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# Epistasis, pleiotropy and the mutation load in sexual and as exual populations

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Running head: Fitness landscapes and the mutation load

#### ABSTRACT

Mutation may impose a substantial load on populations, which varies accord-2 ing to the reproductive mode of organisms. Over the last years, different authors used 3 adaptive landscape models to predict the long term effect of mutation on mean fitness; 4 however, many of these studies assumed very weak mutation rates, so that at most 5 one mutation segregates in the population. In this paper we derive several simple 6 pproximations (confirmed by simulations) for the mutation load at high mutation a 7 rate (U), using a general model that allows us to play with the number of selected 8 traits (n), the degree of pleiotropy of mutations and the shape of the fitness function 9 (which affects the average sign and magnitude of epistasis among mutations). When 10 mutations have strong fitness effects, the equilibrium fitness  $\overline{W}$  of sexuals and asexu-11 als is close to  $e^{-U}$ ; under weaker mutational effects, sexuals reach a different regime 12 where  $\overline{W}$  is a simple function of U and of a parameter describing the shape of the 13 fitness function. Contrarily to weak-mutation results showing that  $\overline{W}$  is an increasing 14 function of population size and a decreasing function of n, these parameters may have 15 opposite effects in sexual populations at high mutation rate. 16

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18 Keywords: adaptive landscape, epistasis, evolutionary quantitative genetics, multi19 locus models, mutation load, stabilizing selection

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#### INTRODUCTION

Although mutation represents the ultimate fuel for adaptation, it is also the 21 source of a fitness cost for populations due to the production of sub-optimal geno-22 This "mutation load" may in turn affect many important evolutionary protypes. 23 cesses, such as the evolution of sex and recombination (Kondrashov, 1988; Barton, 24 1995; Keightley and Otto, 2006; Otto, 2009), inbreeding depression and the evolu-25 tion of mating systems (Lande and Schemske, 1985; Charlesworth and Charlesworth, 26 1999; Charlesworth, 2006), mate choice (Rowe and Houle, 1996) or ploidy levels (Otto 27 and Goldstein, 1992; Otto and Marks, 1996). Different types of models have explored 28 the effect of recurrent mutation on the average fitness of populations. The simplest 29 model assumes that each mutation decreases fitness by a fixed factor, independently 30 of the genetic background (multiplicative model). In that case, the average fitness of a 31 population at mutation-selection balance (relative to the maximal possible fitness) is 32 approximately  $e^{-U}$ , where U is the genomic rate of deleterious mutation (e.g., Crow, 33 1970). This results holds for both sexual (randomly mating) and asexual populations, 34 as long as stochastic effects can be neglected. However, it seems unlikely that most 35 mutations have independent effects: for example, direct measures of fitness effects of 36 mutations (alone and in combination) in microorganisms usually show a wide distri-37 bution of epistatic interactions among pairs of mutations (e.g., Martin et al., 2007). 38 Kimura and Maruyama (1966) explored the effects of epistasis among deleterious al-39 leles on mean fitness, assuming that epistasis is the same for all pairs of mutations. 40 They showed that when interactions among mutations tend to reinforce their deleteri-41 ous effect (negative epistasis) the mean fitness of sexual populations increases, while it

decreases when deleterious alleles tend to compensate each other (positive epistasis). By contrast, the mean fitness of asexual populations is not affected by epistasis and remains approximately  $e^{-U}$ .

In a different class of models, mutations affect a given number of phenotypic 46 traits which in turn influence fitness. Fisher (1930), Haldane (1932) and Wright (1935) 47 considered different models of stabilizing selection acting on a single quantitative trait 48 influenced by many genes. In particular, Haldane and Wright derived expressions for 49 equilibrium allele frequencies, from which mean fitness can be deduced; further de-50 velopments (to which we will return below) were done in the sixties and seventies, 51 in particular by Kimura (1965), Bulmer (1971, 1972) and Lande (1976, 1977). Inter-52 estingly, compensatory effects between mutations emerge naturally from this type of 53 model (a mutation that displaces from the optimum can be compensated by another 54 mutation bringing closer to the optimum), together with distributions of epistatic in-55 teractions among mutations. In his Genetical Theory of Natural Selection, Fisher also 56 proposed a model involving multiple phenotypic traits in support of his idea that adap-57 tation is mainly due to mutations of small effect: this geometrical model represents 58 an *n*-dimensional phenotypic space where each dimension corresponds to the value of 59 quantitative trait, and where mutations correspond to random vectors displacing а 60 individuals in phenotypic space. Fitness is assumed to decrease monotonously as the 61 Euclidean distance from a point corresponding to the optimal phenotype increases. 62 Although the initial goal of Fisher's geometrical model was to describe the dynamics 63 of adaptation (Fisher, 1930; Orr, 1998, 2000; Welch and Waxman, 2003), it has also 64 been used to explore the effects of various parameters (population size, number of 65 phenotypic traits under selection, shape of the fitness peak...) on the mean fitness 66

of populations in equilibrium situations. Several authors considered a weak-mutation 67 limit ( $NU \ll 1$ , where N is population size and U the total rate of mutations affecting 68 the traits under selection) so that at most one mutation segregates in the popula-69 tion at a given time (Hartl and Taubes, 1998; Poon and Otto, 2000; Sella and Hirsh, 70 2005; Tenaillon et al., 2007; Sella, 2009): the population evolves away from the op-71 timum by fixing weakly deleterious alleles by random drift, and occasionally returns 72 near the optimum by fixing a compensatory mutation. For example, using the fitness 73 function  $W = \exp(-d^Q)$ , where d is the Euclidean distance from the optimum in 74 phenotypic space and Q a parameter affecting the shape of the fitness peak, Tenail-75 lon et al. (2007) showed that the equilibrium mean fitness of a haploid population is 76 approximately  $(1 - 1/(2N))^{\frac{n}{Q}}$ , where n is the number of traits affecting fitness (often 77 called "complexity"). Note that this result does not depend on the reproductive mode 78 of organisms (sexual or asexual) since at most one locus is polymorphic in this low 79 mutation limit. 80

It seems likely that in many organisms, however,  $NU \gg 1$ , in which case many 81 of the loci affecting the traits under selection may be polymorphic at the same time. 82 In this regime, and assuming that the position of the optimum remains constant over 83 time, the population can be represented by a collection of points centered around the 84 optimum, while mean fitness can usually be expressed in terms of the variance of the 85 different trait values in the population. Since the original works of Haldane (1932) 86 and Wright (1935), numerous models have been used to calculate the genetic variance 87 of a quantitative trait (or a set of traits) maintained at mutation-selection balance, 88 and different regimes have been described (e.g., Bulmer, 1989; Bürger, 2000; Johnson 89 and Barton, 2005; Zhang and Hill, 2005). When mutations tend to have strong fitness 90

effects, the distribution of phenotypic values is often highly leptokurtic (with a sharp 91 peak at the optimum) and may present a singularity (Waxman and Peck, 1998). In 92 the limit of very strong selection, the expected contribution to future generations 93 of individuals located away from the optimum becomes negligible; in this case, one 94 expects that mean fitness, relative to the fitness of individuals at the optimum  $(\overline{W}/W_0)$ 95 should be approximately  $e^{-U}$  when the number of new mutations per individual is 96 Poisson-distributed, independently of the exact shape of the fitness peak. Indeed, 97 a recursion for the frequency of individuals at the optimum  $(x_0)$  is given by  $x_0' =$ 98  $e^{-U}x_0W_0/\overline{W}$  ( $e^{-U}$  being the probability that no mutation occurs), which yields the 99 desired result when  $x'_0 = x_0$  (e.g., Kimura and Maruyama, 1966). 100

When mutations tend to have weak fitness effects, different results can be ob-101 tained by assuming that equilibrium distributions of phenotypic values are Gaussian. 102 Most models exploring this regime considered a single trait under selection and a 103 Gaussian (or quadratic) fitness function, although multivariate models have also been 104 proposed (Lande, 1980; Turelli, 1985). Different assumptions regarding the genetic ar-105 chitecture of traits (in particular the number of alleles per locus) have been explored. 106 Continuum-of-alleles models assume that an infinite number of alleles (having different 107 phenotypic effects) segregate at each locus; expressions for the genetic variance and 108 mean fitness under this scenario have been obtained by assuming that the equilibrium 109 distribution of allelic effects in the population is Gaussian at each locus (e.g., Kimura, 110 1965; Lande, 1976). Lande (1980) generalized these models to the case where selection 111 acts on multiple traits, assuming a multivariate Gaussian fitness function. Although 112 the general expression for equilibrium genetic variances (and mean fitness) is cumber-113 some, it takes a simpler form when selection acts independently on each trait, and in 114

the absence of correlation of mutational effects across traits (p.294 in Bürger, 2000). 115 Neglecting linkage disequilibria among loci, mean fitness can then be written as a sim-116 ple function that depends on the number of selected traits, number of loci, strength 117 of selection and mutational variance (see Results section below). Other models con-118 sidered stabilizing selection (Gaussian fitness function) acting on a single trait coded 119 by multiple biallelic loci (e.g., Bulmer, 1972, 1985; Barton, 1986, 1989). As shown by 120 Barton (1986), multiple possible equilibria exist for allele frequencies at the different 121 loci. Although the population mean phenotype may deviate from the optimum at 122 some of these equilibria, Barton (1989) argues that perturbations generated for exam-123 ple by random drift should keep the population mean near the optimum. Neglecting 124 linkage disequilibria, and as long as the effects of mutation and drift at each locus are 125 weaker than selection, one obtains from the expression of the equilibrium genetic vari-126 ance that mean fitness should be close to  $e^{-U}$ . More recently, Zhang and Hill (2003) 127 investigated the maintenance of genetic variation in multiple-trait models (including 128 correlations among traits), assuming that the fitness function is multivariate Gaussian, 129 and that selection at each locus is sufficiently strong so that mutations remain rare in 130 the population (only one mutant allele segregates at each locus). Results for the case 131 of a changing optimum have been derived by Zhang (2012). Finally, effects of linkage 132 disequilibria have been explored in both continuum-of-alleles and biallelic models, for 133 the case of a single trait under Gaussian stabilizing selection (Bulmer, 1974; Lande, 134 1976; Turelli and Barton, 1990), and were shown to be minor as long as the total rate 135 of mutation on loci affecting the trait remains small, and linkage is not too tight. 136

<sup>137</sup> In this article, we derive several simple approximations for the mutation load in <sup>138</sup> both sexual and asexual populations at high mutation rate, using a general model that

allows us to play with the shape of the fitness peak (which in turn affects the average 139 sign and magnitude of epistasis among mutations), the number of traits under selection 140 and the degree of pleiotropy of mutations. These results are tested by individual-141 based simulations representing stabilizing selection on traits coded by large numbers 142 of loci. As we will see, two different regimes are observed in asexual populations: when 143 mutations tend to have large fitness effects, mean fitness only depends on the mutation 144 rate and is close to  $e^{-U}$ , while under weaker mutational effects mean fitness increases 145 as mutational effects decreases, and can be expressed in terms of the mutation rate, 146 the dimensionality of the landscape, the shape of the fitness peak and the average 147 deleterious effect of mutations. By contrast, three regimes are observed in the case 148 of sexual populations: under strong mutational effects, mean fitness is again close to 149  $e^{-U}$ , while it switches to a different value that only depends on the mutation rate 150 and the shape of the fitness peak as mutational effects decrease. Interestingly, mean 151 fitness is the same under these two regimes when the fitness function is Gaussian 152  $(\overline{W} \approx e^{-U})$ , while  $\overline{W} > e^{-U}$  in the second regime when the fitness peak is flatter 153 than a Gaussian around the optimum, and  $\overline{W} < e^{-U}$  when the fitness peak is sharper. 154 Finally, as mutational effects continue decreasing a third regime is entered, where allele 155 frequency dynamics are dominated by mutation and drift. We also obtain expressions 156 for linkage disequilibria and find that they can have a substantial effect on fitness, in 157 particular when the mutation rate is high and the number of traits under selection is 158 low. 159

#### MODEL

Multivariate stabilizing selection. Parameters and variables are summarized in Table 1. The model represents an infinite haploid population with discrete generations, under stabilizing selection on n phenotypic traits; for each individual, trait values are given by the vector  $\mathbf{z} = (z_0, z_1, \ldots, z_n)$ . Following others (Wilke and Adami, 2001; Tenaillon et al., 2007; Gros et al., 2009), we assume for simplicity that the fitness function is spherically symmetric, and is given by:

$$W = \exp\left[-\frac{d^Q}{2V_{\rm S}}\right] \tag{1}$$

where  $d = \sqrt{\sum_{i=1}^{n} z_i^2}$  is the Euclidean distance in phenotypic space from the optimal 167 phenotype (located at  $z_i = 0$  for each trait i),  $V_S$  measures the strength of selection, 168 while the parameter Q determines the shape of the fitness peak: Q = 2 corresponds to 169 a (multivariate) Gaussian fitness function, while Q < 2 (resp. Q > 2) leads to a sharper 170 (resp. flatter) fitness peak. Traits are coded by L biallelic loci, each locus affecting 171 a subset  $m \leq n$  of phenotypic traits (sampled randomly and independently for each 172 locus among the set of n traits). As in Lourenço et al. (2011), the parameter m thus 173 measures the number of traits affected by a single mutation ("mutation pleiotropy"), 174 while n is the total number of traits under selection ("complexity"): m = n corresponds 175 to maximal pleiotropy, where each mutation affects all selected traits (as in Fisher's 176 model). The genomic mutation rate is denoted U, so that each locus mutates at rate 177 u = U/L. For simplicity, we assume additive effects of the different loci on phenotypic 178 traits, and no environmental variance. Denoting 0 and 1 the two alleles at each locus, 179 and  $X_j$  an indicator variable that equals 0 if an individual carries allele 0 at locus j, 180

and 1 otherwise, the value of trait i in a given individual is given by:

$$z_i = \sum_{j=1}^{L} r_{ij} X_j \tag{2}$$

where  $r_{ij}$  measures the effect of allele 1 at locus j on trait i: an individual carrying alleles 0 at all loci is thus at the phenotypic optimum. For each locus j, the effect of allele 1 on each of the m traits affected by the locus is sampled in a Gaussian distribution with mean 0 and variance  $a^2$  (therefore,  $r_{ij}$  can be positive or negative). Finally, we denote  $\overline{s}$  the mean deleterious effect of mutations (measured on log-fitness) in a population at the optimum, given by Gros et al. (2009):

$$\overline{s} = \frac{\left(2a^2\right)^{\frac{Q}{2}}}{2V_{\rm S}} \frac{\Gamma\left(\frac{m+Q}{2}\right)}{\Gamma\left(\frac{m}{2}\right)} \tag{3}$$

(where  $\Gamma$  is Euler's gamma function), which simplifies to  $\overline{s} = \frac{m}{2} (a^2/V_S)$  in the case of a Gaussian fitness function (Q = 2, in agreement with Martin and Lenormand, 2006). As shown by Gros et al. (2009), the average epistasis among pairs of mutations (at the optimum, and measured on log-fitness) is given by  $\overline{e} = (2 - 2^{Q/2}) \overline{s}$ , which equals zero when Q = 2 (see also ref. Martin et al., 2007) but it positive for Q < 2, and negative for Q > 2.

Finally, we can note that the strength of selection  $V_{\rm S}$  (equation 1) can be con-194 sidered as a scaling parameter: using the scaled variables  $z_{i,s} = z_i / (2V_s)^{\frac{1}{Q}}$  to measure 195 phenotypic traits, fitness in terms of  $z_{i,s}$  variables becomes independent of  $V_S$ , while the 196 variance of the distribution of mutational effects on each  $z_{i,s}$  equals  $a_s^2 = a^2/(2V_s)^{\frac{2}{Q}}$ : 197 therefore,  $a^2$  and  $V_{\rm S}$  affect the results only through the compound parameter  $a_{\rm s}^2$ . In 198 the simulations (described next), we used  $V_{\rm S} = 1/2$  so that  $a_{\rm s}^2 = a^2$ . As shown by 199 equation 3, large (resp. small) values of  $a_s^2$  imply that mutations have strong (resp. 200 weak) fitness effects. 201

**Simulations.** Analytical predictions are tested using individual-based simulations. 202 Our program (written in C++, and available upon request) represents a haploid pop-203 ulation of N individuals with discrete generations. The genome of each individual is 204 represented by a sequence of L bits (0 or 1) corresponding to the different loci. Phe-205 notypic effects of mutation at each locus are sampled at the start of the simulation: 206 the m traits affected by a given locus are sampled randomly (and independently for 207 each locus) among the n selected traits, and the effect of mutation on each of these m208 traits is sampled from a Gaussian distribution with mean 0 and variance  $a^2$ . At the 209 start of a generation, phenotypic values are computed for each individual; from this, 210 one obtains the fitness of the individual based on equation 1. For each individual of 211 the next generation, two parents are sampled (the probability that an individual is 212 sampled being proportional to its fitness); selfing is allowed if the same individual is 213 sampled twice. To generate a recombinant chromosome, the number of cross-overs is 214 sampled from a Poisson distribution with parameter R (genome map length), and the 215 position of each cross-over along the chromosome is sampled from a uniform distribu-216 tion (R = 0 corresponds to the case of an asexual population). Finally, the number 217 of new mutations occurring in each genome is sampled from a Poisson distribution 218 with parameter U, and the positions of mutant loci are sampled randomly; alleles are 219 switched at mutant loci, from 0 to 1 or from 1 to 0 (mutation and back mutation thus 220 occur at the same rate). In order to gain execution speed, the program is parallelized 221 (using the MPI library) to run on several processors, each processor dealing with a 222 given segment of the genome, for all individuals; execution speed can be considerably 223 increased when the number of processors is sufficiently large so that the probability 224 that an event (mutation or cross-over) occurs in a given segment per generation is 225

low (in which case most genome segments stay unchanged from one generation to thenext).

At the start of the simulation each genome contains only "0" alleles, which cor-228 responds to the fitness optimum. Simulations run for 50000 generations, equilibrium 229 being reached during the first 30000 generations for most parameter values tested. 230 Every 1000 generations, the program records the mean fitness of the population and 231 the first 6 moments of the distribution of each phenotypic trait in the population. 232 The results shown in the different figures correspond to averages over the last 20000 233 generations. Error bars were calculated using Hastings' (1970) batching method, di-234 viding these 20000 generations into 4 batches of 5000 generations and calculating the 235 standard error over these 4 batches; however, error bars were generally small relative 236 to the size of symbols used in the figures and are thus not shown. All our simulation 237 results (with the *Mathematica* commands used to generate the figures) can be found 238 in the Supplementary Material. 239

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#### RESULTS

Asexual population. Figure 1 shows the equilibrium mean fitness of an asexual population as a function of the variance of mutational effects (on scaled traits)  $a_s^2$ (left) or of the mean deleterious effect of mutations  $\overline{s}$  (right), and for different values of the shape parameter Q. As  $a_s^2$  becomes large, mean fitness converges to  $e^{-U}$  (which is close to 0.6 for U = 0.5, dashed line). For smaller values of the scaled mutational variance, however, the population reaches a different regime where  $\overline{W} > e^{-U}$ . In this case, an approximation for mean fitness can be obtained by assuming that distributions of phenotypic traits  $z_i$  are Gaussian at equilibrium. As explained in Supplementary File S1, Euclidean distances from the optimum (d) then follow a  $\chi$ -distribution, which yields:

$$\overline{\ln W} = -\frac{\left(2V_{\rm G}\right)^{\frac{Q}{2}}}{2V_{\rm S}} \frac{\Gamma\left(\frac{n+Q}{2}\right)}{\Gamma\left(\frac{n}{2}\right)} \tag{4}$$

where  $V_{\rm G}$  is the genetic variance at equilibrium (the variance of  $z_i$ , which by symmetry should be the same for all traits *i*); for Q = 2 (Gaussian fitness function), this simplifies to  $\overline{\ln W} = -(n/2) (V_{\rm G}/V_{\rm S})$ . An expression for  $V_{\rm G}$  at equilibrium is derived in Supplementary File S1. Assuming that  $\overline{\ln W} \approx \ln \overline{W}$ , one obtains that when m = n(that is, when each mutation affects all phenotypic traits):

$$\overline{W} \approx \exp\left[-\overline{s}\left(\frac{n\,U}{\overline{s}\,Q}\right)^{\frac{Q}{2+Q}}\right] \tag{5}$$

When Q = 2, equation 5 simplifies to  $\exp\left[-\sqrt{\frac{n}{2}U\overline{s}}\right]$ , in agreement with the result 256 obtained from Lande's analysis (1980) when selection acts independently on the dif-25 ferent traits, and in the absence of mutational covariances among traits (that is, when 258 the variance-covariance matrices representing the effects of selection and mutation on 259 the traits are diagonal). Indeed, in an asexual population the whole genome can be 260 considered as a single locus with many alleles (provided that the number of loci is 261 sufficiently large), in which case Lande's analysis of the continuum-of-alleles model 262 yields  $V_{\rm G} = \sqrt{U a^2 V_{\rm S}}$  when mutation and selection covariance matrices are diagonal 263 (the equilibrium genetic variance for each trait is not affected by the other traits under 264 selection, see also p. 294 in ref. Bürger, 2000). When m < n, equation 5 still holds 265 when the fitness function is Gaussian (Q = 2), while  $\overline{W}$  is given by a slightly more 266 complicated expression when  $Q \neq 2$  (Supplementary File S1). However, this expres-267 sion only depends weakly on m (for fixed  $\overline{s}$ ) and remains close to equation 5 in most 268

cases (unless Q is high and m is small). As shown by Figure 1, equation 5 provides accurate predictions for  $\overline{W}$  as long as  $a_s^2$  is not too high. Supplementary Figure S1 shows the genetic variance  $V_G$  at equilibrium, for the same parameter values as in Figure 1.

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Sexual population. Figure 2 shows the equilibrium mean fitness of a sexual popu-274 lation as a function of  $a_s^2$  and  $\overline{s}$ . When mutations have strong effects on fitness, one 275 obtains again that  $\overline{W} \approx e^{-U}$  (right-most points on Figure 2). As  $a_s^2$  decreases, a sec-276 ond regime is entered where  $\overline{W}$  reaches a new value which is independent of  $a_s^2$ , but 277 depends on the shape parameter Q (roughly for  $-4 < \log_{10}{(a_s^2)} < -2$ ). Finally, as  $a_s^2$ 278 continues decreasing a third regime is entered where  $\overline{W}$  increases up to  $\overline{W} \approx 1$  as  $a_s^2$ 279 decreases. In Supplementary File S2, we show that approximations for mean fitness 280 under these last two regimes  $(a_s^2 \text{ small})$  can be obtained by assuming that distributions 283 of phenotypic values in the population are Gaussian. As in previous models (e.g., Bul-282 mer, 1972; Barton, 1986) one obtains that the equilibrium frequency of allele 1 at locus 28 j  $(p_j)$  is either (i) at  $p_j = 1/2$  (when selection at locus j is weak relative to mutation) 284 or (ii) at one of the two symmetric equilibria where  $p_j (1 - p_j) = X < 1/4$  (where X is 285 a function of the model's parameters), the locus being closer to fixation (for allele 0 or 286 1) as selection increases. Assuming that all loci are at equilibrium (ii) and neglecting 287 linkage disequilibria among loci, one obtains the following approximation for mean 288 fitness: 289

$$\overline{W} \approx \exp\left[-\frac{2U}{Q}\right] \tag{6}$$

Figure 2 shows that this expression does indeed provide a correct prediction of  $\overline{W}$  for intermediate values of  $a_s^2$  (dashed lines). A better approximation can be obtained by

taking into account effects of the linkage disequilibria, which is done in Supplementary 292 File S2 using the methods developed by Turelli and Barton (1990). As described before 293 (Bulmer, 1971, 1974; Lande, 1976; Turelli and Barton, 1990), stabilizing selection tends 294 to generate negative covariances among loci, which affect  $\overline{W}$  through two effects: a 295 reduction of the genetic variance  $V_{\rm G}$  which directly increases  $\overline{W}$ , and an effect on 296 equilibrium allele frequencies and thus on the genic variance  $V_{\rm g}$  (see Appendix A) 29 which has the opposite effect on  $\overline{W}$ . As long as linkage disequilibria remain small 298 the second effect predominates, and one obtains the following approximation for  $\overline{W}$ 299 (assuming that the number of loci L is large): 300

$$\overline{W} \approx \exp\left[-\frac{2U}{Q}\left(1 + \frac{2U}{n}\left(\frac{1}{r_{\rm H}} - 1\right)\right)\right] \tag{7}$$

where  $r_{\rm H}$  is the harmonic mean recombination rate between all pairs of loci (derived in 301 Appendix B under our simulated genetic architecture). Figure 2 shows that equation 302 7 does indeed provide a slightly better approximation than equation 6 for intermediate 303 values of  $a_s^2$  (horizontal solid lines). Interestingly, Supplementary Figure S1 shows that 304 Q has only a weak effect on the equilibrium genetic variance  $V_{\rm G}$  in this intermediate 305 regime, which remains close to the value obtained for Q = 2, neglecting linkage dise-306 quilibria:  $V_{\rm G} = 2UV_{\rm S}/n$  (which is also obtained from *house-of-cards* models assuming 307 a Gaussian fitness function, e.g. Turelli, 1985; Bürger, 2000). Finally, when  $a_s^2$  is very 308 small (so that mutations have very weak fitness effects) one predicts that most loci 309 should be at the equilibrium where  $p_j = 1/2$ , in which case  $\overline{W} \approx \exp\left[-\overline{s} \left(L/4\right)^{\frac{Q}{2}}\right]$ 310 where L is the total number of loci. However, in this regime we expect that the 31 relative effect of genetic drift on allele frequency dynamics could be important. In 312 Supplementary File S4, we show that when selection at each locus becomes weaker 313

than mutation and drift, an approximation for mean fitness is given by:

$$\overline{W} \approx \exp\left[-\overline{s}\left(\frac{NU}{1+4Nu}\right)^{\frac{Q}{2}}\right]$$
(8)

where N is population size. Figure 2 shows that equation 8 provides accurate pre-315 dictions when  $a_s^2$  is very small (left part of the figures). Furthermore, combining 316 equations 7 and 8 (solid curves) gives good predictions for all values of  $a_{\rm s}^2$  as long 317 as they remain small (roughly,  $< 10^{-2}$  for the parameter values of Figure 2) so that 318 the Gaussian approximation holds. For higher values of  $a_s^2$ , simulations indicate that 319 distributions of phenotypic values depart from Gaussian distributions: in particular, 320 the fourth and sixth cumulants  $K_4$  and  $K_6$  are positive and increase as  $a^2$  increases 321 (while the third and fifth cumulants stay close to zero), for all values of Q (results not 322 shown). In Supplementary File S3, we show that using a Gram-Charlier expansion to 323 approximate the distribution of phenotypic effects yields an expression for  $\overline{W}$  in terms 324 of the genetic variance  $V_{\rm G}$  and of higher cumulants  $(K_4, K_6)$ . Furthermore, these 325 higher cumulants can in turn be expressed in terms of the genetic variance, assuming 326 that  $p_j q_j$  is small at each locus (rare-alleles approximation, e.g. Barton and Turelli, 327 1987; Turelli and Barton, 1990): one obtains in particular  $K_4 \approx a^2 V_{\rm G} [3m/(2+m)]$ , 328  $K_6 \approx a^4 V_{\rm G} \left[ 15m/(4+m) \right]$ , which fits well with the simulation results (see Figure 1 in 329 Supplementary File S3). Finally, the methods developed by Turelli and Barton (1994) 330 can be used to calculate  $V_{\rm G}$  at equilibrium in this non-Gaussian regime. As shown in 331 Supplementary File S3, the result (which has to be obtained numerically) fits well with 332 the simulation results as long as  $a_s^2$  is not too large, and  $Q \ge 2$ ; however, we could not 333 find any simple analytical expression for  $\overline{W}$  in this non-Gaussian regime. From the 334 expressions of  $K_4$  and  $K_6$  above, one predicts that the Gaussian regime should be left 335

more rapidly (as  $a^2$  increases) for larger m, since  $K_4$  and  $K_6$  are increasing functions of m; Figure 3 (left) confirms that this is indeed the case. However, Figure 3 right indicates that for a fixed  $\overline{s}$ ,  $\overline{W}$  is relatively insensitive to m.

Figure 4 explores the effect of linkage on mean fitness: for U = 0.5 and n = 50, 339 the effect of linkage disequilibria on  $\overline{W}$  remains small as long as the harmonic mean 340 recombination rate  $r_{\rm H}$  is not too small (with L = 10000 loci,  $r_{\rm H} \approx 0.053$  for a map 343 length of 1 Morgan, while  $r_{\rm H} \approx 0.3$  for a map length of 10 Morgans — see Appendix 342 B). In this regime, increasing linkage tends to reduce mean fitness (through an in-343 crease in genic variance  $V_{\rm g}$ ), and equation 7 matches well with the simulation results 344 (except for Q = 1 where the fit is less good). For tighter linkage, however (roughly, 345 R < 1) simulations results depart from the prediction from equation 7 (which assumes 346 that the contribution of linkage disequilibria to the genetic variance remains small) 347 and show that  $\overline{W}$  increases as recombination decreases: overall, linkage thus has a 348 non-monotonic effect on mean fitness. Interestingly, equation 7 indicates that the con-349 tribution of linkage disequilibria becomes more important when the number of traits 350 under selection (n) is small. Figure 5 confirms this result, showing that lower values 351 of n lead to a stronger decrease in  $\overline{W}$  for both  $\overline{s} = 0.01$  and  $\overline{s} = 0.1$ , while the effect 352 is less marked (and may even be reversed) when  $\overline{s} = 0.001$ . This effect of n can be 353 understood as follows: mean fitness decreases when the sum of genetic variances for 354 the different traits  $\sum_{i=1}^{n} V_{\mathrm{G},i}$  increases. Due to the symmetry of our model, the two 355 components of the genetic variance (the genic variance  $V_{g,i}$ , and the contribution of 356 linkage disequilibria  $d_i$ , see Appendix A) should be the same for all traits. As shown 357 in Supplementary File S2 (see also Turelli, 1985; Bürger, 2000), when the effects of 358 linkage disequilibria are neglected the genetic variance for each trait at equilibrium is 359

proportional to 1/n (roughly,  $p_j q_j$  at each locus scales with 1/m, since the strength 360 of selection at each locus increases with m; however, a proportion m/n only of loci 363 contribute to trait i: therefore, the effect of m cancels and  $V_{g,i}$  scales with 1/n). As 362 a consequence  $\overline{W}$  (which depends on the product  $nV_{\rm G}$ ) is independent of n. The 363 contribution of associations between loci depends on all pairwise linkage disequilibria 36 and is proportional to  $V_{\rm g}{}^2$  (see Supplementary File S2) and therefore to  $1/n^2$ . As a 365 consequence, the overall contribution of linkage disequilibria to mean fitness is pro-366 portional to 1/n. However, pairwise linkage disequilibria may not be the only cause 367 of the strong reduction of  $\overline{W}$  shown on Figure 5 at low values of n (for  $\overline{s} = 0.01$  and 368 (0.1): indeed, simulations indicate that for these low values of n, distributions of phe-369 notypic traits in the population depart from Gaussian distributions (fourth and sixth 370 cumulants become significantly different from zero), in which case the results derived 371 in Supplementary File S2 (which assume Gaussian distributions of phenotypic traits) 372 do not hold. 373

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Effects of population size and number of loci. Many of the results shown above 375 assume a large population size and large number of loci. Figure 6 explores the effects 376 of varying population size: in the case of a sexual population (Figure 6A), smaller 377 population sizes tend to decrease  $\overline{W}$  when the average fitness effect of mutations is 378 sufficiently strong (right part of the figure). However, for lower values of  $\overline{s}$  one observes 379 the opposite effect, with higher values of mean fitness for lower population sizes. With 380 a Gaussian fitness function (Q = 2, as in Figure 6), a diffusion model can be used to 38 predict the equilibrium genetic variance under selection, mutation and drift, assuming 382 that mean phenotypes stay at the optimum (Bulmer, 1972). In Supplementary File S4, 383

we obtain an approximation for mean fitness (in terms of hypergeometric functions) 384 valid for  $Nu \ll 1$ , which fits well with simulation results for N = 500 (dotted curve in 385 Figure 6A). In contrast to the sexual case, the effects of population size are less marked 386 in the case of asexual organisms (Figure 6B), and  $\overline{W}$  always decreases as N decreases. 387 These effects of finite population size can be understood as follows. Genetic drift affects 388 mean fitness through two different effects: an effect on the genetic variance maintained 389 at equilibrium, which is usually (but not always) lower in smaller populations, and an 390 effect on mean phenotypes, which may be displaced from the optimum due to drift. 393 In the case of a Gaussian fitness function (Q = 2), these two effects can easily be 392 separated: indeed, log-fitness equals  $-\sum_{i=1}^{n} (z_i - z_i^*)^2 / (2V_S)$ , where  $z_i$  is the value of 393 phenotype i and  $z_i^*$  the optimal value for this phenotype (fixed to zero in our model). 394 Therefore, the average log-fitness is given by  $-\sum_{i=1}^{n} \left( V_{\mathrm{G},i} + \left( \overline{z_i} - z_i^* \right)^2 \right) / (2V_{\mathrm{S}})$ , where 395  $V_{\mathrm{G},i}$  is the genetic variance for trait i and  $\overline{z_i}$  the average value of trait i (see also 396 Charlesworth, 2013). Assuming that  $\overline{\ln W} \approx \ln \overline{W}$ , mean fitness can thus be written 397 as a product of two terms: 398

$$\overline{W} \approx \exp\left[-\frac{\sum_{i=1}^{n} V_{\mathrm{G},i}}{2V_{\mathrm{S}}}\right] \exp\left[-\frac{\sum_{i=1}^{n} (\overline{z_{i}} - z_{i}^{*})^{2}}{2V_{\mathrm{S}}}\right].$$
(9)

The first term (denoted hereafter  $\overline{W}_{V_{\rm G}}$ ) shows that increasing the variance of phenotypic traits tends to decrease mean fitness, while the second term (denoted hereafter  $\overline{W}_{\overline{z}}$ ) shows that displacing mean phenotypes from the optimum also decreases  $\overline{W}$ . Simulations show that injecting equilibrium values of genetic variances  $V_{{\rm G},i}$  and mean phenotypes  $\overline{z}_i$  (measured in the simulations) into equation 9 accurately predict  $\overline{W}$  for all parameters tried with Q = 2, as long as  $\log_{10}(\overline{s}) \leq -1$  (results not shown). Note that all the mathematical derivations performed in the different Supplementary Files

assume that mean phenotypes are at the optimum, and therefore that  $\overline{W}_{\overline{z}} = 1$ . Sup-406 plementary Figure S2 shows measures of  $\overline{W}_{V_{\rm G}}$  and  $\overline{W}_{\overline{z}}$  for the different values of N 407 and  $\overline{s}$  explored in Figure 6. These results can be summarized as follows: in a sexual 408 population (Figure 6A),  $\overline{W}_{\overline{z}}$  stays close to 1 in most cases, but may be slightly less 409 than 1 (around 0.97 - 0.98) for N = 500: mean phenotypes thus generally stay close 410 to their optimal values, and the mutation load is mainly generated by the term  $\overline{W}_{V_{\rm G}}$ . 411 When mutations tend to have strong fitness effects  $(\log_{10}(\overline{s}) \geq -2)$ , drift tends to 412 increase genetic variances  $V_{G,i}$  (by increasing  $\overline{p_j q_j}$  at each locus j above its value at 413 mutation-selection balance), and thus decreases  $\overline{W}_{V_{\rm G}}$  — note that this effect is taken 414 into account by the diffusion model (equation 6 in Supplementary File S4). When 415 mutations tend to have weak fitness effects  $(\log_{10}(\overline{s}) \leq -3)$  however, drift tends to 416 reduce  $V_{\mathrm{G},i}$  by bringing the different loci closer to fixation, thereby increasing  $\overline{W}_{V_{\mathrm{G}}}$ 417 (and hence  $\overline{W}$ ). By contrast, in an asexual population drift always reduce the genetic 418 variance ( $\overline{W}_{V_{\rm G}}$  increases as N decreases), but also has a substantial effect on mean 419 phenotypes ( $\overline{W}_{\overline{z}}$  decreases as N decreases). Because the second effect is stronger than 420 the first, the overall effect of drift is to reduce the mean fitness of asexuals. 421

Our model is somehow artificial, however, as we assumed that the fitness opti-422 mum is located at the origin  $(z_i^* = 0 \text{ for all } i)$ . Due to the symmetry of the model, one 423 expects that mean phenotypes should be at the origin (and thus at the optimum) in 424 the regime where dynamics are mainly driven by mutation and drift (low  $\overline{s}$ ), when the 425 number of loci is large: for example if a large, random proportion of loci are fixed for 426 allele 1 and the other loci fixed for allele 0, mean phenotypes should be close to zero 427 since the sum of all mutational effects (corresponding to the effects of fixed alleles 1) 428 converges to the average effect of mutations, which is zero. It seems artificial, however, 429

to assume that optimal trait values are precisely the values towards which the pop-430 ulation should converge at mutation-drift equilibrium, and it seems more likely that 431 in many cases, mean phenotypes at mutation-drift equilibrium should be far from the 432 optimal values (decreasing the value of  $\overline{W}_{\overline{z}}$  in the mutation-drift regime). In order to 433 explore that, we modified our simulation program so that the fitness optimum is not 434 located at the origin of the phenotypic space  $(z_i = 0 \text{ for all } i)$ . To do this, we select a 435 genotype sufficiently distant from the origin (in phenotypic space) so that when setting 436 optimal phenotypic values  $z_i^*$  to the values coded by this genotype, fitness at the origin 437 is less than 0.1. At the start of the simulation, the population is fixed for the genotype 438 corresponding to the fitness optimum. At mutation-drift balance, the population is 439 still expected to converge to the origin (due to the symmetry of the distribution of 440 mutational effects), where fitness is lower than 0.1. Results are shown on Figures 6C 441 and 6D in the case of a sexual and asexual population, respectively. As can be seen 442 on these Figures, the position of the fitness optimum has little effect as long as selec-443 tion is sufficiently strong  $(\log_{10}(\bar{s}) \geq -3)$ , since in this case selection maintains the 444 population near the optimum. For lower  $\overline{s}$ , however, mutation and drift tend to bring 445 populations away from the optimum (and closer to the origin), which decreases  $\overline{W}_{\overline{z}}$ 446 and thus decreases mean fitness (see also Supplementary Figure S2), this effect being 447 more pronounced in asexual than in sexual populations (in sexuals, this effect becomes 448 important only for  $\overline{s} = 10^{-5}$ ). Note that we could not obtain results for  $\overline{s} = 10^{-6}$ , 449 because with such low mutational effects (and with 10000 loci) it was not possible to 450 find a genotype sufficiently distant from the origin so that fitness at the origin is lower 45 than 0.1 when setting the optimum to the phenotypic values coded by this genotype. 452 Interestingly, in the sexual case (Figure 6C) one still observes an intermediate range 453

of  $\overline{s}$  ( $\overline{s} = 10^{-4}$ ,  $10^{-3}$ ) where decreasing population size increases mean fitness: in this regime, drift tends to bring each locus closer to fixation (thus reducing  $V_{\text{G,i}}$ ), but selection still maintains mean phenotypes  $\overline{z_i}$  close to the optimum. Decreasing  $\overline{s}$  (or decreasing N further, as shown in Supplementary Figure S2 for N = 100) generates departures of mean phenotypes from the optimum, decreasing  $\overline{W}_{\overline{z}}$  (and thus  $\overline{W}$ ).

Finally, Supplementary Figure S3 shows that changing the number of loci L(for a fixed U) has generally stronger effects in the case of sexual populations, where in the low  $\overline{s}$  regime, decreasing the number of loci decreases the genetic variance (and thus increases  $\overline{W}$ ).

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#### DISCUSSION

As we have seen in introduction, different forms of models have been proposed 464 to predict the overall effect of recurrent mutation on the mean fitness of populations. 465 Typically, models representing deleterious mutations (without including a phenotypic 466 dimension) do not consider possible compensatory effects among mutations, and as-467 sume that epistasis is the same for all pairs of mutations (Kimura and Maruyama, 468 1966; Charlesworth, 1990). Using this type of model, Kimura and Maruyama (1966) 469 predicted that epistasis should have no effect on the mean fitness of asexuals (which 470 should remain close to  $e^{-U}$ ), while it should increase the fitness of sexuals if it is 471 negative, and decrease  $\overline{W}$  if it is positive. On the other hand, models representing sta-472 bilizing selection on a set of quantitative phenotypic traits (such as Fisher's geometric 473 model) provide a natural way of incorporating compensatory effects among mutations 474 and distributions of selection coefficients and epistatic effects. In this paper, we used a 475

general model that allows us to play with the number of traits under selection (n), the 476 number of traits affected by a given mutation (m) and the shape of the fitness peak 477 (Q). Interestingly, this last parameter was already shown by others to affect the av-478 erage sign of epistasis among pairs of mutations: epistasis is positive on average when 479 the fitness peak is sharper than a Gaussian function (Q < 2), while it is negative when 480 the fitness peak is flatter than a Gaussian (Q > 2), and equal to zero when fitness is 481 exactly Gaussian (Martin et al., 2007; Gros et al., 2009). Furthermore, the average 482 deleterious effect of mutations at the optimum  $\overline{s}$  can be expressed as a simple function 483 of the variance of scaled phenotypic effects of mutations  $a_s^2$  and the parameters m and 484 Q (equation 3). 485

In the case of an asexual population, we found that mean fitness stays indeed 486 close to  $e^{-U}$  when mutations tend to have strong fitness effects; however,  $\overline{W} > e^{-U}$ 487 under weaker fitness effects of mutations (Gaussian regime), due to the possibility of 488 compensatory effects among mutations at different loci. For a fixed  $\overline{s}$ , mean fitness is 489 higher under positive epistasis (Q < 2) than under negative epistasis (Q > 2); this is 490 probably due to the fact that selection for compensatory mutations is stronger when 491 the fitness peak is sharp, keeping the population closer to the fitness optimum. In 492 contrast, under negative epistasis the fitness landscape presents a plateau around the 493 optimum, and at equilibrium many genotypes are located near the edge of this plateau, 494 where the effect of deleterious mutations tends to be strong. Finally, we showed that 495 under this regime mean fitness can be expressed as a simple function of  $U, \bar{s}, n$  and Q496 (equation 5), which indicates that  $\overline{W}$  decreases as the number of traits under selection 49 n increases. 498

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In a sexual population, mean fitness is also at  $e^{-U}$  when mutations have very

strong fitness effects: this is expected, as mutations stay at very low frequency and 500 epistatic interactions among mutations have thus little effect. For weaker mutational 501 effects, Kimura and Maruyama (1966)'s prediction is verified: positive epistasis de-502 creases  $\overline{W}$ , while negative epistasis increases it; furthermore, we showed that  $\overline{W}$  con-503 verges to a very simple expression  $\left(e^{-\frac{2U}{Q}}\right)$  when the phenotypic effect of mutations 50 is sufficiently weak, so that distributions of phenotypic values in the population are 505 nearly Gaussian. Although this expression assumes that linkage disequilibria among 506 loci can be neglected, effects of genetic associations can be computed, showing that 507 linkage disequilibria tend to reduce  $\overline{W}$  as long as they remain weak, the importance of 508 this effect increasing as the number of selected traits n decreases. Contrarily to results 509 obtained previously assuming  $NU \ll 1$  (Hartl and Taubes, 1998; Poon and Otto, 2000; 510 Tenaillon et al., 2007; Lourenço et al., 2011), our model predicts a higher mean fitness 511 when the number of traits is large, through this effect on linkage disequilibrium. Figure 512 5 indeed shows important reductions in fitness when the number of traits decreases 513 (for moderate to strong fitness effects of mutations, of the order 0.01 to 0.1), which 514 may also involve higher-order genetic associations (since distributions of phenotypic 515 values depart from Gaussian distributions for very low values of n). Rather than the 516 "cost of complexity" often described at low mutation rate, we thus predict a "cost of 517 simplicity" when the overall mutation rate is large (as shown by equation 7, this effect 518 is proportional to  $U^2$  and should thus become negligible when the overall mutation 519 rate is small). Note that this cost does not depend on the degree of pleiotropy (m) of 520 individual mutations, but only on the total number of traits under selection. Interest-523 ingly, these results do not depend on the precise shape of the distribution of mutational 522 effects (which was Gaussian in the simulations), since the derivation of equations 6 and 523

7 in Supplementary File S2 makes no assumption on this distribution. It does assume 524 uncorrelated mutational effects on the different traits, however, and it would be inter-525 esting to extend our analysis by incorporating mutational and/or selective correlations 526 among traits — as shown by Martin and Lenormand (2006), the overall effect of such 527 correlations is to reduce the effective number of phenotypic traits (see also Zhang and 528 Hill, 2003 for an analysis of the effects of correlations among traits). Furthermore, 529 different ways of incorporating restricted pleiotropy could be considered: in particu-530 lar, Welch and Waxman (2003) proposed a model in which different sets of traits are 531 affected by different subsets of loci (modular pleiotropy), and it would be interesting 532 to explore how this form of modularity would affect our results. 533

Regarding genetic architecture, an important assumption of our model is the 534 fact that loci are biallelic. By contrast, Lande (1980)'s analysis considered an infinite 535 number of alleles per locus (continuum-of-alleles model); in that case, assuming di-536 agonal mutation and selection matrices (no mutational or selective covariance among 537 traits) and neglecting linkage disequilibria, one obtains that the mean log-fitness should 538 be  $-\sqrt{\frac{n}{2}LU\overline{s}}$  (where L is the number of loci) in the case of a Gaussian fitness function 539 (this stems from the fact that the contribution of each locus to the genetic variance 540 is  $\sqrt{u a^2 V_{\rm S}}$ , where u is the per-locus mutation rate). This contrasts with the result 543 that mean log-fitness should be  $\approx -U$  when Q = 2 in biallelic models, and indicates 542 that assumptions about the number of alleles per locus may have important effects. 543 However, a key assumption of Lande's analysis is the fact that distributions of allelic 544 effects in the population are Gaussian at each locus, which is much stronger than the 545 assumption that distributions of overall phenotypes are Gaussian, and may not hold 546 for realistic values of per-locus mutation rates and mutational variances (e.g., Turelli, 547

<sup>548</sup> 1984). It would thus be interesting to explore how sensitive are our results to as-<sup>549</sup> sumptions regarding the number of alleles per locus. In particular, our assumption of <sup>550</sup> biallelic loci should not hold when  $Nu \sim 1$  (in which case many alleles may segregate <sup>551</sup> at the same locus).

Finally, we found that the degree of pleiotropy of mutations (m) affects mean 552 fitness mostly through its effect on  $\overline{s}$ , in regimes where  $\overline{W}$  is affected by  $\overline{s}$ : in partic-553 ular the Gaussian regime in asexual populations (equation 5), and the regime where 554 selection at each locus is weaker than mutation and drift in sexual populations (equa-555 tion 8). This contrasts with the result obtained by Lourenço et al. (2011) in the low 556 mutation limit ( $NU \ll 1$ ), showing that the average fitness at equilibrium depends 557 on the total number of selected traits but not on the degree of mutational pleiotropy. 558 Another difference concerns the effect of population size: although the load always 559 increases as population size decreases when  $NU \ll 1$  (Hartl and Taubes, 1998; Poon 560 and Otto, 2000; Tenaillon et al., 2007; Lourenço et al., 2011), we found that in sexu-561 als, the mutation load may actually be lower at smaller population sizes in the weak 562 selection regime (Figure 6). As we have seen, this occurs whenever the fitness effect 563 of mutations is small ( $\overline{s} = 10^{-4}$ ,  $10^{-3}$  in the case of Figure 6), so that diversity at 564 each locus  $(p_j q_j)$  at mutation-selection balance is substantial, in which case drift tends 565 to reduce this diversity and therefore reduces the genetic variance  $V_{\rm G}$  — the same 566 effect has also recently been discussed by Charlesworth (2013) in a 1-trait model. For 567 very low fitness effects of mutations, however, mutation and drift may displace mean 568 phenotypes away from the optimum and substantially reduce mean fitness (Figures 569 6C and D). This last effect may only occur for a restricted range of parameters, since 570 when  $\overline{s}$  becomes very low, very large numbers of loci are required for fitness to decrease 571

<sup>572</sup> significantly (see also Charlesworth, 2013).

In general, we found that asexual populations have a higher mean fitness than 573 sexual populations, in agreement with previous models of stabilizing selection with a 574 constant optimum (e.g., Charlesworth, 1993): this is due to the fact that genotypes 575 coding for optimal trait values are preserved by asexual reproduction, but broken by 576 recombination. Nevertheless, sexuals may have a higher mean fitness than asexuals 577 when epistasis among mutations is negative on average (Q > 2), as long as  $\overline{s}$  is not too 578 small (so that  $\overline{W}$  stays close to  $e^{-U}$  in asexuals, but reach higher values in sexuals); 579 this occurs for example for Q = 6, when  $\overline{s} \ge 10^{-4}$  (for the parameter values used in 580 Figures 1 and 2). Sexuals may also have a higher mean fitness when the effects of 583 drift are important: in particular, Figure 6C and 6D show that deviations of mean 582 phenotypes from their optimal values caused by drift are generally more important 583 in asexual than in sexual populations (for low  $\overline{s}$ ). Although we only observed this 584 last effect for restricted ranges of parameters, it may become more important in the 585 case of populations subdivided into many small demes. Previous models explored the 586 evolution of recombination modifiers under stabilizing selection (Charlesworth, 1993; 587 Barton, 1995), but ignored the effects of genetic drift: it would thus be interesting to 588 extend these models to the case of finite (or spatially structured) populations. Explor-589 ing the effects of diploidy and of the mating system would be other possible extensions 590 of the present work. 591

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We call  $V_{G,i}$  the variance of the value of trait i in the population:  $V_{G,i}$  = 599 Var  $[z_i]$ . Because we assume additive effects of the different loci on phenotypic traits 600  $(z_i = \sum_j r_{ij}X_j)$ , where the sum is over all loci j),  $V_{G,i}$  can be decomposed into two 601 terms (e.g., Lynch and Walsh, 1998):  $V_{G,i} = V_{g,i} + d_i$ , where  $V_{g,i} = \sum_j r_{ij}^2 p_j (1 - p_j)$ 602 is the genic variance  $(p_j$  being the frequency of allele 1 at locus j in the population), 603 and  $d_i = \sum_{j \neq k} r_{ij} r_{ik} D_{jk}$  the effect of linkage disequilibria on the genetic variance 604  $(D_{jk} = \operatorname{Cov} [X_j, X_k]$  being the linkage disequilibrium between loci j and k). In the 605 case of an asexual population, the genetic variance at equilibrium can be calculated 606 by considering the whole genome as a single locus with a large number of alleles 607 (Supplementary File S1). In the sexual case,  $V_{g,i}$  and  $d_i$  at equilibrium can be computed 608 using the methods developed by Turelli and Barton (1990), extended to deal with 609 multiple traits under selection (Supplementary File S2). Due to the symmetry of the 610 model we expect that  $V_{G,i}$  and  $V_{g,i}$  should be the same for all traits at equilibrium, 611 and are thus simply denoted  $V_{\rm G}$  and  $V_{\rm g}$  in the text. 612

Under the genetic setting described above, the harmonic mean recombination 614 rate among pairs of loci  $r_{\rm H}$  (that appears in equation 7) can be computed as follows. 615 The genetic distance between adjacent loci (in Morgans) is R/(L-1), and therefore 616 the distance between two loci separated by i between-locus intervals is iR/(L-1). 617 Furthermore, the number of different pairs of loci separated by i intervals is L - i. 618 Finally, the rate of recombination between two loci at genetic distance x (probability 619 that an odd number of cross-overs occurs within the interval) is given by Haldane's 620 mapping function:  $r(x) = \frac{1}{2}(1 - e^{-2x})$ , yielding: 621

$$\frac{1}{r_{\rm H}} = \frac{1}{\frac{1}{2}L\left(L-1\right)} \sum_{i=1}^{L-1} \frac{L-i}{\frac{1}{2}\left[1-\exp\left(-2i\frac{R}{L-1}\right)\right]} \tag{10}$$

which has to be evaluated numerically. For L = 10000 and R = 10, one obtains  $r_{\rm H} \approx 0.3$ .

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**Figure 1**. Mean fitness of an asexual population as a function of  $a_s^2$  and  $\bar{s}$ . X-axes correspond to the mutational variance on scaled traits  $a_s^2 = a^2/(2V_s)^{\frac{2}{Q}}$  (left) and the average deleterious effect of mutations (on log-fitness) at the optimum  $\bar{s}$  (right). The different colors correspond to different shapes of the fitness function, controlled by the parameter Q: Q = 1, 2, 3, 4, 5, 6 from red to purple. The dashed horizontal line corresponds to  $e^{-U}$ , while colored curves are predictions from equation 5, and dots are simulation results. Parameter values are U = 0.5, n = 50, m = 5; in the simulations  $V_s = 0.5$ , population size is set to N = 50000 and the number of loci to L = 10000.



Figure 2. Mean fitness of a sexual population as a function of  $a_s^2$  and  $\overline{s}$  (defined as the average effect of mutations on log-fitness at the optimum). Parameter values are the same as in Figure 1, with genome map length R = 10 Morgans (which leads to  $r_{\rm H} \approx 0.3$ , see Appendix B). Dots correspond to simulation results, and curves to different approximations obtained assuming Gaussian distributions of phenotypic traits in the population: equations 6 (dashed lines), 7 (horizontal solid lines) and 8 (solid curves on the left). Note that in the left figure all points are superposed for  $\log_{10} (a_s^2) = 0$ .



Figure 3. Mean fitness of a sexual population: effect of the degree of pleiotropy m. Squares: simulation results for Q = 6, m = 5 (white) and m = 50 (black); other parameters are as in Figure 2. The solid line corresponds to the prediction from equation 7 (Gaussian regime), and the dashed line to  $e^{-U}$ .



Figure 4. Mean fitness as a function of map length R in Morgans. R corresponds to the mean number of cross-overs per meiosis within the genome; note that R = 0corresponds to asexual reproduction. Parameter values are the same as in Figures 1 and 2, with  $a^2 = 0.0002$  in the simulations (so that  $\overline{s} = 0.001$  for Q = 2). Dots correspond to simulation results, and curves to the prediction from equation 7.



Figure 5. Mean fitness of a sexual population as a function of the number selected traits n. The fitness function is Gaussian (Q = 2). Curve: prediction from equation 7; dots: simulation results with  $\overline{s} = 0.001$  (empty circles),  $\overline{s} = 0.01$  (filled circles) and  $\overline{s} = 0.1$  (filled squares). Other parameters are as in Figure 2.



Figure 6. Mean fitness of a sexual (A, C) and asexual (B, D) populations: effects of population size. Dots correspond to simulation results for to N = 50000 (empty circles), 5000 (empty squares), 500 (filled circles). Other parameters are as in Figure 1 and 2, with Q = 2. A, B: the optimum is located at the origin (in phenotypic space), that is, in  $z_i = 0$  for all traits *i*; C, D: the optimum is located away from the origin, so that the fitness of an individual at the origin is less than 0.1 (see text for more explanations). Solid curves on the left figures (sexual populations) correspond to the predictions from equation 8 for N = 50000, 5000 and 500 from left to right, while the dotted curves corresponds to equation 6 in Supplementary File S4 (for N = 500). Curves on the right figures (asexual populations) correspond to equation 5. Finally, the dashed horizontal lines correspond to  $e^{-U}$ .

# Table 1: Parameters and variables of the model.

n	number of phenotypic traits under selection
m	number of traits affected by a single mutation
Q	shape of the fitness peak (see equation $1$ )
$V_{ m S}$	strength of selection (see equation $1$ )
$a^2$	variance of phenotypic effects of mutations
$a_{\rm s}^2 = a^2 / (2V_{\rm S})^{\frac{2}{Q}}$	variance of mutational effects on scaled traits
L	number of loci affecting selected traits
U	total rate of mutation per generation on selected loci
u = U/L	mutation rate per locus
$\overline{s}$	mean deleterious effect of mutations (on log-fitness) at the
	fitness optimum (given by equation 3)
R	genome map length (in Morgans)
$r_{ m H}$	harmonic mean recombination rate among pairs of loci
N	population size
$z_i$	value of trait $i$ in a given individual
$r_{ij}$	effect of mutation at locus $j$ on trait $i$
$p_j$	frequency of allele 1 at locus $j$ in the population
$D_{jk}$	linkage disequilibrium between loci $j$ and $k$
$V_{ m G}$	genetic variance (variance of $z_i$ among individuals, which at
	equilibrium should be the same for all traits $i$ )
$V_{ m g}$	genic variance (see Appendix A)