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# CAUCHY PROBLEM AND EXPONENTIAL STABILITY FOR THE INHOMOGENEOUS LANDAU EQUATION

KLEBER CARRAPATOSO, ISABELLE TRISTANI, AND KUNG-CHIEN WU

ABSTRACT. This work deals with the inhomogeneous Landau equation on the torus in the cases of hard, Maxwellian and moderately soft potentials. We first investigate the linearized equation and we prove exponential decay estimates for the associated semigroup. We then turn to the nonlinear equation and we use the linearized semigroup decay in order to construct solutions in a close-to-equilibrium setting. Finally, we prove a exponential stability for such a solution, with a rate as close as we want to the optimal rate given by the semigroup decay.

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## 1. INTRODUCTION

**1.1. The model.** In this paper, we investigate the Cauchy theory associated to the *spatially inhomogeneous Landau equation*. This equation is a kinetic model in plasma physics that describes the evolution of the density function  $F = F(t, x, v)$  in the phase space of position and velocities of the particles. In the torus, the equation is given by, for  $F = F(t, x, v) \geq 0$  with  $t \in \mathbb{R}^+$ ,  $x \in \mathbb{T}^3 = \mathbb{R}^3/\mathbb{Z}^3$  (that we assume without loss of generality to have volume one) and

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$v \in \mathbb{R}^3$ ,

$$(1.1) \quad \begin{cases} \partial_t F + v \cdot \nabla_x F = Q(F, F) \\ F|_{t=0} = F_0 \end{cases}$$

where the Landau operator  $Q$  is a bilinear operator that takes the form

$$(1.2) \quad Q(G, F)(v) = \partial_i \int_{\mathbb{R}^3} a_{ij}(v - v_*) [G_* \partial_j F - F \partial_j G_*] dv_*,$$

and we use the convention of summation of repeated indices, and the derivatives are in the velocity variable, i.e.  $\partial_i = \partial_{v_i}$ . Hereafter we use the shorthand notations  $g_* = g(v_*)$ ,  $f = f(v)$ ,  $\partial_j g_* = \partial_{v_{*j}} g(v_*)$ ,  $\partial_j f = \partial_{v_j} f(v)$ , etc.

The matrix  $a_{ij}$  is symmetric semi-positive, depends on the interaction between particles and is given by

$$(1.3) \quad a_{ij}(v) = |v|^{\gamma+2} \left( \delta_{ij} - \frac{v_i v_j}{|v|^2} \right).$$

We define, see [22], in 3-dimension the following quantities

$$(1.4) \quad \begin{aligned} b_i(v) &= \partial_j a_{ij}(v) = -2|v|^\gamma v_i, \\ c(v) &= \partial_{ij} a_{ij}(v) = -2(\gamma+3)|v|^\gamma \quad \text{or} \quad c = 8\pi\delta_0 \quad \text{if } \gamma = -3. \end{aligned}$$

We can rewrite the Landau operator (1.2) in the following way

$$(1.5) \quad Q(G, F) = (a_{ij} *_v G) \partial_{ij} F - (c *_v G) F = \nabla_v \cdot \{(a *_v g) \nabla_v f - (b *_v g) f\}.$$

We have the following classification: we call hard potentials if  $\gamma \in (0, 1]$ , Maxwellian molecules if  $\gamma = 0$ , moderately soft potentials if  $\gamma = [-2, 0)$ , very soft potentials if  $\gamma \in (-3, -2)$  and Coulombian potential if  $\gamma = -3$ . Hereafter we shall consider the cases of hard potentials, Maxwellian molecules and moderately soft potentials, i.e.  $\gamma \in [-2, 1]$ .

The Landau equation conserves mass, momentum and energy. Indeed, at least formally, for any test function  $\varphi$ , we have

$$\int_{\mathbb{R}^3} Q(F, F) \varphi dv = \frac{1}{2} \int_{\mathbb{R}^3 \times \mathbb{R}^3} a_{ij}(v - v_*) FF_* \left( \frac{\partial_i F}{F} - \frac{\partial_i F_*}{F_*} \right) (\partial_j \varphi - \partial_j \varphi_*) dv dv_*,$$

from which we deduce that

$$(1.6) \quad \frac{d}{dt} \int_{\mathbb{T}^3 \times \mathbb{R}^3} F \varphi(v) dx dv = \int_{\mathbb{T}^3 \times \mathbb{R}^3} [Q(F, F) - v \cdot \nabla_x F] \varphi(v) dx dv = 0 \quad \text{for } \varphi(v) = 1, v, |v|^2.$$

Moreover, the Landau version of the Boltzmann  $H$ -theorem asserts that the entropy

$$H(F) := \int_{\mathbb{T}^3 \times \mathbb{R}^3} F \log F dx dv$$

is non increasing. Indeed, at least formally, since  $a_{ij}$  is nonnegative, we have the following inequality for the entropy dissipation  $D(F)$ :

$$\begin{aligned} D(F) &:= -\frac{d}{dt} H(F) \\ &= \frac{1}{2} \int_{\mathbb{T}^3 \times \mathbb{R}^3 \times \mathbb{R}^3} a_{ij}(v - v_*) FF_* \left( \frac{\partial_i F}{F} - \frac{\partial_i F_*}{F_*} \right) \left( \frac{\partial_j F}{F} - \frac{\partial_j F_*}{F_*} \right) dv dv_* dx \geq 0. \end{aligned}$$

It is known that the global equilibria of (1.1) are global Maxwellian distributions that are independent of time  $t$  and position  $x$ . We shall always consider initial data  $F_0$  verifying

$$\int_{\mathbb{T}^3 \times \mathbb{R}^3} F_0 dx dv = 1, \quad \int_{\mathbb{T}^3 \times \mathbb{R}^3} F_0 v dx dv = 0, \quad \int_{\mathbb{T}^3 \times \mathbb{R}^3} F_0 |v|^2 dx dv = 3,$$

therefore we consider the Maxwellian equilibrium

$$\mu(v) = (2\pi)^{-3/2} e^{-|v|^2/2}$$

with same mass, momentum and energy of the initial data.

We linearize the Landau equation around  $\mu$  with the perturbation

$$F = \mu + f.$$

The Landau equation (1.1) for  $f = f(t, x, v)$  takes the form

$$(1.7) \quad \begin{cases} \partial_t f = \Lambda f + Q(f, f) := \mathcal{L}f - v \cdot \nabla_x f + Q(f, f) \\ f|_{t=0} = f_0 = F_0 - \mu, \end{cases}$$

where  $\Lambda = \mathcal{L} - v \cdot \nabla_x$  is the inhomogeneous linearized Landau operator and the homogeneous linearized Landau operator  $\mathcal{L}$  is given by

$$(1.8) \quad \begin{aligned} \mathcal{L}f &:= Q(\mu, f) + Q(f, \mu) \\ &= (a_{ij} * \mu) \partial_{ij} f - (c * \mu) f + (a_{ij} * f) \partial_{ij} \mu - (c * f) \mu. \end{aligned}$$

Through the paper we introduce the following notation

$$(1.9) \quad \bar{a}_{ij}(v) = a_{ij} * \mu, \quad \bar{b}_i(v) = b_i * \mu, \quad \bar{c}(v) = c * \mu.$$

The conservation laws (1.6) can then be rewritten as, for all  $t \geq 0$ ,

$$(1.10) \quad \int_{\mathbb{T}^3 \times \mathbb{R}^3} f(t, x, v) \varphi(v) dx dv = 0 \quad \text{for } \varphi(v) = 1, v, |v|^2.$$

**1.2. Notations.** Through all the paper we shall consider function of two variables  $f = f(x, v)$  with  $x \in \mathbb{T}^3$  and  $v \in \mathbb{R}^3$ . Let  $m = m(v)$  be a positive Borel weight function and  $1 \leq p, q \leq \infty$ . We define the space  $L_x^q L_v^p(m)$  as the Lebesgue space associated to the norm, for  $f = f(x, v)$ ,

$$\begin{aligned} \|f\|_{L_x^q L_v^p(m)} &:= \|\|f\|_{L_v^p(m)}\|_{L_x^q} := \|\|m f\|_{L_v^p}\|_{L_x^q} \\ &= \left( \int_{\mathbb{T}_v^3} \|f(x, \cdot)\|_{L_v^p(m)}^q dx \right)^{1/q} \\ &= \left( \int_{\mathbb{T}_v^3} \left( \int_{\mathbb{R}_x^3} |f(x, v)|^p m(v)^p dv \right)^{q/p} dx \right)^{1/q}. \end{aligned}$$

We also define the high-order Sobolev spaces  $W_x^{n,q} W_v^{\ell,p}(m)$ , for  $n, \ell \in \mathbb{N}$ :

$$\|f\|_{W_x^{n,q} W_v^{\ell,p}(m)} = \sum_{0 \leq |\alpha| \leq \ell, 0 \leq |\beta| \leq n, |\alpha|+|\beta| \leq \max(\ell, n)} \|\partial_x^\alpha \partial_v^\beta f\|_{L_x^q L_v^p(m)}.$$

This definition reduces to the usual weighted Sobolev space  $W_{x,v}^{\ell,p}(m)$  when  $p = q$  and  $\ell = n$ , and we recall the shorthand notation  $H^\ell = W^{\ell,2}$ . In the case of negative Sobolev spaces we define the space  $H_{x,v}^{-1}(m)$  associated to the norm

$$\|f\|_{H_{x,v}^{-1}(m)} := \|m f\|_{H_{x,v}^{-1}}$$

as well as  $H_x^n H_v^{-1}(m)$ , for  $n \in \mathbb{N}$ , associated with the norm

$$\|f\|_{H_x^n H_v^{-1}(m)} := \sum_{0 \leq |\beta| \leq n} \|\partial_x^\beta f\|_{L_x^2 H_v^{-1}(m)} = \sum_{0 \leq |\beta| \leq n} \left( \int_{\mathbb{T}_x^3} \|m \partial_x^\beta f\|_{H_v^{-1}}^2 dx \right)^{1/2}$$

We shall denote  $W^{\ell,p}(m) = W_{x,v}^{\ell,p}(m)$  when considering spaces in the two variables  $(x, v)$ . Moreover, we denote  $W_x^{\ell,p} = W^{\ell,p}(\mathbb{T}_x^3)$  and its dual space is  $W_x^{-\ell,p'}$  when considering only the

$x$ -variable. Similarly,  $W_v^{\ell,p}(m) = W^{\ell,p}(\mathbb{R}_v^3; m)$  and its dual space is  $W_v^{-\ell,p'}(m)$  when considering only the  $v$ -variable.

Let  $X, Y$  be Banach spaces and consider a linear operator  $\Lambda : X \rightarrow X$ . We shall denote by  $\mathcal{S}_\Lambda(t) = e^{t\Lambda}$  the semigroup generated by  $\Lambda$ . Moreover we denote by  $\mathcal{B}(X, Y)$  the space of bounded linear operators from  $X$  to  $Y$  and by  $\|\cdot\|_{\mathcal{B}(X, Y)}$  its norm operator, with the usual simplification  $\mathcal{B}(X) = \mathcal{B}(X, X)$ .

For simplicity of notations, hereafter, we denote  $\langle v \rangle = (1 + |v|^2)^{1/2}$ ;  $a \sim b$  means that there exist constants  $c_1, c_2 > 0$  such that  $c_1 b \leq a \leq c_2 b$ ; we abbreviate “ $\leq C$ ” to “ $\lesssim$ ”, where  $C$  is a positive constant depending only on fixed number.

### 1.3. Main and known results.

**1.3.1. Cauchy theory and convergence to equilibrium.** We develop a Cauchy theory of perturbative solutions in “large” spaces for  $\gamma \in [-2, 1]$ . We also deal with the problem of convergence to equilibrium of the constructed solutions, we prove an exponential convergence to equilibrium. Let us now state our assumptions for the main result.

**(H0)** Assumptions for Theorem 1.1:

- **Hard potentials  $\gamma \in (0, 1]$  and Maxwellian molecules  $\gamma = 0$ :**

- (i) *Polynomial weight:*  $m = \langle v \rangle^k$  with  $k > \gamma + 7 + 3/2$ .
- (ii) *Stretched exponential weight:*  $m = e^{r\langle v \rangle^s}$  with  $r > 0$  and  $s \in (0, 2)$ .
- (iii) *Exponential weight:*  $m = e^{r\langle v \rangle^2}$  with  $r \in (0, 1/2)$ .

- **Moderately soft potentials  $\gamma \in [-2, 0]$ :**

- (i) *Stretched exponential wieght:*  $m = e^{r\langle v \rangle^s}$  with  $r > 0$ ,  $s \in (-\gamma, 2)$ .
- (ii) *Exponential weight:*  $m = e^{r\langle v \rangle^2}$  with  $r \in (0, 1/2)$ .

Through the paper, we shall use the notation  $\sigma = 0$  when  $m = \langle v \rangle^k$  and  $\sigma = s$  when  $m = e^{r\langle v \rangle^s}$ .

We define the space  $\mathcal{H}_x^3 L_v^2(m)$  (for  $m$  a polynomial or exponential weight) associated to the norm

$$(1.11) \quad \begin{aligned} \|h\|_{\mathcal{H}_x^3 L_v^2(m)}^2 &= \|h\|_{L_x^2 L_v^2(m)}^2 + \|\nabla_x h\|_{L_x^2 L_v^2(m\langle v \rangle^{-(1-\sigma/2)})}^2 \\ &\quad + \|\nabla_x^2 h\|_{L_x^2 L_v^2(m\langle v \rangle^{-2(1-\sigma/2)})}^2 + \|\nabla_x^3 h\|_{L_x^2 L_v^2(m\langle v \rangle^{-3(1-\sigma/2)})}^2. \end{aligned}$$

Moreover, we define in an similar way  $\mathcal{H}_x^3 H_{v,*}^1(m)$  associated to

$$(1.12) \quad \begin{aligned} \|h\|_{\mathcal{H}_x^3 H_{v,*}^1(m)}^2 &= \|h\|_{L_x^2 H_{v,*}^1(m)}^2 + \|\nabla_x h\|_{L_x^2 H_{v,*}^1(m\langle v \rangle^{-(1-\sigma/2)})}^2 \\ &\quad + \|\nabla_x^2 h\|_{L_x^2 H_{v,*}^1(m\langle v \rangle^{-2(1-\sigma/2)})}^2 + \|\nabla_x^3 h\|_{L_x^2 H_{v,*}^1(m\langle v \rangle^{-3(1-\sigma/2)})}^2, \end{aligned}$$

where hereafter we introduce the notation

$$(1.13) \quad \|h\|_{H_{v,*}^1(m)}^2 = \|h\|_{L_v^2(m\langle v \rangle^{(\gamma+\sigma)/2})}^2 + \|P_v \nabla_v h\|_{L_v^2(m\langle v \rangle^{\gamma/2})}^2 + \|(I - P_v) \nabla_v h\|_{L_v^2(m\langle v \rangle^{(\gamma+2)/2})}^2,$$

with  $P_v$  the projection onto  $v$ , namely  $P_v \xi = (\xi \cdot \frac{v}{|v|}) \frac{v}{|v|}$ .

Here are the main results on the fully nonlinear problem (1.7) that we prove in what follows. For simplicity denote  $X := \mathcal{H}_x^3 L_v^2(m)$  and  $Y := \mathcal{H}_x^3 H_{v,*}^1(m)$  (see (1.11) and (1.12)).

**Theorem 1.1.** *Consider assumption **(H0)** with some weight function  $m$ . We assume that  $f_0$  satisfies (1.10) and also that  $F_0 = \mu + f_0 \geq 0$ . There is a constant  $\epsilon_0 = \epsilon_0(m) > 0$  such that if  $\|f_0\|_X \leq \epsilon_0$ , then there exists a unique global weak solution  $f$  to the Landau equation (1.7), which satisfies, for some constant  $C > 0$ ,*

$$\|f\|_{L^\infty([0,\infty);X)} + \|f\|_{L^2([0,\infty);Y)} \leq C\epsilon_0.$$

Moreover, this solution verifies an exponential decay: for any  $0 < \lambda_2 < \lambda_1$  there exists  $C > 0$  such that

$$\forall t \geq 0, \quad \|f(t)\|_X \leq C e^{-\lambda_2 t} \|f_0\|_X,$$

where  $\lambda_1 > 0$  is the optimal rate given by the semigroup decay of the associated linearized operator in Theorem 2.1.

Let us comment our result and give an overview on the previous works on the Cauchy theory for the inhomogeneous Landau equation. For general large data, we refer to the papers of DiPerna-Lions [7] for global existence of the so-called renormalized solutions in the case of the Boltzmann equation. This notion of solution have been extend to the Landau equation by Alexandre-Villani [1] where they construct global renormalized solutions with a defect measure. We also mention the work of Desvillettes-Villani [6] that proves the convergence to equilibrium of a priori smooth solutions for both Boltzmann and Landau equations for general initial data.

In a close-to-equilibrium framework, Guo in [9] has developed a theory of perturbative solutions in a space with a weight prescribed by the equilibrium of type  $H_{x,v}^N(\mu^{-1/2})$ , for any  $N \geq 8$ , and for all cases  $\gamma \in [-3, 1]$ , using an energy method. Later, for  $\gamma \in [-2, 1]$ , Mouhot-Neumann [15] improve this result to  $H_{x,v}^N(\mu^{-1/2})$ , for any  $N \geq 4$ .

Let us underline the fact that Theorem 1.1 largely improves previous results on the Cauchy theory associated to the Landau equation in a perturbative setting. Indeed, we considerably have enlarged the space in which the Cauchy theory has been developed in two ways: the weight of our space is much less restrictive (it can be a polynomial or stretched exponential weight instead of the inverse Maxwellian equilibrium) and we also require less assumptions on the derivatives, in particular no derivatives in the velocity variable.

Moreover, we also deal with the problem of the decay to equilibrium of the solutions that we construct. This problem has been considered in several papers by Guo and Strain in [17, 18] first for Coulombian interactions ( $\gamma = -3$ ) for which they proved an almost exponential decay and then, they have improved this result dealing with very soft potentials ( $\gamma \in [-3, -2)$ ) and proving a decay to equilibrium with a rate of type  $e^{-\lambda t^p}$  with  $p \in (0, 1)$ . In the case  $\gamma \in [-2, 1]$ , Yu [26] has proved an exponential decay in  $H_{x,v}^N(\mu^{-1/2})$ , for any  $N \geq 8$ , and Mouhot-Neumann [15] in  $H_{x,v}^N(\mu^{-1/2})$ , for any  $N \geq 4$ .

We here emphasize that our strategy to prove Theorem 1.1 is completely different from the one of Guo in [9]. Indeed, he uses an energy method and his strategy is purely nonlinear, he directly derives energy estimates for the nonlinear problem while the first step of our proof is the study of the linearized equation and more precisely the study of its spectral properties. Then, we go back to the nonlinear problem combining the new spectral estimates obtained on the linearized equation with some bilinear estimates on the collision operator. Thanks to this method, we are able to develop a Cauchy theory in a space which is much larger than the one from the previous paper [9]. Moreover, we obtain the convergence of solutions towards the equilibrium with an explicit exponential rate.

Since the study of the linearized equation is the cornerstone of the proof of our main result, we here present the result that we obtain on it and briefly remind previous results.

**1.3.2. The linearized equation.** We remind the definition of the linearized operator at first order around the equilibrium:

$$\Lambda f = Q(\mu, f) + Q(f, \mu) - v \cdot \nabla_x f.$$

We study spectral properties of the linearized operator  $\Lambda$  in various weighted Sobolev spaces  $W_x^{n,p} W_v^{\ell,p}$ . Let us state our main result on the linearized operator (see Theorem 2.1 for a precise statement), which widely generalizes previous results since we are able to deal with a more general class of spaces.

**Theorem 1.2.** Consider hypothesis **(H1)**, **(H2)** or **(H3)** defined in Subsection 2.1 and a weight function  $m$ . Let  $\mathcal{E}$  be one of the admissible spaces defined in (2.2). Then, there exists explicit constants  $\lambda_1 > 0$  and  $C > 0$  such that

$$\forall t \geq 0, \quad \forall f \in \mathcal{E}, \quad \|S_\Lambda(t)f - \Pi_0 f\|_{\mathcal{E}} \leq C e^{-\lambda_1 t} \|f - \Pi_0 f\|_{\mathcal{E}},$$

where  $S_\Lambda(t)$  is the semigroup associated to  $\Lambda$  and  $\Pi_0$  the projector onto the null space of  $\Lambda$  by (1.16).

We first make a brief review on known results on spectral gap properties of the linearized operator in the homogeneous  $\mathcal{L}$  defined in (1.8). On the Hilbert space  $L_v^2(\mu^{-1/2})$ , a simple computation gives that  $\mathcal{L}$  is self-adjoint and  $\langle \mathcal{L}h, h \rangle_{L_v^2(\mu^{-1/2})} \leq 0$ , which implies that the spectrum of  $\mathcal{L}$  on  $L_v^2(\mu^{-1})$  is included in  $\mathbb{R}^-$ . Moreover, the nullspace is given by

$$N(\mathcal{L}) = \text{Span}\{\mu, v_1\mu, v_2\mu, v_3\mu, |v|^2\mu\}.$$

We can now state the existing results on the spectral gap of  $\mathcal{L}$  on  $L_v^2(\mu^{-1/2})$ . Summarising results of Degond and Lemou [5], Guo [9], Baranger and Mouhot [2], Mouhot [13], Mouhot and Strain [16] for all cases  $\gamma \in [-3, 1]$ , we have: there is a constructive constant  $\lambda_0 > 0$  (spectral gap) such that

$$(1.14) \quad \langle -\mathcal{L}h, h \rangle_{L_v^2(\mu^{-1/2})} \geq \lambda_0 \|h\|_{H_{v, **}^1(\mu^{-1/2})}^2, \quad \forall h \in N(\mathcal{L})^\perp,$$

where the anisotropic norm  $\|\cdot\|_{H_{v, **}^1(\mu^{-1/2})}$  is defined by

$$\begin{aligned} \|h\|_{H_{v, **}^1(\mu^{-1/2})}^2 := & \|\langle v \rangle^{\gamma/2} P_v \nabla h\|_{L_v^2(\mu^{-1/2})}^2 + \|\langle v \rangle^{(\gamma+2)/2} (I - P_v) \nabla h\|_{L_v^2(\mu^{-1/2})}^2 \\ & + \|\langle v \rangle^{(\gamma+2)/2} h\|_{L_v^2(\mu^{-1/2})}^2, \end{aligned}$$

where  $P_v$  denotes the projection onto the  $v$ -direction, more precisely  $P_v g = \left( \frac{v}{|v|} \cdot g \right) \frac{v}{|v|}$ . We also have from [9] the reverse inequality, which implies a spectral gap for  $\mathcal{L}$  in  $L_v^2(\mu^{-1/2})$  if and only if  $\gamma + 2 \geq 0$ .

Let us now mention the works which have studied spectral properties of the full linearized operator  $\Lambda = \mathcal{L} - v \cdot \nabla_x$ . Mouhot and Neumann [15] prove explicit coercivity estimates for hard and moderately soft potentials ( $\gamma \in [-2, 1]$ ) in  $H_{x,v}^\ell(\mu^{-1/2})$  for  $\ell \geq 1$ , using the known spectral estimate for  $\mathcal{L}$  in (1.14). It is worth mentioning that the third author has obtained in [24] an exponential decay to equilibrium for the full linearized equation in  $L_{x,v}^2(\mu^{-1/2})$  by a different method, and the decay rate depends on the size of the domain. Let us summarize results that we will use in the remainder of the paper in the following theorem.

**Theorem 1.3** ([15]). Consider  $\ell_0 \geq 1$  and  $E := H_{x,v}^{\ell_0}(\mu^{-1/2})$ . Then, there exists a constructive constant  $\lambda_0 > 0$  (spectral gap) such that  $\Lambda$  satisfies on  $E$ :

- (i) the spectrum  $\Sigma(\Lambda) \subset \{z \in \mathbb{C} : \Re z \leq -\lambda_0\} \cup \{0\}$ ;
- (ii) the null space  $N(\Lambda)$  is given by

$$(1.15) \quad N(\Lambda) = \text{Span}\{\mu, v_1\mu, v_2\mu, v_3\mu, |v|^2\mu\},$$

and the projection  $\Pi_0$  onto  $N(\Lambda)$  by

$$(1.16) \quad \begin{aligned} \Pi_0 f = & \left( \int_{\mathbb{T}^3 \times \mathbb{R}^3} f dx dv \right) \mu + \sum_{i=1}^3 \left( \int_{\mathbb{T}^3 \times \mathbb{R}^3} v_i f dx dv \right) v_i \mu \\ & + \left( \int_{\mathbb{T}^3 \times \mathbb{R}^3} \frac{|v|^2 - 3}{18} f dx dv \right) \frac{(|v|^2 - 3)}{18} \mu; \end{aligned}$$

(iii)  $\Lambda$  is the generator of a strongly continuous semigroup  $S_\Lambda(t)$  that satisfies

$$(1.17) \quad \forall t \geq 0, \forall f \in E, \quad \|S_\Lambda(t)f - \Pi_0 f\|_E \leq e^{-\lambda_0 t} \|f - \Pi_0 f\|_E.$$

To prove Theorem 1.2, our strategy follows the one initiated by Mouhot in [14] for the homogeneous Boltzmann equation for hard potentials with cut-off. The latter theory has then been developed and extend in an abstract setting by Gualdani, Mischler and Mouhot [8], and Mischler and Mouhot [11]. They have applied it to Fokker-Planck equations and the spatially inhomogeneous Boltzmann equation for hard spheres. This strategy has also been used for the homogeneous Landau equation for hard and moderately soft potentials by the first author in [3, 4] and by the second author for the fractional Fokker-Planck equation and the homogeneous Boltzmann equation for hard potentials without cut-off in [19, 20] (see also [12] for related works).

Let us describe in more details this strategy. We want to apply the abstract theorem of enlargement of the space of semigroup decay from [8, 11] to our linearized operator  $\Lambda$ . We shall deduce the spectral/semigroup estimates of Theorem 1.2 on “large spaces”  $\mathcal{E}$  using the already known spectral gap estimates for  $\Lambda$  on  $H_{x,v}^\ell(\mu^{-1/2})$ , for  $\ell \geq 1$ , described in Theorem 1.3. Roughly speaking, to do that, we have to find a splitting of  $\Lambda$  into two operators  $\Lambda = \mathcal{A} + \mathcal{B}$  which satisfy some properties. The first part  $\mathcal{A}$  has to be bounded, the second one  $\mathcal{B}$  has to have some dissipativity properties, and also the semigroup  $(\mathcal{A}S_{\mathcal{B}}(t))$  is required to have some regularization properties.

We end this introduction by describing the organization of the paper. In Section 2 we consider the linearized equation and prove a precise version of Theorem 1.2. In Section 3 we come back to the nonlinear equation and prove our main result Theorem 1.1.

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## 2. THE LINEARIZED EQUATION

**2.1. Functional spaces.** Let us now make our assumptions on the different potentials  $\gamma$  and weight functions  $m = m(v)$ :

**(H1) Hard potentials**  $\gamma \in (0, 1]$ . For  $p \in [1, \infty]$  we consider the following cases

- (i) *Polynomial weight:* let  $m = \langle v \rangle^k$  with  $k > \gamma + 2 + 3(1 - 1/p)$ , and define the abscissa  $\lambda_{m,p} := \infty$ .
- (ii) *Stretched exponential weight:* let  $m = e^{r\langle v \rangle^s}$  with  $r > 0$  and  $s \in (0, 2)$ , and define the abscissa  $\lambda_{m,p} := \infty$ .
- (iii) *Exponential weight:* let  $m = e^{r\langle v \rangle^2}$  with  $r \in (0, 1/2)$  and define the abscissa  $\lambda_{m,p} := \infty$ .

**(H2) Maxwellian molecules**  $\gamma = 0$ . For  $p \in [1, \infty]$  we consider the following cases

- (i) *Polynomial weight:* let  $m = \langle v \rangle^k$  with  $k > \gamma + 2 + 3(1 - 1/p)$ , and define the abscissa  $\lambda_{m,p} := 2[k - (\gamma + 3)(1 - 1/p)]$ .
- (ii) *Stretched exponential weight:* let  $m = e^{r\langle v \rangle^s}$  with  $r > 0$  and  $s \in (0, 2)$ , and define the abscissa  $\lambda_{m,p} := \infty$ .
- (iii) *Exponential weight:* let  $m = e^{r\langle v \rangle^2}$  with  $r \in (0, 1/2)$  and define the abscissa  $\lambda_{m,p} := \infty$ .

**(H3) Moderately soft potentials**  $\gamma \in [-2, 0)$ . For  $p \in [1, \infty]$  we consider the following cases

- (i) *Stretched exponential weight for  $\gamma \in (-2, 0)$ :* let  $m = e^{r\langle v \rangle^s}$  with  $r > 0$ ,  $s \in (0, 2)$  and  $s + \gamma > 0$ , and define the abscissa  $\lambda_{m,p} := \infty$ .
- (ii) *Exponential weight for  $\gamma \in (-2, 0)$ :* let  $m = e^{r\langle v \rangle^2}$  with  $r \in (0, 1/2)$  and define the abscissa  $\lambda_{m,p} := \infty$ .
- (iii) *Exponential weight for  $\gamma = -2$ :* let  $m = e^{r\langle v \rangle^2}$  with  $r \in (0, 1/2)$ , and define the abscissa  $\lambda_{m,p} := 4r(1 - 2r)$ .

Under these hypothesis, we shall use the following notation for the functional spaces:

$$(2.1) \quad E := H_{x,v}^{\ell_0}(\mu^{-1/2}), \quad \ell_0 \geq 1,$$

in which space we already know that the linearized operator  $\Lambda$  has a spectral gap (Theorem 1.3), and also, under hypotheses **(H1)**, **(H2)** or **(H3)**,

$$(2.2) \quad \mathcal{E} := \begin{cases} L_{x,v}^p(m), & \forall p \in [1, \infty]; \\ W_x^{n,p}W_v^{\ell,p}(m), & \forall p \in [1, 2], n \in \mathbb{N}^*, \ell \in \mathbb{N}; \\ H_x^n H_v^{-1}(m), & \forall n \in \{-1\} \cup \mathbb{N}; \end{cases}$$

and for each space we define the associated abscissa  $\lambda_{\mathcal{E}} = \lambda_{m,p}$ .

The main result of this section, which is a precise version of Theorem 1.2, reads

**Theorem 2.1.** *Consider hypothesis **(H1)**, **(H2)** or **(H3)** with some weight  $m$ , and let  $\mathcal{E}$  be one of the admissible spaces defined in (2.2).*

*Then, for any  $\lambda < \lambda_{\mathcal{E}}$  and any  $\lambda_1 \leq \min\{\lambda_0, \lambda\}$ , where we recall that  $\lambda_0 > 0$  is the spectral gap of  $\Lambda$  on  $E$  (see (1.17)), there is a constructive constant  $C > 0$  such that the operator  $\Lambda$  satisfies on  $\mathcal{E}$ :*

- (i)  $\Sigma(\Lambda) \subset \{z \in \mathbb{C} \mid \Re z \leq -\lambda_1\} \cup \{0\}$ ;
- (ii) the null-space  $N(\Lambda)$  is given by (1.15) and the projection  $\Pi_0$  onto  $N(\Lambda)$  by (1.16);
- (iii)  $\Lambda$  is the generator of a strongly continuous semigroup  $S_{\Lambda}(t)$  that verifies

$$\forall t \geq 0, \forall f \in \mathcal{E}, \quad \|S_{\Lambda}(t)f - \Pi_0 f\|_{\mathcal{E}} \leq C e^{-\lambda_1 t} \|f - \Pi_0 f\|_{\mathcal{E}}.$$

*Remark 2.2.* (1) Observe that:

- Cases **(H1)**, **(H2)-(ii)-(iii)** or **(H3)-(i)-(ii)**: we can recover the optimal estimate  $\lambda_1 = \lambda_0$  since  $\lambda_{m,p} = +\infty$ .
- Case **(H2)-(i)**: in this case we have  $m = \langle v \rangle^k$ , and we can recover the optimal estimate  $\lambda_1 = \lambda_0$  if  $k > 0$  is large enough such that  $\lambda_{m,p} = 2k - 6(1 - 1/p) > \lambda_0$ . Otherwise, we obtain  $\lambda_1 < 2k - 6(1 - 1/p)$ .
- Case **(H3)-(iii)**: in this case we have  $\gamma = -2$ ,  $m = e^{r\langle v \rangle^2}$  and  $\lambda_{m,p} = 4r(1 - 2r)$  and the condition  $0 < r < 1/(2)$ .

(2) This theorem also holds for other choices of space, namely for a space  $\mathcal{E}$  that is an interpolation space of two admissible spaces  $\mathcal{E}_1$  and  $\mathcal{E}_2$  in (2.2). We will use this on Section 3 to study the nonlinear equation.

The proof of Theorem 2.1 uses the fact that the properties (i)-(ii)-(iii) with  $\lambda_1 = \lambda_0$  hold on the small space  $E$  (Theorem 1.3) and the strategy described in section 1.3.2.

**2.2. Splitting of the linearized operator.** We decompose the linearized Landau operator  $\mathcal{L}$  defined in (1.8) as  $\mathcal{L} = \mathcal{A}_0 + \mathcal{B}_0$ , where we define

$$(2.3) \quad \mathcal{A}_0 f := (a_{ij} * f) \partial_{ij} \mu - (c * f) \mu, \quad \mathcal{B}_0 f := (a_{ij} * \mu) \partial_{ij} f - (c * \mu) f.$$

Consider a smooth positive function  $\chi \in \mathcal{C}_c^\infty(\mathbb{R}_v^3)$  such that  $0 \leq \chi(v) \leq 1$ ,  $\chi(v) \equiv 1$  for  $|v| \leq 1$  and  $\chi(v) \equiv 0$  for  $|v| > 2$ . For any  $R \geq 1$  we define  $\chi_R(v) := \chi(R^{-1}v)$  and in the sequel we shall consider the function  $M\chi_R$ , for some constant  $M > 0$ .

Then, we make the final decomposition of the operator  $\Lambda$  as  $\Lambda = \mathcal{A} + \mathcal{B}$  with

$$(2.4) \quad \mathcal{A} := \mathcal{A}_0 + M\chi_R, \quad \mathcal{B} := \mathcal{B}_0 - v \cdot \nabla_x - M\chi_R,$$

where  $M > 0$  and  $R > 0$  will be chosen later (see Lemma 2.6).

**2.3. Preliminaries.** We have the following results concerning the matrix  $\bar{a}_{ij}(v)$ .

**Lemma 2.3.** *The following properties hold:*

- (a) *The matrix  $\bar{a}(v)$  has a simple eigenvalue  $\ell_1(v) > 0$  associated with the eigenvector  $v$  and a double eigenvalue  $\ell_2(v) > 0$  associated with the eigenspace  $v^\perp$ . Moreover, when  $|v| \rightarrow +\infty$  we have*

$$\ell_1(v) \sim 2\langle v \rangle^\gamma \quad \text{and} \quad \ell_2(v) \sim \langle v \rangle^{\gamma+2}.$$

- (b) *The function  $\bar{a}_{ij}$  is smooth, for any multi-index  $\beta \in \mathbb{N}^3$*

$$|\partial^\beta \bar{a}_{ij}(v)| \leq C_\beta \langle v \rangle^{\gamma+2-|\beta|}$$

and

$$\begin{aligned} \bar{a}_{ij}(v) \xi_i \xi_j &= \ell_1(v) |P_v \xi|^2 + \ell_2(v) |(I - P_v) \xi|^2 \\ &\geq c_0 \{ \langle v \rangle^\gamma |P_v \xi|^2 + \langle v \rangle^{\gamma+2} |(I - P_v) \xi|^2 \}, \end{aligned}$$

for some constant  $c_0 > 0$  and where  $P_v$  is the projection on  $v$ , i.e.  $P_v \xi_i = (\xi \cdot \frac{v}{|v|}) \frac{v_i}{|v|}$ .

- (c) *We have*

$$\bar{a}_{ii}(v) = \text{tr}(\bar{a}(v)) = \ell_1(v) + 2\ell_2(v) = 2 \int_{\mathbb{R}^3} |v - v_*|^{\gamma+2} \mu(v_*) dv_* \quad \text{and} \quad \bar{b}_i(v) = -\ell_1(v) v_i.$$

- (d) *If  $|v| > 1$ , we have*

$$|\partial^\beta \ell_1(v)| \leq C_\beta \langle v \rangle^{\gamma-|\beta|} \quad \text{and} \quad |\partial^\beta \ell_2(v)| \leq C_\beta \langle v \rangle^{\gamma+2-|\beta|}.$$

*Proof.* We just give the proof of item (d) since (a) comes from [5, Propositions 2.3 and 2.4, Corollary 2.5], (b) is [9, Lemma 3] and (c) is evident. For item (d), the estimate of  $|\partial^\beta \ell_2(v)|$  directly comes from (a) and [9, Lemma 2]. For  $\ell_1(v)$ , using (b) and (c),

$$\partial_v \bar{b}_i(v) = \partial_v (-\ell_1(v) v_i),$$

and hence

$$|\partial_v \ell_1(v)| |v| \leq C(|\ell_1(v)| + |\partial_v \bar{b}_i(v)|) \leq C \langle v \rangle^\gamma,$$

note that  $|v| > 1$ , we have

$$|\partial_v \ell_1(v)| \leq C |v|^{-1} \langle v \rangle^\gamma \leq C \langle v \rangle^{\gamma-1}.$$

The high order estimate is similar and hence we omit the details.  $\square$

The following elementary lemma will be useful in the sequel (see [3, Lemma 2.5] and [4, Lemma 2.3]).

**Lemma 2.4.** *Let  $J_\alpha(v) := \int_{\mathbb{R}^3} |v - w|^\alpha \mu(w) dw$ , for  $0 \leq \alpha \leq 3$ . Then it holds:*

- (a) *If  $2 < \alpha \leq 3$ , then  $J_\alpha(v) \leq |v|^\alpha + C_\alpha |v|^{\alpha/2} + C_\alpha$ , for some constant  $C_\alpha > 0$ .*
- (b) *If  $0 \leq \alpha \leq 2$ , then  $J_\alpha(v) \leq |v|^\alpha + C_\alpha$ , for some constant  $C_\alpha > 0$ .*
- (c) *If  $-3 < \alpha < 0$ , then  $J_\alpha(v) \leq C \langle v \rangle^\alpha$  for some constant  $C > 0$ .*

We define the function  $\varphi_{m,p}$  as

$$(2.5) \quad \varphi_{m,p}(v) := \bar{a}_{ij}(v) \frac{\partial_{ij} m}{m} + (p-1)\bar{a}_{ij}(v) \frac{\partial_i m}{m} \frac{\partial_j m}{m} + 2\bar{b}_i(v) \frac{\partial_i m}{m} + \left( \frac{1}{p} - 1 \right) \bar{c}(v),$$

and also the function  $\tilde{\varphi}_{m,p}$  given by

$$(2.6) \quad \begin{aligned} \tilde{\varphi}_{m,p}(v) &:= \left( \frac{2}{p} - 1 \right) \bar{a}_{ij}(v) \frac{\partial_{ij} m}{m} + \left( 2 - \frac{2}{p} \right) \bar{a}_{ij}(v) \frac{\partial_i m}{m} \frac{\partial_j m}{m} \\ &\quad + \frac{2}{p} \bar{b}_i(v) \frac{\partial_i m}{m} + \left( \frac{1}{p} - 1 \right) \bar{c}(v), \end{aligned}$$

and hereafter, in order to treat both weight functions at the same time, we remind the notation:  $\sigma = 0$  when  $m = \langle v \rangle^k$  and  $\sigma = s$  when  $m = e^{r\langle v \rangle^s}$ .

We prove the following result concerning  $\varphi_{m,p}$  and  $\tilde{\varphi}_{m,p}$ .

**Lemma 2.5.** *Consider **(H1)**, **(H2)** or **(H3)**, and let  $\varphi_{m,p}$  and  $\tilde{\varphi}_{m,p}$  be defined in (2.5) and (2.6) respectively. Then we have:*

- Assume  $\sigma \in [0, 2]$ :

(1) For all positive  $\lambda < \lambda_{m,p}$  and  $\delta \in (0, \lambda_{m,p} - \lambda)$  we can choose  $M$  and  $R$  large enough such that

$$\begin{aligned} \varphi_{m,p}(v) - M\chi_R(v) &\leq -\lambda - \delta\langle v \rangle^{\gamma+\sigma}. \\ \tilde{\varphi}_{m,p}(v) - M\chi_R(v) &\leq -\lambda - \delta\langle v \rangle^{\gamma+\sigma}. \end{aligned}$$

(2) For all positive  $\lambda < \lambda_{m,p}$  and  $\delta \in (0, \lambda_{m,p} - \lambda)$  we can choose  $M$  and  $R$  large enough such that

$$\begin{aligned} \varphi_{m,p}(v) - M\chi_R(v) + M\partial_j\chi_R(v) &\leq -\lambda - \delta\langle v \rangle^{\gamma+\sigma}. \\ \tilde{\varphi}_{m,p}(v) - M\chi_R(v) + M\partial_j\chi_R(v) &\leq -\lambda - \delta\langle v \rangle^{\gamma+\sigma}. \end{aligned}$$

• Assume  $\sigma = 2$ : The same conclusion as before holds for  $\tilde{\varphi}_{m,p}$ . Moreover, concerning  $\varphi_{m,p}$ , the previous estimates also hold if we restrict  $r \in (0, 1/(2p))$  in assumptions **(H1)-(iii)**, **(H2)-(iii)**, **(H3)-(ii)**, and also modifying the value of the abscissa  $\lambda_{m,p} = 4r(1 - 2rp)$  in **(H3)-(iii)**.

*Proof of Lemma 2.5. Step 1. Polynomial weight.* Consider  $m = \langle v \rangle^k$  under hypothesis **(H1)** or **(H2)**. On the one hand, we have

$$\begin{aligned} \frac{\partial_i m}{m} &= kv_i \langle v \rangle^{-2}, & \frac{\partial_i m}{m} \frac{\partial_j m}{m} &= k^2 v_i v_j \langle v \rangle^{-4}, \\ \frac{\partial_{ij} m}{m} &= \delta_{ij} k \langle v \rangle^{-2} + k(k-2)v_i v_j \langle v \rangle^{-4}. \end{aligned}$$

Hence, from definitions (1.4)-(1.9) and Lemma 2.3 we obtain

$$\bar{a}_{ij} \frac{\partial_{ij} m}{m} = (\delta_{ij} \bar{a}_{ij}) k \langle v \rangle^{-2} + (\bar{a}_{ij} v_i v_j) k(k-2) \langle v \rangle^{-4} = \bar{a}_{ii} k \langle v \rangle^{-2} + \ell_1(v) k(k-2) |v|^2 \langle v \rangle^{-4},$$

where we recall that the eigenvalue  $\ell_1(v) > 0$  is defined in Lemma 2.3. Moreover, arguing exactly as above we obtain

$$\bar{a}_{ij} \frac{\partial_i m}{m} \frac{\partial_j m}{m} = (\bar{a}_{ij} v_i v_j) k^2 \langle v \rangle^{-4} = \ell_1(v) k^2 |v|^2 \langle v \rangle^{-4}$$

and also, using the fact that  $\bar{b}_i(v) = -\ell_1(v)v_i$  from Lemma 2.3,

$$\bar{b}_i \frac{\partial_i m}{m} = -\ell_1(v)v_i k v_i \langle v \rangle^{-2} = -\ell_1(v) k |v|^2 \langle v \rangle^{-2}.$$

On the other hand, from item (c) of Lemma 2.3 and definitions (1.4)-(1.9) we obtain that

$$\bar{a}_{ii}(v) = \ell_1(v) + 2\ell_2(v) \quad \text{and} \quad \bar{c}(v) = -2(\gamma+3)J_\gamma(v),$$

where  $J_\alpha$  is defined in Lemma 2.4. It follows that

$$(2.7) \quad \begin{aligned} \varphi_{m,p}(v) &= 2k\ell_2(v)\langle v \rangle^{-2} + k\ell_1(v)\langle v \rangle^{-2} + k(k-2)\ell_1(v)|v|^2\langle v \rangle^{-4} \\ &\quad + (p-1)k^2\ell_1(v)|v|^2\langle v \rangle^{-4} - 2k\ell_1(v)|v|^2\langle v \rangle^{-2} + 2(\gamma+3)\left(1-\frac{1}{p}\right)J_\gamma(v). \end{aligned}$$

Since  $\ell_1(v) \sim 2\langle v \rangle^\gamma$ ,  $\ell_2(v) \sim \langle v \rangle^{\gamma+2}$  and  $\ell_1(v)|v|^2 \sim 2\ell_2(v)$  when  $|v| \rightarrow +\infty$  thanks to Lemma 2.3, and also  $J_\gamma(v) \sim \langle v \rangle^\gamma$  from Lemma 2.4 (since in this case we have  $\gamma \geq 0$ ), the dominant terms in (2.7) are the first, fifth and sixth ones, all of order  $\langle v \rangle^\gamma$ . Then we obtain

$$(2.8) \quad \limsup_{|v| \rightarrow +\infty} \varphi_{m,p}(v) \leq -2[k - (\gamma+3)(1-1/p)]\langle v \rangle^\gamma,$$

and recall that  $k > (\gamma+3)(1-1/p)$ . Doing the same kind of computations, we obtain the same asymptotic for  $\tilde{\varphi}_{m,p}$ ,

$$(2.9) \quad \limsup_{|v| \rightarrow +\infty} \tilde{\varphi}_{m,p}(v) \leq -2[k - (\gamma+3)(1-1/p)]\langle v \rangle^\gamma.$$

*Step 2. Stretched exponential weight.* We consider now  $m = \exp(r\langle v \rangle^s)$  satisfying **(H1)**, **(H2)** or **(H3)**. In this case we have

$$\begin{aligned} \frac{\partial_i m}{m} &= rs v_i \langle v \rangle^{s-2}, \quad \frac{\partial_i m}{m} \frac{\partial_j m}{m} = r^2 s^2 v_i v_j \langle v \rangle^{2s-4}, \\ \frac{\partial_{ij} m}{m} &= rs \langle v \rangle^{s-2} \delta_{ij} + rs(s-2) v_i v_j \langle v \rangle^{s-4} + r^2 s^2 v_i v_j \langle v \rangle^{2s-4}. \end{aligned}$$

Then we obtain

$$(2.10) \quad \begin{aligned} \varphi_{m,p}(v) &= 2rs\ell_2(v)\langle v \rangle^{s-2} + rs\ell_1(v)\langle v \rangle^{s-2} + rs(s-2)\ell_1(v)|v|^2\langle v \rangle^{s-4} \\ &\quad + pr^2s^2\ell_1(v)|v|^2\langle v \rangle^{2s-4} - 2rs\ell_1(v)|v|^2\langle v \rangle^{s-2} + 2(\gamma+3)\left(1-\frac{1}{p}\right)J_\gamma(v) \end{aligned}$$

In the case  $0 < s < 2$ , arguing as in step 1, the dominant terms in (2.10) when  $|v| \rightarrow +\infty$  are the first and fifth one, both of order  $\langle v \rangle^{\gamma+s}$ . Then we obtain

$$(2.11) \quad \limsup_{|v| \rightarrow +\infty} \varphi_{m,p}(v) \leq -2rs\langle v \rangle^{s+\gamma},$$

and recall that  $s + \gamma > 0$ . In the same way we obtain

$$(2.12) \quad \limsup_{|v| \rightarrow +\infty} \tilde{\varphi}_{m,p}(v) \leq -2rs\langle v \rangle^{\gamma+s}.$$

In the case  $s = 2$ , the dominant terms in (2.10) when  $|v| \rightarrow +\infty$  are the first, fourth and fifth ones, all of order  $\langle v \rangle^{\gamma+2}$ . Hence we get

$$(2.13) \quad \limsup_{|v| \rightarrow +\infty} \varphi_{m,p}(v) \leq -4r(1-2pr)\langle v \rangle^{\gamma+2}.$$

However, a similar computation gives

$$(2.14) \quad \limsup_{|v| \rightarrow +\infty} \tilde{\varphi}_{m,p}(v) \leq -4r(1-2r)\langle v \rangle^{\gamma+2},$$

which is better than the asymptotic of  $\varphi_{m,p}$ . Thus we need the condition  $r < 1/2$  for  $\tilde{\varphi}_{m,p}$  (which is better than the condition  $r < 1/(2p)$  for  $\varphi_{m,p}$ ).

*Step 3. Conclusion.* Finally, thanks to the asymptotic behaviour in (2.8), (2.11) and (2.13), for any  $\lambda < \lambda_{m,p}$  we can choose  $M$  and  $R$  large enough such that  $\varphi_{m,p}(v) - M\chi_R(v) \leq -\lambda - \delta\langle v \rangle^{\gamma+\sigma}$  for some  $\delta > 0$  small enough, which gives us point (1) of the lemma.

For the point (2) we use  $\partial_j \chi_R(v) = R^{-1} \partial_j \chi(v/R)$  and write

$$\varphi_{m,p}(v) - M\chi_R(v) + M\partial_j \chi_R(v) \leq \varphi_{m,p}(v) - M\chi_R(v) + M \frac{C_\chi}{R} \mathbf{1}_{R \leq |v| \leq 2R} =: \Phi(v).$$

We fix some  $\bar{\lambda} \in (\lambda, \lambda_{m,p})$ . First we choose  $R_1$  large enough such that, for all  $|v| \geq R_1$ , we have

$$\varphi_{m,p}(v) + \delta\langle v \rangle^{\gamma+\sigma} \leq -\bar{\lambda}$$

for some  $\delta > 0$  small enough, which implies that, for any  $|v| \geq 2R_1$ ,

$$\Phi(v) + \delta\langle v \rangle^{\gamma+\sigma} = \varphi_{m,p}(v) + \delta\langle v \rangle^{\gamma+\sigma} \leq -\bar{\lambda}.$$

Then we choose  $M > 0$  large enough such that, for all  $|v| \leq R_1$ ,

$$\Phi(v) + \delta\langle v \rangle^{\gamma+\sigma} = \varphi_{m,p}(v) + \delta\langle v \rangle^{\gamma+\sigma} - M\chi_{R_1}(v) \leq -\bar{\lambda}.$$

Finally, we choose  $R \geq R_1$  large enough such that, for any  $R \leq |v| \leq 2R$ ,

$$\Phi(v) + \delta\langle v \rangle^{\gamma+\sigma} \leq \varphi_{m,p}(v) + \delta\langle v \rangle^{\gamma+\sigma} + M\frac{C_\chi}{R} \leq -\bar{\lambda} + M\frac{C_\chi}{R} \leq -\lambda,$$

and we easily observe that now for  $R_1 \leq |v| \leq R$  we have

$$\Phi(v) + \delta\langle v \rangle^{\gamma+\sigma} = \varphi_{m,p}(v) + \delta\langle v \rangle^{\gamma+\sigma} - M\chi_R(v) \leq -\bar{\lambda} - M \leq -\lambda,$$

which concludes the proof for  $\varphi_{m,p}$ . Concerning  $\tilde{\varphi}_{m,p}$ , in the same way, inequalities (2.9), (2.12) and (2.14) yield the result.  $\square$

**2.4. Hypodissipativity.** In this subsection we prove hypodissipativity properties for the operator  $\mathcal{B}$  on the admissible spaces  $\mathcal{E}$  defined in (2.2).

**Lemma 2.6.** *Consider hypothesis **(H1)**, **(H2)** or **(H3)** and let  $p \in [1, +\infty]$ . Then, for any  $\lambda < \lambda_{m,p}$ , we can choose  $M > 0$  and  $R > 0$  large enough such that the operator  $(\mathcal{B} + \lambda)$  is dissipative in  $L_{x,v}^p(m)$ , in the sense that*

$$\forall t \geq 0, \quad \|S_{\mathcal{B}}(t)\|_{\mathcal{B}(L_{x,v}^p(m))} \leq Ce^{-\lambda t}.$$

*Proof of Lemma 2.6.* Let us denote  $\Phi'(z) = |z|^{p-1}\text{sign}(z)$  and consider the equation

$$\partial_t f = \mathcal{B}f = \mathcal{B}_0 f - v \cdot \nabla_x f - M\chi_R f.$$

For all  $p \in [1, +\infty)$ , we have

$$\frac{1}{p} \frac{d}{dt} \|f\|_{L_{x,v}^p(m)}^p = \int (\mathcal{B}f) \Phi'(f) m^p.$$

From (2.3) and (1.5), last integral is equal to

$$\begin{aligned} & \int \bar{a}_{ij}(v) \partial_{ij} f(x, v) \Phi'(f) m^p - \int \bar{c}(v) f(x, v) \Phi'(f) m^p \\ & - \int v \cdot \nabla_x f(x, v) \Phi'(f) m^p - \int M\chi_R(v) f(x, v) \Phi'(f) m^p \\ & =: T_1 + T_2 + T_3 + T_4. \end{aligned}$$

The term  $T_3$  vanishes thanks to its divergence structure and terms  $T_2$  and  $T_4$  are easily computed, giving

$$T_2 = - \int \bar{c}(v) |f(x, v)|^p m^p \quad \text{and} \quad T_4 = - \int M\chi_R(v) |f(x, v)|^p m^p.$$

Let us compute then the term  $T_1$ . Using that  $\partial_{ij} f \Phi'(f) = p^{-1} \partial_{ij}(|f|^p) - (p-1) \partial_i f \partial_j f |f|^{p-2}$  we obtain

$$T_1 = \frac{1}{p} \int \bar{a}_{ij}(v) \partial_{ij}(|f|^p) m^p - (p-1) \int \bar{a}_{ij}(v) \partial_i f \partial_j f |f|^{p-2} m^p.$$

Performing two integrations by parts on the first integral of  $T_1$  it yields

$$\begin{aligned} \frac{1}{p} \frac{d}{dt} \|f\|_{L_{x,v}^p(m)}^p &= \int (\mathcal{B}f) \Phi'(f) m^p = -(p-1) \int \bar{a}_{ij}(v) \partial_i f \partial_j f |f|^{p-2} m^p \\ &\quad + \int \{\varphi_{m,p}(v) - M\chi_R(v)\} |f|^p m^p, \end{aligned}$$

where  $\varphi_{m,p}$  is defined in (2.5). We can also get, by a similar computation,

$$\begin{aligned} \int (\mathcal{B}f) \Phi'(f) m^p &= -(p-1) \int \bar{a}_{ij}(v) \partial_i (mf) \partial_j (mf) |f|^{p-2} m^{p-2} \\ &\quad + \int \{\tilde{\varphi}_{m,p}(v) - M\chi_R(v)\} |f|^p m^p, \end{aligned}$$

Finally, thanks to Lemma 2.5, for any  $\lambda < \lambda_{m,p}$  we can choose  $M$  and  $R$  large enough such that  $\varphi_{m,p}(v) - M\chi_R(v) \leq -\lambda + \delta \langle v \rangle^{\gamma+\sigma}$ . It follows that the operator  $\mathcal{B} + \lambda$  is dissipative in  $L_{x,v}^p(m)$ , more precisely, for all  $f \in L_{x,v}^p(m)$ , we have

$$(2.15) \quad \|\mathcal{S}_\mathcal{B}(t)f\|_{L_{x,v}^p(m)} \leq e^{-\lambda t} \|f\|_{L_{x,v}^p(m)}.$$

Indeed we obtain

$$(2.16) \quad \begin{aligned} \frac{1}{p} \frac{d}{dt} \|f\|_{L^p(m)}^p &\leq -c_0(p-1) \int \{\langle v \rangle^\gamma |P_v \nabla_v f|^2 + \langle v \rangle^{\gamma+2} |(I - P_v) \nabla_v f|^2\} |f|^{p-2} m^p \\ &\quad - \lambda \|f\|_{L^p(m)}^p - \delta \|\langle v \rangle^{\frac{\gamma+\sigma}{p}} f\|_{L^p(m)}^p. \end{aligned}$$

or

$$(2.17) \quad \begin{aligned} \frac{1}{p} \frac{d}{dt} \|f\|_{L^p(m)}^p &\leq -c_0(p-1) \int \{\langle v \rangle^\gamma |P_v \nabla_v (mf)|^2 + \langle v \rangle^{\gamma+2} |(I - P_v) \nabla_v (mf)|^2\} |f|^{p-2} m^{p-2} \\ &\quad - \lambda \|f\|_{L^p(m)}^p - \delta \|\langle v \rangle^{\frac{\gamma+\sigma}{p}} f\|_{L^p(m)}^p, \end{aligned}$$

from which (2.15) follows for any  $p \in [1, \infty)$ . For  $p = \infty$ , let  $g = mf$ , it is easy to check that  $g$  satisfies the equation

$$\partial_t g + v \cdot \nabla_x g = \bar{a}_{ij}(v) \partial_{ij} g - 2\bar{a}_{ij}(v) \frac{\partial_i m}{m} \partial_j g + \tilde{\varphi}_{m,\infty}(v) g - M\chi_R(v) g,$$

by the standard maximum principle argument (for example, see [25]), we have

$$\|\mathcal{S}_\mathcal{B}(t)f\|_{L_{x,v}^\infty(m)} \leq e^{-\lambda t} \|f\|_{L_{x,v}^\infty(m)}.$$

This completes the proof of the lemma.  $\square$

**Lemma 2.7.** *Consider hypothesis (H1), (H2) or (H3),  $\ell \in \mathbb{N}$  and  $n \in \mathbb{N}^*$ . Then, for any  $\lambda < \lambda_{m,1}$ , we can choose  $M > 0$  and  $R > 0$  large enough such that the operator  $\mathcal{B} + \lambda$  is hypo-dissipative in  $W_x^{n,1} W_v^{\ell,1}(m)$ , in the sense that*

$$\forall t \geq 0, \quad \|S_\mathcal{B}(t)\|_{\mathcal{B}(W_x^{n,1} W_v^{\ell,1}(m))} \leq C e^{-\lambda t}.$$

*Proof of Lemma 2.7.* Consider the equation

$$\partial_t f = \mathcal{B}f = \mathcal{B}_0 f - v \cdot \nabla_x f - M\chi_R f.$$

Remind that  $\mathcal{B}_0 f = Q(\mu, f)$  and remark that  $x$ -derivatives commute with the operator  $\mathcal{B}$ , thus for any multi-index  $\alpha, \beta \in \mathbb{N}^3$ , we have

$$\partial_v^\alpha \partial_x^\beta (\mathcal{B}f) = \partial_v^\alpha (\mathcal{B} \partial_x^\beta f)$$

and

$$\partial_v^\alpha \mathcal{B}_0 f = \partial_v^\alpha Q(\mu, f) = \sum_{\alpha_1 + \alpha_2 = \alpha} C_{\alpha_1, \alpha_2} Q(\partial_v^{\alpha_1} \mu, \partial_v^{\alpha_2} f)$$

and, writing  $v \cdot \nabla_x f = v_i \partial_{x_i} f$ ,

$$\partial_v^\alpha \mathcal{B}f = \mathcal{B}\partial_v^\alpha f + \sum_{\alpha_1+\alpha_2=\alpha, |\alpha_1|\geq 1} C_{\alpha_1, \alpha_2} \{ Q(\partial_v^{\alpha_1} \mu, \partial_v^{\alpha_2} f) - (\partial_v^{\alpha_1} v_i) \partial_{x_i} (\partial_v^{\alpha_2} f) - M(\partial_v^{\alpha_1} \chi_R)(\partial_v^{\alpha_2} f) \}$$

finally

$$\begin{aligned} \partial_v^\alpha \partial_x^\beta \mathcal{B}f &= \mathcal{B}(\partial_v^\alpha \partial_x^\beta f) \\ &+ \sum_{\alpha_1+\alpha_2=\alpha, |\alpha_1|=1} C_{\alpha_1, \alpha_2} \{ Q(\partial_v^{\alpha_1} \mu, \partial_v^{\alpha_2} \partial_x^\beta f) - (\partial_v^{\alpha_1} v_i) \partial_{x_i} (\partial_v^{\alpha_2} \partial_x^\beta f) - M(\partial_v^{\alpha_1} \chi_R)(\partial_v^{\alpha_2} \partial_x^\beta f) \} \\ &+ \sum_{\alpha_1+\alpha_2=\alpha, |\alpha_1|\geq 2} C_{\alpha_1, \alpha_2} \{ Q(\partial_v^{\alpha_1} \mu, \partial_v^{\alpha_2} \partial_x^\beta f) - M(\partial_v^{\alpha_1} \chi_R)(\partial_v^{\alpha_2} \partial_x^\beta f) \}. \end{aligned}$$

We shall treat in full details the case  $\ell = n = 1$ , the others  $\ell, n \geq 2$  being treated in the same way.

**Case  $\ell = n = 1$  :** *Step 1. Derivatives in  $x$ .* First, using the computation (2.16) for  $p = 1$ , we have

$$(2.18) \quad \frac{d}{dt} \|f\|_{L_{x,v}^1(m)} = \int \{\varphi_{m,1}(v) - M\chi_R(v)\} |f| m.$$

As explained before, the  $x$ -derivatives commute with the operator  $\mathcal{B}$ , so for any multi-index  $\beta \in \mathbb{N}^3$  we get from (2.16) that

$$(2.19) \quad \frac{d}{dt} \|\partial_x^\beta f\|_{L_{x,v}^1(m)} = \int \{\varphi_{m,1}(v) - M\chi_R(v)\} |\partial_x^\beta f| m.$$

*Step 2. Derivatives in  $v$ .* We now consider the derivatives in  $v$ . For any  $\alpha \in \mathbb{N}^3$  with  $|\alpha| = 1$ , we compute the evolution of  $v$ -derivatives:

$$\partial_t(\partial_v^\alpha f) = \mathcal{B}(\partial_v^\alpha f) + Q(\partial_v^\alpha \mu, f) - (\partial_v^\alpha v_i) \partial_{x_i} f - M(\partial_v^\alpha \chi_R) f.$$

From the previous equation we deduce that

$$\begin{aligned} \frac{d}{dt} \|\partial_v^\alpha f\|_{L_{x,v}^1(m)} &= \int \{\mathcal{B}(\partial_v^\alpha f) + Q(\partial_v^\alpha \mu, f) - (\partial_v^\alpha v_i) \partial_{x_i} f - M(\partial_v^\alpha \chi_R) f\} \text{sign}(\partial_v^\alpha f) m \\ &=: T_1 + T_2 + T_3 + T_4 + T_5, \end{aligned}$$

where

$$\begin{aligned} T_1 &= \int \mathcal{B}(\partial_v^\alpha f) \text{sign}(\partial_v^\alpha f) m \\ T_2 &= \int (\partial_v^\alpha \bar{a}_{ij}) \partial_{ij} f \text{sign}(\partial_v^\alpha f) m \\ T_3 &= - \int (\partial_v^\alpha \bar{c}) f \text{sign}(\partial_v^\alpha f) m \\ T_4 &= - \int (\partial_v^\alpha v_i) \partial_{x_i} f \text{sign}(\partial_v^\alpha f) m = 0 \\ T_5 &= - \int M(\partial_v^\alpha \chi_R) f \text{sign}(\partial_v^\alpha f) m. \end{aligned}$$

Again using the computation (2.16) of Lemma 2.6 for  $p = 1$ , we have

$$T_1 = \int \{\varphi_{m,1}(v) - M\chi_R(v)\} |\partial_v^\alpha f| m.$$

Concerning  $T_5$ , we use the following fact on the derivative of  $\chi_R$ :

$$|\partial_v^\alpha \chi_R(v)| = \frac{1}{R} \left| \partial_v^\alpha \chi \left( \frac{v}{R} \right) \right| \leq \frac{C}{R} \mathbf{1}_{R \leq |v| \leq 2R},$$

which implies that

$$T_5 \leq M \frac{C}{R} \|\mathbf{1}_{R \leq |v| \leq 2R} f\|_{L^1_{x,v}(m)}.$$

Performing integration by parts, we get

$$T_2 + T_3 = - \int \partial_v^\alpha \bar{a}_{ij} \partial_i f \partial_j m \operatorname{sign}(\partial_v^\alpha f) + \int \partial_v^\alpha \bar{b}_j \partial_j m f \operatorname{sign}(\partial_v^\alpha f) =: A + B.$$

When  $m$  is a polynomial weight  $m = \langle v \rangle^k$ , we can easily estimate  $T_2 + T_3$ , thanks to another integration by parts, by

$$T_2 + T_3 = \int \{(\partial_v^\alpha \bar{a}_{ij}) \partial_{ij} m + 2(\partial_v^\alpha \bar{b}_j) \partial_j m\} f \operatorname{sign}(\partial_v^\alpha f) \lesssim \|\langle v \rangle^{\gamma-1} f\|_{L^1_{x,v}(m)},$$

where we have used  $|\partial_v^\alpha \bar{a}_{ij}| \leq C \langle v \rangle^{\gamma+1}$ ,  $|\partial_v^\alpha \bar{b}_j| \leq \langle v \rangle^\gamma$ ,  $|\partial_j m| \leq C \langle v \rangle^{-1} m$  and  $|\partial_{ij} m| \leq C \langle v \rangle^{-2} m$ .

We now investigate the case of (stretched) exponential weight  $m = e^{r \langle v \rangle^s}$ . First, we can easily estimate the term  $B$ , since  $\partial_j m = Cv_j \langle v \rangle^{\sigma-2} m$ , as

$$B \lesssim \|\langle v \rangle^{\gamma+s-1} f\|_{L^1_{x,v}(m)}.$$

For the other term, integrating by parts again (first with respect to the  $\partial_v^\alpha$ -derivative then to the  $\partial_i$ -derivative), gives us

$$A = - \int \left\{ \bar{a}_{ij} \frac{\partial_{ij} m}{m} + \bar{b}_j \frac{\partial_j m}{m} \right\} |\partial_v^\alpha f| m + \int \bar{a}_{ij} \partial_i (\partial_v^\alpha m) \partial_j f \operatorname{sign}(\partial_v^\alpha f),$$

and we investigate the last term in the right-hand side. Recall that

$$\bar{a}_{ij} \xi_i \xi_j = \ell_1(v) |P_v \xi|^2 + \ell_2(v) |(I - P_v) \xi|^2,$$

we decompose  $\partial_j f = P_v \partial_j f + (I - P_v) \partial_j f$  and similarly for  $\partial_j (\partial_v^\alpha m)$ , then a tedious but straightforward computation yields

$$\begin{aligned} & \int \bar{a}_{ij} \partial_i (\partial_v^\alpha m) \partial_j f \operatorname{sign}(\partial_v^\alpha f) \\ &= \int \{rs\ell_1(v) \langle v \rangle^{s-2} + rs(s-2)\ell_1(v) |v|^2 \langle v \rangle^{s-4} + r^2 s^2 \ell_1(v) |v|^2 \langle v \rangle^{2s-4}\} P_v \partial_v^\alpha f \operatorname{sign}(\partial_v^\alpha f) m \\ & \quad + \int rs\ell_2(v) \langle v \rangle^{s-2} (I - P_v) \partial_v^\alpha f \operatorname{sign}(\partial_v^\alpha f) m. \end{aligned}$$

Recall that  $\varphi_{m,1}(v) = \bar{a}_{ij} \frac{\partial_{ij} m}{m} + 2\bar{b}_j \frac{\partial_j m}{m}$  (see eq. (2.5)), hence we obtain

$$T_1 + A \leq \int \{\psi_{m,1}(v) - M\chi_R(v)\} |\partial_v^\alpha f| m$$

with

$$\begin{aligned} \psi_{m,1}(v) &:= \bar{b}_j \frac{\partial_j m}{m} + rs\ell_2(v) \langle v \rangle^{s-2} + rs\ell_1(v) \langle v \rangle^{s-2} \\ & \quad + rs(s-2)\ell_1(v) |v|^2 \langle v \rangle^{s-4} + r^2 s^2 \ell_1(v) |v|^2 \langle v \rangle^{2s-4}. \end{aligned}$$

Thanks to the asymptotic behaviour of  $\ell_1(v)$  and  $\ell_2(v)$  in Lemma 2.3 and arguing as in Lemma 2.5, we obtain first that

$$(2.20) \quad \begin{cases} \limsup_{|v| \rightarrow +\infty} \psi_{m,1}(v) \leq -rs \langle v \rangle^{\gamma+s}, & \text{if } 0 < s < 2; \\ \limsup_{|v| \rightarrow +\infty} \psi_{m,1}(v) \leq -2r(1-4r), & \text{if } s = 2; \end{cases}$$

and then for any positive  $\lambda < \lambda_{m,1}$  and  $\delta \in (0, \lambda_{m,1} - \lambda)$  we can choose  $M, R$  large enough such that  $\psi_{m,1}(v) - M\chi_R(v) \leq -\lambda - \delta \langle v \rangle^{\gamma+\sigma}$ .

Putting together all the previous estimates of this step, and denoting  $\varphi^\sigma(v) = \varphi_{m,1}(v)$  when  $m = \langle v \rangle^k$  and  $\varphi^\sigma(v) = \psi_{m,1}(v)$  when  $m = e^{r\langle v \rangle^s}$ , we obtain

$$(2.21) \quad \frac{d}{dt} \|\partial_v^\alpha f\|_{L_{x,v}^1(m)} \leq \int \{\varphi^\sigma(v) - M\chi_R(v)\} |\partial_v^\alpha f| m + \int \{C\langle v \rangle^{\gamma+\sigma-1} + C\frac{M}{R}\mathbf{1}_{R \leq |v| \leq 2R}\} |f| m.$$

*Step 3. Conclusion.* Consider the standard norm on  $W_{x,v}^{1,1}(m)$

$$\|f\|_{W_{x,v}^{1,1}(m)} = \|f\|_{L_{x,v}^1(m)} + \|\nabla_x f\|_{L_{x,v}^1(m)} + \|\nabla_v f\|_{L_{x,v}^1(m)}.$$

Gathering the previous estimates (2.18), (2.19) and (2.21), we finally obtain

$$\begin{aligned} \frac{d}{dt} \|f\|_{W_{x,v}^{1,1}(m)} &\leq \int \{\varphi_{m,1}(v) + C\langle v \rangle^{\gamma+\sigma-1} + M\frac{C}{R}\mathbf{1}_{R \leq |v| \leq 2R} - M\chi_R\} |f| m \\ &\quad + \int \{\varphi_{m,1}(v) - M\chi_R\} |\nabla_x f| m + \int \{\varphi^\sigma(v) - M\chi_R\} |\nabla_v f| m. \end{aligned}$$

Remark that, since  $\sigma \in [0, 2]$ , the function  $\phi_m^0(v) := \varphi_{m,1}(v) + C\langle v \rangle^{\gamma+\sigma-1}$  has the same asymptotic behaviour of  $\varphi_{m,1}(v)$  (see eq. (2.8) and eq. (2.11)). Then, arguing as in Lemma 2.5 (and (2.20)), for any positive  $\lambda < \lambda_{m,1}$  and  $\delta \in (0, \lambda_{m,1} - \lambda)$ , one may find  $M > 0$  and  $R > 0$  large enough such that

$$\begin{aligned} \varphi_{m,1}(v) + C\langle v \rangle^{\gamma+\sigma-1} + M\frac{C}{R}\mathbf{1}_{R \leq |v| \leq 2R} - M\chi_R &\leq -\lambda - \delta\langle v \rangle^{\gamma+\sigma}, \\ \varphi_{m,1}(v) - M\chi_R &\leq -\lambda - \delta\langle v \rangle^{\gamma+\sigma}, \\ \varphi^\sigma(v) - M\chi_R &\leq -\lambda - \delta\langle v \rangle^{\gamma+\sigma}. \end{aligned}$$

This implies that

$$\frac{d}{dt} \|f\|_{W_{x,v}^{1,1}(m)} \leq -\lambda \|f\|_{W_{x,v}^{1,1}(m)} - \delta \|f\|_{W_{x,v}^{1,1}(m\langle v \rangle^{\gamma+\sigma})},$$

which concludes the proof in the case  $\ell = 1$ .

**Case  $\ell \geq 2$ :** The higher order derivatives are treated in the same way, so we omit the proof.  $\square$

**Lemma 2.8.** *Consider hypothesis **(H1)**, **(H2)** or **(H3)**,  $\ell \in \mathbb{N}$  and  $n \in \mathbb{N}^*$ . Then, for any  $\lambda < \lambda_{m,2}$ , we can choose  $M > 0$  and  $R > 0$  large enough such that the operator  $\mathcal{B} + \lambda$  is hypo-dissipative in  $H_x^n H_v^\ell(m)$ , in the sense that*

$$\forall t \geq 0, \quad \|S_{\mathcal{B}}(t)\|_{\mathcal{B}(H_x^n H_v^\ell(m))} \leq C e^{-\lambda t}.$$

*Proof of Lemma 2.8.* Let us consider the equation  $\partial_t f = \mathcal{B}f = \mathcal{B}_0 f - M\chi_R f$ . Again we treat the case  $\ell = 1$  in full details, the others  $\ell \geq 2$  being the same.

**Case  $\ell = n = 1$ :** *Step 1.  $L^2$  estimate.* The  $L_{x,v}^2(m)$  estimate is a special case of Lemma 2.6, from which we have

$$(2.22) \quad \begin{aligned} \frac{1}{2} \frac{d}{dt} \|f\|_{L_{x,v}^2(m)}^2 &\leq -c_0 \int \{\langle v \rangle^\gamma |P_v \nabla_v f|^2 + \langle v \rangle^{\gamma+2} |(I - P_v) \nabla_v f|^2\} m^2 \\ &\quad + \int \{\varphi_{m,2}(v) - M\chi_R(v)\} f^2 m^2. \end{aligned}$$

*Step 2.  $x$ -derivatives.* Recall that the  $x$ -derivatives commute with the equation, so for any  $\beta \in \mathbb{N}^3$  we have

$$(2.23) \quad \begin{aligned} \frac{1}{2} \frac{d}{dt} \|\partial_x^\beta f\|_{L_{x,v}^2(m)}^2 &\leq -c_0 \int \{\langle v \rangle^\gamma |P_v \nabla_v (\partial_x^\beta f)|^2 + \langle v \rangle^{\gamma+2} |(I - P_v) \nabla_v (\partial_x^\beta f)|^2\} m^2 \\ &\quad + \int \{\varphi_{m,2}(v) - M\chi_R(v)\} |\partial_x^\beta f|^2 m^2. \end{aligned}$$

*Step 3.*  $v$ -derivatives. Let  $\alpha \in \mathbb{N}^3$  with  $|\alpha| = 1$ . We recall the equation satisfied by  $\partial_v^\alpha f$

$$\partial_t \partial_v^\alpha f = \mathcal{B}(\partial_v^\alpha f) + Q(\partial_v^\alpha \mu, f) - (\partial_v^\alpha v_i) \partial_{x_i} f - M(\partial_v^\alpha \chi_R) f.$$

From last equation we deduce that

$$\begin{aligned} \frac{1}{2} \frac{d}{dt} \|\partial_v^\alpha f\|_{L_{x,v}^2(m)}^2 &= \int \{\mathcal{B}(\partial_v^\alpha f) + Q(\partial_v^\alpha \mu, f) - (\partial_v^\alpha v_i) \partial_{x_i} f - M(\partial_v^\alpha \chi_R) f\} \partial_v^\alpha f m^2 \\ &=: T_1 + T_2 + T_3 + T_4 + T_5, \end{aligned}$$

where

$$\begin{aligned} T_1 &= \int \mathcal{B}(\partial_v^\alpha f) \partial_v^\alpha f m^2 \\ T_2 &= \int (\partial_v^\alpha \bar{a}_{ij}) \partial_{ij} f \partial_v^\alpha f m^2 \\ T_3 &= - \int (\partial_v^\alpha \bar{c}) f \partial_v^\alpha f m^2 \\ T_4 &= - \int (\partial_v^\alpha v_i) \partial_{x_i} f \partial_v^\alpha f m^2 \\ T_5 &= - \int M(\partial_v^\alpha \chi_R) f \partial_v^\alpha f m^2. \end{aligned}$$

We have from Lemma 2.6

$$\begin{aligned} (2.24) \quad T_1 &\leq -c_0 \int \{\langle v \rangle^\gamma |P_v \nabla_v(\partial_v^\alpha f)|^2 + \langle v \rangle^{\gamma+2} |(I - P_v) \nabla_v(\partial_v^\alpha f)|^2\} m^2 \\ &\quad + \int \{\varphi_{m,2}(v) - M\chi_R(v)\} |\partial_v^\alpha f|^2 m^2. \end{aligned}$$

The terms  $T_3$ ,  $T_4$  and  $T_5$  are easy to estimate: for any  $\varepsilon > 0$  we get

$$(2.25) \quad T_4 \leq \varepsilon \|\partial_v^\alpha f\|_{L_{x,v}^2(m)}^2 + C(\varepsilon) \|\partial_x^\alpha f\|_{L_{x,v}^2(m)}^2,$$

$$(2.26) \quad T_5 \leq M \frac{C}{R} \|\mathbf{1}_{R \leq |v| \leq 2R} \partial_v^\alpha f\|_{L_{x,v}^2(m)}^2 + M \frac{C}{R} \|\mathbf{1}_{R \leq |v| \leq 2R} f\|_{L_{x,v}^2(m)}^2,$$

and using Lemma 2.3-(b),

$$\begin{aligned} (2.27) \quad T_3 &\leq C \int \langle v \rangle^{\gamma-1} |f| |\partial_v^\alpha f| m^2 \\ &\leq C \|\langle v \rangle^{\frac{\gamma-1}{2}} \partial_v^\alpha f\|_{L_{x,v}^2(m)}^2 + C \|\langle v \rangle^{\frac{\gamma-1}{2}} f\|_{L_{x,v}^2(m)}^2. \end{aligned}$$

Let us now deal with the part  $T_2$ . Performing integrations by parts, we have:

$$\begin{aligned} T_2 &= \int (\partial_v^\alpha \bar{a}_{ij}) \partial_{ij} f \partial_v^\alpha f m^2 \\ &= - \int (\partial_v^\alpha \bar{b}_j) \partial_j f \partial_v^\alpha f m^2 - \int (\partial_v^\alpha \bar{a}_{ij}) \partial_j f \partial_i (\partial_v^\alpha f) m^2 - \int (\partial_v^\alpha \bar{a}_{ij}) \partial_j f \partial_v^\alpha f \partial_i m^2 \\ &=: -(T_{21} + T_{22} + T_{23}). \end{aligned}$$

We first deal with  $T_{21}$ . Using Lemma 2.3, we have

$$\begin{aligned} (2.28) \quad T_{21} &\leq C \int \langle v \rangle^\gamma |\partial_j f| |\partial_v^\alpha f| m^2 \\ &\leq C \|\langle v \rangle^{\frac{\gamma}{2}} \nabla_v f\|_{L_{x,v}^2(m)}^2 = C \|\langle v \rangle^{\frac{\gamma}{2}} P_v \nabla_v f\|_{L_{x,v}^2(m)}^2 + C \|\langle v \rangle^{\frac{\gamma}{2}} (I - P_v) \nabla_v f\|_{L_{x,v}^2(m)}^2. \end{aligned}$$

As far as  $T_{22}$  is concerned, the integration by parts gives,

$$\begin{aligned} T_{22} &= - \int \partial_v^\alpha [(1-\chi)m^2] \bar{a}_{ij} \partial_j f \partial_i (\partial_v^\alpha f) - \int (1-\chi)m^2 \bar{a}_{ij} \partial_j (\partial_v^\alpha f) \partial_i (\partial_v^\alpha f) \\ &\quad - \int (1-\chi)m^2 \bar{a}_{ij} \partial_j f \partial_i (\partial_v^\alpha \partial_v^\alpha f) - \int (\partial_v^\alpha \bar{a}_{ij}) \partial_j f \partial_i (\partial_v^\alpha f) \chi m^2 \\ &=: -(\tilde{T}_{221} + \tilde{T}_{222} + \tilde{T}_{223}) + T_{220}. \end{aligned}$$

Let us estimate  $\tilde{T}_{222} + \tilde{T}_{223}$ , using integration by parts,

$$\begin{aligned} &\tilde{T}_{222} + \tilde{T}_{223} \\ &= \int (1-\chi)m^2 \left[ \ell_1(v) P_v \nabla_v (\partial_v^\alpha \partial_v^\alpha f) \cdot P_v \nabla_v f + \ell_2(v) (I - P_v) \nabla_v (\partial_v^\alpha \partial_v^\alpha f) \cdot (I - P_v) \nabla_v f \right] \\ &\quad + \int (1-\chi)m^2 \left[ \ell_1(v) P_v \nabla_v (\partial_v^\alpha f) \cdot P_v \nabla_v (\partial_v^\alpha f) + \ell_2(v) (I - P_v) \nabla_v (\partial_v^\alpha f) \cdot (I - P_v) \nabla_v (\partial_v^\alpha f) \right] \\ &= -\tilde{T}_{221} - \int (\partial_v^\alpha \ell_1(v)) P_v \nabla_v (\partial_v^\alpha f) \cdot P_v \nabla_v f (1-\chi)m^2 \\ &\quad - \int (\partial_v^\alpha \ell_2(v)) (I - P_v) \nabla_v (\partial_v^\alpha f) \cdot (I - P_v) \nabla_v f (1-\chi)m^2 \\ &\quad - \int [\ell_1(v) - \ell_2(v)] (I - P_v) \partial_v^\alpha (\partial_v^\alpha f) \frac{v \cdot \nabla_v f}{|v|^2} (1-\chi)m^2 \\ &\quad - \int [\ell_1(v) - \ell_2(v)] (I - P_v) \nabla_v \partial_v^\alpha f \frac{v \cdot \nabla_v g}{|v|^2} (1-\chi)m^2 \\ &=: -\tilde{T}_{221} + T_{221} + \dots + T_{224}. \end{aligned}$$

This means  $T_{22} = T_{220} + T_{221} + \dots + T_{224}$ . In order to estimate  $T_{22}$ , we need to estimate  $T_{22i}$  for  $i = 0, \dots, 4$  (lemma 2.3 plays an important role in those estimates). First of all, we obtain

$$\begin{aligned} T_{220} &\leq C \int_{|v| \leq 2} \langle v \rangle^{\gamma+1} |\nabla_v f| |\nabla_v (\partial_v^\alpha f)| |\chi| m^2 \\ &\leq \varepsilon \|\langle v \rangle^{\frac{\gamma}{2}} \nabla_v (\partial_v^\alpha f)\|_{L_{x,v}^2(m)}^2 + C(\varepsilon) \|\langle v \rangle^{\frac{\gamma}{2}} \nabla_v f\|_{L_{x,v}^2(m)}^2 \end{aligned}$$

For  $T_{221}$ , we have

$$\begin{aligned} T_{221} &\leq C \int_{|v| \geq 1} \langle v \rangle^{\gamma-1} |P_v \nabla_v f| |P_v \nabla_v (\partial_v^\alpha f)| m^2 \\ &\leq \varepsilon \|\langle v \rangle^{\frac{\gamma-1}{2}} P_v \nabla_v (\partial_v^\alpha f)\|_{L_{x,v}^2(m)}^2 + C(\varepsilon) \|\langle v \rangle^{\frac{\gamma-1}{2}} P_v \nabla_v f\|_{L_{x,v}^2(m)}^2. \end{aligned}$$

For  $T_{222}$ , we have

$$\begin{aligned} T_{222} &\leq C \int_{|v| \geq 1} \langle v \rangle^{\gamma+1} |(I - P_v) \nabla_v f| |(I - P_v) \nabla_v (\partial_v^\alpha f)| m^2 \\ &\leq \varepsilon \|\langle v \rangle^{\frac{\gamma+1}{2}} (I - P_v) \nabla_v (\partial_v^\alpha f)\|_{L_{x,v}^2(m)}^2 + C(\varepsilon) \|\langle v \rangle^{\frac{\gamma+1}{2}} (I - P_v) \nabla_v f\|_{L_{x,v}^2(m)}^2. \end{aligned}$$

For  $T_{223}$ , we obtain

$$\begin{aligned} T_{223} &\leq C \int_{|v| \geq 1} (\langle v \rangle^{\gamma-1} + \langle v \rangle^{\gamma+1}) |\nabla_v f| |(I - P_v) \nabla_v (\partial_v^\alpha f)| m^2 \\ &\leq \varepsilon \|\langle v \rangle^{\frac{\gamma+2}{2}} (I - P_v) \nabla_v (\partial_v^\alpha f)\|_{L_{x,v}^2(m)}^2 + C(\varepsilon) \|\langle v \rangle^{\frac{\gamma}{2}} \nabla_v f\|_{L_{x,v}^2(m)}^2. \end{aligned}$$

Finally, for  $T_{224}$ ,

$$\begin{aligned} T_{224} &\leq C \int_{|v| \geq 1} (\langle v \rangle^{\gamma-1} + \langle v \rangle^{\gamma+1}) |\nabla_v(\partial_v^\alpha f)| |(I - P_v)\nabla_v f| m^2 \\ &\leq \varepsilon \|\langle v \rangle^{\frac{\gamma}{2}} \nabla_v(\partial_v^\alpha f)\|_{L_{x,v}^2(m)}^2 + C(\varepsilon) \|\langle v \rangle^{\frac{\gamma+2}{2}} (I - P_v)\nabla_v f\|_{L_{x,v}^2(m)}^2 \end{aligned}$$

This completes the estimate of  $T_{22}$  that we write, gathering previous bounds, as

$$\begin{aligned} T_{22} &\leq \varepsilon \|\langle v \rangle^{\frac{\gamma}{2}} P_v \nabla_v(\partial_v^\alpha f)\|_{L_{x,v}^2(m)}^2 + \varepsilon \|\langle v \rangle^{\frac{\gamma+2}{2}} (I - P_v)\nabla_v(\partial_v^\alpha f)\|_{L_{x,v}^2(m)}^2 \\ (2.29) \quad &\quad C(\varepsilon) \|\langle v \rangle^{\frac{\gamma}{2}} P_v \nabla_v f\|_{L_{x,v}^2(m)}^2 + C(\varepsilon) \|\langle v \rangle^{\frac{\gamma+2}{2}} (I - P_v)\nabla_v f\|_{L_{x,v}^2(m)}^2. \end{aligned}$$

Concerning  $T_{23}$ , we apply the same process as  $T_{22}$ : we first write

$$\begin{aligned} T_{23} &= - \int (\partial_v^\alpha \bar{a}_{ij}) \partial_j f \partial_i m^2 \chi g \\ &\quad - \int \partial_v^\alpha \ell_1(v) P_v \nabla_v m^2 \cdot P_v \nabla_v f (1 - \chi) \partial_v^\alpha f \\ &\quad - \int \partial_v^\alpha \ell_2(v) (I - P_v) \nabla_v m^2 \cdot (I - P_v) \nabla_v f (1 - \chi) \partial_v^\alpha f \\ &\quad - \int [\ell_1(v) - \ell_2(v)] (I - P_v) \partial_v^\alpha m^2 \frac{v \cdot \nabla_v f}{|v|^2} (1 - \chi) \partial_v^\alpha f \\ &\quad - \int [\ell_1(v) - \ell_2(v)] (I - P_v) \partial_v^\alpha f \frac{v \cdot \nabla_v m^2}{|v|^2} (1 - \chi) \partial_v^\alpha f \\ &=: T_{230} + \dots + T_{234}. \end{aligned}$$

Note that  $(I - P_v)\nabla_v m^2 = 0$ , one can easily get  $T_{232} = T_{233} = 0$ . Let us estimate the other terms, by Lemma 2.3, we have

$$\begin{aligned} T_{230} &\leq C \int_{|v| \leq 2} \langle v \rangle^{\gamma+\sigma} |\nabla_v f| |\partial_v^\alpha f| |\chi| m^2 \\ &\leq \varepsilon \|\langle v \rangle^{\frac{\gamma}{2}} \partial_v^\alpha f\|_{L_{x,v}^2(m)}^2 + C(\varepsilon) \|\langle v \rangle^{\frac{\gamma}{2}} \nabla_v f\|_{L_{x,v}^2(m)}^2 \end{aligned}$$

also

$$\begin{aligned} T_{231} &\leq C \int_{|v| > 1} \langle v \rangle^{\gamma+\sigma-2} |P_v \nabla_v f| |\partial_v^\alpha f| m^2 \\ &\leq C(\varepsilon) \|\langle v \rangle^{\frac{\gamma}{2}} P_v \nabla_v f\|_{L_{x,v}^2(m)}^2 + \varepsilon \|\langle v \rangle^{\frac{\gamma+2\sigma-4}{2}} \partial_v^\alpha f\|_{L_{x,v}^2(m)}^2, \end{aligned}$$

and

$$\begin{aligned} T_{234} &\leq C \int_{|v| > 1} (\langle v \rangle^{\gamma+\sigma-2} + \langle v \rangle^{\gamma+\sigma}) |(I - P_v)\nabla_v f| |\partial_v^\alpha f| m^2 \\ &\leq C(\varepsilon) \|\langle v \rangle^{\frac{\gamma+2}{2}} (I - P_v)\nabla_v f\|_{L_{x,v}^2(m)}^2 + \varepsilon \|\langle v \rangle^{\frac{\gamma+2\sigma-2}{2}} \partial_v^\alpha f\|_{L_{x,v}^2(m)}^2. \end{aligned}$$

Gathering previous inequalities we complete the estimate of  $T_{23}$

$$\begin{aligned} T_{23} &\leq \varepsilon \|\langle v \rangle^{\frac{\gamma}{2}} \partial_v^\alpha f\|_{L_{x,v}^2(m)}^2 + \varepsilon \|\langle v \rangle^{\frac{\gamma+2\sigma-2}{2}} \partial_v^\alpha f\|_{L_{x,v}^2(m)}^2 \\ (2.30) \quad &\quad + C(\varepsilon) \|\langle v \rangle^{\frac{\gamma}{2}} P_v \nabla_v f\|_{L_{x,v}^2(m)}^2 + C(\varepsilon) \|\langle v \rangle^{\frac{\gamma+2}{2}} (I - P_v)\nabla_v f\|_{L_{x,v}^2(m)}^2. \end{aligned}$$

Putting together (2.24) to (2.30) we get, using the fact that  $1 + \langle v \rangle^\gamma + \langle v \rangle^{\gamma+2\sigma-2} \lesssim \langle v \rangle^{\gamma+\sigma}$ ,

$$\begin{aligned}
(2.31) \quad & \frac{1}{2} \frac{d}{dt} \|\partial_v^\alpha f\|_{L_{x,v}^2(m)}^2 \leq -(c_0 - \varepsilon) \int \left\{ \langle v \rangle^\gamma |P_v \nabla_v(\partial_v^\alpha f)|^2 + \langle v \rangle^{\gamma+2} |(I - P_v) \nabla_v(\partial_v^\alpha f)|^2 \right\} m^2 \\
& + \int \left\{ \varphi_{m,2}(v) + \varepsilon \langle v \rangle^{\gamma+\sigma} + C \langle v \rangle^{\gamma-1} + M \frac{C}{R} \mathbf{1}_{R \leq |v| \leq 2R} - M \chi_R(v) \right\} |\partial_v^\alpha f|^2 m^2 \\
& + C(\varepsilon) \int \left\{ \langle v \rangle^\gamma |P_v \nabla_v f|^2 + \langle v \rangle^{\gamma+2} |(I - P_v) \nabla_v f|^2 \right\} m^2 \\
& + \int \left\{ C \langle v \rangle^{\gamma-1} + M \frac{C}{R} \mathbf{1}_{R \leq |v| \leq 2R} \right\} |f|^2 m^2 + C(\varepsilon) \|\partial_x^\alpha f\|_{L_{x,v}^2(m)}^2.
\end{aligned}$$

*Step 4. Conclusion in the case  $\ell = n = 1$ .* We now introduce the following norm on  $H_x^1 H_v^1(m)$

$$\|f\|_{\tilde{H}^1(m)}^2 := \|f\|_{L_{x,v}^2(m)}^2 + \|\nabla_x f\|_{L_{x,v}^2(m)}^2 + \eta \|\nabla_v f\|_{L_{x,v}^2(m)}^2,$$

which is equivalent to the standard  $H_{x,v}^1(m)$ -norm for any  $\eta > 0$ . Gathering estimates (2.22), (2.23) and (2.31) of previous steps, we obtain

$$\begin{aligned}
\frac{1}{2} \frac{d}{dt} \|f\|_{\tilde{H}^1(m)}^2 & \leq (-c_0 + \eta C(\varepsilon)) \int \left\{ \langle v \rangle^\gamma |P_v \nabla_v f|^2 + \langle v \rangle^{\gamma+2} |(I - P_v) \nabla_v f|^2 \right\} m^2 \\
& + \int \left\{ \psi_m^0(v) + \eta M \frac{C}{R} \mathbf{1}_{R \leq |v| \leq 2R} - M \chi_R(v) \right\} f^2 m^2 \\
& - c_0 \sum_{|\beta|=1} \int \left\{ \langle v \rangle^\gamma |P_v \nabla_v(\partial_x^\beta f)|^2 + \langle v \rangle^{\gamma+2} |(I - P_v) \nabla_v(\partial_x^\beta f)|^2 \right\} m^2 \\
& + \int \left\{ \psi_m^1(v) - M \chi_R(v) \right\} |\nabla_x f|^2 m^2 \\
& + \eta(-c_0 + \varepsilon) \sum_{|\alpha|=1} \int \left\{ \langle v \rangle^\gamma |P_v \nabla_v(\partial_v^\alpha f)|^2 + \langle v \rangle^{\gamma+2} |(I - P_v) \nabla_v(\partial_v^\alpha f)|^2 \right\} m^2 \\
& + \eta \int \left\{ \psi_m^2(v) + M \frac{C}{R} \mathbf{1}_{R \leq |v| \leq 2R} - M \chi_R(v) \right\} |\nabla_v f|^2 m^2.
\end{aligned}$$

where we have defined

$$\begin{aligned}
\psi_m^0(v) &:= \varphi_{m,2}(v) + C \eta \langle v \rangle^{\gamma-1}, \\
\psi_m^1(v) &:= \varphi_{m,2}(v) + \eta C(\varepsilon), \\
\psi_m^2(v) &:= \varphi_{m,2}(v) + \varepsilon \langle v \rangle^{\gamma+\sigma} + C \langle v \rangle^{\gamma-1}.
\end{aligned}$$

Let us fix any  $\lambda < \lambda_{m,2}$ . We first choose  $\varepsilon > 0$  small enough so that  $-c_0 + \varepsilon < 0$  and  $-\lambda_{m,2} + \varepsilon < -\lambda$ . Then we choose  $\eta > 0$  small enough such that  $-c_0 + \eta C(\varepsilon) \leq 0$  and  $-\lambda_{m,2} + \eta C(\varepsilon) < -\lambda$ . Hence the functions  $\psi_m^i$  have the same asymptotic behaviour than  $\varphi_{m,2}$  (see (2.8), (2.11) and (2.13)). Then, using Lemma 2.5, for any  $\lambda < \lambda_{m,2}$  and  $\delta \in (0, \lambda_{m,2} - \lambda)$ , one may find  $M > 0$  and  $R > 0$  large enough such that

$$\begin{aligned}
\psi_m^0(v) + \eta M \frac{C}{R} \mathbf{1}_{R \leq |v| \leq 2R} - M \chi_R(v) &\leq -\lambda - \delta \langle v \rangle^{\gamma+\sigma}, \\
\psi_m^1(v) - M \chi_R(v) &\leq -\lambda - \delta \langle v \rangle^{\gamma+\sigma}, \\
\psi_m^2(v) + M \frac{C}{R} \mathbf{1}_{R \leq |v| \leq 2R} - M \chi_R(v) &\leq -\lambda - \delta \langle v \rangle^{\gamma+\sigma}.
\end{aligned}$$

This implies

$$\begin{aligned} \frac{1}{2} \frac{d}{dt} \|f\|_{\tilde{H}^1(m)}^2 &\leq -\lambda \|f\|_{\tilde{H}^1(m)}^2 - \delta \|f\|_{\tilde{H}^1(m\langle v \rangle^{(\gamma+\sigma)/2})}^2 \\ &\quad - K \left\{ \|\langle v \rangle^{\frac{\gamma}{2}} P_v \nabla_v f\|_{L^2(m)}^2 + \|\langle v \rangle^{\frac{\gamma+2}{2}} (I - P_v) \nabla_v f\|_{L^2(m)}^2 \right\} \\ &\quad - K \left\{ \|\langle v \rangle^{\frac{\gamma}{2}} P_v \nabla_v (\nabla_x f)\|_{L^2(m)}^2 + \|\langle v \rangle^{\frac{\gamma+2}{2}} (I - P_v) \nabla_v (\nabla_x f)\|_{L^2(m)}^2 \right\} \\ &\quad - K \left\{ \|\langle v \rangle^{\frac{\gamma}{2}} P_v \nabla_v (\nabla_v f)\|_{L^2(m)}^2 + \|\langle v \rangle^{\frac{\gamma+2}{2}} (I - P_v) \nabla_v (\nabla_v f)\|_{L^2(m)}^2 \right\}, \end{aligned}$$

and then

$$\|S_B(t)f\|_{H_{x,v}^1(m)} \leq C e^{-\lambda t} \|f\|_{H_{x,v}^1(m)}.$$

This concludes the proof of the hypodissipativity of  $\mathcal{B} + \lambda$  in  $H_{x,v}^1(m)$ .

**Case  $\ell \geq 2$  :** The higher order derivatives are treated in the same way, introducing the (equivalent) norm on  $H_x^n H_v^\ell(m)$

$$\|f\|_{\widetilde{H}_x^n H_v^\ell(m)}^2 = \|f\|_{L^2(m)}^2 + \sum_{1 \leq |\alpha|+|\beta| \leq \max(\ell,n); |\alpha| \leq \ell; |\beta| \leq n} \eta^{|\alpha|} \|\partial_v^\alpha \partial_x^\beta f\|_{L^2(m)}^2,$$

and choosing  $\eta > 0$  small enough as in the case  $\ell = 1$ .  $\square$

**Lemma 2.9.** Consider hypothesis **(H1)**, **(H2)** or **(H3)**,  $\ell \in \mathbb{N}$  and  $n \in \mathbb{N}^*$ , and  $p \in [1, 2]$ . Then, for any  $\lambda < \lambda_{m,p}$ , we can choose  $M > 0$  and  $R > 0$  large enough such that the operator  $\mathcal{B} + \lambda$  is hypo-dissipative in  $W_x^{n,p} W_v^{\ell,p}(m)$ , in the sense that

$$\forall t \geq 0, \quad \|S_B(t)\|_{\mathcal{B}(W_x^{n,p} W_v^{\ell,p}(m))} \leq C e^{-\lambda t}.$$

*Proof.* It is a consequence of Lemmas 2.7 and 2.8, together with the Riesz-Thorin interpolation theorem.  $\square$

**Lemma 2.10.** Consider hypothesis **(H1)**, **(H2)** or **(H3)**. Then, for any  $\lambda < \lambda_{m,2}$ , we can choose  $M$  and  $R$  large enough such that the operator  $\mathcal{B} + \lambda$  is hypo-dissipative in  $H_x^n H_v^{-1}(m)$ , for any  $n \in \{-1\} \cup \mathbb{N}$ , in the sense that

$$\forall t \geq 0, \quad \|S_B(t)\|_{\mathcal{B}(H_x^n H_v^{-1}(m))} \leq C e^{-\lambda t}.$$

*Proof.* We consider the equation  $\partial_t f = \mathcal{B}f$  and split the proof into five steps.

*Step 1.* We first make the change of unknown  $h := fm$  and define the corresponding operator  $\mathcal{B}_m h := m \mathcal{B}(m^{-1}h)$  which writes:

$$\begin{aligned} \mathcal{B}_m h &= m (a_{ij} * \mu) \partial_{ij} (m^{-1}h) - (c * \mu) h - v \cdot \nabla_x h - M \chi_R h \\ &= (m \partial_{ij} (m^{-1}) (a_{ij} * \mu) - c * \mu - M \chi_R) h \\ &\quad + 2m \partial_j (m^{-1}) (a_{ij} * \mu) \partial_i h - v \cdot \nabla_x h + (a_{ij} * \mu) \partial_{ij} h. \end{aligned}$$

We hence define  $\mathcal{B}_m^*$ , the (formal) adjoint operator of  $\mathcal{B}_m$ , by

$$(2.32) \quad \mathcal{B}_m^* \phi := \left( \frac{\partial_{ij} m}{m} \bar{a}_{ij} + 2 \frac{\partial_j m}{m} \bar{b}_j - M \chi_R \right) \phi + 2 \left( \bar{b}_i + \frac{\partial_j m}{m} \bar{a}_{ij} \right) \partial_i \phi + v \cdot \nabla_x \phi + \bar{a}_{ij} \partial_{ij} \phi.$$

Consequently, we have the estimate

$$\begin{aligned} \int (\mathcal{B}_m^* \phi) \phi &= \int \left( \bar{a}_{ij} \frac{\partial_{ij} m}{m} + 2 \bar{b}_j \frac{\partial_j m}{m} - M \chi_R \right) \phi^2 \\ &\quad + \int \left( \bar{a}_{ij} \frac{\partial_j m}{m} + \bar{b}_i \right) \partial_i (\phi^2) + \int v \cdot \nabla_x \phi \phi + \int \bar{a}_{ij} \partial_{ij} \phi \phi \\ &=: T_1 + T_2 + T_3 + T_4. \end{aligned}$$

Performing one integration by parts, we obtain

$$T_2 = \int \left( -\bar{a}_{ij} \frac{\partial_{ij} m}{m} + \bar{a}_{ij} \frac{\partial_i m}{m} \frac{\partial_j m}{m} - \bar{b}_j \frac{\partial_j m}{m} - \bar{c} \right) \phi^2.$$

The term  $T_3$  gives no contribution thanks to its divergence structure in  $x$ . And we deal with  $T_4$  using that  $\partial_{ij}\phi\phi = \frac{1}{2}\partial_{ij}(\phi^2) - \partial_i\phi\partial_j\phi$ , which implies

$$T_4 = - \int \bar{a}_{ij} \partial_i \phi \partial_j \phi + \frac{1}{2} \int \bar{c} \phi^2.$$

Finally, we obtain that

$$\begin{aligned} (2.33) \quad \int \mathcal{B}_m^* \phi \phi &= - \int \bar{a}_{ij} \partial_i \phi \partial_j \phi + \int \{\tilde{\varphi}_{m,2} - M\chi_R\} \phi^2 \\ &\leq -c_0 \int \{\langle v \rangle^\gamma |P_v \nabla_v \phi|^2 + \langle v \rangle^{\gamma+2} |(I - P_v) \nabla_v \phi|^2\} + \int \{\tilde{\varphi}_{m,2} - M\chi_R\} \phi^2. \end{aligned}$$

where we recall that  $\tilde{\varphi}_{m,2}$  is defined in (2.6) and satisfies Lemma 2.5.

Thanks to Lemma 2.5, for any positive  $\lambda < \lambda_{m,2}$  and  $\delta \in (0, \lambda_{m,2} - \lambda)$ , we can thus find  $M, R$  large enough such that  $\tilde{\varphi}_{m,2}(v) - M\chi_R \leq -\lambda - \delta \langle v \rangle^{\gamma+\sigma}$ . We can conclude that

$$\begin{aligned} \int (\mathcal{B}_m^* \phi) \phi &\leq -\lambda \|\phi\|_{L^2}^2 - \delta \|\langle v \rangle^{\frac{\gamma+\sigma}{2}} \phi\|_{L^2}^2 \\ &\quad - c_0 \left\{ \|\langle v \rangle^{\frac{\gamma}{2}} P_v \nabla_v \phi\|_{L^2(m)}^2 + \|\langle v \rangle^{\frac{\gamma+2}{2}} (I - P_v) \nabla_v \phi\|_{L^2(m)}^2 \right\}. \end{aligned}$$

*Step 2.* Since  $\nabla_x$  commute with the operator  $\mathcal{B}_m^*$ , we can immediately obtain that if  $\phi$  is solution of

$$(2.34) \quad \partial_t \phi = \mathcal{B}_m^* \phi,$$

we have

$$\begin{aligned} \frac{1}{2} \frac{d}{dt} \|\nabla_x \phi\|_{L^2_{x,v}}^2 &\leq -c_0 \int (\langle v \rangle^\gamma |P_v \nabla_v (\nabla_x \phi)|^2 + \langle v \rangle^{\gamma+2} |(I - P_v) \nabla_v (\nabla_x \phi)|^2) \\ &\quad + \int (\tilde{\varphi}_{m,2}(v) - M\chi_R(v)) |\nabla_x \phi|^2. \end{aligned}$$

*Step 3.* Now, we introduce the notation  $\phi_\alpha := \partial_v^\alpha \phi$  where  $\alpha \in \mathbb{N}^3$  and  $|\alpha| = 1$ . Let us write the equation satisfied by  $\phi_\alpha$  when  $\phi$  is a solution of (2.34), we have

$$\begin{aligned} \partial_t \phi_\alpha &= \mathcal{B}_m^* \phi_\alpha + \partial_v^\alpha \left\{ \bar{a}_{ij} \frac{\partial_{ij} m}{m} + 2\bar{b}_j \frac{\partial_j m}{m} - M \chi_R \right\} \phi + 2\partial_v^\alpha \left\{ \bar{a}_{ij} \frac{\partial_j m}{m} + \bar{b}_i \right\} \partial_i \phi \\ &\quad + \partial_v^\alpha v \cdot \nabla_x \phi + \partial_v^\alpha \bar{a}_{ij} \partial_{ij} \phi, \end{aligned}$$

which implies that

$$\begin{aligned} \frac{1}{2} \frac{d}{dt} \int |\phi_\alpha|^2 &= \int (\mathcal{B}_m^* \phi_\alpha) \phi_\alpha + \int \partial_v^\alpha \left\{ \bar{a}_{ij} \frac{\partial_{ij} m}{m} + 2\bar{b}_j \frac{\partial_j m}{m} - M \chi_R \right\} \phi \phi_\alpha \\ &\quad + 2 \int \partial_v^\alpha \left\{ \bar{a}_{ij} \frac{\partial_j m}{m} + \bar{b}_i \right\} \partial_i \phi \phi_\alpha + \int (\partial_v^\alpha v_i) (\partial_{x_i} \phi) \phi_\alpha \\ &\quad + \int (\partial_v^\alpha \bar{a}_{ij}) (\partial_{ij} \phi) \phi_\alpha \\ &=: T_1 + T_2 + T_3 + T_4 + T_5. \end{aligned}$$

Using the step 1 of the proof, we have:

$$T_1 \leq -c_0 \int (\langle v \rangle^\gamma |P_v \nabla_v \phi_\alpha|^2 + \langle v \rangle^{\gamma+2} |(I - P_v) \nabla_v \phi_\alpha|^2) + \int (\tilde{\varphi}_{m,2}(v) - M\chi_R) \phi_\alpha^2.$$

Concerning  $T_2$ , we have

$$\begin{aligned} T_2 &= \frac{1}{2} \int \partial_v^\alpha \left\{ \bar{a}_{ij} \frac{\partial_{ij} m}{m} + 2\bar{b}_j \frac{\partial_j m}{m} - M \chi_R \right\} \partial_v^\alpha (\phi^2) \\ &= -\frac{1}{2} \int \partial_v^\alpha \partial_v^\alpha \left\{ \bar{a}_{ij} \frac{\partial_{ij} m}{m} + 2\bar{b}_j \frac{\partial_j m}{m} - M \chi_R \right\} \phi^2 \\ &\leq \int \left( C \langle v \rangle^{\gamma+\sigma-2} + M \frac{C}{R^2} \mathbf{1}_{R \leq |v| \leq R^2} \right) \phi^2, \end{aligned}$$

where we have used the fact that  $\bar{a}_{ij} \frac{\partial_{ij} m}{m} + 2\bar{b}_j \frac{\partial_j m}{m} \sim C \langle v \rangle^{\gamma+\sigma}$ . Since  $\partial_j m = Cv_j \langle v \rangle^{\sigma-2} m$  we have

$$\partial_v^\alpha \left( \bar{a}_{ij} \frac{\partial_j m}{m} \right) = \partial_v^\alpha (\bar{a}_{ij} Cv_j \langle v \rangle^{\sigma-2}) = C \partial_v^\alpha (v_i \ell_1(v) \langle v \rangle^{\sigma-2})$$

which is of order  $\langle v \rangle^{\gamma+\sigma-2}$ . We hence deduce that also in this case, we have

$$T_3 \lesssim \int (\langle v \rangle^\gamma + \langle v \rangle^{\gamma+\sigma-2}) |\nabla_v \phi|^2.$$

Then, for any  $\varepsilon > 0$ , we have

$$T_4 \leq \varepsilon \int \phi_\alpha^2 + C(\varepsilon) \int |\partial_x^\alpha \phi|^2.$$

Finally, using the same method as in the proof of Lemma 2.8 (for the term  $T_2$  in that lemma), we obtain

$$\begin{aligned} T_5 &\leq \varepsilon \int (\langle v \rangle^\gamma |P_v \nabla_v \phi_\alpha|^2 + \langle v \rangle^{\gamma+2} |(I - P_v) \nabla_v \phi_\alpha|^2) \\ &\quad + C(\varepsilon) \int (\langle v \rangle^\gamma |P_v \nabla_v \phi|^2 + \langle v \rangle^{\gamma+2} |(I - P_v) \nabla_v \phi|^2). \end{aligned}$$

*Step 4.* We define the following norm on  $H^1$

$$\|\phi\|_{\tilde{H}_{x,v}^1}^2 := \|\phi\|_{L_{x,v}^2}^2 + \|\nabla_x \phi\|_{L_{x,v}^2}^2 + \eta \|\nabla_v \phi\|_{L_{x,v}^2}^2,$$

which is equivalent to the standard  $H^1$ -norm for any  $\eta > 0$ , and we compute its evolution when  $\phi$  is a solution of (2.34). Gathering estimates of previous steps it follows

$$\begin{aligned} \frac{1}{2} \frac{d}{dt} \|\phi\|_{\tilde{H}_{x,v}^1}^2 &\leq (-c_0 + \eta C(\varepsilon) + \eta C) \int (\langle v \rangle^\gamma |P_v \nabla_v \phi|^2 + \langle v \rangle^{\gamma+2} |(I - P_v) \nabla_v \phi|^2) \\ &\quad + \int \left( \tilde{\varphi}_{m,2}(v) + C\eta \langle v \rangle^{\gamma+\sigma-2} + \eta M \frac{C}{R} \mathbf{1}_{R \leq |v| \leq 2R} - M \chi_R(v) \right) \phi^2 \\ &\quad - c_0 \int (\langle v \rangle^\gamma |P_v \nabla_v (\nabla_x \phi)|^2 + \langle v \rangle^{\gamma+2} |(I - P_v) \nabla_v (\nabla_x \phi)|^2) \\ &\quad + \int (\tilde{\varphi}_{m,2}(v) + \eta C(\varepsilon) - M \chi_R(v)) |\nabla_x \phi|^2 \\ &\quad + \eta(-c_0 + \varepsilon C) \int (\langle v \rangle^\gamma |P_v \nabla_v (\nabla_v \phi)|^2 + \langle v \rangle^{\gamma+2} |(I - P_v) \nabla_v (\nabla_v \phi)|^2) \\ &\quad + \eta \int (\tilde{\varphi}_{m,2}(v) + C\varepsilon - M \chi_R(v)) |\nabla_v \phi|^2. \end{aligned}$$

We conclude as in Lemma 2.8: we first choose  $\varepsilon > 0$  small enough and then  $\eta > 0$  small enough, so that

$$\begin{aligned} \frac{1}{2} \frac{d}{dt} \|\phi\|_{\tilde{H}_{x,v}^1}^2 &\leq \int \left( \tilde{\varphi}_{m,2}(v) + C\eta \langle v \rangle^{\gamma+\sigma-2} + \eta M \frac{C}{R} \mathbf{1}_{R \leq |v| \leq 2R} - M \chi_R(v) \right) \phi^2 \\ &\quad + \int (\tilde{\varphi}_{m,2}(v) - M \chi_R(v)) |\nabla_x \phi|^2 \\ &\quad + \eta \int (\tilde{\varphi}_{m,2}(v) + C\varepsilon - M \chi_R(v)) |\nabla_v \phi|^2. \end{aligned}$$

We deduce that for any positive  $\lambda < \lambda_{m,2}$  and  $\delta \in (0, \lambda_{m,2} - \lambda)$ , one may find  $M$  and  $R$  such that

$$\frac{1}{2} \frac{d}{dt} \|\phi\|_{\tilde{H}_{x,v}^1}^2 \leq -\lambda \|\phi\|_{\tilde{H}_{x,v}^1}^2 - \delta \|\phi\|_{\tilde{H}_{x,v}^1((v)^{(\gamma+\sigma)/2})}^2.$$

*Step 5.* We have proved that for any  $\lambda < \lambda_{m,2}$ ,

$$\|S_{\mathcal{B}_m^*}(t)\phi\|_{H_{x,v}^1}^2 \leq C e^{-\lambda t} \|\phi\|_{H_{x,v}^1}^2 \quad \forall \phi \in H_{x,v}^1, \quad \forall t \geq 0.$$

The last inequality implies that for any  $h \in H_{x,v}^{-1}$  and any  $\phi \in H_{x,v}^1$ ,

$$\langle S_{\mathcal{B}_m}(t)h, \phi \rangle = \langle h, S_{\mathcal{B}_m^*}(t)\phi \rangle \leq \|h\|_{H_{x,v}^{-1}} \|S_{\mathcal{B}_m^*}(t)\phi\|_{H_{x,v}^1} \leq C e^{-\lambda t} \|h\|_{H_{x,v}^{-1}} \|\phi\|_{H_{x,v}^1}.$$

As a consequence, we obtain that

$$\|S_{\mathcal{B}_m}(t)h\|_{H_{x,v}^{-1}} \leq C e^{-\lambda t} \|h\|_{H_{x,v}^{-1}}$$

and coming back to the operator  $\mathcal{B}$ ,

$$\|S_{\mathcal{B}}(t)f\|_{H_{x,v}^{-1}(m)} \leq C e^{-\lambda t} \|f\|_{H_{x,v}^{-1}(m)}.$$

Finally, using the following embeddings for any  $n \in \mathbb{N}$ ,

$$H_x^n L_v^2(m) \subset H_x^n H_v^{-1}(m) \subset H_x^{-1} H_v^{-1}(m),$$

we deduce that the conclusion of Lemma 2.10 holds by interpolation (with the results from Lemma 2.8).  $\square$

**2.5. Regularization.** We now turn to the boundedness of  $\mathcal{A}$  as well as regularization properties of  $\mathcal{AS}_{\mathcal{B}}(t)$ . We recall the operator  $\mathcal{A}$  defined in (2.4)

$$\mathcal{A}f = \mathcal{A}_0 f + M \chi_R f = (a_{ij} * f) \partial_{ij} \mu - (c * f) \mu + M \chi_R f,$$

for  $M$  and  $R$  large enough chosen before. Thanks to the smooth cut-off function  $\chi_R$ , for any  $q \in [1, +\infty]$ ,  $p \geq q$  and any weight function  $m$  under the hypotheses **(H1)-(H2)-(H3)**, we easily obtain

$$\|M \chi_R f\|_{L_{x,v}^q(\mu^{-1/2})} \lesssim \|f\|_{L_x^q L_v^p(m)}.$$

Taking derivatives we get an analogous estimate, for any  $n, \ell \in \mathbb{N}$ ,

$$\|M \chi_R f\|_{W_x^{n,q} W_v^{\ell,p}(\mu^{-1/2})} \lesssim \|f\|_{W_x^{n,q} W_v^{\ell,p}(m)},$$

Arguing by duality we also have

$$\|M \chi_R f\|_{H_x^n H_v^{-1}(\mu^{-1/2})} \lesssim \|f\|_{H_x^n H_v^{-1}(m)}.$$

Finally we obtain

$$(2.35) \quad M \chi_R \in \begin{cases} \mathcal{B}\left(L_{x,v}^p(m), L_{x,v}^p(\mu^{-1/2})\right), & \forall p \in [1, \infty]; \\ \mathcal{B}\left(W_x^{n,p} W_v^{\ell,p}(m), W_x^{n,q} W_v^{\ell,q}(\mu^{-1/2})\right), & \forall p \in [1, 2], n \in \mathbb{N}^*, \ell \in \mathbb{N}; \\ \mathcal{B}\left(H_x^n H_v^{-1}(m), H_x^n H_v^{-1}(\mu^{-1/2})\right), & \forall n \in -1 \cup \mathbb{N}. \end{cases}$$

We know obtain the boundedness of  $\mathcal{A}$ .

**Lemma 2.11.** *Consider (H1), (H2) or (H3) and a weight function  $m$ .*

(i) *For any  $p \in [1, \infty]$ , there holds*

$$\mathcal{A} \in \mathcal{B}\left(L_{x,v}^p(m), L_{x,v}^p(\mu^{-1/2})\right).$$

(ii) *For any  $p \in [1, 2]$ ,  $n \in \mathbb{N}^*$  and  $\ell \in \mathbb{N}$ , there holds*

$$\mathcal{A} \in \mathcal{B}\left(W_x^{n,p}W_v^{\ell,p}(m), W_x^{n,p}W_v^{\ell,p}(\mu^{-1/2})\right).$$

(iii) *For all  $n \in \{-1\} \cup \mathbb{N}$ , there holds*

$$\mathcal{A} \in \mathcal{B}\left(H_x^n H_v^{-1}(m), H_x^n H_v^{-1}(\mu^{-1/2})\right).$$

In particular  $\mathcal{A} \in \mathcal{B}(E) \cap \mathcal{B}(\mathcal{E})$  for any admissible space  $\mathcal{E}$  in (2.2).

*Proof.* Thanks to (2.35) we just need to consider the operator  $\mathcal{A}_0$ . We write  $\mathcal{A}_0 f = (a_{ij} * f)\partial_{ij}\mu - (c * f)\mu$  and split the proof into several steps.

*Step 1.* Since  $\gamma \in [-2, 1]$  we have  $|a_{ij}(v - v_*)| \lesssim \langle v \rangle^{\gamma+2} \langle v_* \rangle^{\gamma+2}$ , which implies  $|(a_{ij} * f)(v)| \lesssim \langle v \rangle^{\gamma+2} \|f\|_{L_v^1(\langle v \rangle^{\gamma+2})}$ . Therefore, for any  $p \in [1, \infty]$ , we have

$$\|(a_{ij} * f)\partial_{ij}\mu\|_{L_v^p(\mu^{-1/2})} \lesssim \|f\|_{L_v^1(\langle v \rangle^{\gamma+2})},$$

from which we can also easily deduce

$$\|\partial_v^\alpha \partial_x^\beta (a_{ij} * f)\partial_{ij}\mu\|_{L_v^p(\mu^{-1/2})} \lesssim \sum_{\alpha_1 \leq \alpha} \|\partial_v^{\alpha_1} \partial_x^\beta f\|_{L_v^1(\langle v \rangle^{\gamma+2})}.$$

Integrating in the  $x$ -variable, we finally get

$$\|(a_{ij} * f)\partial_{ij}\mu\|_{W_x^{n,p}W_v^{\ell,p}(\mu^{-1/2})} \lesssim \|f\|_{W_x^{n,p}W_v^{\ell,1}(\langle v \rangle^{\gamma+2})}.$$

*Step 2.* Assume  $\gamma \in [0, 1]$ . In that case we have  $|c(v - v_*)| \lesssim \langle v \rangle^\gamma \langle v_* \rangle^\gamma$  and the same argument as above gives

$$\|(c * f)\mu\|_{W_x^{n,p}W_v^{\ell,p}(\mu^{-1/2})} \lesssim \|f\|_{W_x^{n,p}W_v^{\ell,1}(\langle v \rangle^\gamma)}.$$

*Step 3.* Assume  $\gamma \in [-2, 0)$ . We decompose  $c = c_+ + c_-$  with  $c_+ = c\mathbf{1}_{|\cdot| > 1}$  and  $c_- = c\mathbf{1}_{|\cdot| \leq 1}$ . For the non-singular term  $c_+$  we easily get, for any  $p \in [1, \infty]$ ,

$$\|(c_+ * f)\mu\|_{L_v^p(\mu^{-1/2})} \lesssim \|f\|_{L_v^1}$$

whence

$$\|(c_+ * f)\mu\|_{W_x^{n,p}W_v^{\ell,p}(\mu^{-1/2})} \lesssim \|f\|_{W_x^{n,p}W_v^{\ell,1}}.$$

We now investigate the singular term  $c_-$ . For any  $p \in [1, 3/|\gamma|)$  we get

$$\begin{aligned} \|(c_- * f)\mu\|_{L_v^p(\mu^{-1/2})}^p &= \|(c_- * f)\mu^{1/2}\|_{L_v^p}^p \lesssim \int_v \left| \int_{v_*} |v - v_*|^\gamma \mathbf{1}_{|v - v_*| \leq 1} |f(v_*)| \right|^p \mu^{1/2}(v) \\ &\lesssim \int_{v_*} |f(v_*)|^p \left\{ \int_v |v - v_*|^{\gamma p} \mathbf{1}_{|v - v_*| \leq 1} \mu^{1/2}(v) \right\} \\ &\lesssim \|f\|_{L_v^p(\langle v \rangle^\gamma)}^p, \end{aligned}$$

where we have used that  $|\gamma|p < 3$  (so that the integral in  $v$  is bounded) and Lemma 3.2. Taking derivatives and integrating in  $x$  it follows

$$\|(c_- * f)\mu\|_{W_x^{n,p}W_v^{\ell,p}(\mu^{-1/2})} \lesssim \|f\|_{W_x^{n,p}W_v^{\ell,p}(\langle v \rangle^\gamma)}, \quad \forall p \in [1, 3/|\gamma|).$$

Remark that by Hölder's inequality, for any  $q \in (3/(3+\gamma), \infty]$  we have

$$|(c_- * f)(v)| \lesssim \int_{v_*} |v - v_*|^\gamma \mathbf{1}_{|v-v_*| \leq 1} |f(v_*)| \lesssim \left( \int_{v_*} |v - v_*|^{\gamma q'} \mathbf{1}_{|v-v_*| \leq 1} \right)^{1/q'} \|f\|_{L_v^q} \lesssim \|f\|_{L_v^q},$$

which implies

$$\|(c_- * f)\mu\|_{L_v^p(\mu^{-1/2})} \lesssim \|f\|_{L_v^q}, \quad \forall p \in [1, \infty],$$

and similarly

$$\|(c_- * f)\mu\|_{W_x^{n,p} W_v^{\ell,p}(\mu^{-1/2})} \lesssim \|f\|_{W_x^{n,p} W_v^{\ell,q}}, \quad \forall p \in [1, \infty].$$

Observe that in particular the operator  $Tf = (c_- * f)\mu$  is a bounded operator from  $W_x^{n,1} W_v^{\ell,1}(m) \rightarrow W_x^{n,1} W_v^{\ell,1}(\mu^{-1/2})$  and from  $W_x^{n,\infty} W_v^{\ell,\infty}(m) \rightarrow W_x^{n,\infty} W_v^{\ell,\infty}(\mu^{-1/2})$ , thus by interpolation also from  $W_x^{n,p} W_v^{\ell,p}(m) \rightarrow W_x^{n,p} W_v^{\ell,p}(\mu^{-1/2})$  for any  $p \in [1, \infty]$ . This together with estimates of previous steps completes the proof of points (i) and (ii).

*Step 4.* We prove now (iii) by duality. We write  $\|(a_{ij} * f)\mu\|_{H_{x,v}^{-1}(\mu^{-1/2})} = \|(a_{ij} * f)\mu^{1/2}\|_{H_{x,v}^{-1}}$ , hence we investigate  $\sup_{\|\phi\|_{H_{x,v}^1} \leq 1} |\langle (a_{ij} * f)\mu^{1/2}, \phi \rangle|$ . For any  $\theta > \gamma + 2 + 3/2$ ,

$$|\langle (a_{ij} * f)\mu^{1/2}, \phi \rangle| = |\langle \langle v \rangle^\theta f, \langle v \rangle^{-\theta} \{a_{ij} * (\mu^{1/2}\phi)\} \rangle|$$

and

$$|(a_{ij} * \mu^{1/2}\phi)(v)| \lesssim \langle v \rangle^{\gamma+2} \|\mu^{1/2}\|_{L_v^2(\langle v \rangle^{\gamma+2})} \|\phi\|_{L_v^2}.$$

Therefore

$$|\langle \langle v \rangle^\theta f, \langle v \rangle^{-\theta} \{a_{ij} * (\mu^{1/2}\phi)\} \rangle| \leq \|\langle v \rangle^\theta f\|_{H_{x,v}^{-1}} \|\langle v \rangle^{-\theta} \{a_{ij} * (\mu^{1/2}\phi)\}\|_{H_{x,v}^1}$$

with

$$\begin{aligned} \|\langle v \rangle^{-\theta} \{a_{ij} * (\mu^{1/2}\phi)\}\|_{H_{x,v}^1}^2 &\lesssim \|\langle v \rangle^{-\theta} \{a_{ij} * (\mu^{1/2}\phi)\}\|_{L_{x,v}^2}^2 + \|\nabla_v(\langle v \rangle^{-\theta}) \{a_{ij} * (\mu^{1/2}\phi)\}\|_{L_{x,v}^2}^2 \\ &\quad + \|\langle v \rangle^{-\theta} \{a_{ij} * (\nabla_v \mu^{1/2}\phi + \mu^{1/2} \nabla_v \phi)\}\|_{L_{x,v}^2}^2 + \|\langle v \rangle^{-\theta} \{a_{ij} * (\mu^{1/2} \nabla_x \phi)\}\|_{L_{x,v}^2}^2 \\ &\lesssim \|\langle v \rangle^{\gamma+2-\theta}\|_{L_v^2}^2 \|\mu^{1/2}\|_{H_v^1(\langle v \rangle^{\gamma+2})}^2 \|\phi\|_{H_{x,v}^1}^2 \lesssim \|\phi\|_{H_{x,v}^1}^2 \end{aligned}$$

and then

$$\|(a_{ij} * f)\mu\|_{H_{x,v}^{-1}(\mu^{-1/2})} \lesssim \|f\|_{H_{x,v}^{-1}(\langle v \rangle^\theta)}.$$

For the term  $(c * f)\mu$  we argue in a similar way as in the previous step.  $\square$

We turn now to regularization properties of the semigroup  $\mathcal{S}_B$ . We follow a technique introduced by Hérau [10] for Fokker-Plank equations (see also [23, Section A.21] and [11]).

**Lemma 2.12.** *Consider hypothesis **(H1)**, **(H2)** or **(H3)** and let  $m_0$  be some weight function with  $\gamma + \sigma > 0$ . Define*

$$m_1 := \begin{cases} m_0 & \text{if } \gamma \in [0, 1]; \\ \langle v \rangle^{\frac{|\gamma|}{2}} m_0 & \text{if } \gamma \in [-2, 0). \end{cases} \quad m_2 := \begin{cases} m_0 & \text{if } \gamma \in [0, 1]; \\ \langle v \rangle^{4|\gamma|} m_0 & \text{if } \gamma \in [-2, 0). \end{cases}$$

Then there hold:

(1) From  $L^2$  to  $H^\ell$  for  $\ell \geq 1$ :

$$\forall t \in (0, 1], \quad \|\mathcal{S}_B(t)\|_{\mathcal{B}(L^2(m_1), H^\ell(m_0))} \leq C t^{-3\ell/2}$$

(2) From  $L^1$  to  $L^2$ :

$$\forall t \in (0, 1], \quad \|\mathcal{S}_B(t)\|_{\mathcal{B}(L^1(m_2), L^2(m_1))} \leq C t^{-8}.$$

(3) From  $L^2$  to  $L^\infty$ :

$$\forall t \in (0, 1], \quad \|\mathcal{S}_B(t)\|_{\mathcal{B}(L^2(m_2), L^\infty(m_1))} \leq C t^{-8}.$$

(4) From  $H^{-1}$  to  $L^2$ :

$$\forall t \in (0, 1], \quad \|\mathcal{S}_B(t)\|_{\mathcal{B}(H^{-1}(m_1), L^2(m_0))} \leq C t^{-3/2}.$$

*Proof of Lemma 2.12.* We consider the equation  $\partial_t f = \mathcal{B}f$  and split the proof into four steps.

*Step 1: From  $L^2$  to  $H^\ell$ .* We only prove the case  $\ell = 1$ , the other cases being treated in the same way. Let us define

$$\mathcal{F}(t, f) := \|f\|_{L^2(m_1)}^2 + \alpha_1 t \|\nabla_v f\|_{L^2(m_0)}^2 + \alpha_2 t^2 \langle \nabla_x f, \nabla_v f \rangle_{L^2(m_0)} + \alpha_3 t^3 \|\nabla_x f\|_{L^2(m_0)}^2.$$

We now choose  $\alpha_i$ ,  $i = 1, 2, 3$  such that  $0 < \alpha_3 \leq \alpha_2 \leq \alpha_1 \leq 1$  and  $\alpha_2^2 \leq 2\alpha_1\alpha_3$ . Then, there holds

$$2\mathcal{F}(t, f) \geq \alpha_3 t^3 \|\nabla_{x,v} f\|_{L^2(m_0)}^2.$$

Moreover, denoting  $f_t = \mathcal{S}_B(t)f$ , we have

$$\begin{aligned} \frac{d}{dt} \mathcal{F}(t, f_t) &= \frac{d}{dt} \|f_t\|_{L^2(m_1)}^2 + \alpha_1 \|\nabla_v f_t\|_{L^2(m_0)}^2 + \alpha_1 t \frac{d}{dt} \|\nabla_v f_t\|_{L^2(m_0)}^2 \\ &\quad + 2\alpha_2 t \langle \nabla_x f_t, \nabla_v f_t \rangle_{L^2(m_0)} + \alpha_2 t^2 \frac{d}{dt} \langle \nabla_x f_t, \nabla_v f_t \rangle_{L^2(m_0)} \\ &\quad + 3\alpha_3 t^2 \|\nabla_x f_t\|_{L^2(m_0)}^2 + \alpha_3 t^3 \frac{d}{dt} \|\nabla_x f_t\|_{L^2(m_0)}^2. \end{aligned}$$

We need to compute

$$\frac{d}{dt} \langle \nabla_x f, \nabla_v f \rangle_{L^2(m_0)} = \sum_{|\alpha|=1} \int \{\partial_x^\alpha (\mathcal{B}f) (\partial_v^\alpha f) + (\partial_x^\alpha f) \partial_v^\alpha (\mathcal{B}f)\} m_0^2.$$

Let us denote  $f_x := \partial_x^\alpha f$  and  $f_v := \partial_v^\alpha f$  to simplify and recall that

$$\partial_x^\alpha (\mathcal{B}f) = \bar{a}_{ij} \partial_{ij} f_x - \bar{c} f_x - v \cdot \nabla_x f_x - M \chi_R f_x,$$

and

$$\begin{aligned} \partial_v^\alpha (\mathcal{B}f) &= \bar{a}_{ij} \partial_{ij} f_v - \bar{c} f_v - v \cdot \nabla_x f_v - M \chi_R f_v \\ &\quad + (\partial_v^\alpha \bar{a}_{ij}) \partial_{ij} f - (\partial_v^\alpha \bar{c}) f - f_x - M(\partial_v^\alpha \chi_R) f. \end{aligned}$$

Using the same computation as in Lemma 2.8, we obtain

$$\int \{\partial_x^\alpha (\mathcal{B}f) (\partial_v^\alpha f) + (\partial_x^\alpha f) \partial_v^\alpha (\mathcal{B}f)\} m_0^2 = T_0 + T_1 + T_2 + T_3,$$

where

$$T_0 := -2 \int \bar{a}_{ij} \partial_i f_x \partial_j f_v m_0^2,$$

$$T_1 := \int \{\varphi_{m_0, 2}(v) - 2M\chi_R(v)\} f_x f_v m_0^2,$$

$$T_2 := - \int \left\{ (\partial_v^\alpha \bar{a}_{ij}) \frac{\partial_i m_0^2}{m_0^2} + \partial_v^\alpha \bar{b}_j \right\} \partial_j f f_x m_0^2 - \int \{\partial_v^\alpha \bar{c} + M(\partial_v^\alpha \chi_R)\} f f_x m_0^2 - \int |f_x|^2 m_0^2$$

and

$$T_3 := - \int (\partial_v^\alpha \bar{a}_{ij}) \partial_i f \partial_j f_x m_0^2.$$

For the term  $T_1$ , from the proof of Lemma 2.5 we get

$$T_1 \lesssim \int \langle v \rangle^{\gamma+\sigma} |f_x| |f_v| m_0^2 \lesssim \varepsilon t \|\langle v \rangle^{\frac{\gamma+\sigma}{2}} \partial_x^\alpha f\|_{L^2(m_0)}^2 + \varepsilon^{-1} t^{-1} \|\langle v \rangle^{\frac{\gamma+\sigma}{2}} \partial_v^\alpha f\|_{L^2(m_0)}^2.$$

In a similar way, using  $|\partial_v^\alpha \bar{a}_{ij}| \leq C\langle v \rangle^{\gamma+1}$ ,  $|\partial_v^\alpha \bar{b}_j| \leq C\langle v \rangle^\gamma$  and  $|\partial_i m^2| \leq C\langle v \rangle^{\sigma-1}m^2$ , we obtain for the second term

$$\begin{aligned} T_2 &\lesssim \int \langle v \rangle^{\gamma+\sigma} |\nabla_v f| |f_x| m_0^2 + \int \{\langle v \rangle^{\gamma-1} + \frac{M}{R} \mathbf{1}_{R \leq |v| \leq 2R}\} |f| |f_x| m_0^2 - \|\partial_x^\alpha f\|_{L^2(m_0)}^2 \\ &\lesssim \varepsilon t \int \{\langle v \rangle^{\gamma+\sigma} + \langle v \rangle^{\gamma-1} + \frac{M}{R} \mathbf{1}_{R \leq |v| \leq 2R}\} |\partial_x^\alpha f|^2 m_0^2 + \varepsilon^{-1} t^{-1} \int \{\langle v \rangle^{\gamma-1} + \frac{M}{R} \mathbf{1}_{R \leq |v| \leq 2R}\} |f|^2 m_0^2 \\ &\quad + \varepsilon^{-1} t^{-1} \|\langle v \rangle^{\frac{\gamma+\sigma}{2}} \nabla_v f\|_{L^2(m_0)}^2 - \|\partial_x^\alpha f\|_{L^2(m_0)}^2. \end{aligned}$$

We now investigate  $T_0$  and, decomposing  $\partial_i f_x = P_v \partial_i f_x + (I - P_v) \partial_i f_x$  and the same for  $\partial_j f_v$ , we easily get

$$\begin{aligned} T_0 &\lesssim \varepsilon t \left\{ \|\langle v \rangle^{\frac{\gamma}{2}} P_v \nabla_v (\partial_x^\alpha f)\|_{L^2(m_0)}^2 + \|\langle v \rangle^{\frac{\gamma+2}{2}} (I - P_v) \nabla_v (\partial_x^\alpha f)\|_{L^2(m_0)}^2 \right\} \\ &\quad + \varepsilon^{-1} t^{-1} \left\{ \|\langle v \rangle^{\frac{\gamma}{2}} P_v \nabla_v (\partial_v^\alpha f)\|_{L^2(m_0)}^2 + \|\langle v \rangle^{\frac{\gamma+2}{2}} (I - P_v) \nabla_v (\partial_v^\alpha f)\|_{L^2(m_0)}^2 \right\}. \end{aligned}$$

For the remainder term  $T_3$ , arguing as in the proof of Lemma 2.8 (term  $T_{22}$  in that lemma, see (2.29)) gives us

$$\begin{aligned} T_3 &\lesssim \varepsilon t \left\{ \|\langle v \rangle^{\frac{\gamma}{2}} P_v \nabla_v (\partial_x^\alpha f)\|_{L^2(m_0)}^2 + \|\langle v \rangle^{\frac{\gamma+2}{2}} (I - P_v) \nabla_v (\partial_x^\alpha f)\|_{L^2(m_0)}^2 \right\} \\ &\quad + \varepsilon^{-1} t^{-1} \left\{ \|\langle v \rangle^{\frac{\gamma}{2}} P_v \nabla_v f\|_{L^2(m_0)}^2 + \|\langle v \rangle^{\frac{\gamma+2}{2}} (I - P_v) \nabla_v f\|_{L^2(m_0)}^2 \right\}. \end{aligned}$$

Finally, putting together previous estimates we obtain

$$\begin{aligned} &\int \{\nabla_x(\mathcal{B}f) \nabla_v f + \nabla_x f \nabla_v(\mathcal{B}f)\} m_0^2 \\ &\lesssim \varepsilon t \left\{ \|\langle v \rangle^{\frac{\gamma+\sigma}{2}} \nabla_x f\|_{L^2(m_0)}^2 + \|\langle v \rangle^{\frac{\gamma}{2}} P_v \nabla_v (\nabla_x f)\|_{L^2(m_0)}^2 + \|\langle v \rangle^{\frac{\gamma+2}{2}} (I - P_v) \nabla_v (\nabla_x f)\|_{L^2(m_0)}^2 \right\} \\ &\quad + C\varepsilon^{-1} t^{-1} \left\{ \|\langle v \rangle^{\frac{\gamma+\sigma}{2}} \nabla_v f\|_{L^2(m_0)}^2 + \|\langle v \rangle^{\frac{\gamma}{2}} P_v \nabla_v (\nabla_v f)\|_{L^2(m_0)}^2 + \|\langle v \rangle^{\frac{\gamma+2}{2}} (I - P_v) \nabla_v (\nabla_v f)\|_{L^2(m_0)}^2 \right\} \\ &\quad + C\varepsilon^{-1} t^{-1} \left\{ \|\langle v \rangle^{\frac{\gamma}{2}} P_v \nabla_v f\|_{L^2(m_0)}^2 + \|\langle v \rangle^{\frac{\gamma+2}{2}} (I - P_v) \nabla_v f\|_{L^2(m_0)}^2 \right\} \\ &\quad + C\varepsilon^{-1} t^{-1} \|f\|_{L^2(m_0)}^2 - \|\nabla_x f\|_{L^2(m_0)}^2. \end{aligned}$$

Using Cauchy-Schwarz inequality, we also write the following

$$2\alpha_2 t \langle \nabla_x f, \nabla_v f \rangle_{L^2(m_0)} \leq \alpha_2 \left( \varepsilon t^2 \|\nabla_x f\|_{L^2(m_0)}^2 + C\varepsilon^{-1} \|\nabla_v f\|_{L^2(m_0)}^2 \right).$$

Moreover, picking up estimates of Lemma 2.8, it follows that: for any  $0 < \lambda < \lambda_{m,2}$  and  $0 < \delta < \lambda_{m,2} - \lambda$ , there are  $M, R > 0$  large enough such that,

$$\begin{aligned} \int (\mathcal{B}f) f m_1^2 &\leq -c_0 \left\{ \|\langle v \rangle^{\frac{\gamma}{2}} P_v \nabla_v f\|_{L^2(m_1)}^2 + \|\langle v \rangle^{\frac{\gamma+2}{2}} (I - P_v) \nabla_v f\|_{L^2(m_1)}^2 \right\} \\ &\quad - \lambda \|f\|_{L^2(m_1)}^2 - \delta \|\langle v \rangle^{\frac{\gamma+\sigma}{2}} f\|_{L^2(m_1)}^2, \end{aligned}$$

also, for some  $\varepsilon_0 > 0$  to be chosen later,

$$\begin{aligned} \int \nabla_v(\mathcal{B}f) \nabla_v f m_0^2 &\leq -c_0 \left\{ \|\langle v \rangle^{\frac{\gamma}{2}} P_v \nabla_v (\nabla_v f)\|_{L^2(m_0)}^2 + \|\langle v \rangle^{\frac{\gamma+2}{2}} (I - P_v) \nabla_v (\nabla_v f)\|_{L^2(m_0)}^2 \right\} \\ &\quad - \lambda \|\nabla_v f\|_{L^2(m_0)}^2 - \delta \|\langle v \rangle^{\frac{\gamma+\sigma}{2}} \nabla_v f\|_{L^2(m_0)}^2 \\ &\quad + C \left\{ \|\langle v \rangle^{\frac{\gamma}{2}} P_v \nabla_v f\|_{L^2(m_0)}^2 + \|\langle v \rangle^{\frac{\gamma+2}{2}} (I - P_v) \nabla_v f\|_{L^2(m_0)}^2 \right\} \\ &\quad + C \|f\|_{L^2(m_0)}^2 + C\varepsilon_0^{-1} t^{-1} \|\nabla_v f\|_{L^2(m_0)}^2 + C\varepsilon_0 t \|\nabla_x f\|_{L^2(m_0)}^2, \end{aligned}$$

and finally

$$\begin{aligned} \int \nabla_x (\mathcal{B}f) \nabla_x f m_0^2 &\leq -c_0 \left\{ \|\langle v \rangle^{\frac{\gamma}{2}} P_v \nabla_v (\nabla_x f)\|_{L^2(m_0)}^2 + \|\langle v \rangle^{\frac{\gamma+2}{2}} (I - P_v) \nabla_v (\nabla_x f)\|_{L^2(m_0)}^2 \right\} \\ &\quad - \lambda \|\nabla_x f\|_{L^2(m_0)}^2 - \delta \|\langle v \rangle^{\frac{\gamma+\sigma}{2}} \nabla_x f\|_{L^2(m_0)}^2. \end{aligned}$$

We choose

$$\varepsilon_0 = \varepsilon^2, \quad \alpha_1 := \varepsilon^{5/2}, \quad \alpha_2 := \varepsilon^4, \quad \alpha_3 := \varepsilon^{9/2}.$$

Therefore, for any  $t \in [0, 1]$ , we can gather previous estimates to obtain

$$\begin{aligned} &\frac{d}{dt} \mathcal{F}(t, f_t) \\ &\leq + \left( -c_0 + C\varepsilon^{1/2} + C\varepsilon^{5/2} + C\varepsilon^3 \right) \left\{ \|\langle v \rangle^{\frac{\gamma}{2}} P_v \nabla_v f_t\|_{L^2(m_1)}^2 + \|\langle v \rangle^{\frac{\gamma+2}{2}} (I - P_v) \nabla_v f_t\|_{L^2(m_1)}^2 \right\} \\ &\quad + t\varepsilon^{5/2} \left( -c_0 + C\varepsilon^{1/2} \right) \left\{ \|\langle v \rangle^{\frac{\gamma}{2}} P_v \nabla_v (\nabla_v f_t)\|_{L^2(m_0)}^2 + \|\langle v \rangle^{\frac{\gamma+2}{2}} (I - P_v) \nabla_v (\nabla_v f_t)\|_{L^2(m_0)}^2 \right\} \\ &\quad + t^3 \varepsilon^{9/2} \left( -c_0 + C\varepsilon^{1/2} \right) \left\{ \|\langle v \rangle^{\frac{\gamma}{2}} P_v \nabla_v (\nabla_x f_t)\|_{L^2(m_0)}^2 + \|\langle v \rangle^{\frac{\gamma+2}{2}} (I - P_v) \nabla_v (\nabla_x f_t)\|_{L^2(m_0)}^2 \right\} \\ &\quad - \lambda \|f_t\|_{L^2(m_1)}^2 - \delta \|\langle v \rangle^{\frac{\gamma+\sigma}{2}} f_t\|_{L^2(m_1)}^2 + Ct(\varepsilon^{5/2} + \varepsilon^3) \|f_t\|_{L^2(m_0)}^2 \\ &\quad - \lambda \varepsilon^{5/2} t \|\nabla_v f_t\|_{L^2(m_0)}^2 - t \varepsilon^{5/2} \left( \delta - C\varepsilon^{1/2} \right) \|\langle v \rangle^{\frac{\gamma+\sigma}{2}} \nabla_v f_t\|_{L^2(m_0)}^2 \\ &\quad - t^2 \left( \lambda \varepsilon^{9/2} t - C\varepsilon^{9/2} - \varepsilon^5 - C\varepsilon^{9/2} t + \varepsilon^4 \right) \|\nabla_x f_t\|_{L^2(m_0)}^2 - t^3 \varepsilon^{9/2} \left( \delta - \varepsilon^{1/2} \right) \|\langle v \rangle^{\frac{\gamma+\sigma}{2}} \nabla_x f_t\|_{L^2(m_0)}^2. \end{aligned}$$

We then choose  $\varepsilon > 0$  small enough such that the following conditions are fulfilled:

$$\left\{ \begin{array}{l} -c_0 + C\varepsilon^{1/2} + C\varepsilon^{5/2} + C\varepsilon^3 < -K < 0, \\ -c_0 + C\varepsilon^{1/2} < -K < 0, \\ -\lambda + Ct(\varepsilon^{5/2} + \varepsilon^3) < -K < 0, \\ \delta - C\varepsilon^{1/2} < -K < 0, \\ C\varepsilon^{9/2} + \varepsilon^5 + C\varepsilon^{9/2} - \varepsilon^4 < -K < 0. \end{array} \right.$$

We have then proved that, for any  $t \in [0, 1]$ ,

$$\frac{d}{dt} \mathcal{F}(t, f_t) \leq -K' \left\{ \|f_t\|_{L^2(m_1)}^2 + \|\nabla_v f_t\|_{L^2(m_0)}^2 + t^2 \|\nabla_x f\|_{L^2(m_0)}^2 \right\} - \delta \|\langle v \rangle^{\frac{\gamma+\sigma}{2}} f_t\|_{L^2(m_1)}^2,$$

which implies

$$Ct^3 \|\nabla_{x,v} f_t\|_{L^2(m_0)}^2 \leq \mathcal{F}(t, f_t) \leq \mathcal{F}(0, f_0) = \|f_0\|_{L^2(m_1)}^2.$$

We deduce

$$\forall t \in (0, 1], \quad \|\nabla_{x,v} \mathcal{S}_{\mathcal{B}}(t)f\|_{L^2(m_0)} \leq C t^{-3/2} \|f_0\|_{L^2(m_1)},$$

and the proof of point (1) for  $\ell = 1$  is complete.

*Step 2: From  $L^1$  to  $L^2$ .* We define,

$$\begin{aligned} \mathcal{G}(t, f_t) &:= \|f_t\|_{L^1(m_2)}^2 + \alpha_0 t^N \tilde{\mathcal{F}}(t, f_t), \\ \tilde{\mathcal{F}}(t, f_t) &:= \|f_t\|_{L^2(m_1)}^2 + \alpha_1 t^2 \|\nabla_v f_t\|_{L^2(m_0)}^2 \\ &\quad + \alpha_2 t^4 \langle \nabla_x f_t, \nabla_v f_t \rangle_{L^2(m_0)} + \alpha_3 t^6 \|\nabla_x f_t\|_{L^2(m_0)}^2, \end{aligned}$$

for some  $N$  to be chosen later. Thanks to Hölder and Sobolev inequalities (in  $\mathbb{T}_x^3 \times \mathbb{R}_v^3$ ), there holds

$$\|\langle v \rangle^q g\|_{L^2}^2 \lesssim \|\nabla_{x,v} g\|_{L^2}^{3/2} \|\langle v \rangle^{4q} g\|_{L^1}^{1/2},$$

which implies that

$$\begin{aligned}
\|f\|_{L^2(m_1)}^2 &\lesssim \|f\|_{L^1(m_2)}^{1/2} \|\nabla_{x,v}(m_0 f)\|_{L^2}^{3/2} \\
(2.36) \quad &\lesssim C_\varepsilon t^{-15} \|f\|_{L^1(m_2)}^2 + \varepsilon t^5 \|\nabla_{x,v} f\|_{L^2(m_0)}^2 + \varepsilon t^5 \|\langle v \rangle^{\sigma-1} f\|_{L^2(m_0)}^2 \\
&\lesssim C_\varepsilon t^{-15} \|f\|_{L^1(m_2)}^2 + \varepsilon t^5 \|\nabla_{x,v} f\|_{L^2(m_0)}^2 + \varepsilon t^5 \|\langle v \rangle^{\frac{\gamma+\sigma}{2}} f\|_{L^2(m_1)}^2,
\end{aligned}$$

where we have used in last line that  $\langle v \rangle^{\sigma-1} m_0 \lesssim \langle v \rangle^{\frac{\gamma+\sigma}{2}} m_1$ . Arguing as in step 1, we have

$$\frac{d}{dt} \tilde{\mathcal{F}}(t, f_t) \leq -K' \left\{ \|f_t\|_{L^2(m_1)}^2 + \|\nabla_v f_t\|_{L^2(m_0)}^2 + t^4 \|\nabla_x f\|_{L^2(m_0)}^2 \right\} - \delta \|\langle v \rangle^{\frac{\gamma+\sigma}{2}} f_t\|_{L^2(m_1)}^2.$$

Putting together previous estimates it follows

$$\begin{aligned}
\frac{d}{dt} \mathcal{G}(t, f_t) &\leq -K \|f_t\|_{L^1(m_2)}^2 + \alpha_0 N t^{N-1} \tilde{\mathcal{F}}(t, f) - K' \alpha_0 t^N \left\{ \|f_t\|_{L^2(m_1)}^2 + \|\nabla_v f_t\|_{L^2(m_0)}^2 + t^4 \|\nabla_x f\|_{L^2(m_0)}^2 \right\} \\
&\quad - \delta \alpha_0 t^N \|\langle v \rangle^{\frac{\gamma+\sigma}{2}} f_t\|_{L^2(m_1)}^2 \\
&\leq -K \|f_t\|_{L^1(m_2)}^2 \\
&\quad + \alpha_0 N t^{N-1} \|f_t\|_{L^2(m_1)}^2 + C \alpha_0 N t^{N+1} \|\nabla_v f_t\|_{L^2(m_0)}^2 + C \alpha_0 N t^{N+5} \|\nabla_x f_t\|_{L^2(m_0)}^2 \\
&\quad - K' \alpha_0 t^N \left\{ \|f_t\|_{L^2(m_1)}^2 + \|\nabla_v f_t\|_{L^2(m_0)}^2 + t^4 \|\nabla_x f\|_{L^2(m_0)}^2 \right\} - \delta \alpha_0 t^N \|\langle v \rangle^{\frac{\gamma+\sigma}{2}} f_t\|_{L^2(m_1)}^2.
\end{aligned}$$

Choose  $t_* \in (0, 1)$  so that  $N t^{N+1} \ll K' t^N$  then, for any  $t \in [0, t_*]$ ,

$$\begin{aligned}
\frac{d}{dt} \mathcal{G}(t, f_t) &\leq -K \|f_t\|_{L^1(m_2)}^2 + C \alpha_0 t^{N-1} \|f_t\|_{L^2(m_1)}^2 - \delta \alpha_0 t^N \|\langle v \rangle^{\frac{\gamma+\sigma}{2}} f_t\|_{L^2(m_1)}^2 \\
&\quad - K'' \alpha_0 t^N \left\{ \|\nabla_v f_t\|_{L^2(m_0)}^2 + t^4 \|\nabla_x f\|_{L^2(m_0)}^2 \right\}.
\end{aligned}$$

Thanks to (2.36), for any  $t \in [0, t_*]$ , we get

$$\begin{aligned}
\frac{d}{dt} \mathcal{G}(t, f_t) &\leq -(K - C_\varepsilon \alpha_0 t^{N-16}) \|f_t\|_{L^1(m_2)}^2 - \alpha_0 t^N (\delta - C\varepsilon) \|\langle v \rangle^{\frac{\gamma+\sigma}{2}} f_t\|_{L^2(m_1)}^2 \\
&\quad - \alpha_0 t^{N+4} (K'' - C\varepsilon) \|\nabla_{x,v} f\|_{L^2(m_0)}^2
\end{aligned}$$

Taking  $N = 16$  and choosing  $\varepsilon > 0$  small enough then  $\alpha_0 > 0$  small enough, we get  $\frac{d}{dt} \mathcal{G}(t, f_t) \leq 0$  then

$$\forall t \in [0, t_*], \quad C t^{16} \|f_t\|_{L^2(m_1)}^2 \leq \mathcal{G}(t, f_t) \leq \mathcal{G}(0, f_0) = \|f_0\|_{L^1(m_2)}^2.$$

This ends the proof of point (2), using the fact that the norm is propagated for  $t > t_*$ .

*Step 4: From  $L^2$  to  $L^\infty$ .* Arguing by duality as in Lemma 2.10, the proof follows as in step 2.

*Step 5: From  $H^{-1}$  to  $L^2$ .* Using the duality approach as in Lemma 2.10, the proof follows arguing as in step 1.  $\square$

**Corollary 2.13.** *Consider hypothesis **(H1)**, **(H2)** or **(H2)**, and spaces  $\mathcal{E}_0, \mathcal{E}_1$  of the type  $E$  or  $\mathcal{E}$  defined in (2.1) and (2.2). Then for any  $\lambda' < \lambda < \lambda_{m,p}$ , there exists  $N \in \mathbb{N}$  such that*

$$\|(\mathcal{A}\mathcal{S}_{\mathcal{B}})^{*N}(t)\|_{\mathcal{B}(\mathcal{E}_1, \mathcal{E}_0)} \leq C e^{-\lambda' t}, \quad \forall t \geq 0.$$

*Proof.* It is a consequence of the hypodissipativity properties of  $\mathcal{B}$  (Lemmas 2.6, 2.7, 2.8, 2.9 and 2.10), the boundedness of the operator  $\mathcal{A}$  (Lemma 2.11), and the regularization properties in Lemma 2.12, together with [11, Lemma 2.4] and [8, Lemma 2.17].  $\square$

**2.6. Proof of Theorem 2.1.** Thanks to the estimates proven in previous section, we can now turn to the proof of Theorem 2.1.

*Proof of Theorem 2.1.* Let  $\mathcal{E}$  be an admissible space defined in (2.2) and consider  $\ell_0 \geq 1$  large enough such that  $E := H_{x,v}^{\ell_0}$  defined in (2.1) satisfies  $E \subset \mathcal{E}$ . Recall that in the small/reference space  $E$  we already have a spectral gap in Theorem 1.3.

Then the proof of Theorem 2.1 is a consequence of the hypo-dissipative properties of  $\mathcal{B}$  in Lemmas 2.6, 2.7, 2.8, 2.9, 2.10, the boundedness of  $\mathcal{A}$  in Lemma 2.11 and the regularizing properties of  $(\mathcal{A} * \mathcal{S}_B)^N(t)$  in Corollary 2.13, with which we are able to apply the “extension theorem” from [8, Theorem 2.13] and [11, Theorem 1.1].  $\square$

### 3. THE NONLINEAR EQUATION

This section is devoted to the proof of Theorem 1.1. We develop a perturbative Cauchy theory for the (nonlinear) Landau equation using the estimates on the linearized operator obtained in the previous section.

Hereafter we consider hypothesis **(H0)** and some weight function  $m$ .

**3.1. Functional spaces.** We recall the following definitions

$$\|f\|_{H_{v,*}^1(m)}^2 = \|\langle v \rangle^{\frac{\gamma+\sigma}{2}} f\|_{L_v^2(m)}^2 + \|\langle v \rangle^{\frac{\gamma}{2}} P_v \nabla_v f\|_{L_v^2(m)}^2 + \|\langle v \rangle^{\frac{\gamma+2}{2}} (I - P_v) \nabla_v f\|_{L_v^2(m)}^2,$$

and we also define the (stronger) norm

$$\|f\|_{H_{v,**}^1(m)}^2 = \|\langle v \rangle^{\frac{\gamma+2}{2}} f\|_{L_v^2(m)}^2 + \|\langle v \rangle^{\frac{\gamma}{2}} P_v \nabla_v f\|_{L_v^2(m)}^2 + \|\langle v \rangle^{\frac{\gamma+2}{2}} (I - P_v) \nabla_v f\|_{L_v^2(m)}^2.$$

We define the space  $H_{v,*}^{-1}(m)$  by duality

$$\|f\|_{H_{v,*}^{-1}(m)} = \sup_{\|h\|_{H_{v,*}^1(m)} \leq 1} \langle f, h \rangle_{L_v^2(m)}.$$

Hence, we can define the space  $\mathcal{H}_x^3 H_{v,*}^{-1}(m)$  associated to the norm

$$\begin{aligned} \|f\|_{\mathcal{H}_x^3 H_{v,*}^{-1}(m)}^2 &= \|f\|_{L_x^2 H_{v,*}^{-1}(m)}^2 + \|\nabla_x f\|_{L_x^2 H_{v,*}^{-1}(m \langle v \rangle^{-(1-\sigma/2)})}^2 \\ &\quad + \|\nabla_x^2 f\|_{L_x^2 H_{v,*}^{-1}(m \langle v \rangle^{-2(1-\sigma/2)})}^2 + \|\nabla_x^3 f\|_{L_x^2 H_{v,*}^{-1}(m \langle v \rangle^{-3(1-\sigma/2)})}^2. \end{aligned}$$

Observe that  $\mathcal{H}_x^3 L_v^2(m)$  and  $\mathcal{H}_x^3 H_{v,*}^{-1}(m)$  can be seen as interpolation spaces of some admissible spaces  $\mathcal{E}$  in (2.2). Therefore the exponential decay for the semigroup  $\mathcal{S}_\Lambda(t)$  of the linearized Landau equation in Theorem 2.1 also holds in  $\mathcal{H}_x^3 L_v^2(m)$  and  $\mathcal{H}_x^3 H_{v,*}^{-1}(m)$ .

**3.2. Dissipative norm for the linearized equation.** We construct now a norm for which the linearized semigroup  $\mathcal{S}_\mathcal{L}(t)$  is dissipative, with a rate as close as we want to the optimal rate decay from Theorem 2.1, and also has a stronger dissipativity property.

**Proposition 3.1.** *Let  $X := \mathcal{H}_x^3 L_v^2(m)$  and  $Y := \mathcal{H}_x^3 H_{v,*}^1(m)$ , and consider some weight function  $m'$  satisfying **(H1)-(H2)-(H3)** with  $m' \lesssim m \langle v \rangle^{-(1-\sigma/2)}$ . Define for any  $\eta > 0$  and any  $\lambda_2 < \lambda_1$  (where  $\lambda_1 > 0$  is the optimal rate in Theorem 2.1) the equivalent norm*

$$(3.1) \quad \|f\|_{\mathcal{H}_x^3 L_v^2(m)}^2 := \eta \|f\|_{\mathcal{H}_x^3 L_v^2(m)}^2 + \int_0^\infty \|\mathcal{S}_\Lambda(\tau) e^{\lambda_2 \tau} f\|_{\mathcal{H}_x^3 L_v^2(m')}^2 d\tau.$$

Then there is  $\eta > 0$  small enough such that the solution  $\mathcal{S}_\mathcal{L}(t)f$  to the linearized equation satisfies, for any  $t \geq 0$  and some constant  $K > 0$ ,

$$\frac{1}{2} \frac{d}{dt} \|\mathcal{S}_\Lambda(t)f\|_{\mathcal{H}_x^3 L_v^2(m)}^2 \leq -\lambda_2 \|\mathcal{S}_\Lambda(t)f\|_{\mathcal{H}_x^3 L_v^2(m)}^2 - K \|\mathcal{S}_\Lambda(t)f\|_{\mathcal{H}_x^3 H_{v,*}^1(m)}^2, \quad \forall f \in X, \Pi_0 f = 0.$$

*Proof.* First we remark that the norm  $\|\cdot\|_{\mathcal{H}_x^3 L_v^2(m)}$  is equivalent to the norm  $\|\cdot\|_{\mathcal{H}_x^3 L_v^2(m)}$  defined in (1.11) for any  $\eta > 0$  and any  $\lambda_2 < \lambda_1$ . Indeed, using Theorem 2.1 (that also holds in  $\mathcal{H}_x^3 L_v^2(m)$ ), we have

$$\begin{aligned} \eta \|f\|_{\mathcal{H}_x^3 L_v^2(m)}^2 &\leq \|\cdot\|_{\mathcal{H}_x^3 L_v^2(m)}^2 = \eta \|f\|_{\mathcal{H}_x^3 L_v^2(m)}^2 + \int_0^\infty \|\mathcal{S}_\Lambda(\tau) e^{\lambda_2 \tau} f\|_{\mathcal{H}_x^3 L_v^2(m')}^2 d\tau \\ &\leq \eta \|f\|_{\mathcal{H}_x^3 L_v^2(m)}^2 + \int_0^\infty C^2 e^{-2(\lambda_1 - \lambda_2)\tau} \|f\|_{\mathcal{H}_x^3 L_v^2(m')}^2 d\tau \leq (\eta + C) \|f\|_{\mathcal{H}_x^3 L_v^2(m)}^2. \end{aligned}$$

We now compute, denoting  $f_t = \mathcal{S}_\Lambda(t)f$ ,

$$\frac{1}{2} \frac{d}{dt} \|\cdot\|_{\mathcal{H}_x^3 L_v^2(m)}^2 = \eta \langle \Lambda f_t, f_t \rangle_{\mathcal{H}_x^3 L_v^2(m)} + \frac{1}{2} \int_0^\infty \frac{\partial}{\partial t} \|\mathcal{S}_\Lambda(\tau) e^{\lambda_2 t} f_t\|_{\mathcal{H}_x^3 L_v^2(m')}^2 d\tau =: I_1 + I_2.$$

For  $I_1$  we write  $\Lambda = \mathcal{A} + \mathcal{B}$ . Arguing exactly as in Section 2, more precisely Lemma 2.11, we first obtain that  $\mathcal{A} \in \mathcal{B}(\mathcal{H}_x^3 L_v^2(m), \mathcal{H}_x^3 L_v^2(\mu^{-1/2}))$ , whence

$$\langle \mathcal{A} f_t, f_t \rangle_{\mathcal{H}_x^3 L_v^2(m)} \leq C \|f_t\|_{\mathcal{H}_x^3 L_v^2(m')}.$$

Moreover, repeating the estimates for the hypodissipativity of  $\mathcal{B}$  in Lemmas 2.6 and 2.8 we easily get, for any  $\lambda_2 \leq \lambda < \lambda_{m,2}$  and some  $K > 0$ ,

$$\langle \mathcal{B} f, f \rangle_{\mathcal{H}_x^3 L_v^2(m)} \leq -\lambda \|f\|_{\mathcal{H}_x^3 L_v^2(m)}^2 - K \|f\|_{\mathcal{H}_x^3 H_{v,*}^1(m)}^2,$$

therefore it follows

$$I_1 \leq -\lambda \eta \|f\|_{\mathcal{H}_x^3 L_v^2(m)}^2 - \eta K \|f\|_{\mathcal{H}_x^3 H_{v,*}^1(m)}^2 + \eta C \|f_t\|_{\mathcal{H}_x^3 L_v^2(m')}^2.$$

The second term is computed exactly

$$\begin{aligned} I_2 &= \frac{1}{2} \int_0^\infty \frac{\partial}{\partial t} \|\mathcal{S}_\Lambda(\tau + t) f\|_{\mathcal{H}_x^3 L_v^2(m')}^2 d\tau \\ &= \frac{1}{2} \int_0^\infty \frac{\partial}{\partial \tau} \|\mathcal{S}_\Lambda(\tau + t) f\|_{\mathcal{H}_x^3 L_v^2(m')}^2 d\tau - \lambda_2 \int_0^\infty \|\mathcal{S}_\Lambda(\tau) e^{\lambda_2 \tau} f_t\|_{\mathcal{H}_x^3 L_v^2(m')}^2 d\tau \\ &= \frac{1}{2} \left[ \|\mathcal{S}_\Lambda(\tau) e^{\lambda_2 \tau} f_t\|_{\mathcal{H}_x^3 L_v^2(m')}^2 \right]_{\tau=0}^{\tau=+\infty} - \lambda_2 \int_0^\infty \|\mathcal{S}_\Lambda(\tau) e^{\lambda_2 \tau} f_t\|_{\mathcal{H}_x^3 L_v^2(m')}^2 d\tau \\ &= -\frac{1}{2} \|f_t\|_{\mathcal{H}_x^3 L_v^2(m')}^2 - \lambda_2 \int_0^\infty \|\mathcal{S}_\Lambda(\tau) e^{\lambda_2 \tau} f_t\|_{\mathcal{H}_x^3 L_v^2(m')}^2 d\tau \end{aligned}$$

where we have used the semigroup decay.

Gathering previous estimates and using that  $\lambda \geq \lambda_2$  we obtain

$$\begin{aligned} I_1 + I_2 &\leq -\lambda_2 \left\{ \eta \|f_t\|_{\mathcal{H}_x^3 L_v^2(m)}^2 + \int_0^\infty \|\mathcal{S}_\Lambda(\tau) e^{\lambda_2 \tau} f_t\|_{\mathcal{H}_x^3 L_v^2(m')}^2 d\tau \right\} \\ &\quad - \eta K \|f_t\|_{\mathcal{H}_x^3 H_{v,*}^1(m)}^2 + \eta C \|f_t\|_{\mathcal{H}_x^3 L_v^2(m')}^2 - \frac{1}{2} \|f_t\|_{\mathcal{H}_x^3 L_v^2(m')}^2. \end{aligned}$$

We complete the proof choosing  $\eta > 0$  small enough.  $\square$

**3.3. Nonlinear estimates.** We prove in this section some estimates for the nonlinear operator  $Q$ . We will use the following auxiliary results.

**Lemma 3.2.** *Let  $-3 < \alpha < 0$  and  $\theta > 3$ . Then*

$$A_\alpha(v) := \int_{\mathbb{R}^3} |v - v_*|^\alpha \langle v_* \rangle^{-\theta} dv_* \lesssim \langle v \rangle^\alpha.$$

*Proof.* Let  $|v| \leq 1/2$ , thus  $|v_*| + 1/2 \leq 1 + |v - v_*|$  and we get

$$A_\alpha(v) = \int_{\mathbb{R}^3} |v_*|^\alpha \langle v - v_* \rangle^{-\theta} dv_* \lesssim \int_{\mathbb{R}^3} |v_*|^\alpha \langle v_* \rangle^{-\theta} dv_* \lesssim \langle v \rangle^\alpha.$$

Consider now  $|v| > 1/2$  and split the integral into two regions:  $|v - v_*| > \langle v \rangle / 4$  and  $|v - v_*| \leq \langle v \rangle / 4$ . For the first region we obtain

$$\int_{\mathbb{R}^3} \mathbf{1}_{|v-v_*|>\frac{\langle v \rangle}{4}} |v - v_*|^\alpha \langle v_* \rangle^{-\theta} dv_* \lesssim \langle v \rangle^\alpha \int_{\mathbb{R}^3} \langle v_* \rangle^{-\theta} dv_* \lesssim \langle v \rangle^\alpha.$$

For the second region,  $|v| > 1/2$  and  $|v - v_*| \leq \langle v \rangle / 4$  imply  $|v_*| \geq |v|/4$ , hence

$$\int_{\mathbb{R}^3} \mathbf{1}_{|v-v_*|\leq\frac{\langle v \rangle}{4}} |v - v_*|^\alpha \langle v_* \rangle^{-\theta} dv_* \lesssim \langle v \rangle^{-\theta} \int_{\mathbb{R}^3} \mathbf{1}_{|v-v_*|\leq\frac{\langle v \rangle}{4}} |v - v_*|^\alpha dv_* \lesssim \langle v \rangle^{-\theta+\alpha+3} \lesssim \langle v \rangle^\alpha.$$

□

**Lemma 3.3.** *There holds:*

(i) *For any  $\theta > \gamma + 4 + 3/2$*

$$|(a_{ij} * f)(v) v_i v_j| + |(a_{ij} * f)(v) v_i| + |(a_{ij} * f)(v)| \lesssim \langle v \rangle^{\gamma+2} \|f\|_{L_v^2(\langle v \rangle^\theta)}.$$

(ii) *For any  $\theta' > (\gamma + 1)_+ + 3/2$  (where  $x_+ := \max\{x, 0\}$ )*

$$|(b_j * f)(v)| \lesssim \langle v \rangle^{\gamma+1} \|f\|_{L_v^2(\langle v \rangle^{\theta'})}.$$

(iii) *If  $\gamma \in [0, 1]$ , for any  $\theta'' > \gamma + 3/2$*

$$|(c * f)(v)| \lesssim \langle v \rangle^\gamma \|f\|_{L_v^2(\langle v \rangle^{\theta''})}.$$

(iv) *If  $\gamma \in [-2, 0)$ , for any  $p > \frac{3}{3+\gamma}$  and  $\theta'' > 3(1 - 1/p)$*

$$|(c * f)(v)| \lesssim \langle v \rangle^\gamma \|f\|_{L_v^p(\langle v \rangle^{\theta''})}.$$

In particular, when  $\gamma \in (-3/2, 0)$  we can choose  $p = 2$  and  $\theta'' > 3/2$ ; and when  $\gamma \in [-2, -3/2]$  we can choose  $p = 4$  and  $\theta'' > 9/4$ .

*Proof.* Recall that 0 is an eigenvalue of the matrix  $a_{ij}$  so that  $a_{ij}(v - v_*)v_i = a_{ij}(v - v_*)v_{*i}$  and  $a_{ij}(v - v_*)v_i v_j = a_{ij}(v - v_*)v_{*i} v_{*j}$ . Using this we can easily obtain, for any  $\theta > \gamma + 4 + 3/2$ ,

$$\begin{aligned} |(a_{ij} * f)(v) v_i v_j| &= \left| \int_{v_*} a_{ij}(v - v_*) v_i v_j f_* \right| = \left| \int_{v_*} a_{ij}(v - v_*) v_{*i} v_{*j} f_* \right| \\ &\lesssim \int_{v_*} \langle v \rangle^{\gamma+2} \langle v_* \rangle^{\gamma+4} |f_*| \lesssim \langle v \rangle^{\gamma+2} \|f\|_{L_v^1(\langle v \rangle^{\gamma+4})} \\ &\lesssim \langle v \rangle^{\gamma+2} \|f\|_{L_v^2(\langle v \rangle^\theta)}. \end{aligned}$$

In a similar way we get

$$|(a_{ij} * f)(v) v_i| \lesssim \langle v \rangle^{\gamma+2} \|f\|_{L_v^2(\langle v \rangle^{\theta-1})},$$

and we easily have, since  $\gamma \in [-2, 1]$ ,

$$|(a_{ij} * f)(v)| \lesssim \langle v \rangle^{\gamma+2} \|f\|_{L_v^2(\langle v \rangle^{\theta-2})}.$$

For the term  $(b * f)$ , we recall that  $b_i(z) = -2|z|^\gamma z_i$  and we separate into two cases. When  $\gamma \in [-1, 1]$  we have, for any  $\theta' > \gamma + 1 + 3/2$ ,

$$\begin{aligned} |(b_i * f)(v)| &\lesssim \int_{v_*} |v - v_*|^{\gamma+1} |f_*| \lesssim \int_{v_*} \langle v \rangle^{\gamma+1} \langle v_* \rangle^{\gamma+1} |f_*| \\ &\lesssim \langle v \rangle^{\gamma+1} \|f\|_{L_v^1(\langle v \rangle^{\gamma+1})} \lesssim \langle v \rangle^{\gamma+1} \|f\|_{L_v^2(\langle v \rangle^{\theta'})}. \end{aligned}$$

When  $\gamma \in [-2, -1)$  we use Lemma 3.2 to obtain, for any  $\theta' > 3/2$ ,

$$\begin{aligned} |(b_i * f)(v)| &\lesssim \int_{v_*} |v - v_*|^{\gamma+1} \langle v_* \rangle^{-\theta'} \langle v_* \rangle^{\theta'} |f_*| \lesssim \left( \int_{v_*} |v - v_*|^{2(\gamma+1)} \langle v_* \rangle^{-2\theta'} \right)^{1/2} \|f\|_{L_v^2(\langle v \rangle^{\theta'})} \\ &\lesssim \langle v \rangle^{\gamma+1} \|f\|_{L_v^2(\langle v \rangle^{\theta'})}. \end{aligned}$$

Finally for the last term  $(c * f)$ , recall that  $c(z) = -2(\gamma + 3)|z|^\gamma$  and separate into two cases. When  $\gamma \in [0, 1]$  then, for any  $\theta'' > \gamma + 3/2$ ,

$$\begin{aligned} |(c * f)(v)| &\lesssim \int_{v_*} |v - v_*|^\gamma |f_*| \lesssim \int_{v_*} \langle v \rangle^\gamma \langle v_* \rangle^\gamma |f_*| \\ &\lesssim \langle v \rangle^\gamma \|f\|_{L_v^1(\langle v \rangle^\gamma)} \lesssim \langle v \rangle^\gamma \|f\|_{L_v^2(\langle v \rangle^{\theta''})}. \end{aligned}$$

When  $\gamma \in [-2, 0)$  we use Lemma 3.2 to obtain, for any  $p > \frac{3}{3+\gamma}$  and for any  $\theta'' > 3(1 - 1/p)$ ,

$$\begin{aligned} |(c * f)(v)| &\lesssim \int_{v_*} |v - v_*|^\gamma \langle v_* \rangle^{-\theta''} \langle v_* \rangle^{\theta''} |f_*| \lesssim \left( \int_{v_*} |v - v_*|^{\gamma \frac{p}{p-1}} \langle v_* \rangle^{-\theta'' \frac{p}{p-1}} \right)^{(p-1)/p} \|f\|_{L_v^p(\langle v \rangle^{\theta''})} \\ &\lesssim \langle v \rangle^\gamma \|f\|_{L_v^2(\langle v \rangle^{\theta''})}, \end{aligned}$$

thanks to  $|\gamma|p/(p-1) < 3$ .  $\square$

We now prove nonlinear estimates for the Landau operator  $Q$ .

**Lemma 3.4.** *Consider hypothesis **(H1)**, **(H2)** or **(H3)**.*

(i) *For any  $\theta > \gamma + 4 + 3/2$ , there holds*

$$\langle Q(f, g), h \rangle_{L_v^2(m)} \lesssim \|f\|_{L_v^2(\langle v \rangle^\theta)} \|g\|_{H_{v,*}^1(m)} \|h\|_{H_{v,*}^1(m)}.$$

(ii) *For any  $\theta > \gamma + 4 + 3/2$  and  $\theta' > 9/4$ , there holds*

$$\langle Q(f, g), g \rangle_{L_v^2(m)} \lesssim \|f\|_{L_v^2(\langle v \rangle^\theta)} \|g\|_{H_{v,*}^1(m)}^2, \quad \text{if } \gamma \in (-3/2, 1];$$

and

$$\langle Q(f, g), g \rangle_{L_v^2(m)} \lesssim \|f\|_{L_v^2(\langle v \rangle^\theta)} \|g\|_{H_{v,*}^1(m)}^2 + \|f\|_{H_v^1(\langle v \rangle^{\theta'})} \|g\|_{L_v^2(m)}^2 \quad \text{if } \gamma \in [-2, -3/2].$$

*Proof.* We write

$$\begin{aligned} \langle Q(f, g), h \rangle_{L_v^2(m)} &= \int \partial_j \{ (a_{ij} * f) \partial_i g - (b_j * f) g \} h m^2 \\ &= - \int (a_{ij} * f) \partial_i g \partial_j h m^2 - \int (a_{ij} * f) \partial_i g \partial_j m^2 h \\ &\quad + \int (b_j * f) g \partial_j h m^2 + \int (b_j * f) g h \partial_j m^2 \\ &=: T_1 + T_2 + T_3 + T_4. \end{aligned}$$

*Step 1. Point (i).* We estimate each term separately.

*Step 1.1.* For the first term, since the estimate for  $|v| \leq 1$  is evident, we only consider the case  $|v| > 1$ . We decompose  $\partial_i g = P_v \partial_i g + (I - P_v) \partial_i g$  and similarly for  $\partial_j h$ , where we recall that  $P_v \partial_i g = v_i |v|^{-2} (v \cdot \nabla_v g)$ . We hence write

$$\begin{aligned} T_1 &= \int (a_{ij} * f) \{ P_v \partial_i g P_v \partial_j h + P_v \partial_i g (I - P_v) \partial_j h + (I - P_v) \partial_i g P_v \partial_j h + (I - P_v) \partial_i g (I - P_v) \partial_j h \} m^2 \\ &=: T_{11} + T_{12} + T_{13} + T_{14}. \end{aligned}$$

Therefore we have, using Lemma 3.3,

$$\begin{aligned} T_{11} &= \int (a_{ij} * f) v_i v_j \frac{(v \cdot \nabla_v g)}{|v|^2} \frac{(v \cdot \nabla_v h)}{|v|^2} m^2 \\ &\lesssim \|f\|_{L_v^2(\langle v \rangle^\theta)} \int \langle v \rangle^{\gamma+2} |v|^{-2} |\nabla_v g| |\nabla_v h| m^2 \\ &\lesssim \|f\|_{L_v^2(\langle v \rangle^\theta)} \|\langle v \rangle^{\frac{\gamma}{2}} \nabla_v g\|_{L_v^2(m)} \|\langle v \rangle^{\frac{\gamma}{2}} \nabla_v h\|_{L_v^2(m)}. \end{aligned}$$

Moreover

$$\begin{aligned} T_{12} &= \int (a_{ij} * f) v_i \frac{(v \cdot \nabla_v g)}{|v|^2} \{(I - P_v) \partial_j h\} m^2 \\ &\lesssim \|f\|_{L_v^2(\langle v \rangle^\theta)} \int \langle v \rangle^{\gamma+2} |v|^{-1} |\nabla_v g| |(I - P_v) \nabla_v h| m^2 \\ &\lesssim \|f\|_{L_v^2(\langle v \rangle^\theta)} \|\langle v \rangle^{\frac{\gamma}{2}} \nabla_v g\|_{L_v^2(m)} \|\langle v \rangle^{\frac{\gamma+2}{2}} (I - P_v) \nabla_v h\|_{L_v^2(m)}, \end{aligned}$$

and similarly

$$T_{13} \lesssim \|f\|_{L_v^2(\langle v \rangle^\theta)} \|\langle v \rangle^{\frac{\gamma+2}{2}} (I - P_v) \nabla_v g\|_{L_v^2(m)} \|\langle v \rangle^{\frac{\gamma}{2}} \nabla_v h\|_{L_v^2(m)}.$$

For the term  $T_{14}$  we obtain

$$\begin{aligned} T_{14} &= \int (a_{ij} * f) \{(I - P_v) \partial_i g\} \{(I - P_v) \partial_j h\} m^2 \\ &\lesssim \|f\|_{L_v^2(\langle v \rangle^\theta)} \int \langle v \rangle^{\gamma+2} |(I - P_v) \nabla_v g| |(I - P_v) \nabla_v h| m^2 \\ &\lesssim \|f\|_{L_v^2(\langle v \rangle^\theta)} \|\langle v \rangle^{\frac{\gamma+2}{2}} (I - P_v) \nabla_v g\|_{L_v^2(m)} \|\langle v \rangle^{\frac{\gamma+2}{2}} (I - P_v) \nabla_v h\|_{L_v^2(m)}. \end{aligned}$$

*Step 1.2.* Let us investigate the second term  $T_2$ , and again we only consider  $|v| > 1$ . Since  $\partial_j m^2 = C v_j \langle v \rangle^{\sigma-2} m^2$ , where we recall that  $\sigma = 0$  when  $m = \langle v \rangle^k$  and  $\sigma = s$  when  $m = e^{r\langle v \rangle^s}$ , the same argument as for  $T_1$  gives us

$$\begin{aligned} T_2 &= \int (a_{ij} * f) \{P_v \partial_i g \partial_j m^2 + (I - P_v) \partial_i g \partial_j m^2\} h \\ &=: T_{21} + T_{22}. \end{aligned}$$

Then we have

$$\begin{aligned} T_{21} &= C \int (a_{ij} * f) v_i v_j \langle v \rangle^{\sigma-2} \frac{(v \cdot \nabla_v g)}{|v|^2} h m^2 \\ &\lesssim \|f\|_{L_v^2(\langle v \rangle^\theta)} \int \langle v \rangle^{\gamma+2} \langle v \rangle^{\sigma-2} |v|^{-1} |\nabla_v g| |h| m^2 \\ &\lesssim \|f\|_{L_v^2(\langle v \rangle^\theta)} \|\langle v \rangle^{\frac{\gamma+\sigma-2}{2}} \nabla_v g\|_{L_v^2(m)} \|\langle v \rangle^{\frac{\gamma+\sigma}{2}} h\|_{L_v^2(m)}, \end{aligned}$$

and we recall that  $\gamma + \sigma - 2 \leq \gamma$ . For the other term we get

$$\begin{aligned} T_{21} &= C \int (a_{ij} * f) v_j \langle v \rangle^{\sigma-2} \{(I - P_v) \partial_i g\} h m^2 \\ &\lesssim \|f\|_{L_v^2(\langle v \rangle^\theta)} \int \langle v \rangle^{\gamma+2} \langle v \rangle^{\sigma-2} |(I - P_v) \nabla_v g| |h| m^2 \\ &\lesssim \|f\|_{L_v^2(\langle v \rangle^\theta)} \|\langle v \rangle^{\frac{\gamma+\sigma}{2}} (I - P_v) \nabla_v g\|_{L_v^2(m)} \|\langle v \rangle^{\frac{\gamma+\sigma}{2}} h\|_{L_v^2(m)}, \end{aligned}$$

and recall that  $\gamma + \sigma \leq \gamma + 2$ .

*Step 1.3.* For the term  $T_4$ ,

$$\begin{aligned} T_4 &= C \int (b_j * f) v_j \langle v \rangle^{\sigma-2} g h m^2 \\ &\lesssim \|f\|_{L_v^2(\langle v \rangle^\theta)} \int \langle v \rangle^{\gamma+1} \langle v \rangle^{\sigma-1} |g| |h| m^2 \\ &\lesssim \|f\|_{L_v^2(\langle v \rangle^\theta)} \|\langle v \rangle^{\frac{\gamma+\sigma}{2}} g\|_{L_v^2(m)} \|\langle v \rangle^{\frac{\gamma+\sigma}{2}} h\|_{L_v^2(m)}. \end{aligned}$$

Remark that up to now we have obtained

$$T_1 + T_2 + T_4 \lesssim \|f\|_{L_v^2(\langle v \rangle^\theta)} \|g\|_{H_{v,*}^1(m)} \|h\|_{H_{v,*}^1(m)},$$

however in the estimate of the term  $T_3$  (see below) we will get a worst estimate (with the norm  $\|g\|_{H_{v,**}^1(m)}$  instead of  $\|g\|_{H_{v,*}^1(m)}$ ).

*Step 1.4.* We finally investigate the term  $T_3$  and we get

$$\begin{aligned} T_3 &\lesssim \|f\|_{L_v^2(\langle v \rangle^\theta)} \int \langle v \rangle^{\gamma+1} |g| |\nabla_v h| m^2 \\ &\lesssim \|f\|_{L_v^2(\langle v \rangle^\theta)} \|\langle v \rangle^{\frac{\gamma+2}{2}} g\|_{L_v^2(m)} \|\langle v \rangle^{\frac{\gamma}{2}} \nabla_v h\|_{L_v^2(m)} \\ &\lesssim \|f\|_{L_v^2(\langle v \rangle^\theta)} \|g\|_{H_{v,**}^1(m)} \|\langle v \rangle^{\frac{\gamma}{2}} \nabla_v h\|_{L_v^2(m)}. \end{aligned}$$

We complete the proof of point (i) gathering previous estimates.

*Step 2. Point (ii).* Arguing as in Step 1, with  $h$  replaced by  $g$ , we already have

$$T_1 + T_2 + T_4 \lesssim \|f\|_{L_v^2(\langle v \rangle^\theta)} \|g\|_{H_{v,*}^1(m)}^2,$$

and we only estimate the term  $T_3$ . Integrating by parts we get

$$T_3 = \int (b_j * f) g \partial_j g m^2 = -\frac{1}{2} \int (c * f) g^2 m^2 - \frac{1}{2} \int (b_j * f) \partial_j m^2 g^2 =: I + II.$$

The term  $II$  can be estimated exactly as  $T_4$ . For  $I$ , thanks to Lemma 3.3, we obtain

$$I \lesssim \|f\|_{L_v^2(\langle v \rangle^\theta)} \|\langle v \rangle^{\frac{\gamma}{2}} g\|_{L_v^2(m)}^2, \quad \text{if } \gamma \in (-3/2, 1];$$

and

$$\begin{aligned} I &\lesssim \|f\|_{L_v^2(\langle v \rangle^\theta)} \|\langle v \rangle^{\frac{\gamma}{2}} g\|_{L_v^2(m)}^2 + \|f\|_{L_v^4(\langle v \rangle^{\theta'})} \|\langle v \rangle^{\frac{\gamma}{2}} g\|_{L_v^2(m)}^2, \quad \text{if } \gamma \in [-2, -3/2]; \\ &\lesssim \|f\|_{L_v^2(\langle v \rangle^\theta)} \|\langle v \rangle^{\frac{\gamma}{2}} g\|_{L_v^2(m)}^2 + \|f\|_{H_v^1(\langle v \rangle^{\theta'})} \|\langle v \rangle^{\frac{\gamma}{2}} g\|_{L_v^2(m)}^2 \end{aligned}$$

and that concludes the proof.  $\square$

**Lemma 3.5.** *Let assumption **(H0)** be in force.*

(i) *There holds*

$$\langle Q(f, g), h \rangle_{\mathcal{H}_x^3 L_v^2(m)} \lesssim \|f\|_{\mathcal{H}_x^3 L_v^2(m)} \|g\|_{\mathcal{H}_x^3 H_{v,**}^1(m)} \|h\|_{\mathcal{H}_x^3 H_{v,*}^1(m)},$$

*therefore*

$$\|Q(f, g)\|_{\mathcal{H}_x^3 H_{v,*}^{-1}(m)} \lesssim \|f\|_{\mathcal{H}_x^3 L_v^2(m)} \|g\|_{\mathcal{H}_x^3 H_{v,**}^1(m)}.$$

(ii) *There holds*

$$\langle Q(f, g), g \rangle_{\mathcal{H}_x^3 L_v^2(m)} \lesssim \|f\|_{\mathcal{H}_x^3 L_v^2(m)} \|g\|_{\mathcal{H}_x^3 H_{v,*}^1(m)}^2 \quad \text{if } \gamma \in (-3/2, 1],$$

*and*

$$\begin{aligned} \langle Q(f, g), g \rangle_{\mathcal{H}_x^3 L_v^2(m)} &\lesssim \|f\|_{\mathcal{H}_x^3 L_v^2(m)} \|g\|_{\mathcal{H}_x^3 H_{v,*}^1(m)}^2 \\ &\quad + \|f\|_{\mathcal{H}_x^3 H_{v,*}^1(m)} \|g\|_{\mathcal{H}_x^3 L_v^2(m)}^2 \quad \text{if } \gamma \in [-2, -3/2]. \end{aligned}$$

*Proof.* We only prove point (ii). Point (i) can be proven in the same manner, using the estimate of Lemma 3.4-(i) instead of Lemma 3.4-(ii) as we shall do next.

We write

$$\langle Q(f, g), g \rangle_{\mathcal{H}_x^3 L_v^2(m)} = \langle Q(f, g), g \rangle_{L_x^2 L_v^2(m)} + \sum_{1 \leq |\beta| \leq 3} \langle \partial_x^\beta Q(f, g), \partial_x^\beta g \rangle_{L_x^2 L_v^2(m \langle v \rangle^{-|\beta|(1-\sigma/2)})},$$

and

$$\partial_x^\beta Q(f, g) = \sum_{\beta_1 + \beta_2 = \beta} C_{\beta_1, \beta_2} Q(\partial_x^{\beta_1} f, \partial_x^{\beta_2} g).$$

Recall some inequalities that we shall use in the sequel:

$$\|u\|_{L^\infty(\mathbb{T}_x^3)} \lesssim \|u\|_{H^2(\mathbb{T}_x^3)}, \quad \|u\|_{L^6(\mathbb{T}_x^3)} \lesssim \|\nabla u\|_{L^2(\mathbb{T}_x^3)}, \quad \|u\|_{L^3(\mathbb{T}_x^3)} \lesssim \|\nabla u\|_{L^2(\mathbb{T}_x^3)}^{1/2} \|u\|_{L^2(\mathbb{T}_x^3)}^{1/2}.$$

*Step 1.* Using Lemma 3.4-(ii) we get

$$\begin{aligned} \langle Q(f, g), g \rangle_{L_x^2 L_v^2(m)} &\lesssim \int_{\mathbb{T}_x^3} \|f\|_{L_v^2(\langle v \rangle^\theta)} \|g\|_{H_{v,*}^1(m)}^2 \quad \text{if } \gamma \in (-3/2, 1], \\ &\lesssim \|f\|_{H_x^2 L_v^2(\langle v \rangle^\theta)} \|g\|_{L_x^2 H_{v,*}^1(m)}^2, \end{aligned}$$

and, similarly,

$$\begin{aligned} \langle Q(f, g), g \rangle_{L_x^2 L_v^2(m)} &\lesssim \|f\|_{H_x^2 L_v^2(\langle v \rangle^\theta)} \|g\|_{L_x^2 H_{v,*}^1(m)}^2 + \|f\|_{H_x^2 H_v^1(\langle v \rangle^{\theta'})} \|g\|_{L_x^2 L_v^2(m)}^2 \quad \text{if } \gamma \in [-2, -3/2]. \end{aligned}$$

*Step 2. Case  $|\beta| = 1$ .* From Lemma 3.4-(ii) it follows

$$\begin{aligned} \langle Q(f, \partial_x^\beta g), \partial_x^\beta g \rangle_{L_x^2 L_v^2(m \langle v \rangle^{-(1-\sigma/2)})} &\lesssim \int_{\mathbb{T}_x^3} \|f\|_{L_v^2(\langle v \rangle^\theta)} \|\nabla_x g\|_{H_{v,*}^1(m \langle v \rangle^{-(1-\sigma/2)})}^2 \quad \text{if } \gamma \in (-3/2, 1], \\ &\lesssim \|f\|_{H_x^2 L_v^2(\langle v \rangle^\theta)} \|\nabla_x g\|_{L_x^2 H_{v,*}^1(m \langle v \rangle^{-(1-\sigma/2)})}^2. \end{aligned}$$

and, similarly,

$$\begin{aligned} \langle Q(f, \partial_x^\beta g), \partial_x^\beta g \rangle_{L_x^2 L_v^2(m \langle v \rangle^{-(1-\sigma/2)})} &\lesssim \|f\|_{H_x^2 L_v^2(\langle v \rangle^\theta)} \|\nabla_x g\|_{L_x^2 H_{v,*}^1(m \langle v \rangle^{-(1-\sigma/2)})}^2 \\ &\quad + \|f\|_{H_x^2 H_v^1(\langle v \rangle^{\theta'})} \|\nabla_x g\|_{L_x^2 L_v^2(m \langle v \rangle^{-(1-\sigma/2)})}^2 \quad \text{if } \gamma \in [-2, -3/2]. \end{aligned}$$

Moreover, thanks to Lemma 3.4-(i), we get

$$\begin{aligned} \langle Q(\partial_x^\beta f, g), \partial_x^\beta g \rangle_{L_x^2 L_v^2(m \langle v \rangle^{-(1-\sigma/2)})} &\lesssim \int_{\mathbb{T}_x^3} \|\nabla_x f\|_{L_v^2(\langle v \rangle^\theta)} \|g\|_{H_{v,**}^1(m \langle v \rangle^{-(1-\sigma/2)})} \|\nabla_x g\|_{H_{v,*}^1(m \langle v \rangle^{-(1-\sigma/2)})} \\ &\lesssim \|\nabla_x f\|_{H_x^2 L_v^2(\langle v \rangle^\theta)} \|g\|_{L_x^2 H_{v,**}^1(m \langle v \rangle^{-(1-\sigma/2)})} \|\nabla_x g\|_{L_x^2 H_{v,*}^1(m \langle v \rangle^{-(1-\sigma/2)})}. \end{aligned}$$

*Step 3. Case  $|\beta| = 2$ .* When  $\beta_2 = \beta$ , Lemma 3.4-(ii) yields

$$\begin{aligned} \langle Q(f, \partial_x^\beta g), \partial_x^\beta g \rangle_{L_x^2 L_v^2(m \langle v \rangle^{-2(1-\sigma/2)})} &\lesssim \int_{\mathbb{T}_x^3} \|f\|_{L_v^2(\langle v \rangle^\theta)} \|\nabla_x^2 g\|_{H_{v,*}^1(m \langle v \rangle^{-2(1-\sigma/2)})}^2 \quad \text{if } \gamma \in (-3/2, 1], \\ &\lesssim \|f\|_{H_x^2 L_v^2(\langle v \rangle^\theta)} \|\nabla_x^2 g\|_{L_x^2 H_{v,*}^1(m \langle v \rangle^{-2(1-\sigma/2)})}^2. \end{aligned}$$

and, similarly,

$$\begin{aligned} \langle Q(f, \partial_x^\beta g), \partial_x^\beta g \rangle_{L_x^2 L_v^2(m \langle v \rangle^{-2(1-\sigma/2)})} &\lesssim \|f\|_{H_x^2 L_v^2(\langle v \rangle^\theta)} \|\nabla_x^2 g\|_{L_x^2 H_{v,*}^1(m \langle v \rangle^{-2(1-\sigma/2)})}^2 \\ &\quad + \|f\|_{H_x^2 H_v^1(\langle v \rangle^{\theta'})} \|\nabla_x^2 g\|_{L_x^2 L_v^2(m \langle v \rangle^{-2(1-\sigma/2)})}^2 \quad \text{if } \gamma \in [-2, -3/2]. \end{aligned}$$

If  $|\beta_1| = |\beta_2| = 1$  then, thanks to Lemma 3.4-(i), we obtain

$$\begin{aligned} & \langle Q(\partial_x^{\beta_1} f, \partial_x^{\beta_2} g), \partial_x^\beta g \rangle_{L_x^2 L_v^2(m\langle v \rangle^{-2(1-\sigma/2)})} \\ & \lesssim \int_{\mathbb{T}_x^3} \|\nabla_x f\|_{L_v^2(\langle v \rangle^\theta)} \|\nabla_x g\|_{H_{v,**}^1(m\langle v \rangle^{-2(1-\sigma/2)})} \|\nabla_x^2 g\|_{H_{v,*}^1(m\langle v \rangle^{-2(1-\sigma/2)})} \\ & \lesssim \|\nabla_x f\|_{H_x^2 L_v^2(\langle v \rangle^\theta)} \|\nabla_x g\|_{L_x^2 H_{v,**}^1(m\langle v \rangle^{-2(1-\sigma/2)})} \|\nabla_x^2 g\|_{L_x^2 H_{v,*}^1(m\langle v \rangle^{-2(1-\sigma/2)})}. \end{aligned}$$

Finally when  $\beta_1 = \beta$ , Lemma 3.4-(i) gives us

$$\begin{aligned} & \langle Q(\partial_x^\beta f, g), \partial_x^\beta g \rangle_{L_x^2 L_v^2(m\langle v \rangle^{-2(1-\sigma/2)})} \\ & \lesssim \int_{\mathbb{T}_x^3} \|\nabla_x^2 f\|_{L_v^2(\langle v \rangle^\theta)} \|g\|_{H_{v,**}^1(m\langle v \rangle^{-2(1-\sigma/2)})} \|\nabla_x^2 g\|_{H_{v,*}^1(m\langle v \rangle^{-2(1-\sigma/2)})} \\ & \lesssim \|\nabla_x^2 f\|_{L_x^6 L_v^2(\langle v \rangle^\theta)} \|g\|_{L_x^3 H_{v,**}^1(m\langle v \rangle^{-2(1-\sigma/2)})} \|\nabla_x^2 g\|_{L_x^2 H_{v,*}^1(m\langle v \rangle^{-2(1-\sigma/2)})} \\ & \lesssim \|\nabla_x^3 f\|_{L_x^2 L_v^2(\langle v \rangle^\theta)} \|g\|_{L_x^2 H_{v,**}^1(m\langle v \rangle^{-2(1-\sigma/2)})}^{1/2} \|\nabla_x g\|_{L_x^2 H_{v,**}^1(m\langle v \rangle^{-2(1-\sigma/2)})}^{1/2} \|\nabla_x^2 g\|_{L_x^2 H_{v,*}^1(m\langle v \rangle^{-2(1-\sigma/2)})}. \end{aligned}$$

*Step 4. Case  $|\beta| = 3$ .* When  $\beta_2 = \beta$ , Lemma 3.4-(ii) implies

$$\begin{aligned} & \langle Q(f, \partial_x^\beta g), \partial_x^\beta g \rangle_{L_x^2 L_v^2(m\langle v \rangle^{-3(1-\sigma/2)})} \lesssim \int_{\mathbb{T}_x^3} \|f\|_{L_v^2(\langle v \rangle^\theta)} \|\nabla_x^3 g\|_{H_{v,*}^1(m\langle v \rangle^{-3(1-\sigma/2)})}^2 \quad \text{if } \gamma \in (-3/2, 1] \\ & \lesssim \|f\|_{H_x^2 L_v^2(\langle v \rangle^\theta)} \|\nabla_x^2 g\|_{L_x^2 H_{v,*}^1(m\langle v \rangle^{-3(1-\sigma/2)})}^2. \end{aligned}$$

and, similarly,

$$\begin{aligned} & \langle Q(f, \partial_x^\beta g), \partial_x^\beta g \rangle_{L_x^2 L_v^2(m\langle v \rangle^{-3(1-\sigma/2)})} \lesssim \|f\|_{H_x^2 L_v^2(\langle v \rangle^\theta)} \|\nabla_x^3 g\|_{L_x^2 H_{v,*}^1(m\langle v \rangle^{-3(1-\sigma/2)})}^2 \\ & \quad + \|f\|_{H_x^2 H_v^1(\langle v \rangle^{\theta'})} \|\nabla_x^3 g\|_{L_x^2 L_v^2(m\langle v \rangle^{-3(1-\sigma/2)})}^2, \quad \text{if } \gamma \in [-2, -3/2]. \end{aligned}$$

If  $|\beta_1| = 1$  and  $|\beta_2| = 2$  then, thanks to Lemma 3.4-(i), we obtain

$$\begin{aligned} & \langle Q(\partial_x^{\beta_1} f, \partial_x^{\beta_2} g), \partial_x^\beta g \rangle_{L_x^2 L_v^2(m\langle v \rangle^{-3(1-\sigma/2)})} \\ & \lesssim \int_{\mathbb{T}_x^3} \|\nabla_x f\|_{L_v^2(\langle v \rangle^\theta)} \|\nabla_x^2 g\|_{H_{v,**}^1(m\langle v \rangle^{-3(1-\sigma/2)})} \|\nabla_x^3 g\|_{H_{v,*}^1(m\langle v \rangle^{-3(1-\sigma/2)})} \\ & \lesssim \|\nabla_x f\|_{H_x^2 L_v^2(\langle v \rangle^\theta)} \|\nabla_x^2 g\|_{L_x^2 H_{v,**}^1(m\langle v \rangle^{-3(1-\sigma/2)})} \|\nabla_x^3 g\|_{L_x^2 H_{v,*}^1(m\langle v \rangle^{-3(1-\sigma/2)})}. \end{aligned}$$

If  $|\beta_1| = 2$  and  $|\beta_2| = 1$  then, thanks to Lemma 3.4-(i), we obtain

$$\begin{aligned} & \langle Q(\partial_x^{\beta_1} f, \partial_x^{\beta_2} g), \partial_x^\beta g \rangle_{L_x^2 L_v^2(m\langle v \rangle^{-3(1-\sigma/2)})} \\ & \lesssim \int_{\mathbb{T}_x^3} \|\nabla_x^2 f\|_{L_v^2(\langle v \rangle^\theta)} \|\nabla_x g\|_{H_{v,**}^1(m\langle v \rangle^{-3(1-\sigma/2)})} \|\nabla_x^3 g\|_{H_{v,*}^1(m\langle v \rangle^{-3(1-\sigma/2)})} \\ & \lesssim \|\nabla_x^3 f\|_{L_x^2 L_v^2(\langle v \rangle^\theta)} \|\nabla_x g\|_{L_x^2 H_{v,**}^1(m\langle v \rangle^{-3(1-\sigma/2)})}^{1/2} \|\nabla_x^2 g\|_{L_x^2 H_{v,**}^1(m\langle v \rangle^{-3(1-\sigma/2)})}^{1/2} \|\nabla_x^3 g\|_{L_x^2 H_{v,*}^1(m\langle v \rangle^{-3(1-\sigma/2)})}. \end{aligned}$$

Finally when  $\beta_1 = \beta$ , Lemma 3.4-(i) gives us

$$\begin{aligned} & \langle Q(\partial_x^\beta f, g), \partial_x^\beta g \rangle_{L_x^2 L_v^2(m\langle v \rangle^{-3(1-\sigma/2)})} \\ & \lesssim \int_{\mathbb{T}_x^3} \|\nabla_x^3 f\|_{L_v^2(\langle v \rangle^\theta)} \|g\|_{H_{v,**}^1(m\langle v \rangle^{-3(1-\sigma/2)})} \|\nabla_x^3 g\|_{H_{v,*}^1(m\langle v \rangle^{-3(1-\sigma/2)})} \\ & \lesssim \|\nabla_x^3 f\|_{L_x^2 L_v^2(\langle v \rangle^\theta)} \|g\|_{H_x^2 H_{v,**}^1(m\langle v \rangle^{-3(1-\sigma/2)})} \|\nabla_x^3 g\|_{L_x^2 H_{v,*}^1(m\langle v \rangle^{-3(1-\sigma/2)})}. \end{aligned}$$

*Step 5. Conclusion.* We can conclude the proof gathering previous estimates and remarking that, for any  $n = 0, 1, 2$ , there holds

$$\|\langle v \rangle^{\frac{\gamma+2}{2}} \nabla_x^n g\|_{L_x^2 L_v^2(m\langle v \rangle^{-(n+1)(1-\sigma/2)})} = \|\langle v \rangle^{\frac{\gamma+\sigma}{2}} \nabla_x^n g\|_{L_x^2 L_v^2(m\langle v \rangle^{-n(1-\sigma/2)})}$$

which implies

$$\|\nabla_x^n g\|_{L_x^2 H_{v,**}^1(m(v)^{-(n+1)(1-\sigma/2)})} \lesssim \|\nabla_x^n g\|_{L_x^2 H_{v,*}^1(m(v)^{-n(1-\sigma/2)})},$$

and observing also that

$$\|f\|_{H_x^3 L_v^2(\langle v \rangle^\theta)} \lesssim \|f\|_{\mathcal{H}_x^3 L_v^2(m)}$$

and

$$\|f\|_{H_x^2 H_v^1(\langle v \rangle^{\theta'})} \lesssim \|f\|_{\mathcal{H}_x^3 H_{v,*}^1(m)} \quad \text{if } \gamma \in [-2, -3/2].$$

□

**3.4. Proof of Theorem 1.1.** We consider the Cauchy problem for the perturbation  $f = F - \mu$ . The equation satisfied by  $f = f(t, x, v)$  is

$$(3.2) \quad \begin{cases} \partial_t f = \Lambda f + Q(f, f) \\ f|_{t=0} = f_0 = F_0 - \mu. \end{cases}$$

From the conservation laws (see (1.6) and (1.10)), for all  $t > 0$ ,  $\Pi_0 f_t = 0$  since  $\Pi_0 f_0 = 0$ , more precisely  $\int f_t(v) dx dv = \int v_j f_t(v) dx dv = \int |v|^2 f_t(v) dx dv = 0$ , and also  $\Pi_0 Q(f_t, f_t) = 0$  because  $\Pi_0 Q(f_0, f_0) = 0$ .

Consider some weight function  $m$  and assumption **(H0)**. We split the proof of Theorem 1.1 into three parts: Theorem 3.7, Theorem 3.8 and Theorem 3.9 below.

**3.4.1. Stability estimate.** We start proving a stability estimate.

**Proposition 3.6.** *A solution  $f = f_t$  to (3.2) satisfies, at least formally, the following differential inequality: for any  $\lambda_2 < \lambda_1$  there holds*

$$\frac{1}{2} \frac{d}{dt} \|f\|_{\mathcal{H}_x^3 L_v^2(m)}^2 \leq -\lambda_2 \|f\|_{\mathcal{H}_x^3 L_v^2(m)}^2 - (K - C \|f\|_{\mathcal{H}_x^3 L_v^2(m)}) \|f\|_{\mathcal{H}_x^3 H_{v,*}^1(m)}^2,$$

for some constants  $K, C > 0$ .

*Proof of Proposition 3.6.* Recall the norm  $\|\cdot\|_{\mathcal{H}_x^3 L_v^2(m)}$  defined in Proposition 3.1. Thanks to (3.2) we write

$$\begin{aligned} \frac{1}{2} \frac{d}{dt} \|f_t\|_{\mathcal{H}_x^3 L_v^2(m)}^2 &= \eta \langle f_t, \Lambda f_t \rangle_{\mathcal{H}_x^3 L_v^2(m)} + \int_0^\infty \langle S_\Lambda(\tau) e^{\lambda_2 \tau} f_t, S_\Lambda(\tau) e^{\lambda_2 \tau} \Lambda f_t \rangle_{\mathcal{H}_x^3 L_v^2(m')} d\tau \\ &\quad + \eta \langle f_t, Q(f_t, f_t) \rangle_{\mathcal{H}_x^3 L_v^2(m)} + \int_0^\infty \langle S_\Lambda(\tau) e^{\lambda_2 \tau} f_t, S_\Lambda(\tau) e^{\lambda_2 \tau} Q(f_t, f_t) \rangle_{\mathcal{H}_x^3 L_v^2(m')} d\tau \\ &=: I_1 + I_2 + I_3 + I_4. \end{aligned}$$

For the linear part  $I_1 + I_2$ , we already have from Proposition 3.1 that, for any  $\lambda_2 < \lambda_1$ ,

$$I_1 + I_2 \leq -\lambda_2 \|f\|_{\mathcal{H}_x^3 L_v^2(m)}^2 - K \|f_t\|_{\mathcal{H}_x^3 H_{v,*}^1(m)}^2.$$

Let us investigate the nonlinear part. For the term  $I_4$ , we use the fact that  $\Pi_0 f_t = 0$  and  $\Pi_0 Q(f_t, f_t) = 0$  for all  $t \geq 0$ , together with Theorem 2.1 to get

$$\begin{aligned} &\int_0^\infty \langle S_\Lambda(\tau) e^{\lambda_2 \tau} f_t, S_\Lambda(\tau) e^{\lambda_2 \tau} Q(f_t, f_t) \rangle_{\mathcal{H}_x^3 L_v^2(m')} d\tau \\ &\leq \int_0^\infty \|S_\Lambda(\tau) e^{\lambda_2 \tau} f_t\|_{\mathcal{H}_x^3 H_{v,*}^1(m')} \|S_\Lambda(\tau) e^{\lambda_2 \tau} Q(f_t, f_t)\|_{\mathcal{H}_x^3 H_{v,*}^{-1}(m')} d\tau \\ &\leq \|f_t\|_{\mathcal{H}_x^3 H_{v,*}^1(m')} \|Q(f_t, f_t)\|_{\mathcal{H}_x^3 H_{v,*}^{-1}(m')} \int_0^\infty C^2 e^{-2(\lambda_1 - \lambda_2)\tau} d\tau \\ &\lesssim \|f_t\|_{\mathcal{H}_x^3 H_{v,*}^1(m')} \|Q(f_t, f_t)\|_{\mathcal{H}_x^3 H_{v,*}^{-1}(m')}. \end{aligned}$$

From Lemma 3.5-(i) we have

$$\|Q(f_t, f_t)\|_{\mathcal{H}_x^3 H_{v,*}^{-1}(m')} \lesssim \|f_t\|_{\mathcal{H}_x^3 L_v^2(m')} \|f_t\|_{\mathcal{H}_x^3 H_{v,**}^1(m')}.$$

Therefore, using that  $m' \lesssim m \langle v \rangle^{-(1-\sigma/2)}$  so that  $\|f\|_{H_{v,**}^1(m')} \lesssim \|f\|_{H_{v,*}^1(m)}$ , we obtain

$$I_4 \lesssim \|f_t\|_{\mathcal{H}_x^3 L_v^2(m)} \|f_t\|_{\mathcal{H}_x^3 H_{v,*}^1(m)}^2 \lesssim \|f_t\|_{\mathcal{H}_x^3 L_v^2(m)} \|f_t\|_{\mathcal{H}_x^3 H_{v,*}^1(m)}^2.$$

For the term  $I_3$ , Lemma 3.5-(ii) gives us directly

$$\begin{aligned} I_3 &\lesssim \|f_t\|_{\mathcal{H}_x^3 L_v^2(m)} \|f_t\|_{\mathcal{H}_x^3 H_{v,*}^1(m)}^2 + \|f_t\|_{\mathcal{H}_x^3 L_v^2(m)}^2 \|f_t\|_{\mathcal{H}_x^3 H_{v,*}^1(m)} \\ &\lesssim \|f_t\|_{\mathcal{H}_x^3 L_v^2(m)} \|f_t\|_{\mathcal{H}_x^3 H_{v,*}^1(m)}^2. \end{aligned}$$

We complete the proof gathering previous bounds.  $\square$

**3.4.2. Cauchy problem in the close-to-equilibrium setting.** Consider **(H0)** and some weight  $m$ . We fix some weight function  $m_0$  satisfying **(H1)-(H2)-(H3)** such that  $m_0 \lesssim m \langle v \rangle^{-(1-\sigma/2)}$ , which is always possible. We will construct solutions on  $L_t^\infty(\mathcal{H}_x^3 L_v^2(m))$  under a smallness assumption on the initial data  $\|f_0\|_{\mathcal{H}_x^3 L_v^2(m)} \leq \epsilon_0$ . Introduce the notation to simplify

$$\begin{cases} X := \mathcal{H}_x^3 L_v^2(m), & Y := \mathcal{H}_x^3 H_{v,*}^1(m), \\ X_0 := \mathcal{H}_x^3 L_v^2(m_0), & Y_0 := \mathcal{H}_x^3 H_{v,*}^1(m_0), \quad Z_0 := \mathcal{H}_x^3 H_{v,**}^1(m_0) \end{cases}$$

and remark that  $\|f\|_{Z_0} \lesssim \|f\|_Y$ .

**Theorem 3.7.** *There is a constant  $\epsilon_0 = \epsilon_0(m) > 0$  such that, if  $\|f_0\|_X \leq \epsilon_0$  then there exists a global weak solution  $f$  to (3.2) that satisfies, for some constant  $C > 0$ ,*

$$\|f\|_{L^\infty([0,\infty);X)} + \|f\|_{L^2([0,\infty);Y)} \leq C\epsilon_0.$$

Moreover, if  $F_0 = \mu + f_0 \geq 0$  then  $F(t) = \mu + f(t) \geq 0$ .

*Proof.* For any integer  $n \geq 1$  we define the iterative scheme

$$\begin{cases} \partial_t f^n = \Lambda f^n + Q(f^{n-1}, f^n) & \forall n \geq 1, \quad \text{and} \\ f_{|t=0}^n = f_0 & \end{cases} \quad \begin{cases} \partial_t f^0 = \Lambda f^0 \\ f_{|t=0}^0 = f_0 \end{cases}.$$

Firstly, the functions  $f^n$  are well defined on  $X$  for all  $t \geq 0$  thanks to the semigroup theory in Theorem 2.1 and the stability estimates proven below.

*Step 1. Stability of the scheme.* We prove by induction that

$$(3.3) \quad \forall n \geq 0, \forall t \geq 0, \quad A_n(t) := \|f_t^n\|_X^2 + K \int_0^t \|f_\tau^n\|_Y^2 d\tau \leq 2\epsilon_0^2,$$

if  $\epsilon_0 > 0$  is small enough. The case  $n = 0$  easily follows from Proposition 3.1. Assume that (3.3) holds for some  $n \in \mathbb{N}$ . Arguing as in Proposition 3.6 we obtain

$$\begin{aligned} \frac{d}{dt} \|f^{n+1}\|_X^2 + K \|f^{n+1}\|_Y^2 &\leq C \|f^n\|_X \|f^{n+1}\|_Y^2 + C \|f^n\|_Y \|f^{n+1}\|_X^2 \\ &\leq C \|f^n\|_X \|f^{n+1}\|_Y^2 + C \|f^n\|_Y \|f^{n+1}\|_X \|f^{n+1}\|_Y. \end{aligned}$$

Integrating from 0 to  $t$  it follows

$$\begin{aligned} A_{n+1}(t) &= \|f_t^{n+1}\|_X^2 + K \int_0^t \|f_\tau^{n+1}\|_Y^2 d\tau \\ &\leq \|f_0\|_X^2 + C \left( \sup_{\tau \geq 0} \|f_\tau^n\|_X \right) \int_0^t \|f^{n+1}(\tau)\|_Y^2 d\tau \\ &\quad + C \left( \int_0^t \|f^n(\tau)\|_Y^2 d\tau \right)^{1/2} \left( \sup_{\tau \geq 0} \|f_\tau^{n+1}\|_X \right) \left( \int_0^t \|f^{n+1}(\tau)\|_Y^2 d\tau \right)^{1/2} \\ &\leq \|f_0\|_X^2 + CA_n(t)^{1/2} A_{n+1}(t) \\ &\leq \|f_0\|_X^2 + C\epsilon_0 A_{n+1}(t), \end{aligned}$$

from which we conclude to (3.3) for  $n+1$  if  $\epsilon_0 > 0$  is small enough (so that  $C\epsilon_0 \leq 1/2$ ).

*Step 2. Convergence of the scheme.* Now we can prove the convergence of the scheme in  $X_0$ . Denote  $d^n = f^{n+1} - f^n$  that satisfies

$$\begin{cases} \partial_t d^n = \Lambda d^n + Q(f^n, d^n) + Q(d^{n-1}, f^n), & \forall n \in \mathbb{N}^*; \\ \partial_t d^0 = \Lambda d^0 + Q(f^0, f^1). \end{cases}$$

We claim that for  $\epsilon_0 > 0$  small enough, for any  $n \in \mathbb{N}$  it holds

$$(3.4) \quad \forall t \geq 0, \forall n \geq 0, \quad B_n(t) := \|d_t^n\|_{X_0}^2 + K \int_0^t \|d_\tau^n\|_{Y_0}^2 d\tau \leq (C'\epsilon_0)^{2n},$$

for some constants  $C' > 0$  that does not depend on  $\epsilon$ . Let us prove the claim by induction. We start with the case  $n = 0$ . Denote  $\bar{X}_0 := \mathcal{H}_x^3 L_v^2(m'_0)$  (where  $m'_0 \lesssim m_0 \langle v \rangle^{-(1-\sigma/2)}$ , see (3.1)) then we compute

$$\begin{aligned} \frac{d}{dt} \|d^0\|_{X_0}^2 &= \eta \langle \Lambda d^0, d^0 \rangle_{X_0} + \int_0^t \langle S_\Lambda(\tau) e^{\lambda_2 \tau} \Lambda d^0, S_\Lambda(\tau) e^{\lambda_2 \tau} d^0 \rangle_{\bar{X}_0} d\tau \\ &\quad + \eta \langle Q(f^0, f^1), d^0 \rangle_{X_0} + \int_0^\infty \langle S_\Lambda(\tau) e^{\lambda_2 \tau} Q(f^0, f^1), S_\Lambda(\tau) e^{\lambda_2 \tau} d^0 \rangle_{\bar{X}_0} d\tau \\ &=: I_1 + I_2 + I_3 + I_4. \end{aligned}$$

Arguing as in Proposition 3.6 we get

$$I_1 + I_2 \leq -K \|d^0\|_{Y_0}^2$$

and also

$$I_4 \lesssim \|f^0\|_{X_0} \|f^1\|_{Y_0} \|d^0\|_{Y_0}.$$

Now for the term  $I_3$  we get thanks to Lemma 3.5-(i)

$$I_3 \lesssim \|f^0\|_{X_0} \|f^1\|_{Z_0} \|d^0\|_{Y_0} \lesssim \|f^0\|_{X_0} \|f^1\|_Y \|d^0\|_{Y_0}.$$

Gathering previous estimates yields, for any  $t \geq 0$ ,

$$\begin{aligned} \|d_t^0\|_{X_0}^2 + K \int_0^t \|d_\tau^0\|_{Y_0}^2 d\tau &\leq C \int_0^t \|f_\tau^0\|_{X_0} \{ \|f_\tau^1\|_{Y_0} + \|f_\tau^1\|_Y \} \|d_\tau^0\|_{Y_0} d\tau. \\ &\leq C \left( \sup_{\tau \geq 0} \|f_\tau^0\|_{X_0} \right) \left( \int_0^t \|f_\tau^1\|_Y^2 d\tau \right)^{1/2} \left( \int_0^t \|d_\tau^0\|_{Y_0}^2 d\tau \right)^{1/2}, \end{aligned}$$

therefore we get

$$B_0(t) \leq C\epsilon_0^2 B_0(t)^{1/2} \Rightarrow B(t) \leq C\epsilon_0^4,$$

where we have used (3.3) for  $f^0$  and  $f^1$ , which concludes the proof of (3.4) for  $n = 0$ . Assume now that (3.4) holds for some  $n \in \mathbb{N}$  and let us prove (3.4) for  $n + 1$ . We compute

$$\begin{aligned} \frac{d}{dt} \|d^{n+1}\|_{X_0}^2 &= \eta \langle \Lambda d^{n+1}, d^{n+1} \rangle_{X_0} + \int_0^t \langle S_\Lambda(\tau) e^{\lambda_2 \tau} \Lambda d^{n+1}, S_\Lambda(\tau) e^{\lambda_2 \tau} d^{n+1} \rangle_{\overline{X}_0} d\tau \\ &\quad + \eta \langle Q(f^{n+1}, d^{n+1}), d^{n+1} \rangle_{X_0} + \int_0^\infty \langle S_\Lambda(\tau) e^{\lambda_2 \tau} Q(f^{n+1}, d^{n+1}), S_\Lambda(\tau) e^{\lambda_2 \tau} d^{n+1} \rangle_{\overline{X}_0} d\tau \\ &\quad + \eta \langle Q(d^n, f^n), d^{n+1} \rangle_{X_0} + \int_0^\infty \langle S_\Lambda(\tau) e^{\lambda_2 \tau} Q(d^n, f^n), S_\Lambda(\tau) e^{\lambda_2 \tau} d^{n+1} \rangle_{\overline{X}_0} d\tau \\ &=: I_1 + I_2 + I_3 + I_4 + I_5 + I_6. \end{aligned}$$

Arguing as in Proposition 3.6 we have

$$I_1 + I_2 \leq -K \|d^{n+1}\|_{Y_0}^2,$$

and

$$I_3 + I_4 \lesssim \|f^{n+1}\|_{X_0} \|d^{n+1}\|_{Y_0}^2 + \|f^{n+1}\|_{Y_0} \|d^{n+1}\|_{X_0} \|d^{n+1}\|_{Y_0}.$$

The term  $I_6$  can be estimated as  $I_4$  and that gives us

$$I_6 \lesssim \|d^n\|_{X_0} \|f^n\|_{Y_0} \|d^{n+1}\|_{Y_0}.$$

For the last term  $I_5$  we get using Lemma 3.5-(i)

$$I_5 \lesssim \|d^n\|_{X_0} \|f^n\|_{Z_0} \|d^{n+1}\|_{Y_0} \lesssim \|d^n\|_{X_0} \|f^n\|_Y \|d^{n+1}\|_{Y_0}.$$

Putting together all the estimates, it follows

$$\begin{aligned} B_{n+1}(t) &\leq C \int_0^t \|f_\tau^{n+1}\|_{X_0} \|d_\tau^{n+1}\|_{Y_0}^2 d\tau + C \int_0^t \|f_\tau^{n+1}\|_{Y_0} \|d_\tau^{n+1}\|_{X_0} \|d_\tau^{n+1}\|_{Y_0} d\tau \\ &\quad + C \int_0^t \|d_\tau^n\|_{X_0} \|f_\tau^n\|_Y \|d_\tau^{n+1}\|_{Y_0} d\tau \\ &\leq C \left( \sup_{\tau \geq 0} \|f_\tau^{n+1}\|_{X_0} \right) \int_0^t \|d_\tau^{n+1}\|_{Y_0}^2 d\tau \\ &\quad + C \left( \int_0^t \|f_\tau^{n+1}\|_{Y_0}^2 d\tau \right)^{1/2} \left( \sup_{\tau \geq 0} \|d_\tau^{n+1}\|_{X_0} \right) \left( \int_0^t \|d_\tau^{n+1}\|_{Y_0}^2 d\tau \right)^{1/2} \\ &\quad + C \left( \sup_{\tau \geq 0} \|d_\tau^n\|_{X_0} \right) \left( \int_0^t \|f_\tau^n\|_Y^2 d\tau \right)^{1/2} \left( \int_0^t \|d_\tau^{n+1}\|_{Y_0}^2 d\tau \right)^{1/2}. \end{aligned}$$

Hence it follows

$$\begin{aligned} B_{n+1}(t) &\leq C\epsilon_0 B_{n+1}(t) + C\epsilon_0 B_n(t)^{1/2} B_{n+1}(t)^{1/2} \\ &\leq C\epsilon_0 B_{n+1}(t) + C\epsilon_0 (C'\epsilon_0)^n B_{n+1}(t)^{1/2}, \end{aligned}$$

where we have used (3.3) for  $f^n$  and  $f^{n+1}$  and also the induction hypothesis. If  $\epsilon_0 > 0$  is small enough so that  $C\epsilon_0 \leq 1/2$ , we then get

$$B_{n+1}(t) \leq C\epsilon_0 (C'\epsilon_0)^n B_{n+1}(t)^{1/2} \Rightarrow B_{n+1}(t) \leq C^2 \epsilon_0^2 (C'\epsilon_0)^{2n} \leq (C'\epsilon_0)^{2(n+1)}.$$

Therefore the sequence  $(f^n)_n$  is a Cauchy sequence in  $L^\infty([0, \infty); X_0) = L^\infty([0, \infty); \mathcal{H}_x^3 L_v^2(m_0))$ , and its limit  $f$  satisfies (3.2). We then deduce that

$$\|f\|_{L^\infty([0, \infty); X)} + \|f\|_{L^2([0, \infty); Y)} \leq C\epsilon_0,$$

by passing to the limit  $n \rightarrow \infty$  in (3.3). Moreover, since  $F_0 = \mu + f_0 \geq 0$  we easily obtain that  $F(t) = \mu + f(t) \geq 0$  (see e.g. [9]).  $\square$

We can now address the problem of uniqueness.

**Theorem 3.8.** *There is a constant  $\epsilon_0 = \epsilon_0(m) > 0$  such that, if  $\|f_0\|_X \leq \epsilon_0$  then there exists a unique global weak solution  $f \in L^\infty([0, \infty); X) \cap L^2([0, \infty); Y)$  to (3.2).*

*Proof.* Let  $f$  be the solution constructed in Theorem 3.7 that satisfies

$$\|f\|_{L^\infty([0, \infty); X)} + \|f\|_{L^2([0, \infty); Y)} \leq C\epsilon_0.$$

Assume that there is another solution  $g$  with initial data  $g_0 = f_0$  and such that

$$\|g\|_{L^\infty([0, \infty); X)} + \|g\|_{L^2([0, \infty); Y)} \leq C\epsilon_0.$$

The difference  $f - g$  satisfies

$$\partial_t(f - g) = \Lambda(f - g) + Q(g, f - g) + Q(f - g, f),$$

with  $f_0 = g_0$ . We then compute the (standard)  $L_x^2 L_v^2(m_0)$  norm of the difference  $f - g$

$$\begin{aligned} \frac{1}{2} \frac{d}{dt} \|f - g\|_{L_x^2 L_v^2(m_0)}^2 &= \langle \Lambda(f - g), f - g \rangle_{L_x^2 L_v^2(m_0)} + \langle Q(g, f - g), f - g \rangle_{L_x^2 L_v^2(m_0)} \\ &\quad + \langle Q(f - g, f), f - g \rangle_{L_x^2 L_v^2(m_0)}. \end{aligned}$$

We write  $\Lambda = \mathcal{A} + \mathcal{B}$  so that we obtain

$$\langle \Lambda(f - g), f - g \rangle_{L_x^2 L_v^2(m_0)} \leq -K \|f - g\|_{L_x^2 H_{v,*}^1(m_0)}^2 + C \|f - g\|_{L_x^2 L_v^2(m_0)}^2.$$

Moreover, Lemma 3.4-(ii) gives

$$\langle Q(g, f - g), f - g \rangle_{L_x^2 L_v^2(m_0)} \leq C \|g\|_{H_x^2 L_v^2(m_0)} \|f - g\|_{L_x^2 H_{v,*}^1(m_0)}^2 + C \|g\|_{H_x^2 H_v^1(m_0)} \|f - g\|_{L_x^2 L_v^2(m_0)}^2,$$

whence, integrating in time,

$$\begin{aligned} &\int_0^t \langle Q(g_\tau, f_\tau - g_\tau), f_\tau - g_\tau \rangle_{L_x^2 L_v^2(m_0)} d\tau \\ &\leq C \sup_{\tau \in [0, t]} \|g_\tau\|_{H_x^2 L_v^2(m_0)} \int_0^t \|f_\tau - g_\tau\|_{L_x^2 H_{v,*}^1(m_0)}^2 d\tau \\ &\quad + C \left( \int_0^t \|g_\tau\|_{H_x^2 H_v^1(m_0)}^2 d\tau \right)^{1/2} \left( \sup_{\tau \in [0, t]} \|f_\tau - g_\tau\|_{L_x^2 L_v^2(m_0)}^2 + \int_0^t \|f_\tau - g_\tau\|_{L_x^2 L_v^2(m_0)}^2 d\tau \right). \end{aligned}$$

Thanks to Lemma 3.4-(i) it follows

$$\langle Q(f - g, f), f - g \rangle_{L_x^2 L_v^2(m_0)} \leq C \|f - g\|_{L_x^2 L_v^2(m_0)} \|f\|_{H_x^2 H_{v,**}^1(m_0)} \|f - g\|_{L_x^2 H_{v,*}^1(m_0)},$$

which integrating in time gives

$$\begin{aligned} &\int_0^t \langle Q(f_\tau - g_\tau, f_\tau), f_\tau - g_\tau \rangle_{L_x^2 L_v^2(m_0)} d\tau \\ &\leq C \left( \sup_{\tau \in [0, t]} \|f_\tau - g_\tau\|_{L_x^2 L_v^2(m_0)} \right) \int_0^t \|f_\tau\|_{H_x^2 H_{v,**}^1(m_0)} \|f_\tau - g_\tau\|_{L_x^2 H_{v,*}^1(m_0)} d\tau \\ &\leq C \left( \int_0^t \|f_\tau\|_{H_x^2 H_{v,**}^1(m_0)}^2 d\tau \right)^{1/2} \left( \sup_{\tau \in [0, t]} \|f_\tau - g_\tau\|_{L_x^2 L_v^2(m_0)}^2 + \int_0^t \|f_\tau - g_\tau\|_{L_x^2 H_{v,*}^1(m_0)}^2 d\tau \right), \end{aligned}$$

and observe that  $\|f\|_{L_t^2(H_x^2 H_{v,**}^1(m_0))} \lesssim \|f\|_{L_t^2(Y)} \leq C\epsilon_0$ . Therefore

$$\begin{aligned} & \|f_t - g_t\|_{L_x^2 L_v^2(m_0)}^2 + \lambda \int_0^t \|f_\tau - g_\tau\|_{L_x^2 H_{v,*}^1(m_0)} d\tau \\ & \leq C \int_0^t \|f_\tau - g_\tau\|_{L_x^2 L_v^2(m_0)}^2 d\tau + C\epsilon_0 \int_0^t \|f_\tau - g_\tau\|_{L_x^2 H_{v,*}^1(m_0)}^2 d\tau \\ & \quad + C\epsilon_0 \left( \sup_{\tau \in [0,t]} \|f_\tau - g_\tau\|_{L_x^2 L_v^2(m_0)}^2 + \int_0^t \|f_\tau - g_\tau\|_{L_x^2 H_{v,*}^1(m_0)}^2 \right), \end{aligned}$$

and when  $\epsilon_0 > 0$  is small enough we conclude the proof of uniqueness by Gronwall's inequality.  $\square$

### 3.4.3. Convergence to equilibrium in the close-to-equilibrium setting.

**Theorem 3.9.** Consider **(H0)** and some weight  $m$ . There is a positive constant  $\epsilon_1 \leq \epsilon_0$  so that, if  $\|f_0\|_X \leq \epsilon_1$ , then the unique global weak solution  $f$  to (3.2) (constructed in Theorems 3.7 and 3.8) verifies an exponential decay: for any  $\lambda_2 < \lambda_1$  there exists  $C > 0$  such that

$$\forall t \geq 0, \quad \|f_t\|_X \leq C e^{-\lambda_2 t} \|f_0\|_X,$$

where we recall that  $\lambda_1 > 0$  is the optimal rate given by the semigroup decay in Theorem 2.1.

*Proof.* From Theorem 3.7 we have

$$\sup_{t \geq 0} \|f(t)\|_X^2 + \int_0^t \|f(\tau)\|_Y^2 d\tau \leq C\epsilon_1^2.$$

Using Proposition 3.6 we get, if  $\epsilon_1 > 0$  is small enough so that  $-K + C\epsilon_1 \leq -K/2$ , and for any  $\lambda_2 < \lambda_1$ ,

$$\begin{aligned} \frac{1}{2} \frac{d}{dt} \|f\|_X^2 & \leq -\lambda_2 \|f\|_X^2 - (K - C\epsilon_1) \|f\|_Y^2 \\ & \leq -\lambda_2 \|f\|_X^2 - \frac{K}{2} \|f\|_Y^2, \end{aligned}$$

and then we deduce an exponential convergence

$$\forall t \geq 0, \quad \|f(t)\|_X \leq e^{-\lambda_2 t} \|f_0\|_X,$$

which implies

$$\forall t \geq 0, \quad \|f(t)\|_X \leq C e^{-\lambda_2 t} \|f_0\|_X.$$

$\square$

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