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# IMP with exceptions over decorated logic

#### Burak Ekici

In this paper, we facilitate the reasoning about impure programming languages, by annotating terms with "decorations" that describe what computational (side) effect evaluation of a term may involve. In a point-free categorical language, called the "decorated logic", we formalize the mutable state and the exception effects first separately, and then combined, exploiting a nice duality between them. The combined decorated logic is used as the target language for the denotational semantics of the IMP+Exc imperative programming language, and allows us to prove equivalences between programs written in IMP+Exc. The combined logic is encoded in Coq, and this encoding is used to certify some program equivalence proofs.

Keywords: Computational effects, state, exceptions, program equivalence proofs, decorated logic, Coq.

#### 1 Introduction

In programming languages theory, a program is said to have computational effects if, besides a return value, it has observable interactions with the outside world. For instance, using/modifying the program state, raising/recovering exceptions, reading/writing data from/to some file, etc. In order to formally reason about behaviors of a program with computational effects, one has to take into account these interactions. One difficulty in such a reasoning is the mismatch between the syntax of operations with effects and their interpretation. Typically, an operation in an effectful language with arguments in X that returns a value in Y is not interpreted as a function from X to Y, due to the effects, unless the operation is pure.

The best known *algebraic approach* to formalize computational effects was initiated by Moggi in his seminal paper (27). He showed that the effectful operations of an impure language can be interpreted as arrows of a Kleisli category for an appropriate monad  $(T, \eta, \mu)$  over a base category  $\mathscr C$  with finite products. For instance, in Moggi's *computational metalanguage*, an operation in an impure language with arguments in X that returns a value in Y is now interpreted as an arrow from [X] to T[Y] in  $\mathscr C$  where [X] is the object of *values* of type X and T[Y] is the object of *computations* that return values of type Y. The use of monads to formalize effects (such as state, exceptions, input/output and non-deterministic choice) was popularized by Wadler in (39), and implemented in the programming languages Haskell and  $F^{\sharp}$ . Using monad transformers (19), it is usually possible to "combine" different effects formalized by monads. Moggi's *computational metalanguage* was extended into the *basic effect calculus* with a notion of *computation type* as in Filinski's effect PCF (13) and in Levy's call-by-push-value (CBPV) (21). In their paper (11), Egger at al., defined their effect calculus, named *extended effect calculus* as a canonical calculus incorporating the ideas of Moggi, Filinski and Levy. Following Moggi, they included a type constructor for computations. Following Filinski and Levy, they classified types as value types and computation types.

Being dual to monads, comonads have been used to formalize context-dependent computations. Intuitively, an effect which observes features may arise from a comonad, while an effect which constructs

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features may arise from a monad (17). Uustalu and Vene have structured stream computations (38), Orchard et al. array computations (29) and Tzevelekos game semantics (36) via the use of comonads. In (30), Petricek et al. proposed a unified calculus for tracking context dependence in functional languages together with a categorical semantics based on indexed comonads. In his report (28), Orchard proposed a method for choosing between monads and comonads when formalizing computational effects. A computation can be seen as a composition of context-dependence and effectfulness (38). In (5), Brookes and Van Stone showed that such combinations may correspond to distributive laws of a comonad over a monad. This has been applied to clocked causal data-flow computation, combining causal data-flow and exceptions by Uustalu and Vene in (37).

Moggi's approach, using monads in effect modeling, has been extended to Lawvere theories which first appeared in Lawvere's 1963 PhD dissertation (20). Three years later, in (24), Linton showed that every Lawvere theory induces a monad on the category of sets, and more generally on any category which satisfies the local representability condition (23). Therefore, Moggi's seminal paper (27), formalizing computational effects by monads, made it possible for monadic effects to be formalized through Lawvere theories. To this extend, Plotkin and Power, in (31), have shown that effects such as the global and the local state could be formalized by *signatures* of effectful terms and an *equational theory* explaining the interactions between them. Melliès has refined this *equational theory* in (26) showing that some of the equations modeling the mutable global state can be omitted. In (15, 16), Hyland et al. studied the combination of computational effects in terms of Lawvere theories.

Plotkin and Pretnar (31, 32, 33) extended Moggi's classification of terms (*values* and *computations*) with a third level called *handlers* for the computational effects that can be represented by an algebraic theory (*algebraic effects*). Initially, they introduce an *handler* for the exception handling, and then account for its generalization to the other handlers to cope with other algebraic effects such as stream redirection, explicit non-determinism, CCS, parameter passing, timeout and rollback (33, §3). For each algebraic effect, *handling constructs* are used to apply handlers to effectful computations where effectful computations can be interpreted as algebraic operations while handling constructs as homomorphisms from free algebras. This use of handling constructs is inspired from Benton and Kennedy's paper (3) where a construct specifically for exceptions is introduced. Notice also that formalization of the exception effect can also be made from a co-algebraic point of view as in (18). Also, exception handling is used in (35) to get a Hoare logic for exceptions.

Apart from all these, there is an older formal way of modeling computational effects called the *effect systems*. In their 1988 paper (25), Lucassen and Gifford presented an approach to programming languages for parallel computers. The key idea was to use an *effect system* to discover expression scheduling constraints. There, every expression comes with three components: *types* to represent the kinds of the return values, *effects* to summarize the observable interactions of expressions and *regions* to highlight the areas of the memory where expressions may have effects. To this extend, one can simply reason that if two expressions do not have overlapping effects, then they can obviously be scheduled in parallel. The reasoning is done by some inference rules for *types* and *effects* based on the second order typed  $\lambda$ -calculus.

In (6), Duval et al. proposes yet another paradigm to formalize computational effects by mixing effect systems and algebraic theories, named *the decorated logic*. The key point of this paradigm is that every term comes with a decoration which exposes its features with respect to a single computational effect or to several ones keeping their interpretations close to syntax in reasoning with effects. In addition, an *equational theory* highlights the interactions among terms with two sorts of equations: *weak* equations relate terms with respect only to their results and *strong* equations relate terms with respect both to their

results and effects. By and large, decorated logic provides an equational reasoning in between programs written in imperative languages after being a target language for a denotational semantics of the studied language.

The main point of this paper is to design the decorated logic for the global state and the exception effects, and then combine them to serve as a target language for denotational semantics of imperative programming languages mixing mentioned effects only. In this regards, this paper is organized as follows: in Section 2, we introduce an imperative programming language, that mixes the state and the exception effects, by defining its small-step operational semantics. The language we study there is called IMP+Exc which extends the IMP (or while) language with a mechanism to handle *exceptions*. Then, we formally design the decorated logic for the state and the exception effects in Sections 3 and 4, respectively. In Section 5, we combine these logics. Finally, Section 6 details the use of the combined decorated logic as the target language for the IMP+Exc denotational semantics. This allows us to do an equational reasoning between termination-guaranteed IMP+Exc programs. I.e., proving two different looking programs are actually doing the same job with respect to the state and exception effects. Also, we certify such proofs with the Coq Proof Assistant. Figure 1 summarizes the approach of the paper.

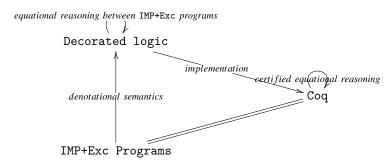


Figure 1: The approach

## 2 IMP with exceptions

IMP is a standard Turing complete imperative programming language natively providing global variables of integer (Z), Boolean (B) and unit (U) data types, standard integer and Boolean arithmetic enriched with a set of commands that is made of do-nothing, assignment, sequence, conditionals and looping operations. Below, we detail its syntax where n represents a constant integer term while x is an integer global variable. Note also that abbreviations aexp and bexp respectively denote arithmetic and Boolean expressions as well as cmd stands for the commands.

```
\begin{array}{lll} a e x p : \ a_1 \ a_2 & ::= & n \ | \ x \ | \ a_1 + a_2 \ | \ a_1 - a_2 \ | \ a_1 \times a_2 \\ \\ b e x p : \ b_1 \ b_2 & ::= & true \ | \ false \ | \ a_1 \stackrel{?}{=} a_2 \ | \ a_1 \not = a_2 \ | \ a_1 \stackrel{?}{>} a_2 \ | \ a_1 \stackrel{?}{>} a_2 \ | \ a_1 \stackrel{?}{<} a_2 \ | \ b_1 \wedge b_2 \ | \ b_1 \vee b_2 \ | \ \neg b_1 \\ \\ c m d : \ c_1 \ c_2 & ::= & S K IP \ | \ x \triangleq e \ | \ c_1; \ c_2 \ | \ if \ b \ then \ c_1 \ else \ c_2 \ | \ while \ b \ do \ c_1 \\ \end{array}
```

Figure 2: Standard IMP syntax

Neither arithmetic nor Boolean expressions are allowed to modify the state: they are either pure or read-only. We present, in Figure 3, the small-step semantics for evaluation of arithmetic expressions in IMP where we use a small-step transition function  $\rightarrow_a$ :  $aexp \times S \rightarrow aexp$ . This function computes a new arithmetic expression out of an input arithmetic expression and the current program state denoted S which includes contents of variables at a given time.

$$(\mathtt{const}) \frac{}{(\mathtt{n},\; \mathtt{s}) \rightarrow_{a} \mathtt{n}} \qquad (\mathtt{var}) \frac{}{(\mathtt{x},\; \mathtt{s}) \rightarrow_{a} \mathtt{x}(\mathtt{s})} \qquad (\mathtt{op} - \mathtt{sym}) \frac{(\mathtt{a_1},\; \mathtt{s}) \rightarrow_{\mathtt{a}} \mathtt{n_1} \quad (\mathtt{a_2},\; \mathtt{s}) \rightarrow_{\mathtt{a}} \mathtt{n_2}}{(\mathtt{a_1}\; \mathtt{op}\; \mathtt{a_2}, \mathtt{s}) \rightarrow_{a} \mathtt{n_1} \; \mathtt{op}_{\mathbb{Z}} \; \mathtt{n_2}}$$

Figure 3: Small-step natural semantics for arithmetic expressions

The symbol op represents the operation symbols  $(+, - \text{ or } \times)$  given by the standard syntax in Figure 2 while  $\text{op}_{\mathbb{Z}} \colon \mathbb{Z} \to \mathbb{Z} \to \mathbb{Z}$  denotes the corresponding binary operations in  $\mathbb{Z}$ . Similarly, in Figure 4, we present the small-step semantics for evaluation of Boolean expressions in IMP where we use a small-step transition function  $\to_b \colon \text{bexp} \times S \to \text{bexp}$ . This function simply computes a new Boolean expression out of an input Boolean expression and the current program state.

$$\begin{array}{cccc} (\texttt{true}) \overline{(\texttt{true},\, \texttt{s}) \rightarrow_b true} & (\texttt{false}) \overline{(\texttt{false},\, \texttt{s}) \rightarrow_b false} \\ (\texttt{op1}) \overline{(\texttt{b}_1,\, \texttt{s}) \rightarrow_b \, \texttt{n}_1 \quad (\texttt{b}_2,\, \texttt{s}) \rightarrow_b \, \texttt{n}_2} & (\texttt{op2}) \overline{(\texttt{b}_1,\, \texttt{s}) \rightarrow_b \, \texttt{n}_1} \\ \hline (\texttt{b}_1 \, \texttt{opb} \, \texttt{b}_2, \texttt{s}) \rightarrow_b \, \texttt{n}_1 \, \texttt{opb}_{\mathbb{B}} \, \texttt{n}_2} \end{array}$$

Figure 4: Small-step natural semantics for arithmetic expressions

The symbols true and false are Boolean operation symbols given by the standard syntax in Figure 2 while *true* and *false* are Boolean constructors. Similarly, opb represents the binary operation symbols (all except '¬'), while opb $\mathbb{B}: \mathbb{B} \to \mathbb{B} \to \mathbb{B}$  denotes the corresponding Boolean operations, and neg:  $\mathbb{B} \to \mathbb{B}$  is the Boolean negation. The small-step operational semantics for evaluation of commands are given in Figure 5 where we use a small-step transition function  $\leadsto$ : cmd  $\times$  S  $\to$  cmd  $\times$  S which is interpreted as at the state s, one step execution of the command c changes the state into s' and the command c' is in execution.

$$(\texttt{sequence}) \frac{\texttt{s}, \texttt{c}_1 \leadsto \texttt{s}', \texttt{c}_1'}{\texttt{s}, (\texttt{c}_1; \texttt{c}_2) \leadsto \texttt{s}', (\texttt{c}_1'; \texttt{c}_2)} \quad (\texttt{skip}) \frac{}{\texttt{s}, (\texttt{SKIP}; \texttt{c}) \leadsto \texttt{s}, \texttt{c}} \\ (\texttt{assign}) \frac{(\texttt{a}, \texttt{s}) \to_{\texttt{a}} \texttt{n}}{\texttt{s}, (\texttt{x} := \texttt{a}) \leadsto \texttt{s}[\texttt{x} \leftarrow \texttt{n}], \texttt{SKIP}} \\ (\texttt{cond}_1) \frac{(\texttt{b}, \texttt{s}) \to_{\texttt{b}} \textit{true}}{\texttt{s}, (\texttt{if b then } \texttt{c}_1 \texttt{else } \texttt{c}_2) \leadsto \texttt{s}, \texttt{c}_1} \quad (\texttt{cond}_2) \frac{(\texttt{b}, \texttt{s}) \to_{\texttt{b}} \textit{false}}{\texttt{s}, (\texttt{if b then } \texttt{c}_1 \texttt{else } \texttt{c}_2) \leadsto \texttt{s}, \texttt{c}_2} \\ (\texttt{while}_1) \frac{(\texttt{b}, \texttt{s}) \to_{\texttt{b}} \textit{true}}{\texttt{s}, (\texttt{while b do c}) \leadsto \texttt{s}, (\texttt{c}; \texttt{while b do c})} \quad (\texttt{while}_2) \frac{(\texttt{b}, \texttt{s}) \to_{\texttt{b}} \textit{false}}{\texttt{s}, (\texttt{while b do c}) \leadsto \texttt{s}, \texttt{SKIP}}$$

Figure 5: Small-step operational semantics for commands

Note that all the small-step transition functions we used so far are meant to reduce any given configuration just a single step. I.e.,  $(a, s) \rightarrow_a n$  means that one step reduction of the arithmetic expression a at a

state s results in another arithmetic expression n. Besides all these, we need to elucidate that a command c terminates at a state s if s,  $c \leadsto^* s'$ , SKIP for some state s', where  $\leadsto^*$  is the transitive closure of the transition function  $\leadsto$ . Mind also that there is no run-time error since any command apart from the SKIP is allowed to execute at any state s, and SKIP alone is used to indicate the final step of some command set.

#### 2.1 A mechanism to handle exceptions

Extending the IMP language with a mechanism that allows raising exceptions and recover from them, we just enrich the command set with THROW and TRY/CATCH blocks. In addition to the ones in Figure 2, we also consider following commands in Figure 6 where e is an exception name coming from a finite set

```
cmd: c_1 \ c_2 \ ::= \ \dots \mid \texttt{THROW} \ e \mid \texttt{TRY} \ c_1 \ \texttt{CATCH} \ e \Rightarrow c_2
```

Figure 6: Syntax for exceptional commands

EName which exists by assumption. There is also a type  $EV_e$  of exceptional values (parameters) for each exception name e. The small-step operational semantics for THROW and TRY/CATCH commands are shown in Figure 7.

$$(\texttt{throw}) \frac{\forall \texttt{e} : \texttt{EName}}{\texttt{s}, \ (\texttt{THROW} \ \texttt{e} ; \texttt{c}) \leadsto \texttt{s}, \ \texttt{THROW} \ \texttt{e}} \ (\texttt{tc}_0) \frac{\forall \texttt{e} : \texttt{EName}, \ \texttt{c}_1 \in \texttt{cmd} \backslash \{\texttt{THROW} \ \texttt{e}\}}{\texttt{s}, \texttt{TRY} \ \texttt{c}_1 \ \texttt{CATCH} \ \texttt{e} \Rightarrow \texttt{c}_2 \leadsto \texttt{s}, \texttt{c}_1}$$
 
$$(\texttt{tc}_1) \frac{\forall \texttt{e} : \texttt{EName}}{\texttt{s}, \texttt{TRY} \ (\texttt{THROW} \ \texttt{e})} \frac{\forall \texttt{e}_1 \ \texttt{e}_2 : \texttt{EName} \quad \texttt{e}_1 \neq \texttt{e}_2}{\texttt{s}, \texttt{TRY} \ (\texttt{THROW} \ \texttt{e}_1)}$$
 
$$(\texttt{tc}_1) \frac{\forall \texttt{e}_1 \ \texttt{e}_2 : \texttt{EName} \quad \texttt{e}_1 \neq \texttt{e}_2}{\texttt{s}, \texttt{TRY} \ (\texttt{THROW} \ \texttt{e}_1)}$$

Figure 7: Small-step operational semantics for additional commands

Exceptional commands are pure in terms of the state effect: they neither use nor modify the program state However, they introduce another sort of computational effect: the exception. In prior, we stated that the command SKIP alone indicates the termination of a program. Now, we extend this by saying THROW e is also an end but an abnormal end. Intuitively, if an exceptional value of name e is raised in the TRY block and recovered immediately in the CATCH, the program then resumes with the continuation provided. Unlikely, an exceptional value of name e<sub>1</sub> gets propagated if in the CATCH block, another exceptional value of name e<sub>2</sub> is attempted to be recovered.

Recall that in Section 6, we independently define a denotational semantics for the IMP+Exc language using the decorated logic for the state and the exception effects as the target language. We formalize this target (in a generic way) logic in Section 5 which basically combines the logics presented in Sections 3 and 4.

## 3 The Decorated Logic for the state effect ( $\mathcal{L}_{st}$ )

Decorated logic, as a generic framework, is an extension to Moggi's monadic equational logic (27) with the use of decorations on terms and equalities. It proposes the power to do *equational reasoning* between programs written in imperative programming languages.

Specifically, the decorated logic for the state effect  $(\mathcal{L}_{st})$  is obtained from equational logics by classifying terms and equalities (7). Terms are classified as *pure* terms, *accessors* and *modifiers*. The decoration of a

term characterizes the way it may interact with the state, and used as a superscript. The decoration (0) is reserved for *pure* terms, while (1) is for *read-only* (*accessor*) and (2) is for *read-write* (*modifier*) terms. Equalities are classified as *strong* and *weak*, denoted respectively by the symbols  $\equiv$  and  $\sim$ . An equality between two terms relates the results they return together with the manipulations they make on the state. Two terms are called strongly equal if they return the same result with the same state manipulation; they are called weakly equal if they return the same result with different state manipulations.

#### Grammar of the decorated logic for the state: $(i \in Loc)$

 $\begin{array}{lll} \text{Types:} & \text{t,s} & ::= & \texttt{X} \mid \texttt{Y} \mid \cdots \mid \texttt{t} \times \texttt{s} \mid \mathbb{1} \mid \texttt{V}_{\texttt{i}} \\ \text{Decorations for terms:} & (\texttt{d}) & ::= & (0) \mid (1) \mid (2) \\ \text{Terms:} & \text{f,g} & ::= & \texttt{a}^{(d)} \mid \texttt{b}^{(d)} \mid \cdots \mid \texttt{g} \circ \texttt{f}^{(d)} \mid \langle \texttt{f,g} \rangle_{\texttt{1}}^{(d)} \mid \\ & & & \texttt{lookup}_{\texttt{i}}^{(1)} \mid \texttt{update}_{\texttt{i}}^{(2)} \mid (\texttt{tpure} \, \boldsymbol{\cdot})^{(0)} \\ \text{Equations:} & \texttt{eq} & ::= & \texttt{f}^{(d)} \equiv \texttt{g}^{(d)} \mid \texttt{f}^{(d)} \sim \texttt{g}^{(d)} \end{array}$ 

Figure 8:  $\mathcal{L}_{st}$ : syntax

Figure 8 shows the grammar of the  $\mathcal{L}_{st}$  where 1 is the singleton type while  $V_1$  is the type of values that can be stored in any location i. We assume that there is a finite set of locations called Loc. Given types X and Y, we have  $X \times Y$  representing type products.

Terms are closed under composition ( $\circ$ ) and pairing ( $\langle \_, \_ \rangle_1$ ). I.e., for all terms  $f: X \to Y$  and  $g: Y \to Z$ , we have  $g \circ f: X \to Z$ . Similarly, for all  $f: X \to Y$  and  $g: X \to Z$ , there is  $\langle f, g \rangle_1 : X \to Y \times Z$ . Notice that the pair subscript 1 denotes the left pairs. One can define in a symmetric way the right pairs for terms  $f: X \to Y$  and  $g: X \to Z$  as  $\langle f, g \rangle_r := \text{permut} \circ \langle g, f \rangle_1$  where  $\text{permut} := \langle \pi_2, \pi_1 \rangle_1$ . In the same way, one can respectively obtain left and right products of terms  $f: X_1 \to Y_1$  and  $g: X_2 \to Y_2$  as  $f \times_1 g := \langle f \circ \pi_1, g \circ \pi_2 \rangle_1$  and  $f \times_r g := \langle f \circ \pi_1, g \circ \pi_2 \rangle_r$ . The term products are used to impose some order of term evaluation since the evaluation result depends on the order that the mutable state is used. See sequential products in (7, §2.3). The decoration of a pair (product) or a composition depends on the decoration of its components, always taking the larger. I.e.,  $\forall f^{(1)}: X \to Y$  and  $g^{(2)}: Y \to Z$ ,  $g \circ f: X \to Z$  takes the decoration (2).

The interface terms are  $lookup_i \colon \mathbb{I} \times S \to V_i$  and  $update_i \colon V_i \to \mathbb{I} \times S$  where S denotes the distinguished object of states which never appears in the decorated setting. The use of decorations provides a new schema where term signatures are constructed without any occurrence of the state type. For instance,  $lookup_i^{(1)} \colon \mathbb{I} \to V_i$  is an accessor while  $update_i^{(2)} \colon V_i \to \mathbb{I}$  is a modifier. This way, we keep signatures close to their syntax and compose compatible terms as usual. The term lookup reads the value stored in a given location while update modifies it. We can call them the unique sources of impurity, since only the terms including lookup or update are impure; meaning those do not include them are pure with respect to the state effect.

The tpure is a special constructor used to introduce decorated pure terms into the logic  $\mathcal{L}_{st}$ . It inputs a non-decorated pure term (from a purely functional environment) and drops it in with the decoration (0). The identity term id, the canonical pair projections  $\pi_1$  and  $\pi_2$ , the empty pair term  $\langle \rangle$  (which throws out the given value), and constant terms are defined using the tpure constructor, for all types X and Y, as:

The intended model of the above grammar is built with respect to the set of states S where a pure term  $p^{(0)}: X \to Y$  is interpreted as a function  $p: X \to Y$ , an accessor  $a^{(1)}: X \to Y$  as a function  $a: X \times S \to Y$ , and a modifier  $m^{(2)}: X \to Y$  as a function  $m: X \times S \to Y \times S$ . The complete and detailed category theoretical model is given in (12, §5.1).

#### Rules of the decorated logic for the state:

$$\begin{array}{lll} & (0\text{-to-}1)\,\frac{f^{(0)}}{f^{(1)}} & (1\text{-to-}2)\,\frac{f^{(1)}}{f^{(2)}} & (pwrepl)\,\frac{f_1^{(2)}\sim f_2^{(2)}\colon X\to Y\ g_1^{(2)}\sim g\circ f_2}{g\circ f_1\sim g\circ f_2} \\ & (wsubs)\,\frac{g^{(2)}\colon X\to Y\ f_1^{(2)}\sim f_2^{(2)}\colon Y\to Z}{f_1\circ g\sim f_2\circ g} & (wrefl)\,\frac{f^{(2)}}{f\sim f} & (wsym)\,\frac{f^{(2)}\sim g^{(2)}}{g\sim f} \\ & (wtrans)\,\frac{f^{(2)}\sim g^{(2)}\ g^{(2)}\sim h^{(2)}}{f\sim h} & (replsubs)\,& \frac{f_1^{(2)}\equiv f_2^{(2)}\colon X\to Y\ g_1^{(2)}\equiv g_2^{(2)}\colon Y\to Z}{g_1\circ f_1\equiv g_2\circ f_2} \\ & (refl)\,\frac{f^{(2)}}{f\equiv f} & (sym)\,\frac{f^{(2)}\equiv g^{(2)}}{g\equiv f} & (trans)\,\frac{f^{(2)}\equiv g^{(2)}\ g^{(2)}\equiv h^{(2)}}{f\equiv h} \\ & (w\_unit)\,& \frac{f^{(2)}\colon X\to 1\ f\circ (y)_X}{f\circ (y)_X} & (stow)\,& \frac{f^{(2)}\equiv g^{(2)}}{f\circ g} & (wtos)\,& \frac{f_1^{(1)}\sim f_2^{(1)}}{f_1\equiv f_2} \\ & (ax_1)\,& \frac{\forall i,j\in Loc,\ i\neq j}{lookup_i^{(1)}\circ update_i^{(2)}\sim id_{V_i}(0)} & \frac{\forall i,j\in Loc,\ i\neq j}{lookup_i^{(1)}\circ update_j^{(2)}\sim lookup_i^{(1)}\circ \langle \rangle_{V_i}^{(0)} \\ & (effect)\,& \frac{f_1^{(2)},f_2^{(2)}\colon X\to Y\ f_1^{(2)}\sim f_2^{(2)}\ \langle \rangle_Y^{(0)}\circ f_1^{(2)}\equiv \langle \rangle_Y^{(0)}\circ f_2^{(2)}}{f_1\equiv f_2} \\ & (local\_global)\,& \frac{f_1^{(2)},f_2^{(2)}\colon X\to I\ \forall\ i\in Loc,\ lookup_i^{(1)}\circ f_1^{(2)}\sim lookup_i^{(1)}\circ f_2^{(2)}}{f_1\equiv f_2} \\ & (w\_lpair\_eq)\,& \frac{f_1^{(1)}\colon X\to Y\ f_2^{(2)}\colon X\to Z\ \pi_1\circ \langle f_1,f_2\rangle_1\sim f_1}{\pi_1\circ \langle f_1,f_2\rangle_1\sim f_1} & (s\_lpair\_eq)\,& \frac{f_1^{(1)}\colon X\to Y\ f_2^{(2)}\colon X\to Z\ \pi_2\circ \langle f_1,f_2\rangle_1\equiv f_2}{(tcomp)\,& \frac{f\colon Y\to Z\ g\colon X\to Y\ (tpure\ f)^{(0)}\circ (tpure\ g)^{(0)}\equiv \equiv (tpure\ (f\circ g))^{(0)} \\ \end{array}$$

**Figure 9:**  $\mathcal{L}_{st}$ : rules

The syntax given in Figure 8 is enriched with a set of rules which are presented in Figure 9. A pure

Both strong and weak equalities are defined to be *equivalence relations* by assuming that they are *reflexive*, *transitive* and *symmetric*.

Any term  $f: X \to \mathbb{1}$  with no result returned "void" (the unique inhabitant of  $\mathbb{1}$  type) has an obvious result equality with the canonical empty pair  $\langle \ \rangle_X$  (w\_unit). It is obviously free to convert strong equations into weak ones (stow). However, one has to make sure that the equated terms are not modifiers in order to convert weak equations into strong ones with no further evidence (wtos).

The fundamental equations are given with the rules  $(ax_1)$  and  $(ax_2)$ . The former states that by updating the location i with a value v and then observing the same location, one gets the value v. This outputs the same value with the identity term  $id_{v_i}$ , if it takes v as an argument. However, notice that these two ways of getting the value v have different state manipulations which makes them weakly equal. The latter,  $(ax_2)$ , is to assume that updating the location j with a value v and then reading the content of a different location i would return the same value with first throwing out the value v then observing the content of location i. They definitely have different manipulations on the state so that they are weakly equal.

Two modifiers  $\mathbf{f}_1^{(2)}, \mathbf{f}_2^{(2)}: X \to Y$  modify the state in the same way if and only if  $\langle \ \rangle_Y \circ \mathbf{f}_1 \equiv \langle \ \rangle_Y \circ \mathbf{f}_2 : X \to \mathbb{I}$ , where  $\langle \ \rangle_Y : Y \to \mathbb{I}$  throws out the returned value. So that  $\mathbf{f}_1^{(2)}, \mathbf{f}_2^{(2)}: X \to Y$  are *strongly equal* if and only if  $\mathbf{f}_1 \sim \mathbf{f}_2$  and  $\langle \ \rangle_Y \circ \mathbf{f}_1 \equiv \langle \ \rangle_Y \circ \mathbf{f}_2$  (effect).

Locally, the strong equality between two modifiers  $\mathbf{f}_1^{(2)}, \mathbf{f}_2^{(2)} : X \to \mathbb{I}$  can also be expressed as a pair of weak equations:  $\mathbf{f}_1 \sim \mathbf{f}_2$  and  $\forall \mathbf{i} : \mathsf{Loc}, \mathsf{lookup}_\mathbf{i} \circ \langle \, \rangle_Y \circ \mathbf{f}_1 \sim \mathsf{lookup}_\mathbf{i} \circ \langle \, \rangle_Y \circ \mathbf{f}_2$ . The latter intuitively means that  $\mathbf{f}_1$  and  $\mathbf{f}_2$  leaves the memory with the same values stored in all (finitely many) locations after being executed. Given that both return "void" there is no explicitly need to check if  $\mathbf{f}_1 \sim \mathbf{f}_2$ . It suffices to see whether  $\forall \mathbf{i} : \mathsf{Loc}, \mathsf{lookup}_\mathbf{i} \circ \langle \, \rangle_Y \circ \mathbf{f}_1 \sim \mathsf{lookup}_\mathbf{i} \circ \langle \, \rangle_Y \circ \mathbf{f}_2$  to end up with  $\mathbf{f}_1 \equiv \mathbf{f}_2$  (local\_global).

With (w\_lpair\_eq) and (w\_rpair\_eq) term pairs are characterized: the (left) pair structure  $\langle f_1, f_2 \rangle_1$  cannot be used when  $f_1$  and  $f_2$ , both are modifiers, since it may lead to a conflict on the returned result. However, it can be used only when  $f_1$  is an accessor. We state by (w\_lpair\_eq) that  $\langle f_1, f_2 \rangle_1^{(2)}$  has only result equality with  $f_1^{(1)}$  and by (w\_rpair\_eq) that it has both result and effect equality with  $f_2^{(2)}$ .

The rule tcomp states that the tpure constructor preserves the composition of pure terms up to the strong equality. Meaning that one can first compose pure terms outside the decorated environment (in Coq's logic for instance) and use the tpure constructor to translate them into the  $\mathcal{L}_{st}$ , or translate the terms into the  $\mathcal{L}_{st}$  first, and then compose them there. Notice that the red colored composition symbol ( $\circ$ ), in the axiom conclusion, stands for any composition operation for non-decorated pure terms.

Note that these rules are designed to be sound with respect to a categorical model, and detailed in (12, §5.2, §5.3, §5.4, §5.5). However, their syntactic completeness is not immediate. In (8), we define a new syntactic completeness property called the relative Hilbert-Post completeness and, in (12, §5.4) prove that this set of rules is complete with due respect.

#### 3.1 Decorated properties of the memory state

Plotkin and Power have introduced, in (31, §3), an equational representation of the mutable state whose decorated versions are given as follows:

- (1)<sub>d</sub> Annihilation lookup-update. Reading the content of a location i and then updating it with the obtained value is just like doing nothing.  $\forall i \in Loc$ ,  $update_i^{(2)} \circ lookup_i^{(1)} \equiv id_1^{(0)} : 1 \to 1$ .
- (2)<sub>d</sub> Interaction lookup-lookup. Reading twice the same location i is the same as reading it once.  $\forall i \in Loc, lookup_i^{(1)} \circ \langle \rangle_{V_i}^{(0)} \circ lookup_i^{(1)} \equiv lookup_i^{(1)} : \mathbb{1} \to V_i$ .
- (3)<sub>d</sub> Interaction update-update. Storing value the values x and y in a row to the same location i is just like storing y in it.  $\forall$  i  $\in$  Loc, update<sub>i</sub><sup>(2)</sup>  $\circ$   $\pi$ <sub>2</sub><sup>(0)</sup>  $\circ$  (update<sub>i</sub><sup>(2)</sup>  $\times$ <sub>r</sub> id<sub>i</sub><sup>(0)</sup>)  $\equiv$  update<sub>i</sub><sup>(2)</sup>  $\circ$   $\pi$ <sub>2</sub><sup>(0)</sup> :  $V_i \times V_i \to \mathbb{1}$ .
- (4)<sub>d</sub> Interaction update-lookup. Storing the value x in a location i and then reading the content of i, gives the value x.  $\forall$  i  $\in$  Loc, lookup<sub>i</sub><sup>(1)</sup>  $\circ$  update<sub>i</sub><sup>(2)</sup>  $\sim$  id<sub>V<sub>i</sub></sub><sup>(0)</sup>:  $V_i \rightarrow V_i$ .
- (5)<sub>d</sub> Commutation lookup-lookup. The order of reading two different locations i and j does not matter.  $\forall i \neq j \in Loc, \ (id_{V_i}^{(0)} \times_r \mathsf{lookup}_j^{(1)}) \circ \pi_1^{-1(0)} \circ \mathsf{lookup}_i^{(1)} \equiv permut_{j,i}^{(0)} \circ (id_{V_j}^{(0)} \times_r \mathsf{lookup}_i^{(1)}) \circ \pi_1^{-1(0)} \circ \mathsf{lookup}_j^{(1)} : \mathbb{1} \to V_i \times V_j \text{ where } \pi_1^{-1(0)} := \langle id, \langle \ \rangle \rangle_l^{(0)}.$
- (6)<sub>d</sub> Commutation update-update. The order of storing in two different locations i and j does not matter:  $\forall \, i \neq j \in Loc, \, \text{update}_{j}^{(2)} \circ \pi_{2}^{(0)} \circ (\text{update}_{i}^{(2)} \times_{r} \text{id}_{V_{j}}^{(0)}) \equiv \text{update}_{i}^{(2)} \circ \pi_{1}^{(0)} \circ (\text{id}_{V_{i}}^{(0)} \times_{1} \text{update}_{j}^{(2)}) : V_{i} \times V_{j} \to \mathbb{1}.$
- (7)<sub>d</sub> Commutation update-lookup. The order of storing in a location i and reading another location j does not matter.  $\forall \mathtt{i} \neq \mathtt{j} \in \mathsf{Loc}, \ \mathsf{lookup}_\mathtt{j}^{(1)} \circ \mathsf{update}_\mathtt{i}^{(2)} \equiv \pi_2^{(0)} \circ (\mathsf{update}_\mathtt{i}^{(2)} \times_\mathtt{r} \mathtt{id}_{\mathtt{V}_\mathtt{j}}^{(0)}) \circ (\mathtt{id}_{\mathtt{V}_\mathtt{i}}^{(0)} \times_\mathtt{l} \mathtt{lookup}_\mathtt{i}^{(1)}) \circ \pi_\mathtt{l}^{-1(0)} : \mathtt{V}_\mathtt{i} \to \mathtt{V}_\mathtt{j}.$
- (8)<sub>d</sub> Commutation lookup-constant. Just after storing a constant c in a location i, observing the content of i is the same as regenerating the constant c.  $\forall i \in Loc, \forall c \in V_i; lookup_i^{(1)} \circ update_i^{(2)} \circ constant c^{(0)} \equiv constant c^{(0)} \circ update_i^{(2)} \circ constant c^{(0)} : \mathbb{I} \to V_i$ .

These are the archetype properties that we have proved within the scope of the  $\mathcal{L}_{st}$ . To see these proofs, check out Ekici's PhD thesis (12, §5.3). Besides, we have implemented the  $\mathcal{L}_{st}$  in Coq to certify mentioned proofs. Section 3.2 details this implementation.

#### 3.2 $\mathcal{L}_{st}$ in Coq

In this section, we aim to highlight some crucial points of the  $\mathcal{L}_{st}$  implementation in Coq. It mainly consists of four steps: (1) implementing the terms, (2) assigning the decorations over terms, (3) stating the rules, and (4) proving properties of the memory state referred in Section 3.1.

We represent the set of memory locations by a Coq parameter Loc: Type. Since memory locations may contain different types of values, we also assume an arrow type  $Val : Loc \rightarrow Type$  that is the type of values contained in each location.

```
Parameters (Loc: Type) (Val: Loc 	o Type).
```

We define the terms of  $\mathcal{L}_{st}$  using an inductive predicate called term. It establishes a new Coq Type out of two input Types. The type term Y X is dependent. It depends on the Type instances X and Y, and represents the arrow type  $X \to Y$  in the decorated framework.

```
Inductive term: Type → Type → Type ≜
| tpure: ∀ {X Y: Type}, (X → Y) → term Y X
| comp: ∀ {X Y Z: Type}, term X Y → term Y Z → term X Z
| pair: ∀ {X Y Z: Type}, term X Z → term Y Z → term (X*Y) Z
| lookup: ∀ i:Loc, term (Val i) unit
| update: ∀ i:Loc, term unit (Val i).
Infix "o" ≜ comp (at level 70).
```

The constructor tpure takes a Coq side (pure) function and translates it into the decorated environment. comp constructor deals with the composition of two compatible terms. I.e., given a pair of terms f: term X Y and g: term Y Z, then the composition  $f \circ g$  would be an instance of the type term X Z. For the sake of conciseness, infix 'o' is used to denote the term composition. Similarly, the (left) pair constructor is to constitute pairs of compatible terms. I.e., given f: term Y X and g: term Z X, we have pair  $\langle f, g \rangle_1$ : term  $(Y \times Z)$  X. Instead of the symbol  $\langle \_, \_ \rangle_l$ , we use the keyword pair in the implementation. The terms lookup and update come as no surprise; just that the singleton type 1 and the type of values  $V_i$  are respectively called unit and Val i in the code. The terms such as the identity, the pair projections, the empty pair and the constant function can be derived from the native Coq functions, with the use of tpure constructor as:

```
Definition id \{X: Type\} : term X X \triangleq tpure id.

Definition pi1 \{X Y: Type\} : term X (X*Y) \triangleq tpure fst.

Definition pi2 \{X Y: Type\} : term Y (X*Y) \triangleq tpure snd.

Definition forget \{X\} : term unit X \triangleq tpure (fun \_ \Rightarrow tt).

Definition constant \{X: Type\} (v: X): term X unit \triangleq tpure (fun \_ \Rightarrow v).
```

Remark also that the pair projections are named pi1 and pi2 while the unique mapping  $\langle \rangle_X$  from any type X to  $\mathbb{I}$  is named forget in the implementation.

The decorations are enumerated: pure (0), ro (1) and rw (2) and inductively assigned to terms via the new predicate called is. It builds a proposition out of a term and a decoration. I.e.,  $\forall i$ : Loc, is ro  $(lookup\ i)$  is a Prop instance, ensuring that  $lookup\ i$  is an accessor.

Any term that is built by the tpure constructor is pure. The decoration of any composed or paired off term depends on its components and always takes the upper decoration (pure < ro < rw). E.g., given a modifier term and a read-only term, their composition will be a modifier, as well. The decoration of a pair construction depends on its second component, since the first one should at most be a read-only term. Hence, we cannot form pairs of two modifier terms. The pair construction always takes the upper decoration. For instance, given a pure term and a read-only term, their pair will be a read-only term, too. We declare the term lookup as an accessor while update being a modifier. The last two constructors define the decoration hierarchies.

It is trivial to derive that any tpure built term is pure. I.e., the purity of the first pair projection can be proven as follows:

```
Lemma is_pi1 X Y: is pure (@pi1 X Y).
Proof. apply is_tpure. Qed.
```

We now state the rules up to weak and strong equalities by defining them in a mutually inductive way: mutuality here is used to enable the constructors including both weak and strong equalities. We use the notation == and  $\sim$  to denote strong and weak equalities, respectively.

```
Definition idem X Y (x y: term X Y) \triangleq x = y.
Inductive strong: \forall X Y, relation (term X Y) \triangleq
| refl X Y: Reflexive (@strong X Y)
  sym: ∀ X Y, Symmetric (@strong X Y)
  trans: ∀ X Y, Transitive (@strong X Y)
  replsubs: ∀ X Y Z, Proper (@strong X Y => @strong Y Z => @strong X Z) comp
  wtos: \forall X Y (f g: term X Y), is ro f \rightarrow is ro g \rightarrow f \sim g \rightarrow f == g s_lpair_eq: \forall X Y Y (f1: term Y X) (f2: term Y X), is ro f1 \rightarrow pi2 o pair f1 f2 == f2
  effect: \forall X Y (f g: term Y X), forget o f == forget o g \rightarrow f \sim g \rightarrow f == g
| local_global: \forall X (f g: term unit X), (\forall i: Loc, lookup i o f ~ lookup i o g) \rightarrow f == g
| tcomp: \forall X Y Z (f: Z \rightarrow Y) (g: Y \rightarrow X), tpure (compose g f) == tpure g o tpure f
with weak: \forall X Y, relation (term X Y) \triangleq
| wsym: \forall X Y, Symmetric (@weak X Y)
| wtrans: ∀ X Y, Transitive (@weak X Y)
  \texttt{pwrepl:} \ \forall \ \texttt{A} \ \texttt{B} \ \texttt{C} \ (\texttt{g: term C B)} \text{, (is pure g)} \ \rightarrow \ \texttt{Proper} \ (\texttt{@weak B A} \implies \texttt{@weak C A)} \ (\texttt{comp g)}
  wsubs: \forall A B C, Proper (@weak C B =\Rightarrow @idem B A =\Rightarrow @weak C A) comp
 stow: \forall X Y (f g: term X Y), f == g \rightarrow f \sim g w_lpair_eq: \forall X Y Y Y (f1: term Y X) (f2: term Y X), is ro f1 \rightarrow pi1 o pair f1 f2 \sim f1
  w_unit: ∀ X (f g: term unit X), f ~ g
  ax1: \forall i, lookup i o update i ~ id
| ax2: \forall i j, i \neq j \rightarrow lookup j o update i ~ lookup j o forget
  where "x == y" \triangleq (strong x y) and "x ~ y" \triangleq (weak x y).
```

The rule tcomp states that the tpure constructor preserves the composition of pure terms up to the strong equality: one can first compose pure terms on Coq side (using higher order function compose) and then

apply tpure constructor to translate them into decorated settings or can translate the terms first and then compose them in decorated settings.

This framework allows us to express and prove, in Coq, the decorated versions of the properties mentioned in Section 3.1. E.g., the commutation lookup-uptade looks like:

```
(** Commutation update update **) Theorem CUU: \forall i j: Loc, i\neq j \rightarrow update j o (pi2 o (rprod (update i) (@id (Val j)))) == update i o (pi1 o (lprod (@id (Val i)) (update j))).
```

where

```
Definition permut {X Y}: term (X*Y) (Y*X) ≜ pair pi2 pi1.

Definition rpair {X Y Z} (f: term Y X) (g: term Z X): term (Y*Z) X ≜ permut o pair g f.

Definition lprod {X Y X' Y'} (f: term X X') (g: term Y Y'): term (X*Y) (X'*Y') ≜ pair (f o pi1) (g o pi2).

Definition rprod {X Y X' Y'} (f: term X X') (g: term Y Y') ≜

permut o pair (g o pi2) (f o pi1).
```

The full Coq proofs of such properties can be found here, and the entire implementation there.

### 4 The Decorated Logic for the exception effect ( $\mathscr{L}_{exc}$ )

Similar to the  $\mathcal{L}_{st}$ , the decorated logic for the exception effect ( $\mathcal{L}_{exc}$ ) is obtained from equational logics by classifying terms and equations (9). Terms are classified as *pure* terms, *propagators* and *catchers*. The decoration of a term characterizes the way it may interact with exceptions, and used as a superscript. The decoration (0) is reserved for terms which are *pure*, while (1) is for *propagators* (or *throwers*) and (2) is for *catchers*. Hierarchically, a pure term can be seen as a propagator which never throws exceptions, and a propagator can be seen as a catcher which never recovers from exceptions.

Equalities are classified as *strong* and *weak*, denoted respectively by the symbols  $\equiv$  and  $\sim$ . A fundamental feature of the exceptions mechanism is the distinction between *ordinary* (*non-exceptional*) values and *exceptions* (or *exceptional values*). An equality between two terms relates their behaviors on ordinary and exceptional values. Two terms are called strongly equal if they behave the same both on ordinary and exceptional values; they are called weakly equal if they behave the same on ordinary values but different on exceptional ones.

It has been shown in (10) that the core part of this proof system is dual to one for the state. Based on this nice duality, we build the logic  $\mathcal{L}_{exc}$ , and detail it in the following.

Figure 10 shows the grammar of  $\mathcal{L}_{exc}$  where  $\mathbb{O}$  is the empty (uninhabited) type while  $EV_e$  is the type of parameters for each exception name e. We assume that there is a finite set of exception names called EName. Given types X and Y, we have X+Y denoting co-product (disjoint union or sum) types.

Terms are closed under composition ( $\circ$ ) and co-pairing ( $[\_|\_]_1$ ). I.e., for all terms  $f: X \to Y$  and  $g: Y \to Z$ , we have  $g \circ f: X \to Z$ . Similarly, for all  $f: X \to Y$  and  $g: Z \to Y$ , there is  $[f|g]_1: X+Z \to Y$ . Notice that the co-pair subscript 1 denotes the left co-pairs. One can define in a symmetric way the right co-pairs for terms  $f: X \to Y$  and  $g: Z \to Y$  as  $[f|g]_r := [g, f]_1 \circ permut$  where  $permut := [in_2 | in_1]_1$ . Similarly, one can respectively obtain left and right co-products (sums) of terms  $f: X_1 \to Y_1$  and  $g: X_2 \to Y_2$  as  $f+_1g := [in_1 \circ f | in_2 \circ g]_1$  and  $f+_rg := [in_1 \circ f | in_2 \circ g]_r$ . The term co-pairs, thus co-products, are used to model the *case distinction* among terms. The decoration of a co-pair (co-product) or a composition

#### Grammar of the decorated logic for the exception: $(e \in EName)$

**Figure 10:**  $\mathcal{L}_{exc}$ : syntax

depends on the decoration of its components, always taking the larger. I.e.,  $\forall f^{(1)} \colon X \to Y$  and  $g^{(2)} \colon Y \to Z$ ,  $g \circ f \colon X \to Z$  takes the decoration (2).

The interface terms are  $tag_e \colon EV_e \to \mathbb{O} + E$  and  $untag_e \colon \mathbb{O} + E \to EV_e + E$  where E denotes the distinguished object of exceptions which never appears in the decorated setting. The use of decorations provides a new schema where term signatures are constructed without any occurrence of it. For instance,  $tag_e^{(1)} \colon EV_e \to \mathbb{O}$  is a thrower while  $untag_e^{(2)} \colon \mathbb{O} \to EV_e$  is a catcher. This way, we keep signatures close to their syntax and compose compatible terms as usual. The term  $tag_e$  encapsulates an ordinary value with an exception of name e while the term  $untag_e$  tries to recover the value from the exceptional case.

The ' $\downarrow$ ' symbol denotes the downcast term that takes as input a term and prevents it from catching exceptions. It is used when to define the try/catch block in this settings. See Definition 4.2.

The tpure is a special constructor used to introduce decorated pure terms into the logic  $\mathcal{L}_{exc}$ . It inputs a non-decorated pure term and drops it in with the decoration (0). The identity term id, the canonical co-pair inclusions in<sub>1</sub> and in<sub>2</sub>, and the empty co-pair term  $[]_X$  (used to convert the type of input exceptional value into the given type: X in this case) are defined using the tpure constructor, for all types X and Y, as follows:

**Definition 4.1.** For each type Y and exception name e, the propagator  $throw_{Y,e}^{(1)}$  is defined as:

$$\mathtt{throw}_{\mathtt{Y},\mathtt{e}}^{(1)} := [\ ]_{\mathtt{Y}}^{(0)} \circ \mathtt{tag}_{\mathtt{e}}^{(1)} \colon \mathtt{EV}_{\mathtt{e}} \to \mathtt{Y}$$

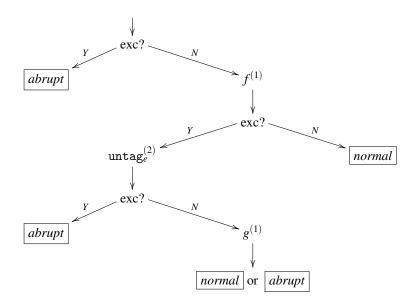
Intuitively, raising an exception with name e is first tagging the given ordinary value as an exception of name e and then coerces the output type into Y for the continuation issues.

**Definition 4.2.** For each propagators  $f^{(1)}: X \to Y$ ,  $g^{(1)}: EV_e \to Y$  and each exception name e, the propaga-

tor  $try(f) catch(e \Rightarrow g)^{(1)}$  is defined in three steps, as follows:

$$\begin{array}{lll} \mathtt{Catch}(\mathtt{e}\Rightarrow\mathtt{g})^{(2)} & := & [\,\mathtt{id}_{\mathtt{Y}}^{(0)}\,|\,\mathtt{g}^{(1)}\circ\mathtt{untag}_{\mathtt{e}}^{(2)}\,]_{\mathtt{l}}\colon & \mathtt{Y}+\mathbb{O}\to\mathtt{Y} \\ \mathtt{Try}(\mathtt{f})\mathtt{Catch}(\mathtt{e}\Rightarrow\mathtt{g})^{(2)} & := & \mathtt{Catch}(\mathtt{e}\Rightarrow\mathtt{g})^{(2)}\circ\mathtt{in}_{\mathtt{1y},\mathbb{O}}^{(0)}\circ\mathtt{f}^{(1)}\colon & \mathtt{X}\to\mathtt{Y} \\ \mathtt{try}(\mathtt{f})\mathtt{catch}(\mathtt{e}\Rightarrow\mathtt{g})^{(1)} & := & \mathtt{\downarrow}(\mathtt{Try}(\mathtt{f})\mathtt{Catch}(\mathtt{e}\Rightarrow\mathtt{g})^{(2)})\colon & \mathtt{X}\to\mathtt{Y} \end{array}$$

To handle an exception, the intermediate expressions  $Catch(e \Rightarrow g)$  and  $Try(f)Catch(e \Rightarrow g)$  are private catchers and the expression  $try(f)Catch(e \Rightarrow g)$  is a public propagator: the downcast operator prevents it from catching exceptions with name e which might have been raised before the  $try(f)Catch(e \Rightarrow g)$  expression. The definition of  $try(f)Catch(e \Rightarrow g)$  corresponds to the Java mechanism for exceptions (14, Ch. 14) and (18) with the following control flow, where exc? means "is this value an exception?", an abrupt termination returns an uncaught exception and a normal termination returns an ordinary value.



The intended model of the above grammar is built with respect to the set of exceptions E where a pure term  $p^{(0)}: X \to Y$  is interpreted as a function  $p: X \to Y$ , a propagator  $pp^{(1)}: X \to Y$  as a function  $p: X \to Y+E$ , and a catcher  $c^{(2)}: X \to Y$  as a function  $c: X+E \to Y+E$ . The complete and detailed category theoretical model is given in (12, §6.1).

The syntax given in Figure 10 is enriched with a set of rules which are presented in Figure 11. A *pure* term can be seen as an *propagator* (0-to-1), and similarly *propagator* can be seen as a *catcher* on demand. Weak equalities do not form a congruence: the term substitution cannot be done unless the substituted term is pure. I.e., given the equation  $\mathbf{f}_1^{(2)} \sim \mathbf{f}_2^{(2)}$ :  $Y \to Z$  and a term  $g: X \to Y$ , it is possible to get the equation  $\mathbf{f}_1 \circ \mathbf{g} \sim \mathbf{f}_2 \circ \mathbf{g}$  only when the term g is pure. At this stage, we have no information about the behaviors of  $\mathbf{f}_1$  and  $\mathbf{f}_2$  on exceptional values. Therefore, the pre-executed term g would destroy this result equality unless being pure, for instance, by throwing an exception of name g for which g and g can perform different behaviors: one can propagate, while the other can recover from it (pwrepl). However, the term

#### Rules of the decorated logic for the exception:

$$\begin{aligned} & (0\text{-to-1}) \, \frac{f^{(0)}}{f^{(1)}} & (1\text{-to-2}) \, \frac{f^{(1)}}{f^{(2)}} & (\text{pwsubs}) \frac{g^{(0)} \colon X \to Y \, f_1^{(2)} \sim f_2^{(2)} \colon Y \to Z}{f_1 \circ g \sim f_2 \circ g} \\ & (\text{wrepl}) \, \frac{f_1^{(2)} \sim f_2^{(2)} \colon X \to Y \, g^{(2)} \colon Y \to Z}{g \circ f_1 \sim g \circ f_2} & (\text{wrefl}) \, \frac{f^{(2)}}{f \sim f} & (\text{wsym}) \, \frac{f^{(2)} \sim g^{(2)}}{g \sim f} \\ & (\text{wtrans}) \, \frac{f^{(2)} \sim g^{(2)} \, g^{(2)} \sim h^{(2)}}{f \sim h} & (\text{replsubs}) & \frac{f_1^{(2)} \equiv f_2^{(2)} \colon X \to Y \, g_1^{(2)} \equiv g_2^{(2)} \colon Y \to Z}{g_1 \circ f_1 \equiv g_2 \circ f_2} \\ & (\text{refl}) \, \frac{f^{(2)}}{f \equiv f} & (\text{sym}) \, \frac{f^{(2)} \equiv g^{(2)}}{g \equiv f} & (\text{trans}) \, \frac{f^{(2)} \equiv g^{(2)} \, g^{(2)} \equiv h^{(2)}}{f \equiv h} & (\text{w\_empty}) \, \frac{f^{(2)} \colon \mathcal{O} \to X}{f \sim [\,\,]_X} \\ & (\text{estow}) \, \frac{f^{(2)} \equiv g^{(2)}}{f \sim g} & (\text{ewtos}) \, \, \frac{f_1^{(1)} \sim f_2^{(1)}}{f_1 \equiv f_2} & (\text{w\_downcast}) \, \frac{f^{(2)} \colon Y \to X}{(\downarrow \uparrow)^{(1)} \sim f} \\ & (\text{eax}_1) \, & \frac{\forall e_1, e_2 \in \text{EName}, \, e_1 \neq e_2}{\text{untag}_{e_1}^{(2)} \circ \text{tag}_{e_2}^{(1)} \sim [\,\,]_Y^{(0)}} \\ & (\text{eeffect}) \, & \frac{f_1^{(2)}, f_2^{(2)} \colon Y \to X \, f_1^{(2)} \sim f_2^{(2)} \, f_1^{(2)} \circ [\,\,]_Y^{(0)} \equiv f_2^{(2)} \circ [\,\,]_Y^{(0)}}{\text{untag}_{e_1}^{(2)} \circ \text{tag}_{e_2}^{(1)} \sim f_2^{(2)} \circ \text{tag}_{e_2}^{(1)}} \\ & (\text{elocal\_global}) \, & \frac{f_1^{(2)}, f_2^{(2)} \colon \mathcal{O} \to X \, \forall \, e \in \text{EName}, \, f_1^{(2)} \circ \text{tag}_{e_1}^{(1)} \sim f_2^{(2)} \circ \text{tag}_{e_2}^{(1)}} \\ & (\text{w\_lcopair\_eq}) \, & \frac{f_1^{(1)} \colon X \to Y \, f_2^{(2)} \colon Z \to Y}{[f_1 \mid f_2] \circ \text{in}_1 \sim f_1} & (\text{s\_lcopair\_eq}) \, \frac{f_1^{(1)} \colon X \to Y \, f_2^{(2)} \colon Z \to Y}{[f_1 \mid f_2] \circ \text{in}_2 \equiv f_2} \\ & (\text{tcomp}) \, & \frac{f \colon Y \to Z \, g \colon X \to Y}{(\text{tpure } f)^{(0)} \circ (\text{tpure } g)^{(0)} \equiv (\text{tpure } (f \circ g))^{(0)}} \end{array}$$

**Figure 11:**  $\mathcal{L}_{exc}$ : rules

replacement can be done regardless of the term decoration. I.e., given the equation  $f_1^{(2)} \sim f_2^{(2)} : X \to Y$  and a term  $g: Y \to Z$ , it is possible to get the equation  $g \circ f_1 \sim g \circ f_2$  independent from the decoration of the term g. We already now that  $f_1$  and  $f_2$  behave the same on ordinary values, executing any term g afterwards would not end the composition behave different on ordinary values (wrepl). Strong equalities form a congruence by allowing both term substitutions and replacements regardless of the term decorations (replsubs).

Both strong and weak equalities are defined to be *equivalence relations* by assuming that they are *reflexive*, *transitive* and *symmetric*.

Any term  $f: \mathbb{O} \to X$  with no input parameter has an equivalence on ordinary values with the empty co-pair  $[\ ]_X$  (w\_empty). It is obviously free to convert strong equations into weak ones (estow). However, one has to make sure that the equated terms are not catchers in order to convert weak equations into strong ones with no further evidence (ewtos).

The rule (w\_downcast) states that the term ( $\downarrow$  f) behaves as f, if the argument is ordinary. It prevents f from catching the exceptional arguments otherwise.

The fundamental equations are given with the rules (eax<sub>1</sub>) and (eax<sub>2</sub>). The former states that encapsulating an ordinary value with an exception of name e followed by an immediate recovery would be equivalent to "doing nothing" in terms of ordinary values. Clearly, this is only a weak equation since its sides behave different on exceptional values: left-hand-side may recover but right-hand-side definitely propagates. The latter, (eax<sub>2</sub>), is to assume that encapsulating an ordinary value  $\nu$  with an exception of name e<sub>2</sub> and then trying to recover it from a different exception of name e<sub>1</sub> would just lead e<sub>2</sub> to be propagated. Similarly, if the ordinary value  $\nu$  is encapsulated with e<sub>2</sub> with no recovery attempt afterwards would again lead e<sub>2</sub> to be propagated. Notice that these two operations behave the same on ordinary values only.

Two catchers  $\mathbf{f}_1^{(2)}, \mathbf{f}_2^{(2)} : X \to Y$  behave the same on exceptional values if and only if  $\mathbf{f}_1 \circ [\,]_X \equiv \mathbf{f}_2 \circ [\,]_X$ , where  $[\,]_X : \mathbb{O} \to X$  throws out the exceptional values. So that  $\mathbf{f}_1^{(2)}, \mathbf{f}_2^{(2)} : X \to Y$  are *strongly equal* if and only if  $\mathbf{f}_1 \sim \mathbf{f}_2$  and  $\mathbf{f}_1 \circ [\,]_X \equiv \mathbf{f}_2 \circ [\,]_X$  (eeffect).

Strong equality between two catchers  $f_1^{(2)}, f_2^{(2)}: \mathbb{O} \to X$  can also be expressed as a pair of weak equations:  $f_1 \sim f_2$  and  $\forall e: \text{ENname}, f_1 \circ \text{tag}_e \sim f_2 \circ \text{tag}_e$ . The latter intuitively means that  $f_1$  and  $f_2$  behaves the same on all (finitely many) exceptional values when executed. Given that both behave the same on ordinary arguments (due to (w\_empty)), there is no explicitly need to check if  $f_1 \sim f_2$ . It suffices to see whether  $\forall e: \text{EName}, f_1 \circ \text{tag}_e \sim f_2 \circ \text{tag}_e$  to end up with  $f_1 \equiv f_2$  (elocal\_global).

With  $(w\_lcopair\_eq)$  and  $(w\_rcopair\_eq)$  term co-pairs (sums) are characterized: the (left) co-pair structure  $[f_1 \mid f_2]_1$  cannot be used when  $f_1$  and  $f_2$ , both are catchers, since it may lead to a conflict on exceptional values. When  $f_1$  is a propagator, with (w-copair-eq), we assume that ordinary values of type X are treated by  $[f_1 \mid f_2]_1^{(2)}$  as they would be by  $f_1^{(1)}$  and with (s-copair-eq) that ordinary values of type Z and exceptional values are treated by  $[f_1 \mid f_2]_1^{(2)}$  as they would be by  $f_2^{(2)}$ .

The rule tcomp states that the tpure constructor preserves the composition of pure terms up to the strong equality. Meaning that one can first compose pure terms outside the decorated environment (in Coq's logic for instance) and use the tpure constructor to translate them into the  $\mathcal{L}_{st}$ , or translate the terms into the  $\mathcal{L}_{st}$  first, and then compose them there.

Similar to the rules of the logic  $\mathcal{L}_{st}$ , the rules of the logic  $\mathcal{L}_{exc}$  also designed to be sound with respect to a categorical model, and detailed in (12, §6.2, §6.3, §6.4, §6.5). In addition, in (8) we prove that this set of rules is complete with respect to the notion of relative Hilbert-Post completeness.

#### 4.1 Decorated properties of the exception effect

Similar to the one for the state effect presented in Section 3.1, we propose an equational representation of the exception effect with the following decorated equations:

- (1)<sub>d</sub> Annihilation tag-untag. Untagging an exception of name e and then raising it again is just like doing nothing.  $\forall e \in \text{EName}, \ \text{tag}_e^{(1)} \circ \text{untag}_e^{(2)} \equiv \text{id}_{\mathbb{O}}^{(0)} : \mathbb{O} \to \mathbb{O}.$
- (2)<sub>d</sub> Commutation untag-untag. Untagging two distinct exception names can be done in any order.  $\forall \, \mathbf{e} \neq \mathbf{r} \in \mathtt{EName}, \, (\mathtt{untag_e} +_{\mathbf{r}} \mathtt{id_{EV_r}})^{(2)} \circ \mathtt{in_2^{(0)}} \circ \mathtt{untag_r^{(2)}} \equiv \\ (\mathtt{id_{EV_e}} +_{\mathbf{l}} \mathtt{untag_r})^{(2)} \circ \mathtt{in_1^{(0)}} \circ \mathtt{untag_e^{(2)}} \colon \mathbb{O} \to \mathtt{EV_e} + \mathtt{EV_r}.$
- (3)<sub>d</sub> Propagator-propagates. A propagator term always propagates the exception.  $\forall e \in \text{EName}, \ a^{(1)} : X \to Y, \ a^{(1)} \circ [\ ]_X^{(0)} \circ \text{tag}_e^{(1)} \equiv [\ ]_Y^{(0)} \circ \text{tag}_e^{(1)} : EV_e \to Y.$

 $(4)_d$  Recovery. The parameter used for throwing an exception may be recovered.

- $$\begin{split} (5)_d \ \, &\text{Try. The strong equation is compatible with } \text{try/catch.} \\ \forall \, \mathbf{e} \in \text{EName}, \, \mathbf{a}_1^{(1)}, \, \mathbf{a}_2^{(1)} \colon \mathbf{X} \to \mathbf{Y}, \, \mathbf{b}^{(1)} \colon \mathbf{EV_e} \to \mathbf{Y}, \, \mathbf{a}_1^{(1)} \equiv \mathbf{a}_2^{(1)} \Longrightarrow \\ \left( \downarrow \left( \left[ \text{id}_{\mathbf{Y}} \mid \mathbf{b} \circ \text{untag}_{\mathbf{e}} \right]_1 \circ \text{in}_1 \circ \left[ \, \right]_{\mathbf{Y}} \circ \text{tag}_{\mathbf{e}} \circ \mathbf{a}_1 \right)^{(1)} \equiv \right. \\ \left. \downarrow \left( \left[ \text{id}_{\mathbf{Y}} \mid \mathbf{b} \circ \text{untag}_{\mathbf{e}} \right]_1 \circ \text{in}_1 \circ \left[ \, \right]_{\mathbf{Y}} \circ \text{tag}_{\mathbf{e}} \circ \mathbf{a}_2 \right)^{(1)} \right). \end{split}$$
- $\begin{array}{l} (6)_d \ \ Try_0. \ \textit{Pure code inside} \ \text{try never triggers the code inside} \ \text{catch.} \\ \forall \, \textbf{e} \in \texttt{EName}, \ \textbf{u}^{(0)} \colon \textbf{X} \to \textbf{Y}, \ \textbf{b}^{(1)} \colon \texttt{EV}_\textbf{e} \to \textbf{Y}, \\ \downarrow \left( [\texttt{id}_\textbf{Y} \mid \textbf{b} \circ \texttt{untag}_\textbf{e}]_1 \circ \texttt{in}_1 \circ \textbf{u} \right)^{(1)} \equiv \texttt{id}_\textbf{Y}^{(0)} \circ \textbf{u}^{(0)} \colon \textbf{X} \to \textbf{Y}. \end{array}$
- $\begin{array}{l} (7)_d \ \ \text{Try}_1. \ \textit{The code inside} \ \text{catch is executed as soon as an exception is thrown inside try.} \\ \forall \, e \in \texttt{EName}, \ u^{(0)} \colon \texttt{X} \to \texttt{EV}_e, \ b^{(1)} \colon \texttt{EV}_e \to \texttt{Y}, \\ \downarrow \left( [\texttt{id}_{\texttt{Y}} \mid b \circ \texttt{untag}_e]_1 \circ \texttt{in}_1 \circ [\ ]_{\texttt{Y}} \circ \texttt{tag}_e \circ u \right)^{(1)} \equiv b^{(1)} \circ u^{(0)} \colon \texttt{X} \to \texttt{Y}. \end{array}$
- $\begin{aligned} (8)_d \ \, &\text{Try}_2. \, \textit{An exception gets propagated, if the exception name is not pattern matched in } \text{catch.} \\ \forall \, (\texttt{e} \neq \texttt{f}) \in \texttt{EName}, \, \texttt{u}^{(0)} \colon \texttt{X} \to \texttt{EV}_\texttt{f}, \, \texttt{b}^{(1)} \colon \texttt{EV}_\texttt{e} \to \texttt{Y}, \\ \downarrow \big( [\texttt{id}_\texttt{Y} \, | \, \texttt{b} \circ \texttt{untag}_\texttt{e}]_1 \circ \texttt{in}_1 \circ [\, ]_\texttt{Y} \circ \texttt{tag}_\texttt{f} \circ \texttt{u} \big)^{(1)} \equiv [\, ]_\texttt{Y}^{(0)} \circ \texttt{tag}_\texttt{f}^{(1)} \circ \texttt{u}^{(0)} \colon \texttt{X} \to \texttt{Y}. \end{aligned}$

These are the archetype properties that we have proved within the scope of the  $\mathcal{L}_{exc}$ . To see these proofs, check out (12, §6.7). Besides, we have implemented the  $\mathcal{L}_{exc}$  in Coq to certify mentioned proofs. Section 4.2 briefly discusses this implementation.

#### 4.2 $\mathcal{L}_{exc}$ in Coq

Coq implementation of  $\mathcal{L}_{exc}$  follows the same approach with the one for  $\mathcal{L}_{st}$ . We represent the set of exception names by a Coq parameter EName: Type. An arrow type EVal: EName  $\rightarrow$  Type is assumed as the type of values (parameters) for each exception name. We then inductively define terms and, assign decorations over them. There, we respectively use keywords epure, ppg and ctc instead of (0), (1) and (2). The rules up to weak and strong equalities are stated in a mutually inductive way to write constructors including both types of equalities, similar to the approach presented in Section 3.2. We choose not to replay the entire Coq encoding here, but we formalize Definitions 4.1 and 4.2 in Coq terms as follows:

The encodings of other terms are contained in this file.

We can conclude that such a framework allows us to express and prove, in Coq, the decorated versions of the properties mentioned in Section 4.1. E.g., the propagator-propagates looks like:

```
(** Propagator propagates **) Lemma PPT: \forall X Y (e: EName) (a: term Y X), is ppg a \rightarrow a o ((Qempty X) o tag e) == (Qempty Y) o tag e.
```

The full Coq proofs of such properties can be found here, and the entire implementation there.

## 5 Combining $\mathcal{L}_{st}$ and $\mathcal{L}_{exc}$

In order to formally cope with different computational effects, one needs to compose the related formal models. For instance, using monad transformers (19), it is usually possible to combine effects formalized by monads, as encoded in Haskell. Handler compositions allow combining effects modelled by algebraic handlers, as implemented in Eff (1, 2, 34) and Idris (4). To combine effects formalized in decorated settings, we just need to compose the related logics. In this section, we formally study the combination of the state and the exception effects using the logics  $\mathcal{L}_{st}$  and  $\mathcal{L}_{exc}$ . We call the newly born logic *the decorated logic for the state and the exception*, and denote it  $\mathcal{L}_{st+exc}$ . To start with, we give below the syntax of  $\mathcal{L}_{st+exc}$ :

```
Grammar of the decorated logic for the state and the exception:
                                                                                                           (i \in Loc) (e \in EName)
   Types:
                                                          ::= X | Y | \cdots | t \times s | t + s | 1 | 0 | V_i | EV_e
   Decoration for terms:
                                          (d_1,d_2) ::= (0,0) | (0,1) | (0,2) | (1,0) | (1,1) |
                                                                   (1,2) \mid (2,0) \mid (2,1) \mid (2,2)
                                                         := a^{(d_1,d_2)} | b^{(d_1,d_2)} | \cdots | g \circ f^{(d_1,d_2)} |
   Terms:
                                                                  \langle f, g \rangle_{1}^{(d_{1}, d_{2})} | [f | g]_{1}^{(d_{1}, d_{2})} |
                                                                  lookup_{i}^{(1,0)} | update_{i}^{(2,0)}
                                                                  tag_{e}^{(0,1)} \mid untag_{e}^{(0,2)} \mid
                                                                   (\downarrow f)^{(0,1)} | (tpure •)^{(0,0)}
                                                          ::= \ \ f^{(d_1,d_2)} \equiv \equiv g^{(d_1,d_2)} \ | \ f^{(d_1,d_2)} \equiv \sim g^{(d_1,d_2)} \ |
   Equations:
                                              eq
                                                                   \mathtt{f}^{(d_1,d_2)} \sim \equiv \mathtt{g}^{(d_1,d_2)} \mid \mathtt{f}^{(d_1,d_2)} \sim \sim \mathtt{g}^{(d_1,d_2)}
```

**Figure 12:**  $\mathcal{L}_{st+exc}$ : syntax

The decorations are paired off to cover all possible combinations: the decoration symbol on the left is given in terms of the state effect while the one on the right is of the exception. I.e.,  $f^{(1,2)}$  says that f may *access* to the state alongside *catching* exceptions. The decoration of a (co)-pair ((co)-product) or a composition depends on the decorations of its components, always taking the larger. I.e.,  $\forall f^{(1,2)} : X \to Y$  and  $g^{(2,1)} : Y \to Z$ ,  $g \circ f : X \to Z$  takes the decoration (2,2).

Types and terms are manually unionized in such a way that the interface terms for the state effect are pure with respect to the exception and vice versa:  $lookup^{(1,0)}$ ,  $update^{(2,0)}$ ,  $tag^{(0,1)}$  and  $untag^{(0,2)}$ . As in Sections 3 and 4, we use the special tpure constructor to define pure terms such as the identity id, the canonical pair projections  $\pi_1$  and  $\pi_2$ , the empty pair  $\langle \rangle$  (throws out the given value), the canonical co-pair

inclusions in<sub>1</sub> and in<sub>2</sub>, the empty co-pair [] and constant terms, for all types X and Y, as follows:

The rule combinations need a bit of reformulation as we summarize below:

• The decoration symbol (0) freely converts into (1) and (2), while the symbol (1) just into (2) when the other symbol is fixed. I.e.,  $f^{(0,2)}$  freely converts into  $f^{(1,2)}$ . See all cases below:

$$\begin{split} & - \frac{\mathbf{f}^{(0,d)}}{\mathbf{f}^{(1,d)}}, \quad \frac{\mathbf{f}^{(1,d)}}{\mathbf{f}^{(2,d)}} \ \, \text{for} \, \, d \in \{0,1,2\} \\ & - \frac{\mathbf{f}^{(d,0)}}{\mathbf{f}^{(d,1)}}, \quad \frac{\mathbf{f}^{(d,1)}}{\mathbf{f}^{(d,2)}} \ \, \text{for} \, \, d \in \{0,1,2\} \end{split}$$

• We have all possible combinations of equality sorts: ≡≡, ≡∼, ∼≡ and ∼∼. The first equality symbol relates terms with respect to the state effect. I.e., f ≡∼ g means that f and g are strongly equal with respect to the state, while being weakly equal with respect to the exception. Below we present the conversion rules between these four sorts. The burden here is that a strong equality symbol can always be freely converted into a weak one independent of according to which effect it relates terms. But, to convert a weak equality symbol into a strong one, we need to make sure that the related terms are decorated either with (0) or (1) with respect to the effect they are weakly related.

$$\begin{split} & - (\equiv = -to - \equiv \sim) \frac{f^{(2,2)} \equiv \equiv g^{(2,2)}}{f \equiv \sim g}, \quad (\equiv = -to - \sim \equiv) \frac{f^{(2,2)} \equiv \equiv g^{(2,2)}}{f \sim \equiv g} \\ & - (\equiv \sim -to - \sim \sim) \frac{f^{(2,2)} \equiv \sim g^{(2,2)}}{f \sim \sim g}, \quad (\sim \equiv -to - \sim \sim) \frac{f^{(2,2)} \sim \equiv g^{(2,2)}}{f \sim \sim g} \\ & - (\sim \equiv -to - \equiv \equiv) \frac{f^{(1,2)} \sim \equiv g^{(1,2)}}{f \equiv \equiv g}, \quad (\equiv \sim -to - \equiv \equiv) \frac{f^{(2,1)} \equiv \sim g^{(2,1)}}{f \equiv \equiv g} \\ & - (\sim \sim -to - \equiv \sim) \frac{f^{(1,2)} \sim \sim g^{(1,2)}}{f \equiv \sim g}, \quad (\sim \sim -to - \sim \equiv) \frac{f^{(2,1)} \sim \sim g^{(2,1)}}{f \sim \equiv g} \end{split}$$

• The rules of the logic  $\mathcal{L}_{st+exc}$  are presented in Figure 13 as a union of the ones given in Figures 9 and 11 in terms of new equality sorts and refined term decorations. There, we replay the whole rule bodies, and implicitly assume that all equality sorts are equivalence relations respecting the properties *reflexivity*, *symmetry*, and *transitivity*.

Rules of the decorated logic for the state and the exception:

$$(\text{pwrepl}) \frac{f_1^{(2,2)} \sim \equiv f_2^{(2,2)} \colon X \to Y \ g^{(0,2)} \colon Y \to Z}{g \circ f_1 \sim \equiv g \circ f_2} \qquad (\text{wsubs}) \frac{g^{(2,2)} \colon X \to Y \ f_1^{(2,2)} \sim \equiv f_2 \circ g}{f_1 \circ g \sim f_2 \circ g} \qquad (\text{wsubs}) \frac{g^{(2,2)} \colon X \to Y \ f_1^{(2,2)} \sim f_2^{(2,2)} \colon Y \to Z}{g \circ f_1 \circ g \sim f_2 \circ g} \qquad (\text{wrepl}) \frac{f_1^{(2,2)} \sim f_2^{(2,2)} \colon X \to Y \ g^{(2,2)} \colon Y \to Z}{g \circ f_1 \sim g \circ f_2}$$
 
$$(\text{replsubs}) \frac{f_1^{(2,2)} \equiv f_2^{(2,2)} \colon X \to Y \ g_1^{(2,2)} \equiv g_2^{(2,2)} \colon Y \to Z}{g_1 \circ f_1 \equiv g_2 \circ f_2}$$
 
$$(\text{w_unit}) \frac{f_1^{(2,2)} \colon X \to 1}{f \sim (\backslash X)} \qquad (\text{w_empty}) \frac{f_1^{(2,2)} \colon 0 \to X}{f \equiv (\backslash X)} \qquad (\text{w_downcast}) \frac{f_1^{(2,2)} \colon Y \to X}{(\downarrow f)^{(2,1)} \equiv (\downarrow f)}$$
 
$$(\text{ax}_1) \frac{1}{\text{lookup}_1^{(1,0)} \circ \text{update}_1^{(2,0)} \sim \equiv \text{id}_{V_1}^{(0,0)}} \qquad (\text{eax}_2) \frac{\forall i, j \in \text{Loc}, i \neq j}{\text{lookup}_1^{(1,0)} \circ \text{update}_2^{(2,0)} \sim \equiv \text{lookup}_1^{(1,0)} \circ \langle \rangle_{V_i}^{(0,0)}$$
 
$$(\text{eax}_1) \frac{f_1^{(2,2)}, f_2^{(2,2)} \colon X \to Y \ f_1^{(2,2)} \sim \equiv f_2^{(2,2)} \ \langle \rangle_{V_1}^{(0,0)} \circ f_1^{(2,2)} \equiv g_2^{(2,2)} = \sum_{i=1}^{\infty} |g_i|^{(0,1)} \circ g_i^{(0,1)} \circ g_i^{(0,1)} = \sum_{i=1}^{\infty} |g_i|^{(0,1)} \circ g_i^{(0,1)} \circ g_i^{(0,1)} = \sum_{i=1}^{\infty} |g_i|^{(0,1)} \circ g_i^{(0,1)} \circ g_i^{(0,1)} \circ g_i^{(0,1)} = \sum_{i=1}^{\infty} |g_i|^{(0,1)} \circ g_i^{(0,1)} \circ g_i^{(0,$$

**Figure 13:**  $\mathcal{L}_{st+exc}$ : rules

#### 5.1 Decorated properties of the state and exception effects

The properties given in Sections 3.1 and 4.1 are now stated with the refined term decorations, and related with the  $\equiv \equiv$  equation. I.e., propagator-propagates and annihilation untag-untag look like:

$$\begin{split} \forall\, \mathbf{e} \in \mathtt{EName}, \,\, \mathbf{a}^{(0,1)} \colon \mathbf{X} \to \mathbf{Y}, \,\, \mathbf{a}^{(0,1)} \circ [\,\,]_{\mathbf{X}}^{(0,0)} \circ \mathsf{tag}_{\mathbf{e}}^{(0,1)} \equiv & \equiv [\,\,]_{\mathbf{Y}}^{(0,0)} \circ \mathsf{tag}_{\mathbf{e}}^{(0,1)} \colon \mathtt{EV}_{\mathbf{e}} \to \mathtt{Y}. \\ \forall\, \mathbf{i} \neq \mathbf{j} \in \mathtt{Loc}, \, \mathtt{update}_{\mathbf{j}}^{(2,0)} \circ \pi_{\mathbf{2}}^{(0,0)} \circ (\mathtt{update}_{\mathbf{i}}^{(2,0)} \times_{\mathbf{r}} \mathsf{id}_{\mathbf{V}_{\mathbf{j}}}^{(0,0)}) \equiv & \\ & \mathtt{update}_{\mathbf{i}}^{(2,0)} \circ \pi_{\mathbf{1}}^{(0,0)} \circ (\mathsf{id}_{\mathbf{V}_{\mathbf{i}}}^{(0,0)} \times_{\mathbf{1}} \mathtt{update}_{\mathbf{j}}^{(2,0)}) : \mathtt{V}_{\mathbf{i}} \times \mathtt{V}_{\mathbf{j}} \to \mathbb{1}. \end{split}$$

These are the archetype properties that we can prove within the scope of the  $\mathcal{L}_{st+exc}$ . However, we prefer not to prove them for this generic framework; instead, we first specialize them in a way to serve as a target language for a denotational semantics of IMP+Exc, and then prove them for the specialized version. Also, we encode the specialized version in Coq and certify related proofs. Section 6 gives the details.

#### 6 IMP+Exc over decorated logic

Now, it comes to define a denotational semantics for the IMP+Exc language, with the combined decorated logic for the state and the exception ( $\mathcal{L}_{st+exc}$ ) as the target language. Recall that by doing this, we aim to prove some (strong) equalities between terminating programs written in IMP+Exc with respect to the mentioned effects.

In IMP+Exc, the values that can be stored in any variable (location) i are just integers. So that any occurrence of  $(V_i)$  in term signatures of  $\mathscr{L}_{st+exc}$  is replaced by  $\mathbb{Z}$ . I.e.,  $lookup^{(1,0)} \colon \mathbb{I} \to \mathbb{Z}$  while  $\mathbb{Z}$ . I.e.,  $update^{(2,0)} \colon \mathbb{Z} \to \mathbb{I}$ . Here, we start with defining a denotational semantics of IMP+Exc expressions over combined decorated settings using a translator function dExp. This function takes an expression as input and outputs a decorated term of type term  $\mathbb{Z}$   $\mathbb{I}$  or term  $\mathbb{B}$   $\mathbb{I}$  depending on the input expression type:

$$\begin{array}{lll} \text{dExp n} & \Rightarrow & \left( \text{constant n} \right)^{(0,0)} \\ \text{dExp x} & \Rightarrow & \left( \text{lookup x} \right)^{(1,0)} \\ \text{dExp } (a_1 + a_2) & \Rightarrow & \left( \text{tpure add} \right)^{(0,0)} \circ \langle \text{dExp a}_1, \text{dExp a}_2 \rangle_1^{(1,0)} \\ \text{dExp } (a_1 \times a_2) & \Rightarrow & \left( \text{tpure mlt} \right)^{(0,0)} \circ \langle \text{dExp a}_1, \text{dExp a}_2 \rangle_1^{(1,0)} \\ \text{dExp } (a_1 - a_2) & \Rightarrow & \left( \text{tpure subt} \right)^{(0,0)} \circ \langle \text{dExp a}_1, \text{dExp a}_2 \rangle_1^{(1,0)} \\ \text{dExp } (\text{true}) & \Rightarrow & \left( \text{constant } \textit{true} \right)^{(0,0)} \\ \text{dExp } (\text{false}) & \Rightarrow & \left( \text{constant } \textit{false} \right)^{(0,0)} \\ \text{dExp } (a_1 \overset{?}{=} a_2) & \Rightarrow & \left( \text{tpure chkeq} \right)^{(0,0)} \circ \langle \text{dExp a}_1, \text{dExp a}_2 \rangle_1^{(1,0)} \\ \text{dExp } (a_1 \overset{?}{=} a_2) & \Rightarrow & \left( \text{tpure chkneq} \right)^{(0,0)} \circ \langle \text{dExp a}_1, \text{dExp a}_2 \rangle_1^{(1,0)} \\ \text{dExp } (a_1 \overset{?}{<} a_2) & \Rightarrow & \left( \text{tpure chkgt} \right)^{(0,0)} \circ \langle \text{dExp a}_1, \text{dExp a}_2 \rangle_1^{(1,0)} \\ \text{dExp } (a_1 \overset{?}{<} a_2) & \Rightarrow & \left( \text{tpure chklt} \right)^{(0,0)} \circ \langle \text{dExp a}_1, \text{dExp a}_2 \rangle_1^{(1,0)} \\ \text{dExp } (b_1 \wedge b_2) & \Rightarrow & \left( \text{tpure andB} \right)^{(0,0)} \circ \langle \text{dExp b}_1, \text{dExp b}_2 \rangle_1^{(1,0)} \\ \end{array}$$

$$\begin{array}{ll} \mathtt{dExp}\; (\mathtt{b_1} \vee \mathtt{b_2}) \; \Rightarrow \; \big(\mathtt{tpure}\; \mathtt{orB}\big)^{(0,0)} \circ \big\langle \mathtt{dExp}\; \mathtt{b_1}, \mathtt{dExp}\; \mathtt{b_2}\big\rangle_1^{(1,0)} \\ \\ \mathtt{dExp}\; (\neg \mathtt{b}) \; \Rightarrow \; \big(\mathtt{tpure}\; \mathtt{notB}\big)^{(0,0)} \circ \mathtt{dExp}\; \mathtt{b}^{(1,0)} \end{array}$$

where *true* and *false* are Boolean constructors. The constructor tpure is applied to given unary functions. For instance add:  $(\mathbb{Z} \times \mathbb{Z}) \to \mathbb{Z}$  takes an instance of an integer tuple and returns their sum. To see the definition of the other functions in a Coq implementation, please check out this file.

We have some additional rules to make use of some pure algebraic operations in the combined decorated setting presented in Figure 14 where the pure term  $\mathtt{lpi: 1} \to \mathtt{1}$ , within the rule (imp-li), is used to connect successive loop iterations as long as the loop conditional evaluates into decorated logic's true (constant *true*). Also, the pure term  $\mathtt{pbl: B} \to \mathtt{1+1}$  forms a bridge between the usual Boolean data type and its correspondence in the decorated settings which is the type  $\mathtt{1+1}$ .

Note also that in  $(imp_2)$  and  $(imp_4)$  by replacing *false* into *true* we get  $(imp_3)$  and  $(imp_5)$  that are not explicitly stated in Figure 14.

$$\begin{split} (\text{imp}_1) & \frac{\forall p,\, q: \mathbb{Z},\, (f: \mathbb{Z} \times \mathbb{Z} \to \mathbb{Z})}{\text{tpure } f \circ \langle \text{constant } p, \text{constant } q \rangle_1 \equiv \equiv (\text{constant } f(p,q))} \\ (\text{imp}_2) & \frac{\forall p,\, q: \mathbb{Z},\, (f: \mathbb{Z} \times \mathbb{Z} \to \mathbb{B}) \,\, f(p,q) = \textit{false}}{\text{tpure } f \circ \langle \text{constant } p, \text{constant } q \rangle_1 \equiv \equiv \text{constant } \textit{false}} \\ (\text{imp}_4) & \frac{\forall p,\, q: \mathbb{B},\, (f: \mathbb{B} \times \mathbb{B} \to \mathbb{B}) \,\, f(p,q) = \textit{false}}{\text{tpure } f \circ \langle \text{constant } p, \text{constant } q \rangle_1 \equiv \equiv \text{constant } \textit{false}} \\ & (\text{imp}_4) & \frac{\forall (b: \text{term } \mathbb{1} \,\, (\mathbb{1} + \mathbb{1})) \,\, (f: \text{term } \mathbb{1} \,\, \mathbb{1})}{\text{tpi } b \,\, f \equiv \equiv \left[ (\text{lpi } b \, f) \circ f \, \big| \text{id} \right]_1 \circ b} \\ & (\text{imp}_6) & \frac{(\forall x,\, fx = g\, x)}{\text{tpure } f \equiv \text{tpure } g} \end{split}$$

Figure 14: Additional rules on puer terms: IMP+Exc specific

**Lemma 6.1.**  $pbl^{(0,0)} \circ (constant \ false)^{(0,0)} \equiv \equiv in_2.$ 

**Proof:** unfolding all term definitions, we have tpure  $(\lambda : bool. if b then (inl 1) else (inr 1)) <math>\circ$  tpure  $(\lambda : 1.true) \equiv tpure inl$ . Now, it is trivial to obtain tpure inl  $\equiv tpure inl$  by first rewriting tcomp from left to right, and then applying imp<sub>6</sub>.

**Lemma 6.2.**  $pbl^{(0,0)} \circ (constant true)^{(0,0)} \equiv \equiv in_1.$ 

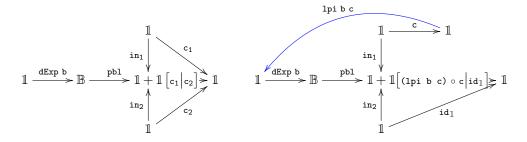
**Proof:** It follows the same steps with the proof of Lemma 6.1

The fact that IMP+Exc commands are of type  $\mathbb{1} \to \mathbb{1}$ , and since  $\mathtt{throw}_e^{(0,1)} := [\ ]_Y \circ \mathtt{tag}_e^{(0,1)} \colon \mathsf{EV}_e \to \mathsf{Y}$  is a command, we replace  $\mathsf{EV}_e$  and  $\mathsf{Y}$  with  $\mathbb{1}$ . This means that we stick to a single exceptional value (parameter), for each exception name e.

Below, we recursively define the IMP+Exc commands within  $\mathcal{L}_{st+exc}$  using a translator function dCmd which establishes a decorated term of type term 1 1 out of an input command.

$$\begin{array}{lll} \operatorname{dCmd}\left(\operatorname{SKIP}\right) & \Rightarrow & \left(\operatorname{id} \mathbbm{1}\right)^{(0,0)} \\ \operatorname{dCmd}\left(x \triangleq a\right) & \Rightarrow & \left(\operatorname{update}_{x}\right)^{(2,0)} \circ \left(\operatorname{dExp} a\right)^{(1,0)} \\ \operatorname{dCmd}\left(c_{1}; c_{2}\right) & \Rightarrow & \left(\operatorname{dCmd} c_{2}\right)^{(2,0)} \circ \left(\operatorname{dCmd} c_{1}\right)^{(2,0)} \\ \operatorname{dCmd}\left(\operatorname{if} b \operatorname{then} c_{1} \operatorname{else} c_{2}\right) & \Rightarrow & \left[\operatorname{dCmd} c_{1} \middle| \operatorname{dCmd} c_{2}\right]_{1}^{(2,0)} \circ \operatorname{pbl}^{(0,0)} \circ \left(\operatorname{dExp} b\right)^{(1,0)} \\ \operatorname{dCmd}\left(\operatorname{while} b \operatorname{do} c\right) & \Rightarrow & \left[\left(\operatorname{lpi}\left(\operatorname{pbl} \circ \left(\operatorname{dExp} b\right)\right) \left(\operatorname{dCmd} c\right)\right) \circ \left(\operatorname{dCmd} c\right)\middle| \operatorname{id}\right]_{1}^{(2,0)} \\ \operatorname{dCmd}\left(\operatorname{THROW} e\right) & \Rightarrow & \operatorname{throw} e^{(0,1)} \\ \operatorname{dCmd}\left(\operatorname{TRY} c_{1} \operatorname{CATCH} e \Rightarrow c_{2}\right) & \Rightarrow & \operatorname{try}(f) \operatorname{catch}(e \Rightarrow g)^{(0,1)} \end{array}$$

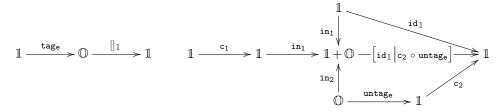
Figure 15, the diagram on the left, schematizes the command if b then  $c_1$  else  $c_2$ : if the Boolean expression dExp b evaluates into (constant true) then by Lemma 6.2 (pbl  $\circ$  (constant true)  $\equiv in_1$ ), we have the command  $c_1$  in execution,  $c_2$  otherwise by by Lemma 6.1. As for the loops, it is well know that as long as the looping condition evaluates into (constant true), loop body gets executed. This is depicted in Figure 15 (the diagram on the right), as the arrow lpi b c is each time replaced by the whole diagram itself. This is made possible by the rule (imp-li). If the looping condition evaluates into (constant false), using Lemma 6.1 (pbl  $\circ$  (constant false)  $\equiv in_2$ ), we then have the term  $id_1$  in execution forcing the loop to terminate. Notice that the case distinction in the diagrams are provided by the term inclusions.



**Figure 15:** (cond b  $c_1$   $c_2$ ) and (while b do c) in  $\mathscr{L}_{\textit{st}+\textit{exc}}$ 

Figure 16 respectively visualizes the formal behaviors of THROW and TRY/CATCH commands where the

basis is the core decorated terms for the exception effect. They are formulated as in Definitions 4.1 and 4.2 with a single difference in their signatures: domains and co-domains are now set to 1.



**Figure 16:** (THROW e) and (TRY  $c_1$  CATCH  $e \Rightarrow c_2$ ) in  $\mathcal{L}_{st+exc}$ 

We now implement the IMP+Exc denotational semantics using the  $\mathcal{L}_{st+exc}$  as the target language in a Coq encoding. Expressions are inductively forming a new Coq Type by taking another Coq Type as input, and called Exp. Indeed, the type Exp is a dependent type. This means that the type Exp A depends on the term A: Type. I.e., when  $A := \mathbb{B}$ , we build the type for Boolean expressions while the case  $A := \mathbb{Z}$  is to construct the type for arithmetic expressions. As for the type constructors, we use the syntactic operators given within the contexts of aexp and bexp in Figure 2. The only difference is in the constructor names: instead of the corresponding notations, they are given in plain text. However, it is easy to match them one another since they are given in the same order. I.e., ttrue is used instead of the true expression symbol. Note also that *true* stands for the Boolean constructor.

```
Inductive Exp : Type \rightarrow Type \triangleq
       | const : \forall A, A \rightarrow Exp A
        | \  \, \text{var} \quad : \  \, \text{Loc} \  \, \rightarrow \  \, \text{Exp} \  \, \textbf{Z}
        | plus : Exp Z \rightarrow Exp Z \rightarrow Exp Z
          \mathtt{subtr} \; : \; \mathtt{Exp} \; \mathsf{Z} \; \to \; \mathtt{Exp} \; \mathsf{Z} \; \to \; \mathtt{Exp} \; \mathsf{Z}
          \texttt{mult} \ : \ \texttt{Exp} \ \ \textbf{Z} \ \to \ \ \texttt{Exp} \ \ \textbf{Z} \ \to \ \ \texttt{Exp} \ \ \textbf{Z}
        | ttrue : Exp bool
        | ffalse: Exp bool
                       : Exp Z \rightarrow Exp Z \rightarrow Exp bool
          eq
          \texttt{neq} \quad : \; \texttt{Exp} \; \texttt{Z} \; \rightarrow \; \texttt{Exp} \; \texttt{Z} \; \rightarrow \; \texttt{Exp} \; \texttt{bool}
          gt : Exp Z \rightarrow Exp Z \rightarrow Exp bool

1t : Exp Z \rightarrow Exp Z \rightarrow Exp bool

7 \rightarrow Exp bool
                        : \ \mathtt{Exp} \ \mathtt{Z} \ \to \ \mathtt{Exp} \ \mathtt{Z} \ \to \ \mathtt{Exp} \ \mathtt{bool}
          ge
                        : \ \mathtt{Exp} \ \mathtt{Z} \ \to \ \mathtt{Exp} \ \mathtt{Z} \ \to \ \mathtt{Exp} \ \mathtt{bool}
                        : Exp bool \rightarrow Exp bool \rightarrow Exp bool
                        : Exp bool 
ightarrow Exp bool 
ightarrow Exp bool
                        : Exp bool → Exp bool.
```

We now encode the dExp function in Coq using a fixpoint which is also called dExp:

```
| eq a1 a2
                    \Rightarrow tpure chkeq o pair (dExp Z a1) (dExp Z a2)
    neq a1 a2
                   \Rightarrow tpure chkneq o pair (dExp Z a1) (dExp Z a2)
    gt a1 a2
                   \Rightarrow tpure chkgt o pair (dExp Z a1) (dExp Z a2)
                ⇒ tpure chklt o pair (dExp Z a1) (dExp Z a2)

⇒ tpure chkge o pair (dExp Z a1) (dExp Z a2)
  | 1t a1 a2
  | ge a1 a2
                   \Rightarrow tpure chkle o pair (dExp Z a1) (dExp Z a2)
  | le a1 a2
                 ⇒ tpure andB o pair (dExp bool b1) (dExp bool b2)
  and b1 b2
                   ⇒ tpure orB o pair (dExp bool b1) (dExp bool b2)
  or b1 b2
  | neg b
                    ⇒ tpure notB o (dExp bool b)
end.
```

A similar idea of implementation follows for the commands. We inductively define a Coq type Cmd of IMP+Exc commands whose constructors are the members of IMP+Exc command set as presented in Figures 2 and 6. Notice that some commands are encoded with different names. I.e., the assignment command ' $\triangleq$ ' is called assign, the sequencing command ';' is called sequence while "if then else" block is named cond in the implementation. However, it is easy to match them one another since they are presented in the same order.

```
Inductive Cmd : Type \triangleq
    I SKIP
                      : Cmd

ightarrow Cmd
                     : Cmd
                                                   \rightarrow Cmd
     sequence
                                       \rightarrow \ \mathtt{Exp} \ \mathtt{Z} \ \rightarrow \ \mathtt{Cmd}
       assign : Loc
                      : Exp bool 
ightarrow Cmd 
ightarrow Cmd 
ightarrow Cmd
     cond
      while
                      : Exp bool 
ightarrow Cmd
                                                   \rightarrow Cmd
     I THROW
                      : EName \rightarrow Cmd
    | TRY CATCH : EName

ightarrow Cmd 
ightarrow Cmd 
ightarrow Cmd.
```

We now encode the dCmd function in Coq using a fixpoint which is also called dCmd:

Now, we retain sufficient material to state and prove equivalences between programs written in IMP+Exc, and certify such proofs in Coq.

#### 6.1 Program equivalence proofs

In this section, we finally prove equivalences of bunch of programs written in IMP+Exc, using the denotational semantics characterized within the scope of the logic  $\mathcal{L}_{st+exc}$ . Note that for the sake of simplicity, we will use  $u_x$ ,  $1_x$ , (t op) and (c p) instead of (update x)<sup>(2,0)</sup>, (lookup x)<sup>(1,0)</sup>, (tpure op)<sup>(0,0)</sup> and (constant p)<sup>(0,0)</sup>, respectively.

Remark 6.3. Recall that the use of term products is to impose some order of term evaluation on the mutable state. IMP+Exc specific properties of the mutable state are slightly different than their generic versions (mentioned in Section 3.1) due to the fact that the language does not allow parallel term evaluations,

meaning that every term is evaluated in the sequence they are given. Therefore, we no more need to use term products in property statements. The properties we use through out the following proofs are re-stated in Figure 17. The full certified Coq proofs of these properties can be found here.

- $1. \ \, \text{interaction update-update} \,\, \forall \, x \in Loc \,\, p,q : \mathbb{Z}, \,\, u_x \circ (c \,\, p) \circ u_x \circ (c \,\, q) \equiv \equiv u_x \circ (c \,\, p)$
- $2. \text{ commutation update-update } \forall \, \mathbf{x} \neq \mathbf{y} \in \texttt{Loc} \, \mathbf{p}, \mathbf{q} : \mathbb{Z}, \, \mathbf{u}_{\mathbf{x}} \circ (\mathbf{c} \, \mathbf{p}) \circ \mathbf{u}_{\mathbf{y}} \circ (\mathbf{c} \, \mathbf{q}) \equiv \equiv \mathbf{u}_{\mathbf{y}} \circ (\mathbf{c} \, \mathbf{q}) \circ \mathbf{u}_{\mathbf{x}} \circ (\mathbf{c} \, \mathbf{p})$
- $3. \hspace{0.2cm} \texttt{commutation-lookup-constant-update} \hspace{0.2cm} \forall \hspace{0.05cm} x \in \texttt{Loc}, p, q \in \mathbb{Z}, \hspace{0.2cm} \langle \textbf{l}_{\textbf{x}}, (\textbf{c} \hspace{0.05cm} q) \rangle_{1} \circ \textbf{u}_{\textbf{x}} \circ (\textbf{c} \hspace{0.05cm} p) \equiv \\ \langle (\textbf{c} \hspace{0.05cm} p), (\textbf{c} \hspace{0.05cm} q) \rangle_{1} \circ \textbf{u}_{\textbf{x}} \circ (\textbf{c} \hspace{0.05cm} p) = \\ \langle \textbf{c} \hspace{0.05cm} p, (\textbf{c} \hspace{0.05cm} q) \rangle_{1} \circ \textbf{u}_{\textbf{x}} \circ (\textbf{c} \hspace{0.05cm} p) = \\ \langle \textbf{c} \hspace{0.05cm} p, (\textbf{c} \hspace{0.05cm} q) \rangle_{1} \circ \textbf{u}_{\textbf{x}} \circ (\textbf{c} \hspace{0.05cm} p) = \\ \langle \textbf{c} \hspace{0.05cm} p, (\textbf{c} \hspace{0.05cm} q) \rangle_{1} \circ \textbf{u}_{\textbf{x}} \circ (\textbf{c} \hspace{0.05cm} p) = \\ \langle \textbf{c} \hspace{0.05cm} p, (\textbf{c} \hspace{0.05cm} q) \rangle_{1} \circ \textbf{u}_{\textbf{x}} \circ (\textbf{c} \hspace{0.05cm} p) = \\ \langle \textbf{c} \hspace{0.05cm} p, (\textbf{c} \hspace{0.05cm} q) \rangle_{1} \circ \textbf{u}_{\textbf{x}} \circ (\textbf{c} \hspace{0.05cm} p) = \\ \langle \textbf{c} \hspace{0.05cm} p, (\textbf{c} \hspace{0.05cm} q) \rangle_{1} \circ \textbf{u}_{\textbf{x}} \circ (\textbf{c} \hspace{0.05cm} p) = \\ \langle \textbf{c} \hspace{0.05cm} p, (\textbf{c} \hspace{0.05cm} q) \rangle_{1} \circ \textbf{u}_{\textbf{x}} \circ (\textbf{c} \hspace{0.05cm} p) = \\ \langle \textbf{c} \hspace{0.05cm} p, (\textbf{c} \hspace{0.05cm} q) \rangle_{1} \circ \textbf{u}_{\textbf{x}} \circ (\textbf{c} \hspace{0.05cm} p) = \\ \langle \textbf{c} \hspace{0.05cm} p, (\textbf{c} \hspace{0.05cm} q) \rangle_{1} \circ \textbf{u}_{\textbf{x}} \circ (\textbf{c} \hspace{0.05cm} p) = \\ \langle \textbf{c} \hspace{0.05cm} p, (\textbf{c} \hspace{0.05cm} q) \rangle_{1} \circ \textbf{u}_{\textbf{x}} \circ (\textbf{c} \hspace{0.05cm} p) = \\ \langle \textbf{c} \hspace{0.05cm} p, (\textbf{c} \hspace{0.05cm} q) \rangle_{1} \circ \textbf{u}_{\textbf{x}} \circ (\textbf{c} \hspace{0.05cm} p) = \\ \langle \textbf{c} \hspace{0.05cm} p, (\textbf{c} \hspace{0.05cm} q) \rangle_{1} \circ \textbf{u}_{\textbf{x}} \circ (\textbf{c} \hspace{0.05cm} p) = \\ \langle \textbf{c} \hspace{0.05cm} p, (\textbf{c} \hspace{0.05cm} q) \rangle_{1} \circ \textbf{u}_{\textbf{x}} \circ (\textbf{c} \hspace{0.05cm} p) = \\ \langle \textbf{c} \hspace{0.05cm} p, (\textbf{c} \hspace{0.05cm} q) \rangle_{1} \circ \textbf{u}_{\textbf{x}} \circ (\textbf{c} \hspace{0.05cm} p) = \\ \langle \textbf{c} \hspace{0.05cm} p, (\textbf{c} \hspace{0.05cm} q) \rangle_{1} \circ \textbf{u}_{\textbf{x}} \circ (\textbf{c} \hspace{0.05cm} p) = \\ \langle \textbf{c} \hspace{0.05cm} p, (\textbf{c} \hspace{0.05cm} q) \rangle_{1} \circ \textbf{u}_{\textbf{x}} \circ (\textbf{c} \hspace{0.05cm} p) = \\ \langle \textbf{c} \hspace{0.05cm} p, (\textbf{c} \hspace{0.05cm} q) \rangle_{1} \circ \textbf{u}_{\textbf{x}} \circ (\textbf{c} \hspace{0.05cm} p) = \\ \langle \textbf{c} \hspace{0.05cm} p, (\textbf{c} \hspace{0.05cm} q) \rangle_{1} \circ \textbf{u}_{\textbf{x}} \circ (\textbf{c} \hspace{0.05cm} p) = \\ \langle \textbf{c} \hspace{0.05cm} p, (\textbf{c} \hspace{0.05cm} q) \rangle_{1} \circ \textbf{u}_{\textbf{x}} \circ (\textbf{c} \hspace{0.05cm} p) = \\ \langle \textbf{c} \hspace{0.05cm} p, (\textbf{c} \hspace{0.05cm} q) \rangle_{1} \circ \textbf{u}_{\textbf{x}} \circ (\textbf{c} \hspace{0.05cm} p) = \\ \langle \textbf{c} \hspace{0.05cm} p, (\textbf{c} \hspace{0.05cm} q) \rangle_{1} \circ \textbf{u}_{\textbf{x}} \circ (\textbf{c} \hspace{0.05cm} p) = \\ \langle \textbf{c} \hspace{0$

Figure 17: Primitive properties of the state: IMP+Exc specific

Remark 6.4. Below, we state three lemme using the IMP+Exc notation introduced in Figures 2 and 6. However, we introduce a new set of notations for the Coq encoding to increase the readability score even a little: browse this set of notations here where, i.e., the assign command is denoted by '::=' while the sequence command by ';;'. These notations do not appear through out the paper, but might be of help in reading the lemma statements in the Coq encoding. Notice also that they are not so pretty, due to the fact that Coq internally reserves prettier notations for other issues.

**Lemma 6.5.** For all exceptionally pure commands  $f, g \in \text{cmd} \setminus \{\text{THROW}, \text{TRY/CATCH}\}\$ and  $b \in \{\text{true}, \text{false}\}\$ if program pieces prog1 and prog2 are given as in the following listings, then  $dCmd (prog1) \equiv dCmd (prog2)$ .

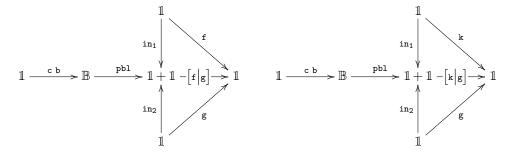
```
Listing 1: prog1

/* prog1 */
if b then f else g;

Listing 2: prog2

/* prog2 */
if b then (if b then f else g)
else g;
```

**Proof:** We sketch the diagrams of both programs below:



where k = (if b then f else g). The statement we would like to prove is

$$[f|g]_1 \circ pbl \circ c b \equiv [k|g]_1 \circ pbl \circ c b. \tag{1}$$

Using the decorated rules of the logic  $\mathcal{L}_{st+exc}$ , in the below given order, our aim is to simplify both sides of the statement into the same shape. The proof proceeds by a case analysis on b.

If b = false, by unfolding the definitions of pbl and (c false), we have

$$\left[ \mathbf{f} \middle| \mathbf{g} \right]_1 \circ \mathbf{t} \; (\mathtt{bool\_to\_two}) \circ \mathbf{t} \; (\lambda \mathbf{x} : \mathtt{unit.false}) \equiv \left[ \mathbf{k} \middle| \mathbf{g} \right]_1 \circ \mathbf{t} \; (\mathtt{bool\_to\_two}) \circ \mathbf{t} \; (\lambda \mathbf{x} : \mathtt{unit.false}).$$

We rewrite (tcomp), and get

$$\left[\mathbf{f} \middle| \mathbf{g}\right]_1 \circ \mathbf{t} \; (\lambda \mathbf{x} : \mathtt{unit.bool\_to\_two} \; \mathtt{false}) \equiv \left[\mathbf{k} \middle| \mathbf{g}\right]_1 \circ \mathbf{t} \; (\lambda \mathbf{x} : \mathtt{unit.bool\_two} \; \mathtt{false}). \tag{3}$$

Now, we cut

$$t (\lambda x: unit.bool\_to\_two false) \equiv \equiv in_2$$
 (4)

and rewrite it back in the goal. So that we obtain

$$[f|g], \circ in_2 \equiv [k|g], \circ in_2. \tag{5}$$

Then, we use (s\_lcopair\_eq), and finally have  $g \equiv g$ . It remains to show that the cut statement in Equation 4 is true. By simplifying t  $(\lambda x : unit.bool_to_two false)$  and unfolding in<sub>2</sub>, we have

$$t (\lambda x : unit.inr x) \equiv \equiv (t inr). \tag{6}$$

Now, we apply (imp<sub>6</sub>) and get

$$\forall x : unit, inr x = inr x \tag{7}$$

which is trivial since the Leibniz equality '=' is reflexive.

If b = true, by following above procedure with true (instead of false) we first handle

$$[f|g]_1 \circ in_1 \equiv \equiv [k|g]_1 \circ in_1 \tag{8}$$

and then freely convert  $\equiv \equiv$  into  $\equiv \sim$ . There, rewriting the rule (w\_lcopair\_eq) yields  $f \equiv \sim k$ . We unfold k with b = true and get

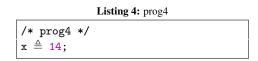
$$f \equiv \sim [f|g]_1 \circ in_1. \tag{9}$$

Now by rewriting (w\_lcopair\_eq), we have  $f \equiv \sim f$ , which is again trivial, since  $\equiv \sim$  is reflexive.

**Lemma 6.6.** For all x : Loc, if program pieces prog3 and prog4 are given as in the following listings, then  $dCmd (prog3) \equiv dCmd (prog4)$ .

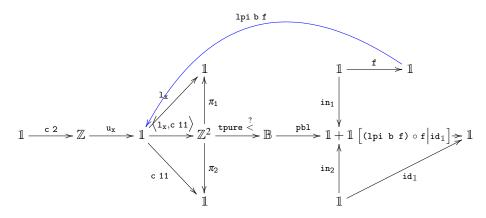
#### Listing 3: prog3

```
/* prog3 */
|x \u222 2;
|while (x \u222 11)
| do (x \u222 x + 4);
```



**Proof:** In the proof structure, for prog3, we first deal with the pre-loop assignments and the looping pre-condition. Since it evaluates into *true*, in the second step we identify things related to the first loop iteration. The third step primarily studies the second and then the third loop iterations after which the looping pre-condition switches to *false*. Finally, we explain the program termination and show that prog3 does exactly the same state manipulation with prog4. Note also that we do not need to check the results they returned, since all IMP+Exc commands, thus programs, return void: U.

Below is the sketch of prog3:



where  $f = (x \triangleq x + 4)$  and b = (x < 11). Using the decorated rules of the logic  $\mathcal{L}_{st+exc}$ , given in the below order, we simplify this diagram into

$$\mathbb{1} \xrightarrow{\text{c } 14} \mathbb{Z} \xrightarrow{\text{u}_{X}} \mathbb{1}$$

which is actually prog4 when sketched.

#### 1. Initially, we have

$$[(\mathtt{lpi}\,\mathtt{b}\,\mathtt{f}) \circ \mathtt{f} | \mathtt{id}_1] \circ \mathtt{pbl} \circ (\mathtt{t} \overset{?}{<}) \circ \langle \mathtt{l}_\mathtt{x}, (\mathtt{c}\,\mathtt{11}) \rangle \circ \mathtt{u}_\mathtt{x} \circ (\mathtt{c}\,\mathtt{2}) \equiv \equiv \mathtt{u}_\mathtt{x} \circ (\mathtt{c}\,\mathtt{14}).$$

Let us simplify it as far as possible. By using commutation - lookup - constant - update (see Figure 17), we obtain

Since the looping pre-condition (t  $\stackrel{?}{<}$ )  $\circ$   $\langle$  (c 2),(c 11) $\rangle$  evaluates into (c *true*) due to (imp<sub>3</sub>), we have

$$[(lpibf) \circ f|id_1] \circ pbl \circ (c true) \circ u_x \circ (c 2) \equiv u_x \circ (c 14). \tag{12}$$

By rewriting the Lemma 6.2, we get

$$[(lpi b f) \circ f|id_1] \circ in_1 \circ u_x \circ (c 2) \equiv u_x \circ (c 14). \tag{13}$$

Here, we first convert  $\equiv \equiv$  into  $\equiv \sim$  then rewrite (w\_lcopair\_eq), and end up with

$$(1pi b f) \circ f \circ u_{x} \circ (c 2) \equiv \sim u_{x} \circ (c 14)$$

$$(14)$$

which unfolds into

$$(\text{lpi b f}) \circ u_x \circ (t+) \circ \langle 1_x, c 4 \rangle \circ u_x \circ (c 2) \equiv \sim u_x \circ (c 14). \tag{15}$$

Since, there is no exceptional case, we are freely back to ≡≡. By rewriting commutation −lookup −constant −update, we obtain

$$(lpibf) \circ u_x \circ (t+) \circ \langle c2, c4 \rangle \circ u_x \circ (c2) \equiv u_x \circ (c14). \tag{16}$$

The rule (imp<sub>1</sub>) gives

$$(1pi b f) \circ u_{x} \circ (c 6) \circ u_{x} \circ (c 2) \equiv u_{x} \circ (c 14). \tag{17}$$

Now, by rewriting the lemma interaction-update-update (see Figure 17), we get

$$(lpi b f) \circ u_x \circ (c 6) \equiv \equiv u_x \circ (c 14). \tag{18}$$

2. For the second loop iteration, rewriting (imp-li) gives

$$[(lpi b f) \circ f | id_1] \circ pbl \circ (t \stackrel{?}{<}) \circ \langle l_x, (c 11) \rangle \circ u_x \circ (c 6) \equiv u_x \circ (c 14).$$
 (19)

where looping pre-condition evaluates into (c *true*). Therefore, we iterate the above procedure, given in the step 1, once again and, derive

$$(\texttt{lpi} \ \texttt{b} \ \texttt{f}) \circ \texttt{u}_{\texttt{x}} \circ (\texttt{c} \ \texttt{10}) \equiv \equiv \texttt{u}_{\texttt{x}} \circ (\texttt{c} \ \texttt{14}). \tag{20}$$

3. In the third iteration, rewriting the (imp-li) gives

$$[(lpi b f) \circ f | id_1] \circ pbl \circ (t \stackrel{?}{<}) \circ \langle l_x, (c 11) \rangle \circ u_x \circ (c 10) \equiv u_x \circ (c 14).$$
 (21)

As in step 2, the looping pre-condition evaluates into (c true) forcing us to iterate the above procedure, given in the step 1, once again which results in

$$(1pi b f) \circ u_x \circ (c 14) \equiv u_x \circ (c 14). \tag{22}$$

4. In the fourth step, rewriting the (imp-li) gives

$$\left[ (\text{lpi b f}) \circ f \middle| \text{id}_{1} \right] \circ \text{pbl} \circ \left( t \stackrel{?}{<} \right) \circ \left\langle l_{x}, (\text{c 11}) \right\rangle \circ u_{x} \circ \left( \text{c 14} \right) \equiv u_{x} \circ \left( \text{c 14} \right). \tag{23}$$

By rewriting commutation -lookup -constant -update, we obtain

$$[(\text{lpi b f}) \circ f | \text{id}_1] \circ \text{pbl} \circ (\text{t} \stackrel{?}{<}) \circ \langle (\text{c 14}), (\text{c 11}) \rangle \circ u_x \circ (\text{c 14}) \equiv u_x \circ (\text{c 14}).$$

Finally here, the looping pre-condition (t  $\stackrel{?}{<}$ ) $\circ$ (c 14),(c 11) $\rangle$  evaluates into (c false) which reduces into

$$\left[ (\texttt{lpi b f}) \circ \mathsf{f} \middle| \mathtt{id}_{1} \right] \circ \mathsf{pbl} \circ (\mathsf{c} \ \mathit{false}) \circ \mathsf{u}_{x} \circ (\mathsf{c} \ \mathsf{14}) \equiv \equiv \mathsf{u}_{x} \circ (\mathsf{c} \ \mathsf{14}). \tag{25}$$

We rewrite the Lemma 6.1, and get

$$\left[ (\text{lpi b f}) \circ f \middle| \text{id}_{1} \right] \circ \text{in}_{2} \circ u_{x} \circ (\text{c 14}) \equiv \equiv u_{x} \circ (\text{c 14}). \tag{26}$$

Now, we rewrite (s\_lcopair\_eq), and handle

$$id_{1} \circ u_{x} \circ (c 14) \equiv \equiv u_{x} \circ (c 14) \tag{27}$$

which is true, since the identity term disappears when to compose and  $\equiv \equiv$  is reflexive.

**Lemma 6.7.** For each x y : Loc, e : EName, if program pieces prog5 and prog6 are given as in the following listings, then dCmd (prog5)  $\equiv \equiv dCmd$  (prog6).

Listing 5: prog5

```
/* prog3 */
x \triangleq 1;
y \triangleq 20;
TRY(

while (true)
do

( if (x <= 0) then (THROW e)
else x \triangleq x - 1
)
) CATCH e \Rightarrow (y \triangleq 7);
```

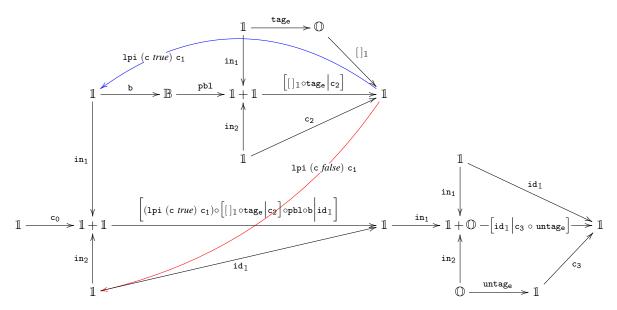
```
Listing 6: prog6

/* prog4 */

x \triangleq 0;

y \triangleq 7;
```

**Proof:** In the proof structure, we first tackle with the downcast operator. The second task is to deal with the first loop iteration which has the state but no exception effect. In the third, we study the second iteration of the loop where an exception is thrown. Finally, in the fourth step, we explain the loop termination followed by the exception recovery and the program termination. Below is the sketch of prog5:



where  $b=(x\stackrel{?}{\leq}0),\ c_0=(x\triangleq 0;y\triangleq 20),\ c_1=(if\ (x\stackrel{?}{\leq}0)\ then\ (THROW\ e)\ else\ (x\triangleq x-1)),\ c_2=(x\triangleq x-1)$  and  $c_3=(y\triangleq 7).$  Using the rules of the logic  $\mathscr{L}_{\mathit{st+exc}},$  we reduce it into

$$\mathbb{1} \xrightarrow{\text{ c 0 }} \mathbb{Z} \xrightarrow{u_{x}} \mathbb{1} \xrightarrow{\text{ c 7 }} \mathbb{Z} \xrightarrow{u_{y}} \mathbb{1}$$

which is actually the prog6 when sketched.

1. Initially, we have

$$\downarrow \left( \left[ \mathrm{id}_{\mathbb{I}} \left| c_{3} \circ \mathrm{untag}_{e} \right] \circ \mathrm{in}_{1} \circ \left[ \left( \mathrm{1pi} \left( c \, \mathit{true} \right) \, c_{1} \right) \circ \left[ \left[ \right]_{\mathbb{I}} \circ \mathrm{tag}_{e} \left| c_{2} \right] \circ \mathrm{pbl} \circ b \right| \mathrm{id}_{\mathbb{I}} \right] \circ \left( c \, \mathit{true} \right) \right) \\ \circ u_{v} \circ \left( c \, 20 \right) \circ u_{x} \circ \left( c \, 1 \right) \equiv \equiv u_{v} \circ \left( c \, 7 \right) \circ u_{x} \circ \left( c \, 0 \right). \tag{28}$$

We first convert  $\equiv \equiv$  into  $\equiv \sim$ , then rewrite the (w\_downcast) rule and get

$$\left[ id_{1} \middle| c_{3} \circ untag_{e} \right] \circ in_{1} \circ \left[ (1pi (c true) c_{1}) \circ \left[ \left[ \right]_{1} \circ tag_{e} \middle| c_{2} \right] \circ pbl \circ b \middle| id_{1} \right]$$

$$\circ (c true) \circ u_{y} \circ (c 20) \circ u_{x} \circ (c 1) \equiv \sim u_{y} \circ (c 7) \circ u_{x} \circ (c 0).$$
 (29)

Rewriting commutation-update-update, on both sides, gives

$$\begin{split} \left[ \mathrm{id}_{\mathbb{I}} \left| \mathsf{c}_3 \circ \mathsf{untag}_{\mathsf{e}} \right] \circ \mathrm{in}_1 \circ \left[ \left( \mathrm{1pi} \; \left( \mathsf{c} \; \mathit{true} \right) \; \mathsf{c}_1 \right) \circ \left[ \left[ \; \right]_{\mathbb{I}} \circ \mathsf{tag}_{\mathsf{e}} \left| \mathsf{c}_2 \right] \circ \mathsf{pbl} \circ \mathsf{b} \right| \mathrm{id}_{\mathbb{I}} \right] \\ & \circ \left( \mathsf{c} \; \mathit{true} \right) \circ \mathsf{u}_{\mathsf{x}} \circ \left( \mathsf{c} \; 1 \right) \circ \mathsf{u}_{\mathsf{y}} \circ \left( \mathsf{c} \; 20 \right) \equiv \sim \mathsf{u}_{\mathsf{x}} \circ \left( \mathsf{c} \; 0 \right) \circ \mathsf{u}_{\mathsf{y}} \circ \left( \mathsf{c} \; 7 \right). \end{split} \tag{30}$$

2. Now; we rewrite the rule (w\_lcopair\_eq), and handle

$$\begin{aligned} \left[ \mathrm{id}_{1} \left| \mathsf{c}_{3} \circ \mathrm{untag}_{\mathsf{e}} \right] \circ \mathrm{in}_{1} \circ \left( \mathrm{lpi} \left( \mathsf{c} \ \mathit{true} \right) \, \mathsf{c}_{1} \right) \circ \left[ \left[ \right]_{1} \circ \mathsf{tag}_{\mathsf{e}} \right| \mathsf{c}_{2} \right] \\ \circ \mathsf{pbl} \circ \mathsf{b} \circ \mathsf{u}_{x} \circ \left( \mathsf{c} \ 1 \right) \circ \mathsf{u}_{y} \circ \left( \mathsf{c} \ 20 \right) \equiv \sim \mathsf{u}_{x} \circ \left( \mathsf{c} \ 0 \right). \end{aligned} \tag{31}$$

By unfolding b, we have

$$\begin{aligned} \left[ \operatorname{id}_{1} \middle| c_{3} \circ \operatorname{untag}_{e} \right] \circ \operatorname{in}_{1} \circ \left( \operatorname{lpi} \left( \operatorname{c} \mathit{true} \right) c_{1} \right) \circ \left[ \left[ \right]_{1} \circ \operatorname{tag}_{e} \middle| c_{2} \right] \\ \circ \operatorname{pbl} \circ \left( \operatorname{t} \stackrel{?}{\leq} \right) \circ \left\langle 1_{x} \left( \operatorname{c} 0 \right) \right\rangle \circ \operatorname{u}_{x} \circ \left( \operatorname{c} 1 \right) \circ \operatorname{u}_{y} \circ \left( \operatorname{c} 20 \right) \equiv \sim \operatorname{u}_{x} \circ \left( \operatorname{c} 0 \right) \circ \operatorname{u}_{y} \circ \left( \operatorname{c} 7 \right). \end{aligned} \tag{32}$$

Rewriting the lemma commutation—lookup—constant—update, we obtain

$$\begin{split} \big[ \mathrm{id}_1 \, \Big| \, c_3 \circ \mathrm{untag}_e \big] &\circ \mathrm{in}_1 \circ (\mathrm{lpi} \, \left( \mathrm{c} \, \mathit{true} \right) \, c_1 ) \circ \big[ \big[ \, \big]_1 \circ \mathrm{tag}_e \big| \, c_2 \big] \\ &\circ \mathrm{pbl} \circ \left( \mathrm{t} \stackrel{?}{\leq} \right) \circ \left\langle (\mathrm{c} \, 1), (\mathrm{c} \, 0) \right\rangle \circ \mathrm{u}_{\mathrm{x}} \circ \left( \mathrm{c} \, 1 \right) \circ \mathrm{u}_{\mathrm{y}} \circ \left( \mathrm{c} \, 20 \right) \equiv &\sim \mathrm{u}_{\mathrm{x}} \circ \left( \mathrm{c} \, 0 \right) \circ \mathrm{u}_{\mathrm{y}} \circ \left( \mathrm{c} \, 7 \right). \end{split} \tag{33}$$

We rewrite the rule (imp<sub>2</sub>), and get

$$\begin{aligned} \left[ \mathrm{id}_{1} \left| \mathsf{c}_{3} \circ \mathrm{untag}_{e} \right| \circ \mathrm{in}_{1} \circ \left( \mathrm{lpi} \left( \mathsf{c} \ \mathit{true} \right) \right) \right. \\ & \circ \left[ \left[ \left. \right]_{1} \circ \mathrm{tag}_{e} \left| \mathsf{c}_{2} \right| \circ \mathrm{pbl} \circ \left( \mathsf{c} \ \mathit{false} \right) \circ \mathsf{u}_{x} \circ \left( \mathsf{c} \ 1 \right) \circ \mathsf{u}_{y} \circ \left( \mathsf{c} \ 20 \right) \right. \\ & = \sim \mathsf{u}_{x} \circ \left( \mathsf{c} \ 0 \right) \circ \mathsf{u}_{y} . \circ \left( \mathsf{c} \ 7 \right). \end{aligned} \tag{34}$$

Rewriting the Lemma 6.1 yields

$$\begin{split} \left[ \operatorname{id}_{1} \middle| c_{3} \circ \operatorname{untag}_{e} \right] &\circ \operatorname{in}_{1} \circ \left( \operatorname{1pi} \; \left( c \; \mathit{true} \right) \; c_{1} \right) \\ &\circ \left[ \left[ \; \right]_{1} \circ \operatorname{tag}_{e} \middle| c_{2} \right] \circ \operatorname{in}_{2} \circ \operatorname{u}_{x} \circ \left( c \; 1 \right) \circ \operatorname{u}_{y} \circ \left( c \; 20 \right) \equiv \sim \operatorname{u}_{x} \circ \left( c \; 0 \right) \circ \operatorname{u}_{y} . \circ \left( c \; 7 \right). \end{aligned} \tag{35}$$

We now rewrite (s\_lcopair\_eq) which gives

$$\left[ id_1 \middle| c_3 \circ untag_e \right] \circ in_1 \circ \left( 1pi \left( c \text{ true} \right) c_1 \right)$$

$$\circ c_2 \circ u_x \circ \left( c \ 1 \right) \circ u_y \circ \left( c \ 20 \right) \equiv \sim u_x \circ \left( c \ 0 \right) \circ u_y \circ \left( c \ 7 \right).$$
 (36)

Here, by unfolding  $c_2$ , we have

$$\begin{aligned} \left[ \mathrm{id}_{1} \left| c_{3} \circ \mathrm{untag}_{e} \right] \circ \mathrm{in}_{1} \circ \left( \mathrm{lpi} \left( c \, \mathit{true} \right) \, c_{1} \right) \circ u_{x} \circ \left( t \, - \right) \circ \left\langle 1_{x}, \left( c \, 1 \right) \right\rangle \\ \circ u_{x} \circ \left( c \, 1 \right) \circ u_{y} \circ \left( c \, 20 \right) \equiv & \sim u_{x} \circ \left( c \, 0 \right) \circ u_{y} \circ \left( c \, 7 \right). \end{aligned} \tag{37}$$

Rewriting the lemma commutation - lookup - constant - update gives

$$\left[ id_{1} \middle| c_{3} \circ untag_{e} \right] \circ in_{1} \circ \left( lpi \left( c \text{ true} \right) c_{1} \right) \circ u_{x} \circ \left( t - \right) \circ \left\langle \left( c \ 1 \right), \left( c \ 1 \right) \right\rangle$$

$$\circ u_{x} \circ \left( c \ 1 \right) \circ u_{y} \circ \left( c \ 20 \right) \equiv \sim u_{x} \circ \left( c \ 0 \right) \circ u_{y} \circ 0 \left( c \ 7 \right).$$
 (38)

We rewrite (imp<sub>1</sub>), and get

We again rewrite the lemma commutation-update-update, and obtain

$$\left[ id_1 \middle| c_3 \circ untag_e \right] \circ in_1 \circ \left( 1pi \left( c \, true \right) \, c_1 \right) \circ u_x \circ \left( c \, 0 \right)$$

$$\circ u_y \circ \left( c \, 20 \right) \equiv \sim u_y \circ \left( c \, 0 \right) \circ u_y \circ \left( c \, 7 \right).$$
 (40)

3. We re-iterate the loop via (imp-li), and have

$$\left[ id_1 \middle| c_3 \circ untag_e \right] \circ in_1 \circ \left[ \left( lpi \left( c \, true \right) c_1 \right) \circ c_1 \middle| id \right]$$

$$\circ \left( c \, true \right) \circ u_x \circ \left( c \, 0 \right) \circ u_y \circ \left( c \, 20 \right) \equiv \sim u_x \circ \left( c \, 0 \right) \circ u_y \circ \left( c \, 7 \right).$$
 (41)

We rewrite (w\_lcopair\_eq), unfold c<sub>1</sub>, and get:

$$\begin{split} \left[ \operatorname{id}_{\mathbb{I}} \left| c_{3} \circ \operatorname{untag}_{e} \right] \circ \operatorname{in}_{1} \circ \left( \operatorname{lpi} \left( c \; \textit{true} \right) \; c_{1} \right) \circ \left[ \operatorname{throw} e \; \mathbb{1} \left| c_{2} \right] \right. \\ \left. \circ \operatorname{pbl} \circ \left( t \; \overset{?}{\leq} \right) \circ \left\langle \mathbf{1}_{x}, (c \; 0) \right\rangle \circ u_{x} \circ (c \; 0) \circ u_{y} \circ (c \; 20) \equiv \sim u_{x} \circ (c \; 0) \circ u_{y} \circ (c \; 20). \end{split} \right. \tag{42}$$

By rewriting commutation—lookup—constant—update, (imp<sub>3</sub>) and Lemma 6.2 the comparison yields in  $in_1$ ; therefore we have

$$\begin{bmatrix} \operatorname{id}_{1} \middle| c_{3} \circ \operatorname{untag}_{e} \end{bmatrix} \circ \operatorname{in}_{1} \circ (\operatorname{1pi} (c \operatorname{true}) c_{1}) \circ \begin{bmatrix} \operatorname{throw} e \ 1 \middle| c_{2} \end{bmatrix} \circ \operatorname{in}_{1} \\ \circ u_{x} \circ (c \ 0) \circ u_{y} \circ (c \ 20) \equiv \sim u_{x} \circ (c \ 0) \circ u_{y} \circ (c \ 20). \tag{43}$$

By (w\_lcopair\_eq), the exception is thrown:

$$\left[ \operatorname{id}_{1} \middle| c_{3} \circ \operatorname{untag}_{e} \right] \circ \operatorname{in}_{1} \circ \left( \left( \operatorname{lpi} \left( c \, \textit{true} \right) \, c_{1} \right) \circ \operatorname{throw} e \, 1 \right) \\ \circ u_{x} \circ \left( c \, 0 \right) \circ u_{y} \circ \left( c \, 20 \right) \equiv \sim u_{x} \circ \left( c \, 0 \right) \circ u_{y} \circ \left( c \, 20 \right).$$
 (44)

Now; we first unfold THROW and rewrite propagator-propagates (see Section 5.1), and get

$$\left[\mathrm{id}_{1}\left|c_{3}\circ\mathrm{untag}_{e}\right]\circ\mathrm{in}_{1}\circ\left[\,\right]_{1}\circ\mathrm{tag}_{e}\circ\mathrm{u}_{x}\circ\left(c\;0\right)\circ\mathrm{u}_{y}\circ\left(c\;20\right)\equiv\sim\mathrm{u}_{x}\circ\left(c\;0\right)\circ\mathrm{u}_{y}\circ\left(c\;20\right).\tag{45}$$

4. Here, we first cut  $in_1 \circ []_1 \equiv \equiv in_2$ , and rewrite it back in the equation. Thus, we have

$$\left[\operatorname{id}_{1}\left|c_{3}\circ\operatorname{untag}_{e}\right]\circ\operatorname{in}_{2}\circ\operatorname{tag}_{e}\circ\operatorname{u}_{x}\circ\left(c\ 0\right)\circ\operatorname{u}_{y}\circ\left(c\ 20\right)\equiv\sim\operatorname{u}_{x}\circ\left(c\ 0\right)\circ\operatorname{u}_{y}\circ\left(c\ 7\right).\right. \tag{46}$$

By (s\_lcopair\_eq),

$$c_3 \circ \text{untag}_{\circ} \circ tag_{\circ} \circ u_x \circ (c \ 0) \circ u_y \circ (c \ 20) \equiv \sim u_x \circ (c \ 0) \circ u_y \circ (c \ 7). \tag{47}$$

Since  $u_x \circ (c \ 0) \circ u_y \circ (c \ 20)$  is pure with respect to the exception, we rewrite (eax<sub>1</sub>), and get

$$c_3 \circ u_x \circ (c \ 0) \circ u_y \circ (c \ 20) \equiv \sim u_x \circ (c \ 0) \circ u_y \circ (c \ 7). \tag{48}$$

Since  $c_3 = (u_v \circ (c 7))$ , we have

$$\mathbf{u}_{\mathbf{v}} \circ (\mathbf{c} \ 7) \circ \mathbf{u}_{\mathbf{x}} \circ (\mathbf{c} \ 0) \circ \mathbf{u}_{\mathbf{v}} \circ (\mathbf{c} \ 20) \equiv \sim \mathbf{u}_{\mathbf{x}} \circ (\mathbf{c} \ 0) \circ \mathbf{u}_{\mathbf{v}} \circ (\mathbf{c} \ 7). \tag{49}$$

We now rewrite commutation—update—update on the left, and handle

$$\mathbf{u}_{\mathbf{x}} \circ (\mathbf{c} \ \mathbf{0}) \circ \mathbf{u}_{\mathbf{y}} \circ (\mathbf{c} \ \mathbf{7}) \circ \mathbf{u}_{\mathbf{y}} \circ (\mathbf{c} \ \mathbf{20}) \equiv \sim \mathbf{u}_{\mathbf{x}} \circ (\mathbf{c} \ \mathbf{0}) \circ \mathbf{u}_{\mathbf{y}} \circ (\mathbf{c} \ \mathbf{7}). \tag{50}$$

Finally, it suffices to rewrite interaction—update—update,

$$\mathbf{u}_{\mathbf{x}} \circ (\mathbf{c} \ \mathbf{0}) \circ \mathbf{u}_{\mathbf{v}} \circ (\mathbf{c} \ \mathbf{7}) \equiv \sim \mathbf{u}_{\mathbf{x}} \circ (\mathbf{c} \ \mathbf{0}) \circ \mathbf{u}_{\mathbf{v}} \circ (\mathbf{c} \ \mathbf{7}). \tag{51}$$

It still remains to prove the previous cut  $in_1 \circ []_1 \equiv \equiv in_2$ : since everything is pure with respect to the exception, we have

$$in_1 \circ []_{1} \equiv \sim in_2. \tag{52}$$

Now, rewriting the rule (w\_empty) suffices to have 
$$[]_{1+1} \equiv \sim []_{1+1}$$
.

The full Coq proofs of above lemmas can be found here, and the entire implementation there.

## 7 Concluding remarks

We have presented frameworks for formalizing the treatment of the state and the exception effects, first separately, and then combined, using the decorated logic. Decorations describe what computational effect evaluation of a term may involve, and form a bridge between the syntax and its interpretation in reasoning about terms by making computational effects explicit in the decorated syntax. We have designed a denotational semantics for the IMP+Exc language using the combined decorated logic  $\mathcal{L}_{st+exc}$  as the target language. This way, we managed prove strong equalities between IMP+Exc programs. We have also encoded the combined logic in the Coq proof assistant to certify related proofs.

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