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A LEMMA IN COMPLEX FUNCTION THEORY-II BY

R. BALASUBRAMANIAN AND K. RAMACHANDRA

§1. INTRODUCTION. This is a continuation of [1] and [2]. However the method here is different and is self-contained. In [2] we proved a general result which implied the following

THEOREM 1. Let f(z) be analytic in $|z| \leq r$ and there let $|f(z)| \leq M, (M \geq 3)$. Let $A \geq 1$. Then

$$|f(0)| \leq (24A \log M)(\frac{1}{2r}\int_{-r}^{r} |f(iy)| dy) + M^{-A}.$$
 (1)

We also proved a corresponding result with |f(x+iy)| in place of |f(iy)|, with suitable restrictions on x and also on the range of integration namely on y. These are statements about |f(z)| where f(z) is analytic. We now consider $|f(z)|^k$ where k > 0 is any real number independent of z. We prove

THEOREM 2. Let k be any positive real number. Let f(z) be analytic in $|z| \leq 2r$ and there $|f(z)|^k \leq M(M \geq 9)$. Let $x = r(\log M)^{-1}$, and let x_1 be any real number with $|x_1| \leq x$. Put $r_0 = \sqrt{4r^2 - x_1^2}$. Then with $A \geq 1$ we have

$$|f(0)|^{k} \leq 2e^{84A}M^{-A} + \frac{24}{(2\pi)^{2}}e^{84A}\log M(\frac{1}{2r_{0}}\int_{-r_{0}}^{r_{0}}|f(x_{1}+iy)|^{k} dy). \quad (2)$$

REMARK 1. It is easy to remember a somewhat crude result namely

$$|f(0)|^{k} \leq e^{90A} \{ M^{-A} + (\log M)(\frac{1}{2r_{0}} \int_{-r_{0}}^{r_{0}} |f(x_{1} + iy)|^{k} dy) \}.$$
 (2')

REMARK 2. In Theorem 1 the constants are reasonably small whereas in Theorem 2 they are big. We have not attempted to get optimal constants.

REMARK 3. Let $k_1, k_2, ..., k_m$ be any set of positive real numbers. Let $f_1(z), f_2(z), ..., f_m(z)$ be analytic in $|z| \leq 2r$, and there

$$|(f_1(z))^{k_1}...(f_m(z))^{k_m}| \le M(M \ge 9).$$

Then Theorem 2 holds good with $|f(z)|^k$ replaced by $|(f_1(z))^{k_1}...(f_m(z))^{k_m}|$.

REMARK 4. A corollary to our result mentioned in Remark 3 was pointed out to us by Professor J.P. Demailly. It is this : Theorem 2 holds good with $|f(z)|^k$ replaced by Exp(u) where u is any subharmonic function. To prove

this it suffices to note that the set of functions of the form $\sum_{j=1}^{m} k_j \log |f_j(z)|$

is dense in L^1_{loc} in the set of subharmonic functions. (This follows by using Green-Riesz representation formula for u and approximating the measure Δ_u by finite sums of Dirac measures).

REMARK 5. Consider k = 1 in Theorem 2. Put $\varphi(z) = f^{(\ell)}(z)$ the ℓ th derivative of f(z). Then our method of proof gives

$$|\varphi(0)| \leq CM^{-A} + C(\log M)^{\ell+1} (\frac{1}{4r} \int_{-4r}^{4r} |f(iy)| dy),$$

where C depends only on A and l.

REMARK 6. (Due to J.-P. Demailly). In view of the example $f(z) = (\frac{e^{nx}-1}{nz})^2$, where *n* is a large positive integer and r = 1, the result of Remark 5 is best possible.

§ 2. PROOF OF THEOREM 2. The proof consists of four steps.

STEP 1. First we consider the circle |z| = r. Let

$$0 < 2x \leq r \tag{3}$$

and let PQS denote respectively the points $re^{i\theta}$ where $\theta = -cos^{-1}(\frac{2x}{r}), cos^{-1}(\frac{2x}{r})$ and π . By the consideration of Riemann mapping theorem and the zero cancellation factors we have for a suitable meromorphic function $\phi(z)$ (in PQSP) that (we can assume that f(z) has no zeros on the boundary)

$$F(z) = (\phi(z)f(z))^{k}$$
(4)

is analytic in the region enclosed by the straight line PQ and the circular arc QSP. Further $\phi(z)$ satisfies

$$|\phi(z)| = 1 \tag{5}$$

on the boundary of PQSP and also

$$|\phi(0)| \geq 1. \tag{6}$$

Let

$$X = Exp(u_1 + u_2 + ... + u_n)$$
(7)

where $u_1, u_2, ..., u_n$ vary over the box B defined by

$$0\leq u_j\leq B(j=1,2,...,n),$$

and B > 0.

We begin with

LEMMA 1. The function F(z) defined above satisfies

$$F(0) = I_1 + I_2 \tag{8}$$

where

$$I_1 = \frac{1}{2\pi i} \int_{PQ} F(z) X^z \frac{dz}{z}$$
(9)

and

$$I_2 = \frac{1}{2\pi i} \int_{QSP} F(z) X^z \frac{dz}{z}$$
(10)

where the lines of integration are the straight line PQ and the circular arc QSP.

PROOF. Follows by Cauchy's theorem.

LEMMA 2. We have

$$|I_1| \le \frac{e^{2Bnx}}{2\pi} \int_{PQ} |(f(z))^k \frac{dz}{z}|$$
 (11)

PROOF. Follows since $|X^z| \le e^{2Bnx}$ and also $|\phi(z)| = 1$ on PQ.

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LEMMA 3. We have,

$$|B^{-n}\int_{\mathbf{B}}I_2du_1...du_n| \leq e^{2Bnx}(\frac{2}{Br})^n M.$$
(12)

PROOF. Follows since on QSP we have $|\phi(z)| = 1$ (and so $|F(z)| \le M$) and also

$$|B^{-n}\int_{\mathcal{B}} (\int_{QSP} X^{z} \frac{dz}{2\pi i z}) du_{1} \dots du_{n}| \leq (\frac{2}{Br})^{n}.$$

LEMMA 4. We have,

$$|f(0)|^{k} \leq e^{2Bnx} (\frac{2}{Br})^{n} M + \frac{e^{2Bnx}}{2\pi} \int_{PQ} |(f(z))^{k} \frac{dz}{z}|.$$
(13)

PROOF. Follows by Lemmas 1,2 and 3.

STEP 2. Next in (13), we replace $|f(z)|^k$ by an integral over a chord P_1Q_1 (parallel to PQ) of |w| = 2r, of slightly bigger length with a similar error. Let x_1 be any real number with

 $|\boldsymbol{x}_1| \leq \boldsymbol{x}. \tag{14}$

Let
$$P_1Q_1R_1$$
 be the points $2re^{i\theta}$
where $\theta = -\cos^{-1}(\frac{x_1}{2r}), 0$ and $\cos^{-1}(\frac{x_1}{2r}).$
(If x_1 is negative we have to consider the points
 $\theta = -\frac{\pi}{2} - \sin^{-1}(\frac{x_1}{2r}), 0$ and $\frac{\pi}{2} + \sin^{-1}(\frac{x_1}{2r})).$ (15)

Let X be as in (7). As before let

$$G(w) = (\psi(w)f(w))^k \tag{16}$$

be analytic in the region enclosed by the circular arc $P_1R_1Q_1$ and the straight line Q_1P_1 (we can assume that f(z) has no zeros on the boundary $P_1R_1Q_1P_1$). By the consideration of Riemann mapping theorem and the zero cancelling factors there exists such a meromorphic function $\psi(w)$ (in $P_1R_1Q_1P_1$) with the extra properties,

$$|\psi(w)| = 1$$
 on the boundary of $P_1 R_1 Q_1 P_1$ and $|\psi(z)| \ge 1$. (17)

LEMMA 5. we have with z on PQ,

$$G(z)=I_3+I_4$$

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where

$$I_{3} = \frac{1}{2\pi i} \int_{Q_{1}P_{1}} G(w) X^{-(w-z)} \frac{dw}{w-z}$$
(18)

and

$$I_{4} = \frac{1}{2\pi i} \int_{P_{1}R_{1}Q_{1}} G(w) X^{-(w-z)} \frac{dw}{w-z}.$$
 (19)

PROOF. Follows by Cauchy's theorem

LEMMA 6. We have with z on PQ

$$|I_3| \leq \frac{e^{3Bnx}}{2\pi} \int_{P_1Q_1} |(f(w))^k \frac{dw}{w-z}|$$
 (20)

PROOF. Follows since $|X^{-(w-z)}| \le e^{3Bnx}$ and $|\psi(w)| = 1$ on P_1Q_1 . LEMMA 7. We have with z on PQ,

$$|B^{-n}\int_{\mathcal{B}}I_4du_1...du_n| \leq e^{3Bnx}(\frac{2}{Br})^n M.$$
⁽²¹⁾

PROOF. Follows since on $P_1R_1Q_1$ we have $|\psi(w)| = 1$ (and so $|G(w)| \le M$) and also

$$|B^{-n}\int_{B}\int x^{-(w-z)}\frac{dw}{2\pi i(w-z)}du_1...du_n|\leq (\frac{2}{Br})^n.$$

LEMMA 8. We have with z on PQ,

$$|f(z)|^{k} \leq e^{3Bnx} (\frac{2}{Br})^{n} M + \frac{e^{3Bnx}}{2\pi} \int_{P_{1}Q_{1}} |f(w)|^{k} |\frac{dw}{w-z}|.$$
(22)

PROOF. Follows from Lemmas 5,6 and 7.

STEP 3. We now combine Lemmas 4 and 8.

LEMMA 9. We have

$$|f(0)|^{k} \le e^{2Bnx} (\frac{2}{Br})^{n} M + J_{1} + J_{2}$$
 (23)

where

$$J_1 = \frac{e^{5Bnx}}{2\pi} \left(\frac{2}{Br}\right)^n M \int_{PQ} \left| \frac{dz}{z} \right|, \qquad (24)$$

and

$$J_{2} = \frac{e^{5Bnx}}{(2\pi)^{2}} \int_{P_{1}Q_{1}} |f(w)|^{k} \left(\int_{PQ} |\frac{dz}{z(w-z)}|\right)| dw \qquad (25)$$

LEMMA 10. We have

$$\int_{PQ} \left| \frac{dz}{z} \right| \leq 2 + 2 \log\left(\frac{r}{2x}\right). \tag{26}$$

PROOF. On PQ we have z = 2x + iy with $|y| \le r$ and $2x \le r$. We split the integral into $|y| \le 2x$ and $2x \le |y| \le r$. On these, we use respectively the lower bounds $|z| \ge 2x$ and $|z| \ge y$. The lemma follows by these observations.

LEMMA 11. We have for w on P_1Q_1 and z on PQ,

$$\int_{PQ} \left| \frac{dz}{z(w-z)} \right| \leq \frac{6}{x}.$$
 (27)

PROOF. On PQ we have $Re \ z = 2x$ and on P_1Q_1 we have $|Re \ w| \le x$ and so $|Re(w-z)| \ge x$. We have

$$\left|\frac{dz}{z(w-z)}\right| \leq \left|\frac{dz}{z^2}\right| + \left|\frac{dz}{(w-z)^2}\right|.$$

Writing z = 2x + iy we have

$$\int_{PQ} \left| \frac{dx}{x^2} \right| \leq \frac{2}{(2x)^2} 2x + 2 \int_{2x}^{\infty} \frac{dy}{y^2} = \frac{2}{x}.$$

Similarly

$$\int_{PQ} \left| \frac{dx}{(w-z)^2} \right| \leq 2\left(\frac{1}{x} + \int_x^\infty \frac{dy}{y^2}\right) \\ = \frac{4}{z}.$$

This completes the proof of the lemma.

STEP 4. We collect together the results in Steps 3 and 4 and choose the parameters B and n and this will give Theorem 2. Combining Lemmas 9,10 and 11 we state the following lemma.

LEMMA 12. We have

$$|f(0)|^{k} \leq e^{2Bnx} (\frac{2}{Br})^{n} M + \frac{e^{5Bnx}}{\pi} (\frac{2}{Br})^{n} (1 + \log \frac{r}{2x}) M + \frac{e^{5Bnx}}{(2\pi)^{2}} \cdot \frac{6}{x} \int_{P_{1}Q_{1}} |(f(w))^{k} dw|, \qquad (28)$$

where $0 < 2x \le r, x_1$ is any real number with $|x_1| \le x, n$ any natural number and B is any positive real number and P_1Q_1 is the straight line joining $-r_0$ and r_0 where $r_0 = \sqrt{4r^2 - x_1^2}$.

Next we note that $1 + \log \frac{r}{2x} \le \frac{r}{2x}$ and so by putting $x = r(\log M)^{-1}$ the first two terms on the RHS of (28) together do not exceed

$$(\frac{2}{Br})^n e^{5Bnx}(1+\frac{1}{2\pi}\log M)M \le 2(\frac{2}{Br})^n e^{5Bnx}M \log M$$

Also,

$$\frac{5}{r} = \frac{6 \log M}{r} = 6 \log M(\frac{2r_0}{r}) \frac{1}{2r_0} \le (24 \log M)(\frac{1}{2r_0}).$$

Thus RHS of (28) does not exceed

$$2(\frac{2}{Br})^n e^{5Bnx} M \log M + (\frac{24}{(2\pi)^2} e^{5Bnx} \log M)(\frac{1}{2r_0} \int_{P_1Q_1} |(f(w))^k dw |).$$

We have chosen $x = r(\log M)^{-1}$. We now choose B such that Br = 2e and $n = [C \log M] + 1$, where $C \ge 1$ is any real number. We have $5Bnx \le \frac{5Bnr}{\log M} \le 10e(C+1) \le 28(C+1)$ and also

$$(\frac{2}{Br})^n \leq e^{-C \log M} = M^{-C}.$$

With these choices of x, B, n we see that RHS of (28) does not exceed

$$2M^{-C}e^{28(C+1)}M \log M + (\frac{24}{(2\pi)^2}e^{28(C+1)}\log M)(\frac{1}{2r_0}\int_{P_1Q_1}|f(w)|^kdw|).$$

Putting C = A + 2 we obtain Theorem 2 since $C + 1 \le 3A$. This completes the proof of Theorem 2.

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