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1 Efficiency of photoprotection in microphytobenthos: the role of vertical migration and
2 the xanthophyll cycle against photoinhibition

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25

26 Abstract

27 The capacity of estuarine microphytobenthos to withstand the variable and extreme
28 conditions of the intertidal environment, prone to cause photoinhibition of the
29 photosynthetic apparatus, has been attributed to particularly efficient photoprotection
30 mechanisms. However, little is known regarding its actual photoprotection capacity or
31 the mechanisms responsible for the protecting against photoinhibition. This study
32 addressed these questions by (i) quantifying the photoprotection capacity and the extent
33 of photoinhibition under high light exposure, (ii) estimating the contribution of vertical
34 migration and the xanthophyll cycle to overall photoprotection and (iii) evaluating the
35 effects of photoacclimation state. A new experimental protocol was developed,
36 combining (i) chlorophyll fluorescence imaging, for the simultaneous measurement of
37 replicates and experimental treatments, (ii) specific inhibitors for vertical migration and
38 for the xanthophyll cycle, to quantify the relative contribution of each process, and (iii)
39 recovery kinetics analysis of photosynthetic activity during light stress-recovery
40 experiments, to distinguish reversible downregulation from photoinhibition. The results
41 showed a high photoprotective capacity in both studied periods, May and October, with
42 photoinhibition rates remaining below 20%. A clear change in photoacclimation state
43 was observed, following the seasonal change in solar radiation, with acclimation to
44 lower irradiances in autumn being associated with higher susceptibility to
45 photoinhibition. Also the relative importance of vertical migration and the xanthophyll
46 cycle varied between the sampling periods. While the two processes displayed a similar
47 role in spring/summer, vertical migration became the dominant photoprotective process
48 in autumn. However, the contribution of the two processes to overall photoprotection

49 reached only ca. 20%, suggesting the participation of other photoprotective
50 mechanisms.

51

52 Running head: Photoprotection and photoinhibition in microphytobenthos

53

54 Key index words: microphytobenthos; photoinhibition; photoprotection; xanthophyll
55 cycle; vertical migration; non-photochemical quenching; chlorophyll fluorescence;
56 diatoms

57

INTRODUCTION

Benthic microalgae inhabiting estuarine intertidal flats are exposed to extreme and highly variable environmental conditions. Particularly during low tide, the sedimentary environment is characterized by the exposure to high levels of solar irradiance (Serôdio & Catarino 1999), including UV radiation (Waring et al. 2007, Mouget et al. 2008), extreme temperatures and salinities (Brotas et al. 2003, Rijstenbil 2005), intense rates of desiccation (Coelho et al. 2009), supersaturated oxygen concentrations (Chevalier et al. 2010), and nutrient and carbon depletion (Miles & Sundbäck 2000, Cook & Røy 2006). Being potentially damaging to the photosynthetic apparatus when acting individually, the combined effects of all these factors likely concur to the photoinhibition of photosynthesis of microphytobenthos microalgae. Of particular importance is the exposure to direct sunlight, which can result in excessive reductant pressure and in the formation of intracellular reactive oxygen species (ROS; Roncarati et al. 2008, Waring et al. 2010). High levels of ROS cause the permanent inactivation of photosystem II (PSII) protein D1, negatively impacting on photosynthetic yield and on primary productivity (Nishiyama et al. 2006).

Despite these harsh conditions, microphytobenthos of intertidal flats typically exhibit high growth rates, forming dense and diverse sedimentary biofilms, and are recognized as a major contributor to ecosystem-level carbon fixation and primary productivity (Underwood & Kromkamp 1999). Furthermore, the apparent lack of photoinhibition in microphytobenthic biofilms has been repeatedly reported (Kromkamp et al. 1998, Underwood 2002, Blanchard & Cariou-LeGall 1994, Blanchard et al. 2004, Underwood et al. 2005, Van Leeuwe et al. 2008). This success in coping with high light stress may be explained by the combined operation of two processes, the xanthophyll cycle and vertical migration, which could result in an overall particularly

83 efficient photoprotection (Serôdio et al. 2008, Perkins et al. 2010). In diatoms, the group
84 of microalgae that typically dominate in microphytobenthos assemblages, the
85 xanthophyll cycle has been reported to provide an exceptionally high photoprotective
86 capacity (Lavaud 2007, Brunet & Lavaud 2010, Goss & Jakob 2010). This is
87 particularly true for microphytobenthos *in situ* (Serôdio et al. 2005, Van Leuwee et al.
88 2008, Jordan et al. 2010, Chevalier et al. 2010). To this also seems to contribute the
89 activation of the xanthophyll cycle in the dark, attributed to chlorespiratory activity,
90 which has been considered as potentially advantageous during prolonged periods of
91 darkness (Jakob et al. 2001, Cruz et al. 2011), a situation common in the sedimentary
92 environment.

93 On the other hand, the negative phototactic behavior of benthic diatoms, mostly
94 raphid pennates, under high light has long been interpreted as a form of avoidance of
95 excessive light levels that would otherwise cause photoinhibition (Admiraal 1984,
96 Underwood & Kromkamp 1999, Consalvey et al. 2004, Waring et al. 2007).

97 This subject has attracted substantial attention in recent years, particularly
98 centered on the effects of vertical migration on biofilm photophysiology (Consalvey et
99 al. 2004, Jesus et al. 2006, Waring et al. 2007, Mouget et al. 2008, Perkins et al. 2010,
100 Cartaxana et al. 2011), and became facilitated by the introduction of a diatom motility
101 inhibitor (Cartaxana et al. 2008). However, these studies have been focused on the
102 response of photosynthetic activity during (Waring et al. 2007, Perkins et al. 2010) or
103 shortly after light stress (Mouget et al. 2008), mostly through *in vivo* measurements of
104 electron transport rate of PSII (ETR) or non-photochemical quenching (NPQ) of
105 chlorophyll fluorescence (PAM fluorometry, see below; Table 1) (Perkins et al. 2011).
106 Perhaps surprisingly, none of these studies has actually evaluated the efficiency of the
107 photoprotection provided by these two processes or compared their role against

108 photoinhibition in microphytobenthos biofilms. The distinction between
109 photoprotection and photoinhibition processes from chlorophyll fluorescence cannot be
110 inferred from the decrease in ETR or formation of NPQ under high light, but requires
111 the analysis of the recovery kinetics of photosynthetic activity following exposure to
112 high light stress (Horton & Hague 1988, Walters & Horton 1991, Müller et al. 2001). In
113 diatoms, a rapid (within minutes) component of this recovery can be attributed to the
114 reversal of the xanthophyll cycle (q_E , or ‘energy-dependent quenching’) while
115 photoinhibitory effects (q_I , or ‘photoinhibitory quenching’) can be quantified from a
116 second, much slower (within hours) component (Müller et al. 2001, Lavaud 2007). The
117 q_T (state-transition related quenching) component of NPQ recovery, which shows
118 intermediate relaxation kinetics, does not exist in diatoms (Owens, 1986). As such,
119 questions like ‘How efficient are photoprotective processes in preventing
120 photoinhibition in microphytobenthos biofilms?’, ‘What is the relative contribution of
121 migration and the xanthophyll cycle for overall photoprotection?’ or ‘To what extent
122 does photoinhibition occur in microphytobenthos?’ are mostly unanswered.

123 This study was set out to address these questions, for which a new experimental
124 protocol was designed, based on the combination of (i) chlorophyll fluorescence
125 imaging, to allow the simultaneous measurement of a large number of samples and
126 experimental treatments, (ii) the use of specific inhibitors for vertical migration and for
127 the xanthophyll cycle, to quantify the relative contribution of each process to overall
128 photoprotection, and (iii) the analysis of the recovery kinetics of photosynthetic activity
129 following light stress, to distinguish downregulation due to the xanthophyll cycle and
130 photoinhibition. This approach was further used to test the influence of
131 photoacclimation state on photoprotection capacity and susceptibility to photoinhibition
132 in microphytobenthic biofilms inhabiting a temperate intertidal mudflat.

133

134

MATERIALS AND METHODS

135

Sampling and sample preparation. Sediment samples were collected in the

136

upper zone of an intertidal mudflat in the Baie de l'Aiguillon (46°15'18" N, 01°08'33"

137

W), France, in late spring (May) and autumn (October) 2010, expected to show

138

contrasting photoacclimation states following the seasonal variation in solar radiation

139

(see below). The sampling site is composed of fine muddy sediments (< 63 µm) where

140

microphytobenthic biofilms are largely dominated by diatoms (Herlory et al. 2004).

141

During low tide, samples of the surface layers of sediment (approximately the top 1 cm)

142

were collected using a spatula. In the laboratory, the sediment was sieved through a

143

500-µm mesh, to remove the mud snails *Hydrobia* sp. and other meio- and macrofauna,

144

and was thoroughly mixed and spread in 4 cm deep plastic trays. The sediment was

145

covered by water collected in the sampling site and left undisturbed overnight. In the

146

next morning, at the start of the photoperiod, the slurries were again homogenized and

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identical portions of the resulting slurry were transferred to 24-well plates using a small

148

spatula, filling the wells completely (ca. 3 ml). The well plates were exposed to

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homogeneous light field provided by two LED panels (equal contribution of red, far-

150

red, blue and white LEDs; FloraLEDs panels, Plant Climatics, Germany) delivering a

151

constant irradiance of 70 µmol quanta m⁻² s⁻¹ at the sample surface, in order to induce

152

the upward migration of microalgae and the formation of the biofilm. Daily global solar

153

radiation were obtained from a Meteo-France weather station located approximately 9

154

kms southwest from the sampling site, for two-week periods preceding the sampling

155

dates, 15-30 May and 5-20 October 2010.

156

Fluorescence measurements. Chlorophyll fluorescence was measured using an

157

imaging-PAM fluorometer (Maxi-PAM M-series, Walz GmbH, Effeltrich, Germany).

158 The measuring area of the fluorometer covered each entire well plate, so that up to a
159 total of 24 sediment samples could be monitored simultaneously. All experiments were
160 carried out after biofilm formation. This was determined by measuring the fluorescence
161 level F_s , taken as a proxy for surface microalgal biomass, in a replicated set of samples
162 exposed to constant low light of $55 \mu\text{mol quanta m}^{-2} \text{ s}^{-1}$. Experiments were started after
163 F_s reached a plateau following the initial rise after on the onset of the light period which
164 typically took 2-3 hours of low light exposure. For each sample, the fluorescence signal
165 was calculated by averaging the values of all pixels included in an area of ca. 63.6 mm^2
166 (area of interest), which corresponded to ca. 1500 pixels, centered inside each well. This
167 area is smaller than the total area of each well (95.0 mm^2), the difference being due to
168 the exclusion of the edge of each sample, often not representative of the rest of the
169 biofilm. To minimize sample heating during prolonged exposure to high light, the
170 experiments were carried out in a temperature-controlled room, at $20 \text{ }^\circ\text{C}$, and the
171 fluorometer Perspex hood was maintained open at all times.

172 **Photoacclimation: light-response curves.** The photoacclimation state of the
173 samples was characterized by measuring light-response curves of ETR and of NPQ in
174 the two sampling periods. Light-response curves were generated by sequentially
175 exposing the samples to 7 levels of actinic light, up to $700 \mu\text{mol quanta m}^{-2} \text{ s}^{-1}$. Samples
176 were exposed to each light level for 3 min (a period previously confirmed allowing for
177 reaching a steady-state), after which a saturation pulse was applied and fluorescence
178 levels F_s and F_m' were recorded. Six replicated measurements (on six different wells)
179 were made for each light level. For each irradiance level, E , the relative ETR was
180 calculated from the product of E and the PSII effective quantum yield, $\Delta F/F_m'$ (Genty et
181 al. 1989):

182

183
$$\text{ETR} = E \frac{F_m' - F_s'}{F_m'} \quad (1)$$

184
 185 ETR vs E curves were quantitatively described by fitting the model of Eilers & Peeters
 186 (1988), and by estimating the parameters α (the initial slope of the curve), ETR_m
 187 (maximum ETR) and E_k (the light-saturation, or photoacclimation, parameter):

188
$$\text{ETR}(E) = \frac{E}{aE^2 + bE + c} \quad (2)$$

189 where

190
$$\alpha = \frac{1}{c}, \text{ETR}_m = \frac{1}{b + \sqrt{ac}} \text{ and } E_k = \frac{c}{b + \sqrt{ac}} \quad (3)$$

191
 192 Due to the unavoidable confounding effects of vertical migration on the measurement of
 193 F_m , NPQ was calculated using the adapted index, based on the relative difference
 194 between the maximum fluorescence measured during the construction of the light curve,
 195 F_m' , and upon exposure to light, F_m' (Serôdio et al. 2005):

196
 197
$$\text{NPQ} = \frac{F_{m,m}' - F_m'}{F_m'} \quad (4)$$

198
 199 NPQ vs E curves were described by fitting the model of Serôdio & Lavaud (2011), and
 200 by estimating the parameters NPQ_m (maximum NPQ), E_{50} (irradiance corresponding to
 201 half of NPQ_m) and n (sigmoidicity parameter):

203
$$\text{NPQ} (E) = \text{NPQ}_m \frac{E^n}{E_{50}^n + E^n} \quad (5)$$

204

205 These models were fitted using a procedure written in MS Visual Basic and based on
 206 MS Excel Solver. Model parameters were estimated iteratively by minimizing a least-
 207 squares function, forward differencing, and the default quasi-Newton search method.
 208 The model was fitted to individual light-response curves. Estimates of model
 209 parameters were compared using the Student's *t*-test. The standard errors of the
 210 parameter estimates were calculated following Ritchie (2008).

211 **Photoprotection vs photoinhibition: light stress-recovery experiments.** The
 212 photoprotection capacity of microphytobenthos biofilms was estimated by quantifying
 213 the recovery of $\Delta F/F_m'$ following a prolonged exposure to supersaturating irradiance.
 214 Three replicates were sequentially exposed to: (i) low light level of $55 \mu\text{mol quanta m}^{-2}$
 215 s^{-1} , for a minimum of 15 min, to ensure full light-activation of the photosynthetic
 216 apparatus and to determine pre-stress reference levels of $\Delta F/F_m'$; (ii) supersaturating
 217 light level of $1200 \mu\text{mol quanta m}^{-2} \text{s}^{-1}$ for 3 hrs, to potentially induce photoinhibitory
 218 effects; (iii) low light ($55 \mu\text{mol quanta m}^{-2} \text{s}^{-1}$) for a minimum of 15 min to record the
 219 recovery kinetics. During the whole experiment, $\Delta F/F_m'$ was measured by applying
 220 saturating pulses every 90 s. The recovery of $\Delta F/F_m'$ upon the return to low light
 221 conditions was described by fitting an exponential function, adapted from a first-order
 222 kinetics model derived for describing the kinetics of NPQ (Olaizola & Yamamoto 1994,
 223 Serôdio et al. 2005):

224

225
$$\Delta F / F_m' (t) = \Delta F / F_{m,rec}' + \left[\Delta F / F_m' (0) - \Delta F / F_{m,rec}' \right] e^{-kt} \quad (6)$$

226

227 where t is the time during recovery, $\Delta F/F_m'(0)$ and $\Delta F/F_m'_{,rec}$ represent the PSII quantum
228 yield levels at the start of the recovery period and after full recovery (associated to q_E),
229 and k is the rate constant of $\Delta F/F_m'$ recovery. The values of $\Delta F/F_m'$ estimated by the
230 model for $t = 10.5$ min, expressed as a percentage of the pre-stress levels, were used for
231 estimating the effective photoprotective capacity of the biofilm. The remaining relative
232 difference between pre- and post-stress levels of $\Delta F/F_m'$ was used as an estimate of the
233 photoinhibitory effects imposed by high light.

234 The photoprotective roles of vertical migration and of the xanthophyll cycle
235 were studied by applying specific inhibitors of the two processes. Vertical migration
236 was inhibited by the diatom motility inhibitor Latrunculin (Lat) A, shown to effectively
237 inhibit cell motility without causing appreciable effects on the photosynthetic activity
238 (Cartaxana et al. 2008). To inhibit the activity of the xanthophyll cycle, the inhibitor of
239 the diadinoxanthin de-epoxidase (DDE) dithiothreitol (DTT) was used. DTT is
240 commonly used to inhibit the conversion of the pigment diadinoxanthin (DD) into the
241 photoprotective form diatoxanthin (DT) (Lavaud et al. 2002a). DTT was applied in
242 combination with Lat A, in order to ensure that the cells having the xanthophyll cycle
243 inhibited remained exposed to high light.

244 The contribution of vertical migration to overall photoprotection capacity of the
245 biofilm was estimated by the difference between the levels of $\Delta F/F_m'$ recovery in
246 control (free moving cells) and Lat A-treated (vertical migration inhibited) samples. The
247 contribution of the xanthophyll cycle was estimated by comparing the levels of $\Delta F/F_m'$
248 recovery in the samples treated with Lat A (only vertical migration inhibited) and in
249 those treated with both Lat A and DTT (both vertical migration and the xanthophyll
250 cycle inhibited). The inhibitor solutions were added after biofilm was fully formed, in a
251 total of 200 μ L for both the Lat A and the Lat A + DTT solutions. The same volume of

252 filtered seawater was added to the control samples. The solutions were added carefully
253 to minimize biofilm disturbance, by pipetting small volumes onto the sediment surface.
254 A minimum of 30 min was given for the inhibitors to diffuse and for the biofilms to
255 stabilize before measurements were started.

256 **Inhibitor preparation and effective dosage.** Solutions of Lat A of different
257 concentrations, ranging from 5 to 15 μM , were prepared from a concentrated solution (1
258 mM) prepared from dissolving purified Lat A (Sigma-Aldrich) in dimethylsulfoxide.
259 The minimum effective dosage of Lat A to induce inhibition of vertical migration was
260 determined following Cartaxana & Serôdio (2008). Samples treated with different
261 concentrations of Lat A (final volume, 200 μL) were darkened close to the time
262 expected for tidal flood, known to induce a rapid downward migration. The degree of
263 migration inhibition was estimated from the decrease in surface biomass following
264 darkening, as estimated from dark-adapted fluorescence level, F_o . Three replicated
265 samples were tested for each Lat A concentration.

266 DTT (BDH-Prolabo) was prepared fresh as in Lavaud et al. (2002a). A stock
267 solution of 300 mM (in ethanol) was diluted in filtered seawater to prepare working
268 solutions of concentrations ranging from 3.3 to 15 mM. The minimum effective dosage
269 of DTT was determined by measuring NPQ development upon exposure to 400 μmol
270 quanta $\text{m}^{-2} \text{s}^{-1}$ for 30 min in samples treated with increasing concentration of DTT (final
271 volume, 200 μL). Three replicated samples were tested for each DTT concentration. For
272 the light stress experiments, samples were treated with 200 μL of a combined solution
273 of Lat A and DTT, prepared using the concentration of each inhibitor determined from
274 the effective dosage tests (see Results).

275 **Taxonomic composition.** In one of the trays, microalgae were collected by
276 covering the sediment with two layers of a 100 μm -mesh. The trays were exposed to

277 low indirect natural light from a north facing window ($< 200 \mu\text{mol quanta m}^{-2} \text{s}^{-1}$)
278 during the day following the sampling. The upper mesh was removed at the time of
279 middle emersion period and it was washed with filtered ($0.2 \mu\text{m}$) natural sea water. The
280 samples were fixed in Lugol and preserved at 4°C until their analysis. Diatom species
281 were identified and counted using definitive mounts in Naphrax after cleaning the cells
282 by cremation (2 h, 450°C) (Méléder et al. 2007). Taxonomic determination was
283 performed by microscope on the basis of morphological criteria. A total of ca. 300
284 diatom frustules were counted to determine specific abundances.

285

286

RESULTS

287

288

Taxonomic composition

289 In both sampling periods, the microphytobenthic assemblages were dominated
290 by long biraphid diatoms (length $> 30 \mu\text{m}$). In May, the assemblages were mainly
291 dominated by *Navicula cf. spartinentensis* (61%, $n = 350$). *Staurophora salina*
292 represented less than 20% of the assemblages but this species was two times longer than
293 *N. cf. spartinentensis* (22 μm and 44 μm long, respectively). In October, the
294 assemblages were co-dominated by *Plagiotropis seriata* (22%, $n = 335$) and
295 *Staurophora salina* (19%); the size of *P. seriata* (190 μm long) was four times the one
296 of *S. salina* one (44 μm long) strengthening its dominance in terms of biovolume. A
297 third species, *Pleurosigma strigosum* (300 μm length) represented more than 10% of the
298 assemblage abundance.

299

300

Photoacclimation

301

302 Significant differences were found between the light-response of ETR measured
303 in May and October. In comparison with the ETR vs E curves measured in May, the
304 ones measured in October presented significantly higher values of α (+26.7%, t -test, $p <$
305 0.001) and lower values of ETR_m (-41.5%, t -test, $p < 0.001$) (Fig. 1A). As a
306 consequence, the photoacclimation parameter E_k was significantly lower in October
307 than in May (-53.5%; t -test, $p < 0.001$). Regarding NPQ, significant differences were
308 found between the light-response curves measured in the two periods (Fig. 1B). NPQ vs
309 E curves measured in May reached lower levels within the range of applied irradiances
310 (on average, 2.19 and 3.25 at $700 \mu\text{mol quanta m}^{-2} \text{s}^{-1}$, in May and October,
311 respectively), although the values of NPQ_m were not significantly different (t -test, $p =$
312 0.425). The light-response curves were more sigmoid in May than in October (t -test, $p =$
313 0.001), the largest differences being found regarding the light level required for
314 induction of NPQ, indicated by the parameter E_{50} , which was significantly lower in
315 October than in May (-38.5%; t -test, $p = 0.003$).

316 The light conditions in the region of the sampling area varied greatly between
317 the two-week periods preceding the sampling periods, with global solar radiation
318 reaching a daily average of 2369 J cm^{-2} in May, more than double the value observed in
319 October, 1008 J cm^{-2} .

320

321 Inhibitor dosage

322

323 Vertical migration was strongly inhibited for most of the Lat A concentrations
324 tested, with an inhibition level above 75% being obtained with only $5 \mu\text{M}$ (Fig. 2). The
325 inhibitory response to the increase in Lat A concentration presented a clear saturation-
326 like pattern, with the increase from 10 to $15 \mu\text{M}$ resulting in an increase in inhibition of

327 only 8.5%. Considering that 10 μM was enough to inhibit vertical migration by more
328 than 90%, and the small increase obtained by applying the higher concentrations,
329 solutions of 10 μM Lat A were used in all experiments.

330 The response of NPQ to the increase in DTT also showed a saturation-like
331 pattern, characterized by a strong decrease for concentrations up to 5 mM, and a
332 virtually constancy for concentrations above this value (NPQ decreased by 19%
333 between 5 and 15 mM; Fig. 3). However, even when the highest DTT concentration was
334 applied, NPQ was never completely eliminated, remaining above 1.0. In all further
335 experiments, a concentration of DTT of 10 mM was used.

336

337 **Light stress exposure and recovery**

338

339 Figure 4 exemplifies the variation of $\Delta F/F_m'$ during a light stress-recovery
340 experiment. On control samples, exposure to high light induced an immediate and
341 marked decrease in $\Delta F/F_m'$ from ca. 0.63 to values slightly below 0.1 (Fig. 4). $\Delta F/F_m'$
342 further decreased to values close to zero during the first 15 min of exposure, after which
343 it gradually recovered, stabilizing at values around 0.1 after 90 min and until the end of
344 the high light period. On inhibitor-treated samples, $\Delta F/F_m'$ also decreased to values
345 close to zero upon the start of high light exposure, but, as opposed to control samples,
346 never showed any appreciable recovery, remaining below 0.05 (Fig. 4). However,
347 $\Delta F/F_m'$ levels were usually higher in Lat A-treated samples than in those treated with
348 both inhibitors (Figs. 4, 5). Following the transition to low light, a clear recovery
349 response was observed for all samples, with $\Delta F/F_m'$ reaching in all cases over 60% of
350 initial values after 15 min. Treatment with Lat A effectively inhibited vertical migration
351 during the whole experiment, as indicated by the small variation in F_s in Lat A-treated

352 samples (on average, -12.1% for samples treated with Lat A and Lat A+DTT) as
353 compared with the controls (-43.5%; Fig. 6). The effects of inhibitors were particularly
354 evident during recovery under low light, during which $\Delta F/F_m'$ followed the negative
355 exponential pattern described by Eq. (6), the fit of which was very good in all cases (r^2
356 > 0.91 ; Fig. 7). Control samples recovered more rapidly than those treated with
357 inhibitors, so that after 3 min after return to low light, $\Delta F/F_m'$ of non-inhibited samples
358 was over 70% and 60% higher than on samples treated with Lat A in May and October,
359 respectively. In both periods these differences were gradually reduced during exposure
360 to low light, but after 10.5 min the percentage of recovery was significantly different
361 among treatments and sampling periods (two-way ANOVA, $p < 0.001$ for both factors).
362 In both May and October, the recovery of $\Delta F/F_m'$ was higher in the controls than in the
363 Lat A-treated samples (Control vs Lat A; Tukey's post-hoc test, $p = 0.043$ and $p =$
364 0.010 , respectively), which was in turn higher than in samples treated with Lat A and
365 DTT (Lat A vs Lat A+DTT; Tukey's post-hoc test, $p = 0.042$ and $p = 0.030$,
366 respectively). The percentage of recovery was in all cases significantly higher in May
367 than in October (Tukey's post-hoc test, $p < 0.05$), with the exception of samples treated
368 with both inhibitors (Tukey's post-hoc test, $p = 0.107$).

369 370 **Photoprotection efficiency and extent of photoinhibition**

371
372 Depending on the species, the full recovery of the xanthophyll cycle after a
373 transition from high to low light mainly occurs after 6 min to 15 min (Goss et al. 2006,
374 Lepetit & Lavaud, pers. obs.). Considering the intermediate period of 10.5 min, the
375 recovery of $\Delta F/F_m'$ at this time was used as an estimate of the photoprotection capacity
376 and to calculate the extent of photoinhibition occurred. The results indicate that the

377 microphytobenthos biofilms had a large photoprotective capacity in both periods, with a
378 correspondingly low percentage of photoinhibition below 25%, although higher in May
379 than in October (87.7 and 78.0%; Fig. 8, Table 2). From the reduction in the
380 photoprotection capacity measured in samples treated with inhibitors, the contribution
381 of vertical migration and of the xanthophyll cycle to overall photoprotection were
382 estimated to reach a combined value only slightly above 20% (Table 2). While in May
383 the two processes had a comparable contribution to photoprotection, the relative
384 importance of the xanthophyll cycle was reduced to 7.2% in October.

385

386

387

DISCUSSION

388

Photoacclimation and susceptibility to photoinhibition

389

390

391 Comparatively to May, samples collected in October appeared acclimated to lower light
392 levels, showing the pattern typically associated to ‘shade-acclimation’: a combination of
393 higher values of α and of lower values of ETR_m , resulting in lower values of E_k , usually
394 taken as an indication of photosynthesis saturating at lower irradiances. This change in
395 photoacclimation state between May and October was consistent with the observed
396 seasonal change in solar light conditions preceding the two sampling periods (i.e. global
397 solar radiation more than two times higher in May than in October). These results also
398 generally confirmed previous observations on the seasonal variability of
399 microphytobenthos photosynthetic performance, showing patterns of acclimation to
400 higher light levels during spring/summer and to lower levels in autumn/winter
401 (Blanchard et al. 1997, Migné et al. 2004, Serôdio et al. 2006). They were also

402 consistent with the photoacclimation response of benthic diatoms grown in culture
403 exposed to low and high-light regimes (Perkins et al. 2006, Schumann et al. 2007, Cruz
404 & Serôdio 2008). Increases of α , as the observed from May to October, are commonly
405 attributed to an increase in the cellular content of light-harvesting pigments, increasing
406 the fraction of incident light that is intercepted and absorbed for photosynthesis;
407 decreases in ETR_m are typically associated with the decrease of the activity of the
408 electron transport chain or the Calvin cycle, limiting factors of light-saturated
409 photosynthesis (Henley 1993, MacIntyre et al. 2002, Behrenfeld et al. 2004).

410 A change in light response was also noticeable regarding NPQ, with the samples
411 collected in October showing NPQ activation starting at lower light levels (lower E_{50})
412 and higher values of NPQ for most irradiances (higher NPQ_m). As with ETR, the
413 observed variation in the NPQ vs E curves was consistent with the previously reported
414 for microphytobenthos (Serôdio et al. 2006) or for benthic diatoms acclimated to
415 different light regimes (Cruz & Serôdio 2008).

416 However, while changes in the light-response of ETR may be interpreted and
417 related to underlying physiological processes in a relatively straightforward manner, the
418 physiological meaning of changes in NPQ levels is more difficult to ascertain. This is
419 because the two components of NPQ, q_E (photoprotection) and q_I (photoinhibition) can
420 only be distinguished through the analysis of the recovery kinetics after exposure to
421 high light, but not from NPQ light curves. In this study, the light stress-recovery
422 experiments allowed to conclude that the observed change in the NPQ light-response
423 curves was due to a decrease in the q_E component and a concomitant increase in the q_I
424 component. In the absence of information from NPQ recovery kinetics, similar
425 increases in NPQ vs E curves in autumn/winter periods have been, perhaps wrongly,

426 interpreted as being due to an increase in photoprotective capacity (Serôdio et al. 2005,
427 2006).

428 Furthermore, the results from the light stress-recovery experiments revealed an
429 association between photoacclimation status and photoprotection efficiency, not shown
430 before for these communities. Whatever the cause (see below), the acclimation to high
431 light levels in summer was associated to a high photoprotection capacity and the low
432 light-acclimation in autumn to a general loss in photoprotection and a higher
433 susceptibility to photoinhibition.

434

435 **Photoprotection vs photoinhibition**

436

437 A central finding of this study is that photoinhibition was in all cases
438 considerably low (ca. 20%), indicating photoprotection to be particularly efficient in the
439 studied microphytobenthos biofilms. Despite the general view that these assemblages
440 are largely immune to photoinhibition (Blanchard et al. 2004, Waring et al. 2007,
441 Mouget et al. 2008), this process has been shown to occur under *in situ* conditions
442 (Serôdio et al. 2008). Curiously, the rates of photoinhibition estimated in the cited
443 study, reaching up to ca. 18%, are similar to the values here reported, despite the fact
444 that they were estimated from hysteresis patterns observed during entire low tide
445 exposure periods. The results of the present study therefore confirm that the
446 photoprotective mechanisms available to benthic diatoms are not completely efficient in
447 preventing some degree of photoinhibitory damage. However, it should be stressed the
448 difficulty in comparing the measured rates of photoinhibition with results published for
449 other habitats, or for other estuarine primary producers such as phytoplankton,
450 seagrasses or macroalgae. Apart from the light history and the species-specific

451 differences, the extent of photoinhibition is directly related to light dosage, determined
452 by light intensity and duration of exposure, both largely variable amongst the different
453 experimental protocols used in different laboratory and field studies.

454 A number of unaccounted factors may have contributed for the measured low
455 values of q_I . Firstly, the well-known effect of depth-integration of subsurface
456 fluorescence (Forster & Kromkamp 2004, Serôdio 2004). This effect is caused by the
457 fact that only the cells at or near the surface are actually exposed to measured levels of
458 high light, and that the fluorescence signal measured at the surface also accounts for
459 cells positioned deeper in the photic zone and exposed to lower light levels. The
460 expected effect is a light-dependent overestimation of biofilm-level $\Delta F/F_m'$ relatively to
461 the inherent, physiological values of the cells at the surface, which is then expected to
462 cause a systematic overestimation of q_E and the underestimated of q_I (Serôdio 2004).
463 However, besides this static effect, also dynamic effects can be expected. During
464 prolonged exposure to high light, the downward migration of microalgae to less
465 illuminated layers is likely to induce a gradual increase of $\Delta F/F_m'$ (as measured at the
466 surface), independently of any photophysiological changes, thus causing the
467 overestimation of q_E . It is also conceivable that these types of effects may affect the
468 measurement of $\Delta F/F_m'$ during the recovery under low light, due to upward migration as
469 a response to the decrease in incident irradiance. This, however, seems less likely due to
470 the relatively short time of this period and to the fact that a transition from high to low
471 light is a weaker stimulus for vertical migration, especially if coinciding with the end of
472 the low tide period (Coelho et al. 2011).

473 A second factor that might explain the low values of q_I is the light doses applied
474 during the light stress-recovery experiments in the laboratory. Because these (3 hrs,
475 $1200 \mu\text{mol quanta m}^{-2} \text{ s}^{-1}$) were likely lower than the ones received during a typical

476 period of exposure at low tide (up to 8-10 hrs, 1500-2000 $\mu\text{mol quanta m}^{-2} \text{s}^{-1}$), larger,
477 but still ecologically relevant, light doses could have been applied which would likely
478 induce larger cumulative photoinhibitory effects. The light exposure conditions applied
479 in this study, both regarding light intensity and duration, resulted from a compromise
480 between inducing measurable effects, instrument limitations (maximum PAR irradiance
481 provided by the imaging fluorometer) and minimizing uncontrollable experimental
482 conditions (excessive sample heating and desiccation caused by the fluorometer LED
483 panel). Despite these limitations, mostly instrument-related, the laboratory experimental
484 approach used in this study has the advantage over studies carried out under *in situ*
485 conditions (e.g. Serôdio et al. 2008; Perkins et al. 2010) of allowing applying controlled
486 and reproducible conditions, making it possible to directly compare the migratory and
487 physiological responses of samples collected in different places and occasions.

488 The estimation of q_E and q_I is also directly affected by the type of analysis made
489 on the recovery kinetics in order to distinguish the two components of NPQ. For higher
490 plants, q_E and q_I are distinguished on the basis of the recovery rate of F_v/F_m , typically
491 10-15 min, assumed to correspond to the full reversal of the xanthophyll cycle (Horton
492 & Hague 1988, Ruban & Horton 1995). Following the common practice for the
493 distinction of q_E and q_I , in this study these two components of NPQ were estimated
494 based on a relaxation time of the xanthophyll cycle of 10.5 min. However, to evaluate
495 the possible effects of considering different times for the reversal of the xanthophyll
496 cycle on the relative magnitude of q_E and q_I , a sensitivity analysis was performed,
497 consisting on the re-calculation of these estimates when considering 6 and 15 min,
498 values matching the range of relaxation times of the xanthophyll cycle expectable for
499 diatoms (Gross et al. 2006, Lepetit & Lavaud, pers. obs.). The use of these different
500 recovery periods did not alter significantly the general findings of the study, including

501 high levels of recovery and low photoinhibition rates, the increase in photoinhibition
502 levels from May to October, and a relatively low (< 30%) combined contribution of
503 vertical migration and xanthophyll cycle to overall photoprotection (Table 2).
504 Nonetheless, this analysis shows some effects, although largely expected from the
505 asymptotic pattern of $\Delta F/F_m'$ recovery during the considered period: the use of a shorter
506 period resulted in the estimation of lower rates of recovery, leading to a likely
507 overestimation of photoinhibition rates; conversely, longer periods resulted in larger
508 rates of recovery and probably overestimated levels of photoprotection (Table 2).
509 Moreover, due to the different relaxation patterns of samples exposed to different
510 treatments, the evaluation of the relative importance of vertical migration and the
511 xanthophyll cycle was also affected by the time period considered, with shorter and
512 longer recovery periods resulting in a higher apparent contribution of vertical migration
513 and of the xanthophyll cycle, respectively. These effects, however, did not affect
514 substantially the overall pattern of variation of the role of the two photoprotective
515 processes between the two sampling periods.

516 Recently, more sophisticated methods, based on the mathematical modeling and
517 deconvolution of the recovery curve, were proposed to trace the recovery of each
518 individual component of NPQ (Roháček 2010). This method could not be applied in this
519 study because of the particularities of the xanthophyll cycle in diatoms, which may not
520 verify the assumptions of the method. Firstly, the lack of q_T (the state-transition
521 quenching) in diatoms (Owens 1986, Lavaud 2007, Goss & Jakob 2010), which called
522 for the modification of this model to a two-component NPQ. Secondly, the
523 impossibility of using changes in F_v/F_m in biofilms as an indication of photoinhibition,
524 as this requires the darkening of the samples, known to induce changes in F_m levels due
525 to vertical migration. Furthermore, in benthic diatoms, dark adaptation often causes the

526 F_m level to decrease to values below F_m' levels measured under low light (Serôdio et al.
527 2006). These reasons also prevented the use of other recently proposed methods to
528 quantify the components of NPQ (Ahn et al. 2009, Guadagno et al. 2010).

529 The formation of DT in the dark and thus anoxic subsurface layers of the
530 sediment, known to occur in diatoms (Jakob et al. 2001), and especially in benthic
531 assemblages (Serôdio et al. 2006), is a likely explanation for the apparent impossibility
532 to completely eliminate NPQ by applying the xanthophyll cycle inhibitor DTT (Fig. 3).
533 The DT thus formed would remain present despite the treatment with DTT, which
534 prevents new conversion of DD to DT, but does not induce the reversed reaction. Upon
535 exposure to high light, the oxygenation of DT-rich subsurface layers would allow for
536 the observed rise in NPQ, as the formation of NPQ from DT is known to be inhibited by
537 anoxia (Cruz et al. 2011).

538

539 **Photoprotection: vertical migration vs xanthophyll cycle**

540

541 The use of specific inhibitors for vertical migration and for the operation of the
542 xanthophyll cycle allowed estimating the relative contribution of each of these
543 processes to overall photoprotection of the biofilm. The results showed a change with
544 season and photoacclimation state of their relative importance. While in May the two
545 processes seemed to contribute similarly to biofilm photoprotection, the loss of
546 photoprotection capacity from May to October was associated to a decrease in the
547 contribution of the xanthophyll cycle, so that vertical migration became the dominant
548 photoprotective process. The observed change in the species composition of the
549 microphytobenthic assemblage may explain this difference as the activity of the
550 xanthophyll cycle can differ from a species to another (Lavaud et al. 2004, Goss et al.

551 2006). It may be also hypothesized that this difference is related to the decrease in rates
552 of enzymatic conversion between DD and DT associated to photoacclimation or due to
553 acclimation to lower temperatures (Van Leuwee et al. 2008), an effect that is also
554 species-related (Salleh & McMinn, 2011). Nevertheless, these results indicate that
555 behavioral photoprotection seems able to maintain the overall photoprotection capacity,
556 compensating for the decrease in the contribution of the xanthophyll cycle during the
557 winter season.

558 The change in species composition, involving a dominance of larger cells in
559 October, could also have affected the migratory response of the assemblages to high
560 light. However, although some studies have shown a relation between migratory cell
561 size and migratory behaviour in sediments (Hay et al. 1993, Underwood et al. 2005),
562 there is no evidence that cell size is an important factor regarding the migratory
563 response to light stress.

564 Vertical migration and the xanthophyll cycle have been considered as the main
565 photoprotective mechanisms in microphytobenthos biofilms (Serôdio et al. 2005, Jesus
566 et al. 2006, Mouget et al. 2008, Serôdio et al. 2008; Perkins et al. 2010). A perhaps
567 surprising result of this study is the relative low contribution of these two processes to
568 global photoprotection. This calls for the potential role of other processes responsible
569 for the observed low rates of photoinhibition. Likely candidates include the cyclic
570 electron flow around PSII (Lavaud et al. 2002b, 2007), the efficient scavenging of
571 reactive oxygen species (Roncarati et al. 2008, Waring et al. 2010) or high turnover
572 rates of the PSII protein D1 (Wu et al. 2011).

573

574

Use of inhibitors on microphytobenthic biofilms

575

576 An aim of this study was the introduction of a new experimental protocol to
577 estimate photoprotection efficiency and the extent of photoinhibition in
578 microphytobenthos biofilms. This involved the combination of: (i) the use of specific
579 inhibitors for different photoprotective processes, applied alone and in combination with
580 each other, allowing the estimation of the relative contribution of each process to overall
581 photoprotection, and (ii) the use of imaging fluorometry on replicated samples in well
582 plates, taking advantage of the self-forming nature of microphytobenthos biofilms from
583 homogenized sediments, which allowed for adequate replication and low variability
584 between replicates, and for the simultaneous testing of different treatments.

585 Some potential pitfalls exist regarding the use of inhibitors on biofilms and the
586 interpretation of results. Firstly, it must be noted that when comparing controls (no
587 inhibitor added) with Lat A-treated samples, it is likely that the differences in
588 fluorescence parameters observed over time may not be attributed only to changes in
589 cell physiological conditions but also to changes in cell composition in the upper layers
590 of the sediment. This is because in the controls, as opposed to Lat A-treated samples,
591 cells initially at the surface likely migrated down into layers below the photic zone,
592 therefore changing the contribution to the fluorescence signal measured at the surface.
593 As a consequence, any observed differences are expected to represent mainly changes at
594 the biofilm (i.e., community)-level, and not only changes in the physiology of
595 individual cells. This also explains the need to combine Lat A and DTT if the effect of
596 inhibiting the xanthophyll cycle is to be evaluated in the same microalgal assemblage.
597 By adding DTT to samples treated with Lat A, it is ensured that the same cells remain in
598 the photic zone of the sediment and that measured changes in fluorescence are due to
599 changes in their physiological status and not to changes in community composition. If
600 only DTT is applied (Perkins et al. 2010), only biofilm-level effects can be evaluated, as

601 many cells will likely respond to high light by migrating downward and become
602 unobservable (Oxborough et al. 2000).

603

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612

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822

823	Table 1. Notation
824	
825	α , initial slope of the ETR vs E curve
826	a, b, c , parameters of the Eilers and Peeters (1988) model
827	DTT, dithiothreitol
828	$\Delta F/F_m'$, effective quantum yield of PSII
829	DD, diadinoxanthin
830	DT, diatoxanthin
831	DDE, diadinoxanthin de-epoxidase
832	E , PAR irradiance
833	E_{50} , Irradiance level corresponding to 50% of NPQ_m in a NPQ vs E curve
834	E_k , photoacclimation parameter of an ETR vs E curve
835	ETR, PSII electron transport rate
836	ETR_m , maximum ETR level in an ETR vs E curve
837	F_o, F_m , Minimum and maximum fluorescence of a dark-adapted sample
838	F_s, F_m' , Steady state and maximum fluorescence of a light-adapted sample
839	Lat A, Latrunculin A
840	n , sigmoidicity coefficient of the NPQ vs E curve
841	NPQ, non-photochemical quenching

- 842 NPQ_m, maximum NPQ level in a NPQ vs *E* curve
- 843 PAR, photosynthetically active radiation
- 844 PSII, photosystem II
- 845 q_E , energy-dependent quenching
- 846 q_I , photoinhibitory quenching
- 847 *t*, time during recovery following light stress
- 848 XC, xanthophyll cycle
- 849

850 Table 2. Extent of photoinhibition and efficiency of photoprotection (%), calculated as
 851 percentage of $\Delta F/F_m'$ recovery after 10.5 min. Relative contributions of vertical
 852 migration and of the xanthophyll cycle to overall photoprotection (%), as calculated
 853 from the reduction of the $\Delta F/F_m'$ recovery in samples treated with Lat A and with Lat A
 854 and DTT, respectively, relatively to control samples. Mean and standard error of three
 855 replicates. Numbers within parenthesis indicate results obtained when considering 6 and
 856 15 min of recovery, respectively.
 857

	May	October
Photoinhibition	12.3 ± 0.55 (16.6, 11.7)	22.0 ± 2.79 (33.7, 17.0)
Recovery	87.7 ± 0.55 (83.4, 88.3)	78.0 ± 2.79 (66.3, 83.0)
Vertical migration	10.6 (24.0, 3.6)	14.3 (17.0, 11.7)
Xanthophyll cycle	10.1 (6.4, 13.2)	7.2 (7.3, 5.8)
Others	79.3 (69.5, 83.2)	78.5 (75.7, 82.5)

858

859 Figure legends

860

861 Fig. 1. Light-response curves of ETR (A) and NPQ (B) measured in May and October
862 2010. Mean of six replicates. Vertical bars: one standard error. Numbers represent the
863 mean values of model parameters estimated for light-response curves measured for each
864 individual sample.

865

866 Fig. 2. Variation of migration inhibition with the concentration of added Lat A solution.
867 Mean of three replicates. Vertical bars: one standard error. Line represents the fit of
868 exponential decay model.

869

870 Fig. 3. Inhibition of NPQ as a function of concentration of added DTT solution. NPQ
871 induced upon exposure to $400 \mu\text{mol quanta m}^{-2} \text{s}^{-1}$. Mean of three replicates. Vertical
872 bars: one standard error. Line represents the fit of exponential decay model.

873

874 Fig. 4. Light stress-recovery experiment. Variation of PSII quantum yield, $\Delta F/F_m'$,
875 during sequential exposure to low light (pre-stress, $55 \mu\text{mol quanta m}^{-2} \text{s}^{-1}$), light stress
876 under high light (high light, $1200 \mu\text{mol quanta m}^{-2} \text{s}^{-1}$, 180 min) and recovery under low
877 light (recovery, $55 \mu\text{mol quanta m}^{-2} \text{s}^{-1}$, 10.5 min) for controls and for samples treated
878 with migration inhibitor Lat A and with migration and xanthophyll cycle inhibitors (Lat
879 A + DTT), collected in May 2010. Mean of three replicates. Vertical bars: one standard
880 error.

881

882 Fig. 5. Images of PSII quantum yield, $\Delta F/F_m'$ (false color scale), as measured in the
883 sediment samples used in the light stress experiment described in Fig. 4 at the end of

884 first low light exposure (pre-stress, $55 \mu\text{mol quanta m}^{-2} \text{s}^{-1}$), at the end of high light
885 exposure (high light, $1200 \mu\text{mol quanta m}^{-2} \text{s}^{-1}$, 180 min) and following recovery under
886 low light (recovery, $55 \mu\text{mol quanta m}^{-2} \text{s}^{-1}$, 10.5 min). Three replicated areas of interest
887 for each treatment.

888

889 Fig. 6. Images of fluorescence level F_s (false color scale) as measured in the sediment
890 samples used in the light stress experiment described in Fig. 4. at the end of first low
891 light exposure (pre-stress, $55 \mu\text{mol quanta m}^{-2} \text{s}^{-1}$), and after recovery following end of
892 high light exposure (high light, $1200 \mu\text{mol quanta m}^{-2} \text{s}^{-1}$, 180 min; recovery, $55 \mu\text{mol}$
893 $\text{quanta m}^{-2} \text{s}^{-1}$, 10.5 min). Three replicated areas of interest for each treatment.

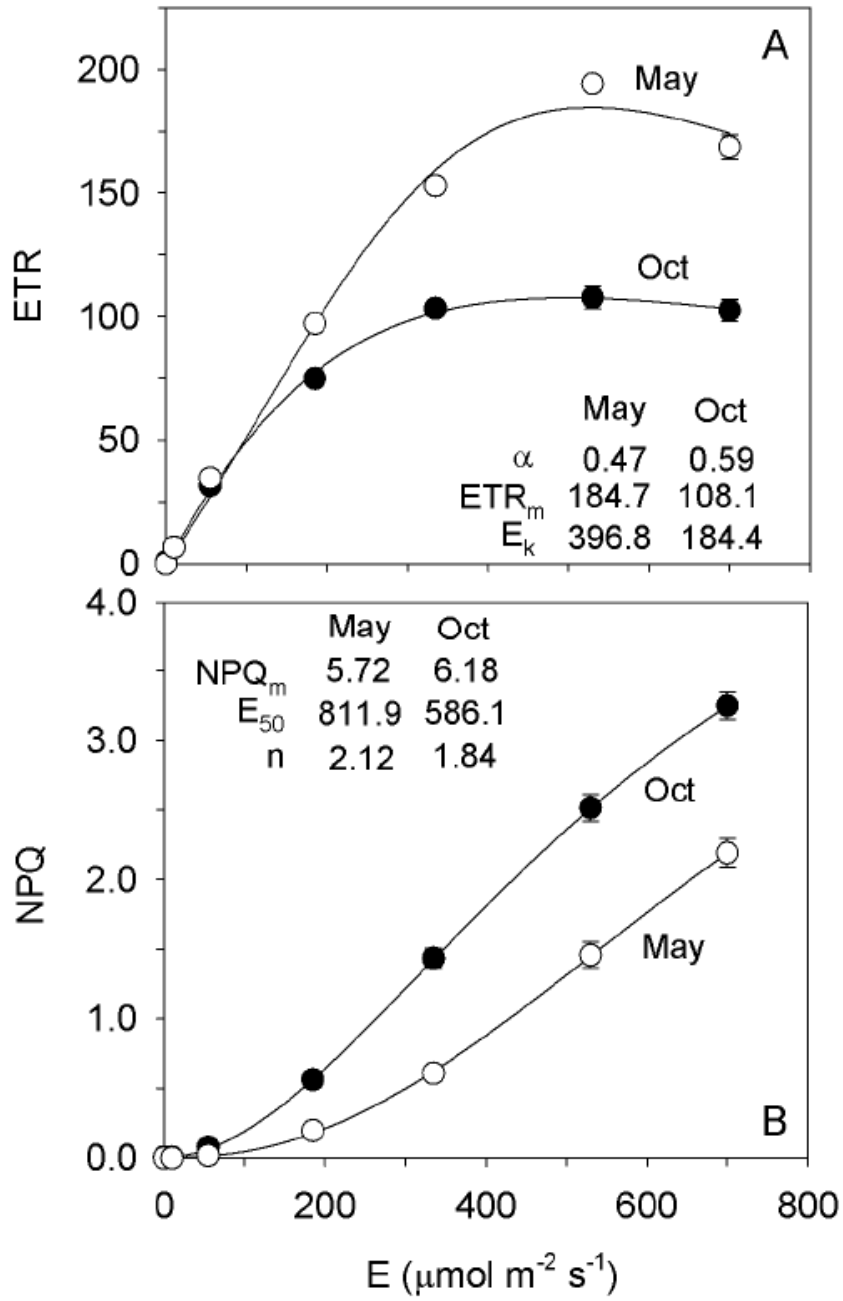
894

895 Fig. 7. Recovery of PSII quantum yield, $\Delta F/F_m'$, during relaxation following light stress
896 for control samples and for samples treated with migration inhibitor Lat A and with
897 migration and xanthophyll cycle inhibitors (Lat A + DTT). Lines represent the
898 exponential model described by Eq. (6) fitted to average $\Delta F/F_m'$ values. Detail of Fig. 4.

899

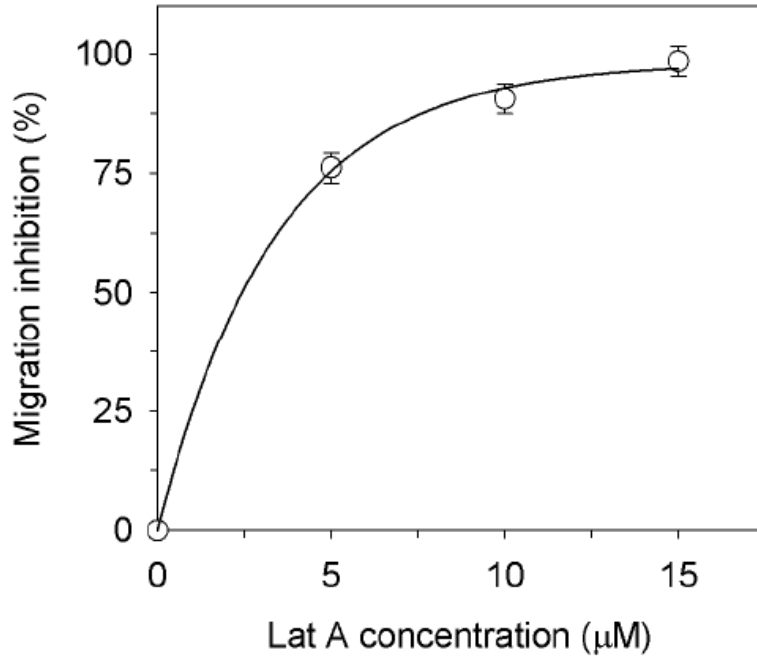
900 Fig. 8. Efficiency of photoprotection, as percentage of recovery after 10.5 min low light
901 following high light exposure, in May and October, for controls and inhibitor-treated
902 samples. Mean of three replicates. Vertical bars: one standard error.

903



907 Figure 2

908

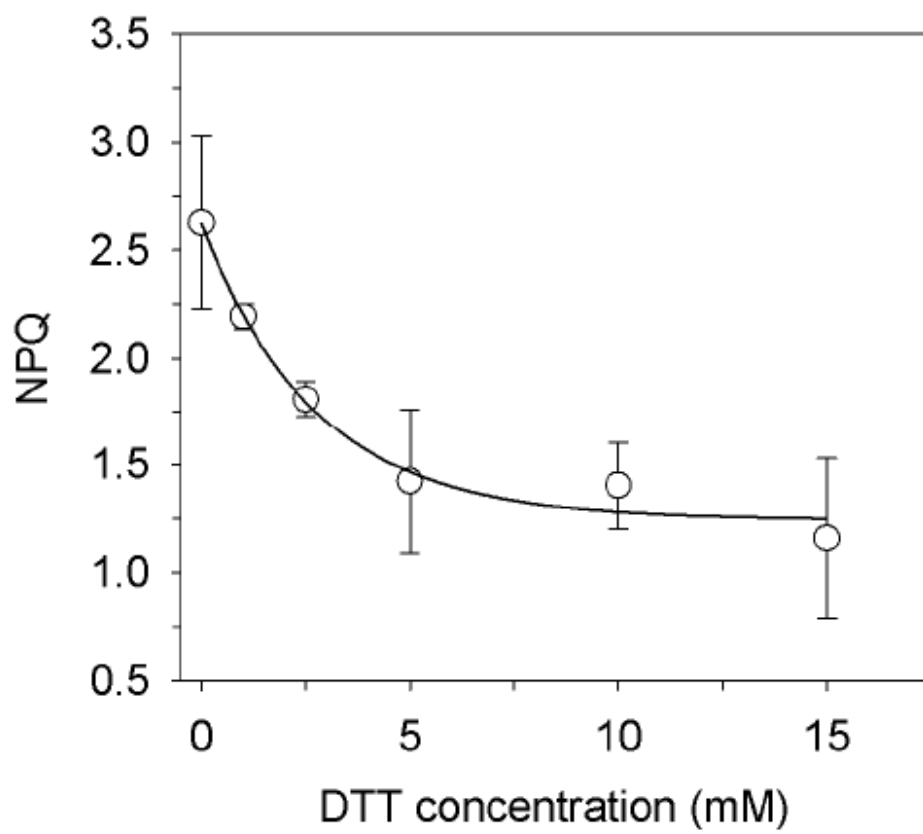


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910

911 Figure 3

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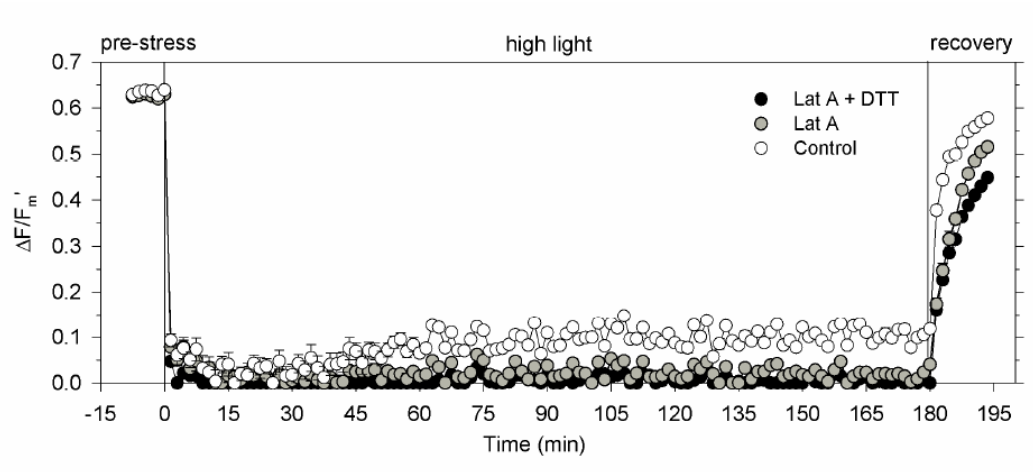


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915 Figure 4

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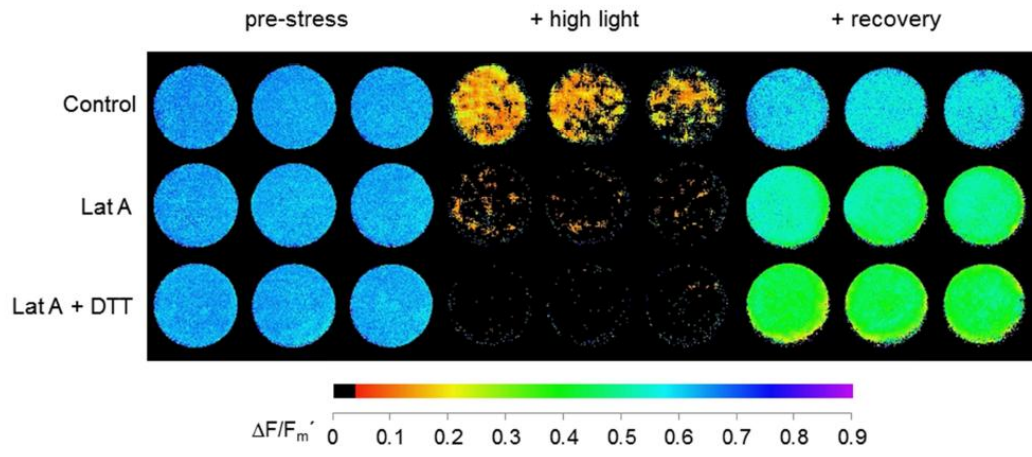


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919 Figure 5

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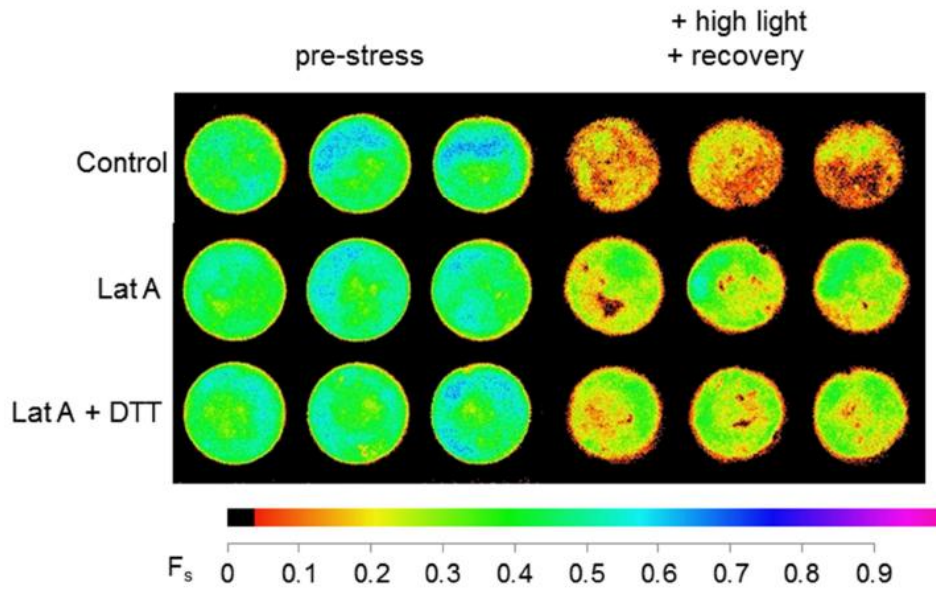
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Figure 6

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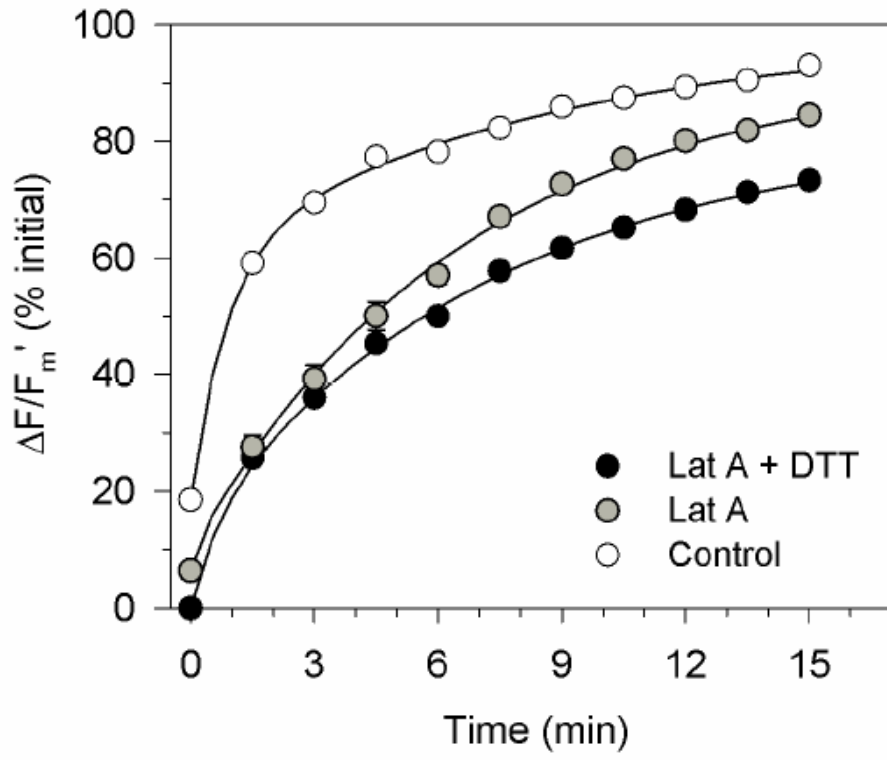
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Figure 7

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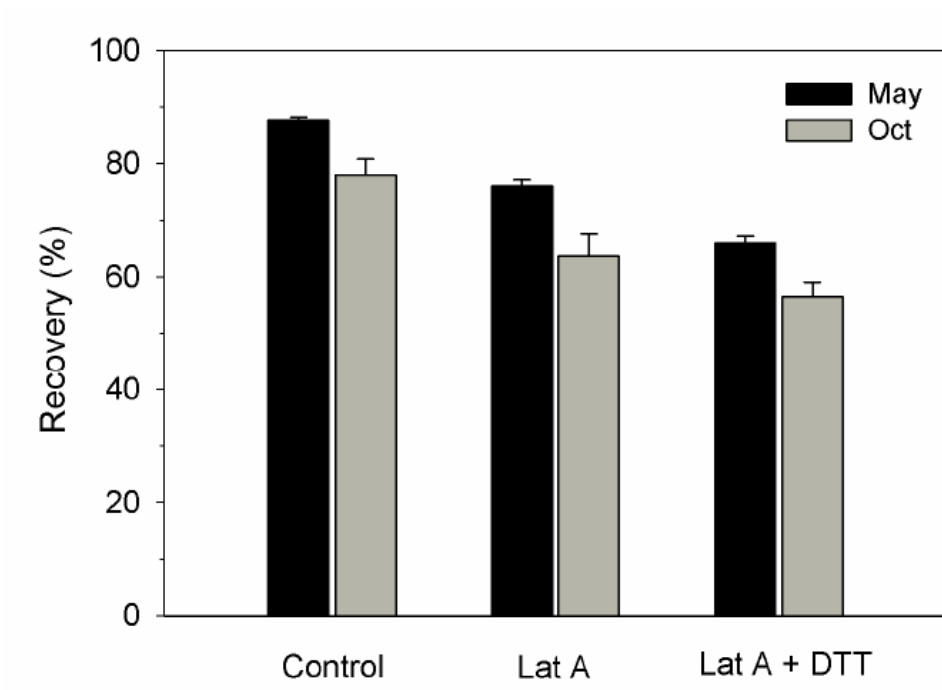


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930

931 Figure 8

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