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Expanding the Polymethine Paradigm: Evidence for the Contribution of a Bis-Dipolar Electronic Structure

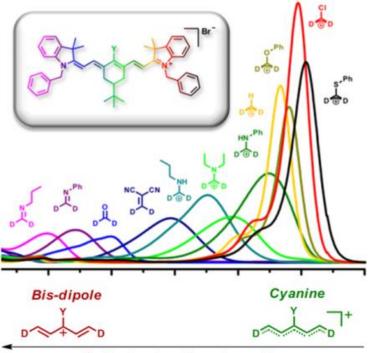
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Y electro-donating strength

ABSTRACT

Although it has been reported on few instances that the spectroscopic properties of cyanine dyes were strongly dependent on the nature of the chemical substitution of their central carbon atom, there has not been to date any systematic study specifically aimed at rationalizing this behavior. In this article, such a systematic study is carried out on an extended family of 17 polymethine dyes carrying different substituents on their central carbon, some of those being specifically synthesized for this study, some of those similar to previously reported compounds, for the sake of comparison. The evolution of their absorption properties, which spread over the whole visible-near infrared spectral range are seen to be dramatically dependent on the electron-donating character of this central substituent. By correlating this behavior to NMR spectroscopy and (vibronic) TD-DFT calculations, we show that it results from a profound modification of the ground state electronic configuration, namely a progressive localization of the cationic charge on the central carbon as the electron-donating nature of the central substituent is increased.

KEYWORDS

Polymethine dyes, Cyanine limit, Near-infrared dyes, Spectroscopy, TD-DFT

INTRODUCTION

Old polymethine dyes, discovered in the middle of the XIXth century for photography application, continue to hold a real fascination in the scientific community owing to their unique spectroscopic properties.¹⁻⁵ In the last decade, these dyes found a renewal of interest for near-infrared (NIR) applications in biological imaging^{6, 7} or for the design of advanced photonic materials (laser dyes, nonlinear optics...).¹⁻⁵, ^{8, 9} Generally speaking, polymethine dyes are charged compounds where the positive (resp. negative) charge is delocalized between two electron-donating (resp. withdrawing) groups via an odd number of sp2 carbon atoms.^{1, 2, 10} In spite of their wide range of use, the complete rationalization of their very particular photophysical properties remains a matter of debate from both experimental and theoretical points of view.

Recently, by analogy with quadupolar dye,¹¹ Van Stryland and co-workers proposed to rationalize the spectroscopic properties of polymethine dyes on the basis of three electronic states: a doubly degenerated dipole state where the charge is localized on one extremity and a charge-centered state.¹² The relative energies of the three states determine the nature of the ground state and consequently the spectral properties of the dyes. This approach explained the different behaviors observed in solution that correspond to different ground state electronic configurations, namely:

(i) The cyanine configuration, introduced by Dähne in the early 70's^{13, 14} corresponds to a strong mixture of the three aforementioned states (Form I, Figure 1). Consequently the charge is fully delocalized in a symmetric way over the entire conjugated backbone and the bond-length alternation (BLA) is negligible.¹⁵ This cyanine electronic structure is characterized by a sharp, exceptionally intense absorption, located for heptamethines in the NIR spectral range with a vibronic shoulder at higher energy.

(ii) The dipolar configuration (Form II, Figure 1) where the charge is predominantly localized at one extremity resulting in both ground state symmetry breaking and a positive BLA. This dipolar electronic structure is characterized by a broad, structureless charge transfer type transition that is blue shifted compared to the cyanine one. It is possible to change the contribution of each electronic configuration, in other words to "cross the cyanine limit" (forms $I \rightarrow II$), by increasing the chain length of the conjugated

skeleton as in the pioneering works of Brooker¹⁶ and Tolbert,¹⁷ by increasing the solvent polarity^{18, 19} or by ion-pairing effect.^{20, 21} On the other hand, Würthner and co-workers demonstrated that it is possible to cross the cyanine limit in the opposite direction (forms II \rightarrow I) starting from a dissymmetric dipolar polymethine and increasing the solvent polarity.²²⁻²⁴

In the present contribution, we experimentally illustrate the existence of a third electronic configuration of polymethine dyes, corresponding to a predominant charge-centered state called bis-dipole²⁵ (form III, Figure 1). This electronic configuration is reminiscent of that of V-shaped chromophores;^{26, 27} it remains C_{2v} -symmetric but exhibits positive BLA and is associated to a broad charge transfer transition. Using a combined theory-experiment approach on cationic heptamethine dyes, we show that it is possible to tune the ground state configuration from cyanine to bis-dipole (forms I \Rightarrow III) by rational variation of the nature of the central substituent Y. To that end, a series of cationic heptamethine **1-10** (Figure 1) has been prepared with a wide range of Y substituents namely, Cl, Br, SPh, OPh, OAc, H and various secondary, tertiary and aromatic amino, alongside with neutral heptamethine **11-14** featuring keto, malonitrile and imino functionalities. Their absorption spectra are spread over the whole visible-NIR range illustrating the dramatic influence of the Y substituent on the ground state electronic configuration: (i) the ¹³C NMR chemical shift, (ii) the density functional theory (DFT) calculated BLA and (iii) the calculated charge at the central position (C α) of the bridge.

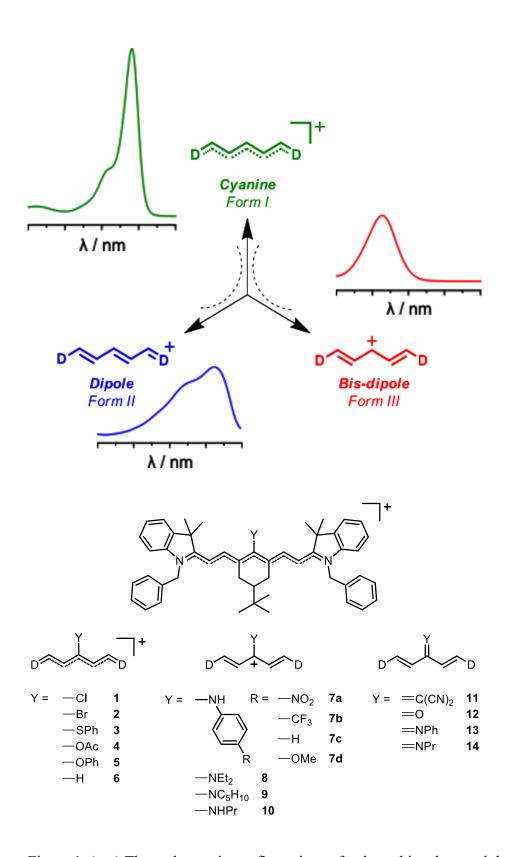


Figure 1. (top) Three electronic configurations of polymethine dyes and their respective absorption profile and (bottom) structure of the heptamethine dyes involved in this study.

RESULTS

Synthesis. The substitution of heptamethines at the central position has been widely studied.²⁸ The present homogeneous series of 17 polymethines reproduced already existing substitutions using synthetic protocols inspired by the literature, but also described original substitutions like for compounds 2, 7a,b,d, 11, 13 and 14. Experimental details and complete characterization of all chromophores are given in the experimental section and in supporting information. The synthesis of all derivatives used chloro- (resp. bromo-) heptamethine 1, (resp. 2) as starting material (Figure 2), that were prepared by a Knoevenagel reaction between a chloro-, (resp. bromo) bis-aldehyde and an indolenium salt in basic, anhydrous conditions.²⁹ Heptamethines **3** and **5** were obtained under mild conditions as characteristic green powders with metallic shine by reacting 1 with thiophenol or phenol in the presence of caesium carbonate.³⁰⁻³² Alkylamino-substituted heptamethines 8, 9 and 10 were synthesized as glossy blue solids by heating 1 in the presence of the corresponding amine (Et₂NH, piperidine, nPrNH₂) in DMF according to the procedure reported by Peng et al.³³ Aniline substituted derivatives 7a-d were synthesized using a similar procedure.³⁴ It is worth noting that 7a,b incorporating electron-deficient substituents required the use of micro-waves heating in a sealed tube to achieve the nucleophilic substitution in moderate yields. Heptamethine 6 was obtained by an original reductive debromination of 2 in the presence of sodium ethanethiolate in ethanethiol. The keto-derivative 12 was synthesized according to the procedure described by Strekowski and co-workers³⁵ and was further used as precursor for the preparation of the ester derivative **4** by addition of acyl chloride at 0°C.⁶ Compound 11, bearing dicyanovinyl fragment was readily obtained by reaction of 1 with malononitrile in DMF.³⁶ Finally, in situ deprotonation of secondary amine-based heptamethines 7c and 10 by treatment with 1M aqueous NaOH or K₂CO₃ solution led to the formation of neutral iminesubstituted dyes 13 and 14, respectively. All chromophores were characterized by HRMS, ¹H and ¹³C NMR spectroscopy. ¹H NMR analyses unambiguously established that all compounds, regardless of the central substituent, are symmetric and present a all-trans configuration (${}^{3}J_{trans} \sim 12-14$ Hz).

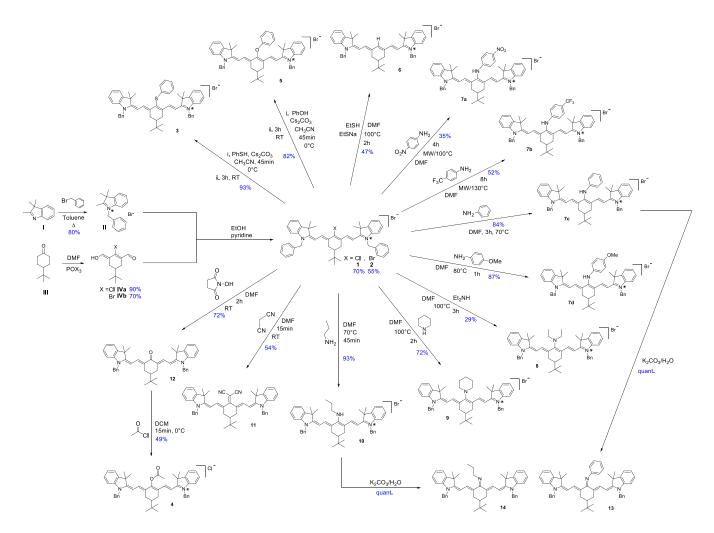


Figure 2. Synthetic scheme for compounds 1-14.

Absorption properties. All compounds were studied by absorption spectroscopy in diluted dichloromethane or methanol solutions (Figure 3 and Tables 1). Interestingly, in spite of strong structural similarities, chromophores **1-14** exhibit very different absorption spectra, whose maxima are spread over the 400-800 nm range. This feature highlights the dramatic influence of the central substitution on the electronic structure of these molecules. At first glance, two main behaviors can be distinguished: (i) heptamethines **1-6** with intense, sharp and non solvatochromic (Figure S1) *cyanine*-type electronic transitions, all localized in a narrow near-infrared region (750-820 nm); (ii) heptamethines **7-14** with less intense, broad transitions covering the whole visible range (400-750 nm), indicating a loss of *cyanine* character. This transition exhibit a positive solvatochromism as illustrated on **11** (Figure S1). In particular,

neutral heptamethines 11-14 with a central double bond undergo the strongest hypso- and hypochromic effects within the series. It can be noticed that the absorption of aniline-substituted compound 7c in dichloromethane (Figure 3, top) is located close to the frontier between the two above-mentioned tendencies. Fine modulation of the electronic density of aniline by introduction at the para position of electron-donating or -withdrawing moieties allows exploring more specifically this region-of-interest. For solubility reason (Figure S2), the absorption spectra of heptamethines 7a-d were recorded in diluted methanol solutions and compared to those of 1 and 10, which are the hallmarks of the cyanine and noncyanine behaviors, respectively. Whereas an electron-donating methoxy substituent on the aniline moiety (7d) induces a blue-shift of the absorption maximum, the opposite effect is observed for electronwithdrawing trifluoromethyl or nitro substituted derivatives 7a-b. In addition, along this series, decreasing the electron density on the nitrogen atom induces a progressive hyperchromic effect accompanied by a sharpening of the transition as illustrated by the strong decrease of full width at half maximum, $\omega_{1/2}$ from 2521 to 925 cm⁻¹ for 7d and 7a, respectively (Table S2), bridging the gap between 10 and 1 ($\omega_{1/2} = 2688$ and 768 cm⁻¹, respectively). In striking contrast with 7b-d, 7a, featuring the strongest electron-withdrawing nitro group, presents an absorption profile with an additional shoulder at higher energy, which further corroborates the cyanine character of the associated electronic transition.

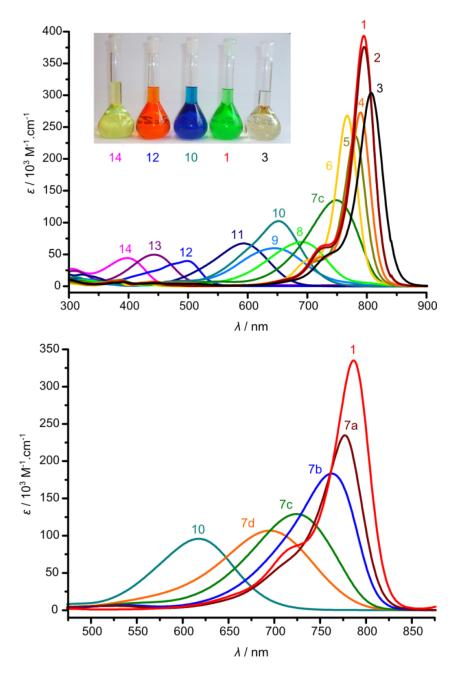


Figure 3. Absorption spectra of heptamethine dyes 1-6, 7c, 8-14 in dichloromethane at room temperature (top). Absorption spectra of aniline-derivatives 7a-d featuring $-NO_2$ (a), $-CF_3$ (b), -H (c) and -OMe (d) substituents in methanol at room temperature. Spectra of 1 and 10 in methanol were added for comparison (bottom).

| | λ _{abs} , | ε, | ¹³ C δ, | BLA, | Charge, |
|----|--------------------|--------------------------------------|----------------------|------------|-------------------------|
| | nm | $10^3 \text{ M}^{-1}.\text{cm}^{-1}$ | ppm | Å (M06-2X) | e ⁻ (M06-2X) |
| 1 | 795 | 393 | 172.4 | 0.003 | 4.37 |
| | 786 ^[b] | 335 ^[b] | 174.4 ^[b] | | |
| 2 | 795 | 376 | 172.6 | 0.001 | 4.35 |
| 3 | 807 | 304 | 172.4 | 0.004 | 3.86 |
| 4 | 789 | 273 | 172.0 | 0.002 | 4.36 |
| 5 | 780 | 236 | 172.1 | 0.002 | 4.29 |
| 6 | 767 | 268 | 171.5 | 0.002 | 3.77 |
| | 754 ^[b] | 234 ^[b] | 172.9 ^[b] | | |
| 7a | 777 ^[b] | 235 ^[b] | 172.9 ^[b] | 0.004 | 4.20 |
| 7b | 763 ^[b] | 183 ^[b] | 173.5 ^[b] | 0.011 | 4.10 |
| 7c | 748 | 135 | 172.9 ^[b] | 0.016 | 3.92 |
| | 724 ^[b] | 129 ^[b] | | | |
| 7d | 695 ^[b] | 107 ^[b] | 171.8 ^[b] | 0.020 | 3.82 |
| 8 | 690 | 70 | 169.1 | 0.021 | 3.63 |
| | 685 ^[b] | 79 ^[b] | 170.7 ^[b] | | |
| 9 | 646 | 60 | 167.2 | 0.024 | 3.46 |
| 10 | 652 | 102 | 166.0 | 0.027 | 3.36 |
| | 627 ^[b] | 96 ^[b] | 168.8 ^[b] | | |
| 11 | 593 | 67 | 163.8 | 0.037 | 2.80 |
| 12 | 499 | 40 | 162.0 | 0.065 | 2.33 |
| 13 | 442 ^[c] | 50 ^[c] | 158.2 ^[c] | 0.072 | 1.93 |
| 14 | 398 ^[c] | 44 ^[c] | 156.5 ^[c] | 0.083 | 1.23 |

 Table 1. Absorption maximum, ¹³C NMR chemical shift, theoretical bond length alternation and central charge determined for heptamethines 1-14.^[a]

[a] Unless stated otherwise, all data were measured/calculated using CH_2Cl_2 as solvent (CDCl₃ for NMR data). [b] Measured in MeOH (CD₃OD for NMR data). [c] Measured *in situ* by treatment with 1M aqueous K₂CO₃ or NaOH solution.

To rationalize the influence of the central substitution, we propose the following model: increasing the electron-donating ability of the central substituent Y progressively localizes the cationic charge on the central carbon atom of the polymethine chain. As a result, the delocalization of the charge on the π -conjugated skeleton is reduced and the cyanine character is lost. Instead, a bis-dipole electronic state is reached, which can be described as a central cationic acceptor bearing two electron-donating extremities (Figure 4). Within this model, polymethines **1-6** show a clear cyanine character, while dyes **7c-14** fall into the bis-dipole category.

At the frontier, intermediate behaviors are observed for 7a and to a less extent 7b, which correspond to the so-called *cyanine limit*. At the other extremity, neutral polymethines **11-14** can be depicted under their limit form where an anionic substituent faces a central highly localized carbocation (Figure 4). This model is in line with the explanation proposed by Marder and co-workers in the particular case of a dimethylamine-substituted polymethines.³⁷

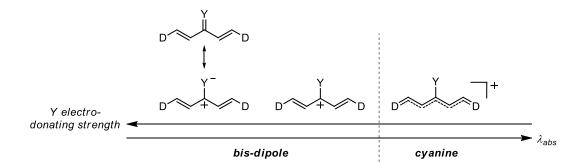


Figure 4. Electronic structure model showing the stabilization of the cationic charge at the central position as Y electron-donating strength increases.

Theoretical calculations. In a first approach, time-dependent density functional theory (TD-DFT) calculations were performed on the ground state optimized geometries of compounds 1 and 12 representatives for the *cyanine* and *bis-dipole* electronic configuration, respectively (see experimental

section for computational details). The purpose was to identify the nature of the transitions involved in the cyanine and bis-dipole behaviors, and verify that those were in agreement with our proposed model.

In the past, the intriguing optical properties of cyanine dyes have excited theoretical chemists who applied more or less sophisticated methodologies to deal with the computation of BLA, electronic excitation energies or second- and third-order polarisability.³⁸⁻⁴³ In particular, numerous works were devoted to the question of the non-pertinence of TD-DFT for a correct description of electronic excitations energies in cyanine dyes.^{44, 45} As expected, vertical TD-DFT calculations do not properly reproduce the experimental λ_{max} of the *cvanine* class,⁴⁶ whereas there are in excellent agreement in the case of **12** featuring a strong *bis*dipole character (Table S3). In Figure 5, the density difference plots for these two compounds illustrates, on the one hand, the cyanine character of 1 with alternating regions of gain/loss of electron density presenting similar spatial extend. On the other hand, the bis-dipole nature of 12 is confirmed with a red electron accepting zones (resp. blue electron donating regions) mainly localized at the center (resp. extremities) of the dye, though the usual red/blue alternating pattern, typical of transitions implying π electrons, pertains. In order to gain further insights on the origin of the evolution of the band shapes when crossing the *cvanine limit*, we determined vibronic spectra for 1 and 12 (Figure 5). First, we underline that the qualitative match with experimental absorption profiles of Figure 3 is remarkable, with 12 presenting a less intense and broader absorption profile located at higher energies. In comparison, the absolute transition energies of 1 are not very accurate, a known problem with vertical TD-DFT (see above). Secondly, these simulations allowed identifying the vibrational modes responsible for the broadened shape of 12 and the hallmark shape of 1. For the latter, the band asymmetry is explained by a series of low-frequency deformations, the 178th mode (1730 cm⁻¹) that corresponds to an asymmetric change of the character of the bonds in the conjugated pathway being relatively weakly coupled. In **12**, the 135th (1383 cm⁻¹) and 172th (1568 cm⁻¹) vibrational modes, mainly responsible for the emergence of the second peak, imply symmetric change of the single/double character of the bonds. Obviously such modes are more important when the BLA significantly departs from zero, which is consistent with their key role in 12 and their absence in 1.

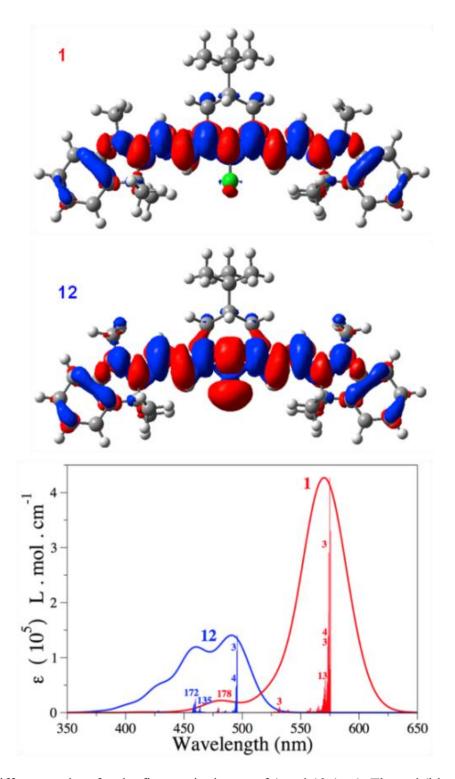


Figure 5. Density difference plots for the first excited state of **1** and **12** (top). The red (blue) zones indicate increase (decrease) of density upon electronic transition. Simulated vibrationally resolved spectra of **1** and **12** (bottom). For each system, the excited-state vibrational modes that strongly contribute to the band shape are displayed.

Discussion

To further validate our model, we investigated three additional parameters related to the charge (de)localization: (i) the experimental ¹³C NMR chemical shift that is correlated to the electron density on an atom, (ii) the BLA, a well-known structural measure of the electronic delocalization, obtained on DFT optimized structures, and (iii) the calculated charge at the central position (C_{α}) of the bridge.

We screened the charge density by measuring the ¹³C chemical shift (δ) of the C_{ω} carbon at the extremity of the heptamethine bridge (Table 1, Figures 6).⁴⁷ According to our model, the progressive localization of the positive charge at the central C_{α} carbon towards a *bis-dipole* electronic structure should result in a higher electron density on the indolenine donor extremities of the molecule and consequently a larger shielding of the C_{ω} NMR signal. Experimentally, a 172 +/- 0.5 ppm chemical shift is recorded for all dyes **1-6** exhibiting a *cyanine*-like absorption, signature of a perfect delocalization of the charge. As predicted, when the electron-donating strength of the central substituent increases, the signal is progressively shielded from 171 ppm for dye **7c** to *ca*.157 ppm for imine **14**.

This experimental observation is in agreement with the evolution of the APT charge (see Computational Details section) borne by the central C_{α} carbon atom calculated at the PCM(DCM)-M06-2X/6-311G(2d,p) level (Table 1). Similar results are obtained with both PBE0 and ω B97X-D functionals or with MeOH as solvent (Tables S4-6 and Figures S3-4). Once again, *cyanine* type compounds **1-6** present similar central charges with an average value of 4.17 *e*. The aniline derivative **7c**, with a charge of 3.92 *e*, exhibits an intermediate value between the *cyanine* and *bis-dipole* forms, while the *bis-dipole* dyes **8-14** cover a wide range of values, with decreasing charge from 3.36 *e* for the amine derivative **10**, to 1.23 *e* for the corresponding imine **14**. These data are consistent with our model since they indicate a progressive localization of the cationic charge at the center, thereby decreasing the electron density at C_{α} position. Finally, the BLA calculated on the optimized geometries allows discriminating between *cyanine* (BLA > 0) electronic structures (Table 1). As expected, **1-6** show a BLA very close to zero,

whereas it progressively increases from 7 to 14 as the double bonds localize at the C_{β} - C_{γ} and C_{δ} - C_{ω} positions.

The validity of our model is further confirmed by the remarkable linear correlations that exist between the selected parameters representative for the degree of charge (de)localization (δ (¹³C), BLA, charge) and the lowest transition energy (Figure 7). In each case, heptamethines **1-6** featuring *cyanine* characters are bundled together in a narrow region, indicating almost identical electronic structure within this family. On the other hand, strong variations are observed among the *bis-dipole* family, illustrating very important electronic redistribution upon increasing the electron-donating strength of the central substituent. The choice of the Y substituent is thus crucial to control the electronic structure of the polymethines. It is particularly striking that, in addition to the coarse tuning, fine tuning can be also achieved as illustrated by the aniline series **7a-d**, where subtle variations of the electronic density on the nitrogen atom allow progressively moving from a bis-dipolar to a cyanine form.

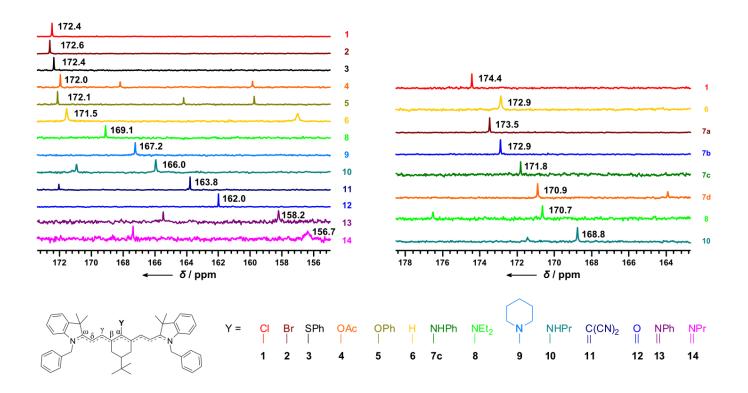


Figure 6. Comparison of ¹³C NMR spectra in CDCl₃ (left) and CD₃OD (right). Indicated chemical shifts are given for C ω carbon atom.

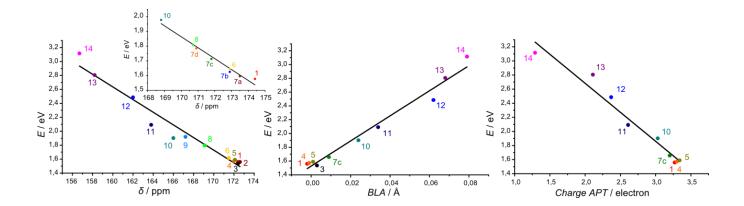


Figure 7. Correlation graphs plotting δ vs. Energy deduced from absorption in DCM (left), BLA vs. Energy (middle) and C α charge vs. Energy (right). δ vs. Energy deduced from absorption in MeOH (inset).

Conclusion

In conclusion, this study demonstrates the possibility of localizing the cationic charge at the central position of polymethine dyes owing to a rational tuning of the electron-donating ability of the central substituent. The ground-state electronic configuration resulting of this charge localization can be described as a *bis-dipole*, since it presents a charge transfer type transition from the lateral donor groups to the central carbocation, that is blue shifted as compared to that of related *cyanine*. It is possible to tune in both coarse and fine ways the magnitude of this hypsochromic shift by changing the electron-donating strength of the central substituent. This model was validated both experimentally and theoretically, and linear correlations were established between the absorption spectra evolution and parameters relevant for the progressive charge (de)localization over the conjugated backbone (¹³C NMR chemical shift, BLA extracted from DFT optimized geometries and calculated APT charge).

On a broader perspective, this article proposes a more complete description of the spectral richness of polymethine dyes, nowadays ubiquitous in biology and material science. It constitutes a strong evidence that this class of compound should be depicted not only under two but better under three different ground state electronic configurations depending on the delocalized/localized character of the cationic charge: the *cyanine* with a fully delocalized charge, the *dipole* with a localized charge at one extremity and now the

bis-dipole with the charge localized at the central position. It is possible to favor one or the other configuration by playing with the substitution of the polymethine or by exogenous parameters (solvent, temperature, counter-ion) and we believe that, in the future, the photophysical properties of the polymethine dyes should be rationalized using this three different configurations.

Experimental section

Computational details. All calculations were carried out with the Gaussian09 program⁴⁸ tightening both self-consistent field (10⁻¹⁰ a.u.) and geometry optimisation (10⁻⁵ a.u.) convergence thresholds. The calculations were performed on structure in which the two side benzyl groups were replaced by methyl in order to lighten the computational burden. We have applied the default DFT integration grid, namely a pruned (75,302) grid, except when convergence problem appeared and that a tighter pruned (99,590) grid was necessary. For a given heptamethine, the same integration grid was systematically used for all steps of the computational protocol that consists of three successive stages: (1) the ground-state geometrical parameters were calculated at the DFT level, through a force-minimisation process; (2) the vibrational spectrum of each derivatives were determined analytically at the same level of theory and it was checked that all structures correspond to true minima of the potential energy surface; (3) the first five low-lying excited states were calculated within the vertical linear-response TD-DFT approximation.^{49, 50} All atoms were described with the split-valence doubly-polarized 6-311G(2d,p) atomic basis set. The solvent effects were accounted for with the polarizable continuum model (PCM),⁵¹ with dichloromethane and methanol as selected solvents. Three functionals, namely M06-2X,⁵² PBE0^{53, 54} and ω B97X-D⁵⁵ were considered. Atomic polar tensor (APT) charges, *i.e.* charges derived from the tensor of the derivatives of dipole moment with respect to atomic cartesian coordinates,⁵⁶ were computed during the vibrational frequency calculations. Density difference plots corresponding to the relevant excited-state were computed at the same levels of theory and are represented using a contour threshold of 0.0004 au. In these representations, blue (red) regions indicate loss (gain) of electron density upon transition.

Vibronic spectra were computed on the same structures (but for the replacement of the *t*-Bu group by a methyl) using a PCM-M06-2X/6-31G(d) protocol that has been shown to lead reliable transition energies and band topologies.^{57, 58} The vibrationally resolved spectra -within the harmonic approximation- were computed using the FCclasses program.^{59, 60} The reported spectra were simulated using a convoluting Gaussian functions presenting a full width at half-maximum (fwhm) of 0.08 eV. A maximal number of 25 overtones for each mode and 20 combination bands on each pair of modes were included in the calculation. The maximum number of integrals to be computed for each class was set to $1x10^8$ or $1x10^{10}$, and it was checked that such number provide converged FC factors (> 0.9).

Synthesis

General Methods. NMR spectra (¹H, ¹³C) were recorded at room temperature on a BRUKER® Advance operating at 500.10 MHZ and 125.75 MHz for ¹H and ¹³C, respectively. ¹³C NMR spectra were recorded using the µDEFT experiment⁶¹ and signals were assigned using HSQC and HMBC experiments. Data are listed in parts per million (ppm) and are reported relative to residual solvent peaks being used as internal standard (¹H (CDCl₃): 7.26 ppm, ¹³C (CDCl₃): 77.2 ppm; ¹H (CD₃OD): 3.31 ppm, ¹³C (CD₃OD): 49.0 ppm). UV-visible spectra were recorded on a Jasco® V-670 spectrophotometer in spectrophotometric grade methanol or dichloromethane solutions (ca. 10⁻⁵ or 10⁻⁶ mol L⁻¹). Molar extinction coefficients (ε) were determined at least two times. High resolution mass spectrometry measurements were performed at Centre Commun de Spectrometrie de Masse (Villeurbanne, France). Starting materials were purchased from Sigma Aldrich®, Acros Organics® or Alfa Aesar® with the best available quality grade. All reactions were routinely performed under argon atmosphere in anhydrous solvents. Column chromatography was performed Acros Organics® (0.035-0.070 mm) silicagel. All reagents were purchased from commercial sources and used without further purification, otherwise noted. Syntheses of precursors and of compounds **1**, **3**, **5**, **8**, **9**, **10** directly adapted from literature procedures are reported in SI.

2. To a solution of 100 mg of 5-tert-butyl-2-bromo-3-hydroxymethylene-cyclohex-1-enecarbaldehyde (0.37 mmol, 1 eq.) and 277 mg indolium salt (0.81 mmol, 2.2 eq.) in 8 mL of absolute ethanol were added 0.07 mL of pyridine (0.92 mmol, 2.5 eq.). The solution was stirred for 18h at 40°C. The resulting green solution was concentrated, dissolved in DCM, washed with an aqueous solution of HBr 1M and an aqueous saturated NaHCO₃ solution. The organic layer was dried over Na₂SO₄, filtered and concentrated. The crude was purified by flash chromatography on silica with DCM/methanol as eluent (98:2, R_f = 0.18) and finally precipitated in Et₂O to afford cyanine **2** as a green solid in a 55 % yield (165 mg). ¹H NMR (CDCl₃, 500.10 MHz): δ 8.25 (d, ³J = 14 Hz, 2H), 7.43-7.24 (m, 18H), 6.22 (d, ³J = 14 Hz, 2H), 5.54 (d, ²J = 16.5 Hz, 2H), 5.45 (d, ²J = 16.5 Hz, 2H), 2.64 (d, ²J = 15 Hz, 2H), 2.09 (dd, ²J = 14 Hz, ³J = 13 Hz, 2H), 1.77 (s, 6H), 1.75 (s, 6H), 1.39 (m, 1H), 0.99 (s, 9H). ¹³C NMR (CDCl₃, 125.75 MHz): δ 172.6, 147.5, 146.7, 143.0, 141.1, 134.6, 130.7, 129.4, 129.1, 128.4, 126.8, 125.6, 122.5, 111.3, 103.1, 49.5, 48.7, 42.3, 32.5, 28.7, 28.3, 28.2, 27.6. UV-Vis (CH₃OH) $\lambda_{max} = 787$ nm ($\varepsilon_{max} = 297$ 000 L.mol⁻¹.cm⁻¹). UV-Vis (DCM) $\lambda_{max} = 795$ nm ($\varepsilon_{max} = 376000$ L.mol⁻¹.cm⁻¹). HRMS (ESI+): [M]⁺ = 735.3325 (calcd for C₄₈H₅₂BrN₂: 735.3308).

4. To a solution of 65 mg of ketocyanine **12** (0.10 mmol, 1 eq.) in 5 mL of anhydrous DCM were added dropwise 0.07 mL of acetyl chloride (0.96 mmol, 10 eq.) at 0°C. The mixture was stirred for 15 minutes at this temperature, then allowed to warm to RT and finally quenched with one drop of distilled DIEA. The crude mixture was washed with an aqueous saturated NaCl solution; the organic layer was dried over Na₂SO₄, filtered and concentrated. Flash column chromatography on silica using DCM/MeOH as eluent (95:5, $R_f = 0.19$) permit to afford compound **4** as a green solid in a 49 % yield (34 mg). ¹H NMR (CDCl₃, 500.10 MHz): δ 7.59 (d, ³J_{trans} = 14 Hz, 2H), 7.40-7.38 (m, 2H), 7.38-7.30 (m, 8H), 7.29-7.22 (m, 6H), 7.18-7.17 (m, 2H), 6.06 (d, ³J_{trans} = 14 Hz, 2H), 5.37 (m, 4H), 2.57 (d, ²J = 15 Hz, 2H), 2.39 (s, 3H), 2.01 (dd, ²J = 14 Hz, ³J = 14 Hz, 2H), 1.68 (s, 6H), 1.65 (s, 6H), 1.47 (m, 1H), 0.97 (s, 9H). ¹³C NMR (CDCl₃, 125.75 MHz): δ 171.9, 168.2, 159.8, 142.9, 140.7, 140.2, 134.4, 129.5, 129.2, 128.6, 126.8, 125.6, 123.7, 122.5, 111.2, 101.8, 49.3, 48.6, 42.2, 32.6, 28.5, 28.4, 27.6, 25.5, 20.8. UV-Vis (CH₃OH) $\lambda_{max} = 780$ nm

 $(\varepsilon_{\text{max}} = 257000 \text{ L.mol}^{-1}.\text{cm}^{-1}).\text{UV-Vis} (\text{DCM}) \lambda_{\text{max}} = 789 \text{ nm} (\varepsilon_{\text{max}} = 273000 \text{ L.mol}^{-1}.\text{cm}^{-1}). \text{ HRMS} (\text{ESI+}):$ $[M]^+ = 715.4253 \text{ (calcd for } C_{50}\text{H}_{55}\text{N}_2\text{O}_2\text{: } 715.4258).$

6. 50 mg of cyanine **2** (0.06 mmol, 1 eq.), 103 mg of sodium ethanethiolate (1.22 mmol, 20 eq.) and 0.10 mL of ethanethiol (1.35 mmol, 22 eq.) were dissolved in 2 mL of anhydrous DMF and stirred for 2 hours at 100°C. The reaction mixture was allowed to cool to RT, added by DCM, washed with water and an aqueous solution of HBr 1M. The organic layer was dried over Na₂SO₄ and finally concentrated. The crude residue was purified by flash chromatography on silica DCM/MeOH (90/10, $R_f = 0.44$) to afford **6** as a green solid in a 47% yield (21 mg). ¹H NMR (CDCl₃, 500.10 MHz): δ 7.74 (d, ³J = 13 Hz, 2H), 7.59 (s, 1H), 7.39-7.27 (m, 14H), 7.21 (t, ³J = 7 Hz, 2H), 7.10 (d, ³J = 7 Hz, 2H), 5.99 (d, ³J = 13 Hz, 2H), 5.27 (m, 4H), 2.44 (d, ²J = 14 Hz, 2H), 1.84 (dd, ²J = 13 Hz, ³J = 13 Hz, 2H), 1.78 (s, 6H), 1.76 (s, 6H), 1.36 (m, 1H), 0.95 (s, 9H). ¹³C NMR (CDCl₃, 125.75 MHz): δ 171.5, 157.1, 149.0, 142.9, 141.0, 134.5, 134.1, 129.4, 128.8, 128.4, 126.7, 125.0, 122.6, 110.4, 100.7, 49.3, 48.1, 43.0, 32.6, 28.3, 28.2, 27.6, 25.2. UV-Vis (CH₃OH) $\lambda_{max} = 754$ nm ($\varepsilon_{max} = 234$ 000 L.mol⁻¹.cm⁻¹). UV-Vis (DCM) $\lambda_{max} = 767$ nm ($\varepsilon_{max} = 268000$ L.mol⁻¹.cm⁻¹). HRMS (ESI+): [M]⁺ = 657.4189 (calcd for C₄₈H₃₃N₂: 657.4203).

7a. A solution of 100 mg of cyanine **1** (129 µmol, 1 eq.) and 120 mg of 4-nitroaniline (903 µmol, 7 eq.) in 2 mL of anhydrous DMF was stirred for 8h at 130°C under micro-wave irradiation. The reaction mixture was allowed to cool to RT and was extracted with DCM. The resulting organic phase was washed three times with an aqueous HBr 1M solution, dried over Na₂SO₄ and evaporated. The crude residue was purified by flash column chromatography on silica with DCM/MeOH (from 97:3 to 95:5) as eluent to afford **7a** as a dark blue solid (40 mg, 35%).¹H NMR (CD₃OD, 500.10 MHz): δ 8.11 (d, ³J = 8 Hz, 2H), 7.94 (d, ³J = 14 Hz, 2H), 7.42 (d, ³J = 7 Hz, 2H), 7.36-7.21 (m, 16H), 6.84 (d, ³J = 7 Hz, 2H), 6.11 (d, ³J = 13 Hz, 2H), 5.36 (d, ²J = 16 Hz, 2H), 5.31 (d, ²J = 16 Hz, 2H), 2.66 (d, ²J = 14 Hz, 2H), 1.97 (dd, ²J = 13 Hz, ³J = 13 Hz, 2H), 1.41 (s, 6H), 1.40 (s, 6H), 1.31 (m, 1H), 0.99 (s, 9H).¹³C NMR (CD₃OD, 125.75 MHz): δ 173.5, 156.0, 154.7, 144.3, 144.0, 142.2, 140.6, 136.3, 130.3, 129.9, 129.2, 128.5, 127.7, 127.4, 126.2, 123.6, 115.0,

111.8, 102.1, 50.1, 48.4, 44.4, 33.4, 28.3, 28.3, 27.7, 26.9.UV-Vis (CH₃OH) $\lambda_{max} = 777 \text{ nm}$ ($\epsilon_{max} = 235000 \text{ L.mol}^{-1}.\text{cm}^{-1}$). HRMS (ESI+): [M]⁺ = 793.4460 (calcd for C₅₄H₅₇N₄O₂: 793.4476).

7b. A solution of 100 mg of cyanine **1** (129 μmol, 1 eq.) and 81 μL of 4-trifluoromethylaniline (647 μmol, 5 eq.) in 2 mL of anhydrous DMF was stirred for 4h at 100°C under micro-wave irradiation. The reaction mixture was allowed to cool to RT and was extracteed with DCM. The resulting organic phase was washed twice with an aqueous HBr 1M solution, dried over Na₂SO₄ and evaporated. The crude residue was purified by flash column chromatography on silica with DCM/MeOH (from 97:3 to 95:5) as eluent to afford compound **7b** as a dark blue solid (60 mg, 52%). ¹H NMR (CD₃OD, 500.10 MHz): δ 7.98 (d, ³J = 14 Hz, 2H), 7.50 (d, ³J = 8 Hz, 2H), 7.42 (d, ³J = 7 Hz, 2H), 7.37-7.19 (m, 16H), 6.98 (d, ³J = 8 Hz, 2H), 6.02 (d, ³J = 14 Hz, 2H, 5.31 (s, 4H), 2.62 (d, ²J = 15 Hz, 2H), 1.91 (dd, ²J = 14 Hz, ³J = 14 Hz, 2H), 1.40 (s, 6H), 1.39 (s, 6H), 1.29 (m, 1H), 0.97 (s, 9H). ¹³C NMR (CD₃OD, 125.75 MHz): δ 172.9, 158.8, 151.4, 144.4, 144.2, 142.0, 136.4, 130.2, 129.8, 129.3, 129.1, 128.2, 128.2, 127.6, 127.2, 125.8, 123.5, 117.0, 111.4, 101.1, 49.9, 48.4, 44.7, 33.4, 28.4, 27.7, 26.9. UV-Vis (CH₃OH) λ_{max} = 763 nm (ε_{max} = 183000 L.mol⁻¹.cm⁻¹). HRMS (ESI+): [M]⁺ = 816.4474 (calcd for C₅₅H₅₇F₃N₃: 816.4499).

7c. A solution of 200 mg of cyanine **1** (0.26 mmol, 1 eq.) and 94 μ L of distilled aniline (1.04 mmol, 4 eq.) in 3 mL of anhydrous DMF was stirred for 8h at 70°C. The reaction mixture was allowed to cool to RT and was extracted with DCM. The resulting organic phase was washed three times with an aqueous HBr 1M solution, dried over Na₂SO₄ and concentrated. The crude residue was purified by flash chromatography on silica with EtOAc/DCM/MeOH (from 50:50:0 to 45:45:10) as eluent to afford **7c** as a dark blue solid in a 84% yield (180 mg). ¹H NMR (CD₃OD, 500.10 MHz): δ 7.99 (d, ³J = 14 Hz, 2H), 7.38 (d, ³J = 7 Hz, 2H), 7.34-7.27 (m, 9H), 7.24-7.14 (m, 9H), 7.03 (d, ³J = 8 Hz, 2H), 6.87 (t, ³J = 7 Hz, 1H), 5.89 (d, ³J = 14 Hz, 2H), 5.26 (d, ²J = 17 Hz, 2H), 5.22 (d, ²J = 17 Hz, 2H), 2.57 (dd, ²J = 12 Hz, ³J = 3 Hz, 2H), 1.82 (dd, ²J = 13 Hz, ³J = 13 Hz, 2H), 1.40 (s, 6H), 1.38 (s, 6H), 1.33 (m, 1H), 0.92 (s, 9H). ¹³C NMR (CD₃OD, 125.75 MHz): δ 171.8, 162.3, 147.2, 144.6, 143.9, 141.8, 136.5, 131.1, 130.2, 129.9, 129.7, 129.0, 127.6, 125.2,

123.4, 122.9, 119.6, 110.9, 99.7, 49.6, 48.2, 45.1, 33.4, 28.6, 28.6, 27.6, 27.0. UV-Vis (CH₃OH) $\lambda_{max} = 724$ nm ($\epsilon_{max} = 129000$ L.mol⁻¹.cm⁻¹). HRMS (ESI+): [M]⁺ = 748.4625 (calcd for C₅₄H₅₈N₃: 748.4625).

7d. A solution of 100 mg of cyanine 1 (0.13 mmol, 1 eq.) and 64 mg of *p*-anisidine (0.52 mmol, 4 eq.) in 2 mL of anhydrous DMF was stirred for 1h at 80°C. The reaction mixture was allowed to cool to RT and DMF was evaporated. Then DCM was added and the organic layer was washed with an aqueous HBr 1M solution and water, dried over Na₂SO₄ and concentrated. The crude residue was purified by flash chromatography on silica with DCM/MeOH (95:5, $R_f = 0.56$) as eluent and precipitated in pentane to afford compound 7d as a dark blue solid in a 87% yield (97 mg). ¹H NMR (CD₃OD, 500.10 MHz): δ 7.93 (d, ³J = 13 Hz, 2H), 7.36-7.06 (m, 20H), 6.90 (d, ³J = 7 Hz, 2H), 5.79 (d, ³J = 13 Hz, 2H), 5.22 (d, ²J = 17 Hz, 2H), 5.16 (d, ²J = 17 Hz, 2H), 3.74 (s, 3H), 2.51 (d, ²J = 13 Hz, 2H), 1.76 (dd, ²J = 13 Hz, ³J = 13 Hz, 2H), 1.41 (s, 6H), 1.38 (s, 6H), 1.26 (m, 1H), 0.87 (s, 9H). ¹³C NMR (CD₃OD, 125.75 MHz): δ 170.9, 163.9, 157.2, 144.8, 143.1, 141.5, 139.7, 136.6, 130.2, 129.6, 128.9, 127.5, 124.9, 123.3, 122.5, 116.6, 110.6, 98.7, 56.1, 48.8, 48.0, 45.3, 33.4, 28.7, 28.6, 27.6, 27.1 1 C_{quat} is missing. UV-Vis (CH₃OH) λ_{max} = 695 nm (ε_{max} = 107000 L.mol⁻¹.cm⁻¹). HRMS (ESI+): [M]⁺ = 778.4714 (calcd for C₅₅H₆₀N₃O: 778.4731).

11. A solution of 30 mg of cyanine **1** (0.04 mmol, 1 eq.) and 3.8 mg of malononitrile (0.06 mmol, 1.5 eq.) in 2 mL of anhydrous DMF was added by 0.01 mL of distilled DIEA (0.08 mmol, 2 eq.) and the mixture was stirred for 15min at RT. Then DCM was added to the solution. The organic layer was washed with an aqueous saturated solution of NH₄Cl, dried over Na₂SO₄ and concentrated. A purification by flash column chromatography on silica with DCM/MeOH (98:2, $R_f = 0.83$) affords compound **11** as a blue solid in a 54% yield (15 mg). ¹H NMR (CDCl₃, 500.10 MHz): δ 7.63 (d, ³J_{trans} = 13 Hz, 2H), 7.33- 7.26 (m, 6H), 7.23- 7.20 (m, 4H), 7.00 (t, ³J = 7 Hz, 2H), 6.81 (d, ³J = 8 Hz, 2H), 5.46 (d, ³J_{trans} = 13 Hz, 2H), 4.95 (d, ²J = 17 Hz, 2H), 4.87 (d, ²J = 17 Hz, 2H), 2.33 (dd, ²J = 15 Hz, ³J = 4 Hz, 2H), 1.74 (s, 6H), 1.67 (s, 6H), 1.65 (m, 2H), 1.24 (m, 1H), 0.76 (s, 9H). ¹³C NMR (CDCl₃, 125.75 MHz): δ 172.0, 163.8, 144.3, 140.4, 135.8, 134.4, 129.2, 128.1, 127.8, 127.3, 126.5, 122.3, 121.7, 120.4, 107.5, 94.8, 57.7, 47.1, 46.9, 43.5, 32.7, 30.1,

29.5, 27.4, 27.2. UV-Vis (CH₃OH) $\lambda_{max} = 630 \text{ nm} (\epsilon_{max} = 75600 \text{ L.mol}^{-1} \text{.cm}^{-1})$. UV-Vis (DCM) $\lambda_{max} = 593 \text{ nm} (\epsilon_{max} = 67000 \text{ L.mol}^{-1} \text{.cm}^{-1})$. HRMS (ESI+): [M+H]⁺ = 721.4241 (calcd for C₅₁H₅₃N₄: 721.4265).

12. To a solution of 40 mg of cyanine **1** (0.05 mmol, 1 eq.) and 12 mg of *N*-hydroxysuccinimide (0.10 mmol, 2 eq.) in 5 mL of anhydrous DMF were added 0.02 mL of distilled DIEA (0.10 mmol, 2 eq.) and the mixture was stirred for 2h at RT. Then 10 mL of DCM were added to the solution. The organic layer was washed with an aqueous saturated solution of NH₄Cl (10 mL) and water (10 mL), dried over Na₂SO₄ and concentrated. After filtration through an activated alumina plug (25 g Al₂O₃ with 6% H₂O) with DCM/petroleum ether (1:1, R_f = 0.26), compound **12** was isolated as a red solid in a 86% yield (30 mg). ¹H NMR (CDCl₃, 500.10 MHz): δ 8.04 (d, ³J = 13 Hz, 2H), 7.33-7.30 (m, 4H), 7.27-7.22 (m, 8H), 7.17 (t, ³J = 8 Hz, 2H), 6.94 (t, ³J = 7 Hz, 2H), 6.72 (d, ³J = 8 Hz, 2H), 5.42 (d, ³J = 13 Hz, 2H), 4.91 (d, ²J = 16 Hz, 2H), 4.84 (d, ²J = 16 Hz, 2H), 2.57 (dd, ²J = 15 Hz, ³J = 3 Hz, 2H), 1.88 (dd, ²J = 14 Hz, ³J = 14 Hz, 2H), 1.70 (s, 12H), 1.34 (m, 1H), 0.90 (s, 9H). ¹³C NMR (CDCl₃, 125.75 MHz): δ 186.7, 162.0, 144.8, 139.6, 136.4, 132.4, 129.0, 127.9, 127.6, 127.5, 126.7, 122.0, 120.8, 106.8, 94.0, 46.7, 46.6, 43.3, 32.6, 28.9, 28.9, 27.5, 26.7. UV-Vis (CH₃OH) $\lambda_{max} = 526$ nm ($\varepsilon_{max} = 50000$ L.mol⁻¹.cm⁻¹). UV-Vis (DCM) $\lambda_{max} = 499$ nm ($\varepsilon_{max} = 40000$ L.mol⁻¹.cm⁻¹). HRMS (ESI+): [M+H]⁺ = 673.4120 (calcd for C48H₅N₂O: 673.4152).

13. Compound 13 was quantitatively formed by treatment of a solution of compound 7c in DCM or CDCl₃ with an aqueous K₂CO₃ solution (1M). Analyses were performed in situ. ¹H NMR (CDCl₃, 500.10 MHz): δ 7.28-7.19 (m, 18H), 6.87-6.80 (m, 5H), 6.62 (d, ³J = 8 Hz, 2H), 5.23 (d, ³J = 12 Hz, 2H), 4.77 (m, 4H), 2.45 (d, ²J = 12 Hz, 2H), 1.83 (dd, ²J = 14 Hz, ³J = 14 Hz, 2H), 1.40-1.29 (m, 13H), 0.84 (s, 9H). ¹³C NMR (CDCl₃, 125.75 MHz): δ 165.5, 158.2, 154.4, 145.2, 139.3, 136.8, 133.6, 129.5, 128.9, 127.8, 127.4, 126.7, 121.9, 120.9, 120.1, 120.0, 106.1, 93.8, 46.5, 45.6, 43.7, 32.7, 28.7, 28.5, 27.8, 27.4 (1 CH is missing). UV-Vis (DCM) $\lambda_{max} = 442$ nm ($\varepsilon_{max} = 50000$ L.mol⁻¹.cm⁻¹).

14. Compound 14 was quantitatively formed by treatment of compound 10 in DCM or CDCl₃ with an aqueous K₂CO₃ solution (1M). Analyses were performed in situ. ¹H NMR (CDCl₃, 500.10 MHz): δ 7.29-7.21 (m, 12H), 7.17-7.11 (m, 4H), 6.84 (t, 2H, ³J = 7 Hz), 6.63 (d, 2H, ³J = 8 Hz), 5.25 (d, ³J = 12 Hz, 2H),

4.78 (m, 4H), 3.56 (t, 2H, ${}^{3}J = 7$ Hz), 2.41-2.38 (m, 2H), 1.82-1.78 (m, 2H), 1.70 (m, 2H), 1.62 (bs, 6H), 1.59 (bs, 6H), 1.31 (m, 1H), 1.02 (t, ${}^{3}J = 7$ Hz, 3H), 0.81 (s, 9H). ${}^{13}C$ NMR (CDCl₃, 125.75 MHz): δ 167.5, 156.5, 145.5, 139.1, 137.0, 128.9 (HSQC showed that 2 carbons have this δ), 127.8, 127.4, 126.7, 121.9, 119.7, 106.0, 94.4, 55.5, 46.6, 45.5, 43.7, 32.6, 28.7, 27.4, 25.9, 12.3. (1 C_{quat} is missing) UV-Vis (DCM) $\lambda_{max} = 398$ nm ($\varepsilon_{max} = 44000$ L.mol⁻¹.cm⁻¹).

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Supporting Information Available

Full width at half-maximum extracted from absorption spectra, solvatochromism experiments, additional theoretical data, concentration-dependent absorption study, synthesis details and complete characterizations including NMR spectra of all compounds are provided. This material is available free of charge via the internet at https://pubs.acs.org/doi/10.1021/jp501358q.

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