



# Dimension reduction for dipolar Bose-Einstein condensates in the strong interaction regime

Weizhu Bao, Loïc Le Treust, Florian Méhats

## ► To cite this version:

Weizhu Bao, Loïc Le Treust, Florian Méhats. Dimension reduction for dipolar Bose-Einstein condensates in the strong interaction regime. *Kinetic and Related Models*, 2017, 10 (3), pp.553-571. 10.3934/krm.2017022 . hal-01101793

**HAL Id: hal-01101793**

**<https://hal.science/hal-01101793>**

Submitted on 9 Jan 2015

**HAL** is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

# DIMENSION REDUCTION FOR DIPOLAR BOSE-EINSTEIN CONDENSATES IN THE STRONG INTERACTION REGIME

WEIZHU BAO, LOÏC LE TREUST, AND FLORIAN MÉHATS

**ABSTRACT.** We study dimension reduction for the three-dimensional Gross-Pitaevskii equation with a long-range and anisotropic dipole-dipole interaction modeling dipolar Bose-Einstein condensation in a strong interaction regime. The cases of disk shaped condensates (confinement from dimension three to dimension two) and cigar shaped condensates (confinement to dimension one) are analyzed. In both cases, the analysis combines averaging tools and semiclassical techniques. Asymptotic models are derived, with rates of convergence in terms of two small dimensionless parameters characterizing the strength of the confinement and the strength of the interaction between atoms.

## 1. Introduction and main results

In this paper, we study dimension reduction for the three-dimensional Gross-Pitaevskii equation (GPE) with a long-range and anisotropic dipole-dipole interaction (DDI) modeling dipolar Bose-Einstein condensation [11, 14]. In contrast with the existing literature on this topic [1], we will *not* assume that the degenerate dipolar quantum gas is in a weak interaction regime.

Based on the mean field approximation [3, 9, 13, 18, 19, 20], the dipolar Bose-Einstein condensate is modeled by its wavefunction  $\Psi := \Psi(t, \mathbf{x})$  satisfying the GPE with a DDI written in physical variables as

$$i\hbar\partial_t\Psi = -\frac{\hbar^2}{2m}\Delta\Psi + V(\mathbf{x})\Psi + Ng|\Psi|^2\Psi + NC_{\text{dip}}(U_{\text{dip}} * |\Psi|^2)\Psi, \quad (1.1)$$

where  $\Delta$  is the Laplace operator,  $V(\mathbf{x})$  denotes the trapping harmonic potential,  $m > 0$  is the mass,  $\hbar$  is the Planck constant,  $g = \frac{4\pi\hbar^2 a_s}{m}$  describes the contact (local) interaction between atoms in the condensate with the  $s$ -wave scattering length  $a_s$ ,  $N$  denotes the number of atoms in the condensate, and the dipole-dipole interaction kernel  $U_{\text{dip}}(\mathbf{x})$  is given as

$$U_{\text{dip}}(\mathbf{x}) = \frac{1}{4\pi} \frac{1 - 3(\mathbf{x} \cdot \mathbf{n})^2/|\mathbf{x}|^2}{|\mathbf{x}|^3} = \frac{1}{4\pi} \frac{1 - 3\cos^2(\theta)}{|\mathbf{x}|^3}, \quad \mathbf{x} \in \mathbb{R}^3, \quad (1.2)$$

with the dipolar axis  $\mathbf{n} = (n_1, n_2, n_3) \in \mathbb{R}^3$  satisfying  $|\mathbf{n}| = \sqrt{n_1^2 + n_2^2 + n_3^2} = 1$ . Here  $\theta$  is the angle between the polarization axis  $\mathbf{n}$  and relative position of two atoms (that is,  $\cos\theta = \mathbf{n} \cdot \mathbf{x}/|\mathbf{x}|$ ). For magnetic dipoles we have  $C_{\text{dip}} = \mu_0\mu_{\text{dip}}^2$ , where  $\mu_0$  is the magnetic vacuum permeability and  $\mu_{\text{dip}}$  the dipole moment, and for electric dipoles we have  $C_{\text{dip}} = \mathbf{p}_{\text{dip}}^2/\epsilon_0$ , where  $\epsilon_0$  is vacuum permittivity and  $\mathbf{p}_{\text{dip}}$  the electric dipole moment. The wave function is normalized according to

$$\int_{\mathbb{R}^3} |\Psi(t, \mathbf{x})|^2 d\mathbf{x} = 1.$$

**1.1. Nondimensionalization.** We assume that the harmonic potential is strongly anisotropic and confines particles from dimension 3 to dimension  $3 - d$ . We shall denote  $\mathbf{x} = (x, z)$ , where  $x \in \mathbb{R}^{3-d}$  denotes the variable in the confined direction(s) and  $z \in \mathbb{R}^d$  denotes the variable in the transversal direction(s). In applications, we will have either  $d = 1$  for disk-shaped condensates, or  $d = 2$  for cigar-shaped condensates. Similarly, we denote  $\mathbf{n} = (n_x, n_z)$  with  $n_x \in \mathbb{R}^{3-d}$  and  $n_z \in \mathbb{R}^d$ . The harmonic potential reads [2, 15, 16]

$$V(\mathbf{x}) = \frac{m}{2} (\omega_x^2 |x|^2 + \omega_z^2 |z|^2)$$

where  $\omega_z \gg \omega_x$ . We introduce three dimensionless parameters

$$\varepsilon = \sqrt{\omega_x/\omega_z}, \quad \beta = \frac{4\pi N|a_s|}{a_0}, \quad \lambda_0 = \frac{C_{\text{dip}}}{3|g|},$$

where the harmonic oscillator length is defined by [2, 15, 16]

$$a_0 = \left( \frac{\hbar}{m\omega_x} \right)^{1/2}.$$

The dimensionless parameter  $\lambda_0$  measures the relative strength of dipolar and  $s$ -wave interactions. Let us rewrite the GPE (1.1) in dimensionless form. For that, we introduce the new variables  $\tilde{t}$ ,  $\tilde{x}$ ,  $\tilde{z}$  and the associated unknown  $\tilde{\Psi}$  defined by

$$\tilde{t} = \omega_x t, \quad \tilde{x} = \frac{x}{a_0}, \quad \tilde{z} = \frac{z}{a_0}, \quad \tilde{\Psi}(\tilde{t}, \tilde{x}, \tilde{z}) = a_0^{3/2} \Psi(t, x, z). \quad (1.3)$$

The dimensionless GPE equation reads [2, 15, 16]

$$i\partial_{\tilde{t}}\tilde{\Psi} = -\frac{1}{2}\Delta\tilde{\Psi} + \frac{1}{2}\left(|\tilde{x}|^2 + \frac{1}{\varepsilon^4}|\tilde{z}|^2\right)\tilde{\Psi} + \beta\sigma|\tilde{\Psi}|^2\tilde{\Psi} + 3\lambda_0\beta\left(U_{\text{dip}} * |\tilde{\Psi}|^2\right)\tilde{\Psi}, \quad (1.4)$$

where  $\sigma = \text{sign } a_s \in \{-1, 1\}$ . Define the differential operators  $\partial_{\mathbf{n}} = \mathbf{n} \cdot \nabla$  and  $\partial_{\mathbf{nn}} = \partial_{\mathbf{n}}\partial_{\mathbf{n}}$ . Mathematically speaking, the convolution with  $U_{\text{dip}}$  in equation (1.1) has to be considered in the distributional sense and we have the following identity (see [3])

$$U_{\text{dip}}(\mathbf{x}) = \mathbf{p} \cdot \mathbf{v} \cdot \left( \frac{1}{4\pi|\mathbf{x}|^3} \left( 1 - \frac{3(\mathbf{x} \cdot \mathbf{n})^2}{|\mathbf{x}|^2} \right) \right) = -\frac{1}{3}\delta(\mathbf{x}) - \partial_{\mathbf{nn}} \left( \frac{1}{4\pi|\mathbf{x}|} \right), \quad \mathbf{x} \in \mathbb{R}^3, \quad (1.5)$$

with  $\delta$  being the Dirac distribution.

**Remark 1.1.** Let us define the Fourier transform of a function  $u \in L^1(\mathbb{R}^3)$  by

$$\hat{u}(k) = \int_{\mathbb{R}^3} u(\mathbf{x}) e^{-ik \cdot \mathbf{x}} d\mathbf{x}, \quad \mathbf{x} \in \mathbb{R}^3.$$

From identity (1.5), we get

$$\widehat{U_{\text{dip}}}(k) = -\frac{1}{3} + \frac{(k \cdot \mathbf{n})^2}{|k|^2}, \quad \text{for all } k \in \mathbb{R}^3. \quad (1.6)$$

We can re-formulate the GPE (1.4) as the following Gross-Pitaevskii-Poisson system (GPPS) [3, 7]

$$\begin{aligned} i\partial_{\tilde{t}}\tilde{\Psi} &= -\frac{1}{2}\Delta\tilde{\Psi} + \frac{1}{2}\left(|\tilde{x}|^2 + \frac{1}{\varepsilon^4}|\tilde{z}|^2\right)\tilde{\Psi} + \beta(\sigma - \lambda_0)|\tilde{\Psi}|^2\tilde{\Psi} - 3\lambda_0\beta(\partial_{\mathbf{nn}}\varphi)\tilde{\Psi}, \\ \Delta\varphi &= -|\tilde{\Psi}|^2, \quad \lim_{|\tilde{\mathbf{x}}| \rightarrow \infty} \varphi(\tilde{t}, \tilde{\mathbf{x}}) = 0. \end{aligned} \quad (1.7)$$

Under scaling (1.3), dimension reduction of the above GPPS (1.4) was formally derived from 3D to 2D and 1D in [1, 7, 17] for any fixed  $\beta$ ,  $\lambda_0$  and  $\mathbf{n}$  when  $\varepsilon \rightarrow 0^+$ . Rigorous mathematical justification was only given in the weak interaction regime, i.e. when  $\beta = \mathcal{O}(\varepsilon)$  from 3D to 2D and when  $\beta = \mathcal{O}(\varepsilon^2)$  from 3D to 1D [1]. It is an open problem for the case where  $\beta$  is fixed when  $\varepsilon \rightarrow 0^+$ .

**1.2. New scaling.** In order to observe the condensate at the correct space scales, we will now proceed to a rescaling in  $x$  and  $z$ . Let us denote

$$\alpha = \varepsilon^{2d/n} \beta^{-2/n}. \quad (1.8)$$

The scaling assumptions are

$$\alpha \ll 1 \quad \text{and} \quad \varepsilon \ll 1.$$

We define the new variables

$$t' = \tilde{t}, \quad z' = \frac{\tilde{z}}{\varepsilon}, \quad x' = \alpha^{1/2} \tilde{x},$$

which means that the typical length scales of the dimensionless variables are  $\varepsilon$  in the  $z$ -direction and  $\alpha^{-1/2}$  in the  $x$ -direction. The wavefunction is rescaled as follows:

$$\Psi^{\varepsilon, \alpha}(t', x', z') := \varepsilon^{d/2} \alpha^{-n/4} \tilde{\Psi}(\tilde{t}, \tilde{x}, \tilde{z}) e^{i\tilde{t}d/2\varepsilon^2}.$$

Notice that the  $L^2$  norm of  $\Psi^{\varepsilon, \alpha}$  is left invariant by this rescaling, so we still have

$$\int_{\mathbb{R}^3} |\Psi^{\varepsilon, \alpha}(t, x, z)|^2 dx dz = 1.$$

We end up with the following rescaled GPE (for simplicity we omit the primes on the variables):

$$\begin{aligned} i\alpha \partial_t \Psi^{\varepsilon, \alpha} &= \frac{\alpha}{\varepsilon^2} \mathcal{H}_z \Psi^{\varepsilon, \alpha} - \frac{\alpha^2}{2} \Delta_x \Psi^{\varepsilon, \alpha} + \frac{|x|^2}{2} \Psi^{\varepsilon, \alpha} \\ &\quad + \alpha \left( \sigma |\Psi^{\varepsilon, \alpha}|^2 + 3\lambda_0 \beta U_{\text{dip}}^{\varepsilon, \alpha} * |\Psi^{\varepsilon, \alpha}|^2 \right) \Psi^{\varepsilon, \alpha} \end{aligned} \quad (1.9)$$

where the transversal Hamiltonian is

$$\mathcal{H}_z := -\frac{1}{2} \Delta_z + \frac{|z|^2}{2} - \frac{d}{2}$$

and  $U_{\text{dip}}^{\varepsilon, \alpha}$  is defined by

$$U_{\text{dip}}^{\varepsilon, \alpha}(x, z) = U_{\text{dip}} \left( \frac{x}{\sqrt{\alpha}}, \varepsilon z \right), \quad (x, z) \in \mathbb{R}^3.$$

Let us remark that

$$\widehat{U_{\text{dip}}^{\varepsilon, \alpha}}(k_x, k_z) = \varepsilon^{-d} \alpha^{n/2} \widehat{U_{\text{dip}}} \left( \sqrt{\alpha} k_x, \frac{k_z}{\varepsilon} \right), \quad \text{for all } (k_x, k_z) \in \mathbb{R}^3. \quad (1.10)$$

Thanks to identity (1.6), we can remark that  $\widehat{U_{\text{dip}}}$  is a bounded function of  $\mathbb{R}^3$  into  $[-\frac{1}{3}, \frac{2}{3}]$ . For  $\gamma > 0$ , we denote by  $V_{\text{dip}}^\gamma$  the tempered distribution whose Fourier transform is

$$\widehat{V_{\text{dip}}^\gamma}(k_x, k_z) = \left( -\frac{1}{3} + \frac{(\gamma k_x \cdot n_x + k_z \cdot n_z)^2}{|\gamma k_x|^2 + |k_z|^2} \right) \quad (1.11)$$

so that  $\widehat{V_{\text{dip}}^\gamma}(k_x, k_z) \in [-1/3, 2/3]$  for all  $(k_x, k_z) \in \mathbb{R}^3$  and

$$\widehat{U_{\text{dip}}^{\varepsilon, \alpha}}(k_x, k_z) = \varepsilon^{-d} \alpha^{n/2} \widehat{V_{\text{dip}}^{\sqrt{\alpha}\varepsilon}}(k_x, k_z), \quad \text{for all } (k_x, k_z) \in \mathbb{R}^3.$$

Let us note that (1.8) is equivalent to

$$\beta \varepsilon^{-d} \alpha^{n/2} = 1$$

so that equation (1.9) becomes

$$\begin{aligned} i\alpha \partial_t \Psi^{\varepsilon, \alpha} &= \frac{\alpha}{\varepsilon^2} \mathcal{H}_z \Psi^{\varepsilon, \alpha} - \frac{\alpha^2}{2} \Delta_x \Psi^{\varepsilon, \alpha} + \frac{|x|^2}{2} \Psi^{\varepsilon, \alpha} \\ &\quad + \alpha \left( \sigma |\Psi^{\varepsilon, \alpha}|^2 + 3\lambda_0 V_{\text{dip}}^{\sqrt{\alpha}\varepsilon} * |\Psi^{\varepsilon, \alpha}|^2 \right) \Psi^{\varepsilon, \alpha}. \end{aligned} \quad (1.12)$$

**Remark 1.2.** *The spectrum of  $\mathcal{H}_z$  is the set of integers  $\mathbb{N}$ . We define  $(\omega_k)_{k \in \mathbb{N}}$  an orthonormal basis of  $L^2(\mathbb{R}^3)$  made of eigenvectors of  $\mathcal{H}_z$  where  $\omega_0$  is the ground state (associated to the eigenvalue 0)*

$$\omega_0(z) = \pi^{-d/4} e^{-|z|^2/2}.$$

**Remark 1.3.** *Since  $(\widehat{V_{\text{dip}}^\gamma})_{\gamma \geq 0}$  is uniformly bounded in  $L^\infty$  and*

$$\widehat{V_{\text{dip}}^\gamma} \rightarrow \widehat{V_{\text{dip}}^0} \text{ a.e.}$$

*as  $\gamma \rightarrow 0$ , Lebesgue's dominated convergence Theorem ensures that*

$$V_{\text{dip}}^\gamma * U \rightarrow V_{\text{dip}}^0 * U \text{ in } L^2(\mathbb{R}^3)$$

*for all  $U \in L^2(\mathbb{R}^3)$ . Moreover, let us remark that*

$$V_{\text{dip}}^0 * U(x, z) = \frac{n_z^2 - d}{3d} U(x, z), \quad (x, z) \in \mathbb{R}^3$$

*for all  $U$  such that  $U(x, z) = V(x, |z|)$  for all  $(x, z) \in \mathbb{R}^3$ .*

In this paper, we study the behavior of the solution of equation (1.12) as  $\varepsilon \rightarrow 0$  and  $\alpha \rightarrow 0$  independently so that  $\beta$  may be bounded but can also tends to  $+\infty$ .

Our key mathematical assumption will be that the wavefunction  $\Psi^{\varepsilon, \alpha}$  at time  $t = 0$  is under the WKB form:

$$\Psi^{\varepsilon, \alpha}(0, x, z) = \Psi_{\text{init}}^\alpha(x, z) := A_0(x, z) e^{iS_0(x)/\alpha}, \quad \forall (x, z) \in \mathbb{R}^3. \quad (1.13)$$

Here  $A_0$  is a complex-valued function and  $S_0$  is real-valued.

Let us introduce another parameter  $\gamma > 0$  to get a better understanding of the different phenomena involved during the limiting procedures. In this paper, we will study instead of equation (1.12) the following one :

$$\begin{aligned} i\alpha \partial_t \psi &= \frac{\alpha}{\varepsilon^2} \mathcal{H}_z \psi - \frac{\alpha^2}{2} \Delta_x \psi + \frac{|x|^2}{2} \psi + \alpha \left( \sigma |\psi|^2 + 3\lambda_0 V_{\text{dip}}^\gamma * |\psi|^2 \right) \psi, \\ \psi(0, x, z) &= A_0(x, z) e^{iS_0(x)\alpha}, \quad \forall (x, z) \in \mathbb{R}^3. \end{aligned} \quad (1.14)$$

From now on, we denote by  $\Psi^{\varepsilon, \alpha, \gamma}$  the solution  $\psi$  of equation (1.14). Let us insist on the fact that  $\Psi^{\varepsilon, \alpha, \gamma}$  is equal to the solution  $\Psi^{\varepsilon, \alpha}$  of equation (1.12) if we assume that  $\gamma = \varepsilon \sqrt{\alpha}$ .

**1.3. Heuristics.** In this section, we derive formally the limiting behavior of the solution of (1.14) as  $\varepsilon$  (strong confinement limit),  $\alpha$  (semiclassical limit) and  $\gamma$  (limit of the dipole-dipole interaction term) go to 0. Our main result, stated in the next section, will be that in fact these limits commute together: the limit is valid as  $\varepsilon$ ,  $\alpha$  and  $\gamma$  converge *independently* to zero. Thus, this gives us as a by-product the behavior of the solution of equation (1.12) as  $\varepsilon$  and  $\alpha$  converge *independently* to zero.

a) *Strong confinement limit* :  $\varepsilon \rightarrow 0$ . Let us fix  $\alpha \in (0, 1]$  and  $\gamma \in [0, 1]$ . Following [6], in order to analyze the strong partial confinement limit, it is convenient to begin by filtering out the fast oscillations at scale  $\varepsilon^2$  induced by the transversal Hamiltonian. To this aim, we introduce the new unknown

$$\Phi^{\varepsilon, \alpha, \gamma}(t, \cdot) = e^{it\mathcal{H}_z/\varepsilon^2} \Psi^{\varepsilon, \alpha, \gamma}(t, \cdot).$$

It satisfies the equation

$$i\alpha\partial_t \Phi^{\varepsilon, \alpha, \gamma} = -\frac{\alpha^2}{2} \Delta_x \Phi^{\varepsilon, \alpha, \gamma} + \frac{|x|^2}{2} \Phi^{\varepsilon, \alpha, \gamma} + \alpha F^\gamma \left( \frac{t}{\varepsilon^2}, \Phi^{\varepsilon, \alpha, \gamma} \right)$$

where the nonlinear function is defined by

$$F^\gamma(\theta, \Phi) = e^{i\theta\mathcal{H}_z} \left( \sigma |e^{-i\theta\mathcal{H}_z} \Phi|^2 + 3\lambda_0 V_{dip}^\gamma * |e^{-i\theta\mathcal{H}_z} \Phi|^2 \right) e^{-i\theta\mathcal{H}_z} \Phi. \quad (1.15)$$

A fundamental remark is that for all fixed  $\Phi$ , the function  $\theta \mapsto F^\gamma(\theta, \Phi)$  is  $2\pi$ -periodic, since the spectrum of  $\mathcal{H}_z$  only contains integers. For any fixed  $\alpha > 0$  and  $\lambda_0 = 0$ , Ben Abdallah et al. [6, 5] proved by an averaging argument that we have  $\Phi^{\varepsilon, \alpha, \gamma} = \Phi^{0, \alpha, \gamma} + \mathcal{O}(\varepsilon^2)$ , where  $\Phi^{0, \alpha, \gamma}$  solves the averaged equation

$$i\alpha\partial_t \Phi^{0, \alpha, \gamma} = -\frac{\alpha^2}{2} \Delta_x \Phi^{0, \alpha, \gamma} + \frac{|x|^2}{2} \Phi^{0, \alpha, \gamma} + \alpha F_{av}^\gamma(\Phi^{0, \alpha, \gamma}), \quad \Phi^{0, \alpha, \gamma}(t=0) = \Psi_{init}^\alpha \quad (1.16)$$

where  $F_{av}^\gamma$  is the averaged vector field

$$F_{av}^\gamma(\Phi) = \frac{1}{2\pi} \int_0^{2\pi} F^\gamma(\theta, \Phi) d\theta. \quad (1.17)$$

In our study, we consider the case  $\lambda_0 \in \mathbb{R}$  and a similar averaging argument should give us the same result

$$\Phi^{\varepsilon, \alpha, \gamma} = \Phi^{0, \alpha, \gamma} + \mathcal{O}(\varepsilon^2).$$

b) *Semi-classical limit* :  $\alpha \rightarrow 0$ . Let us remark that equation (1.14) is written in the semi-classical regime of "weakly nonlinear geometric optics", which can be studied by a WKB analysis. Here we are only interested in the limiting model, so in the first stage of the WKB expansion. Let us introduce the solution  $S(t, x)$  of the eikonal equation

$$\partial_t S + \frac{|\nabla_x S|^2}{2} + \frac{|x|^2}{2} = 0, \quad S(0, x) = S_0(x) \quad (1.18)$$

and filter out the oscillatory phase of the wavefunction by setting

$$\Omega^{\varepsilon, \alpha, \gamma} = e^{-iS(t, x)/\alpha} \Psi^{\varepsilon, \alpha, \gamma}, \quad (1.19)$$

so that

$$\begin{aligned} \partial_t \Omega^{\varepsilon, \alpha, \gamma} + \nabla_x S \cdot \nabla_x \Omega^{\varepsilon, \alpha, \gamma} + \frac{1}{2} \Omega^{\varepsilon, \alpha, \gamma} \Delta_x S &= i \frac{\alpha}{2} \Delta_x \Omega^{\varepsilon, \alpha, \gamma} - i \frac{\mathcal{H}_z}{\varepsilon^2} \Omega^{\varepsilon, \alpha, \gamma} \\ &\quad - i \left( \sigma |\Omega^{\varepsilon, \alpha, \gamma}|^2 + 3\lambda_0 V_{dip}^\gamma * |\Omega^{\varepsilon, \alpha, \gamma}|^2 \right) \Omega^{\varepsilon, \alpha, \gamma}, \end{aligned} \quad (1.20)$$

where

$$\Omega^{\varepsilon, \alpha, \gamma}(0, x, z) = A_0(x, z), \quad \text{for all } (x, z) \in \mathbb{R}^3.$$

For all fixed  $\varepsilon > 0$ , we can expect that

$$\Omega^{\varepsilon, \alpha, \gamma} = \Omega^{\varepsilon, 0, \gamma} + \mathcal{O}(\alpha),$$

as  $\alpha \rightarrow 0$  where  $\Omega^{\varepsilon,0,\gamma}$  solves the equation

$$\begin{aligned} \partial_t \Omega^{\varepsilon,0,\gamma} + \nabla_x S \cdot \nabla_x \Omega^{\varepsilon,0,\gamma} + \frac{1}{2} \Omega^{\varepsilon,0,\gamma} \Delta_x S \\ = -i \frac{\mathcal{H}_z}{\varepsilon^2} \Omega^{\varepsilon,0,\gamma} - i \left( \sigma |\Omega^{\varepsilon,0,\gamma}|^2 + 3\lambda_0 V_{\text{dip}}^\gamma * |\Omega^{\varepsilon,0,\gamma}|^2 \right) \Omega^{\varepsilon,0,\gamma}, \\ \Omega^{\varepsilon,0,\gamma}(0, x, z) = A_0(x, z), \quad \text{for all } (x, z) \in \mathbb{R}^3. \end{aligned} \quad (1.21)$$

**Remark 1.4.** A key point here in this analysis is that the nonlinearities  $F^\gamma$  and  $F_{av}^\gamma$  are gauge invariant i.e. for all  $U \in L^2(\mathbb{R}^3)$ , all  $\gamma \in [0, 1]$  and for all  $t$ , we have

$$F^\gamma(t, U e^{iS/\alpha}) = F^\gamma(t, U) e^{iS/\alpha}, \quad F_{av}^\gamma(U e^{iS/\alpha}) = F_{av}^\gamma(U) e^{iS/\alpha}.$$

c) *Dipole-dipole interaction limit*  $\gamma \rightarrow 0$ . We expect that for any  $(\varepsilon, \alpha) \in (0, 1]^2$

$$\Psi^{\varepsilon,\alpha,\gamma} = \Psi^{\varepsilon,\alpha,0} + \mathcal{O}(\gamma^q)$$

where  $q > 0$  and

$$\begin{aligned} i\alpha \partial_t \Psi^{\varepsilon,\alpha,0} &= \frac{\alpha}{\varepsilon^2} \mathcal{H}_z \Psi^{\varepsilon,\alpha,0} - \frac{\alpha^2}{2} \Delta_x \Psi^{\varepsilon,\alpha,0} + \frac{|x|^2}{2} \Psi^{\varepsilon,\alpha,0} \\ &\quad + \alpha \left( \sigma |\Psi^{\varepsilon,\alpha,0}|^2 + 3\lambda_0 V_{\text{dip}}^0 * |\Psi^{\varepsilon,\alpha,0}|^2 \right) \Psi^{\varepsilon,\alpha,0}, \\ \Psi^{\varepsilon,\alpha,0}(t=0) &= \Psi_{\text{init}}^\alpha. \end{aligned} \quad (1.22)$$

In this paper, the main difficulty we have to tackle and also the main difference with respect to the previous work of the authors [4] in the case  $\lambda_0 = 0$ , is the study of this limit  $\gamma \rightarrow 0$ .

d) *The simultaneous study of the three limits.* We introduce for any  $(\varepsilon, \alpha, \gamma) \in (0, 1]^3$

$$A^{\varepsilon,\alpha,\gamma}(t, x, z) = e^{it\mathcal{H}_z/\varepsilon^2} e^{-iS(t,x)/\alpha} \Psi^{\varepsilon,\alpha,\gamma}(t, x, z), \quad \text{for } (x, z) \in \mathbb{R}^3,$$

which is the solution of the equation

$$\begin{aligned} \partial_t A^{\varepsilon,\alpha,\gamma} + \nabla_x S \cdot \nabla_x A^{\varepsilon,\alpha,\gamma} + \frac{1}{2} A^{\varepsilon,\alpha,\gamma} \Delta_x S &= \frac{i\alpha \Delta_x}{2} A^{\varepsilon,\alpha,\gamma} - iF^\gamma \left( \frac{t}{\varepsilon^2}, A^{\varepsilon,\alpha,\gamma} \right), \\ A^{\varepsilon,\alpha,\gamma}(0, x, z) &= A_0(x, z). \end{aligned} \quad (1.23)$$

We will also consider the solution  $A^{\varepsilon,0,\gamma}$  of (1.23) with  $\alpha = 0$ , the solution  $A^{\varepsilon,\alpha,0}$  of (1.23) with  $\gamma = 0$  and the solution  $A^{0,\alpha,\gamma}$  of

$$\begin{aligned} \partial_t A^{0,\alpha,\gamma} + \nabla_x S \cdot \nabla_x A^{0,\alpha,\gamma} + \frac{1}{2} A^{0,\alpha,\gamma} \Delta_x S &= \frac{i\alpha \Delta_x}{2} A^{0,\alpha,\gamma} - iF_{av}^\gamma(A^{0,\alpha,\gamma}), \\ A^{0,\alpha,\gamma}(0, x, z) &= A_0(x, z), \end{aligned} \quad (1.24)$$

for all  $(x, z) \in \mathbb{R}^3$ . As long as the phase  $S(t, \cdot)$  remains smooth, i.e. before the formation of caustics in the eikonal equation (1.18), we expect to have

$$A^{\varepsilon,\alpha,\gamma} = A^{0,0,0} + \mathcal{O}(\varepsilon^2 + \alpha + \gamma^q),$$

and the solution  $\Psi^{\varepsilon,\alpha,\gamma}$  of equation (1.14) is expected to behave as

$$\Psi^{\varepsilon,\alpha,\gamma}(t, x, z) = e^{-it\mathcal{H}_z/\varepsilon^2} e^{iS(t,x)/\alpha} A^{0,0,0}(t, x, z) + \mathcal{O}(\varepsilon^2 + \alpha + \gamma^q) \quad (1.25)$$

for some  $q > 0$ .

**1.4. Main results.** In this paper, our main contribution is the rigorous study of the dipole-dipole interaction limits  $\gamma \rightarrow 0$  as well as the study of the three simultaneous limits  $\varepsilon \rightarrow 0$ ,  $\alpha \rightarrow 0$  and  $\gamma \rightarrow 0$  involved in the problem. The techniques used for the study of the limits  $\varepsilon \rightarrow 0$  and  $\alpha \rightarrow 0$  were developed by the authors in [4]. We will recall and use some of the results proved in this first paper.

**1.4.1. Existence, uniqueness and uniform boundedness results.** Let us make precise our functional framework. For wavefunctions, we will use the scale of Sobolev spaces adapted to quantum harmonic oscillators:

$$B^m(\mathbb{R}^3) := \{u \in H^m(\mathbb{R}^3) \text{ such that } (|x|^m + |z|^m)u \in L^2(\mathbb{R}^3)\}$$

for  $m \in \mathbb{N}$ .

**Remark 1.5.** Assuming that  $m \geq 2$ , we get that

$$B^m(\mathbb{R}^3) \hookrightarrow H^m(\mathbb{R}^3) \hookrightarrow L^\infty(\mathbb{R}^3).$$

$H^m(\mathbb{R}^3)$  and  $B^m(\mathbb{R}^3)$  are two algebras. In this paper, we will also make frequent use of the estimate

$$\| |\mathbf{x}|^k \partial_z^\kappa u \|_{L^2} \leq C \|u\|_{B^m}, \quad \text{for all } u \in B^m(\mathbb{R}^3) \text{ and } k + |\kappa| \leq m \quad (1.26)$$

(see [12] and [6] for a more general class of confining potential).

For the phase  $S$ , we will use the space of subquadratic functions, defined by

$$\mathbf{SQ}_k(\mathbb{R}^{3-d}) = \{f \in \mathcal{C}^k(\mathbb{R}^{3-d}; \mathbb{R}) \text{ such that } \partial_x^\kappa f \in L^\infty(\mathbb{R}^{3-d}), \text{ for all } 2 \leq |\kappa| \leq k\}.$$

where  $k \in \mathbb{N}$ ,  $k \geq 2$ . In the following theorem, we give existence and uniqueness results for equations (1.18), (1.23) and (1.24), as well as uniform bounds on the solutions.

**Theorem 1.6.** Let  $(\varepsilon, \alpha, \gamma) \in [0, 1]^3$ ,  $A_0 \in B^m(\mathbb{R}^3)$  and  $S_0 \in \mathbf{SQ}_{s+1}(\mathbb{R}^{3-d})$ , where  $m \geq 5$  and  $s \geq m + 2$ . Then the following holds:

- (i) There exists  $T > 0$  such that the eikonal equation (1.18) admits a unique solution  $S \in \mathcal{C}([0, T]; \mathbf{SQ}_s(\mathbb{R}^{3-d})) \cap \mathcal{C}^s([0, T] \times \mathbb{R}^{3-d})$ .
- (ii) There exists  $\bar{T} \in (0, T]$  independent of  $\varepsilon$ ,  $\alpha$  and  $\gamma$  such that the solutions  $A^{\varepsilon, \alpha, \gamma}$  and  $A^{0, \alpha, \gamma}$  of, respectively, (1.23) and (1.24), are uniquely defined in the space

$$C([0, \bar{T}]; B^m(\mathbb{R}^3)) \cap C^1([0, \bar{T}]; B^{m-2}(\mathbb{R}^3)).$$

- (iii) The functions  $(A^{\varepsilon, \alpha, \gamma})_{\varepsilon, \alpha, \gamma}$  are bounded in

$$C([0, \bar{T}]; B^m(\mathbb{R}^3)) \cap C^1([0, \bar{T}]; B^{m-2}(\mathbb{R}^3))$$

uniformly with respect to  $(\varepsilon, \alpha, \gamma) \in [0, 1]^3$ .

**1.4.2. Study of the limits  $\alpha \rightarrow 0$ ,  $\varepsilon \rightarrow 0$  and  $\gamma \rightarrow 0$ .** We are now able to study the behavior of  $A^{\varepsilon, \alpha, \gamma}$  as  $\alpha \rightarrow 0$ ,  $\varepsilon \rightarrow 0$  and  $\gamma \rightarrow 0$ .

**Theorem 1.7.** Assume the hypothesis of Theorem 1.6 true. Then, for all  $(\varepsilon, \alpha, \gamma) \in [0, 1]^3$ , for all  $q \in (0, 1)$ , we have the following bounds:

- (i) Averaging result:

$$\|A^{\varepsilon, \alpha, \gamma} - A^{0, \alpha, \gamma}\|_{L^\infty([0, \bar{T}]; B^{m-2}(\mathbb{R}^3))} \leq C\varepsilon^2 \quad (1.27)$$

- (ii) Semi-classical result:

$$\|A^{\varepsilon, \alpha, \gamma} - A^{\varepsilon, 0, \gamma}\|_{L^\infty([0, \bar{T}]; B^{m-2}(\mathbb{R}^3))} \leq C\alpha \quad (1.28)$$



(iii) *Dipole-dipole interaction limit result:*

$$\|A^{\varepsilon,\alpha,\gamma} - A^{\varepsilon,\alpha,0}\|_{L^\infty([0,\overline{T}];B^{m-5}(\mathbb{R}^3))} \leq C_q \gamma^q \quad (1.29)$$

(iv) *Global result:*

$$\|A^{\varepsilon,\alpha,\gamma} - A^{0,0,0}\|_{L^\infty([0,\overline{T}];B^{m-5}(\mathbb{R}^3))} \leq C_q(\varepsilon^2 + \alpha + \gamma^q). \quad (1.30)$$

The constants  $C$  and  $C_q$  do not depend on  $\alpha$ ,  $\varepsilon$  and  $\gamma$  but  $C_q$  does depend on  $q$ . The estimates related to the original equation (1.12) can be summarized in the following diagram:

$$\begin{array}{ccc} A^{\varepsilon,\alpha,\varepsilon\sqrt{\alpha}} & \xrightarrow{\mathcal{O}(\varepsilon^2 + (\sqrt{\alpha}\varepsilon)^q)} & A^{0,\alpha,0} \\ \downarrow \mathcal{O}(\alpha + (\sqrt{\alpha}\varepsilon)^q) & \searrow \mathcal{O}(\alpha + \varepsilon^2 + (\sqrt{\alpha}\varepsilon)^q) & \downarrow \mathcal{O}(\alpha) \\ A^{\varepsilon,0,0} & \xrightarrow{\mathcal{O}(\varepsilon^2)} & A^{0,0,0} \end{array}$$

**Remark 1.8.** The case  $\lambda_0 = 0$  has already been studied by the authors in [4] where we got estimates that are similar to (1.27) and (1.28).

**Remark 1.9.** Assume that either  $n_x = 0$  or  $n_z = 0$ . Then, for all  $(\varepsilon, \alpha) \in (0, 1]^2$ , for all  $q$  such that

$$\begin{cases} q = 1 & \text{if } d = 1, \\ q \in [1, 2) & \text{if } d = 2, \end{cases}$$

we get the same conclusion as in Theorem 1.7.

The following immediate corollary gives a more accurate approximation of  $A^{\varepsilon,\alpha,\varepsilon\sqrt{\alpha}}$  than  $A^{0,0,0}$ . This result can be useful for numerical simulations and has to be related to the ones of Ben Abdallah et al. [5].

**Corollary 1.10.** Assume the hypothesis of Theorem 1.6 true. Then, for all  $(\varepsilon, \alpha) \in [0, 1]^2$ , we have the following bound:

$$\|A^{\varepsilon,\alpha,\varepsilon\sqrt{\alpha}} - A^{0,0,\varepsilon\sqrt{\alpha}}\|_{L^\infty([0,\overline{T}];B^{m-2}(\mathbb{R}^3))} \leq C(\varepsilon^2 + \alpha)$$

where  $C > 0$  does not depend on  $\varepsilon$  or  $\alpha$ .

The following proposition concerns the special case of an initial data polarized on one mode of  $\mathcal{H}_z$ . It generalizes the case studied by Bao, Ben Abdallah and Cai [1, Theorems 5.1 and 5.5] where the initial data was taken on the ground state of  $\mathcal{H}_z$ .

**Proposition 1.11.** Let  $k \in \mathbb{N}$ . Assume the hypothesis of Theorem 1.6 true. Assume also that

$$A_0(x, z) = a_0(x)\omega_k(z), \quad (x, z) \in \mathbb{R}^3$$

where  $\omega_k$  is defined in Remark 1.2. Then, the function  $A^{0,\alpha,\gamma}$  stays polarized on the mode  $\omega_k$  i.e.

$$A^{0,\alpha,\gamma}(t, x, z) = B^{\alpha,\gamma}(t, x)\omega_k(z) \text{ for all } z \in \mathbb{R}^d.$$

Here,  $B^{\alpha,\gamma}$  is the solution of

$$\begin{aligned} \partial_t B^{\alpha,\gamma} + \nabla_x S \cdot \nabla_x B^{\alpha,\gamma} + \frac{1}{2} B^{\alpha,\gamma} \Delta_x S &= \frac{i\alpha \Delta_x}{2} B^{\alpha,\gamma} - iG^{\gamma,k}(B^{\alpha,\gamma}), \\ B^{\alpha,\gamma}(0, x) &= a_0(x), \quad x \in \mathbb{R}^{3-d} \end{aligned} \quad (1.31)$$

where

$$G^{\gamma,k}(u)(x) = u(x) \int_{\mathbb{R}^d} |\omega_k(z)|^k \left( \sigma + 3\lambda_0 V_{\text{dip}}^\gamma * |\omega_k u|^2(x, z) \right) dz.$$

Let

$$\begin{cases} q = 1 & \text{if } d = 1 \\ q \in [1, 2) & \text{if } d = 2, \end{cases}$$

we have moreover the following bound for all  $\alpha \in [0, 1]$  :

$$\|A^{0,\alpha,\gamma} - A^{0,\alpha,0}\|_{L^\infty([0,\bar{T}]; B^{m-5}(\mathbb{R}^3))} \leq C_q \gamma^q$$

where  $C_q$  does not depend on  $\alpha$  but depends on  $q$ . Hence, we obtain that

$$\|A^{\varepsilon,\alpha,\varepsilon\sqrt{\alpha}} - A^{0,0,0}\|_{L^\infty([0,\bar{T}]; B^{m-5}(\mathbb{R}^3))} \leq C(\varepsilon^2 + \alpha + (\varepsilon\sqrt{\alpha})^q)$$

and for  $\alpha \in (0, 1]$  fixed

$$\|\Psi^{\varepsilon,\alpha,\varepsilon\sqrt{\alpha}} - \Psi^{0,\alpha,0}\|_{L^\infty([0,\bar{T}]; B^{m-5}(\mathbb{R}^3))} \leq C\varepsilon^q. \quad (1.32)$$

**Remark 1.12.** Let us notice that by Remark 1.3, the nonlinearity  $G^{\gamma,k}$  of equation (1.31) becomes a local cubic nonlinearity when  $\gamma = 0$

$$G^{0,k}(u)(x) = \left( \frac{n_z^2 - d}{3d} \|\omega_k\|_{L^4(\mathbb{R}^d)}^4 \right) |u(x)|^2 u(x), \quad \text{for all } x \in \mathbb{R}^{3-d}.$$

The paper is organized as follows. In Section 2, we study some properties of the dipolar term that are needed in the proofs of Theorems 1.6, 1.7 and 1.11 given in Section 3.

## 2. Study of the dipolar term

Let us define for  $\theta \in \mathbb{R}$ ,  $\gamma \in [0, 1]$  and  $\Phi \in L^2(\mathbb{R}^3)$

$$\begin{aligned} F_1(\theta, \Phi) &= e^{i\theta\mathcal{H}_z} \left( \sigma |e^{-i\theta\mathcal{H}_z} \Phi|^2 e^{-i\theta\mathcal{H}_z} \Phi \right), \\ F_{1,av}(\Phi) &= \frac{1}{2\pi} \int_0^{2\pi} F_1(\theta, \Phi) d\theta, \\ F_2^\gamma(\theta, \Phi) &= 3\lambda_0 e^{i\theta\mathcal{H}_z} \left( V_{\text{dip}}^\gamma * |e^{-i\theta\mathcal{H}_z} \Phi|^2 \right) e^{-i\theta\mathcal{H}_z} \Phi, \\ F_{2,av}^\gamma(\Phi) &= \frac{1}{2\pi} \int_0^{2\pi} F_2^\gamma(\theta, \Phi) d\theta \end{aligned} \quad (2.1)$$

so that

$$F^\gamma = F_1 + F_2^\gamma \text{ and } F_{av}^\gamma = F_{1,av} + F_{2,av}^\gamma.$$

In order to prove the uniform well-posedness of the nonlinear equations (1.23) and (1.24), we will need Lipschitz estimates for  $F^\gamma(\theta, \cdot)$  defined by (1.15) and  $F_{av}^\gamma(\cdot)$  defined by (1.17). We only study here the dipolar terms  $F_2^\gamma$  and  $F_{2,av}^\gamma$  since the cubic ones  $F_1(\theta, \Phi)$  and  $F_{1,av}(\Phi)$  have already been studied in [4, Lemma 2.7.] (see also [6, Proposition 2.5], [10, Lemma 4.10.2] or [8, Lemma 1.24]).

**2.1. Some properties of  $F_2^\gamma(\theta, \cdot)$  and  $F_{2,av}^\gamma(\cdot)$ .** Using the fact that  $\widehat{V_{\text{dip}}^\gamma}$  takes its values in  $[-1/3, 2/3]$  (Remark 1.1), we get the following lemma.

**Lemma 2.1.** *Introduce the convolution operator*

$$K^\gamma : u \in H^m(\mathbb{R}^3) \mapsto V_{\text{dip}}^\gamma * u \in H^m(\mathbb{R}^3)$$

for  $\gamma \in [0, 1]$  and  $m \in \mathbb{N}$  where  $V_{\text{dip}}^\gamma$  is defined by (1.11). Then, we get for all  $u \in H^m(\mathbb{R}^3)$

$$\|K^\gamma u\|_{H^m} \leq \frac{2}{3} \|u\|_{H^m}.$$

The following lemma gives Lipschitz estimates for the dipolar terms.

**Lemma 2.2.** *For all  $m \geq 2$  and  $M > 0$ , there exists  $C > 0$  such that*

$$\begin{aligned} \|F_{2,av}^\gamma(u) - F_{2,av}^\gamma(v)\|_{B^m} &\leq CM^2 \|u - v\|_{B^m} \\ \|F_2^\gamma(\theta, u) - F_2^\gamma(\theta, v)\|_{B^m} &\leq CM^2 \|u - v\|_{B^m}, \end{aligned}$$

for all  $u, v \in B^m(\mathbb{R}^3)$  satisfying  $\|u\|_{B^m} \leq M$ ,  $\|v\|_{B^m} \leq M$ , for all  $\theta \in \mathbb{R}$  and for all  $\gamma \in [0, 1]$ .

*Proof.* Let us fix  $\gamma \in [0, 1]$ ,  $u, v \in B^m(\mathbb{R}^3)$  satisfying  $\|u\|_{B^m} \leq M$ ,  $\|v\|_{B^m} \leq M$ . To begin, assume that  $\theta = 0$ , then we get that

$$\begin{aligned} \|F_2^\gamma(0, u) - F_2^\gamma(0, v)\|_{B^m} &= 3\lambda_0 \|K^\gamma(|u|^2)u - K^\gamma(|v|^2)v\|_{B^m} \\ &\leq 3\lambda_0 \|K^\gamma(|u|^2)(u - v)\|_{B^m} + 3\lambda_0 \|(K^\gamma(|u|^2) - K^\gamma(|v|^2))v\|_{B^m}. \end{aligned}$$

Lemma 2.1 and Remark 1.5 ensure that

$$\begin{aligned} \|K^\gamma(|u|^2)(u - v)\|_{H^m} &\leq C \|K^\gamma(|u|^2)\|_{H^m} \|u - v\|_{H^m} \leq C \| |u|^2 \|_{H^m} \|u - v\|_{H^m} \\ &\leq C \|u\|_{H^m}^2 \|u - v\|_{H^m} \leq CM^2 \|u - v\|_{B^m}. \end{aligned}$$

We also have

$$\begin{aligned} \|\mathbf{x}^m K^\gamma(|u|^2)(u - v)\|_{L^2} &\leq C \|K^\gamma(|u|^2)\|_{L^\infty} \|\mathbf{x}^m(u - v)\|_{L^2} \\ &\leq C \|K^\gamma(|u|^2)\|_{H^m} \|u - v\|_{B^m} \leq CM^2 \|u - v\|_{B^m}. \end{aligned}$$

For the second term, we get

$$\begin{aligned} \|(K^\gamma(|u|^2) - K^\gamma(|v|^2))u\|_{H^m} &\leq C \|K^\gamma(|u|^2 - |v|^2)\|_{H^m} \|u\|_{H^m} \\ &\leq C \| |u|^2 - |v|^2 \|_{H^m} \|u\|_{H^m} \leq CM^2 \|u - v\|_{B^m} \end{aligned}$$

and

$$\begin{aligned} \|\mathbf{x}^m (K^\gamma(|u|^2) - K^\gamma(|v|^2))u\|_{L^2} &\leq C \|K^\gamma(|u|^2 - |v|^2)\|_{L^\infty} \|\mathbf{x}^m u\|_{L^2} \\ &\leq C \| |u|^2 - |v|^2 \|_{H^m} \|u\|_{B^m} \leq CM^2 \|u - v\|_{B^m}. \end{aligned}$$

This gives us

$$\|F_2^\gamma(0, u) - F_2^\gamma(0, v)\|_{B^m} \leq CM^2 \|u - v\|_{B^m}$$

where  $C$  depends on  $m$  but is independent of  $\gamma$ ,  $u$  and  $v$ . Since  $e^{\pm i\theta \mathcal{H}_z}$  are isometries of  $B^m$ , we get for  $\theta \in \mathbb{R}$

$$\begin{aligned} \|F_2^\gamma(\theta, u) - F_2^\gamma(\theta, v)\|_{B^m} &= \left\| e^{i\theta \mathcal{H}_z} \left( F_2^\gamma(0, e^{-i\theta \mathcal{H}_z} u) - F_2^\gamma(0, e^{-i\theta \mathcal{H}_z} v) \right) \right\|_{B^m} \\ &\leq \left\| F_2^\gamma(0, e^{-i\theta \mathcal{H}_z} u) - F_2^\gamma(0, e^{-i\theta \mathcal{H}_z} v) \right\|_{B^m} \leq CM^2 \|e^{-i\theta \mathcal{H}_z}(u - v)\|_{B^m} \\ &\leq CM^2 \|u - v\|_{B^m} \end{aligned}$$

and

$$\begin{aligned} \|F_{2,av}^\gamma(u) - F_{2,av}^\gamma(v)\|_{B^m} &= \left\| \frac{1}{2\pi} \int_0^{2\pi} (F_2^\gamma(\theta, u) - F_2^\gamma(\theta, v)) d\theta \right\|_{B^m} \\ &\leq \frac{1}{2\pi} \int_0^{2\pi} \|F_2^\gamma(\theta, u) - F_2^\gamma(\theta, v)\|_{B^m} d\theta \\ &\leq CM^2 \|u - v\|_{B^m}. \end{aligned}$$

□

**2.2. The limit  $\gamma \rightarrow 0$ .** Let us study now the behavior of  $F_2^\gamma$  and  $F_{2,av}^\gamma$  as  $\gamma \rightarrow 0$ .

**2.2.1. General case.**

**Lemma 2.3.** *For all  $m \geq 2$ ,  $q \in (0, 1)$ , there is a constant  $C_{m,q} > 0$  independent of  $\gamma$  such that*

$$\begin{aligned} \|F^\gamma(\theta, u) - F^0(\theta, u)\|_{B^m} &\leq \gamma^q C_{m,q} \|u\|_{B^m}^2 \|u\|_{B^{m+5}}, \\ \|F_{av}^\gamma(u) - F_{av}^0(u)\|_{B^m} &\leq \gamma^q C_{m,q} \|u\|_{B^m}^2 \|u\|_{B^{m+5}}, \end{aligned}$$

for all  $u \in B^{m+5}(\mathbb{R}^3)$ , for all  $\gamma \in (0, 1]$  and for all  $\theta \in \mathbb{R}$ .

*Proof.* Let  $u \in B^{m+5}(\mathbb{R}^3)$  and  $\gamma \in (0, 1]$ . As in the proof of Lemma 2.2, we can assume that  $\theta = 0$ . Thanks to Remark 1.5, we get

$$\begin{aligned} \|F^\gamma(0, u) - F^0(0, u)\|_{B^m} &= \|K^\gamma(|u|^2)u - K^0(|u|^2)u\|_{B^m} \\ &\leq C \sum_{|\kappa| \leq m} \|\partial^\kappa (K^\gamma(|u|^2)u - K^0(|u|^2)u)\|_{L^2} \\ &\quad + C \| |\mathbf{x}|^m (K^\gamma(|u|^2)u - K^0(|u|^2)u) \|_{L^2} \\ &\leq C \sum_{|\kappa_1| + |\kappa_2| \leq m} \|K^\gamma(\partial^{\kappa_1}|u|^2) - K^0(\partial^{\kappa_1}|u|^2)\|_{L^\infty} \|\partial^{\kappa_2}u\|_{L^2} \\ &\quad + C \|K^\gamma(|u|^2) - K^0(|u|^2)\|_{L^\infty} \| |\mathbf{x}|^m u \|_{L^2} \\ &\leq C \|u\|_{B^m} \sum_{|\kappa| \leq m} \left\| \left( V_{\text{dip}}^\gamma - V_{\text{dip}}^0 \right) * (\partial^\kappa |u|^2) \right\|_{L^\infty}. \end{aligned}$$

Let us denote  $v := \partial^\kappa |u|^2$  for some  $|\kappa| \leq m$ . We have that  $v \in B^5(\mathbb{R}^3)$  and, since  $B^m \hookrightarrow L^\infty$ ,

$$\|v\|_{B^5} \leq \|u^2\|_{B^{m+5}} \leq C \|u\|_{B^m} \|u\|_{B^{m+5}}.$$

Moreover,

$$\left\| \left( V_{\text{dip}}^\gamma - V_{\text{dip}}^0 \right) * v \right\|_{L^\infty} \leq C \left\| \left( \widehat{V_{\text{dip}}^\gamma} - \widehat{V_{\text{dip}}^0} \right) \widehat{v} \right\|_{L^1}.$$

Since for all  $(k_x, k_z) \in \mathbb{R}^3$

$$\widehat{V_{\text{dip}}^\gamma}(k_x, k_z) = \left( -\frac{1}{3} + \frac{(\gamma k_x \cdot n_x + k_z \cdot n_z)^2}{|\gamma k_x|^2 + |k_z|^2} \right),$$

we obtain

$$\left( \widehat{V_{\text{dip}}^\gamma} - \widehat{V_{\text{dip}}^0} \right) = \widehat{W_1^\gamma}(k_x, k_z) + \widehat{W_2^\gamma}(k_x, k_z)$$

where

$$\begin{aligned}\widehat{W}_1^\gamma(k_x, k_z) &= -\frac{(k_z \cdot n_z)^2 |\gamma k_x|^2}{(|\gamma k_x|^2 + |k_z|^2) |k_z|^2} + \frac{\gamma^2 (k_x \cdot n_x)^2}{|\gamma k_x|^2 + |k_z|^2} \\ \widehat{W}_2^\gamma(k_x, k_z) &= \frac{2\gamma (k_x \cdot n_x)(k_z \cdot n_z)}{|\gamma k_x|^2 + |k_z|^2}.\end{aligned}$$

*Step 1 : Study of  $\|\widehat{W}_1^\gamma \widehat{v}\|_{L^1}$ .* Since we have

$$|\widehat{W}_1^\gamma(k_x, k_z)| \leq \frac{|\gamma k_x|^2}{(|\gamma k_x|^2 + |k_z|^2)} = \frac{1}{1 + \frac{|k_z|^2}{|\gamma k_x|^2}},$$

we get for  $q_1 \geq 0$  and  $p_1, p'_1 \in [1, +\infty]$  such that  $\frac{1}{p_1} + \frac{1}{p'_1} = 1$ , by Hölder,

$$\left\| |\widehat{W}_1^\gamma| |\widehat{v}| \right\|_{L^1} \leq \int_{\mathbb{R}^3} \frac{|\widehat{v}|}{1 + \frac{|k_z|^2}{|\gamma k_x|^2}} dk_x dk_z \leq \|f_1\|_{L^{p'_1}} \|g_1\|_{L^{p_1}}$$

where

$$\begin{aligned}f_1 &= (\gamma |k_x|)^{d/p_1} (1 + |k_x|^2)^{q_1} |\widehat{v}| \\ g_1 &= \frac{1}{(\gamma |k_x|)^{d/p_1} (1 + |k_x|^2)^{q_1} \left(1 + \frac{|k_z|^2}{|\gamma k_x|^2}\right)}.\end{aligned}$$

Thanks to the change of variable  $k = k_z/|\gamma k_x|$ , we obtain that  $\|g_1\|_{L^{p_1}}$  does not depend on  $\gamma$ :

$$\|g_1\|_{L^{p_1}}^{p_1} = \int_{\mathbb{R}^{3-d}} \frac{dk_x}{(1 + |k_x|^2)^{p_1 q_1}} \int_{\mathbb{R}^d} \frac{dk}{(1 + |k|^2)^{p_1}}$$

so that  $\|g_1\|_{L^{p_1}}^{p_1} < +\infty$  if and only if

$$p_1 q_1 > (3 - d)/2 \text{ and } p_1 > d/2.$$

On the other hand, we have

$$\|f_1\|_{L^{p'_1}} = \gamma^{d/p_1} \| |k_x|^{d/p_1} (1 + |k_x|^2)^{q_1} |\widehat{v}| \|_{L^{p'_1}}.$$

Assume that  $p_1 \in [1, 2) \cap (d/2, 2)$  is fixed and define  $m_1 = 3 \left( \frac{2-p_1}{2p_1} \right)$ . Then, we get thanks to Sobolev inequalities and inequality (1.26) of Remark 1.5 that

$$\|f_1\|_{L^{p'_1}} \leq C \gamma^{d/p_1} \| |k_x|^{d/p_1} (1 + |k_x|^2)^{q_1} |\widehat{v}| \|_{H^{m_1}} \leq C \gamma^{d/p_1} \|v\|_{B^{m_1 + \frac{d}{p_1} + 2q_1}}.$$

In the case  $d = 1$ , we choose  $p = p_1 = 1$  and  $q_1 \in (1, 5/4)$  so that

$$m_1 + \frac{d}{p_1} + 2q_1 < 5.$$

In the case  $d = 2$ , we fix  $p \in [1, 2)$ . Then, we choose  $p_1 = 2/p \in (1, 2]$  and  $q_1 \in (1/2p_1, 3/4)$  so that  $m_1 \in [0, 3/2)$  and  $m_1 + \frac{d}{p_1} + 2q_1 < 5$ . We proved that

$$\left\| \widehat{W}_1^\gamma \widehat{v} \right\|_{L^1} \leq \gamma^p C_p \|u\|_{B^m} \|u\|_{B^{m+5}}$$

for

$$\begin{cases} p = 1 & \text{if } d = 1 \\ p \in [1, 2) & \text{if } d = 2. \end{cases}$$

Step 2 : Study of  $\left\| \widehat{W_2^\gamma} \widehat{v} \right\|_{L^1}$ . We have

$$|\widehat{W_2^\gamma}(k_x, k_z)| \leq \frac{2 \frac{|k_z|}{\gamma |k_x|}}{1 + \frac{|k_z|^2}{\gamma^2 |k_x|^2}}$$

so that, we get for  $q_2 \geq 0$  and  $p_2, p'_2 \in [1, +\infty]$  such that  $\frac{1}{p_2} + \frac{1}{p'_2} = 1$ ,

$$\left\| |\widehat{W_2^\gamma}(k_x, k_z)| |\widehat{v}| \right\|_{L^1} \leq \int_{\mathbb{R}^3} \frac{2 |\widehat{v}| \frac{|k_z|}{\gamma |k_x|}}{1 + \frac{|k_z|^2}{\gamma^2 |k_x|^2}} dk_x dk_z \leq 2 \|f_2\|_{L^{p'_2}} \|g_2\|_{L^{p_2}}$$

where

$$f_2 = (\gamma |k_x|)^{d/p_2} (1 + |k_x|^2)^{q_2} |\widehat{v}| \frac{|k_z|}{\gamma |k_x|}$$

$$g_2 = \frac{1}{(\gamma |k_x|)^{d/p_2} (1 + |k_x|^2)^{q_2} \left(1 + \frac{|k_z|^2}{\gamma^2 |k_x|^2}\right)}.$$

Thanks to the change of variable  $k = \frac{k_z}{\gamma k_x}$ , we get that  $\|g_2\|_{L^{p_2}}$  does not depend on  $\gamma$ :

$$\|g_2\|_{L^{p_2}}^{p_2} = \int_{\mathbb{R}^{3-d}} \frac{dk_x}{(1 + |k_x|^2)^{p_2 q_2}} \int_{\mathbb{R}^d} \frac{|k|^{p_2} dk}{(1 + |k|^2)^{p_2}}$$

and  $\|g_2\|_{L^{p_2}}^{p_2} < +\infty$  if and only if

$$p_2 q_2 > (3 - d)/2 \text{ and } p_2 > d.$$

Let us fix from now on  $q \in (0, 1)$  and  $p_2 = d/q \in (d, +\infty)$ . For  $p_3 > 0$  and  $q_3 > 0$ , we get thanks to Remark 1.5 that

$$\begin{aligned} \|f_2\|_{L^{p'_2}} &= \gamma^q \left\| \frac{|k_x|^{d/p_2} (1 + |k_x|^2)^{(q_2+q_3)} (1 + |k_z|^2)^{p_3} |\widehat{v}|}{(1 + |k_x|^2)^{q_3} (1 + |k_z|^2)^{p_3}} \right\|_{L^{p'_2}} \\ &\leq \gamma^q \left\| \frac{1}{(1 + |k_x|^2)^{q_3} (1 + |k_z|^2)^{p_3}} \right\|_{L^{p'_2}} \left\| |k_x|^{d/p_2} (1 + |k_x|^2)^{(q_2+q_3)} (1 + |k_z|^2)^{p_3} |\widehat{v}| \right\|_{L^\infty} \\ &\leq C \gamma^q \left\| \frac{1}{(1 + |k_x|^2)^{q_3} (1 + |k_z|^2)^{p_3}} \right\|_{L^{p'_2}} \|v\|_{B^{3/2+d/p_2+2(q_2+q_3+p_3)}} \end{aligned}$$

and

$$\left\| \frac{1}{(1 + |k_x|^2)^{q_3} (1 + |k_z|^2)^{p_3}} \right\|_{L^{p'_2}} < \infty$$

if and only if

$$2q_3 p'_2 > 3 - d \text{ and } 2p_3 p'_2 > d.$$

Let us choose

$$q_2 \in \left( \frac{(3-d)}{2p_2}, \frac{(3-d)}{2p_2} + \frac{1}{12} \right), \quad q_3 \in \left( \frac{(3-d)(p_2-1)}{2p_2}, \frac{(3-d)(p_2-1)}{2p_2} + \frac{1}{12} \right)$$

and

$$p_3 \in \left( \frac{d(p_2 - 1)}{2p_2}, \frac{d(p_2 - 1)}{2p_2} + \frac{1}{12} \right).$$

so that

$$3/2 + d/p_2 + 2(q_2 + q_3 + p_3) < \frac{3}{2} + \frac{1}{2} + \frac{d + (3 - d) + (3 - d)(p_2 - 1) + d(p_2 - 1)}{p_2} < 5,$$

and

$$\left\| \widehat{W}_2^\gamma \widehat{v} \right\|_{L^1} \leq \gamma^q C_q \|u\|_{B^m} \|u\|_{B^{m+5}}.$$

Hence,

$$\left\| F^\gamma(0, u) - F^0(0, u) \right\|_{B^m} \leq \gamma^q C_{m,q} \|u\|_{B^m}^2 \|u\|_{B^{m+5}}.$$

Finally, we get that

$$\left\| F_{av}^\gamma(u) - F_{av}^0(u) \right\|_{B^m} \leq \frac{1}{2\pi} \int_0^\pi \left\| F^\gamma(\theta, u) - F^0(\theta, u) \right\|_{B^m} d\theta \leq \gamma^q C_{m,q} \|u\|_{B^m}^2 \|u\|_{B^{m+5}}.$$

□

2.2.2. *Case of a function which is polarized on one mode of  $\mathcal{H}_z$ .*

**Lemma 2.4.** *Let  $m \geq 2$ ,  $M > 0$  and*

$$\begin{cases} q = 1 & \text{if } d = 1, \\ q \in [1, 2) & \text{if } d = 2. \end{cases}$$

*Then*

$$\left\| F_{av}^\gamma(u) - F_{av}^0(u) \right\|_{B^m} \leq \gamma^q C_{m,q} \|u\|_{B^m}^2 \|u\|_{B^{m+5}},$$

*for all  $\gamma \in (0, 1]$  and all  $u \in B^{m+5}(\mathbb{R}^3)$  under the form*

$$u(x, z) = a(x)\omega_k(z), \quad \text{for all } (x, z) \in \mathbb{R}^3$$

*where  $k \in \mathbb{N}$  and  $\omega_k$  is defined in Remark 1.2. The constant  $C_{m,q}$  depends neither on  $u$  nor on  $\gamma$ .*

*Proof.* Let  $\gamma \in [0, 1]$  and  $u \in B^{m+5}(\mathbb{R}^3)$  such that  $u(x, z) = a(x)\omega_k(z)$ . We get that

$$F_{av}^\gamma(u) = \omega_k \int_{\mathbb{R}^d} \omega_k(z) F_1(0, u)(\cdot, z) dz + \omega_k \int_{\mathbb{R}^d} \omega_k(z) (F_{2,1}^\gamma + F_{2,2}^\gamma)(0, u)(\cdot, z) dz$$

where

$$F_{2,1}^\gamma(\theta, u) = 3\lambda_0 e^{i\theta\mathcal{H}_z} \left( W_1^\gamma * |e^{-i\theta\mathcal{H}_z} u|^2 \right) e^{-i\theta\mathcal{H}_z} u \quad (2.2)$$

$$F_{2,2}^\gamma(\theta, u) = 3\lambda_0 e^{i\theta\mathcal{H}_z} \left( W_2^\gamma * |e^{-i\theta\mathcal{H}_z} u|^2 \right) e^{-i\theta\mathcal{H}_z} u \quad (2.3)$$

for all  $u \in L^2(\mathbb{R}^3)$ ,  $\gamma \in [0, 1]$  and  $\theta \in \mathbb{R}$ . We also have  $\omega_k(z) = \pm\omega_k(-z)$  for all  $z \in \mathbb{R}^d$  so that

$$(a|\omega_k|^2 W_2^\gamma * |a\omega_k|^2)(\cdot, -z) = - (a|\omega_k|^2 W_2^\gamma * |a\omega_k|^2)(\cdot, z)$$

and

$$\int_{\mathbb{R}^d} a(x) |\omega_k(z)|^2 W_2^\gamma * |a(x)\omega_k(z)|^2 dz = 0.$$

Hence,

$$F_{av}^\gamma(u) = \frac{1}{2\pi} \int_0^{2\pi} F_1(\theta, u) d\theta + \frac{1}{2\pi} \int_0^{2\pi} F_{2,1}^\gamma(\theta, u) d\theta.$$

The first step of the proof of Lemma 2.3 gives us the result. □

### 3. Proofs of our main Theorems

This section is devoted to the proofs of Theorems 1.6 and 1.7 and Proposition 1.11 which are inspired by the ones of [4, Theorem 1.3. and 1.4.]. To do so, we recall without any proof some of the results the authors obtained in this paper for the sake of readability.

**3.1. Main tools.** We begin by the following Lipschitz estimates which summarize [4, Lemma 2.7.] and Lemma 2.2.

**Proposition 3.1.** *For all  $m \geq 2$ , there exists  $C_m > 0$  such that*

$$\begin{aligned} \|F_{av}^\gamma(u) - F_{av}^\gamma(v)\|_{B^m} &\leq C_m M^2 \|u - v\|_{B^m} \\ \|F^\gamma(\theta, u) - F^\gamma(\theta, v)\|_{B^m} &\leq C_m M^2 \|u - v\|_{B^m}, \end{aligned}$$

for all  $M > 0$ ,  $u, v \in B^m(\mathbb{R}^3)$  satisfying  $\|u\|_{B^m} \leq M$ ,  $\|v\|_{B^m} \leq M$ , all  $\theta \in \mathbb{R}$  and all  $\gamma \in [0, 1]$ .

We give then in Proposition 3.2 the local in time well-posedness of the eikonal equation [4, Proposition 2.2.].

**Proposition 3.2.** *If  $S_0 \in \mathbf{SQ}_{s+1}(\mathbb{R}^{3-d})$  with  $s \geq 2$ , there exists  $T > 0$  such that the eikonal equation (1.18) admits a unique solution  $S \in \mathcal{C}([0, T]; \mathbf{SQ}_s(\mathbb{R}^{3-d})) \cap \mathcal{C}^s([0, T] \times \mathbb{R}^{3-d})$ .*

The following lemma is related to the non-homogeneous linear equation (3.1) (see [4, Lemma 2.6.]). The crucial bound (3.2) is obtained by energy estimate.

**Lemma 3.3.** *Let us assume that for some  $m \geq 2$ ,  $s \geq m + 2$  and  $T > 0$ , we have*

- (i)  $a_0 \in B^m(\mathbb{R}^3)$ ,
- (ii)  $S \in \mathcal{C}([0, T]; \mathbf{SQ}_s(\mathbb{R}^{3-d})) \cap \mathcal{C}^s([0, T] \times \mathbb{R}^{3-d})$  solves the eikonal equation (1.18),
- (iii)  $R \in \mathcal{C}([0, T]; B^m(\mathbb{R}^3))$ .

Then, for all  $\alpha \in [0, 1]$ , there exists a unique solution  $a \in \mathcal{C}([0, T]; B^m(\mathbb{R}^3)) \cap \mathcal{C}^1([0, T]; B^{m-2}(\mathbb{R}^3))$  to the following equation:

$$\partial_t a + \nabla_x S \cdot \nabla_x a + \frac{a}{2} \Delta_x S = i \frac{\alpha}{2} \Delta_x a + R, \quad a(0, x, z) = a_0(x, z). \quad (3.1)$$

Moreover for all  $t \in [0, T]$ ,  $a$  satisfies the estimates

$$\|a(t)\|_{B^m}^2 \leq \|a_0\|_{B^m}^2 + C \int_0^t \|a(s)\|_{B^m}^2 ds + \int_0^t (a(s), R(s))_{B^m} ds \quad (3.2)$$

$$\leq \|a_0\|_{B^m}^2 + C \int_0^t \left( \|a(s)\|_{B^m}^2 + \|R(s)\|_{B^m}^2 \right) ds \quad (3.3)$$

where  $C$  is a generic constant which depends only on  $m$  and on

$$\sup_{2 \leq |\kappa| \leq s} \|\partial_x^\kappa S\|_{L^\infty([0, T] \times \mathbb{R}^{3-d})}.$$

**3.2. Proofs of Theorems 1.6 and 1.7 and Proposition 1.11.** Theorem 1.6 can be proved by standard techniques. Point (i) is a consequence of Proposition 3.2. The existence and uniqueness result (ii) stems from a fixed-point technique based on the Duhamel formulation of the different equations and on the local Lipschitz estimates of Proposition 3.1. The uniform bound (iii) can be obtained by Gronwall lemma. For details, one can refer for instance to [4] where the case  $\lambda_0 = 0$  was treated.



Let us now prove Theorem 1.7.

*Averaging limit  $\varepsilon \rightarrow 0$ : proof of (1.27).* For  $\gamma \in [0, 1]$ , let us introduce the function

$$\begin{aligned} \mathcal{F}^\gamma : \mathbb{R} \times B^m(\mathbb{R}^3) &\longrightarrow B^m(\mathbb{R}^3) \\ (\theta, u) &\longmapsto \int_0^\theta (F^\gamma(s, u) - F_{av}^\gamma(u)) ds \end{aligned}$$

which satisfies the following properties for every  $u \in B^m(\mathbb{R}^3)$ :

- (a)  $\theta \mapsto \mathcal{F}^\gamma(\theta, u)$  is a  $2\pi$ -periodic function, since  $\theta \mapsto F^\gamma(\theta, u)$  is  $2\pi$ -periodic and  $F_{av}^\gamma$  is its average,
- (b) if  $\|u\|_{B^m} \leq M$  then  $\|\mathcal{F}^\gamma(\theta, u)\|_{B^m} \leq 4\pi C_m M^3$  for all  $\theta \in \mathbb{R}$ , where  $C_m$  was defined in Proposition 3.1.

Using the relations

$$\begin{aligned} F^\gamma(t/\varepsilon^2, u(t)) &= (F^\gamma(t/\varepsilon^2, u(t)) - F_{av}^\gamma(u(t))) + F_{av}^\gamma(u(t)), \\ \varepsilon^2 \frac{d}{dt} (\mathcal{F}^\gamma(t/\varepsilon^2, u(t))) &= (F^\gamma(t/\varepsilon^2, u(t)) - F_{av}^\gamma(u(t))) + \varepsilon^2 D_u \mathcal{F}^\gamma(t/\varepsilon^2, u(t)) (\partial_s u(t)), \end{aligned}$$

and equations (1.24) and (1.23), we obtain for all  $(\alpha, \gamma) \in [0, 1]^2$  and  $\varepsilon \in (0, 1]$ ,

$$\begin{aligned} \left( \partial_t + \nabla_x S \cdot \nabla_x + \frac{\Delta_x S}{2} - \frac{i\alpha}{2} \Delta_x \right) (A^{\varepsilon, \alpha, \gamma} - A^{0, \alpha, \gamma}) &= \\ -i (F_{av}^\gamma(A^{\varepsilon, \alpha, \gamma}) - F_{av}^\gamma(A^{0, \alpha, \gamma})) - i\varepsilon^2 \partial_t \mathcal{F}^\gamma(t/\varepsilon^2, A^{\varepsilon, \alpha, \gamma}) & \\ + i\varepsilon^2 D_u \mathcal{F}^\gamma(t/\varepsilon^2, A^{\varepsilon, \alpha, \gamma}) (\partial_t A^{\varepsilon, \alpha, \gamma}). & \end{aligned} \quad (3.4)$$

We have that

$$\sup_{s \in [0, \overline{T}]} \sup_{\varepsilon, \alpha} \|D_u \mathcal{F}^\gamma(s/\varepsilon^2, A^{\varepsilon, \alpha, \gamma}(s)) (\partial_t A^{\varepsilon, \alpha, \gamma}(s))\|_{B^{m-2}} \leq C. \quad (3.5)$$

Indeed, according to Theorem 1.6, the sequences

$$(A^{\varepsilon, \alpha, \gamma})_{\varepsilon, \alpha, \gamma} \text{ and } (\partial_t A^{\varepsilon, \alpha, \gamma})_{\varepsilon, \alpha, \gamma}$$

are uniformly bounded, respectively in

$$L^\infty([0, \overline{T}]; B^m(\mathbb{R}^3)) \text{ and in } L^\infty([0, \overline{T}]; B^{m-2}(\mathbb{R}^3)).$$

Thanks to Lemma 2.1, we get

$$\begin{aligned} &\int_0^\theta \left\| \left( D_u F_2^\gamma(s, A^{\varepsilon, \alpha, \gamma}) - D_u F_{2, av}^\gamma(A^{\varepsilon, \alpha, \gamma}) \right) \partial_t A^{\varepsilon, \alpha, \gamma} \right\|_{B^{m-2}} ds \\ &\leq \int_0^\theta 3\lambda_0 \left\| \left( V_{\text{dip}}^\gamma * \left( 2 \operatorname{Re}(e^{-is\mathcal{H}_z} A^{\varepsilon, \alpha, \gamma} \overline{e^{-is\mathcal{H}_z} \partial_t A^{\varepsilon, \alpha, \gamma}}) \right) \right) e^{-is\mathcal{H}_z} A^{\varepsilon, \alpha, \gamma} \right\|_{B^{m-2}} ds \\ &+ \int_0^\theta 3\lambda_0 \left\| \left( V_{\text{dip}}^\gamma * |e^{-is\mathcal{H}_z} A^{\varepsilon, \alpha, \gamma}|^2 \right) e^{-is\mathcal{H}_z} \partial_t A^{\varepsilon, \alpha, \gamma} \right\|_{B^{m-2}} ds \\ &+ \frac{\theta}{2\pi} \int_0^{2\pi} 3\lambda_0 \left\| \left( V_{\text{dip}}^\gamma * \left( 2 \operatorname{Re}(e^{-is\mathcal{H}_z} A^{\varepsilon, \alpha, \gamma} \overline{e^{-is\mathcal{H}_z} \partial_t A^{\varepsilon, \alpha, \gamma}}) \right) \right) e^{-is\mathcal{H}_z} A^{\varepsilon, \alpha, \gamma} \right\|_{B^{m-2}} ds \\ &+ \frac{\theta}{2\pi} \int_0^{2\pi} 3\lambda_0 \left\| \left( V_{\text{dip}}^\gamma * |e^{-is\mathcal{H}_z} A^{\varepsilon, \alpha, \gamma}|^2 \right) e^{-is\mathcal{H}_z} \partial_t A^{\varepsilon, \alpha, \gamma} \right\|_{B^{m-2}} ds \end{aligned}$$

so that the dipolar part of  $D_u \mathcal{F}^\gamma(t/\varepsilon^2, A^{\varepsilon, \alpha, \gamma})(\partial_t A^{\varepsilon, \alpha, \gamma})$  is uniformly bounded in  $L^\infty([0, \overline{T}]; B^{m-2}(\mathbb{R}^3))$ . The same property also holds for the cubic nonlinearity so

that we obtain inequality (3.5). Then, applying Lemma 3.3 to equation (3.4), we get

$$\begin{aligned}
 \|A^{\varepsilon,\alpha,\gamma} - A^{0,\alpha,\gamma}\|_{B^{m-2}}^2(t) &\leq C \int_0^t \|A^{\varepsilon,\alpha,\gamma} - A^{0,\alpha,\gamma}\|_{B^{m-2}}^2(s) ds \\
 &\quad + \int_0^t \|F_{av}^\gamma(A^{\varepsilon,\alpha,\gamma}) - F_{av}^\gamma(A^{0,\alpha,\gamma})\|_{B^{m-2}}^2 ds \\
 &\quad + \varepsilon^4 \int_0^t \|D_u \mathcal{F}^\gamma(t/\varepsilon^2, A^{\varepsilon,\alpha,\gamma})(\partial_t A^{\varepsilon,\alpha,\gamma})\|_{B^{m-2}}^2 ds \\
 &\quad + \varepsilon^2 \int_0^t (\partial_t \mathcal{F}^\gamma(t/\varepsilon^2, A^{\varepsilon,\alpha,\gamma}), A^{\varepsilon,\alpha,\gamma} - A^{0,\alpha,\gamma})_{B^{m-2}}(s) ds \\
 &\leq C\varepsilon^4 + C \int_0^t \|A^{\varepsilon,\alpha,\gamma} - A^{0,\alpha,\gamma}\|_{B^{m-2}}^2(s) ds \\
 &\quad - \varepsilon^2 \int_0^t (\partial_t \mathcal{F}^\gamma(t/\varepsilon^2, A^{\varepsilon,\alpha,\gamma}), A^{\varepsilon,\alpha,\gamma} - A^{0,\alpha,\gamma})_{B^{m-2}}(s) ds,
 \end{aligned}$$

where we used the Lipschitz estimates of Proposition 3.1. The last term can be treated exactly as in [4], integrating by parts in time and using the equation (3.4). The conclusion follows by the Gronwall lemma.

*The semi-classical limit  $\alpha \rightarrow 0$ : proof of (1.28).* The proof of the error estimate (1.28) follows exactly the same arguments as the ones of [4, Theorem 1.4.] since for  $\gamma$  fixed, the new dipolar term can be treated exactly as the cubic term.

*The dipole-dipole interaction limit  $\gamma \rightarrow 0$ : proof of (1.29).* In the case of inequality (1.29), we have for any  $\varepsilon \in (0, 1]$ ,  $(\alpha, \gamma) \in [0, 1]^2$  that

$$\begin{aligned}
 &\partial_t(A^{\varepsilon,\alpha,\gamma} - A^{\varepsilon,\alpha,0}) + \nabla_x S \cdot \nabla_x(A^{\varepsilon,\alpha,\gamma} - A^{\varepsilon,\alpha,0}) + \frac{\Delta_x S}{2}(A^{\varepsilon,\alpha,\gamma} - A^{\varepsilon,\alpha,0}) \\
 &= i\frac{\alpha}{2}\Delta_x(A^{\varepsilon,\alpha,\gamma} - A^{\varepsilon,\alpha,0}) - i(F^\gamma(s/\varepsilon^2, A^{\varepsilon,\alpha,\gamma}) - F^\gamma(s/\varepsilon^2, A^{\varepsilon,\alpha,0})) \\
 &\quad - i(F^\gamma(s/\varepsilon^2, A^{\varepsilon,\alpha,0}) - F^0(s/\varepsilon^2, A^{\varepsilon,\alpha,0}))
 \end{aligned}$$

so that Lemma 3.3, Proposition 3.1, Theorem 1.6 and Lemma 2.3 ensure that

$$\begin{aligned}
 \|A^{\varepsilon,\alpha,\gamma}(t) - A^{\varepsilon,\alpha,0}(t)\|_{B^{m-5}}^2 &\leq C \int_0^t \|A^{\varepsilon,\alpha,\gamma}(s) - A^{\varepsilon,\alpha,0}(s)\|_{B^{m-5}}^2 ds \\
 &\quad + \int_0^t (\|F^\gamma(s/\varepsilon^2, A^{\varepsilon,\alpha,\gamma}(s)) - F^\gamma(s/\varepsilon^2, A^{\varepsilon,\alpha,0}(s))\|_{B^{m-5}}^2) ds \\
 &\quad + \int_0^t (\|F^\gamma(s/\varepsilon^2, A^{\varepsilon,\alpha,0}(s)) - F^0(s/\varepsilon^2, A^{\varepsilon,\alpha,0}(s))\|_{B^{m-5}}^2) ds \\
 &\leq C\gamma^{2q} + C \int_0^t \|A^{\varepsilon,\alpha,\gamma}(s) - A^{\varepsilon,\alpha,0}(s)\|_{B^{m-5}}^2 ds
 \end{aligned}$$

for any  $q \in (0, 1)$ , and by Gronwall's lemma

$$\|A^{\varepsilon,\alpha,\gamma} - A^{0,\alpha,\gamma}\|_{C([0,T];B^{m-5})} \leq C\gamma^q.$$

The case  $\varepsilon = 0$  follows the same ideas. The proof of (1.29) is complete and (1.30) follows.  $\square$

*Proof of Proposition 1.11.* In this case, we remark that the solutions remain polarized on a single mode of  $\mathcal{H}_z$  as time evolves. Hence, we can apply Lemma 2.4 instead of Lemma 2.3 and Proposition 1.11 follows from the arguments used in the proof of the estimate (1.29) in Theorem 1.7

**Acknowledgment.** This work was supported by the Ministry of Education of Singapore grant R-146-000-196-112 (W.B.), by the ANR-FWF Project Lodiquas ANR-11-IS01-0003 (L.L.T. and F.M.), by the ANR-10-BLAN-0101 Grant (L.L.T.) and by the ANR project Moonrise ANR-14-CE23-0007-01 (F.M.).

## References

- [1] W. Bao, N. Ben Abdallah, and Y. Cai. Gross-Pitaevskii-Poisson equations for dipolar Bose-Einstein condensate with anisotropic confinement. *SIAM J. Math. Anal.*, 44:1713–1741, 2012.
- [2] W. Bao and Y. Cai. Mathematical theory and numerical methods for Bose-Einstein condensation. *Kinet. Relat. Mod.*, 6:1–135, 2013.
- [3] W. Bao, Y. Cai, and H. Wang. Efficient numerical methods for computing ground states and dynamics of dipolar Bose-Einstein condensates. *J. Comput. Phys.*, 229:7874–7892, 2010.
- [4] W. Bao, L. Le Treust, and F. Méhats. Dimension reduction for anisotropic Bose-Einstein condensates in the strong interaction regime. *Preprint*, <http://fr.arXiv.org/abs/1403.2884>, 2014.
- [5] N. Ben Abdallah, Y. Cai, C. Castella, and F. Méhats. Second order averaging for the nonlinear Schrödinger equation with strongly anisotropic potential. *Kinet. Relat. Models*, 4:831–856, 2011.
- [6] N. Ben Abdallah, F. Castella, and F. Méhats. Time averaging for the strongly confined nonlinear Schrödinger equation, using almost-periodicity. *J. Differ. Equations*, 245(1):154 – 200, 2008.
- [7] Y. Cai, M. Rosenkranz, Z. Lei, and B. Bao. Mean-field regime of trapped dipolar Bose-Einstein condensates in one and two dimensions. *Phys. Rev. A*, 82:043623, 2010.
- [8] R. Carles. *Semi-classical analysis for nonlinear Schrödinger equations*. World Scientific, 2008.
- [9] R. Carles, P. A. Markowich, and C. Sparber. On the Gross-Pitaevskii equation for trapped dipolar quantum gases. *Nonlinearity*, 21:2569–2590, 2008.
- [10] T. Cazenave. *Semilinear Schrödinger equations*, volume 10. AMS Bookstore, 2003.
- [11] A. Griesmaier, J. Werner, S. Hensler, J. Stuhler, and T. Pfau. Bose-Einstein condensation of chromium. *Phys. Rev. Lett.*, 94(160401), 2005.
- [12] Bernard Helffer. *Théorie spectrale pour des opérateurs globalement elliptiques*. Société mathématique de France, 1984.
- [13] L. Lahaye, C. Menotti, L. Santos, M. Lewenstein, and T. Pfau. The physics of dipolar bosonic quantum gases. *Rep. Prog. Phys.*, 72:126401, 2009.
- [14] M. Lu, N. Q. Burdick, S. H. Youn, and B. H. Lev. A strongly dipolar Bose-Einstein condensate of dysprosium. *Phys. Rev. Lett.*, 107:190401, 2011.
- [15] C. J. Pethick and H. Smith. *Bose-Einstein Condensation in Dilute Gases*. Cambridge University Press, 2002.
- [16] L. P. Pitaevskii and S. Stringari. *Bose-Einstein Condensation*. Clarendon Press, Oxford, 2003.
- [17] M. Rosenkranz, Y. Cai, and W. Bao. Effective dipole-dipole interactions in multilayered dipolar Bose-Einstein condensates. *Phys. Rev. A*, 88:013616, 2013.
- [18] L. Santos, G. Shlyapnikov, P. Zoller, and M. Lewenstein. Bose-Einstein condensation in trapped dipolar gases. *Phys. Rev. Lett.*, 85:1791–1797, 2000.
- [19] S. Yi and L. You. Trapped atomic condensates with anisotropic interactions. *Phys. Rev. A*, 61:041604, 2000.
- [20] S. Yi and L. You. Trapped condensates of atoms with dipole interactions. *Phys. Rev. A*, 63:053607, 2001.

*E-mail address:* matbaowz@nus.edu.sg

DEPARTMENT OF MATHEMATICS, NATIONAL UNIVERSITY OF SINGAPORE, SINGAPORE 119076,  
SINGAPORE

*E-mail address:* `loic.letreust@univ-rennes1.fr`

IRMAR, UNIVERSITÉ DE RENNES 1 AND INRIA, IPSO PROJECT

*E-mail address:* `florian.mehats@univ-rennes1.fr`

IRMAR, UNIVERSITÉ DE RENNES 1 AND INRIA, IPSO PROJECT