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# SIGN CHANGES IN SHORT INTERVALS OF COEFFICIENTS OF SPINOR ZETA FUNCTION OF A SIEGEL CUSP FORM OF GENUS 2 

EMMANUEL ROYER, JYOTI SENGUPTA, AND JIE WU


#### Abstract

In this paper, we establish a Voronoi formula for the spinor zeta function of a Siegel cusp form of genus 2 . We deduce from this formula quantitative results on the number of its positive (resp. negative) coefficients in some short intervals.


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## 1. Introduction

Let $S_{k}$ be the space of Siegel cusp forms of integral weight $k$ on the group $\operatorname{Sp}_{4}(\mathbb{Z}) \subset$ $\mathrm{GL}_{4}(\mathbb{Q})$ and let $\mathrm{F} \in \mathrm{S}_{k}$ be an eigenfunction of all the Hecke operators. Let

$$
\mathrm{Z}_{\mathrm{F}}(s):=\prod_{p \in \mathcal{P}} \mathrm{Z}_{\mathrm{F}, p}\left(p^{-s}\right) \quad(\text { Res }>1)
$$

be the spinor zeta function of F . Here $\mathcal{P}$ is the set of prime numbers and if $\alpha_{0, p}, \alpha_{1, p}, \alpha_{2, p}$ are the Satake $p$-parameters attached to F then

$$
\mathrm{Z}_{\mathrm{F}, \mathrm{p}}(t)^{-1}:=\left(1-\alpha_{0, p} t\right)\left(1-\alpha_{0, p} \alpha_{1, p} t\right)\left(1-\alpha_{0, p} \alpha_{2, p} t\right)\left(1-\alpha_{0, p} \alpha_{1, p} \alpha_{2, p} t\right) .
$$

They satisfy

$$
\alpha_{0, p}^{2} \alpha_{1, p} \alpha_{2, p}=1
$$

for all $p$. A Siegel form is in the Maass subspace $S_{k}^{M}$ of $S_{k}$ if it is a linear combination of Siegel forms F that are eigenvectors of all the Hecke operators and for which there

[^0]exists a primitive modular form, $f$, of weight $2 k-2$ such that
$$
Z_{\mathrm{F}}(s)=\zeta\left(s-\frac{1}{2}\right) \zeta\left(s+\frac{1}{2}\right) \mathrm{L}(f, s)
$$

Here $\mathrm{L}(f, s)$ is the L-function of $f$. This happens only if $k$ is even. The bijective linear application between $S_{k}^{\mathrm{M}}$ and the space of modular forms of weight $2 k-2$ is called the Saito-Kurokawa lifting [16]. The Ramanujan-Petersson conjecture says that

$$
\begin{equation*}
\left|\alpha_{j, p}\right|=1 \text { for } j=0,1,2 \text { and all primes } p . \tag{1}
\end{equation*}
$$

It is not true for Siegel Hecke-eigenforms in $S_{k}^{M}$. But, if $k$ is odd or, if $k$ is even and in the orthogonal complement of $S_{k}^{\mathrm{M}}$, then it has been established by Weissauer [15]. We denote by $\mathrm{H}_{k}^{*}$ the set of Siegel cuspidal Hecke-eigenforms of weight $k$ and genus 2 that, if $k$ is even, are in the orthogonal complement of $S_{k}^{\mathrm{M}}$. The forms we consider in this paper all belong to $\mathrm{H}_{k}^{*}$. According to Breulmann [2], a Siegel Hecke-eigenform is in $\mathrm{S}_{k}^{\mathrm{M}}$ if and only if all its Hecke eigenvalues are positive.

According to $[1,6]$, the function

$$
\Lambda_{\mathrm{F}}(s):=(2 \pi)^{-s} \Gamma\left(s+k-\frac{3}{2}\right) \Gamma\left(s+\frac{1}{2}\right) Z_{\mathrm{F}}(s)
$$

has an entire continuation to $\mathbb{C}$ since $F \in H_{k}^{*}$. Further it satisfies the functional equation

$$
\begin{equation*}
\Lambda_{\mathrm{F}}(s)=(-1)^{k} \Lambda_{\mathrm{F}}(1-s) \tag{2}
\end{equation*}
$$

on $\mathbb{C}$. The spinor zeta function of $F$ has the Dirichlet expansion:

$$
\mathrm{Z}_{\mathrm{F}}(s)=\sum_{n \geqslant 1} a_{\mathrm{F}}(n) n^{-s}
$$

for Res $>1$. By using (1), one sees that

$$
\begin{equation*}
\left|a_{\mathrm{F}}(n)\right| \leqslant d_{4}(n) \tag{3}
\end{equation*}
$$

for all $n \geqslant 1$, where $d_{4}(n)$ is the number of solutions in positive integers $a, b, c, d$ of $n=a b c d$.

In this paper, we investigate the problem of sign changes for the sequence $\left(a_{F}(n)\right)_{n \geqslant 1}$ in short intervals. Define

$$
\mathcal{N}_{\mathrm{F}}^{ \pm}(x):=\sum_{\substack{n \leq x \\ a_{\mathrm{F}}(n) \gtrless 0}} 1 .
$$

We apply a method due to Lau \& Tsang [11] to establish the following Theorem. Convergence issues however appear and we have to deal with them.
Theorem-Let F be in $\mathrm{H}_{k}^{*}$ and $\varepsilon>0$. There are constants $c>0$ absolute and $x_{0}(\mathrm{~F})$ depending only on F such that for all $x \geqslant x_{0}(\mathrm{~F})$, we have

$$
\mathcal{N}_{\mathrm{F}}^{ \pm}\left(x+c x^{3 / 4}\right)-\mathcal{N}_{\mathrm{F}}^{ \pm}(x) \gg x^{3 / 8-\varepsilon}
$$

where the implied constant in $\gg$ depends only on $\varepsilon$.

Remark- An ingredient of our proof is the inequality

$$
\begin{equation*}
\sum_{n \leqslant x} a_{\mathrm{F}}(n)<_{\mathrm{F}, \varepsilon} x^{3 / 5+\varepsilon} \quad(x \geqslant 2) \tag{4}
\end{equation*}
$$

(see Lemma 1). We also prove, and use an Omega-result:

$$
\sum_{n \leqslant x} a_{\mathrm{F}}(n)=\Omega_{ \pm}\left(x^{3 / 8}\right)
$$

(see Lemma 2).
Two related problems have already been studied. Denote by $\lambda_{\mathrm{F}}(n)$ the $n$-th normalised Hecke eigenvalue of F . Then we have

$$
\begin{equation*}
\sum_{n=1}^{\infty} \frac{\lambda_{\mathrm{F}}(n)}{n^{s}}=\frac{\mathrm{Z}_{\mathrm{F}}(s)}{\zeta(2 s+1)} \quad(\text { Res }>1) \tag{5}
\end{equation*}
$$

In [8], Kohnen proved that

$$
\#\left\{n \leqslant x: \lambda_{\mathrm{F}}(n) \gtrless 0\right\} \rightarrow \infty \quad(x \rightarrow \infty)
$$

Then, Das [5] proved that, as $x$ tends to $+\infty$, the quantities

$$
\frac{1}{\#\{p \in \mathcal{P}: p \leqslant x\}} \#\left\{p \in \mathcal{P} \cap[1, x]: \lambda_{\mathrm{F}}(p) \gtrless 0\right\}
$$

are bounded from below (and naturally also bounded from above). In [9], Kohnen \& Sengupta proved that under the same assumption there is an integer $n \ll k^{2}(\log k)^{20}$ such that $\lambda_{\mathrm{F}}(n)<0$. Their result has been generalised to higher levels by Brown [3].

Remark- Das's result is on the counting function of the Hecke eigenvalues. It implies however the result on the coefficients of the spinor zeta function since (5) implies

$$
a_{\mathrm{F}}(n)=\sum_{\substack{(d, m) \in \mathbb{N}^{2} \\ d^{2} m=n}} \frac{\lambda_{\mathrm{F}}(m)}{d}
$$

Moreover, the proof of Kohnen \& Sengupta can be adapted to prove that there is an integer $n \ll k^{2}(\log k)^{20}$ such that $a_{\mathrm{F}}(n)<0$.

## 2. Truncated Voronoi formula

The aim of this section is to establish the following truncated Voronoi formula, which will be needed in the proof of the Theorem.

Lemma 1-Let F be in $\mathrm{H}_{k}^{*}$. Then for any $\mathrm{A}>0$ and $\varepsilon>0$, we have
(6) $\sum_{n \leqslant x} a_{\mathrm{F}}(n)=\frac{x^{3 / 8}}{(2 \pi)^{3 / 4}} \sum_{n \leqslant \mathrm{M}} \frac{a_{\mathrm{F}}(n)}{n^{5 / 8}} \cos \left(4 \sqrt{2 \pi}(n x)^{1 / 4}+\frac{\pi}{4}\right)$

$$
+\mathrm{O}_{\mathrm{A}, \mathrm{~F}, \varepsilon}\left(\left(x^{3} \mathrm{M}^{-1}\right)^{1 / 4+\varepsilon}+(x \mathrm{M})^{1 / 4+\varepsilon}\right)
$$

uniformly for $x \geqslant 2$ and $1 \leqslant \mathrm{M} \leqslant x^{\mathrm{A}}$, where the implied constant depends on $\mathrm{A}, \mathrm{F}$ and $\varepsilon$ only. In particular

$$
\begin{equation*}
\sum_{n \leqslant x} a_{\mathrm{F}}(n) \ll_{\mathrm{F}, \varepsilon} x^{3 / 5+\varepsilon} \quad(x \geqslant 2) \tag{7}
\end{equation*}
$$

Proof. Without loss of generality, we assume that $M \in \mathbb{N}$. Let $\kappa:=1+\varepsilon$ and

$$
\begin{equation*}
\mathrm{T}^{4}=4 \pi^{2}\left(\mathrm{M}+\frac{1}{2}\right) x \tag{8}
\end{equation*}
$$

By the Perron formula (see [14, Corollary II.2.4]) we have

$$
\begin{equation*}
\sum_{n \leqslant x} a_{\mathrm{F}}(n)=\frac{1}{2 \pi \mathrm{i}} \int_{\kappa-\mathrm{iT}}^{\kappa+\mathrm{iT}} \mathrm{Z}_{\mathrm{F}}(s) \frac{x^{s}}{s} \mathrm{~d} s+\mathrm{O}_{\mathrm{F}, \varepsilon}\left(x^{3 / 4+\varepsilon} \mathrm{M}^{-1 / 4}+x^{\varepsilon}\right) \tag{9}
\end{equation*}
$$

We shift the line of integration horizontally to Res $=-\varepsilon$, the main term gives

$$
\frac{1}{2 \pi \mathrm{i}} \int_{K-\mathrm{i} \mathrm{~T}}^{\kappa+\mathrm{iT}} \mathrm{Z}_{\mathrm{F}}(s) \frac{x^{s}}{s} \mathrm{~d} s=\mathrm{Z}_{\mathrm{F}}(0)+\frac{1}{2 \pi \mathrm{i}} \int_{\mathscr{L}} \mathrm{Z}_{\mathrm{F}}(s) \frac{x^{s}}{s} \mathrm{~d} s
$$

where $\mathscr{L}$ is the contour joining the points $\kappa \pm i T$ and $-\varepsilon \pm i T$. Using the convexity bound [12, §1.3]

$$
\mathrm{Z}_{\mathrm{F}}(\sigma+\mathrm{i} t)<_{\mathrm{F}, \varepsilon}(|t|+1)^{\max \{2(1-\sigma), 0\}+\varepsilon} \quad(-\varepsilon \leqslant \sigma \leqslant \kappa),
$$

the integrals over the horizontal segments and the term $\mathrm{Z}_{\mathrm{F}}(0)$ can be absorbed in

$$
\mathrm{O}_{\mathrm{F}, \varepsilon}\left((\mathrm{~T} x)^{\varepsilon}\left(\mathrm{T}+\mathrm{T}^{-1} x\right)\right)=\mathrm{O}_{\mathrm{F}, \varepsilon}\left(x^{1 / 4+\varepsilon} \mathrm{M}^{1 / 4}+x^{3 / 4+\varepsilon} \mathrm{M}^{-1 / 4}\right)
$$

To handle the integral over the vertical segment $\mathscr{L}_{\mathrm{V}}:=[-\varepsilon-i T,-\varepsilon+i T]$, we invoke the functional equation (2). We deduce that

$$
\begin{equation*}
\frac{1}{2 \pi \mathrm{i}} \int_{\mathscr{L}_{\mathrm{v}}} \mathrm{Z}_{\mathrm{F}}(s) \frac{x^{s}}{s} \mathrm{~d} s=(-1)^{k} \sum_{n \geqslant 1} \frac{a_{\mathrm{F}}(n)}{n} \mathrm{I}_{\mathscr{L}_{\mathrm{v}}}(n x), \tag{10}
\end{equation*}
$$

where

$$
\mathrm{I}_{\mathscr{v}_{\mathrm{v}}}(y):=\frac{1}{2 \pi \mathrm{i}} \int_{\mathscr{L}_{\mathrm{v}}}(2 \pi)^{2 s-1} \frac{\Gamma\left(k-\frac{1}{2}-s\right) \Gamma\left(\frac{3}{2}-s\right)}{\Gamma\left(s+k-\frac{3}{2}\right) \Gamma\left(s+\frac{1}{2}\right)} \frac{y^{s}}{s} \mathrm{~d} s
$$

By using the Stirling formula

$$
\Gamma(\sigma+\mathrm{i} t)=\sqrt{2 \pi}|t|^{\sigma-1 / 2} \mathrm{e}^{-\pi|t| / 2+\mathrm{i}(t \log |t|-t)+\mathrm{i} \operatorname{sgn}(t)(\pi / 2)(\sigma-1 / 2)}\left\{1+\mathrm{O}\left(t^{-1}\right)\right\}
$$

uniformly for $\sigma_{1} \leqslant \sigma \leqslant \sigma_{2}$ and $|t| \geqslant 1$, the quotient of the four gamma factors is

$$
\begin{equation*}
|t|^{2-4 \sigma} \mathrm{e}^{-4 \mathrm{i}(t \log |t|-t)+\mathrm{i} \operatorname{sgn}(t) \pi(1-k)}\left\{1+\mathrm{O}\left(t^{-1}\right)\right\} \tag{11}
\end{equation*}
$$

for bounded $\sigma$ and any $|t| \geqslant 1$, where the implied constant depends on $\sigma$ and $k$. Together with the second mean value theorem for integrals [14, Theorem I.0.3], we obtain

$$
\begin{align*}
\mathrm{I}_{\mathscr{V}_{\mathrm{v}}}(n x) & \ll(n x)^{-\varepsilon}\left(\left|\int_{1}^{\mathrm{T}} t^{1+4 \varepsilon} \mathrm{e}^{-\mathrm{i} g(t)} \mathrm{d} t\right|+\mathrm{T}^{1+4 \varepsilon}\right) \\
& \ll \mathrm{T}\left(\frac{\mathrm{~T}^{4}}{n x}\right)^{\varepsilon}\left(\left|\int_{a}^{\mathrm{T}} \mathrm{e}^{-\mathrm{i} g(t)} \mathrm{d} t\right|+1\right) \tag{12}
\end{align*}
$$

for some $1 \leqslant a \leqslant \mathrm{~T}$, where $g(t):=t \log \left(t^{4} /\left(4 \pi^{2} n x\right)\right)-4 t$. In view of (8), we have

$$
g^{\prime}(t)=-\log \left(4 \pi^{2} n x / t^{4}\right)<0 \quad \text { and } \quad\left|g^{\prime}(t)\right| \geqslant\left|\log \left(n /\left(\mathrm{M}+\frac{1}{2}\right)\right)\right|
$$

for $n \geqslant M+1$ and $1 \leqslant t \leqslant T$. Using (3) and [14, Theorem I.6.2], we infer that

$$
\begin{align*}
\sum_{n>\mathrm{M}} \frac{a_{\mathrm{F}}(n)}{n} \mathrm{I}_{\mathscr{L}_{\mathrm{v}}}(n x) & \ll \mathrm{T}\left(\frac{\mathrm{~T}^{4}}{x}\right)^{\varepsilon} \sum_{n>\mathrm{M}} \frac{d_{4}(n)}{n^{1+\varepsilon}}\left(\left|\log \frac{n}{\mathrm{M}+\frac{1}{2}}\right|^{-1}+1\right) \\
& \ll \mathrm{T}\left(\frac{\mathrm{~T}^{4}}{x}\right)^{\varepsilon}\left\{\sum_{\mathrm{M}<n \leqslant 2 \mathrm{M}} \frac{d_{4}(n)\left(\mathrm{M}+\frac{1}{2}\right)}{n^{1+\varepsilon}\left|n-\mathrm{M}-\frac{1}{2}\right|}+\frac{1}{\mathrm{M}^{\varepsilon / 2}}\right\}  \tag{13}\\
& \ll \mathrm{T}\left(\frac{\mathrm{~T}^{4}}{\sqrt{\mathrm{M}} x}\right)^{\varepsilon} \\
& \ll \mathrm{T} x^{\varepsilon}
\end{align*}
$$

For $n \leqslant M$, we extend the segment of integration $\mathscr{L}_{\mathrm{v}}$ to an infinite line $\mathscr{L}_{\mathrm{v}}^{*}$ in order to apply Lemma 1 in [4]. Write

$$
\mathscr{L}_{\mathrm{v}}^{ \pm}:=\left[\frac{1}{2}+\varepsilon \pm \mathrm{iT}, \frac{1}{2}+\varepsilon \pm \mathrm{i} \infty\right), \quad \mathscr{L}_{\mathrm{h}}^{ \pm}:=\left[-\varepsilon \pm \mathrm{iT}, \frac{1}{2}+\varepsilon \pm \mathrm{iT}\right]
$$

and define $\mathscr{L}_{\mathrm{v}}^{*}$ to be the positively oriented contour consisting of $\mathscr{L}_{\mathrm{v}}, \mathscr{L}_{\mathrm{v}}^{ \pm}$and $\mathscr{L}_{\mathrm{h}}^{ \pm}$. In view of (11), the contribution over the horizontal segments $\mathscr{L}_{\mathrm{h}}^{ \pm}$is

$$
\begin{aligned}
\mathrm{I}_{\mathscr{L}_{\mathrm{h}}^{ \pm}}(n x) & \ll \int_{-\varepsilon}^{1 / 2-\varepsilon}(2 \pi)^{2 \sigma-1} \mathrm{~T}^{2-4 \sigma} \frac{(n x)^{\sigma}}{\mathrm{T}} \mathrm{~d} \sigma \\
& \ll \mathrm{~T} \int_{-\varepsilon}^{1 / 2-\varepsilon}\left(\frac{n x}{\mathrm{~T}^{4}}\right)^{\sigma} \mathrm{d} \sigma \\
& \ll \mathrm{~T} x^{\varepsilon} .
\end{aligned}
$$

As in (12), for $n \leqslant M$ we get that

$$
\begin{aligned}
\mathrm{I}_{\mathscr{L}_{\mathrm{v}}^{ \pm}}(n x) & \ll(n x)^{1 / 2+\varepsilon}\left(\int_{\mathrm{T}}^{\infty} t^{-1-4 \varepsilon} \mathrm{e}^{-\mathrm{i} g(t)} \mathrm{d} t+\frac{1}{\mathrm{~T}^{1+4 \varepsilon}}\right) \\
& \ll \mathrm{T}\left(\frac{n x}{\mathrm{~T}^{4}}\right)^{1 / 2+\varepsilon}\left(\left|\log \frac{\mathrm{M}+\frac{1}{2}}{n}\right|^{-1}+1\right) \\
& \ll \mathrm{T}\left(\left|\log \frac{\mathrm{M}+\frac{1}{2}}{n}\right|^{-1}+1\right)
\end{aligned}
$$

So

$$
\begin{align*}
\sum_{n \leqslant \mathrm{M}} \frac{a_{\mathrm{F}}(n)}{n}\left(\mathrm{I}_{\mathscr{L}_{\mathrm{v}}^{ \pm}}(n x)+\mathrm{I}_{\mathscr{L}_{\mathrm{h}}^{ \pm}}(n x)\right) & \ll \mathrm{T} x^{\varepsilon / 2} \sum_{n \leqslant \mathrm{M}} \frac{d_{4}(n)}{n}\left(\left|\log \frac{\mathrm{M}+\frac{1}{2}}{n}\right|^{-1}+1\right) \\
& \ll \mathrm{T} x^{\varepsilon / 2} \sum_{n \leqslant \mathrm{M}} \frac{d_{4}(n)\left(\mathrm{M}+\frac{1}{2}\right)}{n\left|n-\mathrm{M}-\frac{1}{2}\right|}+\mathrm{T} x^{\varepsilon}  \tag{14}\\
& \ll \mathrm{T} x^{\varepsilon} .
\end{align*}
$$

Define

$$
\mathrm{I}_{\mathscr{L}_{\mathrm{v}}^{*}}(y)=\frac{1}{4 \pi^{2} \mathrm{i}} \int_{\mathscr{L}_{\mathrm{v}}^{*}} \frac{\Gamma\left(k-\frac{1}{2}-s\right) \Gamma\left(\frac{3}{2}-s\right) \Gamma(s)}{\Gamma\left(s+k-\frac{3}{2}\right) \Gamma\left(s+\frac{1}{2}\right) \Gamma(1+s)}\left(4 \pi^{2} y\right)^{s} \mathrm{~d} s
$$

After a change of variable $s$ into $1-s$, we see that

$$
\mathrm{I}_{\mathscr{v}_{\mathrm{v}}^{*}}(y)=\frac{\mathrm{I}_{0}\left(4 \pi^{2} y\right)}{2 \pi}
$$

with

$$
\mathrm{I}_{0}(t):=\frac{1}{2 \pi \mathrm{i}} \int_{\mathscr{L}_{\varepsilon}} \frac{\Gamma\left(s+k-\frac{3}{2}\right) \Gamma\left(s+\frac{1}{2}\right) \Gamma(1-s)}{\Gamma\left(k-\frac{1}{2}-s\right) \Gamma\left(\frac{3}{2}-s\right) \Gamma(2-s)} t^{1-s} \mathrm{~d} s
$$

Here $\mathscr{L}_{\varepsilon}$ consists of the line $s=\frac{1}{2}-\varepsilon+\mathrm{i} \tau$ with $|\tau| \geqslant \mathrm{T}$, together with three sides of the rectangle whose vertices are $\frac{1}{2}-\varepsilon-i T, 1+\varepsilon-i T, 1+\varepsilon+i T$ and $\frac{1}{2}-\varepsilon+i T$. Note that all the poles of the integrand in $\mathrm{I}_{0}(t)$ lie on the left of the line $\mathscr{L}_{\varepsilon}$.

Using a result due to Chandrasekharan and Narasimhan [4, Lemma 1] generalised by Lau \& Tsang [11, Lemma 2.2] we obtain (note that a factor $\sqrt{2}$ is missing for the definition of $e_{0}$ in both references)

$$
\mathrm{I}_{0}(t)=\frac{(-1)^{k}}{\sqrt{2 \pi}} t^{3 / 8} \cos \left(4 t^{1 / 4}+\frac{\pi}{4}\right)+\mathrm{O}\left(t^{1 / 8}\right)
$$

It hence follows that

$$
\begin{equation*}
\mathrm{I}_{\mathscr{L}_{v}^{*}}(n x)=(-1)^{k} \frac{(n x)^{3 / 8}}{(2 \mathrm{i})^{3 / 4}} \cos \left(4 \sqrt{2 \pi}(n x)^{1 / 4}+\frac{\pi}{4}\right)+\mathrm{O}\left((n x)^{1 / 8}\right) \tag{15}
\end{equation*}
$$

We conclude

$$
\begin{equation*}
\sum_{n \leqslant \mathrm{M}} \frac{a_{\mathrm{F}}(n)}{n} \mathrm{I}_{\mathscr{L}_{\mathrm{v}}}(n x)=\frac{(-1)^{k}}{(2 \pi)^{3 / 4}} x^{3 / 8} \sum_{n \leqslant \mathrm{M}} \frac{a_{\mathrm{F}}(n)}{n^{5 / 8}} \cos \left(4 \sqrt{2 \pi}(n x)^{1 / 4}+\frac{\pi}{4}\right)+\mathrm{O}\left(x^{1 / 4+\varepsilon} \mathrm{M}^{1 / 4}\right) \tag{16}
\end{equation*}
$$

from (14) and (15). Finally the asymptotic formula (6) by (9)-(10), (13) and (16).
Since

$$
x^{3 / 8} \sum_{n \leqslant \mathrm{M}} \frac{a_{\mathrm{F}}(n)}{n^{5 / 8}} \cos \left(4 \sqrt{2 \pi}(n x)^{1 / 4}+\frac{\pi}{4}\right) \ll(x \mathrm{M})^{3 / 8+\varepsilon},
$$

the choice of $M=x^{3 / 5}$ in (6) gives (7).

## 3. Proof of the Theorem

We establish a lemma that has a similar statement as a one due to Lau \& Wu [10, Lemma 3.2]. However, due to convergence issue, the proof is more delicate.

Lemma 2-Let F be in $\mathrm{H}_{k}^{*}$. Define

$$
\mathrm{S}_{\mathrm{F}}(x):=\sum_{n \leqslant x} a_{\mathrm{F}}(n)
$$

There exist positive absolute constants $\mathrm{C}, \mathrm{c}_{1}, c_{2}$ and $\mathrm{X}_{0}(\mathrm{~F})$ depending only on F such that for all $\mathrm{X} \geqslant \mathrm{X}_{0}(\mathrm{~F})$, we can find $x_{1}, x_{2} \in\left[\mathrm{X}, \mathrm{X}+\mathrm{CX}^{3 / 4}\right]$ for which

$$
\mathrm{S}_{\mathrm{F}}\left(x_{1}\right)>c_{1} \mathrm{X}^{3 / 8} \quad \text { and } \quad \mathrm{S}_{\mathrm{F}}\left(x_{2}\right)<-c_{2} \mathrm{X}^{3 / 8}
$$

Proof. We begin the proof with Theorem C of Hafner [7]. In order to use this result, it is more convenient to introduce the notion of ( $\mathrm{C}, \ell$ )-summability and to present related simple facts (see [13] for more details). Let $\left\{g_{n}(t)\right\}_{n \geqslant 0}$ be a sequence of functions. We write

$$
s(g ; n):=\sum_{0 \leqslant v \leqslant n} g_{v}(t), \quad \sigma(g ; n):=\frac{1}{\mathrm{C}_{n}^{(\ell+1)}} \sum_{v=0}^{n} \mathrm{C}_{n-v}^{(\ell)} s(g ; v),
$$

where $C_{n}^{(\ell)}:=\binom{\ell+n-1}{n}$. We say that the series of general term $g_{n}(t)$ is uniformly (C, $\left.\ell\right)$ summable to the sum $\mathrm{G}(t)$ if $\sigma(g ; n)$ converges uniformly to $\mathrm{G}(t)$ as $n \rightarrow \infty$. We have $\mathrm{C}_{0}^{(\ell)}+\cdots+\mathrm{C}_{n}^{(\ell)}=\mathrm{C}_{n}^{(\ell+1)}$ and if the series $\sum_{n} \int g_{n}(t) \mathrm{d} t$ converges then the series of general term $\int g_{n}(t) \mathrm{d} t$ is also ( $\mathrm{C}, \ell$ )-summable and their limits are the same.

As in [7, page 151], for $\rho>-1$ and $x \notin 2 \pi \mathbb{N}$, define

$$
\mathrm{A}_{\rho}(x):=\frac{1}{\Gamma(\rho+1)} \sum_{2 \pi n \leqslant x} a_{\mathrm{F}}(n)(x-2 \pi n)^{\rho} .
$$

Now let $\mathscr{C}$ be the rectangle with vertices $c \pm i R$ and $1-b \pm i R$ (taken in the counterclockwise direction), where $b>c>\max \left\{1,\left|k-\frac{3}{2}\right|\right\}$ and $R>\left|k-\frac{3}{2}\right|$ are real numbers. Let

$$
\mathrm{Q}_{\rho}(x):=\frac{1}{2 \pi \mathrm{i}} \int_{\mathscr{C}} \frac{\Gamma(s)(2 \pi)^{-s} \mathrm{Z}_{\mathrm{F}}(s)}{\Gamma(s+\rho+1)} x^{\rho+s} \mathrm{~d} s .
$$

Denote by $\mathscr{C}_{0, b}$ the oriented polygonal path with vertices $-\mathrm{i} \infty,-\mathrm{iR}, b-\mathrm{iR}, b+\mathrm{iR}, \mathrm{iR}$ and $+i \infty$. Let

$$
f_{\rho}(x):=\frac{1}{2 \pi \mathrm{i}} \int_{\mathscr{C}_{0, b}} \frac{\Gamma(1-s) \Delta(s)}{\Gamma(2+\rho-s) \Delta(1-s)} x^{1+\rho-s} \mathrm{~d} s
$$

where

$$
\Delta(s)=\Gamma\left(s+k-\frac{3}{2}\right) \Gamma\left(s+\frac{1}{2}\right) .
$$

By $\left[7\right.$, Theorem C], the series of general term $(-1)^{k}(2 \pi n)^{-1-\rho} a_{\mathrm{F}}(n) f_{\rho}(2 \pi n x)$ is uniformly (C, $\ell$ )-summable for $\ell>\max \left\{\frac{1}{2}-\rho, 0\right\}$ on any finite closed interval in $(0, \infty)$ only under the condition $\rho>-1$ and the sum is $\mathrm{A}_{\rho}(x)-\mathrm{Q}_{\rho}(x)$. In particular, we can fix $\ell=1$ and $\rho=0$. We shall say $C$-summable for ( $C, 1$ )-summable.

The only pole of the integrand of $\mathrm{Q}_{0}(x)$ is 0 , it is encircled by $\mathscr{C}$ hence

$$
\mathrm{Q}_{0}(x) \ll \aleph_{\mathrm{F}} 1 \quad(x \geqslant 1) .
$$

To estimate $f_{0}(x)$, we use again the result by Lau \& Tsang [11, Lemma 2.2] already used to establish Voronoi formula. We get

$$
\begin{equation*}
f_{0}(y)=\frac{(-1)^{k}}{\sqrt{2 \pi}} y^{3 / 8} \cos \left(4 y^{1 / 4}+\frac{\pi}{4}\right)+(-1)^{k} e_{1} y^{1 / 8} \cos \left(4 y^{1 / 4}+\frac{3 \pi}{4}\right)+\mathrm{O}\left(\frac{1}{y^{1 / 8}}\right) \tag{17}
\end{equation*}
$$

where $e_{1}$ is a absolute constant.

Let

$$
\begin{aligned}
& \Phi(v):=(2 \pi)^{3 / 4} \frac{\mathrm{~A}_{0}\left(2 \pi v^{4}\right)}{v^{3 / 2}}, \\
& g_{n}(v):=\frac{a_{\mathrm{F}}(n)}{n^{5 / 8}} \cos \left(4 \sqrt{2 \pi} n^{1 / 4} v+\frac{\pi}{4}\right), \\
& g_{n}^{*}(v):=\frac{e_{1}}{v} \frac{a_{\mathrm{F}}(n)}{n^{7 / 8}} \sin \left(4 \sqrt{2 \pi} n^{1 / 4} v+\frac{\pi}{4}\right) .
\end{aligned}
$$

Then the series of general term $g_{n}(v)-g_{n}^{*}(v)$ is uniformly C-summable on any finite closed interval in $(0, \infty)$ and the sum is $\Phi(v)+\mathrm{O}\left(v^{-3 / 2}\right)$ (here the term $\mathrm{O}\left(v^{-3 / 2}\right)$ comes from $\mathrm{Q}_{0}\left(2 \pi v^{4}\right)$ and the O-term of (17)). In view of (4), a simple partial integration shows that the series of general term $g_{n}^{*}(v)$ converges to the sum $\sum_{n} g_{n}^{*}(v)$ uniformly on any finite closed interval in $(0, \infty)$. Thus the series of general term $g_{n}(v)$ is uniformly Csummable on any finite closed interval in $(0, \infty)$ and the sum is $\Phi(v)+\sum_{n} g_{n}^{*}(v)+\mathrm{O}\left(v^{-3 / 2}\right)$.

Let $t$ be any large natural number, $\kappa>1$ a large parameter that will be fixed later. Write

$$
K_{\tau}(u)=(1-|u|)(1+\tau \cos (4 \sqrt{2 \pi} \kappa u))
$$

with $\tau= \pm 1$. We consider the integral

$$
\mathrm{J}_{\tau}=\int_{-1}^{1} \Phi(t+\kappa u) K_{\tau}(u) \mathrm{d} u
$$

We have

$$
\begin{aligned}
& \int_{-1}^{1} g_{n}(t+\kappa u) \mathrm{K}_{\tau}(u) \mathrm{d} u=r_{\beta} \frac{a_{\mathrm{F}}(n)}{n^{5 / 8}} \\
& \int_{-1}^{1} g_{n}^{*}(t+\kappa u) \mathrm{K}_{\tau}(u) \mathrm{d} u=s_{\beta} e_{1} \frac{a_{\mathrm{F}}(n)}{n^{7 / 8}}
\end{aligned}
$$

where

$$
\begin{aligned}
& r_{\beta}:=\int_{-1}^{1} \mathrm{~K}_{\tau}(u) \cos \left(4 \sqrt{2 \pi} \beta(t+\kappa u)+\frac{\pi}{4}\right) \mathrm{d} u \\
& s_{\beta}:=\int_{-1}^{1} \frac{\mathrm{~K}_{\tau}(u)}{t+\kappa u} \sin \left(4 \sqrt{2 \pi} \beta(t+\kappa u)+\frac{\pi}{4}\right) \mathrm{d} u
\end{aligned}
$$

As in [10, (3.13)], we have

$$
r_{\beta}=\delta_{\beta=1} \frac{\tau}{2}+\mathrm{O}\left(\frac{1}{\kappa^{2} \beta^{2}}+\delta_{\beta \neq 1} \frac{1}{\kappa^{2}(\beta-1)^{2}}\right)
$$

and

$$
s_{\beta} \ll(t \beta \kappa)^{-1}
$$

It follows that

$$
\begin{aligned}
& \int_{-1}^{1} g_{1}(t+\kappa u) \mathrm{K}_{\tau}(u) \mathrm{d} u=\frac{\tau}{2}+\mathrm{O}\left(\frac{1}{\kappa^{2}}\right) \\
& \int_{-1}^{1} g_{n}(t+\kappa u) \mathrm{K}_{\tau}(u) \mathrm{d} u \ll \frac{d_{4}(n)}{\kappa^{2} n^{9 / 8}} \quad(n \geqslant 2), \\
& \int_{-1}^{1} g_{n}^{*}(t+\kappa u) \mathrm{K}_{\tau}(u) \mathrm{d} u \ll \frac{d_{4}(n)}{\kappa t n^{9 / 8}}
\end{aligned}
$$

where all the implied constants are absolute. These estimates show that

$$
\begin{aligned}
& \sum_{n \geqslant 1} \int_{-1}^{1} g_{n}(t+\kappa u) \mathrm{K}_{\tau}(u) \mathrm{d} u=\frac{\tau}{2}+\mathrm{O}\left(\frac{1}{\kappa^{2}}\right), \\
& \sum_{n \geqslant 1} \int_{-1}^{1} g_{n}^{*}(t+\kappa u) \mathrm{K}_{\tau}(u) \mathrm{d} u \ll \frac{1}{\kappa t} .
\end{aligned}
$$

In view of the remark about C-summability, we obtain

$$
\mathrm{J}_{\tau}=\frac{\tau}{2}+\mathrm{O}\left(\frac{1}{\kappa t}+\frac{1}{t^{3 / 2}}\right)
$$

We fix $\kappa$ large enough. When $X \geqslant \kappa^{4}$, we take $t=\left\lfloor X^{1 / 4}\right\rfloor$. So $t>2 \kappa$ and the O-term in $J_{\tau}$ is $\ll \kappa^{-2}$, so the main term dominates if $\kappa$ has been chosen sufficiently large. Therefore

$$
\mathrm{J}_{-1}<-\frac{1}{4} \quad \text { and } \quad \mathrm{J}_{1}>\frac{1}{4}
$$

Since $S_{F}(x)=A_{0}(2 \pi x)$, we rewrite this as

$$
\int_{-1}^{1} \frac{\mathrm{~S}_{\mathrm{F}}(t+\kappa u)}{(t+\kappa u)^{3 / 2}} \mathrm{~K}_{-1}(u) \mathrm{d} u<-\frac{1}{4(2 \pi)^{3 / 4}} \quad \text { and } \quad \int_{-1}^{1} \frac{\mathrm{~S}_{\mathrm{F}}(t+\kappa u)}{(t+\kappa u)^{3 / 2}} \mathrm{~K}_{1}(u) \mathrm{d} u>\frac{1}{4(2 \pi)^{3 / 4}}
$$

The kernel function $K_{\tau}(u)$ is nonnegative and satisfies

$$
1-(3 \pi \kappa)^{-2} \leqslant \int_{-1}^{1} K_{\tau}(u) \mathrm{d} u \leqslant 2 \quad(\tau= \pm 1)
$$

As a consequence, we have

$$
\frac{\mathrm{S}_{\mathrm{F}}\left(\left(t+\kappa \eta_{+}\right)^{4}\right)}{\left(t+\kappa \eta_{+}\right)^{3 / 2}} \geqslant \frac{1}{2(2 \pi)^{3 / 4}}
$$

and

$$
\frac{S_{\mathrm{F}}\left(\left(t+\kappa \eta_{-}\right)^{4}\right)}{\left(t+\kappa \eta_{-}\right)^{3 / 2}} \leqslant-\frac{1}{4\left(1-(3 \pi \kappa)^{-2}\right)(2 \pi)^{3 / 4}}
$$

for some $\eta_{ \pm} \in[-1,1]$. These two points deviate from $X$ by a distance $\ll X^{3 / 4}$, since the difference between $(t \pm \kappa)^{4}$ is $\ll \kappa t^{3} \asymp X^{3 / 4}$.

This implies the result of Lemma 2.

Now we are ready to prove the Theorem.
By Lemma 2, for any $x \geqslant \mathrm{X}_{0}(\mathrm{~F})$ we can pick three points $x<x_{1}<x_{2}<x_{3}<x+3 \mathrm{C} x^{3 / 4}$ such that $\mathrm{S}_{\mathrm{F}}\left(x_{i}\right)<-c x^{3 / 8}(i=1,3)$ and $\mathrm{S}_{\mathrm{F}}\left(x_{2}\right)>c x^{3 / 8}$ for some absolute constant $c>0$. (Note that $y+\mathrm{C} y^{3 / 4} \leqslant x+3 \mathrm{C} x^{3 / 4}$ for $y=x+\mathrm{C} x^{3 / 4}$.) Hence we deduce that

$$
\sum_{\substack{x_{1}<n<x_{2} \\ a_{\mathrm{F}}(n)>0}} a_{\mathrm{F}}(n) \geqslant \mathrm{S}_{\mathrm{F}}\left(x_{2}\right)-\mathrm{S}_{\mathrm{F}}\left(x_{1}\right)>2 c x^{3 / 8}
$$

and

$$
\sum_{\substack{x_{2}<n<x_{3} \\ a_{\mathrm{F}}(n)<0}}\left(-a_{\mathrm{F}}(n)\right) \geqslant-\left(\mathrm{S}_{\mathrm{F}}\left(x_{3}\right)-\mathrm{S}_{\mathrm{F}}\left(x_{2}\right)\right)>2 c x^{3 / 8}
$$

Thus, the Theorem follows as each term in the two sums are positive and $<_{\varepsilon} n^{\varepsilon}$.

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