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# Water-stable isotopes in the LMDZ4 general circulation model: Model evaluation for present-day and past climates and applications to climatic interpretations of tropical isotopic records

Camille Risi,<sup>1</sup> Sandrine Bony,<sup>1</sup> Françoise Vimeux,<sup>2</sup> and Jean Jouzel<sup>3</sup>

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[1] We present simulations of water-stable isotopes from the LMDZ general circulation model (the LMDZ-iso GCM) and evaluate them at different time scales (synoptic to interannual). LMDZ-iso reproduces reasonably well the spatial and seasonal variations of both  $\delta^{18}\text{O}$  and deuterium excess. When nudged with reanalyses, LMDZ-iso is able to capture the synoptic variability of isotopes in winter at a midlatitude station, and the interannual variability in mid and high latitudes is strongly improved. The degree of equilibration between the vapor and the precipitation is strongly sensitive to kinetic effects during rain reevaporation, calling for more synchronous vapor and precipitation measurements. We then evaluate the simulations of two past climates: Last Glacial Maximum (21 ka) and Mid-Holocene (6 ka). A particularity of LMDZ-iso compared to other isotopic GCMs is that it simulates a lower d excess during the LGM over most high-latitude regions, consistent with observations. Finally, we use LMDZ-iso to explore the relationship between precipitation and  $\delta^{18}\text{O}$  in the tropics, and we discuss its paleoclimatic implications. We show that the imprint of uniform temperature changes on tropical  $\delta^{18}\text{O}$  is weak. Large regional changes in  $\delta^{18}\text{O}$  can, however, be associated with dynamical changes of precipitation. Using LMDZ as a test bed for reconstructing past precipitation changes through local  $\delta^{18}\text{O}$  records, we show that past tropical precipitation changes can be well reconstructed qualitatively but not quantitatively. Over continents, nonlocal effects make the local reconstruction even less accurate.

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## 1. Introduction

[2] Because of differences in mass and symmetry of the main isotopic forms of the water molecule ( $\text{H}_2^{16}\text{O}$ ,  $\text{HDO}$ ,  $\text{H}_2^{18}\text{O}$ ), an isotopic fractionation occurs during phase changes depending on atmospheric conditions. As a consequence, water-stable isotopes are widely used as a tracer of past climate variations and of the present-day water cycle. In particular, the isotopic composition recorded in polar ice cores have long been used to reconstruct past temperatures [Dansgaard, 1953; Jouzel, 2003]. More recently, the isotopic composition recorded in low-latitude ice cores [Thompson *et al.*, 2000; Ramirez *et al.*, 2003] or speleothems [Wang *et al.*, 2008; Cruz *et al.*, 2005a] have also been used to infer past temperatures [Thompson *et al.*, 2000] or precipitation rates [Hoffmann *et al.*, 2003].

[3] However, processes that control the water isotopic composition are numerous and complex. For the Greenland ice cores, for example, using the spatial slope as a surrogate for the temporal slope to evaluate past local temperature changes leads to a large uncertainty of a factor of 2 [Jouzel, 1999; Jouzel, 2003]. This could be due to a change in air mass origins [Werner *et al.*, 2001] or in precipitation seasonality [Krinner *et al.*, 1997b; Krinner and Werner, 2003], or to a dampening of isotopic changes by ocean evaporation [Lee *et al.*, 2008]. At low latitudes, the paleoclimatic interpretation of isotopic records is even less quantitative. Most of the tropical precipitation arises from convective processes, which strongly affect the isotopic composition of both vapor and precipitation [Lawrence *et al.*, 2004; Bony *et al.*, 2008; Risi *et al.*, 2008a, 2008b]. While the earliest interpretation of Andean ice cores had linked isotopes to temperatures [Thompson *et al.*, 2000], more recent studies have stressed the importance of the precipitation intensity upstream the air mass trajectories [Hoffmann, 2003; Vimeux *et al.*, 2005] and the role of tropical Pacific sea surface temperatures (SSTs) on the isotopic variability in Andean ice core records [Bradley *et al.*, 2003]. As a consequence, while Rayleigh distillation models (representing the loss of

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heavier isotopes during condensation and precipitation) are useful to study at first order the evolution of air masses as they are transported from a moisture source region to higher latitudes [Ciais and Jouzel, 1994], more complex models are necessary to take into account the numerous processes affecting the isotopic composition of precipitation.

[4] Atmospheric general circulation models (GCM) are now frequently used for isotopic studies. They represent the three-dimensional transport of air masses and isotopes as well as large-scale condensation and atmospheric convection, albeit in a parameterized way. Since the pioneering work of Joussaume *et al.* [1984], water isotopes have been implemented in at least a half-dozen GCMs: GISS [Jouzel *et al.*, 1987; Schmidt *et al.*, 2007], ECHAM [Hoffmann *et al.*, 1998], MUGCM [Noone and Simmonds, 2002a], GENESIS [Mathieu *et al.*, 2002], CAM [Lee *et al.*, 2007], GSM [Yoshimura *et al.*, 2008], Hadley GCM [Tindall *et al.*, 2009] as well as in regional models (REMO [Sturm *et al.*, 2005]). They have been used, for example, to better understand how the climatic signal is recorded by isotopes in ice cores, at the interannual to decadal time scales [Vuille *et al.*, 2003; Vuille and Werner, 2005] and at paleoclimatic time scales [Werner *et al.*, 2001].

[5] In this paper, we present the implementation of water-stable isotopes in the LMDZ4 model (whose isotopic version is hereafter named LMDZ-iso). The LMDZ4 model is the GCM developed at the Laboratoire de Météorologie Dynamique (LMD) [Hourdin *et al.*, 2006]. It is the atmospheric component of the Institut Pierre Simon Laplace (IPSL) ocean-land-atmosphere coupled model [Marti *et al.*, 2005] that participated in CMIP3 [Meehl *et al.*, 2007]. Its dynamical and physical packages have completely changed since the pioneering work of Joussaume *et al.* [1984]. An interesting particularity of this GCM now is the possibility of using stretched grids [Hourdin *et al.*, 2006], allowing studies at both global and regional scales [e.g., Krinner *et al.*, 1997a].

[6] The first goal of this paper is to evaluate the simulation of water-stable isotopes by LMDZ-iso at different time scales. We evaluate the present-day isotopic spatial and seasonal distribution and the isotopic variability at time scales ranging from synoptic to interannual. For this purpose, we have performed an Atmospheric Model Intercomparison Project (AMIP) [Gates, 1992] simulation forced by monthly observed SSTs from 1979 to 2007. To evaluate the isotopic simulation in a more rigorous way, we have also performed an AMIP simulation over the same period with the large-scale atmospheric dynamics nudged by meteorological reanalyses. Since a particular effort has been invested in the representation of the droplet reevaporation in the model [Bony *et al.*, 2008], we pay particular attention to evaluating the equilibrium between droplets and water vapor using simultaneous vapor and precipitation data available at some stations. We also pay a lot of attention to evaluating the *d* excess, which is sensitive to kinetic fractionation notably during rain reevaporation. Finally, we evaluate the isotopic distribution for two past climates for which isotopic data are available: Last Glacial Maximum (21,000 years ago, 21 ka) and Mid-Holocene (6 ka).

[7] The second goal is to use LMDZ-iso to investigate the controls of the isotopic composition of precipitation in the tropics, where the paleoclimatic interpretation is the most

uncertain. In particular, what are the relative influences of temperature and precipitation changes on the isotopic composition of tropical precipitation? How useful may  $\delta^{18}\text{O}$  records be for reconstructing past local precipitation changes in the tropics?

[8] In section 2, we describe the LMDZ4 model, the implementation of water-stable isotopes and the various simulations performed. In section 3, we evaluate the simulation of the isotopic composition for the present-day climatology, synoptic variability, interannual variability and past climates. In section 4, we use LMDZ-iso to explore what paleoclimatic information is recorded in tropical isotopic records. We conclude and give perspectives in section 5.

## 2. Model and Simulations Description

[9] In this section we briefly describe the LMDZ4 GCM, the implementation of water-stable isotopes and the different simulations performed.

### 2.1. LMDZ4 GCM

[10] The dynamical equations are discretized in a latitude-longitude grid, with a standard resolution of  $2.5^\circ \times 3.75^\circ$  and 19 vertical levels. Water in its vapor and condensed forms is advected by the Van Leer advection scheme [Van Leer, 1977], which is a monotonic second-order finite volume scheme. The physical package is described in detail by Hourdin *et al.* [2006]. It includes in particular the Emanuel convective parameterization [Emanuel, 1991; Grandpeix *et al.*, 2004] coupled to the Bony and Emanuel [2001] cloud scheme. Each grid cell is divided into four subsurfaces: ocean, land, ice sheet and sea ice. In the stand-alone version of LMDZ4 used here, the land surface is represented as a simple bucket model, and land surface evaporation is calculated as a single flux: no distinction is made between transpiration, bare soil evaporation, or evaporation of intercepted water by the canopy.

### 2.2. Isotopic Processes

[11] Water isotopic species ( $\text{H}_2^{16}\text{O}$ ,  $\text{H}_2^{18}\text{O}$  and HDO) are transported and mixed passively by the large-scale advection and various air mass fluxes. In the Van Leer advection scheme, it is assumed that the water content advected from one box to the next is a linear combination of the water contents in the two grid boxes involved. For numerical reasons, we assume similarly that the isotopic ratio of the water advected from one box to the next (rather than the isotopic content) is a linear combination of the isotopic ratios in the two grid boxes involved (Appendix A).

[12] Equilibrium fractionation coefficients between vapor and liquid water or ice are calculated after Merlivat and Nief [1967] and Majoube [1971a, 1971b]. We take into account kinetic effects during the evaporation from the sea surface following Merlivat and Jouzel [1979] and during snow formation following Jouzel and Merlivat [1984], with the supersaturation parameter  $\lambda$  set to 0.004 to optimize the simulation of *d* excess over Antarctica (section 3.1.1).

[13] Given the simplicity of the land surface parameterization in LMDZ4, no information is available about the fraction of the evapo,transpiration flux arising from fractionating evaporation (e.g., evaporation of bare soil [Barnes and Allison, 1988]). We thus assume no fractionation during

the evapotranspiration over land, as done in most other GCMs [e.g., *Hoffmann et al.*, 1998; *Lee et al.*, 2007]. The coupling with the more detailed land surface scheme ORCHIDEE [*Ducoudré et al.*, 1993; *Rosnay and Polcher*, 1998; *Krinner et al.*, 2005] will be reported in a subsequent paper.

[14] The implementation of water-stable isotopes in the convective scheme has been extensively described by *Bony et al.* [2008]. We pay particular attention to the representation of the reevaporation and diffusive exchanges as the rain falls, which is significantly different compared to other GCMs. While the proportion of the drop that reequilibrates isotopically is prescribed in many GCMs [e.g., *Hoffmann et al.*, 1998], here the relative proportion of evaporative enrichment and diffusive equilibration is calculated depending on relative humidity following *Stewart* [1975]. In addition, the model takes into account the evolution of the compositions of both the rain and the surrounding vapor as the rain drops reevaporate [*Bony et al.*, 2008]. However, when the relative humidity is 100% we simply assume total reequilibration between raindrops and vapor, contrary to *Stewart* [1975] and *Lee and Fung* [2008], who take into account the raindrop size distribution in this particular case.

## 2.3. Simulations

### 2.3.1. AMIP Simulations

[15] A first 1979–2007 simulation has been performed following the AMIP protocol [*Gates*, 1992], using prescribed monthly and interannually varying SST and sea ice and a constant CO<sub>2</sub> value of 348 ppm. We allowed a spin-up time of 17 months before January 1979. This simulation is named “free.” Another simulation, named “nudged,” uses the same protocol but was nudged by the three-dimensional horizontal winds from ERA-40 reanalyses [*Uppala et al.*, 2005] until 2002 and operational analyses thereafter. We did not notice any discontinuity associated with this change in the nudging data set. The simulated wind fields are relaxed toward the reanalyzed winds with a time constant  $\tau = 1$  h, so that each component of the horizontal wind field  $u$  verifies the following differential equation:

$$\frac{\partial u}{\partial t} = \sum_{i=1}^n U_i + \frac{u_{\text{obs}} - u}{\tau}$$

where  $u_{\text{obs}}$  is the reanalysis wind and  $U_i$  are the temporal tendencies of each of the  $n$  dynamical and physical packages in the model.

[16] The 17 month spin-up time seems to be enough to reach an equilibrium: for example, in the nudged simulation, the globally and annually average  $\delta^{18}\text{O}$  in precipitation for 1979 is  $-7.56\text{‰}$ , very close to the average value over 1979–2007 of  $-7.55\text{‰}$  compared to the range of interannual variability of  $0.06\text{‰}$  over 1979–2007.

### 2.3.2. Sensitivity Tests

[17] Sensitivity tests to tunable parameters in the physical or isotopic parameterization have been performed on 3 year simulations with climatological SST, with a spin-up of 17 months. The sensitivities to parameters discussed in this paper are much larger than the interannual variability, justifying shorter simulations that are computationally less expensive than the AMIP 1979–2007 simulations.

[18] Additional 6 year simulations have been performed using the same protocol, but with uniform SST perturbations (as suggested by *Cess and Potter* [1988]):  $-4$  K,  $-2$  K, and  $+2$  K. The sea ice distribution is not modified consistently with the SST in these simulations, but we restrict their analysis to tropical regions in this paper.

### 2.3.3. Past Climate Simulations

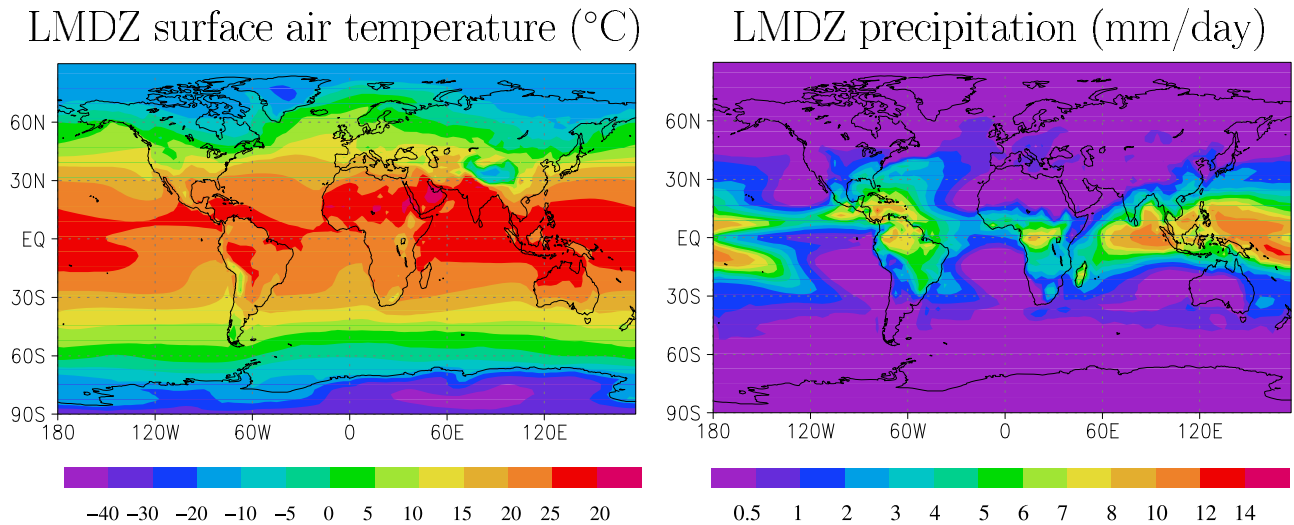
[19] As suggested by the Paleoclimate Model Intercomparison Project (PMIP) project [*Joussaume and Taylor*, 1995; *Braconnot et al.*, 2007] and as in other isotopic modeling studies [e.g., *Jouzel et al.*, 2000], we perform past climate simulations for two periods: the Last Glacial Maximum (LGM, 21 ka) and the Mid-Holocene (MH, 6 ka). For both these periods, a large amount of data is available for model evaluation. These simulations are 5 years long, with a spin-up of 17 months.

[20] A first LGM simulation was performed following a protocol similar to PMIP1 [*Joussaume and Taylor*, 1995], using the Climate: Long-Range Investigation, Mapping, and Prediction (CLIMAP) [*CLIMAP Project Members*, 1981] SST and sea ice, a CO<sub>2</sub> concentration of 180 ppm, orbital parameters following *Berger* [1978]. We set the sea surface  $\delta^{18}\text{O}$  to  $1.2\text{‰}$  [*Labeyrie et al.*, 1987] and  $d$  to  $0\text{‰}$ . Contrary to the PMIP1 protocol, we use the *Peltier* [1994] ICE-5G ice sheet reconstruction (as in the work by *Lee et al.* [2008] and PMIP2 [*Braconnot et al.*, 2007]), which differs from the ICE-4G reconstruction (from *Peltier* [1994], used by *Joussaume and Jouzel* [1993] and *Jouzel et al.* [2000]) in the spatial extent and height of Northern Hemisphere ice sheets. Except for the different ice sheet topography, our simulation is similar to that performed by *Joussaume and Jouzel* [1993], *Jouzel et al.* [2000], and *Werner et al.* [2001].

[21] The MH simulations were performed following the PMIP1 protocol, as in work by *Jouzel et al.* [2000]. The only changes compared to present day are the orbital configuration [*Berger*, 1978] and atmospheric gas concentrations (CO<sub>2</sub> concentration of 280 ppm).

[22] The LGM and MH simulations are compared to the free AMIP simulation, considered as a reference for present day (PD).

[23] The warm tropical SSTs and the extensive sea ice of the CLIMAP reconstruction have been questioned [e.g., *MARGO Project Members*, 2009]. Therefore, as in work by *Lee et al.* [2008], we perform an additional simulation using the SST and sea ice simulated by a coupled model (here the IPSL model [*Marti et al.*, 2005] for LGM conditions. We use here the climatological SST from an LGM simulation performed under the PMIP2 protocol (*Braconnot et al.* [2007], with LGM orbital configuration and a CO<sub>2</sub> concentration of 185 ppm), averaged over 50 years. However, significant SST biases in the IPSL model are common to all climate conditions, including LGM and PD. Therefore the direct comparison between SSTs simulated for LGM by the IPSL model ( $T_{\text{LGM/IPSL}}$ ) and SSTs observed at PD ( $T_{\text{PD}}$ ) is misleading: SST biases in the IPSL model could be confused with LGM-PD signals. To circumvent this problem, we use the SSTs from an IPSL model pre-industrial simulation (PI) simulation, performed following the PMIP2 protocol (with present-day orbital configuration and a CO<sub>2</sub> concentration of 280 ppm). We force our additional LGM simulation with  $T'_{\text{LGM/IPSL}} = T_{\text{LGM/IPSL}} - T_{\text{PI}} + T_{\text{PD}}$ . This way, the biases in the IPSL model common to both the



**Figure 1.** Annual mean (left) temperature and (right) precipitation in the LMDZ-iso nudged simulation.

LGM and PI simulations are canceled out. We can thus compare our LGM isotopic simulations from both CLIMAP and IPSL SSTs in a consistent way.

### 3. Evaluation and Sensitivity Tests

[24] The present-day climate simulated by LMDZ4 has been extensively evaluated by *Hourdin et al.* [2006]. The mean annual temperature and precipitation maps in the nudged simulation are given in Figure 1 for reference. We focus here on the isotopic simulation. First, we examine the isotopic spatial and seasonal distribution, then its variability at synoptic to interannual time scales in the present-day climate, and finally we evaluate isotopic variations associated with past climates.

[25] We present an evaluation of  $\delta^{18}\text{O}$ , expressed in permil, defined as

$$\delta = \left( \frac{R_{\text{sample}}}{R_{\text{SMOW}}} - 1 \right) \cdot 1000,$$

where  $R_{\text{sample}}$  and  $R_{\text{SMOW}}$  are the ratio of HDO or  $\text{H}_2^{18}\text{O}$  over  $\text{H}_2^{16}\text{O}$  in the sample and the Standard Mean Ocean Water (SMOW) reference, respectively. At first order, variations in  $\delta D$  follow the same patterns as  $\delta^{18}\text{O}$  but are 8 times larger. The deviation to this behavior is quantified by the deuterium excess:  $d = \delta D - 8 \cdot \delta^{18}\text{O}$  [Dansgaard, 1964]. This second-order parameter is known to be more difficult to simulate by GCMs [Lee et al., 2007; Mathieu et al., 2002]. We thus present an evaluation of this parameter as well, which is expected to provide stronger constraints on the simulated hydrological and isotopic processes.

#### 3.1. Evaluation of the Spatial and Seasonal Distributions

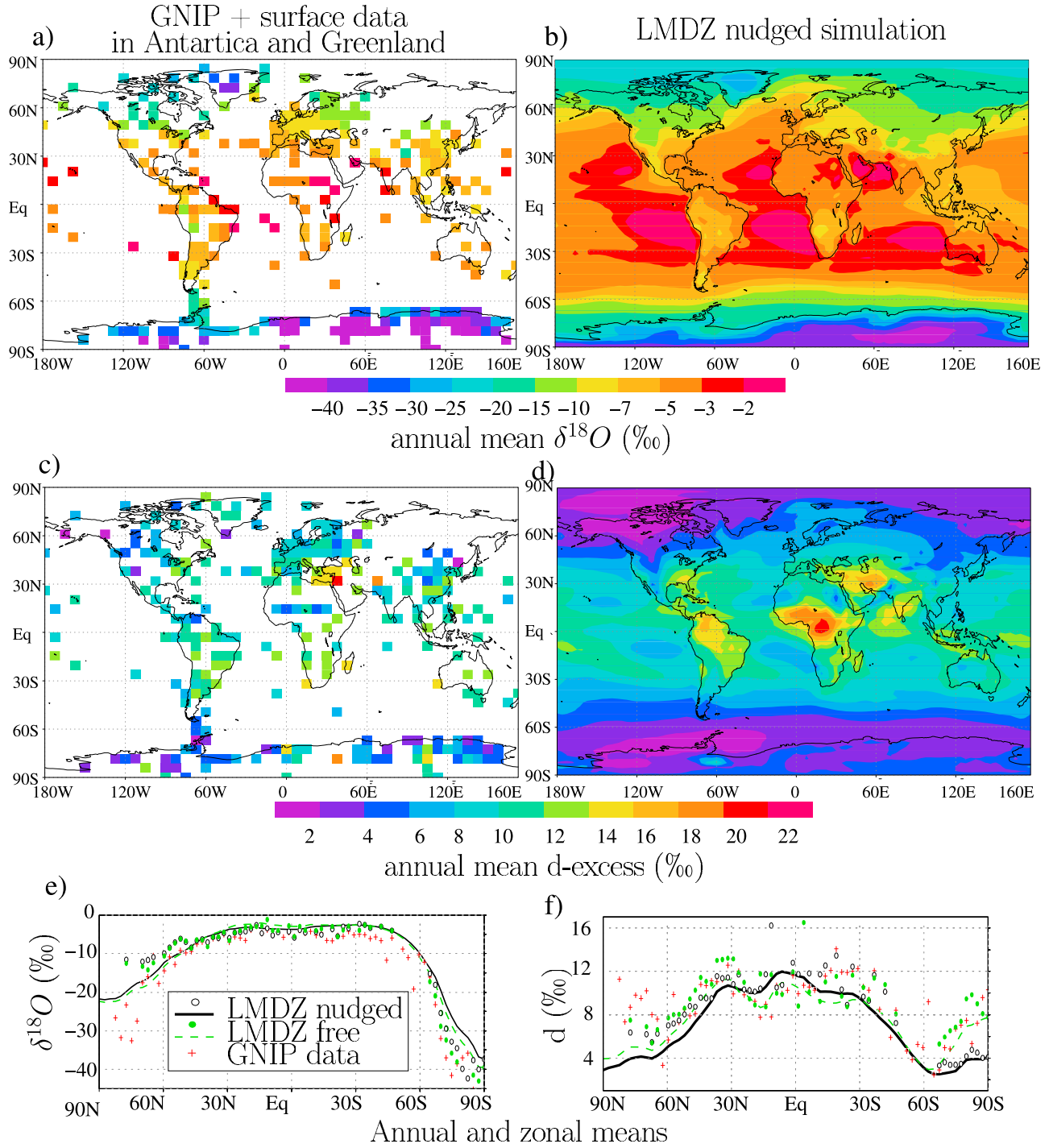
[26] We use in this section the whole AMIP simulations averaged over the period 1979–2007 to produce average seasonal cycles. We compare the spatial distribution and seasonal cycle with the Global Network of Isotopes in Precipitation (GNIP) data [Rozanski et al., 1993], to which we add data

from Antarctica (compiled by *Masson-Delmotte et al.* [2008]) and Greenland (compiled by *Masson-Delmotte et al.* [2005b]). Note that since we compare point data with simulated values averaged over a GCM grid box, the scale mismatch may contribute to the model data difference.

##### 3.1.1. Annual Mean Spatial Distribution of Isotopes in Precipitation

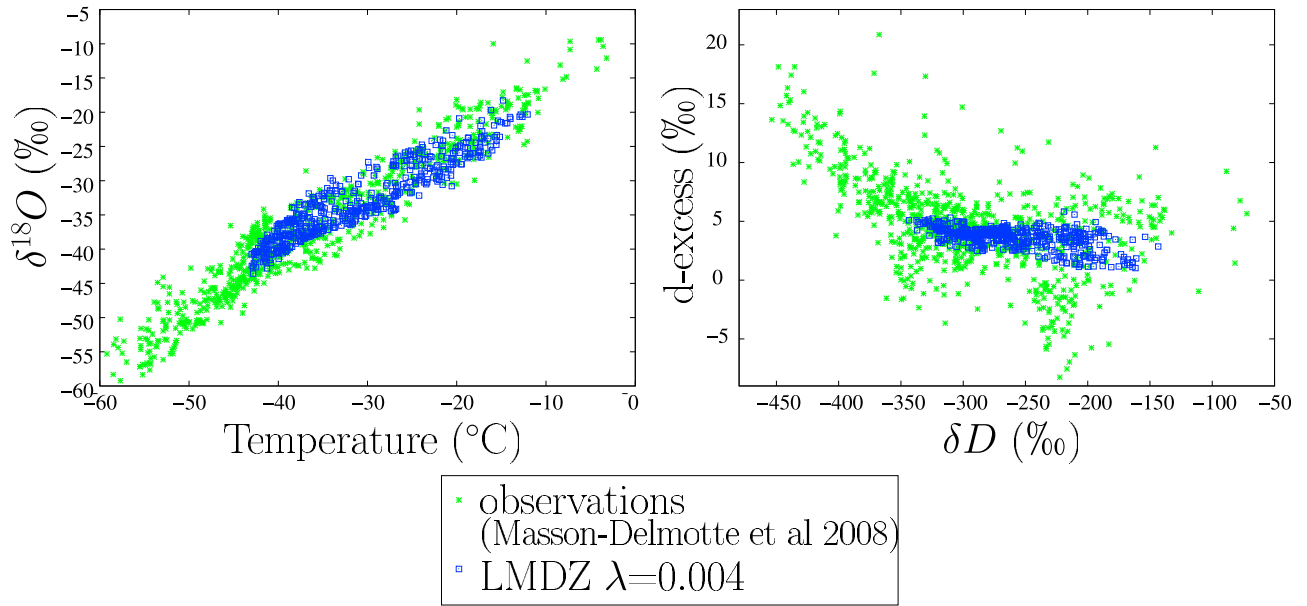
[27] The spatial distribution of annual mean  $\delta^{18}\text{O}$  in precipitation ( $\delta^{18}\text{O}_p$ ) is well simulated in the model, featuring the well-known “effects” [Rozanski et al., 1993]: enhanced depletion with decreasing temperature (“temperature effect”), increasing altitude (“altitude effect”) or continentality (“continental effect”), or precipitation intensity (“amount effect”) (Figure 2). The  $\delta^{18}\text{O}_p$  over central Greenland and Antarctica is however overestimated, owing to an overestimated temperature over Antarctica (minimum annual temperature over Antarctica of  $-42^\circ\text{C}$  in nudged LMDZ-iso and  $-60^\circ\text{C}$  in the work by *Masson-Delmotte et al.* [2008]). This warm bias is frequent in GCMs [Masson-Delmotte et al., 2006] and is worsened when nudging the model with meteorological reanalyses. The temperature effect in Antarctica is, however, relatively well reproduced, with a spatial slope of  $0.73\text{‰}/\text{K}$  ( $r = 0.95$ ) in the data and  $0.65\text{‰}/\text{K}$  in the model ( $r = 0.97$ ) over the temperature range simulated by LMDZ-iso (Figure 3).

[28] The deuterium excess in precipitation ( $d_p$ ) is of the right order of magnitude over most regions except on tropical continents (simulated  $d_p$  too high by up to 10‰ over equatorial Africa and northern South America). LMDZ-iso reproduces the  $d$  minimum over high-latitude oceans [Uemura et al., 2008] and features a relationship with  $\delta D$  consistent with observations over Antarctica (Figures 3 and 4). LMDZ-iso also captures the  $d_p$  maximum over the Middle East, as was also the case in other GCMs [Hoffmann et al., 1998; Schmidt et al., 2007]. LMDZ-iso simulates the spatial distribution reasonably well compared to other GCMs: *Yoshimura et al.* [2008] report spatial correlations between 0.39 and 0.52 between observed and simulated annual  $d_p$  values in four isotopic GCMs; LMDZ-iso value is 0.45. However,  $d_p$  is slightly underestimated by



**Figure 2.** (a, b) Annual mean  $\delta^{18}O$  and (c, d) d excess in precipitation, in the data (Figures 2a and 2c) and the LMDZ-iso nudged simulation (Figures 2b and 2d). The data are the GNIP data [Rozanski *et al.*, 1993], the Antarctica data from Masson-Delmotte *et al.* [2008], and Greenland surface data [Masson-Delmotte *et al.*, 2005b]. The data are gridded over a coarse  $7.5 \times 6.5^\circ$  grid for visualization purpose. The simulation is the nudged simulation averaged over the 1979–2007 period. Zonal means of the annual averages of (e)  $\delta^{18}O$  and (f) d excess for the data (red crosses), the nudged simulation (solid black curve), and the free simulation (dashed green curve). The lines are zonal averages for LMDZ-iso simulations, and the points are the values at data stations.





**Figure 3.** (left) Annual mean  $\delta D$  as a function of annual mean air temperature at first level and (right) annual mean d excess as a function of annual mean  $\delta D$  in precipitation, in the data from *Masson-Delmotte et al.* [2008] (green) and in the LMDZ-iso nudged simulation (blue).

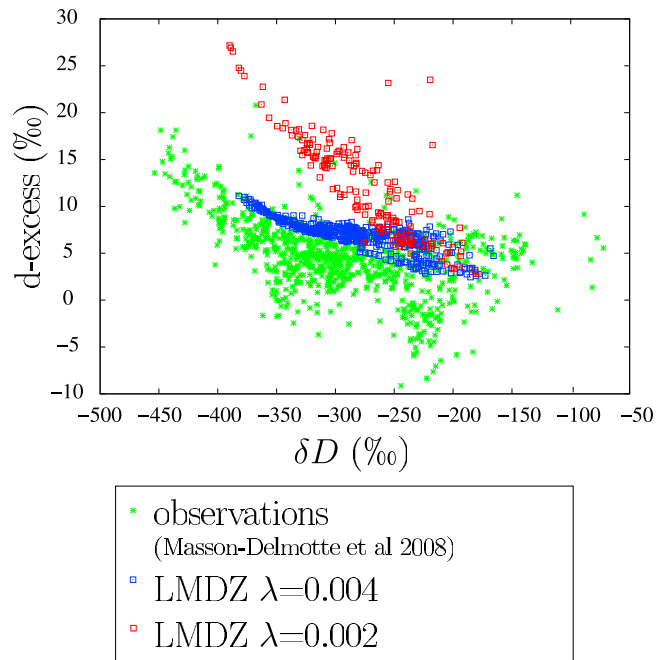
LMDZ-iso at high latitudes, in particular over northwestern America, the Arctic Ocean, and central Antarctica. It is slightly overestimated in convective regions of tropical South America and Africa. Regional patterns in  $d_p$  over the tropics differ strongly among the models, in particular over continents. The GISS fully coupled model [*Schmidt et al.*, 2007] and the ECHAM atmospheric GCM [*Hoffmann et al.*, 1998], for example, do not show higher  $d_p$  values over South America than over the surrounding oceans, contrary to LMDZ-iso and GNIP. The difference seems unrelated to the representation of fractionating evaporation at the land surface, which is absent in both LMDZ-iso and ECHAM. Instead, it might reveal a different simulated response of  $d_p$  to convective activity, which is known to differ between continents and oceans [*Nesbitt and Zipser*, 2003; *Liu and Zipser*, 2005].

[29] The parameter  $\lambda$ , controlling kinetic effects during snow formation, is known to have a strong impact on the  $d$  of snowfall in Antarctica [*Jouzel and Merlivat*, 1984; *Schmidt et al.*, 2005]. Typical values for  $\lambda$  are 0.002 [e.g., *Landais et al.*, 2008], 0.003 [e.g., *Hoffmann et al.*, 1998; *Noone and Simmonds*, 2002a] or 0.004 [e.g., *Schmidt et al.*, 2007; *Vimeux et al.*, 2001; *Stenni et al.*, 2001]. In LMDZ-iso, setting  $\lambda$  to 0.002 leads to very strong  $d_p$  values over central Antarctica (up to 28‰), whereas setting  $\lambda$  to 0.004 gives results more consistent with the data (Figure 4).

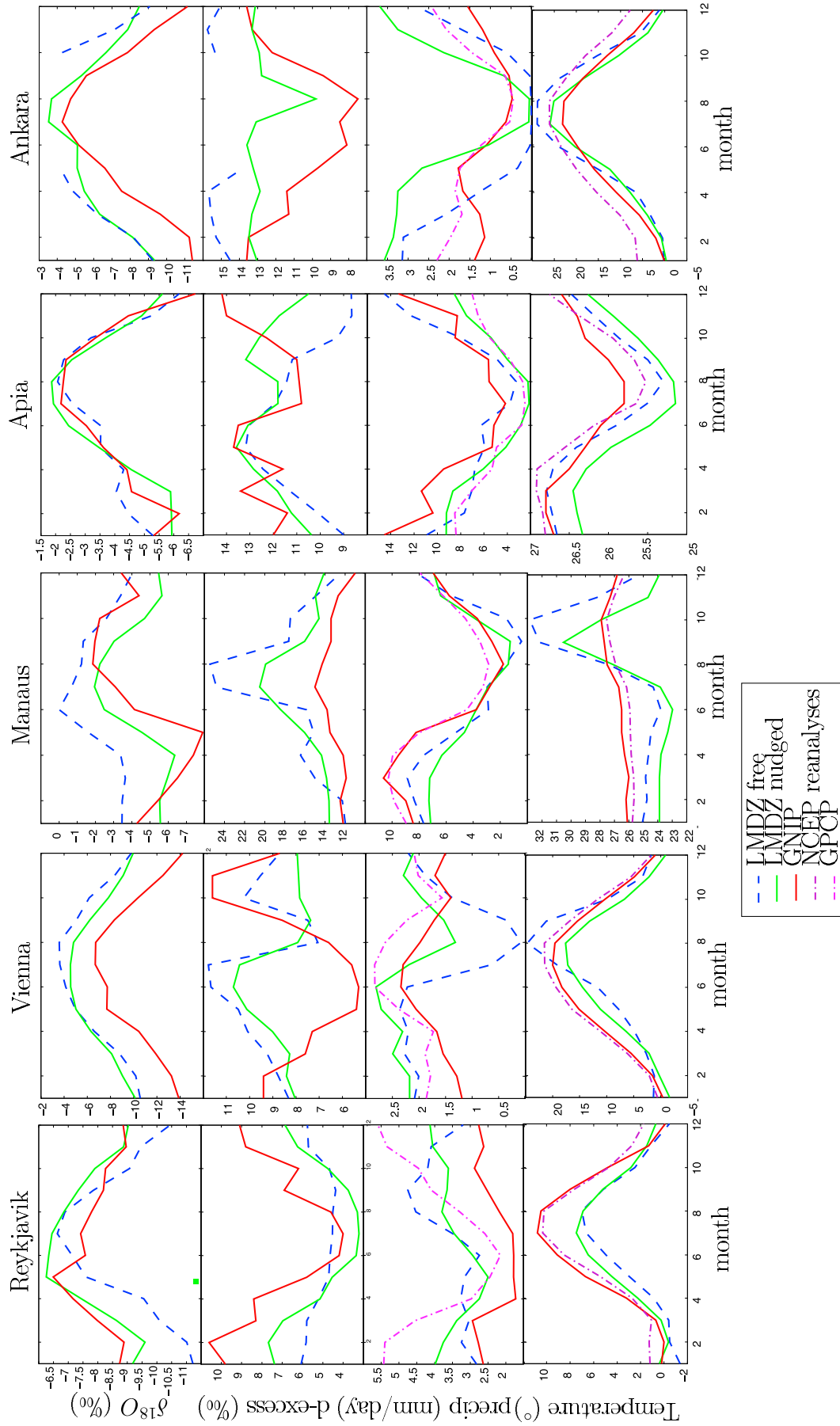
### 3.1.2. Seasonal Cycles of Isotopes in Precipitation

[30] Figure 5 compares the observed and simulated seasonal cycles of temperature, precipitation,  $\delta^{18}O_p$  and  $d_p$  over five GNIP stations representative of various climatic conditions (Table 1): Reykjavik (northern Atlantic), Vienna (central Europe), Manaus (Amazon), Apia (western tropical Pacific) and Ankara (Eastern Mediterranean). The seasonal cycles of  $\delta^{18}O_p$  are generally well reproduced by LMDZ-iso, especially in the nudged simulation.

[31] On the other hand, the seasonal cycles of  $d_p$  are unequally reproduced for the different stations. The performance of LMDZ-iso in simulating the seasonal cycle of  $d_p$  is in line with the other GCMs: *Yoshimura et al.* [2008] report a correlation between 0.05 and 0.62 between



**Figure 4.** Annual mean d excess as a function of annual mean  $\delta D$  in precipitation in Antarctica, in the data from *Masson-Delmotte et al.* [2008] (green) and in LMDZ-iso free simulations (blue and red). In blue, the supersaturation  $\lambda$  was set to its standard value of 0.004, whereas in red, it was set to 0.002.



**Figure 5.** Monthly  $\delta^{18}\text{O}$  and d-excess in precipitation, precipitation rate, and temperature over Reykjavik, Vienna, Manaus, Ankara, and Apia for the GNIP data (red) and the LMDZ-iso nudged (green) and free simulations (blue), as well as NCEP [Kalnay *et al.*, 1996] temperatures and GPCP [Huffman *et al.*, 1997] precipitation (pink). Monthly averages are calculated over the 1979–2007 period for LMDZ-iso, NCEP, and GPCP and over the years available for GNIP (Table 1). For LMDZ-iso, we plot the value of the grid box containing the station.



**Table 1.** Name, Location, and Active Years of the GNIP Stations Chosen to Assess Seasonal Cycles and Interannual Variability of the Isotopic Composition of the Precipitation<sup>a</sup>

Name	Latitude	Longitude	Region	Active Years	Vapor Sampling
Reykjavik	64.13	-21.93	northern Atlantic	1961–2001	-
Vienna	48.25	16.37	central Europe	1961–2001	2001–2003, weekly sampling
Manaus	-3.12	-60.02	central Amazon	1966–1990	1978–1980, monthly sampling
Apia	-13.8	-171.78	western tropical Pacific	1962–1977	-
Ankara	39.95	32.88	eastern Mediterranean	1963–2001	2001–2002, subweekly sampling
Bangkok	13.73	100.50	Southeast Asia	1968–2001	-

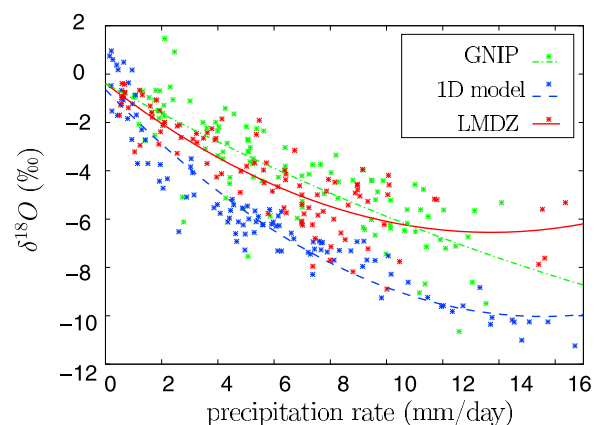
<sup>a</sup>“Vapor sampling” gives the frequency and active years of the vapor sampling on some of these stations.

observed and simulated mean June–July–August and December–January–February (JJA–DJF)  $d_p$  values in four isotopic GCMs; the LMDZ-iso value is 0.52. At high latitudes, LMDZ-iso simulates everywhere  $d_p$  in antiphase with  $\delta^{18}\text{O}_p$ , in agreement with the Reykjavik data (Figure 5) or inland Antarctica [Fujita and Abe, 2006] (not shown). But LMDZ-iso is not able to reproduce the phase shift of a few months observed between  $\delta^{18}\text{O}_p$  and  $d_p$  in some stations of coastal Antarctica or Greenland [Johnsen *et al.*, 1989; Ciais *et al.*, 1995; Delmotte *et al.*, 2000]. Over the Mediterranean region, LMDZ-iso simulates an almost flat seasonal cycle of  $d_p$ , at odds with the lower  $d_p$  observed in summer in Turkey (Ankara, Figure 5) or in Israel [Angert *et al.*, 2008] (not shown). Over the Amazon, LMDZ-iso is able to simulate the maximum of  $d_p$  observed during the dry season (e.g., Manaus, Figure 5), though the simulated maximum value is 6‰ too high. During the dry season, simulated  $d_p$  values increase with continentality as one goes inland, in agreement with observations [Salati *et al.*, 1979; Gat and Matsui, 1991; F. Vimeux *et al.*, manuscript in preparation, 2010]. These features have been interpreted as the effect of fractionating continental recycling (e.g., evaporation from lakes, bare soil [Salati *et al.*, 1979; Gat and Matsui, 1991; Henderson-Sellers *et al.*, 2001]). It is thus surprising that LMDZ-iso is able to simulate these features without fractionation during evapotranspiration from land. Processes controlling the seasonal cycle of  $d_p$  in South America in LMDZ-iso seem more related to rain-vapor equilibration processes. The reasons for  $d_p$  South American cycles in LMDZ-iso, and whether they are the same as in nature, will be investigated in the future.

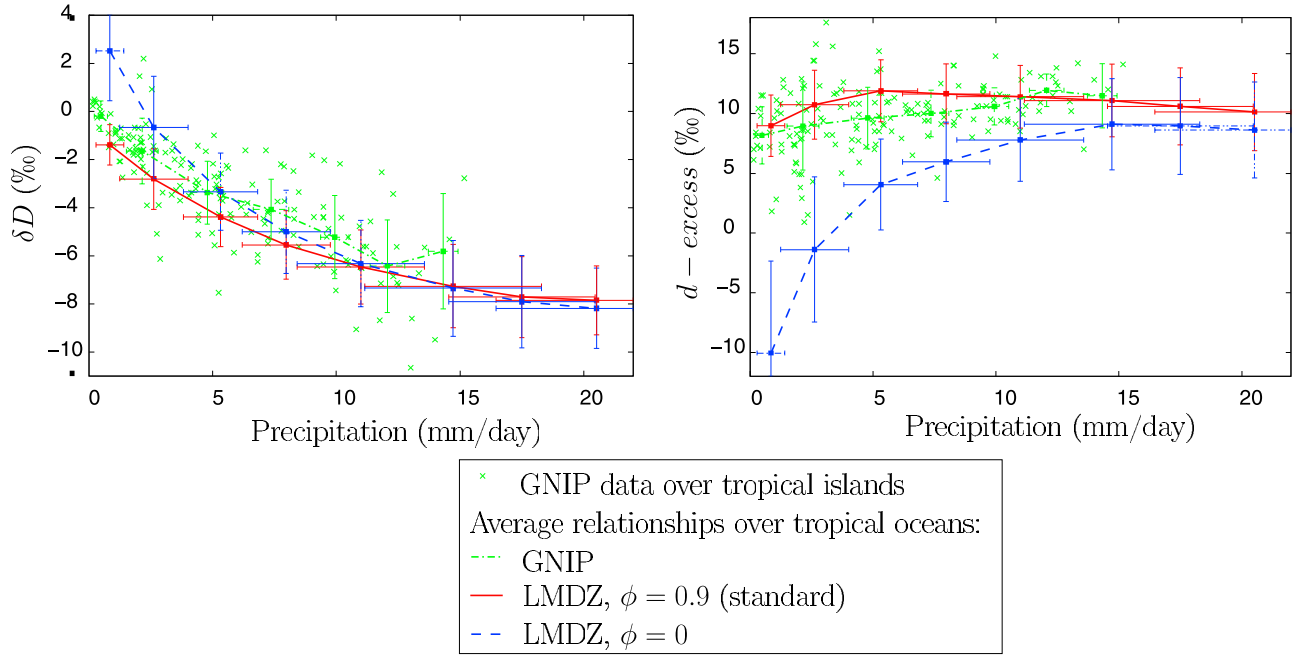
[32] The amount effect (which refers to the decrease of  $\delta^{18}\text{O}_p$  with increasing precipitation that is observed in the tropics) is well reproduced by LMDZ-iso (Figure 6): over the nine oceanic tropical stations used by Bony *et al.* [2008], the amount effect is  $-0.5\text{‰}/\text{mm}/\text{d}$  in LMDZ-iso compared to  $-0.7\text{‰}/\text{mm}/\text{d}$  in the data. The Single Column Model (SCM) of Bony *et al.* [2008], which shares a very similar version of the Emanuel convective parameterization and the same isotopic representation of droplet evaporation, simulates too depleted a precipitation in humid conditions and too strong an amount effect (Figure 6, blue). Bony *et al.* [2008] hypothesized that this bias could be due to the very simple framework of the SCM, in which horizontal isotopic gradients were neglected. Figure 6 confirms this hypothesis: in nature as in LMDZ-iso, the horizontal moisture advections of air masses of different isotopic composition in LMDZ-iso thus act to dampen the amount effect.

### 3.1.3. Evaluation of the Vapor–Precipitation Equilibrium

[33] A large uncertainty in the representation of water-stable isotopes in GCMs is the representation of isotopic exchanges between vapor and rain droplets as the rain falls and partially reevaporates [Lee and Fung, 2008]. The ECHAM GCM, for example, assumes that a constant fraction of the droplet reequilibrates with the vapor, depending on the cloud type [Hoffmann *et al.*, 1998]. Here we calculate explicitly the relative effect of evaporation and equilibration, but we still rely on a tunable parameter  $\phi$ , controlling the relative humidity at the droplet contact, and thus the intensity of kinetic effects: the relative humidity at the droplet contact is parametrized as  $\phi + (1 - \phi) \cdot h$ , where  $h$  is the relative humidity of the vapor reservoir in which droplets reevaporate. Results are very sensitive to this parameter, especially in dry regions where the reevaporation is strong and kinetic effects are crucial: the stronger the kinetic effects, the more the rain gets enriched by evaporation for a given reevaporated fraction [Bony *et al.*, 2008]. This parameter was set to 0.9 by Bony *et al.* [2008]. When setting  $\phi$  to 0 (i.e., reducing the relative humidity at the droplet contact), then the amount effect becomes much stronger and the  $d_p$  much lower in dry regions (Figure 7). In LMDZ-iso as in the SCM, the optimal value for  $\phi$  is 0.9.



**Figure 6.** Monthly  $\delta^{18}\text{O}$  in precipitation as a function of precipitation rate for the nine oceanic tropical GNIP stations selected by Bony *et al.* [2008] for the GNIP data (dash-dotted green curve), the LMDZ-iso nudged simulation (solid red curve), and the single-column model of Bony *et al.* [2008] (dashed blue curve). Curves are second-order polynomial fits.



**Figure 7.** Average relationship between precipitation rate and (left)  $\delta^{18}\text{O}$  and (right)  $d$  excess of tropical oceanic precipitation, at the monthly scale, for GNIP data (dash-dotted green curve), and 3 year LMDZ-iso simulations with  $\phi$  set to 0.9 (solid red curve) and to 0 (dashed blue curve). Error bars indicate the standard deviation in each precipitation bin.

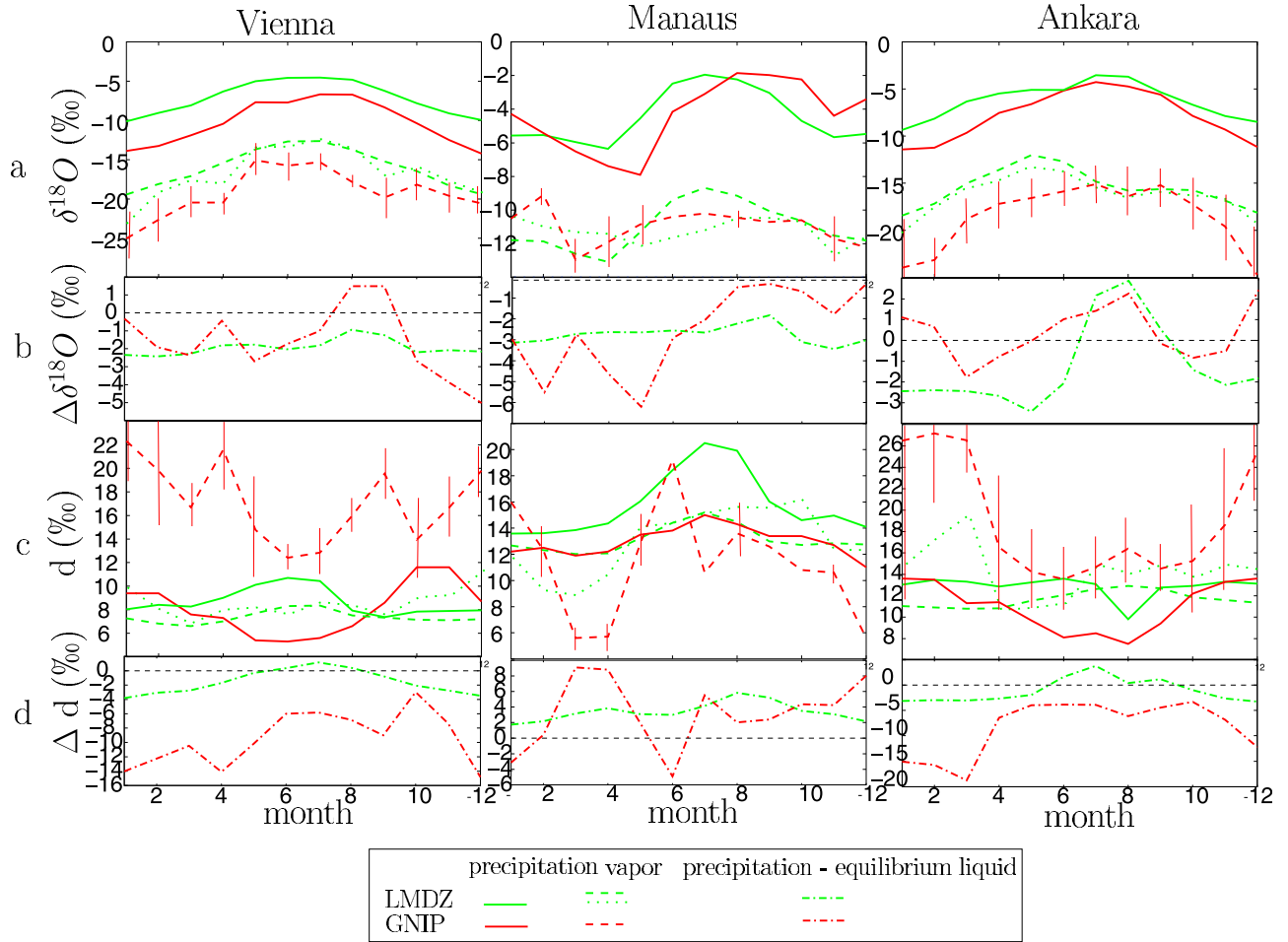
[34] As an evaluation of the representation of isotopic processes during evaporation, we compare the degree of equilibration between the vapor and the precipitation at a few stations: Vienna, Manaus, and Ankara, where low-level vapor isotopic compositions are available from the GNIP database at <http://nds121.iaea.org/wiser/> (Table 1 and Figure 8). We average both the precipitation and the vapor for all the years available both for the model (1979–2007) and the data (Table 1) to yield monthly averages. Caution is necessary for two sources of uncertainties in the model-data comparison, in addition to possible uncertainties in the data. First, vapor samples were not collected every day and thus may not be representative of monthly averages, given the significant variability observed in the vapor at the daily time scale [e.g., Angert *et al.*, 2008]. However, the relatively smooth seasonal cycles suggest that these data are suitable for a first evaluation of rain-vapor disequilibrium (Figure 8). To estimate this source of uncertainty, we re-sampled the LMDZ-iso results on the days of observations (Figure 8, dotted green). Second, the precipitation and the vapor samples are not necessarily from the same years. The interannual variability in LMDZ-iso at the three stations is on the order of 1‰ for  $\delta^{18}\text{O}_v$  and  $d_v$  (where  $\delta^{18}\text{O}_v$  and  $d_v$  are the  $\delta^{18}\text{O}$  and  $d$  of the vapor), 2‰ for  $\delta^{18}\text{O}_p$  and up to 3‰ for  $d_p$ . We will thus focus on variations in composition exceeding both the standard deviation of measurements within a month for the vapor data (Figure 8) and the typical interannual variability.

[35] In the data over all stations, the rain is more enriched than the low-level vapor ( $\delta^{18}\text{O}_p - \delta^{18}\text{O}_v$  ranges from +7 to +20‰), but over Vienna and Manaus the rain is more

depleted (by up to 6‰) than would be expected if the rain was in complete equilibrium with the vapor. This might suggest that the rain, which formed at higher altitude from depleted vapor, reequilibrates only partially. Over Ankara during the dry summer, on the other hand, the rain is more enriched (by about 2‰) than expected from total diffusive equilibration. This suggests some significant evaporative enrichment in dry air. These features are qualitatively well reproduced by LMDZ-iso.

[36] The  $d_p$  observed over Vienna and Ankara is lower than in the vapor and lower than would be expected from equilibrium with the vapor (4 up to 19‰ lower). This low  $d_p$  could arise from the evaporation of the rain drops as they fall [Dansgaard, 1964]. LMDZ-iso strongly underestimates this disequilibrium. It underestimates  $d$  in the vapor ( $d_v$ ), whereas  $d_p$  is of the right order of magnitude. This mismatch could arise from (1) a problem in the representation of the kinetic effects during rain evaporation and isotopic exchanges or (2) difficulties in comparing surface vapor samples with an average over a GCM layer (about 20 hPa or 200 m) if some surface processes have an influence restricted to the first few meters above the surface. Over Manaus on the other hand, observed  $d_p$  is generally higher than would be expected from equilibrium with the vapor. This could arise from low rain reevaporation in a wet atmosphere. This behavior is qualitatively well captured by LMDZ-iso, but the noisiness of the data limits any deeper analysis.

[37] This calls for more vapor measurements to better constrain and evaluate the representation of isotopic processes during rain fall.



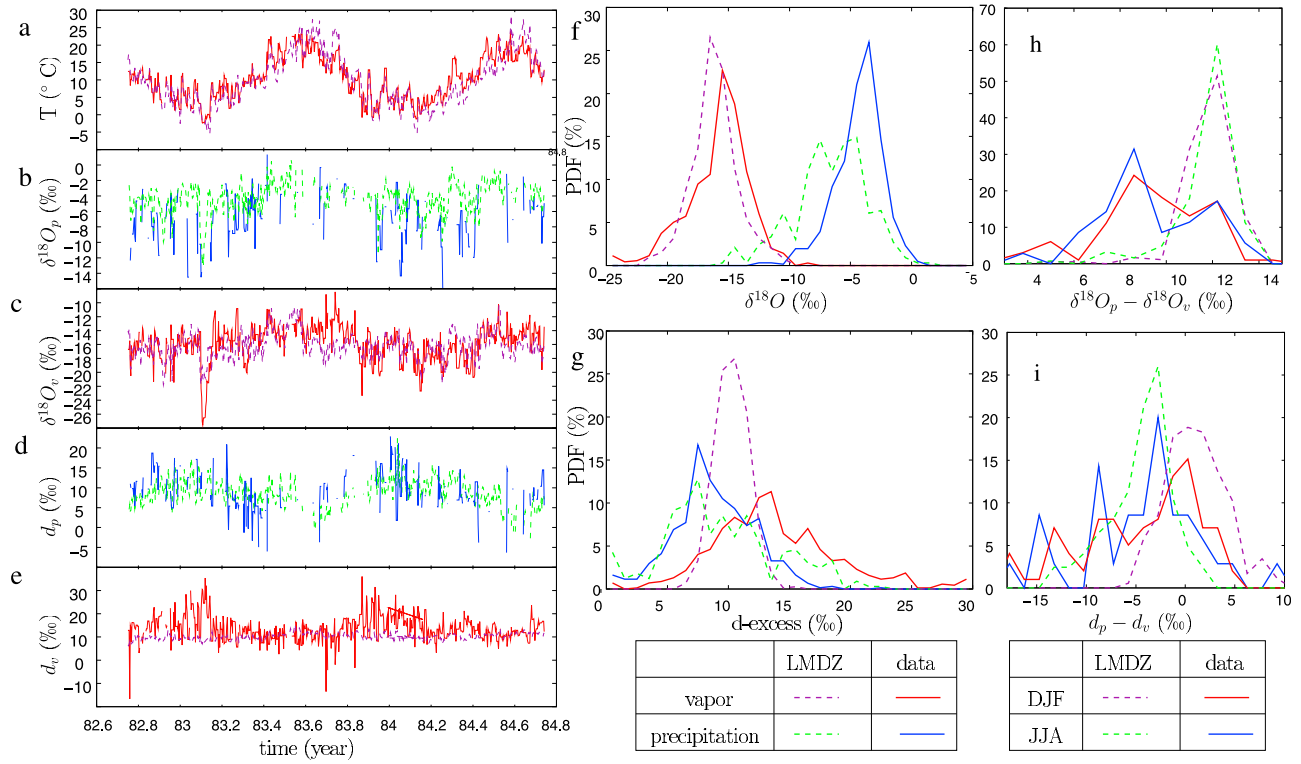
**Figure 8.** (a) Monthly  $\delta^{18}O$  in precipitation (solid curves) and vapor at the lowest level (dashed curves) over Vienna, Manaus, and Ankara for the GNIP-vapor data (red curves) and the LMDZ-iso nudged simulation (green curves). For the simulated vapor composition, the dotted green curves show the LMDZ results sampled on the same days as GNIP vapor measurements, whereas the dashed lines show the monthly means. (b) Difference between  $\delta^{18}O$  in precipitation and the  $\delta^{18}O$  of a liquid that would be in equilibrium with the vapor, for the GNIP-vapor data (red curve) and the LMDZ-iso nudged simulation (green curve). For LMDZ, the monthly means were used. (c) Same as Figure 8a but for  $\delta D$  excess. (d) Same as Figure 8b but for  $\delta D$  excess. The error bars for the GNIP vapor data correspond to the standard deviation for the different measurements available for a given month.

### 3.2. Evaluation of the Isotopic Variability at the Synoptic Scale

[38] In this section, we evaluate the ability of the nudged simulation to simulate the variability at the daily and weekly scale. Unless the model is nudged, the model generates its own weather variability uncorrelated to observations, restricting the evaluation to statistics [Hoffmann *et al.*, 1998; Noone and Simmonds, 2002a]. Nudging with reanalyzed winds enables a more rigorous evaluation of the isotopic variability at the synoptic scale [Yoshimura *et al.*, 2008]. Here we present an evaluation using unpublished daily data of both vapor and precipitation collected at the surface at the station of Saclay (near Paris, France, 48.73°N, 2.17°E) from September 1982 to September 1984. Vapor was collected by continuous sampling on a daily basis (except during week-ends for which the sampling period covers about 3 days). A cryogenic trap was used, and samples were analyzed for

$\delta^{18}O$  and  $\delta D$  with an overall accuracy of  $\pm 0.15\text{‰}$  and  $\pm 1\text{‰}$ , respectively.

[39] The model reproduces the temperature evolution very well (Figure 9a), especially in winter, when the variability is mainly controlled by large-scale synoptic disturbances. The correlation between observed and modeled daily temperatures is 0.84 in winter and 0.51 in summer. Consistently, the daily variability of  $\delta^{18}O_v$  is well captured, especially in winter: the correlation between observed and modeled daily  $\delta^{18}O_v$  is 0.65 in winter and 0.46 in summer. However, the temporal slope of  $\delta^{18}O_v$  versus temperature at the daily scale in winter is underestimated by the model (0.2‰/K in LMDZ-iso and 0.6‰/K in the data). For example, while LMDZ-iso simulates accurately the strong negative temperature and precipitation anomaly during the first three weeks of February 1983, the corresponding anomaly in  $\delta^{18}O_v$  is underestimated by a factor of 2. The mismatch



**Figure 9.** Comparison of the synoptic variability of water isotopes simulated by LMDZ-iso with that observed at the surface in Saclay (near Paris, France, 48.73°N, 2.17°E) from September 1982 to September 1984. Daily evolution of (a) temperature, (b)  $\delta^{18}\text{O}_p$ , (c)  $\delta^{18}\text{O}_v$ , (d)  $d_p$ , and (e)  $d_v$ . Probability density function of the daily (f)  $\delta^{18}\text{O}$  and (g)  $d$  for all months, in both vapor and precipitation. Probability density function of the daily difference (h) between  $\delta^{18}\text{O}$  in the precipitation and the vapor and (i) between  $d$  in the precipitation and the vapor. Observed temperatures are solid purple curves, measured  $\delta^{18}\text{O}_v$  and  $d_v$  are dashed purple curves,  $\delta^{18}\text{O}_p$  and  $d_p$  are dashed green curves, and LMDZ-iso  $\delta^{18}\text{O}$  is solid red curve and  $d$  is solid blue curve.

could be due to an insufficient distillation of air masses due to a misrepresentation either of the water cycle along the air mass trajectories, or of the trajectories themselves, since LMDZ-iso is not able to simulate the observed 10% decrease in relative humidity during the same period (not shown). This low  $\delta^{18}\text{O}_v$  event was also underestimated in a nudged simulation by the regional model REMO-iso [Sturm, 2005].

[40] The isotopic reequilibration between precipitation and vapor is relatively well reproduced by LMDZ-iso, with the  $\delta^{18}\text{O}_p$  most frequently 12‰ higher than the vapor in LMDZ-iso and 10‰ in the data.

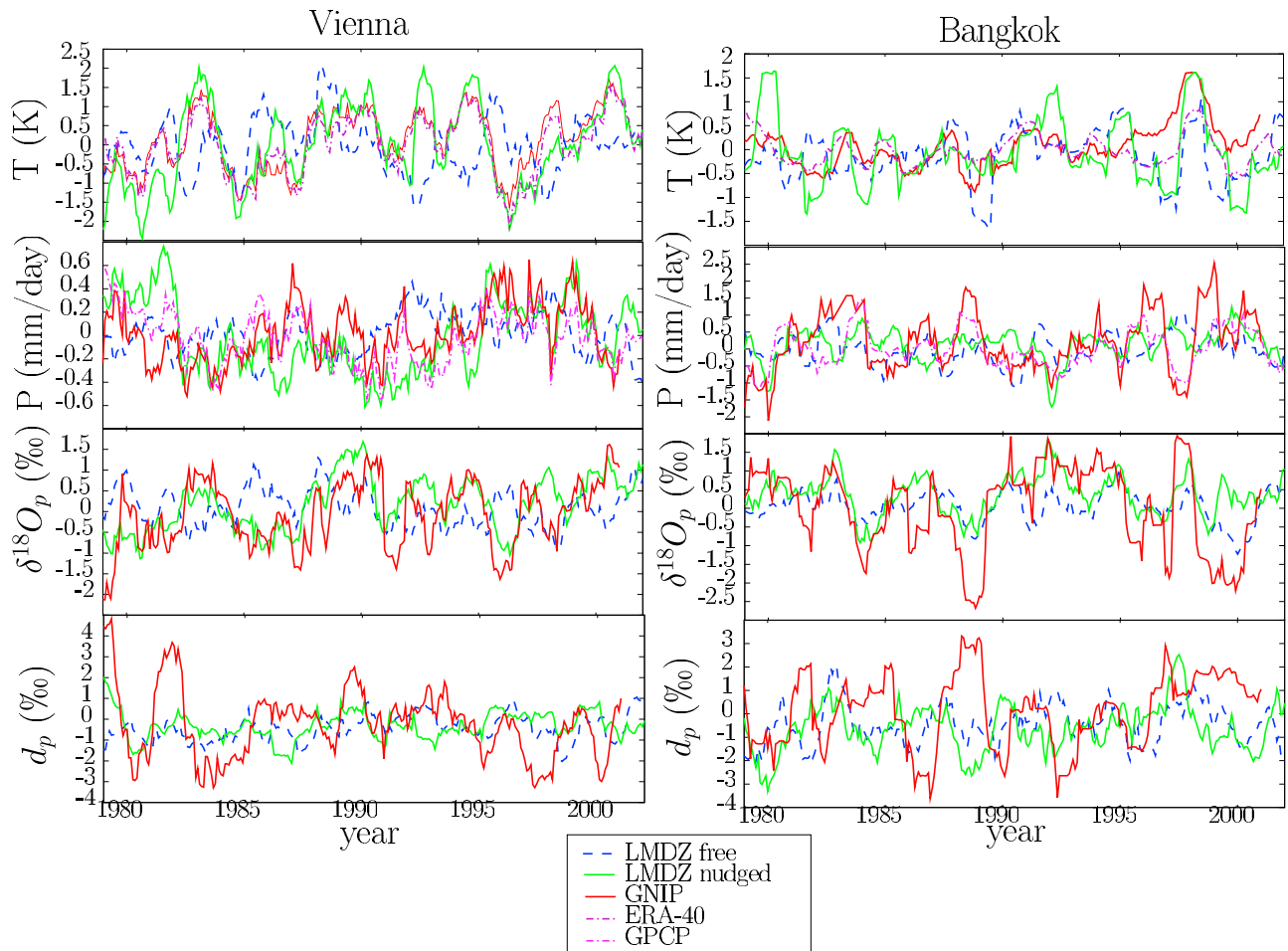
[41] Although the model satisfactorily simulates the frequency distribution and seasonal variability of  $d_p$ , it poorly simulates its synoptic variability (correlation of 0.14 between data and model in winter). The reequilibration between precipitation and vapor for  $d$  is well reproduced, with  $d$  most frequently 2‰ lower in average in precipitation than in the vapor in LMDZ-iso and about 5‰ lower in the data. This difference between  $d_p$  and  $d_v$  is strongly sensitive to parameter  $\phi$  controlling kinetic effects during rain re-evaporation: if  $\phi$  is set to 0 (not shown), the simulated  $d_p$  is about 8‰ lower than  $d_v$ .

[42] The model completely misses the observed variability in  $d_v$ , simulating a nearly constant  $d_v$  of 10‰ all year round.

The correct simulation of  $d_p$  is however surprising, suggesting that a good representation of the variability of  $d_v$  is not necessary to correctly simulate the variability of  $d_p$ . We speculate that some processes near the surface influence  $d_v$  in the data, but these processes have no impact on the observed precipitation. The inability of LMDZ-iso to simulate the observed variability in  $d_v$  is independent of the vertical resolution: in the standard version of LMDZ-iso (19 levels), the lowest layer is 200 m thick, but there is no improvement in the simulation when using a better vertical resolution (39 levels) with a 35 m thick lowest layer (not shown). This suggests that some processes impacting near-surface  $d_v$  are not represented in LMDZ-iso, such as small-scale land surface heterogeneities.

[43] LMDZ-iso, when nudged by reanalyses, can thus satisfactorily simulate the day-to-day variability in temperature that is related to large-scale atmospheric disturbances, and the associated  $\delta^{18}\text{O}$  variability in vapor and precipitation (at least qualitatively). At the synoptic scale, as is the case at the seasonal scale, the variability in  $d$  is more difficult to simulate than that of  $\delta^{18}\text{O}$ . The  $d_v$  is even more difficult to simulate than  $d_p$ , possibly owing to a mismatch between the scale of in situ measurements and the scale of atmospheric phenomena that can be simulated by a GCM, as was already pointed out for the seasonal scale (section 3.1.3).





**Figure 10.** Monthly interannual anomaly of air temperature, precipitation rate,  $\delta^{18}\text{O}$ , and  $d$  excess in precipitation over the (left) Vienna and (right) Bangkok GNIP stations, comparing GNIP data and the LMDZ-iso nudged simulation.

Measurements of  $d_p$  within the bulk of the boundary layer or at the top of a mat would be more comparable to LMDZ-iso simulations.

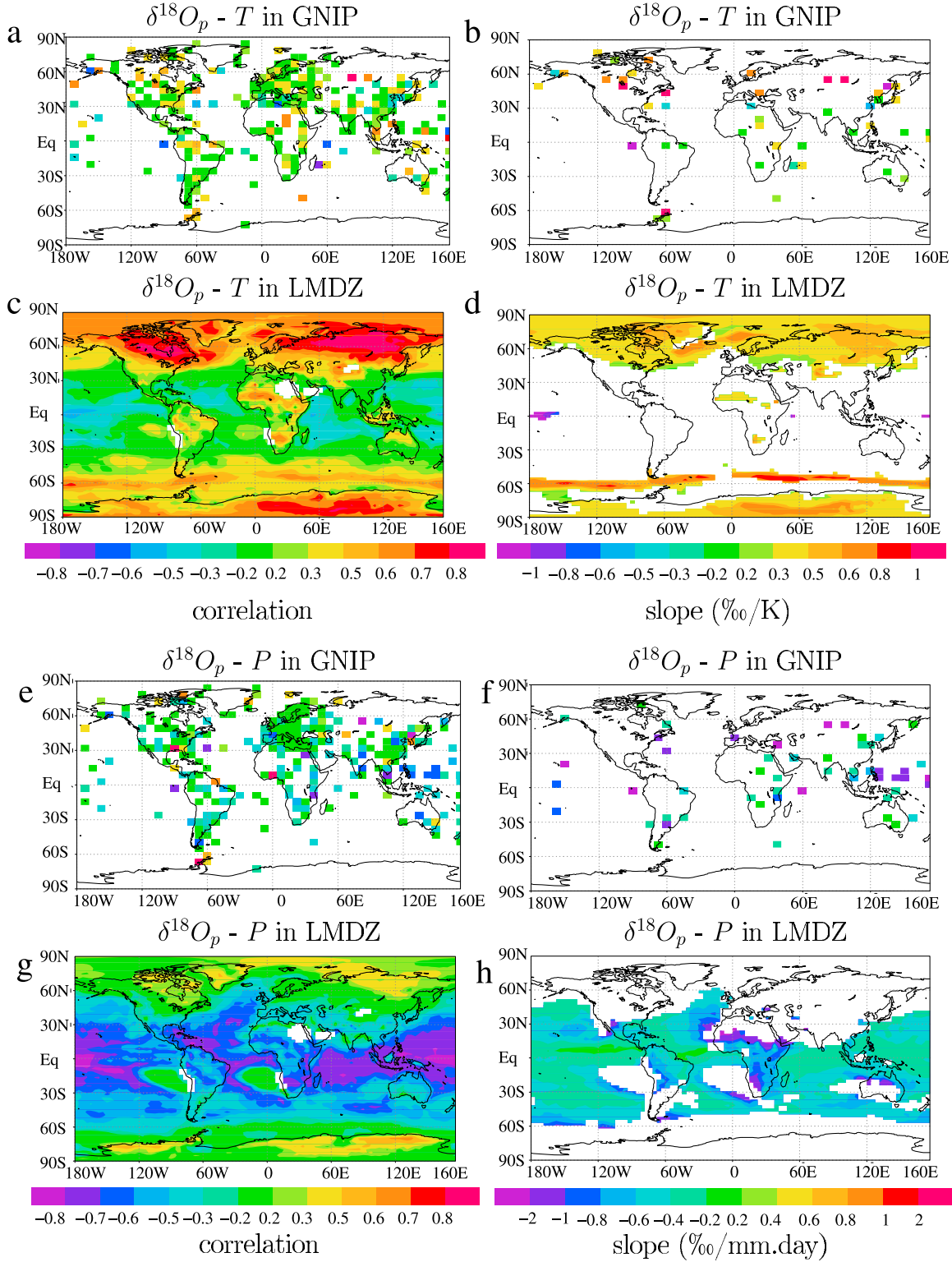
### 3.3. Evaluation of the Isotopic Variability at the Interannual Scale

[44] Water isotopes have been shown to record interannual to decadal variability of the precipitation in the tropics [Hoffmann, 2003; Ramirez *et al.*, 2003] and modes of variability in the extratropics such as the North Atlantic Oscillation [Baldini *et al.*, 2008; Sodemann *et al.*, 2008] or the Southern Annular Mode [Noone and Simmonds, 2002b]. To evaluate whether LMDZ-iso could be used in the future to study such issues, we evaluate the representation of the isotopic variability at the interannual scale.

[45] The simulation nudged by reanalyzed winds simulates better than the free simulation the interannual variability in temperature, precipitation, and isotopes, as can be shown by time series (Figure 10) over Vienna and Bangkok. These two stations were chosen for their long records and contrasting climate (as in work by Yoshimura *et al.* [2008]). The improvement is particularly strong in midlatitudes. For example, the correlation is 0.80 between model and data monthly anomalies of temperatures (filtered with a 6 month

running mean) over Vienna in the nudged simulation compared to 0.05 in the free simulation. Consistently, the variability of  $\delta^{18}\text{O}_p$  is strongly improved at this station (0.66 compared to 0.26). The simulated variability of precipitation is also improved (0.51 compared to 0.09). On the other hand, in the tropics, the variability in temperature, precipitation, and  $\delta^{18}\text{O}_p$  is not much improved, consistent with the results of Yoshimura *et al.* [2008]: correlations between model and data  $\delta^{18}\text{O}_p$  are 0.52 and 0.58 for the nudged and free simulations, respectively. Since tropical SSTs exert a dominant control on the tropical interannual variability, this variability is already well captured by LMDZ-iso just by forcing with observed SST, and the nudging provides little added value. In all simulations, the simulated  $d_p$  variability is completely different from the observed variability (correlations of  $-0.02$  and  $-0.14$  over Vienna and Bangkok in the nudged simulations). Also, the interannual variability of  $d_p$  is strongly underestimated by LMDZ-iso, as was the case at the synoptic scale.

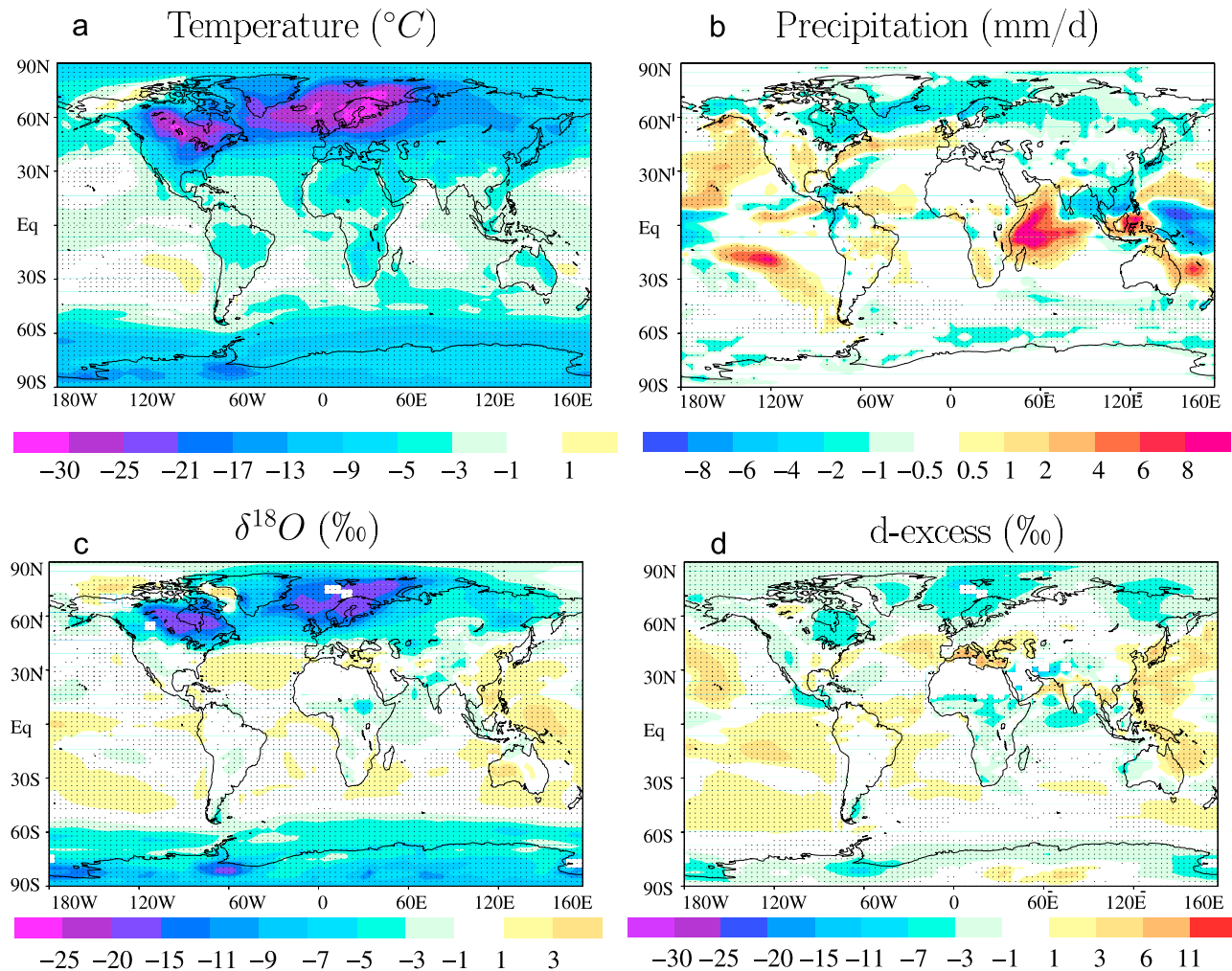
[46] LMDZ-iso overestimates the correlations of  $\delta^{18}\text{O}_p$  with both the temperature and the precipitation amount (Figure 11), as pointed out by Tindall *et al.* [2009] for the Hadley model, possibly owing to the different spatial scale of simulations and measurements. LMDZ-iso simulates an



**Figure 11.** (a, c, e, and g) Correlation coefficients and (b, d, f, and h) slopes of the interannual relationship between monthly anomalies (seasonal cycle subtracted) of  $\delta^{18}\text{O}$  in precipitation and temperature (Figures 11a–11d) and precipitation rate (Figures 11e–11h). A 6 month running mean was applied to the time series of monthly anomalies before performing the linear regression. The regression is performed for the GNIP data (Figures 11a, 11b, 11e, and 11f) and the nudged 1979–2007 LMDZ-iso simulation (Figures 11c, 11d, 11g, and 11h). White zones correspond to correlations lower than 0.4 in absolute value.



## LGM CLIMAP - present day



**Figure 12.** Annual average change between LGM and present day (PD) for (a) temperature, (b) precipitation, (c)  $\delta^{18}O$ , and (d) d excess. The LGM simulation used the CLIMAP SST [CLIMAP Project Members, 1981]. Stippling indicates where the LGM-PD difference is stronger than the standard deviation of the interannual variability.

interannual slope of  $\delta^{18}O$  versus temperature ranging from 0.3 to 0.8 ‰/K in high latitudes, on the same order of magnitude as those derived from the GNIP data (Figure 11). In the tropics, the simulated amount effect is about  $-0.5\text{‰}/(\text{mm/d})$ , also comparable with GNIP data [Rozanski *et al.*, 1993].

[47] Therefore, LMDZ-iso, when forced by observed SST and nudged by reanalyzed winds, simulates relatively well the interannual variability in  $\delta^{18}O_p$ , though it has more difficulties in simulating  $d_p$ .

### 3.4. Evaluation of Isotopic Variations at Paleoclimatic Scales

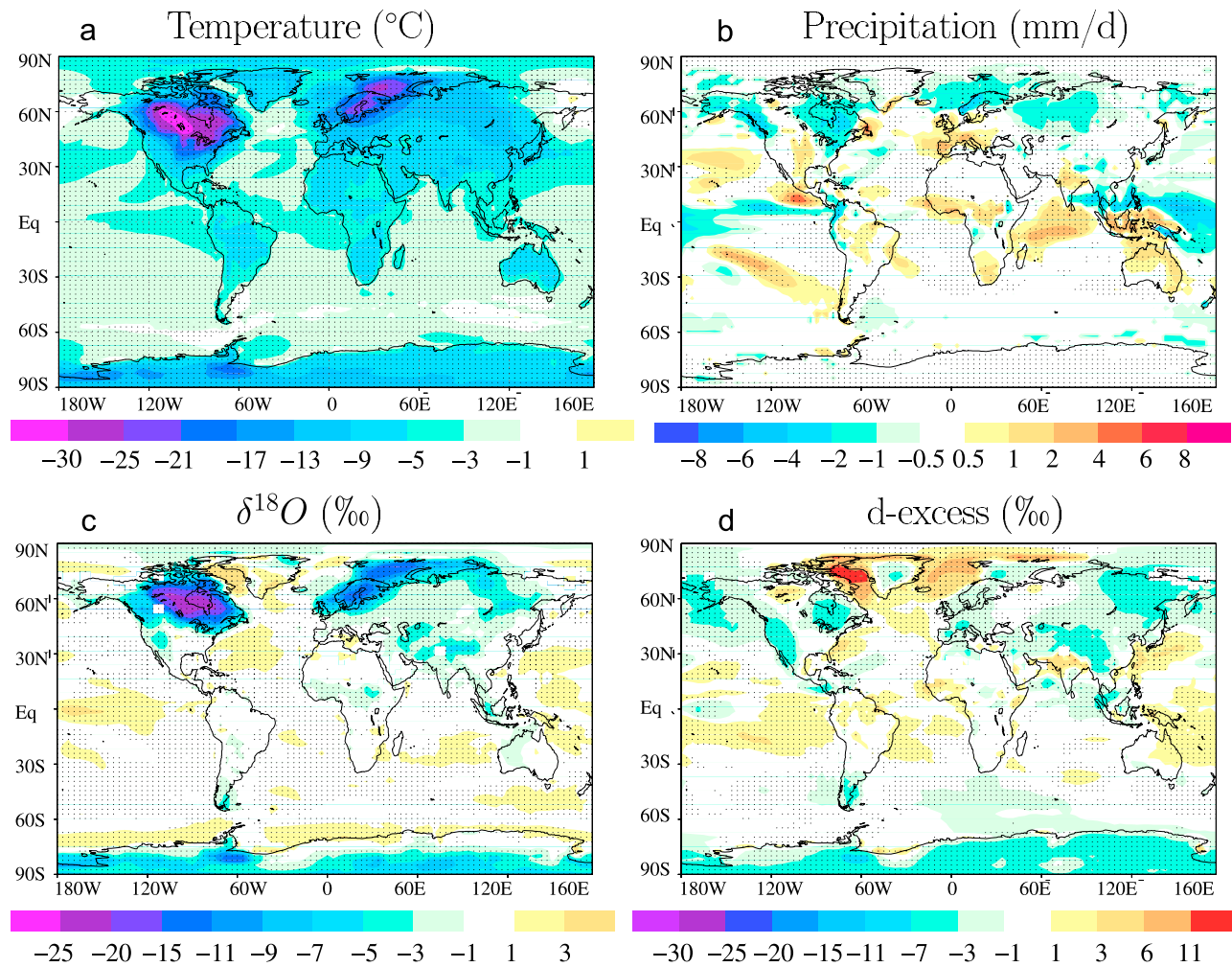
[48] We have seen that LMDZ-iso reproduces reasonably well the present-day climate and its variability from the synoptic, regional scale to the interannual, large scale. In this section, we evaluate the capacity of LMDZ-iso to simulate the isotopic changes associated with two past climates (described in section 2.3.3): the Last Glacial Maximum (LGM) and the Mid-Holocene (MH).

#### 3.4.1. Last Glacial Maximum

[49] Comparing the model results to the data for the LGM is not straightforward. In the case of South American speleothems, for example, the minimum  $\delta^{18}O$  values are about  $-4.5\text{‰}$  at about 18,000 years before present (18 ka) [Cruz *et al.*, 2006], but values around 21 ka are about  $-3\text{‰}$ , same as in present day [Cruz *et al.*, 2005b]. Here we consider that the LGM value corresponds to the minimum  $\delta^{18}O$  values between 17 and 21 ka, or take the LGM values given by the author. Dating uncertainty could explain the different LGM dates. However, if the minimum  $\delta^{18}O$  actually does not occur at the same time at all places (as suggested by some studies [Smith *et al.*, 2005; Sylvestre, 2009]), the combination of the LGM data is impossible to simulate with a time slice simulation [Farrera *et al.*, 1999; Pinot *et al.*, 1999], adding an additional source of discrepancy between the model and data.

[50] Figures 12 and 13 show the changes between LGM and PD simulated by LMDZ-iso when forced at LGM by

## LGM IPSL - present day



**Figure 13.** Same as for Figure 12 but for the LGM simulation using the SSTs from an LGM simulation with the coupled IPSL model. The setup of this simulation is detailed in section 2.3.3.

CLIMAP or IPSL SSTs, respectively. Forced by CLIMAP SSTs, LMDZ-iso simulates well the depletion in  $\delta^{18}\text{O}_p$  over mid and high latitudes during the LGM, except in northeastern America and over part of the Arctic where a slight enrichment is simulated. Forced by IPSL SSTs, which are up to 10 K warmer than CLIMAP SSTs over high-latitude oceans, LMDZ-iso is able to simulate the depletion observed in ice cores in Antarctica but not in Greenland.

[51] The relevance of spatial slopes for reconstructing paleotemperatures at high latitudes has extensively been discussed [Jouzel *et al.*, 2003; Lee *et al.*, 2008]. In Greenland, the temporal temperature- $\delta^{18}\text{O}_p$  slope between LGM and PD (0.3‰/K [White *et al.*, 1997]) has been suggested to be half the spatial slope (0.67‰/K), possibly due to colder source temperatures [Boyle, 1997] at LGM or to changes in the seasonality of precipitation [Krinner and Werner, 2003; Werner *et al.*, 2000]. This leads to an underestimation by a factor of 2 of temperature changes based on  $\delta^{18}\text{O}_p$ . In Antarctica, on the other hand, studies suggest the temporal and spatial slope are similar with a margin of  $\pm 20\%$  [Jouzel

*et al.*, 2003; Krinner and Werner, 2003], leading to reconstructions of past temperature changes with an error lower than 2°C. This is supported by simulation with the GISS and ECHAM GCMs forced by CLIMAP SSTs: they simulate temporal slopes between LGM and PD in eastern Antarctica on the order of 0.7‰/K and 0.6‰/K, respectively [Jouzel *et al.*, 2003] compared to a spatial slope on the order of 0.75‰/K. On the other hand, the CAM model, when forced by SSTs simulated by a coupled model, simulates temporal slopes half smaller than the spatial slopes in the same region [Lee *et al.*, 2008]. We compare here these results with those from LMDZ-iso forced by the CLIMAP and IPSL LGM SSTs. In Greenland, LMDZ-iso simulates a temporal slope between LGM and PD of about 0.4‰/K over Greenland, half the spatial slope of 0.8‰/K, consistent with earlier studies and all other GCMs [Jouzel *et al.*, 2000]. In Antarctica, LMDZ-iso forced by the CLIMAP SSTs simulates temporal slopes in Vostok of 0.8‰/K, virtually equal to the spatial slope in this region. The simulated temporal slopes are within 20% of the spatial slope over most locations in

**Table 2.** Data Used for Comparison With the LGM Simulation<sup>a</sup>

Station and Data Type	Latitude	Longitude	Reference	$\Delta\delta^{18}\text{O}$ Obs	$\Delta d$ Obs	$\Delta\delta^{18}\text{O}$ LMDZ-iso	$\Delta d$ LMDZ-iso
GRIP ice core (Greenland)	72.60	-38.5	GRIP Members [1993] cited by Lee <i>et al.</i> [2008] Masson-Delmotte <i>et al.</i> [2005a]	-7	-3	-9.8, +1.9	-3.4, -4
Camp Century ice core (Greenland)	77.17	-61.1	Johnsen <i>et al.</i> [1972] cited by Jouzel <i>et al.</i> [1994]	-12.9		-4.7, +7.4	-2.3, +8.5
Renland ice core (Greenland)	72	-25	Johnsen <i>et al.</i> [1992] cited by Jouzel <i>et al.</i> [1994]	-5		-11.9, -2.0	-1.3, -0.4
NGRIP ice core (Greenland)	75.10	-42.32	NGRIP Members [2004] cited by Lee <i>et al.</i> [2008]	-8		-8.1, +1.5	-3.5, -2.9
England	53	-2	Bath [1983] cited by Joussaume and Jouzel [1993]	-1.2		-8.1, -3.5	-1.0, -1.2
Vostok ice core (Antarctica)	-78.45	106.85	Lorius <i>et al.</i> [1985] cited by Werner <i>et al.</i> [2001]	-3 to -5	-2	-6.8, -2.6	-0.2, -4.7
Byrd ice core (Antarctica)	-80.2	-119.5	Johnsen <i>et al.</i> [1972] cited by Lee <i>et al.</i> [2008]	-8		-6.3, -4.4	-1.4, -2.3
Dome C ice core (Antarctica)	-74.7	124.2	Lorius <i>et al.</i> [1979] cited by Jouzel <i>et al.</i> [1994] Stenni <i>et al.</i> [2001]	-5.4	-4	-6.2, -0.3	-1.3, -5.0
Dome B ice core (Antarctica)	-77.8	94.9	Vaikmae <i>et al.</i> [1993] cited by Jouzel <i>et al.</i> [1994]	-5		-7.8, -3.3	+0.4, -4.6
Taylor Dome (Antarctica)	-77.8	71.6	Groote <i>et al.</i> [2001] cited by Lee <i>et al.</i> [2008]	-3		-6.7, -2.0	+1.6, -4.4
Stampriet aquifer (Namibia)	-25	18	Stute and Talma [1998] cited by Gasse [2000]	+1.5		-1.5, -0.3	-1.1, -0.5
Huascaran ice core (South America)	-9.11	-77.61	Thompson <i>et al.</i> [1995]	-6.3	-4	+1.2, +0.5	+1.2, 0.0
Sajama ice core (South America)	-18.10	-68.97	Thompson <i>et al.</i> [1998]	-5.4		-1.1, -1.3	-0.5, -1.0
Illimani ice core (South America)	-16.62	-67.77	Ramirez <i>et al.</i> [2003]	-6	-4	-0.4, -0.3	+0.2, +0.2
Botuvera cave (South America)	-27.2	-49.02	Cruz <i>et al.</i> [2005b]	-1.5		-1.2, -0.6	+1.0, +1.1
Santana cave (South America)	-24.52	-48.72	Cruz <i>et al.</i> [2006]	-1.5		-0.5, 0.1	+0.9, +0.8
Rio Grande do Norte speleothems records (South America)	-5.60	-37.73	Cruz <i>et al.</i> [2009]	-0.5		+0.9, -0.3	+0.6, +0.9
Salar de Uyuni (South America)	-20	-68	Fritz <i>et al.</i> [2003]	-4		-1.1, -1.3	-0.3, -0.1
Guliya ice core (Tibet)	35.28	81.48	Thompson <i>et al.</i> [1997] cited by Thompson <i>et al.</i> [2000]	-5.4		-2.2, -5.1	-2.2, -4.9
Dunde ice core (Tibet)	38	96	Thompson <i>et al.</i> [1989]	-2		-2.1, -2.3	-0.5, -1.5
Sanbao and Hulu caves (China)	31.67	110.43	Wang <i>et al.</i> [2008]	+1.5		-1.6, +0.5	-0.3, -0.8

<sup>a</sup>Name (column 1) and location (columns 2 and 3) of the data stations, reference for the data (column 4), and LGM-PD difference in  $\delta^{18}\text{O}$  and  $d$  excess measured in records (columns 5 and 6) and simulated by LMDZ (columns 7 and 8). We consider as LGM what the author considers as LGM or otherwise take the period of minimum  $\delta^{18}\text{O}$  in the record between 17 ka and 21 ka. We take an approximate average value over 2 kyr. We take as present day the value averaged over the last 2 kyr. The  $\delta^{18}\text{O}$  differences in carbonates expressed as PDB were converted in SMOW using  $\Delta\delta_{\text{SMOW}} \approx 1.03 \cdot \Delta\delta_{\text{PDB}}$  [Coplen, 1988]. For LMDZ-iso (columns 7 and 8) the first and second entries in each column are corresponding values for the CLIMAP SST–PD SST and for the IPSL LGM SST–PI, respectively (see section 2.3.3 for simulation setup).

eastern Antarctica, consistently with the GISS and ECHAM simulations reported by Jouzel *et al.* [2003]. On the other hand, when forced by IPSL LGM SSTs, the temporal slopes are most frequently around 0.5‰/K, i.e., 40% lower than the spatial slope. This is in closer agreement with Lee *et al.* [2008], who also used simulated SSTs. This suggests that the controls of  $\delta^{18}\text{O}_p$  over Antarctica, and thus the accuracy of reconstructions based on present-day spatial slopes, strongly depend on the pattern of SST change. If the change in SST features a strong equator–pole gradient as in CLIMAP, then the spatial slope can be applied to past temperature reconstructions within 20% accuracy. On the other hand, if the SST change has a lower equator to pole gradient, as simulated by the IPSL or CAM coupled models [Lee *et al.*, 2008], then using the spatial slope for temperature reconstruction leads to an underestimation of past temperature changes (by about 40% in the LMDZ-iso simulation forced by IPSL SSTs). At this stage we are more confident in reconstructed than in simulated paleoclimatic SSTs, as large SST pole–equator gradients are confirmed by the recently

published MARGO data [MARGO Project Members, 2009]. Our results thus rather supports the current use of PD spatial slope to interpret isotopic profiles recovered in central Antarctica.

[52] In the tropics, LMDZ-iso simulates little isotopic change and fails to simulate the depletions ranging from -1.5‰ to -6.3‰ inferred from tropical ice cores and South American speleothems (Table 2). Even when using SSTs from the IPSL coupled model, which are about -2.9 K colder than PD in the tropics, the decrease in  $\delta^{18}\text{O}_p$  is small (less than 2‰). Temperature does not seem to have any significant effect on tropical  $\delta^{18}\text{O}_p$  in LMDZ-iso, at any time scale. The failure to simulate lower  $\delta^{18}\text{O}_p$  in South America is common to other GCMs [Werner *et al.*, 2001; Jouzel *et al.*, 2000]. However, it is not clear whether the depletions measured in available records (Table 2) are representative at the scale of the entire tropics.

[53] Another typical failure of isotopic GCMs for the LGM is their inability to simulate the lower  $d_p$  measured in ice cores at high latitudes during LGM [Werner *et al.*,

2001], or more generally to simulate  $\delta^{18}\text{O}$  and  $d$  variations of the same sign on climatic time scales [Noone, 2008]. However, LMDZ-iso does simulate a lower  $d_p$  over most of Greenland (e.g., Summit:  $-3.5\%$  compared to  $-3\%$  in observations, Table 2), and over most of Antarctica except in central East Antarctica. In the simulation using coupled SSTs, the Antarctic  $d_p$  during the LGM is lower than present day by  $-4\%$ , in even better agreement with the data. The reason for this behavior of  $d_p$  will be the subject of future investigations.

[54] To conclude about the LGM simulations, LMDZ-iso simulates realistic LGM depletions at high latitudes but, like other GCMs, misses the more depleted values measured for LGM at low latitudes. Contrary to other isotopic GCMs [Werner et al., 2001], it simulates a lower  $d_p$  in most high-latitude regions, in agreement with the data. However, the CLIMAP LGM simulation still fails to show the lowest glacial  $d_p$  over East Antarctica, and the coupled SST LGM simulation generates lower  $d_p$  values at the cost of unrealistic high glacial  $\delta^{18}\text{O}$  values over Greenland.

### 3.4.2. Mid-Holocene

[55] In agreement with simulations from the GISS and ECHAM models [Jouzel et al., 2000], and in agreement with measurements (Table 3), the isotopic changes between MH and PD are very small in most regions. Exceptions are found, however, over the Sahel, in the Middle East, in central Asia, and in the western United States, where a depletion on the order of  $5\%$  is simulated (Figure 14).

[56] The monsoon regions are relatively well represented in the LMDZ-iso model, though the precipitation amounts are slightly underestimated in summer [Hourdin et al., 2006]. At orbital time scales, variations in precipitation are antiphased between the hemispheres [Wang et al., 2006; Kutzbach et al., 2008], but this antiphase is not captured by LMDZ. Therefore, LMDZ-iso is not able to reproduce the  $\delta^{18}\text{O}_p$  changes in monsoon regions that are out of phase between hemispheres [Cruz et al., 2009], but erroneously produces more negative  $\delta^{18}\text{O}_p$  throughout the entire tropical belt (Figure 14). LMDZ-iso is not able either to reproduce the antiphase observed in  $\delta^{18}\text{O}_p$  between east and west South America [Cruz et al., 2009].

[57] Still, during the Mid-Holocene, the Indian and African monsoons are enhanced in LMDZ-iso (up to  $+3$  mm/d in annual average), in agreement with other PMIP models [Joussaume et al., 1999]. As a result, the precipitation downstream of these regions of enhanced precipitation is more depleted (up to  $-8\%$  in the Northern Sahel and Tibet), consistent with the amount effect. The corresponding  $P - \delta^{18}\text{O}_p$  slopes are much higher than at the interannual or seasonal scales. For example, when averaging the western African monsoon precipitation over the  $20^\circ\text{W}$ – $30^\circ\text{E}$ ;  $10^\circ\text{N}$ – $20^\circ\text{N}$  region [Joussaume and Taylor, 1995] and for the July to September months (JJAS), the MH-PD  $\delta^{18}\text{O}_p$  change ( $\Delta\delta^{18}\text{O}_p$ ) is  $-2.0\%$  for a  $+1.1$  mm/d precipitation change ( $\Delta P$ ), leading to a climatic slope ( $\Delta\delta^{18}\text{O}_p/\Delta P$ ) for JJAS of  $-1.7\%/ \text{mm/d}$  (much higher than the seasonal or interannual slope of about  $-0.5\%/ \text{mm/d}$ , Figure 11). This climatic slope is even higher when considering annual averages ( $-5\%/ \text{mm/d}$ ). This shows that the amount effect may depend on the time scale of variability considered [Schmidt et al., 2007] and that reconstructions of past precipitation based on present-day

calibration at the seasonal or interannual scale are to be taken with caution as discussed further in section 4.2.

## 4. Climatic Information Recorded by Water Isotopes in the Tropics

[58] The precipitation amount dominates the isotopic composition of the tropical precipitation at intraseasonal [Yoshimura et al., 2003; Sturm et al., 2007; Risi et al., 2008b], seasonal [Dansgaard, 1964; Rozanski et al., 1993], and interannual scales [Rozanski et al., 1993; Vuille and Werner, 2005]. At longer time scales, the interpretation of isotopic records from tropical ice cores has been the subject of debate. Thompson et al. [2000] have shown that tropical records from ice cores in South America and Tibet share common  $\delta^{18}\text{O}$  patterns during the last 25 kyr, with most depleted values during the LGM (by 4 to 6‰) and an increase during the deglaciation. The temporal evolution is also qualitatively similar to higher-latitude records in Greenland and Antarctica [Thompson et al., 2000]. This could suggest a large-scale control of the isotopic signal, which was first interpreted as temperature variations [Thompson et al., 2000]. However, given that the main process controlling low-latitude  $\delta^{18}\text{O}$  variations at present day is the precipitation amount, these variations have subsequently been interpreted as wetter conditions upstream of ice cores [Vimeux et al., 2009].

[59] Given the ability of LMDZ-iso to reproduce the main features of the observed water isotopic distributions, we now use it to investigate issues related to the interpretation of isotopic records as proxies for past changes in temperature and precipitation. First, we quantify the relative impact of changes in precipitation and large-scale temperature changes on  $\delta^{18}\text{O}_p$  (section 4.1). We then evaluate the robustness of reconstructions of past precipitation based on water-stable isotopes (section 4.2).

### 4.1. How Much Do Global Temperature Changes Impact Tropical $\delta^{18}\text{O}_p$ ?

[60] A global temperature change is likely to imprint  $\delta^{18}\text{O}_p$  over the whole planet. However, if the change in surface temperature is not spatially uniform, the large-scale circulation will also change, which will lead to substantial changes in precipitation. Regional changes in  $\delta^{18}\text{O}_p$  are thus expected to result both from background temperature and regional circulation changes.

[61] To identify a possible effect of mean temperature on  $\delta^{18}\text{O}_p$ , we perform simulations with uniform changes of SST ( $-4$  K,  $-2$  K, and  $+2$  K). Uniform changes in SST result in uniform shifts in the  $\delta^{18}\text{O}_p$  probability distribution in the tropics (Figure 15a), by about  $0.1$  ‰/K. The probability distributions of  $\delta^{18}\text{O}$  in the vapor and in the evaporation are equally shifted (not shown), suggesting that this small sensitivity to mean SST is mainly due to a change in fractionation during evaporation at the sea surface (a sensitivity of  $0.08\%/ \text{K}$  is predicted by the Merlivat and Jouzel [1979] simple closure assumption). The sensitivity to SST is the same for all uniform SST change experiments (Figure 15b) and consistent with the SCM results of Bony et al. [2008]. The LGM simulations are also associated with a decrease in mean  $\delta^{18}\text{O}_p$ . Figure 15c plots  $\delta^{18}\text{O}_p$  as a function of the

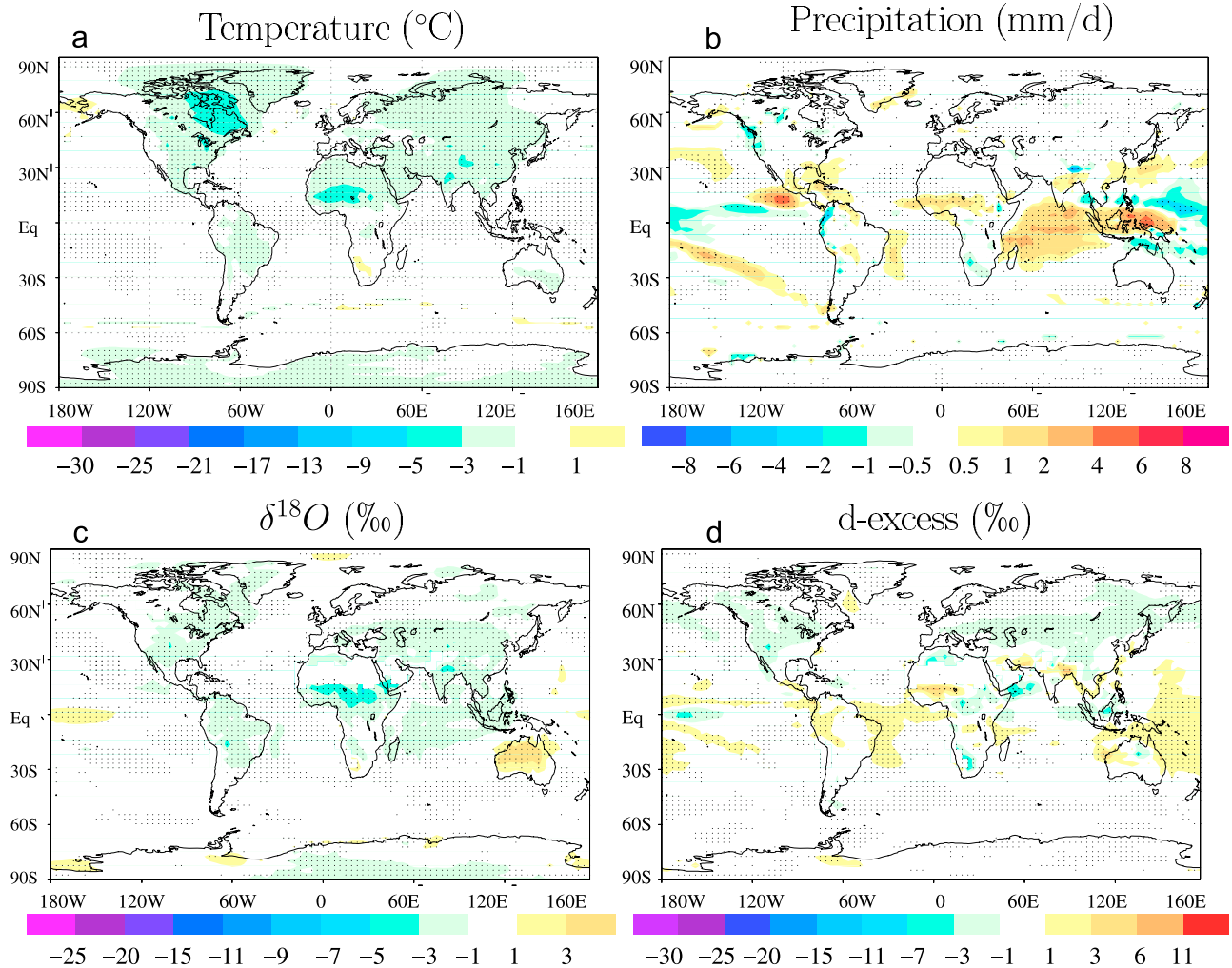


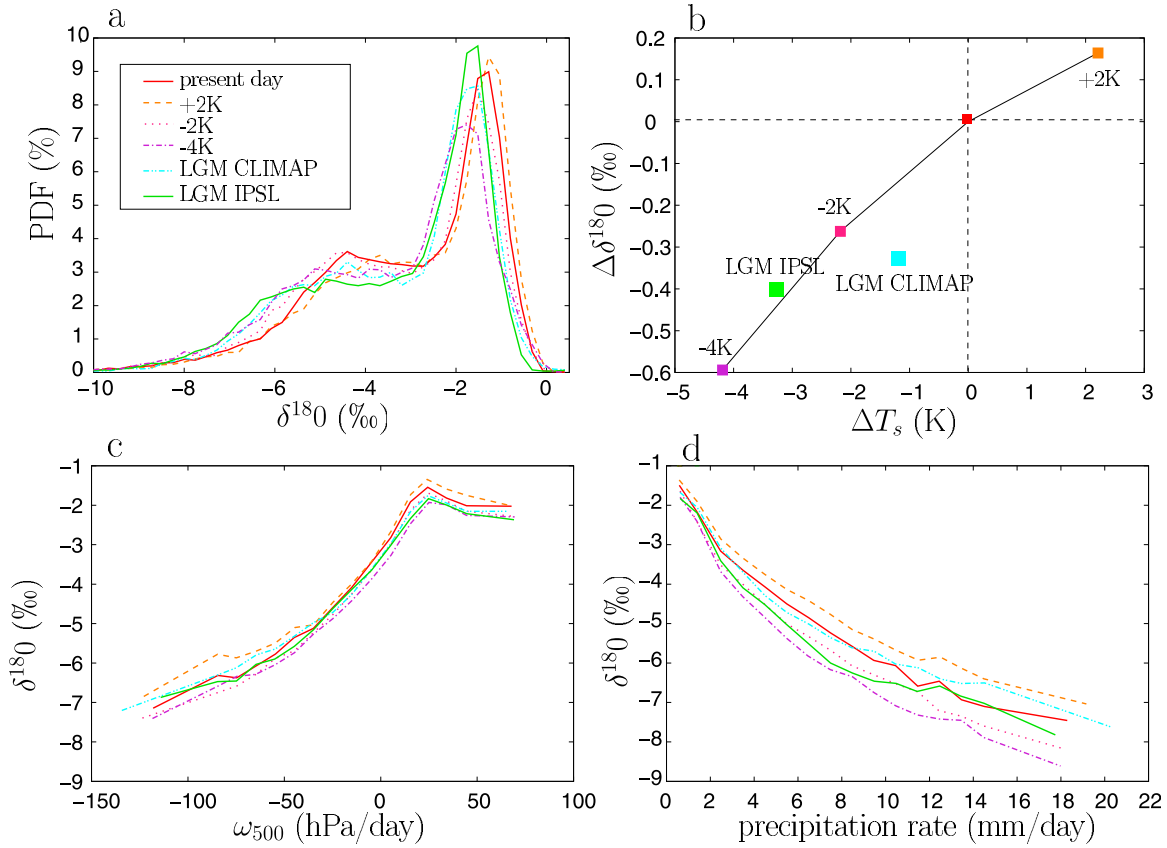
**Table 3.** Data Used for Comparison With the MH Simulation<sup>a</sup>

Name	Latitude	Longitude	Reference	$\Delta\delta^{18}\text{O}$ Obs	$\Delta d$ Obs	$\Delta\delta^{18}\text{O}$ LMDZ-iso	$\Delta d$ LMDZ-iso
GRIP Ice Core (Greenland)	72.6	-38.5	GRIP Members [1993]	+0.5	-0.2	-1.3	-0.7
North GRIP ice core (Greenland)	75.10	-42.32	cited by Masson-Delmotte et al. [2005b]	+0.7	-0.5	-1.3	-0.7
Vostok ice core (Antarctica)	-78.45	106.85	Masson-Delmotte et al. [2005b]	-0.2		+0.4	-0.6
			Lorius et al. [1985]				
Byrd ice core (Antarctica)	-80.2	-119.5	cited by Thompson et al. [2000]				
			Johnsen et al. [1972]	+1		-0.4	-0.5
			cited by Thompson et al. [2000]				
Huascaran ice core (South America)	-9.11	-77.61	Thompson et al. [1995]	0		-0.5	0.8
Sajama ice core (South America)	-18.10	-68.97	Thompson et al. [1998]	0		-0.4	-0.2
Illimani ice core (South America)	-16.62	-67.77	Ramirez et al. [2003]	+0.2		-1.0	0.0
Botuvera cave (South America)	-27.2	-49.02	Cruz et al. [2005b]	+0.5		-1.4	+0.5
Santana cave (South America)	-24.52	-48.72	Cruz et al. [2005b]	+1		-1.2	+0.1
Rio Grande do Norte speleothems records (South America)	-5.60	-37.73	Cruz et al. [2009]	-3.5		-1.5	+1.6
Tigre Perdido (South America)	-5.9	-77.3	van Breukelen et al. [2008]	+0.5		+0.1	+1.2
Gulíaa ice core (Tibet)	35.28	81.48	Thompson et al. [2000]	-2.5		-0.7	-0.4
Sanbao and Hulu caves (China)	31.67	110.43	Wang et al. [2008]	-1.8		-0.4	+0.3

<sup>a</sup>Name (column 1) and location (columns 2 and 3) of the data stations, reference for the data (column 4), and MH–present-day difference in  $\delta^{18}\text{O}$  and  $d$  excess measured (columns 5 and 6) and simulated by LMDZ-iso (columns 7 and 8). We take as MH the value at  $6 \pm 1$  ka and for present day the values averaged over the last 2 kyr. The  $\delta^{18}\text{O}$  differences in carbonates expressed as PDB were converted in SMOW using  $\Delta\delta_{\text{SMOW}} \simeq 1.03 \cdot \Delta\delta_{\text{PDB}}$  [Coplen, 1988].

## Mid-Holocene - present day

**Figure 14.** Same as for Figure 12 but for Mid-Holocene minus present day.



**Figure 15.** (a) Frequency distribution of the  $\delta^{18}\text{O}$  of precipitation over the tropics ( $-30^{\circ}\text{S}$ – $30^{\circ}\text{N}$ ) for the different simulations: present day (red), idealized simulation of uniform SST changes, and the two LGM simulations. For each simulation, monthly  $\delta^{18}\text{O}_p$  values (averaged over the different years of simulation) for all the tropical grid boxes were used. (b) Annually and tropically average change in  $\delta^{18}\text{O}_p$  (compared to present day) as a function of the annually and tropically averaged change in sea surface temperature. (c) Mean relationship of  $\delta^{18}\text{O}_p$  over tropical oceans as a function of the large-scale vertical velocity at 500 hPa  $\omega_{500}$ . For each simulation and in each bin of  $\omega_{500}$ , we calculate the average  $\delta^{18}\text{O}_p$  using monthly  $\omega_{500}$  and  $\delta^{18}\text{O}_p$  values in all tropical grid boxes. (d) Same as Figure 15c but as a function of the precipitation rate  $P$ .

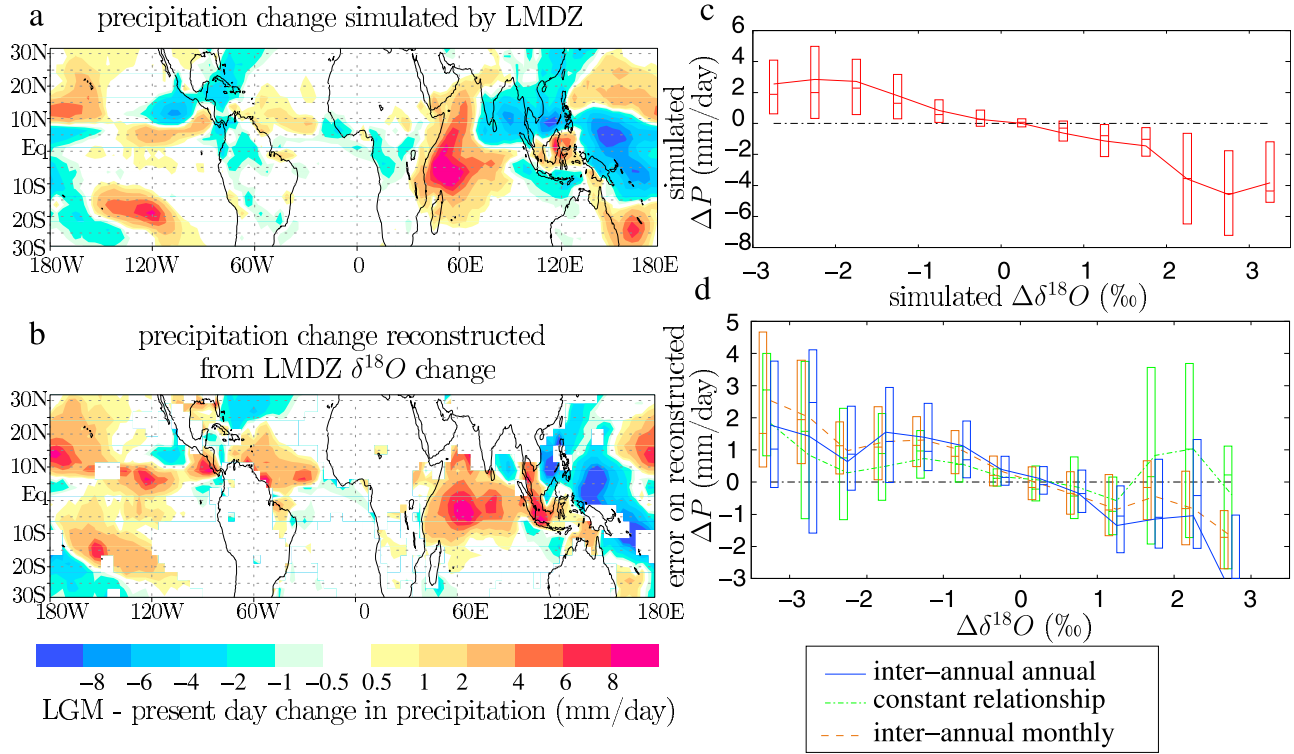
large-scale vertical velocity at 500 hPa, considered as a proxy for dynamical regimes (e.g., large-scale ascent or subsidence [Bony *et al.*, 2004]): the sensitivity to SST is nearly uniform for all dynamical regimes. Therefore, in our GCM, the sensitivity of 0.1‰/K is robust for all types of climate changes and dynamical regimes.

[62] This sensitivity of  $\delta^{18}\text{O}_p$  to mean SST is smaller than the sensitivity to local temperature measured at high latitudes: temperature– $\delta^{18}\text{O}_p$  slopes at high latitudes are about 0.4‰/K at the seasonal scale [van Ommen and Morgan, 1997; Ekaykin and Lipenkov, 2008] and range from 0.3 to 1‰/K at the interannual scales (Figure 11). Therefore, the sensitivity of  $\delta^{18}\text{O}_p$  to mean SST in the tropics simulated by LMDZ cannot explain by itself the strong depletion in  $\delta^{18}\text{O}_p$  measured in the tropics for the LGM. Then, how to interpret the inability of GCMs to reproduce the depletion measured locally in most tropical records? If this is a large-scale mismatch due to an underestimate of the sensitivity of  $\delta^{18}\text{O}_p$  to mean SST in the tropics, it might reveal a misrepresentation by GCMs of some hydrological or isotopic processes in the tropics. Alternatively, since the largest LGM–PD depletions in the tropics are measured in high-altitude ice

cores, the mismatch might be just regional and due to the coarse spatial resolution of GCMs over mountainous regions. However, the coarse resolution may not explain the inability of LMDZ to simulate the 2‰ more depleted precipitation at LGM measured in Brazilian speleothems that are close to the sea level [Cruz *et al.*, 2005a, 2006]. A better spatial coverage of  $\delta^{18}\text{O}$  data at LGM would aid understanding of whether this mismatch is a large-scale or regional feature.

[63] While changes in mean tropical SST have little impact on  $\delta^{18}\text{O}_p$ , the precipitation amount is a dominant control on  $\delta^{18}\text{O}_p$  in the tropics at the seasonal and spatial scale (Figure 15d). Following the framework of Bony *et al.* [2004], precipitation variations can be decomposed into two components: (1) a dynamical component, due to changes in the large-scale atmospheric circulation associated with changes in the SST distribution, and (2) a thermodynamical component, related to the change in the mean tropical precipitation with mean tropical SST (about 2%/K [Held and Soden, 2006]). In LMDZ-iso, a uniform increase in SST leads to both a thermodynamic increase in precipitation and a slight increase in  $\delta^{18}\text{O}_p$  (Figure 15a), leading to an apparent  $P - \delta^{18}\text{O}_p$  relationship opposite to the amount





**Figure 16.** (a) LGM–present-day change in  $P$  simulated by LMDZ-iso. (b) LGM–present-day change in  $P$  reconstructed from the interannual  $\delta^{18}O_p - P$  slope simulated by LMDZ-iso. Areas where the correlation coefficient is higher than  $-0.25$  are white. (c) Annually averaged precipitation change between LGM and PD as a function of the annually averaged change in  $\delta^{18}O_p$ , simulated by LMDZ-iso, over all tropical locations. The curve shows the average while the bars show the median and quartiles. (d) Error in the reconstructed precipitation change (reconstructed minus simulated by LMDZ-iso) as a function of change in  $\delta^{18}O_p$  for different methods: local slope between annual mean precipitation and annual mean  $\delta^{18}O$  (dashed blue curve); constant relationship of  $-0.7\text{‰}/(\text{mm/d})$  (dash-dotted green curve); and local slope between monthly anomalies of  $\delta^{18}O_p$  and precipitation, applied on monthly values (dashed brown curve).

effect. The  $\delta^{18}O_p$  thus responds only to the dynamical component of the precipitation change, in agreement with a previous SCM study [Bony *et al.*, 2008]. Therefore, the tropical  $\delta^{18}O_p$  records mainly regional changes in precipitation related to changes in the large-scale circulation rather than changes in mean temperature or precipitation at the scale of the entire tropics.

#### 4.2. How Much Can We Consider Water Isotopes in Tropical Precipitation as a Good Proxy for Local Precipitation Rate?

[64] In LMDZ-iso, the tropical  $\delta^{18}O_p$  records mainly dynamical changes in precipitation. General circulation models simulate precipitation responses to climate change that are very model-dependent, in particular in monsoon regions [e.g., Held *et al.*, 2005]. As the tropical  $\delta^{18}O_p$  is closely related to the precipitation amount, the ability of GCMs to reproduce past  $\delta^{18}O_p$  changes might help to assess, indirectly, the ability of GCMs to simulate the precipitation response to a global climate change. A prerequisite for this approach, however, is that past local precipitation changes can, indeed, be accurately inferred from water-stable isotopic records. Here, we examine this issue through a “perfect model” experiment, using the CLIMAP LGM and PD si-

mulations: we reconstruct the LGM–PD change in precipitation ( $\Delta P_{\text{reconst}}$ , Figure 16b) from the LGM–PD  $\delta^{18}O_p$  change simulated by LMDZ-iso ( $\Delta\delta^{18}O_{\text{sim}}$ , Figure 12c), and compare this reconstruction with the precipitation change actually simulated by LMDZ-iso ( $\Delta P_{\text{sim}}$ , Figure 16a).

[65] To do so, we first calculate at each grid point the slope of the linear regression ( $s$ ) of the annual mean  $\delta^{18}O_p$  versus annual mean  $P$  simulated at the interannual scale by LMDZ-iso. We use annual mean values since the temporal resolution of paleoclimatic records is rarely higher than the year. Then, we reconstruct the precipitation change as  $\Delta P_{\text{reconst}} = \frac{1}{s} \cdot \Delta\delta^{18}O_{\text{sim}}$ , when the coefficient of the linear regression is lower than  $-0.25$ , an arbitrary negative cutoff, which ensures that  $P$  is indeed a significant control on  $\delta^{18}O_p$ . Performances of the reconstructions increase as the cutoff decreases, but the relative performances of the different reconstruction methods are insensitive to the choice of the cutoff.

[66] The reconstructed precipitation pattern compares well with the pattern actually simulated by the model (Figures 16a and 16b): the spatial correlation between  $\Delta P_{\text{reconst}}$  and  $\Delta P_{\text{sim}}$  is 0.61 (Table 4). The larger the precipitation changes, the better the reconstruction, such as over the Indian Ocean. Where  $|\delta^{18}O_p|$  changes are larger than 2‰, 92% of

**Table 4.** Performance of the Reconstruction of Past Changes in Precipitation Using the Present-Day Interannual Slope of  $\delta^{18}\text{O}_p$  Versus  $P$  in the Perfect Model Experiments<sup>a</sup>

Past Climate	Reconstruction Method	Cutoff	Spatial Correlation	Probability Right Sign $ \Delta\delta^{18}\text{O}_p  > 2\text{‰}$	Probability Error < 50% $ \Delta\delta^{18}\text{O}_p  > 2\text{‰}$	Probability Right Sign All Locations	Probability Error < 50% All Locations
LGM CLIMAP	annual	−0.25	0.61	92	29	75	25
LGM CLIMAP	constant	−0.25	0.60	89	34	74	24
LGM CLIMAP	monthly	−0.25	0.74	89	31	71	19
LGM CLIMAP	annual	−0.7	0.68	96	39	81	38
LGM IPSL	annual	−0.25	0.22	72	20	62	14
MH	annual	−0.25	0.62	85	16	72	26
MH	monthly	−0.25	0.59	87	31	73	31

<sup>a</sup>Perfect model experiments are described in section 4.2. We reconstruct changes in precipitation ( $\Delta P$ ) between past climate (column 1) and present day, using different methods (column 2): slope of annual mean  $\delta^{18}\text{O}_p$  versus annual mean  $P$  (annual), constant slope of  $-0.7\text{‰}/(\text{mm}/\text{d})$  (constant), and slope of monthly anomalies of  $\delta^{18}\text{O}_p$  versus  $P$  applied on monthly  $\Delta\delta^{18}\text{O}_p$  values (monthly). Column 4 gives the spatial correlation between the simulated and reconstructed  $\Delta P$ . Columns 5 and 7 give the probability that the reconstructed  $\Delta P$  is of the right sign for locations where  $|\Delta\delta^{18}\text{O}_p| > 2\text{‰}$  and for all locations, respectively. Columns 6 and 8 give the probability that the reconstructed  $\Delta P$  is within 50% of the simulated  $\Delta P$  for locations where  $|\Delta\delta^{18}\text{O}_p| > 2\text{‰}$  and for all locations, respectively. All correlations and probabilities are calculated only on locations where the interannual correlation is lower than an arbitrary cutoff (column 3).

the location have  $\Delta P_{\text{reconst}}$  of the right sign, and 29% of the locations have a reconstructed  $\Delta P$  relative error (calculated as  $(\Delta P_{\text{reconst}} - \Delta P_{\text{sim}})/\Delta P_{\text{sim}}$ ) smaller than 50% (Table 4). These probabilities decrease to 76% and 25%, respectively, when considering all locations (Table 4).  $\Delta P$  reconstructions are thus more robust when  $\delta^{18}\text{O}_p$  variations are larger and thus when the signal to noise ratio is higher. Consistently, reconstructing changes in precipitation is less successful for the Mid-Holocene, for the LGM with IPSL SST, and for uniform SST change experiments, because these simulations show small dynamical changes of precipitation and small  $\delta^{18}\text{O}_p$  variations. The probability that the relative error in reconstructed  $\Delta P$  be smaller than 50% at locations where  $|\delta^{18}\text{O}_p|$  changes are larger than 2‰ is only 20% for the LGM with IPSL SSTs and 17% for the MH (Table 4).

[67] The reconstruction is also the best where the correlation between annual mean  $\delta^{18}\text{O}_p$  and  $P$  is the most negative. For example, the spatial correlation between  $\Delta P_{\text{reconst}}$  and  $\Delta P_{\text{sim}}$  rises from 0.61 to 0.68 (Table 4) when we choose a cutoff of  $-0.7$  compared to  $-0.25$ : where the local  $P$  is the main control on  $\delta^{18}\text{O}_p$  at the interannual scale, it is also the case at the climatic scale. Consequently, changes over land are generally not well captured by the reconstruction, as expected from the lower local correlation there:  $\delta^{18}\text{O}_p$  in South America, in particular, has been shown to depend more on upstream precipitation [Vimeux *et al.*, 2005].

[68] The reconstruction overestimates the magnitude of precipitation changes (Figure 16d, blue): this means that the  $\delta^{18}\text{O}_p - P$  slope at the climatic scale is larger in absolute value than the annual slope used in the reconstruction, as was already pointed out for the Mid-Holocene simulation (section 3) and consistent with Lee *et al.* [2009].

[69] To test the importance of a local calibration, we reconstructed the LGM-PD  $\Delta P$  for the CLIMAP simulation using the same slope at all grid points (Figure 16d, green). The slope yielding, on average, the minimum reconstruction error is  $-0.7\text{‰}/(\text{mm}/\text{d})$ , which is significantly stronger than the interannual slope (ranging from  $-0.3$  to  $-0.6\text{‰}/(\text{mm}/\text{d})$ ). Using a local calibration compared to a constant slope does not significantly improve the reconstruction (Table 4). Since

the climatic slopes are generally higher than the interannual slopes, using a constant slope of  $-0.7\text{‰}/(\text{mm}/\text{d})$  slightly reduces the reconstruction errors (Figure 16d, green).

[70] When taking into account the thermodynamic effect of mean SST on  $\delta^{18}\text{O}_p$  ( $0.1\text{‰}/\text{K}$ ) and on precipitation ( $2\text{‰}/\text{K}$ ), the reconstructed LGM-PD  $\Delta P$  is uniformly lower by  $0.5 \text{ mm}/\text{d}$ . Therefore, this thermodynamical effect explains part of the overestimate of the reconstructed LGM precipitation.

[71] So far, we have discussed reconstructions performed at the annual mean scale, i.e., neglecting the seasonal cycle, essentially because monthly  $\delta^{18}\text{O}_p$  is not available from long-term records. However, the tropical precipitation experiences strong seasonal variations, so that the isotopic records at the annual-scale record preferentially the  $\Delta\delta^{18}\text{O}_p$ , and thus the  $\Delta P$ , during the wet season. Using annual mean  $\delta^{18}\text{O}_p$  values to reconstruct the annual mean  $\Delta P$  thus constitutes a likely source of errors in the reconstruction. We gave an illustrative example of this source of error in section 3.4.2, showing that over West Africa the climatic slope between PD and MH was 3 times larger when calculated with annual averages than with wet season (JJAS) averages, thus leading to an overestimation of  $\Delta P_{\text{reconst}}$  of a factor of 3 just by neglecting the seasonal variability. To quantify more systematically this source of error, we reconstruct monthly  $\Delta P$  using simulated monthly  $\Delta\delta^{18}\text{O}_p$  and the slope calculated on present-day monthly interannual anomalies (Figure 16d, brown). In this case the reconstruction is significantly improved for positive LGM-PD changes. For the LGM CLIMAP simulation, the probability that the reconstructed  $\Delta P$  relative error is smaller than 50% slightly rises to 31% (compared to 29%) when considering seasonal information (Table 4). The uncertainty in the reconstruction due to the seasonality of the precipitation is the largest for the MH simulation: the probability that the reconstructed  $\Delta P$  relative error is smaller than 50% rises to 31% (compared to 16%) when considering seasonal information (Table 4). This is consistent with the strong changes in precipitation seasonality for the MH, with the precipitation increase occurring mainly during the wet season in monsoon regions.

[72] To conclude, using the PD interannual  $\delta^{18}\text{O}_p - P$  slope to reconstruct past precipitation changes yields good results qualitatively: the spatial patterns of  $\Delta P$  are well reconstructed, especially for past climates involving large circulation changes. Where measured changes of  $\delta^{18}\text{O}_p$  are high ( $>2\text{‰}$ ), it is very likely that the reconstructed  $\Delta P$  has the right sign (92%): this means that interannual and climatic controls on  $\delta^{18}\text{O}_p$  are similar. Quantitatively however, the reconstructed errors are high and most likely above 50%. The magnitude of the reconstructed  $\Delta P$  is generally overestimated. Considering the seasonal cycle of  $P$  and  $\delta^{18}\text{O}_p$ , both for the calibration and reconstruction would improve the reconstructions quantitatively, in particular for past climates associated with strong changes in precipitation seasonality (e.g., MH).

## 5. Conclusion and Perspectives

### 5.1. Evaluation of LMDZ-iso

[73] We present the implementation of water-stable isotopes in the LMDZ-iso GCM, and evaluate the present-day isotopic distribution simulated at different time scales: synoptic, seasonal, and interannual, as well as for past climate changes. LMDZ-iso forced by observed SSTs reproduces the annual mean and the seasonal distribution of  $\delta^{18}\text{O}_p$  reasonably well, as well as its interannual variability in the tropics. Nudging the model winds by atmospheric reanalyses improves the simulation of the interannual and synoptic variability of temperature and  $\delta^{18}\text{O}$  at middle latitudes.

[74] Deuterium excess is known to be a more difficult parameter to simulate [Mathieu *et al.*, 2002]. Despite some discrepancies over tropical continents, LMDZ-iso is able to correctly simulate the average d excess values as well as the zonal gradient. LMDZ-iso is not able to reproduce the observed variability of d excess in the vapor, neither at synoptic nor at interannual scales, producing near-constant values all year long and for all years in some regions.

[75] Numerous sensitivity tests were performed on both isotopic and nonisotopic parameters of the model. The precipitation composition is most sensitive to two parameters controlling kinetic effects:  $\lambda$ , involved in the parameterization of the supersaturation during snow formation [Jouzel and Merlivat, 1984], and  $\phi$ , involved in the parameterization of the relative humidity at the droplet contact [Bony *et al.*, 2008]. These representations of kinetic effects are thus a large source of uncertainties in isotopic modeling. More measurements are certainly needed to better constrain these processes. In particular, the degree of equilibration of the rain drops with the vapor can be parametrized in many ways [e.g., Stewart, 1975; Hoffmann *et al.*, 1998; Mathieu *et al.*, 2002; Lee and Fung, 2008; Bony *et al.*, 2008] and is difficult to evaluate owing to the scarcity of isotopic measurements in the vapor. It would thus be extremely useful to have simultaneous measurements of precipitation and vapor at the same stations to evaluate the representation of isotopic processes during rain reevaporation in models. New technology [e.g., Gupta *et al.*, 2009] now facilitates measurements in the vapor and will certainly offer new evaluation possibilities.

[76] LMDZ-iso simulates LGM isotopic compositions similar to other GCMs, with relatively realistic depletions at

high latitudes, but a near-constant  $\delta^{18}\text{O}$  in the tropics. Like other GCMs, LMDZ-iso has difficulties simulating the lower measured d excess during the LGM over East Antarctica, though it can simulate it in Greenland and coastal Antarctica.

### 5.2. Interpretation of Paleoclimatic Proxies

[77] At high latitudes, on the basis of a LGM simulation with LMDZ-iso forced by the CLIMAP SST reconstruction, we show that using the present-day spatial slope to reconstruct past temperatures leads to an underestimation of a factor of 2 in Greenland but is more adequate in Antarctica, in agreement with previous studies [Jouzel *et al.*, 2000, 2003]. However, the accuracy of this reconstruction in Antarctica strongly depends on the equator-pole SST gradients of the reconstructed past climate. If the equator-pole at LGM was weaker than reconstructed by CLIMAP, then past temperature reconstructions in Antarctica would be underestimated, in agreement with Lee *et al.* [2008].

[78] In the tropics changes in  $\delta^{18}\text{O}_p$  may result from global-scale changes in SSTs, and/or from regional precipitation changes associated with changes in SSTs that are not spatially uniform. We found that changes in mean tropical SSTs have relatively little impact on  $\delta^{18}\text{O}_p$  (0.1‰/K) and cannot explain the large changes in  $\delta^{18}\text{O}_p$  recorded in few tropical locations for the LGM. On the other hand, large changes in  $\delta^{18}\text{O}_p$  are more likely related to precipitation changes associated with a reorganization of SST patterns.

[79] We thus examine the ability of  $\delta^{18}\text{O}_p$  records to reconstruct past local precipitation changes in the tropics. Our analysis suggests that past local precipitation changes can be reconstructed from  $\delta^{18}\text{O}_p$  records, but only in cases where the signal to noise ratio for  $\delta^{18}\text{O}_p$  is the largest. The use of a  $\delta^{18}\text{O} - P$  slope calibrated locally from present-day annual data seems adequate to reconstruct at least qualitatively the broad pattern and signs of precipitation changes. However, this overestimates the magnitude of precipitation changes. Considering the seasonal cycle of precipitation and  $\delta^{18}\text{O}_p$  for both the calibration and reconstruction would improve the reconstruction quantitatively. Over continents however, the sensitivity of  $\delta^{18}\text{O}_p$  to upstream precipitation rather than local precipitation makes reconstructions of past precipitation at the regional scale more adequate [Vimeux *et al.*, 2005]. Besides, changes in continental recycling associated with past vegetation changes, which were not taken into account in this study, may complicate the  $\delta^{18}\text{O}_p$  signal over continents [Pierrehumbert, 1999].

### 5.3. Perspectives

[80] LMDZ-iso, like other GCMs, does not simulate the large isotopic depletion measured in tropical ice cores, questioning whether all processes affecting  $\delta^{18}\text{O}_p$  in the tropics are well represented. Tropical ice cores are located in mountainous regions, characterized by a complex topography which can only be resolved with high-resolution modeling [Sturm *et al.*, 2005]. Part of the difficulty in simulating the ice core isotopic data at LGM could be due to the coarse resolution of GCMs (here  $2.75 \times 3.5^\circ$ ). In the future, we plan to use the stretched grid functionality of LMDZ-iso [e.g., Krinner *et al.*, 1997a] to run zoomed simulations for present day and for LGM over low-latitude mountain regions such as the Andes and Tibet, to better simulate the relationship

between isotopes and climate and explore climatic controls on low-latitude ice core isotopic composition.

[81] Air parcels supplying precipitation to ice core regions usually travel several days over continental regions (the Amazon for the Andes, India for Tibet), over which continental recycling of precipitation occurs. The proportion of precipitation recycled to the atmosphere or lost by runoff has been shown to control continental gradients in  $\delta^{18}\text{O}$  [Rozanski *et al.*, 1993]. Besides, transpiration does not fractionate relatively to the soil water [Washburn and Smith, 1934; Barnes and Allison, 1988] whereas bare soil evaporation does and is thus depleted relative to the soil water [Moreira *et al.*, 1997; Yopez *et al.*, 2003; Williams *et al.*, 2004]. A decrease in the ratio of the precipitation evapotranspired back to the atmosphere and of the proportion of the evapotranspiration occurring as transpiration, associated with vegetation changes from forests to grasslands [Clapperton, 1993], may contribute to the measured decrease of  $\delta^{18}\text{O}_p$  in the Andes during the LGM [Pierrehumbert, 1999]. Moreover, processes by which precipitation is recycled (transpiration or evaporation from open water or soil) are suggested to strongly affect d excess gradients over the Amazon [Salati *et al.*, 1979; Gat and Matsui, 1991; Henderson-Sellers *et al.*, 2004] and thus possibly the Andean ice core d excess. Despite the potentially strong impact of land surface processes on the isotopic distribution, in LMDZ-iso as in most other GCMs, we have assumed no fractionation when recycling precipitation over land, owing to the simplicity of the land surface model. Coupling atmospheric models with more sophisticated land surface models [Aleinov and Schmidt, 2006; Yoshimura *et al.*, 2006] would enable a more accurate representation of isotopic fractionation over land and allow us to explore the impact of vegetation changes on continental isotopic records. Water-stable isotopes are being implemented in ORCHIDEE, the land surface component of the IPSL model, and LMDZ-ORCHIDEE-iso coupled simulations will be reported in a future paper.

[82] Finally, further global evaluations of our GCM will be carried out in the future by comparing its simulations with the newly available global isotopic data measured by satellite, in particular the Tropospheric Emission Spectrometer (TES) [Worden *et al.*, 2007] and Scanning Imaging Absorption Spectrometer for Atmospheric Cartography (SCIAMACHY) [Frankenberg *et al.*, 2009] data.

## Appendix A: Advection of Water-Stable Isotopes

[83] Water isotopes are advected passively during the large-scale water advection. However, for numerical reasons, the advection of water isotopes can be problematic in some schemes [e.g., Joussaume, 1989; Mathieu *et al.*, 2002]. We present here the Van Leer [1977] advection scheme used in LMDZ-iso and explain the possible problem of isotopic advection and how we handle it. To simplify, we consider in the following a unidimensional advection along the  $x$  axis, with a westerly wind.

### A1. Van Leer Advection Scheme

[84] The Van Leer [1977] scheme used in LMDZ is a finite volume advection scheme, conserving water mass. In the grid box  $i$ ,  $m'_i$ , the total air mass at time  $t + \Delta t$  is a

function of the total air mass at time  $t$  and the air mass fluxes on the left and right border,  $U_{i-1/2}$  and  $U_{i+1/2}$ , respectively:

$$m'_i = m_i + U_{i-1/2} - U_{i+1/2}.$$

[85] Similarly, the averaged specific humidity in grid box  $i$  at time  $t + \Delta t$ ,  $q'_i$ , is a function of the specific humidity at time  $t$  and the water flux on the left and right border,  $F_{i-1/2}$  and  $F_{i+1/2}$ :

$$q'_i = \frac{q_i \cdot m_i + F_{i-1/2} - F_{i+1/2}}{m'_i}.$$

[86] Water fluxes (for example,  $F_{i-1/2}$ ) are calculated as the product of the air mass flux ( $U_{i-1/2}$ ) and the specific humidity of the advected air ( $q_{i-1/2}$ ):

$$F_{i-1/2} = U_{i-1/2} \cdot q_{i-1/2}.$$

[87] In the Van Leer scheme,  $q_{i-1/2}$  is interpolated between the averaged specific humidity of the adjacent boxes, so that  $q_{i-1/2}$  is a function of ( $q_i$ ,  $q_{i+1}$ ,  $q_{i-1}$ ).

[88] As long as  $q_{i-1/2}$  is intermediate between  $q_i$  and  $q_{i-1}$ , the monotony of the scheme is ensured:  $q'_i$  remains intermediate between  $q_i$  and  $q_{i-1}$  [Van Leer, 1977; Hourdin, 2005].

### A2. Implementation of Water Isotopes in the Van Leer Scheme

[89] As for water, the isotopic equivalent of specific humidity,  $X$ , is advected in finite volume

$$X'_i = \frac{X_i \cdot m_i + G_{i-1/2} - G_{i+1/2}}{m'_i},$$

where  $G_{i-1/2}$  and  $G_{i+1/2}$  are the isotope fluxes at the left and right boundaries. The isotope mass is thus conserved during advection. The isotope flux at the left boundary,  $G_{i-1/2}$ , is calculated as the product  $U_{i-1/2}$  and the isotopic content of the advected air  $X_{i-1/2}$ ,

$$G_{i-1/2} = U_{i-1/2} \cdot X_{i-1/2}.$$

[90] In a purely Lagrangian framework, transport should simultaneously conserve the water, the isotopic content, and the isotopic ratio  $R = X/q$ : indeed, it can be shown that if  $X$  follows the same conservation equation as  $q$  of the form  $\frac{\partial q}{\partial t} + u \cdot \frac{\partial q}{\partial x} = 0$ , then  $R$  also follows the same conservation equation.

[91] In the Van Leer scheme, however, owing to the discretization,  $X$  and  $R$  cannot follow simultaneously the same equation as  $q$ . A choice has thus to be made regarding how to handle the transport of water isotopes.

[92] If  $X$  were to be treated exactly like water,  $X_{i-1/2}$  should be interpolated between the adjacent grid boxes, so that  $X_{i-1/2}$  is a function of ( $X_i$ ,  $X_{i+1}$ ,  $X_{i-1}$ ). Then the monotony of the transport would be ensured for  $q$  and  $X$ . However, the monotony would not be ensured for  $R$ , owing to the nonlinearity of  $R$  as a function of  $X$  and  $q$ . In particular, it could happen that the isotopic ratio after advection,  $R'_i$ , becomes either larger or smaller than the ratios in

both adjacent grid boxes. Spurious variations of the isotopic ratio could thus appear.

[93] Problems arising from representing the isotopic mixing ratio exactly like the water mixing ratio are justified physically: isotopes are not transported independently from the water but inside the water.

[94] Therefore, to ensure that isotopes are not transported without water, we calculate  $X_{i-1/2}$  as the product of the advected water content  $q_{i-1/2}$  and the isotopic ratio of this advected water  $R_{i-1/2}$ ,

$$X_{i-1/2} = q_{i-1/2} \cdot R_{i-1/2}.$$

[95] To ensure the monotony of the isotopic ratio,  $R_{i-1/2}$  is interpolated between the adjacent boxes, so that  $R_{i-1/2}$  is a function of ( $R_i$ ,  $R_{i+1}$ ,  $R_{i-1}$ ).

[96] This representation of the isotopic transport ensures both the conservation of the mass of water isotopes (finite volume scheme) and the monotony of the isotopic ratio.

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