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# Kinetics Oxidation of Heavy Oil. 2. Application of Genetic Algorithm for Evaluation of Kinetic Parameters

Alexandre Lapene,\*, $^{\dagger}$ , $^{\ddagger}$  Gérald Debenest, $^{\$}$  Michel Quintard, $^{\$,\perp}$  Louis M. Castanier, $^{\dagger}$  Margot G. Gerritsen, $^{\dagger}$  and Anthony R. Kovscek $^{\dagger}$ 

ABSTRACT: In-situ combustion (ISC) is the process of injecting air into oil reservoirs to oxidize part of the crude oil and has been utilized for both light oil and heavy oil. The viscosity of the remaining crude oil is reduced by the significant heat generated from combustion reactions, which contributes to enhanced oil recovery. In the first paper in this series [Lapene et al., *Energy Fuels* 2011, 25, 4886–4895], we developed a new method to interpret ramped temperature oxidation (RTO) experiments, using a reactor model based on a compositional and full equation-of-state approach. In this work, we use this RTO reactor model, coupled with an optimization tool, to determine the optimal kinetic parameters for an extra heavy oil reservoir. Kinetic parameters are commonly determined using analytical methods and limited data. Typically, only one type of observational data (for example, oxygen consumption) is used from one experiment. Here, we use two series of experiments data—namely, CO<sub>2</sub> and O<sub>2</sub> concentrations—and a multiobjective approach to obtain kinetic parameters for the different combustion reactions. Finally, we obtain a set of possible kinetic schemes, accouting for all mechanisms, such as reactions, phase changes, and transport processes.

### ■ INTRODUCTION

The reaction kinetics for heavy oil combustion has been studied for several decades. In early work, the reaction models were derived mostly based on the controlled heating and combustion of a small sample of oil, 1-4 either under constant temperature or in ramped temperature oxidation (RTO). The flue gas is analyzed to determine the concentrations of carbon dioxide, carbon monoxide, and oxygen from which kinetic parameters can be deduced. Most early studies limited the oxidation reactions to low-temperature oxidation (LTO) and high-temperature oxidation (HTO). 5-7 Improved understanding of LTO and HTO reactions was provided in the report by Moore. 8 Oxidation reactions were shown to be oil-dependent, and a clear separation between LTO and HTO was observed, in terms of temperature range, with an observed decreased oxygen consumption between the LTO and HTO temperature regions, which is now known as the negative temperature gradient region.

The LTO reactions, in most cases, produce oxygenated compounds, such as carboxylic acids, aldehydes, ketones, alcohols and hyperoxides, but they can also give carbon oxide gases and water. The HTO reactions essentially produce carbon monoxide, carbon dioxide, and water through the combustion of fuel deposited in lower-temperature regimes. Bousaid and Ramey¹ considered this fuel to be a solid resembling carbon (i.e, coke), formed via the pyrolysis of crude oil. Other researchers<sup>7,9</sup> believed that the fuel mainly consists of heavy low-volatility hydrocarbon fractions that are left behind by distillation. The exact reaction mechanisms are still under strong debate, complicated by the fact that the ISC combustion behavior strongly varies between oils, as well as reservoir

conditions, such as the presence of clay or metallic salt in the reservoir rock.  $^{10}$ 

Recently, we classified and analyzed all kinetic experiments available in the literature, and we argued that kinetic cell experiments are well-suited to guide the selection of kinetic parameters. 11 However, the optimal way to derive kinetic parameters from the experiments is still an open question. In 1984, Fassihi et al. 12 proposed using an analytical model. Under various assumptions and experimental conditions that are not always possible to realize, kinetic parameters can be found by graphic integration. Apart from the challenge in meeting all necessary conditions, classical methods also involve just the oxygen signal in just one experimental setting. The isoconversional method proposed by Cinar et al. 13 offers an approach to effectively include more than one experimental setup (varying heating rates) and gives the apparent activation energy as a function of temperature, which is an improvement. But classical methods also do not take into account the behavior of other gases, which is often necessary (see, for instance, Khansari et al. 14). For instance, reactions occurring during oil combustion involve oxygen addition reactions as well as classical oxidation reactions. The only way to distinguish oxygen addition reactions from classical oxidation reactions is to look at carbon monoxide and carbon dioxide production, because these are not produced by oxygen addition reactions.

In this work, our objective is to develop a more general approach that uses multiobjective optimization to examine a

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variety of experimental data, as well as experimental settings, which we believe is necessary to improve the quality of the kinetic models. In the examples presented, we take into account oxygen production data and carbon dioxide production data. Both data sources provide unique information about the kinetics. Therefore, the optimization approach must include two independent objective functions. Because the objective functions may have opposing gradient directions, the solution cannot be expected to be unique. Instead, a set of optimal solutions will be found, each leading to a different kinetic model, yet all fitting the given data.

The present study builds on the work presented in our earlier work, <sup>11</sup> in which a new simulator was described that is specially designed for kinetic cell experiments. Here, we use this simulator in a multiobjective genetic algorithm to derive optimal kinetic parameters. As an example of its efficiency, we applied the new proposed method on a real case. Two objective functions built with oxygen and carbon dioxide evolution are chosen. Experimental data are provided from a previous paper. <sup>15</sup>

### MULTIOBJECTIVE GENETIC ALGORITHM FOR KINETIC STUDY

Multiobjective Optimization. Historically, optimization techniques first treated multiobjective problems by combining the individual objectives into one, either through a weighted sum<sup>16</sup> or, when possible, by transforming one of the objective functions into a single response function constrained by the others. This allows for the use of a classical single-objective optimization algorithm to treat the multiobjective problem. However, such approaches provide only one solution, whereas in the case of multiobjective optimization, more solutions may exist. Another complication is that the optimal solutions for the individual objective functions may vary. Therefore, the best solution, or solutions, are not optimal for each and every objective function. In this work, we find solutions that are optimal in the sense of Pareto. A solution  $(x^*)$  is a Pareto optimum for a k-objective optimization problem, if, for  $I = \{1, \}$ 2, ..., k} and for all x,

$$\forall i \in I, f_i(x) = f_i(x^*) \quad \text{or} \quad \exists i \in I | f_i(x) > f_i(x^*)$$
 (1)

The optimization process gives rise to a set of optimal solutions. Each solution of this set is optimal: no improvement can be found in one criterion without degrading another. This set of optimal solutions is called the Pareto front. All of these solutions are said to be nondominated. Here, a solution A dominates a solution B if and only if

$$\forall i \in I, f_i(A) \le f_i(B)$$
 and  $\exists i \in I \mid f_i(A) < f_i(B)$  (2)

Figure 1 is an illustration of a Pareto front for a generic two-objective problem.

Earlier, several multiobjective optimization problems in chemical engineering were studied, in the areas of reactions engineering and mass transfers. A detailed review is given in the work of Bhaskar et al. Evolutionary algorithms are the most popular, because the objective functions can be built using black-box numerical codes. In this work, we focus on genetic algorithms. Genetic algorithms are attractive because they do not require gradient information, which means they can be applied to a large range of objective functions and constraints (also see the review of algorithms for multiobjective optimization in the report by Marler and Arora December 20). For a

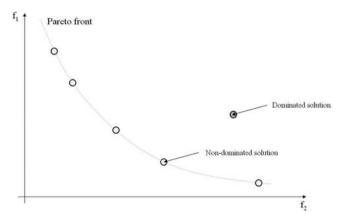


Figure 1. Example of Pareto front on a two-objective problem.

one-objective optimization problem, a gradient-based method could be used. However, we prefer genetic algorithms, because the optimization problems studied here, which rely on experimental and numerical data, typically lead to rough response surfaces. Gradient-based optimization methods do not work well with such surfaces. Besides, gradient-based optimization algorithms are at higher risk of not reaching a global solution, because they can get stuck in local minima. Finally, genetic algorithms are also attractive, because they are inherently parallel.

All genetic algorithms, for both single-objective and multiobjective problems, follow the same global structure:

- (1) A population of *N* individuals is randomly generated. The individuals are characterized by the variables of the problem(s).
- (2) The objective function(s) is (are) evaluated for each individual.
- (3) Some individuals in the population are selected for the next generation. All of them or only a few are modified by crossing-over operations and mutations.
- (4) The process is repeated from step 2, or stopped if a convergence criterion or a given maximum number of generations is reached.

One of the earliest multiobjective genetic algorithms is VEGA (Vector Evaluated Genetic Algorithm).  $^{21}$  In this approach, for a k-objective problem, a population of N individuals is divided in k subpopulations, and each subpopulation is associated with one objective. Populations are then aggregated and crossing-over and mutation operators are applied. To improve on VEGA, and to determine a better coverage of the Pareto front, many other multiobjectives algorithms have been developed, such as the niched Pareto genetic algorithms (NPGA),  $^{22}$  the strength Pareto evolutionary algorithms (SPEA and SPEA2),  $^{23,24}$  the nondominated sorting genetic algorithm (NSGA),  $^{25}$  and the NSGA-II proposed by Deb et al.  $^{26}$ 

NSGA-II is the algorithm that has found the widest application and has undergone the deepest investigation. Compared to its predecessor (NSGA), NSGA-II is more efficient. It uses an elitist approach that allows the best solutions between two generations to be preserved. A special sorting procedure makes it faster and more reliable. Selection is done considering a crowding distance in order to promote odd solutions and avoid niching. Finally, compared to other algorithms, NSGA-II does not require any parameter tweaking. For these reasons, NSGA-II is selected for this study.

**Algorithm Structure.** We choose to use real coding, rather than binary coding, because it is more convenient for data handling and has improved robustness, provided that appropriate crossing-over and mutation operators are used.<sup>27</sup>

The algorithm that we proposed to use for this study is structured as below.

- (1) A population of *N* individuals is randomly generated. It is characterized by kinetic parameters.
- (2) Objective functions for each individual are evaluated by a comparison between experimental and numerical kinetic cell results.
- (3) Sorting and crowding distance calculation are performed as described in Deb et al. 26
- (4) The best *N*/2 individuals of the population are kept for the next generation, based on previous sorting and crowding distance values.
- (5) Two individuals are selected from the remaining population, according to a predefined selection operator, and crossed over to provide two new offsprings. According to a given probability, each offspring may mutate. Step 5 is repeated until the population is again at *N* individuals.
- (6) The process is repeated from step 2, unless the maximum number of iterations is reached.

In the Deb et al. paper,<sup>26</sup> the first generation is produced in a special way, different from the later generations. Here, this is unnecessary, and, hence, we leave it out. An extra advantage of leaving this out is that the algorithm is more versatile: it can address single-objective problems easily by modifying the selection operator and setting the size of the elitist population to one.

**Objective Function.** The objective functions are built considering experimental data  $(D^{\rm E})$  and numerical results  $(D^{\rm N})$  for a given set of parameters (x). For instance, the set of parameters can represent kinetic parameters such as pre-exponential factors and/or activation energies for each reaction. The Euclidian norm is chosen, and so the objective function is defined as

$$\mathcal{F}(x) = \sqrt{\sum_{t} \left[ D^{E}(t_i) - D^{N}(t_i) \right]^2}$$
(3)

with  $N_m$  is the dimension of  $\mathbf{D}^{\mathrm{E}}$  and  $\mathbf{D}^{\mathrm{N}}$ ,  $D_i^{\mathrm{E}} \in \mathbf{D}^{\mathrm{E}}$ ,  $D_i^{\mathrm{N}} \in \mathbf{D}^{\mathrm{N}}$  and  $\mathbf{t} = [t_0, ..., t_f]$ . Here,  $t_0$  and  $t_f$  are, respectively, the start and end time of the experiment.

In a classical kinetic cell experiment, the experimental results typically show two peaks in the flue gas composition profiles, corresponding to LTO and HTO, respectively. For heavy oil, the LTO peak is usually lower than the HTO peak. If the objective functions are based on the variation of the flue gas composition and defined by eq 3, the first peak may be penalized. However, from a physical point of view, it is important to consider both peaks with equal importance. If the experimental solution involves  $N_{\rm p}$  peaks, the nth peak is located in  $I_n = [t_n, ..., t_{n+1}]$ . Then, if we define  $M_n$  as  $\max_{I_n}(\mathbf{D}^{\rm E})$ , the objective function is redefined as

$$\mathcal{F}^*(x) = \sqrt{\sum_{n=1}^{N_p} \sum_{I_n} \left[ \frac{D^{E}(t_i) - D^{N}(t_i)}{M_n} \right]^2}$$
(4)

**Sorting and Crowding Distance.** Sorting and crowding are done according to Deb et al.<sup>26</sup> The multiobjective genetic

algorithm implemented is the nondominated sorting. We order the population into a hierarchy of nondominated Pareto fronts. Then, we calculate the average distance between members of each front on the front itself.

**Crossing-Over Operator.** For convenience, we use the same crossing-over operator as that in binary coding. If A and B are two individuals and A' and B' are their offspring, we can transform A and B as follows:

$$A = \begin{pmatrix} y_1 \\ y_2 \\ \vdots \\ y_i \\ y_{i+1} \\ \vdots \\ y_{n-1} \\ y_n \end{pmatrix} \text{ and } B = \begin{pmatrix} x_1 \\ x_2 \\ \vdots \\ x_i \\ x_{i+1} \\ \vdots \\ x_{n-1} \\ x_n \end{pmatrix} \xrightarrow{\text{crossing-over}}$$

$$A' = \begin{pmatrix} y_1 \\ y_2 \\ \vdots \\ y_i \\ x_{i+1} \\ \vdots \\ x_{n-1} \\ x_n \end{pmatrix} \text{ and } B' = \begin{pmatrix} x_1 \\ x_2 \\ \vdots \\ x_i \\ y_{i+1} \\ \vdots \\ y_{n-1} \\ y_n \end{pmatrix}$$

$$(5)$$

Here,  $x_i$  and  $y_i$  are the genes of A and B, respectively, and they characterize the variables of the optimization problem. This crossing-over operator is called the binary simple-point operator, because only one point is used to switch genes. Multipoint operators can be also used.

For real coding genetic algorithms, the binary approach is not exactly applicable, because, in real coding, the nick occurs between two variables, whereas in the binary case, bits are used, which means that the nick can be located in the middle of a gene. Thus, for real coding, this type of operator does not create new variables but simply permutes them. Specific operators have been created for real coding genetic algorithm in order to overcome this problem. Most of them are based on a linear combination of several variables. One of the most used is the arithmetical crossing-over operator introduced by Eshelman and Schaffer. For two genes x and y, the operator transforms them to x' and y' as follows:

$$\begin{cases} x' = \alpha x + (1 - \alpha)y \\ y' = \alpha y + (1 - \alpha)x \end{cases}$$
 (6)

where  $\alpha$  is a random number in [0,1]. However, if x < y then x' and y' are always in [x, y]. This restriction is not present in the binary coding operator. To alleviate this shortcoming, Sefrioui<sup>29</sup> proposed a new operator. For two genes x and y, the operator transforms them to x' and y' as

Table 1. Review of the Reaction Mechanisms<sup>a</sup> Presented in the Literature

	CL	POL	OOC	CR	CCG	CI	CC	CO	СН	CO2O
Verma et al. <sup>36</sup>				×	×		×	×		
Crookston et al. <sup>38</sup>	×			×			×		×	
Adegbesan et al., <sup>39</sup> only for LTO				×			×			
Kumar <sup>40</sup>	×			×			×		×	
Akin et al. <sup>37</sup>	×			×	×		×		×	×
Kuhlman <sup>32</sup>	×			×		×			×	
Freitag and Verkoczy, <sup>33</sup> Freitag and Exelby, <sup>41</sup> and Ren et al. <sup>42</sup>		×	×	×			×		×	

<sup>a</sup>Table legend: CL, combustion of lights; POL, partial oxidation of lights; OOC, oxidation of oxidized components; CR, cracking; CCG, combustion of cracking gas; CI, combustion of intermediates; CC, combustion of coke; CO, combustion of oil; CH, combustion of heavies; and CO2O, CO<sub>2</sub> oxidation.

$$\begin{cases} x' = \alpha(M - m) + m \\ y' = \alpha(M - m) + m \end{cases}$$
 with 
$$\begin{cases} m = \min(x, y) - \lambda |\max(x, y) - \min(x, y)| \\ M = \max(x, y) + \lambda |\max(x, y) - \min(x, y)| \end{cases}$$
 (7)

where  $\lambda$  is a constant value set by the user. Here, if x < y, then x' and y' are in [m,M] while m < x and M > y. Because our problem is constrained, m and M are eventually adjusted. For the rest of this paper,  $\lambda$  is set to 0.3666, according to Sefrioui. The crossing-over operator is applied to all the genes of the parents.

**Mutation Operator.** In binary coding genetic algorithms, the mutation operator just converts one or several bits. Because a gene is characterized by a large number of bits, the changes are not large and, compared to the crossing-over operator, the mutation operator does not play an important role. For the real coding algorithm, the mutation operator is still the cornerstone of the exploration process, although important efforts have been made on crossing-over operator in order to enhance its exploration capability. Its structure is more complex than that observed for binary coding algorithms. We decided to select a mutation operator presented by Janikow and Michalewicz. If a gene *y* undergoes a mutation, its new value would be in [Min,, Max,], which corresponds to the constraints on the variable *y*. This new value is calculated as

$$y' = \begin{cases} y + \Delta(t, \operatorname{Max}_{y} - y) & \text{if } \Gamma = 0 \\ -\Delta(t, y - \operatorname{Min}_{y}) & \text{if } \Gamma = 1 \end{cases}$$
(8)

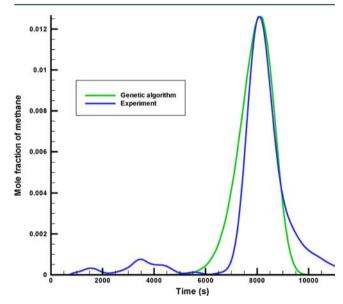
where t is the generation number for the genetic algorithm and  $\Gamma$  a boolean. The parameter  $\Delta(t,y)$  is defined as

$$\Delta(t, y) = yr \left(1 - \frac{t}{T}\right)^b \tag{9}$$

where r is a random number in [0,1], T the maximum number of generations, and b a refinement parameter. The  $\Delta$  function is built in such a way that it tends to 0 as t tends to T in order to enhance exploration for first generations and to narrow it for last generations. Parameter b controls the impact of the mutation. The larger it is, the more the mutation creates values far away from the original value. Preliminary tests have shown that a value of b = 5 is a good compromise.

Table 2. Properties of Oxidized Components

component	$M_{\rm w}$ (g/mol)	$P_{\rm c}$ (bar)	$T_{c}$ (K)	ω
$C_2 - C_{11ox}$	687.75	13	900	1
$C_{12} - C_{160x}$	925.66	12	930	1
$C_{17} - C_{21ox}$	1244.04	10	950	1
$C_{22} - C_{27ox}$	15914.01	7	1050	1.5
$C_{28} - C_{35ox}$	2037.67	6.1	1120	1.6
$C_{36} - C_{49ox}$	2712.89	6	1160	1.6

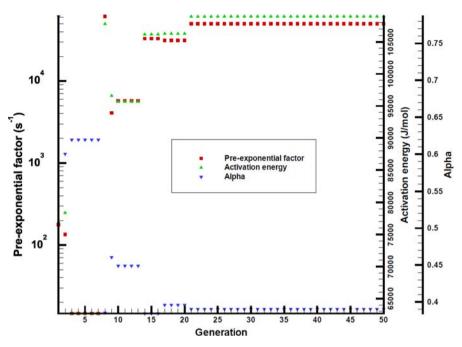


**Figure 2.** Comparison between experimental results and numerical results from the genetic algorithm.

# EVALUATION OF KINETIC PARAMETERS FROM KINETIC CELL EXPERIMENTS

The experimental data used for this study come from Lapene et al.  $^{15}$  Several kinetic cell experiments have been performed; however, in this paper, we only use the experiments labeled as RUN 10 and RUN 12. In all of the experiments used for the purpose of this work, the temperature controller is trimmed to reach 650  $^{\circ}\mathrm{C}$  in 250 min at a constant rate of increase. RUN 10 is a two-stage experiment. First, the oil is heated to crack all the residue into solid coke. Then, a classical kinetic experiment is performed to burn the resulting coke sample. RUN 12 is a classical kinetic cell experiment performed on the original heavy oil component without any pretreatment. More details can be found in Lapene et al.  $^{15}$ 

The kinetic cell simulator developed in our previous report in this series<sup>11</sup> is used to build the objective functions (see eq 4).



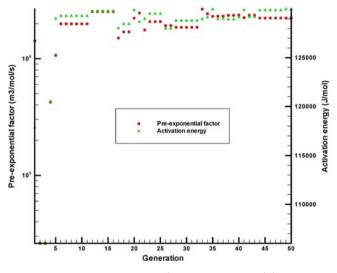
**Figure 3.** Kinetic parameters  $(\alpha,$  activation energy (E), and pre-exponential factor (A)) versus generation.

Table 3. Final Kinetic Parameters (Relative to Figure 3)

		parameter activation energy, $E$ pre-exponential factor, $A$	value $108969\mathrm{J/mol}$ $5.00928\times10^4\mathrm{s^{-1}}$ 0.390294
	0.205	1	
Oxygen mole fraction	0.2	Genetic algorithm Experiment	
Oxygen	0.195	-	
	0.19	0 2000 4000 6000 Time (s)	8000 10000

Figure 4. Comparison between numerical and experimental results.

We note that temperature profiles generated by the laboratory experiments are given to the simulator as inputs. That is, temperature is not solved for explicitly, nor is it matched as part of the multiobjective algorithm. This has two advantages. The first advantage is that the numerics track the experiments closely: any deviations from the linear temperature profile during the experiments are taken into account. The second advantage is that the number of degrees of freedom in the



**Figure 5.** Kinetic parameters (activation energy (E) and pre-exponential factor (A)) versus generation.

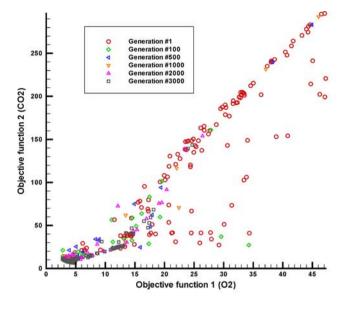
Table 4. Final Kinetic Parameters (Relative to Figure 5)

parameter	value
activation energy, E	129 993 J/mol
pre-exponential factor, $A$	$2.230453 \times 10^6 \text{ m}^3/(\text{mol/s})$

optimization problem does not further increase, making the problem more computationally tractable.

In our previous work,<sup>11</sup> we recommended performing onedimension simulations and using real flash calculations for phase equilibria. According to mesh sensitivity tests, and as a good compromise between accuracy and decent computation times, we decided to use a three-block mesh. During the optimization process, the kinetic cell code is called several times; this represents the main computational cost.

In the literature, there is no agreement about which reaction mechanism most reliably describes the ISC processes. Indeed,





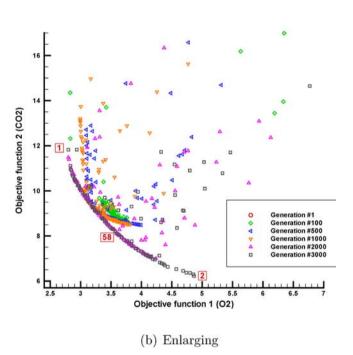


Figure 6. Evolution of objective function built on oxygen  $(O_2)$  data versus objective function build on carbon dioxide  $(CO_2)$  data.

many different reaction mechanisms are proposed, and the most popular ones are listed in Table 1. However, there are similarities. First, all of them include a cracking reaction. Fuel formation reactions transform a heavy component to coke or light components or both. It is typically followed by a coke combustion reaction, except in the work by Kuhlman.<sup>32</sup> In addition to the coke combustion, which occurs at high temperature, most of the authors proposed the combustion of the heavy components. For LTO, there is no clear trend. Some of the reaction mechanisms include the combustion of light components, whereas Freitag and Verkoczy,<sup>33</sup> Freitag and Exelby,<sup>34</sup> and Ren et al.<sup>35</sup> described the LTO reactions as a two-step reaction with partial oxidation of light components in

the first step, followed by the oxidation of the products. This choice was motivated by observations in the work of Burger and Sahuquet<sup>5</sup> and Mamora et al.<sup>7</sup> Finally, Verma et al.<sup>36</sup> and Akin et al.<sup>37</sup> take into account the homogeneous combustion of cracking gases.

For the oil used in our experiments, we propose the following reaction mechanisms:

$$C_{50+(c)} \xrightarrow{R_1} C_1 + \text{coke}$$
 (10a)

$$coke + O_2 \xrightarrow{R_2} CO + CO_2 + H_2O$$
 (10b)

$$C_2-C_{11}$$
 $C_{12}-C_{16}$ 
 $C_{17}-C_{21}$ 
 $C_{22}-C_{27}$  +  $O_2 \stackrel{R_3}{\rightarrow} CO + CO_2 + H_2O$ 
 $C_{28}-C_{35}$ 
 $C_{36}-C_{49}$  (10c)

$$\begin{array}{l} C_{2ox} - C_{11ox} \\ C_{12ox} - C_{16ox} \\ C_{17ox} - C_{21ox} \\ C_{22ox} - C_{27ox} \\ C_{28ox} - C_{35ox} \end{array} + O_2 \overset{R_5}{\rightarrow} CO + CO_2 + H_2O \end{array} \tag{10e}$$

$$\frac{C_{50+}}{C_{50+(c)}} + O_2 \stackrel{R_6}{\to} CO + CO_2 + H_2O$$
 (10f)

This mechanism incorporates the main reactions found in the literature. We chose to adopt the atomic description for components, as opposed to the SARA description, because it is compatible with the thermodynamic characterization of the oil. Indeed, it is difficult to attribute critical properties to SARA components. The heavy oil is characterized by eight lumped components as  $C_1$ ,  $C_2-C_{11}$ ,  $C_{12}-C_{16}$ ,  $C_{17}-C_{21}$ ,  $C_{22}-C_{27}$ ,  $C_{28}-C_{35}$ ,  $C_{36}-C_{49}$ , and  $C_{50+}$ . Details are given in the first paper in this series. We note that  $C_{50+}$  is introduced as a heavy end component, necessary for representing the heavy oil in these experiments. As explained in the earlier work, 11 it is the heaviest component for which we can get thermodynamics properties from the literature. Modeling heavier components is not useful, since the corresponding properties would be highly uncertain.

We assume all reactions are first order with respect to  $[O_2]$ . The reaction rates for the oxidation reactions are given by the Arrhenius law:

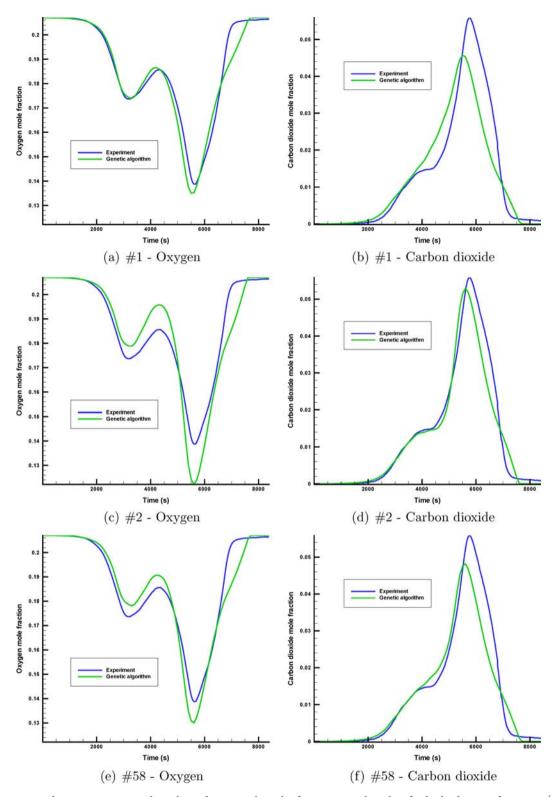


Figure 7. Comparison between experimental results and numerical results from genetic algorithm for both objective functions: (a) solution 1, oxygen consumption; (b) solution 1, carbon dioxide production; (c) solution 2, oxygen consumption; (d) solution 2, carbon dioxide production; (e) solution 58, oxygen consumption; and (f) solution 58, carbon dioxide production.

$$\tau = A \exp\left(-\frac{E_{\rm a}}{RT}\right) [O_2][F] \tag{11}$$

where  $\tau$  is the reaction rate, A the pre-exponential factor,  $E_{\rm a}$  the activation energy, R the gas constant, T the temperature,  $[{\rm O_2}]$  the oxygen concentration, and  $[{\rm F}]$  the fuel concentration. All

the concentrations are defined over the system volume, including solid and pore space. For the reaction that does not involve oxygen, the reaction rate is expressed as

$$\tau = A \exp\left(-\frac{E_{\rm a}}{RT}\right) [F] \tag{12}$$

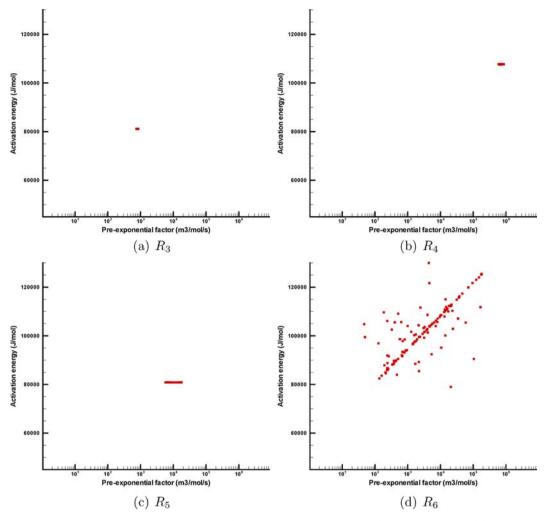


Figure 8. Activation energy versus pre-exponential factor for (a) reaction R3, (b) reaction R4, (c) reaction R5, and (d) reaction R6.

Table 5. Ranges of Kinetic Parameters for Oxidation Reactions

reaction	activation energy, E (J/mol)	pre-exponential factor, $A(1/s)$
$R_3$	81100-81160	760-840
$R_4$	107550-107750	$6 \times 10^5 - 8.5 \times 10^5$
$R_5$	80820-80850	$6 \times 10^3 - 1.6 \times 10^4$
$R_6$	80000-125000	$10^2 - 10^6$

The first reaction we consider is the cracking reaction. A portion of the  $C_{50+}$  component, called  $C_{50+(c)}$ , is transformed to methane and solid coke. The second reaction is the coke combustion. The third set of reactions represents the combustion of the light components. Light components are components up to  $C_{50+}$ . The fourth set of reactions corresponds to the partial oxidation of light components. This reaction leads to new oxidized components:  $C_{2ox}-C_{11ox}$ ,  $C_{12ox}-C_{16ox}$ ,  $C_{17ox}-C_{21ox}$ ,  $C_{22ox}-C_{27ox}$ ,  $C_{28ox}-C_{35ox}$ , and  $C_{36ox}-C_{49ox}$ . The fifth set of reaction is the combustion of the light oxidized components ( $C_{50+}$ ). Finally, the sixth set of reactions depicts the oxidation of heavy components ( $C_{50+(c)}$ ).

Compared to the initial oil description coming from the thermodynamic analysis, the final oil composition includes seven more components. We decide to set the properties of  $C_{50+(c)}$  as the properties of  $C_{50+}$ . We consider that  $C_{50+(c)}$  is the fraction of the original  $C_{50+}$  that can be cracked. Also, oxidized

components are known to be less volatile than the nonoxidized counterparts. Because of the lack of data on such components, critical properties and acentric factors are tuned to ensure that they are nonvolatile. We are aware that simulations result could be quite sensitive to these properties. However, as far as we know, it is impossible to measure such properties for such polar components that temporarily take place in the oil. On the other hand, as simplest approach, we could have considered those components as solid components. But, because we know they play an important role by modifying fluid properties, such as increasing the viscosity, <sup>43</sup> we think it is important to include them as fluid components. The properties are presented in Table 2.

Stoichiometry is calculated according to the atomic description of each component. For oxidation reaction, the ratio between  $\mathrm{CO}_2$  and  $\mathrm{CO}$  concentration is given as a constant parameter, according to experimental observations. Kinetic parameters of this reaction mechanism and the methodology are presented in the next sections.

**Modeling the Cracking Reaction.** The so-called reaction  $R_1$  is a cracking reaction. In addition to the original oil description, component  $C_{50+(c)}$  has been introduced. Since  $C_{50+(c)}$  is defined from the original  $C_{50+}$ , we assume that

$$z_{C_{S0+(c)}} = \alpha z_{C_{S0+(c)}}$$
 with  $\alpha \in [0, 1]$  (13)

where  $z_{\beta}$  is the mole fraction of component  $\beta$ .

In order to get kinetic parameters for these reactions, experimental data from RUN 10 are used. Recall that RUN 10 is a two-stage experiment. The first stage is performed under nitrogen in order to crack the oil into solid coke. Then, a new classical experiment under oxygen is made by using the resulting coke sample.

We only consider the one-objective function built on methane production for  $R_1$  characterization. We are looking for the pre-exponential factor (A), the activation energy (E), and  $\alpha$ . We use the one-objective version of the genetic algorithm. We use a population size of 25 and 50 generations. A comparison between experimental results and numerical results is shown in Figure 2. The numerical results reproduce the experimental results fairly well. The amplitude and the position of the main peak are conserved, which is important physically. Despite this good match, the genetic algorithm did not return kinetic parameters that lead to a close match in the first and final part of the peak, which probably indicates that the kinetic model is missing some sensitive features.

Figure 3 shows the evolution of the parameters over the generations. We can see that the algorithm obtains the final parameters at the 21st iteration. The final values are summarized in Table 3.

Modeling the Coke Combustion Reaction. Reaction R<sub>2</sub> is the coke combustion reaction. The second stage of the RUN 10 experiment is used to build the objective function. We assume that the initial quantity of coke corresponds to the final quantity of coke produced from the previous numerical optimization. We also suppose that the reactivity of coke formed under nitrogen is essentially the same as the reactivity of coke formed under oxygen. In reality, coke production is dependent on the thermochemical conditions. The coke surface may differ, depending on the atmosphere under which it was formed. Hence, the reactivity of coke could change, because the oxidation coke is a heterogeneous reaction, as discussed elsewhere. 44 Some authors go so far as to incorporate different coke species in their reaction sequences (see, for instance, the work of Wu and Fulton<sup>45</sup> and Castanier and Brigham<sup>46</sup>). For this analysis, the inclusion of different coke species is not expected to produce significantly different results. However, the proposed work flow allows such assumptions.

Since carbon dioxide does not bring additional information to the optimization (the  $O_2$  and  $CO_2$  signals are perfectly aligned), we can use the single-objective version of the genetic algorithm. Accordingly, we only consider one objective function built on oxygen consumption for  $R_2$  characterization. We are attempting to determine the pre-exponential factor (A) and the activation energy (E). According to the experiment, we assume that  $[CO_2]/[CO] = 5$ .

Again, population sizes of 25 and 50 generations are used. The comparison between experimental and numerical results is shown in Figure 4. The genetic algorithm finds kinetic parameters that lead to a good match between experimental and numerical results. The shape of the peak is not fully captured, but the main features (such as the amplitude and the position) are fairly well reproduced. The numerical solution slightly underestimates the oxygen consumption.

Figure 5 depicts the evolution of parameters versus the genetic algorithm generations. The algorithm finds reasonable magnitudes for the parameters within just five generations. The final values are given in Table 4.

**Modeling the Oxidation Reactions.** The goal of the last stage is to determine the kinetic parameters, i.e., activation

energies and pre-exponential factors for reactions  $R_3-R_6$ . Experimental data from RUN 12 are used. This experiment is a regular kinetic cell experiment performed under oxygen.

We consider oxygen and carbon dioxide gas analyses to build the kinetic model. The idea is to distinguish between partial and full oxidation reactions. Partial oxidation reactions consume oxygen without producing carbon dioxide and carbon monoxide, whereas full oxidation reactions produce both of them. We think it is important to consider both, because, otherwise, it is impossible to generate a comprehensive model that would include all the existing oxidation reactions. Accordingly, we perform the optimization based on two objective functions: the first is based on oxygen consumption and the other is based on carbon dioxide production. We assume, according to the experiments, that  $[CO_2]/[CO] = 2.5$  for  $R_3$  and  $R_5$ , and  $[CO_2]/[CO] = 3.5$  for  $R_6$  and  $R_7$ .

The multiobjective version of the genetic algorithm is used. We consider a population of 125 and compute 3000 generations. Figure 6 shows the value of the oxygen objective function versus the carbon dioxide objective function for different generations.

The convergence history shows several stages. First, the randomly generated first generation evolves to more localized and spotted solutions (100th generation). Then, the quality of the population gradually improves and a few members reach the desired Pareto front. At the same time, the population starts to spread, to give a more diversified population (500th generation). Finally, the population covers the Pareto front by spreading along it. At the 2000th generation, the population occupies a large part of the front and then again spreads along it, until the final generation. This last stage corresponds to an improvement of the extremes. The solution could have been improved again at the expense of the computation time. Indeed, the difference between the 2000th generation and the 3000th generation is small, but comes at great cost, in terms of computational time.

Figure 6 represents different generations in the plane objective function for O2; Figure 6b represents a closer view of the Pareto front. The numbers 1, 2 and 58, correspond to members of the population. Figure 7 shows the comparison between experimental and numerical results for those members. The so-called solution 1 is the best solution, relative to oxygen data. Figure 7a shows a very good match between numerical and experimental results, whereas the same set of parameters does not give a good match for carbon dioxide data, as shown in Figure 7b. Solution 2 is the opposite; it provides a very good match for carbon dioxide (Figure 7d), but a poor match for oxygen (Figure 7e). From the Pareto perspective, both solutions are acceptable, as well as all the other solutions on the Pareto front. However, from the scientific perspective, a good comprise solution is preferred, and solutions that penalize any one of the objectives too much are discarded. Thus, we examine solutions that are not located at or near the front extremes. Solution 58 is one of these solutions. Figures 7e and 7f show that this solution is a good compromise. It gives a fair match for both solutions and is an acceptable solution. Obviously, other sets of parameters are also acceptable. Theoretically, there is an infinite number of acceptable solutions.

Figure 8 depicts the kinetic parameters for the different reactions. Although kinetic parameters are very close to each other for reactions  $R_{3}$ ,  $R_{4}$ , and  $R_{5}$ , and the parameters of

reaction  $R_6$  are relatively spread along a quasi-straight line. Table 5 gives an overview of the solution ranges.

Reaction R<sub>6</sub>, in this specific optimization problem, plays the role of an adjustment variable. The biggest differences among all the solutions, in terms of objective function, mainly come from the difference between the parameters of reaction R<sub>6</sub>. This might appear surprising initially; however, this is a direct result of the modeling approach and multiobjective optimization. The system we are trying to model is exceedingly complex. To make it computationally tractable, we must limit ourselves to a relatively small number of pseudo-components, each modeled to roughly capture the mean behavior of thousands of hydrocarbons and to a relatively small number (six) of reactions. Compared to the most commonly used models in the literature, we include more components and reactions, which we hope will help to better capture the reactions. Another improvement is that we use not only oxygen consumption but also the CO2 response. These two sources of information complement each other, and, at times, may be contradictory. This means that it is very possible for two different solutions to reach the same total optimal value: one can be slightly better in matching oxygen, whereas the other is slightly better in matching CO<sub>2</sub>. One global optimal solution can only be expected if the computational model captures the physics exactly (which is not the case). Therefore, the set of solutions, represented by the Pareto front, is to be expected. Overall, this approach leads to improved insight into the kinetics and the oil under study. Our recommendation to the user is to not select one of the solutions for reservoir simulations, but, instead, consider the set as representing uncertainty: multiple models can be implemented, tested, and integrated to give a range of possible reservoir behaviors. When more reservoir information become available, this range may be narrowed further.

### **■** CONCLUSION

In a previous paper,<sup>11</sup> we proposed to model the kinetic cell experiment by using a new simulator that is able to, among other things, take into account all of the couplings, spatial effects, and phase changes.

In this study, we described an original way to determine kinetic parameters from kinetic cell experiments by using the kinetic cell simulator. We decided to couple it with a multiobjective genetic algorithm derived from the NSGA-II. This method allows us to consider many types of data, instead of just oxygen data, as is usually done (so far), and remove some experimental constraints, such as the linear temperature rise.

As an example, we applied the method to a kinetic cell experiment. We proposed an original six-reaction mechanism, based on a literature review and our experience. The kinetics are then built on oxygen and carbon dioxide data from previous observations. Based on genetic algorithm results, we provide set of solutions to characterize the kinetics. We clearly show the existence of many solutions, depending on the multiobjective criteria.

In future work, it is necessary to investigate why objective functions built on oxygen data compete with those built on carbon dioxide data. We speculate that this is a consequence of either an incomplete reaction mechanism and/or the mass-transport model. To fully understand this situation, detailed comparisons between numerical simulations and combustion tube experiments are needed. The combustion tube simulator

must contain a full set of equations that takes into account all of the possible mechanisms, multiphase and multicomponent flow and transport, and heat and mass transfers, relative to phase changes.

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#### Notes

The authors declare no competing financial interest.  $^{\ddagger}$ Currently at TOTAL E&P, Pau, France.

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