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Selection for sex in finite populations

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Running head: Drift and selection for sex

ABSTRACT

Finite population size generates interference between selected loci, which has 2 been shown to favour increased rates of recombination. In this paper, I present differ-3 ent analytical models exploring selection acting on a "sex modifier locus" (that affects the relative investment into asexual and sexual reproduction) in a finite population. 5 Two forms of selective forces act on the modifier: direct selection due to intrinsic costs 6 associated with sexual reproduction, and indirect selection generated by one or two 7 other loci affecting fitness. The results show that indirect selective forces differ from 8 those acting on a recombination modifier even in the case of a haploid population: in 9 particular, a single selected locus generates indirect selection for sex, while two loci are 10 required in the case of a recombination modifier. This effect stems from the fact that 11 modifier alleles increasing sex escape more easily from low-fitness genetic backgrounds 12 than alleles coding for lower rates of sex. Extrapolating the results from three-locus 13 models to a large number of loci at mutation-selection balance indicates that in the 14 parameter range where indirect selection is strong enough to outweigh a substantial 15 cost of sex, interactions between selected loci have a stronger effect than the sum of in-16 dividual effects of each selected locus. Comparisons with multilocus simulation results 17 show that such extrapolations may provide correct predictions for the evolutionarily 18 stable rate of sex, unless the cost of sex is high. 19

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Keywords: deleterious mutation, evolution of sex, genetic drift, modifier model, mul tilocus model, selection

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INTRODUCTION

Almost 50 years ago, Crow and Kimura (1965) published a mathematical treat-24 ment of the idea previously proposed by Fisher (1930) and Muller (1932) that sex 25 increases the rate of adaptation of species by allowing different beneficial mutations to 26 be combined into the same genome. A controversy ensued shortly after, as a different 27 model analyzed by Maynard Smith (1968) led to a contradictory result: beneficial al-28 leles at two different loci spread at the same rate in sexuals and asexuals. The debate 29 was finally settled by Felsenstein (1974), who showed that a critical difference between 30 the two models concerns population size: while Crow and Kimura assume that new 31 mutations arise as single copies, Maynard Smith's model considers an infinite popula-32 tion, in which beneficial alleles are initially in linkage equilibrium. As demonstrated 33 by Hill and Robertson (1966) a few years before, stochastic effects occurring in any 34 finite population tend to generate negative associations between beneficial alleles (*i.e.*, 35 beneficial alleles tend to be found more often in different genetic backgrounds than 36 combined within the same background). In sexual populations, these negative associ-37 ations are broken by recombination, which therefore increases the rate of adaptation. 38 The same type of mechanism allows sexuals to escape Muller's ratchet, the gradual ac-39 cumulation of deleterious alleles that occurs in non-recombining populations (Muller, 40 1964; Felsenstein, 1974). 41

Since Crow and Kimura's paper, the question of the possible advantage of sexual reproduction has been one of the most hotly debated topics in evolutionary biology.
The fact that sex is advantageous in the long term does not in principle prevent an
asexual mutant from invading a sexual population: indeed, many strong costs are

associated with sexual reproduction, in terms of energy required to find or court a 46 mate, increased risks of predation and disease transmission, or investment into the 47 male function — since the males of most species do not contribute to the next gener-48 ation in terms of resources (e.g., Maynard Smith, 1971; Lewis, 1987; Lehtonen et al., 49 2012). Strong benefits of sex are thus needed to counterbalance these costs. Insights 50 about the possible strength of indirect selection for sex (stemming from the reduc-51 tion of negative genetic associations) can be obtained from recombination modifier 52 models, such as the one originally proposed by Nei (1967): these models represent a 53 "modifier" gene that affects recombination rates between other genes, at which bene-54 ficial/deleterious alleles segregate. The direction and magnitude of indirect selection 55 acting at the modifier locus can then be explored mathematically under different sce-56 narios. Using this approach, different conditions that may favour sexual recombination 57 have been described: selection acting independently at different loci within finite or 58 spatially structured populations (due to the Hill-Robertson effect described above, 59 e.g., Felsenstein and Yokohama, 1976; Otto and Barton, 1997, 2001; Iles et al., 2003; 60 Barton and Otto, 2005; Keightley and Otto, 2006; Martin et al., 2006; Roze and Bar-61 ton, 2006; Gordo and Campos, 2008; Hartfield et al., 2010), negative epistasis between 62 selected loci (Feldman et al., 1980; Charlesworth, 1990, 1993a; Barton, 1995; Otto and 63 Feldman, 1997), fluctuating selection, generated for example by biotic interactions 64 (Charlesworth, 1976; Barton, 1995; Gandon and Otto, 2007), and spatially varying se-65 lection within structured populations (Lenormand and Otto, 2000). In many of these 66 models, selection for recombination can be strong in non-recombining populations, but 67 decreases rapidly as the baseline recombination rate increases. 68

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There are important differences between the evolution of sex and the evolution

of recombination, however: in particular, reproductive modes are probably far more 70 constrained than recombination rates within genomes. In many obligatory sexual 71 species, reproducing asexually may require a combination of several mutations which 72 may be individually deleterious: in mammals for example, parthenogenesis would re-73 quire both the spontaneous development of an ovule without fertilization and the sup-74 pression of genomic imprinting (which prevents normal development in the absence of 75 a paternally inherited genome), which may explain why it has never been observed. 76 Similarly, in cyclical parthenogenetic species such as aphids, cladocerans and rotifers, 77 overwintering eggs are typically produced by sexual reproduction (e.g., Simon et al., 78 2002), and it has been argued that sex may be maintained for this reason — although 79 it is less clear why overwintering eggs could not be produced asexually, as this oc-80 curs for example in some *Daphnia pulex* populations (Hebert and Crease, 1980; Innes 81 and Hebert, 1988). Based on this type of observation, several authors have proposed 82 that sex may be maintained by selection at the species level (Williams, 1975; Nunney, 83 1989; De Vienne et al., 2013): according to this hypothesis, only the lineages in which 84 viable asexual mutants cannot occur (due to some constraint) persist over evolution-85 ary time, while asexual lineages eventually go extinct due to mutation accumulation 86 and/or failure to adapt. Although this type of process can certainly explain the main-87 tenance of sex in some groups (such as mammals), it cannot explain evolution towards 88 higher rates of sex (and in the extreme, obligatory sex) within a lineage: for this, sex 89 must confer benefits on a sufficiently short timescale so that its direct costs can be 90 outweighed. Assessing whether this is possible or not requires obtaining quantitative 91 predictions on the possible strength of selection for sex under different scenarios. 92

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In this paper, I explore the advantage of sex generated by selection acting in-

dependently at multiple loci (no epistasis) within finite populations. To date, most 94 analytical results on the effects of finite population size stem from haploid recombina-95 tion modifier models representing selection acting at two loci (e.g., Otto and Barton, 96 1997; Barton and Otto, 2005; Martin et al., 2006; Roze and Barton, 2006). While 97 indirect selective pressures acting on sex have been shown to be different from those 98 acting on recombination in diploid organisms — since sex allows both recombination 99 and segregation (affecting homozygosity/heterozygosity at single loci, e.g., Uyenoyama 100 and Bengtsson, 1989; Otto, 2003; Agrawal, 2009a,b; Roze and Michod, 2010), in hap-101 loids selection for recombination is often thought to be equivalent to selection for sex. 102 In the first part of the paper, however, I show that selective pressures acting on a sex 103 modifier locus (affecting the rate of sexual vs. asexual reproduction) are different from 104 those acting on a recombination modifier, even in the case of a haploid population: 105 in particular, while two selected loci are required to generate indirect selection on a 106 recombination modifier, a single selected locus can favour sex within a finite haploid 107 population. In a second part, I use individual-based simulations to explore how the 108 rate of sex evolves when deleterious mutations occur at a large number of loci (in the 109 presence of a direct cost of sex), and compare the results with analytical predictions 110 obtained by extrapolations from three-locus models. The results show that such ex-111 trapolations give correct predictions for the equilibrium rate of sex in different cases (in 112 particular as long as the cost of sex is not too high) and capture the qualitative effects 113 of the different parameters of the model (population size, number of loci, strength of 114 selection against deleterious alleles). 115

METHODS

The sex modifier model. The effects of one or two selected loci on the evolution of 117 a modifier gene affecting the relative investment into sexual vs. as exual reproduction 118 can be studied using analytical methods (Table 1 shows the parameters used in the 119 different models). The basic model represents a population of N haploid individuals 120 with discrete generations. As in previous models (Uyenoyama and Bengtsson, 1989; 121 Otto, 2003; Agrawal, 2009a,b; Roze and Michod, 2010; Roze and Otto, 2012) I assume 122 that each individual i invests a proportion σ_i of its resources into sexual reproduction 123 (producing both male and female gametes) and a proportion $1 - \sigma_i$ into clonal repro-124 duction. A parameter c represents the overall effect of direct costs associated with sex: 125 the probabilities that individual i is the maternal parent of a given individual of the 126 next generation through as exual and sexual reproduction are proportional to $1 - \sigma_i$ 127 and σ_i/c , respectively (c = 1 in the absence of a direct cost of sex). This cost may stem 128 from the fact that a fraction of resources invested into sex are used to produce male 129 gametes, which do not contribute to the next generation in terms of resources ("cost 130 of males", c = 2 under equal investments into the sexual female and male functions) 131 and/or other costs such as additional resources that may be needed to facilitate gamete 132 encounter. Individuals produce asexual spores and gametes by mitosis; spores develop 133 directly into new (haploid) individuals, while gametes fuse at random to form zygotes, 134 which immediately undergo meiosis to produce new haploids. I assume that each par-135 ent produces a very large (effectively infinite) number of juveniles, N individuals being 136 then sampled randomly among all juveniles to form the next adult generation. 137

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Investment into sex is controlled by a single "modifier" locus with two alleles

m and M: the rates of sex of m and M individuals are σ and $\sigma + \delta \sigma$, respectively. 139 Either one or two selected loci control the overall fecundity (total investment into 140 reproduction) of individuals. In the one-locus case, the two alleles at the selected 141 locus are denoted a and A, the fecundities of a and A individuals being proportional 142 to 1 and 1 + s. With two selected loci, I denote b and B the two alleles at the 143 second selected locus, and suppose for simplicity that allele B has the same selection 144 coefficient s as allele A (although the model is easily extended to the more general case 145 of different selection coefficients); the effects of the two loci on fecundity are supposed 146 to be multiplicative (no epistasis). Finally, r_{ij} denotes the recombination rate between 147 loci i and j. 148

Quasi-linkage equilibrium (QLE) approximation. Approximate expressions for 149 the expected change in allele frequency at the modifier locus in terms of the model 150 parameters and expected genetic variances at the different loci can be obtained using 151 a separation of timescales argument, which assumes that the different forces causing 152 changes in allele frequencies (selection, drift, modifier effect) are weak relative to "ef-153 fective" rates of recombination (which depend on the rate of sex in the population) 154 breaking linkage disequilibria between loci. The analysis proceeds in two steps: the 155 first step corresponds to the calculation of the expected change in frequency at the 156 modifier locus over one generation, as a function of various moments of allele frequen-157 cies and linkage disequilibria in the parental generation; during the second step, a QLE 158 approximation is used to express all moments involving linkage disequilibria in terms 159 of moments involving allele frequencies only. For this, recursions for these different 160 moments must be derived, and solved at equilibrium. A general method for deriving 161

recursions on moments under a diploid life cycle is given in Appendix A of Roze and 162 Michod (2010). Appendix A of the present article briefly sketches the method for the 163 case of a haploid life cycle, using the same general principles. Defining X_i an indicator 164 variable that equals 1 if allele i (M, A or B) is present in a given individual and 0 165 otherwise, the frequency of allele *i* in the population is given by $p_i = E[X_i]$, where E 166 stands for the average over all individuals. Pairwise linkage disequilibria are defined 167 as $D_{ij} = E[\zeta_i \zeta_j]$, where $\zeta_i = X_i - p_i$, while the three-locus linkage disequilibrium (e.g., 168 Slatkin, 1972) is given by $D_{MAB} = E[\zeta_M \zeta_A \zeta_B]$. Throughout the paper, $\langle \mathcal{M} \rangle_t$ stands for 169 the expected value of the moment \mathcal{M} (such as $D_{MAB}D_{AB}$ or $p_M D_{MA}$) among individ-170 uals of generation t. Appendix A sketches how the life cycle can be decomposed into 171 different steps in order to compute moments at generation t+1 in terms of moments at 172 generation t (these recursions are implemented in a *Mathematica* notebook, available 173 as Supplementary Material). When s, $\delta\sigma$ and 1/N are small relative to recombination 174 rates among loci multiplied by the rate of sex σ , one can show that moments involv-175 ing linkage disequilibria quickly become small and change slowly over time (Nagylaki, 176 1993; Barton and Turelli, 1991; Barton and Otto, 2005; Roze and Michod, 2010); in 177 this case, these moments can be expressed in terms of the different parameters of the 178 model and moments of allele frequencies, such as $\langle p_M q_M \rangle_t$ or $\langle p_M q_M p_A q_A \rangle_t$ (where 179 $q_i = 1 - p_i$). This QLE approximation simplifies considerably the equations, but is 180 expected to break down when effective rates of recombination are small. However, we 181 will see that accurate approximations can be obtained when recombination rates and 182 the strength of selection s are of the same order of magnitude, in the situation where 183 deleterious alleles are maintained at mutation-selection balance at selected loci: indeed 184 in this case, changes in allele frequencies are only driven by the modifier effect and by 185

drift, and the QLE only requires that $\delta\sigma$ and 1/N are small relative to effective rates of recombination.

Multilocus simulations. As we will see in the next section, analytical results from 188 three-locus models can be extrapolated to predict the overall strength of selection on a 189 sex modifier when deleterious alleles segregate at a large number of loci; however, this 190 supposes that the effects of higher-order interactions (between three or more selected 191 loci) can be neglected, which is not guaranteed: even if the effect of each of these higher-192 order interactions is small, the number of such interactions increases very rapidly as 193 the number of polymorphic loci increases. In order to test the predictions obtained 194 from three-locus models, I use individual-based simulations representing deleterious 195 mutations occurring at a large (quasi-infinite) number of possible loci. The simulation 196 program (written in C++, and available from Dryad) is very similar to the programs 197 used in previous papers (Roze and Michod, 2010; Roze and Otto, 2012). It represents 198 a population of N haploid individuals whose genome consists in a linear chromosome 199 with infinitely many loci. Every generation, the number of new deleterious mutations 200 per chromosome is sampled from a Poisson distribution with parameter U, and the 201 position of each mutation is sampled from a uniform distribution. The fecundity W_i of 202 an individual is given by $(1-s)^n$, where n is the number of mutations in its genome. 203 When forming the next generation, each parental individual i contributes as a mother 204 (either through sexual or asexual reproduction) with a probability proportional to 205 $W_i[c(1-\sigma_i)+\sigma_i]$ (where σ_i is the rate of sex of parent *i*). The new individual is 206 then produced as exactly with probability $c(1 - \sigma_i) / [c(1 - \sigma_i) + \sigma_i]$ (in which case its 207 genotype is a copy of the parental genotype), and sexually with the complementary 208

probability. In this last case, a second individual is sampled to contribute as a father, 209 the probability of sampling parent j being proportional to $W_i \sigma_i$. The two parental 210 genomes are recombined to form a new haploid genome, the number of cross-overs being 211 sampled from a Poisson distribution with parameter R (genome map length), and the 212 position of each cross-over being sampled from a uniform distribution. During a given 213 number of preliminary generations (usually 2000), the rate of sex is the same for all 214 individuals (and is generally fixed to 1). Then, mutations are introduced (at rate 10^{-4} 215 per generation) at a sex modifier locus located at the midpoint of the chromosome. 216 Alleles at this locus take values between 0 and 1, corresponding to the rate of sex 217 of the individual (which is thus a quantitative trait). When a mutation occurs at 218 the modifier locus, with probability 0.5 the new value is sampled from a uniform 219 distribution between 0 and 1, while with probability 0.5 it equals the value of the 220 parent allele plus a random number between -0.1 and 0.1 (the new value being set 22 to 0 or 1 if it is negative or greater than 1, respectively); this mutation scheme allows 222 both large and small effect mutations to arise relatively frequently. The program runs 223 for 2 million generations and records the average value of alleles at the modifier locus 224 every 100 generations; the equilibrium rate of sex is obtained by averaging over the 225 last 1.9×10^6 generations. In some simulations I only considered two alleles at the 226 modifier locus, as will be explained in greater detail below. 227

RESULTS

All analytical results assume that selection is weak (s small) and are derived 229 to the first order in $\delta\sigma$ and 1/N (weak modifier, large population size). The effect of 230 relaxing these hypotheses will then be explored by multilocus simulations. Through 23 the following, σ_{eff} denotes the effective rate of sex in the population (proportion of 232 sexually produced progeny) which equals $\sigma / [c(1 - \sigma) + \sigma]$ to leading order, while 233 $\rho_{ij} = \sigma_{\text{eff}} r_{ij}$ denotes the effective recombination rate between loci *i* and *j* (proportion 234 of recombinants per generation). Finally, $\delta\sigma_{\text{eff}} = \delta\sigma/\left[c\left(1-\sigma\right)+\sigma\right]$ denotes the effect 235 of allele M on the effective rate of sex. 236

Two-locus model. Although the results shown in this section hold for both s > 0and s < 0, I will assume for clarity that s > 0 (A is the favoured allele) when discussing the results. Using the methods described in Appendix A (implemented in the Supplementary Material), one obtains for the expected change in frequency of the modifier over one generation:

$$\left\langle \Delta p_M \right\rangle_t = -\delta \sigma_{\text{eff}} \left(c - 1 \right) \left\langle p_M q_M \right\rangle_t^{\text{sel}} + s \left\langle D_{MA} \right\rangle_t - s^2 \left\langle p_A D_{MA} \right\rangle_t \tag{1}$$

where $\langle p_M q_M \rangle_t^{\text{sel}}$ is the expected genetic variance at the modifier locus among selected parents (weighting each parent by its relative fecundity, see Appendix A), given by:

$$\langle p_M q_M \rangle_t^{\text{sel}} = \langle p_M q_M \rangle_t - 2s \langle p_M D_{MA} \rangle_t - s^2 \langle D_{MA}^2 \rangle_t .$$
⁽²⁾

As we will see below, $\langle p_M D_{MA} \rangle_t$ is positive at QLE, and equation 2 represents the fact that the genetic variance at the modifier locus tends to be reduced by selection at the other locus (e.g., Maynard Smith and Haigh, 1974; Charlesworth, 1993b): $p_M q_M$

thus tends to be lower when measured among selected parents than when measured 247 before selection. The first term of equation 1 represents the effect of the direct cost 248 of sex, reducing the frequency of the allele coding for more sex (this reduction being 249 proportional to the genetic variance at the modifier locus among selected parents). The 250 second term of equation 1 represents the effect of genetic hitchhiking, favouring the 25 modifier allele that tends to be positively linked (on average) with the better allele (A)252 at the selected locus. Finally, the last term of equation 1 represents the fact that for a 253 given value of D_{MA} , the strength of hitchhiking tends to decrease when the beneficial 254 allele is more frequent in the population; this stems from the fact that hitchhiking is 255 proportional to the ratio s/\overline{W} (which determines the selection gradient at the selected 256 locus), and that mean fecundity \overline{W} is an increasing function of p_A . 257

Further progress can be made by obtaining QLE expressions for the four moments $\langle D_{MA}^2 \rangle$, $\langle p_M D_{MA} \rangle$, $\langle p_A D_{MA} \rangle$ and $\langle D_{MA} \rangle$ that appear in the equations above. The first of these moments is the variance in linkage disequilibrium, generated by finite population size. To leading order, it is given by:

$$\left\langle D_{MA}^{2}\right\rangle_{t} = \frac{\left\langle p_{M}q_{M}p_{A}q_{A}\right\rangle_{t}}{N\rho_{MA}\left(2-\rho_{MA}\right)} \tag{3}$$

(see also eq. B3a in Barton and Otto, 2005). The moment $\langle p_M D_{MA} \rangle$ is generated by finite population size and selection for allele A. To the first order in s, its expression at QLE is:

$$\left\langle p_M D_{MA} \right\rangle_t = s \, \frac{1 - \rho_{MA}}{\rho_{MA}} \left\langle D_{MA}^2 \right\rangle_t \tag{4}$$

(see also eq. B3b in Barton and Otto, 2005). This result can be interpreted as follows: due to drift, allele M is sometimes associated with allele A ($D_{MA} > 0$), sometimes with allele a ($D_{MA} < 0$). Because A is beneficial, M tends to increase in frequency when $D_{MA} > 0$, and decrease in frequency when $D_{MA} < 0$, thus generating a positive covariance between allele frequency p_M and linkage disequilibrium D_{MA} . The same mechanism generates a covariance between p_A and D_{MA} in the presence of a direct cost of sex (c > 1), that has the sign of $-\delta\sigma$. At QLE, it is given by:

$$\left\langle p_A D_{MA} \right\rangle_t = -\delta \sigma_{\text{eff}} \left(c - 1 \right) \frac{1 - \rho_{MA}}{\rho_{MA}} \left\langle D_{MA}^2 \right\rangle_t \,. \tag{5}$$

²⁷² Finally, the expected value of the linkage disequilibrium at QLE is:

$$\langle D_{MA} \rangle_t = \frac{1}{\rho_{MA}} \left[2 \left(1 - \rho_{MA} \right) \left[\delta \sigma_{\text{eff}} \left(c - 1 \right) \langle p_M D_{MA} \rangle_t^{\text{sel}} - s \left\langle p_A D_{MA} \right\rangle_t \right] + \delta \sigma_{\text{eff}} \left[r_{MA} + \left(c - 1 \right) \rho_{MA} \right] \langle p_M D_{MA} \rangle_t^{\text{sel}} \right]$$

$$(6)$$

where $\langle p_M D_{MA} \rangle_t^{\text{sel}}$ is measured after selection, and at QLE is equal to $s \langle D_{MA}^2 \rangle_t / \rho_{MA}$. 273 The terms on the first line of equation 6 represent linkage disequilibrium generated by 274 the Hill-Robertson effect between the modifier and the selected locus: finite population 275 size tends to generate negative LD between deleterious alleles as different loci (Hill 276 and Robertson, 1966). Therefore, when allele M is deleterious due to the cost of sex 27 $(\delta \sigma > 0)$, it tends to be associated with the better allele A at the second locus. This 278 effect disappears in the absence of a direct cost of sex (c = 1). By contrast, the 279 term on the second line of equation 6 does not vanish when c = 1, indicating that 280 linkage disequilibrium is generated between the two loci even in the absence of direct 281 selection at the modifier locus. Like the first term, this second term has the sign of $s \, \delta \sigma$, 282 indicating that an allele increasing sex tends to be associated with the better allele (A)283 at the selected locus; furthermore, it is generated by the positive covariance between 284 p_M and D_{MA} . This effect may be understood as follows: whenever D_{MA} is negative, 285 it tends to reduce the frequency of M, in which case an M individual that reproduces 286 sexually has higher chances to mate with an *m* individual, and thus to recombine onto a 287

better genome (carrying A, since m tends to be more associated with A). By contrast, 288 a positive D_{MA} increases the frequency of M, in which case M individuals have greater 289 chances to mate with other M individuals, and thus to stay associated with the better 290 allele. Averaging over these different situations, the fraction of alleles M that become 291 disassociated from the beneficial allele (and associated with the deleterious allele) 292 when recombining with m individuals is thus lower than the fraction of alleles M that 293 become disassociated from the deleterious allele (and associated with the beneficial 294 one) when recombining with m (again because m tends to be less frequent when M is 295 associated with A). Although both modifier alleles benefit from this effect, the allele 296 that engages in sex more often (M if $\delta \sigma > 0$) benefits more, generating a positive 297 association between this allele and the beneficial allele A. This mechanism should 298 not work, however, when one of the modifier alleles never engages in sex ($\sigma = 0$). 299 Although equation 6 does not capture this (as it is derived under the assumption 300 that $\delta\sigma \ll \sigma$, which is necessary for the QLE approximation to hold), one can show 301 that the recursion for $\langle D_{MA} \rangle_t$ becomes independent of $\delta \sigma$ when $\sigma = 0$ and c = 1, 302 confirming that no linkage disequilibrium is generated (on average) when one of the 303 modifier alleles codes for fully asexual reproduction. 304

From the equations above, the expected change in frequency of the modifier can be written as:

$$\begin{split} \langle \Delta p_M \rangle_t &= -\delta \sigma_{\text{eff}} \left(c - 1 \right) \left[\langle p_M q_M \rangle_t - 2s^2 \frac{2 - \rho_{MA}}{\rho_{MA}^2} \left\langle D_{MA}^2 \right\rangle_t \right] \\ &+ \frac{\delta \sigma_{\text{eff}} s^2}{\rho_{MA} \sigma_{\text{eff}}} \left\langle D_{MA}^2 \right\rangle_t \,. \end{split}$$
(7)

The term on the first line indicates that interference between the two loci due to finite population size (through the variance in LD) tends to attenuate the effect of the direct cost of sex (Hill-Robertson effect). More interestingly, the second line shows that in the absence of cost, a modifier increasing sex tends to increase in frequency, due to the effect described just above. Note that this effect does not operate in the case of a recombination modifier, which is only expressed during the diploid phase, once individuals have "decided" to engage in sex (because recombination only has a genetic effect in double heterozygotes, the average benefits gained by allele M should thus be exactly the same as those gained by allele m).

As shown by Figure 1, two-locus simulations confirm that in the absence of a 316 direct cost of sex (c = 1), a modifier increasing sex tends to increase in frequency during 317 the sweep of a beneficial allele at a second locus. In the simulations the beneficial 318 allele A is initially in frequency $p_{A,0} = 0.05$, while the sex modifier M is initially in 319 frequency $p_{M,0} = 0.5$ and in linkage equilibrium with A. The program measures the 320 final frequency of M at the end of the sweep, the whole process being repeated 50×10^6 321 times. A prediction for the total increase in frequency of M over the sweep can be 322 obtained by integrating equation 7 over time (treated as a continuous variable), and 323 assuming that p_A remains close to a deterministic trajectory. In this case, using the 324 fact that $dp_A/dt = sp_Aq_A$ we have $\int_0^\infty p_Aq_A dt = \int_{p_{A,0}}^1 dp_A/s = (1 - p_{A,0})/s$, which 325 yields for the expected total increase in frequency of M: 326

$$\langle \Delta p_M \rangle_{\text{total}} \approx \frac{\delta \sigma \, s \left(1 - p_{A,0}\right) p_{M,0} \left(1 - p_{M,0}\right)}{N \, \rho_{MA}^2 \sigma \left(2 - \rho_{MA}\right)} \tag{8}$$

assuming no direct cost of sex (c = 1). Figure 1B shows that this prediction fits well with the simulation results as long as the baseline rate of sex σ in the population is not too small — note that with a single selected locus, the expected change in frequency of the modifier stays very small. Furthermore, Figure 1A confirms that this benefit of sex vanishes when the rate of sex of allele m tends to zero; however, even a small baseline rate of sex generates an advantage for a mutant increasing sex (the total change in frequency of the modifier has a maximum at around $\sigma \approx 0.01$, for the parameter values used in Figure 1).

Three-locus model. In the presence of a second selected locus (where B is the beneficial allele), the expected change in frequency of the modifier becomes:

$$\begin{split} \langle \Delta p_M \rangle_t &= -\delta \sigma_{\text{eff}} \left(c - 1 \right) \langle p_M q_M \rangle_t^{\text{sel}} + s \left(\langle D_{MA} \rangle_t + \langle D_{MB} \rangle_t \right) \\ &+ s^2 \left(\langle D_{MAB} \rangle_t - \langle p_A D_{MA} \rangle_t - \langle p_B D_{MB} \rangle_t \right) \\ &- s^3 \left(\langle D_{MA} D_{AB} \rangle_t + \langle D_{MB} D_{AB} \rangle_t + \langle p_A D_{MAB} \rangle_t + \langle p_B D_{MAB} \rangle_t \right) \\ &- s^4 \left\langle D_{MAB} D_{AB} \right\rangle_t \end{split}$$
(9)

³³⁷ where the genetic variance at the modifier locus among selected parents is given by:

$$\langle p_M q_M \rangle_t^{\text{sel}} = \langle p_M q_M \rangle_t - 2s \left(\langle p_M D_{MA} \rangle_t + \langle p_M D_{MB} \rangle_t \right) - s^2 \left(\langle D_{MA}^2 \rangle_t + \langle D_{MB}^2 \rangle_t + 2 \langle D_{MA} D_{MB} \rangle_t + 2 \langle p_M D_{MAB} \rangle_t \right)$$
(10)

$$- 2s^3 \left(\langle D_{MAB} D_{MA} \rangle_t + \langle D_{MAB} D_{MB} \rangle_t \right) - s^4 \left\langle D_{MAB}^2 \rangle_t .$$

QLE expressions for the different moments that appear in equations 9 and 10 are given 338 in Appendix B. From these, it can be shown that all the different terms involving the 339 selected loci generate selection for increased rates of sex. Although it is not possible 340 for space reasons to provide an intuitive explanation for each of the moments involved, 341 it is interesting to note that the extra benefits of sex that appear when introducing 342 a second selected locus do not all stem from recombination between the selected loci 343 (which again differs from the case of a recombination modifier). In the following I 344 assume that allele M increases sex ($\delta \sigma > 0$), and consider the effect of the three-locus 345 disequilibrium $\langle D_{MAB} \rangle_t$. As we will see, this disequilibrium is positive on average, 346

meaning that allele M tends to be more often associated with the extreme genotypes 34 ab and AB than allele m. This positive $\langle D_{MAB} \rangle$ favors M through two effects: the 348 first corresponds to the fact that under multiplicative selection, the mean fitness of 349 extreme genotypes $(1 + s + s^2/2)$ is higher than the fitness of intermediate genotypes 350 (1 + s): this corresponds to the term in $\langle D_{MAB} \rangle_t$ on the second line of equation 9. 351 The second effect (which is often much stronger) is the fact that the larger variance in 352 fitness present in the sub-population carrying M increases the efficiency of selection: 353 the fitter alleles A and B tend to increase in frequency within this sub-population 354 (through the increase of the AB genotype), generating positive pairwise associations 355 D_{MA} , D_{MB} (equation B15). Allele M thus tends to be associated with the fitter 356 alleles A and B, and therefore increases in frequency (term in $\langle D_{MA} \rangle_t + \langle D_{MB} \rangle_t$ on 357 the first line of equation 9). Where does the positive $\langle D_{MAB} \rangle$ come from? As shown 358 by equation B11, different mechanisms are involved, of which I will only discuss two. 359 A first source of $\langle D_{MAB} \rangle$ is the negative linkage disequilibrium D_{AB} generated by the 360 Hill-Robertson effect (first line of eq. B11). This corresponds to the often described 363 benefit of sex/recombination in a finite population: increasing recombination tends to 362 break negative associations between selected loci, and thereby increases the efficiency of 363 selection. A different source of positive $\langle D_{MAB} \rangle$ (second line of equation B11), however, 364 does not involve recombination between the selected loci. This second mechanism 365 stems from a positive correlation between p_M and D_{MAB} , which can be explained as 366 follows. Finite population size generates random fluctuations in D_{MAB} ; when $D_{MAB} >$ 367 0, extreme genotypes (ab, AB) are more frequent on the M background and AB 368 increases in frequency, generating positive associations D_{MA} , D_{MB} (by contrast, when 369 $D_{MAB} < 0, AB$ increases in frequency on the *m* background, generating negative 370

 D_{MA}, D_{MB} : drift and selection thus generate a positive correlation between D_{MAB} 371 and D_{MA} (eq. B4), and also between D_{MAB} and D_{MB} . When D_{MA} , D_{MB} are positive, 372 M tends to increase in frequency by hitchhiking, which in turn produces a positive 373 correlation between D_{MAB} and p_M (eq. B10). This positive correlation generates a 374 positive $\langle D_{MAB} \rangle$, in the same way as the positive correlation between p_M and D_{MA} 375 generated a positive $\langle D_{MA} \rangle$ in the two-locus model: during sex, alleles at the modifier 376 locus tend to move more often from Ab, aB backgrounds to ab, AB backgrounds 377 than the opposite, and allele M (which engages in sex more often) benefits more from 378 this effect. Note that this last source of positive $\langle D_{MAB} \rangle$ would operate even in the 379 absence of recombination between the selected loci, and would not appear in the case 380 of a recombination modifier. Indeed, using the same method to derive an expression 381 for the expected change in frequency of a neutral recombination modifier (changing 382 r_{AB} to $r_{AB} + \delta r_{AB}$) yields the same expressions as in Appendix B for c = 1 (no direct 383 selection) and replacing $\delta\sigma_{\text{eff}} r_{AB}$ by δr_{AB} , except that the terms involving $\langle p_M D_{MAB} \rangle_t^{\text{sel}}$ 384 and $\langle p_M D_{MA} \rangle_t^{\text{sel}}$ in equations B11 and B15 disappear. 385

Mutation-selection balance. The expressions shown in the previous section and in 386 Appendix B were derived under the assumption that $\delta\sigma$, $1/N \ll s \ll \rho_X$ (where again 387 ρ_X is the effective rate of recombination between loci in the set X): indeed, all results 388 were expressed to the first order in $\delta\sigma$ and 1/N (assuming that these parameters are 389 much smaller than all other parameters), while the QLE approximation requires that 390 selection is much weaker than recombination $(s \ll \rho_X)$. However, this last condition 393 becomes less stringent when selected loci are at mutation-selection balance (equilib-392 rium between mutation generating deleterious alleles and selection removing these 393

alleles): indeed, in that case all changes in allele frequencies are due to drift and to 394 the modifier effect, and the QLE therefore only requires that $\delta\sigma$, $1/N \ll \rho_X$. More 395 accurate results can thus be obtained for the case where effective recombination rates 396 are small (of order s). As can be seen from Appendix B, all moments (such as $\langle D_{AB}^2 \rangle$, 397 $\langle D_{AB} \rangle$, $\langle p_M D_{MA} \rangle$...) generated by selection and drift become of the same order of 398 magnitude when s and ρ_X are of the same order; similarly, moments involving the 399 modifier effect $\delta\sigma$ (such as $\langle D_{MAB}D_{AB}\rangle$, $\langle D_{MAB}\rangle$, $\langle D_{MA}\rangle$...) all become of the same 400 order. Extra terms must be added to the recursions for these different moments when 40 ρ_X coefficients are of order s, however the changes are relatively minor if we assume 402 that deleterious alleles remain at low frequency, so that we can neglect all moments 403 involving p_i^2 , where p_i is the frequency of the deleterious allele at locus *i* ("rare alleles 404 approximation"). Because it is more convenient to work with moments involving the 405 frequencies of deleterious alleles (rather than the frequencies of favoured alleles), I as-406 sume from now on that A and B are the deleterious alleles, and denote s the selection 407 coefficient against these alleles (ab, Ab, aB and AB genotypes thus have fitnesses 1, 408 1-s, 1-s and $(1-s)^2$, where s > 0). Using the methods described in Appendix A 409 and assuming that effective recombination rates may be of order s yields the follow-410 ing recursion for the squared linkage disequilibrium between selected loci $\langle D_{AB}^2 \rangle$, to 411 leading order: 412

$$\left\langle D_{AB}^{2}\right\rangle_{t+1} = \frac{\left\langle pq_{AB}\right\rangle_{t}}{N} + \left(1 - \rho_{AB}\right)^{2} \left(1 - 4s\right) \left\langle D_{AB}^{2}\right\rangle_{t}$$
(11)

where $pq_{AB} = p_A q_A p_B q_B$ (note that the factor 1 - 4s was neglected in the previous analysis). At equilibrium, we thus have:

$$\left\langle D_{AB}^{2}\right\rangle_{t} = \frac{\left\langle pq_{AB}\right\rangle_{t}}{N\left[1 - \left(1 - \rho_{AB}\right)^{2}\left(1 - 4s\right)\right]}$$
(12)

which is approximately $\langle pq_{AB} \rangle_t / [2N(\rho_{AB} + 2s)]$ when s and ρ_{AB} are small. Appendix C provides approximations for other moments when ρ_X coefficients are of order s. In particular, the linkage disequilibrium $\langle D_{AB} \rangle$ generated by the Hill-Robertson effect becomes:

$$\left\langle D_{AB} \right\rangle_t \approx -\frac{2s^2 \left\langle pq_{AB} \right\rangle_t}{N \left(\rho_{AB} + 2s\right)^2 \left(\rho_{AB} + 3s\right)} \,. \tag{13}$$

Assuming $\langle pq_{AB} \rangle_t \approx (u/s)^2$, where *u* is the per-locus mutation rate towards deleterious alleles, one obtains:

$$\left\langle D_{AB} \right\rangle_t \approx -\frac{2u^2}{N\left(\rho_{AB} + 2s\right)^2\left(\rho_{AB} + 3s\right)} \,. \tag{14}$$

Figure 2A shows that this prediction fits well with two-locus simulation results: as long 421 as s > 0.001 (for the parameters used in Figure 2A), the linkage disequilibrium between 422 deleterious alleles at mutation-selection balance becomes weaker as s increases, which 423 is not predicted by the result obtained assuming $s \ll \rho_{AB}$, as it neglects the terms in s 424 in the denominator of equation 14 — note that the discrepancy between equation 14425 and the simulation results observed for s < 0.001 stems from the fact that equation 14 426 assumes $1/N \ll s$. Selection for a modifier increasing sex through its effect in breaking 427 the linkage disequilibrium $\langle D_{AB} \rangle$ is proportional to $s^2 \langle D_{AB} \rangle$ (Appendices B, C) and 428 should thus be maximised for intermediate values of s, as shown by Figure 2B. 429

From the expressions derived in Appendices B and C and assuming that the baseline rate of sex σ is of order ϵ , one obtains that the strength of selection for sex generated by each selected locus i is of order $\delta\sigma s^2 p_i / [N\epsilon^3]$, where p_i is the frequency of the deleterious allele at locus i, while selection for sex generated by the interaction between loci i and j is of order $\delta\sigma s^4 p_i p_j / [N\epsilon^5]$. Because p_i , p_j are small at mutationselection balance (assuming $u \ll s$), the effect of between-locus interactions may thus seem negligible relative to the individual effect of each locus. However, we will see in
the next section that this is no longer true when deleterious alleles segregate at many
loci, so that the number of between-locus interactions becomes very large.

Extrapolation to many loci. The results shown in the previous sections (and in Appendices B and C) can be extrapolated to predict the overall strength of indirect selection acting on a sex modifier when deleterious mutations occur over a whole genome (with a genomic deleterious mutation rate U, and assuming for simplicity that all deleterious alleles have the same selection coefficient s). Neglecting the effects of interactions between more than two selected loci, one obtains the following expression for the selection gradient at the modifier locus (see Appendix C):

$$s_M \equiv \frac{\langle \Delta p_M \rangle_t}{\langle p_M q_M \rangle_t} = \delta \sigma_{\text{eff}} \left[-(c-1) + \frac{Us}{N} \Theta_1 + \frac{(Us)^2}{2N} \Theta_2 \right]$$
(15)

The first term of equation 15 represents the effect of the cost of sex, the second term is 446 the sum of the individual effects of all selected loci, and the third term is the sum of all 447 effects of interactions between pairs of loci. Presumably, interactions between three, 448 four or more selected loci could also generate indirect selection for sex (through terms 449 in $(Us)^3$, $(Us)^4$...), but the computation of these terms would be tedious. The terms 450 Θ_1 and Θ_2 that appear in equation 15 are complicated functions of the effective rate 451 of sex σ_{eff} , cost of sex c, strength of selection s and recombination rates, integrated 452 over all possible genomic positions of deleterious alleles (which requires performing 453 two numerical integrations over the genetic map). When effective recombination rates 454 are large relative to s (which requires $\sigma_{\text{eff}} \gg s$), Θ_1 and Θ_2 become approximately 455 independent of s, and can be derived from the expressions given in Appendix B: in 456

this case, equation 15 indicates that the strength of indirect selection for sex depends 457 on the Us product (independently of the individual values of U and s) which is also the 458 variance in fitness in the population at mutation-selection balance. However, this is no 459 longer true when effective recombination rates are of order s, as terms in s appear in the 460 denominator of expressions for genetic moments at QLE (Appendix C). In general, the 46 results obtained assuming small σ_{eff} (Appendix C) are still approximately valid when 462 $\sigma_{\rm eff} \gg s$, as they often closely match those obtained from Appendix B in the regime 463 where $\sigma_{\text{eff}} \gg s$. Finally, relatively simple expressions can be obtained for Θ_1 and Θ_2 464 assuming free recombination among all loci (equations C19 to C22); as we will see, 465 these are often close to the results obtained by integrating numerically over the genetic 466 map, as long as the mean number of deleterious alleles per genome is not too large, 467 and map length R not too small (so that most loci segregating for deleterious alleles 468 are loosely linked). 469

Figure 3A compares the prediction from equation 15 with multilocus simula-470 tions (in which deleterious alleles segregate at a large number of loci). Only two alleles 47 segregate at the modifier locus in the simulations, the allele coding for more sex (say 472 allele M) being introduced in frequency $p_M = 0.05$ once the population has reached 473 mutation-selection balance for deleterious alleles. The population evolves until one of 474 the modifier allele reaches a frequency higher than 0.98, after which the frequency of 475 M is set back to 0.05 (by switching alleles at the modifier locus in randomly sampled 476 individuals), and the process is repeated again. The selection gradient s_M is estimated 477 from the average change in frequency of M over one generation (measured every 50) 478 generations), divided by the average variance at the modifier locus $(p_M q_M)$. As can 479 be seen on Figure 3A, the selection gradient s_M is negative when the baseline rate of 480

sex in the population is high: in that case, indirect selection is weaker than the cost of 481 sex, and the allele coding for less sex (m) is favoured. The pattern reverses for lower 482 values of $\sigma_{\rm eff}$, however, due to the fact that the strength of indirect selection increases 483 rapidly as σ_{eff} decreases. Figure 3A also shows that equation 15 (dotted curve) greatly 484 underestimates selection for sex in the parameter range where indirect selection is 485 strong enough to compensate for the cost of sex (low values of σ_{eff}). Note that the 486 dotted curve in Figure 3A corresponds to the result obtained assuming free recombi-487 nation between all loci (equations C19 - C22). However, integrating equations C1 to 488 C17 over the genetic map leads to almost undistinguishable results (a C++ program 489 performing the numerical integration is available from Dryad), while simulating freely 490 recombining loci leads to very similar results as those shown in Figure 3A (results 491 not shown). A possible explanation for the discrepancy between the QLE model and 492 the simulations is the fact that the model neglects higher-order interactions between 493 selected loci, which may have two types of effects: (i) breaking associations between 494 three or more selected loci (such as D_{ABC} , D_{ABCD} ,...) may generate additional benefits 495 to sexual reproduction, and (ii) background selection may amplify two-locus associa-496 tions (such as D_{AB}) by increasing the magnitude of stochastic effects. Computing the 497 effect of background selection on the different moments that appear in Appendices B 498 and C may be feasible using the methods shown in Appendix A, but exceeds the scope 499 of the present paper; however, we will see below that replacing population size N by 500 the effective population size $N_{\rm e}$ (derived from the computation of average coalescence 501 time at a neutral locus) in equation 15 often yields correct predictions of the strength 502 of indirect selection at the modifier locus. Following Hudson and Kaplan (1995), an 503 expression for the effective population size under background selection, assuming free 504

⁵⁰⁵ recombination between all loci is given by (see Online Appendix S1):

$$N_{\rm e} \approx N \exp\left[-\frac{4Us}{\left(\sigma_{\rm eff} + 2s\right)^2}\right]$$
 (16)

In a linear genome with restricted recombination, $N_{\rm e}$ is given by a more complicated expression which depends on the position of the locus and on map length R. At the modifier locus (mid-point of the chromosome), one obtains:

$$N_{\rm e} \approx N \exp\left[-\frac{2Us}{R\alpha^2} \left(2\log\left[\frac{\beta}{2s}\right] + \frac{\left(e^R - 1\right)\sigma_{\rm eff}\alpha}{s\beta}\right)\right],\tag{17}$$

with $\alpha = 2s + \sigma_{\text{eff}}, \beta = \alpha e^R - \sigma_{\text{eff}}$. As can be seen on Figure 3A, replacing N by N_{e} 509 in equation 15 (either using 16 or 17) provides a better prediction of the strength of 510 indirect selection for sex, as long as the effective rate of sex is not too small; we will 511 see below that this generalises to other parameter values. Finally, Figure 3B shows 512 the three components of selection on the sex modifier that appear within the brackets 513 of equation 15 (after replacing N by $N_{\rm e}$). As can be seen on the figure, the sum of 514 the individual effects of all loci is small relative to the effects of pairwise interactions 515 among loci, in the parameter range where indirect selection becomes important relative 516 to the cost of sex. 517

Evolutionarily stable rate of sex. The analytical model can be used to predict the evolutionarily stable rate of sex, corresponding to the value of σ towards which the population should evolve in the long term (assuming that evolution proceeds by small steps, since the model supposes that $\delta\sigma$ is small): this corresponds to the value at which the curves cross the x-axis in Figure 3A, that is, the value of σ for which $s_M = 0$. Such predictions can then be compared with the results of simulations representing deleterious alleles occurring at a large number of loci, and where the rate of sex is free

to evolve (as described in the Methods). Figure 4 shows the equilibrium value of the 525 effective rate of sex σ_{eff} in the population as a function of the cost of sex, for differ-526 ent values of the deleterious mutation rate U. Simulation started from purely sexual 527 populations, but additional simulations starting from purely asexual populations (for 528 the same parameter values) led to the same results. Multilocus simulations confirm 529 that the evolutionarily stable rate of sex first drops rapidly as the cost of sex increases 530 from c = 1 (no cost), but then much more slowly as c reaches higher values. This is 531 expected from the analytical results shown in the previous subsections, as the strength 532 of indirect selection for sex increases very sharply below a given value of σ_{eff} (see Figure 533 3): when the baseline rate of sex is low, indirect selection for sex can be substantial 534 and compensate for strong direct costs. Overall, extrapolations from three-locus QLE 535 models (equation 15, replacing N by $N_{\rm e}$ as described in the previous subsection) pro-536 vide correct predictions for the equilibrium rate of sex as long as the cost of sex is not 537 too large, the fit being less good for higher values of the deleterious mutation rate U. 538 This likely stems from the fact that replacing N by $N_{\rm e}$ does not adequately describe 539 the effect of background selection on two and three-locus moments, in particular when 540 the effective rate of sex is low (as was already apparent on Figure 3A): the discrepancy 541 thus becomes more important for higher values of c (since the effective rate of sex σ_{eff} 542 is a decreasing function of c) and for higher values of U (since background selection is 543 stronger when U is high). Note that the differences observed between the analytical 544 predictions and the simulations is not due to the fact that large effect mutations occur 545 at the modifier locus in the simulations: indeed, additional simulations were run in 546 which mutations at the modifier locus had a maximum effect of ± 0.03 , and gave very 547 similar results (not shown). Finally, Figure 4 also shows that integrating numerically 548

the QLE results over the genetic map (in order to take genetic linkage into account) gives results which are often similar to those obtained in the case of unlinked loci, unless U is high (compare dashed and solid lines).

As shown by Figure 5, smaller populations have higher rates of sex at equilib-552 rium: this is expected from the analytical model, since all the different mechanisms 553 favouring sex rely on finite population size, and become stronger in smaller popula-554 tions. Different results were obtained by Iles et al. (2003), Keightley and Otto (2006) 555 and Gordo and Campos (2008), who found that the strength of selection for recombi-556 nation or sex (measured either by the relative fixation probability or by the increase 557 in frequency of an allele increasing sex/recombination) increases with population size. 558 However, these studies considered very low baseline rates of sex/recombination, for 559 which the approximations used in the present paper break down. As shown by Fig-560 ure 6, simulations indicate that the selection gradient at the modifier locus (measured 563 from simulations representing only two alleles at the modifier locus, as described pre-562 viously) is lower for N = 20000 than for N = 2000 when σ_{eff} is higher than about 56 0.005, while the pattern is opposite for lower values of $\sigma_{\rm eff}$ (in agreement with Iles et 564 al., 2003, Keightley and Otto, 2006 and Gordo and Campos, 2008). Understanding 565 why selection for sex is stronger in larger populations when sex is rare would deserve 566 more investigation; although Keightley and Otto (2006) explained this result from the 567 fact that larger populations maintain more polymorphic loci, this is not the case in 568 the simulations shown here: the overall genetic variance at selected loci (measured by 569 the sum of $p_j q_j$ over all loci) is always higher when N = 2000 than when N = 20000, 570 due to the fact that drift allow deleterious alleles to reach higher frequencies (results 571 not shown). Figure 5A also shows that the strength of selection against deleterious 572

alleles (s) has a non-monotonic effect on selection for sex (the equilibrium rate of sex 573 being close to zero when mutations have very strong fitness effects). This effect is 574 captured by the QLE model, and is due to the fact that the different genetic associa-575 tions (such as D_{AB}) generating selection for sex are decreasing functions of s (see also 576 Figure 2); a similar, non-monotonic effect of s on the relative fixation probability of 57 a recombination modifier was observed by Gordo and Campos (2008). Overall, the 578 analytical model provides more accurate predictions for larger values of population 579 size, and higher values of s; Figure 7 shows that this remains true for different values 580 of the deleterious mutation rate, up to U = 4 (as can be seen on Figure 7B, the effect 581 of genetic linkage becomes more visible at higher values of U, since deleterious alleles 582 are more abundant within genomes). Figure 8 shows that when s is sufficiently small, 583 the equilibrium rate of sex is only weakly dependent on the values of U and s, as long 584 as Us remains constant: indeed, the equilibrium rate of sex seems to reach a plateau as 585 U increases, decreasing s so that Us remains constant. This effect is not well predicted 586 by the QLE model, which tends to overestimate selection for sex for lower values of s. 587

According to the analytical model, the number of selected loci should have little 588 effect on the results (for a fixed value of the genomic mutation rate U), as long as it is 589 sufficiently large so that sums over all loci can be approximated by integrals, and that 590 the mutation rate per locus is small ($u \ll s$, so that deleterious alleles remain at low 591 frequency). A modified version of the program in which deleterious alleles occur at 592 discrete locations along the chromosome was used to explore the effect of the number 593 of loci: as shown by Figure S1, the results confirm that the equilibrium rate of sex does 59 not depend on the number of loci, as long as it is higher than 10^3 (for the parameter 595 values used in Figure S1). Finally, Figure S2 explores the effect of map length R596

(average number of cross-overs along the genome at meiosis): R has little effect on the results as long as it is not too small (roughly, R > 5 in Figure S2), while tighter linkage increases selection for sex by amplifying interference effects among selected loci and hitchhiking of modifier alleles increasing sex. As shown by Figure S2, integrating the QLE results over the genetic map overestimates selection for sex when R is small, which again is probably due to the fact that higher-order interactions among loci are not adequately represented by replacing N by $N_{\rm e}$.

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DISCUSSION

Because every population is finite in size, drift-based explanations for the evo-605 lution of sex seem particularly compelling (e.g., Otto, 2009); however, few previous 606 papers derived quantitative results on the possible strength of indirect selection for 607 sex generated by this type of mechanism. As we have seen in introduction, the ma-608 jority of analytical results on the subject are based on recombination modifier models, 609 considering the spread of a mutant allele affecting recombination between two selected 610 loci in haploid organisms. However, the questions of the evolution of sex and the 611 evolution of recombination differ by several important aspects. A first (that was men-612 tioned earlier) concerns the fact that it is probably easier to modify recombination 613 rates than to switch to a different reproductive mode. Although this is certainly true 614 in most obligate sexuals, this type of constraint may be less important in organisms 615 that alternate between reproductive modes and become sexual in response to an envi-616 ronmental stimulus, as in cladocerans or rotifers (Hebert and Crease, 1980; Innes and 617 Hebert, 1988; Becks and Agrawal, 2012). A second difference is that strong intrinsic 618

costs are often associated with sexual reproduction (cost of males, costs generated by 619 the mating process...), while increasing or decreasing recombination should have less 620 direct effect on fitness (although many or too few cross-overs per chromosome may 621 result in an euploidy). Finally, a third difference is that increasing sex may not yield 622 the same indirect benefits as increasing recombination. While this has been shown 623 previously in the case of diploid organisms, where a single selected locus may generate 624 indirect selection for sex through segregation (Uyenoyama and Bengtsson, 1989; Otto, 625 2003; Agrawal, 2009a,b; Roze and Michod, 2010), we have seen in this paper that this 626 is also true in haploids, as a single locus under selection tends to favour any mutation 627 increasing sex in a finite population. This effect (which does not occur in the case of a 628 recombination modifier) stems from the fact that alleles coding for more frequent sex 629 escape more easily from low-fitness genetic backgrounds than alleles coding for lower 630 rates of sex; the same type of benefit is also produced by interactions between selected 631 loci, in addition to the benefits gained by breaking negative associations between those 632 loci. This mechanism (which had not been described before) cannot provide an ad-633 vantage to sexuals when competing against pure asexuals (since asexuals never "share" 634 their genetic background), but is susceptible to select for increased rates of occurrence 635 of sex in organisms using both reproductive modes. It is interesting to note that this 636 mechanism presents some similarities with the concept of condition-dependent sex or 63 recombination (Agrawal et al., 2005; Hadany and Otto, 2007, 2009), in which alleles 638 that increase the rate of sex or recombination when present in low-fitness individuals 639 benefit from a deterministic advantage due to the possibility of escaping from these 640 low-fitness genotypes; however, an important difference is that the effect described in 641 the present paper does not require condition-dependent rates of sex. 642

Can indirect selection generated by stochastic forces compensate for strong 643 direct costs of sexual reproduction? This requires that sufficiently many selected loci 644 are polymorphic at the same time, as the effect of a single selected locus (or a pair of 645 interacting loci) is rather weak. As we have seen, predictions from analytical models 646 can be extrapolated to situations where selection occurs at many loci (under certain 64 conditions), but this neglects the effect of higher-order interactions (between three or 648 more selected loci) which are difficult to explore analytically due to the large number of 640 variables involved. Although the effect of each of these higher-order interactions should 650 be relatively weak, the number of such possible interactions becomes very large rapidly 651 as the number of selected loci increases. The multilocus simulation results presented in 652 this paper show that extrapolations from three-locus models may nevertheless provide 653 correct predictions for the evolutionarily stable rate of sex in some cases, once the 654 overall effect of selection occurring in the background is accounted for by replacing 655 population size by the effective population size $N_{\rm e}$, derived from background selection 656 models. This suggests that selection on a sex modifier mainly stems from its effect on 65 pairwise associations between selected loci, at least as long as the baseline rate of sex 658 is not too low; however, effect of higher-order interactions should be explored more 659 rigorously in order to obtain a better understanding of the different effects involved 660 (and more accurate predictions for low rates of sex). Multilocus simulations also 661 confirm several qualitative predictions from the analytical model, in particular the 662 fact that indirect selection increases very sharply as the baseline rate of sex in the 663 population decreases (causing a relatively slow decrease of the equilibrium rate of sex 664 as the cost of sex increases), and the fact that the strength of indirect selection is 665 maximized for intermediate values of s, and is a decreasing function of population 666

667 size.

While the present model only considers haploid individuals, QLE results for 668 diploids were derived in Roze and Michod (2010) using two and three-locus models 669 (equivalent to Appendix B in the present paper). Analytical and multilocus simula-670 tion results indicate that the different parameters (N, c, U, s) have similar qualitative 67 effects in haploids and diploids in the absence of dominance (additive deleterious al-672 leles: h = 0.5). When deleterious alleles are partially recessive, however, sex is often 673 disfavoured due to segregation load (generated by dominance within loci and associa-674 tive overdominance between loci), and multilocus simulations indicate that populations 675 may evolve towards complete asexuality when sex is costly (see Figures 6 and 7 in Roze 676 and Michod, 2010). In general, conditions favouring sex thus seem more restricted in 677 diploids than in haploids. 678

Overall, the results presented in this paper show that deleterious mutations 679 tend to favour small rates of sex in the presence of strong direct costs of sex, but are 680 unlikely to explain the evolution of obligate sex (again, due to the fact that indirect 68 selection decreases very rapidly as the baseline rate of sex in the population increases). 682 Note however that population spatial structure should enhance indirect selection due 683 to stochastic effects (while also slowing the spread of asexual mutants) and therefore 684 allow higher rates of sex to be maintained (Peck et al., 1999; Martin et al., 2006; 685 Salathé et al., 2006; Hartfield et al., 2012). Generally, the magnitude of indirect se-686 lection depends critically on the deleterious mutation rate U and selection coefficient 687 against deleterious alleles s, for which the few estimates available typically have rather 688 large variances. In particular, estimates of the distribution of fitness effects (DFE) of 689 mutations obtained from DNA polymorphism data (e.g., Keightley and Eyre-Walker, 690

2007; Boyko et al., 2008) often suggest much lower values of s (on average) than those 69 obtained from mutation accumulation experiments or direct measurements of the fit-692 ness effects of individual mutations (Eyre-Walker and Keightley, 2007; Halligan and 693 Keightley, 2009). Because each method has its own drawbacks and limitations, it is 694 still difficult to know what should be a realistic value for the average s. In general, 695 deleterious mutations may have stronger effects when acting in combination with ben-696 eficial alleles spreading through the population (Hartfield et al., 2010), or with loci 697 under fluctuating selection. Ultimately, more detailed knowledge about the magni-698 tude and causes of heritable variation in fitness within populations will be needed to 699 assess the potential strength of indirect selection for sexual reproduction. 700

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APPENDIX A: DERIVING RECURSIONS FOR MOMENTS OF ALLELE FREQUENCIES AND LINKAGE DISEQUILIBRIA

In the following, different superscripts denote moments measured at different 826 steps of the life cycle: $\langle \mathcal{M} \rangle_t^{\text{sel}}$ denote the expected value of \mathcal{M} after selection (weighting 827 each parent by its relative fecundity), while $\langle \mathcal{M} \rangle_t^{\text{juv}}$ stands for the expected value 828 of \mathcal{M} among juveniles (after reproduction, before drift). Three different systems of 829 recursions represent the effects of selection (computing $\langle \mathcal{M} \rangle_t^{\text{sel}}$ in terms of $\langle \mathcal{M} \rangle_t$), 830 reproduction (computing $\langle \mathcal{M} \rangle_t^{\text{juv}}$ in terms of $\langle \mathcal{M} \rangle_t^{\text{sel}}$) and drift (computing $\langle \mathcal{M} \rangle_{t+1}$ 831 in terms of $\langle \mathcal{M} \rangle_t^{\text{juv}}$). Note that allele frequencies may change during each of these 832 steps (due to differential fecundities, the direct cost of sex, and drift). The recursions 833 describing the effects of these three events (selection, reproduction, drift) are in turn 834 divided into two steps. A first step corresponds to the calculation of the expectation 835 of moment \mathcal{M} after the event, but using the values of allele frequencies before the 836 event: in particular, $\langle \mathcal{M} \rangle'_t$ corresponds to the expected value of \mathcal{M} after selection, 837 but where the allele frequencies that appear in \mathcal{M} (note that allele frequencies also 838 appear in the definitions of LDs) are replaced by their values before selection. For 839 example, $\langle p_A D_{MA} \rangle'_t$ is the expected value of $p_A \operatorname{E}_{\operatorname{sel}} [(X_M - p_M) (X_A - p_A)]$, where $\operatorname{E}_{\operatorname{sel}}$ 840 stands for the average over individuals after selection (weighting each individual by 841 its relative fecundity), and p_M , p_A are the frequencies of M and A before selection. 842 Similarly, $\langle \mathcal{M} \rangle_t''$ and $\langle \mathcal{M} \rangle_t'''$ correspond to the expected values of \mathcal{M} after reproduction 843 and drift (respectively), but using the values of allele frequencies before reproduction 844 (for $\langle \mathcal{M} \rangle_t''$) and before drift (for $\langle \mathcal{M} \rangle_t'''$). Note that $\langle D_M \rangle_t' = \langle \mathcal{E}_{\text{sel}} [X_M - p_M] \rangle_t$ is the 845 expected change in frequency of M during selection (also denoted $\langle \Delta_{\rm s} p_M \rangle_t$), while 846

 $\langle D_M \rangle_t''$ is the expected change in frequency of M during reproduction (also denoted 847 $\langle \Delta_{\mathbf{r}} p_M \rangle_t$). Although $\langle D_M \rangle_t''$ (the expected change in frequency of M due to drift) 848 equals zero, higher-order moments (such as $\langle D_M^2 \rangle_t^{\prime\prime\prime}$) are different from zero. Finally, 849 the second step of the recursions corresponds to the update of allele frequencies, and is 850 identical for the three life cycle events. Although this second step can be represented by 851 a general expression (e.g., eq. A43 in Roze and Michod, 2010), here I simply show the 852 derivation of $\langle p_A D_{MA} \rangle_t^{\text{sel}}$ as an example (which is easily generalized to other moments). 853 We have: 854

$$\langle p_A D_{MA} \rangle_t^{\text{sel}} = \langle (p_A + \Delta_s p_A) \operatorname{E}_{\text{sel}} \left[(X_M - p_M - \Delta_s p_M) \left(X_A - p_A - \Delta_s p_A \right) \right] \rangle_t \quad (A1)$$

where p_M and p_A are allele frequencies before selection. Expanding in terms of $\Delta_s p_M$, $\Delta_s p_A$ (written as D_M , D_A) yields:

$$\langle p_A D_{MA} \rangle_t^{\text{sel}} = \langle p_A D_{MA} \rangle_t' + \langle D_{MA} D_A \rangle_t' - \langle p_A D_M D_A \rangle_t' - \langle D_M D_A^2 \rangle_t' . \tag{A2}$$

 $\langle p_A D_{MA} \rangle_t^{\text{juv}}$ and $\langle p_A D_{MA} \rangle_{t+1}$ are given by the same expression, replacing moments $\langle \mathcal{M} \rangle_t'$ by $\langle \mathcal{M} \rangle_t''$ and $\langle \mathcal{M} \rangle_t'''$, respectively. A general expression that allows one to compute the effect of updating allele frequencies on any moment can be implemented in *Mathematica* (see Supplementary Material).

Similarly, the calculation of $\langle \mathcal{M} \rangle_t'$ in terms of $\langle \mathcal{M} \rangle_t$ (selection), $\langle \mathcal{M} \rangle_t''$ in terms of $\langle \mathcal{M} \rangle_t^{\text{sel}}$ (reproduction) and $\langle \mathcal{M} \rangle_t'''$ in terms of $\langle \mathcal{M} \rangle_t^{\text{juv}}$ (drift) can be automated in *Mathematica*. The general principles are the following. To compute moments $\langle \mathcal{M} \rangle_t'$ in terms of moments before selection $\langle \mathcal{M} \rangle_t$, one uses the fact that D_i , D_{ij} and D_{ijk} after selection (but using allele frequencies before selection) are given by:

$$D_{\mathbb{U}}' = \mathbf{E}\left[\frac{W}{\overline{W}}\,\zeta_{\mathbb{U}}\right] \tag{A3}$$

where \mathbb{U} is any set of loci, $\zeta_{\mathbb{U}} = \prod_{i \in \mathbb{U}} \zeta_i$, W is the fecundity of an individual and \overline{W} the average fecundity in the population. These can be written as

$$W = (1 + s p_A + s \zeta_A) (1 + s p_B + s \zeta_B)$$

$$\overline{W} = (1 + s p_A) (1 + s p_B) + s^2 D_{AB}$$
(A4)

and the ratio W/\overline{W} can be expanded in a Taylor series in s, allowing one to compute $D'_{\mathbb{U}}$ 868 in terms of allele frequencies and linkage disequilibria before selection. For example, 869 $W/\overline{W} = 1 + s (\zeta_A + \zeta_B)$ to the first order in s, yielding $\langle p_A D_{MA} \rangle'_t = \langle p_A D_{MA} \rangle_t + \delta_A D_{MA} \rangle_t$ 870 $s(\langle p_A D_{MAA} \rangle_t + \langle p_A D_{MAB} \rangle_t) + o(s)$. Linkage disequilibria with repeated indices (such 871 as D_{MAA}) can be rewritten using the relation: $D_{\mathbb{U}ii} = p_i q_i D_{\mathbb{U}} + (1 - 2p_i) D_{\mathbb{U}i}$ (e.g., eq. 872 5 in Barton and Turelli, 1991). For example, $\langle p_A D_{MAA} \rangle_t = \langle p_A D_{MA} \rangle_t - 2 \langle p_A^2 D_{MA} \rangle_t$ 873 since any moment $\langle \mathcal{M} \rangle_t$, $\langle \mathcal{M} \rangle_t^{\text{sel}}$ or $\langle \mathcal{M} \rangle_t^{\text{juv}}$ involving D_i (where *i* is a single locus) 874 equals zero. 875

To compute the effect of reproduction on linkage disequilibria, I call σ_{ind} the rate of sex of a given individual (equal to σ or $\sigma + \delta \sigma$ depending on its genotype at the modifier locus) and $\overline{\sigma}$ the average rate of sex in the population. We then have:

$$D_{\mathbb{U}}'' = \mathcal{E}_{\text{sel}} \left[\frac{c \left(1 - \sigma_{\text{ind}} \right)}{c \left(1 - \overline{\sigma} \right) + \overline{\sigma}} \zeta_{\mathbb{U}} \right] + \sum_{\mathbb{ST} = \mathbb{U}} r_{\mathbb{S},\mathbb{T}} \mathcal{E}_{\text{sel}} \left[\frac{\sigma_{\text{ind}}}{c \left(1 - \overline{\sigma} \right) + \overline{\sigma}} \zeta_{\mathbb{S}} \right] \mathcal{E}_{\text{sel}} \left[\frac{\sigma_{\text{ind}}}{\overline{\sigma}} \zeta_{\mathbb{T}} \right].$$
(A5)

The first and second terms of equation A5 correspond to the effects of asexual and 879 sexual reproduction on $D_{\mathbb{U}}''$; the second term involves a sum over all possible partitions 880 of the set \mathbb{U} into two subsets \mathbb{S} and \mathbb{T} , $r_{\mathbb{S},\mathbb{T}}$ being the probability that among the 881 loci in the set \mathbb{U} present in a haploid juvenile (after meiosis), loci in the subset \mathbb{S} 882 are inherited from the maternal gamete, and loci in the subset \mathbb{T} from the paternal 883 gamete. To compute $D_{\mathbb{U}}''$ as a function of linkage disequilibria and allele frequencies 884 after selection, one can replace σ_{ind} and $\overline{\sigma}$ by $\sigma + \delta \sigma p_M + \delta \sigma \zeta_M$ and $\sigma + \delta \sigma p_M$ in 885 equation A5, and compute all fractions to the first order in $\delta\sigma$. 886

Finally, the effect of drift on moments involving linkage disequilibria is obtained 887 using the following reasoning. $\langle D_{\mathbb{U}} \rangle_t^{\prime\prime\prime}$ is the expected value of $\zeta_{\mathbb{U}} = \prod_{i \in \mathbb{U}} (X_i - p_i)$ 888 (where p_i 's are allele frequencies before drift, among juveniles) in an individual sampled 889 randomly in the population after drift. Because drift corresponds to the random 890 sampling of N juveniles, this is the same as the average value of $\zeta_{\mathbb{U}}$ among juveniles; 89 therefore, $\langle D_{\mathbb{U}} \rangle_t^{\prime\prime\prime} = \langle D_{\mathbb{U}} \rangle_t^{\text{juv}}$. Likewise, $\langle D_{\mathbb{U}} D_{\mathbb{V}} \rangle_t^{\prime\prime\prime}$ is the expected value of the product 892 $\zeta_{\mathbb{U}}\zeta_{\mathbb{V}}$, each ζ being measured in an individual sampled with replacement from the 893 population after drift. With probability 1/N the same individual is sampled twice, in 894 which case the expectation becomes the average value of ζ_{UV} among juveniles, while 895 with probability 1 - 1/N two different individuals are sampled, in which case the 896 expectation becomes the average of the product $\zeta_{\mathbb{U}}\zeta_{\mathbb{V}}$ when each ζ is measured in a 897 randomly sampled juvenile. Therefore, we have: 898

$$\langle D_{\mathbb{U}}D_{\mathbb{V}}\rangle_t^{\prime\prime\prime} = \frac{1}{N} \langle D_{\mathbb{U}\mathbb{V}}\rangle_t^{\mathrm{juv}} + \left(1 - \frac{1}{N}\right) \langle D_{\mathbb{U}}D_{\mathbb{V}}\rangle_t^{\mathrm{juv}} .$$
 (A6)

In particular, $\langle D_M^2 \rangle_t^{\prime\prime\prime} = \langle D_{MM} \rangle_t^{\text{juv}} / N = \langle p_M q_M \rangle_t^{\text{juv}} / N$ (since $\langle D_M^2 \rangle_t^{\text{juv}} = 0$). This method is readily extended to more complex moments (e.g., Roze and Michod, 2010). Throughout the paper, I assume that population size is large and calculate all recursions to the first order in 1/N.

APPENDIX B: EXPRESSIONS FOR MOMENTS IN THE THREE-LOCUS MODEL 904

The three-locus model involves different moments of allele frequencies and LD, 905 which are of different order in s and $\delta\sigma$. In the following, r_{MAB} is the probability that 906 at least one recombination event occurs between the three loci, while $\rho_{MAB} = r_{MAB} \sigma_{\text{eff}}$. 907 All moments are expressed at QLE to the first order in 1/N and $\delta\sigma$, and to leading 908 order in s; derivations can be found in the Supplementary Material. The variance in 909 the three-locus linkage disequilibrium D_{MAB} is generated by finite population size: 910

$$\langle D_{MAB}^{2} \rangle_{t} = \frac{\langle pq_{MAB} \rangle_{t}}{N \rho_{MAB} \left(2 - \rho_{MAB}\right)}.$$
 (B1)

⁹¹¹ where $\langle pq_{MAB} \rangle_t = \langle p_M q_M p_A q_A p_B q_B \rangle_t$. Similarly, we have:

$$\left\langle p_M q_M D_{AB}^2 \right\rangle_t = \frac{\left\langle p q_{MAB} \right\rangle_t}{N \rho_{AB} \left(2 - \rho_{AB}\right)}.$$
 (B2)

The moment $\langle D_{MAB} D_{AB} \rangle$ is generated by the modifier effect and drift: 912

$$\langle D_{MAB} D_{AB} \rangle_t = -\delta \sigma_{\text{eff}} \frac{T_1 \langle p_M q_M D_{AB}^2 \rangle_t + T_2 \langle D_{MAB}^2 \rangle_t}{1 - (1 - \rho_{AB}) (1 - \rho_{MAB})}$$
(B3)

with $T_1 = (1 - \rho_{AB}) [r_{AB} + (c - 1) \rho_{AB}]$ and $T_2 = (1 - \rho_{MAB}) (r_{AB} + c - 1)$. Moments 913 $\langle D_{MAB}D_{MA}\rangle, \langle D_{MAB}D_{MB}\rangle, \langle p_M q_M p_A D_{AB}\rangle$ and $\langle p_M q_M p_B D_{AB}\rangle$ are generated by selec-914 tion and drift, and are of order s; when measured after selection, they are given by: 915

$$\langle D_{MAB} D_{MA} \rangle_t^{\text{sel}} = s \, \frac{\langle D_{MAB}^2 \rangle_t}{1 - (1 - \rho_{MA}) \left(1 - \rho_{MAB}\right)} \tag{B4}$$

916

903

$$\langle p_M q_M p_A D_{AB} \rangle_t^{\text{sel}} = s \, \frac{\langle p_M q_M D_{AB}^2 \rangle_t}{\rho_{AB}} \,.$$
 (B5)

Moments before selection are given by the same expressions multiplied by $(1 - \rho_{MA}) \times$ 917 $(1 - \rho_{MAB})$ and by $1 - \rho_{AB}$ (respectively), while $\langle D_{MAB} D_{MB} \rangle$ and $\langle p_M q_M p_B D_{AB} \rangle$ are 918

⁹¹⁹ given by symmetrical expressions. The moments $\langle D_{MA}D_{AB}\rangle$, $\langle D_{MB}D_{AB}\rangle$, $\langle p_A D_{MAB}\rangle$ ⁹²⁰ and $\langle p_B D_{MAB}\rangle$ are of order $s \,\delta\sigma$:

$$\left\langle D_{MA}D_{AB}\right\rangle_{t} = \frac{\left(1-\rho_{MA}\right)\left[s\left(1-\rho_{AB}\right)\left\langle D_{MAB}D_{AB}\right\rangle_{t}-\delta\sigma_{\text{eff}}\left(r_{AB}+c-1\right)\left\langle D_{MAB}D_{MA}\right\rangle_{t}^{\text{sel}}\right]}{1-\left(1-\rho_{MA}\right)\left(1-\rho_{AB}\right)}$$
(B6)

921

$$\langle p_A D_{MAB} \rangle_t = \frac{1}{\rho_{MAB}} \left[-\delta \sigma_{\text{eff}} \left[r_{AB} + (c-1) \rho_{AB} \right] \langle p_M q_M p_A D_{AB} \rangle_t^{\text{sel}} + (1 - \rho_{MAB}) \left[s \langle D_{MAB} D_{AB} \rangle_t - \delta \sigma_{\text{eff}} (c-1) \langle D_{MAB} D_{MA} \rangle_t^{\text{sel}} \right] \right]$$
(B7)

while $\langle D_{MB}D_{AB}\rangle_t$ and $\langle p_BD_{MAB}\rangle_t$ are given by symmetrical expressions. Moments $\langle p_M q_M D_{AB}\rangle, \langle D_{MA}D_{MB}\rangle$ and $\langle p_M D_{MAB}\rangle$ are generated by selection and drift, and are of order s^2 . After selection, they are given by:

$$\left\langle p_M q_M D_{AB} \right\rangle_t^{\text{sel}} = -2s \, \frac{\left\langle p_M q_M p_A D_{AB} \right\rangle_t + \left\langle p_M q_M p_B D_{AB} \right\rangle_t + s \left\langle p_M q_M D_{AB}^2 \right\rangle_t}{\rho_{AB}} \tag{B8}$$

925

$$\langle D_{MA}D_{MB}\rangle_t^{\text{sel}} = s \, \frac{\langle D_{MAB}D_{MA}\rangle_t + \langle D_{MAB}D_{MB}\rangle_t + s \, \langle D_{MAB}^2\rangle_t}{1 - (1 - \rho_{MA}) \, (1 - \rho_{MB})} \tag{B9}$$

926

$$\left\langle p_M D_{MAB} \right\rangle_t^{\text{sel}} = s \, \frac{\left\langle D_{MAB} D_{MA} \right\rangle_t + \left\langle D_{MAB} D_{MB} \right\rangle_t + s \, \left\langle D_{MAB}^2 \right\rangle_t}{\rho_{MAB}} \tag{B10}$$

while the same moments before selection are obtained by multiplying the previous expressions by $1 - \rho_{AB}$, $(1 - \rho_{MA})(1 - \rho_{MB})$ and $1 - \rho_{MAB}$ (respectively). The threelocus linkage disequilibrium is generated by selection, drift and the modifier effect, and is of order s^2 :

$$\langle D_{MAB} \rangle_{t} = \frac{1}{\rho_{MAB}} \left[-\delta \sigma_{\text{eff}} \left[r_{AB} + (c-1) \rho_{AB} \right] \langle p_{M} q_{M} D_{AB} \rangle_{t}^{\text{sel}} \right. \\ \left. + \delta \sigma_{\text{eff}} \left[r_{MAB} + (c-1) \left(2 - \rho_{MAB} \right) \right] \langle p_{M} D_{MAB} \rangle_{t}^{\text{sel}} \right.$$

$$\left. + \delta \sigma_{\text{eff}} \left[r_{AB} + (c-1) \left(2 - \rho_{MA} - \rho_{MB} \right) \right] \langle D_{MA} D_{MB} \rangle_{t}^{\text{sel}} \right.$$

$$\left. - \left(1 - \rho_{MAB} \right) \left[2s \left(\langle D_{MA} D_{AB} \rangle_{t} + \langle D_{MB} D_{AB} \rangle_{t} + \langle p_{A} D_{MAB} \rangle_{t} \right. \\ \left. + \left\langle p_{B} D_{MAB} \rangle_{t} \right) + 4s^{2} \left\langle D_{MAB} D_{AB} \right\rangle_{t} \right] \right].$$

$$\left. \left. \right.$$

Finally, the two-locus moments involving the modifier and a single selected locus are also affected by selection at the third locus. One obtains:

$$\left\langle D_{MA}^{2}\right\rangle_{t}^{\text{sel}} = \frac{1}{\rho_{MA}\left(2-\rho_{MA}\right)} \left[\frac{\left\langle pq_{MA}\right\rangle_{t}}{N} + s\left(2\left\langle D_{MAB}D_{MA}\right\rangle_{t} + s\left\langle D_{MAB}^{2}\right\rangle_{t}\right)\right] \quad (B12)$$

⁹³³ $\langle D_{MA}^2 \rangle_t$ (before selection) being obtained by multiplying the term in s by $(1 - \rho_{MA})^2$ ⁹³⁴ in the previous expression,

$$\langle p_A D_{MA} \rangle_t = \frac{1 - \rho_{MA}}{\rho_{MA}} \left[s \left(\langle p_A D_{MAB} \rangle_t + \langle D_{MA} D_{AB} \rangle_t \right) + s^2 \langle D_{MAB} D_{AB} \rangle_t - \delta \sigma_{\text{eff}} \left(c - 1 \right) \left\langle D_{MA}^2 \right\rangle_t^{\text{sel}} \right]$$
(B13)

935

$$\langle p_M D_{MA} \rangle_t^{\text{sel}} = \frac{1}{\rho_{MA}} \left[s \left(\left\langle D_{MA}^2 \right\rangle_t + \left\langle D_{MA} D_{MB} \right\rangle_t + \left\langle p_M D_{MAB} \right\rangle_t \right) + s^2 \left(2 \left\langle D_{MAB} D_{MA} \right\rangle_t + \left\langle D_{MAB} D_{MB} \right\rangle_t \right) + s^3 \left\langle D_{MAB}^2 \right\rangle_t \right]$$
(B14)

(which has to be multiplied by $1 - \rho_{MA}$ to obtain the same moment before selection), and

$$\langle D_{MA} \rangle_{t} = \frac{1}{\rho_{MA}} \left[\delta \sigma_{\text{eff}} \left[(c-1) \left(2 - \rho_{MA} \right) + r_{MA} \right] \langle p_{M} D_{MA} \rangle_{t}^{\text{sel}} + \left(1 - \rho_{MA} \right) \left[s \left(\langle D_{MAB} \rangle_{t} - 2 \langle p_{A} D_{MA} \rangle_{t} \right) - 2s^{3} \langle D_{MAB} D_{AB} \rangle_{t} - s^{2} \left(2 \langle p_{A} D_{MAB} \rangle_{t} + 2 \langle D_{MA} D_{AB} \rangle_{t} + \langle p_{B} D_{MAB} \rangle_{t} + \langle D_{MB} D_{AB} \rangle_{t} \right) \right] \right].$$
(B15)

⁹³⁸ Moments $\langle D_{MB}^2 \rangle_t$, $\langle p_B D_{MB} \rangle_t$, $\langle p_M D_{MB} \rangle_t$ and $\langle D_{MB} \rangle_t$ are given by symmetrical ex-⁹³⁹ pressions. The following expressions for moments of allele frequencies and linkage disequilibria are derived for the case where alleles A and B are deleterious and maintained at mutation-balance (at equilibrium frequency $p_A = p_B \approx u/s$, where u is the per-locus deleterious mutation rate), and for weak effective recombination rates ρ_X (of order s); derivations can be found in the Supplementary Material. Moments generated by selection and drift are given by:

$$\frac{\langle pq_M D_{AB}^2 \rangle_t}{\langle pq_M \rangle_t} \approx \frac{p_A p_B}{2N \left(\rho_{AB} + 2s\right)} \tag{C1}$$

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$$\frac{\langle D_{MAB}^2 \rangle_t}{\langle pq_M \rangle_t} \approx \frac{p_A p_B}{2N \left(\rho_{MAB} + 2s\right)} \tag{C2}$$

$$\frac{\langle pq_M p_A D_{AB} \rangle_t}{\langle pq_M \rangle_t} \approx -\frac{s \, p_A \, p_B}{2N \left(\rho_{AB} + 2s\right) \left(\rho_{AB} + 3s\right)} \tag{C3}$$

$$\frac{\langle D_{MAB} D_{MA} \rangle_t}{\langle pq_M \rangle_t} \approx -\frac{s \, p_A \, p_B}{2N \left(\rho_{MAB} + 2s\right) \left(\rho_{MA} + \rho_{MAB} + 3s\right)} \tag{C4}$$

$$\frac{\langle pq_M D_{AB} \rangle_t}{\langle pq_M \rangle_t} \approx -\frac{2s^2 p_A p_B}{N \left(\rho_{AB} + 2s\right)^2 \left(\rho_{AB} + 3s\right)} \tag{C5}$$

$$\frac{\langle D_{MA}D_{MB}\rangle_t}{\langle pq_M\rangle_t} \approx \frac{s^2 X \, p_A \, p_B}{2N \left(\rho_{MAB} + 2s\right) \left(\rho_{MA} + \rho_{MB} + 2s\right)} \tag{C6}$$

$$\frac{\langle p_M D_{MAB} \rangle_t}{\langle pq_M \rangle_t} \approx \frac{s^2 X \, p_A \, p_B}{2N \left(\rho_{MAB} + 2s\right)^2} \tag{C7}$$

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with
$$X = \frac{6s + 2\rho_{MAB} + \rho_{MA} + \rho_{MB}}{(\rho_{MAB} + \rho_{MA} + 3s)(\rho_{MAB} + \rho_{MB} + 3s)},$$
 (C8)

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$$\frac{\langle D_{MA}^2 \rangle_t}{\langle pq_M \rangle_t} \approx \frac{p_A}{2N\left(\rho_{MA} + s\right)} \left[1 + \frac{s^2 p_B}{\left(\rho_{MAB} + 2s\right)\left(\rho_{MAB} + \rho_{MA} + 3s\right)} \right] \tag{C9}$$

$$\langle p_M D_{MA} \rangle_t \approx -\frac{s}{(\rho_{MA} + s)} \left[\left\langle D_{MA}^2 \right\rangle_t + \left\langle D_{MA} D_{MB} \right\rangle_t + \left\langle p_M D_{MAB} \right\rangle_t \right] .$$
 (C10)

⁹⁵⁶ Moments generated by the modifier effect are given by:

$$\frac{\langle D_{MAB} D_{AB} \rangle_t}{\langle pq_M \rangle_t} \approx -\delta\sigma_{\text{eff}} \frac{2s\left(c - 1 + 2r_{AB}\right) + \rho_{AB}\left(c - 1 + r_{AB} + r_{MAB}\right)}{2N\left(\rho_{AB} + 2s\right)\left(\rho_{MAB} + 2s\right)\left(\rho_{MAB} + \rho_{AB} + 4s\right)} p_A p_B \quad (C11)$$

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$$\langle D_{MA}D_{AB}\rangle_{t} \approx -\frac{s \langle D_{MAB}D_{AB}\rangle_{t} + \delta\sigma_{\text{eff}} \left(c - 1 + r_{AB}\right) \langle D_{MAB}D_{MA}\rangle_{t}}{\rho_{MA} + \rho_{AB} + 3s}$$
(C12)
$$\langle p_{A}D_{MAB}\rangle_{t} \approx -\frac{s \langle D_{MAB}D_{AB}\rangle_{t} + \delta\sigma_{\text{eff}} \left[r_{AB} \langle pq_{M}p_{A}D_{AB}\rangle_{t} + \left(c - 1\right) \langle D_{MAB}D_{MA}\rangle_{t}\right]}{\rho_{MAB} + 3s}$$
(C13)

$$\langle D_{MAB} \rangle_{t} \approx \frac{1}{\rho_{MAB} + 2s} \bigg[-\delta \sigma_{\text{eff}} r_{AB} \langle pq_{M} D_{AB} \rangle_{t} + \delta \sigma_{\text{eff}} [2 (c - 1) + r_{MAB}] \langle p_{M} D_{MAB} \rangle_{t} + \delta \sigma_{\text{eff}} [2 (c - 1) + r_{AB}] \langle D_{MA} D_{MB} \rangle_{t} + 2s (\langle D_{MA} D_{AB} \rangle_{t} + \langle D_{MB} D_{AB} \rangle_{t} + \langle p_{A} D_{MAB} \rangle_{t} + \langle p_{B} D_{MAB} \rangle_{t}) \bigg]$$

$$(C14)$$

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$$\langle p_A D_{MA} \rangle_t \approx -\frac{s \left(\langle D_{MA} D_{AB} \rangle_t + \langle p_A D_{MAB} \rangle_t \right) + \delta \sigma_{\text{eff}} \left(c - 1 \right) \left\langle D_{MA}^2 \right\rangle_t}{\rho_{MA} + 2s} \tag{C15}$$

$$\left\langle D_{MA} \right\rangle_{t} \approx \frac{s \left(2 \left\langle p_{A} D_{MA} \right\rangle_{t} - \left\langle D_{MAB} \right\rangle_{t} \right) + \delta \sigma_{\text{eff}} \left[2 \left(c - 1 \right) + r_{MA} \right] \left\langle p_{M} D_{MA} \right\rangle_{t}}{\rho_{MA} + s} .$$
(C16)

⁹⁶² Under the same assumptions, the selection gradient at the modifier locus is given by:

$$s_M \equiv \frac{\langle \Delta p_M \rangle_t}{\langle p_M q_M \rangle_t} \approx -\delta \sigma_{\text{eff}} \left(c - 1 \right) - s \frac{\langle D_{MA} \rangle_t + \langle D_{MB} \rangle_t}{\langle p q_M \rangle_t} \,. \tag{C17}$$

⁹⁶³ From equations C1 to C17, the selection gradient can be written under the form:

$$s_M \approx \delta\sigma_{\text{eff}} \left[-(c-1) + \frac{s^2}{N} \Theta_1 \left(p_A + p_B \right) + \frac{s^4}{N} \Theta_2 p_A p_B \right]$$
(C18)

where Θ_1 and Θ_2 are functions of recombination rates, the effective rate of sex σ_{eff} , the cost of sex c and strength of selection s. Assuming free recombination between all loci, one obtains:

$$\Theta_{1} = \frac{2}{\left(\sigma_{\text{eff}} + 2s\right)^{3}} \left[1 + \frac{8\left(c - 1\right)\left(\sigma_{\text{eff}} + 3s\right)}{\sigma_{\text{eff}} + 4s} \right]$$
(C19)

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$$\Theta_{2} = \frac{32 \left[\left(5\sigma_{\text{eff}} + 16s \right) X_{1} + 8 \left(c - 1 \right) \left(\sigma_{\text{eff}} + 6s \right) X_{2} \right]}{3 \left(\sigma_{\text{eff}} + 2s \right)^{3} \left(\sigma_{\text{eff}} + 3s \right) \left(\sigma_{\text{eff}} + 4s \right)^{2} \left(\sigma_{\text{eff}} + 6s \right) \left(\sigma_{\text{eff}} + 8s \right)^{3} \left(5\sigma_{\text{eff}} + 12s \right) \left(5\sigma_{\text{eff}} + 16s \right)}$$
(C20)

968 with:

$$X_{1} = 1133568 \, s^{6} + 2412288 \, s^{5} \sigma_{\text{eff}} + 2142144 \, s^{4} \sigma_{\text{eff}}^{2} + 1016320 \, s^{3} \sigma_{\text{eff}}^{3}$$

$$+ 271780 \, s^{2} \sigma_{\text{eff}}^{4} + 38848 \, s \, \sigma_{\text{eff}}^{5} + 2319 \, \sigma_{\text{eff}}^{6}$$
(C21)

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$$X_{2} = 921600 \, s^{6} + 1920000 \, s^{5} \sigma_{\text{eff}} + 1660672 \, s^{4} \sigma_{\text{eff}}^{2} + 763280 \, s^{3} \sigma_{\text{eff}}^{3}$$

$$+ 196622 \, s^{2} \sigma_{\text{eff}}^{4} + 26917 \, s \, \sigma_{\text{eff}}^{5} + 1530 \, \sigma_{\text{eff}}^{6} \, .$$
(C22)

Expressions for arbitrary recombination rates between the three loci can be obtained from equations C1 to C17. Extrapolating these results to the case where deleterious alleles occur over a whole genome can be done by summing equation C18 over all loci A and B, which yields equation 15 in the main text.

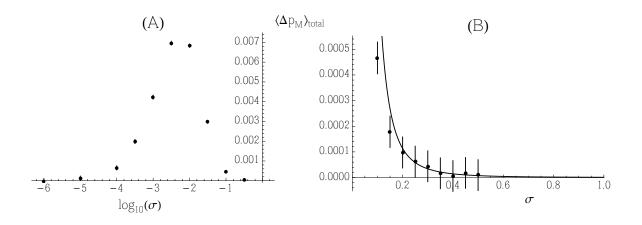


Figure 1. Average increase in frequency of allele M during the sweep of the beneficial allele A, as a function of the baseline rate of sex σ in the population (measured on a log scale in A). Dots show results from two-locus simulations (error bars measure ± 1.96 S.E.); the curve in B corresponds to the prediction from equation 8. Parameter values: N = 2000, $r_{MA} = 0.2$, s = 0.02, $\delta\sigma = 0.03$, c = 1.

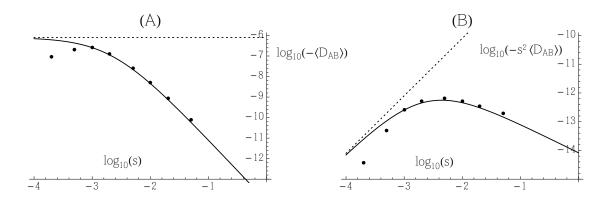


Figure 2. Average value of the linkage disequilibrium between two deleterious alleles at mutation-selection balance (multiplied by s^2 in B), as a function of the strength of selection against those alleles s (on a log scale). Solid curves: predictions from equation 14; dotted curves: predictions obtained assuming $s \ll \rho_{AB}$; dots: two-locus simulation results (averages over 10^{11} to 10^{12} points; error bars are small relative to the size of points). Parameter values: N = 2000, $\rho_{AB} = 0.005$, $u = 10^{-5}$.

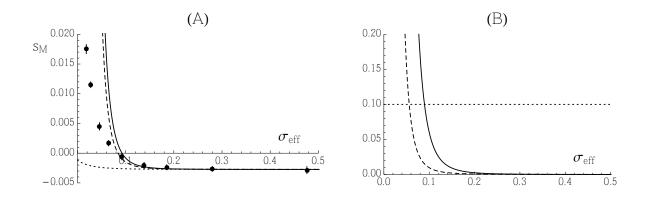


Figure 3. (A): selection gradient at the modifier locus s_M , as a function of the baseline rate of sex in the population σ_{eff} . Dots: multilocus simulation results (see text for more explanations); dotted curve: prediction from equation 15, using expressions for Θ_1 and Θ_2 under free recombination (equations C19 – C22); dashed, solid curves: predictions obtained by replacing N by N_e in these expressions (dashed: using the expression for N_e under free recombination, given by equation 16; solid: using the expression for N_e at the mid-point of a linear genome of genetic length R, given by equation 17). Parameter values: $N = 20000, U = 0.5, s = 0.05, R = 10, \delta\sigma = 0.03$. (B): three components of selection on the sex modifier, as a function of the baseline rate of sex in the population. The curves correspond to the three terms that appear within the brackets of equation 15, for the same parameter values as in (A). The dotted curve shows the opposite of the first term (cost of sex), that is, c - 1, while the dashed and solid curves show the second and third term, respectively (replacing N by N_e at the mid-point of the chromosome).

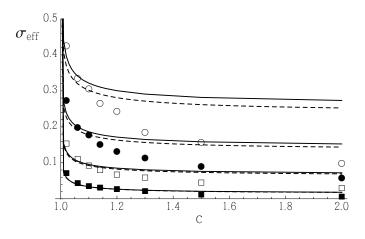


Figure 4. Effective rate of sex in the population at equilibrium as a function of the cost of sex c, and for different values of the deleterious mutation rate per genome U. Dots correspond to multilocus simulation results for U = 0.25 (filled squares), U = 0.5 (empty squares), U = 1 (filled circles) and U = 2 (empty circles). Error bars (obtained by splitting the results from each simulation into batches of 10^5 generations and calculating the variance over batches) are smaller than the size of symbols. Dashed curves correspond to predictions from equations C19 – C22 (assuming free recombination among all loci), replacing N by the effective population size at the modifier locus, while solid curves have been obtained by integrating the equations of appendix C over the genetic map (also replacing N by the effective population size at the modifier locus). Parameter values: N = 20000, s = 0.05, R = 10.

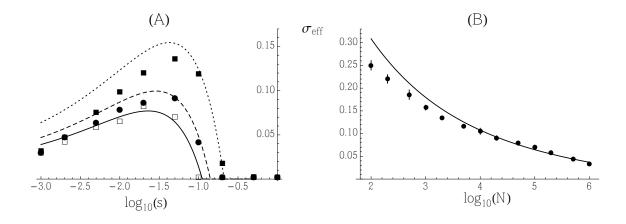


Figure 5. Effective rate of sex in the population at equilibrium as a function of the strength of selection against deleterious alleles s (A) and of population size (B), both on a log scale. Dots show simulation results, while the curves correspond to predictions from the QLE model: equations 15 and C19 – C22, replacing N by the effective population size at the modifier locus. (A): filled squares, dotted curve: N = 2000; circles, dashed curve: N = 20000; empty squares, solid curve: $N = 10^5$. (B): s = 0.05. Other parameter values: U = 0.5, R = 10, c = 1.1. Simulations started from purely sexual populations ($\sigma = 1$).

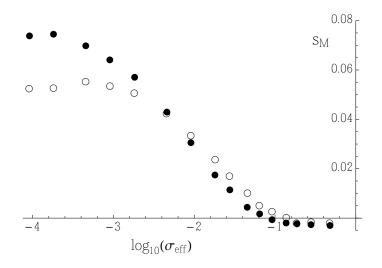


Figure 6. Selection gradient at the modifier locus s_M , as a function of the baseline rate of sex in the population σ_{eff} (on a log scale), for two values of population size: N = 2000 (empty circles) and N = 20000 (filled circles); other parameter values are as in Figure 3. Error bars are smaller than the size of symbols.

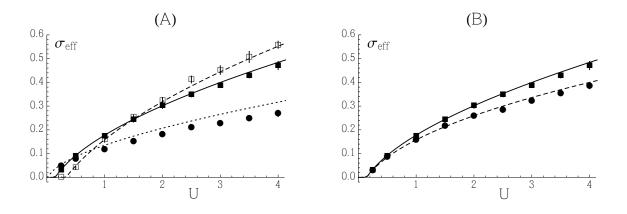


Figure 7. Effective rate of sex in the population at equilibrium as a function of the deleterious mutation rate U. Dots correspond to multilocus simulation results, and curves to the prediction obtained from the QLE model: equations 15 and C19 – C22, replacing N by the effective population size at the modifier locus. (A): circles, dotted curve: s = 0.01; filled squares, solid curve: s = 0.05; empty squares, dashed curve: s = 0.1. Other parameter values: N = 20000, R = 10, c = 1.1. (B): comparing the results obtained with a linear genetic map and with freely recombining loci, for s = 0.05: the squares and solid curve are the same as in A (linear map), while circles correspond to simulation results with freely recombining loci, and the dashed curve is the QLE prediction using the expression of N_e for unlinked loci (equation 16).

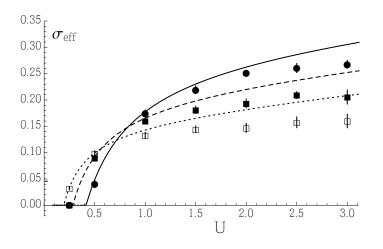


Figure 8. Effective rate of sex in the population at equilibrium as a function of the deleterious mutation rate U, adjusting s so that the Us product remains constant: Us = 0.05 (circles, solid curve), 0.025 (filled squares, dashed curves) and 0.0125 (empty squares, dotted curves). Curves correspond to the prediction obtained from the QLE model: equations 15 and C19 – C22, replacing N by the effective population size at the modifier locus. Parameter values: N = 20000, R = 10, c = 1.1.

Table 1: Model parameters.

N	population size
С	cost of sex
σ	investment into sexual reproduction
$\sigma_{\mathrm{eff}} = rac{\sigma}{c(1-\sigma)+\sigma}$	effective rate of sex (proportion of sexually produced
	offspring)
$\delta\sigma$	effect of allele M on investment into sex
r_{MA}	recombination rate between M and A (probability that a
	recombination occurs at meiosis)
$ ho_{MA} = r_{MA}\sigma_{ m eff}$	effective recombination rate between ${\cal M}$ and ${\cal A}$ (frequency of
	recombinants per generation)
8	selection coefficient of alleles A, B
u	rate of deleterious mutation per locus
U	rate of deleterious mutation per genome
R	genome map length (in Morgans)