



**HAL**  
open science

# A Chebychev's type of prime number theorem in a short interval II.

Lou Shituo, Yao Qi

► **To cite this version:**

Lou Shituo, Yao Qi. A Chebychev's type of prime number theorem in a short interval II.. Hardy-Ramanujan Journal, 1992, Volume 15 - 1992, pp.1 - 33. 10.46298/hrj.1992.124 . hal-01108637

**HAL Id: hal-01108637**

**<https://hal.science/hal-01108637v1>**

Submitted on 23 Jan 2015

**HAL** is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

**A Chebychev's Type of Prime Number Theorem in a Short Interval-II**

Shituo Lou and Qi Yao

§ 1. INTRODUCTION.

We shall investigate the number of primes in the interval  $(x - y, x]$  for  $y = x^\theta$  with  $1/2 < \theta \leq 7/12$ . In [1], we proved

**Theorem A.** *Suppose  $x$  be a large number, then*

$$1.01 \frac{y}{\log x} \geq \pi(x) - \pi(x - y) \geq 0.99 \frac{y}{\log x} \quad (1.1)$$

with  $y = x^\theta$ , uniformly for

$$\frac{11}{20} < \theta \leq \frac{7}{12}. \quad (1.2)$$

Denote  $p(d_i)$  the smallest prime factor of  $d_i$ . We write

$$S_k := \{d : d = d_1 \cdots d_k, d \in I^y, p(d_i) \geq z, 1 \leq i \leq k\}. \quad (1.3)$$

Let

$$\sum_{n \in I^y} a_n(k) = \sum_{\substack{d_1 \cdots d_k = n \\ p(d_i) \geq z, 1 \leq i \leq k \\ n \in I^y}} 1 = \sum_{s \in S_k} 1. \quad (1.4)$$

Let the interval  $I^y = (x - y, x]$  with

$$x^{1/2} < y \leq \frac{1}{2}x$$

and the parameter  $z$  satisfying

$$x^c < z \leq x^{1/5}$$

where  $c$  is a positive integer that will be chosen later.

Let  $I_j, 1 \leq j \leq r$ , be a set of integers, and  $I_j \subseteq [2, x]$  and  $H$  be the "Direct Product" of sets  $I_j$ , for  $1 \leq j \leq r$ , it means  $d \in H$  if and only if  $d = d_1 \cdots d_r$  with  $d_j \in I_j, 1 \leq j \leq r$ , and  $d \in I^y$ . (1.5)

Suppose  $\theta$  be fixed in the interval  $(1/2, 1), y \in [x^\theta, x \exp(-(\log x)^{1/6})]$ . Define the conditions  $(A_1)$  and  $(A_2)$  as following :

$(A_1)$ . Let  $k'$  be an integer. If there exist some sets  $H_k, 1 \leq k < k'$ , which are collections of direct products  $H$ 's and constants  $c_H$  such that

$$\sum_{n \in I^y} a_n(k) = \sum_{H \in H_k} C_H \sum_{d \in H} 1 + O\left(\frac{y}{\log^2 x}\right), \quad (1.6)$$

then we call  $H_k, 1 \leq k < k'$ , satisfy  $(A_1)$ .

$(A_2)$ . If  $H_k, 1 \leq k < k'$ , satisfy  $(A_1)$ , there exists a subset  $H'_k$ , and a function  $E_k(H, z)$  independent of  $y$  such that

$$\sum_{d \in H_k} 1 = y E_k(H, z) + O(y \exp(-(\log x)^{1/7})), \quad (1.7)$$

uniformly for

$$x^\theta \leq y \leq x \exp(-(\log x)^{1/6}),$$

then we call  $H'_k, 1 \leq k < k'$ , satisfy  $(A_2)$ . We call  $H'_k$  a 'good set' and call  $H''_k = H_k \setminus H'_k$ , a 'bad set', for  $1 \leq k < k'$ .

In [3], we proved :

**THEOREM B.** Let  $x$  be a sufficient large number,  $\theta$  be fixed with  $1/2 < \theta < 1, x^\theta \leq y < (1/2)x, I^y = (x - y, x], k_0$  be an integer which is dependent on  $\theta$ , and  $z$  be fixed in  $(x^{1/k_0}, x^{1/5}]$ . Let  $H_k, 1 \leq k < k'$ , such that  $(A_1)$ . If there exists a subset  $H'_k$  of  $H_k$  such that  $(A_2)$ , and writing  $H''_k = H_k \setminus H'_k$ , then we have

$$\pi(x) - \pi(x - y) = y E(x, z) + R(y) + O(y \exp(-(\log x)^{1/7})) \quad (1.8)$$

uniformly for

$$x^\theta \leq y \leq x \exp(-(\log x)^{1/\theta}),$$

where  $E(x, z)$  independent of  $y$ , and

$$R(y) = \sum_{1 \leq k \leq k_0} (-1)^{k-1} k^{-1} \sum_{H \in \mathcal{H}'_k} c_H \sum_{d \in H} 1. \quad (1.9)$$

$$\pi(x) - \pi(x-y) = \sum_{1 \leq k \leq k_0} (-1)^{k-1} k^{-1} \sum_{s \in S_k} 1 + O(yx^{-\frac{1}{2}}). \quad (1.10)$$

Theorem B is a generalization of Theorem 1 of Heath-Brown [2]. Take  $k' = 7, S_1, \dots, S_5$  as good sets and only  $S_6$  as a bad set i.e.  $H'_1 = S_1, \dots, H'_5 = S_5, H'_6 = \phi$ ; and  $H''_1 = \dots = H''_5 = \phi, H''_6 = S_6$ ; then Theorem B become Theorem 1 of [2]. We are not limited that the good set  $S'_k$  or that the bad set  $S''_k$  should to be whole of  $S_k$ . In fact,  $R(y)$  is the contribution of all bad sets. In [1], we also proved :

**THEOREM C.** Suppose that  $\theta$  is fixed in  $(1/2, 1), y_0 = x \exp(-(\log x)^{1/\theta}), \mathcal{H}_k, 1 \leq k < k'$ , satisfy  $(A_1)$  and  $(A_2)$ . If there exists constants  $e''_1, e'_1, e''_2$  and  $e'_2$  such that

$$\frac{(e'_1 + \varepsilon)y_0}{\log x} < \sum_{1 \leq k < k'} (-1)^{k-1} k^{-1} R_k(y_0) < \frac{(e''_1 - \varepsilon)y_0}{\log x}; \quad (1.11)$$

and

$$\frac{(e'_2 + \varepsilon)y}{\log x} < \sum_{1 \leq k < k'} (-1)^{k-1} k^{-1} R_k(y) < \frac{(e''_2 - \varepsilon)y}{\log x}; \quad (1.12)$$

where  $\varepsilon$  is a small positive constant. Then

$$\frac{(1 - e''_1 - e'_2)y}{\log x} < \pi(x) - \pi(x-y) < \frac{(1 + e'_1 + e''_2)y}{\log x} \quad (1.13)$$

uniformly for  $x^\theta \leq y \leq y_0$ .

We will prove the main theorem of this paper :

**Theorem 1.** Let  $y = x^\theta, \theta = 6/11 + \varepsilon$ , then

$$\frac{0.969y}{\log x} < \pi(x) - \pi(x-y) < \frac{1.031y}{\log x}. \quad (1.14)$$

Using Theorem B and Theorem C, we need to find  $H_k$  and  $H'_k$ . In [4] we gave some sufficient conditions that imply some kind of "direct product" be "good set". In § 2, we record those results from [4]. In § 3, we use those conditions to prove that  $H'_k$  which will be defined in § 6 below be "good set".

A criterion for good sets is extracted. However, the technical work needed to choose good sets and to make the size of the bad sets as small as possible, is precisely the main difference between our method and Heath-Brown's. The new Theorem 1 will enable us to improve the results of Heath-Brown and Iwaniec [5]. Moreover, we can improve (1.14) further but only at the cost of much arduous computation.

## § 2 "GOOD SET"

Let  $c_0$  be a constant that will be defined later on. Let  $I_0$  be an interval  $[a_0, b_0]$  which contains in  $[1, x]$  and  $I_j (1 \leq j \leq r)$  be a subset of interval  $[a_j, b_j]$  contains in  $[x^{c_0}, x]$  also. Denote  $D = I_0 \cdots I_r$  be a direct product of  $I_j$ . Let  $i_j = \log a_j / \log x$  and  $i'_j = \log b_j / \log x$  and let  $d_j = x^{\theta_j}$  with  $i_j \leq \theta_j \leq i'_j$  and  $0 \leq j \leq r$ . For convenience, we write  $d = \{\theta_0, \theta_1, \dots, \theta_r\} \in D$ , and a set

$$D = \{\{\theta_0, \theta_1, \dots, \theta_r\} : 1/2 \geq 1 - \theta_1 - \dots - \theta_r = \theta_0 \geq \theta_1 \geq \dots \geq \theta_r\}. \quad (2.1)$$

For short, we denote  $\{\theta_j\} = \{\theta_0, \theta_1, \dots, \theta_r\}$ .

Let  $D \cap \mathbf{I}^y$  be a set of integers,  $d \in D \cap \mathbf{I}^y$  if and only if  $d \in D$  and  $d \in \mathbf{I}^y \cdot d = d'$  with  $d, d' \in D \cap \mathbf{I}^y$  means  $d = d_0 \cdots d_r$  and  $d' = d'_0 \cdots d'_r$  with  $d_j = d'_j$  for  $0 \leq j \leq r$ . We shall show the sufficient conditions for  $D \cap \mathbf{I}^y$  be a "good set", i.e. for a fixed  $z$  with  $x^{1/5} > z = x^c$ , there exists a function  $E_D(x, z)$ , independent of  $y$ , which satisfies that

$$\sum_{d \in D \cap \mathbf{I}^y} 1 = y E_D(x, z) + O(y \exp(-\log^{1/7} x)), \quad (2.2)$$

where  $E_D(x, z)$  and constant in "O" are uniformly for

$$x^\theta \leq y \leq x \exp(-4(\log x)^{\frac{1}{3}}(\log \log x)^{-\frac{1}{3}}).$$

We discuss those sequences  $d = \{\theta_0, \theta_1, \dots, \theta_r\} = \{\theta_j\}$  in  $D$ . For such  $\{\theta_j\}$ , we define a corresponding set  $\Theta$  of all of sequences  $\{\theta'_0, \theta_1, \dots, \theta_r, \theta_{r+1}, \dots, \theta_{r+r_1}\}$

with  $\theta'_0 \leq \theta_0, \theta_1 \geq \dots \geq \theta_r \geq \log z / \log x > \theta_{r+1} \geq \dots \geq \theta_{r+r_1}$  and

$$\theta'_0 + \theta_1 + \dots + \theta_{r+r_1} = 1. \quad (2.3)$$

By (2.3) and (2.1), we have that if  $r_1 = 0$ , then

$$\theta'_0 = \theta_0 \geq \theta_1. \quad (2.4)$$

For short, write  $\{\theta_j\}' = \{\theta'_0, \theta_1, \dots, \theta_r, \theta_{r+1}, \dots, \theta_{r+r_1}\} = \{\theta_j\}$ , and  $\{\theta_j\}' \in \Theta$ . Let  $\theta'_0 = \log X / \log x, \theta_j = \log X_d(j) / \log x (1 \leq j \leq r)$  and  $\theta_{r+j} = \log Z_j / \log x (1 \leq j \leq r_1)$ . For each  $\{\theta'_0, \theta_1, \dots, \theta_r, \theta_{r+1}, \dots, \theta_{r+r_1}\}$ , we define a product of Dirichlet series :

$$W(s) = X(s) \prod_{j=1}^r X_d^{(j)}(s) Y(s) \prod_{j=1}^{r_1} Z_j(s) \quad (2.5)$$

where

$$X(s) = \sum_{X < n \leq 2X} n^{-s};$$

$$X_d^{(j)}(s) = \sum_{X_d^{(j)} < m \leq 2X_d^{(j)}} f_m^{(j)} m^{-s}, |f_m^{(j)}| \leq 1;$$

$$Z_j(s) = \sum_{Z_j < l \leq 2Z_j} c_l l^{-s}, |c_l| \leq 1;$$

$$Y(s) = \sum_{Y < t \leq 2Y} \mu(t) v_t t^{-s}, |v_t| \leq 1.$$

with  $Y = O(x^\delta)$ ,  $\delta$  be a sufficient small number with  $\delta \ll \varepsilon$ . Each  $\{\theta_j\} \in \mathbf{D}$  corresponds all of  $W(s, \{\theta_j\}')$ 's for which  $\{\theta_j\}' \in \Theta$ . Define that  $\mathbf{W}(\mathbf{D})$  is a set of all of such  $W(s, \{\theta_j\}')$ . For short, we write  $W(s, \{\theta_j\}') = W(s)$ . In [4], we proved that

**Theorem A.** *If  $\mathbf{D}$  satisfies one of following conditions*

- (1)  $a_0 \geq x^{1/2}$ ;
- (2) all of  $W(s) \in \mathbf{W}(\mathbf{D})$  such that

$$\int_T^{2T} |W(\frac{1}{2} + it)| dt \ll x^{\frac{1}{2}} \exp(-(\log x)^{\frac{1}{3}} (\log \log x)^{-\frac{1}{3}}) \quad (2.6)$$

for

$$T_1 \leq T \leq \frac{x^{1-\Delta}}{y},$$

where  $\Delta$  is any fixed positive constant, and

$$T_1 = \exp((\log x)^{\frac{1}{3}} (\log \log x)^{-\frac{1}{3}}).$$

Then (1.2) holds i.e.  $\mathbf{D}$  is a good set.

Let  $\theta_0, \theta_1, \dots, \theta_k$  be positive numbers. In [4], we discussed the sequence  $\{\theta_0, \theta_1, \dots, \theta_k\}$  with positive number  $k$  such that

$$\theta_0 + \theta_1 + \dots + \theta_k = 1 \quad (2.7)$$

defined a set  $E(\theta)$  of some  $\{\theta_0, \theta_1, \dots, \theta_k\}$ 's and acutely proved that (4, § 5).

**Theorem B.** Let  $\{\theta_j\} \in \mathbf{D}$ . For each  $\{\theta_j\}' \in \Theta$  define

$$W'(s) = X(s) \prod_{j=1}^r X_d^{(j)}(s) \prod_{j=1}^{r_1} Z_j(s)$$

If  $\{\theta_j\}' \in E(\theta)$ , then

$$\int_T^{2T} |W'(\frac{1}{2} + it)| dt \ll x^{1/2-\epsilon}. \quad (2.8)$$

Moreover, (3.9) holds.

We now describe the set  $E(\theta)$ .

Suppose  $\{a_1, a_2, \sigma\}$  or  $\{a_1, a_2, a_3, \sigma\}$  be a complementary partial sum (it means that each  $\theta_j$  belongs one and only one set and their sum in a set be  $\sigma$  or  $a_i$ ) of  $\{\theta_0, \theta_1, \dots, \theta_k\}$  with  $\sigma = \theta_0$  or  $\sigma \leq t_0/2$ , then

$$a_1 + a_2 + \sigma = 1. \quad (2.9)$$

or

$$a_1 + a_2 + a_3 + \sigma = 1. \quad (2.10)$$

Later on, we only define two of  $a_1, a_2, \sigma$  if (2.9) holds; or define three of  $a_1, a_2, a_3, \sigma$  if (2.10) holds.

Let  $\theta = 6/11 + \varepsilon, t_0 = 1 - \theta + \varepsilon/2$  and  $z = x^c$  with  $c = t_0/10$ . Define

$$D = \{ \{ \theta_0, \theta_1, \dots, \theta_r \} : \theta_1 \geq \dots \geq \theta_r > c, \theta_0 + \theta_1 + \dots + \theta_r = 1 \}. \quad (2.11)$$

Define  $D_i^* (1 \leq i \leq 7)$  be the subsets of  $D$  and

$$D_1^* = \{ \{ \theta_0, \theta_1, \dots, \theta_9 \} : \theta_0 \geq \dots \geq \theta_9 > t_0/5 \text{ and } \theta_1 + \theta_2 + \theta_3 + \theta_4 \leq 8t_0/9 \};$$

$$D_2^* = \{ \{ \theta_0, \theta_1, \dots, \theta_7 \} : 2t_0/7 \geq \theta_0 \geq \theta_1 \geq \dots \geq \theta_7 \geq t_0/5 \}.$$

$$D_3^* = \{ \{ \theta_0, \theta_1, \dots, \theta_5 \} : 2t_0/5 \geq \theta_0 \geq \dots \geq \theta_5 > t_0/5, \theta_3 + \theta_4 + \theta_5 \geq t_0 \};$$

$$D_4^* = \{ \{ \theta_0, \theta_1, \dots, \theta_5 \} : \theta_0 \geq \theta_1 \geq \theta_2 \geq \theta_3 \geq 1 - 20t_0/11, t_0/3 \geq \theta_4 \geq t_0/4,$$

$$\theta_5 \geq t_0/5, \theta_0 + \theta_1 \leq 6t_0/7, \theta_2 + \theta_3 \geq 4 - 8t_0, \theta_0 \leq \theta_5/8 + 3t_0/8 \};$$

$$D_5^* = \{ \{ \theta_0, \theta_1, \dots, \theta_5 \} : t_0/2 \geq \theta_0 \geq \theta_1 \geq \theta_2 \geq \theta_3 \geq \theta_4 \geq 1 - 20t_0/11, \theta_3 + \theta_4 \geq 4 - 8t_0, t_0/5 \geq \theta_5 \geq t_0/10, \theta_0 + \theta_1 \leq t_0/2 \};$$

$$D_6^* = \{ \{ \theta_0, \theta_1, \dots, \theta_4 \} : t_0/2 \geq \theta_1 \geq \theta_2 \geq \theta_3 \geq \theta_4 \geq 1 - 20t_0/11, \theta_3 + \theta_4 \geq 4 - 8t_0 \}$$

$$D_7^* = \{ \{ \theta_0, \theta_1, \dots, \theta_6 \} : 2t_0/5 \geq \theta_0 \geq \theta_1 \geq \theta_2 \geq \theta_3 \geq \theta_4 \geq 1 - 20t_0/11, t_0/5 \geq \theta_5 \geq \theta_6 \geq t_0/10, \theta_4 + \theta_6 > t_0/2 \}$$

and

$$D^* = \cup_{j=1}^7 D_j^*. \quad (2.12)$$

In § 4, we shall prove :

**Theorem 1.** *Suppose  $D'$  be a subset of  $D$  and*

$$D' \cap D = \emptyset, \quad (2.13)$$

*then  $D'$  satisfies (1.2), i.e.  $D'$  is a good set.*



In [4], we gave that some sufficient conditions which imply that  $D$  is a good set. In this paper, in § 2, we record those conditions from [4]. In § 3, we use them to show that  $D \setminus D^*$  which is defined in (2.11) and (2.12) is "good".

### § 3. THEOREM 2.

We discuss those sequences  $d = \{\theta_0, \theta_1, \dots, \theta_r\} = \{\theta_j\}$  in  $D$ . For such  $\{\theta_j\}$ , we define a corresponding set  $\Theta$  of all sequences  $\{\theta'_0, \theta_1, \dots, \theta_r, \theta_{r+1}, \dots, \theta_{r+r_1}\}$  with  $\theta'_0 \leq \theta_0$ ,

$$1/2 > \theta'_0 = \theta_{r+1} \geq \dots \geq \theta_{r+r_1} \geq \theta_1 \geq \dots \geq \theta_r \geq \log z / \log x > \theta_{r+1} \geq \dots \geq \theta_{r+r_1} \quad (3.1)$$

and

$$\theta'_0 + \theta_1 + \dots + \theta_{r+r_1} = 1. \quad (3.2)$$

By (2.11) and (3.2), we have that if  $r_1 = 0$ , then

$$\theta'_0 = \theta_0 \geq \theta_1. \quad (3.3)$$

For short, write  $\{\theta_j\}' = \{\theta'_0, \theta_1, \dots, \theta_r, \theta_{r+1}, \dots, \theta_{r+r_1}\}$ , and  $\{\theta_j\}' \in \Theta$ . Write

$$r = r' + r'',$$

with

$$\theta_1 \geq \dots \geq \theta_{r'} \geq t_0/5 > \theta_{r'+1} \geq \dots \geq \theta_{r'+r''}. \quad (3.4)$$

We now describe the set  $E(\theta)$ . Suppose  $\theta = 6/11 + \varepsilon$  and  $t_0 = 5/11 + \varepsilon/2$ . We define  $E(\theta)$  be a set which contain all of sequence  $\{\theta_0, \theta_1, \dots, \theta_k\}$  with (2.7) which satisfies one of following four properties :

- (I) There exists at least one complementary partial sum  $\{a_1, a_2, \sigma\}$  of  $\{\theta_0, \theta_1, \dots, \theta_k\}$  which satisfies one of following conditions :

$$(3.5) \quad a_1 \leq t_0, a_2 < 4 - 8t_0 \text{ (see Lemma 4.4 of [4]);}$$

$$(3.6) \quad 1 - 20t_0/11 > \sigma > t_0/5 \text{ and } t_0 \geq a_2 > 8t_0/9 \text{ or } \sigma > t_0/5, a_1 \geq t_0 \text{ and } a_2 > 8t_0/9 \text{ (see (4.1.3) with } i = 3 \text{ of [4]);}$$

- (3.7)  $a_2 \leq t_0, a_1 \leq t_0$  and  $\sigma < 1 - 20t_0/11$  (see (4.6.1) of [4]);
- (3.8)  $\sigma > t_0/2$  (see Lemma 4.3 of [4]);
- (3.9)  $a_1 \geq t_0, a_2 \geq t_0$  (see (4.1.1) of [4]);
- (3.10)  $a_1 \geq t_0, a_2 > 4t_0/5$ , and  $\sigma > t_0/3$  (see (4.1.3) with  $i = 1$  of [4]);
- (3.11)  $t_0 \geq a_2 > 4t_0/5$ , and  $1 - 20t_0/11 > \sigma > t_0/3$  (see (3.7) and (3.10) above);
- (3.12)  $1 - 20t_0/11 > \sigma$  and  $m_\sigma < a_1 < M_\sigma$  (see (4.4.4) and (4.6.1));
- (3.13)  $1/2 \geq a_1 \geq t_0$ , and  $\sigma < 1/2 - 8t_0/9$  (see (4.5.6) of [4]);
- (3.14)  $\sigma \geq t_0/4, a_1 \geq t_0$  and  $a_2 > 6t_0/7$  (see (4.1.3) with  $i = 2$  of [4]);
- (II) There exists at least one complementary partial sum  $\{a_1, a_2, a_3, \sigma\}$  of  $\{\theta_0, \theta_1, \dots, \theta_k\}$  which satisfies
- (3.15)  $a_1 \geq t_0, a_2 \geq t_0/2, a_3 \geq t_0/4$  and  $\sigma > 2t_0/7$  (see (4.2.1) of [4]);
- (3.16)  $a_1 \geq t_0, a_2 \geq t_0/3, a_3 \geq t_0/3$  and  $\sigma > 2t_0/5$  (see (4.2.2) of [4]).
- (III)  $\{\theta_0, \theta_1, \dots, \theta_k\}$  satisfies one of the following conditions:
- (3.17)  $k_0 = 6, \sigma = \theta_0 \leq t_0/2, t_0/5 < \theta_6 \leq \dots \leq \theta_1 \leq 2t_0/7$  (see (4.7.4) of [4]);
- (3.18) There exists at least one complementary partial sum  $\{a_1, a_2, a_3, \sigma\}$  of  $\{\theta_0, \theta_1, \dots, \theta_k\}$  which satisfies  $\sigma = \theta_0, a_1 < t_0, a_2 < 1/3$  and  $a_3 < t_0/5$  (see Lemma 3.10 with  $t_0 = 5/11 - \varepsilon$  of [4]).
- (3.19)  $\sigma = \theta_0, a_1 \leq 8t_0/9, a_2 \leq 4t_0/9, a_3 \leq t_0/4$ , and  $a_4 = 1 - \sigma - a_1 - a_2 - a_3 \leq t_0/4$  (see (4.7.3) of [4]).
- (3.20)  $\sigma = \theta_0, a_1 \leq t_0/2, a_2 \leq t_0/2, a_3 \leq 4t_0/9, a_4 \leq t_0/4$ , and  $a_5 = 1 - \sigma - a_1 - a_2 - a_3 \leq t_0/4$  (see (4.7.7) of [4]).
- (3.21)  $\sigma = \theta_0, \theta_i < t_0/5$ , and  $\sigma > 3t_0/8 + \theta_i/8$  (see (4.7.9) of [4]).

For a fixed  $\sigma < 1 - 20t_0/11$ , in [4] we proved that there exists a pair of numbers  $(m_\sigma, M_\sigma)$  with the properties

$$M_\sigma - m_\sigma > t_0/5 \text{ if } \sigma \geq t_0/5; \quad (3.22)$$

$$M_\sigma - m_\sigma < \sigma \text{ if } \sigma < t_0/5; \quad (3.23)$$

$$M_\sigma > t_0 > m_\sigma; \quad (3.24)$$

and

$$M_\sigma + m_\sigma + \sigma = 1 \quad (3.25)$$

(IV) Suppose  $\{a_1, a_2, \sigma\}$  or  $\{a_1, a_2, a_3, \sigma\}$  be a complementary sum of  $\{\theta_0, \theta_1, \dots, \theta_k\}$  with

$$m_\sigma < a_i < M_\sigma, \quad (i = 1 \text{ or } 2), \quad (3.26)$$

(See Lemma 4.5 of [2]).

Moreover, for  $t_0/3 \geq \theta \geq t_0/4$ , we have that

$$3m_\theta/2 + 3\theta < 1. \quad (3.27)$$

Applying Theorem A and Theorem B, Theorem 1 follows from

**Theorem 2.** *Suppose  $\theta = 6/11 + \varepsilon$ , and  $D'$  such that*

$$D \cap D^* = \emptyset,$$

*then for every  $\{\theta_j\} \in D'$ , the all of corresponding  $\{\theta_j\}' \in \Theta$  contain in  $E(\theta)$ .*

#### § 4. LEMMAS.

Let  $\theta = 6/11 + \varepsilon$  and  $\{\theta_0, \theta_1, \dots, \theta_k\}$  with (2.7), i.e.

$$\theta_0 + \theta_1 + \dots + \theta_k = 1.$$

In this section, we shall show some sufficient conditions for  $\{\theta_0, \theta_1, \dots, \theta_k\} \in E(\theta)$ . By the definition of  $E(\theta)$  we check that  $\{\theta_j\}$  satisfies at least one of conditions (3.5) - (3.19), and (3.25). When  $\theta'_0 > t_0/2$ ,  $\{\theta_j\}' \in E$  by (3.8).

When  $t_0 \leq \theta_1 \leq 1/2$  and  $\theta'_0 \leq t_0/2$ , we have that  $r_1 \neq 0$  by (3.3) and  $\theta_{r+r_1} < t_0/5$ , let  $a_1 = \theta_1$ ,  $\sigma = \theta_{r+r_1}$  and  $a_2 = 1 - a_1 - \sigma$ , then  $\{\theta_j\}' \in E$  by (3.13).

**Lemma 4.1.** *Suppose there exist two elements  $\theta'$  and  $\theta''$  of  $\{\theta_0, \theta_1, \dots, \theta_k\}$  with  $\theta' \leq 1 - 20t_0/11$  and  $\theta'' < t_0/5$ . If there exists a partial sum  $s$  of  $\{\theta_0, \theta_1, \dots, \theta_k\} \setminus \{\theta', \theta''\}$  such that  $s < t_0$  and  $s + \theta' \geq t_0$ , then  $\{\theta_0, \theta_1, \dots, \theta_k\} \in E(\theta)$ .*

**Proof.** We discuss following three cases :

**Case 1.**  $t_0 \leq s + \theta' < M_{\theta''}$ .

Let  $\sigma = \theta''$  and  $a_1 = s + \theta'$ , we have that  $\{\theta_0, \theta_1, \dots, \theta_k\} \in E(\theta)$  by (3.24) and (3.26).

**Case 2.**  $s + \theta' \geq M_{\theta''}$ .

By (3.25), we have

$$1 - s - \theta' - \theta'' \leq 1 - M_{\theta''} - \theta'' = m_{\theta''}$$

and, by (3.23),

$$1 - s - \theta' \leq \theta'' + m_{\theta''} < M_{\theta''}.$$

Let  $a_1 = 1 - s - \theta'$  and  $\sigma = \theta''$ , if  $a_1 > m_{\theta''}$ , then  $\{\theta_0, \theta_1, \dots, \theta_k\} \in E(\theta)$  by (3.26). If  $a_1 \leq m_{\theta''} \leq t_0$ , then  $\{\theta_0, \theta_1, \dots, \theta_k\} \in E(\theta)$  by (3.7) since  $a_2 = 1 - a_1 - \sigma \leq m_{\theta''} \leq t_0$  and  $\sigma = \theta'' < 1 - 20t_0/11$ .

**Lemma 4.2.** *Suppose  $\{a_1, a_2, \sigma\}$  be a complementary partial sum of  $\{\theta_0, \theta_1, \dots, \theta_k\}$  with  $a_1 = \theta''_1 + \dots + \theta''_k$ ,  $a_2 = \theta'_1 + \dots + \theta'_k$ ,  $a_1 \geq a_2$ ,  $1 - 20t_0/11 > \sigma = 1 - a_1 - a_2 > 1/2 - 8t_0/9$  and*

$$\max\{\theta''_1, \dots, \theta''_k\} - \max\{\theta'_1, \dots, \theta'_k\} < t_0/5; \quad (4.1)$$

then  $\{\theta_0, \theta_1, \dots, \theta_k\} \in E(\theta)$ .

**Proof.** If  $a_1 \leq t_0$ , then  $\{\theta_0, \theta_1, \dots, \theta_k\} \in E(\theta)$  by (3.7); if  $a_2 \geq t_0$ , then  $\{\theta_0, \theta_1, \dots, \theta_k\} \in E(\theta)$  by (3.6); if  $m_\sigma < a_1 < M_\sigma$ ,  $\{\theta_0, \theta_1, \dots, \theta_k\} \in E(\theta)$  by (3.26).

Now we suppose  $a_1 \geq M_\sigma$ .

By (3.23), we have

$$\begin{aligned} \theta''_1 + \cdots + \theta''_{k-1} + \theta_k &= \theta''_1 + \cdots + \theta''_k + (\theta'_k - \theta''_k) \\ &> M_\sigma - \frac{t_0}{5} \geq m_\sigma. \end{aligned}$$

If

$$\theta''_1 + \cdots + \theta''_{k-1} + \theta'_k < M_\sigma,$$

let  $a_1 = \theta''_1 + \cdots + \theta''_{k-1} + \theta'_k$ , then  $\{\theta_0, \theta_1, \dots, \theta_k\} \in E(\theta)$  by (3.25); if

$$\theta''_1 + \cdots + \theta''_{k-1} + \theta'_k \geq M_\sigma,$$

and

$$\theta''_1 + \cdots + \theta''_{k-2} + \theta'_{k-1} + \theta'_k < M_\sigma,$$

repeating above process, let  $a_1 = \theta''_1 + \cdots + \theta''_{k-2} + \theta'_{k-1} + \theta'_k$  we also have  $\{\theta_0, \theta_1, \dots, \theta_k\} \in E(\theta)$ . And repeat it again, we have that, in all cases,  $\{\theta_0, \theta_1, \dots, \theta_k\} \in E(\theta)$  since

$$\theta'_1 + \cdots + \theta'_k < t_0 \leq M_\sigma.$$

**LEMMA 4.3.**  $k_0 = 3, \sigma > 2t_0/5, a_2 \geq t_0/3, a_3 \geq t_0/3, a_2 + a_3 < 4 - 8t_0$  and  $a_1 = 1 - \sigma - a_2 - a_3$ ; then  $\{\theta_j\} \in E$ .

**Proof.** If  $a_1 \geq t_0, \{\theta_j\} \in E$  by (3.16). If  $a_1 < t_0, \{\theta_j\} \in E$  by (3.5).

### § 5. PROOF OF THEOREM 2.

We will prove that : if  $\{\theta_j\} \in \mathcal{D} \setminus \mathcal{D}^*$ , then  $\{\theta_j\} \in E$ . By Theorem 1, it is enough to show those  $\{\theta_j\}'$  with  $\{\theta'_0 + \theta_{r+1} + \cdots + \theta_{r+r_1}, \theta_1, \dots, \theta_r\} \notin \mathcal{D}^*$  belong to  $E$ .

Denote  $k_0$  be the integer with

$$\sum_{1 \leq j \leq k_0-1} \theta_j < t_0 \tag{5.1}$$

and

$$\sum_{1 \leq j \leq k_0} \theta_j \geq t_0. \tag{5.2}$$

By (2.14),  $\{\theta_j\} \in E$  if  $\theta'_0 > t_0/2$ . By (2.3), we only need to discuss the cases with

$$t_0/2 \geq \theta'_0. \quad (5.3)$$

If  $r_1 = 0$ , then

$$t_0/2 \geq \theta'_0 = \theta_0 \geq \dots \geq \theta_r, \quad (5.4)$$

and

$$\{\theta_j\}' = \{\theta_j\}.$$

**Lemma 5.1.** *Suppose  $k_0 \geq 4$  and  $r'' + r_1 > 0$ , then  $\{\theta_j\} \in E$ .*

**Proof.** By (5.1) and  $k_0 \geq 4$ , we have

$$\theta_3 \leq \frac{t_0}{3} < 1 - \frac{20}{11}t_0.$$

By Lemma 4.2 and  $\theta_{r+r_1} < t_0/5$  if  $r'' + r_1 > 0$ , we have  $\{\theta_j\} \in E$  if  $k_0 < r + r_1$ . If  $k_0 = r + r_1$ , let  $a_1 + \dots + \theta_{k_0-1} < t_0$ ,  $a_2 = \theta'_0 < t_0$  and  $\sigma = \theta_{k_0} < 1 - 20t_0/11$ , we have  $\{\theta_j\} \in E$  by (3.7).

**Lemma 5.2.** *Suppose  $r' \geq k_0 + 5$ , then  $\{\theta_j\} \in E$ .*

**Proof.** Let  $a_1 = \theta_1 + \dots + \theta_{k_0} > t_0$ ,  $a_2 = \theta_{k_0+1} + \dots + \theta_{r'} > t_0$  and  $\sigma = \theta_0$ , then  $\{\theta_j\} \in E$  by (3.9).

**Lemma 5.3.** *Suppose that  $k_0 > r'$ , then  $\{\theta_j\} \in E$ .*

**Proof.** Let  $\sigma = \theta_0$ ,  $a_1 = \theta_1 + \dots + \theta_{k_0-1}$  and  $a_2 = \theta_{k_0} < t_0/2 < 4 - 8t_0$  if  $k_0 = r + r_1$ , then  $\{\theta_j\} \in E$  by (3.5). If  $k_0 < r + r_1$ , then  $\{\theta_j\} \in E$  by Lemma 4.1.

Now may suppose that

$$k_0 \leq r' \leq k_0 + 4. \quad (5.5)$$

By (3.4),  $\theta_j \geq t_0/5$  for  $j \leq k_0$  (since  $k_0 \leq r'$ ); then by (5.1), we have that  $k_0 \leq 5$ . By (5.2) and  $\theta_1 \leq t_0$ , then we have that  $k_0 \geq 2$ . Now we may suppose that

$$2 \leq k_0 \leq 5. \quad (5.6)$$

We discuss the following cases :

**Case 1.**  $k_0 = 5$ .

By Lemma 4.1, we may suppose that  $r'' + r_1 = 0$ . Then  $\{\theta_j\} = \{\theta_0, \dots, \theta_r\}$ .  
By (5.5) we may suppose that  $5 \leq r' \leq 9$ .

If  $r' \leq 7$ , let  $a_1 = \theta_1 + \dots + \theta_{k_0-1} < t_0$ ,  $a_2 = \theta_{k_0} + \theta_{k_0+1} + \theta_{k_0+2} \leq 3t_0/4 < 4 - 8t_0$  and  $\sigma = \theta_0$ , then  $\{\theta_j\} \in E$  by (3.5).

If  $r' = 8$  let  $s = \theta_0$ ,  $a_1 = \theta_1 + \theta_2 < t_0$ ,  $a_2 = \theta_3 + \theta_4 < t_0/2$ ,  $a_3 = \theta_5 + \theta_6 < t_0/2$ ,  $a_4 = \theta_7 \leq t_0/4$ ,  $a_5 = \theta_7 \leq t_0/4$ , then  $\{\theta_j\} \in E$  by (3.20).

If  $r' = 9$ , we have

$$\theta_1 + \theta_2 + \theta_3 + \theta_4 > 8t_0/9$$

since  $\{\theta_j\} \notin D_1^+$ . Let  $a_1 = \theta_1 + \theta_2 + \theta_3 + \theta_4$  and  $\sigma = \theta_5 > t_0/5$ , we have that  $\sigma < a_1/4 \leq t_0/4 < 1 - 20t_0/11$ , then  $\{\theta_j\} \in E$  by (3.6).

**Case 2.**  $k_0 = 4$ .

By Lemma 4.1, we may suppose that  $r'' + r_1 = 0$  again. By (5.4), we may suppose that  $4 \leq r' \leq 8$ .

If  $r' \leq 5$ , let  $a_1 = \theta_1 + \theta_2 + \theta_3 < t_0$ ,  $a_2 = \theta_4 + \theta_5 \leq 2t_0/3 < 4 - 8t_0$ , then  $\{\theta_j\} \in E$  by (3.5).

$r' = 6$ .

When  $\theta_0 > t_0/2$ , then  $\{\theta_j\} \in E$  by (3.8).

When  $\theta_0 \leq t_0/2$ , we discuss the following three cases :

(1)  $\theta_0 + \theta_5 + \theta_6 < t_0$ .

Let  $a_1 = \theta_0 + \theta_5 + \theta_6 < t_0$ ,  $a_2 = \theta_1 + \theta_2 + \theta_3 < t_0$  by (5.1) and  $k_0 = 4$  and  $\sigma = \theta_4 \leq \theta_3 < 1 - 20t_0/11$ , then  $\{\theta_j\} \in E$  by (3.7).

(2)  $\theta_0 + \theta_5 + \theta_6 \geq t_0$ .

If  $\theta_1 > 2t_0/7$ , by  $\theta_0 \leq t_0/2$ , we have  $\theta_5 \geq t_0/4$ . Let  $a_1 = \theta_0 + \theta_5 + \theta_6 \geq t_0$ ,  $a_2 = \theta_3 + \theta_4 \geq t_0/2$ ,  $a_3 = \theta_2 > t_0/4$  and  $\sigma = \theta_0 > 2t_0/7$ , then  $\{\theta_j\} \in E$  by (3.15). If  $\theta_1 \leq 2t_0/7$ ,  $\{\theta_j\} \in E$  by (3.17).

$r = r' = 7$ .

If  $\theta_4 + \theta_5 + \theta_6 + \theta_7 \geq t_0$ , we have

$$\theta_0 > 2t_0/7 \quad (5.7)$$

since  $\{\theta_0, \dots, \theta_7\} \notin D_2^*$ .

Let  $a_1 = \theta_4 + \theta_5 + \theta_6 + \theta_7 \geq t_0$ ,  $a_2 = \theta_2 + \theta_3 \geq t_0/2$ ,  $a_3 = \theta_1 > t_0/4$  and  $\sigma = \theta_0 > 2t_0/7$ , then  $\{\theta_j\} \in E$  by (3.15).

If  $\theta_4 + \theta_5 + \theta_6 + \theta_7 < t_0$ , by Lemma 4.2 and  $\theta_1 + \theta_2 + \theta_3 + \theta_4 \geq t_0$ ,  $\{\theta_j\} \in E$  if  $\theta_1 - \theta_7 < t_0/5$ . If  $\theta_1 - \theta_7 \geq t_0/5$ , then  $\theta_1 > 2t_0/5$ , and, by (5.1) and  $k_0 = 4$ ,

$$\theta_2 < t_0 - \theta_3 - \theta_1 < 2t_0/5,$$

i.e.  $\theta_2 - \theta_7 < t_0/5$ . By Lemma 4.2 again, we may suppose that  $\theta_2 + \theta_3 + \theta_4 + \theta_5 \leq t_0$  then  $\theta_4 + \theta_5 \leq t_0/2$ , let  $a_1 = \theta_1 < t_0/2$ ,  $a_2 = \theta_2 + \theta_3 < t_0/2$ ,  $a_3 = \theta_4 + \theta_5 < t_0/2$ ,  $a_4 = \theta_6 < t_0/4$ , and  $a_5 = \theta_7 < t_0/4$ , we have that  $\{\theta_j\} \in E$  by (3.20).

$$r' = 8.$$

If  $\theta_5 + \theta_6 + \theta_7 + \theta_8 \geq t_0$ , let  $a_1 = \theta_1 + \theta_2 + \theta_3 + \theta_4 \geq t_0$ ,  $a_2 = \theta_5 + \theta_6 + \theta_7 + \theta_8 \geq t_0$ , and  $\sigma = \theta_0$ , then  $\{\theta_j\} \in E$  by (3.9).

If  $\theta_5 + \theta_6 + \theta_7 + \theta_8 < t_0$ , by Lemma 4.1,  $\{\theta_j\} \in E$  if  $\theta_1 - \theta_8 < t_0/5$ . Now may suppose  $\theta_1 - \theta_8 \geq t_0/5$ , then

$$\theta_3 + \dots + \theta_8 < 1 - (\theta_0 + \theta_1 + \theta_2) \leq 1 - 5(t_0/5) < 1 - 8t_0/9;$$

let  $a_1 = \theta_4 + \theta_5 + \theta_6 + \theta_7 + \theta_8 \geq t_0$ ,  $\sigma = \theta_3 > t_0/5$ ,  $a_2 = 1 - a_1 - \sigma > 8t_0/9$  then  $\{\theta_j\} \in E$  by (3.6).

**Case 3.**  $k_0 = 3$ .

By (5.1) and (5.2), we have that

$$\theta_1 + \theta_2 < t_0 \quad (5.8)$$

and

$$\theta_1 + \theta_2 + \theta_3 \geq t_0. \quad (5.9)$$

We discuss the following cases :



**Case 3.1.**  $\theta_3 + \theta_4 + \theta_5 > t_0$ .

By (3.2), (3.3) and (3.4), we have that, if  $r \geq 5$

$$\theta_3 + \theta_4 + \theta_5 \leq 1/2;$$

and, if  $r \leq 4$ ,

$$\theta_3 + \theta_4 + \theta_5 \leq 0.4 + t_0/5 < 1/2.$$

If  $r'' + r_1 > 0$ , let  $\sigma = \theta_{r+r_1} < t_0/5$ ,  $a_1 = \theta_3 + \theta_4 + \theta_5 \in [t_0, 1/2]$ , and  $a_2 = 1 - a_1 - \sigma$ , then  $\{\theta_j\}' \in E$  by (3.13).

If  $r'' + r_1 = 0$ , we have that  $r' \geq 5$  since  $\theta_3 + \theta_4 + \theta_5 > t_0$  and  $\theta_4 \leq \theta_3 \leq t_0/2$ .

If  $r' = 5$ , from  $\{\theta_0, \theta_1, \dots, \theta_5\} \notin D_3^*$ , then  $\theta_0 > 2t_0/5$ . In this case we have  $\theta_3 \geq t_0/3$ . Let  $a_1 = \theta_3 + \theta_4 + \theta_5 \geq t_0$ ,  $a_2 = \theta_2 \geq t_0/3$ ,  $a_3 = \theta_1 \geq t_0/3$ , and  $\sigma = 1 - a_1 - a_2 - a_3 = \theta_0$ , then  $\{\theta_j\} \in E$  by (3.22).

If  $r' > 5$ ,  $\sigma = 1 - a_1 - a_2 - a_3 > \theta_0 + \theta_6 > 2t_0/5$ , then  $\{\theta_j\} \in E$  by (3.16) again.

**Case 3.2.**  $\theta_3 + \theta_4 + \theta_5 \leq t_0$ .

By Lemma 4.2, we only need to discuss the cases with

$$\theta_1 - \theta_5 > t_0/5. \quad (5.10)$$

By (3.1), we have that

$$\theta_0 \geq \theta_1 > 2t_0/5. \quad (5.11)$$

We discuss the following cases :

**Case 3.2.1.**  $\theta_2 < t_0/3$ .

By Lemma 4.1, we only need to discuss those cases with  $r'' + r_1 = 0$ . If  $r' \leq 5$ , let  $a_1 = \theta_0 + \theta_1 \leq t_0$ ,  $\sigma = \theta_2 < 1 - 20t_0/11$ , and  $\sigma = \theta_3 + \theta_4 + \theta_5 < t_0$ , then  $\{\theta_j\} \in E$  by (3.7).

If  $r' \geq 6$ , by Lemma 4.2, we only need to discuss those cases with  $\theta_1 + \theta_4 + \theta_5 \geq t_0$  since  $\theta_1 + \theta_2 + \theta_3 \geq t_0$  and  $\theta_2 - \theta_5 < t_0/5$ . Let  $a_1 = \theta_0 + \theta_2 + \theta_3 \geq t_0$ ,  $a_2 = \theta_1 + \theta_4 + \theta_5 \geq t_0$ ,  $\sigma = 1 - a_1 - a_2$ , then  $\{\theta_j\} \in E$  if  $r' \geq 6$  by (3.9).

**Case 3.2.2.**  $\theta_2 \geq t_0/3$  and  $\theta_3 < 1 - 20t_0/11$ .

Let  $\sigma = \theta_0 > 2t_0/5$ ,  $a_3 = \theta_2 \geq t_0/3$ ,  $a_2 = \theta_1 \geq t_0/3$  and

$$a_1 = \theta_3 + \dots + \theta_r,$$

then  $\{\theta_j\} \in E$  by (3.16) if  $\theta_3 + \dots + \theta_r \geq t_0$ . Now may suppose that

$$\theta_3 + \dots + \theta_r < t_0.$$

Let  $\sigma = \theta_0$ ,  $a_1 = \theta_3 + \dots + \theta_r$ , and  $a_2 = \theta_1 + \theta_2$ , then  $\{\theta_j\} \in E$  by (3.5) if  $\theta_1 + \theta_2 < 4 - 8t_0$ . Now may suppose that

$$\theta_1 + \theta_2 \geq 4 - 8t_0 \tag{5.12}$$

also. Let  $a_1 = \theta_1 + \theta_2 \geq 4 - 8t_0 > 4t_0/5$ ,  $\sigma = \theta_4$ , then  $\{\theta_j\} \in E$  if  $t_0/3 \leq \theta_3 < 1 - 20t_0/11$  by (3.11).

By Lemma 4.1 and  $\theta_3 < 1 - 20t_0/11$ , we may suppose that  $r'' + r_1 = 0$ .

We discuss the following cases :

(1)  $r' \geq 7$ .

If  $r' \geq 7$ , let  $a_1 = \theta_1 + \theta_2 + \theta_3 \geq t_0$ ,  $a_2 = \theta_4 + \theta_5 + \theta_6 + \theta_7 + \dots + \theta_r > 4(t_0/5)$  and  $\sigma = \theta_0 \geq \theta_1 \geq (\theta_1 + \theta_2 + \theta_3)/3 \geq t_0/3$ , then  $\{\theta_j\} \in E$  by (3.10).

(2)  $r' = 6$ .

If  $\theta_3 + \theta_4 \leq t_0/2$ , in (3.20), take  $a_1 = \theta_1$ ,  $a_2 = \theta_2$ ,  $a_3 = \theta_3 + \theta_4$ ,  $a_4 = \theta_5$ ,  $a_5 = \theta_6$  and  $\sigma = \theta_0$ , then  $\{\theta_j\} \in E$ . If  $\theta_3 + \theta_4 > t_0/2$ , let

$$a_1 = \theta_1 + \theta_3 + \theta_4 \geq 2 - 4t_0 + t_0/2 > 8t_0/9,$$

$$a_2 = \theta_0 + \theta_2 + \theta_5 \geq 4 - 8t_0 - t_0/5 \geq t_0,$$

and  $\sigma = \theta_6 \geq t_0/5$ , then  $\{\theta_j\} \in E$  by (3.6).

(3)  $r' = 5$ .

Let  $\sigma = \theta_3$ ,  $a_2 = \theta_1 + \theta_2 \geq 4 - 8t_0 > 4t_0/5$  and  $a_1 = 1 - \sigma - a_2$ , then  $\{\theta_j\} \in E$

by (3.15) if  $\theta_3 \geq t_0/3$  and by (3.26) if  $\theta_1 + \theta_2 > m_{\theta_3}$ . Now may suppose that  $\theta_3 < t_0/3$  and

$$\theta_1 + \theta_2 \leq m_{\theta_3}.$$

By (3.27),  $\theta_3 \geq t_0/4$ , thus

$$\theta_0 + \theta_1 = 1 - \theta_2 - \cdots - \theta_5 \geq 1 - m_{\theta_3}/2 - 3\theta_3 > m_{\theta_3}.$$

Let  $\sigma = \theta_3$ ,  $a_2 = \theta_0 + \theta_1$  and  $a_1 = 1 - \sigma - a_2$ , then  $\{\theta_j\} \in E$  by (3.26) since  $\theta_0 + \theta_1 < t_0 < M_{\theta_3}$ .

(4)  $r' \leq 4$ , let  $a_1 = \theta_1 + \theta_2 < t_0$  by (5.3)  $a_2 = \theta_3 + \theta_4 < 2(1 - 20t_0/11) < 4 - 8t_0$ , then  $\{\theta_j\} \in E$  by (2.5).

**Case 3.2.3.**  $\theta_3 \geq 1 - 20t_0/11$  and  $\theta_4 < 1 - 20t_0/11$ . By Lemma 4.2,  $\{\theta_j\}' \in E$  if  $r'' + r_1 > 0$  and

$$a_1 = \theta_1 + \theta_2 + \theta_4 + \cdots + \theta_{r+r_1-1} \geq t_0.$$

Now we discuss the following cases

(1)  $r'' + r_1 = 0$ .

We know that  $r' \geq 3$ .

When  $r' = 3$ , let  $a_1 = \theta_1 + \theta_2 < t_0$ ,  $a_2 = \theta_3 < 1/3 < 4 - 8t_0$ , and  $\sigma = \theta_0$ , then  $\{\theta_j\} \in E$  by (3.5).

When  $r' = 4$ , let  $a_1 = \theta_0 + \theta_1 < t_0$ ,  $a_2 = \theta_2 + \theta_3 < t_0$ , and  $\sigma = 1 - a_1 - a_2 = \theta_4 < 1 - 20t_0/11$ , then  $\{\theta_j\} \in E$  by (3.7).

When  $r' \geq 5$ ,

$$\theta_1 + \theta_2 + \theta_4 > 2(1 - 20t_0/11) + t_0/5 > 8t_0/9.$$

Let  $a_1 = \theta_1 + \theta_2 + \theta_4 > 8t_0/9$  and  $\sigma = \theta_5 > t_0/5$ , then  $\{\theta_j\} \in E$  if  $\theta_1 + \theta_2 + \theta_4 < M_{\theta_5}$  by (3.25). Now we may suppose that

$$\theta_1 + \theta_2 + \theta_4 \geq M_{\theta_5}$$

When  $r' \geq 6$ , we have that

$$\theta_0 + \theta_3 + \theta_6 > 2(1 - 20t_0/11) + t_0/5 > 8t_0/9$$

also. Let  $a_1 = \theta_1 + \theta_2 + \theta_4 > t_0$ ,  $a_2 = \theta_0 + \theta_3 + \theta_5 > 8t_0/9$  and  $\sigma = \theta_5 > t_0/5$ , then  $\{\theta_j\} \in E$  by (3.6). Now we discuss those cases with  $r' = 5$ . When  $\theta_0 + \theta_1 > 6t_0/7$  and  $\theta_4 > t_0/4$ , let  $a_2 = \theta_0 + \theta_3$ ,  $\sigma = \theta_4$  and  $a_1 = 1 - a_2 - \sigma$ , then  $\{\theta_j\} \in E$  by (3.14). Now we may suppose that

$$\theta_0 + \theta_1 \leq 6t_0/7.$$

If  $\theta_2 + \theta_3 < 4 - 8t_0$ . Since  $\theta_1 > 2t_0/5$  (see (5.11) above), let  $\sigma = \theta_1$ ,  $a_2 = \theta_2 > t_0/3$ ,  $a_3 = \theta_3 > t_0/3$ , and  $a_1 = \theta_0 + \theta_4 + \theta_5$  if  $\theta_0 + \theta_4 + \theta_5 > t_0$  by (3.6); let  $\sigma = \theta_1$ ,  $a_2 = \theta_2 + \theta_3$ , and  $a_1 = \theta_0 + \theta_4 + \theta_5$  if  $\theta_0 + \theta_4 + \theta_5 \leq t_0$  by (3.5). Now may suppose that

$$\theta_2 + \theta_3 \geq 4 - 8t_0.$$

Let  $a_1 = \theta_1 + \theta_2 \geq 4 - 8t_0 > 4t_0/5$ ,  $\sigma = \theta_4$ , then  $\{\theta_j\} \in E$  by (3.11) if  $t_0/3 \leq \theta_4 < 1 - 20t_0/11$ . Now may suppose that

$$\theta_4 < t_0/3$$

also. If  $\theta_0 > \theta_5/8 + 3t_0/8$ , then  $\{\theta_j\} \in E$  by (3.18). Thus  $\{\theta_j\} \notin D_5^*$  implies  $\theta_4 < t_0/4$ , we have that  $\{\theta_j\} \in E$  by (3.20).

(2)  $r' + r_1 > 0$  and  $\theta_1 + \theta_2 + \theta_4 + \dots + \theta_{r+r_1-1} < t_0$ .

By (3.4),  $r' + r_1 > 0$  implies  $\theta_{r+r_1} \leq \frac{t_0}{5}$ . By (3.4)  $\theta_4 + \theta_{r+r_1} < \frac{t_0}{2} + \frac{t_0}{5} < 4 - 8t_0$ .

Let  $\sigma = \theta_0$ ,  $a_2 = \theta_4 + \theta_{r+r_1}$  and  $a_1 = \theta_1 + \theta_2 + \theta_4 + \dots + \theta_{r+r_1-1} < t_0$ , then  $\{\theta_j\}' \in E$  by (3.5).

**Case 3.2.4.**  $\theta_4 \geq 1 - 20t_0/11$ .

If  $\theta_1 > t_0/2$ , by (3.1), we have that

$$\theta'_0 + \theta_{r+1} + \dots + \theta_{r+r_1} \geq \theta_1 \geq \theta_2 \geq \theta_3 \geq \theta_4,$$

and

$$\theta'_0 + \sum_{j=1}^{r+r_1} \theta_j = 1.$$

Thus

$$\theta_2 + \theta_3 + \theta_4 \leq 1 - 2\theta_1 < 1 - t_0,$$

and

$$\theta_3 + \theta_4 < \frac{2}{3}(1 - t_0) < 4 - 8t_0.$$

By Lemma 4.3, we have that then  $\{\theta_j\}' \in E$  if there exists a partial sum of  $\{\theta'_0, \theta_1, \theta_2, \theta_3, \dots, \theta_{r+r_1}\}$  belong to  $(2t_0/5, t_0/2]$ . Now we only need to discuss those cases with  $\theta'_0 \leq 2t_0/5$ . By (3.1), we have that

$$\theta_2 + \theta_{r+1} + \dots + \theta_{r+r_1} \geq 1 - 20t_0/11 + \theta_1 - \theta'_0 > 2t_0/5.$$

Then there exists a partial sum of  $\{\theta_2, \theta_{r+1}, \dots, \theta_{r+r_1}\}$  belong to  $(2t_0/5, t_0/2]$  since  $\theta_2 < t_0/5$  and  $\theta_{r+1} < t_0/10 = t_0/2 - 2t_0/5$ . Thus  $\{\theta_j\}' \in E$  in this case.

Now we discuss the case :  $\theta_1 \leq t_0/2$ .

When  $\theta_3 + \theta_4 \geq 4 - 8t_0$ ,

Since we only need to discuss those  $\{\theta_j\}'$  which corresponding  $\{\theta_j\} \notin D_5^*$ , we may suppose  $r \geq 5$ . Thus

$$\theta_5 < t_0 - \theta_3 - \theta_4 < t_0/5.$$

Since we only need to discuss those  $\{\theta_j\}'$  which corresponding  $\{\theta_j\} \notin D_5^*$ , we may suppose  $r \geq 6$ . Let  $a_1 = \theta_3 + \theta_4 + \theta_5 + \theta_6 \geq 4 - 8t_0 + t_0/5 > t_0$ ,  $a_2 = \theta_2 > t_0/3$ ,  $a_3 = \theta_2 > t_0/3$ ,  $\sigma = 1 - a_1 - a_2 - a_3 \geq \theta_1 > 2t_0/5$ , then  $\{\theta_j\} \in E$  by (3.24).

When  $\theta_3 + \theta_4 < 4 - 8t_0$ , by Lemma 4.3, we only need to discuss those cases with

$$\theta_2 \leq \theta_1 \leq 2t_0/5,$$

$$\theta'_0 \leq 2t_0/5,$$

and

$$\theta'_0 + \theta_{r+1} + \dots + \theta_{r+r_1} \leq 2t_0/5$$

since  $\theta_{r+1} \leq t_0/10 = t_0/2 - 2t_0/5$ . Thus

$$\theta_3 + \dots + \theta_r = 1 - \theta'_0 - \theta_1 - \theta_2 - \theta_{r+1} - \dots - \theta_{r+r_1} > t_0,$$

and  $r \geq 6$  since  $\theta_3 + \theta_4 + \theta_5 < t_0$ . By (3.1),

$$\theta_5 = 1 - \theta'_0 - \dots - \theta_4 - \theta_5 - \dots - \theta_{r+r_1} < 1 - 5(1 - 20t_0/11) - t_0/10 < t_0/5.$$

Since we only need to discuss those  $\{\theta_j\}'$  which corresponding  $\{\theta_j\} \notin D_6^*$ , we may suppose that  $\theta_4 + \theta_5 < t_0/2$ . By Lemma 4.3 again, we have that then  $\{\theta_j\} \in E$ .

Case 4.  $k_0 = 2$ .

We have  $\theta_1 \geq (\theta_1 + \theta_2)/2 > t_0/2$ .

If  $\theta'_0 > t_0/2$ , then  $\{\theta_j\} \in E$  by (3.4).

Now we may suppose that  $\theta'_0 \leq t_0/2$ , thus by  $\theta'_0 < \theta_1$ , we have that  $r_1 > 0$ . Let  $a_1 = \theta_1 + \theta_2$ ,  $\sigma = \theta_{r+r_1}$  and  $a_2 = 1 - a_1 - \sigma$ , then  $\{\theta_j\}' \in E$  by (3.13) if  $\theta_1 + \theta_2 < 1/2$ . Now may suppose that

$$\theta_1 + \theta_2 \geq 1/2.$$

By (3.2), we have that  $\theta_2 < 1/3$ . Let  $\sigma = \theta_0$ ,  $a_2 = \theta_2$  and  $a_1 = \theta_1 + \theta_3 + \dots + \theta_{r+r_1}$ , then  $\{\theta_j\}' \in E$  by (3.5) if  $a_1 < t_0$ . Now may suppose that

$$\theta_1 + \theta_3 + \dots + \theta_{r+r_1} > t_0. \quad (5.14)$$

If  $\theta_3 < 1 - 20t_0/11$ , by Lemma 4.1, it is sufficient to discuss that case with

$$\theta_1 + \theta_3 + \dots + \theta_{r+r_1-1} < t_0. \quad (5.15)$$

Let  $a_1 = \theta_1 + \theta_3 + \dots + \theta_{r+r_1-1}$ ,  $a_2 = \theta_2$ ,  $a_3 = \theta_{r+r_1}$  and  $\sigma = \theta_0$ , by (3.18) we have that  $\{\theta_j\}' \in E$ .

If  $\theta_3 \geq 1 - 20t_0/11$ , by (3.2),  $\theta_1 + \theta_3 \leq 1/2$ . By (3.19),  $\{\theta_j\}' \in E$  if  $\theta_1 + \theta_3 \geq t_0$ . Now may suppose that  $\theta_1 + \theta_3 < t_0$ . If  $r \geq 4$ ,

$$\begin{aligned} \theta_4 &< 1 - (\theta'_0 + \theta_{r+1} + \dots + \theta_{r+r_1}) - \theta_1 - \theta_2 - \theta_3 \\ &< 1 - 3/4 - (1 - 20t_0/11) < 1 - 20t_0/11. \end{aligned}$$

By (5.14) and Lemma 4.2, we only need to discuss those case with (5.15) again. Let  $a_1 = \theta_1 + \theta_3 + \dots + \theta_{r+r_1-1}$ ,  $a_2 = \theta_2$ ,  $a_3 = \theta_{r+r_1}$  and  $\sigma = \theta_0$ , by (3.18) we have that  $\{\theta_j\}' \in E$  again.

Theorem is complete already.

### § 6. THE PROOF OF THEOREM 1.

Take  $c = t_0/10$ .

Define a direct product  $I = \{\theta_0, \dots, \theta_r\}$  be

$$I = \{d : d = d_0 \cdots d_r, d_i \in I_i, I_i = [x^{\theta_i}, 2x^{\theta_i}) \text{ and } p(d_i) \geq x^c 1 \leq i \leq r\}. \quad (6.1)$$

For  $I$ , we define, for  $1 \leq k \leq r$ ,

$$I(k) = \{d : d = d_0 \cdots d_k p_{k+1} \cdots p_r, d_i \in I_i (1 \leq i \leq k), p_j \in I_j, (k+1 \leq j \leq r) \text{ and } d \in I\}. \quad (6.2)$$

In this section we will choose  $H'_k$  and to make it as large as possible.

First we write  $S'_k$  to be a sum of some disjoint direct product of  $I$  which is defined in (6.1). Set

$$H'_{k,1} = \cup_{I \cap D = \emptyset} \{I\}.$$

By Theorem 3,  $H'_{k,1}$  satisfies (2.2) write

$$H''_{k,1} = S'_k \setminus H'_{k,1}.$$

Thus

$$H''_{k,1} \subseteq D^*.$$

We want to choose some "good set" from  $D^*$ . We write

$$D^*_{1,1} = \{I(9) : I \in D^*_1\}.$$

Then we have

$$D^*_1 = D^*_{1,1} \cup (D^*_1 \setminus D^*_{1,1}),$$

where  $D^*_1$  is a collection of direct product  $I$ 's with  $I = I_0 \cdots I_r, r \geq 10$ ,

$$I = \{\theta_0, \dots, \theta_r\},$$

where  $(\theta_0, \dots, \theta_8)$  satisfy the conditions same as in  $D^*_1, \theta_9 + \dots + \theta_r \in (t_0/5, \theta_8)$ , and  $d \in I$  if and only if  $d = d_0 \cdots d_8 p_9 \cdots p_r, d_i \in I_i$  and  $p_j \in I_j$  and

$$D''_1 = D^*_1 \setminus D^*_{1,1}.$$

By Theorem 3, we have that  $\mathbb{I}$  in  $\mathbb{D}_1^*$  satisfies (2.2).

By the method that we will use in § 7 (see (7.4)), we have

$$|\mathbb{D}_1''| = O\left(\frac{y}{\log^2 x}\right).$$

Thus we can replace  $\mathbb{D}_1^*$  by  $\mathbb{D}_{1,1}''$  in  $\mathbb{H}_k''$ . Repeat it again, we might change  $\mathbb{D}_1^*$  to

$$\mathbb{D}_1^{**} = \{d : d = d_0 p_1 \cdots p_r \in \mathbb{D}_1^*\};$$

For  $\mathbb{D}_i^*$  ( $1 \leq i \leq 2$ , or  $r \leq i \leq 7$ ), we can change  $\mathbb{D}_i^*$  to  $\mathbb{D}_i^{**}$  as well. We only need change 9 to 8 ( $i = 2$ ), 5 ( $4 \leq i \leq 5$ ), 4 ( $i = 6$ ) or 6 ( $i = 7$ ). For  $\mathbb{D}_3^*$ , we only can change it to

$$\mathbb{D}_3^{**} \cup \mathbb{D}_7^{**}.$$

Now take

$$\mathbb{H}_{10}'' = \mathbb{D}_1^*, \mathbb{H}_8^* = \mathbb{D}_2^*, \mathbb{H}_6^* = \mathbb{D}_3^* \cup \mathbb{D}_4^* \cup \mathbb{D}_5^* \cup \mathbb{D}_7^*, \mathbb{H}_5'' = \mathbb{D}_6^* \text{ and } \mathbb{H}_7'' = \mathbb{D}_7^*.$$

Otherwise

$$\mathbb{H}_k'' = \emptyset.$$

In (1.9), take  $c_H = 1$ , then

$$\pi(x) - \pi(x-y) = yE(x, z) - \sum_{1 \leq i \leq 5} (f(i)-1)! \sum_{D \in \mathbb{D}_i^*} \sum_{d \in D} 1 + 4! \sum_{D \in \mathbb{D}_3^*} \sum_{d \in D} 1 + (6! - 5!) \sum_{D \in \mathbb{D}_7^*} \sum_{d \in D} 1.$$

where  $f(1) = 10$ ,  $f(2) = 8$ , and  $f(3) = f(4) = f(5) = 6$ .

Suppose  $e_i$  ( $1 \leq i \leq 7$ ) and  $e_0$  be constants which satisfy :

$$(f(i)-1)! \sum_{D \in \mathbb{D}_i^*} \sum_{d \in D} 1 \leq (f(i)-1)! \frac{e_i y}{\log x}, \quad 1 \leq i \leq 5;$$

$$4! \sum_{D \in \mathbb{D}_3^*} \sum_{d \in D} 1 \leq \frac{e_6 y}{\log x};$$

$$(6! - 5!) \sum_{D \in \mathbb{D}_7^*} \sum_{d \in D} 1 \leq \frac{e_7 y}{\log x},$$



and

$$e_0 = \sum_{1 \leq i \leq 7} e_i.$$

By (1.13), we have that

$$\frac{(1 - e_0)y}{\log x} < \pi(x) - \pi(x - y) < \frac{(1 + e_0)y}{\log x}.$$

We now estimate  $e_i (1 \leq i \leq 7)$ . First, we estimate  $e_3$ . We have  $d \in \mathbf{D}_3^*$  implies  $d = d_0 p_1 \cdots p_5$  with  $d_0 \geq p_1 \geq \cdots \geq p_5$ ,  $x - y \leq d_0 p_1 \cdots p_5 \leq x$ ,  $p(d_0) \geq x^{4/10}$ , and

$$x^{\frac{2t_0}{5}} \geq d_0 \geq p_1 \geq \cdots \geq p_5 \geq x^{\frac{t_0}{5}}.$$

Define

$$\begin{aligned} \Delta_3 = \{ & (p_1, \dots, p_5) : \left(\frac{x}{x^{\frac{2t_0}{5}}}\right)^{\frac{1}{5}} \leq p_1 \leq x^{\frac{2t_0}{5}}, \left(\frac{x}{p_1^2}\right)^{\frac{1}{4}} \leq p_2 \leq p_1, \left(\frac{x}{p_2^3}\right)^{\frac{1}{3}} \leq p_3 \leq p_2, \\ & \left(\frac{x}{p_3^4}\right)^{\frac{1}{2}} \leq p_4 \leq p_3, \left(\frac{x}{p_4^5}\right) \leq p_5 \leq p_4 \}. \end{aligned}$$

Then

$$\begin{aligned} |\mathbf{D}_3^*| & \leq \sum_{(p_1, \dots, p_5) \in \Delta_3} \frac{2y}{p_1 p_2 p_3 p_4 p_5 \log \frac{y}{p_1 p_2 p_3 p_4 p_5}} \\ & \leq \frac{2y}{\log x} \int \cdots \int_{\Delta'} \frac{dt_1 dt_2 dt_3 dt_4 dt_5}{t_1 t_2 t_3 t_4 t_5 (1 - t_1 - t_2 - t_3 - t_4 - t_5)}, \end{aligned} \quad (6.2)$$

where

$$\begin{aligned} \Delta' = \{ & (t_1, \dots, t_5) : \frac{1 - 2t_0}{5} \leq t_1 \leq \frac{2t_0}{5}, \frac{1 - 2t_1}{4} \leq t_2 \leq t_1, \frac{1 - 3t_2}{3} \leq t_3 \leq t_2, \\ & \frac{1 - 4t_3}{2} \leq t_4 \leq t_3, 1 - 5t_4 \leq t_5 \leq t_4 \}. \end{aligned}$$

Estimate the integration of right hand-side of (6.2) (see Appendix), we have

$$5! |\mathbf{D}_3^*| \leq \frac{e_3 y}{\log x},$$

where

$$e_3 < 0.00625.$$

Define :

$\Delta^1$  is a set of  $(t_1, \dots, t_9)$  with the following conditions :

- (1)  $\frac{1}{2} \left( \frac{8t_0}{9} - \frac{t_0}{5} \right) \geq \theta_1 \geq \dots \geq \theta_9 \geq \frac{t_0}{5}$ ;
- (2)  $1 - \theta_1 - \dots - \theta_9 \geq \frac{1}{10}$ ;

$\Delta^2$  is a set of  $(t_1, \dots, t_7)$  with the following conditions:

- (1)  $\frac{2}{7}t_0 \geq \theta_1 \geq \dots \geq \theta_4 \geq \frac{t_0}{4}$ ;
- (2)  $\theta_4 \geq \dots \geq \theta_7 \geq \frac{t_0}{5}$ ;
- (3)  $1 - \theta_1 - \dots - \theta_7 \geq \frac{1}{8}$ ;

$\Delta^4$  is a set of  $(t_1, \dots, t_5)$  with the following conditions :

- (1)  $\frac{3}{7}t_0 \geq t_1 \geq 2 - 4t_0$ ;
- (2)  $t_1 \geq t_2 \geq 2 - 4t_0$ ;
- (3)  $t_2 \geq t_3 \geq \max \left\{ 1 - \frac{20t_0}{11}, 4 - 8t_0 - t_2 \right\}$ ;
- (4)  $\min \left\{ \frac{t_0}{3}, \frac{9t_0}{10} - 2t_1 - t_2 - t_3 \right\} \geq t_4 \geq \max \left\{ \frac{t_0}{4}, \frac{1}{3} \left( 1 - \frac{6t_0}{7} - t_2 - t_3 \right) \right\}$ ;
- (5)  $\min \{ t_4, 1 - 2t_1 - t_2 - t_3 - t_4 \} \geq t_5 \geq \max \left\{ 1 - \frac{6t_0}{7} - t_2 - t_3 - t_4 \right\}$ ;
- (6)  $t_1 \leq t_5/8 - 3t_0/8$ ;

$\Delta^5$  is a set of  $(t_1, \dots, t_5)$  with the following conditions :

- (1)  $1 - \frac{3}{2}(4 - 8t_0) - \frac{t_0}{10} \geq t_1 \geq 2 - 4t_0$ ;
- (2)  $\min \{ 1 - 4 + 8t_0 - \frac{t_0}{10} - 2t_1, t_1 \} \geq t_2 \geq 2 - 4t_0$ ;
- (3)  $\min \left\{ 1 - \left( 1 - \frac{20t_0}{11} \right) - \frac{t_0}{10} - 2t_1 - t_2, t_2 \right\} \geq t_3 \geq 2 - 4t_0$ ;
- (4)  $\min \left\{ 1 - \frac{t_0}{10} - 2t_1 - t_2 - t_3, t_3 \right\} \geq t_4 \geq \max \left\{ 4 - 8t_0 - t_3, 1 - \frac{20t_0}{11} \right\}$ ;
- (5)  $\min \left\{ 1 - 2t_1 - t_2 - t_3 - t_4, \frac{t_0}{5} \right\} \geq t_5 \geq \frac{t_0}{10}$ ;

$\Delta^6$  is a set of  $(t_1, \dots, t_4)$  with the following conditions :

$$(1) \frac{2t_0}{3} > \theta_1 > 2 - 4t_0;$$

$$(2) \min\{\theta_1, 1 - (4 - 8t_0) - 2\theta_1\} \geq \theta_2 \geq 2 - 4t_0;$$

$$(3) \min\{\theta_2, 1 - (1 - \frac{20t_0}{11}) - 2\theta_1 - \theta_2\} \geq \theta_3 \geq 2 - 4t_0;$$

$$(4) \min\{\theta_3, 1 - 2\theta_1 - \theta_2 - \theta_3\} \geq \theta_4 \geq \max\{1 - \frac{20t_0}{11}, 4 - 8t_0 - \theta_3\};$$

$\Delta^7$  is a set of  $(t_1, \dots, t_6)$  with the following conditions :

$$(1) \frac{2t_0}{5} \geq t_1 \geq t_2 \geq t_3 \geq t_4 \geq 1 - \frac{20t_0}{11};$$

$$(2) \min\{1 - \frac{t_0}{2} - 2t_1 - t_2 - t_3, \frac{t_0}{5}\} \geq t_5 \geq \frac{t_0}{2} - t_4;$$

$$(3) \min\{1 - 2t_1 - t_2 - t_3 - t_4 - t_5, t_5\} \geq t_6 \geq \frac{t_0}{2} - t_4;$$

With same reason we have

$$e_i < (f(i) - 1)!(2) \int \dots \int_{\Delta^i} \frac{dt_1 \dots dt_r}{t_1 \dots t_r (1 - t_1 - \dots - t_r)},$$

where  $r = r(i)$ ,  $r(1) = 9$ ,  $r(2) = 7$ ,  $r(3) = r(5) = r(6) = 5$  and  $r(7) = 6$ . We have that (see Appendix)

$$e_1 \leq 2 \left( \ln \frac{\frac{1}{2} \left( \frac{8t_0}{5} - \frac{2t_0}{5} \right)}{\frac{t_0}{5}} \right)^9 (10) < 1.1(10)^{-5};$$

$$e_2 < 2 \left( (\ln \frac{8}{7})^7 (8) + (\ln \frac{8}{7})^4 (\ln \frac{5}{4})^3 \frac{7!}{3!(4!)} \right) < 0.002.$$

$$e_4 < 0.00276;$$

$$e_5 < 0.007817;$$

$$e_6 < 0.01351$$

and

$$e_7 < 0.0002071.$$

In Theorem C, take

$$e_1'' = e_2'' = \sum_{i=1}^5 e_i \leq 0.0171.$$

and

$$e'_3 = e'_2 = e_6 + e_7 < 0.01372,$$

then we have that

$$\frac{0.969y}{\log x} < \pi(x) - \pi(x-y) < \frac{1.031y}{\log x}.$$

Theorem 3 follows.

## REFERENCES

- [1] Lou, S. and Qi Yao, *A Chebychev's Type of Prime Number Theorem in a Short Interval*, I (to appear).
- [2] Heath-Brown, D.R. *The Number of Primes in a Short Interval*, J. Reine Angew, Math 389 (1988) 22-63.
- [3] Lou, S. and Qi Yao, *The Number of Primes in a Short Interval*, Hardy-Ramanujan J., vol. 16 (1993), (to appear).
- [4] Lou, S. and Qi Yao, *Estimate of sums of Dirichlet's series*, Hardy-Ramanujan J., vol. 17 (1994), (to appear).
- [5] Heath-Brown, D.R., and Iwaniec, H., *On the difference between consecutive primes*, Invent. Math. 55 (1979), 49-69.

Lou, S. and Qi Yao  
Department of Math.  
Dalhousie University  
Halifax, N.S. B3H 3J5  
Canada

## APPENDIX

Estimate of  $e_1$  :

Define

$$\Delta = \left\{ (t_1, \dots, t_9) : \frac{1}{2} \left( \frac{4t_0}{9} - \frac{2t_0}{5} \right) \geq t_1 \geq \dots \geq t_9 \geq \frac{t_0}{5} \right\}.$$

Then

$$e_1 \leq \frac{9!(2)}{9!} \int \dots \int_{\Delta} \frac{dt_1 \dots dt_9}{t_1 \dots t_9 (1-t_1 - \dots - t_9)} \leq 20 \left( \ln \left( \frac{\frac{1}{2} \left( \frac{40}{99} - \frac{2}{11} \right)}{\frac{1}{11}} \right) \right)^9 < 1.1(10)^{-5}.$$

Estimate of  $e_2$  :

Define

$$\Delta_2 = \left\{ (t_1, \dots, t_7) : \frac{2t_0}{7} \geq t_1 \geq \dots \geq t_7 \geq \frac{t_0}{5} \right\}.$$

Then

$$\begin{aligned} e_2 &\leq 2(7!) \int \dots \int_{\Delta_2} \frac{dt_1 \dots dt_7}{t_1 \dots t_7 (1-t_1 - \dots - t_7)} \\ &\leq 7!(2) \left( \left( \ln \frac{8}{7} \right)^7 \frac{8}{7!} + \frac{7!}{3!4!} \left( \ln \frac{8}{7} \right)^4 \left( \ln \frac{5}{4} \right)^3 \right) < 2.6(10)^{-4}. \end{aligned}$$

Estimate of  $e_3$  :

Define

$$\begin{aligned} \Delta_3 = \{ (t_1, \dots, t_5) : \frac{1 - \frac{2t_0}{5}}{5} \leq t_1 \leq \frac{2t_0}{5}, \frac{1 - 2t_1}{4} \leq t_2 \leq t_1, \frac{1 - 3t_2}{3} \leq t_3 \leq t_2, \\ \frac{1 - 4t_3}{2} \leq t_4 \leq t_3, 1 - 5t_4 \leq t_5 \leq t_4 \}. \end{aligned}$$

Then

$$\begin{aligned}
 e_3 &\leq 5!(2)(6) \int \cdots \int_{\Delta_3} \frac{dt_1 \cdots dt_5}{t_1 \cdots t_5} \\
 &\leq 1440 \int_{\frac{1}{5}}^{\frac{2}{5}} dt_1 \int_{\frac{1}{9/11-t_1}}^{t_1} dt_2 \int_{\frac{1}{2/11-t_1-t_2}}^{t_2} dt_3 \int_{\frac{1}{9/11-t_1-t_2-t_3}}^{t_3} dt_4 \int_{\frac{1}{9/11-t_1-t_2-t_3-t_4}}^{t_4} \frac{dt_5}{t_1 \cdots t_5} \\
 &\leq 1440 \int_{\frac{1}{5}}^{\frac{2}{5}} da_1 \int_{\frac{1}{4}}^{\frac{a_1}{1-a_1}} da_2 \int_{\frac{1}{3}}^{\frac{a_2}{1-a_2}} da_3 \int_{\frac{1}{2}}^{\frac{a_3}{1-a_3}} \frac{1}{a_1 a_2 a_3 a_4} \ln \frac{a_4}{1-a_4} da_4 \\
 &\leq 1440 \int_{\frac{1}{5}}^{\frac{2}{5}} da_1 \int_{\frac{1}{4}}^{\frac{a_1}{1-a_1}} da_2 \int_{\frac{1}{3}}^{\frac{a_2}{1-a_2}} da_3 \int_{\frac{1}{2}}^{\frac{a_3}{1-a_3}} \frac{1}{a_1 a_2 a_3 a_4} \left( \frac{a_4}{1-a_4} - 1 \right) da_4 \\
 &\leq 1440 \int_{\frac{1}{5}}^{\frac{2}{5}} da_1 \int_{\frac{1}{4}}^{\frac{a_1}{1-a_1}} da_2 \int_{\frac{1}{3}}^{\frac{a_2}{1-a_2}} \frac{1}{a_1 a_2 a_3} \left( \frac{\frac{1}{\left(\frac{a_3}{1-a_3}\right)\left(1-\frac{a_3}{1-a_3}\right)}}{\frac{1}{\left(\frac{a_2}{1-a_2}\right)\left(1-\frac{a_2}{1-a_2}\right)}} \right) \frac{1}{4} \left( \frac{2a_3}{1-a_3} - 1 \right)^2 da_3 \\
 &\leq 360 \int_{\frac{1}{5}}^{\frac{2}{5}} da_1 \int_{\frac{1}{4}}^{\frac{a_1}{1-a_1}} \frac{1}{a_1 a_2} \left( \frac{\frac{1}{\left(\frac{a_2}{1-a_2}\right)\left(1-\frac{a_2}{1-a_2}\right)}}{\left(\frac{1}{9}\right)\left(\frac{3a_2}{1-a_2} - 1\right)} \right) da_2 \\
 &\leq 40 \int_{\frac{1}{5}}^{\frac{2}{5}} \frac{1}{a_1} \left( \frac{a_1}{1-a_1} \right)^{-3} \left( 1 - \frac{3a_1}{1-a_1} \right) \left( \frac{1}{18} \right) \left( \frac{4a_1}{1-a_1} - 1 \right)^4 da_1 \\
 &\leq \left( \frac{5}{2} \right) \left( \frac{9}{2} \right)^4 \left( \frac{9}{25} \right) \left( \frac{1}{5} \right)^5 = 0.00625.
 \end{aligned}$$

Estimation of  $e_4$ .

We have, for  $\{\theta_j\} \in D_4^*$

$$1 = \theta_0 + \cdots + \theta_5 \leq 4 \left( \frac{3t_0}{8} + \frac{\theta_5}{8} \right) + \theta_4 + \theta_5.$$

Thus

$$\theta_4 \geq \frac{2}{5} - \frac{3t_0}{5},$$

and

$$\theta_4 + \theta_5 \geq \frac{4}{5} - \frac{6t_0}{5}.$$

Moreover

$$\theta_0 \leq 1 - 2 \left( \frac{2t_0}{5} - \frac{3t_0}{5} \right) - 3(2 - 4t_0) = \frac{66t_0}{5} - \frac{29}{5} < \frac{1}{5},$$

and

$$\theta_1 \leq \frac{1}{2} \left( 1 - 2 \left( \frac{2}{5} - \frac{3t_0}{5} \right) - 2(2 - 4t_0) \right) \leq \frac{23t_0}{5} - \frac{19}{10} < \frac{21}{110}.$$

Then

$$\begin{aligned}
 e_4 &\leq (5!)(5)(2) \int_{\frac{2}{11}}^{\frac{21}{110}} d\theta_1 \int_{\frac{2}{11}}^{\theta_1} d\theta_2 \int_{\frac{21}{121}}^{\theta_2} d\theta_3 \int_{\frac{5}{55}}^{\theta_3} d\theta_4 \int_{\frac{7}{33} - \frac{2\theta_4}{3}}^{\theta_4} \frac{d\theta_5}{\theta_1 \cdots \theta_5} \\
 &\leq 1200 \int_{\frac{2}{11}}^{\frac{21}{110}} d\theta_1 \int_{\frac{2}{11}}^{\theta_1} d\theta_2 \int_{\frac{21}{121}}^{\theta_2} d\theta_3 \int_{\frac{5}{55}}^{\theta_3} \frac{\left(\frac{\theta_4}{3} - \frac{7}{33}\right) d\theta_4}{\left(\frac{\theta_4}{33} - \frac{2\theta_4}{3}\right) \theta_4} \\
 &\leq 1200 \left(\frac{9}{275}\right) \left((5.5)^3 \frac{1}{2(3)} \left(\frac{21}{110} - \frac{2}{11}\right)^3 + \left(\ln \frac{2(121)}{11(21)}\right) \frac{(5.5)^2}{2} \left(\frac{1}{110}\right)^2\right) \\
 &< 0.00276.
 \end{aligned}$$

Estimation of  $e_5$ .

$$D_5^* = \{(\theta_0, \dots, \theta_5) : t_0/2 \geq \theta_0 \geq \theta_1 \geq \dots \geq \theta_3 \geq 1 - 20t_0/11, \theta_3 + \theta_4 \geq 4 - 8t_0,$$

$$t_0/5 \geq \theta_5 \geq t_0/10, \theta_0 + \theta_5 \leq t_0/2\}.$$

We have that

$$\theta_0 < 5/22 - \theta_5/2 < 9/44,$$

and

$$1/22 < \theta_5 < 5/11 - 2\theta_0 \leq 5/11 - 2\theta_1.$$

Thus

$$e_5 \leq (5!)(2)(5.5) \int \dots \int_{\Delta_5} \frac{dt_1 \cdots dt_5}{t_1 \cdots t_5}$$

where

$$\begin{aligned}
 \Delta_5 &= \{(t_1, \dots, t_5) : \frac{2}{11} \leq t_1 \leq \frac{9}{44}, \frac{2}{11} \leq t_2 \leq \min\left\{\frac{13}{22} - 2t_1, t_1\right\}, \\
 &\quad \frac{2}{11} \leq t_3 \leq \min\left\{t_2, \frac{189}{242} - 2t_1 - t_2\right\}, \max\left\{\frac{4}{11} - t_3, \frac{21}{121}\right\} \leq t_4 \leq t_3, \\
 &\quad \frac{1}{22} \leq t_5 \leq \min\left\{\frac{5}{11} - 2t_1, \frac{1}{11}\right\}\}.
 \end{aligned}$$



We have

$$\begin{aligned}
e_5 &\leq (5!)(2)(5.5) \int_{\frac{2}{11}}^{\frac{9}{11}} dt_1 \int_{\frac{2}{11}}^{\min\{\frac{13}{22}-2t_1, t_1\}} dt_2 \int_{\frac{2}{11}}^{t_3} dt_3 \int_{\frac{21}{121}}^{t_3} \frac{\ln(10-44t_1)}{t_1 t_2 t_3 t_4} dt_4 \\
&\leq 1320 \int_{\frac{2}{11}}^{\frac{9}{11}} dt_1 \int_{\frac{2}{11}}^{\min\{\frac{13}{22}-2t_1, t_1\}} dt_2 \int_{\frac{2}{11}}^{t_2} \frac{1}{t_1 t_2 t_3} \left( (5.5) \left( t_3 - \frac{2}{11} \right) + \ln \frac{22}{21} \right) (9 - 44t_1) dt_3 \\
&\leq 1320(5.5)^3 \int_{\frac{2}{11}}^{\frac{9}{11}} dt_1 \int_{\frac{2}{11}}^{\min\{\frac{13}{22}-2t_1, t_1\}} \left( \frac{5.5}{2} \left( t_2 - \frac{2}{11} \right)^2 + \left( \ln \frac{22}{21} \right) \left( t_2 - \frac{2}{11} \right) \right) (9 - 44t_1) dt_2 \\
&\leq 1320(5.5)^3 \left[ \int_{\frac{2}{11}}^{\frac{13}{66}} \left( \frac{5.5}{6} \left( t_1 - \frac{2}{11} \right)^3 + \frac{\ln \frac{22}{21}}{2} \left( t_1 - \frac{2}{11} \right)^2 \right) (9 - 44t_1) dt_1 \right. \\
&\quad \left. + \int_{\frac{13}{66}}^{\frac{9}{11}} \left( \frac{5.5}{6} \left( \frac{9}{22} - 2t_1 \right)^3 + \frac{\ln \frac{22}{21}}{2} \left( \frac{9}{22} - 2t_1 \right)^2 \right) (9 - 44t_1) dt_1 \right] \\
&\leq 219625 \left[ \frac{5.5}{6} \left( \frac{1}{12} \left( \frac{1}{66} \right)^4 + \frac{11}{5} \left( \frac{1}{66} \right)^5 \right) + \frac{\ln \frac{22}{21}}{2} \left( \frac{1}{9} \left( \frac{1}{66} \right)^3 + \frac{11}{3} \left( \frac{1}{66} \right)^4 \right) \right. \\
&\quad \left. + \frac{5.5}{6} \left( \frac{1}{24} \left( \frac{1}{66} \right)^4 + \frac{44}{10} \left( \frac{1}{66} \right)^5 \right) + \frac{\ln \frac{22}{21}}{2} \left( \frac{1}{18} \left( \frac{1}{66} \right)^3 + \frac{44}{8} \left( \frac{1}{66} \right)^4 \right) \right] \\
&\leq 0.007817.
\end{aligned}$$

We estimate  $e_6$  now.

We have

$$\mathbf{D}_6^* = \left\{ (\theta_0, \dots, \theta_4) : \frac{t_0}{2} \geq \theta_0 \geq \dots \geq \theta_4 \geq 1 - \frac{20}{11} t_0, \theta_3 + \theta_4 \geq 4 - 8t_0 \right\}.$$

Then  $1 - \theta_1 - \dots - \theta_4 = \theta_0 \geq 1/5$ , and

$$e_6 \leq (4!)(2)(5) \int \dots \int_{\Delta_6} \frac{dt_1 dt_2 dt_3 dt_4}{t_1 t_2 t_3 t_4},$$

where

$$\Delta_6 = \{(t_1, \dots, t_4) : 5/22 \geq t_1 \geq \dots \geq t_4 \geq 21/121, t_3 + t_4 \geq 4/11, 2t_2 + t_2 + t_3 + t_4 \leq 1\}.$$

Thus

$$\begin{aligned}
e_6 &\leq 240 \int_{\frac{17}{88}}^{\frac{5}{22}} dt_1 \int_{\frac{17}{66} - \frac{t_1}{3}}^{\min\{\frac{7}{11} - 2t_1, t_1\}} dt_2 \int_{\frac{2}{11}}^{t_2} dt_3 \int_{\frac{21}{121}}^{t_3} \frac{dt_4}{t_1 t_2 t_3 t_4} \\
&\leq 240 \int_{\frac{17}{88}}^{\frac{5}{22}} dt_1 \int_{\frac{17}{66} - \frac{t_1}{3}}^{\min\{\frac{7}{11} - 2t_1, t_1\}} dt_2 \int_{\frac{2}{11}}^{t_2} \frac{1}{t_1 t_2 t_3} \left( (\ln \frac{11t_3}{2}) + \ln \frac{22}{21} \right) dt_3 \\
&\leq 240(5.5) \int_{\frac{17}{88}}^{\frac{5}{22}} dt_1 \int_{\frac{17}{66} - \frac{t_1}{3}}^{\min\{\frac{7}{11} - 2t_1, t_1\}} dt_2 \int_{\frac{2}{11}}^{t_2} \frac{1}{t_1 t_2 t_3} \left( \frac{11t_3 - 2}{2} + \ln \frac{22}{21} \right) dt_3 \\
&\leq 240(5.5) \int_{\frac{17}{88}}^{\frac{5}{22}} dt_1 \int_{\frac{17}{66} - \frac{t_1}{3}}^{\min\{\frac{7}{11} - 2t_1, t_1\}} \frac{1}{t_1 t_2} \left( \frac{5.5}{2} \left( t_2 - \frac{2}{11} \right)^2 + \left( \ln \frac{22}{21} \right) \left( t_2 - \frac{2}{11} \right) \right) dt_2 \\
&\leq 1320 \int_{\frac{7}{33}}^{\frac{5}{22}} dt_1 \int_{\frac{17}{66} - \frac{t_1}{3}}^{\frac{7}{11} - 2t_1} \frac{1}{t_1 \left( \frac{17}{66} - \frac{t_1}{3} \right)} \left( \frac{5.5}{2} \left( t_2 - \frac{2}{11} \right)^2 + \left( \ln \frac{22}{21} \right) \left( t_2 - \frac{2}{11} \right) \right) dt_2 \\
&\quad + 1320 \int_{\frac{17}{88}}^{\frac{7}{33}} dt_1 \int_{\frac{17}{66} - \frac{t_1}{3}}^{\frac{7}{11} - 2t_1} \frac{1}{t_1 \left( \frac{17}{66} - \frac{t_1}{3} \right)} \left( \frac{5.5}{2} \left( t_2 - \frac{2}{11} \right)^2 + \left( \ln \frac{22}{21} \right) \left( t_2 - \frac{2}{11} \right) \right) dt_2 \\
&\leq 1320 \left( \frac{22}{5} \right) \left( \frac{66}{12} \right) \int_{\frac{7}{33}}^{\frac{5}{22}} \left[ \frac{5.5}{6} \left( \frac{5}{11} - 2t_1 \right)^3 - \frac{5.5}{6} \left( \frac{5}{66} - \frac{t_1}{3} \right)^3 \right. \\
&\quad \left. + \frac{1}{2} \left( \frac{5}{11} - 2t_1 \right)^2 \left( \ln \frac{22}{21} \right) - \frac{\ln \frac{22}{21}}{2} \left( \frac{5}{66} - \frac{t_1}{3} \right) \right] dt_1 \\
&\quad + 1320 \left( \frac{178}{37} \right) \left( \frac{33}{7} \right) \int_{\frac{17}{88}}^{\frac{7}{33}} \left( \frac{5.5}{6} \left( t_1 - \frac{2}{11} \right)^3 - \frac{5.5}{6} \left( \frac{5}{66} - \frac{t_1}{3} \right)^3 + \left( \ln \frac{22}{21} \right) \frac{\left( t_1 - \frac{2}{11} \right)^2}{2} - \frac{\ln \frac{22}{21}}{2} \left( \frac{5}{66} - \frac{t_1}{3} \right)^2 \right) dt_1 \\
&\leq 31944 \left[ \frac{5.5}{48} \left( \frac{1}{33} \right)^4 - \frac{5.5}{6} \left( \frac{3}{4} \right) \left( \frac{1}{198} \right)^4 + \frac{1}{12} \left( \ln \frac{22}{21} \right) \left( \frac{1}{33} \right)^3 - \frac{\ln \frac{22}{21}}{2} \left( \frac{1}{198} \right)^3 \right] \\
&\quad + 29937 \left[ \frac{5.5}{24} \left( \frac{1}{33} \right)^4 - \frac{5.5}{24} \left( \frac{1}{88} \right)^4 + \frac{16.5}{24} \left( \frac{1}{198} \right)^4 - \frac{16.5}{24} \left( \frac{1}{88} \right)^4 + \frac{\ln \frac{22}{21}}{6} \left( \frac{1}{33} \right)^3 - \frac{\ln \frac{22}{21}}{6} \left( \frac{1}{88} \right)^3 \right. \\
&\quad \left. + \frac{\ln \frac{22}{21}}{2} \left( \frac{1}{198} \right)^3 - \frac{\ln \frac{22}{21}}{2} \left( \frac{1}{88} \right)^3 \right] < 0.01351.
\end{aligned}$$

Finally, we estimate  $e_7$ . We have

$$e_7 \leq (5!)(5)(2) \left( \frac{121}{21} \right) \int \cdots \int_{\Delta_7} \frac{dt_1 \cdots dt_6}{t_1 \cdots t_6}$$

where

$$\Delta_7 = \{(t_1, \dots, t_6) : 2/11 \geq t_1 \geq \dots \geq t_4 \geq 21/121, 1/11 \geq t_5 \geq t_6 \geq 1/22\}.$$

Thus

$$e_7 \leq \frac{1}{4!} \left( \ln \frac{22}{21} \right)^4 (1 - \ln 2) < 0.0002071.$$

**EDITORIAL NOTE.** Editors came to know (by private communication) that Theorem A of this paper was proved independently by Professor D.R. HEATH-BROWN long ago. He had a lot of unpublished material dating back to 1983 regarding Theorem A.