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Comparative investigation of the Ti and Mo additives influence on the opto-electronic properties of the spray deposited WO₃ thin films

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Abstract

In this paper we compare the optical and electrical properties of the WO₃ thin films containing 2, 5 and 10 at % of Ti and Mo additives deposited by spray pyrolysis. The influence of the type and additive concentration on the nanostructure, topography and composition of the WO₃ layers are mainly related to the surface tension energy changes, and further correlated with the (photo)electrical and optical properties. The FTO/WO₃ junction through its characteristic, namely barrier height, ideality factor, flat band potential, and series resistance, served as a tool for associating the before mentioned characteristics.

The morphology of the WO₃thin films densifies and the roughness is reduced with increasing Ti and Mo concentration, in good agreement with solution surface tension reduction. WO₃ based films exhibit a p-type semiconducting behavior, as confirmed also by the Mott-Schottky analysis, with a lower p-type conductivity for the Ti-WO₃ films, as higher number of oxygen vacancies are generated by Ti addition. Changes in conductivity are mainly attributed to the oxygen vacancies concentration evolution at the film surface due oxygen/water adsorption. For heavily doped WO₃ thin films the contribution of these surface processes to the overall conductivity is reduced since surface reactivity is lost by densification.

As opposed to Ti-doping which has a detrimental effect on layers structure, Mo addition, even in high concentrations, has a positive effect on layers crystallinity; hence higher conductivity and ideality factors close to 1 are obtained for these films. Surprisingly, Ti and Mo-WO₃ films contain cation additives also in lower oxidation states, 3+ and 5+, respectively, compared to the ones in the precursor salt. A 2 % at. Ti concentration is enough to significantly improve the photoconductivity of the WO₃ films, whereas for Mo addition higher levels are needed (10 % at.). The Ti and Mo-WO₃ films have high transparency with average transmission values of 85 % and 75 %, respectively. The thin films reflectance decreases with increasing doping concentration along with roughness diminution.

Keywords: WO₃, spray pyrolysis, doping, solution surface tension, Fermi level, opto-electronic properties.

1. Introduction

Tungsten oxide is a versatile material involved in a wide variety of devices, such as gas sensors [1] or electrochromic devices [2], and processes as water splitting [3], and photocatalytic degradation [4]. Band gap tailoring at the ultraviolet and toward the visible region of the solar spectrum is of considerable interest for applications relying on harvesting the solar radiation such as photocatalysis and solar cells. Metal ion doping has frequently been applied to extend the WO₃ photo-response in the visible region. WO₃ doped with Ta⁵⁺ [5], Mg²⁺, Al³⁺, In³⁺, Fe³⁺, Zr⁴⁺ [6] showed much better photophysical properties than pristine WO₃. Doping WO₃ powders with Ti³⁺, V⁴⁺, Cr³⁺, Mn²⁺, Fe³⁺, Co²⁺, Ni²⁺, Zn²⁺ and Cu²⁺ increased the absorbance in the visible region of the solar radiation [3,7]. Radecka et. al. [8] also found an improvement in the photo-electrochemical properties of Ti-doped WO₃ with respect to the un-doped one.

Tungsten oxide is the most common inorganic material used in electrochromic applications. WO₃ easily and reversibly modulates transmission in the visible and near infrared region of the spectra with a small potential activation. Metal ion doping of WO₃ was also applied for enhancing chemical stability and coloration efficiency of the layers and eventually extending their cycling life. Amounts of 1% and 5% of Sn⁴⁺ and Mo⁶⁺ additives were shown to improve the electrochromic behavior of the WO₃ layers obtained by sol-gel [9] along with increasing crystalline disorder. The cycle stability, charge capacity and reversibility of the WO₃ films were improved upon doping with Nb⁵⁺ because of increasing porosity; however, coloration efficiency decreased [10]. Patil et al. [11] also reported enhanced properties for electrochromic 9%-at. Ti-WO₃ synthesized by spray pyrolysis. J-M O-Rueda de Leon et al. [12] demonstrated that coloration efficiency, reversibility and stability of WO₃ thin films can be improved by 2-6 at.% Mo doping and concludes that the amount of Mo in the starting solution affects the grain growth and that exceeding the optimum doping level leads to layer densification. Cations like V⁵⁺ [13], Nb⁵⁺ [14] or Mo⁶⁺ [15] doping of WO₃ have also been used in order to achieve color neutrality. Hence, doping also induces modifications in layer morphology.

WO₃ thin films can be synthesized by processes involving physical transformation, here including sputtering [16-18] and evaporation [19,20], or by techniques based on chemical processes occurring either in the gas phase, such as chemical vapor deposition (CVD) [21,22], or in solution including electrodeposition [23,24], anodization [25], sol–gel deposition [26,27] and spray pyrolysis. Wet chemical routes offer good reproducibility and control in terms of crystallinity, thickness and porosity by making use of less expensive technology, adaptable for different kinds of substrates and precursors.

Among them, spray pyrolysis deposition (SPD) is a cost efficient technique that does not require complicated equipment, and can be easily transferred to large scale industry fabrication processes. A schema of the spray pyrolysis deposition process including all the parameters that should be taken into account for obtaining good quality films is presented in Fig. 1, together with the deriving factors which influence the layer growth.

Fig. 1. Comprehensive illustration of the spray pyrolysis process (SPD), showing the variable deposition parameters and their inter-dependency.

One sequence of SPD consists of the following steps: (1) the formation of an aerosol Taylor cone by atomization of the precursor solution; (2) the transport of the aerosol to the heated substrate using a carrier gas with a controlled pressure (the evaporation of the solvent within the droplet occurs during this stage); (3) adsorption and chemical reaction of the precursor on the substrate; (4) by-products removal. Ideally the solvent evaporates completely close to the substrate where the reactant molecules undergo chemical reactions which lead to nucleation and layer growth. The nucleation process is under kinetic control and is characterized by the nucleation rate, $v_n = k(c-s)/s$ (where:

k is the rate constant, $k = k_0 e^{-E_a / RT}$, c is the concentration of the precursor [mol/L], s is the solubility of the resulting oxide [mol/L], k₀ is the pre-exponential factor, R is the gas constant [J/molK], T is the temperature [K], E_a is the activation energy [J/mol]) [28]. In conclusion, nucleation can be mainly controlled by modifying the precursor solution concentration, for a given temperature. The growth process depends on the diffusion coefficient (D) of the species on the substrate, $v_g = SD(c - s)/x$ (where s is the surface of the nucleation germs [m²] and (c-s)/x is the concentration gradient [mol/L]).

Spraying perpendicularly to the substrate may lead to solution dropping and for this reason but also for encouraging diffusion on the surface a 45° spraying angle is preferred.

The growth rate is mainly influenced by the deposition temperature but also by solution viscosity, [29], through the diffusion coefficient D:

$$D = RT / 6\pi r_s \eta N_A$$

where r_s is the droplet radius [m], η is the viscosity [kg/ms] and N_A is the Avogadro number [mol⁻¹].

For $v_n > v_g$ dense and uniform films are formed as a large number of nucleation centers are formed, characterized by slow growth, whereas for $v_n < v_g$ the nucleation centers are smaller in number but characterized by fast growth resulting in large aggregates which lead to films with increased roughness. Layer homogeneity and thickness can be controlled by modifying the number of spraying sequences and their surface geometry. The break time between the spraying sequences must be long enough to allow removing the solvent and the growth controlling agents which are usually organic, and also to support the substrate reheating to the initial deposition temperature before a new layer is deposited, but short enough to avoid unwanted *in situ* annealing. In most cases, annealing is finally applied for the removal of solvent, by-products or templating agents and for stabilizing the films crystalline structure. Depending on the duration, temperature and annealing atmosphere, crystallinity, stoichiometry and morphology can be tuned.

An important advantage of SPD is that it allows using, both inorganic (nitrates, chlorides) and organometallic (acetates or ethoxydes) precursors, [30]. WO₃ thin films were prepared by SPD starting from different precursor solutions including aqueous solutions of ammonium tungstate ((NH₄)₂WO₄) [31,32] and peroxotungstate [33], WCl₆ dissolved in N–N dimethylformamide [34] or in a mixture of ethanol:water (50:50 %) [35] and also W(OC₂H₅)₆ ethanolic solution [36]. By modifying the precursor solution composition (concentration, type of precursor, addition of doping or growth controlling agents, using different/mixed solvents) we inevitably change its physical properties (viscosity, surface tension and vapor pressure) with further consequences on the film formation processes [37]. The aerosol flow rate (F_a) is influenced by the liquid properties, such as vapor pressure (P), viscosity (η) and surface tension (σ), [38]:

$$F_a = K \sqrt{\frac{P}{\eta \sigma}}$$
, K- coefficient depending on the power needed to generate the aerosol

The radius of the droplets (r_s) depends on the properties of the precursor (molar mass) and precursor solution (surface tension, density and vapor pressure) but also on the deposition temperature and carrier gas pressure, as shown by the Kelvin equation[39]:

$$r_s = \frac{2M\gamma}{RT\rho\ln\frac{p}{p_0}}$$

where p is the vapor pressure inside the droplet and p_0 is the vapor pressure outside (N/m²), γ is the surface tension (N/m), M is precursor molar mass (g/mol), ρ is the precursor density (g/cm³) and R and T were previously defined. With increasing deposition temperature solvent evaporation rate within the

droplets increases, resulting in smaller droplets rich in precursor, with higher nucleation rate. At too high temperature the solvent evaporates before reaching the substrates and dry precipitates hit the substrate, leading to poor quality films. On the other hand, according to Viguie and Spitz [40] at low deposition temperatures the droplets splash on the substrate as the solvent is not completely evaporated, causing a randomly/localized distribution of temperature over the substrate, uneven distribution of nucleation centers and preferential landing of the new incoming reactive species. The result is powdery films with high roughness and most probably with cracks because of uneven solvent evaporation. Carrier gas pressure has direct consequences on the spraying cone homogeneity and droplet size and speed. At low pressure, the particles adopt a random direction and do not have enough kinetic energy to reach the substrate; with increasing pressure the droplet size decreases and for too high carrier gas pressure, the substrate is "washed out" and the temperature drops significantly leading to poor quality films.

In this paper we present a comparative study on the effect of Ti and Mo (2, 5 and 10 at. %) addition on the opto-electronic properties of WO₃ layers deposited by SPD. This study allows outlining that the changes in crystallinity structure, morphology and composition, with further impact on the optoelectronic properties of the WO₃ layer, should be first and foremost correlated with the modification of the spraying solution surface tension. These correlations are not always obvious and were not evidenced in previous studies reporting the properties of doped inorganic thin films obtained by SPD although they are meaningful for technological transfer.

This study also proves that the analysis of the FTO/WO₃ junction is a valuable tool in diagnosing the layer reproducibility and quality, as good conductivity is obtained for highly ordered materials. The barrier height, ideality factor and series resistance parameters were extracted from the current - voltage (I–V) curves, and the carrier concentration and flat band potential were extracted from the Mott-Schottky analysis of the same junction, and correlated with the crystalline structure, morphology and composition of the WO₃ based thin films, properties which are intimately related to the spraying solution surface tension modification. Moreover, it is shown that the postdeposition conditions, here meaning films reactivity with surrounding atmosphere during heating and cooling, influences the semiconducting behavior.

- 2. Experimental details
- 2.1. Thin films deposition

Tungsten oxide (WO₃) thin layers were deposited on electrical conductive fluorine-doped tin oxide substrates (FTO, Pilkington, sheet resistance 30 Ω /sq) using spray pyrolysis. Before deposition, cleaning of the 2.5 cm × 2.5 cm FTO substrates was done by degreasing with pH neutral detergent, rinsing with deionized water and ultra-sonication in ethanol, followed by drying under compressed air flow. During deposition, the substrate temperature (250 °C), air flow pressure (150 kPa), spraying angle (45 °C) and spraying distance (23 cm) were kept constant. For obtaining the aerosols a glass atomizer (Camag, 100 mL) was used. Fifteen consecutive layers were deposited with 100s break between sequences as previously optimized [37].

The precursor solutions were obtained by dissolving WCl₆ powder (99.9+ %, Acros Organics) in alcohol (C₂H₅OH, 99.2 %, P.A.M. Corporation S.R.L) and addition of acetylacetone (AcAc, 99 %, Alfa Aesar), with WCl₆ and AcAc in a 1:2 molar ratio.

Proper amounts of additive precursors, here including TiCl₄ (anhydrous, 99.99 %, Alfa Aesar) and MoCl₆ (anhydrous, 95 %, Acrős Organics) inorganic salts, were added to the spraying solution in order to reach 2, 5 and 10 at.% levels. The samples were named as follows: W – the WO₃ layer, WT2, WT5 and WT10, and WM2, WM5 and WM10 for the 2, 5 and 10 at. % Ti(Mo) films, respectively. The films were annealed in air for six hours, at 410 °C for stabilizing the crystalline structure and rapidly cooled down to room temperature.

2.2. Thin films characterization

Before deposition, the surface tension energy of all the solutions was measured by the stalagmometric method (measurement accuracy \pm 0.02 mN/m). The surface morphology of the (un)doped WO₃ films was firstly inspected by Scanning Electron Microscopy (SEM with a Philips XL 30 field emission gun). Additionally, the effect of cation doping on the surface morphology (roughness, porosity) and crystalline structure were investigated using atomic force microscopy (NT-MDT model NTGRA PRIMA EC, semi-contact mode with Si-tips, NSG10, force constant 0.15 N/m, tip radius 10 nm) and X-ray diffraction (Advanced D8 Discover Bruker diffractometer, $K_{\alpha 1} = 1.5406$ Å, 40 kW, 20mA, step size 0.02, scan speed 2 sec/step, 20 range from 20 to 60°). Surface composition and cationic distribution of the WO₃ based thin films were investigated by X-ray photoelectron spectroscopy (VG 220i-XL ESCALAB spectrometer, monochromatic Al Ka excitation, 1486.6 eV, accuracy \pm 0.1 eV). Transmittance and total reflectance spectra (Perkin Elmer Lambda 25 UV–VIS spectrometer) of the WO₃ thin films were measured with report to the FTO substrate in the 300 – 1100 nm range.

The layer thickness was calculated from the transmission spectra in the weak and medium absorbing region, by using the envelope method elaborated by Swanepoel [41].

$$t = \frac{\lambda_1 \lambda_2}{2(\lambda_1 n_2 - \lambda_2 n_1)}$$

Where: n_1 and n_2 are the refractive indices of two adjacent maxima which correspond to the wavelengths λ_1 and λ_2 . The films thicknesses are further gathered in Table 1.

The semiconducting behavior of the thin films was investigated by current-voltage measurements (Princeton Applied Research VSP potentiostat, model HM 8143, with frequency analyzer) using the two-point probe method and gold tips as contacts displaced at constant distance (on the FTO and on the film); the voltage was varied in the ± 2.0 V range with a 30 mV/s scan speed. Using the same setup, the Mott-Schottky analysis (frequency range 1 kHz – 1 MHz, bias range from 0 to 3.0 V) was used to evaluate the carrier density and the flat band potential. Photocurrent measurements were performed under constant applied bias of 0.01 V (without biasing there is no photocurrent generation) with thin films illuminated from the FTO side using an Oriel model 7123 UV-VIS light source coupled to a monochromator (Acton, SpectraPro 2150i) limited to the 390 nm wavelength (17 mWcm⁻²), corresponding to the wavelength for which the maximum photo-response was obtained.

- 3. Results and discussions
- 3.1. Surface tension

As previously mentioned, the type and amount of inorganic salt added to the spraying solution influences the solvent evaporation rate within the aerosol droplets and the droplets speed through the modification of the surface tension. The surface tension energy values for all the spraying solutions are presented in Fig. 2. The surface tension values of the precursor solutions decrease with increasing additive level, with lower values for the Mo containing solutions. This effect was previously reported for mixed water-organic systems to which different inorganic salts were added [42-44]. For the precursor solution without added foreign cations the W^{6+} cation is stabilized by forming a complex with acetylacetone (AcAc) and the Cl⁻ anions are distributed around it (Fig. 3a). The second solvation shell is formed by the ethanol molecules hydrogen-bonded to the ethanol molecules which are attached to the Cl⁻ anions.

Fig. 2. Surface tension energy values of the spraying solutions with and without additive cation precursors.

Fig. 3 Illustration of the W⁶⁺⁻AcAc complex solvation in the solution without (a) and in the presence (b) of additives, in the ethanol media.

The doping cation charge density determines its capability to break the second solvation shell or rather remain close to the air/solvent interface. A decreasing surface tension, as evidenced in this study, implies that ions accumulate at the ethanol/air interface, also known as the Jones-Ray effect [45]. The Mo^{6+} cation has a lower charge density than Ti^{4+} because its higher ionic radius (0.59Å for octahedral-coordinated Mo^{6+} and 0.42 Å for tetrahedral-coordinated Ti^{4+} , [46]) and higher charge, and consequently forms weaker H-bonds with ethanol (ethanol molecules within the first solvation shell are so tightly bound that they induce a decrease of the effective surface charge density). Because of the weaker attraction forces between Mo^{6+} and the ethanol molecules the cation (symbolized by X⁺ in the figure) cannot penetrate the second shell surrounding the W^{6+} -AcAc complex and most likely is repelled to the air/ethanol interface (Fig. 3b). In exchange, there is a higher probability for the Ti^{4+} cations to penetrate the W-AcAc second solvation shell due to their stronger interaction with the solvent molecules, forming H-bonds with the ethanol molecules in the first shell or directly connecting through the Cl⁻ anions to the W⁶⁺ cation. This explains why surface tension is more strongly affected by Mo doping than by Ti doping.

3.2. Films morphology

SEM images of the WO₃ and of the 5 % at. Ti-WO₃ and Mo-WO₃ thin films are compared in Fig. 4. The films WT5 and WM5 show more and better defined spherical formations compared to the WO₃ films (W) as a possible result of changes in the precursor evaporation rate due to surface tension variation.

Fig. 4 SEM images of the WO₃ film (W) and of the Ti (WT5) and Mo (WM5)-WO₃ films.

No information related to particle shape and distribution could be drawn and this is why AFM analysis was performed. The 2D AFM images corresponding to a 5 μ m × 5 μ m scan area (1 μ m × 1 μ m, 3D images in the insets) and the pore size distributions are presented in Fig. 5 (a, c-h) and Fig. 5b,

respectively. The average roughness (S_a) and surface skewness (S_{sk}) were calculated (Table 1) by using the NOVA dedicated software for the $1\mu m$ \times $1\mu m$ surface. S_a represents the average height over a

defined surface, $S_a = \frac{1}{MN} \sum_{k=0}^{M-1} \sum_{l=0}^{N-1} |z(x_k, y_l)|$. The S_{sk} is described by the following relation: $S_{sk} = \frac{1}{MNS_q^3} \sum_{k=0}^{M-1} \sum_{l=0}^{N-1} [z(x_k, y_l)]^3$, where S_q is the root mean square roughness

 $(S_q = \sqrt{\frac{1}{MN} \sum_{k=0}^{M-1} \sum_{l=0}^{N-1} [z(x_k, y_l)]^2})$, z is the height in a given point (x,y), and M and N are the scan

dimensions. For $S_{sk} = 0$ the distribuion of height on the surface is uniform; $S_{sk} > 0$ characterizes a plane surface with columnar structures; and when $S_{sk} < 0$ the planar surface is rich in pores.

The W sample (Fig. 5a), appears as a homogenous film formed of round particles interconnected through pores of 40 nm average size (Fig. 5b, black curve), evenly distributed on the surface as previously reported [47]. With increasing Ti and Mo concentration, the pore size decreases and the distribution is narrowed (Fig. 5b) indicating that particles are closer one to each other, while roughness values are diminished along with surface skewness value increase (surface richer in columnar structures than pores). The proportion of columns and pores are equilibrated for films WM2 and WM5 presenting values close to 0.

Fig. 5 AFM images of the WO₃ film -W(a), Ti -WT2 (c), WT5 (d) and WT10 (e), and Mo -WM2 (f), WM5 (g) and WM10 (h) WO₃ films, and pore size distribution (b).

The surface tension of the precursor solutions decreases whit increasing the cation concentration, consequently smaller and more numerous droplets are directed towards the substrate; the solvent evaporates when approaching the substrate, strongly increasing the concentration inside the droplet, therefore, when reaching the substrate, fast nucleation occurs, with a uniform distribution of the nuclei; these can further grow to form homogenous and dense layers, characterized by low roughness.

It seems that increasing the Ti concentration in the precursor solution leads to larger particles (Fig. 5d). However, for higher doping levels particle growth is inhibited as the species diffusion at the surface is limited by layer densification or by additive segregation at the grain boundaries. For the Mo-WO₃ thin films, densification commences at low concentrations (as expected from the surface tension values), the morphology of sample WM2 is formed of closely packed aggregates, of various sizes.

3.3. Thin films structure and composition

The XRD patterns of the Ti-WO₃ and Mo-WO₃ layers are presented next to the un-doped WO₃ layer in Fig. 6a and b, respectively. All the samples present a monoclinic structure (JCPDS ICCD 89-4476), with a preferential growth along the (2 0 0) lattice reflection plane. Strong peaks corresponding to the substrate were also identified (SnO₂, tetragonal JCPDS ICCD 77-0451). Monoclinic WO₃ with (2 0 0) preferential growth was already reported by Regragui et al. [35] for films deposited at 300 °C from a solution containing WCl₆ dissolved in a 1:1 v/v ethanol: water mixture. No additional peaks corresponding to impurities were identified.

Fig. 6 XRD patterns of the Ti-WO₃ (a) and Mo-WO₃ (b) films presented next to the WO₃ film.

The grain size (D) was calculated using the Scherrer formula, $D = 0.9\lambda/(FWHM \cdot \cos\theta)$, [48] considering the (2 0 0) peak, where 0.9 is the Scherrer constant, λ is the wavelength of the incident beam, FWHM is the full width at half maximum of the peak and θ is the Bragg angle. The lattice strain (ϵ) was calculated with the Wilson formula, $\epsilon = FWHM/4\tan\theta$ [49] and the interplanar distance using Bragg's law. The values of the crystallite size, lattice strain and interplanar distance are gathered in Table 1.

Table 1. Crystallite size (D), interplanar distance (d) lattice strains (ϵ), average roughness (S_a), surface skewness (S_{sk}), layer thickness (t) and band gap (E_g) values of the WO₃ based films.

The crystallites' size decreases and lattice strain values enhance with increasing Ti addition level. The Ti⁴⁺ cation has a different charge state comparing to W⁶⁺ therefore once inserted into the WO₃ network can induce supplementary stress and crystalline disorder, associated also with an increase of oxygen vacancies concentration, explaining the larger interplanar distance values. It is obvious from the XRD patterns that at higher Ti concentration (film-WT10) layers are predominantly amorphous and this is particularly observable in the 20 - 40° 2 Θ region, indicating that most likely the solubility limit was exceeded. For the Mo-WO₃ films the preferential growth direction is maintained with (2 0 0) and (0 0 2) peaks even intensifying with doping concentration. This is not really unexpected as Mo⁶⁺ more easily replaces W⁶⁺ in the lattice having the same ionic radius, charge state and lattice structure; the interplanar spacing decreases from 3.680 to 3.667 for 5 and 10% at. addition levels. This means that WO₃ lattice can dissolve Mo up to high contents without inducing stress, as confirmed by the lower lattice strain values, Table 1.

To further validate the presence of Ti and Mo in the WO₃ films and their corresponding oxidation states, XPS analysis was performed on the W, WM5 and WT5 films. Well-resolved doublets (Fig. 7) assigned to W⁶⁺ 4f_{7/2} and 4f_{5/2} components were observed at 35.5 and 37.8 eV binding energies for sample W, 35.5 and 37.7 eV for sample WM5 and at 35.7 and 37.8 eV for sample WT5. There is no evidence of the presence of W in a lower oxidation state. A slight increase of the FWHM of the 4f_{7/2} and 4f_{5/2} peaks from 0.98 and 0.9 eV for the un-doped sample to 1.00 and 1.01 eV for the 5 % Ti-doped WO₃ layer was registered supporting the XRD data which shows that with increasing Ti doping, layer crystallinity decreases. As expected considering the oxidation state of the cations in the precursor doping salts, peaks corresponding to Ti⁴⁺2p_{3/2}, Mo⁶⁺3d_{5/2} and Mo⁶⁺3d_{3/2} peaks were identified at 458.9, 232.8 and 236.0 eV binding energies, respectively (Fig. 7). In addition, lower oxidation states for both Ti and Mo were also evidenced, namely Ti³⁺2p_{3/2}, Mo⁵⁺3d_{5/2} and Mo⁵⁺3d_{3/2} peaks at 457.3, 231.4 and 234.6 eV, respectively. The levels of Ti⁴⁺, Ti³⁺ found in the WT5 film are 1.30 and 0.28 at. %, respectively. The percentage of alien cations retrieved from the XPS analysis for the WO₃ layers is lower than the initial added concentration (5 at.%).

Fig. 7 XPS spectra showing the W4f, Ti2p and Mo3d core levels for the WO₃ (W), and 5 % at. Ti and Mo-WO₃ films (WT5 and WM5).

The O1s peak (Fig. 7) was deconvoluted in four components: component I which is assigned to the oxygen atoms (O^2) that form the strong W=O bonds, component II is the results of two contributions C=O pollution and the oxygen deficiency, component III is attributed to C-O contamination, whereas the highest energy peak (component IV) is attributed to water bound at the surface of the layers [51,52]. By comparing the integrated areas of the peaks I and II, the oxygen vacancy concentration variation with doping was investigated; O_I/O_{I+II} ratio values are given in Table 2. Apparently, the oxygen vacancies concentration is higher for the WM5 layer compared to WT5 layer. XPS analysis highlights that Ti doping enriches the surface adsorbed H₂O, which can scavenge the oxygen vacancies, thus explaining the slight change in oxygen deficiency. On the other hand Mo-doping reduces the water content at the surface, in agreement with the AFM pore size distribution results which show that sample WM5 has a lower porosity compared to sample WT5, thus a lower probability to adsorb water.

Fig. 7 XPS spectra of the O1s levels for the layers W, WT5 and WM5.

Table 2. Atomic percentages and compositional ratios for WO₃ and Ti/Mo-WO₃ layer obtained after XPS fitting.

The presence of Ti^{3+} might be the consequence of layer porosity which increases its reactivity. One possibility is the removing of (1 1 0) and (1 0 0) bridging oxygen by desorption during annealing according to the reaction, [50]:

$$Ti^{4+} - O - Ti^{4+} \rightarrow Ti^{3+} - V_{O}^{\bullet \bullet} - Ti^{3+} + 1/2O_{2}$$

Another possibility is the reaction of the same bridging oxygen with reducing species such as H_2 and C during annealing [53,54]:

$$-Ti^{4+} - O - Ti^{4+} + H_2 \rightarrow Ti^{3+} - \otimes -Ti^{3+} + H_2O$$
$$Ti^{4+} - O - Ti^{4+} + C \rightarrow Ti^{3+} - \otimes -Ti^{3+} + CO$$

The same phenomena could be the cause for the Mo^{6+} reduction to Mo^{5+} .

3.4. Optical properties

The optical transmission and total reflectance (in the inset) spectra of the un-doped and Ti and Modoped films are presented in Fig. 8. Overall, the average transmission of WO₃ based thin films are in between 75 and 85 %, with the Ti-WO₃ films having higher transparency. The layer having the highest reflectance is characterized by the lowest transmission level. The thin films reflectance decreases with increasing additive concentration along with roughness diminution due to solution surface tension diminution.

Fig. 8 Transmission spectra (total reflectance spectra in the inset) of the WO₃ based layers. Baseline was done with the FTO substrate.

The oscillations in the transmittance spectra are caused by optical interference arising due to the difference between the refractive index of the WO₃ films and the substrate and also the interference of the multiple reflections originated from the film and substrate surfaces/interfaces [55]. From the interference fringes, using the envelope method elaborated by Swanepoel [56,57] the layer thickness was calculated in the weak and medium absorbing region using the following equation:

$$t = \frac{\lambda_1 \lambda_2}{2(\lambda_1 n_2 - \lambda_2 n_1)}$$

where T_M and T_m are the maximum and minimum transmission values at a particular wavelength, s is the refractive index of the substrate (1.7), n_1 and n_2 are the refractive indices of two adjacent maxima which correspond to the wavelengths λ_1 and λ_2 . The band gap was evaluated from the transmittance spectra by using the Tauc relation:

$$\alpha h \upsilon = A (h \upsilon - E_g)^n$$

where A is a constant, hv is the incident photon energy, Eg is the optical energy band gap, and α is the absorption coefficient. The exponent value was considered n = 2, as WO₃ is an indirect band gap semiconductor. The band gap values are presented in Table 1.

Similar band gap values were obtained for samples WT2 and WT5 and a blue shift is observed for 10 % Ti at. levels associated with its poor crystallinity. For the Mo-WO₃ films the band gap is red shifted with increasing doping concentration. The band gap narrowing or widening in doped WO₃ samples is also the result of the introduction of electronic states in the band gap [7, 51,52]. In spite of the fact that films WT10 and WM10 present comparable roughness values, even morphology, addition of high Ti at. lowers the absorption in the VIS-part of the spectra as confirmed by the E_g values, resulting in higher transmission levels.

3.5. Electrical conductivity

Current-voltage measurements

As most of the applications are in opto-electronics, it is important to study the effect of additives on conductivity. Therefore, the current-voltage measurements were performed in dark on the FTO/WO₃ junctions (0.15 mm²), in the potential range -2 to 2V, and the Ln(I) vs. V curve are plotted in Fig 9a.

Fig. 9 Representation of the Ln(I) vs. V curves for the WO₃ based films (a) and examples of reversed saturation current (I_S) extrapolation from the Ln(I) vs. E(V) curve, H(I) vs. I(II) and dV/dln(I) vs. I(III) plots for sample WM10 (b).

All WO₃ layers exhibit rectifying behavior and possibly a p-type behaviour as previously reported [47,53]. Interestingly, the films stored in dry conditions (desiccator) do not present a rectifying

behavior so we believe that the origin of this behavior is the adsorption/desorption of atmospheric oxygen or water vapors during deposition and annealing.

In WO₃, thermally generated oxygen vacancies ($V_0^{\bullet\bullet}$) are the most abundant native defects being responsible for the n-type conductivity of this semiconductor [54]. The formation of the double ionized oxygen vacancy is shown in eq. 1 and the resulting free electrons are considered to be localized in the conduction band (CB). During annealing in air, the majority of oxygen vacancies can be passivated by oxygen up-taking and generate positive holes lowering the n-type conductivity of WO₃. Also, during annealing in air, oxygen atoms can adsorb at the surface of WO₃ and yield a tungsten vacancy and six positive holes, explaining the p-type conductivity of the WO₃ films. Although inducing a significant unbalance, this reaction could be possible at the surface (being highly unlikely in the bulk film). During annealing in air oxygen can also occupy interstitial sites following an anti-Frenkel defect formation mechanism (eq. 8).



Additionally, because metal oxides are exposed to the atmospheres containing water vapors during cooling, oxygen vacancies can be filled by water molecules according to eq. 2, forming hydroxide ions (OH_0^{\bullet}) on normal oxygen sites [55].

$$H_2O + V_0^{\bullet \bullet} + O_0^x \rightarrow 2(OH)_0^{\bullet}$$
 (2)

However, fabrication processes developed under vacuum should be avoided as they increase the production cost. This unusual change in the semiconducting behavior was already observed for WO_3 materials exposed to different gases and explained by the formation of a surface inversion layer [56,57].

None of the films have higher forward conductivity than W, with Mo-WO₃ films showing better conductivity than Ti-WO₃ films (Fig. 9a). The WT2 and WT5 films have narrower band gap, most probably due to a higher oxygen vacancy concentration compared to the Mo-WO₃ films (WM2 and WM5), which explains also their poor p-type conductivity. The lowest conductivity corresponds to the layer with the highest band gap and poor crystallinity, sample WT10.

The current I through the junction under low bias voltage, according to the thermionic emission model considering the series resistance R_s , is given by the following relation [58]:

$$I = I_{s} \{ \exp[q(V - IR_{s})/nkT] - 1 \} (3)$$

and

$$I_s = AA^*T^2 \exp[-q\phi_b/kT]$$
(4)

where q is the charge, V is the applied voltage, n is the diode ideality factor, k is the Boltzmann constant, T is the temperature in K, Φ_b is the effective barrier height, A is the junction area, A^{*} is the effective Richardson constant [considered 1.20173×10⁶ A m⁻² K⁻²] and Is is the reverse saturation current. In the low voltage bias, the term IR_s << V, therefore the following relation can be written:

$$\ln I = \ln I_s + (qV/nkT)$$
 (5)

The ln(I)–V curves obtained using the I-V experimental data are presented in Fig. 9b*I*. Based on eq. (5), the reversed saturation current I_s was evaluated from the intercept of the strait-line in the downward curvature region [59] with the Y axis at V = 0. The ln(I)–V characteristics of the samples deviate from linearity suggesting high series resistance, and Cheung's functions [60] were used (eq. 6, 7 and 8) for the correct assessment of the ideality factor n, series resistance R_s and effective barrier height Φ_b .

$$dV/d\ln(I) = nkT/q + IR_s$$
 (6)

 $H(I) = V + nkT/q\ln(I/AA^*T^2)$ (7)

$$H(I) = n\phi_{\rm h} + IR_{\rm s} \ (8)$$

The R_S and n values were determined from the slope and intercept of the dV/dln(I) vs. I plot (Fig. 9b*II*) whereas the barrier height Φ_b was calculated from the H(I) vs. I plot (Fig. 9b*III*) using the already determined *n* value. The turn-on voltage V_D and the current corresponding to the turn-on voltage I_D for all the films were also extracted from the I-V curve. The results are gathered in Table 2.

Table 2. Turn on voltage (V_D) and corresponding current I_D, ideality factor (n), reverse saturation current (I_S), series resistance (R_s), barrier height determined from I-V measurements (Φ_b -IV) and from C-V measurements (Φ_b -CV).

The WO₃ film is characterized by the best ideality factor (close to 1). The ideality factor of the WT2 film could not be calculated because of poor rectifying behavior, whereas for the WT10 film a high deviation from ideality was observed. As a matter of fact, the WT2 film shows a transitory behavior between n and p-type conductivity, as Ti replaces W in the lattice creating oxygen vacancies (eq. 9).

$$TiO_2 \xrightarrow{WO_3} Ti_W^{2/} + 2O_O^X + V_O^{\bullet\bullet}$$
 (9)

Even if surface processes annihilate part of these vacancies, the turn on towards a p-type behavior is not realized. A 5 % at. Ti content in the precursor solution gives the optimum p-type conductivity among the Ti-WO₃ films, mostly because of vacancy passivation by oxygen (eq. 1) and water (eq. 2) up taking. Although with lower thermodynamic probability, other reactions are also possible at excess doping with Ti⁴⁺ when interstitial sites can be occupied, leading to the introduction of acceptor levels $(Ti_i^{4\bullet})$ (ec. 10) close to the upper edge of the valence band into the WO₃ band gap:

$$3TiO_2 \xrightarrow{2WO_3} 2Ti_W^{2/} + Ti_i^{4\bullet} + 6O_O^X$$
 (10)

In addition the ionic defects, at higher temperatures Ti concentration, electronic compensation might be the predominant process, with holes balancing the introduction of titanium donor levels in the energy gap (reaction 11).

$$TiO_2 \xrightarrow{WO_3} Ti_W^{2/} + 2O_O^X + 2h^{\bullet}$$
 (11)

The Kroger-Vink notation was used [61], where O_0^x represents an oxygen atom in an oxygen lattice site with neutral charge, $V_0^{\bullet\bullet}$ is the double positively charged oxygen vacancy, $Ti_i^{A\bullet}$ is titanium interstitial with 4+ positive charge, $Ti_W^{2/}$ is a titanium atom replacing W with -2 negative charge with respect to the perfect lattice.

However, when Ti concentration is further increased, an excess amount of interstitials is generated, causing band gap widening (Table 1), enhancing charge imbalance and lattice disorder, as revealed by the XRD analysis, with negative effects on conductivity. Also at higher doping concentrations the double charged oxygen vacancies could mainly become the charge compensating defects at the expense of electron holes.

Good rectification rates were obtained for the films WM2, WM5, WM10 (n = 1.2-1.3, Table 2) which are characterized by the lowest lattice stress values, with the best turn on current obtained for the WM2 film (-3.0·10⁻⁵ A), which then decreases in good agreement with a series resistance enhancement. The

reverse leakage current decreases with increasing Mo and Ti amounts, and maintains rather high values. According to Bhatnagar et al. [62] and Weber et al. [63] the high values of I_s are the effect of surface states and defects which enhance localized barrier height.

As the AFM images show the pore and particle size decrease with Ti and Mo level and so is the films surface reactivity, consequently the contribution of this factor to conductivity is diminished and more likely the generated oxygen vacancies are responsible for the p-type conductivity loss in the heavily doped Ti, Mo-WO₃ films.

The adsorption of gases or water on the WO₃ surface influence the electrical properties of the films and the extent at which this process might happen depends on the surface morphology. The chemisorption of oxygen from air during annealing is favorable on porous layers; the more oxygen is absorbed the higher the amount of oxygen vacancies that can be passivated and the higher the concentration of holes participating in the conduction. Oxygen can suppress the formation of interstitial oxygen $(O_i^{//})$ or tungsten vacancies $(V_W^{6//})$ donor states so that the acceptor states $(V_o^{\bullet\bullet})$ cannot be compensated, and the structure enhances its p type conductivity. The W, WT5, WM2, WM5 and WM10 films seem to have suitable particle sizes and interparticle distances (pores) to support this process, explaining their higher p-type conductivity.

The conductivity of doped WO₃ depends on the competition between the reactions that occur at acceptor $(Ti_i^{4\bullet}, V_o^{\bullet\bullet})$ and donor levels $(Ti_w^{2/}, O_i^{//})$, but mainly on the variations in the oxygen vacancy concentration, which is influenced by films surface reactivity with sorrouding atmosphere. Under thermal annealing at low Ti content (2%), the oxygen interstitials and tungsten vacancies are high enough to compensate and exceed the oxygen vacancy concentration thus decreasing the p-type conductivity.

Mott-Schottky analysis

Doping modifies the band gap energy and is expected to modify the Fermi energy by modifying the carrier charge density. Mott-Schottky measurement was performed for calculating the acceptor density and the flat band potential. The amplitude of the sinusoidal voltage was 25 mV and the measurements were performed at room temperature in open air. The FTO/WO₃ junction exhibits Mott–Schottky behavior, following the relation between C_{sc} and applied voltage V:

$$1/C_{sc}^{2} = (2/e\varepsilon_{0}\varepsilon_{r}N_{a}A^{2})(V+V_{FB})$$

where: C_{sc} is the differential capacitance of the space charge region, N_a the acceptor density, ε_0 the permittivity of vacuum (8.854 · 10⁻¹² F m⁻¹), ε_r the relative dielectric constant of WO₃ (50, [64]), A is the surface area of the semiconductor contact (0.15 mm²), V the electrode potential, V_{FB} the flat band potential and k, T, e have the usual meaning.

The Mott-Schottky analysis confirms the p-type semiconducting behavior of the WO₃ layers. In the graphs of $1/C_{sc}^2$ versus E (Fig. 10), the voltage axis intercepts gives the flat band potential, V_{FB}, whereas the slope of the straight line portions of the Mott–Schottky plots were used to determine the acceptors' concentration, N_a, and values are shown in Table 2. The highest acceptor density is obtained for the WT5 film (7.58 · 10¹⁵ cm⁻³), which explains its higher conductivity with respect to the other Ti-WO₃ films. A comparison between Ti and Mo-WO₃ thin films is not possible because of different ionization mechanism and energies of the generated impurity levels. Using the band gap values (Table 1), the position of the Fermi energy with respect to the top of the valence band can be calculated, [65]:

$$E_F - E_V = KT \ln(N_V / N_a)$$
$$N_V = (2/h^3)(2\pi m_h^* kT)^{3/2}$$

with

where N_V is the effective density of states in WO₃ valence band,
$$m_h^* = 0.56m_0$$
 the effective mass of holes and m₀ the rest mass of the electron (9.1·10⁻³¹ kg). The value obtained for N_V was 1.05·10¹⁹ cm⁻³. The barrier height can be calculated using the following formula:

$$\phi_b = V_{FB} + (E_F - E_V)$$

The carrier concentration, N_a , the $E_F - E_V$ and the barrier height values are gathered in Table 2. The barrier height values obtained from the C–V curves are higher than the barrier heights derived from the I–V measurements. This discrepancy is probably due to an interfacial layer or trapped states in the semiconductor. This result is in agreement with other reports [66,67].

Fig. 10 Mott-Schottky plots of the WO₃ based films.

The band diagram of the FTO/WO₃ junction was modeled for explaining the conducting behavior in case of forward and reverse biasing. The position of the valence and conduction bands of the WO_3 films where calculated using the equation, [68]:

$$E_{VB} = \chi_{semiconductor} - E_e + 0.5E_g$$

where: E_{VB} is the VB edge potential, $\chi_{semiconductor}$ is the semiconductor electronegativity, E_e is the energy of free electrons vs. SHE, E_g is the band gap energy of the semiconductor (experimentally measured), and E_{CB} can be calculated by:

$$E_{CB} = E_{VB} - E_g$$

The absolute semiconductor electronegativity $\chi_{semiconductor}(eV)$ and the absolute cationic electronegativity (eV) $\chi_{cation}(eV)$ can be calculated using the following two relations [69], where $\chi_{cation}(P.u.)$ represents the cationic electronegativity (P.u., Pauling units).

$$\chi_{semiconductor}(eV) = 0.45 \cdot \chi_{cation}(eV) + 3.36$$
$$\chi_{cation}(eV) = 2.976\chi_{cation}(P.u) + 0.612$$

The band diagram of the FTO/WO₃ junction is presented in Fig. 11, where $q\Phi_S$ is the semiconductor work function, qX_S is semiconductor affinity and $q\Phi_m$ is metal work function (5.10 eV). (Fig. 11, frame 2).

Fig. 11 Band diagram of FTO/ WO₃ junction before contact (1), at thermal equilibrium (2), under forward bias (3) and under reversed bias (4).

When put into contact electrons can lower their energy in FTO by flowing into the conduction band of p-WO₃ until the chemical potential of the semiconductor equals the Fermi energy of the FTO (Fig. 11, frame $1\rightarrow 2$). The resulting q Φ_b represents thus the Schottky barrier which is higher than the flat band potentials qV_{FB}. At forward bias (Fig. 11, frame 3) the Fermi level of the FTO is raised in energy above the bulk Fermi level in the semiconductor, which lowers the potential drop across the depletion layer to a value V_{FB}–V and increases the hole current from the semiconductor to the FTO. If a reverse bias is applied (Fig. 11, frame 4), the Fermi level of FTO is lowered below that of the semiconductor, and the barrier height for holes is increased on the semiconductor side by the amount of the reverse bias V_{FB}+V decreasing the hole current.

The suitable band alignment (Fermi level equalization) in multilayered structures used in photoconversion applications such as dye sensitized solar cells or photoelectrochromic devices determine the conversion efficiency so the knowledge of the Fermi level position is important. In dye sensitized solar cells the working voltage produced by the device is the difference between the Fermi level of the oxide and the redox potential of the electrolyte [70].

Photoconductivity

The change of current measured at a constant voltage of 0.01 V under illumination is shown in Fig. 12. The samples were left to equilibrate for 20s, then illumination was turned on and, because the current did not have a sharp increase/decrease and did not reach a saturation point at longer times, it was turned off at the same time for all the samples, after 40s, and was allowed to decrease for about 100s. The incident photon to electron conversion efficiency (IPCE) was calculated using the formula:

$$IPCE = 1240 \cdot I / \lambda \cdot J_{light}$$

where I is the maximum photocurrent density obtained after 40 s illumination, λ the incident light wavelength (390 nm), and J_{light} is the measured irradiance (17 mWcm⁻²). The IPCE results are shown in the inset of Fig. 12.

Fig. 12 Photocurrent curves for the WO₃ based films with calculated IPCE values (in %) in the inset.

Before irradiation a very small current runs through the WO₃ film, then the current increases slowly when the light is switched on and a residual photocurrent is observed after the light is turned off. The intensity of the photogenerated current depends upon the flat band potential, the larger the value of flat band potential, the greater the photoconversion efficiency will be [65]. The highest IPCE values were obtained for films WT2, WT5, WM5 and WM10 because they have band gaps close or equal to W for which the wavelength was calibrated for maximum photoresponse. The photocurrent density increases with Mo concentration which is in good agreement with rising V_{FB} values. Contrary, for Ti doping, the IPCE decreases with increasing concentration, with sample WT10 having the lowest efficiency due to poor absorption of incident light as it exhibits the highest band gap widening ($E_g = 2.77 \text{ eV}$) and the lowest V_{FB} (1.36 eV). The donor or acceptor levels present in the bad gap can act as traps or as charge transfer promoters influencing the photo-conversion efficiency.

4. Conclusions

This paper is focused on analyzing the influence of additives (Ti and Mo in 2, 5 and 10 at. % levels) on the opto-electronic properties of WO₃ thin films deposited by spray pyrolysis. The additives modify the crystalline structure and morphology of the native layer and in correlation with the modification of the solution surface tension, which is dependent on doping cation reactivity with the already formed W^{6+} -

AcAc complexes. Solution surface tension reduces with increasing foreign cation concentration, with the lowest values for the Mo-contaning solutions, being responsible for the WO₃ films densification. X-ray analysis shows that Ti has a detrimental effect on layers structure (increasing disorder/stress and layer amorphization) as opposed to Mo which improves the crystalline order. as consequence of layer interaction with the surrounding atmosphere (i.e. oxygen and water adsorption during annealing/cooling). Moreover, a close relation between conductivity and these surface processes was established as they mainly have an effect on the oxygen vacancy concentration.

The FTO/WO₃ junction was successfully used as diagnosing tool for determining the quality of the WO₃ films, given that its properties (i.e. barrier height, ideality factor and series resistance) were found strongly related to the layer structure, morphology and composition. Good rectification rates were obtained for the Mo-WO₃ films which are characterized by the lowest lattice stress and surface resistance values. WO₃ based films exhibit a p-type semiconducting behavior, as confirmed also by the Mott-Schottky analysis, with lower p-type conductivity for the Ti-WO₃ films. The band diagram of the FTO/WO₃ junction was modeled to explain the conductivity under forward and reverse bias. Changes in conductivity were attributed to the evolution of oxygen vacancy concentration at the film surface due oxygen/water adsorption from the atmosphere. For heavily doped WO₃ films the contribution of these surface processes to the overall conductivity is reduced since surface reactivity is lost by densification.

The XPS analysis confirmed the presence of the cations in the valence states corresponding to the precursor salts, but also in lower valence states (Ti^{3+} and Mo^{5+}). Doping allows tuning the optoelectronic properties, but the output criterion/criteria has/have to be defined since the optimized deposition depends on the targeted application. Good conductivity (ideality factors close to 1) can be obtained for 2 % at. Mo-WO₃ layers characterized by high crystallinity and layer uniformity, the photoconductivity can be significantly increased by 2 % at. Ti addition, transmission of the WO₃ layers in the visible region can be increased by adding Ti.

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Fig. 1. Comprehensive illustration of the <u>spray pyrolysis</u> process (SPD) showing the variable deposition parameters and their inter-dependency.



Fig. 2. Surface tension energy values of the spraying solutions with and without additive cation precursors.



Fig. 3. Illustration of the W⁶⁺-AcAc complex <u>solvation</u> in the solution without (a) and in the presence (b) of additives, in the ethanol media.



Fig. 4. SEM images of the WO₃ film (W) and of the Ti (WT5) and Mo (WM5)–WO₃ films.



Fig. 5. AFM images of the WO₃ film – W(a), Ti – WT2 (c), WT5 (d) and WT10 (e), and Mo – WM2 (f), WM5 (g) and WM10 (h) WO₃ films, and pore size distribution (b).



Fig. 6. XRD patterns of the Ti–WO₃ (a) and Mo–WO₃ (b) films presented next to the WO₃ film.



Fig. 7. XPS spectra showing the W4f, Ti2p and Mo3d core levels for the WO_3 (W), and 5 at.% Ti and $Mo-WO_3$ films (WT5 and WM5).



Fig. 8. Transmission spectra (total reflectance spectra in the inset) of the WO₃ based layers. Baseline was done with the FTO substrate.



Fig. 9. Representation of the Ln(I) vs. V curves for the WO₃ based films (a) and examples of reversed saturation current (I_S) extrapolation from the Ln(I) vs. E(V) curve, H(I) vs. I(II) and dV/dln(I) vs. I(III) plots for sample WM10 (b).



Fig. 10. Mott–Schottky plots of the WO₃ based films.



Fig. 11. Band diagram of FTO/WO₃ junction before contact (1), at thermal equilibrium (2), under forward bias (3) and under reversed bias (4).



Fig. 12. Photocurrent curves for the WO_3 based films with calculated IPCE values (in %) in the inset.