Indexing Evidential Data

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Abstract—Querying imperfect data received increasing attention in the area of database management. The complexity and the volume of imperfect data requires advanced techniques for efficient access, and satisfying user-queries in a reasonable response time. In this paper, we are particularly interested in evidential databases, i.e., databases where imperfection is represented through Dempster-Shafer theory. To answer user-queries in such databases, we propose a new index system based on a tree data structure (e-Tree) that is adapted to the complexity of the evidential data. The experiments done on our solution showed encouraging results.

Keywords—Dempster-Shafer theory, indexing data, evidential database.

I. INTRODUCTION

Imperfect databases research field is receiving a quite important attention from academic and industrial researchers in recent years. That is due to the need of database management systems (DBMS) that handle different data natures and support decision making process in presence of uncertainty.

Several real world applications suffer from data imperfection. For example, in medical applications, symptoms and diagnosis data are infected with imperfection (be it uncertainty, incompleteness or imprecision). Also, data collected from sensors deal with imperfections. The sensors of a unique system may have different precision levels, thus, the system that manages these data should be as robust as possible to correctly cope with data imperfection.

In this work, we are interested in imperfect data that are modeled through Dempster-Shafer theory [9], [10], [15] called, evidential data. Evidential database is the system that stores and manages evidential data, with regarding to its complex nature. Handling uncertainty and imprecision via evidential database systems is an active research topic tackled in several works such as [6], [7], [12], [4].

When we deal with huge volume of data [5], the cost of accessing these data becomes problematic. A trivial solution to minimize this cost is to use an indexing system. However, conventional indexes used in traditional (perfect) databases are unusable in evidential databases, because non adapted to the structure of the evidential data. Even index structures introduced in the uncertainty context, cope with probabilistic databases [16], [11], [2], [13] rather than with evidential ones.

In this work, we introduce a new tree based data structure as an indexing system adapted to the nature of data stored in evidential databases. The introduced structure is a single attribute index, i.e., an index based on a unique database column. To validate our contribution, we implemented the sequential access to the evidential database, which is the trivial solution to answer user-queries, the Rid Lists structure, introduced in [3] and adapted in this paper to index evidential data, and finally the evidential tree, called e-Tree, introduced in this article. Then we led experiments on these methods to compare their efficiencies for various synthetic evidential databases.

This paper is organized as follows:

In section 2, we present the background material of our work. In subsection A, the basic notions of Dempster-Shafer theory are introduced, to present then, in subsection B, the evidential database concept which is based on the aforementioned theory. In section 3, we present the indexes of evidential data. Two solutions are presented; the RID Lists structure, initially proposed in [3] for mining association rules, and the e-Tree structure that is the contribution of this work. Finally, in section 4, we present the experiments conducted on our index systems as well as the obtained results.

II. BACKGROUND MATERIAL

A. Dempster-Shafer (DS) Theory

A discernment frame is a set of mutually exclusive and exhaustive hypotheses where one of these hypotheses is likely to be the solution for the proposed problem. The uncertain information representation can be expressed by the mass function:

Let \( \Omega \) be a frame of discernment, then, a function \( m : 2^\Omega \rightarrow [0,1] \) is called a mass function whenever:

\[
m(\emptyset) = 0 \]

\[
\sum_{A \subseteq \Omega} m(A) = 1 \quad (1)
\]

An element \( A \) of the set \( 2^\Omega \) is called a focal element whenever \( m(A) \neq 0 \). The measure \( m(A) \) gives how much is true the hypothesis \( A \).
From this definition are derived the definitions of belief (\(\text{bel}\)) and plausibility (\(\text{pl}\)).

The belief value of an attribute \(A\), denoted by \(\text{bel}(A)\), is the total mass of all subsets of \(A\). It reflects the total belief committed to \(A\).

\[
\text{bel}_\Omega : 2^\Omega \rightarrow [0, 1] \\
\text{bel}_\Omega(A) = \sum_{B \subseteq A} m_\Omega(B) \tag{2}
\]

The plausibility function is the dual function of belief. It measures the maximum amount of belief that could be given to a proposition \(A\). This function gives how much the given information enforces the hypothesis \(A\). It is defined on \(2^\Omega \rightarrow [0, 1] as follows:

\[
\text{pl}_\Omega(A) = 1 - \text{bel}_\Omega(\overline{A}) \\
\text{pl}_\Omega(A) = \sum_{B : A \neq \emptyset} m_\Omega(B) \tag{3}
\]

where \(\overline{A}\) is the complement hypothesis of \(A\).

### B. Evidential Database

An evidential database \(EDB\) is a database with \(X\) attributes and \(Y\) lines where each attribute \(A_x\) (\(1 \leq x \leq X\)) has a domain \(\Omega_x\) containing all the possible hypotheses, i.e., values of \(A_x\). \(\Omega_x\) is the frame of discernment of the \(x^{th}\) attribute. The value of the \(j^{th}\) attribute in the \(i^{th}\) line is called an evidential value denoted by \(V_{ij}\). An evidential value is a basic belief assignment (bba) defined through a mass function denoted by \(m_{ij}\).

Table 1 is an example of an evidential database, where the attribute \(\text{Disease}\) stores the diagnosis of a patient. The diagnosis of a patient may be precise and certain, as the diagnosis of John. It may be uncertain, like the diagnosis of Robert. Also, it may be imprecise, like for the patient Maria. This evidential attribute may contain any imperfect information that could be modeled through the DS theory.

**Table I. Diagnosis: An Example of Evidential Database**

<table>
<thead>
<tr>
<th>Id</th>
<th>Patient</th>
<th>Disease</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Robert</td>
<td>0.7 flu, 0.3 anemia</td>
</tr>
<tr>
<td>2</td>
<td>Celina</td>
<td>0.7 (cancer,flu), 0.3 cancer</td>
</tr>
<tr>
<td>3</td>
<td>John</td>
<td>flu</td>
</tr>
<tr>
<td>4</td>
<td>Maria</td>
<td>(anemia,cancer)</td>
</tr>
</tbody>
</table>

In [14], the author introduced an interesting model of an extended relational evidential database. The main contribution of this work is a generalized relational algebra where five relational operators (projection, restriction, cross product, intersect and join) are redefined.

In this paper, we are content with giving a simple example of selection from one table. For more details, please refer to the articles [14] and [6].

Assume we want to evaluate the following query:

```
SELECT * FROM diagnosis WHERE disease='flu'
```

In a perfect database, the result of this query contains every line whose attribute \(\text{Disease}\) has the value \(\text{flu}\). In evidential databases, attributes contain evidential values rather than precise values. Thus, the result contains the lines where belief of \(\text{flu}\) is strictly positive in the \(\text{bba}\) of the \(\text{Diagnosis}\) attribute \(\text{bel}(\text{flu}) > 0\). It is formally defined as follows:

\[
\text{tab}_{\langle \text{attribute} = v \rangle} = \{ l \in \text{edb}/\text{bel}(v) > 0 \} \tag{4}
\]

where \(\text{tab}_{\langle \text{attribute} = v \rangle}\) is the restriction of the relation \(\text{tab}\) according to condition \(\text{attribute} = v\), and \(\text{bel}(v)\) is the belief of \(v\) in the record \(l\).

Note the pseudo-attribute \(\text{Bel}\) added to the resulted relation (see Table 2). It represents the belief of the searched value (in our example \(\text{flu}\)) in the resulted line.

In [14], the resulted lines are those where \(\text{pl}((\text{flu}))\) is strictly positive (\(\text{pl}(\text{flu}) > 0\)). A pseudo-attribute called \(\text{Confidence Level}\) (denoted by \(\text{CL}\)) is added to the returned relation. It is an interval delimited by the belief and the plausibility of each resulted line as shown in table 3.

**Table II. Diagnosis\(_{\langle \text{disease}='flu' \rangle}\)**

<table>
<thead>
<tr>
<th>Patient</th>
<th>Disease</th>
<th>Bel</th>
</tr>
</thead>
<tbody>
<tr>
<td>Robert</td>
<td>0.7 flu, 0.3 anemia</td>
<td>0.7</td>
</tr>
<tr>
<td>John</td>
<td>flu</td>
<td>1</td>
</tr>
</tbody>
</table>

**Table III. Diagnosis\(_{\langle \text{disease}='flu' \rangle}\), According to Model of [14]**

<table>
<thead>
<tr>
<th>Patient</th>
<th>Disease</th>
<th>CL</th>
</tr>
</thead>
<tbody>
<tr>
<td>Robert</td>
<td>0.7 flu, 0.3 anemia</td>
<td>[0.7,0.7]</td>
</tr>
<tr>
<td>Celina</td>
<td>0.7(cancer,flu), 0.3 cancer</td>
<td>[0.6,0.7]</td>
</tr>
<tr>
<td>John</td>
<td>flu</td>
<td>[1,1]</td>
</tr>
</tbody>
</table>

Thus, in the literature, there are two models for answering user-queries in evidential databases. In the first one, we return lines where \(\text{believes}\) of the searched value are positive. In the second model, returned lines are those whose \(\text{plausibilities}\) of the searched value are positive. In this paper, we focus only on the first model.

### III. Indexing Evidential Databases

Answering a query of the form \(\text{table} < \text{attribute} = V >\) leads to computing the belief of the searched value (\(V\)) in each line of the database. The DBMS has not only to look for the searched value, but also for the subsets of the searched value (see the definition of the \(\text{belief function}\) in section 2, subsection A). For example, assume that the searched value is \(V = \{\text{cancer, flu}\}\). The DBMS should find all lines that deal with \(\{\text{cancer, flu}\}\), but also with \(\text{cancer}\) and \(\text{flu}\) values. If the searched value \(V\) has a size \(n\), then the DBMS must return each line that deals with any subset \(A \subseteq V\) from the \(2^n\) possible focal elements in the database that are subsets of \(V\). Thus, answering queries in evidential databases is much more costly than answering queries in perfect databases. Consequently, it is obvious that efficient query processing techniques are needed. Indexing remains a key technique to this aim.

In this section, we will present two indexing techniques. The first one is derived from the work [3], where \(\text{RID Lists}\) are used to mine frequent itemsets in evidential data. And the second one is introduced in this paper. It is a tree data structure that represents evidential data in a manner that accelerates the search operation.
A. The RID Lists structure

The RID Lists structure assigns to each focal element in the indexed attribute a list of couples. Each couple that concerns a focal element $fe$ contains two information:

- The row identifier (ROWID) of the line that contains $fe$.
- The mass of $fe$ in that line.

The RID Lists corresponding to the diseases’ database (table 1) is presented in table 4. The construction algorithm of the RID Lists structure, corresponding to an evidential database, is detailed in [3].

To evaluate the query $\text{table<attribute=v>}$, the RID Lists index is scanned, and every RID List related to a focal element included in $v$, is returned. To accelerate the search, the RID Lists is sorted in the construction phase.

Table IV. RID LISTS of table Diagnosis

<table>
<thead>
<tr>
<th>Focal element</th>
<th>Rid List</th>
</tr>
</thead>
<tbody>
<tr>
<td>anemia</td>
<td>(1,0.3)</td>
</tr>
<tr>
<td>{anemia,cancer}</td>
<td>(4,1.0)</td>
</tr>
<tr>
<td>cancer</td>
<td>(2,0.3)</td>
</tr>
<tr>
<td>{cancer,flu}</td>
<td>(2,1.0)</td>
</tr>
<tr>
<td>flu</td>
<td>(1,0.7)(3,1.0)</td>
</tr>
</tbody>
</table>

B. The Evidential Tree

The evidential tree, denoted by $e$-Tree, is a tree data structure that stores the content of an evidential attribute $A$. The root of the tree is the empty set. A node of the tree has two information:

- A value $x \in D_A$ where $D_A$ is the domain of the attribute $A$.
- A list of couples (rid, bel).

Each couple (rid, bel) informs about the belief of the focal element $fe$ in the line whose identifier is rid. $fe$ is the focal element that is composed of values of $A$ in the path that starts from the root, to the current node.

For example, in figure 1, see the node cancer(4/1.0). It means that the focal element {anemia, cancer} (see the path that starts from the root and ends in the cancer node) has a belief equal to 1.0 in the line 4.

Note that e-Tree is sorted in breadth and in depth. It allows us to accelerate the search operation. In the following, we present two important algorithms. The first one is about the construction of the e-Tree from an evidential database. The second one deals with searching lines that cope with a search value, from an e-Tree structure.

1) Construction of the e-Tree: The construction of e-Tree is described in the pseudo-code of the algorithm 1. In each line of the database, each focal element is inserted in depth into the e-Tree. For example, to insert focal elements of the line 2 in the database example (table 1), we begin by the element {cancer, flu}. We look among the children of the root if there is a node relative to the value cancer. If we don’t find it, we create a node with the label cancer. Then, we look among the children of this node for a node labeled flu. If its exists, we update its RID Lists by adding the couple (2,1.0), and not the couple (2,0.7) since belief of {cancer, flu} in the line 4 is equal to 1 (bel({cancer, flu}) = mass({cancer, flu}) + mass(cancer) = 1).

Then, we handle the second focal element in line 2, that is cancer. We look for the node cancer in children’s root, and we find it (it is just created). So we add the couple (2,0.3) to its RID Lists. Algorithm 1 presents the pseudo-code of constructing the evidential tree from an evidential database.

Figure 1 illustrates the evidential tree corresponding to the table Diagnosis.

![Figure 1](image-url)

2) Searching in the e-Tree: A user query may cope with a precise or imprecise value. For example, a user may search for lines with a precise diagnosis, such as flu. He also may look for lines with imprecise diagnosis, like {anemia, cancer}. If the searched value is precise, then e-Tree structure is scanned only at its first level. The other levels are about imprecise values, i.e., compound focal elements. That is an advantage relatively to the RID Lists structure, where singleton focal elements are not collected in a separate structure. In the e-Tree structure, singleton focal elements are stored as the children of the root node. If the searched value is precise, the search algorithm scans only $n$ elements, while in the RID Lists, it scans up to $2^n$ elements. In this case, we can apply dichotomous search since nodes are sorted. Consequently, the complexity of the search algorithm is $\log_2(n)$.

When the searched value $V$ is imprecise, like {anemia, cancer}, the search algorithm looks for nodes that correspond to anemia and cancer in the first level. It also looks for the nodes that represent the focal element {anemia, cancer} which is at the second level. To make faster, when the searched value $V$ is imprecise, each time we visit a node relative to an element $e$ belonging to $V$, a depth-scan is performed to look for all children of $e$ that are included (subsets) in $V$. In our example, when we visit the node anemia, we also scan its children, looking for the node cancer. This node, that represents the focal element {anemia, cancer} is also concerned by the search operation. The search algorithm returns the line 1 (with the belief 0.3), and then returns the line 4 (with the belief 1). Depth searching linked with the singleton anemia being complete, the search algorithm go to the next singleton element of $V$, that is cancer. The same recursive operation done for the node anemia is done for cancer.

A main advantage of $e$-Tree relatively to the RID Lists index, is that the hypotheses composing the focal elements are linked. Exploring a singleton value, leads directly to all nodes it prefixes, and that are subsets in $V$. In the RID Lists structure, subsets of $V$ are separated, which necessitates more scan time.
Algorithm 2 is the general method to search a value $V$ in an $e$-Tree structure. The output is a set of lines (identified by a $rid$) with the belief of $V$ in each one (like in table 2). Algorithm 3 is the procedure used recursively in the main algorithm. The procedure SEARCH takes as input a node $N$ in $e$-Tree. The searched value $V$ and $k$ as the level where the search will perform. It adds to the set of returned lines the couples of $(rid, bel)$ that satisfy the search criterion in the level $k$. Note that in algorithms 1, 2 and 3, $N.children[]$ is the set of the nodes that are children of the node $N$; subsets($V,k$) is the collection of all subsets of $V$ whose sizes are equal to $k$; $PATH(c)$ returns the focal element that is composed of all nodes' elements that link the root node to the node $c$.

### Algorithm 1: Construction of e-Tree

```plaintext
input : An evidential table edb
output: e-Tree
for each line $l$ in edb do
    for each focal element $fe$ in $l$ do
        if $fe$ is singleton then
            if $fe$ ∈ root.children[] then
                Update the RID List of $fe$;
            else
                Add a node for $fe$;
                Initialize Its RID List with the couple($rid_l, bel_l(fe)$);
            end
        else
            /* $fe$ is not a singleton */
            for each value $v$ in $fe$ do
                scan e-Tree in depth to find the node corresponding to $v$;
                if $v$ not found then
                    Create a node relative to $v$ in the corresponding level
                end
                Add the couple ($rid_l, bel_l(fe)$) to the leaf corresponding to $fe$; /* It corresponds to the last node visited for the last $v$ in $fe$ */
            end
        end
    end
end
```

### Algorithm 2: Searching a value $V$ in e-Tree

```plaintext
input : e-Tree, $V$ as the searched value
output: returned_lines as a set of couples $(rid, bel)$
for each child $c$ in root.children[] do
    if $c$ in subsets($V,1$) then
        ADD c.ridlists TO returned_lines;
        SEARCH($c, V, 2, returned_lines$);
    end
end
```

### Algorithm 3: The procedure SEARCH

```plaintext
input : $N$ as a node in e-Tree, $V$ as the searched value, $k$ as the searched level
output: returned_lines as a set of couples $(rid, bel)$
for each child $c$ in $N.children[]$ do
    if $PATH(c)$ in subsets($V,k$) then
        ADD c.ridlists TO returned_lines;
        SEARCH($c, V, k+1, returned_lines$);
    end
end
```

generator program that produces a database with only one attribute. We recall that our indexes are single attribute based, i.e., indexes based on a unique attribute, so we do not need to databases with several attributes. The generation takes into account several parameters that cope with the evidential database nature.

The parameter $D$ is the size of the database. It provides the number of lines in the database. The parameter $NFE$ is about maximum number of focal elements in one $boa$. For example, in the database of table 1, $NFE$ is equal to 2, since the maximum number of focal elements per line is 2 (see lines 1 and 2). The parameter $SFE$ is the maximum size of compound focal element. In our database example, $SFE$ is also equal to 2 (see lines 2 and 4 that include composed focal elements). The parameter $CARD$ is the cardinality of the indexed attribute. It is the size of the frame of discernment. In our example, we have $CARD = 3$ because the $diagnosis$ attribute has three possible values: anemia, cancer and flu. Finally, the parameter $PCT\_IMP$ is about the rate of imperfect lines in the database. It is the ratio (number of imperfect lines $×100$) / $D$. In our example, $PCT\_IMP = 75\%$.

The generation program produces exactly $D$ lines. In each one, it generates $n$ focal elements, where $n$ is chosen in the interval $[1, NFE]$. Then, for each focal element, it chooses a value $s$ ∈ $[1, SFE]$, that is the size of the focal element. Thus, content of a focal element is composed of $s$ hypotheses. Each one is generated such that value $v$ of one element is taken from the interval $[1, CARD]$. Finally the masses of the $n$ focal elements are chosen each one in the interval $[0, 1]$ under the condition $\sum_{i=1}^{n} m(v) = 1$. Values of $n, s$ and $v$ are computed in their respective intervals through the uniform law.

### IV. EXPERIMENTS AND RESULTS

#### A. Generation of evidential databases

We present in this section the experiments made on several synthetic evidential databases, in order to show the behavior of our indexes when processing user queries on scalable evidential data. Evidential databases are not abundant in real world, thus, we generated synthetic data. We implemented a

#### B. Evaluating the access methods

We created several synthetic databases with various values of the parameters above, and we implemented three access methods to evidential databases. The first access to the database uses the $e$-Tree index, the second one uses the RID Lists index, and the third one do not use any index system.

In the first experiment, we set the parameters $NFE, SFE, CARD$ and $PCT\_IMP$ respectively to 3, 3, 12 and 75%, and we varied the value of the parameter $D$ from 300 to 1200. The figure 2 shows that performing an access without index is more expensive, in time execution, than an index based search. This expected result is due to the fact that using an index structure avoids scanning the whole of the database. Note that when the size of the database increases, the execution time is exponentially worse for the non-index method. Using indexes is much more efficient when the size of the database increases.
The e-Tree gives better results than the RID Lists index since searched elements are linked. For example, in the RID Lists, if the searched value is \{A_1, A_2, A_3\}, then we have to find and to visit separately the lists of the focal elements A_1, \{A_1, A_2\} and \{A_1, A_2, A_3\}. With the e-Tree index, reading the path A_1 \rightarrow A_2 \rightarrow A_3 is sufficient to obtain the same information, which is composed of the row identifiers of the interesting lines, and also their believes in. This advantage is very clear in the experiment of varying the SFE parameter (see figure 3). In this experiment, we generate several databases where parameters D, NFE, CARD and PCT_IMP are set respectively to 1000, 3, 12 and 75% with a variation of SFE from 1 to 3. When SFE is set to 2, using the e-Tree is more efficient than using RID Lists index. When SFE is set to 3, the performance of RID Lists index decreases considerably, contrary to the one of e-Tree that remains practically the same. The difference between the two methods’ efficiencies is more considerable when the size of the indexed focal elements (SFE) increases as explained in the previous example.

Next experiment deals with the variation of the number of focal elements (NFE) parameter. The results (see figure 4) show same behavior of the three methods. Note here that if the number of focal elements per line increases, the probability that we have several nested focal elements per line also increases. Again, in that case, e-Tree outperforms widely RID Lists as explained in the previous experiment.

We also generated several databases with various imperfection rates. Values of the parameter PCT_IMP were varied from 0% (all data are perfect) to 100% (all lines contain evidential values). The experiment’s results are shown in figure 5. Note in this experiment that e-Tree and RID Lists give the same performance for a null value of PCT_IMP. This result will be interpreted in subsection C.

C. The perfect and the probabilistic cases

In experiment related to the variation of the imperfection rate (figure 5), we mentioned that accessing a perfect database (PCT_IMP = 0%) using the e-Tree index, or the RID Lists index gives the same result in term of answer time. When
compound focal elements are absent, then the two structures are identical. In this case, the two structures consist in a collection of RID Lists that store the *rid couples* of each attribute’s value (that is singleton, with belief equal to one).

Moreover, even when $SFE = 1$ (see figure 3), for any $PCT_{IMP} > 0\%$ and $NFE > 1$, the two structures have exactly the same behavior. That is, when the indexed attribute has *bbas* with exclusively singleton focal elements ($SFE = 1$), the two index structures are equivalent. Note here that with these parameters the database is probabilistic.

### D. Influence of queries’ types

In our experiments, we noted that efficiency of access methods depends on the nature of the user-query. There is an important difference between user-queries with simple condition, and the ones with compound conditions. Query that looks for the value $A_3$ is much more simple than the one that looks for the values $A_1$ or $A_2$ or $A_3$. To answer to the second query, we must verify $2^3 = 6$ possible combinations in our index. Consequently, we did an experiment on the behavior of our three methods when performing a simple query, and when performing a more complicated query, i.e., query with a compound condition. In this experiment, the query’s condition involves the search of three values. Table V shows execution time for the three access methods relatively to the two queries. Note that even for the simple query, e-Tree based method is better than the RID Lists one. In fact, when we deal with a simple condition query, the access method scans only the nodes (in the e-Tree) and the lists (in the RID Lists) of the singleton focal elements. Since singleton focal elements are collected only in the first level of the e-Tree, the scan is done on a maximum of $CARD$ nodes. However in the RID Lists index, singleton focal elements are stored with the compound ones, and so search is more costly.

![Figure 6](image.png)

**Figure 6.** Behavior of access methods when CARD varies.

### V. Conclusion

In this paper, we introduced a new index data structure, called e-Tree, used to accelerate searching operations in ev-

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### References