \\ 0, & \text{otherwise.} \end{cases}$$

Then a function $w \in P^2$ is written as

$$w(x) = \sum_{i=0}^N w(x_i)\chi_i(x) + \sum_{i=0}^{N-1} w(x_{i+1/2})\chi_{i+1/2}(x).$$

We also consider the Lagrange finite element spaces $P_0^r = \{\chi \in P^r | \chi(a) = \chi(b) = 0\}$. These spaces are subspaces of H_0^1 and will be used to approximate the depth averaged horizontal velocity of the water. For example, we will use the spaces $S_h = P^r$ and $S_u = P_0^q$, for some integers r, q or we will use the spaces of smooth splines described in the previous section. For more information related to Lagrange finite element spaces and its approximation properties, we refer to [EG04]. It is worth mentioning that, for the discretization of the bottom boundary, we use the L^2 projection of the bathymetry and its derivatives.

Given $v \in H_0^1$, we define the *non-linear discrete Laplacian* operator $\tilde{\partial}^2 : H_0^1 \rightarrow S_u$ such that

$$\left(\tilde{\partial}^2 v, \psi\right) = -\left(v_x^2, \psi\right) - \left(vv_x, \psi_x\right), \quad \text{for all } \psi \in S_u. \quad (9)$$

In fact, the function $\tilde{\partial}^2 v \in S_u$ approximates the function vv_{xx} as if v was a smooth C^2 function and substitution in (7d) and (7e) leads to the modified Galerkin semi-discretization:

$$\left(\tilde{h}_t, \phi\right) + \left(\tilde{h}\tilde{u}\right)_x, \phi = 0, \quad \phi \in S_h, \quad (10a)$$

$$\mathcal{B}(\tilde{u}_t, \psi; \tilde{h}) + \left(\tilde{h} \left[g(\tilde{h} + \tilde{b})_x + \tilde{u}\tilde{u}_x\right], \psi\right) + \tilde{\mathcal{Q}}(\tilde{u}, \psi; \tilde{h}) + \tilde{\mathcal{Q}}_b(\tilde{u}, \psi; \tilde{h}) = 0, \quad \psi \in S_u, \quad (10b)$$

where \mathcal{B} , $\tilde{\mathcal{Q}}$ and $\tilde{\mathcal{Q}}_b$ are defined for any $\omega, \psi \in S_u$ as

$$\mathcal{B}(\omega, \psi; \tilde{h}) \doteq \left(\tilde{h} \left[1 + \tilde{h}_x\tilde{b}_x + \frac{1}{2}\tilde{h}\tilde{b}_{xx} + \tilde{b}_x^2\right], \omega, \psi\right) + \frac{1}{3} \left(\tilde{h}^3 \omega_x, \psi_x\right), \quad (10c)$$

$$\tilde{\mathcal{Q}}(\omega, \psi; \tilde{h}) \doteq \frac{1}{3} \left(\tilde{h}^3 \left[\tilde{\partial}^2 \omega - \omega_x^2\right], \psi_x\right), \quad (10d)$$

$$\begin{aligned} \tilde{Q}_b(\omega, \psi; \tilde{h}) \doteq & -\frac{1}{2} \left(\tilde{h}^2 (\omega^2 \tilde{b}_{xx} + \omega \omega_x \tilde{b}_x), \psi_x \right) - \\ & \frac{1}{2} \left(\tilde{h} \tilde{b}_x \left\{ \tilde{h} \left[\tilde{\partial}^2 \omega - \omega_x^2 \right] - \omega^2 \tilde{b}_{xx} - \omega \omega_x \tilde{b}_x \right\}, \psi \right). \end{aligned} \quad (10e)$$

Although we assume that the bottom function has the appropriate smoothness, the absence of second order spatial derivatives of the depth integrated horizontal velocity in the semidiscrete scheme allows the use of Lagrange elements, as well as high-order elements such as cubic or quintic splines. In what follows, we test the efficiency of the modified Galerkin method using the spaces P^1 and P^2 of Lagrange elements and the space S^3 of cubic splines with periodic and reflective boundary conditions.

Remark 2.1 (Mass lumping). *In order to compute the non-linear discrete Laplacian $\tilde{\partial}^2 w$ one needs to solve the linear system obtained by the discretization of (9). In the case of wall boundary conditions if, for example, ψ_i denotes basis functions of S_u the system can be written as $Mw = f$ where the mass matrix is a banded matrix with entries $M_{ij} = (\psi_i, \psi_j)$ and $f_i = -(v_x^2, \psi_j) - (vv_x, \psi_j')$. To improve the speed of the numerical method, one may apply the method of mass lumping in the formulation of the matrix M . This can be done, for example in the case of quadratic Lagrange elements, by approximating the integrals with Simpson's rule. This leads to a diagonal matrix that can be inverted trivially. All the numerical experiments with P^1 and P^2 elements have been performed with mass lumping, in addition to the standard matrix formulation with comparable results.*

Remark 2.2. *The choice of the discrete Laplacian is not unique. For example, when periodic boundary conditions are used, then one may consider the linear discrete Laplacian, which replaces the term u_{xx} as proposed in [WB99, WB02, DMS10].*

Remark 2.3. *The presence of the term b_{xx} in the semidiscrete scheme implies the typical requirement of a smooth bottom. When a piecewise linear bottom topography is given, then the use of an appropriate projection onto the finite element space (such as the elliptic projection) or local smoothing of the bottom is required. In this paper the bathymetry is usually a piecewise linear function and thus we use the smoothing method described in [Ale06].*

2.3. Temporal discretization. In [MID14], it was shown by numerical means that the standard Galerkin method for the discretization of the SGN equations with flat bottom leads to a system of ODEs that is not stiff. Also, the classical, explicit, four-stage, fourth order Runge-Kutta (RK) method, described by the following *Butcher tableau*:

$$\begin{array}{c|cccc|c} & 0 & 0 & 0 & 0 & 1/6 \\ \hline A & 1/2 & 0 & 0 & 0 & 1/3 \\ \tau & 0 & 1/2 & 0 & 0 & 1/3 \\ & 0 & 0 & 1/2 & 0 & 1/6 \\ \hline & 0 & 1/2 & 1/2 & 1 & \end{array}, \quad (11)$$

is able to integrate the respective semi-discrete system numerically in time without imposing restrictive stability conditions on the ratio $\Delta t/\Delta x$, but only mild restrictions on the mesh length such as $\Delta t/\Delta x \leq 2$ for smooth solutions.

Concerning the time integration, the modified Galerkin method has very similar behavior to the standard Galerkin method. Upon choosing appropriate basis functions for the spaces S_h and S_u , the semidiscrete system (10) represents a system of ODEs. We use a uniform time-step Δt such that $\Delta t = T/K$ for $K \in \mathbb{N}$. The temporal grid is then $t^n = n\Delta t$, where $n = 0, 1, \dots, K$. Given the ODE $y' = \Phi(t, y)$, one step of this four-stage RK scheme (with y^n approximating $y(t^n)$) is:

```

for  $i = 1 \rightarrow 4$  do
   $\tilde{y}^i = y^n + \sum_{j=1}^{i-1} a_{ij} y^{n,j}$ 
   $y^{n,i} = \Phi(t^{n,i}, \tilde{y}^i)$ , evaluated at  $t^{n,i} \equiv t^n + \tau_i \Delta t$ 
end for
 $y^{n+1} = y^n + \Delta t \sum_{j=1}^4 b_j y^{n,j}$  ,

```

where a_{ij} , τ_i , b_i are given in table (11). Applying this scheme to (10) and denoting by H^n and U^n the fully discrete approximations in S_h and S_u of $h(\cdot, t^n)$, $u(\cdot, t^n)$, respectively, leads to Algorithm 1. Given bases $\{\phi_i\}$ of S_h and $\{\psi_i\}$ of S_u , the

Algorithm 1 Time-marching FEM scheme for the IBVP of the system (10)

$$H^0 = \mathcal{P}\{h_0\}$$

$$U^0 = \mathcal{P}\{u_0\}$$

for $n = 0 \rightarrow N - 1$ **do**

for $i = 1 \rightarrow 4$ **do**

$$\tilde{H}^i = H^n + \sum_{j=1}^{i-1} a_{ij} H^{n,j}$$

$$\tilde{U}^i = U^n + \sum_{j=1}^{i-1} a_{ij} U^{n,j}$$

$$\left(\tilde{\partial}^2 U^i, \phi \right) = -((U_x^i)^2, \phi) - (U^i U_x^i, \phi_x)$$

$$(H^{n,i}, \psi) = -((\tilde{H}^i \tilde{U}^i)_x, \psi), \text{ evaluated at } t^{n,i} \equiv t^n + \tau_i \Delta t$$

$$\mathcal{B}(U^{n,i}, \phi; \tilde{H}^i) = -\left(\tilde{H}^i \left[g(\tilde{H}^i - \tilde{b})_x + \tilde{U}^i \tilde{U}_x^i \right], \phi \right) - \tilde{\mathcal{P}}(\tilde{U}^i, \phi; \tilde{H}^i, \tilde{b}) = 0$$

$$\text{where } \tilde{\mathcal{P}}(\tilde{U}^i, \phi; \tilde{H}^i, \tilde{b}) = \tilde{\mathcal{Q}}(\tilde{U}^i, \phi; \tilde{H}^i) + \tilde{\mathcal{Q}}_{\tilde{b}}(\tilde{U}^i, \phi; \tilde{H}^i)$$

end for

$$H^{n+1} = H^n + \Delta t \sum_{j=1}^4 b_j H^{n,j}$$

$$U^{n+1} = U^n + \Delta t \sum_{j=1}^4 b_j U^{n,j}$$

end for

implementation of Algorithm 1 requires solving at each time step the following linear systems:

- (a) Four linear systems with the time-independent matrix (ϕ_i, ϕ_j) ;
- (b) Four linear systems with the time-dependent matrix $\mathcal{B}(\psi_i, \psi_j; h)$;
- (c) Four linear systems with the time-independent matrix (ψ_i, ψ_j) .

The four linear systems in (a) arise from the discretization of the equation (10a), while the four linear systems in (c) arise from the computation of the discrete

Laplacian (9). All of these matrices are banded and symmetric, and one can use either direct methods for banded systems or classical iterative methods for sparse systems. To approximate the inner products, we use Gauss-Legendre quadrature with 3 nodes per Δx for P^1 elements, 5 nodes for P^2 and 8 nodes for S^3 elements. It is noted that most of the experiments with P^1 , P^2 and mixed elements have also been tested using adaptive time-stepping methods such as the Runge-Kutta-Fehlberg method, [HNW09], to ensure that the errors introduced by the temporal integration are negligible.

3. ACCURACY AND CONVERGENCE

As it was pointed out in the introduction, the cB system is similar in structure to the SGN system, given that both systems admit the same number of boundary conditions, and the equation for the free surface is the same. We thus expect similar behavior for the convergence of the numerical method. In [ADM10], it was shown that the convergence of the Galerkin / FEM method for the cB system with periodic boundary conditions is optimal, while in [AD13, AD12] it was shown that the convergence of the Galerkin / FEM method with cubic splines for the cB system is suboptimal, and that in order to achieve an optimal rate of convergence, a non-standard method should be used. Specifically, it was shown that for wall boundary conditions in the case of P^1 elements, the following error estimates hold:

$$\max_{0 \leq t \leq T} \|h - \tilde{h}\| \leq C \Delta x^{3/2}, \quad \max_{0 \leq t \leq T} \|u - \tilde{u}\| \leq C \Delta x^2, \quad (12)$$

which are suboptimal for h and optimal for u . In the case of cubic splines, the following error estimates hold:

$$\max_{0 \leq t \leq T} \|h - \tilde{h}\| \leq C \Delta x^{3.5} \sqrt{\ln \frac{1}{\Delta x}}, \quad \max_{0 \leq t \leq T} \|u - \tilde{u}\| \leq C \Delta x^4 \sqrt{\ln \frac{1}{\Delta x}}, \quad (13)$$

which is suboptimal in both h and u but the factor $\ln \Delta x$ is not dominant and generally it has an effect on the accuracy of the method that is too small to observe. In [KKM15], it is shown, by numerical means that the standard Galerkin method with cubic splines for the SGN system with wall boundary conditions appears to have similar convergence properties.

The standard Galerkin method for the initial-periodic boundary value problem for the SGN system has been studied in [MID14], where it was shown that the convergence is optimal. It is worth mentioning that the approximation (9) can be used also with periodic boundary conditions, and the resulting modified Galerkin method has optimal convergence rates including all the advantages of the modification. Specifically, the errors in the L^2 norm for the periodic case are of $O(\Delta x^2)$ for the P^1 elements for both h and u , $O(\Delta x^3)$ for the P^2 elements and for the S^3 elements is of $O(\Delta x^4)$. Because the results for the periodic problem are very similar to those of [MID14] and to the modified Galerkin method for the SGN system with periodic boundary conditions, we don't present them here.

We continue with the wall boundary conditions. In order to study the convergence of the numerical method, we use the SGN equations in nondimensional but unscaled form. We start with the IBVP (6). Because we don't know any analytical solutions for this problem, we consider the non-homogenous problem, which has the exact solution $h(x, t) = 1 + e^{2t}(\cos(\pi x) + x + 2)$ and $u(x, t) = e^{-tx} x \sin(\pi x)$ satisfying the equations (6a) and (6b) with the appropriate right-hand sides. The

solution is computed using the modified Galerkin method in the interval $[0, 1]$ and for $t \in (0, T]$ with $T = 1$. The finite element spaces of our choice are P^1 and P^2 Lagrange finite element spaces and the space S^3 of cubic splines. It is noted that we also tried other “exact” solutions with different right-hand sides in (6a) and (6b) to verify the computed rates of convergence. The results have been all very similar, and thus we only present the results of one case.

In order to study the accuracy and the convergence of the modified Galerkin method, several error indicators have been computed. The computed errors are normalized and defined as

$$E_s[F] \doteq \frac{\|F(x, T; \Delta x) - F_{\text{exact}}(x, T)\|_s}{\|F_{\text{exact}}(x, T)\|_s}, \quad (14)$$

where $F = F(\cdot; \Delta x)$ is the computed solution, i.e., either $H \approx h(x, T)$ or $U \approx u(x, T)$, F_{exact} is the corresponding exact solution and $s = 0, 1, 2, \infty$ correspond to the L^2 , H^1 , H^2 and L^∞ norms, respectively. The analogous rates of convergence are defined as

$$\text{rate for } E_s[F] \doteq \frac{\ln(E_s[F(\cdot; \Delta x_{k-1})]/E_s[F(\cdot; \Delta x_k)])}{\ln(\Delta x_{k-1}/\Delta x_k)}, \quad (15)$$

where Δx_k is the grid size listed in row k in each table. To ensure that the errors incurred by the temporal integration do not affect the rates of convergence we use $\Delta t \ll \Delta x$ while we take $\Delta x = 1/N$.

Tables 1, 2 and 3 present the errors and the corresponding rates of convergence of the modified Galerkin method with P^1 finite elements. It is shown that the rate of convergence is suboptimal for the total depth h and optimal for velocity u . Specifically, Table 1 suggests that $\|h - \tilde{h}\| = O(\Delta x^{3/2})$ and $\|u - \tilde{u}\| = O(\Delta x^2)$. Table 2 suggests that $\|h - \tilde{h}\|_1 = O(\Delta x^{1/2})$ and $\|u - \tilde{u}\| = O(\Delta x)$. Finally, the L^∞ estimates shown in 3 are worse than the L^2 estimates, suggesting $\|h - \tilde{h}\|_\infty = O(\Delta x)$ and $\|u - \tilde{u}\|_\infty = O(\Delta x^2)$. All these results are very similar to those obtained theoretically and numerically in the case of Peregrine’s system [AD13].

N	$E_0[H]$	rate for $E_0[H]$	$E_0[U]$	rate for $E_0[U]$
10	1.4661×10^{-2}	–	2.9141×10^{-2}	–
20	3.3761×10^{-3}	2.1186	2.3778×10^{-3}	3.6154
40	1.1967×10^{-3}	1.4963	5.6477×10^{-4}	2.0739
80	4.4536×10^{-4}	1.4260	1.3856×10^{-4}	2.0271
160	1.6179×10^{-4}	1.4609	3.4262×10^{-5}	2.0159
320	5.7982×10^{-5}	1.4804	8.5165×10^{-6}	2.0083
640	2.0638×10^{-5}	1.4903	2.1229×10^{-6}	2.0042

TABLE 1. Spatial errors and rates of convergence for the exact solution with P^1 finite elements using the L^2 norm.

In the case of quadratic P^2 elements, the results with the same initial conditions are similar, but the convergence rates for h are different to the expected suboptimal rates, analogous to the P^1 case. The respective convergence rates for u are optimal as expected. Tables 4, 5 and 6 present the errors and the convergence rates for the modified Galerkin method with P^2 elements. Table 4 suggests that the $\|h - \tilde{h}\| = O(\Delta x^2)$ and $\|u - \tilde{u}\| = O(\Delta x^3)$. Table 5 suggests that $\|h - \tilde{h}\|_1 = O(\Delta x)$ and

N	$E_1[H]$	rate for $E_1[H]$	$E_1[U]$	rate for $E_1[U]$
10	2.8836×10^{-1}	–	1.6945×10^{-1}	–
20	1.8855×10^{-1}	0.6129	6.6788×10^{-2}	1.3433
40	1.3684×10^{-1}	0.4625	3.2009×10^{-2}	1.0611
80	1.0135×10^{-1}	0.4331	1.5859×10^{-2}	1.0131
160	7.3388×10^{-2}	0.4658	7.9074×10^{-3}	1.0041
320	5.2503×10^{-2}	0.4831	3.9492×10^{-3}	1.0016
640	3.7340×10^{-2}	0.4917	1.9736×10^{-3}	1.0007

TABLE 2. Spatial errors and rates of convergence for the exact solution with P^1 finite elements using the H^1 norm.

N	$E_\infty[H]$	rate for $E_\infty[H]$	$E_\infty[U]$	rate for $E_\infty[U]$
10	3.4775×10^{-2}	1.4587	3.9193×10^{-2}	1.4068
20	1.1039×10^{-2}	1.6554	5.7364×10^{-3}	2.7724
40	5.8666×10^{-3}	0.9121	1.0352×10^{-3}	2.4701
80	3.4929×10^{-3}	0.7481	2.1191×10^{-4}	2.2884
160	1.8861×10^{-3}	0.8890	5.2158×10^{-5}	2.0225
320	9.7698×10^{-4}	0.9490	1.3480×10^{-5}	1.9520
640	4.9684×10^{-4}	0.9755	3.4245×10^{-6}	1.9769

TABLE 3. Spatial errors and rates of convergence for the exact solution with P^1 finite elements using the L^∞ norm.

$\|u - \tilde{u}\| = O(\Delta x^2)$. Finally, Table 6 suggests the same estimates in the L^∞ norm with L^2 norm, i.e. $\|h - \tilde{h}\|_\infty = O(\Delta x^2)$ and $\|u - \tilde{u}\|_\infty = O(\Delta x^3)$.

N	$E_0[H]$	rate for $E_0[H]$	$E_0[U]$	rate for $E_0[U]$
10	3.1489×10^{-3}	–	1.5559×10^{-3}	–
20	6.1429×10^{-4}	2.3579	1.1718×10^{-4}	3.7310
40	1.3897×10^{-4}	2.1441	9.8909×10^{-6}	3.5665
80	3.3909×10^{-5}	2.0351	1.0744×10^{-6}	3.2025
160	8.4285×10^{-6}	2.0083	1.2858×10^{-7}	3.0628
320	2.1019×10^{-6}	2.0035	1.5874×10^{-8}	3.0180
640	5.2473×10^{-7}	2.0021	1.9773×10^{-9}	3.0051

TABLE 4. Spatial errors and rates of convergence for the exact solution with P^2 finite elements using the L^2 norm.

We also studied the errors and convergence rates of a mixed modified Galerkin method, where we considered $S_h = P^1$ and $S_u = P^2$ i.e. we used linear elements for the approximation of h and quadratic elements for the approximation of u . This was the only case where optimal rates of convergence for both h and u were obtained. Tables 7–9 suggest that $\|h - \tilde{h}\|_s = O(\Delta x^{2-s})$ and $\|u - \tilde{u}\|_s = O(\Delta x^{3-s})$ for $s = 0, 1$ while in the maximum norm we found that $\|h - \tilde{h}\|_\infty = O(\Delta x^2)$ and $\|u - \tilde{u}\|_\infty = O(\Delta x^3)$.

Finally, tables 10, 11 and 12 present the errors and convergence rates for the modified Galerkin method with cubic splines, i.e. with S^3 elements. In this case, the

N	$E_1[H]$	rate for $E_1[H]$	$E_1[U]$	rate for $E_1[U]$
10	1.6038×10^{-1}	–	1.8439×10^{-2}	–
20	7.6716×10^{-2}	1.0640	3.3234×10^{-3}	2.4721
40	3.7406×10^{-2}	1.0362	6.4326×10^{-4}	2.3692
80	1.8545×10^{-2}	1.0122	1.4083×10^{-4}	2.1915
160	9.2498×10^{-3}	1.0036	3.3536×10^{-5}	2.0701
320	4.6179×10^{-3}	1.0022	8.2655×10^{-6}	2.0206
640	2.3064×10^{-3}	1.0016	2.0585×10^{-6}	2.0055

TABLE 5. Spatial errors and rates of convergence for the exact solution with P^2 finite elements using the H^1 norm.

N	$E_\infty[H]$	rate for $E_\infty[H]$	$E_\infty[U]$	rate for $E_\infty[U]$
10	7.4168×10^{-3}	–	2.7712×10^{-3}	–
20	1.7953×10^{-3}	2.0466	2.4407×10^{-4}	3.5051
40	4.0253×10^{-4}	2.1571	2.3644×10^{-5}	3.3678
80	1.0353×10^{-4}	1.9589	1.8056×10^{-6}	3.7109
160	2.5922×10^{-5}	1.9979	1.6493×10^{-7}	3.4525
320	6.5561×10^{-6}	1.9833	2.0640×10^{-8}	2.9984
640	1.6390×10^{-6}	2.0000	2.5810×10^{-9}	2.9994

TABLE 6. Spatial errors and rates of convergence for the exact solution with P^2 finite elements using the L^∞ norm.

N	$E_0[H]$	rate for $E_0[H]$	$E_0[U]$	rate for $E_0[U]$
10	1.3308×10^{-3}	–	1.4064×10^{-3}	–
20	2.6812×10^{-4}	2.3113	9.9809×10^{-5}	3.8167
40	6.2091×10^{-5}	2.1104	9.1910×10^{-6}	3.4409
80	1.5350×10^{-5}	2.0161	1.0541×10^{-6}	3.1241
160	3.8219×10^{-6}	2.0059	1.2825×10^{-7}	3.0390
320	9.5358×10^{-7}	2.0029	1.6047×10^{-8}	2.9986
640	2.38157×10^{-7}	2.0014	1.9751×10^{-9}	3.0223

TABLE 7. Spatial errors and rates of convergence for the exact solution with $S_h = P^1$ and $S_u = P^2$ using the L^2 norm.

results are similar to those of the standard Galerkin method for the SGN and Peregrine's system. Specifically, Table 10 suggests a rate of convergence for h close to 3.5 which is in a good agreement with the estimate $\|h - \tilde{h}\| = O(\Delta x^{3.5} \sqrt{\ln(1/\Delta x)})$ suggested for the standard Galerkin method for Peregrine's system [AD13]. Also Table 10 suggests a rate of convergence for h close to 4, which agrees with the estimate $\|u - \tilde{u}\| = O(\Delta x^4 \sqrt{\ln(1/\Delta x)})$ of [AD13].

To study further the accuracy of the method we compute the conservation of the energy functional $I(t)$. As initial condition we use a solitary wave of amplitude $a = 0.2$ with a smooth bottom given by the function $b(x) = 1 + 0.1 \sin(\pi x/2)$. Because the SGN system together with the wall boundary conditions does not conserve the energy functional, we consider the interval $[-100, 100]$ and maximum time $T = 50$ where the solution remained practically zero at the endpoints of the

N	$E_1[H]$	rate for $E_1[H]$	$E_1[U]$	rate for $E_1[U]$
10	6.9247×10^{-2}	–	1.5110×10^{-2}	–
20	3.3950×10^{-2}	1.0283	2.6966×10^{-3}	2.4863
40	1.6779×10^{-2}	1.0168	5.7095×10^{-4}	2.2397
80	8.3767×10^{-3}	1.0022	1.3474×10^{-4}	2.0831
160	4.1860×10^{-3}	1.0008	3.3114×10^{-5}	2.0247
320	2.0924×10^{-3}	1.0004	8.3275×10^{-6}	1.9915
640	1.0460×10^{-3}	1.0002	2.0570×10^{-6}	2.0173

TABLE 8. Spatial errors and rates of convergence for the exact solution with $S_h = P^1$ and $S_u = P^2$ using the H^1 norm.

N	$E_\infty[H]$	rate for $E_\infty[H]$	$E_\infty[U]$	rate for $E_\infty[U]$
10	1.3308×10^{-3}	–	1.4064×10^{-3}	–
20	2.6812×10^{-4}	2.3113	9.9809×10^{-5}	3.8167
40	6.2091×10^{-5}	2.1104	9.1910×10^{-6}	3.4409
80	1.5350×10^{-5}	2.0161	1.0541×10^{-6}	3.1241
160	3.8219×10^{-6}	2.0059	1.2825×10^{-7}	3.0390
320	9.5358×10^{-7}	2.0029	1.6047×10^{-8}	2.9986
640	2.3815×10^{-7}	2.0014	1.9751×10^{-9}	3.0223

TABLE 9. Spatial errors and rates of convergence for the exact solution with $S_h = P^1$ and $S_u = P^2$ using the L^∞ norm.

N	$E_0[H]$	rate for $E_0[H]$	$E_0[U]$	rate for $E_0[U]$
200	0.5072×10^{-8}	–	0.3101×10^{-10}	–
250	0.2287×10^{-8}	3.5697	0.1270×10^{-10}	3.9992
300	0.1202×10^{-8}	3.5256	0.6127×10^{-11}	3.9991
350	0.6998×10^{-9}	3.5125	0.3307×10^{-11}	3.9989
400	0.4384×10^{-9}	3.5019	0.1939×10^{-11}	3.9975
450	0.2913×10^{-9}	3.4710	0.1210×10^{-11}	4.0006
500	0.2021×10^{-9}	3.4679	0.7968×10^{-12}	3.9708

TABLE 10. Spatial errors and rates of convergence for the exact solution with S^3 finite elements using the L^2 norm.

domain. We also used a fairly coarse grid with $\Delta x = 0.1$. Due to the dissipative properties of the explicit Runge-Kutta method, conservation of the invariant $I(t)$ is sensitive to the size of the timestep Δt . For example, when we used $\Delta x = \Delta t = 0.1$ with elements in S^3 , the invariant remained at the value $I(t) = 0.314542$, when we used $\Delta t = 0.01$, then the invariant remained at the value $I(t) = 0.31454249795$ conserving the digits shown.

Although the energy functional $I(t)$ depends on the choice of Δt , the value of the Hamiltonian remains practically constant for any small value of $\Delta t < 0.01$ and the error $|I(t) - I(0)|$ is of $O(10^{-12})$. Figure 1 shows the sensitivity of the invariant $I(t)$ to Δt for fixed $\Delta x = 0.1$ for S^3 finite elements. Figure 2 shows the error of the energy functional as a function of Δx for different finite element spaces. Although the explicit Runge-Kutta methods we used are not conservative (in the sense that

N	$E_1[H]$	rate for $E_1[H]$	$E_1[U]$	rate for $E_1[U]$
200	0.3406×10^{-5}	–	0.3920×10^{-7}	–
250	0.1970×10^{-5}	2.4531	0.2008×10^{-7}	2.9982
300	0.1265×10^{-5}	2.4294	0.1162×10^{-7}	2.9986
350	0.8700×10^{-6}	2.4299	0.7322×10^{-8}	2.9987
400	0.6286×10^{-6}	2.4334	0.4905×10^{-8}	2.9988
450	0.4722×10^{-6}	2.4291	0.3446×10^{-8}	2.9989
500	0.3654×10^{-6}	2.4348	0.2512×10^{-8}	2.9989

TABLE 11. Spatial errors and rates of convergence for the exact solution with S^3 finite elements using the H^1 norm.

N	$E_2[H]$	rate for $E_2[H]$	$E_2[U]$	rate for $E_2[U]$
200	0.2759×10^{-2}	–	0.5098×10^{-4}	–
250	0.2033×10^{-2}	1.3673	0.3262×10^{-4}	2.0010
300	0.1583×10^{-2}	1.3715	0.2265×10^{-4}	2.0009
350	0.1278×10^{-2}	1.3863	0.1663×10^{-4}	2.0006
400	0.1060×10^{-2}	1.3999	0.1273×10^{-4}	2.0005
450	0.8987×10^{-3}	1.4078	0.1006×10^{-4}	2.0004
500	0.7741×10^{-3}	1.4168	0.8151×10^{-5}	2.0003

TABLE 12. Spatial errors and rates of convergence for the exact solution with S^3 finite elements using the H^2 norm.

N	$E_\infty[H]$	rate for $E_\infty[H]$	$E_\infty[U]$	rate for $E_\infty[U]$
200	0.5211×10^{-7}	–	0.7553×10^{-10}	–
250	0.2700×10^{-7}	2.9460	0.3111×10^{-10}	3.9751
300	0.1577×10^{-7}	2.9493	0.1505×10^{-10}	3.9812
350	0.1000×10^{-7}	2.9559	0.8145×10^{-11}	3.9843
400	0.6732×10^{-8}	2.9628	0.4784×10^{-11}	3.9857
450	0.4748×10^{-8}	2.9649	0.2990×10^{-11}	3.9888
500	0.3471×10^{-8}	2.9727	0.1964×10^{-11}	3.9870

TABLE 13. Spatial errors and rates of convergence for the exact solution with S^3 finite elements using the L^∞ norm.

they introduce a small amount of numerical dissipation), and their contribution to the error in the conservation of energy is larger than the error coming from the spatial discretization of the same order, it seems that the actual error is not important and upon choosing appropriate small values of Δt , it can be considered negligible. When we used P^1 and P^2 elements, then the error from the spatial discretisation is larger than the errors embedded by the the temporal discretisation that are considered unimportant.

4. NUMERICAL EXPERIMENTS

In this section we present a series of numerical experiments that serve as benchmarks to verify the accuracy of the modified Galerkin method, in simulations with variable bathymetry and wall boundary conditions. We tested all the numerical

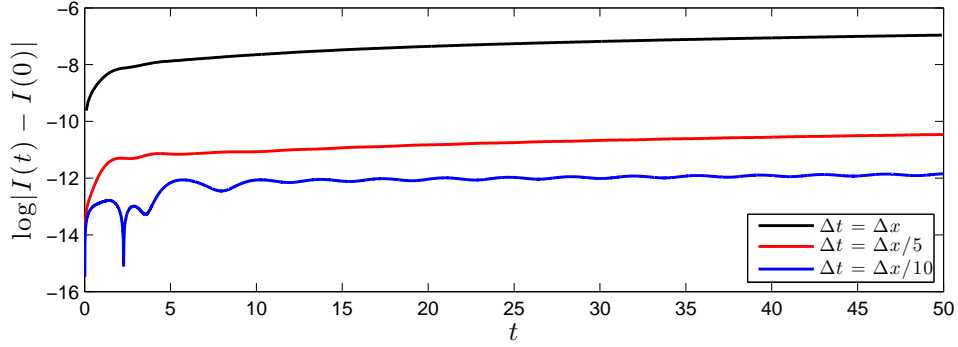


FIGURE 1. Sensitivity of energy conservation to the value of Δt for fixed $\Delta x = 0.1$

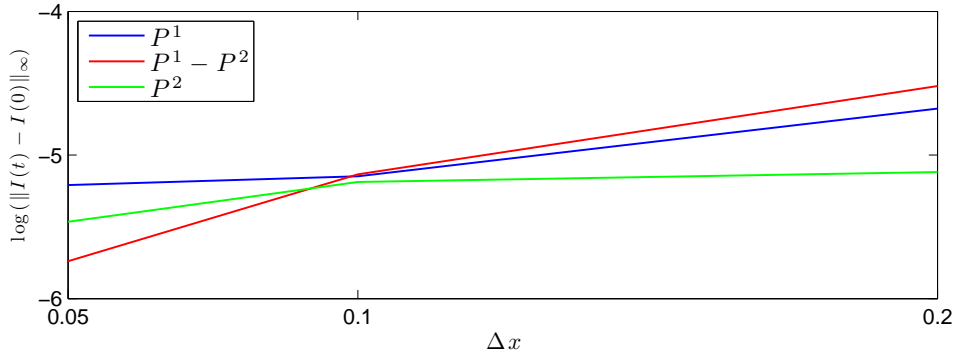


FIGURE 2. Sensitivity of energy conservation to the value of Δx for small values of Δt

methods for all the numerical experiments and we report all the important differences in the numerical results. All the graphs contain the results obtained with P^1 elements.

4.1. Shoaling of solitary waves. Shoaling of solitary waves and the nonlinear mechanisms behind the shoaling of a solitary wave have been studied theoretically and experimentally by various authors, [GSSV94, GSS97, Syn91, SS93], and it is closely related to the runup of solitary wave on plain beaches, [Syn87]. In [SS93] after reviewing the derivation of Green's law for the amplitude evolution of shoaling waves for BT systems, that is,

$$\eta_{\max}/A \sim b(x)^{-1/4}, \quad (16)$$

several experiments with shoaling of solitary waves are presented. In [Syn91] Green's law $\eta_{\max}/A \sim b(x)^{-1}$ for the Shallow Water Wave equations is derived. In [SS93, Skj87] four regions of shoaling were determined, where the general Green's law $\eta_{\max} \sim b(x)^{-\alpha}$ applies for different values of the parameter α : The zone of gradual shoaling ($\alpha < 1$), the zone of rapid shoaling $\alpha \geq 1$, the zone of rapid decay $\alpha < -1$ and the zone of gradual decay $\alpha \geq -1$. Green's law for Boussinesq systems is valid in the zone of gradual and rapid shoaling, while Green's law for the shallow

water system derived in [Syn91] applies in the zone of rapid shoaling. In this work, we consider first the shoaling of solitary waves on a plane beach of (mild) slope 1 : 35. This experiment has been proposed by Grilli *et. al.* [GSSV94, GSS97].

Here we use experimental data taken from [GSSV94] to compare with our numerical solution. For the numerical simulation we considered the domain shown in Figure 3. For this experiment we take a uniform mesh on $[-100, 34]$ with mesh-length $\Delta x = 0.1$. We also translate the solitary waves so that the crest amplitude is achieved at $x = -20.1171$. Because of the low regularity of the bottom at $x = 0$, artifacts of the numerical method might appear especially in the case of S^3 elements. For this reason, we ensure the required regularity of the seafloor (required by the model equations), by approximating the bottom topography function with a quadratic polynomial near $x = 0$.

Although the SGN system cannot model accurately the breaking of solitary waves, it appears that it models shoaling with higher accuracy than other Boussinesq models [FBCR15], even very close to the breaking point. For example when $A = 0.2$ the steep wave observed in the laboratory at the location called gauge 9 of [GSSV94] is approximated by a smooth solution in the SGN system.

Next, we study the shoaling of solitary waves with normalized amplitude $A = 0.1, 0.15, 0.2$ and 0.25 . We monitor the numerical solution on the gauges (enumer-

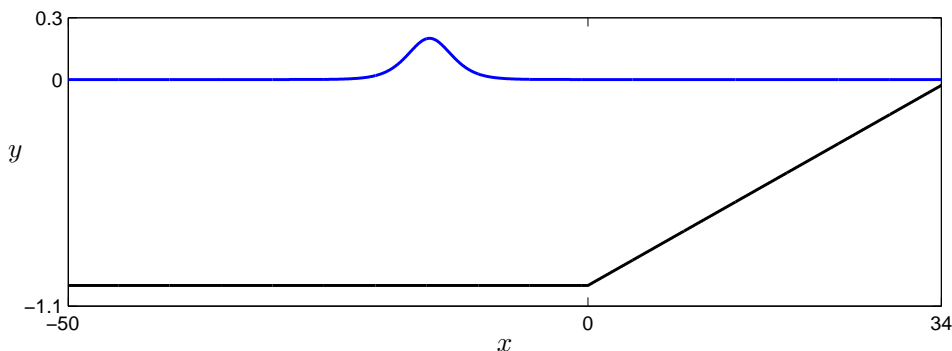


FIGURE 3. Sketch of the domain for the shoaling of solitary waves on a plain beach of slope 1 : 35.

ated as in [GSSV94]) Gauges with number 0, 1, 3, 5, 7 and 9 located at $x = -5.0, 20.96, 22.55, 23.68, 24.68, 25.91$ respectively. The results with P^1 elements in the case of the shoaling of the solitary wave with $A = 0.2$ are shown in Figure 4. The results with the other methods are almost identical and are not shown here. In these experiments, we also monitored the invariant $I(t)$ for values of t small enough that the waves do not interact with the boundaries. In the case of S^3 elements on a uniform grid with $\Delta x = 0.1$, the values of the invariant up to time $t = 30$ are given in the Table 14. For the same experiments, the respective invariants were conserved to 4 decimal digits in the case of P_1 elements with $\Delta x = 0.1$.

Finally, we computed the relative wave height defined as $H(x^*)/b(x^*)$ where $H(x^*) = \max_x \{|\zeta(x, t)|\}$ is the crest amplitude of the wave normalized by the local depth $b(x^*)$ evaluated at the same point x^* . Figure 5 presents the experimental and numerical data. We observe a very good agreement between the numerical and the experimental results. We note that the numerical results obtained by the

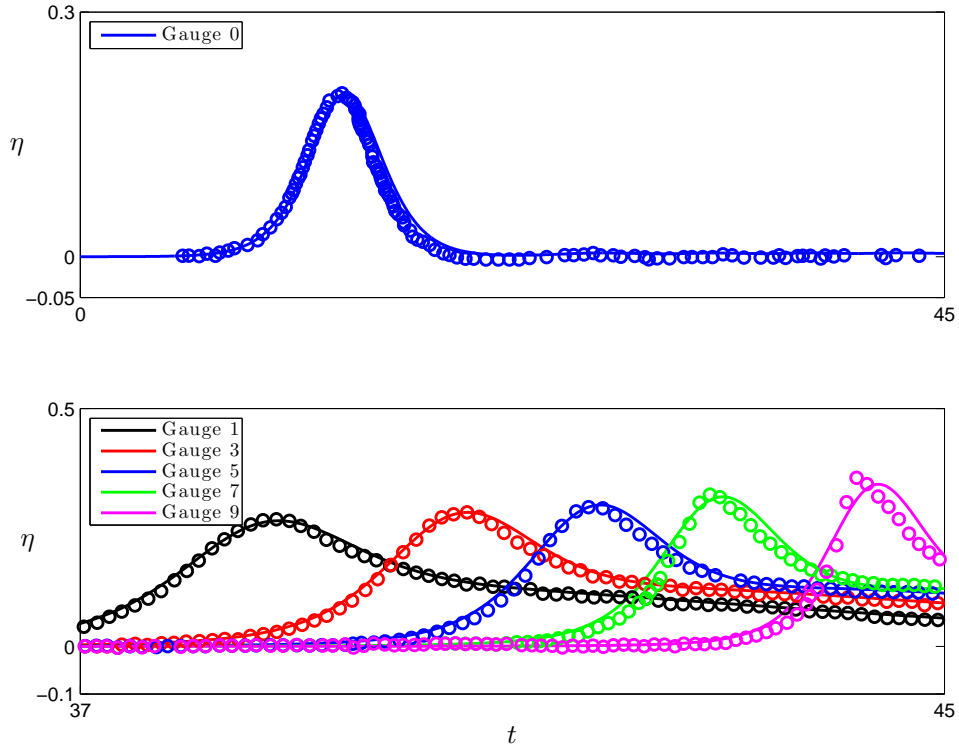


FIGURE 4. Solution on various wave gauges for the shoaling on a plane beach of slope 1 : 35 of a solitary wave with $A = 0.2$. Circles show experimental data, and lines show numerical solutions.

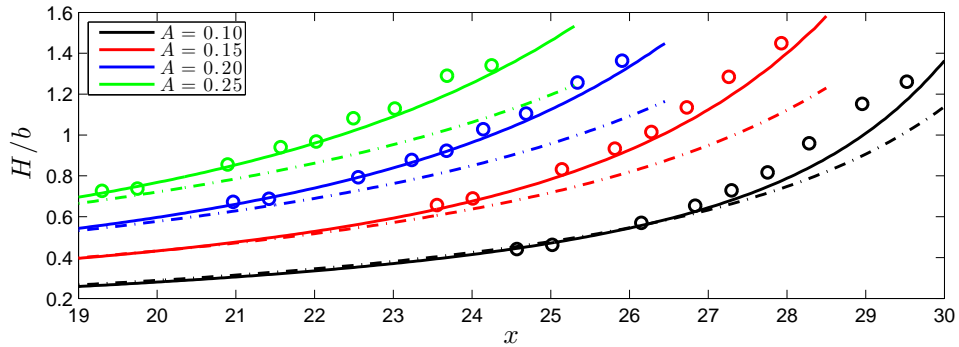


FIGURE 5. Comparison of the computed relative wave height H/b with experimental data of [GSSV94] for the shoaling of solitary waves on a plane beach of slope 1 : 35, and with Green's law. '—': Numerical solutions, 'o': Experimental data, '---': Green's law for Boussinesq systems

numerical solution of the full water wave problem in [GSSV94] fit the experimental data (see e.g. Fig. 4 of [GSSV94]), as well as the SGN model.

A	$I(t)$
0.10	0.104058609813
0.15	0.197139475070
0.20	0.312548348249
0.25	0.449208354485

TABLE 14. The conserved invariant $I(t)$ for up to $t = 30$.

It is noted that the evolution of the maximum of the solution according to Green's law for Boussinesq systems, [SS93], represented in Figure 5 by a broken line is initially close to the numerical and experimental data, then underestimates the evolution of the maximum especially during the shoaling of large amplitude solitary waves. This indicates that the solitary waves are in between the zone of rapid and gradual shoaling since they are nearly breaking waves. On the other hand Green's law for the nonlinear shallow water system, [Syn91], which is omitted here, overestimates the amplitude of the shoaling solitary wave likely because of the simplifications inherent in the derivation of Green's law. These results verify the conclusions made in [SS93] about the zone of gradual shoaling where a law that is similar to Green's law $\eta_{\max} \sim b^{-1/4}$ is valid. In our case we computed by experimentation that $\eta_{\max} \sim b^{-2/7}$ describes quite well the evolution of the amplitude of the shoaling solitary wave on the plane beach of slope 1 : 35.

4.2. Reflection of solitary waves on vertical wall. We study also the reflection of the solitary waves on a vertical wall. In general, a vertical wall can be modeled by assuming that there is no flux through the vertical wall, i.e. the horizontal velocity of the fluid on the wall is $u = 0$. The perfect reflection of solitary waves with a vertical wall is equivalent to the head-on collision of two counter-propagating solitary waves of the same shape. Because the head-on collision implies that at the center of the symmetric interaction $\eta_x = 0$ then one might argue that the symmetric head-on collision is governed by different mathematical properties compared to the interactions of a solitary waves with the wall.

In practice, during the interaction of the solitary wave with the wall the derivative η_x is negligible and so the additional condition seems to be satisfied, [AD12]. (Although the last boundary condition is not necessary, its imposition does not require any modification neither to the numerical method nor the finite element spaces). The interaction of the solitary waves with a vertical wall and the head-on collision of the solitary waves has been studied by theoretical and numerical means in [MS82]. In [MS82], an asymptotic solution for the maximum runup has been derived, namely, if α is the normalized amplitude A/b of the impinging wave, then the normalized maximum runup $\bar{R}_{\max} = R_{\max}/b$ is approximately

$$\bar{R}_{\max} \sim 2\alpha + \frac{1}{2}\alpha^2 + \frac{1}{2}\alpha^3. \quad (17)$$

In [MS82], the SGN system with horizontal bottom has been solved numerically using a finite difference scheme. Finite difference schemes for the SGN equations have certain disadvantages, including the introduction of numerical dispersion or dissipation. In addition it is necessary to use less physical boundary conditions on the wall, such as $h_x = u_{xx} = u - \frac{1}{3h}(h^3 u_x)_x = 0$, [MS82]. A fourth-order compact finite volume scheme was used to solve the SGN with general bathymetry in [CBB06, CBB07]. The derivation of the boundary conditions proposed in [CBB07]

follow analogous techniques with [Per67b] imposing also more boundary conditions for both h and u that approximate the wall boundary conditions. Other useful boundary conditions such as absorbing boundary conditions were constructed in [CBB07] and can be implemented equally well with the present numerical model.

The head-on collision of solitary waves in the full water wave problem has also been studied asymptotically, in [SM80]. Specifically, a similar formula to the one for the SGN equations has been derived, and is given by the formula $2\alpha + \frac{1}{2}\alpha^2 + \frac{3}{4}\alpha^3$. Other somewhat less accurate asymptotic approximations have been derived in [PTG⁺99]. Recent numerical experiments showed that BT models do not describe

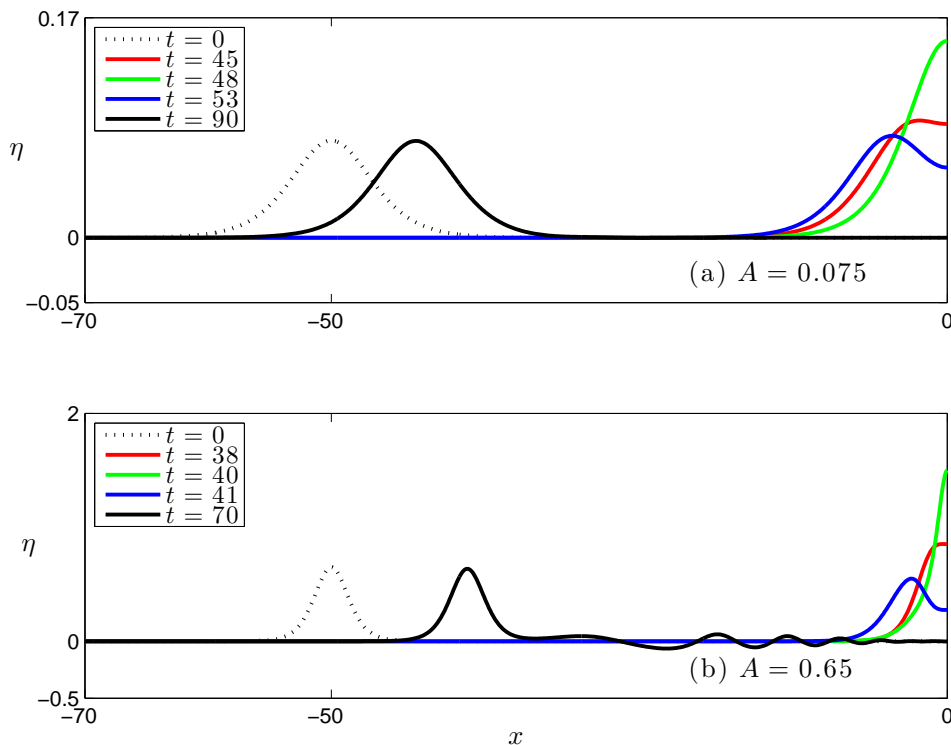


FIGURE 6. Reflection of solitary waves at a vertical wall located at $x = 0$.

accurately the reflection of large amplitude solitary waves at a vertical wall. Specifically, in [CWB97] solving the full water wave equations using boundary element methods, it was shown that large amplitude waves can achieve a higher maximum runup during a head-on collision with a vertical wall, than the predicted values of the respective asymptotic solutions of [SM80, MS82] for the solitary wave reflection at a vertical wall. These results have been verified in [CKT09, CKY⁺15]. Additionally, the formation of a residual jet was observed during the head-on collision of two large amplitude solitary waves, which was responsible for the large values of the maximum runup height.

To verify the ability of the numerical method to model accurately the reflection of solitary waves, we considered the case of a horizontal bottom $b(x) = -1$ for

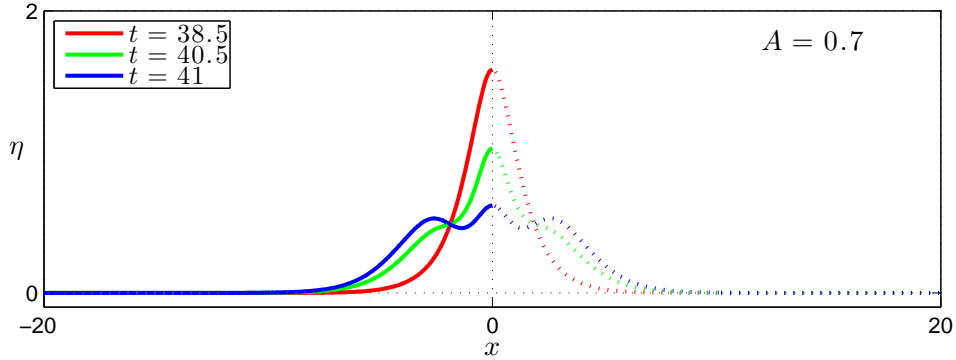


FIGURE 7. Reflection of solitary waves of normalized amplitude $A = 0.7$ at a vertical wall located at $x = 0$, plotted together with the head-on collision of two solitary waves of the same amplitude to show their equivalence.

$x \in [-100, 0]$ and solitary waves with amplitudes $A = 0.075, 0.1, 0.15, \dots, 0.7$. This case and these waves match the experiments which are presented in [CWB97] and which serve as benchmarks for the models in [CKT09, CKY⁺15]. We also consider a uniform grid on the interval $[-100, 0]$ with $\Delta x = 0.1$ while we translate the solitary waves so that their crest amplitude is at $x = -50$. Figure 6 shows the reflection of solitary waves with $A = 0.075$ and 0.65 .

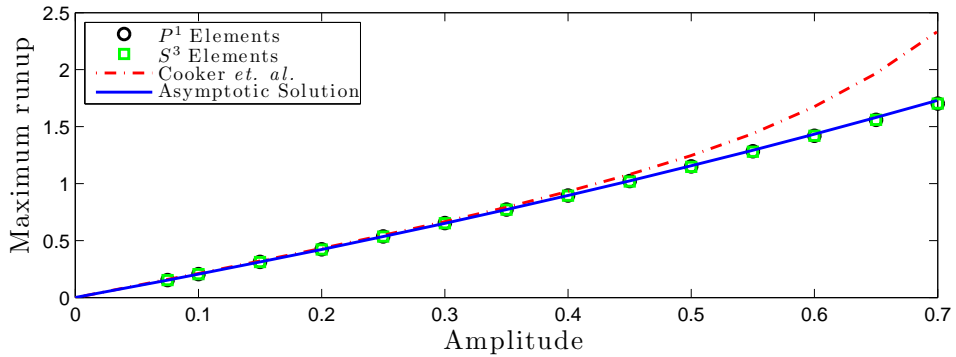


FIGURE 8. Maximum runup values during the reflection of solitary waves at a vertical wall located at $x = 0$.

The reflection at a vertical wall of the extreme case of solitary waves of amplitude $A = 0.7$ is presented in Figure 7, together with the solution of the head-on collision of two symmetric solitary waves. In this figure, we cannot observe any differences between the solutions of the reflected wave and of the colliding solitary waves (within graphical accuracy). During this interaction a jet-like structure is visible, similar to those reported in [CKT09, CKY⁺15, Max76]. The jet formed in the case of the SGN system is a solution of the mathematical model while the respective jet computed in [CKT09] is not. It is noted that no wave breaking is

observed during these head-on collisions. Due to the inelastic interaction, the reflected solitary wave is followed by a dispersive tail. The magnitude of trailing dispersive tail depends on the amplitude of the colliding solitary wave. As it can be observed in Figure 6 the reflection of large solitary waves results in the generation of large dispersive tails.

In [CKT09], it was reported that the formation of a residual jet for the full water wave equations starts when the normalized amplitude of two incident solitary waves is larger than 0.60. In the case of the SGN equations, this jet formation was observed in reflections of solitary waves with amplitudes larger than 0.65. A comparison of the numerical maximum runup values and the asymptotic formula (17) in Figure 8 shows a very close match between the numerical solutions and the asymptotic results. In this figure, the numerical results of both the piecewise linear and the cubic spline finite elements are presented but no differences can be observed within graphical accuracy. Moreover, the numerical results of [CWB97] are compared with the numerical results obtained with the modified Galerkin method and we verify the difference in the head-on collision processes between the SGN and the Euler equations, [CWB97, CKT09]. This can be explained by noting that the jet cannot be modeled by the SGN equations in the present form, since this artifact cannot be described by a smooth function but only by a parametric curve, [CKT09].

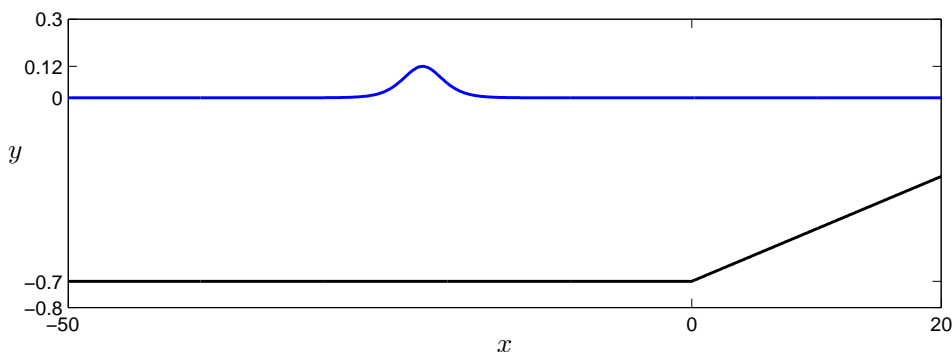


FIGURE 9. Sketch of the numerical experiment for the reflection at a vertical wall located at $x = 20$ of a shoaling wave over a plain beach of slope 1 : 50.

4.3. Reflection of shoaling waves. In our final set of numerical experiments we consider the benchmark described in [WB99, Dod98]. Specifically, we consider the computational domain $[-100, 20]$ (with $\Delta x = 0.1$) and a bottom topography consisting of a horizontal seafloor in $[-100, 0]$ and a plane beach of slope 1 : 50 for $x \in [0, 20]$. A sketch of the computational domain is presented in Figure 9. The vertical wall is located at $x = 20$.

In this set of experiments we study the reflection of solitary waves at a vertical wall after the waves have climbed up the sloping beach. We consider two cases, one with a solitary wave of amplitude $A = 0.07$ and another with $A = 0.12$. The initial conditions have been translated so that the maximum of the crest is at $x = -30$. Figures 10 and 11 show experimental data recorded at three locations, by wave gauges g_1 , g_2 , and g_3 , placed at $x = 0$, 16.25 and 17.75. The comparison of

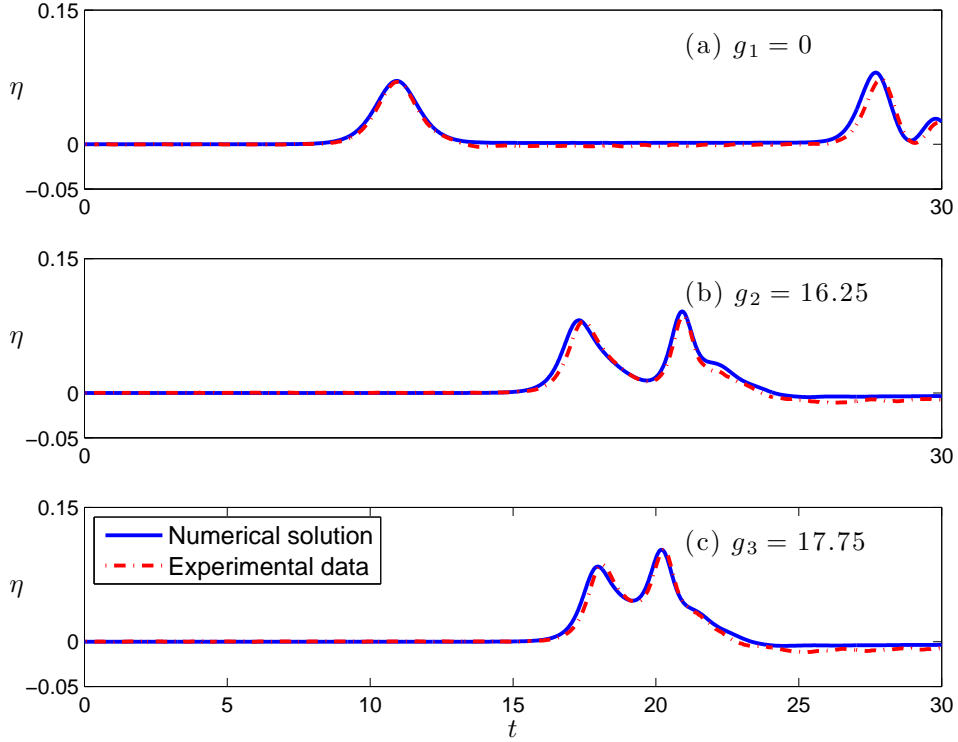


FIGURE 10. Reflection at a vertical wall located at $x = 20$ of a shoaling wave over a plain beach of slope 1 : 50. Initial solitary wave amplitude $A = 0.07$.

numerical solutions with experimental data shows a better match from the present SGN model than is obtained using any weakly nonlinear and weakly dispersive Boussinesq system, [FBCR15, WB99]. These numerical experiments verify the ability of the present numerical scheme to approximate with high accuracy and model fully nonlinear and weakly dispersive waves with wall boundary conditions at the endpoints of the computational domain.

In order to study the stability of the modified Galerkin method in more demanding situations, we consider the propagation of a solitary wave over a composite beach simulating geometrical dimensions of the Revere Beach and its reflection by a vertical wall. These experiments were conducted at the Coastal Engineering Laboratory of the U.S. Army Corps of Engineers, Vicksburg, Mississippi facility, [KS98] and serve as benchmarks for the reflection of nonbreaking, nearly breaking and breaking solitary waves by vertical wall. The composite beach consists of three piecewise linear segments while the bathymetry is constant away from the beach and equal to $b_0 = 0.218$ m. The bathymetry can be realized by the function:

$$b(x) = \begin{cases} -0.218, & -11.77 \leq x < 15.04 \\ 1/53 x - 0.5018, & 15.04 \leq x < 19.4 \\ 1/150 x - 0.2650, & 19.4 \leq x < 22.33 \\ 1/13 x - 1.8340, & 22.33 \leq x \leq 23.23 \end{cases}.$$

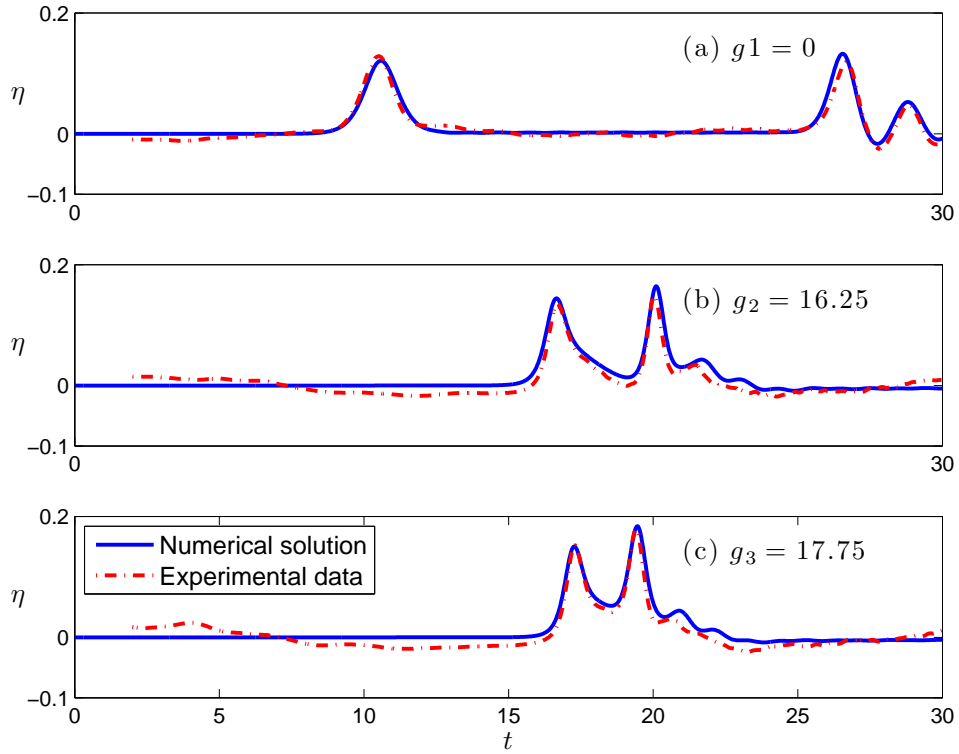


FIGURE 11. Reflection at a vertical wall located at $x = 20$ of a shoaling wave over a plain beach of slope 1 : 50. Initial solitary wave amplitude $A = 0.12$.

The sketch of the domain is presented in Figure 12. Here, we considered

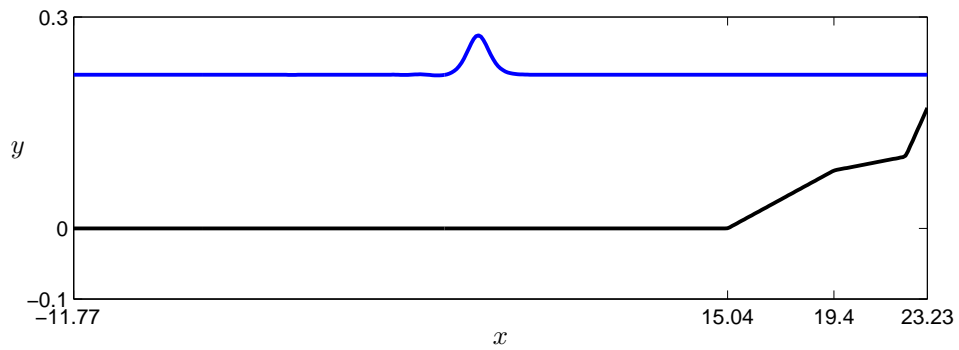


FIGURE 12. Sketch of the domain for the reflection of a solitary wave over a composite beach.

three solitary waves with normalized amplitudes $A/b_0 = 0.05, 0.3$ and 0.7 . We monitored the water depth at x locations that correspond to gauges 5, 7 and 9 in [KS98]. In this experiment, we took $\Delta x = 0.1$ in $[-11.77, 23.23]$. The normalized

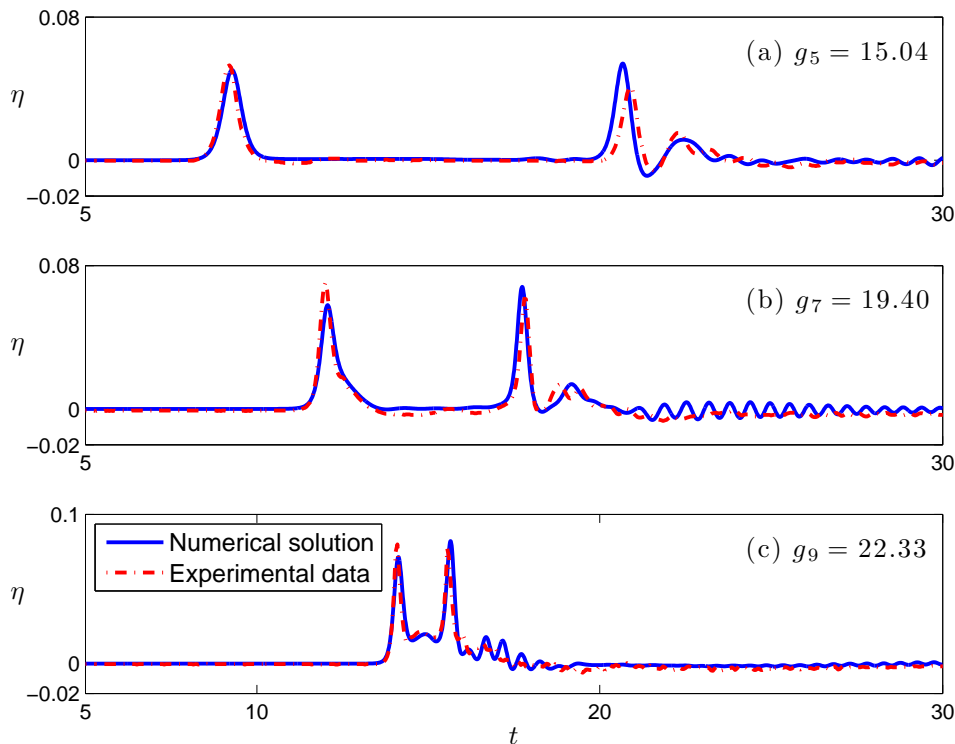


FIGURE 13. Reflection of a solitary wave over a composite beach. Vertical wall located at 23.23. Initial solitary wave amplitude $A/b_0 = 0.3$.

maximum runup computed at the location of the vertical wall in the first case was $R/b_0 = 0.122$ which is very close to the experimental value measured in the laboratory $R/b_0 = 0.13$. Moreover, the computed solution is very close to the experimental data at the gauges and we don't present these results here. It is noted that because a very small relative amplitude wave is involved in this case, its reflection can be modelled quite accurately, even by nondispersive models. The other two cases involve a nearly breaking and a breaking wave. Due to the steepness of the wave in the third case a wave breaking mechanism should be considered in order to approximate the solution in a stable manner. In the second experiment, the solitary wave is a nearly breaking wave. Although in this case the wave becomes very steep during shoaling, the numerical maximum runup computed was $0.46 m$, which is again very close to the experimentally recorded runup value $0.45 m$. The solution at the wave gauges is presented in Figure 13. The results can be improved by considering wave breaking mechanisms and improved Green-Naghdi models such as those proposed in [LM15].

5. SUMMARY AND CONCLUSIONS

We present a fully discrete numerical scheme for the Serre-Green-Naghdi (SGN) system with wall boundary conditions. Semidiscretization of the model equations is

based on a modification of the standard Galerkin / finite-element method that allows solutions in function spaces of low regularity. The time discretization is based on a fourth-order, four-stage, explicit Runge-Kutta method. A detailed computational study of the convergence properties of the numerical scheme shows that the method converges with similar convergence rates to those of Peregrine’s system. Some of the advantages of the new numerical method are:

- the high accuracy and the very good conservation properties;
- the sparsity of the resulting linear systems;
- the low complexity of the algorithm due to the use of low-order finite element spaces; and
- its potential to be extended to the two-dimensional model equations.

In addition, we perform a series of very accurate numerical experiments to verify the efficacy of the numerical scheme in studies of shoaling and reflecting solitary waves. The numerical solutions are compared with available experimental data and theoretical approximations, whenever possible. The numerical model appears to be efficient and the match between numerical results, experimental data, and theoretical approximations is very satisfactory and shows better performance than other shallow water wave systems when there is no wave breaking. Wave breaking can be treated by following heuristic methodologies, such as discarding dispersive terms or adding new dissipative terms.

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