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R Balasubramanian, K Ramachandra

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ON THE ZEROS OF THE RIEMANN ZETA-FUNCTION AND L-SERIES-II

By

R. BALASUBRAMANIAN AND K. RAMACHANDRA

То

Professors E. C. TITCHMARSH, Yu. V. LINNIK and J. E. LITTLEWOOD

In Memoriam

§ 1. Introduction

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This paper is a continuation of Ramachandra's paper [2] with the same title. It is divided into two parts A and B for convenience. Both the parts deal with the clusters of zeros of the Riemann zeta-function (part A near $\sigma = 1$ and part B in $\frac{1}{2} < \sigma < 1$). The main result of part A is

Theorem A

Let $\zeta (\beta_0 + i\gamma_0) = 0$ where $\beta_0 > \frac{1}{2}$ and $\gamma_0 > 100$. Let $0 < \lambda < \frac{1}{200}$. Let u and v be positive and let N_v (u) denote the number of zeros P (connected with multiplicities) of the zeta function which satisfy |P - 1 - iv| < u. Define the function $g(\gamma_0)$ by

$$g(\gamma_0) = N_{\gamma_0}(4\lambda) e^{-\lambda Y} + \gamma_0 - 2\lambda \leq v \leq \gamma_0 + 2\lambda \left\{ \int_0^{\lambda Y+1} N_v \left(\frac{2u}{Y}\right) e^{-u} du \right\}$$

Then there exist effective positive constants A_1, A_2, A_3 independent of λ, β_0 , and γ_0 such that for all Y satisfying $Y > A_3 \log \log \gamma_0 > (A_3 + 1000)^2$ we have

$$g(\gamma_0) > A_1 \lambda^2 \{ \lambda^4 Y^{-1} (1 - \beta_0)^{-1} - A_2 \}.$$

Remark 1

We can replace $g(\gamma_0)$ by $N_{\gamma_0}(4\lambda) e^{-\lambda Y} + \int_{\gamma_0-2\lambda}^{\gamma_0+2\lambda} \left[\int_{0}^{\lambda Y+1} N_v \left(\frac{2u}{Y} \right) e^{-u} du \right] dv.$

The final inequality of the theorem still holds if $\lambda Y > 1$ in addition to the other conditions of the theorem. The proof of this result can be achieved by considering a lower bound for

$$\begin{array}{ccc} & [Y] \\ \mathbf{x} & \mathbf{z} \\ \mathbf{x} & \mathbf{y} \leq 2\mathbf{X} \\ \end{array} \begin{array}{c} \begin{bmatrix} Y \\ \mathbf{z} \\ \mathbf{j} = - \begin{bmatrix} Y \end{bmatrix} \end{array} \begin{vmatrix} \mathbf{i} \mathbf{a} \\ \mathbf{1} + \mathbf{p}^{\mathbf{j}} \end{vmatrix}^2$$

where $a_j = \gamma_0 + jY^{-1}$. Lemma 2 gives for this sum the lower bound

$$\gg \frac{\mathbf{x}}{\mathbf{j}} |\mathbf{y}_0 - \mathbf{a}_{\mathbf{j}}|^2 \gg \mathbf{Y}.$$

Remark 2

This theorem improves Theorem 1 of [2]. The earlier history of this result is connected with the names N. LEVINSON, H. L. MONTGOMERY and K. RAMACHANDRA. For detailed historical comments see [2]. It should be mentioned that LEVINSON was the first to prove that $N_{\gamma_0}(\lambda) > 2$ for all $\gamma_0 > \gamma_0(\lambda)$ In the lower bound for $g(\gamma_0)$ the dependence on λ can be sharpened.

Remark 3

For convenience we state this theorem in part A again and point out its application to VINOGRADOV's zero-free region. Zero-free regions are not new. (For an exposition of the usual methods of getting zero-free regions see for instance [4]). But theorem A says something new in the following direction,

It may be looked upon as a new information about the zeros. of $\zeta(s)$ in $\sigma > 1 - (\log \log t)^{-1}$, $t \ge 100$. A simple corollary to theorem A can be stated very easily. Let us call a zero P isolated if in a disc with centre P and radius 10^{-800} there are no other zeros. Then there are at most only finitely many isolated zeros $P = \beta + i\gamma$ in the region $\sigma \ge 1 - (\log \log t)^{-2}$, $t \ge 100$. Moreover $N_{\gamma}(\lambda) \ge 10000$ for all γ exceeding an effective positive constant depending only on λ .

Remark 4

The method adopted in part A is the same as that of [2] with an important difference. We replace the inequality

 $10^{8} | 1+p^{ia} |^{2} + | 1+p^{2ia} |^{2} > 3.$

valid for all primes p (This was used in [2]) by an averaged inequality

$$X
$$X
$$x
$$y = and a, are any two real numbers satisfyin$$$$$$$$

where a_1 and a_2 are any two real numbers satisfying $0 < a_1 - a_2 < 1$ and $\delta = 10^{-5} (a_1 - a_2)^2$. This inequality holds for all X > 10⁹⁰, The proof of this inequality depends crucially on an important idea of BALASUBRA-MANIAN which we utilized in [1]. BALASUBRAMANIAN'S idea makes it possible to prove this inequality by using the HARDY-LITTLEWOOD upper bound for $\pi(x+b) - \pi(x)$ (the number of primes in small intervals) which was obtained by them by using the fundamental method of BRUN. For an exposition of BRUN'S method see [3]. We sketch the proof of this averaged inequality in part A. The rest of the work in part A consists in repeating without any essential changes the work done in [2] and the appendix therein.

Remark 5

The result in part A (and also those of part B) depend primarily on the EULER product and the functional equation. Hence they extend easily to more general situations where these two are available. In particular the results have obvious analogues to zeta-functions and L functions of algebraic number fields.

Part B deals with "good and bad zeros" of $\zeta(s)$ in $\sigma > \frac{1}{2}$.

Our results of part B were inspired by a letter of Professor D. R. HEATH-BROWN, where he announced without proof the following theorem which he proved in September 1975. We quote from his letter in his notation.

"Theorem (D. R. HEATH-BROWN)

Let $N_{\varepsilon}(\sigma, T)$ denote the number of zeros $\mathbf{P} = \beta + iY$ of $\zeta(s)$ in the region $|Y| \leq T$. $\beta > \sigma$ for which $\zeta(s)$ does not vanish on

 $\{s: | \text{Im } s - \gamma| < (\log \gamma)^2, \text{ Res} > \beta - \varepsilon \}$ except at $s = \beta$. Then $N_{\varepsilon}(\sigma, T) < < T^{2-2\sigma} + \varepsilon$."

Here the constant implied by the Vinogradov symbol depends only on $\boldsymbol{\epsilon}$,

We tried to reconstruct the proof of HEATH-BROWN'S theorem and we did succeed! Around August 1977, RAMACHANDRA wrote up an improved version of this theorem in his unpublished article "REMARKS ON GOOD AND BAD ZEROS OF $\zeta(s)$ ". This improvement (which was possible by applying some techniques employed in [2]) can be stated as follows. (It is the main result of part B).

Theorem B:

Let M be a positive function of T such that M log T lies between Exp ($\psi(T)$ log log log T) and (log T)^c where c is any positive constant less than $\frac{1}{2}$, and $\psi(T) < \frac{c}{2}$ log log T is any positive function which tends to infinity as T tends to infinity. Let us agree to call a zero $\rho_0 = \beta_0 + i\gamma_0$ (T < $\gamma_0 < 2T$, T > T₀) "M-good" if

$$\begin{bmatrix} \mathbf{z} & \mathbf{\rho} - \mathbf{\rho}_{0} \\ \mathbf{\rho} \\ \mathbf{\rho} - \mathbf{\rho}_{0} \leq \mathbf{M} \end{bmatrix} \begin{bmatrix} \mathbf{z} & \mathbf{1} \\ \mathbf{\rho} \\ \mathbf{\rho} - \mathbf{\rho}_{0} \leq \mathbf{M} \end{bmatrix}^{-1} =$$

 $(M(\log \log T)^{-1})$

(in the sum over P, P is counted according to its multiplicity), and

$$\zeta(s) \neq 0 \text{ in } \{ | \ln s - \gamma_0| \leq (\log T)^2, \operatorname{Res} > \beta_0 + da \}$$

where $d = 10^{-8}$, $a = 10^{-8000} \text{ m}^{-1}$ M and $m = [\log \log T]$. Then the number of "M-good" zeros (counted with multiplicit)) in {Res > σ_0 , T < Im s < 2T} (T > 10)

 $(2 + \varepsilon) (1 - \sigma_0)$ is 0(T). Here the 0-constant depends only on ε and not on T and σ_0 (> $\frac{1}{2}$). We then sketch a proof of the following

Corollary 1 :

Let δ_0 be any constant satisfying $0 < \delta_0 < \frac{1}{2}$. We shall fix it once for all. Let us agree to call a zero P "good" if Re $P > \frac{1}{2}$

and further in a disc with centre \mathbf{P} and radius $(\log |\mathbf{P}|)^{-1+\delta_0}$ the number of zeros (of $\zeta(s)$) counted with multiplicity, is

$$\mathbf{C}\left[\frac{\log\log|\mathbf{P}|}{\log\log\log|\mathbf{P}|}\right].$$

Let us call ρ to be "bad" if it is not good. If the number of bad zeros ρ with $\operatorname{Re} \rho > \sigma$ and $|\operatorname{Im} \rho| < T$, is $0(T^{\mathbf{B}(1-\sigma)} + \varepsilon)$ (where $T > 10, \sigma > \frac{1}{2}, \varepsilon > 0$, and **B** is a positive constant independent of all parameters and the 0-constant depends only on ε , which is arbitrary) then $N(\sigma, T) = 0(T^{\mathbf{B}(1-\sigma)} + 2\varepsilon)$ is true. Here, as usual $N(\sigma, T)$ is the number of zeros ρ of $\zeta(\varepsilon)$ with $\operatorname{Re} \rho > \sigma$, and $|\operatorname{Im} \rho| < T$.

In particular taking B = 2 in the above corollary we can state qualitatively (but vaguely) the following

Corollary 2 :

If the thick clusters of zeros are rather rare in $\sigma > \frac{1}{2}$ then density hypothesis is true.

We are very much thankful to Professor D. R. HEATH-BROWN for his letter. Since his result is not intended to be published we would like to regard the results of part B as joint work with him. PART - A

§ 2. We begin with

Lemma 1.

The number of primes p satisfying $X \leq p \leq 2X$, for which

-1

$$\begin{vmatrix} i (a_1 - a_2) \\ p \end{vmatrix} = -1 \begin{vmatrix} \leq \theta_1 \\ \leq \theta_2 \end{vmatrix} = (0 < \theta < \frac{\pi}{10}),$$

does not exceed 20J (log J)⁻¹, where J = X θ ($a_1 - a_2$)

$$0 < a_1 - a_2 < 1$$
, and $J > 10^\circ$

Proof.

Treating p as a continuous variable we see that (as p i $(a_1 - a_2)$ varies over X
[$(a_1 - a_2)(2\pi)^{-1}\log 2$]+1 revolutions on the unit circle. In each revolution the inequality of the lemma defines a p - interval of length not exceeding 5X0 $(a_1 - a_2)^{-1}$. The Hardy-Littlewood upper bound (obtained by the fundamental method of Brun) for the number of primes in small intervals completes the proof of lemma 1. (However we have used the Selberg upper bound at the last step). For an exposition of Brun's method see [3].

Lemma 2.

Let $0 < a_1 - a_2 < 1$, $\delta = 10^{-5} (a_1 - a_2)^2$, and X any real number > 10^{90} . Then there holds,

Proof.

Suppose the lemma is false: Then the number of primes p for which $|1+p^{1}| > \eta$, plus the number of primes p for which $|1+p^{i\alpha}| > \eta$, does not exceed $\delta \eta = \frac{1}{X < p} \leq 2X$. Hence at least $(1 - \delta \eta) \gtrsim 1$ of the primes satisfy both $X \le p \le 2X$ $|1+p^{ia}| \le \eta$ and $|1+p^{ia}| \le \eta$ and hence $|p^{ia}| - p^2|$ $|p|^{i}(a_1-a_2) - 1| \le 2\eta$. Thus we obtain from this and lemma 1, the inequality $(1 - \delta \eta^{-2}) \underset{X$ provided $2X\eta (a_1 - a_2)^{-1} \ge 10^{80}$. We now set $\eta = (2\delta)^{\frac{1}{2}}$, where $b = 10^{-5} (a_1 - a_2)^2$ and get $\frac{1}{3} \frac{X}{\log Y} \leq \frac{X}{5} \left(\log \left(\frac{X}{200} \right) \right)^{-1},$ which is a contradiction for all $X > 10^{90}$. This completes the proof of lemma 2.

We now almost copy down the section §2 of [2], with the changes sketched in the appendix of that paper. We denote by s a real number lying in $\left(1, \frac{101}{100}\right)$, and by d a real number exceeding 100, and w will denote a complex variable. We denote by $\beta_0 + i\alpha$ a fixed zero of $\zeta(w)$ with $\beta_0 > \frac{1}{2}$, $\alpha > 100$, and by C_1 , C_2 , C_3 , ... effective positive constants.

The 0-constants will be effective absolute constants. These constants are absolute numerical constants with the exception

of C_4 (and C_2) which may depend on λ ($0 < \lambda < \frac{1}{100}$), and we will specify how it depends on λ . The number λ occurs in the following way. We set $a = a_1$, and subject a_2 to $0 < a_1 - a_2 < 1$. $D_{\lambda} (1 + ia_1)$ and $D_{\lambda} (1 + ia_2)$ denote discs with centres $1 + ia_1$ and $1 + ia_2$ respectively with radius λ each. We now start with lemma 1 of [2] and continue as in that paper.

Lemma 3. Let

$$\boldsymbol{\phi}$$
 (s) = $\boldsymbol{\phi}_1$ (s) $\boldsymbol{\phi}_2$ (s), where $\boldsymbol{\phi}_1$ (s) = ζ^2 (s) ζ (s - i \boldsymbol{a}_1)
 ζ (s + i \boldsymbol{a}_1), and $\boldsymbol{\phi}_2$ (s) = ζ^2 (s) ζ (s - i \boldsymbol{a}_2) ζ (s + i \boldsymbol{a}_2).
Then

$$-\frac{\phi'(s)}{\phi(s)}=\sum_{n=1}^{\infty}\frac{b_n}{n^s},$$

where b_n are non-negative real numbers. Further there holds for all $X \ge 10^{90}$, with $\mathfrak{F} = 10^{-5} (\mathfrak{a}_1 - \mathfrak{a}_2)^2$, the inequality, $\sum_{X < n < 2X} b_n \ge \frac{1}{2} \mathfrak{F} \sum_{X < n < 2X} \Lambda (n)$

Proof

The proof is trivial except the last inequality which follows from lemma 2.

Lemma 4. For all X > 1, we have by writing

$$F(s) = \frac{\phi'(s)}{\phi(s)},$$

$$\frac{1}{2\pi i} \int F(s + w) X^{w} \Gamma\left(\frac{w}{2}\right) dw =$$
Rew = 2
$$-2 \sum_{n=1}^{\infty} \frac{b_{n}}{n^{s}} Exp\left(-\left(\frac{n}{X}\right)^{2}\right).$$

Preef.

Follows from
$$\frac{1}{2\pi i} \int_{\text{Re } w} \left(\frac{X}{n}\right)^{w} \Gamma\left(\frac{w}{2}\right) dw$$

= $2 \operatorname{Exp}\left(-\left(\frac{n}{X}\right)^{2}\right)$.

Moving the line of integration to Re w = $-2 + \frac{1}{100}$, and assuming that $1 < s < 1 + \frac{1}{100}$, we have

Lemma 5. If $1 < s < 1 + \frac{1}{100}$, then for X > 1, $d_1 > 100$,

$$2\sum_{n=1}^{\infty} \frac{b_n}{n^s} (1 - \mathbb{E}xp(-\left(\frac{n}{X}\right)^2) = 2\Sigma_1 + 2\Sigma_2 + \cdots + \frac{\log d_1}{X})$$

$$0(X^{1-s} + \frac{\log d_1}{X})$$
where

where

$$\Sigma_{1} = \operatorname{Re}\left(-X^{1-s} \Gamma\left(\frac{1-s}{2}\right) + \sum_{p}^{p-s-ia_{1}} \Gamma\left[\frac{p-s-ia_{1}}{2}\right]\right)$$

and \mathbf{Z}_2 is the same as \mathbf{Z}_1 with \mathbf{a}_1 replaced by \mathbf{a}_2 . Here $\mathbf{P} = \mathbf{\beta} + i\mathbf{\gamma}$

runs over all the zeros of ζ (w) with positive real part. (In future the same convention will be in force. Also the restriction of the sums to zeros which satisfy some conditions will be indicated below the summation symbol or explained at appropriate places)

We now write $\mathbf{P}_0 = \mathbf{\beta}_0 + \mathbf{a}_1$ and

$$\sum_{3} = 2 \sum_{n=1}^{\infty} \frac{b_{n}}{n^{s}} (1 - \operatorname{Exp} \left(-\left(\frac{n}{X}\right)^{2}\right))$$

$$\sum_{4} = \operatorname{Re} \sum_{\rho \neq \rho} \frac{p_{-s-ia}}{X} \Gamma \left[\frac{p_{-s-ia}}{2} \right],$$

$$\sum_{5} = \operatorname{Re} \sum_{n=1}^{\infty} \frac{p_{-s-ia}}{x} \Gamma \left[\frac{p_{-s-ia}}{2} \right],$$

and we will see that lemmas 3 and 5 give

Lemma 6 :

p

Let
$$1 < s < 1 + \frac{1}{100}$$
, $X \ge (\log a_1)^{1000}$. Then

$$2.10^{-6} (a_1 - a_2)^2 \frac{x^{1-s}}{s-1} < 2(-x^{1-s}\Gamma(\frac{1-s}{2}) + \frac{\beta_0 - s}{s}\Gamma(\frac{\beta_0 - s}{2})) + 2 \frac{z_4}{s} + 2 \frac{z_5}{s} + 0(x^{1-s}).$$

Proof :

Follows from
$$x_3 > 2.10^{-6} (a_1 - a_2) X^{1-s} (s-1)^{-1}$$

We now impose conditions on s and X so as to satisfy

$$-10^{-6} (a_1 - a_2)^2 \frac{X^{1-s}}{s-1} - X^{1-s} \Gamma\left(\frac{1-s}{2}\right) + X^{\beta_0 - s} \Gamma\left(\frac{\beta_0 - s}{2}\right)$$
$$< -\frac{1}{2} 10^{-6} (a_1 - a_2)^2 \frac{X^{1-s}}{s-1}.$$

This requires

$$-\frac{1}{2} 10 \quad {}^{6}(d_{1} - d_{2})^{2} + (s-1)(X^{\beta_{0}} - \frac{1}{\Gamma}\left(\frac{\beta_{0} - s}{2}\right) \\ - \Gamma\left(\frac{1-s}{2}\right) < 0$$

i. e.

$$-\frac{1}{2} 10^{-6} (a_1 - a_2)^2 - 2X^{0} \Gamma\left(\frac{\beta_0 - s}{2} + 1\right)$$

$$- (1 - \beta_0) X^{0} \Gamma\left(\frac{\beta_0 - s}{2}\right) + 2\Gamma\left(\frac{1 - s}{2} + 1\right) \le 0$$
i. e.

$$-\frac{1}{2} 10^{-6} (a_1 - a_2)^2 + C_1 (X^{\beta_0 - 1} \frac{1 - \beta_0}{s - \beta_0} + (1 - \beta_0) \log X) < 0.$$

By putting $s > 1 + C_2 (1 - \beta_0)$, we are led to the requirement

$$-\frac{1}{2} 10^{-6} (a_1 - a_2)^2 + C_1 \left(\frac{\chi^{\beta_0 - 1}}{C_2} + (1 - \beta_0) \log X \right)$$

We now record

Lemma 7. We have

$$0 \le -\frac{1}{2} 10^{-6} (a_1 - a_2)^2 \frac{X^{1-8}}{s-1} + \Sigma_4 + \Sigma_5 + 0(X^{1-8})$$

subject to the conditions

$$d_{1} > 100, X > (\log a_{1})^{1000},$$

$$1 + C_{2} (1 - \beta_{0}) \leq s \leq 1 + \frac{1}{100} \text{ and}$$

$$-\frac{1}{2} 10^{-6} (a_{1} - a_{2})^{2} + C_{1} \left(\frac{x_{0}^{\beta_{0} - 1}}{C_{2}} + (1 - \beta_{0}) \log x \right) \leq 0.$$

Here C_1 and the 0-constants are absolute positive constants and C_2 is any positive constant (in fact any positive variable) not exceeding (100 $(1 - \beta_0)$)⁻¹.

The next lemma is essentially due to Montgomery

Lemma 8.

We have, for X > 1,

Re $(X^{\beta-s\beta-ia}, \Gamma(\frac{\beta-s-ia}{2})) \leq C_3 X^{\beta-s} \log X$, where C_3 is a certain positive constant.

Proof

Let a denote the complex number $P - s - ia_1$. Observe that the real part of a lies b-tween - 3/2 and zero and write

$$X^{\mathbf{a}} \Gamma\left(\frac{\mathbf{a}}{2}\right) = X^{\mathbf{a}} \left(\Gamma\left(\frac{\mathbf{a}}{2}\right) - \frac{2}{\mathbf{a}}\right) + \frac{2}{\mathbf{a}}\left(X^{\mathbf{a}} - X^{\mathbf{\beta}} - \mathbf{s}\right) + \frac{2}{\mathbf{a}}X^{\mathbf{\beta}} - \mathbf{s}$$

The first two terms on the right are $0 (X^{\beta-s} \log X)$ and the real part of the last term is negative. These remarks complete the proof of lemma 8

We next write

$$\Sigma_{4} = \operatorname{Re} \sum_{\substack{\substack{p \neq \rho \\ 0 \\ z \\ e \end{array}}} X \Gamma\left(\frac{\rho - s - ia_{1}}{2}\right)$$
$$= \Sigma_{6} + \Sigma_{7}$$

and
$$\Sigma_5 = \operatorname{Re} \sum_{\rho}^{\rho-s-i\alpha} \Gamma\left(\frac{\rho-s-i\alpha}{2}\right) = \Sigma_8 + \Sigma_9$$

where

$$\Sigma_6 = \operatorname{Re}_{\rho \neq \rho_0} \Sigma_{\rho \text{ in } D_{\lambda}} (1 + ia_1)$$

 $\Sigma_{g} = \operatorname{Re} \Sigma_{\rho \text{ in } D_{\lambda}} (1 + ia_{2}).$ and

We now write $X = Exp(Y + u_1 + u_2 + \dots + u_m + u_{m+1})$ where $Y \ge 1000 \log a_1, 0 \le v_k \le C_4$ (k = 1, 2,...., m), m = [Y], and $0 \le u_{m+1} \le Y$ As u_1, u_2, \dots, u_{m+1} vary over this (m + 1) dimensional box the maximum and the minimum values of X are $X_1 = Exp(C_4[Y] + 2Y)$ and $X_0 = Exp(Y)$. We now wish to average the inequality of lemma 7 over the (m + 1) dimensional box defined already. To do this we have to impose the condition

$$-\frac{1}{2}10^{-6}(a_1-a_2)^2+C_1\left[\frac{\beta_0-1}{C_2}+(1-\beta_0)\log x_1\right]<0$$

We satisfy this by imposing the conditions

$$1000 \log a_{1} \leq Y \leq C_{1}^{-1} (C_{4} + 2)^{-1} \{ \frac{1}{4} 10^{-6} (\alpha_{1} - \alpha_{2})^{2} \} (1 - \beta_{0})^{-1}$$
(1)

and Exp (-Y (1-
$$\beta_0$$
)) $\leq C_2 C_1^{-1} \{ \frac{1}{4} 10^{-6} (\alpha_1 - \alpha_2)^2 \}$ (2)

Trivially $-\frac{1}{2} 10^{-6} (a_1 - a_2)^2 \frac{X^{1-s}}{s-1} \le$

$$-\frac{1}{2}10^{-6}(\alpha_1-\alpha_2)^2\frac{X_1^{1-s}}{s-1}$$
 (3)

and
$$X^{1-s} \le X_0^{1-s}$$
 (4)

Our next task is to obtain an upper bound for the average

$$c_4^{-m} Y^{-1} \int \int \dots \int (x_4 + x_5) du_1 du_2 \dots du_{m+1}$$
 (5)

We do this in lemmas 9 and 10 to follow Before proceeding further we record the following relations.

$$m = [Y], X_0 = Exp(Y) \text{ and } X_1 \leq Exp((C_4 + 2) Y).(6)$$

Lemma 9

We have

$$c_{4}^{-m} Y^{-1} \iiint \dots \int (z_{7} + z_{9}) du_{1} \dots du_{m+1}$$

< $4 C_{5} C_{4}^{2} Y^{-1} \left[\frac{2}{C_{4}\lambda} \right]^{m+2} (\log a_{1}) X_{0}^{1-s}$.

Here C_5 is an absolute positive constant and C_4 is a positive constant still at our choice.

Proof

Trivially,
LHS = 0
$$\begin{bmatrix} c_4^{-m} Y^{-1} \left\{ \sum_{|p-1-ia_1| > \lambda} \\ \frac{2^{m+1} X_0^{\beta-s}}{|p-s-ia_1|^{m+2}} + \sum_{|p-1-ia_2| > \lambda} \frac{2^{m+1} X_0^{\beta-s}}{|p-s-ia_{2\lambda}|^{m+2}} \right\} \end{bmatrix}$$

 $\leq \frac{4C_5}{c_4^m Y_\lambda} \left(\frac{2}{\lambda}\right)^{m+1} \left(\log a_1\right) X_0^{1-s} \zeta (m+2)$
 $\leq 4C_5 c_4^2 Y^{-1} \left(\frac{2}{c_4 \lambda}\right)^{m+2} \left(\log a_1\right) X_0^{1-s}$

This proves the lemma completely.

Lemma 10.

For any real number V let N_V (r) denote the number of zeros of the zeta-function in the disc D_r (1 + iv), with $\lambda (0 < \lambda < \frac{1}{100}), Y > 2$ and V > 10 define $f(V) = f(\lambda, Y, V) = \max_{V - \lambda} \sum_{V \le V + \lambda} \left\{ N_V(2\lambda)e^{-\lambda Y} + \int_{0}^{\lambda Y + 1} N_V(\frac{2u}{Y})e^{-u} du \right\}$.

Then

$$C_4^{-m} Y^{-1} \int \int \dots \int (z_6 + z_8) du_1 \dots du_{m+1}$$

 $< C_6 (C_4 + C_5 + 2) (f(a_1) + f(a_2)) Y X_0^{1-1}$, where C_6 and C_5 are certain absolute positive constants and

 $C_4 > 0$ is still at our choice.

Proof.

We shall get the upper bound

$$C_4^{-m} Y^{-1} \int \int ... \int (z_6) du_1 ... du_{m+1}$$

 $\leq C_6 (C_4 + C_5 + 2) f(z_1) Y$

and leave the remaining part of the work containing Ξ_g as a trivial imitation.

We start by writing

$$\Sigma_{6} = \Sigma Re \qquad \Sigma \dots \qquad = \Sigma S_{n} say,$$
$$\frac{n}{Y} < |Y - \alpha_{1}| < \frac{n+1}{Y}$$

where n runs over 0, 1, 2, ..., $[\lambda Y] + 1$ and for these values of n the inner sum is over those zeros which satisfy the summation condition for γ indicated, plus of course the condition that β + i γ shall lie in D_{λ} (1 + i a_{1}). The average of S_n is easily seen to be

8 8 8 8 5 6

$$<\begin{cases} C_{7} (C_{4} + C_{5} + 2)Y \left\{ \begin{array}{c} \sum X_{0}^{\beta-1} \\ |\gamma - \alpha_{1}| < \frac{1}{Y} \end{array} \right\} X_{0}^{1-s}, \\ If n = 0, \\ C_{7} (C_{4} + C_{5} + 2)Y \left\{ \begin{array}{c} \sum X_{0}^{\beta-1}Y^{-2} |\gamma - \alpha_{1}|^{-2} \right\} X_{0}^{1-s}, \\ \frac{n}{Y} < |\gamma - \alpha_{1}| < \frac{n+1}{Y} \end{cases}$$

Here we have used lemma 8 in the case n = 0. Hence the average of Σ_6 does not exceed

$$2C_{7}(C_{4}+C_{5}+2) Y (1+\zeta(2)) X_{0}^{1-s}$$

$$\begin{bmatrix} \max & \sum & X_{0}^{\beta-1} \\ I & & \\ & \gamma-q_{1} \text{ in } I, \\ & & \rho \text{ in } D_{\lambda}(1+id_{1}) \end{bmatrix}$$

where I runs over all intervals of length $\frac{1}{Y}$ contained in $[a_1 - \lambda, a_1 + \lambda]$. It remains to appraise the maximum. We denote by N(σ , I, $\frac{1}{Y}$) the number of zeros counted (with multiplicity) in the last sum (in max) with real parts > σ , and I we have

$$X X_{0}^{\beta-1} = \int_{1}^{1-\lambda} Exp(Y(1-\sigma))d N(\sigma, I, \frac{1}{Y})$$

$$= N(1-\lambda, I, \frac{1}{Y}) Exp(-\lambda Y) +$$

$$Y \int_{1-\lambda}^{1} N(\sigma, I, \frac{1}{Y}) Exp(-Y(1-\sigma))d\sigma$$

$$< N_{d_{3}}(2\lambda) Exp(-\lambda Y) + Y \int_{0}^{\lambda} N(1-u, I, \frac{1}{Y})$$

$$Exp(-uY) du$$

$$< N_{d_{3}}(2\lambda) Exp(-\lambda Y) + \int_{0}^{\lambda} \int_{1-\lambda}^{\lambda} N_{d_{3}}(2u + \frac{2}{Y})$$

$$Exp(-uY) du$$

$$< N_{d_{3}}(2\lambda) Exp(-\lambda Y) + \int_{0}^{\lambda Y+1} N_{d_{3}}(\frac{2u}{Y}) e^{-u} du$$

where d_3 is any real number which is the end point of any interval I under consideration. A similar argument applies to the average of \mathbb{Z}_8 . This completes the proof of the lemma.

Collecting the results of averaging the inequality of lemma 7 we state

Lemma 11

Let
$$\zeta (\beta_0 + \alpha_1) = 0$$
, where $\beta_0 > \frac{1}{2}$, $a_1 > 100$,
 $1 + C_2 (1 - \beta_0) < s < 1 + \frac{1}{100}$, where C_2 satisfies
 $0 < C_2 < (100 (1 - \beta_0))^{-1}$, $0 < a_1 - a_2 < 1$,
 $1000 \log \log a_1 < Y < C_1^{-1} (C_4 + 2)^{-1} \{ \frac{1}{4} 10^{-6} (a_1 - a_2)^2 \}$
 $(a_1 - a_2)^2 \} (1 - \beta_0)^{-1}$,
 $Exp (-Y (1 - \beta_0)) < C_2 C_1^{-1} \{ \frac{1}{4} 10^{-6} (a_1 - a_2)^2 \}$

Then, we have with $\mathbf{m} = [\mathbf{Y}]$.

$$0 \leq -\frac{1}{2} 10^{-6} (\alpha_1 - \alpha_2)^2 (s-1)^{-1} \operatorname{Exp} (-(s-1) (C_4 + 1)Y) + 4C_5 C_4^2 Y^{-1} (\frac{2}{C_4 \lambda})^{m+2} (\log \alpha_1) + C_8 + C_6 (C_4 + C_5 + 2) (f(\alpha_1) + f(\alpha_2)) Y.$$

In the above equations C_1, C_5, C_6 and C_8 are certain effective absolute positive constants and $C_4 > 0$ is still arbitrary. The number C_2 is also arbitrary but is subject to the restriction stated above. **Proof**:

Follows by (3). (4), (6) and lemmas 9 and 10. We have divided throughout by X_0^{1-s} after averaging, and used the inequality

$$-\left[\frac{X_{1}}{X_{0}}\right]^{1-s} < -Exp(-(s-1)(C_{4}+1)Y).$$

This completes the proof of lemma 11.

Lemma 11 is the fundamental result that we have arrived at. We will now record a special case of lemma 11 as

Theorem 1 :

Let $\zeta(\beta_0 + i\gamma_0) = 0$, where $\beta_0 > \frac{1}{2}$ and $\gamma_0 > 100$. Let $0 < \lambda < \frac{1}{100}$. Then there exist positive constants C'_9 , C_{10} and C_{11} , independent of $\lambda \cdot \beta_0$ and γ_0 such that if $Y > C_9 \log \log \gamma_0 > C_9^2$, there holds, for all such Y, the inequality

$$g(\gamma_0) > \frac{C_{10} \lambda^6}{Y(1-\beta_0)} - C_{11} \lambda^2$$

where $\dot{\mathbf{g}}(\mathbf{Y}_0) = N_{\mathbf{Y}_0}(4\lambda) e^{-\lambda \mathbf{Y}} + \max_{\substack{(\mathbf{Y}_0 - 2\lambda \leq \mathbf{V} \leq \mathbf{Y}_0 + 2\lambda)}} \int_{0}^{\lambda \mathbf{Y} + 1} \int_{\mathbf{V}_0}^{\mathbf{X} \mathbf{Y} + 1} \frac{1}{N_{\mathbf{V}_0}} \left(\frac{2\mathbf{u}}{\mathbf{Y}}\right) e^{-\mathbf{u}} d\mathbf{u}$

and $N_V(u)$ is the number of zeros ? of the zeta-function which satisfy $|P-1-iV| \leq u$. Proof:

Given any λ satisfying $0 < \lambda < \frac{1}{200}$ we select $\alpha_1 = \gamma_0$, $\alpha_2 = \gamma_0 - \frac{\lambda}{100}$. Put $C_2 = 4.10^6 C_1 (\alpha_1 - \alpha_2)^{-2}$, $s = 1 + C_2 (1 - \beta_0)$ and $C_4 = 400 \lambda^{-2}$. We note that since $\gamma > C_9 \log \log \gamma_0$ the second term in the first inequality of lemma 11, and the term C_8 can be replaced by another positive constant D_1 . The resulting inequality is easily seen to be

$$g(\gamma_0) > \frac{D_2 \lambda^6}{Y(1-\beta_0)} - D_3.$$

The upperbound $Y = 0((1-\beta_0)^{-1}\lambda^4)$ for Y is unnecessary since we can increase D_3 to make the RHS negative when Y exceeds this bound. Also by the same reason in condition $C_2 = 0((1-\beta_0)^{-1})$ is unnecessary. This completes the proof of Theorem 1.

Corollary

If for all t > 30 and all σ in $\frac{3}{4} < \sigma < 1$ we have $\log |\zeta(\sigma + it)| < D_4 (1 - \sigma)^{3/2} \log t + D_5 \log \log t$, where D_4 and D_5 are positive numerical constants independent of σ and t, then

$$\frac{1}{1-\beta_0} = 0((\log \gamma_0)^{2/3}(\log \log \gamma_0)^{1/3}).$$

Remark 1.

The statement involving D_4 and D_5 is actually true and it is a very deep result and is almost completely due to the ideas of I. M. VINOGRADOV. Hence we have the Vinogradov zerofree region

• > 1 -
$$D_6(\log t)^{-2/3}(\log \log t)^{-1/3}$$

Remark 2.

For the history of Theorem 1 see [2]. Here it suffices to say that theorem 1 contains some extra information apart from giving the well known zero-free region of Vinogradov.

Proof of the Corollary

We choose λ to be a positive constant and suppose $Y > \frac{100}{\lambda} \log \log \gamma_0$. Then we can neglect the first term in $g(\gamma_0)$ The integral which occurs in max... is

$$\frac{\lambda + \frac{1}{Y}}{\int_{0}^{1} N(r) e^{-rY} dr}$$

where N (r) = $N_V(r)$. Integrating by parts we get, introducing

H (u) =
$$\int_{0}^{u} \frac{N(r)}{r} dr$$
, the expression

$$\begin{array}{c} u = \lambda + \frac{1}{Y} \\ Y H (u) e^{-uY} \\ u = 0 \end{array}$$

$$- Y \int_{0}^{\lambda + \frac{1}{Y}} H(v) (ve^{-vY})' dv$$

$$\lambda + \frac{1}{Y}$$

$$< Y H (\lambda + \frac{1}{Y}) e^{-\lambda Y} - Y \int_{\frac{1}{Y}}^{\lambda + \frac{1}{Y}} H (u) (ue^{-uY}) du$$
Now - $(ue^{-uY}) > 0$ for $u > \frac{1}{Y}$. We now see by using
$$H (u) = \frac{1}{2\pi} \int_{0}^{2\pi} \log \{ |\zeta (1 + iv + ue^{i\theta})| |\zeta (1 + iv)|^{-1} \} d\theta$$

$$< \frac{1}{2\pi} \int_{0}^{2\pi} (D_4 u^{3/2} \log \gamma_0 + (D_5 + 1000) \log \log t) d\theta$$
that
$$\frac{1}{Y(1 - \beta_0)} = 0 \left[\frac{\log \gamma_0}{Y^{3/2}} + \log \log \gamma_0 \right].$$
i. e. $\frac{1}{1 - \beta_0} = 0 \left(\frac{\log \gamma_0}{Y^{1/2}} + Y \log \log \gamma_0 \right)$
Choosing Y (Y > $\frac{100}{\lambda} \log \log \gamma_0$), so as to minimise the

Choosing Y (Y $\ge \frac{100}{\lambda} \log \log \gamma_0$), so as to minimise the 0-term we get the result stated in the corollary. For the result on H (u) expressing it as $\frac{1}{2\pi} \int_0^{2\pi} \dots$ see page 126 of Titchmarsh's book 'The Theory of Functions", Oxford (1939). This result is called Jensen's formula. An alternative method is to apply maximum modulus principle to the function

$$\frac{\zeta(s)(\zeta(s_0))^{-1}}{p\left[1-\frac{s-s_0}{p-s_0}\right]}, \quad \text{where}$$

P runs over all the zeros counted in N (r) and $s_0 = 1 + iV$. According to the maximum modulus principle the absolute value at $s = s_0$ is not more than its maximum modulus on a circle of radius 10 r and centre S₀. This gives an upper bound for N (r). This is enough to give Vinogradov zero-free region.

PART-B

The notation in this part is independent of that of part A. Some preparations

3. We write F (s) = $-\frac{\zeta'(s)}{\zeta(s)}$ and proceed to count the zeros ρ of $\zeta(s)$ in Res $> \sigma$, T < Im $s < 2T(\frac{1}{2} < \sigma < 1)$. We write $\rho = \beta + i\gamma$ for a 'yp'cal zero of $\zeta(s)$. Let M be a positive function of T such that M log T does not exceed (log T)^C (where c is a positive constant $<\frac{1}{2}$) but exceeds every fixed positive power of log log T. g and d will be positive constants which are not more than 10^{-8} . Let α , H, m and Y be positive functions of T to be chosen later. We write $Z = e^Y$, $Y = e^{-\frac{Y+u_1+\dots+u_m}{m}}$ where u_1, \dots, u_m are independent real variables varying between 0 to H both inclusive. α will be less than 10^{-8} and H. m, Y tend to infinity with T. Also mH shall not exceed gY. By well known techniques we have, for any fixed zero, $P_0 = \beta_0 + i\gamma_0 (\equiv \text{ denotes definition}),$

$$\phi(X, P_0) \equiv \sum_{n=1}^{\infty} \Lambda(n) n^{-P_0} \left(e^{-\frac{n}{2X}} - e^{-\frac{n}{X}}\right)$$

= $\frac{1}{2\pi i} \int_{\text{Re W}} F(P_0 + W) \Gamma(W) X^W (2^W - 1) dW$
= $n_0 \log 2 + \sum_{P \neq P_0} \Gamma(P - P_0) X^{P - P_0} (2^{P - P_0} - 1)$
+ $0 \left(X^{-\frac{1}{2}}\right)$

(where n_0 is the order of P_0 and P runs over all the complex zeros of ζ (s)). Breaking the series ϕ (X, P_0) suitably we get

$$\phi_{1} (X, P_{0}, Z) \equiv \sum_{n \in \mathbb{N}} \Lambda (n) n^{-P_{0}} (e^{-\frac{n}{2X}} - e^{-\frac{n}{X}})$$

$$\frac{1}{Z^{80}} < n < Z^{80}$$

$$= (n_{0} + n_{1}) \log 2 + 0 (X^{-\frac{1}{10}})$$

$$+ \sum_{\substack{|P-P_0| < M}} \frac{\beta - \beta_0}{(X + 1)} + P - P_0 + Y)$$

+
$$\sum_{\substack{|P-P_0| < M}} \frac{\Gamma(P-P_0) X^{P-P_0} (2^{P-P_0} - 1)}{(2^{P-P_0} + 1)}$$

where $n_0 + n_1$ is the number of zeros P with $|P - P_0| < M$. We multiply both sides by $X^{-\alpha}$ and integrate with respect to u_1, \dots, u_m . We assume that there is no zero P with $|Y - Y_0| < (\log T)^2, \beta > \beta_0 + \alpha d$, and also $\alpha < \frac{M}{2}$. Writing

$$G(s) = H^{-m} \int_{0}^{H} \dots \int_{0}^{-\alpha} X^{-\alpha} \phi_{1}(X, s, Z) du_{1} \dots du_{m}$$

and
$$R = \sum_{i \mid P - P_0 \mid i} \text{ we get,}$$

 $i \mid P - P_0 \mid \leq M$

$$|G(P_0)| > ((n_0 + n_1) \log 2)e^{-\alpha(1+g)t}$$

+ 0 (RY
$$e^{-\alpha (1-d) Y} + Z^{-20})$$

+ 0 $\left(\frac{(\log T)^{50} 4^{m} e^{-\alpha (1-d) Y}}{(MH)^{m}}\right)$
> $e^{-\alpha (1+g) Y} (o_{0} + a_{1}) \log 2$

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$$\left[1+0\left(\frac{RYe^{-\alpha(d+g)}Y}{n_0+n_1}+Z^{-\frac{1}{40}}\right)+0\left(\frac{e^{\alpha(d+g)}Y}{(\log T)^{20}}\right)\right]$$

provided $m = [\log \log T]$, and $H = 10^{8000} M^{-1}$. Having fixed m and H we satisfy mH < gY by writing $Y = \frac{mH}{g}$. We can now fix d and g to be any two constants which may be chosen to be equal. Next we put $a = \frac{1}{gY} = \frac{1}{mH}$ (note that $a < \frac{M}{2}$ is satisfied).

We next make the assumption

$$R = \Xi + P - P_0 + = 0 \left(\frac{(M \log \log T)^{-1} \sum 1}{|P - P_0| < M} \right)$$

(Note that we have already made an assumption about P_0). Under these two assumptions we see that G (s) serves as a zero detecting function which detects zeros of the type P_0 . Note ad $= \frac{1}{Y} = \frac{g}{MH}$ and that the conditions imposed on M enable one to deduce the estimate 0 ($T^{(2+\varepsilon)}(1-a)$) for

the number of such zeros P_0 . Hence we state

Theorem 2. The number of "M-good zeros" (counted with multiplicity) to be defined below, whose real part is $> \sigma$ is $0(T^{(2+\epsilon)(1-\sigma)})$, where the 0-constant depends only on ϵ , which is an arbitrary positive constant.

4. Definitions. Accordingly we define three notions

Definition: 1 A zero $P_0 = \beta_0 + i\gamma_0 (T < \gamma_0 < 2T, T > T_0)$ of $\zeta(s)$, is said to be M-good if

i)
$$R_1 = R_1(P_0, M) = \frac{\sum_{i=1}^{n} |P - P_0|}{\sum_{i=1}^{n} |P - P_0|} \le M$$

= $\theta (M (\log \log T)^{-1})$

and

(ii)
$$\zeta$$
 (s) \neq 0 in
$$\begin{cases} |\operatorname{Im} s - Y_0| < (\log T)^2 \\ \operatorname{Re} s > \beta_0 + d\alpha \end{cases}$$

where $\alpha = 10^{-8000}$ m⁻¹ M where m = [log log T] and $d = 10^{-8}$

Definition 2. A zero P_0 is said to be $M_1 - M_2$ - good (where $M_1 < M_2$ are two possible values of M such that $\frac{\log (M_2 M_1^{-1})}{\log \log \log T}$

tends to infinity) if

$$n (P_0, M_2) \equiv \Xi 1 = 0 \left(\frac{\log (M_1 M_2^{-1})}{\log \log \log T} \right)$$

Definition 3. A zero P_0 is said to be good (δ a positive constant, which is once for all fixed subject to $0 < \delta < \frac{1}{2}$) if $n(P_0, (\log | P_0 |)^{-1+\delta}) = \Sigma | |P - P_0| < (\log |P_0|)^{-1+\delta}$ $= \mathbf{e} \left(\frac{\log \log |\mathbf{P}_0|}{\log \log \log |\mathbf{P}_0|} \right).$

Otherwise P_0 is said to be a bad zero.

The motivation behind the second definition is this. Put $P_0 = M_1, P_1 = P_0 U, P_2 = P_0 U^2, ... where U = (log log T)^3$ and stop at the highest j say J with $P_i < M_2$. If P_0 is not P_j -good for any j then there are at least $\frac{1}{8}$ J zeros P with $|\rho - \rho_0| < M_2$. For, suppose there is no zero ρ satisfying $P_j < |\rho - \rho_0| < P_{j+1}$. Then it follows

$$R_{1}(P_{0}, P_{j+1}) \gg P_{j+1} (\log \log T)^{-1}$$

$$\sum_{\substack{j \in P_{0} \mid P_{0$$

Hence the $M_1 - M_2$ -good zeros can be classified into P_j -good zero for at least one *j* and counted separately Hence this aumber is again $0(T^{(2+b)}(1-\sigma))$. Of course we have the condition (ii) in the first definition. To meet this, we take the least $a_j = a$ and count only the zeros $P_0 = \beta_0 + i\gamma_0$ with $\sigma < \beta_0 < \sigma + da$. Making a suitable inductive hypothesis (such inductive arguments were first employed by M. JUTILA in connection with some density results) on N($\sigma + da$, T) we can secure (ii) for most zeros P_0 . In definition 3 we can take M_1, M_2 suitably (e.g. $M_1 = (\log T)^{-1+\delta_1}, M_2 = (\log T)^{-1+\delta},$ $0 < \delta_1 < \delta_2 < \frac{1}{2}$ so that definition 3 and the results about

 $0 < \delta_1 < \delta < \frac{1}{2}$) so that definition 3 and the results about good and bad zeros follow from definition 2.

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Address of Authors

R. Balasubramanian & K. Ramachandra School of Mathematics Tata Institute of Fundamental Research Homi Bhabha Road Bombay 400 005 India.