

## Filtrations and Buildings

Christophe Cornut

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# Filtrations and Buildings

Christophe Cornut

 $En\ hommage\ \grave{a}\ Alexander\ Grothendieck$ 

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Abstract. We construct and study a scheme theoretical version of the Tits vectorial building, relate it to filtrations on fiber functors, and use them to clarify various constructions pertaining to affine Bruhat-Tits buildings, for which we also provide a Tannakian description.

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### CHAPTER 1

## Introduction

The combinatorial Tits building of a reductive group G over a field K reflects the incidence relations between the parabolic subgroups of G. Its geometric realization, the spherical Tits building, is obtained by gluing spheres along common sectors. It has an action of G(K) and can be equipped with a non-canonical G(K)-invariant metric, which turns it into a CAT(1)-space. When K is a local field, the spherical building can also be realized as the visual boundary of an affine building attached to G, namely its symmetric space or Bruhat-Tits building, depending upon whether K is archimedean or not. In the non-archimedean case, the spherical buildings of various reductive groups over the residue field also show up in the local description of the Bruhat-Tits building. In both cases, the affine building itself has a G(K)-action and a non-canonical G(K)-invariant metric for which it is a CAT(0)-space, and the cone of its visual boundary acts transitively on the affine building by non-expanding maps [12]. Looking at things the other way around, the choice of a base point in the affine building realizes it as a quotient of the vectorial Tits building, the latter being the cone of the spherical Tits building.

This vectorial Tits building is the unifying theme of our somewhat eclectic paper, whose initial intention was to clarify and canonify the above constructions. It is yet another affine building with an action of G(K) (which can now be defined over any field K) and it is equipped with a CAT(0)-metric canonically attached to any choice of a faithful representation  $\tau: G \hookrightarrow GL(V)$ , see section 4.2.2.

In chapter 2, we actually start with a reductive group G over an arbitrary base scheme S. For a totally ordered commutative group  $\Gamma = (\Gamma, +, \leq)$ , we define our fundamental G-equivariant cartesian diagram of S-schemes

$$\begin{array}{ccc} \mathbb{G}^{\Gamma}(G) & \xrightarrow{\operatorname{Fil}} & \mathbb{F}^{\Gamma}(G) & \xrightarrow{t} & \mathbb{C}^{\Gamma}(G) \\ F \middle| & F \middle| & F \middle| \\ \mathbb{OPP}(G) & \xrightarrow{p_1} & \mathbb{P}(G) & \xrightarrow{t} & \mathbb{O}(G) \end{array}$$

where  $\mathbb{P}(G)$  and  $\mathbb{OPP}(G)$  are respectively the S-schemes of parabolic subgroups P of G and pairs of opposed parabolic subgroups (P, P') of G,  $\mathbb{O}(G)$  is the S-scheme of G-orbits in  $\mathbb{P}(G)$  or  $\mathbb{OPP}(G)$ , and  $\mathbb{G}^{\Gamma}(G) = \underline{\mathrm{Hom}}(\mathbb{D}_{S}(\Gamma), G)$  where  $\mathbb{D}_{S}(\Gamma)$  is the (diagonalizable) multiplicative group over S with character group  $\Gamma$ . For  $\Gamma = \mathbb{Z}$ ,  $\mathbb{D}_{S}(\Gamma) = \mathbb{G}_{m,S}$  and  $\mathbb{G}^{\Gamma}(G)$  is merely the scheme of cocharacters of G. However, we do not require  $\Gamma$  to be finitely generated over  $\mathbb{Z}$ , and we are in fact particularly interested by the cases where  $\Gamma = \mathbb{Q}$  or  $\mathbb{R}$ . In the above diagram, the facet morphisms F are surjective and locally constant in the étale topology on their base. The  $p_1$  and Fil morphisms are affine smooth surjective with geometrically connected fibers and the type morphisms t are projective smooth surjective with

geometrically connected fibers. Since  $\mathbb{O}(G)$  is finite étale over S, all of the above schemes are smooth, separated and surjective over S. We equip  $\mathbb{C}^{\Gamma}(G)$  and  $\mathbb{O}(G)$  with S-monoid structures, and the facet map  $F:\mathbb{C}^{\Gamma}(G)\to\mathbb{O}(G)$  is compatible with them. For  $\Gamma=\mathbb{R},\ \mathbb{F}^{\Gamma}(G)$  is a scheme theoretical version of the Tits vectorial building and  $\mathbb{C}^{\Gamma}(G)$  is a scheme theoretical version of a closed Weyl chamber. For  $\Gamma=\mathbb{Z}$ , the S-scheme  $\mathbb{C}^{\Gamma}(G)$  classifies the G-orbits of cocharacters of G.

In chapter 3, we show that  $\mathbb{G}^{\Gamma}(G)$  and  $\mathbb{F}^{\Gamma}(G)$  represent functors respectively related to  $\Gamma$ -graduations and  $\Gamma$ -filtrations on a variety of fiber functors. The main difficulty here is to show that the  $\Gamma$ -filtrations split fpqc-locally on the base scheme. For  $\Gamma = \mathbb{Z}$ , this was essentially established in the thesis of Saavedra Rivano [32], at least when S is the spectrum of a field. We strictly follow Saavedra's proof (which he attributes to Deligne), adding a considerable amount of details and some patch when needed. We advise our reader to read both texts side by side, only switching to ours when he feels uncomfortable with (the necessary generalizations of) Saavedra's arguments.

For  $\Gamma = \mathbb{Z}$ , Ziegler recently established the fpqc-splitting of  $\mathbb{Z}$ -filtrations on fiber functors on arbitrary Tannakian categories [40], thereby proving a conjecture which was left open after Saavedra's thesis. In particular, the Z-filtrations we consider have fpqc-splittings even when G is not reductive, but defined over a field. In the reductive case, the final arguments in Ziegler's proof simplify those of Saavedra's, but rely more on the Saavedra-Deligne theorem that all fiber functors on Tannakian categories are fpqc-locally isomorphic [13]. According to D. Schäppi, it follows from his own work [33, 34] and Lurie's note on Tannaka duality that the same result holds for any  $\otimes$ -functor  $\mathsf{Rep}^{fp}(G)(S) \to \mathsf{QCoh}(T)$  where: S is affine, T is an S-scheme, G is affine flat over S,  $Rep^{fp}(G)(S)$  is the  $\otimes$ -category of algebraic representations of G on finitely presented  $\mathcal{O}_S$ -modules, and G has the resolution property: any finitely presented algebraic representation of G is covered by another one which is locally free. It then seems likely that Ziegler's proof could yield a common generalization of his result ( $\Gamma = \mathbb{Z}$ , G affine over a field) and ours ( $\Gamma$  and S arbitrary, but G reductive) on the existence of fpqc-splittings of  $\Gamma$ -filtrations, using a hefty dose of the stack formalism. We have chosen to stick to the constructive, down-to-earth original proof of Saavedra/Deligne – and to reductive groups as well.

In chapter 4, we study the sections of our schemes over a local ring  $\mathcal{O}$ . We first equip  $\mathbf{F}^{\Gamma}(G) = \mathbb{F}^{\Gamma}(G)(\mathcal{O})$  with a collection of apartments  $\mathbf{F}^{\Gamma}(S)$  indexed by the maximal split subtori S of G and with the collection of facets  $F^{-1}(P)$  indexed by the parabolic subgroups P of G. The key properties of the resulting combinatorial structure are well-known when  $\mathcal{O}$  is a field and  $\Gamma = \mathbb{R}$ , in which case  $\mathbf{F}^{\Gamma}(G)$  is the Tits vectorial building, but most of them carry over to this more general situation, thanks to the wonderful last chapter of SGA3. We describe the behavior of these auxiliary structures under specialization (when  $\mathcal{O}$  is Henselian) or generization (when  $\mathcal{O}$  is a valuation ring). When  $\Gamma$  is a subring of  $\mathbb{R}$ , we also attach to every finite free faithful representation  $\tau$  of G a partially defined scalar product on  $\mathbf{F}^{\Gamma}(G)$  and the corresponding distance and angle functions, and we study their basic properties. When  $\mathcal{O}$  is a field, a theorem of Borel and Tits [9] implies that these functions are defined everywhere, and one thus retrieves the aforementioned non-canonical distances on the vectorial Tits building  $\mathbf{F}(G) = \mathbf{F}^{\mathbb{R}}(G)$ .

Over a field K and with  $\Gamma = \mathbb{R}$ , we next define a notion of affine  $\mathbf{F}(G)$ -spaces, which interact with the vectorial Tits building  $\mathbf{F}(G)$  as affine spaces do with their

underlying vector space. Strongly influenced by the formalism set up by Rousseau in [31] and Parreau in [28], we introduce various axioms that these spaces may satisfy, leading to the more restricted class of affine  $\mathbf{F}(G)$ -buildings. Most of the abstract definitions of buildings that have already been proposed involve a covering atlas of charts, which are bijections from a given fixed affine space onto subsets of the building (its apartments) subject to various conditions. Our definition also involves a covering by apartments, but their affine structure is inherited from a globally defined G(K)-equivariant transitive operation  $x \mapsto x + \mathcal{F}$  of  $\mathbf{F}(G)$  on the building. It is therefore essentially a boundary-based formalism for buildings, as opposed to the more usual apartment-based formalism.

Of course  $\mathbf{F}(G)$  is itself an affine  $\mathbf{F}(G)$ -building, with a distinguished point. When K is equipped with a non-trivial, non-archimedean absolute value, we show in chapter 6 that the (extended) affine building  $\mathbf{B}^e(G)$  constructed by Bruhat and Tits  $[\mathbf{9}, \mathbf{10}]$  is canonically equipped with a structure of affine  $\mathbf{F}(G)$ -building in our sense. This is our precise formalization of the previous "combinatorial" assertion that the visual boundary of the Bruhat-Tits building is a geometric realization of the combinatorial Tits building. This being done, we may fix a base point  $\circ$  in  $\mathbf{B}^e(G)$  and try to describe the whole building as a quotient of  $\mathbf{F}(G)$  using the surjective map  $\mathbf{F}(G) \ni \mathcal{F} \mapsto \circ + \mathcal{F} \in \mathbf{B}^e(G)$ . We do this in the last section, assuming that our base point  $\circ$  is hyperspecial, i.e. corresponds to a reductive group G over the valuation ring  $\mathcal{O}$  of K, which we also assume to be Henselian.

More precisely, we first define a space of K-norms on the fiber functor

$$\omega_G^{\circ}: \mathsf{Rep}^{\circ}(G)(\mathcal{O}) \to \mathsf{Vect}(K)$$

where  $\operatorname{\mathsf{Rep}}^\circ(G)(\mathcal{O})$  is the category of algebraic representations of G on finite free  $\mathcal{O}$ -modules. This space is equipped with a G(K)-action, an explicit G(K)-equivariant operation of  $\mathbf{F}(G_K)$  and a base point  $\alpha_G$  fixed by  $G(\mathcal{O})$ . We show that the map  $\circ + \mathcal{F} \mapsto \alpha_G + \mathcal{F}$  is well-defined, injective, G(K)-equivariant and compatible with the operations of  $\mathbf{F}(G_K)$ . It thus defines an isomorphism  $\alpha$  of affine  $\mathbf{F}(G_K)$ -buildings from  $\mathbf{B}^e(G_K)$  to a set  $\mathbf{B}(\omega_G^\circ, K) = \alpha_G + \mathbf{F}(G_K)$  of K-norms on  $\omega_G^\circ$ .

This Tannakian description of the extended Bruhat-Tits building immediately implies that the assignment  $G \mapsto \mathbf{B}^e(G_K)$  is functorial in the reductive group G over  $\mathcal{O}$ . Such a functoriality was already established by Landvogt [23], with fewer assumptions on  $G_K$  but more assumptions on K. It also suggests a possible definition of Bruhat-Tits buildings for reductive groups over valuation rings of height greater than 1, as well as a similar Tannakian description of symmetric spaces (in the archimedean case). It is related to previous constructions as follows.

Our canonical isomorphism  $\alpha: \mathbf{B}^e(G_K) \to \mathbf{B}(\omega_G^\circ, K)$  assigns to a point x in  $\mathbf{B}^e(G_K)$  and an algebraic representation  $\tau$  of G on a flat  $\mathcal{O}$ -module  $V(\tau)$  a K-norm  $\alpha(x)(\tau)$  on  $V_K(\tau) = V(\tau) \otimes K$ . For the adjoint representation  $\tau_{\mathrm{ad}}$  of G on  $\mathfrak{g} = \mathrm{Lie}(G)$ , the adjoint-regular and regular representations  $\rho_{\mathrm{adj}}$  and  $\rho_{\mathrm{reg}}$  of G on  $\mathcal{A}(G) = \Gamma(G, \mathcal{O}_G)$ , we obtain respectively: a K-norm  $\alpha_{\mathrm{ad}}(x)$  on  $\mathfrak{g}_K = \mathrm{Lie}(G_K)$  whose closed balls give the Moy-Prasad filtration of x on  $\mathfrak{g}_K$  [26], the K-norm  $\alpha_{\mathrm{adj}}(x)$  in  $G_K^{\mathrm{an}}$  constructed in [29], and an embedding  $x \mapsto \alpha_{\mathrm{reg}}(x)$  of the extended Bruhat-Tits building in the analytic Berkovich space  $G_K^{\mathrm{an}}$  attached to  $G_K$ .

A different Tannakian formalism for Bruhat-Tits buildings had already been proposed by Haines and Wilson [39], with alcoves and their parahorics playing the leading role. It is closely related to ours: their Moy-Prasad filtrations are the lattice chains of closed balls of our norms. We owe them the essential shape of our

formalism, if not the very idea that such a formalism was indeed possible: we were first naively looking for a base-point free description of the Bruhat-Tits buildings.

This work grew out of a question by J-F. Dat and numerous discussions with D. Mauger on buildings and cocharacters. I am very grateful to G. Rousseau and A. Parreau, who always had answers to my numerous questions. Apart from the emphasis on the boundary, most of the definitions and results of chapter 5 are either taken from his survey [31] or from her preprint [28]. P. Deligne kindly provided the patch at the very end of the proof of the splitting theorem, dealing with groups of type G2 in characteristic 2, and M. Hils the proof of lemma 120.

#### CHAPTER 2

## The group theoretical formalism

For a reductive group scheme G over an arbitrary base scheme S, we will define and study a cartesian diagram of smooth and separated schemes over S,

$$\mathbb{G}^{\Gamma}(G) \xrightarrow{\operatorname{Fil}} \mathbb{F}^{\Gamma}(G) \xrightarrow{t} \mathbb{C}^{\Gamma}(G)$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad$$

Our main background reference for this chapter is SGA3 [15, 1, 14].

## 2.1. $\Gamma$ -graduations on smooth affine groups

Theorem 1. Let H and G be group schemes over a base scheme S, with H of multiplicative type and quasi-isotrivial, G smooth and affine. Then the functor

$$\underline{\mathrm{Hom}}_{S-\mathsf{Group}}(H,G): (\mathsf{Sch}/S)^{\circ} \to \mathsf{Set}, \qquad T \mapsto \mathrm{Hom}_{T-\mathsf{Group}}(H_T,G_T)$$

is representable by a smooth and separated scheme over S.

Remark 2. When H is of finite type, it is quasi-isotrivial by [1, X 4.5]. The theorem is then due to Grothendieck, see [1, XI 4.2]. The proof given there relies on the density theorem of [1, IX 4.7], definitely a special feature of finite type multiplicative groups. When H is trivial, we may still reduce the proof of the above theorem to the finite type case, as explained in remark 12 below. For the general case, we have to find another road through SGA3, passing through [1, X 5.6] which has no finite type assumption on H but requires H and G to be of multiplicative type and quasi-isotrivial:

Proposition 3. Let H and G be group schemes of multiplicative type over S, with H quasi-isotrivial and G of finite type. Then  $\underline{\operatorname{Hom}}_{S-\operatorname{Group}}(H,G)$  is representable by a quasi-isotrivial twisted constant group scheme X over S.

PROOF. This is 
$$[1, X 5.6]$$
, since G is also quasi-isotrivial by  $[1, X 4.5]$ .

Lemma 4. Let X be a quasi-isotrivial twisted constant scheme over S. Then  $X \to S$  is separated étale and satisfies the valuative criterion of properness. If moreover S is irreducible and geometrically unibranch with generic point  $\eta$ , then

$$X = \coprod_{\lambda \in X_{\eta}} X(\lambda)$$
 with  $X(\lambda) = \overline{\{\lambda\}}$  open and closed in  $X$ ,

each  $X(\lambda)$  is a connected finite étale cover of S and  $\Gamma(X/S) = \Gamma(X_{\eta}/\eta)$ .

PROOF. The morphism  $X \to S$  is separated by [19, 2.7.1] and étale by [21, 17.7.3]. Since valuation rings are normal integral domains, it remains to establish

the last claims. Suppose therefore that S is irreducible and geometrically unibranch with generic point  $\eta$ . Then by [21, 18.10.7] applied to  $X \to S$ ,

$$X = \coprod_{\lambda \in X_n} X(\lambda)$$
 with  $X(\lambda) = \overline{\{\lambda\}}$  open and closed in  $X$ ,

thus  $X(\lambda)$  is already étale over S. Fix an étale covering  $\{S_i \to S\}$  trivializing X, so that  $X \times_S S_i = Q_{i,S_i}$  for some set  $Q_i$ . Using [21, 18.10.7] again, we may assume that each  $S_i$  is connected, in which case we obtain decompositions

$$Q_i = \coprod_{\lambda \in X_n} Q_i(\lambda)$$
 with  $X(\lambda) \times_S S_i = Q_i(\lambda)_{S_i}$ .

Since the generic fiber  $\lambda \to \eta$  of  $X(\lambda) \to S$  is finite of degree  $n(\lambda) = [k(\lambda) : k(\eta)]$ , each  $Q_i(\lambda)$  is a finite subset of  $Q_i$  of order  $n(\lambda)$ , therefore  $X(\lambda) \times_S S_i$  is finite over  $S_i$  and  $X(\lambda)$  is finite over S by [19, 2.7.1]. Being finite and étale over the connected  $S, X(\lambda)$  is a finite étale cover of S. Being irreducible, it is also connected. By [21, 17.4.9], the map which sends a section g of  $X \to S$  to its image g(S) identifies  $\Gamma(X/S)$  with the set of connected components  $X(\lambda)$  of X for which  $X(\lambda) \to S$  is an isomorphism, i.e. such that  $n(\lambda) = 1$ . Therefore  $\Gamma(X/S) = \Gamma(X_{\eta}/\eta)$ .

Lemma 5. Let  $f: H \to G$  be a morphism of group schemes over S, with H of multiplicative type and G separated of finite presentation. Then there is a unique closed multiplicative subgroup Q of G such that f factors through a faithfully flat morphism  $f': H \to Q$ . Moreover f' is also uniquely determined by f.

PROOF. Everything being local for the fpqc topology, we may assume that S is affine and  $H = \mathbb{D}_S(M)$  for some abstract commutative group M. Then  $M = \varinjlim M'$  where M' runs through the filtered set  $\mathcal{F}(M)$  of finitely generated subgroups of M, thus also  $\mathbb{D}_S(M) = \varprojlim \mathbb{D}_S(M')$ . Since  $\mathbb{D}_S(M')$  is affine for all M' and  $G \to S$  is locally of finite presentation, it follows from  $[\mathbf{20}, 8.13.1]$  that f factors through  $f_1 : \mathbb{D}_S(M') \to G$  for some  $M' \in \mathcal{F}(M)$ . Applying  $[\mathbf{1}, IX 6.8]$  to  $f_1$  yields a closed multiplicative subgroup G of G such that G factors through a faithfully flat (and affine) morphism G for G whose composite with the faithfully flat (and affine) morphism G for G whose composite with the faithfully flat (and affine) morphism G for G in the category of fpqc sheaves on G is a lie already unique as a subsheaf of G. Since G is a monomorphism, also G is unique.

DEFINITION 6. We call Q the image of f and denote it by  $Q = \operatorname{im}(f)$ .

Lemma 7. Let  $f: H \to G$  be a morphism of group schemes over S, with H of multiplicative type and G smooth and affine. Then the centralizer of f is equal to the centralizer of its image, and is representable by a closed smooth subgroup of G.

PROOF. Let  $f = \iota \circ f'$  be the factorization of the previous lemma. Since f' is faithfully flat (and quasi-compact, being a morphism between affine S-schemes, therefore even affine), it is an epimorphism in the category of schemes. It then follows from the definitions in [15, VIB §6] that the centralizers of f,  $\iota$  and im(f) are equal. By [1, XI 5.3], the centralizer of  $\iota$  is a closed smooth subgroup of G.  $\square$ 

LEMMA 8. Let  $f: H \to Q$  be a morphism of group schemes of multiplicative type over S, with Q of finite type. Define  $U = \{s \in S: f_s \text{ is faithfully flat}\}$ . Then U is open and closed in S and  $f_U: H_U \to Q_U$  is faithfully flat.

PROOF. Let I be the image of f. Then U is the set of points  $s \in S$  where  $I_s = Q_s$ . Now apply [1, IX 2.9] to  $I \hookrightarrow Q$ .

We may now prove theorem 1. Define presheaves A, B, C on Sch/S by

 $C(S') = \{ \text{multiplicative subgroups } Q \text{ of } G_{S'} \},$ 

 $B(S') = \{(Q, f') : Q \in C(S') \text{ and } f : H_{S'} \to Q \text{ is a morphism}\},$ 

 $A(S') = \{(Q, f') \in B(S') \text{ with } f' \text{ faithfully flat} \}.$ 

Then C is representable, smooth and separated by [1, XI 4.1],  $B \to C$  is relatively representable by étale and separated morphisms by proposition 3 and lemma 4,  $A \to B$  is relatively representable by open and closed immersions by lemma 8 and finally A is isomorphic to  $\underline{\mathrm{Hom}}_{S-\mathsf{Group}}(H,G)$  by lemma 5, which is therefore indeed representable by a smooth and separated scheme over S.

DEFINITION 9. For an abstract commutative group  $\Gamma = (\Gamma, +)$  and a smooth and affine group scheme G over S, we set  $\mathbb{G}^{\Gamma}(G) = \underline{\operatorname{Hom}}_{S-\operatorname{Group}}(\mathbb{D}_{S}(\Gamma), G)$ . Thus

$$\mathbb{G}^{\Gamma}(G): (\mathsf{Sch}/S)^{\circ} \to \mathsf{Set}$$

is representable by a smooth and separated scheme over S.

PROPOSITION 10. Let  $f: \mathbb{D}_S(\Gamma) \to G$  be a morphism of group schemes over S, with G separated and of finite presentation. Then for each s in S,

$$\Gamma(s) = \{ \gamma \in \Gamma : \gamma \text{ is trivial on } \ker(f_s) \}$$

belongs to the set  $\mathcal{F}(\Gamma)$  of finitely generated subgroups of  $\Gamma$ . For each  $\Lambda \in \mathcal{F}(\Gamma)$ ,

$$S(\Lambda) = \{ s \in S : \Gamma(s) = \Lambda \}$$

is open and closed in S, and finally

$$\ker(f)_{S(\Lambda)} = \mathbb{D}_{S(\Lambda)}(\Gamma/\Lambda)$$
 and  $\operatorname{im}(f)_{S(\Lambda)} = \mathbb{D}_{S(\Lambda)}(\Lambda)$ .

PROOF. We may assume that S is affine and G is of multiplicative type (using lemma 5 for the latter). Since  $\mathbb{D}_S(\Gamma) = \varprojlim \mathbb{D}_S(\Lambda)$ , it follows again from [20, 8.13.1] that there is some  $\Lambda$  in  $\mathcal{F}(\Gamma)$  such that f factors through  $g: \mathbb{D}_S(\Lambda) \to G$ , i.e.  $\mathbb{D}_S(\Gamma/\Lambda) \subset \ker(f)$ . But then  $\Gamma(s) \subset \Lambda$  for every  $s \in S$ , which proves the first claim. Applying now [1, IX 2.11 (i)] to g gives a finite partition of S into open and closed subsets  $S_i$ , together with a collection of distinct subgroups  $\Lambda_i$  of  $\Lambda$  such that  $\ker(g)_{S_i} = \mathbb{D}_{S_i}(\Lambda/\Lambda_i)$  and  $\operatorname{im}(g)_{S_i} \simeq \mathbb{D}_{S_i}(\Lambda_i)$ . But then  $\ker(f)_{S_i} = \mathbb{D}_{S_i}(\Gamma/\Lambda_i)$ ,  $\operatorname{im}(f)_{S_i} \simeq \mathbb{D}_{S_i}(\Lambda_i)$  and  $S_i = S(\Lambda_i)$ , which proves the remaining claims.  $\square$ 

COROLLARY 11. If  $\Gamma$  is torsion free,  $\operatorname{im}(f)$  is a locally trivial subtorus of G.

REMARK 12. The above proposition suggests another proof of theorem 1 when  $H = \mathbb{D}_S(\Gamma)$ . It shows indeed that the Zariski sheaf  $\mathbb{G}^{\Gamma}(G)$  is the disjoint union of relatively open and closed subsheaves  $\mathbb{G}^{\Gamma}(G)(\Lambda)$ , indexed by  $\Lambda \in \mathcal{F}(\Gamma)$ . Moreover,  $\mathbb{G}^{\Gamma}(G)(\Lambda)$  is isomorphic to the subsheaf  $\mathbb{G}^{\Lambda}(G)(\Lambda)$  of  $\mathbb{G}^{\Lambda}(G)$ , which is representable by a smooth and separated scheme over S by  $[1, XI \ 4.2]$ .

## 2.2. $\Gamma$ -filtrations on reductive groups

Let S be a scheme, G a reductive group over S,  $\mathfrak{g} = \text{Lie}(G)$  its Lie algebra. Let  $\Gamma = (\Gamma, +, \leq)$  be a non-trivial totally ordered commutative group.

2.2.1. Recall from [14, XXVI 3.5] that the sheaf

$$\mathbb{P}(G): (\mathsf{Sch}/S)^{\circ} \to \mathsf{Set}$$

whose section over an S-scheme T are given by

$$\mathbb{P}(G)(T) = \{ \text{parabolic subgroups } P \text{ of } G_T \}$$

is representable, smooth and projective over S, with Stein factorization

$$\mathbb{P}(G) \xrightarrow{t} \mathbb{O}(G) \to S$$

where  $\mathbb{O}(G)$  is the S-scheme of open and closed subschemes of the Dynkin S-scheme  $\mathbb{D}\mathbb{Y}\mathbb{N}(G)$  of the reductive group G/S, see [14, XXIV 3.3]. Both  $\mathbb{D}\mathbb{Y}\mathbb{N}(G)$  and  $\mathbb{O}(G)$  are twisted constant finite schemes over S, thus finite étale over S by [19, 2.7.1.xv] and [21, 17.7.3], and  $\mathbb{O}(G)$  is actually a finite étale cover of S. The morphism t is smooth, projective, with non-empty geometrically connected fibers; it classifies the parabolic subgroups of G in the following sense: two parabolic subgroups  $P_1$  and  $P_2$  of G are conjugated locally in the fpqc topology on S if and only if  $t(P_1) = t(P_2)$ .

**2.2.2.** For a parabolic subgroup P of G with unipotent radical U, we denote by  $\overline{R}(P)$  the radical of P/U [14, XXII 4.3.6]. For the universal parabolic subgroup  $P_u$  of  $G_{\mathbb{P}(G)}$ , we obtain a  $\mathbb{P}(G)$ -torus  $R_{\mathbb{P}(G)} = \overline{R}(P_u)$ . We claim that it descends canonically to an  $\mathbb{O}(G)$ -torus  $R_{\mathbb{O}(G)}$  over  $\mathbb{O}(G)$ . Since t is faithfully flat and quasicompact, it is a morphism of effective descent for affine group schemes by [2, VIII 2.1], thus also for tori by definition [1, IX 1.3]. Our claim now follows from:

LEMMA 13. There exists a canonical descent datum on  $R_{\mathbb{P}(G)}$  with respect to t.

PROOF. We have to show that for any  $T \to S$  and any pair of parabolic subgroups  $P_1$  and  $P_2$  of  $G_T$  such that  $t(P_1) = t(P_2)$ , there exists a canonical isomorphism  $\overline{R}(P_1) \simeq \overline{R}(P_2)$ . Let  $M_i = P_i/U_i$  be the maximal reductive quotient of  $P_i$ , so that  $R_i = \overline{R}(P_i)$  is the radical of  $M_i$ . We may assume that T = S and, by a descent argument, that  $P_2 = \operatorname{Int}(g)(P_1)$  for some  $g \in G(S)$ . Then  $\operatorname{Int}(g)$  induces isomorphisms  $P_1 \to P_2$ ,  $M_1 \to M_2$  and  $R_1 \to R_2$ . Since g is well-defined up to right multiplication by an element of  $P_1(S)$  thanks to  $[14, XXVI 1.2], M_1 \to M_2$  is well-defined up to an inner automorphism of  $M_1$  and  $R_1 \to R_2$  does not depend upon any choice: this is our canonical isomorphism.

**2.2.3.** By [14, XXVI 4.3.4 and 4.3.5], the formula

$$\mathbb{OPP}(G)(T) = \{(P_1, P_2) \text{ pair of opposed parabolic subgroups of } G_T\}$$

defines an open subscheme  $\mathbb{OPP}(G)$  of  $\mathbb{P}(G)^2$  and the two projections

$$p_1, p_2: \mathbb{OPP}(G) \to \mathbb{P}(G)$$

are isomorphic  $U_u$ -torsors, thus affine smooth surjective morphisms with geometrically connected fibers. Here  $U_u$  is the unipotent radical of the universal parabolic subgroup  $P_u$  of  $G_{\mathbb{P}(G)}$ , it acts by conjugation on the fibers, and the isomorphism is the involution  $\iota(P_1,P_2)=(P_2,P_1)$  of the S-scheme  $\mathbb{OPP}(G)$ . We denote by  $(P_u^1,P_u^2)=(p_1^*P_u,p_2^*P_u)$  the universal pair of opposed parabolic subgroups of  $G_{\mathbb{OPP}(G)}$ , by  $U_u^i=p_i^*U_u$  the unipotent radical of  $P_u^i$ , and by  $R_{\mathbb{OPP}(G)}$  the radical of the corresponding universal Levi subgroup  $L_u=P_u^1\cap P_u^2$  of  $G_{\mathbb{OPP}(G)}$ . Thus

$$L_u \simeq P_u^i/U_u^i \simeq p_i^*(P_u/U_u)$$
 and  $R_{\mathbb{OPP}(G)} \simeq p_i^*R_{\mathbb{P}(G)}$ .

We also denote by  $\iota$  the opposition involution on  $\mathbb{O}(G)$ , see [14, XXVI 4.3.1]. Thus

$$t \circ p_2 = t \circ p_1 \circ \iota = \iota \circ t \circ p_1.$$

**2.2.4.** The S-scheme  $\mathbb{G}^{\Gamma}(R_{\mathbb{OPP}(G)})$  represents the functor mapping  $T \to S$  to the set of triples  $(P_1, P_2, f)$  where  $(P_1, P_2)$  is a pair of opposed parabolic subgroups of  $G_T$  with Levi subgroup  $L = P_1 \cap P_2$ , and  $f : \mathbb{D}_T(\Gamma) \to L$  is a central morphism. The next proposition uses the total ordering on  $\Gamma = (\Gamma, +, \leq)$  to define a section

$$\mathbb{G}^{\Gamma}(G) \hookrightarrow \mathbb{G}^{\Gamma}(R_{\mathbb{OPP}(G)}), \qquad f \mapsto (P_f, P_{\iota f}, f)$$

of the obvious forgetful morphism of S-schemes

$$\mathbb{G}^{\Gamma}(R_{\mathbb{OPP}(G)}) \to \mathbb{G}^{\Gamma}(G), \qquad (P_1, P_2, f) \mapsto f.$$

PROPOSITION 14. Let  $f: \mathbb{D}_S(\Gamma) \to G$  be a morphism and write  $\mathfrak{g} = \bigoplus_{\gamma} \mathfrak{g}_{\gamma}$  for the corresponding weight decomposition of  $\mathrm{ad} \circ f: \mathbb{D}_S(\Gamma) \to GL_S(\mathfrak{g})$ . There exists a unique parabolic subgroup  $P_f$  of G containing the centralizer  $L_f$  of f such that

$$\operatorname{Lie}(P_f) = \bigoplus_{\gamma \geq 0} \mathfrak{g}_{\gamma}.$$

Moreover  $L_f$  is a Levi subgroup of  $P_f$ , thus  $P_f = U_f \rtimes L_f$  where  $U_f$  is the unipotent radical of  $P_f$ . For  $\iota f = f^{-1}$ ,  $P_{\iota f}$  is opposed to  $P_f$ ,  $L_f = P_f \cap P_{\iota f}$  and

$$\begin{array}{ll} \operatorname{Lie}(P_f) = \oplus_{\gamma \geq 0} \mathfrak{g}_{\gamma} & \operatorname{Lie}(P_{\iota f}) = \oplus_{\gamma \leq 0} \mathfrak{g}_{\gamma} \\ \operatorname{Lie}(U_f) = \oplus_{\gamma > 0} \mathfrak{g}_{\gamma} & \operatorname{Lie}(U_{\iota f}) = \oplus_{\gamma < 0} \mathfrak{g}_{\gamma} \end{array} \quad and \quad \operatorname{Lie}(L_f) = \mathfrak{g}_0.$$

PROOF. Let Q be the image of f. Then  $L_f$  is the centralizer of Q by lemma 7 and Q is a locally trivial subtorus of G by proposition 10 (since  $\Gamma$  is torsion free). We may assume that Q is trivial, i.e.  $Q \simeq \mathbb{D}_S(\Lambda)$  for some finitely generated subgroup  $\Lambda$  of  $\Gamma$ . The proposition then follows from [14, XXVI 6.1].

PROPOSITION 15. The morphism  $\mathbb{G}^{\Gamma}(G) \to \mathbb{G}^{\Gamma}(R_{\mathbb{OPP}(G)})$  is an open and closed immersion, and  $\mathbb{G}^{\Gamma}(G) \to \mathbb{OPP}(G)$  is a quasi-isotrivial twisted constant morphism.

PROOF. The second assertion follows from the first one by Grothendieck's proposition 3. Given a section  $(P_1, P_2, f)$  of  $\mathbb{G}^{\Gamma}(R_{\mathbb{OPP}(G)})$  over some S-scheme T, we have to show that the condition  $(P_1, P_2) = (P_f, P_{\iota f})$  is representable by an open and closed subscheme of T. It is plainly representable by the inverse image of the diagonal of  $\mathbb{OPP}(G)$  under the S-morphism  $T \to \mathbb{OPP}(G)^2$  defined by our two pairs  $(P_1, P_2)$  and  $(P_f, P_{\iota f})$ , which is a closed subscheme of T since  $\mathbb{OPP}(G)$  is separated over S. On the other hand, since the Levi subgroup  $L = P_1 \cap P_2$  of G is contained in  $L_f = P_f \cap P_{\iota f}$ , our condition  $(P_1, P_2) = (P_f, P_{\iota f})$  is equivalent to  $(\mathfrak{p}_1, \mathfrak{p}_2) = (\bigoplus_{\gamma \geq 0} \mathfrak{g}_{\gamma}, \bigoplus_{\gamma \leq 0} \mathfrak{g}_{\gamma})$  where  $\mathfrak{p}_i = \mathrm{Lie}(P_i)$ : this last claim is local in the fpqc topology on T, we may thus assume that L contains a maximal torus of G and then apply [14, XXII 5.3.5]. Now write  $\mathfrak{u}_i = \bigoplus_{\iota,\gamma}$  for the weight decomposition of the Lie algebra of the unipotent radical of  $P_i$ , and set  $\mathfrak{u}_i^{\pm} = \bigoplus_{\pm \gamma \geq 0} \mathfrak{u}_{\iota,\gamma}$ . Then our Lie algebra condition is equivalent to the vanishing of the locally free sheaf  $\mathfrak{u}_1^- \oplus \mathfrak{u}_2^+$ , and it is therefore representable by the open complement of its support.

REMARK 16. This gives yet another proof of theorem 1 (using Grothendieck's proposition 3), when G is reductive and  $\Gamma$  torsion free (using [24] to construct a total order  $\leq$  on  $\Gamma$ ).

**2.2.5.** The cartesian diagram (in the fibered category of tori over schemes):

$$R_{\mathbb{OPP}(G)} \xrightarrow{p_1} R_{\mathbb{P}(G)} \xrightarrow{t} R_{\mathbb{O}(G)}$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$\mathbb{OPP}(G) \xrightarrow{p_1} \mathbb{P}(G) \xrightarrow{t} \mathbb{O}(G)$$

induces an analogous cartesian diagram (in the fibered category of quasi-isotrivial twisted constant group schemes over schemes):

$$\mathbb{G}^{\Gamma}(R_{\mathbb{OPP}(G)}) \xrightarrow{\operatorname{Fil}} \mathbb{G}^{\Gamma}(R_{\mathbb{P}(G)}) \xrightarrow{t} \mathbb{G}^{\Gamma}(R_{\mathbb{O}(G)})$$

$$\downarrow \qquad \qquad \downarrow \qquad \downarrow \qquad \qquad$$

which is given on T-valued points by the following formulas:

$$(P_{1}, P_{2}, f) \xrightarrow{\operatorname{Fil}} (P_{1}, \overline{f}) \xrightarrow{t} (t(P_{1}), \overline{f})$$

$$\downarrow \qquad \qquad \downarrow \qquad$$

Here  $\overline{f}: \mathbb{D}_T(\Gamma) \to \overline{R}(P_1)$  is defined by the diagram

$$\mathbb{D}_{T}(\Gamma) \xrightarrow{f} R(L) \hookrightarrow L$$

$$\downarrow \simeq \qquad \qquad \downarrow \simeq$$

$$\overline{R}(P_{1}) \hookrightarrow P_{1}/U_{1}$$

where  $L = P_1 \cap P_2$  and  $U_1$  is the unipotent radical of  $P_1$ .

LEMMA 17. The open and closed subscheme  $\mathbb{G}^{\Gamma}(G)$  of  $\mathbb{G}^{\Gamma}(R_{\mathbb{OPP}(G)})$  is saturated with respect to  $\mathbb{G}^{\Gamma}(R_{\mathbb{OPP}(G)}) \to \mathbb{G}^{\Gamma}(R_{\mathbb{P}(G)})$  and  $\mathbb{G}^{\Gamma}(R_{\mathbb{OPP}(G)}) \to \mathbb{G}^{\Gamma}(R_{\mathbb{O}(G)})$ .

PROOF. It is sufficient to establish that it is saturated with respect to the second map. We have to show: for an S-scheme T, a morphism  $f: \mathbb{D}_T(\Gamma) \to G_T$ , a pair of opposed parabolic subgroups  $(P_1, P_2)$  of  $G_T$  with Levi  $L = P_1 \cap P_2$ , and a central morphism  $h: \mathbb{D}_T(\Gamma) \to L$ , if  $(P_f, P_{\iota f}, f)$  and  $(P_1, P_2, h)$  have the same image in  $\mathbb{G}^{\Gamma}(R_{\mathbb{D}(G)})(T)$ , then  $(P_1, P_2) = (P_h, P_{\iota h})$ . This is local in the fpqc topology on T. Since  $t(P_f) = t(P_1)$  by assumption, we may assume that there is a  $g \in G(T)$  such that  $\mathrm{Int}(g)(P_f, P_{\iota f}) = (P_1, P_2)$  by [14, 4.3.4 iii]. But then also  $\mathrm{Int}(g) \circ f = h$  (by assumption), thus  $(P_1, P_2) = \mathrm{Int}(g)(P_f, P_{\iota f}) = (P_h, P_{\iota h})$ .

**2.2.6.** By an elementary case of fpqc descent (along  $p_1$  and t), we thus obtain a cartesian diagram of open and closed embeddings of smooth S-schemes,

$$\mathbb{G}^{\Gamma}(G) \xrightarrow{\operatorname{Fil}} \mathbb{F}^{\Gamma}(G) \xrightarrow{t} \mathbb{C}^{\Gamma}(G)$$

$$\downarrow \qquad \qquad \qquad \qquad \qquad \downarrow \qquad \qquad \qquad \downarrow \qquad$$

which in turns gives our fundamental cartesian diagram of smooth S-schemes

$$\mathbb{G}^{\Gamma}(G) \xrightarrow{\operatorname{Fil}} \mathbb{F}^{\Gamma}(G) \xrightarrow{t} \mathbb{C}^{\Gamma}(G)$$

$$\downarrow \qquad \qquad \downarrow \qquad \downarrow \qquad \downarrow \qquad \qquad \downarrow \qquad$$

The S-group scheme G acts on both diagrams by conjugation and their last column are the quotients of the other two columns by the action of G in the category of fpqc sheaves on S. The morphism  $\operatorname{Fil}:\mathbb{G}^{\Gamma}(G)\to\mathbb{F}^{\Gamma}(G)$  is a  $U_{\mathbb{F}^{\Gamma}(G)}$ -torsor, where  $U_{\mathbb{F}^{\Gamma}(G)}$  is the unipotent radical of the pull-back  $P_{\mathbb{F}^{\Gamma}(G)}$  of the universal parabolic subgroup  $P_u$  of  $G_{\mathbb{P}(G)}$ . In particular, it is affine smooth surjective with geometrically connected fibers. The morphism  $t:\mathbb{F}^{\Gamma}(G)\to\mathbb{C}^{\Gamma}(G)$  is projective smooth surjective with geometrically connected fibers. The three facet morphisms F are quasi-isotrivial twisted constant (i.e. locally constant in the étale topology on their base), in particular they are separated and étale by lemma 4. We will see in due time that they are also surjective (4.1.11). Since  $\mathbb{O}(G)$  is a finite étale cover of S, everyone is smooth, surjective and separated over S. We denote by

$$0: S \to \mathbb{G}^{\Gamma}(G), \quad 0: S \to \mathbb{F}^{\Gamma}(G) \quad \text{and} \quad 0: S \to \mathbb{C}^{\Gamma}(G)$$

the element of  $\mathbb{G}^{\Gamma}(G)(S)$  corresponding to the trivial morphism  $\mathbb{D}_{S}(\Gamma) \to G$  or its images in  $\mathbb{F}^{\Gamma}(G)(S)$  or  $\mathbb{C}^{\Gamma}(G)(S)$ . They respectively map to  $(G, G) \in \mathbb{OPP}(G)(S)$ ,  $G \in \mathbb{P}(G)$  and  $\mathbb{DYN}(G) \in \mathbb{O}(G)$ . Being sections of separated S-schemes, these 0-sections are closed immersions and the last one is also open.

If S is irreducible and geometrically unibranch, then so are the connected components of  $\mathbb{O}(G)$ . Over each of them, the facet map  $F:\mathbb{C}^{\Gamma}(G)\to\mathbb{O}(G)$  is then merely an infinite disjoint union of finite étale covers, see lemma 4. The same decomposition then also holds for its pull-backs over  $\mathbb{P}(G)$  or  $\mathbb{OPP}(G)$ .

**2.2.7.** For an S-scheme T and morphisms  $x, y : \mathbb{D}_T(\Gamma) \to G_T$ , we have

$$\operatorname{Fil}(x) = \operatorname{Fil}(y)$$
 in  $\mathbb{F}^{\Gamma}(G)(T)$   $\iff$   $\exists p \in P_x(T) : \operatorname{Int}(p)(x) = y$   $\iff$   $\exists u \in U_x(T) : \operatorname{Int}(u)(x) = y$ 

and then such a u is unique. This equivalence relation is known as the Parequivalence and denoted by  $x \sim_{\text{Par}} y$ . If T is an (absolutely) affine scheme, then

$$\mathbb{F}^{\Gamma}(G)(T) = \mathbb{G}^{\Gamma}(G)(T)/\sim_{\operatorname{Par}}$$

by [14, XXVI 2.2]. On the other hand,

$$t \circ \operatorname{Fil}(x) = t \circ \operatorname{Fil}(y) \quad \text{in} \quad \mathbb{C}^{\Gamma}(G)(T)$$
  
 $\iff \text{fpqc locally on } T, \exists q \in G(T) : \operatorname{Int}(q)(x) = y.$ 

If T is semi-local, then by [14, XXVI 5.2],

$$\begin{array}{ccc} & t \circ \mathrm{Fil}(x) = t \circ \mathrm{Fil}(y) & \mathrm{in} & \mathbb{C}^{\Gamma}(G)(T) \\ \Longleftrightarrow & \exists g \in G(T) : \ \mathrm{Int}(g)(x) = y. \end{array}$$

**2.2.8.** For an S-scheme T and  $\mathcal{F}$  in  $\mathbb{F}^{\Gamma}(G)(T)$ , we denote by  $(P_{\mathcal{F}}, \overline{\mathcal{F}})$  the image of  $\mathcal{F}$  in  $\mathbb{G}^{\Gamma}(R_{\mathbb{P}(G)})(T)$ . Thus  $P_{\mathcal{F}} = F(\mathcal{F})$  is a parabolic subgroup of  $G_T$ , equal to the stabilizer of  $\mathcal{F}$  in  $G_T$  by [14, XXVI 1.2] and  $\overline{\mathcal{F}}: \mathbb{D}_T(\Gamma) \to \overline{R}(P_{\mathcal{F}})$  is a morphism of tori over T. We write  $U_{\mathcal{F}} = R^u P_{\mathcal{F}}$  for the unipotent radical of  $P_{\mathcal{F}}$ , so that  $\overline{R}(P_{\mathcal{F}})$  is the radical of  $P_{\mathcal{F}}/U_{\mathcal{F}}$ . If L is a Levi subgroup of  $P_{\mathcal{F}} = U_{\mathcal{F}} \rtimes L$  and  $f: \mathbb{D}_T(\Gamma) \to L$  is the corresponding central morphism lifting  $\overline{\mathcal{F}}$ , then

$$\mathcal{F} = \operatorname{Fil}(f)$$
 and  $L_f = L$ .

The inversion  $f \mapsto f^{-1}$  yields compatible involutions on  $\mathbb{G}^{\Gamma}(G)$  and  $\mathbb{C}^{\Gamma}(G)$ , which we shall both denote by  $\iota$ . By proposition 14, they are also compatible with the eponymous involutions on  $\mathbb{OPP}(G)$  and  $\mathbb{O}(G)$ :

$$F \circ \iota = \iota \circ F$$
 on  $\mathbb{G}^{\Gamma}(G)$  or  $\mathbb{C}^{\Gamma}(G)$ .

2.2.9. Functoriality. The formation of our fundamental diagram

$$\mathbb{G}^{\Gamma}(G) \xrightarrow{\operatorname{Fil}} \mathbb{F}^{\Gamma}(G) \xrightarrow{t} \mathbb{C}^{\Gamma}(G)$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad$$

is plainly compatible with base change on S. We will see later on (corollary 56) that the first line is covariantly functorial in  $\Gamma$  and G. This is obvious for  $\mathbb{G}^{\Gamma}(G)$  and easy for  $\mathbb{C}^{\Gamma}(G) = G \backslash \mathbb{G}^{\Gamma}(G)$ , but not so for  $\mathbb{F}^{\Gamma}(G)$ : the  $\Gamma$ -functoriality of  $\mathbb{G}^{\Gamma}(G)$  is not compatible with the facet maps, and the second line of our diagram is simply not functorial in G. To showcase the first (bad) behavior, note that we will eventually have an action of the set  $\operatorname{End}(\Gamma,+,\leq)$  of non-decreasing homomorphisms of  $\Gamma$  by morphisms of S-schemes on the first line, simply denoted by  $(\lambda,x)\mapsto \lambda\cdot x$ . Then  $x\mapsto 0\cdot x$  is nothing but the structural morphism of the S-scheme  $\mathbb{G}^{\Gamma}(G)$ ,  $\mathbb{F}^{\Gamma}(G)$  or  $\mathbb{C}^{\Gamma}(G)$ , followed by the corresponding 0-section. Thus  $F(0\cdot x)=\mathbb{D}\mathbb{Y}\mathbb{N}(G)$  in  $\mathbb{O}(G)$  for any  $x\in\mathbb{C}^{\Gamma}(G)$ . However, for a monomorphism  $\gamma:(\Gamma_1,+,\leq)\hookrightarrow(\Gamma_2,+,\leq)$ , the induced morphisms  $\gamma$  in the commutative diagram

are open and closed immersions which commute with the facet maps: this follows from proposition 10 and 14, given the construction of our fundamental diagram.

**2.2.10.**  $\mathbb{C}^{\Gamma}(G)$  is a commutative monoid. There is natural structure of commutative monoid on the S-scheme  $\mathbb{O}(G)$ , given by the intersection morphism

$$\cap : \mathbb{O}(G) \times_S \mathbb{O}(G) \to \mathbb{O}(G) \qquad (a,b) \mapsto a \cap b$$

Let  $\mathbb{O}'(G)$  be the open and closed subscheme of  $\mathbb{O}(G) \times_S \mathbb{O}(G)$  on which  $a \cap b = a$ , i.e.  $a \subset b$ . Let  $p_1$  and  $p_2 : \mathbb{O}'(G) \to \mathbb{O}(G)$  be the two projections. We claim:

LEMMA 18. There exists a canonical morphism  $p_2^*R_{\mathbb{O}(G)} \to p_1^*R_{\mathbb{O}(G)}$ .

PROOF. Let  $\mathbb{P}'(G)$  be the inverse image of  $\mathbb{O}'(G)$  in  $\mathbb{P}(G) \times_S \mathbb{P}(G)$ , and denote by  $q_1$  and  $q_2 : \mathbb{P}'(G) \to \mathbb{P}(G)$  the two projections. Then  $q_i^*(R_{\mathbb{P}(G)}) = (p_i^*R_{\mathbb{O}(G)})_{\mathbb{P}'(G)}$  for  $i \in \{1, 2\}$ . We have to show that there is a canonical morphism

$$q_2^* R_{\mathbb{P}(G)} \to q_1^* R_{\mathbb{P}(G)}$$

compatible with the descent data on both sides. This boils down to: for any  $S' \to S$  and  $(P_1, P_2) \in \mathbb{P}'(G)(S')$ , there exists a canonical morphism  $\overline{R}(P_2) \to \overline{R}(P_1)$ . We may assume that S' = S. Since  $t(P_1) \subset t(P_2)$ , there exists by [14, XXVI 3.8] a unique parabolic subgroup  $P'_2$  of G, containing  $P_1$ , such that  $t(P_2) = t(P'_2)$ . Using the canonical isomorphism  $\overline{R}(P'_2) \simeq \overline{R}(P_2)$ , we may thus assume that  $P'_2 = P_2$ , i.e.  $P_1 \subset P_2$ . Let  $U_i$  be the unipotent radical of  $P_i$ , so that  $U_2 \subset U_1$  is a normal subgroup of  $P_1$ . Then  $P_1/U_2$  is a parabolic subgroup of  $P_2/U_2$  with maximal reductive quotient  $P_1/U_1$ , which reduces us further to the case where  $G = P_2$ . Then  $P_1$  contains the radical  $\overline{R}(G) = R(G)$  of G, and  $P_1 \to P_1/U_1$  maps R(G) to the radical  $\overline{R}(P_1)$  of  $P_1/U_1$ . This yields our canonical morphism  $\overline{R}(P_2) \to \overline{R}(P_1)$ .  $\square$ 

Pulling back the above morphism through

$$\mathbb{O}(G) \times_S \mathbb{O}(G) \to \mathbb{O}'(G)$$

$$(a,b) \mapsto (a \cap b,b)$$

we obtain a morphism  $p_2^*R_{\mathbb{O}(G)} \to (\cap)^*R_{\mathbb{O}(G)}$  of tori over  $\mathbb{O}(G) \times_S \mathbb{O}(G)$ . By symmetry, there is also a morphism  $p_1^*R_{\mathbb{O}(G)} \to (\cap)^*R_{\mathbb{O}(G)}$ . The product of these two yields a morphism in the fibered category of tori over  $\mathsf{Sch}/S$ ,

Composing it with the multiplication map on the  $\mathbb{O}(G)$ -torus  $R_{\mathbb{O}(G)}$ , we obtain yet another such morphism, namely

$$\begin{array}{ccc} R_{\mathbb{O}(G)} \times_S R_{\mathbb{O}(G)} & \longrightarrow R_{\mathbb{O}(G)} \\ & & \downarrow & & \downarrow \\ \mathbb{O}(G) \times_S \mathbb{O}(G) & \stackrel{\cap}{\longrightarrow} \mathbb{O}(G) \end{array}$$

Applying now the  $\mathbb{G}^{\Gamma}(-)$  construction to the latter diagram yields a morphism

$$\mathbb{G}^{\Gamma}(R_{\mathbb{O}(G)}) \times_{S} \mathbb{G}^{\Gamma}(R_{\mathbb{O}(G)}) \longrightarrow \mathbb{G}^{\Gamma}(R_{\mathbb{O}(G)})$$

$$\downarrow \qquad \qquad \downarrow$$

$$\mathbb{O}(G) \times_{S} \mathbb{O}(G) \xrightarrow{\ \ \, \cap \ \ \, } \mathbb{O}(G)$$

in the fibered category of commutative group schemes over  $\operatorname{Sch}/S$ . The top map of this diagram defines a commutative monoid structure on the S-scheme  $\mathbb{G}^{\Gamma}(R_{\mathbb{O}(G)})$ . By construction, the structural morphism  $\mathbb{G}^{\Gamma}(R_{\mathbb{O}(G)}) \to \mathbb{O}(G)$  is compatible with the monoid structures on both sides.

LEMMA 19. The S-scheme  $\mathbb{C}^{\Gamma}(G)$  is a submonoid of  $\mathbb{G}^{\Gamma}(R_{\mathbb{Q}(G)})$ .

PROOF. Using additive notations, we have to show that for  $S' \to S$  and  $c_1, c_2$  in  $\mathbb{C}^{\Gamma}(G)(S')$ , there exists an fpqc cover  $S'' \to S'$  and an element  $f \in \mathbb{G}^{\Gamma}(G)(S'')$  such that  $c_1 + c_2 = t \circ \operatorname{Fil}(f)$  in  $\mathbb{G}^{\Gamma}(R_{\mathbb{O}(G)})$ . We may assume that S' = S and  $c_i = t \circ \operatorname{Fil}(f_i)$  for some  $f_i : \mathbb{D}_S(\Gamma) \to G$ . Using [14, XXVI 1.14 and XXIV 1.5], we may also assume that there is an épinglage  $(G, T, \Delta, \cdots)$  which is adapted to  $P_1 = P_{f_1}$  and  $P_2 = P_{f_2}$ . Then by [14, XXVI 1.6 and 1.8], we may assume that  $L_1 = L_{f_1}$  and  $L_2 = L_{f_2}$  both contain the maximal torus T of G, so that both  $f_1$  and  $f_2$  factor through T. Let  $f = f_1 + f_2 : \mathbb{D}_S(\Gamma) \to T \hookrightarrow G$  and  $P = P_f$ . We claim that  $c_1 + c_2 = t \circ \operatorname{Fil}(f)$ . By [14, XXVI 3.2],  $t(P_i) = \Delta(P_i)_S$  where  $\Delta(P_i) \subset \Delta \subset \operatorname{Hom}(T, \mathbb{G}_{m,S})$  is the set of simple roots occurring in  $\operatorname{Lie}(L_i)$ , i.e.  $\Delta(P_i) = \{\alpha \in \Delta : \alpha \circ f_i = 0 \in \Gamma\}$ . By construction,  $\alpha \circ f_i \geq 0$  in  $\Gamma$  for every  $\alpha \in \Delta$ , thus also  $\alpha \circ f = \alpha \circ f_1 + \alpha \circ f_2 \geq 0$  in  $\Gamma$  for every  $\alpha \in \Delta$ , with  $\alpha \circ f = 0$  if and only if  $\alpha \circ f_1 = 0 = \alpha \circ f_2$ . It follows that our épinglage is also adapted to P, with  $\Delta(P) = \Delta(P_1) \cap \Delta(P_2)$ , i.e.  $t(P) = t(P_1) \cap t(P_2)$  in  $\mathbb{O}(G)(S)$ . The inclusion  $P \subset P_i$  induces the canonical morphism  $\operatorname{can}_i : \overline{R}(P_i) \to \overline{R}(P)$  and one checks easily that  $\overline{f} = \operatorname{can}_1 \circ \overline{f}_1 + \operatorname{can}_2 \circ \overline{f}_2$ . Thus by definition,

$$c_1+c_2=t(P,\operatorname{can}_1\circ\overline{f}_1+\operatorname{can}_2\circ\overline{f}_2)=t(P,\overline{f})=t\circ\operatorname{Fil}(f)$$

as was to be shown.

REMARK 20. The 0-section of  $\mathbb{C}^{\Gamma}(G)$  is the identity element of its monoid structure. The latter is compatible with functoriality in  $\Gamma$ , but not with functoriality in G: if H is a subtorus of G and f is a section of  $\mathbb{C}^{\Gamma}(H) = \mathbb{F}^{\Gamma}(H) = \mathbb{C}^{\Gamma}(H)$ , then  $f + \iota f$  is trivial in  $\mathbb{C}^{\Gamma}(H)$ , but not necessarily in  $\mathbb{C}^{\Gamma}(G)$ .

**2.2.11.** Isogenies. Suppose that the commutative group  $\Gamma$  is uniquely divisible, i.e. that it is a  $\mathbb{Q}$ -vector space.

Proposition 21. The fundamental cartesian diagram

$$\mathbb{G}^{\Gamma}(G) \xrightarrow{\operatorname{Fil}} \mathbb{F}^{\Gamma}(G) \xrightarrow{t} \mathbb{C}^{\Gamma}(G)$$

$$\downarrow^{F} \qquad \downarrow^{F} \qquad \downarrow^{F}$$

$$\mathbb{OPP}(G) \xrightarrow{p_{1}} \mathbb{P}(G) \xrightarrow{t} \mathbb{O}(G)$$

is invariant under central isogenies:

PROOF. The bottom line only depends upon the adjoint group  $G^{\mathrm{ad}} = G/Z(G)$ : this is true for  $\mathbb{O}(G)$  because  $\mathbb{DYN}(G) = \mathbb{DYN}(G^{\mathrm{ad}})$  by definition of the Dynkin S-scheme [14, XXIV 3.3] in view of [14, XXII 4.3.7], and the maps  $P \mapsto P/Z(G)$  and  $P^{\mathrm{ad}} \mapsto \mathrm{ad}^{-1}(P^{\mathrm{ad}})$  (where  $\mathrm{ad}: G \to G^{\mathrm{ad}}$  is the quotient map) induce mutually inverse bijections between parabolic subgroups of G and parabolic subgroups of  $G^{\mathrm{ad}}$ , which are compatible with the type maps and with opposition. For the top line, let  $f: G_1 \to G_2$  be a central isogeny [14, XXII 4.2.9]. We first claim that composition with f yields an isomorphism  $\mathbb{G}^{\Gamma}(G_1) \to \mathbb{G}^{\Gamma}(G_2)$ : for split tori, this immediately follows from [15, VIII 1.5] and our assumption on  $\Gamma$ ; for tori, our claim is local in the fpqc topology on S by [19, 2.7.1], which reduces us to the previous case; for arbitrary reductive groups, use lemma 5 and [1, XVII 7.1.1]. If now  $P_1$  is a parabolic subgroup of  $G_1$  with image  $P_2$  in  $G_2$ , then f induces an isogeny  $\overline{R}(P_1) \to \overline{R}(P_2)$ . Thus f yields an isogeny  $R_f: R_{\mathbb{P}(G_1)} \to R_{\mathbb{P}(G_2)}$  of tori over  $\mathbb{P}(G_1) \simeq \mathbb{P}(G_2)$ . The induced isomorphism  $\mathbb{G}^{\Gamma}(R_{\mathbb{P}(G_1)}) \simeq \mathbb{G}^{\Gamma}(R_{\mathbb{P}(G_2)})$  is compatible

with the morphisms  $\mathbb{G}^{\Gamma}(G_i) \to \mathbb{G}^{\Gamma}(R_{\mathbb{P}(G_i)})$ , therefore also  $\mathbb{F}^{\Gamma}(G_1) \simeq \mathbb{F}^{\Gamma}(G_2)$ . Since  $R_f$  is also compatible with the canonical descent data of lemma 13, it descends to an isogeny  $R_f: R_{\mathbb{O}(G_1)} \to R_{\mathbb{O}(G_2)}$  of tori over  $\mathbb{O}(G_1) \simeq \mathbb{O}(G_2)$ . The induced isomorphism  $\mathbb{G}^{\Gamma}(R_{\mathbb{O}(G_1)}) \simeq \mathbb{G}^{\Gamma}(R_{\mathbb{O}(G_2)})$  is again compatible with the morphisms  $\mathbb{F}^{\Gamma}(G_i) \to \mathbb{G}^{\Gamma}(R_{\mathbb{O}(G_i)})$ , therefore also  $\mathbb{C}^{\Gamma}(G_1) \simeq \mathbb{C}^{\Gamma}(G_2)$ .

Plainly, the above diagrams are also compatible with products. Considering the canonical diagram of central isogenies [14, XXII 4.3 & 6.2]

$$R(G) \times G^{\operatorname{der}} \to G \to G^{\operatorname{ab}} \times G^{\operatorname{ss}} \to G^{\operatorname{ab}} \times G^{\operatorname{ad}}$$

where R(G) is the radical of G,  $G^{\text{der}}$  its derived group,  $G^{\text{ab}} = G/G^{\text{der}}$  its coradical,  $G^{\text{ss}} = G/R(G)$  its semi-simplification and  $G^{\text{ad}} = G/Z(G)$  its adjoint group, we obtain compatible canonical decompositions

$$\begin{array}{rclcrcl} \mathbb{G}^{\Gamma}(G) & = & \mathbb{G}^{\Gamma}(G)^r & \times & \mathbb{G}^{\Gamma}(G)^c \\ \mathbb{F}^{\Gamma}(G) & = & \mathbb{F}^{\Gamma}(G)^r & \times & \mathbb{F}^{\Gamma}(G)^c \\ \mathbb{C}^{\Gamma}(G) & = & \mathbb{C}^{\Gamma}(G)^r & \times & \mathbb{C}^{\Gamma}(G)^c \end{array}$$

with 
$$\mathbb{G}^{\Gamma}(G)^c = \mathbb{F}^{\Gamma}(G)^c = \mathbb{C}^{\Gamma}(G)^c = \mathbb{G}^{\Gamma}(R(G)) = \mathbb{G}^{\Gamma}(G^{ab}) = \mathbb{G}^{\Gamma}(Z(G))$$
 and

The decomposition of  $\mathbb{C}^{\Gamma}(G)$  is compatible with its monoid structure.

### 2.3. Relative positions of $\Gamma$ -filtrations

Let G be a reductive group over S.

**2.3.1. Standard positions.** Recall that two parabolic subgroups  $P_1$  and  $P_2$  of G are said to be in standard (relative) position if and only if they satisfy the equivalent conditions of [14, XXVI 4.5.1], in particular: (i)  $P_1 \cap P_2$  is smooth over S, or (ii)  $P_1 \cap P_2$  is a subgroup of type (R) of G, or (iv)  $P_1 \cap P_2$  contains, locally on S for the Zariski topology, a maximal torus of G. Then all such maximal tori are, locally on S for the étale topology, conjugated in  $P_1 \cap P_2$  [1, XII 7.1]. In any case,  $P_1 \cap P_2$  has geometrically connected fibers [5, 4.5]. For an S-scheme T, we set

$$\mathbb{STD}(G)(T) = \{(P_1, P_2) \in \mathbb{P}(G)^2(T) : P_1 \text{ and } P_2 \text{ are in standard position} \}.$$

By [14, XXVI 4.5.3], this defines a representable subsheaf of  $\mathbb{P}(G)^2$  with Stein factorization

$$\mathbb{STD}(G) \xrightarrow{t_2} \mathbb{TSTD}(G) \longrightarrow S$$

fitting in a commutative (but not cartesian) diagram

$$\mathbb{STD}(G) \xrightarrow{t_2} \mathbb{TSTD}(G)$$

$$\downarrow \qquad \qquad q \downarrow$$

$$\mathbb{P}(G)^2 \xrightarrow{t^2} \mathbb{D}(G)^2$$

where q is a finite étale surjective morphism while  $t_2$  is a smooth, surjective, finitely presented morphism with geometrically connected fibers which is a quotient of

 $\mathbb{STD}(G)$  by the diagonal action of G in the category of fpqc sheaves on S. By [14, XXVI 4.2.5 & 4.4.3], the morphism q has two canonical sections

$$tr, os: \mathbb{O}(G)^2 \to \mathbb{TSDT}(G)$$

corresponding respectively to the transverse and osculatory (standard) positions. By [14, XXVI 4.2.4],  $t_2^{-1}(\operatorname{im}(tr))$  is a relatively dense open S-subscheme  $\mathbb{GEN}(G)$  of  $\mathbb{P}(G)^2$ . Pulling back everything through the surjective étale facet morphism  $F^2: \mathbb{C}^{\Gamma}(G)^2 \to \mathbb{O}(G)^2$ , we thus obtain a commutative diagram

where  $t_2$  and q still have the properties listed above, together with a relatively dense open S-subscheme  $\mathbb{GEN}^{\Gamma}(G)$  of  $\mathbb{F}^{\Gamma}(G)^2$ . For a scheme Z over  $\mathbb{P}(G)^2$ , we set

$$\mathbb{STD}(Z) = Z \times_{\mathbb{P}(G)^2} \mathbb{STD}(G).$$

For instance,  $\mathbb{STD}^{\Gamma}(G) = \mathbb{STD}(\mathbb{F}^{\Gamma}(G) \times_{S} \mathbb{F}^{\Gamma}(G)).$ 

REMARK 22. The monomorphisms  $\mathbb{STD}(G) \hookrightarrow \mathbb{P}(G)^2$  and  $\mathbb{STD}^{\Gamma}(G) \hookrightarrow \mathbb{F}^{\Gamma}(G)^2$  are surjective. More precisely, for any S-scheme  $T = \operatorname{Spec}(k)$  with k a field,

$$\mathbb{STD}(G)(k) = \mathbb{P}(G)^2(k)$$
 and  $\mathbb{STD}^{\Gamma}(G)(k) = \mathbb{F}^{\Gamma}(G)^2(k)$ 

by Bruhat's theorem [14, XXVI 4.1.1].

## 2.3.2. The addition map on $\Gamma$ -filtrations.

Proposition 23. There is an S-morphism

$$+: \mathbb{STD}^{\Gamma}(G) \to \mathbb{F}^{\Gamma}(G), \qquad (\mathcal{F}, \mathcal{G}) \mapsto \mathcal{F} + \mathcal{G}$$

such that for every S-scheme T,  $(\mathcal{F},\mathcal{G}) \in \mathbb{STD}^{\Gamma}(G)(T)$  and  $\mathcal{H} \in \mathbb{F}^{\Gamma}(G)(T)$ ,

$$\mathcal{F}+\mathcal{G}=\mathcal{G}+\mathcal{F} \quad and \quad \mathcal{H}+0=0+\mathcal{H}=\mathcal{H} \quad in \quad \mathbb{F}^{\Gamma}(G)(T).$$

PROOF. Since  $(P_{\mathcal{F}}, P_{\mathcal{G}}) \in \mathbb{STD}(G)(T)$ , there is, locally on T for the Zariski topology, a maximal torus H of  $G_T$  inside  $P_{\mathcal{F}} \cap P_{\mathcal{G}}$  [14, XXVI 4.5.1]. Let  $L_{\mathcal{F}}$ and  $L_{\mathcal{G}}$  be the Levi subgroups of  $P_{\mathcal{F}}$  and  $P_{\mathcal{G}}$  containing H [14, XXVI 1.6], let  $f: \mathbb{D}_T(\Gamma) \to L_{\mathcal{F}}$  and  $g: \mathbb{D}_T(\Gamma) \to L_{\mathcal{G}}$  be the corresponding central morphisms lifting  $\overline{\mathcal{F}}$  and  $\overline{\mathcal{G}}$ . Then f and g both factor through H, and their product f+g in the commutative group H is a group homomorphism  $\mathbb{D}_T(\Gamma) \to G_T$ . We claim that  $\mathcal{F} + \mathcal{G} = \text{Fil}(f+g)$  does not depend upon the choice of the maximal torus Hthe whole construction is then indeed local in the Zariski topology on T as well as functorial in the S-scheme T, and the resulting S-morphism  $+: \mathbb{STD}^{\Gamma}(G) \to \mathbb{F}^{\Gamma}(G)$ obviously has the requested properties. Let thus H' be another maximal torus of  $G_T$ inside  $K = P_{\mathcal{F}} \cap P_{\mathcal{G}}$ , giving rise to f', g' and  $f' + g' : \mathbb{D}_T(\Gamma) \to H' \subset G$ . Then, locally on T for the étale topology, there is a  $k \in K(T)$  such that H' = Int(k)(H) by [1, XII] 7.1], in which case also  $f' = \operatorname{Int}(k) \circ f$ ,  $g' = \operatorname{Int}(k) \circ g$  and  $f' + g' = \operatorname{Int}(k) \circ (f + g)$ . It is thus sufficient to establish that  $K \subset P_{f+g}$ . This second claim is again local in the étale topology on T, which reduces us further to the following case: (G, H, M, R)is a split group over S = T (i.e.  $H \simeq \mathbb{D}_S(M)$  and  $R \subset M$  is the set of roots of Hin Lie(G)) with f and g respectively induced by morphisms  $f^{\sharp}$  and  $g^{\sharp}: M \to \Gamma$ , so that f+g is induced by  $(f+g)^{\sharp}=f^{\sharp}+g^{\sharp}$ . For a closed subset R' of R, we denote by  $H_{R'} \supset H$  the corresponding subgroup of G of type (R), as in [14, XXII 5.4] (thus  $H = H_{\emptyset}$  and  $G = H_R$ ). Then  $P_{\mathcal{F}} = H_{R(f)}$ ,  $P_{\mathcal{G}} = H_{R(g)}$  and  $P_{f+g} = H_{R(f+g)}$  where  $R(h) = \{\alpha \in R : h^{\sharp}(\alpha) \geq 0\}$  by definition of these parabolic subgroups of G. Thus  $K = H_{R(f) \cap R(g)}$  is contained in  $H_{R(f+g)} = P_{f+g}$  by [14, XXII 5.4.5].

REMARK 24. It is typically not true that  $t(\mathcal{F} + \mathcal{G}) = t(\mathcal{F}) + t(\mathcal{G})$  in  $\mathbb{C}^{\Gamma}(G)$ , but this holds when  $\mathcal{F}$  and  $\mathcal{G}$  are in osculatory position.

## **2.3.3.** We record here a special case of the functoriality of $\mathbb{F}^{\Gamma}(-)$ .

PROPOSITION 25. Let L be a Levi subgroup of a parabolic subgroup P of G with unipotent radical U. Then: (1) for  $f: \mathbb{D}_S(\Gamma) \to L$  inducing  $g: \mathbb{D}_S(\Gamma) \to G$  and  $h: \mathbb{D}_S(\Gamma) \to P/U$ , the parabolic subgroups P and  $P_g$  of G are in standard relative position,  $K = P \cap P_g$  is a smooth subgroup scheme of G,  $K \cdot U$  is a parabolic subgroup of G with Levi  $L_f$ ,  $K \cap L = (K \cdot U) \cap L = P_f$  and  $K \cdot U/U = P_h$  in P/U. (2) There is a unique morphism  $\iota: \mathbb{F}^{\Gamma}(L) \to \mathbb{F}^{\Gamma}(G)$  such that the diagram

$$\begin{array}{ccc} \mathbb{G}^{\Gamma}(L) & \longrightarrow \mathbb{G}^{\Gamma}(G) \\ & & \downarrow_{\mathrm{Fil}} & & \downarrow_{\mathrm{Fil}} \\ \mathbb{F}^{\Gamma}(L) & \stackrel{\iota}{\longrightarrow} \mathbb{F}^{\Gamma}(G) & \end{array}$$

is commutative.

PROOF. Everything in (1) is local for the fpqc topology on S. We may thus assume that  $L_f = Z_L(f)$  and G are split with respect to a maximal torus H of G contained in  $L_f$  with H trivial, i.e.  $H = \mathbb{D}_S(M)$  for some finitely generated abelian group M [14, XXII 2.3]. Then  $H \subset L_f = L \cap L_g \subset P \cap P_g$ , thus P and  $P_g$  are in standard relative position,  $K = P \cap P_g$  is a smooth subgroup of G and  $K \cdot U$  is a parabolic subgroup of G by [14, XXVI 4.5.1] and its proof. More precisely, let  $R \subset M$  be the roots of H in  $\mathrm{Lie}(G)$ , so that  $R = R_L \coprod R_U \coprod -R_U$  where  $R_L$  and  $R_U$  are respectively the roots of H in  $\mathrm{Lie}(L)$  and  $\mathrm{Lie}(U)$ . For X in  $\{\emptyset, L, U\}$  let  $R_X = R_X^0 \coprod R_X^+ \coprod R_X^-$  be the decomposition of  $R_X$  induced by g, i.e.

$$R_X^\pm = \{\alpha \in R_X : \pm \alpha \circ g > 0 \text{ in } \Gamma\} \quad \text{and} \quad R_X^0 = \{\alpha \in R_X : \alpha \circ g = 0 \text{ in } \Gamma\}.$$

This yields a decomposition of R in nine pieces, as shown in the following table:

$$\begin{array}{c|ccccc} & L_g & U_g & U_{\iota g} \\ \hline L & R_L^0 & R_L^+ & R_L^- = -R_L^+ \\ U & R_U^0 & R_U^+ & R_U^- \\ -R_U^0 & -R_U^- & -R_U^+ \\ \end{array}$$

For a closed subset R' of R, let H(R') be the subgroup scheme of G of type (R) which is determined by R', see [14, XXII 5.4.2-7]. Thus  $L = H(R_L)$ ,  $P = H(R_L \cup R_U)$ ,  $L_g = H(R^0)$ ,  $P_g = H(R^0 \cup R^+)$ ,  $L_f = H(R_L^0)$  and  $P_f = H(R_L^0 \cup R_L^+)$  while

$$\begin{array}{rcl} K & = & H(R_L^0 \cup R_L^+ \cup R_U^0 \cup R_U^+) \\ \text{and} & K \cdot U & = & H(R_L^0 \cup R_L^+ \cup R_U^0 \cup R_U^+ \cup R_U^-). \end{array}$$

By [14, XXVI 6.1],  $L_f = H(R_L^0)$  is a Levi subgroup of  $K \cdot U$ . By [14, XXII 5.4.5],  $P_f \subset K$ , thus  $P_f \subset K \cap L \subset (K \cdot U) \cap L$ . But  $(K \cdot U) \cap L$  is a parabolic subgroup of L with Levi  $L_f$ , thus  $P_f = K \cap L = (K \cdot U) \cap L$  and  $P_f \cdot U = K \cdot U$  by repeated applications of [14, XXVI 1.20]. Finally,  $P_f$  maps to  $P_h = P_f \cdot U/U = K \cdot U/U$ 

under the isomorphism  $L \simeq P/U$ , which finishes the proof of (1). Then (2) easily follows: if (f, f') induce (g, g') and  $\operatorname{Fil}(f) = \operatorname{Fil}(f')$ , then  $f' = \operatorname{Int}(p) \circ f$  for some  $p \in P_f(S)$ , thus also  $g' = \operatorname{Int}(p) \circ g$  and  $\operatorname{Fil}(g') = \operatorname{Fil}(g)$  since  $P_f = L \cap P_g \subset P_g$ .  $\square$ 

**2.3.4.** Let  $G' = P_u/U_u$  where  $P_u \subset G_{\mathbb{P}(G)}$  is the universal parabolic subgroup with unipotent radical  $U_u = R^u(P_u)$ . Thus G' is a reductive group over  $\mathbb{P}(G)$  and

$$\mathbb{F}^{\Gamma}(G')(T) = \left\{ (P, \mathcal{F}) : P \in \mathbb{P}(G)(T), \, \mathcal{F} \in \mathbb{F}^{\Gamma}(P/U)(T), \, U = R^{u}(P) \right\}$$

for any S-scheme T.

PROPOSITION 26. There is a canonical morphism of schemes over  $\mathbb{P}(G)$ ,

$$\mathbb{STD}\left(\mathbb{P}(G) \times_S \mathbb{F}^{\Gamma}(G)\right) \to \mathbb{F}^{\Gamma}(G') \qquad (P, \mathcal{F}) \mapsto (P, \operatorname{Gr}_P(\mathcal{F})).$$

PROOF. Start with  $(P, \mathcal{F}) \in \mathbb{STD} \left( \mathbb{P}(G) \times_S \mathbb{F}^{\Gamma}(G) \right) (T)$  and put  $K = P \cap P_{\mathcal{F}}$ . Then K is a smooth subgroup scheme of  $G_T$  which contains, locally on T for the Zariski topology, a maximal subtorus H of  $G_T$  [14, XXVI 4.5.1]. Let L and  $L_{\mathcal{F}}$  be the Levi subgroups of P and  $P_{\mathcal{F}}$  containing H [14, XXVI 1.6]. Let  $f: \mathbb{D}_T(\Gamma) \to L_{\mathcal{F}}$  be the central morphism lifting  $\overline{\mathcal{F}}: \mathbb{D}_T(\Gamma) \to \overline{R}(P_{\mathcal{F}})$ , so that  $\mathcal{F} = \mathrm{Fil}(f)$  and  $L_f = L_{\mathcal{F}}$ . Then f factors through the maximal subtorus H of  $L_{\mathcal{F}}$ , which is also a maximal subtorus of L. Let  $h: \mathbb{D}_T(\Gamma) \to P/U$  be the induced morphism. By the previous proposition,  $P_h = K \cdot U/U$ , thus K fixes  $\mathrm{Fil}(h) \in \mathbb{F}^{\Gamma}(P/U)(T)$ . If H' is another maximal subtorus of G contained in K, then, locally on T for the étale topology,  $H' = \mathrm{Int}(k)(H)$  for some  $k \in K(T)$  by [1, XII 7.1]. But then  $L' = \mathrm{Int}(k)(L)$ ,  $L'_{\mathcal{F}} = \mathrm{Int}(k)(L_{\mathcal{F}})$ ,  $f' = \mathrm{Int}(k) \circ f$  and  $h' = \mathrm{Int}(k) \circ h$  are the objects associated to H' as above, thus  $\mathrm{Fil}(h') = k \cdot \mathrm{Fil}(h) = \mathrm{Fil}(h)$  since K fixes  $\mathrm{Fil}(h)$ . It follows that  $\mathrm{Gr}_P(\mathcal{F}) = \mathrm{Fil}(h)$  does not depend upon the choice of H, and also that the whole construction is indeed local in the Zariski topology on T.  $\square$ 

REMARK 27. The pull-back of this morphism through  $p_1: \mathbb{OPP}(G) \to \mathbb{P}(G)$  has a canonical section: for an S-scheme T, the latter is given by the formula

$$(P_1, P_2, \mathcal{F}) \mapsto (P_1, P_2, \iota(\mathcal{F}_L))$$

where  $(P_1, P_2) = (U_1 \rtimes L, U_2 \rtimes L)$  is a pair of opposed parabolic subgroups of  $G_T$  with common Levi subgroup  $L = P_1 \cap P_2$ ,  $\mathcal{F}$  is an element of  $\mathbb{F}^{\Gamma}(P_1/U_1)(T)$ ,  $\mathcal{F}_L$  is its unique lift in  $\mathbb{F}^{\Gamma}(L)(T)$ , and  $\iota : \mathbb{F}^{\Gamma}(L) \to \mathbb{F}^{\Gamma}(G_T)$  is the morphism of proposition 25 (thus indeed  $P_1$  and  $P_{\iota(\mathcal{F}_L)}$  are in standard relative position).

#### CHAPTER 3

## The Tannakian formalism

Let G be an affine and flat group scheme over S and let  $\Gamma = (\Gamma, +, \leq)$  be a non-trivial, totally ordered commutative group. We will define below an equivariant diagram of fpqc sheaves  $(\operatorname{Sch}/S)^{\circ} \to \operatorname{Group}$  or  $(\operatorname{Sch}/S)^{\circ} \to \operatorname{Set}$ :

$$G \qquad \text{acting on} \qquad \mathbb{G}^{\Gamma}(G) \xrightarrow{\quad \text{Fil} \quad} \mathbb{F}^{\Gamma}(G)$$

$$\downarrow^{\iota} \qquad \qquad \downarrow^{\iota} \qquad \qquad \downarrow^{\iota}$$

$$\text{Aut}^{\otimes}(V) \qquad \cdots \qquad \mathbb{G}^{\Gamma}(V) \xrightarrow{\quad \text{Fil} \quad} \mathbb{F}^{\Gamma}(V)$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$\text{Aut}^{\otimes}(V^{\circ}) \text{ or } \text{Aut}^{\otimes}(\omega) \qquad \cdots \qquad \mathbb{G}^{\Gamma}(V^{\circ}) \text{ or } \mathbb{G}^{\Gamma}(\omega) \xrightarrow{\quad \text{Fil} \quad} \mathbb{F}^{\Gamma}(V^{\circ}) \text{ or } \mathbb{F}^{\Gamma}(\omega)$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$\text{Aut}^{\otimes}(\omega^{\circ}) \qquad \cdots \qquad \mathbb{G}^{\Gamma}(\omega^{\circ}) \xrightarrow{\quad \text{Fil} \quad} \mathbb{F}^{\Gamma}(\omega^{\circ})$$

The main result of this chapter will then be the following theorem:

Theorem 28. If G is a reductive group over S, then

If moreover G is isotrivial and S quasi-compact, then also

$$G = \operatorname{Aut}^{\otimes}(\omega^{\circ}), \quad \mathbb{G}^{\Gamma}(G) = \mathbb{G}^{\Gamma}(\omega^{\circ}) \quad and \quad \mathbb{F}^{\Gamma}(G) = \mathbb{F}^{\Gamma}(\omega) = \mathbb{F}^{\Gamma}(\omega^{\circ}).$$

More precisely, we will first show that for any affine flat group scheme G over S,

$$\begin{array}{cccccccc} G & = & \operatorname{Aut}^{\otimes}(V) & = & \operatorname{Aut}^{\otimes}(\omega) \\ \mathbb{G}^{\Gamma}(G) & = & \mathbb{G}^{\Gamma}(V) & = & \mathbb{G}^{\Gamma}(\omega) \\ \mathbb{F}^{\Gamma}(G) & \subset & \mathbb{F}^{\Gamma}(V) & \subset & \mathbb{F}^{\Gamma}(\omega) \end{array}$$

Then, under technical assumptions which are satisfied by all reductive groups (resp. all isotrivial reductive groups over quasi-compact bases), we will also establish that

We will finally show that for G reductive and isotrivial over a quasi-compact S, the morphism  $\mathbb{G}^{\Gamma}(G) \to \mathbb{F}^{\Gamma}(\omega^{\circ})$  is an epimorphism of fpqc sheaves on S. Thus

$$\mathbb{F}^{\Gamma}(G) = \mathbb{F}^{\Gamma}(V) = \mathbb{F}^{\Gamma}(V^{\circ}) = \mathbb{F}^{\Gamma}(\omega) = \mathbb{F}^{\Gamma}(\omega^{\circ})$$

in this case, and the remaining statement, namely

$$\mathbb{F}^{\Gamma}(G) = \mathbb{F}^{\Gamma}(V) = \mathbb{F}^{\Gamma}(V^{\circ})$$

for a reductive group G over an arbitrary S easily follows.

#### 3.1. $\Gamma$ -graduations and $\Gamma$ -filtrations on quasi-coherent sheaves

### **3.1.1.** Let $\mathcal{M}$ be a quasi-coherent sheaf on a scheme X.

DEFINITION 29. A  $\Gamma$ -graduation on  $\mathcal{M}$  is a collection  $\mathcal{G} = (\mathcal{G}_{\gamma})_{\gamma \in \Gamma}$  of quasi-coherent subsheaves of  $\mathcal{M}$  such that  $\mathcal{M} = \bigoplus_{\gamma \in \Gamma} \mathcal{G}_{\gamma}$ . A  $\Gamma$ -filtration on  $\mathcal{M}$  is a collection  $\mathcal{F} = (\mathcal{F}^{\gamma})_{\gamma \in \Gamma}$  of quasi-coherent subsheaves of  $\mathcal{M}$  such that, locally on X for the fpqc topology, there exists a  $\Gamma$ -graduation  $\mathcal{G} = (\mathcal{G}_{\gamma})_{\gamma \in \Gamma}$  on  $\mathcal{M}$  for which  $\mathcal{F}^{\gamma} = \bigoplus_{\gamma \geq \gamma} \mathcal{G}_{\gamma}$ . We call any such  $\mathcal{G}$  a splitting of  $\mathcal{F}$  and write  $\mathcal{F} = \mathrm{Fil}(\mathcal{G})$ . We set

$$\mathcal{F}_{+}^{\gamma} = \bigcup_{\eta > \gamma} \mathcal{F}^{\eta}$$
 and  $\operatorname{Gr}_{\mathcal{F}}^{\gamma} \mathcal{M} = \mathcal{F}^{\gamma} / \mathcal{F}_{+}^{\gamma}$ .

LEMMA 30. Let  $\mathcal{F}$  be a  $\Gamma$ -filtration on  $\mathcal{M}$ . Then  $\gamma \mapsto \mathcal{F}^{\gamma}$  is non-increasing, exhaustive  $(\cup \mathcal{F}^{\gamma} = \mathcal{M})$ , separated  $(\cap \mathcal{F}^{\gamma} = 0)$ , and for every  $\gamma \in \Gamma$ ,

$$0 \to \mathcal{F}^{\gamma} \to \mathcal{M} \to \mathcal{M}/\mathcal{F}^{\gamma} \to 0 \quad and \quad 0 \to \mathcal{F}^{\gamma}_{+} \to \mathcal{F}^{\gamma} \to \mathrm{Gr}^{\gamma}_{\mathcal{F}}(\mathcal{M}) \to 0$$

are pure exact sequences of quasi-coherent sheaves (see 3.13).

PROOF. This is local in the fpqc topology on X, trivial if  $\mathcal{F}$  has a splitting.  $\square$ 

### **3.1.2.** These definitions give rise to a diagram of fpqc stacks over Sch

$$\mathsf{Gr}^{\Gamma}\mathsf{QCoh} \xrightarrow{\mathrm{Fil}} \mathsf{Fil}^{\Gamma}\mathsf{QCoh} \xrightarrow{\mathrm{forg}} \mathsf{QCoh}$$

whose fiber over a scheme X is the diagram of exact  $\otimes$ -functors

$$\mathsf{Gr}^{\Gamma}\mathsf{QCoh}(X) \xrightarrow{\mathrm{Fil}} \mathsf{Fil}^{\Gamma}\mathsf{QCoh}(X) \xrightarrow{\mathrm{forg}} \mathsf{QCoh}(X)$$

where  $\mathsf{QCoh}(X)$  is the abelian  $\otimes$ -category of quasi-coherent sheaves  $\mathcal{M}$  on X,  $\mathsf{Gr}^{\Gamma}\mathsf{QCoh}(X)$  is the abelian  $\otimes$ -category of  $\Gamma$ -graded quasi-coherent sheaves  $(\mathcal{M}, \mathcal{G})$  on X, and  $\mathsf{Fil}^{\Gamma}\mathsf{QCoh}(X)$  is the exact (in Quillen's sense)  $\otimes$ -category of  $\Gamma$ -filtered quasi-coherent sheaves  $(\mathcal{M}, \mathcal{F})$  on X. The morphisms in these last two categories are the morphisms of the underlying quasi-coherent sheaves which preserve the given collections of subsheaves, and the  $\otimes$ -products are given by the usual formulas

$$\begin{array}{lll} (\mathcal{M}_1,\mathcal{G}_1)\otimes(\mathcal{M}_2,\mathcal{G}_2) = (\mathcal{M}_1\otimes\mathcal{M}_2,\mathcal{G}) & \text{with} & \mathcal{G}_{\gamma} = \oplus_{\gamma_1+\gamma_2=\gamma}\mathcal{G}_{1,\gamma_1}\otimes\mathcal{G}_{2,\gamma_2}, \\ (\mathcal{M}_1,\mathcal{F}_1)\otimes(\mathcal{M}_2,\mathcal{F}_2) = (\mathcal{M}_1\otimes\mathcal{M}_2,\mathcal{F}) & \text{with} & \mathcal{F}^{\gamma} = \sum_{\gamma_1+\gamma_2=\gamma}\mathcal{F}_1^{\gamma_1}\otimes\mathcal{F}_2^{\gamma_2}. \end{array}$$

The second formula makes sense by the purity mentioned above, and indeed defines a  $\Gamma$ -filtration on  $\mathcal{M}_1 \otimes \mathcal{M}_2$ : if  $\mathcal{G}_i$  splits  $\mathcal{F}_i$  for  $i \in \{1, 2\}$ , then  $\mathcal{G}$  splits  $\mathcal{F}$ . We have

$$\begin{array}{rcl} \mathcal{F}_{+}^{\gamma} & = & \sum_{\gamma_{1}+\gamma_{2}>\gamma}\mathcal{F}_{1}^{\gamma_{1}}\otimes\mathcal{F}_{2}^{\gamma_{2}} \\ \mathrm{and} & \mathrm{Gr}_{\mathcal{F}}^{\gamma}(\mathcal{M}_{1}\otimes\mathcal{M}_{2}) & \simeq & \oplus_{\gamma_{1}+\gamma_{2}=\gamma}\mathrm{Gr}_{\mathcal{F}_{1}}^{\gamma_{1}}(\mathcal{M}_{1})\otimes\mathrm{Gr}_{\mathcal{F}_{2}}^{\gamma_{2}}(\mathcal{M}_{2}). \end{array}$$

The first formula is trivial and gives the morphism (from right to left) in the second formula, which is easily seen to be an isomorphism by localization to an fpqc cover of X over which  $\mathcal{F}_1$  and  $\mathcal{F}_2$  both acquire a splitting. The neutral objects for  $\otimes$  are

$$1_X = (\mathcal{O}_X, \mathcal{G} \text{ of } \mathcal{F}) \text{ with } \mathcal{G}_{\gamma} = \begin{cases} \mathcal{O}_X & \text{for } \gamma = 0, \\ 0 & \text{otherwise.} \end{cases} \text{ and } \mathcal{F}^{\gamma} = \begin{cases} \mathcal{O}_X & \text{for } \gamma \leq 0, \\ 0 & \text{otherwise.} \end{cases}$$

A morphism  $(\mathcal{M}_1, \mathcal{F}_1) \to (\mathcal{M}_2, \mathcal{F}_2)$  is strict if  $\operatorname{Im}(\mathcal{F}_1^{\gamma}) = \mathcal{F}_2^{\gamma} \cap \operatorname{Im}(\mathcal{M}_1)$  in  $\mathcal{M}_2$  for every  $\gamma \in \Gamma$ . The short exact sequences of  $\operatorname{Fil}^{\Gamma}\operatorname{QCoh}(X)$  are those made of strict arrows whose underlying sequence of sheaves is short exact. The formulas

$$\operatorname{Fil}(\mathcal{M},\mathcal{G}) = (\mathcal{M},\operatorname{Fil}(\mathcal{G})), \quad \operatorname{Gr}(\mathcal{M},\mathcal{F}) = \bigoplus_{\gamma} \operatorname{Gr}_{\mathcal{F}}^{\gamma} \mathcal{M} \quad \text{and} \quad \operatorname{forg}(\mathcal{M},-) = \mathcal{M}$$

define the exact  $\otimes$ -functors between our three categories. Finally the "base change functors" defining the fibered category structures on  $\mathsf{Gr}^\Gamma \mathsf{QCoh}$  and  $\mathsf{Fil}^\Gamma \mathsf{QCoh}$  are induced by the base change functors on  $\mathsf{QCoh}$  (thanks to the purity of the subsheaves). It is well-known that  $\mathsf{QCoh}$  is an fpqc stack over  $\mathsf{Sch}$  (see for instance [37, Theorem 4.23]) and it follows rather formally from their definitions that the other two fibered categories are also fpqc stacks over  $\mathsf{Sch}$ . We denote by

$$\operatorname{Gr}^{\Gamma}\operatorname{QCoh}/S \xrightarrow{\operatorname{Fil}} \operatorname{Fil}^{\Gamma}\operatorname{QCoh}/S \xrightarrow{\operatorname{forg}} \operatorname{QCoh}/S$$

the corresponding stacks over Sch/S where S is any base scheme.

## 3.2. $\Gamma$ -graduations and $\Gamma$ -filtrations on fiber functors

**3.2.1.** Let  $s: G \to S$  be an affine and flat group scheme. We denote by  $\operatorname{\mathsf{Rep}}(G)$  the fpqc stack over  $\operatorname{\mathsf{Sch}}/S$  whose fiber over  $T \to S$  is the abelian  $\otimes$ -category  $\operatorname{\mathsf{Rep}}(G)(T)$  of quasi-coherent  $G_T$ - $\mathcal{O}_T$ -modules as defined in [15, I 4.7.1]. Then

$$\mathcal{A}(G) = s_* \mathcal{O}_G$$

is a quasi-coherent Hopf algebra over S and  $\mathsf{Rep}(G)(T)$  is  $\otimes$ -equivalent to the category of quasi-coherent  $\mathcal{A}(G_T)$ -comodules where  $\mathcal{A}(G_T) = \mathcal{A}(G)_T$ . Let

$$V: \mathsf{Rep}(G) \to \mathsf{QCoh}/S$$

be the forgetful functor. For any S-scheme  $q:T\to S$ , we denote by

$$V_T: \mathsf{Rep}(G_T) \to \mathsf{QCoh}/T \quad \text{and} \quad \omega_T: \mathsf{Rep}(G)(S) \to \mathsf{QCoh}(T)$$

the induced morphism of fpqc stack over Sch/T and fiber functor. Note that  $\omega_T$  is a right exact  $\otimes$ -functor. It also commutes with arbitrary colimits and preserves pure monomorphisms and pure short exact sequences, where purity in Rep(G)(S) refers to purity of the underlying objects in QCoh(S).

**3.2.2.** A  $\Gamma$ -graduation  $\mathcal{G}$  on  $V_T : \mathsf{Rep}(G_T) \to \mathsf{QCoh}/T$  is a factorization

$$\operatorname{\mathsf{Rep}}(G_T) \xrightarrow{\hspace{1cm} \mathcal{G}} \operatorname{\mathsf{Gr}}^{\Gamma} \operatorname{\mathsf{QCoh}}/T \xrightarrow{\operatorname{forg}} \operatorname{\mathsf{QCoh}}/T$$

of  $V_T$  such that if  $\mathcal{G}_{\gamma}: \mathsf{Rep}(G_T) \to \mathsf{QCoh}/T$  is the  $\gamma$ -component of  $\mathcal{G}$ ,

(G0) For every T-morphism  $f: X \to Y$ ,  $\rho \in \mathsf{Rep}(G)(Y)$  and  $\gamma \in \Gamma$ ,

$$f^*(\mathcal{G}_{\gamma}(\rho)) = \mathcal{G}_{\gamma}(f^*\rho).$$

(G1) For every T-scheme  $X \to T$ ,  $\rho_1, \rho_2 \in \mathsf{Rep}(G)(X)$  and  $\gamma \in \Gamma$ ,

$$\mathcal{G}_{\gamma}(\rho_1 \otimes \rho_2) = \bigoplus_{\gamma_1 + \gamma_2 = \gamma} \mathcal{G}_{\gamma_1}(\rho_1) \otimes \mathcal{G}_{\gamma_2}(\rho_2).$$

Thus (G0) says that each  $\mathcal{G}_{\gamma}$  is a morphism of fibered categories over  $\mathsf{Sch}/T$ . Then (G1) implies that  $\mathcal{G}_0(\rho) = \mathcal{M}$  and  $\mathcal{G}_{\gamma}(\rho) = 0$  for  $\gamma \neq 0$  when  $\rho$  is the trivial representation of  $G_X$  on  $\mathcal{M} \in \mathsf{QCoh}(X)$  (one proves it first for  $\mathcal{M} = \mathcal{O}_X$ ).

**3.2.3.** A  $\Gamma$ -graduation  $\mathcal{G}$  on  $\omega_T : \mathsf{Rep}(G)(S) \to \mathsf{QCoh}(T)$  is a factorization

$$\mathsf{Rep}(G)(S) \xrightarrow{\hspace{1cm} \mathcal{G} \hspace{1cm}} \mathsf{Gr}^{\Gamma} \mathsf{QCoh}(T) \xrightarrow{\hspace{1cm} \mathrm{forg} \hspace{1cm}} \mathsf{QCoh}(T)$$

of  $\omega_T$  such that if  $\mathcal{G}_{\gamma} : \mathsf{Rep}(G)(S) \to \mathsf{QCoh}(T)$  is the  $\gamma$ -component of  $\mathcal{G}$ ,

(G1) For every  $\rho_1, \rho_2 \in \mathsf{Rep}(G)(S)$  and  $\gamma \in \Gamma$ ,

$$\mathcal{G}_{\gamma}(\rho_1 \otimes \rho_2) = \bigoplus_{\gamma_1 + \gamma_2 = \gamma} \mathcal{G}_{\gamma_1}(\rho_1) \otimes \mathcal{G}_{\gamma_2}(\rho_2).$$

(G2) For the trivial representation  $\rho$  of G on  $\mathcal{M} \in \mathsf{QCoh}(S)$ ,

$$\mathcal{G}_0(\rho) = \mathcal{M}$$
 and  $\mathcal{G}_{\gamma}(\rho) = 0$  if  $\gamma \neq 0$ .

Note that each  $\mathcal{G}_{\gamma}$  is right exact, commutes with arbitrary colimits and preserves pure monomorphisms and pure short exact sequences.

**3.2.4.** A  $\Gamma$ -filtration  $\mathcal{F}$  on  $V_T : \mathsf{Rep}(G_T) \to \mathsf{QCoh}/T$  is a factorization

$$\operatorname{\mathsf{Rep}}(G_T) \xrightarrow{\mathcal{F}} \operatorname{\mathsf{Fil}}^{\Gamma} \operatorname{\mathsf{QCoh}}/T \xrightarrow{\operatorname{forg}} \operatorname{\mathsf{QCoh}}/T$$

of  $V_T$  such that if  $\mathcal{F}^{\gamma}: \operatorname{\mathsf{Rep}}(G_T) \to \operatorname{\mathsf{QCoh}}/T$  is the  $\gamma$ -component of  $\mathcal{F}$ ,

(F0) For every T-morphism  $f: X \to Y$ ,  $\rho \in \text{Rep}(G)(Y)$  and  $\gamma \in \Gamma$ ,

$$f^*(\mathcal{F}^{\gamma}(\rho)) = \mathcal{F}^{\gamma}(f^*\rho).$$

(F1) For every  $X \to T$ ,  $\rho_1, \rho_2 \in \text{Rep}(G)(X)$  and  $\gamma \in \Gamma$ ,

$$\mathcal{F}^{\gamma}(\rho_1 \otimes \rho_2) = \sum_{\gamma_1 + \gamma_2 = \gamma} \mathcal{F}^{\gamma_1}(\rho_1) \otimes \mathcal{F}^{\gamma_2}(\rho_2).$$

(F3) For every  $X \to T$  and  $\gamma \in \Gamma$ ,  $\mathcal{F}^{\gamma} : \operatorname{\mathsf{Rep}}(G)(X) \to \operatorname{\mathsf{QCoh}}(X)$  is exact.

Thus (F0) says that each  $\mathcal{F}^{\gamma}$  is a morphism of fibered categories over  $\operatorname{Sch}/T$ . Then again (F1) and (F3) imply that  $\mathcal{F}^{\gamma}(\rho) = \mathcal{M}$  for  $\gamma \leq 0$  and  $\mathcal{F}^{\gamma}(\rho) = 0$  for  $\gamma > 0$  when  $\rho$  is the trivial representation of G on  $\mathcal{M} \in \operatorname{QCoh}(X)$ .

**3.2.5.** A  $\Gamma$ -filtration  $\mathcal{F}$  on  $\omega_T : \mathsf{Rep}(G)(S) \to \mathsf{QCoh}(T)$  is a factorization

$$\operatorname{\mathsf{Rep}}(G)(S) \xrightarrow{\hspace{1cm} \mathcal{F}} \operatorname{\mathsf{Fil}}^{\Gamma} \operatorname{\mathsf{QCoh}}(T) \xrightarrow{\hspace{1cm} \operatorname{forg}} \operatorname{\mathsf{QCoh}}(T)$$

of  $\omega_T$  such that if  $\mathcal{F}^{\gamma} : \mathsf{Rep}(G)(S) \to \mathsf{QCoh}(T)$  is the  $\gamma$ -component of  $\mathcal{F}$ ,

(F1) For every  $\rho_1, \rho_2 \in \text{Rep}(G)(S)$  and  $\gamma \in \Gamma$ ,

$$\mathcal{F}^{\gamma}(\rho_1\otimes\rho_2)=\sum_{\gamma_1+\gamma_2=\gamma}\mathcal{F}^{\gamma_1}(\rho_1)\otimes\mathcal{F}^{\gamma_2}(\rho_2).$$

(F2) For the trivial representation  $\rho$  of G on  $\mathcal{M} \in \mathsf{QCoh}(S)$ ,

$$\mathcal{F}^{\gamma}(\rho) = \mathcal{M} \text{ if } \gamma < 0 \quad \text{and} \quad \mathcal{F}^{\gamma}(\rho) = 0 \text{ if } \gamma > 0.$$

(F3) For every  $\gamma \in \Gamma$ ,  $\mathcal{F}^{\gamma} : \mathsf{Rep}(G)(S) \to \mathsf{QCoh}(T)$  is right exact.

Since  $\mathcal{F}^{\gamma}$  preserves arbitrary direct sums (as a subfunctor of  $\omega_T$  which does), this last axiom implies that  $\mathcal{F}^{\gamma}$  commutes with arbitrary colimits. It also preserves pure monomorphisms and pure short exact sequences.

**3.2.6.** We may now introduce a diagram of fpqc sheaves  $(Sch/S)^{\circ} \to Set$ ,

The four presheaves map an S-scheme T to the corresponding set of  $\Gamma$ -graduations or  $\Gamma$ -filtrations on  $V_T$  or  $\omega_T$ , the Fil-morphisms are given by post-composition with the eponymous functors, and the res morphisms map  $\mathcal{G}$  or  $\mathcal{F}$  on  $V_T$  to

$$\mathsf{Rep}(G)(S) \to \mathsf{Rep}(G)(T) \xrightarrow{\mathcal{G}_T} \mathsf{Gr}^{\Gamma} \mathsf{QCoh}(T) \quad \text{or} \quad \cdots \xrightarrow{\mathcal{F}_T} \mathsf{Fil}^{\Gamma} \mathsf{QCoh}(T).$$

The fact that all four presheaves are actually fpqc sheaves on S is essentially a formal consequence of the fact that the corresponding fibered categories of  $\Gamma$ -graded and  $\Gamma$ -filtered quasi-coherent sheaves are fpqc stacks over Sch/S.

**3.2.7.** The above diagram is equivariant with respect to a morphism

$$\operatorname{Aut}^{\otimes}(V) \xrightarrow{\operatorname{res}} \operatorname{Aut}^{\otimes}(\omega)$$

of fpqc sheaves of groups on  $\operatorname{Sch}/S$ , with  $\operatorname{Aut}^{\otimes}(\star)$  acting on  $\mathbb{G}^{\Gamma}(\star)$  and  $\mathbb{F}^{\Gamma}(\star)$  and mapping an S-scheme T to a group  $\operatorname{Aut}^{\otimes}(\star_T)$  defined as follows:  $\operatorname{Aut}^{\otimes}(V_T)$  is the group of all automorphisms  $\eta: V_T \to V_T$  such that:

(A0) For every T-morphism  $f: X \to Y$  and  $\rho \in \text{Rep}(G)(Y)$ ,

$$\eta_{f^*(\rho)} = f^*(\eta_\rho).$$

(A1) For every T-scheme  $X \to T$  and  $\rho_1, \rho_2 \in \text{Rep}(G)(X)$ ,

$$\eta_{\rho_1\otimes\rho_2}=\eta_{\rho_1}\otimes\eta_{\rho_2}.$$

These conditions imply as above that  $\eta_{\rho} = \operatorname{Id}_{\mathcal{M}}$  when  $\rho$  is the trivial representation of  $G_X$  on a quasi-coherent  $\mathcal{O}_X$ -module  $\mathcal{M}$ . Similarly,  $\operatorname{Aut}^{\otimes}(\omega_T)$  is the group of all automorphisms  $\eta: \omega_T \to \omega_T$  such that:

(A1) For every  $\rho_1, \rho_2 \in \mathsf{Rep}(G)(S)$ ,

$$\eta_{\rho_1\otimes\rho_2}=\eta_{\rho_1}\otimes\eta_{\rho_2}.$$

(A2) For the trivial representation  $\rho$  of G on  $\mathcal{M} \in \mathsf{QCoh}(S)$ ,

$$\eta_{\rho} = \mathrm{Id}_{\mathcal{M}}.$$

The fact that these two presheaves are actually fpqc sheaves on S is essentially a formal consequence of the fact that  $\operatorname{\mathsf{QCoh}}/S$  is a stack over  $\operatorname{\mathsf{Sch}}/S$ . The morphism between them sends  $\eta \in \operatorname{Aut}^\otimes(V_T)$  to the automorphism of  $\omega_T$  which maps  $\rho$  in  $\operatorname{\mathsf{Rep}}(G)(S)$  to the automorphism  $\eta_{\rho_T}$  of  $V(\rho_T) = \omega_T(\rho)$ , the actions mentioned above are the obvious ones, and the claimed equivariance is equally straightforward.

**3.2.8.** For 
$$\star \in \{V, \omega\}$$
 and  $\mathcal{X} \in \mathbb{G}^{\Gamma}(\star)(T)$  or  $\mathbb{F}^{\Gamma}(\star)(T)$ , we denote by

$$\operatorname{Aut}^{\otimes}(\mathcal{X}): (\operatorname{\mathsf{Sch}}/T)^{\circ} \to \operatorname{\mathsf{Group}}$$

the stabilizer of  $\mathcal{X}$  in the restriction  $\operatorname{Aut}^{\otimes}(\star)|_{T}$  of  $\operatorname{Aut}^{\otimes}(\star)$  to  $\operatorname{Sch}/T$ . It is an fpqc subsheaf of  $\operatorname{Aut}^{\otimes}(\star)|_{T}$ . For  $\mathcal{X} = \mathcal{F}$  in  $\mathbb{F}^{\Gamma}(\star)(T)$ , there is also a morphism

$$\mathrm{Gr}^{ullet}:\mathrm{Aut}^{\otimes}(\mathcal{F}) o \mathrm{Aut}^{\otimes}(\mathrm{Gr}_{\mathcal{F}}^{ullet}).$$

Here  $\operatorname{Aut}^{\otimes}(\operatorname{Gr}_{\mathcal{F}}^{\bullet})$  is an fpqc sheaf of groups on  $\operatorname{Sch}/T$  which maps  $X \to T$  to a group of automorphisms of  $\operatorname{Gr}_{\mathcal{F}_X}^{\bullet} = \operatorname{Gr}^{\bullet} \circ \mathcal{F}_X$  subject to conditions whose precise formulation will be left to the reader. The kernel of this morphism is an fpqc sheaf

$$\operatorname{Aut}^{\otimes !}(\mathcal{F}): (\operatorname{\mathsf{Sch}}/T)^{\circ} \to \operatorname{\mathsf{Group}}.$$

If  $\mathcal{G}$  is a splitting of  $\mathcal{F}$ , then  $\operatorname{Gr}_{\mathcal{F}}^{\bullet} \simeq \mathcal{G}$ , thus  $\operatorname{Aut}^{\otimes}(\operatorname{Gr}_{\mathcal{F}}^{\bullet}) \simeq \operatorname{Aut}^{\otimes}(\mathcal{G})$  and

$$\operatorname{Aut}^{\otimes}(\mathcal{F}) \simeq \operatorname{Aut}^{\otimes!}(\mathcal{F}) \rtimes \operatorname{Aut}^{\otimes}(\mathcal{G}).$$

**3.2.9.** There is finally another equivariant diagram of fpqc sheaves on S,

$$\begin{array}{ccc} G & & & \mathbb{G}^{\Gamma}(G) \xrightarrow{\operatorname{Fil}} \mathbb{F}^{\Gamma}(G) \\ \downarrow \iota & & \operatorname{acting on} & & \downarrow \iota & \downarrow \iota \\ \operatorname{Aut}^{\otimes}(V) & & & \mathbb{G}^{\Gamma}(V) \xrightarrow{\operatorname{Fil}} \mathbb{F}^{\Gamma}(V) \end{array}$$

The morphism  $\iota: G \to \operatorname{Aut}^{\otimes}(V)$  sends  $g \in G(T)$  to the automorphism  $\iota(g)$  of  $V_T$  which maps  $\rho \in \operatorname{Rep}(G)(X)$  to the automorphism  $\rho(g_X)$  of  $V(\rho)$  – for an S-scheme T and a T-scheme X. The morphism  $\iota: \mathbb{F}^{\Gamma}(G) \hookrightarrow \mathbb{F}^{\Gamma}(V)$  is the image of

$$\mathbb{G}^{\Gamma}(G) \xrightarrow{\iota} \mathbb{G}^{\Gamma}(V) \xrightarrow{\operatorname{Fil}} \mathbb{F}^{\Gamma}(V)$$

where  $\iota: \mathbb{G}^{\Gamma}(G) \to \mathbb{G}^{\Gamma}(V)$  is defined as follows. Recall from [15, I 4.7.3] that the fpqc stacks  $\operatorname{Gr}^{\Gamma}\operatorname{QCoh}$  and  $\operatorname{Rep}\mathbb{D}(\Gamma)$  over Sch are  $\otimes$ -equivalent: A  $\Gamma$ -graded quasicoherent sheaf  $\mathcal{M} = \bigoplus_{\gamma \in \Gamma} \mathcal{G}_{\gamma}$  on a scheme X is mapped to the unique representation  $\rho$  of  $\mathbb{D}_X(\Gamma)$  on  $\mathcal{M}$  such that for every  $f: Y \to X$  and  $\alpha: \Gamma \to \Gamma(Y, \mathcal{O}_Y^*)$  in  $\mathbb{D}_X(\Gamma)(Y)$ ,  $\rho(\alpha)(x)$  equals  $\alpha(\gamma) \cdot x$  for every  $\gamma \in \Gamma$  and  $x \in \Gamma(Y, f^*\mathcal{G}_{\gamma})$ . Conversely, a representation  $\rho$  of  $\mathbb{D}_X(\Gamma)$  on a quasi-coherent  $\mathcal{O}_X$ -module  $\mathcal{M}$  is sent to the  $\Gamma$ -grading on  $\mathcal{M}$  defined by the eigenspace decomposition of  $\rho$ . Then  $\iota$  maps a morphism  $\chi: \mathbb{D}_T(\Gamma) \to G_T$  in  $\mathbb{G}^{\Gamma}(G)(T)$  to the  $\Gamma$ -graduation on  $V_T$  defined by

$$\mathsf{Rep}(G_T) \xrightarrow{-\circ \chi} \mathsf{Rep}(\mathbb{D}_T(\Gamma)) \simeq \mathsf{Gr}^{\Gamma} \mathsf{QCoh}/T \xrightarrow{\mathrm{forg}} \mathsf{QCoh}/T.$$

REMARK 31. We will show in corollary 48 that for a reductive group G, the definition of the fpqc sheaf  $\mathbb{F}^{\Gamma}(G)$  on  $\operatorname{Sch}/S$  given here (image of  $\mathbb{G}^{\Gamma}(G) \to \mathbb{F}^{\Gamma}(V)$ ) coincides with the definition of section 2.2 (image of  $\mathbb{G}^{\Gamma}(G) \to \mathbb{G}^{\Gamma}(R_{\mathbb{F}(G)})$ ).

## 3.3. The subcategories of rigid objects

We briefly discuss the  $-^{\circ}$  variants of the above definitions, mostly mentioning the new features.

**3.3.1. Finite locally free sheaves.** Let  $\mathsf{LF} \to \mathsf{Sch}$  be the fibered category whose fiber over X is the full subcategory  $\mathsf{LF}(X)$  of  $\mathsf{QCoh}(X)$  whose objects are the finite locally free sheaves on X. Then  $\mathsf{LF}$  is a substack of  $\mathsf{QCoh}$  by [19, 2.5.2]. Pulling back through  $\mathsf{LF} \hookrightarrow \mathsf{QCoh}$ , we obtain a diagram of fpqc stacks over  $\mathsf{Sch}$ ,

$$\mathsf{Gr}^{\Gamma}\mathsf{LF} \xrightarrow{\mathrm{Fil}} \mathsf{Fil}^{\Gamma}\mathsf{LF} \xrightarrow{\mathrm{forg}} \mathsf{LF}$$

whose fiber over a scheme X is a diagram of exact (in Quillen's sense)  $\otimes$ -functors

$$\mathsf{Gr}^{\Gamma}\mathsf{LF}(X) \xrightarrow{\mathrm{Fil}} \mathsf{Fil}^{\Gamma}\mathsf{LF}(X) \xrightarrow{\mathrm{forg}} \mathsf{LF}(X).$$

An alternative and useful description of the objects of  $\mathsf{Fil}^{\Gamma}\mathsf{LF}(X)$  is provided by proposition 32 below, which also implies that the Gr functor is indeed well-defined. Over a base scheme S, there is the corresponding diagram of fpqc stacks:

$$\mathsf{Gr}^{\Gamma}\mathsf{LF}/S \xrightarrow{\mathrm{Fil}} \mathsf{Fil}^{\Gamma}\mathsf{LF}/S \xrightarrow{\mathrm{forg}} \mathsf{LF}/S$$

**3.3.2.** These categories have compatible inner Hom's and duals given by

$$\underline{\mathrm{Hom}}(x,y) = x^{\vee} \otimes y \quad \text{with} \quad (\mathcal{M},\mathcal{G})^{\vee} = (\mathcal{M}^{\vee},\mathcal{G}^{\vee}) \quad \text{and} \quad (\mathcal{M},\mathcal{F})^{\vee} = (\mathcal{M}^{\vee},\mathcal{F}^{\vee})$$

where  $\mathcal{M}^{\vee}$  is the dual of  $\mathcal{M}$ ,  $(\mathcal{G}^{\vee})_{\gamma} = (\mathcal{G}_{-\gamma})^{\vee}$  and  $(\mathcal{F}^{\vee})^{\gamma} = (\mathcal{F}_{+}^{-\gamma})^{\perp} = (\mathcal{M}/\mathcal{F}_{+}^{-\gamma})^{\vee}$ . Thus if  $\mathcal{G}$  is a splitting of  $\mathcal{F}$ , then  $\mathcal{G}^{\vee}$  is a splitting of  $\mathcal{F}^{\vee}$ . Moreover, we have

$$(\mathcal{F}^{\vee})_{\perp}^{\gamma} = (\mathcal{F}^{-\gamma})^{\perp} \simeq (\mathcal{M}/\mathcal{F}^{-\gamma})^{\vee} \quad \text{and} \quad \operatorname{Gr}_{\mathcal{F}^{\vee}}^{\gamma}(\mathcal{M}^{\vee}) \simeq \operatorname{Gr}_{\mathcal{F}}^{-\gamma}(\mathcal{M})^{\vee}.$$

For the inner Homs, we obtain the following formula:

$$\operatorname{Gr}_{\mathcal{F}}^{\gamma}(\underline{\operatorname{Hom}}(\mathcal{M}_1,\mathcal{M}_2)) \simeq \bigoplus_{\gamma_2-\gamma_1=\gamma} \underline{\operatorname{Hom}}\left(\operatorname{Gr}_{\mathcal{F}_1}^{\gamma_1}(\mathcal{M}_1),\operatorname{Gr}_{\mathcal{F}_2}^{\gamma_2}(\mathcal{M}_2)\right).$$

## 3.3.3. $\Gamma$ -filtrations on finite locally free sheaves.

PROPOSITION 32. Let  $\mathcal{M}$  be a finite locally free sheaf on X. Let  $(\mathcal{F}^{\gamma})_{\gamma \in \Gamma}$  be a non-increasing collection of quasi-coherent subsheaves of M. Then the following conditions are equivalent:

(1) For every affine open subset U of X, there is a  $\Gamma$ -graduation

$$\mathcal{M}_U = \bigoplus_{\gamma \in \Gamma} \mathcal{G}_{\gamma}$$

such that  $\mathcal{F}_U^{\gamma} = \bigoplus_{\eta \geq \gamma} \mathcal{G}_{\eta}$  for every  $\gamma \in \Gamma$ . (2) Locally on X for the Zariski topology, there is a  $\Gamma$ -graduation

$$\mathcal{M} = \bigoplus_{\gamma \in \Gamma} \mathcal{G}_{\gamma}$$

such that  $\mathcal{F}^{\gamma} = \bigoplus_{\eta \geq \gamma} \mathcal{G}_{\eta}$  for every  $\gamma \in \Gamma$ .

(3) Locally on X for the fpqc topology, there exists a  $\Gamma$ -graduation

$$\mathcal{M} = \oplus_{\gamma \in \Gamma} \mathcal{G}_{\gamma}$$

such that  $\mathcal{F}^{\gamma} = \bigoplus_{\eta \geq \gamma} \mathcal{G}_{\eta}$  for every  $\gamma \in \Gamma$ , i.e.  $\mathcal{F}$  is a  $\Gamma$ -filtration on  $\mathcal{M}$ . (4) For every  $\gamma \in \Gamma$ ,  $\mathrm{Gr}_{\mathcal{F}}^{\gamma}(\mathcal{M})$  is finite locally free and for every  $x \in X$ ,

$$\dim_{k(x)} \mathcal{M}(x) = \sum_{\gamma} \dim_{k(x)} \operatorname{Gr}_{\mathcal{F}(x)}^{\gamma}(\mathcal{M}(x)).$$

In (4),  $\mathcal{F}(x)$  is the image of  $\mathcal{F}$  in  $\mathcal{M}(x) = \mathcal{M} \otimes k(x)$  and  $Gr_{\mathcal{F}}^{\gamma}(\mathcal{M})$ ,  $Gr_{\mathcal{F}(x)}^{\gamma}(\mathcal{M}(x))$ are defined as usual. Under the above equivalent conditions, for all  $\gamma \in \Gamma$ :  $\mathcal{F}^{\gamma}$ ,  $\mathcal{F}^{\gamma}_{+}$ and  $Gr_{\mathcal{F}}^{\gamma}(\mathcal{M})$  are finite locally free sheaves on X and for every  $x \in X$ ,

$$\mathcal{F}^{\gamma}(x) \simeq \mathcal{F}^{\gamma} \otimes k(x), \quad \mathcal{F}^{\gamma}_{+}(x) \simeq \mathcal{F}^{\gamma}_{+} \otimes k(x), \quad \operatorname{Gr}^{\gamma}_{\mathcal{F}(x)}(\mathcal{M}(x)) \simeq \operatorname{Gr}^{\gamma}_{\mathcal{F}}(\mathcal{M}) \otimes k(x).$$

PROOF. Plainly (1)  $\Rightarrow$  (2)  $\Rightarrow$  (3). Moreover (3)  $\Rightarrow$  (4) is easy (using [19, (2.5.2.iii] and the last assertions follow from (1). To prove that  $(4) \Rightarrow (1)$ , we may assume that X = U is affine. Since  $Gr_{\mathcal{F}}^{\gamma}(\mathcal{M})$  is finite locally free by assumption, it is then projective in QCoh(X) by [25, Corollary of 7.12]. Therefore, there exists a quasi-coherent subsheaf  $\mathcal{G}_{\gamma}$  of  $\mathcal{F}_{\gamma}$  such that  $\mathcal{F}^{\gamma} = \mathcal{G}_{\gamma} \oplus \mathcal{F}_{+}^{\gamma}$ . We will show that

$$\mathcal{M} = \bigoplus_{\gamma \in \Gamma} \mathcal{G}_{\gamma} \quad \text{and} \quad \forall \gamma : \, \mathcal{F}^{\gamma} = \bigoplus_{\eta \geq \gamma} \mathcal{G}_{\eta}.$$

This being now a local question in the Zariski topology of X, we may assume that the rank of  $\mathcal{M}$  is constant on X, and also nonzero. Fix  $x \in X$  and define

$$\Gamma(x) = \{ \gamma : \operatorname{Gr}_{\mathcal{F}(x)}^{\gamma}(\mathcal{M}(x)) \neq 0 \} = \{ \gamma_1 < \dots < \gamma_r \}.$$

Define  $U_0 = \operatorname{Supp}(\mathcal{M}/\mathcal{F}^{\gamma_1})^c$ ,  $U_i = \operatorname{Supp}(\mathcal{F}_+^{\gamma_i}/\mathcal{F}^{\gamma_{i+1}})^c \cap U_{i-1}$  for 0 < i < r and  $U_r = \operatorname{Supp}(\mathcal{F}_+^{\gamma_r})^c \cap U_{r-1}$ . Since  $\mathcal{M}$  is finite locally free,  $\mathcal{M}/\mathcal{F}^{\gamma_1}$  is finitely generated and  $U_0$  is open in X. Since  $\mathcal{M} = \mathcal{F}^{\gamma_1}$  over  $U_0$  and  $\mathcal{F}^{\gamma_1} = \mathcal{F}_+^{\gamma_1} \oplus \mathcal{G}_{\gamma_1}$  over X,  $\mathcal{M} = \mathcal{F}_+^{\gamma_1} \oplus \mathcal{G}_{\gamma_1}$  over  $U_0$ . Therefore  $\mathcal{F}_+^{\gamma_1}$  is finite locally free over  $U_0$ . Repeating this argument successively with  $(\mathcal{M}, X)$  replaced by  $(\mathcal{F}_+^{\gamma_1}, U_0)$ ,  $(\mathcal{F}_+^{\gamma_2}, U_1)$  etc. . . we obtain:  $U_r$  is open in X,  $\mathcal{M} = \bigoplus_i \mathcal{G}_{\gamma_i}$  and  $\mathcal{F}^{\gamma} = \bigoplus_{i:\gamma_i \geq \gamma} \mathcal{G}_{\gamma_i}$  over  $U_r$  for every  $\gamma \in \Gamma$ , with everyone finite locally free over  $U_r$ . All we have to do now is to show that the formula of (4) implies that x belongs to  $U_r$ . The formula is equivalent to:

$$\mathcal{F}^{\gamma}(x) = \begin{cases} \mathcal{M}(x) & \text{if } \gamma \leq \gamma_1, \\ \mathcal{F}^{\gamma_{i+1}}(x) & \text{if } \gamma \in ]\gamma_i, \gamma_{i+1}], \\ 0 & \text{if } \gamma > \gamma_r. \end{cases}$$

Since  $\mathcal{M}$  is finitely generated over X,  $\mathcal{F}^{\gamma_1}(x) = \mathcal{M}(x)$  implies  $\mathcal{F}_x^{\gamma_1} = \mathcal{M}_x$  by Nakayama's lemma, thus x belongs to  $U_0$ . Since  $\mathcal{M} = \mathcal{F}^{\gamma_1} = \mathcal{F}_+^{\gamma_1} \oplus \mathcal{G}_{\gamma_1}$  over  $U_0$ ,  $\mathcal{F}_+^{\gamma_1}(x) = \mathcal{F}^{\gamma_2}(x)$  in  $\mathcal{M}(x)$  implies  $\mathcal{F}_{+,x}^{\gamma_1} = \mathcal{F}_x^{\gamma_2}$  by Nakayama's lemma, therefore x belongs to  $U_1$ . Repeating the argument, we find that indeed x belongs to  $U_r$ .  $\square$ 

REMARK 33. The whole proof becomes much simpler over a Noetherian base.

LEMMA 34. Let  $\mathcal{M}_{\alpha}$  be a finite collection of locally free sheaves of finite rank on X and for each  $\alpha$ , let  $(\mathcal{F}_{\alpha}^{\gamma})_{\gamma \in \Gamma}$  be a non-increasing collection of quasi-coherent subsheaves of  $\mathcal{M}_{\alpha}$ . Set  $\mathcal{M} = \oplus \mathcal{M}_{\alpha}$  and  $\mathcal{F}^{\gamma} = \oplus \mathcal{F}_{\alpha}^{\gamma}$ . Then  $(\mathcal{M}, (\mathcal{F}^{\gamma}))$  satisfies the above equivalent conditions if and only if each  $(\mathcal{M}_{\alpha}, (\mathcal{F}_{\alpha}^{\gamma}))$  does.

PROOF. For every  $\gamma \in \Gamma$  and  $x \in X$ ,  $\operatorname{Gr}_{\mathcal{F}}^{\gamma}(\mathcal{M}) = \bigoplus_{\alpha} \operatorname{Gr}_{\mathcal{F}^{\alpha}}^{\gamma}(\mathcal{M}_{\alpha})$  and

$$\mathcal{M}(x) = \bigoplus_{\alpha} \mathcal{M}_{\alpha}(x), \quad \operatorname{Gr}_{\mathcal{F}(x)}^{\gamma}(\mathcal{M}(x)) = \bigoplus_{\alpha} \operatorname{Gr}_{\mathcal{F}_{\alpha}(x)}^{\gamma}(\mathcal{M}_{\alpha}(x)).$$

Moreover for every  $\alpha$  and  $x \in X$ ,

$$\dim_{k(x)} \mathcal{M}_{\alpha}(x) \ge \sum_{\gamma} \dim_{k(x)} \operatorname{Gr}_{\mathcal{F}_{\alpha}(x)}^{\gamma}(\mathcal{M}_{\alpha}(x)).$$

The lemma easily follows.

**3.3.4.** Let  $\mathsf{Rep}^\circ(G) \to \mathsf{Sch}/S$  be the substack of  $\mathsf{Rep}(G) \to \mathsf{Sch}/S$  whose fiber over  $T \to S$  is the exact, rigid, full sub- $\otimes$ -category  $\mathsf{Rep}^\circ(G)(T)$  of  $\mathsf{Rep}(G)(T)$  whose objects are the representations of  $G_T$  on finite locally free sheaves on T. We write

$$V^{\circ}: \mathsf{Rep}^{\circ}(G) \to \mathsf{LF}/S$$

for the forgetful functor. For an S-scheme  $T \to S$ , we denote by

$$V_T^{\circ}: \mathsf{Rep}^{\circ}(G_T) \to \mathsf{LF}/T \quad \text{and} \quad \omega_T^{\circ}: \mathsf{Rep}^{\circ}(G)(S) \to \mathsf{LF}(T)$$

the induced morphism of fpqc stack over  $\operatorname{Sch}/T$  and fiber functor. Note that  $\omega_T^{\circ}$  is now an exact  $\otimes$ -functor, since all short exact sequences in  $\operatorname{Rep}^{\circ}(G)(S)$  are pure.

**3.3.5.** We obtain yet another equivariant diagram of fpqc sheaves on S,

$$\begin{array}{ccc} \operatorname{Aut}^{\otimes}(V^{\circ}) & & & & & \mathbb{G}^{\Gamma}(V^{\circ}) \xrightarrow{\operatorname{Fil}} \mathbb{F}^{\Gamma}(V^{\circ}) \\ & & & \operatorname{loss} & & & & \operatorname{res} & & \operatorname{loss} \\ \operatorname{Aut}^{\otimes}(\omega^{\circ}) & & & & \mathbb{G}^{\Gamma}(\omega^{\circ}) \xrightarrow{\operatorname{Fil}} \mathbb{F}^{\Gamma}(\omega^{\circ}) \end{array}$$

where everything is defined as before, using  $V^{\circ}$  and  $\omega^{\circ}$  instead of V and  $\omega$ . The only differences worth mentioning are as follows: for any S-scheme T, the  $\Gamma$ -graduations or  $\Gamma$ -filtrations on  $\omega_T^{\circ}$  are automatically compatible with inner Homs and duals, and there  $\gamma$ -components are exact functors. We also have equivariant diagrams

and similarly for  $\omega$  and  $\omega^{\circ}$ , where all the vertical maps are induced by pre-composition with the full embedding  $\operatorname{Rep}^{\circ}(G) \hookrightarrow \operatorname{Rep}(G)$ .

**3.3.6.** Finally, the definitions of  $\operatorname{Aut}^{\otimes}(\mathcal{G})$ ,  $\operatorname{Aut}^{\otimes}(\mathcal{F})$ ,  $\operatorname{Aut}^{\otimes!}(\mathcal{F})$  and  $\operatorname{Aut}^{\otimes}(\operatorname{Gr}_{\mathcal{F}}^{\bullet})$  given in section 3.2.8 carry over to the situation considered here.

## 3.4. Skalar extensions

The whole diagram at the beginning of this section has now been defined. It is covariantly functorial in G but not entirely compatible with base change on S: if  $\tilde{S} \to S$  is any morphism,  $\tilde{G} = G \times_S \tilde{S}$  and  $\tilde{V}, \tilde{\omega} \ldots$  are the relevant functors for  $\tilde{G}$ , then  $\mathbb{G}^{\Gamma}(\tilde{G}) = \mathbb{G}^{\Gamma}(G)|_{\tilde{S}}$ ,  $\mathbb{F}^{\Gamma}(\tilde{G}) = \mathbb{F}^{\Gamma}(G)|_{\tilde{S}}$  and

$$\operatorname{Aut}^{\otimes}(\tilde{X}) = \operatorname{Aut}^{\otimes}(X)|_{\tilde{S}}, \quad \mathbb{G}^{\Gamma}(\tilde{X}) = \mathbb{G}^{\Gamma}(X)|_{\tilde{S}} \quad \text{and} \quad \mathbb{F}^{\Gamma}(\tilde{X}) = \mathbb{F}^{\Gamma}(X)|_{\tilde{S}}$$

for  $X \in \{V, V^{\circ}\}$ , but the natural morphisms of fpqc sheaves on  $\tilde{S}$ ,

$$\operatorname{Aut}^{\otimes}(\tilde{Y}) \to \operatorname{Aut}^{\otimes}(Y)|_{\tilde{S}}, \quad \mathbb{G}^{\Gamma}(\tilde{Y}) \to \mathbb{G}^{\Gamma}(Y)|_{\tilde{S}} \quad \text{and} \quad \mathbb{F}^{\Gamma}(\tilde{Y}) \to \mathbb{F}^{\Gamma}(Y)|_{\tilde{S}}$$
 may not be isomorphisms for  $Y \in \{\omega, \omega^{\circ}\}$ . We investigate this issue.

**3.4.1.** When C is a category and  $\mathcal{B}$  is a ring object in C, we can form the category  $C(\mathcal{B})$  of (left)  $\mathcal{B}$ -modules in C. Here C will be an additive  $\otimes$ -category and the ring object will be given by its multiplication morphism  $\mu: \mathcal{B} \otimes \mathcal{B} \to \mathcal{B}$  and unit  $1 \to \mathcal{B}$ , where 1 is the neutral object for the tensor product, the abelian group structure on  $\mathcal{B}$  being provided by the additive structure of C. Then  $C(\mathcal{B})$  is the category of pairs  $(\mathcal{M}, \nu)$  where  $\mathcal{M}$  is an object of C and  $\nu: \mathcal{B} \otimes \mathcal{M} \to \mathcal{M}$  is a morphism in C subject to certain natural conditions. There is an adjunction

$$f^*: \mathsf{C} \leftrightarrow \mathsf{C}(\mathcal{B}): f_*$$
 given by  $f_*(\mathcal{M}, \nu) = \mathcal{M}$  and  $f^*(\mathcal{N}) = (\mathcal{B} \otimes \mathcal{N}, \mu \otimes \mathrm{Id}).$ 

In many cases, it is also possible to equip  $C(\mathcal{B})$  with a  $\otimes$ -product inherited from the  $\otimes$ -product on C, with  $(\mathcal{B},\mu)$  as neutral object. Instead of trying to develop this formal theory more rigorously, let us list some of the relevant examples:

 $\mathsf{C} = \mathsf{QCoh}(S)$  and  $\mathcal{B} = f_*\mathcal{O}_T$  where  $f: T \to S$  is an affine morphism. There is an equivalence of  $\otimes$ -categories  $\mathsf{C}(\mathcal{B}) \simeq \mathsf{QCoh}(T)$  which is compatible with the usual adjunctions  $f^* : \mathsf{QCoh}(S) \leftrightarrow \mathsf{QCoh}(T) : f_*$ , see [17, 1.4].

- $\mathsf{C} = \mathsf{Gr}^\Gamma \mathsf{QCoh}(S)$  and  $\mathcal B$  as above with the trivial  $\Gamma$ -graduation. The first example induces an equivalence of  $\otimes$ -categories  $\mathsf{C}(\mathcal B) \simeq \mathsf{Gr}^\Gamma \mathsf{QCoh}(T)$  which is again compatible with the natural adjunctions.
- $\mathsf{C} = \mathsf{Fil}^\Gamma \mathsf{QCoh}(S)$  and  $\mathcal B$  as above with the trivial  $\Gamma$ -filtration. The first example now only induces a fully faithful exact  $\otimes$ -functor  $\mathsf{C}(\mathcal B) \hookrightarrow \mathsf{Fil}^\Gamma \mathsf{QCoh}(T)$ . The essential image is made of those  $\Gamma$ -filtered quasi-coherent sheaves  $(\mathcal M, \mathcal F)$  on T such that, locally on S (as opposed to T) for the fpqc topology,  $\mathcal F$  has a splitting.
- $\mathsf{C} = \mathsf{Rep}(G)(S)$  and  $\mathcal B$  as above with the trivial action of G. The first example again induces an equivalence of  $\otimes$ -categories  $\mathsf{C}(\mathcal B) \simeq \mathsf{Rep}(G)(T)$  which is compatible with the adjunctions given on the comodules by the following formulas:

$$f^* \left( V(\rho) \xrightarrow{c_\rho} V(\rho) \otimes_{\mathcal{O}_S} \mathcal{A}(G) \right) = \left( V(f^*\rho) \xrightarrow{c_{f^*\rho}} V(f^*\rho) \otimes_{\mathcal{O}_T} \mathcal{A}(G_T) \right),$$

$$f_* \left( V(\rho) \xrightarrow{c_\rho} V(\rho) \otimes_{\mathcal{O}_T} \mathcal{A}(G_T) \right) = \left( V(f_*\rho) \xrightarrow{c_{f_*\rho}} V(f_*\rho) \otimes_{\mathcal{O}_S} \mathcal{A}(G) \right).$$

 $\mathsf{C} = \mathsf{LF}(S)$  and  $\mathcal{B} = f_*\mathcal{O}_T$  where  $f: T \to S$  is a finite étale morphism. The first example induces an equivalence of  $\otimes$ -categories  $\mathsf{C}(\mathcal{B}) \simeq \mathsf{LF}(T)$ . We have to show that for a quasi-coherent sheaf  $\mathcal{M}$  on T,  $\mathcal{M}$  is a finite locally free  $\mathcal{O}_T$ -module if and only if  $f_*\mathcal{M}$  is a finite locally free  $\mathcal{O}_S$ -module (the direct implication is easy, and only requires f to be finite and locally free). By [19, 2.5.2], our claim is local in the fpqc topology on S. But, locally on S for the étale topology, our finite étale morphism f is simply a finite disjoint union of open and closed embeddings (this follows from [21, 17.9.3]), for which the claim is now obvious.

Combining this last example with the previous three, we obtain:

 $\mathsf{C} = \mathsf{Gr}^\Gamma \mathsf{LF}(S)$  and  $\mathcal B$  as above with the trivial  $\Gamma\text{-}\mathbf{graduation}$ . Then

$$\mathsf{C}(\mathcal{B}) \simeq \mathsf{Gr}^{\Gamma} \mathsf{LF}(T).$$

 $\mathsf{C} = \mathsf{Fil}^\Gamma \mathsf{LF}(S)$  and  $\mathcal B$  as above with the trivial  $\Gamma\text{-filtration}$ . Then

$$\mathsf{C}(\mathcal{B}) \simeq \mathsf{Fil}^{\Gamma} \mathsf{LF}(T).$$

 $C = \operatorname{Rep}^{\circ}(G)(S)$  and  $\mathcal{B}$  as above with the trivial action. Then

$$C(\mathcal{B}) \simeq Rep^{\circ}(G)(T)$$
.

**3.4.2.** The point of this abstract nonsense is that, if  $\alpha: \mathsf{C} \to \mathsf{D}$  is a  $\otimes$ -functor and  $\mathcal B$  is a ring object in  $\mathsf{C}$ , then  $\alpha(\mathcal B)$  is a ring object in  $\mathsf{D}$  and  $\alpha$  extends to a  $\otimes$ -functor  $\alpha(\mathcal B): \mathsf{C}(\mathcal B) \to \mathsf{D}(\alpha(\mathcal B))$  which we call the skalar extension of  $\alpha$ . Similarly, if  $\eta$  is a  $\otimes$ -automorphism of  $\alpha$  such that  $\eta_{\mathcal B}$  is the identity of  $\alpha(\mathcal B)$ , then  $\eta$  extends to a  $\otimes$ -automorphism  $\eta(\mathcal B)$  of  $\alpha(\mathcal B)$  which we call the skalar extension of  $\eta$ .

Proposition 35. (1) Let  $f: \widetilde{S} \to S$  be a finite étale morphism and denote by  $\widetilde{\omega}$  the fiber functors for  $\widetilde{G} = G_{\widetilde{S}}$ . Then we have isomorphisms of fpqc sheaves on  $\widetilde{S}$ :

$$\operatorname{Aut}^{\otimes}(\omega^{\circ})|_{\widetilde{S}}=\operatorname{Aut}^{\otimes}(\widetilde{\omega}^{\circ}), \quad \mathbb{G}^{\Gamma}(\omega^{\circ})|_{\widetilde{S}}=\mathbb{G}^{\Gamma}(\widetilde{\omega}^{\circ}) \quad \textit{and} \quad \mathbb{F}^{\Gamma}(\omega^{\circ})|_{\widetilde{S}}=\mathbb{F}^{\Gamma}(\widetilde{\omega}^{\circ}).$$

(2) If f is merely affine, then  $\mathbb{F}^{\Gamma}(\omega)|_{\tilde{S}} = \mathbb{F}^{\Gamma}(\tilde{\omega})$ .

PROOF. (1) Let T be an  $\tilde{S}$ -scheme. We have to define mutually inverse maps

$$\alpha: \begin{array}{ccc} \operatorname{Aut}^{\otimes}(\tilde{\omega}^{\circ})(T) & \longleftrightarrow & \operatorname{Aut}^{\otimes}(\omega^{\circ})(T) \\ \alpha: & \mathbb{G}^{\Gamma}(\tilde{\omega}^{\circ})(T) & \longleftrightarrow & \mathbb{G}^{\Gamma}(\omega^{\circ})(T) & :\beta \\ \mathbb{F}^{\Gamma}(\tilde{\omega}^{\circ})(T) & \longleftrightarrow & \mathbb{F}^{\Gamma}(\omega^{\circ})(T) \end{array}$$

functorial in T. The  $\alpha$  maps are induced by precomposition with the base change map  $\operatorname{Rep}^{\circ}(G)(S) \to \operatorname{Rep}^{\circ}(G)(\tilde{S})$ . The  $\beta$  maps are defined by composing the skalar extension maps with the base change maps for the  $\tilde{S}$ -section  $\iota: T \to \tilde{T}$  of the projection  $f_T: \tilde{T} = T \times_S \tilde{S} \to T$  given by the structural morphism  $T \to \tilde{S}$ :

$$\beta: \begin{array}{cccc} \operatorname{Aut}^{\otimes}(\omega^{\circ})(T) & \longrightarrow & \operatorname{Aut}^{\otimes}(\tilde{\omega}^{\circ})(\tilde{T}) & \longrightarrow & \operatorname{Aut}^{\otimes}(\tilde{\omega}^{\circ})(T) \\ \beta: & \mathbb{G}^{\Gamma}(\omega^{\circ})(T) & \longrightarrow & \mathbb{G}^{\Gamma}(\tilde{\omega}^{\circ})(\tilde{T}) & \longrightarrow & \mathbb{G}^{\Gamma}(\tilde{\omega}^{\circ})(T) \\ & \mathbb{F}^{\Gamma}(\omega^{\circ})(T) & \longrightarrow & \mathbb{F}^{\Gamma}(\tilde{\omega}^{\circ})(\tilde{T}) & \longrightarrow & \mathbb{F}^{\Gamma}(\tilde{\omega}^{\circ})(T) \end{array}$$

Explicitly, for  $\eta$ ,  $\mathcal{G}$  and  $\mathcal{F}$  in the source sets and  $\tilde{\rho} \in \mathsf{Rep}^{\circ}(\tilde{G})(\tilde{S})$ , we first view  $f_*\tilde{\rho}$  as a  $\mathcal{B}$ -module in  $\mathsf{Rep}^{\circ}(G)(S)$  where  $\mathcal{B} = f_*\mathcal{O}_{\tilde{S}}$  with trivial G-action. Then:

•  $\eta_{f_*\tilde{\rho}}$  is a  $\mathcal{B}_T$ -linear isomorphism of  $\omega_T^{\circ}(f_*\tilde{\rho}) = (f_T)_*\tilde{\omega}_{\widetilde{T}}^{\circ}(\tilde{\rho})$ . It thus corresponds to an isomorphism of  $\tilde{\omega}_{\widetilde{T}}^{\circ}(\tilde{\rho})$  whose pull-back to  $\iota^*\tilde{\omega}_{\widetilde{T}}^{\circ}(\tilde{\rho}) = \tilde{\omega}_T^{\circ}(\tilde{\rho})$  is an isomorphism  $\beta(\eta)_{\tilde{\rho}}$ . By construction, there is a commutative diagram

$$\begin{split} \omega_T^{\circ}(f_*\tilde{\rho}) &= \tilde{\omega}_T^{\circ}(f^*f_*\tilde{\rho}) & \longrightarrow \tilde{\omega}_T^{\circ}(\tilde{\rho}) \\ \eta_{f_*\tilde{\rho}} \bigg| & & \bigg| \beta(\eta)_{\tilde{\rho}} \\ \omega_T^{\circ}(f_*\tilde{\rho}) &= \tilde{\omega}_T^{\circ}(f^*f_*\tilde{\rho}) & \longrightarrow \tilde{\omega}_T^{\circ}(\tilde{\rho}) \end{split}$$

where the horizontal map comes from the adjunction morphism

$$f^*f_*\tilde{\rho} \to \tilde{\rho}$$
.

- $\mathcal{G}(f_*\tilde{\rho})$  is a  $\mathcal{B}_T$ -stable  $\Gamma$ -graduation on  $(f_T)_*\tilde{\omega}_{\tilde{T}}^{\circ}(\tilde{\rho})$ , giving a  $\Gamma$ -graduation on  $\tilde{\omega}_{\tilde{T}}^{\circ}(\tilde{\rho})$  whose pull-back is a  $\Gamma$ -graduation  $\beta(\mathcal{G})(\tilde{\rho})$  on  $\tilde{\omega}_{\tilde{T}}^{\circ}(\tilde{\rho})$ . Thus  $\beta(\mathcal{G})_{\gamma}(\tilde{\rho})$  is the image of  $\mathcal{G}_{\gamma}(f_*\tilde{\rho})$  under the adjunction  $\omega_T^{\circ}(f_*\tilde{\rho}) \twoheadrightarrow \tilde{\omega}_T^{\circ}(\tilde{\rho})$ .
- $\mathcal{F}(f_*\tilde{\rho})$  is a  $\mathcal{B}_T$ -stable  $\Gamma$ -filtration on  $(f_T)_*\tilde{\omega}_{\tilde{T}}^{\circ}(\tilde{\rho})$ , giving a  $\Gamma$ -filtration on  $\tilde{\omega}_{\tilde{T}}^{\circ}(\tilde{\rho})$  whose pull-back is a  $\Gamma$ -filtration  $\beta(\mathcal{F})(\tilde{\rho})$  on  $\tilde{\omega}_{T}^{\circ}(\tilde{\rho})$ . Thus  $\mathcal{B}(\mathcal{F})^{\gamma}(\tilde{\rho})$  is the image of  $\mathcal{F}^{\gamma}(f_*(\tilde{\rho}))$  under the adjunction  $\omega_T^{\circ}(f_*\tilde{\rho}) \twoheadrightarrow \tilde{\omega}_T^{\circ}(\tilde{\rho})$ .

One checks easily that  $\alpha \circ \beta = \operatorname{Id}$  and  $\beta \circ \alpha = \operatorname{Id}$ . The proof of (2) is similar.  $\square$ 

REMARK 36. We have not mentioned  $\operatorname{Aut}^{\otimes}(\omega)$  and  $\mathbb{G}^{\Gamma}(\omega)$  in part (2) of the proposition, because we will establish a stronger result for them in the next section.

## 3.5. The regular representation

The single most important representation of G is the regular representation  $\rho_{\text{reg}}$ . We shall use it to establish the classical:

Theorem 37. The above morphisms of fpqc sheaves induce isomorphisms

$$G \simeq \operatorname{Aut}^{\otimes}(V) \simeq \operatorname{Aut}^{\otimes}(\omega) \quad \text{and} \quad \mathbb{G}^{\Gamma}(G) \simeq \mathbb{G}^{\Gamma}(V) \simeq \mathbb{G}^{\Gamma}(\omega).$$

**3.5.1.** The regular representation  $\rho_{\text{reg}}$  of G on  $V(\rho_{\text{reg}}) = \mathcal{A}(G)$  is defined by

$$(g \cdot a)(h) = a(hg)$$

for  $T \to S$ ,  $a \in \Gamma(T, \mathcal{A}(G)_T) = \Gamma(G_T, \mathcal{O}_{G_T})$  and  $g, h \in G(T)$ . The corresponding  $\mathcal{A}(G)$ -comodule structure morphism is the comultiplication map:

$$\left(V(\rho_{\mathrm{reg}}) \stackrel{c_{\mathrm{reg}}}{\longrightarrow} V(\rho_{\mathrm{reg}}) \otimes_{\mathcal{O}_S} \mathcal{A}(G)\right) = \left(\mathcal{A}(G) \stackrel{\mu^{\natural}}{\longrightarrow} \mathcal{A}(G) \otimes_{\mathcal{O}_S} \mathcal{A}(G)\right)$$

The  $\mathcal{O}_S$ -algebra structure morphisms on  $\mathcal{A}(G)$ , namely the unit  $\mathcal{O}_S \to \mathcal{A}(G)$  and the multiplication  $\mathcal{A}(G) \otimes \mathcal{A}(G) \to \mathcal{A}(G)$  correspond to G-equivariant morphisms

$$1_S \to \rho_{\rm reg}$$
 and  $\rho_{\rm reg} \otimes \rho_{\rm reg} \to \rho_{\rm reg}$ .

For any  $\rho \in \mathsf{Rep}(G)(S)$ , we denote by  $\rho_0 \in \mathsf{Rep}(G)(S)$  the trivial representation of G on  $V(\rho_0) = V(\rho)$ . We may then view the  $\mathcal{A}(G)$ -comodule structure morphism  $c_\rho : V(\rho) \to V(\rho) \otimes_{\mathcal{O}_S} \mathcal{A}(G)$  of  $\rho$  as a G-equivariant morphism in  $\mathsf{Rep}(G)(S)$ 

$$c_{\rho}: \rho \to \rho_0 \otimes \rho_{\text{reg}}$$

The underlying morphism of quasi-coherent sheaves on S is a split monomorphism since  $(\mathrm{Id} \otimes 1_G^{\sharp}) \circ c_{\rho} = \mathrm{Id}$  on  $V(\rho)$  where  $1_G^{\sharp} : \mathcal{A}(G) \to \mathcal{O}_S$  is the counit of  $\mathcal{A}(G)$ .

**3.5.2.** It follows that any  $\eta \in \operatorname{Aut}^{\otimes}(\omega_T)$ ,  $\mathcal{G} \in \mathbb{G}^{\Gamma}(\omega_T)$  or  $\mathcal{F} \in \mathbb{F}^{\Gamma}(\omega_T)$  is uniquely determined by its value  $\eta_{\text{reg}}$ ,  $\mathcal{G}_{\text{reg}}$  or  $\mathcal{F}_{\text{reg}}$  on  $\rho_{\text{reg}}$ . Indeed for any  $\rho \in \operatorname{Rep}(G)(S)$ ,  $\eta_{\rho}$ ,  $\mathcal{G}(\rho)$  and  $\mathcal{F}(\rho)$  will then be the automorphism,  $\Gamma$ -graduation and  $\Gamma$ -filtration on

$$\omega_T(\rho) \xrightarrow{\omega_T(c_\rho)} \omega_T(\rho_0) \otimes \omega_T(\rho_{\text{reg}})$$

which are respectively induced by the corresponding objects for  $\rho_0 \otimes \rho_{\text{reg}}$ , namely

$$\eta_{\rho_0 \otimes \rho_{\text{reg}}} = \operatorname{Id} \otimes \eta_{\text{reg}}, 
\mathcal{G}(\rho_0 \otimes \rho_{\text{reg}}) = \omega_T(\rho_0) \otimes \mathcal{G}_{\text{reg}}, 
\mathcal{F}(\rho_0 \otimes \rho_{\text{reg}}) = \omega_T(\rho_0) \otimes \mathcal{F}_{\text{reg}}.$$

We have here used the defining axioms (A1) and (A2) for  $\eta$ , (G1) and (G2) for  $\mathcal{G}$  and (F1) and (F2) for  $\mathcal{F}$ , as well as the fact that for every  $\gamma \in \Gamma$ , the functors  $\mathcal{G}_{\gamma}$  and  $\mathcal{F}^{\gamma} : \mathsf{Rep}(G)(S) \to \mathsf{QCoh}(T)$  both preserve pure short exact sequences.

**3.5.3.** By the same token, we find that the morphisms of fpqc sheaves

$$\operatorname{Aut}^{\otimes}(V) \to \operatorname{Aut}^{\otimes}(\omega), \quad \mathbb{G}^{\Gamma}(V) \to \mathbb{G}^{\Gamma}(\omega) \quad \text{and} \quad \mathbb{F}^{\Gamma}(V) \to \mathbb{F}^{\Gamma}(\omega)$$

are monomorphisms. For instance if  $\eta \in \operatorname{Aut}^{\otimes}(V_T)$  induces the identity of  $\omega_T$ , then for any  $f: X \to T$  and  $\rho \in \operatorname{Rep}(G)(X)$ ,  $\eta_{\rho}$  is the identity of  $V(\rho)$  because

$$\eta_{\rho_0 \otimes \rho_{\mathrm{reg},X}} = \eta_{\rho_0} \otimes \eta_{\rho_{\mathrm{reg},X}} = \mathrm{Id}_{V(\rho_0)} \otimes f^*(\eta_{\rho_{\mathrm{reg},T}})$$

and  $\eta_{\rho_{\text{reg}},T}$  is the trivial automorphism of  $V(\rho_{\text{reg}},T) = \omega_T(\rho_{\text{reg}})$ .

**3.5.4.** We show that  $G = \operatorname{Aut}^{\otimes}(\omega)$ . Fix an S-scheme T and  $\eta \in \operatorname{Aut}^{\otimes}(\omega_T)$ . Recall that  $\eta_{\text{reg}}$  is the  $\mathcal{O}_T$ -linear automorphism of  $\omega_T(\rho_{\text{reg}}) = \mathcal{A}(G_T)$  induced by  $\eta$ . Since  $\eta_{1_S} = \operatorname{Id}_{\mathcal{O}_T}$  on  $\omega_T(1_S) = \mathcal{O}_T$  by (A2) and  $\eta_{\rho_{\text{reg}} \otimes \rho_{\text{reg}}} = \eta_{\text{reg}} \otimes \eta_{\text{reg}}$  on

$$\omega_T(\rho_{\text{reg}} \otimes \rho_{\text{reg}}) = \mathcal{A}(G_T) \otimes \mathcal{A}(G_T)$$

by (A1), the functoriality of  $\eta$  applied to  $1_S \to \rho_{\rm reg}$  and  $\rho_{\rm reg} \otimes \rho_{\rm reg} \to \rho_{\rm reg}$  implies that  $\eta_{\rm reg}$  is an automorphism of the quasi-coherent  $\mathcal{O}_T$ -algebra  $\mathcal{A}(G_T)$ . Similarly for any  $\rho \in \mathsf{Rep}(G)(S)$ , the G-equivariant morphism  $c_\rho : \rho \to \rho_0 \otimes \rho_{\rm reg}$  induces a commutative diagram of quasi-coherent  $\mathcal{O}_T$ -modules

$$\omega_{T}(\rho) \xrightarrow{(c_{\rho})_{T}} \omega_{T}(\rho_{0}) \otimes_{\mathcal{O}_{T}} \mathcal{A}(G_{T})$$

$$\downarrow^{\eta_{\rho}} \qquad \qquad \downarrow^{\operatorname{Id} \otimes \eta_{\operatorname{reg}}}$$

$$\omega_{T}(\rho) \xrightarrow{(c_{\rho})_{T}} \omega_{T}(\rho_{0}) \otimes_{\mathcal{O}_{T}} \mathcal{A}(G_{T})$$

Composing  $\eta_{\text{reg}}$  with the counit  $1_{G,T}^{\natural}: \mathcal{A}(G)_T \to \mathcal{O}_T$ , we obtain a morphism of  $\mathcal{O}_T$ -algebras  $s(\eta)^{\natural}: \mathcal{A}(G)_T \to \mathcal{O}_T$ , i.e. a T-valued point  $s(\eta) \in G(T)$ . Now for any  $g \in G(T)$  corresponding to  $g^{\natural}: \mathcal{A}(G)_T \to \mathcal{O}_T$  and mapping to  $\iota(g) \in \text{Aut}^{\otimes}(\omega_T)$ , the automorphism  $\iota(g)_{\rho} = \rho_T(g)$  of  $\omega_T(\rho)$  is obtained by composing  $(c_{\rho})_T$  with

$$\mathrm{Id} \otimes g^{\natural} : \omega_T(\rho) \otimes_{\mathcal{O}_T} \mathcal{A}(G)_T \to \omega_T(\rho).$$

We thus find that  $s \circ \iota(g) = g$  since

$$s \circ \iota(g)^{\natural} = 1_{G,T}^{\natural} \circ \iota(g)_{\mathrm{reg}} = 1_{G_T}^{\natural} \circ (\mathrm{Id} \otimes g^{\natural}) \circ \mu_T^{\natural} = (1_{G_T}^{\natural} \otimes g^{\natural}) \circ \mu_T^{\natural} = (1_{G_T} \cdot g)^{\natural} = g^{\natural}.$$

On the other hand,  $\iota \circ s(\eta) = \eta$  since for any  $\rho \in \text{Rep}(G)(S)$ ,

$$(\iota \circ s)(\eta)_{\rho} = \left( \operatorname{Id} \otimes 1_{G,T}^{\natural} \right) \circ \left( \operatorname{Id} \otimes \eta_{\operatorname{reg}} \right) \circ (c_{\rho})_{T}$$
$$= \left( \operatorname{Id} \otimes 1_{G,T}^{\natural} \right) \circ (c_{\rho})_{T} \circ \eta_{\rho}$$
$$= \rho (1_{G})_{T}^{\natural} \circ \eta_{\rho} = \eta_{\rho}.$$

Thus  $G = \operatorname{Aut}^{\otimes}(\omega)$  and by 3.5.3, also  $G = \operatorname{Aut}^{\otimes}(V)$ .

**3.5.5.** We show that  $\mathbb{G}^{\Gamma}(G) = \mathbb{G}^{\Gamma}(\omega)$ . Let T be an S-scheme,  $G \in \mathbb{G}^{\Gamma}(\omega_T)$ . Then for any T-scheme X, the  $\Gamma$ -graduation G on  $\omega_T$  and the  $\otimes$ -equivalence

$$\mathsf{Gr}^{\Gamma}\mathsf{QCoh}(T) \simeq \mathsf{Rep}(\mathbb{D}_T(\Gamma))(T)$$

together induce a factorization

$$\omega_X^1 : \mathsf{Rep}(G)(S) \xrightarrow{\mathcal{G}'} \mathsf{Rep}(\mathbb{D}_T(\Gamma))(T) \xrightarrow{\omega_X^2} \mathsf{QCoh}(X)$$

of the fiber functor  $\omega_X^1$  for the group scheme G over S through the fiber functor  $\omega_X^2$  for the group scheme  $\mathbb{D}_T(\Gamma)$  over T. Moreover  $\mathcal{G}'$  is a  $\otimes$ -functor preserving trivial representations by (G1) and (G2). It thus induces a group homomorphism

$$\mathbb{D}_T(\Gamma)(X) \overset{3.5.4}{\simeq} \operatorname{Aut}^{\otimes}(\omega_X^2) \to \operatorname{Aut}^{\otimes}(\omega_X^1) \overset{3.5.4}{\simeq} G(X).$$

The latter being functorial in X gives a morphism  $s(\mathcal{G}): \mathbb{D}_T(\Gamma) \to G_T$  of group schemes over T, i.e. an element  $s(\mathcal{G})$  of  $\mathbb{G}^{\Gamma}(G)(T)$ . Since  $\mathcal{G} \mapsto s(\mathcal{G})$  is itself functorial in T, it gives a morphism of fpqc sheaves  $s: \mathbb{G}^{\Gamma}(\omega) \to \mathbb{G}^{\Gamma}(G)$  which is the inverse of  $\iota: \mathbb{G}^{\Gamma}(G) \to \mathbb{G}^{\Gamma}(\omega)$ . Thus  $\mathbb{G}^{\Gamma}(G) = \mathbb{G}^{\Gamma}(\omega)$  and by 3.5.3, also  $\mathbb{G}^{\Gamma}(G) = \mathbb{G}^{\Gamma}(V)$ .

# **3.6.** Relating Rep(G)(S) and $Rep^{\circ}(G)(S)$

While  $\operatorname{Rep}(G)(S)$  already contains the interesting regular representation, it could be that  $\operatorname{Rep}^{\circ}(G)(S)$  contains no representations beyond the trivial ones, in which case  $\operatorname{Aut}^{\otimes}(\omega^{\circ})$ ,  $\mathbb{G}^{\Gamma}(\omega^{\circ})$  and  $\mathbb{F}^{\Gamma}(\omega^{\circ})$  are the trivial sheaves represented by S. For instance, let S be one of the two curves considered in [1, X 6.4], whose enlarged fundamental group equals  $\mathbb{Z}$ . Let  $n \geq 2$  and  $A \in GL_n(\mathbb{Z})$  be any matrix with no roots of unity as eigenvalue. Then by [1, X 7.1], this determines an n-dimensional torus G over S, and all representations  $\rho \in \operatorname{Rep}^{\circ}(G)(S)$  are trivial because  $\mathbb{Z}^n$  contains no finite A-orbit except  $\{0\}$ .

When S is quasi-compact, we also consider the intermediate full subcategory

$$\mathsf{Rep}^{\circ}(G)(S) \subset \mathsf{Rep}'(G)(S) \subset \mathsf{Rep}(G)(S)$$

whose objects are the representations  $\rho$  for which  $\rho = \varinjlim \tau$  where  $\tau$  runs through the partially ordered set  $X(\rho)$  of all subrepresentations of  $\rho$  which belong to  $\operatorname{Rep}^{\circ}(G)(S)$ . For such  $\rho$ 's,  $V(\rho) = \varinjlim V(\tau)$  is a flat  $\mathcal{O}_S$ -module and the quasi-compactness of S implies that  $X(\rho)$  is a filtered set. This subcategory is stable under tensor product and the  $\rho \mapsto \rho_0$  construction, it contains  $\operatorname{Rep}^{\circ}(G)(S)$  as a full subcategory, and for any  $\rho_1, \rho_2 \in \operatorname{Rep}'(G)(S)$ ,

$$(3.6.1) \qquad \operatorname{Hom}_{\mathsf{Rep}(G)}(\rho_1, \rho_2) = \underline{\lim}_{\tau_1 \in X(\rho_1)} \underline{\lim}_{\tau_2 \in X(\rho_2)} \operatorname{Hom}_{\mathsf{Rep}(G)}(\tau_1, \tau_2).$$

We denote by  $\omega_T': \mathsf{Rep}'(G)(S) \to \mathsf{QCoh}(T)$  the restriction of  $\omega_T$  to  $\mathsf{Rep}'(G)(S)$  and define the fpqc sheaf  $\mathsf{Aut}^\otimes(\omega'): (\mathsf{Sch}/S)^\circ \to \mathsf{Group}$  as before, with automorphisms of  $\omega_T'$  satisfying the axioms (A1) and (A2), thus obtaining a factorization

$$\operatorname{Aut}^{\otimes}(\omega) \to \operatorname{Aut}^{\otimes}(\omega') \to \operatorname{Aut}^{\otimes}(\omega^{\circ}).$$

On the other hand, it is obvious that  $\operatorname{Aut}^{\otimes}(\omega') = \operatorname{Aut}^{\otimes}(\omega^{\circ})$ .

**3.6.1.** The following assumption implies that  $Rep^{\circ}(G)(S)$  is sufficiently big:

 $\mathrm{HYP}(\omega^{\circ})$  There exists a covering  $\{S_i \to S\}$  by finite étale morphisms such that for every  $i, G_{S_i}/S_i$  satisfies  $\mathrm{HYP}'(\omega^{\circ})$  where:

 $\mathrm{HYP}'(\omega^{\circ})$  S is quasi-compact and  $\rho_{\mathrm{reg}}$  belongs to  $\mathsf{Rep}'(G)(S)$ .

PROPOSITION 38. If G/S satisfies  $HYP(\omega^{\circ})$ , then

$$G = \operatorname{Aut}^{\otimes}(\omega^{\circ}), \quad \mathbb{G}^{\Gamma}(G) = \mathbb{G}^{\Gamma}(\omega^{\circ}) \quad and \quad \mathbb{F}^{\Gamma}(\omega) \subset \mathbb{F}^{\Gamma}(\omega^{\circ}).$$

PROOF. These being fpqc sheaves on S, it is sufficient to establish the proposition for their restriction to the  $S_i$ 's, which by proposition 35 reduces us to the case where S is quasi-compact and  $\rho_{\text{reg}}$  belongs to Rep'(G)(S). The proof of theorem 37 then shows that  $G = \text{Aut}^{\otimes}(\omega_T')$ . Thus  $G = \text{Aut}^{\otimes}(\omega^{\circ})$ . To prove that  $\mathbb{G}^{\Gamma}(G) = \mathbb{G}^{\Gamma}(\omega^{\circ})$ , we may test this on quasi-compact schemes, and then the proof of section 3.5.5 carries over to this case. Finally: a  $\Gamma$ -filtration  $\mathcal{F}$  on  $\omega_T$  is uniquely determined by its value on  $\rho_{\text{reg}}$  by 3.5.3, thus  $\mathbb{F}^{\Gamma}(\omega) \subset \mathbb{F}^{\Gamma}(\omega^{\circ})$  since  $\rho_{\text{reg}} \in \text{Rep}'(G)(S)$ .

**3.6.2.** For the  $V^{\circ}$  variants of these, one needs a weaker assumption:

 $\mathrm{HYP}(V^{\circ})$  Locally on S for the fpqc topology,  $\rho_{\mathrm{reg}}$  belongs to  $\mathrm{Rep}'(G)(S)$ .

Proposition 39. If G/S satisfies  $HYP(V^{\circ})$ , then

$$G = \operatorname{Aut}^{\otimes}(V^{\circ}), \quad \mathbb{G}^{\Gamma}(G) = \mathbb{G}^{\Gamma}(V^{\circ}) \quad and \quad \mathbb{F}^{\Gamma}(V) \subset \mathbb{F}^{\Gamma}(V^{\circ}).$$

PROOF. This being local in the fpqc topology on S, we may assume that S is quasi-compact and  $\rho_{\text{reg}}$  is in Rep'(G)(S), then  $G_T/T$  satisfies  $\text{HYP}'(\omega^{\circ})$  for every quasi-compact T over S and the proposition easily follows from the previous one.

**3.6.3.** It remains to give some cases where our assumptions are met.

DEFINITION 40. A reductive group G over S is called isotrivial if and only if there exists a covering  $\{S_i \to S\}$  by finite étale morphisms such that each  $G_{S_i}$  is splittable.

For tori, this definition is slightly more general than that given in [1, IX 1.1], which requires a single finite étale cover  $S' \to S$ . If S is quasi-compact, both notions coincide. For arbitrary reductive groups, [14, XXIV 4.1] only defines local and semi-local isotriviality. If S is local, these two notions coincide with ours.

Proposition 41. If S is local and geometrically unibranch, then every reductive group G over S is isotrivial.

PROOF. We may assume that G is a torus by [14, XXIV 4.1.5]. We then have to show that the connected components of  $R = \underline{\operatorname{Hom}}_S(G, \mathbb{G}_{m,S})$  are open and finite over S by [15, X 5.11], and this follows from proposition 3 and lemma 4.

PROPOSITION 42. (1) If  $S = \operatorname{Spec}(A)$  for a Prüfer domain A and  $\rho \in \operatorname{Rep}(G)(S)$ ,  $\rho \in \operatorname{Rep}'(G)(S) \iff V(\rho)$  is a flat  $\mathcal{O}_S$ -module.

- (2) A split reductive group over a quasi-compact S satisfies  $HYP'(\omega^{\circ})$ .
- (3) An isotrivial reductive group over a quasi-compact S satisfies  $HYP(\omega^{\circ})$ .
- (4) A reductive group over any S satisfies  $HYP(V^{\circ})$ .

PROOF. (1) is exactly [38, Corollary 5.10]. For (2), we may assume that G is of constant type [14, XXII 2.8], thus isomorphic [14, XXIII 5.2] to the base change of a reductive group  $G_0$  over  $\text{Spec}(\mathbb{Z})$  [14, XXV 1.2] to which (1) now applies. Obviously (2)  $\Rightarrow$  (3), and (2)  $\Rightarrow$  (4) by [14, XXII 2.3].

- **3.6.4.** Together with theorem 37, proposition 38 and 39 give many cases where automorphisms or  $\Gamma$ -graduations automatically extend from  $\omega^{\circ}$  or  $V^{\circ}$  to  $\omega$  or V. Assuming that S is quasi-compact, we will now do something similar for  $\Gamma$ -filtrations.
  - **3.6.5.** Let  $\mathcal{F}$  be a  $\Gamma$ -filtration on  $\omega_T^{\circ}$ . For each  $\gamma \in \Gamma$ , we may extend

$$\mathcal{F}^{\gamma}: \mathsf{Rep}^{\circ}(G)(S) \to \mathsf{LF}(T)$$
 to  $\mathcal{F}^{\gamma}: \mathsf{Rep}'(G)(S) \to \mathsf{QCoh}(T)$ 

by the formula  $\mathcal{F}^{\gamma}(\rho) = \varinjlim \mathcal{F}^{\gamma}(\tau)$ , where  $\tau$  runs through  $X(\rho)$ . It defines a functor by (3.6.1), and gives back  $\mathcal{F}^{\gamma}(\rho) = \mathcal{F}^{\gamma}(\tau)$  when  $\rho = \tau$  belongs to  $\operatorname{Rep}^{\circ}(G)(S)$ . In general,  $\mathcal{F}^{\gamma}(\rho)$  is a pure quasi-coherent subsheaf of  $V(\rho)_T = \varinjlim V(\tau)_T$  since filtered colimits are exact and commute with base change. While  $\gamma \to \mathcal{F}^{\gamma}(\rho)$  is non-increasing, it may not be a  $\Gamma$ -filtration on  $V(\rho)_T$  in our sense. However:

Lemma 43. We have the following properties:

(F1) For every  $\rho_1, \rho_2 \in \text{Rep}'(G)(S)$  and  $\gamma \in \Gamma$ ,

$$\mathcal{F}^{\gamma}(\rho_1\otimes \rho_2)=\sum_{\gamma_1+\gamma_2=\gamma}\mathcal{F}^{\gamma_1}(\rho_1)\otimes \mathcal{F}^{\gamma_2}(\rho_2).$$

(F2) For a trivial representation  $\rho \in \text{Rep}'(G)(S)$  on  $\mathcal{M} \in \text{QCoh}(S)$ ,

$$\mathcal{F}^{\gamma}(\rho) = \mathcal{M} \text{ if } \gamma \leq 0 \quad \text{and} \quad \mathcal{F}^{\gamma}(\rho) = 0 \text{ if } \gamma > 0.$$

- (F3r) If  $\rho \twoheadrightarrow \tau$  is an epimorphism with  $\rho \in \mathsf{Rep}'(G)(S)$  and  $\tau \in \mathsf{Rep}^{\circ}(G)(S)$ , then  $\mathcal{F}^{\gamma}(\rho) \twoheadrightarrow \mathcal{F}^{\gamma}(\tau)$  is an epimorphism in  $\mathsf{QCoh}(T)$  for every  $\gamma \in \Gamma$ .
- (F31) If  $\rho_{\text{reg}}$  belongs to Rep'(G)(S) and  $\rho_1 \hookrightarrow \rho_2$  is a pure monomorphism in Rep'(G)(S), then  $\mathcal{F}^{\gamma}(\rho_1) = \mathcal{F}^{\gamma}(\rho_2) \cap V_T(\rho_1)$  in  $V_T(\rho_2)$  for every  $\gamma \in \Gamma$ .

PROOF. (F2) is obvious and (F1), (F3r) follow from the eponymous properties of  $\mathcal{F}$  on  $\omega_T^{\circ}$  because, since S is quasi-compact,  $\{\tau_1 \otimes \tau_2 : (\tau_1, \tau_2) \in X(\rho_1) \times X(\rho_2)\}$  and  $\{\tau' \in X(\rho) : \tau' \twoheadrightarrow \tau\}$  are respectively cofinal in  $X(\rho_1 \otimes \rho_2)$  and  $X(\rho)$ . For (F3l), we first treat the special case of the pure monomorphism  $c_{\rho} : \rho \hookrightarrow \rho_0 \otimes \rho_{\text{reg}}$  for an arbitrary  $\rho \in \text{Rep}'(G)(S)$ . Given (F1) and (F2), we have to show that

$$\mathcal{F}^{\gamma}(\rho) = \ker \left[ \omega_T(\rho) \stackrel{\omega_T(c_{\rho})}{\longrightarrow} \omega_T(\rho_0) \otimes (\omega_T(\rho_{\text{reg}}) / \mathcal{F}^{\gamma}(\rho_{\text{reg}})) \right].$$

Since both sides are filtered limits over  $\tau \in X(\rho)$ , we may assume that  $\rho$  belongs to  $\mathsf{Rep}^{\circ}(G)(S)$ . The right hand side is then the filtered limit of

$$\ker \left[ \omega_T(\rho) \xrightarrow{\omega_T(c_{\rho,\tau})} \omega_T(\rho_0) \otimes (\omega_T(\tau)/\mathcal{F}^{\gamma}(\tau)) \right] = \mathcal{F}^{\gamma}(\rho,\tau)$$

where  $\tau$  ranges through the cofinal set X' of  $X(\rho_{\text{reg}})$  defined by

$$X' = \left\{ \tau : c_{\rho} \text{ factors as } \rho \xrightarrow{c_{\rho,\tau}} \rho_0 \otimes \tau \hookrightarrow \rho_0 \otimes \rho_{\text{reg}} \right\}.$$

Note that  $\rho_0 \otimes \tau \hookrightarrow \rho_0 \otimes \rho_{\text{reg}}$  since  $V(\rho_0)$  is a flat  $\mathcal{O}_S$ -module. For each  $\tau$  in X', the cokernel  $\sigma_{\rho,\tau}$  of  $c_{\rho,\tau}: \rho \hookrightarrow \rho_0 \otimes \tau$  is an object of  $\text{Rep}^{\circ}(G)(S)$ : the counit  $1_G^{\natural}: \mathcal{A}(G) \to \mathcal{O}_S$  gives a retraction of  $V(c_{\rho,\tau})$ , whose kernel is a direct factor of  $V(\rho_0 \otimes \tau)$  isomorphic to  $V(\sigma_{\rho,\tau})$ . Since  $\mathcal{F}^{\gamma}$  is exact on  $\text{Rep}^{\circ}(G)(S)$ , it follows that

$$\mathcal{F}^{\gamma}(\rho) = \ker \left[ \omega_T(\rho) \xrightarrow{\omega_T(c_{\rho,\tau})} \omega_T(\rho_0 \otimes \tau) / \mathcal{F}^{\gamma}(\rho_0 \otimes \tau) \right] = \mathcal{F}^{\gamma}(\rho,\tau)$$

for every  $\tau \in X'$ , which proves our claim. For any morphism  $\rho_1 \to \rho_2$  in Rep'(G)(S) and any  $\gamma \in \Gamma$ , we now have a commutative diagram with exact rows

If  $V(\rho_1) \to V(\rho_2)$  is a pure monomorphism, the vertical maps are monomorphisms, therefore  $\mathcal{F}^{\gamma}(\rho_1) = \mathcal{F}^{\gamma}(\rho_2) \cap \omega_T(\rho_1)$  in  $\omega_T(\rho_2)$ : this proves (F3l).

**3.6.6.** As before, for every  $\rho \in \text{Rep}'(G)(S)$  and  $\gamma \in \Gamma$ , we define

$$\mathcal{F}_+^{\gamma}(\rho) = \cup_{\eta > \gamma} \mathcal{F}^{\eta}(\rho) \quad \text{and} \quad \mathrm{Gr}_{\mathcal{F}}^{\gamma}(\rho) = \mathcal{F}^{\gamma}(\rho) / \mathcal{F}_+^{\gamma}(\rho).$$

Since again filtered limits are exact, we find that

$$\mathcal{F}_+^\gamma(\rho) = \varinjlim \mathcal{F}_+^\gamma(\tau) \quad \text{and} \quad \mathrm{Gr}_{\mathcal{F}}^\gamma(\rho) = \varinjlim \mathrm{Gr}_{\mathcal{F}}^\gamma(\tau)$$

where  $\tau$  ranges through  $X(\rho)$ . In particular, the formula

$$\mathrm{Gr}_{\mathcal{F}}^{\gamma}(\rho_1\otimes\rho_2)\simeq\oplus_{\gamma_1+\gamma_2=\gamma}\mathrm{Gr}_{\mathcal{F}}^{\gamma_1}(\rho_1)\otimes\mathrm{Gr}_{\mathcal{F}}^{\gamma_2}(\rho_2)$$

also holds for  $\rho_1$  and  $\rho_2$  in  $\mathsf{Rep}'(G)(S)$ . All of the above constructions commute with arbitrary base change on T. Finally if the original  $\Gamma$ -filtration  $\mathcal F$  on  $\omega_T^\circ$  already was the restriction of some  $\Gamma$ -filtration  $\mathcal F'$  on  $\omega_T$ , the restriction of the latter is equal to the extension of the former on  $\omega_T'$  since  $\mathcal F'^\gamma$  commutes with arbitrary colimits.

**3.6.7.** We first use the above device to show that:

Proposition 44. If G/S satisfies  $\mathrm{HYP}(\omega^{\circ})$ , then  $\mathbb{F}^{\Gamma}(V^{\circ}) \hookrightarrow \mathbb{F}^{\Gamma}(\omega^{\circ})$ .

PROOF. By proposition 35, we may assume: S is quasi-compact and  $\rho_{\text{reg}}$  is in Rep'(G)(S). We have to show that for an S-scheme T and  $\mathcal{F} \in \mathbb{F}^{\Gamma}(V_T^{\circ})$  with image  $\tilde{\mathcal{F}} \in \mathbb{F}^{\Gamma}(\omega_T^{\circ})$ , for any  $U \to T$ , the  $\Gamma$ -filtration  $\mathcal{F}_U$  on  $\text{Rep}^{\circ}(G_U)(U) \to \text{LF}(U)$  induced by  $\mathcal{F}$  is determined by  $\tilde{\mathcal{F}}$ . We may assume that T and U are quasi-compact. Then:  $\mathcal{F}_U$  is determined by its extension to  $\text{Rep}'(G_U)(U) \to \text{QCoh}(U)$ , which itself is determined by its value on  $\rho_{\text{reg},U} \in \text{Rep}'(G_U)(U)$  thanks to (F1-2) and (F3l) applied to the pure monomorphisms  $c_{\rho}: \rho \to \rho_0 \otimes \rho_{\text{reg},U}$  for all  $\rho$ 's in  $\text{Rep}'(G_U)(U)$ . Since U is quasi-compact,  $X(\rho_{\text{reg}})_U = \{\tau_U : \tau \in X(\rho_{\text{reg}})\}$  is cofinal in  $X(\rho_{\text{reg},U})$ , thus  $\mathcal{F}_U(\rho_{\text{reg},U})$  is determined by the restriction of  $\mathcal{F}_U$  to  $X(\rho_{\text{reg}})_U$ . By the axiom (F0) for  $\mathcal{F}$ , the latter is determined by the values of  $\mathcal{F}_T$  on  $X(\rho_{\text{reg}})_T$ , which are the values of  $\tilde{\mathcal{F}}$  on  $X(\rho_{\text{reg}})$ . Thus  $\tilde{\mathcal{F}}$  determines  $\mathcal{F}_U$  and  $\mathcal{F}$  uniquely.

**3.6.8.** Here is another useful assumption: we say that G/S is linear if there exists  $\tau \in \text{Rep}^{\circ}(G)(S)$  inducing a closed immersion  $\tau : G \hookrightarrow GL(V(\tau))$ . Note that upon replacing  $\tau$  with  $\tau \oplus (\det \tau)^{-1}$ , we may then also assume that  $\det \tau = 1$ .

Lemma 45. The affine and flat group G over S is linear in the following cases:

- (1) G is of finite type over a noetherian regular S with dim  $S \leq 2$ .
- (2) HYP( $\omega^{\circ}$ ) holds and S is quasi-compact and quasi-separated.
- (3) G is an isotrivial reductive group over a quasi-compact S.
- (4) G is a reductive group of adjoint type over any S.

PROOF. (1) is [15, VIB 13.2]. For (2), let  $f: S' \to S$  be a finite étale cover such that HYP'( $\omega^{\circ}$ ) holds for  $G' = G_{S'}$ . Then S' is also quasi-compact and quasi-separated, thus by [18, 1.7.9], the finitely generated quasi-coherent  $\mathcal{O}_{S'}$ -algebra  $\mathcal{A}(G')$  is generated by a finitely generated quasi-coherent  $\mathcal{O}_{S'}$ -submodule  $\mathcal{E}$ . By assumption HYP'( $\omega^{\circ}$ ) for G', we may replace  $\mathcal{E}$  by a larger  $V(\tau')$  for some  $\tau'$  in  $X(f^*\rho_{\rm reg})$ . The proof of [15, VIB 13.2] then shows that  $\tau': G' \to GL(V(\tau'))$  is a closed immersion. Put  $\tau = f_*\tau'$ , so that  $\tau$  belongs to  $\operatorname{Rep}^{\circ}(G)(S)$ . Then  $\tau: G \to GL(V(\tau))$  is a closed immersion. Indeed, it is sufficient to show that  $f^*\tau: G' \to GL(V(f^*\tau))$  is a closed immersion by [19, 2.7.1]. But  $f^*\tau = \rho \otimes \tau'$  in  $\operatorname{Rep}^{\circ}(G')(S')$ , where  $\rho = f^*f_*1_{G'}$  is the trivial representation on  $V(\rho) = f^*f_*\mathcal{O}_{S'}$ , i.e.  $f^*\tau$  is the composition

$$G' \xrightarrow{\rho'} GL(V(\tau')) \xrightarrow{\operatorname{Id} \otimes -} GL(V(\rho) \otimes V(\tau'))$$

of two closed immersions, therefore itself a closed immersion. For (3): it is well-known that the Chevalley groups over Spec  $\mathbb{Z}$  are linear (a complete overkill: use (1)), so are therefore also the split reductive groups over any base by [14, XXII 2.8, XXIII 5.2 and XXV 1.2], to which one reduces as in (2). For (4), simply take  $\tau$  to be the adjoint representation  $\rho_{\rm ad}$  of G on its Lie algebra  ${\rm Lie}(G) = \mathfrak{g} = V(\rho_{\rm ad})$ .  $\square$ 

## 3.7. The stabilizer of a $\Gamma$ -filtration, I

**3.7.1.** Let now G be a reductive group over S and let  $\rho_{\mathrm{ad}} \in \mathsf{Rep}^{\circ}(G)(S)$  be the adjoint representation of G on  $V(\rho_{\mathrm{ad}}) = \mathfrak{g} = \mathrm{Lie}(G)$ . Let T be an S-scheme.

THEOREM 46. Let  $\mathcal{F}$  be a  $\Gamma$ -filtration on  $V_T$ . Then  $\operatorname{Aut}^{\otimes}(\mathcal{F})$  is a parabolic subgroup  $P_{\mathcal{F}}$  of  $G_T$  with unipotent radical  $U_{\mathcal{F}} \subset \operatorname{Aut}^{\otimes!}(\mathcal{F})$ . Moreover,

$$\operatorname{Lie}(U_{\mathcal{F}}) = \mathcal{F}^0_+(\rho_{\operatorname{ad}}) \quad and \quad \operatorname{Lie}(P_{\mathcal{F}}) = \mathcal{F}^0(\rho_{\operatorname{ad}}) \quad in \quad V_T(\rho_{\operatorname{ad}}) = \mathfrak{g}_T.$$

REMARK 47. Let  $\chi: \mathbb{D}_T(\Gamma) \to G_T$  be a morphism,  $\mathcal{G}$  the corresponding  $\Gamma$ -graduation and  $\mathcal{F}$  the induced  $\Gamma$ -filtration. Let  $P_{\chi} = U_{\chi} \rtimes L_{\chi}$  be the subgroups of  $G_T$  defined in proposition 14. Since  $\operatorname{Aut}^{\otimes}(\mathcal{F}) = \operatorname{Aut}^{\otimes!}(\mathcal{F}) \rtimes \operatorname{Aut}^{\otimes}(\mathcal{G})$  with  $\operatorname{Aut}^{\otimes}(\mathcal{G})$  equal to  $L_{\chi}$  and isomorphic to  $\operatorname{Aut}^{\otimes}(\operatorname{Gr}_{\mathcal{F}}^{\bullet})$  (because  $\mathcal{G} \simeq \operatorname{Gr}_{\mathcal{F}}^{\bullet}$ ), the theorem implies

$$P_{\chi} = \operatorname{Aut}^{\otimes}(\mathcal{F}), \quad U_{\chi} = \operatorname{Aut}^{\otimes!}(\mathcal{F}) \quad \text{and} \quad P_{\chi}/U_{\chi} \simeq \operatorname{Aut}^{\otimes}(\operatorname{Gr}_{\mathcal{F}}^{\bullet}).$$

COROLLARY 48. The quotients Fil :  $\mathbb{G}^{\Gamma}(G) \to \mathbb{F}^{\Gamma}(G)$  of  $\mathbb{G}^{\Gamma}(G)$  defined in sections 2.2 and 3.2.9 are canonically isomorphic, and for any  $\mathcal{F} \in \mathbb{F}^{\Gamma}(G)(T)$ ,

$$P_{\mathcal{F}} = \operatorname{Aut}^{\otimes}(\iota \mathcal{F}), \quad U_{\mathcal{F}} = \operatorname{Aut}^{\otimes!}(\iota \mathcal{F}) \quad and \quad P_{\mathcal{F}}/U_{\mathcal{F}} \simeq \operatorname{Aut}^{\otimes}(\operatorname{Gr}_{\iota \mathcal{F}}^{\bullet})$$

where  $\iota \mathcal{F}$  is the image of  $\mathcal{F}$  in  $\mathbb{F}^{\Gamma}(V_T)$ .

PROOF. For the first assertion, we have to show that for  $\chi_1, \chi_2 : \mathbb{D}_T(\Gamma) \to G_T$ ,

$$\chi_1 \sim_{\operatorname{Par}} \chi_2 \iff \operatorname{Fil} \circ \iota(\chi_1) = \operatorname{Fil} \circ \iota(\chi_2) \text{ in } \mathbb{F}^{\Gamma}(V_T).$$

Put  $\mathcal{G}_i = \iota(\chi_i)$ ,  $\mathcal{F}_i = \operatorname{Fil}(\mathcal{G}_i)$  and  $P_i = \operatorname{Aut}^{\otimes}(\mathcal{F}_i) = P_{\chi_i}$ . If  $\chi_1 \sim_{\operatorname{Par}} \chi_2$ , then  $\chi_2 = \operatorname{Int}(p) \circ \chi_1$  for some  $p \in P_1(T)$ , thus  $\mathcal{F}_2 = p\mathcal{F}_1 = \mathcal{F}_1$ . If  $\mathcal{F}_1 = \mathcal{F}_2 = \mathcal{F}$ , then  $P_1 = P_2 = P$  and the canonical isomorphism  $\mathcal{G}_1 \simeq \operatorname{Gr}_{\mathcal{F}}^{\bullet} \simeq \mathcal{G}_2$  gives an element of  $\operatorname{Aut}^{\otimes}(V_T)$  preserving  $\mathcal{F}$  and mapping  $\mathcal{G}_1$  to  $\mathcal{G}_2$ , i.e. an element  $p \in P(T)$  such that  $\chi_2 = \operatorname{Int}(p) \circ \chi_1$ , thus  $\chi_1 \sim_{\operatorname{Par}} \chi_2$ . The remaining assertions are local in the fpqc topology on T and thus follow from the above remark.

- **3.7.2.** For  $\Gamma$ -filtrations on  $\omega_T$ , we need a technical assumption on G/S:
- TA There exists an fpqc cover  $\{f_i: S_i \to S\}$  such that (a) each  $f_i$  is an affine morphism, and (b) each  $G_i = G_{S_i}$  is linear (3.6.8).

This is true for any reductive group G over a separated S: starting from a Zariski covering of S by affine  $U_i$ 's, we pick fpqc covers  $\{U_{i,j} \to U_i\}$  splitting  $G_{U_i}$ , and again cover the  $U_{i,j}$ 's by affine  $U_{i,j,k}$ 's. The resulting fpqc cover  $\{U_{i,j,k} \to S\}$  satisfies our assumption:  $U_{i,j,k} \to U_i$  is affine as a morphism between affine schemes,  $U_i \hookrightarrow S$  is affine because S is separated, and  $G_{U_{i,j,k}}$  is linear by lemma 45 since it is split.

THEOREM 49. Assume TA. Let  $\mathcal{F}$  be a  $\Gamma$ -filtration on  $\omega_T$ . Then  $\operatorname{Aut}^{\otimes}(\mathcal{F})$  is a parabolic subgroup  $P_{\mathcal{F}}$  of  $G_T$  with unipotent radical  $U_{\mathcal{F}} \subset \operatorname{Aut}^{\otimes!}(\mathcal{F})$ . Moreover,

$$\operatorname{Lie}(U_{\mathcal{F}}) = \mathcal{F}^0_+(\rho_{\mathrm{ad}}) \quad and \quad \operatorname{Lie}(P_{\mathcal{F}}) = \mathcal{F}^0(\rho_{\mathrm{ad}}) \quad in \quad V_T(\rho_{\mathrm{ad}}) = \mathfrak{g}_T.$$

3.7.3. If  $\mathcal{F}'$  is a  $\Gamma$ -filtration on  $V_T$  and  $\mathcal{F}$  is the induced  $\Gamma$ -filtration on  $\omega_T$ , then  $\operatorname{Aut}^\otimes(\mathcal{F}') = \operatorname{Aut}^\otimes(\mathcal{F})$  as subsheaves of  $G_T$  by 3.5.3 and theorem 37. Therefore: (a) theorem 49 holds without the technical assumption for such filtrations on  $\omega_T$ , and (b) theorem 46, which is local on S, follows from theorem 49 applied to any affine cover of S. We thus only have to consider the case of a  $\Gamma$ -filtration  $\mathcal{F}$  on  $\omega_T$ . The technical assumption will be used only once below, in section 3.7.9.

**3.7.4.** The adjoint-regular representation  $\rho_{\text{adj}}$  of G on  $V(\rho_{\text{adj}}) = \mathcal{A}(G)$  is given by

$$(g \cdot a)(h) = a(g^{-1}hg)$$

for  $T \to S$ ,  $a \in \Gamma(T, \mathcal{A}(G_T))$  and  $g, h \in G(T)$ . The unit, counit  $1_G^{\sharp}$ , multiplication, comultiplication  $\mu^{\sharp}$  and inversion inv<sup> $\sharp$ </sup> of  $\mathcal{A}(G)$  define morphisms in  $\mathsf{Rep}(G)(S)$ :

 $1_S \to \rho_{\mathrm{adj}}, \quad \rho_{\mathrm{adj}} \to 1_S, \quad \rho_{\mathrm{adj}} \otimes \rho_{\mathrm{adj}} \to \rho_{\mathrm{adj}}, \quad \rho_{\mathrm{adj}} \to \rho_{\mathrm{adj}} \otimes \rho_{\mathrm{adj}}, \quad \rho_{\mathrm{adj}} \to \rho_{\mathrm{adj}}$ 

For any  $\rho$  in Rep(G)(S), we may also view  $c_{\rho}$  as a split monomorphism

$$c_{\rho}: \rho \to \rho \otimes \rho_{\mathrm{adj}}$$
 in  $\mathsf{Rep}(G)(S)$ .

If  $\tau$  belongs to  $\mathsf{Rep}^{\circ}(G)(S)$ ,  $c_{\tau}$  gives a morphism  $\tau^{\vee} \otimes \tau \to \rho_{\mathrm{adj}}$  which induces a G-equivariant morphism of quasi-coherent  $G - \mathcal{O}_S$ -algebras

$$\operatorname{Sym}^{\bullet}(\tau^{\vee} \otimes \tau) \to \rho_{\operatorname{adj}}$$

whose underlying morphism of quasi-coherent  $\mathcal{O}_S$ -algebras is given by

$$\operatorname{Sym}_{\mathcal{O}_{S}}^{\bullet}(V(\tau)^{\vee} \otimes V(\tau)) \hookrightarrow \operatorname{Sym}_{\mathcal{O}_{S}}^{\bullet}\left(\operatorname{\underline{End}}_{\mathcal{O}_{S}}(\tau)\right) \left[\frac{1}{\det}\right] = \mathcal{A}\left(GL(V(\tau))\right) \xrightarrow{\tau^{\natural}} \mathcal{A}(G)$$

where  $\tau^{\natural}$  is the morphism attached to  $\tau: G \to GL(V(\rho))$ . In particular, if the latter is a closed embedding and  $\det(\tau) = 1$ , then  $\operatorname{Sym}^{\bullet}(\tau^{\vee} \otimes \tau) \twoheadrightarrow \rho_{\operatorname{adj}}$  is an epimorphism.

- **3.7.5.** Let  $\rho_{\mathrm{adj}}^{\circ}$  be the kernel of  $1_G^{\natural}: \rho_{\mathrm{adj}} \to 1_S$ . Thus  $\rho_{\mathrm{adj}} = \rho_{\mathrm{adj}}^{\circ} \oplus 1_S$  and  $V(\rho_{\mathrm{adj}}^{\circ})$  is the augmentation ideal  $\mathcal{I}(G)$  of  $\mathcal{A}(G)$ . For any  $n \geq 1$ , the multiplication map  $\mathcal{I}(G)^{\otimes n+1} \to \mathcal{I}(G)$  defines a morphism  $(\rho_{\mathrm{adj}}^{\circ})^{\otimes n+1} \to \rho_{\mathrm{adj}}^{\circ}$  in  $\operatorname{Rep}(G)(S)$ . We denote by  $\rho^n \in \operatorname{Rep}^{\circ}(G)(S)$  its cokernel, a representation of G on  $V(\rho^n) = \mathcal{I}(G)/\mathcal{I}(G)^{n+1}$ , and by  $\rho_n = (\rho^n)^{\vee} \in \operatorname{Rep}^{\circ}(G)(S)$  the dual of  $\rho^n$ . Thus  $\rho_1 = \rho_{\mathrm{ad}}$ , the adjoint representation of G on  $V(\rho_{\mathrm{ad}}) = \mathfrak{g}$ .
- **3.7.6.** Let now  $\mathcal{I}(\mathcal{F})$  and  $\mathcal{J}(\mathcal{F})$  be the quasi-coherent ideals of  $\mathcal{A}(G_T)$  which are respectively generated by the quasi-coherent subsheaves  $\mathcal{F}^0_+(\rho^\circ_{\mathrm{adj}})$  and  $\mathcal{F}^0(\rho^\circ_{\mathrm{adj}})$  of the augmentation ideal  $\mathcal{I}(G_T) = \omega_T(\rho^\circ_{\mathrm{adj}})$  of  $\mathcal{A}(G_T)$ . Then

$$U_{\mathcal{F}} \stackrel{\text{def}}{=} \operatorname{Spec} \left( \mathcal{A}(G_T) / \mathcal{J}(\mathcal{F}) \right) \hookrightarrow P_{\mathcal{F}} \stackrel{\text{def}}{=} \operatorname{Spec} \left( \mathcal{A}(G_T) / \mathcal{I}(\mathcal{F}) \right)$$

are closed subgroup schemes of  $G_T$ , because  $\mathcal{J}(\mathcal{F})$  and  $\mathcal{I}(\mathcal{F})$  are compatible with the comultiplication  $\mu_T^{\sharp}$  and inversion  $\operatorname{inv}_T^{\sharp}$  of  $\mathcal{A}(G_T)$ , since  $\mu^{\sharp}: \rho_{\operatorname{adj}} \to \rho_{\operatorname{adj}} \otimes \rho_{\operatorname{adj}}$  and  $\operatorname{inv}^{\sharp}: \rho_{\operatorname{adj}} \to \rho_{\operatorname{adj}}$  are morphisms in  $\operatorname{Rep}(G)(S)$ . It follows from their definition that the formation of  $U_{\mathcal{F}}$  and  $P_{\mathcal{F}}$  commutes with arbitrary base change on T.

**3.7.7.** Let  $N(U_{\mathcal{F}})$  and  $N(P_{\mathcal{F}})$  be the normalizers of  $U_{\mathcal{F}}$  and  $P_{\mathcal{F}}$  in  $G_T$ . Then

$$P_{\mathcal{F}} \subset \operatorname{Aut}^{\otimes}(\mathcal{F}) \subset N(U_{\mathcal{F}}), N(P_{\mathcal{F}}) \text{ and } U_{\mathcal{F}} \subset \operatorname{Aut}^{\otimes!}(\mathcal{F})$$

as fpqc subsheaves of  $G_T$ . We have to check this on sections over an arbitrary T-scheme X, but we may assume that X = T. Since  $G = \operatorname{Aut}^{\otimes}(\omega)$  by theorem 37,

$$\operatorname{Aut}^{\otimes}(\mathcal{F})(T) = \{g \in G(T) | \forall \rho, \gamma : \rho(g) (\mathcal{F}^{\gamma}(\rho)) = \mathcal{F}^{\gamma}(\rho) \}.$$

On the other hand, for any  $\rho$  in  $\mathsf{Rep}(G)(S)$ , the morphism  $c_{\rho}: \rho \to \rho \otimes \rho_{\mathrm{adj}}$  gives a morphism  $\omega_T(c_{\rho}): \omega_T(\rho) \to \omega_T(\rho) \otimes \omega_T(\rho_{\mathrm{adj}})$  in  $\mathsf{QCoh}(T)$  mapping  $\mathcal{F}^{\gamma}(\rho)$  into

$$\textstyle \mathcal{F}^{\gamma}(\rho\otimes\rho_{\mathrm{adj}}) = \textstyle \sum_{\alpha+\beta=\gamma} \mathcal{F}^{\alpha}(\rho)\otimes\mathcal{F}^{\beta}(\rho_{\mathrm{adj}}).$$

- (a) For g in  $\operatorname{Aut}^{\otimes}(\mathcal{F})(T)$ ,  $\rho_{\operatorname{adj}}^{\circ}(g)$  fixes  $\mathcal{F}_{+}^{0}(\rho_{\operatorname{adj}}^{\circ}) = \bigcup_{\gamma>0} \mathcal{F}^{\gamma}(\rho_{\operatorname{adj}}^{\circ})$  as well as the  $\mathcal{A}(G_{T})$ -ideal  $\mathcal{I}(\mathcal{F})$  which it spans. It follows that the inner automorphism of  $G_{T}$  defined by g fixes  $P_{\mathcal{F}}$ . Thus g belongs to  $N(P_{\mathcal{F}})(T)$ . Similarly,  $g \in N(U_{\mathcal{F}})(T)$ .
- (b) For g in  $P_{\mathcal{F}}(T)$ ,  $g^{\natural}: \mathcal{A}(G_T) \to \mathcal{O}_T$  is trivial on  $\mathcal{F}^{\beta}(\rho_{\mathrm{adj}})$  for every  $\beta > 0$  and thus  $\rho(g) = (\mathrm{Id} \otimes g^{\natural}) \circ \omega_T(c_{\rho})$  maps  $\mathcal{F}^{\gamma}(\rho)$  into  $\sum_{\alpha \geq \gamma} \mathcal{F}^{\alpha}(\rho) = \mathcal{F}^{\gamma}(\rho)$ . Since  $g^{-1}$  also belongs to  $P_{\mathcal{F}}(T)$ ,  $\rho(g)$  fixes  $\mathcal{F}^{\gamma}(\rho)$ . Thus g belongs to  $\mathrm{Aut}^{\otimes}(\mathcal{F})(T)$ .
- (c) For g in  $U_{\mathcal{F}}(T)$ ,  $g^{\natural} 1^{\natural} : \mathcal{A}(G_T) \to \mathcal{O}_T$  is trivial on  $\mathcal{F}^0(\rho_{\mathrm{adj}}) = \mathcal{O}_T \oplus \mathcal{F}^0(\rho_{\mathrm{adj}}^{\circ})$ , thus  $\rho(g) \rho(1) = (\mathrm{Id} \otimes (g^{\natural} 1^{\natural})) \circ \omega_T(c_{\rho})$  maps  $\mathcal{F}^{\gamma}(\rho)$  into  $\sum_{\alpha > \gamma} \mathcal{F}^{\alpha}(\rho) = \mathcal{F}^{\gamma}_{+}(\rho)$ . Therefore g belongs to  $\mathrm{Aut}^{\otimes !}(\mathcal{F})(T)$ .
- **3.7.8.** We will establish below that the neutral components [15, VIB 3.1]  $U_{\mathcal{F}}^{\circ}$  and  $P_{\mathcal{F}}^{\circ}$  of  $U_{\mathcal{F}}$  and  $P_{\mathcal{F}}$  are smooth over S, using the following criterion:

PROPOSITION 50. Let G be affine smooth over S, A = A(G) and I = I(G). Let  $H \subset G$  be a closed subgroup defined by a quasi-coherent ideal I of I such that

- (1)  $\mathcal{J}$  is finitely generated,
- (2)  $\mathcal{J} \cap \mathcal{I}^2 = \mathcal{I} \cdot \mathcal{J}$  in  $\mathcal{A}$ , and
- (3)  $\mathcal{I}/\mathcal{J} + \mathcal{I}^2$  is finite locally free on S.

Then  $H^{\circ}$  is representable by a smooth open subgroup scheme of H.

PROOF. By [15, VIB 3.10], we have to show that H is smooth at all points of its unit section. Let thus  $x \in H$  be the image of  $s \in S$  under  $1_H : S \to H$ . By [18, 1.4.3 and 1.4.5], we already know from (1) that H is locally of finite presentation over S. Thus by [21, 17.5.1] and the Jacobian criterion [18,  $0_{IV}$  22.6.4], we have to show that  $\mathcal{J}_x/\mathcal{J}_x^2 \otimes_{\mathcal{O}_{H,x}} k \to \Omega^1_{\mathcal{O}_{G,x}/\mathcal{O}_{S,s}} \otimes_{\mathcal{O}_{G,x}} k$  is injective, where k is the common residue field of s and x, and the morphism is induced by the universal derivation  $d: \mathcal{O}_{G,x} \to \Omega^1_{\mathcal{O}_{G,x}/\mathcal{O}_{S,s}}$ . This map factors through the corresponding map for  $\mathcal{I}_x$ , namely  $\mathcal{I}_x/\mathcal{I}_x^2 \otimes_{\mathcal{O}_{S,s}} k \to \Omega^1_{\mathcal{O}_{G,x}/\mathcal{O}_{S,s}} \otimes_{\mathcal{O}_{G,x}} k$ , which is injective (because  $\mathcal{O}_{G,x}/\mathcal{I}_x = \mathcal{O}_{S,s}$  is formally smooth over itself!). We thus have to show that

$$\mathcal{J}_x/\mathcal{J}_x^2 \otimes_{\mathcal{O}_{H,x}} k = \mathcal{J}_x/m_x \mathcal{J}_x \to \mathcal{I}_x/m_x \mathcal{I}_x = \mathcal{I}_x/\mathcal{I}_x^2 \otimes_{\mathcal{O}_{S,s}} k$$

is injective, where  $m_x$  is the maximal ideal of  $\mathcal{O}_{G,x}$ . The latter map is base-changed from the morphism  $\mathcal{J}_x/\mathcal{J}_x\mathcal{I}_x \to \mathcal{I}_x/\mathcal{I}_x^2$ , which itself is the localization at x of the morphism  $\mathcal{J}/\mathcal{J}\mathcal{I} \to \mathcal{I}/\mathcal{I}^2$ , which is a pure monomorphism by assumption.

3.7.9. We show that  $\mathcal{I}(\mathcal{F})$  and  $\mathcal{J}(\mathcal{F})$  are finitely generated, focusing on  $\mathcal{I}(\mathcal{F})$  to simplify the exposition. Let  $\{S_i \to S\}$  be an fpqc cover as in assumption (TA),  $\{f_i: T_i \to T\}$  the corresponding fpqc cover of T,  $\omega_i$  the fiber functor for  $G_i = G_{S_i}$  and  $\mathcal{F}_i$  the extension of  $\mathcal{F}_{T_i}$  to a  $\Gamma$ -filtration on  $\omega_{i,T_i}$  – which exists by proposition 35 since  $f_i$  is affine. By [19, 2.5.2], it is sufficient to show that  $f_i^*\mathcal{I}(\mathcal{F})$  is finitely generated. Since  $f_i$  is flat,  $f_i^*\mathcal{I}(\mathcal{F}) = \mathcal{I}(\mathcal{F}_{T_i})$  and obviously  $\mathcal{I}(\mathcal{F}_{T_i}) = \mathcal{I}(\mathcal{F}_i)$ . We may thus assume that G is linear over S: there exists  $\tau \in \operatorname{Rep}^{\circ}(G)(S)$  inducing a closed embedding  $\tau: G \hookrightarrow GL(V(\tau))$  with  $\det \tau \equiv 1$ , thus also an epimorphism  $S^{\bullet}(\tau) = \operatorname{Sym}^{\bullet}(\tau^{\vee} \otimes \tau) \twoheadrightarrow \rho_{\operatorname{adj}}$  of quasi-coherent G- $\mathcal{O}_S$ -algebras. By the axiom (F3) for  $\mathcal{F}$ ,  $\mathcal{I}(\mathcal{F})$  is the image of the ideal  $\mathcal{I}(\tau)$  spanned by  $\mathcal{F}_+^0(S^{\bullet}(\tau))$  in  $V(S^{\bullet}(\tau))_T$ . Using proposition 32, we may assume that there is a splitting  $V(\tau^{\vee} \otimes \tau)_T = \oplus_{\gamma} \mathcal{G}_{\gamma}$  of  $\mathcal{F}$  on  $\tau^{\vee} \otimes \tau$ . By the axioms (F1) and (F3), it induces a splitting of  $\mathcal{F}$  on  $S^{\bullet}(\tau)$ ,

$$V(S^n(\tau))_T = \bigoplus_{\gamma} \bigoplus_{\gamma_1 + \dots + \gamma_n = \gamma} \mathcal{G}_{\gamma_1} \cdots \mathcal{G}_{\gamma_n}.$$

It follows easily that  $\mathcal{I}(\tau)$  is spanned by the finite locally free subsheaf  $\bigoplus_{\gamma>0}\mathcal{G}_{\gamma}$  of  $V(S^1(\tau))_T = V(\tau^{\vee} \otimes \tau)_T$ , therefore  $\mathcal{I}(\tau)$  and  $\mathcal{I}(\mathcal{F})$  are indeed finitely generated.

**3.7.10.** We show that  $\mathcal{I}(\mathcal{F}) \cap \mathcal{I}(G_T)^2 = \mathcal{I}(\mathcal{F}) \cdot \mathcal{I}(G_T)$  – the proof for  $\mathcal{J}(\mathcal{F})$  is similar. Plainly,  $\mathcal{I}(\mathcal{F}) \cdot \mathcal{I}(G_T) \subset \mathcal{I}(\mathcal{F}) \cap \mathcal{I}(G_T)^2$ . For the other inclusion, we may assume that T is affine and work with global sections. Let thus s be a (global) section of  $\mathcal{I}(\mathcal{F})$ , so that s = a + b with a a section of  $\mathcal{F}_+^0(\rho_{\mathrm{adj}}^\circ)$  and b a section of

$$\mathcal{I}(G_T) \cdot \mathcal{F}^0_+(\rho_{\mathrm{adj}}^\circ) \subset \mathcal{I}(G_T) \cdot \mathcal{I}(\mathcal{F}) \subset \mathcal{I}(G_T)^2.$$

Then s belongs to  $\mathcal{I}(G_T)^2$  if and only a does, i.e. a is a section of  $\mathcal{F}^0_+(\rho^{\circ}_{\mathrm{adj}}) \cap \mathcal{I}(G_T)^2$ . The pure short exact sequence and epimorphism of quasi-coherent sheaves on S

$$0 \to \mathcal{I}(G)^2 \to \mathcal{I}(G) \to \mathcal{I}(G)/\mathcal{I}(G)^2 \to 0 \quad \text{and} \quad \mathcal{I}(G)^{\otimes 2} \twoheadrightarrow \mathcal{I}(G)^2$$

correspond to a pure short exact sequence and epimorphism in  $\mathsf{Rep}(G)(S)$ ,

$$0 \to \rho_{\mathrm{adj}}^{\circ(2)} \to \rho_{\mathrm{adj}}^{\circ} \to \rho^1 \to 0 \quad \mathrm{and} \quad (\rho_{\mathrm{adj}}^{\circ})^{\otimes 2} \twoheadrightarrow \rho_{\mathrm{adj}}^{\circ(2)}$$

which together give, using the axioms (F1) and (F3) for  $\mathcal{F}$ ,

$$\textstyle \mathcal{F}^0_+(\rho_{\mathrm{adj}}^\circ) \cap \mathcal{I}(G_T)^2 = \mathcal{F}^0_+(\rho_{\mathrm{adj}}^{\circ(2)}) = \sum_{\gamma_1 + \gamma_2 > 0} \! \mathcal{F}^{\gamma_1}(\rho_{\mathrm{adj}}^\circ) \cdot \mathcal{F}^{\gamma_2}(\rho_{\mathrm{adj}}^\circ)$$

which is contained in  $\mathcal{I}(\mathcal{F}) \cdot \mathcal{I}(G_T)$ , thus  $\mathcal{I}(\mathcal{F}) \cap \mathcal{I}(G_T)^2 \subset \mathcal{I}(\mathcal{F}) \cdot \mathcal{I}(G_T)$ .

- **3.7.11.** We show that  $\mathcal{I}(G_T)/\mathcal{I}(\mathcal{F}) + \mathcal{I}(G_T)^2$  is finite locally free the proof for  $\mathcal{J}(\mathcal{F})$  is similar. By the axiom (F3),  $\mathcal{I}(\mathcal{F}) + \mathcal{I}(G_T)^2/\mathcal{I}(G_T)^2$  is the  $\mathcal{A}(G_T)$ -submodule of  $\mathcal{I}(G_T)/\mathcal{I}(G_T)^2 = \omega_T(\rho^1)$  generated by  $\mathcal{F}_+^0(\rho^1)$ , i.e. this  $\mathcal{O}_T$ -submodule itself since  $\mathcal{A}(G_T)$  acts on  $\mathcal{I}(G_T)/\mathcal{I}(G_T)^2$  through  $\mathcal{O}_T$ . We are thus claiming that  $\omega_T(\rho^1)/\mathcal{F}_+^0(\rho^1)$  is finite locally free, which follows from proposition 32.
- **3.7.12.** We have just established that  $U_{\mathcal{F}}^{\circ}$  and  $P_{\mathcal{F}}^{\circ}$  are representable by smooth open subschemes of  $U_{\mathcal{F}}$  and  $P_{\mathcal{F}}$ . They are also finitely presented over T: they are separated over T as compositions of affine morphisms and open immersions, and they are quasi-compact over T by [15, VIB 3.9], since  $U_{\mathcal{F}}$  and  $P_{\mathcal{F}}$  are finitely presented over S, being locally of finite presentation by 3.7.9 and [18, 1.4.5], and affine by definition. From 3.7.7, we obtain the following chain of inclusions

The Lie algebras of  $U_{\mathcal{F}}^{\circ} \subset U_{\mathcal{F}}$  and  $P_{\mathcal{F}}^{\circ} \subset P_{\mathcal{F}}$  are respectively given by

$$\begin{array}{rcl} \operatorname{Lie}(U_{\mathcal{F}}^{\circ}) & = & \operatorname{Lie}(U_{\mathcal{F}}) & = & \left(\mathcal{I}(G_T)/\mathcal{J}(\mathcal{F}) + \mathcal{I}(G_T)^2\right)^{\vee} \\ \operatorname{and} & \operatorname{Lie}(P_{\mathcal{F}}^{\circ}) & = & \operatorname{Lie}(P_{\mathcal{F}}) & = & \left(\mathcal{I}(G_T)/\mathcal{I}(\mathcal{F}) + \mathcal{I}(G_T)^2\right)^{\vee} \end{array}$$

As quasi-coherent  $\mathcal{O}_T$ -submodules of

$$\operatorname{Lie}(G_T) = \mathfrak{g}_T = \left(\mathcal{I}(G_T)/\mathcal{I}(G_T)^2\right)^{\vee}$$

they correspond to the  $\mathcal{O}_T$ -linear forms on  $\omega_T(\rho^1) = \mathcal{I}(G_T)/\mathcal{I}(G_T)^2$  vanishing on

$$\mathcal{F}^0(\rho^1) = \mathcal{J}(\mathcal{F}) + \mathcal{I}(G_T)^2 / \mathcal{I}(G_T)^2$$
 and  $\mathcal{F}^0_+(\rho^1) = \mathcal{I}(\mathcal{F}) + \mathcal{I}(G_T)^2 / \mathcal{I}(G_T)^2$ 

respectively. We thus find that, as  $\mathcal{O}_T$ -submodules of  $\mathfrak{g}_T = \omega_T(\rho_{\rm ad}) = \omega_T(\rho_1)$ ,

$$\mathrm{Lie}(U_{\mathcal{F}}^{\circ}) = \mathrm{Lie}(U_{\mathcal{F}}) = \mathcal{F}_{+}^{0}(\rho_{\mathrm{ad}}) \quad \text{and} \quad \mathrm{Lie}(P_{\mathcal{F}}^{\circ}) = \mathrm{Lie}(P_{\mathcal{F}}) = \mathcal{F}^{0}(\rho_{\mathrm{ad}}).$$

**3.7.13.** We show that  $P_{\mathcal{F}}^{\circ}$  is a parabolic subgroup of  $G_T$  with unipotent radical  $U_{\mathcal{F}}^{\circ}$ . Since both groups are finitely presented and smooth over T with  $P_{\mathcal{F}}^{\circ} \subset N(U_{\mathcal{F}}^{\circ})$ , we may assume that  $T = \operatorname{Spec}(k)$  for some algebraically closed field k by [14, XXVI 1.1 and 1.6]. Since then  $T \to S$  is affine, we may also assume that  $S = \operatorname{Spec}(k)$  by part (2) of proposition 35, in which case G is linear by lemma 45. Using the criterion of [32, IV 2.4.3.1], we now have to verify that

(a) 
$$\dim U_{\mathcal{F}}^{\circ} = \dim G/P_{\mathcal{F}}^{\circ}$$
 and (b)  $U_{\mathcal{F}}^{\circ}$  is unipotent.

The equality of dimensions follows from proposition 51 below since

$$\dim U_{\mathcal{F}}^{\circ} = \dim_k \operatorname{Lie}(U_{\mathcal{F}}^{\circ}) = \dim_k \mathcal{F}_+^0(\rho_{\mathrm{ad}}) = \sum_{\gamma > 0} \dim_k \operatorname{Gr}_k^{\gamma}(\rho_{\mathrm{ad}})$$

and

$$\dim G/P_{\mathcal{F}}^{\circ} = \dim_k \mathfrak{g}/\mathcal{F}^0(\rho_{\mathrm{ad}}) = \dim_k \mathcal{F}_+^0(\rho_{\mathrm{ad}}^{\vee}) = \sum_{\gamma > 0} \dim_k \mathrm{Gr}_k^{\gamma}(\rho_{\mathrm{ad}}).$$

For (b), pick a finite dimensional faithful representation  $\tau$  of G. Then

$$U_{\mathcal{F}}^{\circ} \subset U_{\mathcal{F}} \subset \operatorname{Aut}^{\otimes !}(\mathcal{F}) \subset U(\mathcal{F}(\tau))$$

where  $U(\mathcal{F}(\tau))$  is the unipotent subgroup of  $GL(V(\tau))$  defined by the  $\Gamma$ -filtration  $\mathcal{F}(\tau)$  on  $V(\tau)$ . Therefore  $U_{\mathcal{F}}^{\circ}$  is unipotent by [1, XVII 2.2.ii].

**3.7.14.** By [14, XXII 5.8.5], 
$$P_{\mathcal{F}}^{\circ} = N(P_{\mathcal{F}}^{\circ})$$
, therefore also

$$P_{\mathcal{F}}^{\circ} = P_{\mathcal{F}} = \operatorname{Aut}^{\otimes}(\mathcal{F}) = N(P_{\mathcal{F}}) = N(P_{\mathcal{F}}^{\circ}).$$

On the other hand, the above proof of (b) shows that  $U_{\mathcal{F}}$  has unipotent geometric fibers, and then so does its quotient  $U_{\mathcal{F}}/U_{\mathcal{F}}^{\circ}$  by [1, XVII 2.2.iii]. Since  $U_{\mathcal{F}}/U_{\mathcal{F}}^{\circ}$  is also normal in the reductive group  $P_{\mathcal{F}}^{\circ}/U_{\mathcal{F}}^{\circ}$ , it must be trivial, thus  $U_{\mathcal{F}} = U_{\mathcal{F}}^{\circ}$  and this finishes the proof of our theorem. Note that we can not say much more about  $\operatorname{Aut}^{\otimes !}(\mathcal{F})$  at this point – we do not even know that it is actually representable.

## 3.8. Grothendieck groups

Let again G be affine and flat over S. Let T be an S-scheme and let  $\mathcal{F}$  be a  $\Gamma$ -filtration on  $\omega_T^{\circ}$ . Since  $\mathcal{F}$  and Gr are exact  $\otimes$ -functors,

$$\mathrm{Gr}_{\mathcal{F}}^{\bullet}: \mathsf{Rep}^{\circ}(G)(S) \xrightarrow{\mathcal{F}} \mathsf{Fil}^{\Gamma}\mathsf{LF}(T) \xrightarrow{\mathrm{Gr}} \mathsf{Gr}^{\Gamma}\mathsf{LF}(T)$$

is also an exact  $\otimes$ -functor. It thus induces a morphism between the Grothendieck ring  $K_0(G)$  of  $\operatorname{Rep}^{\circ}(G)(S)$  and the Grothendieck ring of  $\operatorname{Gr}^{\Gamma}\mathsf{LF}(T)$ . The rank function on finite locally free sheaves over T defines a morphism from the latter ring to the ring  $\mathcal{C}(T,\mathbb{Z}[\Gamma])$  of locally constant functions on T with values in the group ring  $\mathbb{Z}[\Gamma]$  of  $\Gamma$ . The  $\Gamma$ -filtration  $\mathcal{F}$  on  $\omega_T^{\circ}$  thus defines a ring homomorphism

$$\kappa(\mathcal{F}): K_0(G) \to \mathcal{C}(T, \mathbb{Z}[\Gamma])$$

which maps the class of  $\rho \in \operatorname{Rep}^{\circ}(G)(S)$  in  $K_0(G)$  to the function

$$t \mapsto \sum_{\gamma \in \Gamma} \dim_{k(t)} \left( \operatorname{Gr}_{\mathcal{F}}^{\gamma}(\rho) \otimes k(t) \right) \cdot e^{\gamma}$$

where  $e^{\gamma}$  is the basis element of  $\mathbb{Z}[\Gamma]$  corresponding to  $\gamma$ . We have:

$$\forall z \in K_0(G): \qquad \kappa(\mathcal{F})(z^{\vee}) = \kappa(\mathcal{F})(z)^{\vee}$$

where the involutions  $z \mapsto z^{\vee}$  are induced by the duality  $\rho \mapsto \rho^{\vee}$  on  $\operatorname{\mathsf{Rep}}^{\circ}(G)(S)$  and by  $\sum x_{\lambda}e^{\lambda} \mapsto \sum x_{\lambda}e^{-\lambda}$  on  $\mathbb{Z}[\Gamma]$ . When G is smooth over S, we define

$$\kappa(G) = [\rho_{\mathrm{ad}}] - [\rho_{\mathrm{ad}}^{\vee}] \in K_0(G)$$
  
and  $\kappa(G, \mathcal{F}) = \text{image of } \kappa(G) \text{ in } \mathcal{C}(T, \mathbb{Z}[\Gamma])$ 

The formation of  $\kappa(G, \mathcal{F})$  is compatible with arbitrary base change on T.

Proposition 51. If (1) G is an isotrivial reductive group over a quasi-compact S, or (2) G is a reductive group over S and F comes from a filtration on  $\omega_T$ , then

$$\kappa(G, \mathcal{F}) = 0$$
 in  $\mathcal{C}(T, \mathbb{Z}[\Gamma])$ .

PROOF. (1) Let  $\{S_i \to S\}$  be a covering of S by finite étale morphisms such that each  $G_i = G_{S_i}$  splits. Let  $\{T_i \to T\}$  be the corresponding covering of T. By part (1) of proposition 35,  $\mathcal{F}_{T_i}$  extends to a  $\Gamma$ -filtration  $\mathcal{F}_i$  on  $\omega_i^{\circ} : \operatorname{Rep}^{\circ}(G_i)(S_i) \to \operatorname{LF}(T_i)$ , and obviously  $\kappa(G_i, \mathcal{F}_i) = \kappa(G, \mathcal{F}) \circ (T_i \to T)$ . We may thus assume that G splits over S, in which case the proposition follows from lemma 52 below. The proof of (2) is similar: let  $\{t \to T\}$  be a covering of T by geometric points, thus  $G_t$  splits. By part (2) of proposition 35,  $\mathcal{F}_t$  extends to a  $\Gamma$ -filtration on  $\omega_t^{\circ} : \operatorname{Rep}(G_t)(t) \to \operatorname{LF}(t)$  which we also denote by  $\mathcal{F}_t$ , and obviously  $\kappa(G_t, \mathcal{F}_t) = \kappa(G, \mathcal{F}) \circ (t \to T)$ .

LEMMA 52. If G is a split reductive group over a quasi-compact S, then

$$\kappa(G) = 0$$
 in  $K_0(G)$ .

PROOF. By [14, XXII 2.8], there is a decomposition  $S = \coprod_{i \in I} S_i$  into open and closed subschemes  $S_i \neq \emptyset$  of S such that for each  $i \in I$ ,  $G_{S_i}$  is of constant type, thus isomorphic [14, XXIII 5.2] to the base change of a split reductive group  $G_{0,i}$  over  $\operatorname{Spec}(\mathbb{Z})$  [14, XXV 1.2]. Since S is quasi-compact, the indexing set I is finite and  $K_0(G) \simeq \otimes_{i \in I} K_0(G_{S_i})$  with  $\kappa(G) = \sum_{i \in I} \kappa(G)_i$  where  $\kappa(G)_i$  is the image of  $\kappa(G_{0,i})$  under  $K_0(G_{0,i}) \to K_0(G_{S_i}) \to K_0(G)$ . We may thus assume that  $S = \operatorname{Spec}(A)$  where A a principal ideal domain. By [35, Théorème 5], we may even assume that A = K is a field. Let H be a split maximal torus in G, with character group M and Weyl group W. The restriction  $\operatorname{Rep}^{\circ}(G) \to \operatorname{Rep}^{\circ}(H)$  induces a ring homomorphism  $K_0(G) \to K_0(H) \simeq \mathbb{Z}[M]$  which yields an isomorphism from  $K_0(G)$  to  $\mathbb{Z}[M]^W$  by [35, Théorème 4]. Let  $R \subset M$  be the set of roots of H in the Lie algebra  $\mathfrak{g} = V(\rho_{\mathrm{ad}})$ . The weight decomposition of  $\rho_{\mathrm{ad}}|H$  is then given by  $\mathfrak{g} = \mathfrak{g}_0 \oplus \oplus_{\alpha \in R} \mathfrak{g}_\alpha$  with  $\dim_K \mathfrak{g}_\alpha = 1$  for  $\alpha \in R$  and  $\mathfrak{g}_0 = \mathfrak{h}$  is the Lie algebra of H. Since R = -R, we find that  $\rho_{\mathrm{ad}}|H \simeq \rho_{\mathrm{ad}}^\vee|H$ . Thus indeed  $\kappa(G) = 0$  in  $K_0(G)$ .  $\square$ 

# 3.9. The stabilizer of a $\Gamma$ -filtration, II

We have the following variant of theorem 46 and 49. Let G be an isotrivial reductive group over a quasi-compact S.

THEOREM 53. For an S-scheme T and a  $\Gamma$ -filtration  $\mathcal{F}$  on  $V_T^{\circ}$  or  $\omega_T^{\circ}$ ,  $\operatorname{Aut}^{\otimes}(\mathcal{F})$  is a parabolic subgroup  $P_{\mathcal{F}}$  of  $G_T$  with unipotent radical  $U_{\mathcal{F}} \subset \operatorname{Aut}^{\otimes!}(\mathcal{F})$ . Moreover,

$$\operatorname{Lie}(U_{\mathcal{F}}) = \mathcal{F}^0_+(\rho_{\operatorname{ad}}) \quad and \quad \operatorname{Lie}(P_{\mathcal{F}}) = \mathcal{F}^0(\rho_{\operatorname{ad}}) \quad in \quad V_T(\rho_{\operatorname{ad}}) = \mathfrak{g}_T.$$

COROLLARY 54. For any S-scheme T and  $\mathcal{F} \in \mathbb{F}^{\Gamma}(G)(T)$ .

$$P_{\mathcal{F}} = \operatorname{Aut}^{\otimes}(\iota \mathcal{F}), \quad U_{\mathcal{F}} = \operatorname{Aut}^{\otimes!}(\iota \mathcal{F}) \quad and \quad P_{\mathcal{F}}/U_{\mathcal{F}} = \operatorname{Aut}^{\otimes}(\operatorname{Gr}_{\iota \mathcal{F}}^{\bullet})$$

where  $\iota \mathcal{F}$  stands for the image of  $\mathcal{F}$  in either  $\mathbb{F}^{\Gamma}(V_T^{\circ})$  or  $\mathbb{F}^{\Gamma}(\omega_T^{\circ})$ .

The proof of the corollary is identical to that of its earlier counterpart.

**3.9.1.** By propositions 42, 38, 39 and 44, it is sufficient to establish the theorem for a  $\Gamma$ -filtration  $\mathcal{F}$  on  $\omega_T^{\circ}$ . For any T-scheme X, we have

$$\operatorname{Aut}^{\otimes}(\mathcal{F})(X) = \{g \in G(X) | \forall \tau, \gamma \in \operatorname{\mathsf{Rep}}^{\circ}(G)(S) \times \Gamma : \rho_{X}(g) (\mathcal{F}^{\gamma}(\tau)_{X}) = \mathcal{F}^{\gamma}(\tau)_{X} \},$$

$$= \{g \in G(X) | \forall \rho, \gamma \in \operatorname{\mathsf{Rep}}'(G)(S) \times \Gamma : \rho_{X}(g) (\mathcal{F}^{\gamma}(\rho)_{X}) = \mathcal{F}^{\gamma}(\rho)_{X} \}.$$

We have to show that the fpqc subsheaf  $\operatorname{Aut}^{\otimes}(\mathcal{F}): (\operatorname{Sch}/T)^0 \to \operatorname{Set}$  of  $G_T$  is representable by a parabolic subgroup with the specified Lie algebra: this is a local question in the fpqc topology on T. Let  $\{S_i \to S\}$  be a covering of S by finite étale morphisms such that  $G_i = G_{S_i}$  is split, let  $\{T_i \to T\}$  be the induced covering of T, let  $\omega_i$  denote the fiber functors for  $G_i$  and let  $\mathcal{F}_i$  be the unique extension of  $\mathcal{F}_{T_i}$  to a  $\Gamma$ -graduation on  $\omega_{i,T_i}^{\circ}$ . Going back to its actual definition in the proof of proposition 35, one checks easily that  $\operatorname{Aut}^{\otimes}(\mathcal{F})|_{T_i} = \operatorname{Aut}^{\otimes}(\mathcal{F}_{T_i})$  is equal to  $\operatorname{Aut}^{\otimes}(\mathcal{F}_i)$  as a subsheaf of  $G|_{T_i} = \operatorname{Aut}^{\otimes}(\omega^{\circ})|_{T_i} = \operatorname{Aut}^{\otimes}(\omega_i^{\circ})|_{T_i}$ . We may (and do) therefore assume that G is a split reductive group over a quasi-compact S. By [14, XXII 2.8, XXIII 5.2 and XXV 1.2], we then have a finite partition of  $S = \coprod S_i$  into open and closed subschemes such that each  $G_i = G_{S_i}$  arises from a split group over  $\operatorname{Spec}(\mathbb{Z})$ , and repeating the above argument with that covering, we may thus also assume that G is the base change of a split reductive group  $G_0$  over  $\operatorname{Spec}(\mathbb{Z})$ .

- **3.9.2.** In particular, the proof of part (2) of proposition 42 now shows that with  $\rho_{\text{reg}}$ , also  $\rho_{\text{adj}}$  and  $\rho_{\text{adj}}^{\circ}$  belong to Rep'(G)(S), to which we have extended  $\mathcal{F}$  in section 3.6.4. We may thus define subschemes  $U_{\mathcal{F}}$  and  $P_{\mathcal{F}}$  of  $G_T$  as in section 3.7.6, and try to follow from there on the subsequent steps of the proof of theorem 46. Of course, we have to check that we are only using our filtration where it is defined, namely on Rep'(G)(S), and that whenever the axiom (F3) was used, we could have replaced it with the weaker left and right properties (F31) or (F3r).
  - **3.9.3.** In 3.7.9 and 3.7.10, we used the right exactness of  $\mathcal{F}$  for (respectively)

$$A: S^{\bullet}(\tau) = \operatorname{Sym}^{\bullet}(\tau^{\vee} \otimes \tau) \twoheadrightarrow \rho_{\operatorname{adj}} \quad \text{and} \quad B: (\rho_{\operatorname{adj}}^{\circ})^{\otimes 2} \twoheadrightarrow \rho_{\operatorname{adj}}^{\circ (2)}.$$

To deal with the first one, it would be sufficient to know that there is a cofinal set  $\Sigma \in X(\rho_{\mathrm{adj}})$  such that for all  $\sigma \in \Sigma$ ,  $A^{-1}(\sigma)$  is still in  $\mathsf{Rep}'(G)(S)$ : then

$$\mathcal{F}^{\gamma}(\rho_{\mathrm{adj}}) = \varinjlim \mathcal{F}^{\gamma}(\sigma) \stackrel{\mathrm{F3r}}{=} \varinjlim A(\mathcal{F}^{\gamma}(A^{-1}(\sigma)))$$
$$= A(\mathcal{F}^{\gamma}(\varinjlim A^{-1}(\sigma))) = A(\mathcal{F}^{\gamma}(S^{\bullet}(\tau))).$$

Over a Dedekind domain, we have Wedhorn's criterion: a  $\rho$  is in  $\operatorname{Rep}'(G)(S)$  if and only  $V(\rho)$  is flat, i.e. torsion free: thus over such a domain,  $A^{-1}(\sigma)$  still belongs to  $\operatorname{Rep}'(G)(S)$  for any  $\sigma \in X(\rho_{\operatorname{adj}})$ . Applying this to  $G_0$  and choosing  $\tau$  in 3.7.9 to also be defined over  $\operatorname{Spec}(\mathbb{Z})$  settles the case of A, and that of B is similar.

**3.9.4.** Everything then goes through up to 3.7.13:  $U_{\mathcal{F}}^{\circ}$  and  $P_{\mathcal{F}}^{\circ}$  are smooth subgroups of  $G_T$  with the good Lie algebras, etc. . In 3.7.13, we may still reduce to the case where  $T = \operatorname{Spec}(k)$  for some algebraically closed field k and use the criterion of [32, IV 2.4.3.1], but we can not change S to  $\operatorname{Spec}(k)$ . However, since we have already reduced to the split case, proposition 51 (or lemma 52) deals perfectly well with condition (a), and lemma 45 with condition (b).

## 3.10. Splitting filtrations

We now come to the main statement of theorem 28. Let thus G be a reductive isotrivial group over a quasi-compact S, let T be an S-scheme and let  $\mathcal{F}$  be a  $\Gamma$ -filtration on  $\omega_T^{\circ}$ . We will then show that: locally on T for the étale topology,  $\mathcal{F}$  has a splitting  $\chi: \mathbb{D}_T(\Gamma) \to G_T$ .

- **3.10.1.** Let  $f: \tilde{S} \to S$  be a finite étale cover splitting G and denote by  $\tilde{\mathcal{F}}$  the unique extension of  $\mathcal{F}_{\tilde{T}}$  to a  $\Gamma$ -filtration on  $\tilde{\omega}_{\tilde{T}}^{\circ}$  (see proposition 35), where  $\tilde{T} = T_{\tilde{S}}$  and  $\tilde{\omega}$  is the fiber functor for  $\tilde{G} = G_{\tilde{S}}$ . If  $\chi: \mathbb{D}_{\tilde{T}}(\Gamma) \to G_{\tilde{T}}$  is a splitting of  $\tilde{\mathcal{F}}$ , it is a fortiori a splitting of  $\mathcal{F}_{\tilde{T}}$ : we may thus assume that G splits over S.
- **3.10.2.** For a positive integer k, there is a cartesian diagram of fpqc sheaves on S,

$$\mathbb{G}^{\Gamma}(G) \xrightarrow{\text{Prop. 38}} \mathbb{G}^{\Gamma}(\omega^{\circ}) \xrightarrow{\text{Fil}} \mathbb{F}^{\Gamma}(\omega^{\circ})$$

$$\downarrow k_{1} \downarrow \qquad \qquad k_{2} \downarrow \qquad \qquad k_{3} \downarrow \qquad \qquad k_{3} \downarrow \qquad \qquad \downarrow k_{4} \downarrow \qquad \qquad \downarrow k_{5} \downarrow \qquad \downarrow k_{5} \downarrow \qquad \downarrow k_{5} \downarrow \qquad \qquad \downarrow k_{5} \downarrow$$

where the  $k_i$ 's map  $\chi$ ,  $\mathcal{G}$  and  $\mathcal{F}$  to respectively  $k_1(\chi) = \chi \circ \mathbb{D}_T(k) = \chi^k$ ,

$$k_2(\mathcal{G})_{\gamma}(\rho) = \begin{cases} 0 & \text{if } \gamma \notin k\Gamma, \\ \mathcal{G}_{\eta}(\rho) & \text{if } \gamma = k\eta, \end{cases} \text{ and } k_3(\mathcal{F})^{\gamma}(\rho) = \bigcup_{k\eta \geq \gamma} \mathcal{F}^{\eta}(\rho).$$

They are all obviously well-defined monomorphisms, and the image of  $k_2$  is the subsheaf of  $\mathbb{G}^{\Gamma}(\omega^{\circ})$  made of those  $\Gamma$ -graduation  $\mathcal{G}'$  for which  $\mathcal{G}'_{\gamma} \equiv 0$  for  $\gamma \notin k\Gamma$ . The diagram is cartesian because if  $\mathcal{G}'$  splits  $k_3(\mathcal{F})$ , then  $\mathcal{G}'_{\gamma} \simeq \operatorname{Gr}_{k_3(\mathcal{F})}^{\gamma} \equiv 0$  for  $\gamma \notin k\Gamma$ , thus  $\mathcal{G}' = k_2(\mathcal{G})$  for a unique  $\mathcal{G}$ , which has to also split  $\mathcal{F}$  since

$$k_3(\mathcal{F}) = \operatorname{Fil}(\mathcal{G}') = \operatorname{Fil}(k_2(\mathcal{G})) = k_3(\operatorname{Fil}(\mathcal{G})).$$

**3.10.3.** For a central isogeny  $f: G \to G'$ , there is a commutative diagram

$$\mathbb{G}^{\Gamma}(G) \xrightarrow{\text{Prop. 38}} \mathbb{G}^{\Gamma}(\omega^{\circ}) \xrightarrow{\text{Fil}} \mathbb{F}^{\Gamma}(\omega^{\circ}) 
f_{1} \downarrow \qquad \qquad f_{2} \downarrow \qquad \qquad f_{3} \downarrow 
\mathbb{G}^{\Gamma}(G') \xrightarrow{\text{Prop. 38}} \mathbb{G}^{\Gamma}(\omega'^{\circ}) \xrightarrow{\text{Fil}} \mathbb{F}^{\Gamma}(\omega'^{\circ})$$

where  $\omega' = \omega \circ f^*$  denotes the fiber functor for G' and the  $f_i$ 's map  $\chi$ ,  $\mathcal{G}$  and  $\mathcal{F}$  to respectively  $f_1(\chi) = f \circ \chi$ ,  $f_2(\mathcal{G}) = \mathcal{G} \circ f^*$  and  $f_3(\mathcal{F}) = \mathcal{F} \circ f^*$ , with

$$f^*: \mathsf{Rep}(G')(S) \to \mathsf{Rep}(G)(S) \qquad f^*(\rho) = \rho \circ f.$$

We claim that (1) all  $f_i$ 's are monomorphisms, and (2) the diagram is cartesian. This is local in the finite étale topology on S by proposition 35, and we may thus assume that the kernel C of f is isomorphic to  $\mathbb{D}_S(X)$  for some finite commutative group X. We fix an S-scheme T and consider sections of the above sheaves over T. If  $f \circ \chi_1 = f \circ \chi_2$ , then  $\chi_1^{-1}\chi_2$  is a morphism  $\mathbb{D}_T(\Gamma) \to C_T$ , which has to be trivial since X is finite and  $\Gamma$  torsion free:  $f_1$  is injective. Any  $\rho \in \text{Rep}^{\circ}(G)(S)$  has a finite sum decomposition  $\rho = \oplus \rho(x)$  according to the characters  $x \in X$  of C, and C acts trivially on  $\rho(x)^{\otimes k(x)}$  where  $k(x) \geq 1$  is the order of x in X. If two  $\Gamma$ -filtrations  $\mathcal{F}_1$  and  $\mathcal{F}_2$  on  $\omega_T^{\circ}$  induce the same  $\Gamma$ -filtration on  $\omega_T^{\prime \circ}$ , then  $\mathcal{F}_1(\rho) = \mathcal{F}_2(\rho)$  for every  $\rho$  on which C acts trivially, thus  $\mathcal{F}_1(\rho(x)) = \mathcal{F}_2(\rho(x))$  for every  $\rho$  and x by lemma 55

below, therefore  $\mathcal{F}_1(\rho) = \mathcal{F}_2(\rho)$  since  $\rho = \oplus \rho(x)$ :  $f_3$  is injective. Similarly:  $f_2$  is injective. Finally, suppose that  $\mathcal{G}'$  splits  $f_3(\mathcal{F})$ . Let  $\chi' : \mathbb{D}_T(\Gamma) \to G_T'$  be the corresponding morphism. Fix  $k \geq 1$  such that  $k_1(\chi')$  lifts to  $\chi_k : \mathbb{D}_T(\Gamma) \to G_T$ , giving a  $\Gamma$ -graduation  $\mathcal{G}_k$  and a  $\Gamma$ -filtration  $\mathcal{F}_k$  on  $\omega_T^{\circ}$ . They respectively map to

$$f_2(\mathcal{G}_k) = f_2 \circ \iota(\chi_k) = \iota \circ f_1(\chi_k) = \iota \circ k_1(\chi') = k_2 \circ \iota(\chi') = k_2(\mathcal{G}')$$

where  $\iota$  is the isomorphism  $\mathbb{G}^{\Gamma}(G) \simeq \mathbb{G}^{\Gamma}(\omega^{\circ})$ , and

$$f_3(\mathcal{F}_k) = f_3 \circ \operatorname{Fil}(\mathcal{G}_k) = \operatorname{Fil} \circ f_2(\mathcal{G}_k) = \operatorname{Fil} \circ k_2(\mathcal{G}') = k_3 \circ \operatorname{Fil}(\mathcal{G}') = k_3 \circ f_3(\mathcal{F}).$$

Thus  $f_3(\mathcal{F}_k) = f_3 \circ k_3(\mathcal{F})$  and  $\mathcal{F}_k = k_3(\mathcal{F})$  since  $f_3$  is a monomorphism. Since  $\mathcal{G}_k$  splits  $k_3(\mathcal{F})$ , there is a unique  $\mathcal{G}$  such that  $\mathcal{F} = \operatorname{Fil}(\mathcal{G})$  and  $k_2(\mathcal{G}) = \mathcal{G}_k$  by the cartesian diagram of the previous subsection. Moreover  $f_2(\mathcal{G}) = \mathcal{G}'$  since

$$k_2 \circ f_2(\mathcal{G}) = f_2 \circ k_2(\mathcal{G}) = f_2(\mathcal{G}_k) = k_2(\mathcal{G}')$$

and  $k_2$  is a monomorphism: our diagram is indeed cartesian.

Lemma 55. Let  $\mathcal{M}$  be a finite locally free sheaf on a scheme  $S, k \geq 1$ .

(1) Let  $\mathcal{F}_1$  and  $\mathcal{F}_2$  be local direct factors of  $\mathcal{M}$ . Then:

$$\mathcal{F}_1^{\otimes k} = \mathcal{F}_2^{\otimes k} \text{ in } \mathcal{M}^{\otimes k} \Longrightarrow \mathcal{F}_1 = \mathcal{F}_2 \text{ in } \mathcal{M}.$$

(2) Let  $\mathcal{F}_1$  and  $\mathcal{F}_2$  be  $\Gamma$ -filtrations on  $\mathcal{M}$ . Then:

$$\mathcal{F}_1^{\otimes k} = \mathcal{F}_2^{\otimes k} \text{ on } \mathcal{M}^{\otimes k} \Longrightarrow \mathcal{F}_1 = \mathcal{F}_2 \text{ on } \mathcal{M}.$$

PROOF. (1) Fix  $s \in S$  with residue field k(s). We have to show that  $\mathcal{F}_1 = \mathcal{F}_2$  in a neighborhood of s. Shrinking S if necessary, we may assume that  $\mathcal{F}_1$  and  $\mathcal{F}_2$  are free of constant rank  $n_1$  and  $n_2$ . By assumption,  $n_1^k = n_2^k$ , therefore  $n_1 = n_2 = n$ . If n = 0,  $\mathcal{F}_1 = 0 = \mathcal{F}_2$  and we are done. Suppose n > 0, and choose a linear form  $f: \mathcal{M}(s) \to k(s)$  which is non-zero on  $\mathcal{F}_1(s)$  and  $\mathcal{F}_2(s)$ . Shrinking S further, we may lift f to an  $\mathcal{O}_S$ -linear map  $f: \mathcal{M} \to \mathcal{O}_S$  such that  $f(\mathcal{F}_1) = \mathcal{O}_S = f(\mathcal{F}_2)$ . Then for the  $\mathcal{O}_S$ -linear map  $F = \operatorname{Id} \otimes f^{k-1}: \mathcal{M}^{\otimes k} \to \mathcal{M}$ , we have

$$\mathcal{F}_1 = F(\mathcal{F}_1^{\otimes k}) = F(\mathcal{F}_2^{\otimes k}) = \mathcal{F}_2.$$

(2) The question is local for the Zariski topology on S. By proposition 32, we may thus assume that both filtrations split, say

$$\mathcal{F}_1^{\gamma} = \bigoplus_{\eta \ge \gamma} \mathcal{G}_1^{\eta}$$
 and  $\mathcal{F}_2^{\gamma} = \bigoplus_{\eta \ge \gamma} \mathcal{G}_2^{\eta}$ 

with  $\mathcal{G}_i^{\gamma}$  locally free of constant rank  $n_i^{\gamma}$  for every  $i \in \{1,2\}$  and  $\gamma \in \Gamma$ . We then argue by induction on the constant rank  $n = \sum n_1^{\gamma} = \sum n_2^{\gamma}$  of  $\mathcal{M}$ . For n = 0, there is nothing to prove. Suppose n > 0. By assumption, for every  $\gamma \in \Gamma$ ,

$$\sum_{a_1+\cdots+a_k=\gamma} \mathcal{F}_1^{a_1} \otimes \cdots \otimes \mathcal{F}_1^{a_k} = \sum_{a_1+\cdots+a_k=\gamma} \mathcal{F}_2^{a_1} \otimes \cdots \otimes \mathcal{F}_2^{a_k}$$

which means that

$$\bigoplus_{a_1+\dots+a_k\geq\gamma}\mathcal{G}_1^{a_1}\otimes\dots\otimes\mathcal{G}_1^{a_k}=\bigoplus_{a_1+\dots+a_k\geq\gamma}\mathcal{G}_2^{a_1}\otimes\dots\otimes\mathcal{G}_2^{a_k}$$

Let  $\gamma_i$  be the largest element of the (non-empty!) finite set  $\{a: \mathcal{G}_i^a \neq 0\}$ . Then

$$\bigoplus_{a_1+\dots+a_k\geq\gamma}\mathcal{G}_i^{a_1}\otimes\dots\otimes\mathcal{G}_i^{a_k} = \begin{cases} 0 & \text{if } \gamma > k\gamma_i, \\ \mathcal{G}_i^{\gamma_i}\otimes\dots\otimes\mathcal{G}_i^{\gamma_i} & \text{for } \gamma = k\gamma_i, \\ \neq 0 & \text{if } \gamma \leq k\gamma_i. \end{cases}$$

Thus  $k\gamma_1 = k\gamma_2$ ,  $\gamma_1 = \gamma_2 = \gamma_0$  and  $\mathcal{G}_1^{\gamma_0} \otimes \cdots \otimes \mathcal{G}_1^{\gamma_0} = \mathcal{G}_2^{\gamma_0} \otimes \cdots \otimes \mathcal{G}_2^{\gamma_0}$  in  $\mathcal{M}^{\otimes k}$ , therefore  $\mathcal{F}_1^{\gamma_0} = \mathcal{G}_1^{\gamma_0} = \mathcal{F}_2^{\gamma_0} = \mathcal{F}_2^{\gamma_0} = \mathcal{N}$  in  $\mathcal{M}$  by the previous lemma. We conclude by our induction hypothesis applied to the images of  $\mathcal{F}_1$  and  $\mathcal{F}_2$  in  $\mathcal{M}/\mathcal{N}$ .  $\square$ 

**3.10.4.** Suppose that  $G = G_1 \times_S G_2$ . Let  $\mathcal{F}$  be a  $\Gamma$ -filtration on  $\omega_T^{\circ}$ . Then  $\mathcal{F}$  induces a  $\Gamma$ -filtration  $\mathcal{F}_i$  on the fiber functor  $\omega_{i,T}^{\circ}$  for  $G_i$  by the formulas:

$$\mathcal{F}_1^{\gamma}(\rho_1) = \mathcal{F}^{\gamma}(\rho_1 \boxtimes 1_{G_2})$$
 and  $\mathcal{F}_2^{\gamma}(\rho_2) = \mathcal{F}^{\gamma}(1_{G_1} \boxtimes \rho_2)$ 

We claim that if  $\chi_i$  splits  $\mathcal{F}_i$ , then  $\chi = (\chi_1, \chi_2)$  splits  $\mathcal{F}$ . Indeed, we may as above assume that  $G_1$  and  $G_2$  are split, and we extend  $\mathcal{F}$  to Rep'(G)(S). We then have to show that the  $\Gamma$ -filtration  $\mathcal{F}'$  associated to  $\chi$  equals  $\mathcal{F}$  on  $\rho_{\text{reg}}$ . Since

$$\rho_{\rm reg} = \rho_{1,\rm reg} \boxtimes \rho_{2,\rm reg} = \underline{\lim} \, \tau_1 \boxtimes \tau_2$$

where  $\rho_{i,\text{reg}}$  is the regular representation of  $G_i$  and the colimit is over  $\tau_i \in X(\rho_{i,\text{reg}})$ , it is also sufficient to establish that  $\mathcal{F}'$  equals  $\mathcal{F}$  on  $\rho = \tau_1 \boxtimes \tau_2$ ,  $\tau_i \in \text{Rep}^{\circ}(G)(S)$ . Note that  $\rho = \rho_1 \otimes \rho_2$  where  $\rho_1 = \tau_1 \boxtimes 1_{G_2}$  and  $\rho_2 = 1_{G_1} \boxtimes \tau_2$ . We thus find

$$\mathcal{F}^{\gamma}(\rho) = \sum_{\gamma_{1}+\gamma_{2}=\gamma} \mathcal{F}^{\gamma_{1}}(\rho_{1}) \otimes \mathcal{F}^{\gamma_{2}}(\rho_{2}) 
= \sum_{\gamma_{1}+\gamma_{2}=\gamma} \mathcal{F}_{1}^{\gamma_{1}}(\tau_{1}) \otimes \mathcal{F}_{2}^{\gamma_{2}}(\tau_{2}) 
= \bigoplus_{\gamma_{1}+\gamma_{2}\geq\gamma} \mathcal{G}_{1}^{\gamma_{1}}(\tau_{1}) \otimes \mathcal{G}_{2}^{\gamma_{2}}(\tau_{2}) 
= \bigoplus_{\eta\geq\gamma} \mathcal{G}^{\eta}(\tau_{1}\boxtimes\tau_{2}) 
= \mathcal{F}^{\prime\gamma}(\rho)$$

where  $\mathcal{G}$  and the  $\mathcal{G}_i$ 's are the  $\Gamma$ -graduations induced by  $\chi$  and the  $\chi_i$ 's.

- **3.10.5.** Applying 3.10.1, 3.10.3 with the central isogeny from G to the product of its adjoint group and its coradical, and finally 3.10.4, we may assume that G is either a split torus or a split reductive group of adjoint type.
- **3.10.6.** Let thus first  $G = \mathbb{D}_S(M)$  for some  $M \simeq \mathbb{Z}^d$  and let  $\mathcal{F}$  be a  $\Gamma$ -filtration on  $\omega_T^{\circ}$  for an S-scheme T, which we may assume to be (absolutely) affine. Let  $\rho_m$  be the representation of G on  $V(\rho_m) = \mathcal{O}_S$  on which G acts by the character  $m \in M$ . By proposition 32, there exists a  $\Gamma$ -graduation  $\mathcal{O}_T = \bigoplus_{\gamma} \mathcal{I}_{\gamma}(m)$  such that

$$\forall \gamma \in \Gamma : \qquad \mathcal{F}^{\gamma}(\rho_m) = \bigoplus_{\eta \geq \gamma} \mathcal{I}_{\eta}(m).$$

Let  $T_{\gamma}(m)$  be the support of  $\mathcal{I}_{\gamma}(m)$ , so that  $T = \coprod_{\gamma} T_{\gamma}(m)$  and  $T_{\gamma}(m)$  is open and closed in T. For  $t \in T$  and  $m \in M$ , we denote by f(t)(m) the unique element  $\gamma$  in  $\Gamma$  such that t belongs to  $T_{\gamma}(m)$ . Thus  $\mathcal{F}_{t}^{\gamma}(\rho_{m}) = k(t)$  if  $\gamma \leq f(t)(m)$  and 0 otherwise, where k(t) is the residue field at t. Since  $\rho_{0} = 1_{G}$ , f(t)(0) = 0 by the axiom (F2) for  $\mathcal{F}$ . Since  $\rho_{m_{1}} \otimes \rho_{m_{2}} = \rho_{m_{1}+m_{2}}$ ,  $f(t)(m_{1}+m_{2}) = f(t)(m_{1}) + f(t)(m_{2})$  by the axiom (F1) for  $\mathcal{F}$ . Therefore  $f(t): M \to \Gamma$  is a group homomorphism. Since M is finitely generated,  $f: T \to \operatorname{Hom}_{\mathsf{Group}}(M, \Gamma)$  is locally constant, and thus corresponds to a global section  $\chi: \mathbb{D}_{T}(\Gamma) \to G_{T}$  of the locally constant sheaf (see [1, VIII 1.5])

$$\operatorname{Hom}(M,\Gamma)_T = \operatorname{Hom}(M_T,\Gamma_T) = \operatorname{Hom}(\mathbb{D}_T(\Gamma),\mathbb{D}_T(M)) = \operatorname{Hom}(\mathbb{D}_T(\Gamma),G_T).$$

Let  $\mathcal{F}'$  be the corresponding  $\Gamma$ -filtration on  $\omega_T$ . For any morphism  $\phi: M \to \Gamma$ , let  $T(\phi)$  be the open and closed subset of T where  $f \equiv \phi$ , so that  $T = \coprod T(\phi)$  and

$$T(\phi) = \bigcap_{m \in M} T_{\phi(m)}(m) = \bigcap_{i=1}^{r} T_{\phi(m_i)}(m_i)$$

if  $\{m_1,\ldots,m_r\}\subset M$  spans M. On  $T(\phi)$ , we find that

$$\mathcal{F}_{T(\phi)}^{\prime\gamma}(\rho_m) = \left\{ \begin{array}{ll} \mathcal{O}_{T(\phi)} & \text{if } \gamma \leq \phi(m) \\ 0 & \text{if } \gamma > \phi(m) \end{array} \right\} = \mathcal{F}_{T(\phi)}^{\gamma}(\rho_m).$$

Thus  $\mathcal{F}'(\rho_m) = \mathcal{F}(\rho_m)$  for every m. Extending  $\mathcal{F}$  as in 3.6.4, also  $\mathcal{F}'(\rho_{\text{reg}}) = \mathcal{F}(\rho_{\text{reg}})$  since  $\rho_{\text{reg}} = \bigoplus_{m \in M} \rho_m$ . Finally  $\mathcal{F}'(\rho) = \mathcal{F}(\rho)$  for any  $\rho$  by (F3l) applied to  $c_\rho$ . Therefore  $\chi$  is a splitting of  $\mathcal{F}$  – it is in fact the unique such splitting.

**3.10.7.** Suppose finally that G is a split reductive group of adjoint type over S, let T be an S-scheme, and let  $\mathcal{F}$  be a  $\Gamma$ -filtration on  $\omega_T^{\circ}$ . We have just recalled that  $\mathcal{F}$  is uniquely determined by the value of its extension to  $\operatorname{Rep}'(G)(S)$  on  $\rho_{\operatorname{reg}}$ , but we now also have this: there is at most one  $\Gamma$ -filtration  $\mathcal{F}'$  on  $\omega_T$  which equals  $\mathcal{F}$  on the adjoint representation  $\rho_{\operatorname{ad}}$  of G on  $V(\rho_{\operatorname{ad}}) = \mathfrak{g} = \operatorname{Lie}(G)$ . In particular, any morphism  $\chi: \mathbb{D}_T(\Gamma) \to G_T$  inducing  $\mathcal{F}$  on  $\rho_{\operatorname{ad}}$  is a splitting of  $\mathcal{F}$ . To establish our claim, we consider the G-equivariant epimorphism of quasi-coherent G- $\mathcal{O}_S$ -algebras

$$f: \operatorname{Sym}_{\mathcal{O}_S}^{\bullet}(\rho_{\operatorname{ad},0}^{\vee} \otimes \rho_{\operatorname{ad}}) \twoheadrightarrow \rho_{\operatorname{reg}}$$

which is defined as in section 3.7.4, starting from  $c_{\rm ad}: \rho_{\rm ad} \to \rho_{\rm ad,0} \otimes \rho_{\rm reg}$  for the closed embedding  $\rho_{\rm ad}: G \to GL(\mathfrak{g})$ . If  $\mathcal{F}'$  equals  $\mathcal{F}$  on  $\rho_{\rm ad}$ , they are also equal on  $\operatorname{Sym}^{\bullet}\left(\rho_{\rm ad,0}^{\vee} \otimes \rho_{\rm ad}\right)$  by the axioms (F1-3) for  $\Gamma$ -filtrations on  $\omega_T^{\circ}$ , thus also

$$\mathcal{F}'^{\gamma}(\rho_{\text{reg}}) \subset \mathcal{F}^{\gamma}(\rho_{\text{reg}})$$

for every  $\gamma \in \Gamma$  by the axiom (F3) for the  $\Gamma$ -filtration  $\mathcal{F}'$  on  $\omega_T$  — it is not yet known to be satisfied by the extension of  $\mathcal{F}$  to  $\mathsf{Rep}'(G)(S)$ , unless we appeal to the arguments of section 3.9.3, which is not necessary: then  $\mathcal{F}'^{\gamma}(\rho) \subset \mathcal{F}^{\gamma}(\rho)$  for every  $\rho$  in  $\mathsf{Rep}^{\circ}(G)(S)$  by (F3l) with  $c_{\rho}$ , therefore also  $\mathcal{F}'^{\gamma}_{+}(\rho) \subset \mathcal{F}'^{\gamma}_{+}(\rho)$ ; applying the latter inclusion to  $\rho^{\vee}$  and dualizing gives  $\mathcal{F}^{\gamma}(\rho) \subset \mathcal{F}'^{\gamma}(\rho)$ . Thus  $\mathcal{F} = \mathcal{F}'$  on  $\omega_T^{\circ}$ .

- **3.10.8.** By theorem 53,  $P_{\mathcal{F}} = \operatorname{Aut}^{\otimes}(\mathcal{F})$  is a parabolic subgroup of  $G_T$ . Since our problem is local for the étale topology on T, we may assume that T is affine and the pair  $(G_T, P_{\mathcal{F}})$  has an épinglage  $\mathcal{E} = (H, M, R, \cdots)$  [14, XXVI 1.14]. Thus  $H = \mathbb{D}_T(M)$  is a trivialized split maximal torus of  $G_T$  contained in  $P_{\mathcal{F}}$ ,  $R \subset M$  is the set of roots of H in  $\mathfrak{g}_T$  and if  $\mathfrak{g}_T = \mathfrak{g}_0 \oplus \oplus_{\alpha \in R} \mathfrak{g}_{\alpha}$  is the corresponding weight decomposition (so that  $\mathfrak{g}_0 = \operatorname{Lie}(H)$ ), then  $\operatorname{Lie}(P_{\mathcal{F}}) = \mathfrak{g}_0 \oplus \oplus_{\alpha \in R'} \mathfrak{g}_{\alpha}$  for some subset R' of R as in [14, XXVI 1.4]. The maximal torus  $H \subset P_{\mathcal{F}}$  gives rise to a Levi decomposition  $P_{\mathcal{F}} = U_{\mathcal{F}} \rtimes L_{\mathcal{F}}$  with  $H \subset L_{\mathcal{F}}$ ,  $\operatorname{Lie}(L_{\mathcal{F}}) = \mathfrak{g}_0 \oplus \oplus_{\alpha \in R'_1} \mathfrak{g}_{\alpha}$  and  $\operatorname{Lie}(U_{\mathcal{F}}) = \oplus_{\alpha \in R'_2} \mathfrak{g}_{\alpha}$  where  $R'_1 = \{\alpha \in R' : -\alpha \in R'\}$  and  $R'_2 = \{\alpha \in R' : -\alpha \notin R'\}$  [14, XXII 5.11.3]. We will then show that  $\mathcal{F}$  has a splitting  $\chi : \mathbb{D}_T(\Gamma) \to G_T$ .
  - **3.10.9.** Since  $H \subset P_{\mathcal{F}} = \operatorname{Aut}^{\otimes}(\mathcal{F})$ , the  $\Gamma$ -filtration  $\mathcal{F}$  is stable under H and

$$\forall \gamma \in \Gamma, \rho \in \mathsf{Rep}^{\circ}(G)(S): \qquad \mathcal{F}^{\gamma}(\rho) = \oplus_{m \in M} \mathcal{F}^{\gamma}_{m}(\rho)$$

where  $\mathcal{F}_{m}^{\gamma}(\rho)$  is the *m*-th eigenspace of  $\mathcal{F}^{\gamma}(\rho)$ , viewed as a representation of H. Since  $\text{Lie}(U_{\mathcal{F}}) = \mathcal{F}_{+}^{0}(\rho_{\text{ad}})$  and  $\text{Lie}(P_{\mathcal{F}}) = \mathcal{F}^{0}(\rho_{\text{ad}})$  by theorem 53,  $\mathcal{F}_{\alpha}^{\gamma}(\rho_{\text{ad}}) = 0$  for  $(\gamma > 0 \text{ and } \alpha \notin R'_{2})$  or  $(\gamma = 0 \text{ and } \alpha \notin R' \cup \{0\})$  while  $\mathcal{F}_{\alpha}^{\gamma}(\rho_{\text{ad}}) = \mathfrak{g}_{\alpha}$  when  $\gamma \leq 0$  and  $\alpha \in R' \cup \{0\}$ . This determines  $\mathcal{F}_{\alpha}^{\gamma}(\rho_{\text{ad}})$  for  $\alpha \in R'_{1} \cup \{0\}$ :

$$\forall \alpha \in R_1' \cup \{0\}: \quad \mathcal{F}_{\alpha}^{\gamma}(\rho_{\mathrm{ad}}) = \begin{cases} \mathfrak{g}_{\alpha} & \text{if } \gamma \leq 0, \\ 0 & \text{if } \gamma > 0. \end{cases}$$

For the remaining  $\alpha$ 's (those in  $\pm R_2'$ ),  $\mathfrak{g}_{\alpha}$  is free of rank 1. Using lemma 34, we obtain a partition  $T = \coprod T(f)$  into non-empty open and closed subschemes T(f) of T indexed by certain functions  $f: \pm R_2' \to \Gamma$  such that, over T(f),

$$\forall \alpha \in \pm R_2': \quad \mathcal{F}_{\alpha}^{\gamma}(\rho_{\mathrm{ad}}) = \begin{cases} \mathfrak{g}_{\alpha} & \text{if } \gamma \leq f(\alpha), \\ 0 & \text{if } \gamma > f(\alpha). \end{cases}$$

We extend these functions to  $R \cup \{0\}$  by setting  $f(R'_1 \cup \{0\}) = 0$ . Thus over T(f),

$$\mathcal{F}^{\gamma}(\rho_{\mathrm{ad}}) = \bigoplus_{\alpha \in R \cup \{0\}: f(\alpha) \ge \gamma} \mathfrak{g}_{\alpha}$$

Moreover  $f(\alpha) > 0$  (resp. < 0) if and only if  $\alpha \in R'_2$  (resp.  $-R'_2$ ).

- **3.10.10.** We will establish below that each of these f's extends to a group homomorphism  $f: M \to \Gamma$ . The locally constant function  $T \to \operatorname{Hom}(M,\Gamma)$  mapping  $t \in T(f)$  to f thus defines a morphism  $\chi: \mathbb{D}_T(\Gamma) \to \mathbb{D}_T(M) = H \hookrightarrow G_T$ . By construction,  $\chi$  splits  $\mathcal{F}$  on  $\rho_{\operatorname{ad}}$ , therefore  $\chi$  splits  $\mathcal{F}$  everywhere by 3.10.7.
- **3.10.11.** To show that f extends to a group homomorphism  $f: M \to \Gamma$ , we may assume that  $T = T(f) = \operatorname{Spec}(k)$  where k is a field. By the definition of adjoint groups in [14, XXII 4.3.3] and using [14, XXI 3.5.5], we have to show that
  - (1)  $f(-\alpha) = -f(\alpha)$  for every  $\alpha \in R$  and
  - (2)  $f(\alpha + \beta) = f(\alpha) + f(\beta)$  for every  $\alpha, \beta \in R$  such that also  $\alpha + \beta \in R$ .
  - **3.10.12.** Since  $H \subset P_{\mathcal{F}} = \operatorname{Aut}^{\otimes}(\mathcal{F})$  fixes  $\mathcal{F}$ , there is a factorization of  $\operatorname{Gr}_{\mathcal{F}}^{\bullet}$ :

$$\mathsf{Rep}^{\circ}(G)(S) \longrightarrow \mathsf{Gr}^{\Gamma} \mathsf{Rep}^{\circ}(H)(k) \longrightarrow \mathsf{Gr}^{\Gamma} \mathsf{LF}(k)$$

where  $\mathsf{Gr}^{\Gamma}\mathsf{Rep}^{\circ}(H)(k)$  is the abelian  $\otimes$ -category of  $\Gamma$ -graded objects in  $\mathsf{Rep}^{\circ}(H)(k)$ . Both functors are exact  $\otimes$ -functors, and we thus obtain a factorization of  $\kappa(\mathcal{F})$ :

$$K_0(G) \xrightarrow{\kappa} K_0(H)[\Gamma] = \mathbb{Z}[M][\Gamma] \longrightarrow \mathbb{Z}[\Gamma]$$

The morphism  $\kappa$  maps the class of  $\rho \in \mathsf{Rep}^{\circ}(G)(S)$  to

$$\kappa[\rho] = \sum_{m,\gamma} x_m^{\gamma}[\rho] \cdot \epsilon^m e^{\gamma}$$

where  $\epsilon^m \in \mathbb{Z}[M]$  and  $e^{\gamma} \in \mathbb{Z}[\Gamma]$  are the basis elements corresponding to  $m \in M$  and  $\gamma \in \Gamma$  and  $x_m^{\gamma}[\rho]$  is the dimension of the m-th eigenspace of  $Gr_{\mathcal{F}}^{\gamma}(\rho)$ . Thus

$$\kappa[\rho_{\mathrm{ad}}] = \left(\dim_k(\mathfrak{g}_0) \cdot \epsilon^0 + \sum_{\alpha \in R_1'} \epsilon^{\alpha}\right) \cdot e^0 + \sum_{\alpha \in \pm R_2'} \epsilon^{\alpha} e^{f(\alpha)}.$$

Since the above functors are compatible with dualities,

$$\kappa[\rho_{\mathrm{ad}}^{\vee}] = \left(\dim_{k}(\mathfrak{g}_{0}) \cdot \epsilon^{0} + \sum_{\alpha \in R'_{1}} \epsilon^{-\alpha}\right) \cdot e^{0} + \sum_{\alpha \in \pm R'_{2}} \epsilon^{-\alpha} e^{-f(\alpha)}$$

$$= \left(\dim_{k}(\mathfrak{g}_{0}) \cdot \epsilon^{0} + \sum_{\alpha \in R'_{1}} \epsilon^{\alpha}\right) \cdot e^{0} + \sum_{\alpha \in \pm R'_{2}} \epsilon^{\alpha} e^{-f(-\alpha)}.$$

Since  $[\rho_{ad}] = [\rho_{ad}^{\vee}]$  in  $K_0(G)$  by lemma 52,  $f(-\alpha) = -f(\alpha)$  for every  $\alpha \in R$ .

**3.10.13.** We have already defined the dual  $\rho_n$  of

$$\rho^n = \operatorname{Coker}((\rho_{\operatorname{adj}}^{\circ})^{\otimes n+1} \to \rho_{\operatorname{adj}}^{\circ})$$

in section 3.7.5. These representations act compatibly (as n varies), functorialy (as  $\rho$  varies) and G-equivariantly on any representation  $\rho \in \text{Rep}(G)(S)$  by

$$\rho_n \otimes \rho \xrightarrow{\mathrm{Id} \otimes \mathrm{roj}} \rho_n \otimes \rho \otimes \rho_{\mathrm{adj}} \xrightarrow{\mathrm{Id} \otimes \mathrm{proj}} \rho_n \otimes \rho \otimes \rho_{\mathrm{adj}}^{\circ} \xrightarrow{\mathrm{Id} \otimes \mathrm{proj}} \rho_n \otimes \rho \otimes \rho^n \xrightarrow{\mathrm{eval}_n} \rho$$

For n=1, we retrieve the usual adjoint G-equivariant action

$$ad(\rho): \rho_{ad} \otimes \rho \to \rho$$

of  $\mathfrak{g}$  on  $V(\rho)$ , which for  $\rho = \rho_{\rm ad}$  is nothing but the usual Lie bracket

$$[-,-]: \rho_{\mathrm{ad}} \otimes \rho_{\mathrm{ad}} \to \rho_{\mathrm{ad}}.$$

We also denote by  $[-,-]: \rho_n \otimes \rho_{ad} \to \rho_{ad}$  the above actions on  $\rho_{ad}$ . Thus

$$\forall \gamma \in \Gamma, \forall \alpha, \beta \in M : [\mathcal{F}_{\alpha}^{\gamma}(\rho_n), \mathfrak{g}_{\beta}] \subset \mathcal{F}_{\alpha+\beta}^{\gamma+f(\beta)}(\rho_{\mathrm{ad}}).$$

In particular,  $[\mathcal{F}_{\alpha}^{\gamma}(\rho_n), \mathfrak{g}_{\beta}] \neq 0$  implies  $\alpha + \beta, \beta \in R \cup \{0\}$  and

$$f(\alpha + \beta) \ge \gamma + f(\beta)$$
.

**3.10.14.** Suppose that  $\alpha$ ,  $\beta$  and  $\alpha + \beta$  all belong to R, with  $\ell(\alpha) \leq \ell(\beta)$  where  $\ell$  is the length. Let q and p be the positive integers (with  $2 \leq p + q \leq 4$ ) such that

$$\{\beta + n\alpha \in R : n \in \mathbb{Z}\} = \{\beta - (p-1)\alpha, \cdots, \beta, \beta + \alpha, \cdots, \beta + q\alpha\}$$

see [14, XXI 2.3.5 and 1]. By Chevalley's rule [14, XXIII 6.5],

$$[\mathfrak{g}_{\alpha},\mathfrak{g}_{\beta}]=p\mathfrak{g}_{\alpha+\beta}$$
 and  $[\mathfrak{g}_{-\alpha},\mathfrak{g}_{-\beta}]=p\mathfrak{g}_{-\alpha-\beta}.$ 

Thus if  $p \neq 0$  in k,  $[\mathfrak{g}_{\alpha}, \mathfrak{g}_{\beta}] \neq 0$  and  $[\mathfrak{g}_{-\alpha}, \mathfrak{g}_{-\beta}] \neq 0$ , therefore

$$f(\alpha + \beta) \ge f(\alpha) + f(\beta)$$
 and  $f(-\alpha - \beta) \ge f(-\alpha) + f(-\beta)$ 

which implies (2) by (1), i.e.

$$f(\alpha + \beta) = f(\alpha) + f(\beta).$$

If q=1, Chevalley's rule gives  $[\mathfrak{g}_{\alpha},\mathfrak{g}_{-\alpha-\beta}]\neq 0$  and  $[\mathfrak{g}_{-\alpha},\mathfrak{g}_{\alpha+\beta}]\neq 0$ , thus again  $f(\alpha+\beta)=f(\alpha)+f(\beta)$ . This leaves a single case:  $p=q=2=\operatorname{char}(k)$ , where the same method already gives  $f(\beta)=f(\beta-\alpha)+f(\alpha)$ . We will see below that also

$$[\mathcal{F}^{2f(\alpha)}_{2\alpha}(\rho_2),\mathfrak{g}_{\beta-\alpha}]=\mathfrak{g}_{\alpha+\beta}\quad\text{and}\quad [\mathcal{F}^{-2f(\alpha)}_{-2\alpha}(\rho_2),\mathfrak{g}_{\alpha+\beta}]=\mathfrak{g}_{\beta-\alpha}.$$

Therefore  $f(\alpha + \beta) = 2f(\alpha) + f(\beta - \alpha)$ , thus again  $f(\alpha + \beta) = f(\alpha) + f(\beta)$ .

**3.10.15.** The pure short exact sequences of finite locally free sheaves on S

$$0 \to \operatorname{Sym}_{\mathcal{O}_{S}}^{2} \left( \frac{\mathcal{I}(G)}{\mathcal{I}(G)^{2}} \right) \to \frac{\mathcal{I}(G)}{\mathcal{I}(G)^{3}} \to \frac{\mathcal{I}(G)}{\mathcal{I}(G)^{2}} \to 0$$

$$0 \to \ker \to \left( \frac{\mathcal{I}(G)}{\mathcal{I}(G)^{2}} \right)^{\otimes 2} \to \operatorname{Sym}_{\mathcal{O}_{S}}^{2} \left( \frac{\mathcal{I}(G)}{\mathcal{I}(G)^{2}} \right) \to 0$$

give rise to pure short exact sequences in  $Rep^{\circ}(G)(S)$  which dualize to

$$\begin{array}{cccccc} 0 & \rightarrow & \rho_{\mathrm{ad}} & \rightarrow & \rho_{2} & \rightarrow & \Gamma^{2}(\rho_{\mathrm{ad}}) & \rightarrow & 0 \\ 0 & \rightarrow & \Gamma^{2}(\rho_{\mathrm{ad}}) & \rightarrow & \rho_{\mathrm{ad}}^{\otimes 2} & \rightarrow & \Lambda^{2}(\rho_{\mathrm{ad}}) & \rightarrow & 0 \end{array}$$

where  $\Gamma^2(\rho) = \operatorname{Sym}^2(\rho^{\vee})^{\vee} = \ker (\rho^{\otimes 2} \to \Lambda^2(\rho))$ . Therefore

$$[\rho_2] = [\rho_{ad}] + [\rho_{ad}]^2 - [\Lambda^2(\rho_{ad})]$$
 in  $K_0(G)$ .

Since  $\mathfrak{g}_{2\alpha} = 0 = \Lambda^2(\mathfrak{g})_{2\alpha}$ , the coefficients of  $\epsilon^{2\alpha}$  in  $\kappa[\rho_2]$  and  $\kappa[\rho_{\mathrm{ad}}^{\otimes 2}] = \kappa[\rho_{\mathrm{ad}}]^2$  are both equal to  $e^{2f(\alpha)}$ . Thus if  $\mathfrak{d} = \oplus \mathfrak{d}_m$  is the weight decomposition of  $\mathfrak{d} = \omega_k^{\circ}(\rho_2)$ , then  $\mathfrak{d}_{2\alpha}$  is 1-dimensional and contained in  $\mathcal{F}^{\gamma}(\rho_2)$  if and only if  $\gamma \leq 2f(\alpha)$ . In particular,  $\mathcal{F}_{2\alpha}^{2f(\alpha)}(\rho_2) = \mathfrak{d}_{2\alpha}$ , and similarly for  $-\alpha$ . We thus want:

$$[\mathfrak{d}_{2\alpha},\mathfrak{g}_{\beta-\alpha}] = \mathfrak{g}_{\beta+\alpha} \quad \text{and} \quad [\mathfrak{d}_{-2\alpha},\mathfrak{g}_{\beta+\alpha}] = \mathfrak{g}_{\beta-\alpha}.$$

**3.10.16.** This now only involves the split group  $G_k$  and its épinglage, all of which descends to  $\operatorname{Spec}(\mathbb{Z})$  by [14, XXIII 5.1 and XXV 1.2]. We may thus assume that G and  $\mathcal{E} = (H, M, R, \cdots)$  are defined over  $S = \operatorname{Spec}(\mathbb{Z})$ . The épinglage comes along with simple roots  $\Delta \subset R$  and, for each  $\alpha \in R$ , a basis  $X_{\alpha}$  of  $\mathfrak{g}_{\alpha}$ , which extends to a Chevalley system  $\{X_{\alpha} : \alpha \in R\}$  by [14, XXIII 6.2], giving rise to isomorphisms  $u_{\alpha}(t) = \exp(tX_{\alpha})$  from  $\mathbb{G}_a = \operatorname{Spec}(\mathbb{Z}[t])$  to the root subgroup  $U_{\alpha}$  of  $\alpha \in R$ . As a linear form on  $\mathcal{I}(G)/I(G)^2$ ,  $X_{\alpha}$  is the composition of  $u_{\alpha}^{\natural} : \mathcal{I}(G) \to \mathcal{I}(\mathbb{G}_a)$  with the linear form on  $\mathcal{I}(\mathbb{G}_a) = t\mathbb{Z}[t]$  given by the coefficient of t. If instead we take the coefficient of  $t^2$ , we obtain a linear form on  $\mathcal{I}(G)/\mathcal{I}(G)^3$  which is a basis  $X_{2\alpha}$  of  $\mathfrak{d}_{2\alpha}$ . The action of  $X_{\alpha}$  on the regular representation is given by

$$\mathcal{A}(G) \to \mathcal{A}(G \times \mathbb{G}_a) = \mathcal{A}(G)[t] \to \mathcal{A}(G)$$

where the first map takes f in  $\mathcal{A}(G)$  to the function  $(g,t)\mapsto f\left(u_{\alpha}(t)gu_{\alpha}^{-1}(t)\right)$ , and the second takes the coefficient of t (or evaluates  $\frac{d}{dt}$  at t=0). The action of  $X_{2\alpha}$  is obtained by replacing the second map with the coefficient of  $t^2$ , thus  $2X_{2\alpha}=X_{\alpha}^2$  on  $\rho_{\text{reg}}$ , therefore  $2X_{2\alpha}=X_{\alpha}^2$  on all  $\rho$ 's. Let us now return to our chain of roots

$$\{\beta - \alpha, \beta, \beta + \alpha, \beta + 2\alpha\} \subset R.$$

By Chevalley's rule [14, XXIII 6.5]

$$[X_{\alpha}, X_{\beta-\alpha}] = \pm X_{\beta}$$
 and  $[X_{\alpha}, X_{\beta}] = \pm 2X_{\beta+\alpha}$ .

Therefore  $[X_{2\alpha}, X_{\beta-\alpha}] = \pm X_{\beta+\alpha}$  since (we are now over  $\mathbb{Z}!$ )

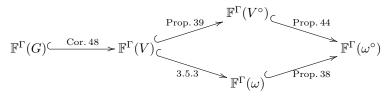
$$2[X_{2\alpha}, X_{\beta-\alpha}] = [2X_{2\alpha}, X_{\beta-\alpha}] = [X_{\alpha}, [X_{\alpha}, X_{\beta-\alpha}]] = \pm 2X_{\beta+\alpha}.$$

Similarly,  $[X_{-2\alpha}, X_{\beta+\alpha}] = \pm X_{\beta-\alpha}$ , and this completes our proof.

# 3.11. Consequences

Let G be a reductive group over S.

3.11.1. Proof of theorem 28. The assertions concerning automorphisms and  $\Gamma$ -graduations follow from theorem 37 and propositions 38, 39 and 42. If G is an isotrivial reductive group over a quasi-compact S, we have monomorphisms



and we have just seen that  $\mathbb{G}^{\Gamma}(G) \to \mathbb{F}^{\Gamma}(G) \to \mathbb{F}^{\Gamma}(\omega^{\circ})$  is an epimorphism, therefore

$$\mathbb{F}^{\Gamma}(G) = \mathbb{F}^{\Gamma}(V) = \mathbb{F}^{\Gamma}(V^{\circ}) = \mathbb{F}^{\Gamma}(\omega) = \mathbb{F}^{\Gamma}(\omega^{\circ})$$

in this case, from which easily follows that also

$$\mathbb{F}^{\Gamma}(G) = \mathbb{F}^{\Gamma}(V) = \mathbb{F}^{\Gamma}(V^{\circ})$$

for any reductive group over any S – and this is contained in  $\mathbb{F}^{\Gamma}(\omega)$  by 3.5.3.

- **3.11.2.** Since the S-scheme  $\mathbb{G}^{\Gamma}(G)$  and  $\mathbb{F}^{\Gamma}(G)$  of chapter 2 represent the functors indicated in theorem 28, there is a universal  $\Gamma$ -graduation  $\mathcal{G}_{\text{univ}}$  on  $V_{\mathbb{G}^{\Gamma}(G)}$  (inducing universal  $\Gamma$ -graduations on  $V_{\mathbb{G}^{\Gamma}(G)}^{\circ}$ ,  $\omega_{\mathbb{G}^{\Gamma}(G)}$  and  $\omega_{\mathbb{G}^{\Gamma}(G)}^{\circ}$ ) and a universal  $\Gamma$ -filtration  $\mathcal{F}_{\text{univ}}$  on  $V_{\mathbb{F}^{\Gamma}(G)}$  (inducing universal  $\Gamma$ -filtrations on  $V_{\mathbb{F}^{\Gamma}(G)}^{\circ}$ ,  $\omega_{\mathbb{F}^{\Gamma}(G)}^{\circ}$  and  $\omega_{\mathbb{F}^{\Gamma}(G)}^{\circ}$ ) from which all other  $\Gamma$ -graduations or  $\Gamma$ -filtrations over some base T can be retrieved by pull-back through unique morphisms  $T \to \mathbb{G}^{\Gamma}(G)$  or  $T \to \mathbb{F}^{\Gamma}(G)$  for the  $\omega$  or  $\omega^{\circ}$  variants, we have to assume that G is isotrivial and S quasi-compact, or that the  $\Gamma$ -graduations or  $\Gamma$ -filtrations (over T) extend to V or  $V^{\circ}$ . The S-scheme  $\mathbb{C}^{\Gamma}(G)$  is a coarse moduli scheme for either  $\Gamma$ -graduations or  $\Gamma$ -filtrations (on the various fiber functors): two such objects (over T) are fpqc locally (on T) isomorphic if and only if the induced morphisms  $T \to \mathbb{C}^{\Gamma}(G)$  are equal.
- 3.11.3. From this perspective, we may either deduce non-trivial properties of the S-schemes constructed in chapter 2 from obvious properties of  $\Gamma$ -graduations and  $\Gamma$ -filtrations, or non-trivial properties of the latter from already established properties of the former. We propose the following samples:

COROLLARY 56. The fundamental sequence of section 2.2.6

$$\mathbb{G}^{\Gamma}(G) \xrightarrow{\operatorname{Fil}} \mathbb{F}^{\Gamma}(G) \xrightarrow{t} \mathbb{C}^{\Gamma}(G)$$

is covariantly functorial on the fibered category of reductive groups over schemes and covariantly functorial in the totally ordered commutative group  $\Gamma$ .

PROOF. We have to show that for a morphism  $\varphi: G_1 \to f^*G_2$  over  $f: T_1 \to T_2$  in the former category, there is a canonical commutative diagram of schemes

$$\begin{split} \mathbb{G}^{\Gamma}(G_1) & \stackrel{\mathrm{Fil}}{\longrightarrow} \mathbb{F}^{\Gamma}(G_1) & \stackrel{t}{\longrightarrow} \mathbb{C}^{\Gamma}(G_1) & \xrightarrow{\mathrm{struct}} \to T_1 \\ \downarrow^{\varphi} & \downarrow^{\varphi} & \downarrow^{\varphi} & \downarrow^{f} \\ \mathbb{G}^{\Gamma}(G_2) & \stackrel{\mathrm{Fil}}{\longrightarrow} \mathbb{F}^{\Gamma}(G_2) & \xrightarrow{t} \mathbb{C}^{\Gamma}(G_2) & \xrightarrow{\mathrm{struct}} \to T_2 \end{split}$$

In the Tannakian point of view, the first two vertical morphisms are induced by pre-composition with the restriction functor  $\operatorname{Rep}(f^*G_2) \to \operatorname{Rep}(G_1)$  which maps  $\tau$  to  $\tau \circ \varphi$ . For the third one: if T is a  $T_1$ -scheme and x is a T-valued point of  $\mathbb{C}^{\Gamma}(G_1)$ , it lifts to a  $\Gamma$ -filtration over an fpqc covering  $\{T_i \to T\}$  of T, and two such lifts become isomorphic over a common refinement of the corresponding fpqc coverings. The image of these lifts in  $\mathbb{F}^{\Gamma}(G_2)$  thus yield a well-defined morphism  $\varphi(x): T \to \mathbb{C}^{\Gamma}(G_2)$ , and this defines the morphism  $\varphi: \mathbb{C}^{\Gamma}(G_1) \to \mathbb{C}^{\Gamma}(G_2)$ . The proof of the covariance in  $\Gamma$  is similar, using post-composition with the morphisms

$$\operatorname{\mathsf{Gr}}^{\Gamma_1}\operatorname{\mathsf{QCoh}} \xrightarrow{\operatorname{Fil}} \operatorname{\mathsf{Fil}}^{\Gamma_1}\operatorname{\mathsf{QCoh}}$$
 $f \downarrow \qquad \qquad f \downarrow \qquad \qquad f \downarrow \qquad \qquad \qquad \mathsf{Fil}^{\Gamma_2}\operatorname{\mathsf{QCoh}} \xrightarrow{\operatorname{Fil}} \operatorname{\mathsf{Fil}}^{\Gamma_2}\operatorname{\mathsf{QCoh}}$ 

of fpqc stacks induced by  $f:(\Gamma_1,+,\leq)\to(\Gamma_2,+,\leq)$ , which are given by

$$f(\mathcal{G})_{\gamma_2} = \bigoplus_{f(\gamma_1) = \gamma_2} \mathcal{G}_{\gamma_1}$$
 and  $f(\mathcal{F})^{\gamma_2} = \sum_{f(\gamma_1) \ge \gamma_2} \mathcal{F}^{\gamma_1}$ 

for T over S,  $G \in \mathsf{Gr}^{\Gamma_1}\mathsf{QCoh}(T)$ ,  $F \in \mathsf{Fil}^{\Gamma_1}\mathsf{QCoh}(T)$  and  $\gamma_2 \in \Gamma_2$ .

COROLLARY 57. If  $G = GL(\mathcal{V})$  for some  $\mathcal{V} \in \mathsf{LF}(S)$  of rank  $r \in \mathbb{N}$ , evaluation at the tautological representation  $\tau$  of G on V identifies

$$\mathbb{G}^{\Gamma}(G) \xrightarrow{\quad \text{Fil} \quad} \mathbb{F}^{\Gamma}(G) \xrightarrow{\quad t \quad} \mathbb{C}^{\Gamma}(G)$$

with

$$\mathbb{G}^{\Gamma}(\mathcal{V}) \xrightarrow{\mathrm{Fil}} \mathbb{F}^{\Gamma}(\mathcal{V}) \xrightarrow{t} \mathbb{C}^{\Gamma}(\mathcal{V})$$

where for any S-scheme T,

$$\mathbb{G}^{\Gamma}(\mathcal{V})(T) = \{\Gamma - graduations \ on \ \mathcal{V}_T\} 
\mathbb{F}^{\Gamma}(\mathcal{V})(T) = \{\Gamma - filtrations \ on \ \mathcal{V}_T\} 
\mathbb{C}^{\Gamma}(\mathcal{V})(T) = \{locally \ constant \ functions \ f : T \to \Gamma_>^r\}$$

where  $\Gamma_{>}^{r} = \{(\gamma_{1} \geq \cdots \geq \gamma_{r}) \in \Gamma^{r}\}$  and t sends a  $\Gamma$ -filtration  $\mathcal{F}$  on  $\mathcal{V}_{T}$  to the function which maps  $x \in T$  to the r-tuple with  $\dim_{k(x)} \operatorname{Gr}_{\mathcal{F}}^{\gamma}(x)$  copies of  $\gamma \in \Gamma$ .

PROOF. Evaluation at  $\tau$  gives the morphisms  $\tau_g$ ,  $\tau_f$  of the diagram

$$\mathbb{G}^{\Gamma}(G) \xrightarrow{\operatorname{Fil}} \mathbb{F}^{\Gamma}(G) \xrightarrow{t} \mathbb{C}^{\Gamma}(G) 
\tau_{g} \downarrow \qquad \tau_{f} \downarrow \qquad \tau_{c} \downarrow 
\mathbb{G}^{\Gamma}(\mathcal{V}) \xrightarrow{\operatorname{Fil}} \mathbb{F}^{\Gamma}(\mathcal{V}) \xrightarrow{t} \mathbb{C}^{\Gamma}(\mathcal{V})$$

and the remaining morphism  $\tau_c$  comes along by noting that  $t \circ \tau_f$  is G-invariant. Plainly,  $\tau_g$  is an isomorphism: a morphism  $\mathbb{D}_T(\Gamma) \to G_T$  is nothing but a representation of  $\mathbb{D}_T(\Gamma)$  on  $\mathcal{V}_T$ , i.e. a  $\Gamma$ -graduation on  $\mathcal{V}_T$ . Since every  $\Gamma$ -filtration on  $\mathcal{V}_T$  splits locally for the fpqc topology on T by definition (and locally for the Zariski topology by proposition 32), Fil:  $\mathbb{G}^{\Gamma}(\mathcal{V}) \to \mathbb{F}^{\Gamma}(\mathcal{V})$  is an epimorphism of fpqc sheaves on  $\operatorname{Sch}/S$ , and so is therefore also  $\tau_f$ . If  $\mathcal{F}_1, \mathcal{F}_2 \in \mathbb{F}^{\Gamma}(G)(T)$  induce the same filtration  $\mathcal{F}_1(\tau) = \mathcal{F}_2(\tau)$  on  $\mathcal{V}_T = V_T(\tau)$ , they also have the same image at  $\det \tau$  (a quotient of  $\tau^{\otimes r}$ ) and  $\tau' = \tau \oplus \det(\tau)^{-1}$ . Arguing as in section 3.10.7, we obtain that both filtrations agree on  $\rho_{reg}$ , thus actually  $\mathcal{F}_1 = \mathcal{F}_2$ . It follows that  $\tau_f$  is also a monomorphism, i.e. it is an isomorphism. One checks easily that  $t: \mathbb{F}^{\Gamma}(\mathcal{V}) \to \mathbb{C}^{\Gamma}(\mathcal{V})$  is an epimorphism of fpqc sheaves on  $\mathsf{Sch}/S$ , and so is therefor also  $\mathbb{C}^{\Gamma}(G) \to \mathbb{C}^{\Gamma}(\mathcal{V})$ . If  $x, y \in \mathbb{C}^{\Gamma}(G)(T)$  have the same image in  $\mathbb{C}^{\Gamma}(\mathcal{V})(T)$ and  $\mathcal{X}, \mathcal{Y}$  are chosen lifts of x and y to  $\mathbb{G}^{\Gamma}(G)(T')$  for some fpqc cover  $T' \to T$ , then, locally on T',  $\mathcal{X}_{\gamma}(\tau)$  and  $\mathcal{Y}_{\gamma}(\tau)$  are free with the same rank, thus isomorphic. Glueing these isomorphisms, we obtain a  $g \in G(T')$  which maps  $\mathcal{X}$  to  $\mathcal{Y}$ , thus  $x_{T'} = t \circ \operatorname{Fil}(\mathcal{X}) = t \circ \operatorname{Fil}(\mathcal{Y}) = y_{T'}$  in  $\mathbb{C}^{\Gamma}(G)(T')$  by section 2.2.7. But then x = yin  $\mathbb{C}^{\Gamma}(G)(T)$ , therefore  $\tau_c$  is also a monomorphism, i.e. it is an isomorphism.

Corollary 58. Suppose that S is affine. Then every  $\Gamma$ -filtration  $\mathcal{F}$  on  $V_S$  or  $V_S^{\circ}$  splits over S, and so do the  $\Gamma$ -filtrations on  $\omega_S$  or  $\omega_S^{\circ}$  if G is isotrivial.

PROOF. Given theorem 28, this follows from [14, XXVI 2.2] as in section 2.2.7.

The addition map of section 2.3.2 has the following Tannakian description. For an S-scheme T,  $(\mathcal{F},\mathcal{G}) \in \mathbb{STD}^{\Gamma}(G)(T)$  and any  $\rho \in \mathsf{Rep}(G)(T)$ ,

$$(\mathcal{F}+\mathcal{G})^{\gamma}(\rho)=\sum_{\gamma_1+\gamma_2=\gamma}\mathcal{F}^{\gamma_1}(\rho)\cap\mathcal{G}^{\gamma_2}(\rho).$$

Indeed, the question is local on T for the Zariski topology, thus by definition of  $\mathbb{STD}^{\Gamma}(G)$ , we may assume that  $P_{\mathcal{F}} \cap P_{\mathcal{G}}$  contains a maximal subtorus H of  $G_T$ . Then  $\mathcal{F}, \mathcal{G}$  lift to  $f, g: \mathbb{D}_T(\Gamma) \to H$  and  $(\mathcal{F} + \mathcal{G}) = \mathrm{Fil}(f + g)$ . Let  $V(\rho)_{\gamma_1, \gamma_2}$  be the subsheaf of  $V(\rho|H)$  where  $\mathbb{D}_T(\Gamma)$  acts by  $\gamma_1$  through f and f and f through f. Then

$$\mathcal{F}^{\gamma_1}(\rho) = \bigoplus_{\eta \geq \gamma_1} \bigoplus_{\eta'} V(\rho)_{\eta,\eta'}$$

$$\mathcal{G}^{\gamma_2}(\rho) = \bigoplus_{\eta} \bigoplus_{\eta' \geq \gamma_2} V(\rho)_{\eta,\eta'}$$

$$(\mathcal{F} + \mathcal{G})^{\gamma}(\rho) = \bigoplus_{\eta + \eta' \geq \gamma} V(\rho)_{\eta,\eta'}$$

thus indeed  $(\mathcal{F} + \mathcal{G})^{\gamma}(\rho) = \sum_{\gamma_1 + \gamma_2 = \gamma} \mathcal{F}^{\gamma_1}(\rho) \cap \mathcal{G}^{\gamma_2}(\rho)$ .

**3.11.5.** The morphism defined in section 2.3.4 has the following Tannakian description. Let P be a parabolic subgroup of G with unipotent radical U, and suppose that  $P=P_{\mathcal{F}}$  for some  $\Gamma$ -filtration  $\mathcal{F}$  on  $\omega_S$ . For every  $\rho\in \operatorname{Rep}(G)(S)$  and  $\gamma\in\Gamma$ , we may view  $\operatorname{Gr}_{\mathcal{F}}^{\gamma}(\rho)=\mathcal{F}^{\gamma}(\rho)/\mathcal{F}_{+}^{\gamma}(\rho)$  as a representation of P/U. Then for every S-scheme T and every  $\Gamma$ -filtration  $\mathcal{G}$  on  $\omega_T$  such that  $P_T$  and  $P_{\mathcal{G}}$  are in standard relative position (i.e.  $P_T\cap P_{\mathcal{G}}$  is a smooth subscheme of  $G_T$ ),

$$\operatorname{Gr}_{P}(\mathcal{G})\left(\operatorname{Gr}_{\mathcal{F}}^{\gamma}(\rho)\right) = \operatorname{Gr}_{\mathcal{F}}^{\gamma}(\mathcal{G}, \rho)$$

where  $Gr_{\mathcal{F}}^{\gamma}(\mathcal{G}, \rho)$  is the  $\Gamma$ -filtration on  $Gr_{\mathcal{F}}^{\gamma}(\rho)_T = \mathcal{F}^{\gamma}(\rho)_T/\mathcal{F}_+^{\gamma}(\rho)_T$  induced by the  $\Gamma$ -filtration  $\mathcal{G}(\rho)$  on  $V(\rho)_T$ , so that for every  $\theta \in \Gamma$ ,

$$\operatorname{Gr}_{\mathcal{F}}^{\gamma}(\mathcal{G},\rho)^{\theta} = \left(\mathcal{F}^{\gamma}(\rho)_{T} \cap \mathcal{G}^{\theta}(\rho) + \mathcal{F}_{+}^{\gamma}(\rho)_{T}\right) / \mathcal{F}_{+}^{\gamma}(\rho)_{T}.$$

This follows from the explicit description of  $Gr_P$  in the proof of proposition 26.

#### 3.12. Ranks and relative positions

Let G be a reductive group over S.

**3.12.1.** Recall from section 3.8 that for every S-scheme T and  $\mathcal{F} \in \mathbb{F}^{\Gamma}(G)(T)$ , the exact  $\otimes$ -functor  $Gr_{\mathcal{F}}^{\bullet} : \mathsf{Rep}^{\circ}(G)(T) \to \mathsf{Gr}^{\Gamma}\mathsf{LF}(T)$  yields a ring homomorphism

$$K_0(G_T) \to \mathcal{C}(T, \mathbb{Z}[\Gamma])$$

mapping the class of  $\tau \in \mathsf{Rep}^{\circ}(G)(T)$  in  $K_0(G_T)$  to the function

$$t \mapsto \sum_{\gamma} \dim_{k(t)} \left( \operatorname{Gr}_{\mathcal{F}}^{\gamma}(\tau) \otimes k(t) \right) \cdot e^{\gamma}$$

where  $e^{\gamma}$  is the basis element of  $\mathbb{Z}[\Gamma]$  corresponding to  $\gamma \in \Gamma$ . This construction is functorial in T and invariant under the action of G on  $\mathbb{F}^{\Gamma}(G)(T)$ . It therefore induces a morphism of fpqc sheaves of commutative rings  $(\mathsf{Sch}/S)^{\circ} \to \mathsf{Ring}$ ,

$$\kappa:\underline{K}_0(G)\to\underline{\mathrm{Mor}}\left(\mathbb{C}^\Gamma(G),\mathbb{Z}[\Gamma]_S\right)$$

where  $\underline{K}_0(G)$  is the fpqc sheaf associated to the presheaf  $T \mapsto K_0(G_T)$  while  $\underline{\mathrm{Mor}}\left(\mathbb{C}^{\Gamma}(G),\mathbb{Z}[\Gamma]_S\right)$  is the fpqc sheaf of morphisms of S-schemes from the cone  $\mathbb{C}^{\Gamma}(G)$  to the constant sheaf of rings  $\mathbb{Z}[\Gamma]_S$ .

**3.12.2.** Let now  $(\mathcal{F}_1, \mathcal{F}_2) \in \mathbb{STD}^{\Gamma}(G)(T)$  be a pair of  $\Gamma$ -filtrations in standard relative position (cf. 2.3). Then the formula

$$Gr_{\mathcal{F}_{1},\mathcal{F}_{2}}^{\gamma_{1},\gamma_{2}}(\tau) = \frac{\mathcal{F}_{1}^{\gamma_{1}}(\tau) \cap \mathcal{F}_{2}^{\gamma_{2}}(\tau)}{\mathcal{F}_{1,+}^{\gamma_{1}}(\tau) \cap \mathcal{F}_{2}^{\gamma_{2}}(\tau) + \mathcal{F}_{1}^{\gamma_{1}}(\tau) \cap \mathcal{F}_{2,+}^{\gamma_{2}}(\tau)}$$

also defines an exact  $\otimes$ -functor

$$\operatorname{Gr}_{\mathcal{F}_1,\mathcal{F}_2}^{\bullet,\bullet}:\operatorname{\mathsf{Rep}}^{\circ}(G)(T)\longrightarrow\operatorname{\mathsf{Gr}}^{\Gamma\times\Gamma}\mathsf{LF}(T).$$

Indeed, we have to show that  $\operatorname{Gr}_{\mathcal{F}_1,\mathcal{F}_2}^{\gamma_1,\gamma_2}(\tau)$  is locally free of finite rank, exact in  $\tau$ , and such that for every  $\tau',\tau''\in\operatorname{\mathsf{Rep}}^{\circ}(G)(T)$  and  $\gamma_1,\gamma_2\in\Gamma$ , the natural map

$$\oplus_{(\gamma_1',\gamma_2')+(\gamma_1'',\gamma_2'')=(\gamma_1,\gamma_2)}\mathrm{Gr}_{\mathcal{F}_1,\mathcal{F}_2}^{\gamma_1',\gamma_2'}(\tau')\otimes\mathrm{Gr}_{\mathcal{F}_1,\mathcal{F}_2}^{\gamma_1'',\gamma_2''}(\tau'')\to\mathrm{Gr}_{\mathcal{F}_1,\mathcal{F}_2}^{\gamma_1,\gamma_2}(\tau'\otimes\tau'')$$

is an isomorphism. All this is local in the fpqc topology on T. We may thus assume that  $P_{\mathcal{F}_1} \cap P_{\mathcal{F}_2}$  contains a maximal torus H of G which is split, i.e.  $H = \mathbb{D}_T(M)$  for some finitely generated free abelian group M, in which case  $\mathcal{F}_1$  and  $\mathcal{F}_2$  are split by morphisms  $\mathcal{G}_1$  and  $\mathcal{G}_2 : \mathbb{D}_T(\Gamma) \to \mathbb{D}_T(M)$ . If  $V(\tau) = \bigoplus_{m \in M} V(\tau)_m$  is the H-eigenspace decomposition of  $\tau|_H$ , we then have a canonical isomorphism

$$\operatorname{Gr}_{\mathcal{F}_1,\mathcal{F}_2}^{\gamma_1,\gamma_2}(\tau) \simeq \bigoplus_{m \in M: (m \circ \mathcal{G}_1, m \circ \mathcal{G}_2) = (\gamma_1, \gamma_2)} V(\tau)_m$$

and our claim easily follows. We thus obtain a ring homomorphism

$$K_0(G_T) \to \mathcal{C}(T, \mathbb{Z}[\Gamma \times \Gamma])$$

which maps the class of  $\tau \in \mathsf{Rep}^{\circ}(G)(T)$  in  $K_0(G_T)$  to the function

$$t \mapsto \sum_{\gamma_1, \gamma_2} \dim_{k(t)} \left( \operatorname{Gr}_{\mathcal{F}_1, \mathcal{F}_2}^{\gamma_1, \gamma_2}(\tau) \otimes k(t) \right) \cdot e^{\gamma_1} \otimes e^{\gamma_2}$$

where  $e^{\gamma_1} \otimes e^{\gamma_2}$  is the basis element of  $\mathbb{Z}[\Gamma \times \Gamma] = \mathbb{Z}[\Gamma] \otimes \mathbb{Z}[\Gamma]$  corresponding to the element  $(\gamma_1, \gamma_2)$  of  $\Gamma \times \Gamma$ .

**3.12.3.** The above construction is again functorial in T and invariant under the diagonal action of G on  $\mathbb{STD}^{\Gamma}(G)$ . It therefore induces a morphism of fpqc sheaves of commutative rings  $(\mathsf{Sch}/S)^{\circ} \to \mathsf{Ring}$ ,

$$\kappa:\underline{K}_0(G)\to\underline{\mathrm{Mor}}\left(\mathbb{TSTD}^\Gamma(G),\mathbb{Z}[\Gamma\times\Gamma]_S\right).$$

**3.12.4.** If now  $f: \mathbb{Z}[\Gamma \times \Gamma]_S \to X$  is a morphism of S-schemes, we denote by

$$\begin{array}{cccc} \langle -, - \rangle_f : & \underline{K}_0(G) & \to & \underline{\operatorname{Mor}} \left( \mathbb{STD}^\Gamma(G), X \right) \\ \langle -, - \rangle_f^{os} : & \underline{K}_0(G) & \to & \underline{\operatorname{Mor}} \left( \mathbb{C}^\Gamma(G)^2, X \right) \\ \langle -, - \rangle_f^{tr} : & \underline{K}_0(G) & \to & \underline{\operatorname{Mor}} \left( \mathbb{C}^\Gamma(G)^2, X \right) \end{array}$$

the morphisms of fpqc sheaves on S which are obtained by post-composition of  $\kappa$  with the obvious morphisms induced by f and, respectively: the quotient map

$$t_2: \mathbb{STD}^{\Gamma}(G) \twoheadrightarrow \mathbb{TSTD}^{\Gamma}(G)$$

and the osculatory and transverse sections

os and 
$$tr: \mathbb{C}^{\Gamma}(G)^2 \hookrightarrow \mathbb{TSTD}^{\Gamma}(G)$$

of section 2.3. For  $\tau \in \underline{K}_0(G)(S)$ , we thus obtain morphisms of S-schemes

$$\begin{array}{lll} \langle -, - \rangle_{f,\tau} : & \mathbb{STD}^{\Gamma}(G) & \to & X \\ \langle -, - \rangle_{f,\tau}^{bs} : & \mathbb{C}^{\Gamma}(G)^2 & \to & X \\ \langle -, - \rangle_{f,\tau}^{tr} : & \mathbb{C}^{\Gamma}(G)^2 & \to & X \end{array}$$

By construction, for every S-scheme T and  $(\mathcal{F}_1, \mathcal{F}_2) \in \mathbb{GEN}^{\Gamma}(G)(T)$ ,

$$\langle \mathcal{F}_1, \mathcal{F}_2 \rangle_{f,\tau} = \langle t(\mathcal{F}_1), t(\mathcal{F}_2) \rangle_{f,\tau}^{tr}$$
 in  $X(T)$ .

**3.12.5.** We will only consider these constructions in the following situation:  $\Gamma$  is a subgroup of  $\mathbb{R}$ , X is the constant scheme  $\mathbb{R}_S$ , f is induced by the bilinear form  $\Gamma \times \Gamma \ni (\gamma_1, \gamma_2) \mapsto \gamma_1 \gamma_2 \in \mathbb{R}$  and  $\tau$  is a genuine representation in  $\operatorname{\mathsf{Rep}}^\circ(G)(S)$ . Then for any S-scheme T and  $(\mathcal{F}_1, \mathcal{F}_2) \in \mathbb{STD}^\Gamma(G)(T)$ ,  $\langle \mathcal{F}_1, \mathcal{F}_2 \rangle_{\tau} = \langle \mathcal{F}_1, \mathcal{F}_2 \rangle_{f,\tau}$  is the locally constant function  $T \to \mathbb{R}$  given by

$$t \mapsto \sum_{\gamma_1, \gamma_2} \dim_{k(t)} \left( \operatorname{Gr}_{\mathcal{F}_1, \mathcal{F}_2}^{\gamma_1, \gamma_2}(\tau) \otimes k(t) \right) \cdot \gamma_1 \gamma_2.$$

#### 3.13. Appendix: pure subsheaves

Let X be a scheme.

LEMMA 59. For  $A \to B \to C$  in QCoh(X), consider the following conditions:

(1) For every quasi-coherent sheaf  $\mathcal{F}$  on X,

$$0 \to \mathcal{A} \otimes \mathcal{F} \to \mathcal{B} \otimes \mathcal{F} \to \mathcal{C} \otimes \mathcal{F} \to 0$$
 is exact in  $\mathsf{QCoh}(X)$ .

(2) For every morphism  $f: Y \to X$ ,

$$0 \to f^* \mathcal{A} \to f^* \mathcal{B} \to f^* \mathcal{C} \to 0$$
 is exact in QCoh(Y).

(3) For every morphism  $f: Y \to X$  and quasi-coherent sheaf  $\mathcal{F}$  on Y,

$$0 \to f^*\mathcal{A} \otimes \mathcal{F} \to f^*\mathcal{B} \otimes \mathcal{F} \to f^*\mathcal{C} \otimes \mathcal{F} \to 0 \quad \text{ is exact in } \mathsf{QCoh}(Y).$$

Then  $(1) \Leftarrow (2) \Leftrightarrow (3)$  and  $(1) \Leftrightarrow (2) \Leftrightarrow (3)$  if X is quasi-separated.

PROOF. Obviously  $(3) \Rightarrow (1)$  and (2). Suppose (2) holds. Let  $f: Y \to X$  be a morphism,  $\mathcal{F}$  a quasi-coherent sheaf on  $Y, g: Z \to Y$  the structural morphism of  $Z = \operatorname{Spec}(\mathcal{O}_Y[\mathcal{F}])$  where  $\mathcal{O}_Y[\mathcal{F}] = \mathcal{O}_Y \oplus \mathcal{F}$  is the quasi-coherent  $\mathcal{O}_Y$ -algebra defined by  $\mathcal{F} \cdot \mathcal{F} = 0$ . By assumption,  $0 \to h^* \mathcal{A} \to h^* \mathcal{B} \to h^* \mathcal{C} \to 0$  is an exact sequence of quasi-coherent sheaves on Z, where  $h = f \circ g$ . Since g is affine,

$$0 \to q_* h^* \mathcal{A} \to q_* h^* \mathcal{B} \to q_* h^* \mathcal{C} \to 0$$

is an exact sequence of quasi-coherent sheaves on Y. But

$$g_*h^*\mathcal{X} = g_*g^*f^*\mathcal{X} = f^*\mathcal{X} \oplus f^*\mathcal{X} \otimes \mathcal{F}$$

for any  $\mathcal{X}$  in  $\mathsf{QCoh}(X)$ , therefore

$$0 \to f^* \mathcal{A} \otimes \mathcal{F} \to f^* \mathcal{B} \otimes \mathcal{F} \to f^* \mathcal{C} \otimes \mathcal{F} \to 0$$

is exact and  $(2) \Rightarrow (3)$ . Suppose now that X is quasi-separated and (1) holds. Let  $f: Y \to X$  be any morphism. Let  $\{X_i\}$  and  $\{Y_{i,j}\}$  be open coverings of X and Y by affine schemes such that  $f(Y_{i,j}) \subset X_i$  and let  $f_{i,j}: Y_{i,j} \to X_i$  be the induced morphism. Since  $(f^*\mathcal{X})|_{Y_{i,j}} = f^*_{i,j}(\mathcal{X}|_{X_i})$  for every  $\mathcal{X} \in \mathsf{QCoh}(X)$ , we have to show

that  $0 \to f_{i,j}^*(\mathcal{A}_i) \to f_{i,j}^*(\mathcal{B}_i) \to f_{i,j}^*(\mathcal{C}_i) \to 0$  is exact on  $Y_{i,j}$  for every i, j, with  $\mathcal{X}_i = \mathcal{X}|_{X_i}$ . Since  $Y_{i,j}$  and  $X_i$  are affine, this amounts to showing that

$$0 \to \mathcal{A}_i \otimes \mathcal{O}_{i,j} \to \mathcal{B}_i \otimes \mathcal{O}_{i,j} \to \mathcal{C}_i \otimes \mathcal{O}_{i,j} \to 0$$

is exact on  $X_i$  for every i, j, for the quasi-coherent sheaf  $\mathcal{O}_{i,j} = (f_{i,j})_* \mathcal{O}_{Y_{i,j}}$  on  $X_i$ . Since X is quasi-separated, the immersion  $\iota_i: X_i \hookrightarrow X$  is quasi-compact and quasi-separated by [18, 1.2.2.i & 1.2.7.b], thus  $\mathcal{F}_{i,j} = (\iota_i)_* \mathcal{O}_{i,j}$  is a quasi-coherent sheaf on X by [18, 1.7.4] and  $0 \to \mathcal{A} \otimes \mathcal{F}_{i,j} \to \mathcal{B} \otimes \mathcal{F}_{i,j} \to \mathcal{C} \otimes \mathcal{F}_{i,j} \to 0$  is an exact sequence on X by assumption. Pulling back through the exact restriction functor  $\iota_i^*: \operatorname{QCoh}(X) \to \operatorname{QCoh}(X_i)$  yields the desired result.

DEFINITION 60. We say that the sequence  $0 \to \mathcal{A} \xrightarrow{\iota} \mathcal{B} \to \mathcal{C} \to 0$  is pure exact, or that  $\iota$  is a pure monomorphism, or that  $\iota(\mathcal{A})$  is a pure (quasi-coherent) subsheaf of  $\mathcal{B}$  if the above condition (2) holds.

Lemma 61. Let  $\mathcal{B}$  be a quasi-coherent sheaf on X. Then

 $\mathcal{P}: (\mathsf{Sch}/X)^{\circ} \to \mathsf{Set}$   $T \mapsto \{\textit{pure quasi-coherent subsheaves } \mathcal{A} \textit{ of } \mathcal{B}_T\}$  is an  $\textit{fpqc sheaf on } \mathsf{Sch}/X$ .

PROOF. It is a functor: if  $\mathcal{A} \in \mathcal{P}(T)$  and  $\alpha: T' \to T$  is an X-morphism, the monomorphism  $\alpha^*(\mathcal{A} \hookrightarrow \mathcal{B}_T)$  identifies  $\alpha^*(\mathcal{A})$  with a quasi-coherent subsheaf of  $\alpha^*(\mathcal{B}_T) = \mathcal{B}_{T'}$ , which is pure since for any morphism  $f': Y \to T'$ , if  $f = \alpha \circ f'$ , then  $f'^* \circ \alpha^*(\mathcal{A} \hookrightarrow \mathcal{B}_T) = f^*(\mathcal{A} \hookrightarrow \mathcal{B}_T)$  is a monomorphism of quasi-coherent sheaves on Y since  $\mathcal{A}$  is pure in  $\mathcal{B}_T$ . It is an fpqc sheaf: if  $\{T_i \to T\}$  is an fpqc cover and  $\mathcal{A}_i \in \mathcal{P}(T_i)$  have the same image  $\mathcal{A}_{i,j} \in \mathcal{P}(T_i \times_T T_j)$ , then the quasi-coherent subsheaves  $\mathcal{A}_i$  of  $\mathcal{B}_{T_i}$  glue to a quasi-coherent subsheaf  $\mathcal{A}$  of  $\mathcal{B}_T$  which is pure since for any  $f: Y \to T$ ,  $f^*(\mathcal{A} \hookrightarrow \mathcal{B}_T)$  is a monomorphism of quasi-coherent sheaves on Y as it becomes so in the fpqc cover  $\{Y \times_T T_i \to Y\}$  of Y.

LEMMA 62. Let A be a quasi-coherent subsheaf of B.

- (1) Suppose that locally on X for the fpqc topology, A is a direct factor of B. Then A is a pure subsheaf of B.
- (2) Suppose that A is a pure subsheaf of B and C = B/A is finitely presented. Then locally on X for the Zariski topology, A is a direct factor of B.

PROOF. (1) A direct factor being obviously pure, this follows from the previous lemma. As for (2): the assumptions are local in the Zariski topology by the previous lemma, we may thus assume that  $X = \operatorname{Spec}(R)$  for some ring R. Then  $A = \Gamma(X, \mathcal{A})$  is a pure R-submodule of  $B = \Gamma(X, \mathcal{B})$  in the sense of [25, Appendix to §7] by (2)  $\Rightarrow$  (1) of lemma 59, and C = B/A is a finitely presented R-module. Therefore A is a direct factor of B by [25, Theorem 7.14], i.e. A is a direct factor of B.

#### CHAPTER 4

# The vectorial Tits building $\mathbf{F}^{\Gamma}(G)$

Let  $\mathcal{O}$  be a local ring, G a reductive group over  $\operatorname{Spec}(\mathcal{O})$ . We shall here take a closer look at the set  $\mathbf{F}^{\Gamma}(G) = \mathbb{F}^{\Gamma}(G)(\mathcal{O})$  of sections of  $\mathbb{F}^{\Gamma}(G)$  over  $\operatorname{Spec}(\mathcal{O})$ .

## 4.1. Combinatorial structures

**4.1.1.** We say that a morphism of posets  $f: (\mathbf{X}, \leq) \to (\mathbf{Y}, \leq)$  is nice if  $\forall x, y \in \mathbf{X} \times \mathbf{Y}$  with  $f(x) \leq y$ , there is a unique  $x' \in f^{-1}(y)$  with  $x \leq x'$ . We say that it is very nice if also

 $\forall x, y \in \mathbf{X} \times \mathbf{Y} \text{ with } f(x) \geq y, \text{ there is an } x' \in f^{-1}(y) \text{ with } x \geq x'.$ 

**4.1.2.** We will define below an  $\operatorname{Aut}(G)$ -equivariant sequence of nice surjective morphisms of posets

$$\mathbf{SBP}(G) \xrightarrow{a} \mathbf{SP}(G) \xrightarrow{b} \mathbf{OPP}(G) \xrightarrow{p_1} \mathbf{P}(G) \xrightarrow{t} \mathbf{O}(G)$$

The group  $G = G(\mathcal{O})$  acts on it through  $\operatorname{Int} : G \to \operatorname{Aut}(G)$ , and we will see that  $G \backslash \operatorname{SBP}(G) = G \backslash \operatorname{SP}(G) = G \backslash \operatorname{OPP}(G) = G \backslash \operatorname{P}(G) = \operatorname{O}(G)$ .

**4.1.3.** We first define our posets. We will use the following notations:

 $S(G) = \{S : \text{maximal split torus of } G\}$ 

 $\mathbf{B}(G) = \{B : \text{minimal parabolic subgroup of } G\}$ 

 $\mathbf{P}(G) = \{P : \text{parabolic subgroup of } G\}$ 

 $\mathbf{SP}(G) = \{(S, P) : Z_G(S) \subset P\}$ 

 $\mathbf{SBP}(G) = \{(S, B, P) : Z_G(S) \subset B \subset P\}$ 

 $\mathbf{OPP}(G) = \{(P, P') : \text{opposed parabolic subgroups of } G\}$ 

Thus  $\mathbf{P}(G) = \mathbb{P}(G)(\mathcal{O})$  and  $\mathbf{OPP}(G) = \mathbb{OPP}(G)(\mathcal{O})$ . In addition, we set

$$\mathbf{O}(G) = \text{image of } t : \mathbb{P}(G)(\mathcal{O}) \to \mathbb{O}(G)(\mathcal{O}).$$

We endow P(G) and O(G) with their natural partial orders and the remaining three sets SBP(G), SP(G) and OPP(G) with the following ones:

$$(S_1, B_1, P_1) \le (S_2, B_2, P_2) \iff S_1 = S_2, B_1 = B_2 \text{ and } P_1 \subset P_2$$
  
 $(S_1, P_1) \le (S_2, P_2) \iff S_1 = S_2 \text{ and } P_1 \subset P_2$   
 $(P_1, P_1') \le (P_2, P_2') \iff P_1 \subset P_2 \text{ and } P_1' \subset P_2'$ 

**4.1.4.** The morphism  $t: \mathbf{P}(G) \to \mathbf{O}(G)$  maps P to its type t(P). It is plainly a morphism of posets. It is surjective by definition of  $\mathbf{O}(G)$ , nice by [14, XXVI 3.8] and even very nice by [14, XXVI 5.5]. The group  $\mathsf{G}$  acts trivially on  $\mathbf{O}(G)$ , and  $\mathsf{G} \cdot P = t^{-1}t(P)$  by [14, XXVI 5.2], thus  $\mathsf{G} \setminus \mathbf{P}(G) = \mathbf{O}(G)$ .

- **4.1.5.** The morphism  $p_1: \mathbf{OPP}(G) \to \mathbf{P}(G)$  maps (P, P') to P. It is plainly a morphism of posets, and it is surjective by [14, XXVI 2.3 & 4.3.2]. Consider now  $(P, P') \in \mathbf{OPP}(G)$ ,  $Q \in \mathbf{P}(G)$  and suppose first that  $P \subset Q$ . Since t is nice, there is a unique  $Q' \in \mathbf{P}(G)$  with  $P' \subset Q'$  and  $t(Q') = \iota t(Q)$ , where  $\iota$  is the opposition involution of  $\mathbf{O}(G)$ . We have  $(Q, Q') \in \mathbf{OPP}(G)$  by [14, XXVI 4.3.2 & 4.2.1], thus  $p_1$  is nice. If  $Q \subset P$ , then  $Q_L = Q \cap L$  is a parabolic subgroup of  $L = P \cap P'$  and its Levi subgroups are the Levi subgroups of Q contained in Q' by [14, XXVI 1.20]. Since  $Q' \in \mathbf{PP}(C) \to \mathbf{P}(C) = \mathbf{PP}(C) \to \mathbf{P}(C)$  is surjective, there is a parabolic subgroup Q' of  $Q' \in \mathbf{PP}(C) \to \mathbf{PP}(C) \to \mathbf{PP}(C) \to \mathbf{PP}(C)$  since  $Q \cap Q' = Q_L \cap Q'_L$  is a Levi subgroup of Q' and Q', thus also of Q and Q'. Therefore  $Q' \in \mathbf{PP}(C) \to \mathbf{PP}(C) \to \mathbf{PP}(C)$  by [14, XXVI 1.2], and  $\mathbf{P} \cdot (P, P') = p_1^{-1}(P) \to \mathbf{PP}(C) \to \mathbf{PP}(C) \to \mathbf{PP}(C) = \mathbf{QP}(C)$ .
- **4.1.6.** The morphism  $b : \mathbf{SP}(G) \to \mathbf{OPP}(G)$  maps (S, P) to  $(P, \iota_S P)$ , where  $\iota_S P$  is defined in the next lemma, which also says that b is a morphism of posets.
- LEMMA 63. For  $S \in \mathbf{S}(G)$  and  $P \in \mathbf{P}(G)$  with  $Z_G(S) \subset P$ , there exists a unique Levi subgroup L of P and a unique parabolic subgroup  $\iota_S P$  of G opposed to P with  $Z_G(S) \subset L$ ,  $\iota_S P$ . Moreover  $L = P \cap \iota_S P$  and  $P \mapsto \iota_S P$  preserves inclusions.
- PROOF. By [1, XIV 3.20], there is a maximal torus T in  $Z_G(S)$ . It is also maximal in G and P. By [14, XXVI 1.6], there is a unique Levi subgroup L of P with  $T \subset L$ . We have to show that  $Z_G(S) \subset L$ . By [14, XXVI 6.11], this is equivalent to  $R_{sp}(L) \subset S$ , where  $R_{sp}(L)$  is the split radical of L, i.e. the maximal split subtorus  $R(L)_{sp}$  of the radical R(L) of L. Since T is a maximal torus in L, R(L) is contained in T, thus  $R_{sp}(L)$  is contained in the maximal split subtorus  $T_{sp}$  of T, which obviously contains S and in fact equals S by maximality of S. This proves the existence and uniqueness of L. That of  $\iota_S P$  follows from [14, XXVI 4.3.2] which also shows that  $L = P \cap \iota_S P$ . If  $P \subset Q$ , there is a unique  $(Q, Q') \in \mathbf{OPP}(G)$  with  $\iota_S P \subset Q'$  because  $p_1$  is nice, and obviously  $\iota_S Q = Q'$ , thus  $\iota_S P \subset \iota_S Q$ .  $\square$
- Starting with  $(P, P') \in \mathbf{OPP}(G)$  put  $L = P \cap P'$  and let S be a maximal split torus in G containing the split radical  $R_{sp}(L)$  of L. Then  $Z_G(S)$  is contained in  $Z_G(R_{sp}(L))$  which equals L by [14, XXVI 6.11], thus  $(S, P) \in \mathbf{SP}(G)$  and b(S, P) equals (P, P'), i.e. b is surjective. It is obviously nice, although not very nice. The stabilizer of b(S, P) in G is  $L = L(\mathcal{O})$  where  $L = P \cap \iota_S P$ , and  $L \cdot (S, P) = b^{-1}b(S, P)$  by [14, XXVI 6.16], thus  $G \setminus \mathbf{SP}(G) = G \setminus \mathbf{OPP}(G)$ . The opposition involution  $\iota(P_1, P_2) = (P_2, P_1)$  of  $\mathbf{OPP}(G)$  lifts to the involution  $\iota(S, P) = (S, \iota_S P)$  of  $\mathbf{SP}(G)$ .
- **4.1.7.** The morphism  $a: \mathbf{SBP}(G) \to \mathbf{SP}(G)$  maps (S, B, P) to (S, P). It is plainly a nice morphism of poset, although not *very* nice. Fix  $(S, P) \in \mathbf{SP}(G)$ , let  $L = P \cap \iota_S P$ . Then [14, XXVI 1.20] sets up a bijection between: the set of minimal parabolic subgroup B of G with  $Z_G(S) \subset B \subset P$  (the fiber  $a^{-1}(S, P)$ ) and the set of minimal parabolic subgroups  $B_L = B \cap L$  of L with  $Z_G(S) \subset B_L$ . The latter set is not empty by [14, XXVI 6.16], thus a is surjective. The stabilizer of (S, P) in G equals  $N_L(S) = N_L(S)(\mathcal{O})$  and  $N_L(S) \cdot (S, B, P) = a^{-1}(S, P)$  by [14, XXVI 7.2] applied to  $Z_G(S) \subset L$ , thus  $G \setminus \mathbf{SBP}(G) = G \setminus \mathbf{SP}(G)$ . The stabilizer of (S, B, P) in G is the stabilizer of (S, B), namely  $Z_G(S) = Z_G(S)(\mathcal{O})$  since  $Z_G(S) = B \cap \iota_S B$ .

**4.1.8.** By [14, XXVI 5.7], there is a smallest element  $\circ$  in O(G). For

$$X$$
 in  $\{SBP(G), SP(G), OPP(G), P(G)\},\$ 

the morphism  $f: \mathbf{X} \to \mathbf{O}(G)$  is very nice. We've proved it already in the last two cases. Since f is nice, our assertion is equivalent to:  $\mathbf{X}_{\min} = f^{-1}(\circ)$  where  $\mathbf{X}_{\min}$  is the set of minimal elements in  $\mathbf{X}$ . This is obvious for  $\mathbf{SP}(G)$ , and also for  $\mathbf{SP}(G)$  since a is surjective. For any  $x \in \mathbf{X}_{\min} = f^{-1}(\circ)$ , there is then a unique section

$$(\mathbf{X},\leq) \xrightarrow{s_x} (\mathbf{O}(G),\leq)$$

with  $s_x(\circ) = x$ , and these sections cover **X**.

**4.1.9.** Let now  $\Gamma = (\Gamma, +, \leq)$  be a non-trivial totally ordered commutative group and form the  $\operatorname{Aut}(G)$ -equivariant cartesian diagram of sets:

where  $\mathbf{C}^{\Gamma}(G)$  is the inverse image of  $\mathbf{O}(G)$  under  $F : \mathbb{C}^{\Gamma}(G)(\mathcal{O}) \to \mathbb{O}(G)(\mathcal{O})$ . Of course we may and do identify  $\mathbf{F}^{\Gamma}(G)$  with  $\mathbb{F}^{\Gamma}(G)(\mathcal{O})$  and  $\mathbf{G}^{\Gamma}(G)$  with  $\mathbb{G}^{\Gamma}(G)(\mathcal{O})$ , see section 2.2.6. With these identifications, we find:

$$\mathbf{AF}^{\Gamma}(G) = \{ (S, \mathcal{F}) \in \mathbf{S}(G) \times \mathbf{F}^{\Gamma}(G) \text{ with } Z_{G}(S) \subset P_{\mathcal{F}} \}$$

$$= \{ (S, \mathcal{G}) \in \mathbf{S}(G) \times \mathbf{G}^{\Gamma}(G) \text{ with } Z_{G}(S) \subset L_{\mathcal{G}} \}$$

$$= \{ (S, \mathcal{G}) : S \in \mathbf{S}(G), \mathcal{G} \in \mathbf{G}^{\Gamma}(S) \}$$

$$\mathbf{ACF}^{\Gamma}(G) = \{ (S, B, \mathcal{F}) : \mathbf{S}(G) \times \mathbf{B}(G) \times \mathbf{F}^{\Gamma}(G) \text{ with } Z_{G}(S) \subset B \subset P_{\mathcal{F}} \}$$

$$\{ (S, B, \mathcal{G}) : S \in \mathbf{S}(G), \mathcal{G} \in \mathbf{G}^{\Gamma}(S) \text{ with } Z_{G}(S) \subset B \subset P_{\mathcal{G}} \}.$$

The opposition involution  $\iota$  of  $\mathbf{G}^{\Gamma}(G)$  lifts to an involution of  $\mathbf{AF}^{\Gamma}(G)$ , given by

$$\iota(S, \mathcal{F}) = (S, \iota_S \mathcal{F}) \quad \text{or} \quad \iota(S, \mathcal{G}) = (S, \iota \mathcal{G}).$$

Here  $\iota \mathcal{G} = \mathcal{G}^{-1}$  in  $\mathbf{G}^{\Gamma}(S)$  and  $(\operatorname{Fil}(\mathcal{G}), \operatorname{Fil}(\iota \mathcal{G})) = (\mathcal{F}, \iota_S \mathcal{F})$ .

**4.1.10.** Fix  $S \in \mathbf{S}(G)$ . Let M be its group of characters,  $R \subset M$  the roots of S in  $\mathfrak{g} = \text{Lie}(G)$  and  $\mathfrak{g} = \mathfrak{g}_0 \oplus \oplus_{\alpha \in R} \mathfrak{g}_{\alpha}$  the corresponding decomposition of  $\mathfrak{g}$ . Put

$$W = (N_G(S)/Z_G(S))(\mathcal{O}) = N_G(S)(\mathcal{O})/Z_G(S)(\mathcal{O}).$$

By [14, XXVI 7.4], there exists a unique root datum  $\mathscr{R} = (M, R, M^*, R^*)$  with Weyl group W and a W-equivariant bijection  $B \leftrightarrow R_+$  between the set of all  $B \in \mathbf{B}(G)$  with  $Z_G(S) \subset B$  and the set of all systems of positive roots  $R_+ \subset R$ , given by

$$Lie(B) = \mathfrak{g}_0 \oplus \oplus_{\alpha \in R_+} \mathfrak{g}_{\alpha}.$$

Fix one such B and let  $\Delta \subset R_+$  be the corresponding set of simple roots. By [14, XXVI 7.7], there is an inclusion preserving bijection  $P \leftrightarrow A$  between the set of all  $P \in \mathbf{P}(G)$  with  $B \subset P$  and the set of all subsets A of  $\Delta$ , given by

$$\operatorname{Lie}(P) = \mathfrak{g}_0 \oplus \oplus_{\alpha \in R_A} \mathfrak{g}_{\alpha}$$

where  $R_A = R_+ \coprod (\mathbb{Z}A \cap R_-)$  is the set of roots in  $R = R_+ \coprod R_-$  which are either positive or in the group spanned by A. We write  $P_A$  for the parabolic associated to A. Since  $f : \mathbf{SBP}(G) \to \mathbf{O}(G)$  is (very) nice, we obtain a poset bijection

$$f_{S,B}: (\{A \subset \Delta\}, \subset) \to (\mathbf{O}(G), \leq), \quad A \mapsto t(P_A).$$

Fix one such  $P = P_A$ . Then the fiber of  $F : \mathbf{ACF}^{\Gamma}(G) \to \mathbf{SBP}(G)$  above (S, B, P) is the set of all  $(S, B, \mathcal{G})$  with  $\mathcal{G} \in \mathbf{G}^{\Gamma}(S) = \mathrm{Hom}(M, \Gamma)$  such that

$$\forall \alpha \in \Delta : \begin{cases} \mathcal{G}(\alpha) = 0 & \text{if } \alpha \in A, \\ \mathcal{G}(\alpha) > 0 & \text{if } \alpha \notin A. \end{cases}$$

Since the elements of  $\Delta$  are linearly independent and  $\Gamma$  is non-trivial, this fiber is not empty and  $F: \mathbf{ACF}^{\Gamma}(G) \to \mathbf{SBP}(G)$  is surjective.

**4.1.11.** It follows that the five F's in our diagram are surjective. Their fibers are called facets, the type of a facet is its image in  $\mathbf{O}(G)$ , and all facets of the same type are canonically isomorphic. The facets of type  $\circ$  are called chambers. For any  $f': \mathbf{X}' \to \mathbf{C}^{\Gamma}(G)$  over  $f: \mathbf{X} \to \mathbf{O}(G)$  in our diagram, the closed facet of  $x \in \mathbf{X}$  is  $F^{-1}(\overline{x}) \subset \mathbf{X}'$  where  $\overline{x} = \{y \geq x\}$ . It is a disjoint union of finitely many facets. Since  $x = \min FF^{-1}(x)$ , closed facets have a well-defined type and those of the same type are canonically isomorphic. We equip the set of closed facets with the partial order given by inclusion, which is opposite to the partial order on  $\mathbf{X}$ . A closed chamber is a maximal closed facet, and the set of all closed chambers equals  $\mathbf{X}_{\min} = f^{-1}(\circ)$ . Since f is nice, every  $x \in \mathbf{X}_{\min}$  defines compatible sections

$$\mathbf{X}' \xrightarrow{s_x} \mathbf{C}^{\Gamma}(G)$$

$$\downarrow F \qquad \qquad \downarrow F$$

$$\mathbf{X} \xrightarrow{s_x} \mathbf{O}(G)$$

and the closed chamber  $F^{-1}(\overline{x})$  is the image of  $s_x : \mathbf{C}^{\Gamma}(G) \to \mathbf{X}'$ . Since f is very nice, any  $x' \in \mathbf{X}'$  belongs to some closed chamber. Since  $\mathsf{G} \setminus \mathbf{X}' = \mathbf{C}^{\Gamma}(G)$ , any closed chamber is a fundamental domain for the action of  $\mathsf{G}$  on  $\mathbf{X}'$ .

- **4.1.12.** The facets which are minimal among the set of non-minimal facets are called panels. A panel  $F^{-1}(x)$  bounds a chamber  $F^{-1}(y)$  if  $F^{-1}(x) \subset F^{-1}(\overline{y})$ , i.e.  $y \leq x$ . Any panel bounds at least 3 chambers. Indeed, this means that a non-minimal parabolic subgroup P of G contains at least 3 minimal parabolic subgroups. To establish this, fix a Levi subgroup L of P which exists by [14, XXVI 2.3] or the surjectivity of  $p_1$ . Then  $Q \mapsto L \cap Q$  yields a bijection between the parabolic subgroups Q of G contained in P and the parabolic subgroups of L, by [14, XXVI 1.20]. Since P is non-minimal, L is not a minimal parabolic subgroup of itself. By [14, XXVI 5.11], it contains at least 3 such subgroups, and so does P.
- **4.1.13.** The apartment attached to  $S \in \mathbf{S}(G)$  is the subset  $\mathbf{F}^{\Gamma}(S)$  of all  $\mathcal{F}$ 's in  $\mathbf{F}^{\Gamma}(G)$  such that  $Z_G(S) \subset P_{\mathcal{F}}$ . It is canonically isomorphic to  $\mathbf{G}^{\Gamma}(S)$  by the map which sends  $\mathcal{G}: \mathbb{D}_{\mathcal{O}}(\Gamma) \to S$  to  $\mathsf{Fil}(\mathcal{G})$ . Our notations are thus consistent since

$$\mathbf{F}^{\Gamma}(S) = \mathbf{G}^{\Gamma}(S) = \mathbb{G}^{\Gamma}(S)(\mathcal{O}) = \mathbb{F}^{\Gamma}(S)(\mathcal{O}).$$

Since  $F: \mathbf{AF}^{\Gamma}(G) \to \mathbf{SP}$  is surjective,  $\mathbf{F}^{\Gamma}(S)$  is the disjoint union of the facets  $F^{-1}(P)$  with  $Z_G(S) \subset P$ . Since  $Z_G(S) = B \cap B'$  for some pair of opposed minimal

parabolic subgroups of G,  $\mathbf{F}^{\Gamma}(S)$  determines  $Z_G(S) = \bigcap_{F^{-1}(P) \subset \mathbf{F}^{\Gamma}(S)} P$  and its split radical S. Thus  $S \mapsto \mathbf{F}^{\Gamma}(S)$  is an  $\operatorname{Aut}(G)$ -equivariant bijection from  $\mathbf{S}(G)$  onto the set  $\mathbf{A}(G)$  of apartments in  $\mathbf{F}^{\Gamma}(G)$ . In particular,

$$\mathbf{AF}^{\Gamma}(G) = \{(A, \mathcal{F}) : A \in \mathbf{A}(G), \mathcal{F} \in A\}$$
$$\mathbf{ACF}^{\Gamma}(G) = \{(A, C, \mathcal{F}) : \mathcal{F} \in C = \text{closed chamber of } A \in \mathbf{A}(G)\}.$$

Since  $\mathbf{AF}^{\Gamma}(G) \to \mathbf{F}^{\Gamma}(G)$  is surjective, every  $\mathcal{F} \in \mathbf{F}^{\Gamma}(G)$  belongs to some  $A \in \mathbf{A}(G)$ . The stabilizer of  $\mathbf{F}^{\Gamma}(S)$  in  $\mathsf{G} = G(\mathcal{O})$  equals  $\mathsf{N}_G(S) = N_G(S)(\mathcal{O})$  and its pointwise stabilizer equals  $\mathsf{Z}_G(S) = \mathsf{Z}_G(S)(\mathcal{O})$ . Thus  $\mathsf{W}_G(S) = \mathsf{N}_G(S)/\mathsf{Z}_G(S)$  acts on  $\mathbf{F}^{\Gamma}(S)$ , and this gives the usual action of  $\mathsf{W}_G(S)$  on  $\mathbf{F}^{\Gamma}(S) = \mathbf{G}^{\Gamma}(S) = \mathrm{Hom}(\mathbb{D}_{\mathcal{O}}(\Gamma), S)$ .

- **4.1.14.** A panel bounds exactly two chambers in any apartment which contains it. Indeed, let  $F^{-1}(Q)$  be a panel in  $\mathbf{F}^{\Gamma}(S)$ . Given [14, XXVI 1.20], we have to show that there are exactly two minimal parabolic subgroups of  $L = Q \cap \iota_S Q$  containing  $Z_G(S)$ . By assumption,  $\mathbf{O}(L) = \{\circ, t(L)\}$ . Our claim then follows from 4.1.10.
- **4.1.15.** For any  $\mathcal{F}_1, \mathcal{F}_2 \in \mathbf{F}^{\Gamma}(G)$ , there is an apartment  $A \in \mathbf{A}(G)$  containing  $\mathcal{F}_1$  and  $\mathcal{F}_2$  if and only if  $P_{\mathcal{F}_1}$  and  $P_{\mathcal{F}_2}$  are in standard position [14, XXVI 4.5]. Indeed if  $\mathcal{F}_1, \mathcal{F}_2 \in \mathbf{F}^{\Gamma}(S)$  for some  $S \in \mathbf{S}(G)$ , then  $Z_G(S)$  contains a maximal torus T by [1, XIV 3.20], thus  $T \subset Z_G(S) \subset P_{\mathcal{F}_1} \cap P_{\mathcal{F}_2}$ . If conversely  $T \subset P_{\mathcal{F}_1} \cap P_{\mathcal{F}_2}$  for some maximal torus T of G, then  $\mathcal{F}_1, \mathcal{F}_2 \in \mathbf{F}^{\Gamma}(S)$  for any  $S \in \mathbf{S}(G)$  containing the maximal split torus  $T_{sp}$  of T: if  $R_i$  is the split radical of the unique Levi subgroup  $L_i$  of  $P_{\mathcal{F}_i}$  containing T [14, XXVI 1.6], then  $R_i \subset T_{sp} \subset S$ , therefore  $Z_G(S) \subset Z_G(R_i) = L_i \subset P_{\mathcal{F}_i}$  by [14, XXVI 6.11]. We will denote by

$$\mathbf{Std}(G) = \mathbb{STD}(G)(\mathcal{O})$$
 and  $\mathbf{Std}^{\Gamma}(G) = \mathbb{STD}^{\Gamma}(G)(\mathcal{O})$ 

the corresponding subsets of  $P(G)^2$  and  $F^{\Gamma}(G)^2$ , so that

$$\mathbf{Std}^{\Gamma}(G) = F^{-1}(\mathbf{Std}(G)) = \cup_{S \in \mathbf{S}(G)} \mathbf{F}^{\Gamma}(S) \times \mathbf{F}^{\Gamma}(S) \subset \mathbf{F}^{\Gamma}(G)^{2}.$$

For any  $S \in \mathbf{S}(G)$ , the map  $+ : \mathbf{Std}^{\Gamma}(G) \to \mathbf{F}^{\Gamma}(G)$  of section 2.3.2 induces the natural commutative group structure on  $\mathbf{F}^{\Gamma}(S) = \mathbf{G}^{\Gamma}(S) = \mathrm{Hom}(\mathbb{D}_{\mathcal{O}}(\Gamma), S)$ .

**4.1.16.** For  $P \in \mathbf{P}(G)$  with unipotent radical U and Levi L, we also define

$$\mathbf{Std}^{\Gamma}(P) = \left\{ \mathcal{F} \in \mathbf{F}^{\Gamma}(G) : (P, P_{\mathcal{F}}) \in \mathbf{Std}(G) \right\} = \bigcup_{Z_G(S) \subset P} \mathbf{F}^{\Gamma}(S).$$

As explained in sections 2.3.3 and 2.3.4, the functorial map  $\mathbf{F}^{\Gamma}(L) \to \mathbf{F}^{\Gamma}(G)$  lands in  $\mathbf{Std}^{\Gamma}(P)$  and actually defines a section of a P-equivariant map

$$\operatorname{Gr}_P : \mathbf{Std}^{\Gamma}(P) \twoheadrightarrow \mathbf{F}^{\Gamma}(P/U)$$

which may be computed as follows: starting with  $\mathcal{F} \in \mathbf{Std}^{\Gamma}(P)$ , pick  $S \in \mathbf{S}(G)$  such that  $Z_G(S) \subset P \cap P_{\mathcal{F}}$ , let  $\mathcal{G} \in \mathbf{G}^{\Gamma}(S)$  be the corresponding splitting of  $\mathcal{F}$  and let  $\overline{\mathcal{G}}$  be the image of  $\mathcal{G}$  in  $\mathbf{G}^{\Gamma}(P/U)$ . Then  $\mathrm{Gr}_P(\mathcal{F}) = \mathrm{Fil}(\overline{\mathcal{G}})$  in  $\mathbf{F}^{\Gamma}(P/U)$ . Thus for  $\mathcal{F} \in \mathbf{F}^{-1}(P)$ ,  $\mathcal{F} \in \mathbf{Std}^{\Gamma}(P)$  and  $\mathrm{Gr}_P(\mathcal{F}) = \overline{\mathcal{F}}$  with  $\overline{\mathcal{F}} \in \mathbf{G}^{\Gamma}(\overline{R}(P))$  as in 2.2.8.

THEOREM. [14, XXVI 4.1.1] If  $\mathcal{O} = K$  is a field, then  $\mathbf{Std}(G) = \mathbf{P}(G)^2$ , thus also  $\mathbf{Std}^{\Gamma}(G) = \mathbf{F}^{\Gamma}(G)^2$  and  $\mathrm{Gr}_P$  is defined on the whole of  $\mathbf{F}^{\Gamma}(G) = \mathbf{Std}^{\Gamma}(P)$ :

$$\operatorname{Gr}_P: \mathbf{F}^{\Gamma}(G) \twoheadrightarrow \mathbf{F}^{\Gamma}(P/U)$$

### **4.1.17.** Suppose now that $\mathcal{O}$ is a Henselian local ring with residue field k.

PROPOSITION 64. The specialization from  $\mathcal{O}$  to k induces a map from the diagram of section 4.1.9 for G to the similar diagram for  $G_k$ . In the resulting commutative diagram, all the specialization maps  $\mathbf{X}(G) \to \mathbf{X}(G_k)$  are surjective, all the squares involving two F's are cartesian, and  $\mathbf{O}(G) \simeq \mathbf{O}(G_k)$ ,  $\mathbf{C}^{\Gamma}(G) \simeq \mathbf{C}^{\Gamma}(G_k)$ .

PROOF. Since  $\mathbb{G}^{\Gamma}$ ,  $\mathbb{F}^{\Gamma}$ ,  $\mathbb{C}^{\Gamma}$ ,  $\mathbb{OPP}$ ,  $\mathbb{P}$  and  $\mathbb{O}$  are smooth over  $\operatorname{Spec}(\mathcal{O})$ , the specialization from  $\mathcal{O}$  to k induces a map from the last two squares of our diagram for G to the last two squares of the analogous diagram for  $G_k$ , in which all specialization maps  $\mathbf{X}(G) \to \mathbf{X}(G_k)$  are surjective by [21, 18.5.17]. Since  $\mathbb{O}$  is finite étale over  $\operatorname{Spec}(\mathcal{O}), \ \mathbf{O}(G) \to \mathbf{O}(G_k)$  is also injective by [21, 18.5.4-5], i.e.  $\mathbf{O}(G) = \mathbf{O}(G_k)$ . It follows that  $\mathbf{P}(G) \to \mathbf{P}(G_k)$  induces  $\mathbf{B}(G) \to \mathbf{B}(G_k)$ . If S is a maximal split torus in G, then  $Z_G(S)$  is a Levi subgroup of a minimal parabolic subgroup B of G, S is the maximal split subtorus of the radical R of  $Z_G(S)$ , thus R/S is an anisotropic torus, i.e.  $\operatorname{Hom}(\mathbb{G}_{m,\mathcal{O}},R/S)=0$ . Then by proposition 3, lemma 4 and [21, 18.5.4-5], also  $\operatorname{Hom}(\mathbb{G}_{m,k}, R_k/S_k) = 0$ , thus  $S_k$  is the maximal split subtorus of the radical  $R_k$  of the Levi subgroup  $Z_G(S)_k = Z_{G_k}(S_k)$  of the minimal parabolic subgroup  $B_k$  of  $G_k$ , in particular  $S_k$  is a maximal split subtorus of  $G_k$  and the specialization map  $\mathbf{S}(G) \to \mathbf{S}(G_k)$  is well-defined. It is surjective: starting with S in  $\mathbf{S}(G_k)$ , choose  $\overline{B} \in \mathbf{B}(G_k)$  containing  $Z_{G_k}(\overline{S})$ , lift  $\overline{B}$  to some  $B \in \mathbf{B}(G)$ , choose  $S' \in \mathbf{S}(G)$  with  $Z_G(S') \subset B$ , write  $\overline{S} = \operatorname{Int}(\overline{b})(S'_k)$  for some  $b \in B(k)$ , lift  $\overline{b}$  to some  $b \in B(\mathcal{O})$  using [21, 18.5.17] and set  $S = \operatorname{Int}(b)(S')$ . Then  $S \in \mathbf{S}(G)$  and  $S_k = \overline{S}$ . The same argument shows that  $\mathbf{SBP}(G) \to \mathbf{SBP}(G_k)$  and  $\mathbf{SP}(G) \to \mathbf{SP}(G_k)$  are well-defined and surjective, from which follows that also  $\mathbf{ACF}^{\Gamma}(G) \to \mathbf{ACF}^{\Gamma}(G_k)$ and  $\mathbf{AF}^{\Gamma}(G) \to \mathbf{AF}^{\Gamma}(G_k)$  are well-defined. To establish all of the remaining claims, it is sufficient to show that  $\mathbf{C}^{\Gamma}(G) \to \mathbf{C}^{\Gamma}(G_k)$  is also injective, which again follows from [21, 18.5.4-5] since  $\mathbb{C}^{\Gamma}(G)$  is separated and étale over  $\mathcal{O}$ . Alternatively, fix (S,B) as above and let  $s: \mathbf{C}^{\Gamma}(G) \hookrightarrow \mathbf{F}^{\Gamma}(G)$  and  $s_k: \mathbf{C}^{\Gamma}(G_k) \hookrightarrow \mathbf{F}^{\Gamma}(G_k)$  be the corresponding sections. They are compatible with the specialization maps and there images are respectively contained in the apartments  $\mathbf{F}^{\Gamma}(S)$  of  $\mathbf{F}^{\Gamma}(G)$  and  $\mathbf{F}^{\Gamma}(S_k)$  of  $\mathbf{F}^{\Gamma}(G_k)$ . Since  $\mathbf{G}^{\Gamma}(S) \simeq \mathbf{G}^{\Gamma}(S_k)$ , the specialization map  $\mathbf{F}^{\Gamma}(G) \to \mathbf{F}^{\Gamma}(G_k)$  restricts to a bijection  $\mathbf{F}^{\Gamma}(S) \simeq \mathbf{F}^{\Gamma}(S_k)$ , therefore  $\mathbf{C}^{\Gamma}(G) \twoheadrightarrow \mathbf{C}^{\Gamma}(G_k)$  is indeed injective.  $\square$ 

# **4.1.18.** Suppose now that $\mathcal{O}$ is a valuation ring with fraction field K.

PROPOSITION 65. The generization from  $\mathcal{O}$  to K induces a map from the diagram of section 4.1.9 for G to the similar diagram for  $G_K$ . In the resulting commutative diagram, all the generization maps  $\mathbf{X}(G) \to \mathbf{X}(G_K)$  are injective, they are bijective for  $\mathbf{X} \in \{\mathbf{F}^{\Gamma}, \mathbf{C}^{\Gamma}, \mathbf{P}, \mathbf{O}\}$  and all the squares involving two F's are cartesian.

PROOF. Since  $\mathbb{G}^{\Gamma}$ ,  $\mathbb{F}^{\Gamma}$ ,  $\mathbb{C}^{\Gamma}$ ,  $\mathbb{OPP}$ ,  $\mathbb{P}$  and  $\mathbb{O}$  are separated over  $\operatorname{Spec}(\mathcal{O})$ , the generization from  $\mathcal{O}$  to K induces a map from the last two squares of our diagram for G to the last two squares of the analogous diagram for  $G_K$ , in which all generization maps  $\mathbf{X}(G) \to \mathbf{X}(G_K)$  are injective. Since  $\mathbb{O}$  and  $\mathbb{P}$  are proper over  $\operatorname{Spec}(\mathcal{O})$ , the maps  $\mathbf{P}(G) \to \mathbf{P}(G_K)$  and  $\mathbf{O}(G) \to \mathbf{O}(G_K)$  are in fact bijective. It follows that  $\mathbf{P}(G) \simeq \mathbf{P}(G_K)$  induces  $\mathbf{B}(G) \simeq \mathbf{B}(G_K)$ . If S is a maximal split torus in G, then  $Z_G(S)$  is a Levi subgroup of a minimal parabolic subgroup S of S is the maximal split subtorus of the radical S of S is the maximal split subtorus of the radical S of S in S in S is an anisotropic torus, i.e. S in S is the maximal split subtorus of the radical S of the radical S in S

 $R_K$  of the Levi subgroup  $Z_G(S)_K = Z_{G_K}(S_K)$  of the minimal parabolic subgroup  $B_K$  of  $G_K$ , in particular  $S_K$  is a maximal split subtorus of  $G_K$  and the specialization map  $\mathbf{S}(G) \to \mathbf{S}(G_K)$  is well-defined. It is injective by [14, XXII 5.8.3], so are  $\mathbf{SBP}(G) \to \mathbf{SBP}(G_K)$  and  $\mathbf{SP}(G) \to \mathbf{SP}(G_K)$ , while  $\mathbf{ACF}^{\Gamma}(G) \to \mathbf{ACF}^{\Gamma}(G_K)$  and  $\mathbf{AF}^{\Gamma}(G) \to \mathbf{AF}^{\Gamma}(G_K)$  are well-defined. To establish the remaining claims, it is sufficient to show that  $\mathbf{C}^{\Gamma}(G) \hookrightarrow \mathbf{C}^{\Gamma}(G_K)$  is also surjective, which again follows from lemma 4 since  $\mathbb{C}^{\Gamma}(G) \hookrightarrow \mathbf{C}^{\Gamma}(G_K)$  is a quasi-isotrivial twisted constant scheme over  $\mathcal{O}$ . Alternatively, fix (S,B) as above and let  $s: \mathbf{C}^{\Gamma}(G) \hookrightarrow \mathbf{F}^{\Gamma}(G)$  and  $s_K: \mathbf{C}^{\Gamma}(G_k) \hookrightarrow \mathbf{F}^{\Gamma}(G_k)$  be the corresponding sections. They are compatible with the generization maps and there images are respectively contained in the apartments  $\mathbf{F}^{\Gamma}(S)$  of  $\mathbf{F}^{\Gamma}(G)$  and  $\mathbf{F}^{\Gamma}(S_K)$  of  $\mathbf{F}^{\Gamma}(G_K)$ . Since  $\mathbf{G}^{\Gamma}(S) \simeq \mathbf{G}^{\Gamma}(S_K)$ , the generization map  $\mathbf{F}^{\Gamma}(G) \to \mathbf{F}^{\Gamma}(G_K)$  restricts to a bijection  $\mathbf{F}^{\Gamma}(S) \simeq \mathbf{F}^{\Gamma}(S_K)$ , therefore  $\mathbf{C}^{\Gamma}(G) \hookrightarrow \mathbf{C}^{\Gamma}(G_K)$  is indeed surjective.

REMARK 66. Under the identifications of theorem 28 (note that G is isotrivial over the valuation ring  $\mathcal{O}$  by proposition 41), the inverse of  $\mathbf{F}^{\Gamma}(G) \to \mathbf{F}^{\Gamma}(G_K)$  maps a  $\Gamma$ -filtration  $\mathcal{F}_K$  on  $\omega_K^{\circ}$  to the  $\Gamma$ -filtration  $\mathcal{F}$  on  $\omega^{\circ}$  defined by

$$\forall \tau \in \mathsf{Rep}^{\circ}(G)(\mathcal{O}), \ \gamma \in \Gamma : \quad \mathcal{F}^{\gamma}(\tau) = \mathcal{F}^{\gamma}_{K}(\tau) \cap V(\tau) \text{ in } V_{K}(\tau).$$

It is not at all obvious that this formula indeed defines a right exact functor!

**4.1.19.** Set 
$$\operatorname{\mathbf{Gen}}(G) = \mathbb{GEN}(G)(\mathcal{O}), \operatorname{\mathbf{Gen}}^{\Gamma}(G) = \mathbb{GEN}^{\Gamma}(G)(\mathcal{O})$$
 and define  $\operatorname{\mathbf{Gen}}(Y) = \{P \in \mathbf{P}(G) : \{P\} \times Y \subset \operatorname{\mathbf{Gen}}(G)\}$ 

$$\operatorname{\mathbf{Gen}}^{\Gamma}(X) = \{\mathcal{F} \in \mathbf{F}^{\Gamma}(G) : \{\mathcal{F}\} \times X \subset \operatorname{\mathbf{Gen}}^{\Gamma}(G)\}$$

for  $Y \subset \mathbf{P}(G)$  and  $X \subset \mathbf{F}^{\Gamma}(G)$ . We say that Y (resp. X) is thin if

$$t(\mathbf{Gen}(Y)) = \mathbf{O}(G)$$
 (resp.  $t(\mathbf{Gen}^{\Gamma}(X)) = \mathbf{C}^{\Gamma}(G)$ ).

Plainly, 
$$F^{-1}(\mathbf{Gen}(Y)) = \mathbf{Gen}^{\Gamma}(F^{-1}(Y))$$
 and  $F(\mathbf{Gen}^{\Gamma}(X)) = \mathbf{Gen}(F(X))$ , thus  $(Y \text{ is thin } \Leftrightarrow F^{-1}(Y) \text{ is thin})$  and  $(X \text{ is thin } \Leftrightarrow F(X) \text{ is thin})$ .

Moreover Y is thin  $\Leftrightarrow \circ \in t(\mathbf{Gen}(Y))$  and a thin subset of a thin set is thin.

Lemma 67. Suppose that  $\mathcal{O}$  is a strictly Henselian valuation ring with residue field k and fraction field K. Then any subset  $Y_K$  of  $\mathbf{P}(G_K)$  (resp.  $X_K$  of  $\mathbf{F}^{\Gamma}(G_K)$ ) whose image in  $\mathbf{P}(G_k)$  is finite is a thin subset of  $\mathbf{P}(G_K)$  (resp.  $\mathbf{F}^{\Gamma}(G_K)$ ).

PROOF. It is sufficient to treat the case of a subset  $Y_K$  of  $\mathbf{P}(G_K)$ . Let  $Y \simeq Y_K$  be its pre-image in  $\mathbf{P}(G)$  with finite image  $Y_k$  in  $\mathbf{P}(G_k)$ . For every  $y \in Y_k$ ,

$$U_y = t^{-1}(\circ_k) \times \{y\} \cap \mathbb{GEN}(G_k)$$

is a non-empty open subscheme of the (geometrically) irreducible k-scheme  $t^{-1}(\circ_k)$  by [14, XXVI 4.2.4.iii], thus  $(\cap_{y \in Y_k} U_y)(k) = \mathbf{B}(G_k) \cap \mathbf{Gen}(Y_k)$  is not empty since k is algebraically closed and  $t^{-1}(\circ_k)$  is of finite type over k. If  $B \in \mathbf{B}(G)$  lifts  $B_k \in \mathbf{B}(G_k) \cap \mathbf{Gen}(Y_k)$ , then  $\{B\} \times Y \subset \mathbf{Gen}(G)$  since  $\mathbb{GEN}(G)$  is open in  $\mathbb{P}(G)^2$ , thus also  $\{B_K\} \times Y_K \subset \mathbf{Gen}(G_K)$ , i.e.  $B_K \in \mathbf{Gen}(Y_K)$ . Since also  $B_K \in \mathbf{B}(G_K)$ ,  $Y_K$  is indeed a thin subset of  $\mathbf{P}(G_K)$ .

## 4.2. Distances and angles

Suppose from now on that  $\Gamma$  is a subring of  $\mathbb{R}$  with the induced total order on the underlying commutative group.

**4.2.1.** Recall from theorem 28 that for  $\tau \in \mathsf{Rep}^{\circ}(G)(\mathcal{O})$ , any  $\mathcal{F} \in \mathbf{F}^{\Gamma}(G)$  defines a  $\Gamma$ -filtration  $\mathcal{F}(\tau)$  on the (free)  $\mathcal{O}$ -module  $V(\tau)$ . For any  $(\mathcal{F}_1, \mathcal{F}_2) \in \mathbf{Std}^{\Gamma}(G)$  and  $\gamma_1, \gamma_2 \in \Gamma$ , the  $\mathcal{O}$ -module

$$\mathrm{Gr}_{\mathcal{F}_1,\mathcal{F}_2}^{\gamma_1,\gamma_2}(\tau) = \frac{\mathcal{F}_1^{\gamma_1}(\tau) \cap \mathcal{F}_2^{\gamma_2}(\tau)}{\mathcal{F}_{1,+}^{\gamma_1}(\tau) \cap \mathcal{F}_2^{\gamma_2}(\tau) + \mathcal{F}_1^{\gamma_1}(\tau) \cap \mathcal{F}_{2,+}^{\gamma_2}(\tau)}$$

is free of finite rank: if  $\mathcal{F}_i = \operatorname{Fil}(\mathcal{G}_i)$  with  $\mathcal{G}_i \in \mathbf{G}^{\Gamma}(S) = \operatorname{Hom}(M,\Gamma)$  for some S in  $\mathbf{S}(G)$  with  $M = \operatorname{Hom}(S, \mathbb{G}_{m,\mathcal{O}})$ , then  $\mathcal{F}_i(\tau)^{\gamma} = \bigoplus_{\mathcal{G}_i(m) \geq \gamma} V(\tau)_m$  for any  $i \in \{1, 2\}$  and  $\gamma \in \Gamma$  where  $V(\tau) = \bigoplus_{m \in M} V(\tau)_m$  is the eigenspace decomposition of  $\tau|_S$ , thus

$$\operatorname{Gr}_{\mathcal{F}_1,\mathcal{F}_2}^{\gamma_1,\gamma_2}(\tau) = \bigoplus_{m:\mathcal{G}_i(m)=\gamma_i} V(\tau)_m.$$

- **4.2.2.** Since  $\Gamma$  is a subring of  $\mathbb{R}$ :
- Any apartment is endowed with a canonical structure of free  $\Gamma$ -module, and these structures are preserved by the action of  $\operatorname{Aut}(G)$  on  $\mathbf{F}^{\Gamma}(G)$ . Indeed,

$$\mathbf{F}^{\Gamma}(S) = \mathbf{G}^{\Gamma}(S) = \operatorname{Hom}(M(S), \Gamma) \text{ with } M(S) = \operatorname{Hom}(S, \mathbb{G}_{m, \mathcal{O}}).$$

• Any  $\tau \in \mathsf{Rep}^{\circ}(G)(\mathcal{O})$  defines a G-invariant function

$$\langle -, - \rangle_{\tau} : \mathbf{Std}^{\Gamma}(G) \to \Gamma, \qquad \langle \mathcal{F}_1, \mathcal{F}_2 \rangle_{\tau} = \sum_{\gamma_1, \gamma_2} \mathrm{rank}_{\mathcal{O}} \left( \mathrm{Gr}_{\mathcal{F}_1, \mathcal{F}_2}^{\gamma_1, \gamma_2}(\tau) \right) \cdot \gamma_1 \gamma_2$$

whose restriction to  $\mathbf{F}^{\Gamma}(S)$  is bilinear, symmetric and non-negative, given by

$$\langle \mathcal{F}_1, \mathcal{F}_2 \rangle_{\tau} = \sum_{m \in M(S)} \operatorname{rank}_{\mathcal{O}} (V(\tau)_m) \cdot \mathcal{G}_1(m) \mathcal{G}_2(m)$$

if  $\mathcal{F}_i \in \mathbf{F}^{\Gamma}(S)$  corresponds to  $\mathcal{G}_i \in \text{Hom}(M(S), \Gamma)$ . Its kernel equals  $\mathbf{G}^{\Gamma}(\ker(\tau|S))$ , thus  $\langle -, - \rangle_{\tau}$  is positive definite when  $\tau$  is a faithful representation of G.

• Write  $\|\mathcal{F}\|_{\tau} = \langle \mathcal{F}, \mathcal{F} \rangle_{\tau}^{1/2}$ . Thus  $\|-\|_{\tau} : \mathbf{F}^{\Gamma}(G) \to \mathbb{R}_{+}$  is a G-invariant function. It descends to a G-invariant function  $\|-\|_{\tau} : \mathbf{C}^{\Gamma}(G) \to \mathbb{R}_{+}$  with  $\|\mathcal{F}\|_{\tau} = \|t(\mathcal{F})\|_{\tau}$ . We have the Cauchy-Schwartz inequality

$$\forall (\mathcal{F}_1, \mathcal{F}_2) \in \mathbf{Std}^{\Gamma}(G) : \qquad |\langle \mathcal{F}_1, \mathcal{F}_2 \rangle_{\tau}| \leq \|\mathcal{F}_1\|_{\tau} \|\mathcal{F}_2\|_{\tau}.$$

• We may thus also define a G-invariant angle

$$\angle_{\tau}(-,-): \mathbf{Std}^{\Gamma}(G) \to [0,\pi], \qquad \langle \mathcal{F}_1, \mathcal{F}_2 \rangle_{\tau} = \cos\left( \angle_{\tau}(\mathcal{F}_1, \mathcal{F}_2) \right) \cdot \|\mathcal{F}_1\|_{\tau} \|\mathcal{F}_2\|_{\tau}$$
 and a G-invariant function

$$d_{\tau}(-,-): \mathbf{Std}^{\Gamma}(G) \to \mathbb{R}_{+}, \qquad d_{\tau}(\mathcal{F}_{1},\mathcal{F}_{2}) = \sqrt{\left\|\mathcal{F}_{1}\right\|_{\tau}^{2} + \left\|\mathcal{F}_{2}\right\|_{\tau}^{2} - 2\left\langle\mathcal{F}_{1},\mathcal{F}_{2}\right\rangle_{\tau}}$$

inducing the distance  $d_{\tau}(\mathcal{F}_1, \mathcal{F}_2) = \|\mathcal{F}_2 - \mathcal{F}_1\|_{\tau}$  on any apartment.

• If  $\tau$  is faithful, then  $d_{\tau}(\mathcal{F}_1, \mathcal{F}_2) = 0$  if and only if  $\mathcal{F}_1 = \mathcal{F}_2$  and the map  $\mathcal{G} \mapsto (\operatorname{Fil}(\mathcal{G}), \operatorname{Fil}(\iota \mathcal{G}))$  induces a G-equivariant bijection

$$\mathbf{G}^{\Gamma}(G) \simeq \left\{ \left( \mathcal{F}_{1}, \mathcal{F}_{2} \right) \in \mathbf{Std}^{\Gamma}(G) : \left\| \mathcal{F}_{1} \right\|_{\tau} = \left\| \mathcal{F}_{2} \right\|_{\tau} \text{ and } \measuredangle_{\tau}(\mathcal{F}_{1}, \mathcal{F}_{2}) = \pi \right\}.$$

**4.2.3.** For  $(\mathcal{F}_1, \mathcal{F}_2) \in \mathbf{Std}^{\Gamma}(G)$ , we also have the following formula

$$\langle \mathcal{F}_1, \mathcal{F}_2 \rangle_{\tau} = \sum_{\gamma} \gamma \cdot \deg \operatorname{Gr}_{\mathcal{F}_1}^{\gamma}(\mathcal{F}_2, \tau) = \sum_{\gamma} \gamma \cdot \deg \operatorname{Gr}_{\mathcal{F}_2}^{\gamma}(\mathcal{F}_1, \tau)$$

where  $Gr_{\mathcal{F}}^{\gamma}(\mathcal{G},\tau)$  is the filtration induced by  $\mathcal{G}(\tau)$  on  $Gr_{\mathcal{F}}^{\gamma}(\tau) = \mathcal{F}^{\gamma}(\tau)/\mathcal{F}_{+}^{\gamma}(\tau)$ , i.e.

$$\forall \theta \in \Gamma, \qquad \operatorname{Gr}_{\mathcal{F}}^{\gamma}(\mathcal{G}, \tau)^{\theta} = \mathcal{F}^{\gamma}(\tau) \cap \mathcal{G}^{\theta}(\tau) + \mathcal{F}_{+}^{\gamma}(\tau) / \mathcal{F}_{+}^{\gamma}(\tau)$$

and the degree of an  $\mathbb{R}$ -filtration  $\mathcal{F}$  on a finite free  $\mathcal{O}$ -module V is given by

$$\deg(\mathcal{F}) = \sum_{\gamma} \gamma \cdot \operatorname{rank}_{\mathcal{O}}(\operatorname{Gr}_{\mathcal{F}}^{\gamma}).$$

Choosing a splitting of  $\mathcal{F}$ , one checks easily that also

$$\deg(\mathcal{F}) = \deg(\det \mathcal{F})$$

where  $\det \mathcal{F}$  is the  $\mathbb{R}$ -filtration on  $\det V = \Lambda_{\mathcal{O}}^r V$ ,  $r = \operatorname{rank}_{\mathcal{O}} V$  defined by

$$(\det \mathcal{F})^{\gamma} = \text{span of } \{v_1 \wedge \cdots \wedge v_r : v_i \in \mathcal{F}^{\gamma_i}, \sum \gamma_i = \gamma\}.$$

**4.2.4.** If  $\Gamma$  is a  $\mathbb{Q}$ -vector space, the decomposition

$$\mathbb{F}^{\Gamma}(G) = \mathbb{F}^{\Gamma}(G^{\mathrm{der}}) \times \mathbb{G}^{\Gamma}(Z(G))$$

of section 2.2.11 induces an analogous decomposition

$$\mathbf{F}^{\Gamma}(G) = \mathbf{F}^{\Gamma}(G^{\mathrm{der}}) \times \mathbf{G}^{\Gamma}(Z(G))$$

which is orthogonal in the following sense: for  $\mathcal{F}_1, \mathcal{F}_2 \in \mathbf{Std}^{\Gamma}(G)$  and  $\mathcal{F} \in \mathbf{F}^{\Gamma}(G)$ ,

$$\langle \mathcal{F}_1, \mathcal{F}_2 \rangle_{\tau} = \langle \mathcal{F}_1^r, \mathcal{F}_2^r \rangle_{\tau} + \langle \mathcal{F}_1^c, \mathcal{F}_2^c \rangle_{\tau}$$

$$d_{\tau}(\mathcal{F}_1, \mathcal{F}_2)^2 = d_{\tau}(\mathcal{F}_1^r, \mathcal{F}_2^r)^2 + d_{\tau}(\mathcal{F}_1^c, \mathcal{F}_2^c)^2$$

$$\|\mathcal{F}\|_{\tau}^2 = \|\mathcal{F}^r\|_{\tau}^2 + \|\mathcal{F}^c\|_{\tau}^2$$

where  $\mathcal{F}^r \in \mathbf{F}^{\Gamma}(G^{\mathrm{der}}) \subset \mathbf{F}^{\Gamma}(G)$  and  $\mathcal{F}^c \in \mathbf{G}^{\Gamma}(Z(G)) \subset \mathbf{F}^{\Gamma}(G)$  are the components of  $\mathcal{F}$ . We prove the first formula. Pick  $S \in \mathbf{S}(G)$  with  $\mathcal{F}_1, \mathcal{F}_2 \in \mathbf{F}^{\Gamma}(S)$ . Then also  $\mathcal{F}_i^r, \mathcal{F}_i^c \in \mathbf{F}^{\Gamma}(S)$  with  $\mathcal{F}_i = \mathcal{F}_i^r + \mathcal{F}_i^c$  in the apartment  $\mathbf{F}^{\Gamma}(S)$ , thus

$$\langle \mathcal{F}_1, \mathcal{F}_2 \rangle_{\tau} = \langle \mathcal{F}_1^r, \mathcal{F}_2^r \rangle_{\tau} + \langle \mathcal{F}_1^c, \mathcal{F}_2^c \rangle_{\tau} + \langle \mathcal{F}_1^r, \mathcal{F}_2^c \rangle_{\tau} + \langle \mathcal{F}_1^c, \mathcal{F}_2^r \rangle_{\tau}$$

since  $\langle -, - \rangle_{\tau}$  is a bilinear form on  $\mathbf{F}^{\Gamma}(S)$ . It is therefore sufficient to show that

$$\langle \mathcal{F}, \mathcal{G} \rangle_{\tau} = \langle \mathcal{G}, \mathcal{F} \rangle_{\tau} = \sum_{\gamma} \gamma \cdot \deg \operatorname{Gr}_{\mathcal{G}}^{\gamma}(\mathcal{F}, \tau) = 0$$

for any  $\mathcal{F} \in \mathbf{F}^{\Gamma}(G^{\mathrm{der}})$  and  $\mathcal{G} \in \mathbf{G}^{\Gamma}(Z(G))$ . Since  $\mathcal{G} : \mathbb{D}_{\mathcal{O}}(\Gamma) \to Z(G)$  is central in  $G, \tau = \oplus \tau_{\gamma}$  with  $V(\tau_{\gamma}) = \mathcal{G}_{\gamma}(\tau)$  and  $\mathrm{Gr}_{\mathcal{G}}^{\gamma}(\mathcal{F}, \tau) \simeq \mathcal{F}(\tau_{\gamma})$  on  $\mathrm{Gr}_{\mathcal{G}}^{\gamma}(\tau) \simeq \tau_{\gamma}$ , thus

$$\deg \operatorname{Gr}_{\mathcal{G}}^{\gamma}(\mathcal{F},\tau) = \deg \mathcal{F}(\tau_{\gamma}) = \deg (\det \mathcal{F}(\tau_{\gamma})) = \deg (\mathcal{F}(\det \tau_{\gamma})) = 0$$

because the restriction of  $\det \tau_{\gamma}$  to  $G^{\operatorname{der}}$  is trivial.

**4.2.5.** For  $x, y \in \mathbf{O}(G)$ , there is a single G-orbit of (P,Q)'s in  $t^{-1}(x) \times t^{-1}(y)$  such that P and Q are in osculatory (resp. transverse) position [14, XXVI 5.3-5], and this orbit is contained in  $\mathbf{Std}(G)$ . Thus for any  $x, y \in \mathbf{C}^{\Gamma}(G)$ , there is a single G-orbit of  $(\mathcal{F}_1, \mathcal{F}_2)$ 's in  $t^{-1}(x) \times t^{-1}(y)$  with the property that  $P_{\mathcal{F}_1}$  and  $P_{\mathcal{F}_2}$  are in osculatory (resp. transverse) position, and it is contained in  $\mathbf{Std}^{\Gamma}(G)$ . We set  $\mathcal{L}_{\tau}^{os}(x, y) = \mathcal{L}_{\tau}(\mathcal{F}_1, \mathcal{F}_2)$  and  $\langle x, y \rangle_{\tau}^{os} = \langle \mathcal{F}_1, \mathcal{F}_2 \rangle_{\tau}$  (resp.  $\mathcal{L}_{\tau}^{tr}(x, y) = \mathcal{L}_{\tau}(\mathcal{F}_1, \mathcal{F}_2)$  and  $\langle x, y \rangle_{\tau}^{tr} = \langle \mathcal{F}_1, \mathcal{F}_2 \rangle_{\tau}$ ), thus obtaining two other pairs of symmetric functions

$$\mathcal{L}_{\tau}^{os}(-,-): \mathbf{C}^{\Gamma}(G) \times \mathbf{C}^{\Gamma}(G) \to [0,\pi] \quad \text{and} \quad \langle -,-\rangle_{\tau}^{os}: \mathbf{C}^{\Gamma}(G) \times \mathbf{C}^{\Gamma}(G) \to \mathbb{R},$$
  
 $\mathcal{L}_{\tau}^{tr}(-,-): \mathbf{C}^{\Gamma}(G) \times \mathbf{C}^{\Gamma}(G) \to [0,\pi] \quad \text{and} \quad \langle -,-\rangle_{\tau}^{tr}: \mathbf{C}^{\Gamma}(G) \times \mathbf{C}^{\Gamma}(G) \to \mathbb{R}.$ 

They are of course related by the formulas

$$\begin{array}{lcl} \langle x,y\rangle_{\tau}^{os} &=& \cos\left(\measuredangle_{\tau}^{os}(x,y)\right) \cdot \|x\|_{\tau} \, \|y\|_{\tau} \, , \\ \langle x,y\rangle_{\tau}^{tr} &=& \cos\left(\measuredangle_{\tau}^{tr}(x,y)\right) \cdot \|x\|_{\tau} \, \|y\|_{\tau} \, . \end{array}$$

We also define yet another symmetric function

$$d_{\tau}: \mathbf{C}^{\Gamma}(G) \times \mathbf{C}^{\Gamma}(G) \to \mathbb{R}_{+} \quad d_{\tau}(x,y) = \sqrt{\|x\|_{\tau}^{2} + \|y\|_{\tau}^{2} - 2\langle x, y \rangle_{\tau}^{os}}.$$

By construction, for  $(\mathcal{F}_1, \mathcal{F}_2)$  in  $\mathbf{Gen}^{\Gamma}(G) = \mathbb{GEN}^{\Gamma}(G)(\mathcal{O})$ ,

$$\angle_{\tau}(\mathcal{F}_1, \mathcal{F}_2) = \angle_{\tau}^{tr}(t(\mathcal{F}_1), t(\mathcal{F}_2))$$
 and  $\langle \mathcal{F}_1, \mathcal{F}_2 \rangle_{\tau} = \langle \mathcal{F}_1, \mathcal{F}_2 \rangle_{\tau}^{tr}$ .

- **4.2.6.** The above constructions are merely special cases of those of section 3.12. In particular, our functions are induced by morphisms of schemes over  $\mathcal{O}$ , and some of them (the "bilinear forms") still make sense for an arbitrary  $\tau$  in the Grothendieck ring  $K_0(G)$  of  $\operatorname{Rep}^{\circ}(G)(\mathcal{O})$ , or even for a global section of the sheafified version  $\underline{K}_0(G)$  of that ring. However, the positivity of these forms requires some sort of effectiveness/faithfulness of the initial  $\tau$ , which we have not tried to axiomatize. From now on until 4.2.11, we fix a faithful  $\tau$  in  $\operatorname{Rep}^{\circ}(G)(\mathcal{O})$ .
- **4.2.7.** Fix  $(S, B) \in \mathbf{S}(G) \times \mathbf{B}(G)$  with  $Z_G(S) \subset B$ , let  $B' = \iota_S B$  be the minimal parabolic subgroup of G containing  $Z_G(S)$  opposed to B, and denote by

$$s, s' : \mathbf{C}^{\Gamma}(G) \hookrightarrow \mathbf{F}^{\Gamma}(G)$$

the corresponding sections of  $\mathbf{F}^{\Gamma}(G) \twoheadrightarrow \mathbf{C}^{\Gamma}(G)$ . Then

$$B \subset P_{s(x)} \cap P_{s(y)}$$
 and  $B' \subset P_{s'(x)} \cap P_{s'(x)}$ ,

thus  $P_{s(x)}$  and  $P_{s(y)}$  are in osculatory position while  $P_{s(x)}$  and  $P_{s'(y)}$  are in transverse position. It follows that for every  $x, y \in \mathbf{C}^{\Gamma}(G)$ ,

$$\mathcal{L}_{\tau}^{os}(x,y) = \mathcal{L}_{\tau}(s(x),s(y)) \quad \text{and} \quad \langle x,y \rangle_{\tau}^{os} = \langle s(x),s(y) \rangle_{\tau},$$

$$\mathcal{L}_{\tau}^{tr}(x,y) = \mathcal{L}_{\tau}(s(x),s'(y)) \quad \text{and} \quad \langle x,y \rangle_{\tau}^{tr} = \langle s(x),s'(y) \rangle_{\tau}.$$

In particular, the "scalar products" are compatible with the monoid structure:

$$\begin{array}{lclcrcl} \langle x_1+x_2,y\rangle_{\overline{t}}^{os} &=& \langle x_1,y\rangle_{\overline{t}}^{os}+\langle x_2,y\rangle_{\overline{t}}^{os} & \text{and} & \langle x,y_1+y_2\rangle_{\overline{t}}^{os} &=& \langle x,y_1\rangle_{\overline{t}}^{os}+\langle x,y_2\rangle_{\overline{t}}^{os},\\ \langle x_1+x_2,y\rangle_{\tau}^{tr} &=& \langle x_1,y\rangle_{\tau}^{tr}+\langle x_2,y\rangle_{\tau}^{tr} & \text{and} & \langle x,y_1+y_2\rangle_{\tau}^{tr} &=& \langle x,y_1\rangle_{\tau}^{os}+\langle x,y_2\rangle_{\tau}^{os}. \end{array}$$

Moreover,  $d_{\tau}$  is a distance on  $\mathbf{C}^{\Gamma}(G)$ .

**4.2.8.** The following lemma is related to the angle rigidity axiom of [22, 4.1.2].

Lemma 68. For any  $x, y \in \mathbf{C}^{\Gamma}(G)$ , the set

$$D_{\tau}(x,y) = \left\{ \angle_{\tau}(\mathcal{F}_1, \mathcal{F}_2) : (\mathcal{F}_1, \mathcal{F}_2) \in \mathbf{Std}^{\Gamma}(G) \cap t^{-1}(x) \times t^{-1}(y) \right\}$$

is finite with

$$\min D_{\tau}(x,y) = \measuredangle_{\tau}^{os}(x,y)$$
 and  $\max D_{\tau}(x,y) = \measuredangle_{\tau}^{tr}(x,y)$ .

PROOF. Fix (S, B) and  $s, s' : \mathbf{C}^{\Gamma}(G) \hookrightarrow \mathbf{F}^{\Gamma}(G)$  as above. Then any pair

$$(\mathcal{F}_1, \mathcal{F}_2) \in \mathbf{Std}^{\Gamma}(G) \cap t^{-1}(x) \times t^{-1}(y)$$

is G-conjugated to some pair in  $W_G(S) \cdot s(x) \times W_G(S) \cdot s(y) \subset \mathbf{F}^{\Gamma}(S)^2$ , thus

$$D_{\tau}(x,y) = \left\{ \angle_{\tau}(w_1 \cdot s(x), w_2 \cdot s(y)) : (w_1, w_2) \in \mathsf{W}_G(S)^2 \right\}$$
  
=  $\left\{ \angle_{\tau}(s(x), w \cdot s(y)) : w \in \mathsf{W}_G(S) \right\}$ 

is finite. To establish our final claim, we have to show that

$$\langle s(x), s(y) \rangle_{\tau} \geq \langle s(x), w \cdot s(y) \rangle_{\tau} \geq \langle s(x), s'(y) \rangle_{\tau}$$

for every  $w \in W_G(S)$ , which follows from [7, Proposition 18].

COROLLARY 69. The type map  $t: \mathbf{F}^{\Gamma}(G) \to \mathbf{C}^{\Gamma}(G)$  is compatible with the  $d_{\tau}$ 's:

$$\forall (\mathcal{F}_1, \mathcal{F}_2) \in \mathbf{Std}^{\Gamma}(G): \qquad d_{\tau}(\mathcal{F}_1, \mathcal{F}_2) \leq d_{\tau}\left(t(\mathcal{F}_1), t(\mathcal{F}_2)\right).$$

#### **4.2.9.** Let us use the above notions to show that

PROPOSITION 70. For a facet F, a chamber C and apartments  $A_1, A_2$  in  $\mathbf{F}^{\Gamma}(G)$  with  $F \cup C \subset A_1 \cap A_2$ , there exists  $g \in G$  with  $gA_1 = A_2$  and  $g \equiv 1$  on  $\overline{F} \cup \overline{C}$ .

PROOF. In group theoretical terms, this means that for  $P \in \mathbf{P}(G)$ ,  $B \in \mathbf{B}(G)$  and  $S_1, S_2 \in \mathbf{S}(G)$  with  $Z_G(S_i) \subset B \cap P$ , there is a  $g \in \mathbf{G}$  such that  $\mathrm{Int}(g)(S_1) = S_2$  and  $g \in \mathsf{B} \cap \mathsf{P}$  with  $\mathsf{B} = B(\mathcal{O})$ ,  $\mathsf{P} = P(\mathcal{O})$ . This does not depend upon  $\Gamma$ , and we may thus assume that  $\Gamma = \mathbb{R}$ . Since  $(S_1, B)$  and  $(S_2, B) \in \mathbf{SP}(G)$  have the same image in  $\mathbf{O}(G)$ , there exists an element  $g \in \mathbf{G}$  with  $g(S_1, B) = (S_2, B)$ , i.e.  $\mathrm{Int}(g)(S_1) = S_2$  and  $g \in \mathsf{B}$ . We will show that also  $g \in \mathsf{P}$ , i.e.  $g\mathcal{F} = \mathcal{F}$  for any  $\mathcal{F} \in F^{-1}(P) \subset \mathbf{F}^{\mathbb{R}}(G)$ . Note that  $\mathcal{F}$ ,  $g\mathcal{F}$  and the chamber  $C = F^{-1}(B)$  are all contained in the apartment  $\mathbf{F}^{\mathbb{R}}(S_2)$ . Fix a faithful  $\tau \in \mathrm{Rep}^{\circ}(G)(\mathcal{O})$ . Then

$$\left\langle \mathcal{F}, \mathcal{F}' \right\rangle_{\tau} = \left\langle g \mathcal{F}, g \mathcal{F}' \right\rangle_{\tau} = \left\langle g \mathcal{F}, \mathcal{F}' \right\rangle_{\tau}$$

for all  $\mathcal{F}' \in F^{-1}(B)$ , thus  $\mathcal{F} = g\mathcal{F}$  because  $F^{-1}(B)$  is a non-empty open subset of the Euclidean space  $(\mathbf{F}^{\mathbb{R}}(S_2), \langle -, - \rangle_{\tau})$  by 4.1.10.

**4.2.10.** Suppose for this and the next subsection that our local ring  $\mathcal{O} = k$  is a field. Then every pair  $(\mathcal{F}_1, \mathcal{F}_2) \in \mathbf{F}^{\Gamma}(G)$  is contained in some apartment since

THEOREM 71. [14, XXVI 4.1.1] 
$$\mathbf{Std}(G) = \mathbf{P}(G)^2$$
 and  $\mathbf{Std}^{\Gamma}(G) = \mathbf{F}^{\Gamma}(G)^2$ .

COROLLARY 72. For any apartments  $A_1, A_2$  in  $\mathbf{F}^{\Gamma}(G)$  and facets  $F_1, F_2$  in  $A_1 \cap A_2$ , there exists  $g \in \mathsf{G}$  mapping  $A_1$  to  $A_2$  with  $g \equiv 1$  on  $\overline{F}_1 \cup \overline{F}_2$ .

PROOF. Fix closed chambers  $F_1\subset \overline{C}_1\subset A_1$  and  $F_2\subset \overline{C}_2\subset A_2$  and choose an apartment  $A_3$  containing  $C_1$  and  $C_2$ . The previous proposition shows that there exists elements  $g_1,g_2\in \mathsf{G}$  such that  $g_1A_1=A_3=g_2A_2,\ g_1\equiv 1$  on  $\overline{C}_1\cup \overline{F}_2$  and  $g_2\equiv 1$  on  $\overline{C}_2\cup \overline{F}_1$ . Then  $g=g_2^{-1}g_1$  maps  $A_1$  to  $A_2$  and  $g\equiv 1$  on  $\overline{F}_1\cup \overline{F}_2$ .

COROLLARY 73. For a monomorphism  $f: G_1 \to G_2$  of reductive groups over k, the induced map  $f: \mathbf{F}^{\Gamma}(G_1) \to \mathbf{F}^{\Gamma}(G_2)$  is injective.

PROOF. Fix a faithful  $\tau \in \mathsf{Rep}^{\circ}(G_2)(k)$ . Then  $f^*\tau = \tau \circ f \in \mathsf{Rep}^{\circ}(G_1)(k)$  is also faithful and for every  $\mathcal{F}, \mathcal{F}' \in \mathbf{F}^{\Gamma}(G_1)$ ,

$$\langle f(\mathcal{F}), f(\mathcal{F}') \rangle_{\tau} = \langle \mathcal{F}, \mathcal{F}' \rangle_{f^*\tau} \quad \text{and} \quad d_{\tau}(f(\mathcal{F}), f(\mathcal{F}')) = d_{f^*\tau}(\mathcal{F}, \mathcal{F}').$$

Therefore 
$$f(\mathcal{F}) = f(\mathcal{F}')$$
 implies  $\mathcal{F} = \mathcal{F}'$ .

COROLLARY 74. Let P be a parabolic subgroup of G with unipotent radical U and Levi subgroup L. Then  $\mathbf{F}^{\Gamma}(L)$  is a fundamental domain for the action of U(k) on  $\mathbf{F}^{\Gamma}(G)$ . Let  $r = r_{P,L} : \mathbf{F}^{\Gamma}(G) \twoheadrightarrow \mathbf{F}^{\Gamma}(L)$  be the corresponding retraction. Then

$$\forall x, y \in \mathbf{F}^{\Gamma}(G) : d_{\tau}(rx, ry) \leq d_{\tau}(x, y).$$

COROLLARY 75. The function  $d_{\tau}: \mathbf{F}^{\Gamma}(G) \times \mathbf{F}^{\Gamma}(G) \to \mathbb{R}_{+}$  is a distance:

$$\forall x, y, z \in \mathbf{F}^{\Gamma}(G): \qquad d_{\tau}(x, y) \leq d_{\tau}(x, z) + d_{\tau}(z, y).$$

PROOF. Fix  $S_0 \in \mathbf{S}(L)$ . The  $\mathsf{P} = P(k)$  and  $\mathsf{L} = L(k)$  orbits of  $S_0$  in  $\mathbf{S}(G)$  are respectively equal to  $\mathbf{S}(G,P) = \{S \in \mathbf{S}(G) : Z_G(S) \subset P\}$  and  $\mathbf{S}(L)$ . Since any  $\mathcal{F} \in \mathbf{F}^{\Gamma}(G)$  belongs to  $\mathbf{F}^{\Gamma}(S)$  for some  $S \in \mathbf{S}(G,P)$ , we find that with  $\mathsf{U} = U(k)$ ,

$$\mathbf{F}^{\Gamma}(G) = \cup_{S \in \mathbf{S}(G,P)} \mathbf{F}^{\Gamma}(S) = \mathsf{P} \cdot \mathbf{F}^{\Gamma}(S_0) = \mathsf{U} \cdot \cup_{S \in \mathbf{S}(L)} \mathbf{F}^{\Gamma}(S) = \mathsf{U} \cdot \mathbf{F}^{\Gamma}(L).$$

Suppose that  $\mathcal{F}, u\mathcal{F} \in \mathbf{F}^{\Gamma}(L)$  for some  $u \in \mathsf{U}$ , and choose an  $S \in \mathbf{S}(L)$  with  $\mathcal{F}, u\mathcal{F} \in \mathbf{F}^{\Gamma}(S)$ . Since  $Z_G(S) \subset L \subset P$ , there is a  $B \in \mathbf{B}(G)$  with  $Z_G(S) \subset B \subset P$ . Let  $C = F^{-1}(B)$  be the corresponding (G-)chamber in  $A = \mathbf{F}^{\Gamma}(S)$ . Since  $U \subset B$ , uC = C and  $\mathcal{F}, C \in A \cap u^{-1}A$ . Choose  $g \in \mathsf{G}$  with  $gu^{-1}A = A$ ,  $g\mathcal{F} = \mathcal{F}$  and gC = C. Then g belongs to  $\mathsf{B} = B(k)$ , thus  $gu^{-1}$  belongs to  $\mathsf{B} \cap \mathsf{N}_G(S) = \mathsf{Z}_G(S)$  which acts trivially on A. Therefore  $u\mathcal{F} = gu^{-1}u\mathcal{F} = g\mathcal{F} = \mathcal{F}$  and  $\mathbf{F}^{\Gamma}(L)$  is a fundamental domain for the action of  $\mathsf{U}$  on  $\mathbf{F}^{\Gamma}(G)$ .

For  $A \in \mathbf{A}(G)$  containing  $F^{-1}(P)$ , there is a unique  $A_L \in \mathbf{A}(L) \cap \mathbf{U} \cdot \{A\}$  such that r(x) = ux for any  $x \in A$  and  $u \in \mathbf{U}$  such that  $uA = A_L$ . Indeed, there is a p = lu in  $P = \mathsf{LU}$  such that pA is an apartment of  $\mathbf{F}^{\Gamma}(L)$ , then  $uA = l^{-1}pA \subset \mathbf{F}^{\Gamma}(L)$  and r(x) = ux for every  $x \in A$ . Thus for  $x, y \in A$ ,  $d_{\tau}(rx, ry) = d_{\tau}(x, y)$ .

For the remaining claims, we may assume that  $\Gamma = \mathbb{R}$  and use induction on the semi-simple rank s of G. If s=0 everything is obvious. If s>0 but G=L, then r is the identity thus  $d_{\tau}(rx,ry)=d_{\tau}(x,y)$  for every  $x,y\in \mathbf{F}^{\mathbb{R}}(G)$ . If  $G\neq L$ , choose an apartment A in  $\mathbf{F}^{\mathbb{R}}(G)$  containing x and y, let [x,y] be the corresponding segment of A, and write  $[x,y]=\cup_{i=0}^{n-1}[x_i,x_{i+1}]$  for consecutive points  $x_i\in [x,y]$  with  $x_0=x$ ,  $x_n=y$  and  $]x_i,x_{i+1}[$  contained in a facet  $F_i\subset A$ . Then there is an apartment containing  $F^{-1}(P)$  and  $\{x_i,x_{i+1}\}\subset \overline{F}_i$ , thus  $d_{\tau}(rx_i,rx_{i+1})=d_{\tau}(x_i,x_{i+1})$  for every  $i\in \{0,\cdots,n-1\}$ . Since  $d_{\tau}$  is a distance on  $\mathbf{F}^{\mathbb{R}}(L)$  by our induction hypothesis,

$$d_{\tau}(rx, ry) \le \sum_{i=0}^{n-1} d_{\tau}(rx_i, rx_{i+1}) = \sum_{i=0}^{n-1} d_{\tau}(x_i, x_{i+1}) = d_{\tau}(x, y).$$

Finally for  $x, y, z \in \mathbf{F}^{\mathbb{R}}(G)$ , choose an apartment  $\mathbf{F}^{\mathbb{R}}(S)$  containing x, y and a chamber  $F^{-1}(B)$ , let  $r = r_{B,Z_G(S)}$  be the corresponding retraction. Then

$$d_{\tau}(x,y) = d_{\tau}(rx,ry) \le d_{\tau}(rx,rz) + d_{\tau}(rz,ry) \le d_{\tau}(x,z) + d_{\tau}(z,y).$$

This finishes the proof of corollaries 74 and 75.

COROLLARY 76. If  $\Gamma = \mathbb{R}$ , then  $(\mathbf{F}^{\mathbb{R}}(G), d_{\tau})$  is a complete CAT(0)-space.

PROOF. Plainly,  $(\mathbf{C}^{\mathbb{R}}(G), d_{\tau})$  is a complete metric space. Let  $(x_n)$  be a Cauchy sequence in  $(\mathbf{F}^{\mathbb{R}}(G), d_{\tau})$ . Then  $t(x_n)$  is a Cauchy sequence in  $(\mathbf{C}^{\mathbb{R}}(G), d_{\tau})$  by corollary 69, it thus converges to some  $y \in \mathbf{C}^{\mathbb{R}}(G)$ . Now choose for each n a minimal pair  $(S_n, B_n) \in \mathbf{SP}(G)$  corresponding to a section  $s_n : \mathbf{C}^{\mathbb{R}}(G) \to \mathbf{F}^{\mathbb{R}}(G)$  passing through  $x_n$ , and let  $y_n = s_n(y)$ . Then  $d_{\tau}(x_n, y_n) = d_{\tau}(t(x_n), y)$  converges to 0 and  $y_n$  is also a Cauchy sequence in  $\mathbf{F}^{\mathbb{R}}(G)$ . But  $d_{\tau}(y_n, y_m)$  takes finitely many values by lemma 68, therefore  $y_n$  is stationary and  $x_n$  converges to its limit. Thus  $(\mathbf{F}^{\mathbb{R}}(G), d_{\tau})$  is complete, and a geodesic space by theorem 71. Finally, for any triple x, y, z in  $\mathbf{F}^{\mathbb{R}}(G)$ , choose a minimal pair  $(S, B) \in \mathbf{SP}(G)$  such that  $x, y \in \mathbf{F}^{\mathbb{R}}(S)$  and the middle point m of the segment [x, y] of  $\mathbf{F}^{\mathbb{R}}(S)$  belongs to  $F^{-1}(B)$ . Let  $r = r_{B, Z_G(S)} : \mathbf{F}^{\mathbb{R}}(G) \to \mathbf{F}^{\mathbb{R}}(S)$  be the corresponding retraction and pick u in  $\mathsf{U} = U(k)$  with uz = r(z), where U is the unipotent radical of B. Then

$$d_{\tau}(z,m)^{2} = d_{\tau}(uz,um)^{2} = d_{\tau}(r(z),m)^{2} = \frac{1}{2}d_{\tau}(r(z),x)^{2} + \frac{1}{2}d_{\tau}(r(z),y)^{2} - \frac{1}{4}d_{\tau}(x,y)^{2}$$

$$\leq \frac{1}{2}d_{\tau}(z,x)^{2} + \frac{1}{2}d_{\tau}(z,y)^{2} - \frac{1}{4}d_{\tau}(x,y)^{2}$$

thus  $\mathbf{F}^{\mathbb{R}}(G)$  is a CAT (0)-space by [8, II.1.9].

COROLLARY 77. For any  $\mathcal{F} \in \mathbf{F}^{\mathbb{R}}(G)$ , the function  $\mathcal{G} \mapsto \langle \mathcal{F}, \mathcal{G} \rangle_{\tau}$  from  $\mathbf{F}^{\mathbb{R}}(G)$  to  $\mathbb{R}$  is homogeneous, concave and  $\|\mathcal{F}\|_{\tau}$ -Lipschitzian.

PROOF. Homogeneity means that  $\langle \mathcal{F}, t\mathcal{G} \rangle_{\tau} = t \langle \mathcal{F}, \mathcal{G} \rangle_{\tau}$  for all  $t \in \mathbb{R}_+$ , which is obvious from the definitions. Concavity means that for any  $\mathcal{G}_0, \mathcal{G}_1 \in \mathbf{F}^{\mathbb{R}}(G)$  and

 $t \in [0, 1]$ , if  $\mathcal{G}_t$  is the unique point at distance  $td_{\tau}(\mathcal{G}_0, \mathcal{G}_1)$  from  $\mathcal{G}_0$  on the geodesic segment  $[\mathcal{G}_0, \mathcal{G}_1]$  of the uniquely geodesic space  $(\mathbf{F}^{\mathbb{R}}(G), d_{\tau})$  [8, II.1.4], then

$$\langle \mathcal{F}, \mathcal{G}_t \rangle_{\tau} \ge t \langle \mathcal{F}, \mathcal{G}_1 \rangle_{\tau} + (1 - t) \langle \mathcal{F}, \mathcal{G}_0 \rangle_{\tau}.$$

Let  $(0, f, g_0, g_1)$  be a comparison tetrahedron for  $(0, \mathcal{F}, \mathcal{G}_0, \mathcal{G}_1)$  in the Euclidean space  $\mathbb{R}^3$ , by which we mean that the lengths of the edges containing 0 and the angles between them are the same for both tetrahedron. Then the lengths of the other three edges are also the same for both tetrahedron, since every triangle  $(0, \mathcal{X}, \mathcal{Y})$  in  $\mathbf{F}^{\mathbb{R}}(G)$  is flat by theorem 71. In particular,  $(f, g_0, g_1)$  is a comparison triangle for  $(\mathcal{F}, \mathcal{G}_0, \mathcal{G}_1)$ , thus  $d_{\tau}(\mathcal{F}, \mathcal{G}_t) \leq d(f, g_t)$  where  $g_t = tg_1 + (1 - t)g_0$  in  $\mathbb{R}^3$  by the previous corollary. Since  $\|\mathcal{G}_t\| = \|g_t\|$  (because  $(0, \mathcal{G}_0, \mathcal{G}_1)$ ) is flat), it follows that

$$\langle \mathcal{F}, \mathcal{G}_t \rangle_{\tau} \ge \langle f, g_t \rangle = t \langle f, g_1 \rangle + (1 - t) \langle f, g_0 \rangle = t \langle \mathcal{F}, \mathcal{G}_1 \rangle_{\tau} + (1 - t) \langle \mathcal{F}, \mathcal{G}_0 \rangle_{\tau}.$$

Similarly, we find that

$$|\langle \mathcal{F}, \mathcal{G}_1 \rangle_{\tau} - \langle \mathcal{F}, \mathcal{G}_0 \rangle_{\tau}| = |\langle f, g_1 \rangle - \langle f, g_0 \rangle| \le ||f|| \, ||g_1 - g_0|| = ||\mathcal{F}||_{\tau} \cdot d_{\tau}(\mathcal{G}_0, \mathcal{G}_1)$$

thus  $\mathcal{G} \mapsto \langle \mathcal{F}, \mathcal{G} \rangle_{\tau}$  is indeed  $\|\mathcal{F}\|_{\tau}$ -Lipschitzian.

COROLLARY 78. For any  $\mathcal{F}, \mathcal{G}, \mathcal{H} \in \mathbf{F}^{\mathbb{R}}(G)$ ,

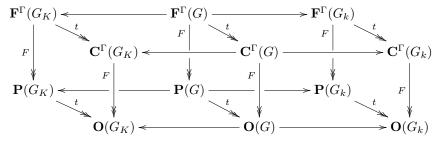
$$\langle \mathcal{F}, \mathcal{G} + \mathcal{H} \rangle_{\tau} \ge \langle \mathcal{F}, \mathcal{G} \rangle_{\tau} + \langle \mathcal{F}, \mathcal{H} \rangle_{\tau}$$
.

PROOF. Apply the previous lemma to the middle point  $\mathcal{G} + \mathcal{H}$  of  $[2\mathcal{G}, 2\mathcal{H}]$ .  $\square$ 

REMARK 79. We could pursue here with many further corollaries, but our knowledgeable readers will recognize that already with corollary 72, we have established that  $\mathbf{F}^{\mathbb{R}}(G)$ , together with its collections of apartments and facets (and the function  $d_{\tau}$  for some choice of a faithful  $\tau$ ), is a (discrete) Euclidean building in the sense of [31, 6.1]. It is the vectorial (Tits) building defined in [31, 10.6]. But the construction given there singles out a pair  $Z_G(S) \subset B$  and uses more of the finest results from [5]:  $\mathbf{F}^{\mathbb{R}}(G)$  is the building associated to the saturated Tits system  $(G, B, N) = (G, B, N_G(S))(k)$ . By contrast, we may retrieve some of the results of [5] using the strongly transitive and strongly type-preserving action of G on our globally constructed building  $\mathbf{F}^{\mathbb{R}}(G)$ , for instance the fact that (G, B, N) is indeed a saturated Tits system [31, 8.6]. The main advantage of our construction is however that it is plainly functorial in G and k.

**4.2.11.** If  $\tau'$  is another faithful representation of G, the distances  $d_{\tau'}$  and  $d_{\tau}$  are equivalent. One checks it first on a fixed apartment A, thus obtaining constants c, C > 0 such that  $cd_{\tau}(x,y) \leq d_{\tau'}(x,y) \leq Cd_{\tau}(x,y)$  for  $x,y \in A$ . Then this holds true for every  $x,y \in \mathbf{F}^{\Gamma}(G)$ , since any such pair is G-conjugated to one in A. We thus obtain a canonical metrizable G-invariant topology on  $\mathbf{F}^{\Gamma}(G)$ . The G-invariant functions of section 4.2.2 are continuous with respect to the canonical topology. The apartments and the "closed facets" of section 4.1.11 are topologically closed, being complete for the induced metrics. The canonical topology on  $\mathbf{C}^{\Gamma}(G)$  is the quotient topology of the canonical topology on  $\mathbf{G}^{\Gamma}(G)$ , it is compatible with the monoid structure on  $\mathbf{C}^{\Gamma}(G)$ , the sections defined by the "closed chambers" are homeomorphisms and the functions defined in section 4.2.5 are continuous.

**4.2.12.** Suppose now that our local ring  $\mathcal{O}$  is an integral domain with fraction field K and residue field k, giving rise to morphisms of cartesian squares



We write  $x \mapsto x_K$  for the generization maps,  $x \mapsto x_k$  for the specialization maps.

Proposition 80. For any faithful  $\tau \in \operatorname{Rep}^{\circ}(G)(\mathcal{O})$  and  $x, y \in \mathbf{F}^{\Gamma}(G)$ ,

$$\begin{array}{cccc} \langle x_k, y_k \rangle_{\tau_k} & \geq & \langle x_K, y_K \rangle_{\tau_K} \\ \angle_{\tau_k} \left( x_k, y_k \right) & \leq & \angle_{\tau_K} \left( x_K, y_K \right) \\ d_{\tau_k} \left( x_k, y_k \right) & \leq & d_{\tau_K} (x_K, y_K) \\ \left\| x_k \right\|_{\tau_k} & = & \left\| x_K \right\|_{\tau_K} \end{array}$$

PROOF. We may assume that  $\Gamma = \mathbb{R}$ . For  $(x, y) \in \mathbf{Std}^{\mathbb{R}}(G)$ , one checks easily that all of the above inequalities are in fact equalities. In particular,

$$||x_k||_{\tau_k} = ||x_K||_{\tau_K}$$

for all  $x \in \mathbf{F}^{\Gamma}(G)$ . For an arbitrary pair (x,y) in  $\mathbf{F}^{\mathbb{R}}(G)$ , the facet decomposition of  $\mathbf{F}^{\mathbb{R}}(G)$  induces a decomposition of the segment  $[x,y] = \bigcup_{i=0}^{n-1} [x_i,x_{i+1}]$  as in the proof of corollary 74, with  $(x_i,x_{i+1}) \in \mathbf{Std}^{\mathbb{R}}(G)$  for every i. Thus

$$d_{\tau_K}(x_K, y_K) = \sum_{i=0}^{n-1} d_{\tau_K}(x_{i,K}, x_{i+1,K}) = \sum_{i=0}^{n-1} d_{\tau_k}(x_{i,k}, x_{i+1,k}) \ge d_{\tau_k}(x_k, y_k)$$
 and the other two inequalities easily follow.

REMARK 81. On the other hand for any  $x, y \in \mathbf{C}^{\Gamma}(G)$ ,

$$\langle x_k, y_k \rangle_{\tau_k}^{os} = \langle x_K, y_K \rangle_{\tau_K}^{os} \quad \text{and} \quad \mathcal{L}_{\tau_k}^{os}(x_k, y_k) = \mathcal{L}_{\tau_K}^{os}(x_K, y_K),$$

$$\langle x_k, y_k \rangle_{\tau_k}^{tr} = \langle x_K, y_K \rangle_{\tau_K}^{tr} \quad \text{and} \quad \mathcal{L}_{\tau_k}^{tr}(x_k, y_k) = \mathcal{L}_{\tau_K}^{tr}(x_K, y_K).$$

However, it does happen that  $D_{\tau_k}(x_k, y_k) \neq D_{\tau_K}(x_K, y_K)$ .

## CHAPTER 5

# Affine F(G)-buildings

Let G be a reductive group over a field K. From now on,  $\Gamma = (\mathbb{R}, +, \leq)$  and we drop it from our notations. We also fix a faithful finite dimensional representation  $\tau$  of G and drop it from the notations of section 4.2.2. We use sans-serif fonts to denote the set of K-valued points of a K-scheme, as in G = G(K), P = P(K) etc. . .

#### 5.1. The dominance order

We define a partial order  $\leq$  on  $\mathbf{C}(G)$  as follows. Choose a minimal pair (S, B) in  $\mathbf{SP}(G)$ , let  $s: \mathbf{C}(G) \hookrightarrow \mathbf{F}(S)$  be the corresponding section, and let  $C^*$  be the dual cone of  $C = s(\mathbf{C}(G))$  in  $\mathbf{F}(S)$ , defined by

$$C^* = \{ t \in \mathbf{F}(S) : \forall c \in C, \langle t, c \rangle \ge 0 \}.$$

Then for every  $x, y \in \mathbf{C}(G)$ ,

$$x \leq y \iff s(x) \text{ belongs to the convex hull of } W_G(S) \cdot s(y),$$
 $\iff s(y) - s(x) \text{ belongs to the dual cone } C^*,$ 
 $\iff \forall z \in \mathbf{C}(G) : \langle x, z \rangle^{os} \leq \langle y, z \rangle^{os},$ 
 $\iff \forall z \in \mathbf{C}(G) : \langle x, z \rangle^{tr} \geq \langle y, z \rangle^{tr}.$ 

See [4, 12.14] for the equivalence of the first two definitions, and the formulas of section 4.2.7 for the remaining ones. This partial order thus does not depend upon the chosen pair (S,B) or the chosen scalar product (i.e. chosen  $\tau$ ). It is compatible with the monoid structure on  $\mathbf{C}(G)$ . It is related to the decomposition

$$\mathbf{C}(G) = \mathbf{C}^r(G) \times \mathbf{G}(Z)$$
 with  $\mathbf{C}^r(G) = \mathbb{C}(G)^r(K)$  and  $\mathbf{G}(Z) = \mathbb{C}(G)^c(K)$ 

of section 2.2.11 as follows: for  $x = (x^r, x^c)$  and  $y = (y^r, y^c)$  in  $\mathbf{C}^r(G) \times \mathbf{G}(Z)$ ,

$$x \le y \iff x^r \le y^r \text{ and } x^c = y^c.$$

The poset  $(\mathbf{C}(G), \leq)$  is a lattice and  $\mathbf{G}(Z) \subset \mathbf{C}(G)$  is its subset of minimal elements.

PROPOSITION 82. For every  $\mathcal{F}, \mathcal{G} \in \mathbf{F}(G)$ , we have

$$t(\mathcal{F} + \mathcal{G}) \le t(\mathcal{F}) + t(\mathcal{G})$$
 in  $(\mathbf{C}(G), \le)$ 

with equality if and only if  $\mathcal{F}, \mathcal{G} \in C$  for some closed chamber C of  $\mathbf{F}(G)$ .

PROOF. With notations as above, we may choose (S,B) such that  $\mathcal{F},\mathcal{G}\in\mathbf{F}(S)$  with  $\mathcal{F}+\mathcal{G}\in C$ ,  $C=s(\mathbf{C}(G))$ . Set  $\mathcal{F}'=s\circ t(\mathcal{F})$  and  $\mathcal{G}'=s\circ t(\mathcal{G})$ , so that

$$\mathcal{F} + \mathcal{G} = s(t(\mathcal{F} + \mathcal{G}))$$
 and  $\mathcal{F}' + \mathcal{G}' = s(t(\mathcal{F}) + t(\mathcal{G}))$ .

The (acute) dual cone  $C^*$  defines a partial order  $\leq$  on  $\mathbf{F}(S)$ , given by

$$x \le y \iff y - x \in C^*.$$

Since  $\mathcal{F}' \in (\mathsf{W}_G(S) \cdot \mathcal{F}) \cap C$  and  $\mathcal{G}' \in (\mathsf{W}_G(S) \cdot \mathcal{G}) \cap C$ , we have

$$\mathcal{F} \leq \mathcal{F}'$$
 and  $\mathcal{G} \leq \mathcal{G}'$ 

by [7, VI §1 Proposition 18]. Our proposition then follows:  $\mathcal{F} + \mathcal{G} \leq \mathcal{F}' + \mathcal{G}'$  with equality if and only if  $\mathcal{F} = \mathcal{F}'$  and  $\mathcal{G} = \mathcal{G}'$ , i.e.  $\mathcal{F}$  and  $\mathcal{G}$  belong to C.

REMARK 83. The above inequality can also be established (and somehow, refined) as follows. For every  $z \in \mathbf{C}(G)$ , there is an  $\mathcal{H} \in \mathbf{F}(G)$  with  $t(\mathcal{H}) = z$  such that  $\mathcal{H}$  and  $\mathcal{F} + \mathcal{G}$  are in (relative) transverse position, see 4.2.5. For any such  $\mathcal{H}$ , 4.2.7, lemma 68 and corollary 78 together imply that

$$\begin{split} \langle t(\mathcal{F}) + t(\mathcal{G}), z \rangle^{tr} &= \langle t(\mathcal{F}), z \rangle^{tr} + \langle t(\mathcal{G}), z \rangle^{tr} \\ &\leq \langle \mathcal{F}, \mathcal{H} \rangle + \langle \mathcal{G}, \mathcal{H} \rangle \\ &\leq \langle \mathcal{F} + \mathcal{G}, \mathcal{H} \rangle \\ &= \langle t(\mathcal{F} + \mathcal{G}), z \rangle^{tr} \,. \end{split}$$

Thus indeed  $t(\mathcal{F} + \mathcal{G}) \leq t(\mathcal{F}) + t(\mathcal{G})$  in  $(\mathbf{C}(G), \leq)$ .

## 5.2. Affine F(G)-spaces and buildings

**5.2.1.** Affine  $\mathbf{F}(G)$ -spaces interact with the vectorial Tits building  $\mathbf{F}(G)$  in the same way as affine spaces do with their underlying vector space.

DEFINITION 84. An affine  $\mathbf{F}(G)$ -space is a set  $\mathbf{X}(G)$  equipped with:

- a left action  $G \times \mathbf{X}(G) \to \mathbf{X}(G)$ , written  $(g, x) \mapsto g \cdot x$  or gx,
- a G-equivariant pull map  $\mathbf{X}(G) \times \mathbf{F}(G) \to \mathbf{X}(G)$ , written  $(x, \mathcal{F}) \mapsto x + \mathcal{F}$ ,
- a G-equivariant apartment map  $S(G) \to \mathcal{P}(X(G))$ , written  $S \mapsto X(S)$ ,

such that for (one or) every  $S \in \mathbf{S}(G)$ , the pull map sends  $\mathbf{X}(S) \times \mathbf{F}(S)$  to  $\mathbf{X}(S)$  and induces a structure of affine  $\mathbf{G}(S)$ -space (in the usual sense) on  $\mathbf{X}(S)$ .

**5.2.2.** The group  $N_G(S)$  thus acts on  $\mathbf{X}(S)$  by affine morphisms, the vectorial part of this action equals  $\nu_S^v : N_G(S) \to W_G(S) \subset \operatorname{Aut}(\mathbf{G}(S))$  and the kernel  $Z_G(S)$  of  $\nu_S^v$  acts on  $\mathbf{X}(S)$  by translations, through a  $W_G(S)$ -equivariant morphism

$$\nu_{\mathbf{X},S}: \mathsf{Z}_G(S) \to \mathbf{G}(S).$$

For any other  $S' \in \mathbf{S}(G)$ , there is commutative diagram

$$Z_{G}(S) \xrightarrow{\nu_{\mathbf{X},S}} \mathbf{G}(S) 
\simeq \downarrow \qquad \qquad \downarrow \simeq 
Z_{G}(S') \xrightarrow{\nu_{\mathbf{X},S'}} \mathbf{G}(S')$$

where the vertical maps are induced by  $\operatorname{Int}(g)$  for any  $g \in \mathsf{G}$  with  $\operatorname{Int}(g)(S) = S'$ . Set  $\mathsf{W}_G = \varprojlim \mathsf{W}_G(S)$ . The type of  $\mathbf{X}(G)$  is the  $\mathsf{W}_G$ -equivariant morphism

$$\nu_{\mathbf{X}} = \varprojlim \nu_{\mathbf{X},S} : \varprojlim \mathsf{Z}_G(S) \to \varprojlim \mathbf{G}(S)$$

which is obtained from these diagrams by taking the limits over all  $S \in \mathbf{S}(G)$ . We say that  $\mathbf{X}(G)$  is discrete when the image of  $\nu_{\mathbf{X}}$  is a discrete subgroup of the real vector space  $\varprojlim \mathbf{G}(S)$ . Equivalently:  $\mathbf{X}(G)$  is discrete when the image of  $\nu_{\mathbf{X},S}$  is a discrete subgroup of  $\mathbf{G}(S)$  for one or every  $S \in \mathbf{S}(G)$ .

**5.2.3.** Affine  $\mathbf{F}(G)$ -buildings are affine  $\mathbf{F}(G)$ -spaces satisfying a long list of axioms, which shall be gradually introduced below. The following definition picks up an (hopefuly minimal) subset of these axioms, from which all others will be derived in due time, along with various properties.

DEFINITION 85. An affine  $\mathbf{F}(G)$ -building is an affine  $\mathbf{F}(G)$ -space which satisfies the axioms L(s), R(s), R(i),  $C^{\circ}$ , NE, UN, CO and UG listed below.

- **5.2.4. Example.** The Tits building  $\mathbf{F}(G)$  itself, equipped with its left action of  $\mathsf{G}$ , the addition map of section 2.3.2 and the apartment map of section 4.1.13 is a discrete affine  $\mathbf{F}(G)$ -space with trivial type  $\nu_{\mathbf{F}} = 0$ . We will see that it satisfies all of the required axioms, thus  $\mathbf{F}(G) = (\mathbf{F}(G), +, \mathbf{F}(-))$  is an affine  $\mathbf{F}(G)$ -building.
  - **5.2.5.** Many apartments. An affine F(G)-building X(G) satisfies
- L(s) For every  $x \in \mathbf{X}(G)$  and  $\mathcal{F} \in \mathbf{F}(G)$ ,

$$\mathbf{S}(x,\mathcal{F}) = \{ S \in \mathbf{S}(G) : x \in \mathbf{X}(S) \text{ and } \mathcal{F} \in \mathbf{F}(S) \}$$

is not empty.

R(s) For every  $x, y \in \mathbf{X}(G)$ ,

$$\mathbf{S}(x,y) = \{ S \in \mathbf{S}(G) : x, y \in \mathbf{X}(S) \}$$

is not empty.

T(s) For every  $x, y \in \mathbf{X}(G)$ ,

$$\mathbf{F}(x,y) = \{ \mathcal{F} \in \mathbf{F}(G) : y = x + \mathcal{F} \}$$

is not empty.

Note that R(s) implies T(s) while L(s) is equivalent to

L'(s) For every  $x \in \mathbf{X}(G)$  and every closed chamber C of  $\mathbf{F}(G)$ ,

$$\mathbf{S}(x,C) = \{ S \in \mathbf{S}(G) : x \in \mathbf{X}(S) \text{ and } C \subset \mathbf{F}(S) \}$$

is not empty.

This in turn implies that the pull map is well-behaved:

AC (Action) For every closed chamber C of  $\mathbf{F}(G)$ , the map

$$\mathbf{X}(G) \times C \hookrightarrow \mathbf{X}(G) \times \mathbf{F}(G) \stackrel{+}{\longrightarrow} \mathbf{X}(G)$$

defines an action of the commutative monoid (C, +) on  $\mathbf{X}(G)$ .

Thus for any  $x \in \mathbf{X}(G)$  and  $\mathcal{F}, \mathcal{G} \in \mathbf{F}(G)$ , x + 0 = x and

$$(x + \mathcal{F}) + \mathcal{G} = x + (\mathcal{F} + \mathcal{G}) = (x + \mathcal{G}) + \mathcal{F}$$

if  $P_{\mathcal{F}}$  and  $P_{\mathcal{G}}$  are in osculatory position. In particular,

$$(x + \lambda \mathcal{F}) + \mu \mathcal{F} = x + (\lambda + \mu) \mathcal{F}$$

for every  $\lambda, \mu \geq 0$  and  $\mathcal{F} \in \mathbf{F}(G)$ .

**5.2.6.** Strong transitivity. An affine F(G)-building X(G) satisfies

L(i) For every  $x \in \mathbf{X}(G)$  and  $\mathcal{F} \in \mathbf{F}(G)$ ,

$$G_{x,\mathcal{F}} = \{ g \in G : gx = x \text{ and } g\mathcal{F} = \mathcal{F} \}$$

acts transitively on  $\mathbf{S}(x,\mathcal{F})$ .

R(i) For every  $x, y \in \mathbf{X}(G)$ ,

$$G_{x,y} = \{g \in G : gx = x \text{ and } gy = y\}$$

acts transitively on  $\mathbf{S}(x,y)$ .

- T(i) For every  $x, y \in \mathbf{X}(G)$ ,  $\mathsf{G}_{x,y}$  acts transitively on  $\mathbf{F}(x,y)$ .
- **5.2.7.** The labels of the L, R, or T-axioms reflect their equivalence with the surjectivity or injectivity of the relevant maps in the commutative diagram

which is induced by the equivariant commutative diagram

$$\mathbf{X}(G) \times \mathbf{F}(G) \xrightarrow{(x,\mathcal{F}) \mapsto (x,x+\mathcal{F})} \mathbf{X}(G) \times \mathbf{X}(G)$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$\mathbf{X}(S) \times \mathbf{F}(S) \longrightarrow \mathbf{X}(S) \times \mathbf{X}(S)$$

The bottom map in each diagram is always bijective since  $\mathbf{X}(S)$  is an affine  $\mathbf{F}(S)$ -space. Thus  $R(i) \Rightarrow T(i)$ , and R(i) + L(s) + T(s) imply all of the above axioms.

**5.2.8. The vectorial distance.** It follows from the axioms already introduced that for an affine  $\mathbf{F}(G)$ -building  $\mathbf{X}(G)$ , there is a unique G-invariant map

$$\mathbf{d}: \mathbf{X}(G) \times \mathbf{X}(G) \to \mathbf{C}(G)$$

such that for every  $x \in \mathbf{X}(G)$  and  $\mathcal{F} \in \mathbf{F}(G)$ ,

$$\mathbf{d}(x, x + \mathcal{F}) = t(\mathcal{F})$$
 in  $\mathbf{C}(G)$ .

The following properties are easily established: for  $x, y \in \mathbf{X}(G)$ ,

$$\mathbf{d}(y,x) = \mathbf{d}(x,y)^{\iota}$$
 and  $\mathbf{d}(x,y) = 0 \iff x = y$ .

Moreover for  $x \in \mathbf{X}(G)$ ,  $\mathcal{F} \in \mathbf{F}(G)$  and  $0 \le \lambda \le \lambda'$ ,

$$\mathbf{d}(x + \lambda \mathcal{F}, x + \lambda' \mathcal{F}) = (\lambda' - \lambda) \cdot t(\mathcal{F}).$$

This vectorial distance  $\mathbf{d}$  may also satisfy the following properties – and it does for affine  $\mathbf{F}(G)$ -buildings, by lemma 86 and proposition 87 below:

 $\star$  For every  $x, y \in \mathbf{X}(G)$  and  $\mathcal{F}, \mathcal{G} \in \mathbf{F}(G)$ ,

$$\mathbf{d}(x + \mathcal{F}, y + \mathcal{G}) \le \mathbf{d}(x, y) + \mathbf{d}(\mathcal{F}, \mathcal{G})$$
 in  $\mathbf{C}(G)$ .

TR (Triangle inequality) For every  $x, y, z \in \mathbf{X}(G)$ ,

$$\mathbf{d}(x, z) \le \mathbf{d}(x, y) + \mathbf{d}(y, z)$$
 in  $\mathbf{C}(G)$ .

TR' For every  $y \in \mathbf{X}(G)$  and  $\mathcal{F}, \mathcal{G} \in \mathbf{F}(G)$ ,

$$\mathbf{d}(y + \mathcal{F}, y + \mathcal{G}) \le \mathbf{d}(\mathcal{F}, \mathcal{G})$$
 in  $\mathbf{C}(G)$ .

NE (Non expanding) For every  $x, y \in \mathbf{X}(G)$  and  $\mathcal{F} \in \mathbf{F}(G)$ ,

$$\mathbf{d}(x + \mathcal{F}, y + \mathcal{F}) \le \mathbf{d}(x, y)$$
 in  $\mathbf{C}(G)$ .

 $C^{\circ}$  (Continuity) For every sequences  $(x_n)$ ,  $(y_n)$  and points x, y in  $\mathbf{X}(G)$ ,

$$\begin{pmatrix} \mathbf{d}(x_n, x) \to 0 \\ \mathbf{d}(y_n, y) \to 0 \end{pmatrix}$$
 in  $\mathbf{C}(G) \Longrightarrow (\mathbf{d}(x_n, y_n) \to \mathbf{d}(x, y) \text{ in } \mathbf{C}(G))$ .

Note that  $\star$  and TR' also involve the vectorial distance  $\mathbf{d}$  for  $\mathbf{F}(G)$  – it follows from 4.2.10 that the affine  $\mathbf{F}(G)$ -space  $\mathbf{F}(G)$  indeed satisfies the required axioms for the existence of  $\mathbf{d}$ : L(s) = R(s) is theorem 71 and L(i) = R(i) is its corollary 72.

Lemma 86. The above properties of  $\mathbf{d}$  are related as follows:

$$\star \iff TR + TR' + NE \quad and \quad TR \iff TR' \Longrightarrow \mathcal{C}^{\circ}.$$

PROOF.  $(TR + TR' + NE \Rightarrow \star)$ . For  $x, y \in \mathbf{X}(G)$  and  $\mathcal{F}, \mathcal{G} \in \mathbf{F}(G)$ , we find

$$\mathbf{d}(x+\mathcal{F},y+\mathcal{G}) \le \mathbf{d}(x+\mathcal{F},y+\mathcal{F}) + \mathbf{d}(y+\mathcal{F},y+\mathcal{G}) \le \mathbf{d}(x,y) + \mathbf{d}(\mathcal{F},\mathcal{G})$$

using TR for the first inequality, NE and TR' for the second.

 $(\star \Rightarrow TR + TR' + NE)$ . Taking x = y (resp.  $\mathcal{F} = \mathcal{G}$ ) in  $\star$  yields TR' (resp. NE). Taking  $\mathcal{F} = 0$  and  $\mathcal{G} \in \mathbf{F}(y,z)$  (using T(s)) yields TR.

 $(TR \Rightarrow TR')$ . For  $x \in \mathbf{X}(G)$ ,  $\mathcal{F}, \mathcal{G} \in \mathbf{F}(G)$  and  $\lambda \in [0, 1]$ , set

$$\mathcal{F}(\lambda) = (1 - \lambda)\mathcal{F} + \lambda \mathcal{G} \text{ in } \mathbf{F}(G) \text{ and } x(\lambda) = x + \mathcal{F}(\lambda) \text{ in } \mathbf{X}(G).$$

Pick  $S \in \mathbf{S}(G)$  with  $\mathcal{F}, \mathcal{G} \in \mathbf{F}(S)$ . There is a subdivision  $0 = \lambda_0 < \cdots < \lambda_n = 1$  of [0,1] and for each  $i \in \{1, \cdots, n\}$ , a closed chamber  $C_i$  of  $\mathbf{F}(S)$  such that  $\mathcal{F}(\lambda) \in C_i$  for all  $\lambda \in [\lambda_{i-1}, \lambda_i]$ . By L'(s), there is an  $S_i \in \mathbf{S}(G)$  such that  $x \in \mathbf{X}(S_i)$  and  $C_i \subset \mathbf{F}(S_i)$ , thus also  $x(\lambda) \in \mathbf{X}(S_i)$  for every  $\lambda \in [\lambda_{i-1}, \lambda_i]$ . Then

$$\mathbf{d}(x+\mathcal{F},x+\mathcal{G}) \leq \sum_{i=1}^{n} \mathbf{d}(x(\lambda_{i-1}),x(\lambda_{i})) = \sum_{i=1}^{n} \mathbf{d}(\mathcal{F}(\lambda_{i-1}),\mathcal{F}(\lambda_{i})) = \mathbf{d}(\mathcal{F},\mathcal{G})$$

using respectively TR in  $\mathbf{X}(G)$  and trivial computations in  $\mathbf{X}(S_i)$  and  $\mathbf{F}(G)$ .

$$(TR' \Rightarrow TR)$$
. For  $x, y, z \in \mathbf{X}(G)$ , pick  $\mathcal{F}, \mathcal{G} \in \mathbf{F}(G)$  with

$$x = y + \mathcal{F}$$
 and  $z = y + \mathcal{G}$ 

using T(s). Choose  $S \in \mathbf{S}(G)$  such that  $\mathcal{F}, \mathcal{G} \in \mathbf{F}(S)$ , and set  $\mathcal{F}' = \iota_S \mathcal{F}$ . Then

$$\mathbf{d}(x,z) \le \mathbf{d}(\mathcal{F},\mathcal{G}) = t(\mathcal{F}' + \mathcal{G}) \le t(\mathcal{F}') + t(\mathcal{G}) = \mathbf{d}(x,y) + \mathbf{d}(y,z)$$

using respectively TR' in  $\mathbf{X}(G)$ , proposition 82 and

$$t(\mathcal{F}') = t(\mathcal{F})^{\iota} = \mathbf{d}(y, x)^{\iota} = \mathbf{d}(x, y), \quad t(\mathcal{G}) = \mathbf{d}(y, z).$$

 $(TR \Rightarrow \mathcal{C}^{\circ})$ . Suppose that  $\mathbf{d}(x_n, x) \to 0$  and  $\mathbf{d}(y_n, y) \to 0$  for sequences  $(x_n)$ ,  $(y_n)$  and points x, y in  $\mathbf{X}(G)$ . Then also  $\mathbf{d}(x, x_n) \to 0$  and  $\mathbf{d}(y, y_n) \to 0$  in  $\mathbf{C}(G)$ . Let c be a limit point of  $\mathbf{d}(x_n, y_n)$  in the Alexandrov compactification  $\mathbf{C}(G) \cup \{\infty\}$  of the locally compact space  $\mathbf{C}(G)$ . We have to show that  $c = \mathbf{d}(x, y)$ , for then  $\mathbf{d}(x_n, y_n) \to \mathbf{d}(x, y)$  in  $\mathbf{C}(G)$ . By the triangle inequality TR,

$$\mathbf{d}(x,y) \leq \mathbf{d}(x,x_n) + \mathbf{d}(x_n,y_n) + \mathbf{d}(y_n,y)$$
  
and 
$$\mathbf{d}(x_n,y_n) \leq \mathbf{d}(x_n,x) + \mathbf{d}(x,y) + \mathbf{d}(y,y_n)$$

for every  $n \geq 0$ , thus  $c \in \mathbf{C}(G)$  and  $\mathbf{d}(x,y) \leq c \leq \mathbf{d}(x,y)$ , i.e.  $c = \mathbf{d}(x,y)$ .

**5.2.9.** The classical distance. These axioms imply that the composition

$$d: \mathbf{X}(G) \times \mathbf{X}(G) \to \mathbb{R}_+, \qquad x \mapsto \|\mathbf{d}(x)\|$$

of the vectorial distance  $\mathbf{d}$  with the norm  $\|-\|: \mathbf{C}(G) \to \mathbb{R}_+$  attached to our chosen  $\tau$  is a genuine  $\mathbf{G}$ -invariant distance on  $\mathbf{X}(G)$ . Its restriction to any appartment is Euclidean and  $(\mathbf{X}(G), d)$  is a geodesic space: for  $x, y \in \mathbf{X}(G)$  and any apartment  $\mathbf{X}(S)$  containing x and y, the unique geodesic from x to y in  $\mathbf{X}(S)$  is a geodesic from x to y in  $\mathbf{X}(G)$ . For any sequence  $(x_n)$  in  $\mathbf{X}(G)$  and x in  $\mathbf{X}(G)$ , we have

$$x_n \to x$$
 in  $(\mathbf{X}(G), d) \iff d(x_n, x) \to 0$  in  $\mathbb{R}_+ \iff \mathbf{d}(x_n, x) \to 0$  in  $\mathbf{C}(G)$ .

The induced metrizable topology on  $\mathbf{X}(G)$  thus does not depend upon  $\tau$  (see also 4.2.11). We call it the canonical topology of  $\mathbf{X}(G)$ . The apartments are closed, being complete for the induced metric. The vectorial distance and pull map

$$\mathbf{d}: \mathbf{X}(G) \times \mathbf{X}(G) \to \mathbf{C}(G)$$
 and  $+: \mathbf{X}(G) \times \mathbf{F}(G) \to \mathbf{X}(G)$ 

are continuous for the canonical topologies on  $\mathbf{X}(G)$ ,  $\mathbf{C}(G)$  and  $\mathbf{F}(G)$  by  $\mathcal{C}^{\circ}$  and  $\star$ .

**5.2.10.** The retractions. For an affine  $\mathbf{F}(G)$ -building, we also require:

UN (Unipotent) For every  $x \in \mathbf{X}(G)$ ,  $\mathcal{F} \in \mathbf{F}(G)$  and  $u \in \mathsf{U}_{\mathcal{F}}$ ,

$$\lim_{s \to +\infty} \mathbf{d}(x + s\mathcal{F}, ux + s\mathcal{F}) = 0.$$

For  $\mathcal{F} \in \mathbf{F}(G)$  and any Levi subgroup L of  $P_{\mathcal{F}}$ , we denote by  $\mathcal{F}_L^{\iota}$  the unique filtration opposed to  $\mathcal{F}$  with  $P_{\mathcal{F}} \cap P_{\mathcal{F}_L^{\iota}} = L$ . Thus  $\mathcal{F}_L^{\iota} = \mathrm{Fil}(\iota \mathcal{G})$  where  $\mathcal{G} \in \mathbf{G}(G)$  is the unique splitting of  $\mathcal{F}$  with  $L_{\mathcal{G}} = L$ . We have  $\mathcal{F}_L^{\iota} = \iota_{\mathcal{S}} \mathcal{F}$  for any  $S \in \mathbf{S}(L)$ .

PROPOSITION 87. Let  $\mathbf{X}(G)$  be an affine  $\mathbf{F}(G)$ -space satisfying the axioms of sections 5.2.5 and 5.2.6, together with  $\mathcal{C}^{\circ}$ , NE and UN. Then it also satisfy TR. Moreover, for every parabolic subgroup P of G with Levi decomposition  $P = U \rtimes L$ ,

$$\mathbf{X}(L) = \cup_{S \in \mathbf{S}(L)} \mathbf{X}(S)$$

is a fundamental domain for the action of U on  $\mathbf{X}(G)$  and the induced retraction

$$r_{P,L}: \mathbf{X}(G) \twoheadrightarrow \mathbf{X}(L)$$

is non-expanding for **d**: for every  $x, y \in \mathbf{X}(G)$ ,

$$\mathbf{d}(r_{P,L}(x), r_{P,L}(y)) \le \mathbf{d}(x, y)$$
 in  $\mathbf{C}(G)$ .

Finally, for any  $\mathcal{F} \in F^{-1}(P)$ , if  $\mathcal{F}' = \mathcal{F}_L^{\iota}$ , then for all  $x \in \mathbf{X}(G)$ ,

$$r_{P,L}(x) = \lim_{s \to \infty} (x + s\mathcal{F}) + s\mathcal{F}' \quad in \quad (\mathbf{X}(G), d).$$

PROOF. Fix  $P, L, \mathcal{F}$  and  $\mathcal{F}' = \mathcal{F}_L^i$  as above. For any  $x \in \mathbf{X}(G)$ , there is by L(s) an  $S' \in \mathbf{S}(G)$  such that  $x \in \mathbf{X}(S')$  and  $\mathcal{F} \in \mathbf{F}(S')$ , i.e.  $Z_G(S') \subset P_{\mathcal{F}}$ . Let L' be the unique levi subgroup of  $P_{\mathcal{F}}$  containing  $Z_G(S')$  and let u be the unique element of U such that  $\mathrm{Int}(u)(L') = L$ . Then  $S = \mathrm{Int}(u)(S')$  belongs to  $\mathbf{S}(L)$  and ux belongs to  $\mathbf{X}(S) \subset \mathbf{X}(L)$ , thus  $U \cdot \mathbf{X}(L) = \mathbf{X}(G)$ . For  $s \geq 0$  and  $x \in \mathbf{X}(G)$ , set

$$r_s(x) = (x + s\mathcal{F}) + s\mathcal{F}'$$
 in  $\mathbf{X}(G)$ .

Then  $x \mapsto r_s(x)$  is non-expanding for **d** by NE and for any  $u \in U$ ,

$$\lim_{s \to \infty} \mathbf{d}(r_s(x), r_s(u \cdot x)) = 0 \quad \text{in} \quad \mathbf{C}(G)$$

by UN and NE. If x belongs to  $\mathbf{X}(L)$ , say  $x \in \mathbf{X}(S)$  for some  $S \in \mathbf{S}(L)$ , then  $\mathcal{F}, \mathcal{F}' \in \mathbf{F}(S)$  with  $\mathcal{F} + \mathcal{F}' = 0$  in  $\mathbf{F}(S)$ , thus  $r_s(x) = x$  for all  $s \geq 0$  since  $\mathbf{X}(S)$  is

an affine  $\mathbf{F}(S)$ -space. If x and  $u \cdot x$  belong to  $\mathbf{X}(L)$ ,  $\mathbf{d}(x, u \cdot x) = \mathbf{d}(r_s(x), r_s(u \cdot x))$  for all  $s \geq 0$ , thus  $\mathbf{d}(x, u \cdot x) = 0$  and  $x = u \cdot x$ . In particular,  $\mathbf{X}(L)$  is indeed a fundamental domain for the action of U on  $\mathbf{X}(G)$ . Let  $r : \mathbf{X}(G) \to \mathbf{X}(L)$  be the corresponding retraction. For  $x \in \mathbf{X}(G)$ , pick  $u \in U$  such that  $r(x) = u \cdot x$ . Then

$$\mathbf{d}(r_s(x), r(x)) = \mathbf{d}(r_s(x), u \cdot x) = \mathbf{d}(r_s(x), r_s(u \cdot x)) \to 0.$$

Applying this to  $x, y \in \mathbf{X}(G)$  and using  $\mathcal{C}^{\circ}$ , we find that

$$\lim_{s \to \infty} \mathbf{d}(r_s(x), r_s(y)) = \mathbf{d}(r(x), r(y)),$$

thus  $\mathbf{d}(r(x), r(y)) \leq \mathbf{d}(x, y)$  since  $\mathbf{d}(r_s(x), r_s(y)) \leq \mathbf{d}(x, y)$  for all  $s \geq 0$ .

Turning now to the proof of TR, first note that by proposition 82, the triangle inequality holds whenever x, y, z belong to  $\mathbf{X}(S)$  for some  $S \in \mathbf{S}(G)$ . For a general triple x, y, z in  $\mathbf{X}(G)$ , choose  $S \in \mathbf{S}(G)$  with  $x, z \in \mathbf{X}(S)$  using R(s), pick a minimal parabolic subgroup B of G with Levi subgroup  $L = Z_G(S)$  and let  $r : \mathbf{X}(G) \twoheadrightarrow \mathbf{X}(S)$  be the corresponding retraction. Then r(x) = x and r(z) = z, thus indeed

$$\mathbf{d}(x,z) = \mathbf{d}(r(x), r(z)) \le \mathbf{d}(r(x), r(y)) + \mathbf{d}(r(y), r(z)) \le \mathbf{d}(x,y) + \mathbf{d}(y,z)$$

since the triangle inequality holds on  $\mathbf{X}(S)$  and r is non-expanding for d.

COROLLARY 88. The apartment map  $S \mapsto \mathbf{X}(S)$  is then uniquely determined by the pull map  $+: \mathbf{X}(G) \times \mathbf{F}(G) \to \mathbf{X}(G)$ : for every  $S \in \mathbf{S}(G)$ ,

$$\mathbf{X}(S) = \{ x \in \mathbf{X}(G) : \forall \mathcal{F}, \mathcal{F}' \in \mathbf{F}(S), (x + \mathcal{F}) + \mathcal{F}' = x + (\mathcal{F} + \mathcal{F}') \}.$$

PROOF. Let  $\mathbf{X}'(S)$  be the right hand side. Plainly,  $\mathbf{X}(S) \subset \mathbf{X}'(S)$ . Conversely, pick a minimal parabolic subgroup B of G with Levi  $Z_G(S)$ , let  $r: \mathbf{X}(G) \to \mathbf{X}(S)$  be the corresponding retraction, choose  $\mathcal{F} \in F^{-1}(B)$  and set  $\mathcal{F}' = \iota_S \mathcal{F}$ . For x in  $\mathbf{X}'(S)$ ,  $(x + \lambda \mathcal{F}) + \lambda \mathcal{F}' = x$  for all  $\lambda > 0$ , thus r(x) = x belongs to  $\mathbf{X}(S)$ .

**5.2.11. Standard geodesics.** For  $x \in \mathbf{X}(G)$  and  $\mathcal{F} \in \mathbf{F}(G)$ , the function

$$[0,1] \to \mathbf{X}(G)$$
 (resp.  $\mathbb{R}_+ \to \mathbf{X}(G)$ )  $t \mapsto x + t\mathcal{F}$ 

is a geodesic segment (resp. geodesic ray) in  $(\mathbf{X}(G), d)$ . We refer to these geodesics as the *standard* ones. Thus a geodesic (segment or ray) is standard precisely when it is contained in some apartment, and the set of all standard geodesics does not depend upon the choice of  $\tau$ . If  $(\mathbf{X}(G), d)$  is uniquely geodesic, then every geodesic segment is standard, but there might still be some non-standard geodesic rays.

**5.2.12.** Convexity. An affine  $\mathbf{F}(G)$ -building satisfies all of the above axioms, together with the following convexity axiom:

 $CO^+$  For every pair of geodesics  $x, y : [0,1] \to \mathbf{X}(G)$  in  $(\mathbf{X}(G), d)$ , the function

$$f: [0,1] \to \mathbf{C}(G), \qquad f(t) = \mathbf{d}(x(t), y(t))$$

is convex, i.e. for every  $\lambda$  and  $t_1 < t_2$  in [0, 1],

$$f((1-\lambda)t_1+\lambda t_2) \le (1-\lambda)f(t_1) + \lambda f(t_2)$$
 in  $\mathbf{C}(G)$ .

This implies that the metric space  $(\mathbf{X}(G), d)$  itself is convex in the sense of [8, II.1.3]. In particular, it is uniquely geodesic and for every  $x \in \mathbf{X}(G)$  and  $\mathcal{F}, \mathcal{G} \in \mathbf{F}(G)$ ,

$$x + \mathcal{F} = x + \mathcal{G} \Longrightarrow \forall t \in [0, 1] : \quad x + t\mathcal{F} = x + t\mathcal{G}.$$

PROPOSITION 89. Let  $\mathbf{X}(G)$  be an affine  $\mathbf{F}(G)$ -building. Let (P, P') be a pair of opposed parabolic subgroups of G with common Levi subgroup  $L = P \cap P'$ . Let

$$r, r' : \mathbf{X}(G) \twoheadrightarrow \mathbf{X}(L)$$

be the corresponding retractions, as in proposition 87. Then

$$\mathbf{X}(L) = \{x \in \mathbf{X}(G) : r(x) = r'(x)\}.$$

PROOF. For  $x \in \mathbf{X}(L)$ , r(x) = x = r'(x), thus x belongs to

$$\mathbf{X}'(L) = \{ x \in \mathbf{X}(G) : r(x) = r'(x) \}.$$

Suppose conversely that  $x \in \mathbf{X}'(L)$  and set y = r(x) = r'(x). Pick a pair of opposed filtrations  $(\mathcal{F}, \mathcal{F}')$  with  $P_{\mathcal{F}} = P$ ,  $P_{\mathcal{F}'} = P'$  and  $\|\mathcal{F}\| = \|\mathcal{F}'\| = 1$ . For  $t \in \mathbb{R}$ , set

$$X(t) = \begin{cases} x + |t| \mathcal{F} & \text{if } t \ge 0, \\ x + |t| \mathcal{F}' & \text{if } t \le 0, \end{cases} \text{ and } Y(t) = \begin{cases} y + |t| \mathcal{F} & \text{if } t \ge 0, \\ y + |t| \mathcal{F}' & \text{if } t \le 0. \end{cases}$$

Plainly,  $Y : \mathbb{R} \to \mathbf{X}(G)$  is a geodesic line and  $d(X(t), Y(t)) \to 0$  when  $|t| \to \infty$ . Note that for any  $0 \le t_1, t_2 \le t$ , d(Y(-t), Y(t)) = 2t is not greater than

$$d\left(Y(-t),X(-t)\right)+d\left(X(-t),X(-t_1)\right)+d\left(X(-t_1),X(t_2)\right)+d\left(X(t_2),X(t)\right)+d\left(X(t),Y(t)\right).$$

The second and fourth term sum to  $2t - (t_1 + t_2)$ , thus  $t_1 + t_2 \le d(X(-t_1), X(t_2))$ . Since also  $d(X(-t_1), X(t_2)) \le t_1 + t_2$ , it follows that  $X : \mathbb{R} \to \mathbf{X}(G)$  is a geodesic line as well. Since the metric d is convex, the function  $t \mapsto d(X(t), Y(t))$  is convex. Since it is also bounded, it must be constant, thus actually trivial. In particular, d(x, y) = d(X(0), Y(0)) = 0, therefore x = y belongs to  $\mathbf{X}(L)$ .

Definition 90. The enclosure of  $x, z \in \mathbf{X}(G)$  is defined by

$$\Diamond(x,z) = \{ y \in \mathbf{X}(G) : \mathbf{d}(x,z) = \mathbf{d}(x,y) + \mathbf{d}(y,z) \} 
= \{ y \in \mathbf{X}(G) : \mathbf{d}(x,z) \ge \mathbf{d}(x,y) + \mathbf{d}(y,z) \}$$

Corollary 91. For any  $S \in \mathbf{S}(G)$  and  $x, x' \in \mathbf{X}(S)$ , let F and F' be the pair of opposed facets in  $\mathbf{F}(S)$  such that  $x' \in x + F$  and  $x \in x' + F'$ . Then

$$\Diamond(x,x') = (x + \overline{F}) \cap (x' + \overline{F}').$$

In particular for any  $x, z \in \mathbf{X}(G)$ , the enclosure  $\Diamond(x, z)$  is a closed and convex subset of  $\mathbf{X}(G)$  which is contained in any apartment containing x and z.

PROOF. For  $y \in \mathbf{X}(S)$ , write y = x + a and x' = y + b with  $a, b \in \mathbf{F}(S)$ , so that

$$\mathbf{d}(x, x') = t(a + b), \quad \mathbf{d}(x, y) = t(a) \text{ and } \mathbf{d}(y, x') = t(b).$$

Thus y belongs to  $\Diamond(x,x')$  if and only if there exists a closed chamber C in  $\mathbf{F}(S)$  containing a and b by proposition 82, which occurs precisely when a and b both belong to the closure  $\overline{F}$  of the facet F of  $\mathbf{F}(S)$  which contains c=a+b. Hence

$$\Diamond(x, x') \cap \mathbf{X}(S) = (x + \overline{F}) \cap (x' + \overline{F}').$$

In particular, the function  $y \mapsto \mathbf{d}(x,y)$  is injective on  $\Diamond(x,x') \cap \mathbf{X}(S)$ . Now pick a pair of opposed minimal parabolic subgroups (B,B') of G with  $B \cap B' = Z_G(S)$ . Let  $r,r': \mathbf{X}(G) \twoheadrightarrow \mathbf{X}(S)$  be the corresponding retractions. For any  $y \in \Diamond(x,x')$ ,

 $\mathbf{d}(x, r(y)) = \mathbf{d}(r(x), r(y)) \le \mathbf{d}(x, y)$  and  $\mathbf{d}(r(y), x') = \mathbf{d}(r(y), r(x')) \le \mathbf{d}(y, x')$  since r is non-expanding for  $\mathbf{d}$ , therefore

$$\mathbf{d}(x, x') \le \mathbf{d}(x, r(y)) + \mathbf{d}(r(y), x') \le \mathbf{d}(x, y) + \mathbf{d}(y, x') = \mathbf{d}(x, x').$$

Thus r(y) belongs to  $\Diamond(x,x') \cap \mathbf{X}(S)$  and  $\mathbf{d}(x,r(y)) = \mathbf{d}(x,y)$ . Since the same conclusion holds for r'(y), we obtain r(y) = r'(y). Hence y belongs to  $\mathbf{X}(S)$  and indeed  $\Diamond(x,x') = (x+\overline{F}) \cap (x'+\overline{F}')$ . The remaining assertions easily follow.  $\square$ 

**5.2.13.** Unique Geodesics. It may seem that the validity of the axiom  $CO^+$  for a given affine  $\mathbf{F}(G)$ -space  $\mathbf{X}(G)$  depends upon the chosen  $\tau$ , but it does not. In fact,  $CO^+$  is plainly equivalent to the conjunction of the following two axioms:

CO For any pair of standard geodesics  $x, y : [0, 1] \to \mathbf{X}(G)$  in  $\mathbf{X}(G)$ , the function

$$f: [0,1] \to \mathbf{C}(G), \qquad f(t) = \mathbf{d}(x(t), y(t))$$

is convex, i.e. for every  $\lambda$  and  $t_1 \leq t_2$  in [0,1],

$$f((1-\lambda)t_1 + \lambda t_2) \le (1-\lambda)f(t_1) + \lambda f(t_2)$$
 in  $\mathbf{C}(G)$ .

UG The metric space  $(\mathbf{X}(G), d)$  is uniquely geodesic.

Now CO plainly does not depend upon the choice of  $\tau$ , and UG also does not. Indeed, suppose that  $\mathbf{X}(G)$  satisfies all of the above axioms (using  $\tau$  in UG) and let  $\tau'$  be another faithful representation of G, giving rise to a distance d' on  $\mathbf{X}(G)$ . We have to show that every geodesic segment  $c:[0,1]\to\mathbf{X}(G)$  in  $(\mathbf{X}(G),d')$  is standard, for then CO implies UG for  $(\mathbf{X}(G),d')$ . Now for all  $t\in[0,1]$ , we have

$$\begin{array}{rcl} & \mathbf{d}(c(0),c(1)) & \leq & \mathbf{d}(c(0),c(t)) + \mathbf{d}(c(t),c(1)) & \text{in} & \mathbf{C}(G) \\ \text{and} & d'(c(0),c(1)) & = & d'(c(0),c(t)) + d'(c(t),c(1)) & \text{in} & \mathbb{R}_+ \end{array}$$

from which easily follows that actually

$$\mathbf{d}(c(0), c(1)) = \mathbf{d}(c(0), c(t)) + \mathbf{d}(c(t), c(1))$$
 in  $\mathbf{C}(G)$ .

Thus c(t) belongs to  $\Diamond(c(0), c(1))$  for all  $t \in [0, 1]$  and c is standard, being indeed contained in any apartment which contains c(0) and c(1) by corollary 91.

**5.2.14.** By the usual dyadic, reparametrization and triangulation tricks, it is sufficient to test the inequalities in CO or  $CO^+$  for  $(t_1, t_2, \lambda) = (0, 1, \frac{1}{2})$ , for pairs of geodesics issuing from the same point. Thus CO is equivalent to either one of CO' For every  $x \in \mathbf{X}(G)$ ,  $\mathcal{F}, \mathcal{G} \in \mathbf{F}(G)$  and  $\lambda \in [0, 1]$ ,

$$\mathbf{d}(x + \lambda \mathcal{F}, x + \lambda \mathcal{G}) \le \lambda \mathbf{d}(x + \mathcal{F}, x + \mathcal{G})$$
 in  $\mathbf{C}(G)$ .

CO'' For every  $x \in \mathbf{X}(G)$  and  $\mathcal{F}, \mathcal{G} \in \mathbf{F}(G)$ ,

$$\mathbf{d}(x + \frac{1}{2}\mathcal{F}, y + \frac{1}{2}\mathcal{G}) \le \frac{1}{2}\mathbf{d}(x + \mathcal{F}, x + \mathcal{G})$$
 in  $\mathbf{C}(G)$ .

**5.2.15.** There is a unique, G-equivariant and continuous map

$$\mathbf{X}(G) \times \mathbf{X}(G) \times [0,1] \to \mathbf{X}(G), \quad (x,y,\lambda) \mapsto (1-\lambda)x + \lambda y$$

such that  $(1 - \lambda)x + \lambda y = x + \lambda \mathcal{F}$  for any  $\mathcal{F} \in \mathbf{F}(x, y)$ . We set

$$[x, y] = \{(1 - \lambda)x + \lambda y : \lambda \in [0, 1]\}$$

and call it the *segment* between x and y. It is contained in the enclosure  $\Diamond(x,y)$ , thus also contained in any apartment  $\mathbf{X}(S)$  which contains x and y. In particular, the intersection of two apartments is a convex subset of both apartments. A *subdivision* of [x,y] is a finite collection  $x=x_0,\dots,x_n=y$  of points in [x,y] such that

$$x_i = (1 - \lambda_i)x + \lambda_i y, \quad 0 \le \lambda_0 \le \dots \le \lambda_n = 1.$$

Thus  $[x, y] = \bigcup_{i=1}^{n} [x_{i-1}, x_i]$  and

$$\mathbf{d}(x,y) = \sum_{i=1}^{n} \mathbf{d}(x_{i-1}, x_i).$$

**5.2.16.** For an affine  $\mathbf{F}(G)$ -building  $\mathbf{X}(G)$ , we denote by

$$\mathbf{d}^r : \mathbf{X}(G) \times \mathbf{X}(G) \to \mathbf{C}^r(G)$$
 and  $\mathbf{d}^c : \mathbf{X}(G) \times \mathbf{X}(G) \to \mathbf{G}(Z)$ 

the components of d. These are G-invariant functions. For  $x, y, z \in \mathbf{X}(G)$ ,

$$\mathbf{d}^r(x,z) \le \mathbf{d}^r(x,y) + \mathbf{d}^r(y,z)$$
 and  $\mathbf{d}^c(x,z) = \mathbf{d}^c(x,y) + \mathbf{d}^c(y,z)$ .

The function  $g \mapsto \mathbf{d}^c(x, gx)$  thus does not depend upon x and defines a morphism

$$\nu_{\mathbf{X}}^c: \mathsf{G} \to \mathbf{G}(Z).$$

**5.2.17.** A morphism of affine  $\mathbf{F}(G)$ -spaces  $f: \mathbf{X}(G) \to \mathbf{Y}(G)$  is a G-equivariant map between the underlying sets which is compatible with their structure maps:

$$f(\mathbf{X}(S)) \subset \mathbf{Y}(S)$$
 and  $f(x+\mathcal{F}) = f(x) + \mathcal{F}$ 

for every  $S \in \mathbf{S}(G)$ ,  $x \in \mathbf{X}(G)$  and  $\mathcal{F} \in \mathbf{F}(G)$ . If  $\mathbf{Y}(G)$  is an  $\mathbf{F}(G)$ -building, it is sufficent to require the second condition. A morphism of affine  $\mathbf{F}(G)$ -buildings is a morphism of the underlying affine  $\mathbf{F}(G)$ -spaces. Any such morphism is an automorphism: it is bijective on any appartment, thus globally bijective by R(s). It is compatible with the **d**-maps, and an isometry of the underlying metric spaces.

**5.2.18.** An automorphism  $\theta$  of an affine  $\mathbf{F}(G)$ -building  $\mathbf{X}(G)$  acts on the apartment  $\mathbf{X}(S)$  by an  $\mathsf{N}_G(S)$ -equivariant translation, which is thus given by a vector  $\theta_S$  in  $\mathbf{G}(Z) = \mathbf{G}(S)^{\mathsf{W}_G(S)}$ , where Z = Z(G). The G-equivariance of  $\theta$  then implies that  $S \mapsto \theta_S$  is also G-equivariant, thus constant. It follows that

$$Aut(\mathbf{X}(G)) = \mathbf{G}(Z)$$

with  $\mathcal{G} \in \mathbf{G}(Z)$  acting on  $\mathbf{X}(G)$  by  $x \mapsto x + \mathcal{G}$ .

**5.2.19.** For an affine  $\mathbf{F}(G)$ -building  $\mathbf{X}(G)$ , we define

$$\mathbf{X}^r(G) = \mathbf{X}(G)/\mathbf{G}(Z)$$
 and  $\mathbf{X}^e(G) = \mathbf{X}^r(G) \times \mathbf{G}(Z)$ .

The group  ${\sf G}$  acts: on the quotient  ${\sf X}^r(G)$  of  ${\sf X}(G)$ , on  ${\sf G}(Z)$  by translations through the morphism  $\nu^c_{\sf X}:{\sf G}\to{\sf G}(Z)$ , and on  ${\sf X}^e(G)$  diagonally. Then, the formulas

$$\mathbf{X}^r(S) = \mathbf{X}(S)/\mathbf{G}(Z)$$
 and  $\mathbf{X}^e(S) = \mathbf{X}^r(S) \times \mathbf{G}(Z)$ 

yield G-equivariant maps  $\mathbf{X}^r: \mathbf{S}(G) \to \mathcal{P}(\mathbf{X}^r(G))$  and  $\mathbf{X}^e: \mathbf{S}(G) \to \mathcal{P}(\mathbf{X}^e(G))$ , the pull map on  $\mathbf{X}(G)$  descends to a G-equivariant map  $+: \mathbf{X}^r(G) \times \mathbf{F}^r(G) \to \mathbf{X}^r(G)$ , which together with the addition map on  $\mathbf{G}(Z)$  yields a G-equivariant map

$$+: \mathbf{X}^e(G) \times \mathbf{F}(G) \to \mathbf{X}^e(G) \qquad ([x], \theta) + \mathcal{F} = ([x] + \mathcal{F}^r, \theta + \mathcal{F}^c).$$

The resulting triple  $\mathbf{X}^e(G)$  is yet another affine  $\mathbf{F}(G)$ -building, with  $\nu_{\mathbf{X}^e} = \nu_{\mathbf{X}}$ . In fact, any point  $x_0 \in \mathbf{X}(G)$  defines an isomorphism of affine  $\mathbf{F}(G)$ -buildings

$$\mathbf{X}(G) \simeq \mathbf{X}^e(G) \qquad x \mapsto ([x], \mathbf{d}^c(x_0, x)).$$

Thus  $\mathbf{X}^e(G)$  appears as a rigidified version of  $\mathbf{X}(G)$ : there are no non-trivial automorphisms of  $\mathbf{X}^e(G)$  preserving the subspace  $\mathbf{X}^r(G) \simeq \mathbf{X}^r(G) \times \{0\}$  of  $\mathbf{X}^e(G)$ . The decomposition  $\mathbf{X}^e(G) = \mathbf{X}^r(G) \times \mathbf{G}(Z)$  is orthogonal in the following sense:

$$\forall (x, \theta), (x', \theta') \in \mathbf{X}^e(G) : d((x, \theta), (x', \theta'))^2 = d(x, x')^2 + d(\theta, \theta')^2.$$

This follows from the analogous result for  $\mathbf{F}(G)$ , see 4.2.4.

**5.2.20.** If  $\mathbf{X}(G) = (\mathbf{X}(G), \mathbf{X}(-), +)$  is an affine  $\mathbf{F}(G)$ -space or building, then so is  $\mathbf{X}_{\lambda}(G) = (\mathbf{X}(G), \mathbf{X}(-), +_{\lambda})$  for any  $\lambda > 0$  in  $\mathbb{R}$ , where  $x +_{\lambda} \mathcal{F} = x + \lambda \mathcal{F}$ . The types  $\nu_{\mathbf{X}}$  of  $\mathbf{X}(G)$  and  $\nu_{\mathbf{X}_{\lambda}}$  of  $\mathbf{X}_{\lambda}(G)$  are related by  $\nu_{\mathbf{X}} = \lambda \cdot \nu_{\mathbf{X}_{\lambda}}$ .

## 5.3. Further axioms

Let  $\mathbf{X}(G)$  be an affine  $\mathbf{F}(G)$ -space.

**5.3.1. The axiom**  $L(s)^+$ . The following is a sharp strengthening of L(s):  $L(s)^+$  For any  $x \in \mathbf{X}(G)$  and  $\mathcal{F}, \mathcal{G} \in \mathbf{F}(G)$ , there exists  $S \in \mathbf{S}(G)$  and  $\epsilon > 0$  such that  $\mathcal{F} \in \mathbf{F}(S)$  and  $x + \lambda \mathcal{G} \in \mathbf{X}(S)$  for every  $\lambda \in [0, \epsilon]$ .

PROPOSITION 92. If  $\mathbf{X}(G)$  satisfies  $L(s)^+$ , R(s), R(i) and UN, then it is an affine  $\mathbf{F}(G)$ -building and  $(\mathbf{X}(G),d)$  is a CAT(0)-space.

Suppose that  $\mathbf{X}(G)$  satisfies  $L(s)^+$ , R(s), R(i) and UN. Then it already satisfies all the axioms of section 5.2.5 and 5.2.6, giving rise to the vectorial distance  $\mathbf{d}$  which is the subject of the remaining axioms. We do not yet know that  $\mathbf{d}$  satisfies TR, thus  $d = \|\mathbf{d}\|$  may not be a distance on  $\mathbf{X}(G)$ . But for any apartment  $\mathbf{X}(S)$ , the restriction of  $\mathbf{d}$  to  $\mathbf{X}(S)$  satisfies TR and d is a Euclidean distance on  $\mathbf{X}(S)$ .

LEMMA 93. For  $x \in \mathbf{X}(G)$  and  $\mathcal{F}, \mathcal{G} \in \mathbf{F}(G)$ , there exists  $S \in \mathbf{S}(G)$ ,  $\mathcal{G}^* \in \mathbf{F}(G)$  and  $\epsilon > 0$  such that  $x \in \mathbf{X}(S)$ ,  $\mathcal{F}, \mathcal{G}^* \in \mathbf{F}(S)$  and

$$\forall \lambda \in [0, \epsilon]: \quad x + \lambda \mathcal{G} = x + \lambda \mathcal{G}^* \in \mathbf{X}(S).$$

PROOF. By  $L(s)^+$ , there exists  $S \in \mathbf{S}(G)$  and  $\epsilon > 0$  such that  $\mathcal{F} \in \mathbf{F}(S)$  and  $x(\lambda) = x + \lambda \mathcal{G} \in \mathbf{X}(S)$  for  $\lambda \in [0, \epsilon]$ . For any  $0 \le \lambda \le \lambda'$ ,  $x(\lambda') = x(\lambda) + (\lambda' - \lambda)\mathcal{G}$  by AC, thus  $\mathbf{d}(x(\lambda), x(\lambda')) = (\lambda' - \lambda) \cdot t(\mathcal{G})$  in  $\mathbf{C}(G)$  and  $d(x(\lambda), x(\lambda')) = (\lambda' - \lambda) \cdot \|\mathcal{G}\|$  in  $\mathbb{R}_+$ . In particular,  $x(-) : [0, \epsilon] \to \mathbf{X}(S)$  is a geodesic segment in  $(\mathbf{X}(S), d|_{\mathbf{X}(S)})$ . There is thus a unique  $\mathcal{G}^* \in \mathbf{F}(S)$  such that  $x(\lambda) = x + \lambda \mathcal{G}^*$  for  $\lambda \in [0, \epsilon]$ .

LEMMA 94. For any  $x, y \in \mathbf{X}(G)$  and  $G \in \mathbf{F}(G)$ , the function

$$c: \mathbb{R}_+ \to \mathbf{C}(G), \qquad c(\lambda) = \mathbf{d}(y, x + \lambda \mathcal{G})$$

is continuous for the canonical topologies on  $\mathbb{R}_+$  and  $\mathbf{C}(G)$ .

PROOF. Pick  $\mathcal{F} \in \mathbf{F}(G)$  with  $y = x + \mathcal{F}$  using T(s). By the previous lemma, there exists  $S \in \mathbf{S}(G)$ ,  $\mathcal{G}^* \in \mathbf{F}(S)$  and  $\epsilon > 0$  such that  $x \in \mathbf{X}(S)$ ,  $\mathcal{F} \in \mathbf{F}(S)$  and  $x + \lambda \mathcal{G} = x + \lambda \mathcal{G}^*$  for  $\lambda \in [0, \epsilon]$ . Since  $x, y \in \mathbf{X}(S)$  and  $\mathcal{G}^* \in \mathbf{F}(S)$ , the function  $\lambda \mapsto \mathbf{d}(y, x + \lambda \mathcal{G}^*)$  is plainly continuous on  $\mathbb{R}_+$ , thus c is continuous on  $[0, \epsilon]$ . Changing x to  $x + \lambda \mathcal{G}$ , we find that c is right continuous on  $\mathbb{R}_+$ . By L(s), there is an  $S' \in \mathbf{S}(G)$  with  $x \in \mathbf{X}(S')$ ,  $\mathcal{G} \in \mathbf{F}(S')$ . Set  $\mathcal{G}' = \iota_S \mathcal{G}$ . Then for  $\lambda' \geq \lambda \geq 0$ ,

$$\begin{array}{rcl} x(\lambda) & = & x(\lambda') + (\lambda' - \lambda) \cdot \mathcal{G}' & \text{in} & \mathbf{X}(G), \\ \text{thus} & c(\lambda) & = & \mathbf{d} \left( y, x(\lambda') + (\lambda' - \lambda) \cdot \mathcal{G}' \right) & \text{in} & \mathbf{C}(G). \end{array}$$

It follows that c is also left continuous on  $\mathbb{R}_+$ .

LEMMA 95. For any  $x \in \mathbf{X}(G)$  and  $\mathcal{F}, \mathcal{G} \in \mathbf{F}(G)$ ,

$$x + \mathcal{F} = x + \mathcal{G} \implies \forall \lambda \in [0, 1] : x + \lambda \mathcal{F} = x + \lambda \mathcal{G}.$$

PROOF. Suppose  $x + \mathcal{F} = x + \mathcal{G}$ , put  $x(\lambda) = x + \lambda \mathcal{F}$ ,  $y(\lambda) = x + \lambda \mathcal{G}$  and define  $\lambda_0 = \inf\{1, \lambda \in [0, 1] \text{ such that } x(\lambda) \neq y(\lambda)\}.$ 

Suppose that  $\lambda_0 \in [0,1[$ . If  $\lambda_0 \neq 0$ , then since  $x(\lambda) = y(\lambda)$  for all  $\lambda \in [0,\lambda_0[$ ,

$$\mathbf{d}(x(\lambda_0), y(\lambda_0)) = \lim_{s \to s_0^-} \mathbf{d}(x(\lambda_0), y(\lambda)) = \lim_{s \to s_0^-} \mathbf{d}(x(\lambda_0), x(\lambda)) = 0$$

by the previous lemma, thus  $x(\lambda_0) = y(\lambda_0)$ . Changing  $(x, \mathcal{F}, \mathcal{G})$  to

$$(x(\lambda_0), (1-\lambda_0)\mathcal{F}, (1-\lambda_0)\mathcal{G}),$$

we may assume that  $\lambda_0 = 0$ . By lemma 93, there exists  $S \in \mathbf{S}(G)$ ,  $\mathcal{G}^* \in \mathbf{F}(S)$  and  $\epsilon > 0$  such that  $x \in \mathbf{X}(S)$ ,  $\mathcal{F} \in \mathbf{F}(S)$  and  $y(\lambda) = x + \lambda \mathcal{G}^*$  for  $\lambda \in [0, \epsilon]$ . Since  $x + \epsilon \mathcal{G} = x + \epsilon \mathcal{G}^*$  and  $x + \mathcal{G} = x + \mathcal{F}$  in  $\mathbf{X}(G)$ ,  $t(\mathcal{G}^*) = t(\mathcal{G}) = t(\mathcal{F})$  in  $\mathbf{C}(G)$  with  $\mathcal{F}, \mathcal{G}^* \in \mathbf{F}(S)$ , thus  $\mathcal{G}^* = w\mathcal{F}$  for some  $w \in \mathbf{W}_G(S)$ . In the affine  $\mathbf{F}(S)$ -space  $\mathbf{X}(S)$ ,

$$x(1) = x + \mathcal{F} = (x + \lambda \mathcal{G}^*) + (\mathcal{F} - \lambda \mathcal{G}^*) = y(\lambda) + (\mathcal{F} - \lambda w \mathcal{F})$$

for all  $\lambda \in [0, \epsilon]$ . Since x(1) = y(1), we thus find that for  $\lambda \in [0, \epsilon]$ ,

$$t((1-\lambda)\mathcal{F}) = (1-\lambda)t(\mathcal{G}) = \mathbf{d}(y(\lambda), y(1)) = \mathbf{d}(y(\lambda), x(1)) = t(\mathcal{F} - \lambda w\mathcal{F}).$$

Let C be a closed chamber in  $\mathbf{F}(S)$  such that  $\mathcal{F} - \lambda w \mathcal{F} \in C$  for all  $\lambda \in [0, \epsilon]$  (shrinking  $\epsilon$  if necessary). Since t is injective on C,  $(1 - \lambda)\mathcal{F} = \mathcal{F} - \lambda w \mathcal{F}$  in  $C \subset \mathbf{F}(S)$  for all  $\lambda \in [0, \epsilon]$ , thus  $\mathcal{F} = w \mathcal{F} = \mathcal{G}^*$ . But then  $x(\lambda) = y(\lambda)$  for all  $\lambda \in [0, \epsilon]$ , a contradiction. Therefore  $\lambda_0 = 1$ , i.e.  $x(\lambda) = y(\lambda)$  for all  $\lambda \in [0, 1]$ .  $\square$ 

Using R(s) and the previous lemma, we may now define segments in  $\mathbf{X}(G)$  and their subdivisions as in section 5.2.15, with  $[x,y] \subset \mathbf{X}(S)$  if  $x,y \in \mathbf{X}(S)$ .

LEMMA 96. For every  $x, y \in \mathbf{X}(G)$  and  $z \in \mathbf{X}(G)$  (resp.  $\mathcal{F} \in \mathbf{F}(G)$ ), there exists a subdivision  $x = x_0, \dots, x_n = y$  of the segment [x, y] and for  $i \in \{1, \dots, n\}$ , an  $S_i \in \mathbf{S}(G)$  such that  $[x_{i-1}, x_i] \subset \mathbf{X}(S_i)$  and  $z \in \mathbf{X}(S_i)$  (resp.  $\mathcal{F} \in \mathbf{F}(S_i)$ ).

PROOF. By R(s), there is an  $S \in \mathbf{S}(G)$  such that  $x, y \in \mathbf{X}(S)$ , so that

$$y = x + \mathcal{G}^+$$
 and  $x = y + \mathcal{G}^-$  with  $\mathcal{G}^{\pm} \in \mathbf{F}(S)$ ,  $\mathcal{G}^+ + \mathcal{G}^- = 0$ .

For  $\lambda \in [0,1]$ , set  $x(\lambda) = x + \lambda \mathcal{G}^+$  and choose  $\mathcal{F}_{\lambda} \in \mathbf{F}(G)$  such that  $z = x(\lambda) + \mathcal{F}_{\lambda}$  using T(s). By  $L(s)^+$ , there exists  $\epsilon_{\lambda} > 0$  and  $S_{\lambda}^{\pm} \in \mathbf{S}(G)$  such that  $\mathcal{F}_{\lambda} \in \mathbf{F}(S_{\lambda}^{\pm})$  (resp.  $\mathcal{F} \in \mathbf{F}(S_{\lambda}^{\pm})$ ) and  $x(\lambda) + \mu \mathcal{G}^{\pm} \in \mathbf{X}(S_{\lambda}^{\pm})$  for all  $\mu \in [0, \epsilon_{\lambda}]$ . Pick a finite set  $\mathcal{S} \subset [0, 1]$  such that  $[0, 1] \subset \bigcup_{\lambda \in \mathcal{S}} ]\lambda - \epsilon_{\lambda}, \lambda + \epsilon_{\lambda}[$  and let  $x = x_0, \dots, x_n = y$  be the subdivision of [x, y] defined by  $[x, y] \cap \{x, y, x(\lambda), x(\lambda) \pm \epsilon_{\lambda} : \lambda \in \mathcal{S}\}$ . Then for each  $i \in \{1, \dots, n\}$ , there exists an  $S_i \in \{S_{\lambda}^{\pm} : \lambda \in \mathcal{S}\}$  such that  $[x_{i-1}, x_i] \in \mathbf{X}(S_i)$  and  $z \in \mathbf{X}(S_i)$  (resp.  $\mathcal{F} \in \mathbf{F}(S_i)$ ).

LEMMA 97. For a minimal parabolic subgroup  $B = U \rtimes Z_G(S)$  of G, the apartment  $\mathbf{X}(S)$  is a fundamental domain for the action of U on  $\mathbf{X}(G)$  and the corresponding retraction  $r: \mathbf{X}(G) \twoheadrightarrow \mathbf{X}(S)$  is non-expanding for  $\mathbf{d}$ .

PROOF. First,  $\mathbf{X}(G) = \mathbf{U} \cdot \mathbf{X}(S)$  by L(s). For  $x, y \in \mathbf{X}(S)$  and any  $\mathcal{F} \in \mathbf{F}(S)$ ,  $\mathbf{d}(x, y) = \mathbf{d}(x + \mathcal{F}, y + \mathcal{F})$  in  $\mathbf{C}(G)$ . If y = ux with  $u \in \mathbf{U}$  and  $P_{\mathcal{F}} = B$ , then also

$$\lim_{s \to \infty} \mathbf{d}(x + s\mathcal{F}, y + s\mathcal{F}) = 0$$

by UN, thus  $\mathbf{d}(x,y)=0$  and x=y, i.e.  $\mathbf{X}(S)$  is a fundamental domain for the action of  $\mathbb{U}$  on  $\mathbf{X}(G)$ . Let  $r:\mathbf{X}(G)\twoheadrightarrow \mathbf{X}(S)$  be the corresponding retraction. For  $x,y\in \mathbf{X}(G)$ , there exists by the previous lemma a subdivision  $x=x_0,\cdots,x_n=y$  of [x,y] and for each  $i\in\{1,\cdots,n\}$ , an  $S_i\in \mathbf{S}(G)$  such that  $[x_{i-1},x_i]\subset \mathbf{X}(S_i)$  and  $Z_G(S_i)\subset B$ . Then, there is a unique  $u_i\in \mathbb{U}$  such that  $\mathrm{Int}(u_i)(S_i)=S$ , in which

case also  $u_i \cdot \mathbf{X}(S_i) = \mathbf{X}(S)$  and  $r(z) = u_i z$  for all  $z \in \mathbf{X}(S_i)$ . We thus obtain

$$\mathbf{d}(x,y) = \sum_{i=1}^{n} \mathbf{d}(x_{i-1}, x_i)$$

$$= \sum_{i=1}^{n} \mathbf{d}(u_i x_{i-1}, u_i x_i)$$

$$= \sum_{i=1}^{n} \mathbf{d}(r(x_{i-1}), r(x_i))$$

$$\geq \mathbf{d}(r(x), r(y))$$

in C(G) by the known triangle inequality for **d** in X(S).

Lemma 98. The vectorial distance  $\mathbf{d}$  satisfies TR, NE, CO and  $(\mathbf{X}(G),d)$  is a CAT(0)-metric space – thus  $\mathbf{X}(G)$  also satisfies UG.

PROOF. For  $x, y, z \in \mathbf{X}(G)$ , choose  $S \in \mathbf{S}(G)$  with  $x, z \in \mathbf{X}(S)$  using R(s), pick a minimal parabolic subgroup B of G with Levi  $Z_G(S)$  and let  $r: \mathbf{X}(G) \twoheadrightarrow \mathbf{X}(S)$  be the corresponding retraction. Then

$$\mathbf{d}(x,z) \le \mathbf{d}\left(x,r(y)\right) + \mathbf{d}\left(r(y),z\right) \le \mathbf{d}(x,y) + \mathbf{d}(y,z)$$

by the triangle inequality in  $\mathbf{X}(S)$  and the previous lemma. This proves TR.

For  $x, y \in \mathbf{X}(G)$  and  $\mathcal{F} \in \mathbf{F}(G)$ , pick a subdivision  $x = x_0, \dots, x_n = y$  of [x, y] and for each  $i \in \{1, \dots, n\}$ , an  $S_i \in \mathbf{S}(G)$  such that  $[x_{i-1}, x_i] \in \mathbf{X}(S_i)$  and  $\mathcal{F} \in \mathbf{F}(S_i)$ , using lemma 96. Then

$$\mathbf{d}(x + \mathcal{F}, y + \mathcal{F}) \le \sum_{i=1}^{n} \mathbf{d}(x_{i-1} + \mathcal{F}, x_i + \mathcal{F}) = \sum_{i=1}^{n} \mathbf{d}(x_{i-1}, x_i) = \mathbf{d}(x, y)$$

by the triangle inequality in  $\mathbf{X}(G)$  that we have just proven and a trivial computation in the affine  $\mathbf{F}(S_i)$ -space  $\mathbf{X}(S_i)$ . This proves NE.

For  $x \in \mathbf{X}(G)$  and  $\mathcal{F}, \mathcal{G} \in \mathbf{F}(G)$ , set  $y = x + \mathcal{F}$ ,  $z = x + \mathcal{G}$ . By lemma 96, there is a subdivision  $y = x_0, \dots, x_n = z$  of the segment [y, z] and for each  $i \in \{1, \dots, n\}$ , an  $S_i \in \mathbf{S}(G)$  such that  $[x_{i-1}, x_i] \in \mathbf{X}(S_i)$  and  $x \in \mathbf{X}(S_i)$ . For  $i \in \{0, \dots, n\}$  and  $\lambda \in [0, 1]$ , set  $x_i(\lambda) = (1 - \lambda)x + \lambda x_i$  in  $[x, x_i]$ . Then

$$\mathbf{d}(x_0(\lambda), x_n(\lambda)) \le \sum_{i=1}^n \mathbf{d}(x_{i-1}(\lambda), x_i(\lambda)) = \sum_{i=1}^n \lambda \mathbf{d}(x_{i-1}, x_i) = \lambda \mathbf{d}(y, z)$$

by the triangle inequality in  $\mathbf{X}(G)$  and a trivial computation in the affine  $\mathbf{F}(S_i)$ space  $\mathbf{X}(S_i)$ . This proves CO', from which CO follows.

To establish the CAT(0)-property, imagine a rigid comparison triangle  $(\tilde{x}, \tilde{y}, \tilde{z})$  for (x, y, z), lying on a Euclidean 2-plane E. Add flex points  $(\tilde{x}_1, \dots, \tilde{x}_{n-1})$  on the segment  $[\tilde{y}, \tilde{z}]$  corresponding to  $(x_1, \dots, x_{n-1})$ , and push them (inward or outward) one by one, so that each  $(\tilde{x}, \tilde{x}_{i-1}, \tilde{x}_i)$  becomes a comparison triangle for  $(x, x_{i-1}, x_i)$  (with  $\tilde{x}_0 = \tilde{y}$  and  $\tilde{x}_n = \tilde{z}$ ). If a last outward move occurs at the i-th step, then in the final configuration, the chord between  $\tilde{x}_{i-1}$  and  $\tilde{x}_{i+1}$  intersects the radius between  $\tilde{x}$  and  $\tilde{x}_i$  at some point  $\tilde{y} = (1 - \nu)\tilde{x} + \nu \tilde{x}_i$ , with  $\nu \in [0, 1[$ . For the corresponding point  $y = (1 - \nu)x + \nu x_i$  on the segment  $[x, x_i] \subset \mathbf{X}(S_i) \cap \mathbf{X}(S_{i+1})$ , we would have:

$$\begin{array}{rcl} d(x_{i-1},y) + d(y,x_{i+1}) & = & d(\tilde{x}_{i-1},\tilde{y}) + d(\tilde{y},\tilde{x}_{i+1}) \\ & < & d(\tilde{x}_{i-1},\tilde{x}_i) + d(\tilde{x}_i,\tilde{x}_{i+1}) \\ & = & d(x_{i-1},x_i) + d(x_i,x_{i+1}) \\ & = & d(x_{i-1},x_{i+1}) \end{array}$$

which contradicts the triangle inequality for d in  $\mathbf{X}(G)$ . It follows that there is no last outward move, i.e. no outward move at all. Thus for any  $\lambda \in [0,1]$ , if  $\tilde{x}(\lambda)$  is the point corresponding to  $x(\lambda) = (1-\lambda)y + \lambda z \in [y,z]$  on the articulated segment  $[\tilde{y},\tilde{z}]$  of our comparison triangle, the distance between  $\tilde{x}$  and  $\tilde{x}(\lambda)$  is not

greater in the final configuration than it was initially. Since the final distance is the actual distance between x and  $x(\lambda)$  in  $(\mathbf{X}(G),d)$ , this proves the required CAT(0) inequality for x and the standard geodesic segment  $x(-):[0,1]\to\mathbf{X}(G)$  from y to z. However, we still have to check that our metric space  $(\mathbf{X}(G),d)$  is unically geodesic in the usual sense. Suppose therefore that  $x'(-):[0,1]\to\mathbf{X}(G)$  is another geodesic segment between y and z. For  $\lambda\in[0,1]$ , the CAT(0)-inequality that we have just established for the point  $x'(\lambda)$  and the standard geodesic  $x(-):[0,1]\to\mathbf{X}(G)$  implies that  $x(\lambda)=x'(\lambda)$ , thus indeed x(-)=x'(-).

**5.3.2.** Discrete Buildings. The following axiom is a strengthening of R(i):  $R(i)^+$  For  $S, S' \in \mathbf{S}(G)$ , there is a  $g \in \mathsf{G}$  with

$$\operatorname{Int}(g)(S) = S'$$
 and  $g \equiv \operatorname{Id}$  on  $\mathbf{X}(S) \cap \mathbf{X}(S')$ .

LEMMA 99. A discrete affine  $\mathbf{F}(G)$ -building  $\mathbf{X}(G)$  satisfies  $R(i)^+$ .

PROOF. We may assume that  $Z=\mathbf{X}(S)\cap\mathbf{X}(S')\neq\emptyset$ . Then Z is a non-empty closed convex subset of the affine  $\mathbf{F}(S)$ -space  $\mathbf{X}(S)$ , therefore Z has non-empty interior as a subset of its affine span A in  $\mathbf{X}(S)$ . Let  $\sim$  be the equivalence relation on Z defined by  $x\sim y$  if and only if x and y have the same stabilizer in  $\mathsf{N}_G(S)$ . Since  $\mathbf{X}(G)$  is discrete, there are countably many equivalence classes, thus one of them at least, say  $E\subset Z$ , has the property that the closure of E has a non-empty interior in E. Then E is also the affine span of E or E in E in

Lemma 100. The metric of a discrete affine F(G)-building is complete.

PROOF. Suppose that  $\mathbf{X}(G)$  is discrete and equip  $\mathcal{C} = \mathsf{G} \backslash \mathbf{X}(G)$  with

$$\overline{d}(\alpha,\beta) = \inf D(\alpha,\beta)$$
 where  $D(\alpha,\beta) = \{d(x,y) : x \in \alpha, y \in \beta\}$ .

Fix  $S \in \mathbf{S}(G)$ . Then by R(i) and R(s), also  $\mathcal{C} = \mathsf{N}_G(S) \backslash \mathbf{X}(S)$  and

$$D(\alpha, \beta) = \{ d(a, n \cdot b) : n \in \mathsf{N}_G(S) \}$$

if (a, b) lifts  $(\alpha, \beta)$  in  $\mathbf{X}(S)$ . Since  $\mathsf{N}_G(S) \cdot b$  is discrete in the Euclidean space  $\mathbf{X}(S)$  by assumption, if follows that there is a constant  $\epsilon(\alpha, \beta) > 0$  such that

$$\forall (x,y) \in \alpha \times \beta : \ d(x,y) \le \overline{d}(\alpha,\beta) + \epsilon(\alpha,\beta) \Longrightarrow d(x,y) = \overline{d}(\alpha,\beta).$$

In particular,  $\overline{d}$  is a distance on  $\mathcal{C}$ . Moreover,  $(\mathcal{C}, \overline{d})$  is complete: if  $(\alpha_n)$  is a Cauchy sequence in  $\mathcal{C}$ , it lifts to a bounded sequence  $(a_n)$  in  $\mathbf{X}(S)$ , the latter has a subsequence  $(a_{\varphi(n)})$  converging to some a in  $\mathbf{X}(S)$ , whose image in  $\mathcal{C}$  is then a limit of  $(\alpha_n)$ . Let now  $(x_n)$  be a Cauchy sequence in  $(\mathbf{X}(G), d)$ . Its image  $(\alpha_n)$  is a Cauchy sequence in  $(\mathcal{C}, \overline{d})$ , which thus converges to some  $\alpha$  in  $\mathcal{C}$ . For each n, lift  $\alpha$  to some  $y_n \in \mathbf{X}(G)$  with  $d(x_n, y_n) = \overline{d}(\alpha_n, \alpha)$ . Then  $(y_n)$  is also a Cauchy sequence in  $\mathbf{X}(G)$ , hence  $d(y_n, y_m) \leq \epsilon(\alpha, \alpha)$  for  $n, m \gg 0$ , which implies that  $(y_n)$  is actually stationary and  $(x_n)$  converges to its limit:  $(\mathbf{X}(G), d)$  is complete.  $\square$ 

# 5.4. Walls and tight buildings

Let again  $\mathbf{X}(G)$  be an affine  $\mathbf{F}(G)$ -space.

**5.4.1.** For  $S \in \mathbf{S}(G)$ , let  $\Phi(S,G)$  be the set of roots of S in the Lie algebra

$$Lie(G) = \mathfrak{g} = \mathfrak{g}_0 \oplus \bigoplus_{a \in \Phi(S,G)} \mathfrak{g}_a.$$

We denote by  $U_a \subset G$  the root subgroup corresponding to some  $a \in \Phi(G, S)$ : if  $S_a$  denotes the neutral component of the kernel of  $a: S \to \mathbb{G}_{m,K}$ , then  $U_a$  is the unipotent radical of the unique parabolic subgroup of  $Z_G(S_a)$  containing  $Z_G(S)$  with Lie algebra  $\mathfrak{g}_0 \oplus \oplus_{b \in \mathbb{N}_a \cap \Phi(S,G)} \mathfrak{g}_b$ . If  $2a \in \Phi(S,G)$ , then  $U_{2a} \subset U_a$ .

**5.4.2.** For any  $u \in U_a \setminus \{1\}$ , there exists a unique triple  $(u_1, u_2, m(u))$  with  $u_1 u u_2 = m(u)$ ,  $u_1, u_2 \in U_{-a} \setminus \{1\}$  and  $m(u) \in N_G(S)$ .

Moreover,  $\nu_S^v(m(u))$  is the symmetry  $s_a \in \mathsf{W}_G(S)$  attached to a, given by

$$s_a: \mathbf{G}(S) \to \mathbf{G}(S), \quad s_a(x) = x - a(x)a^{\vee}$$

where  $a^{\vee}: \mathbb{G}_{m,K} \to S$  is the coroot corresponding to a and  $a(x) = a \circ x$  in

$$\mathbb{R} = \operatorname{Hom}(\mathbb{D}_K(\mathbb{R}), \mathbb{G}_{m,K}).$$

This follows from [5, §5] by [9, 6.1.2.2 & 6.1.3.c]. Considering the action of m(u) on  $\mathbf{X}(S)$ , we thus obtain a unique affine hyperplane  $\mathbf{X}(S,u)$  in  $\mathbf{X}(S)$  which is preserved by m(u). The underlying vector space is the fixed point set of  $s_a$ , namely

$$\mathbf{G}(S_a) = \{ x \in \mathbf{G}(S) : s_a(x) = x \} = \{ x \in \mathbf{G}(S) : a(x) = 0 \}$$

and m(u) acts on  $\mathbf{X}(S, u)$  by  $x \mapsto x + \nu_{\mathbf{X}}(S, u)$  for some  $v_{\mathbf{X}}(S, u) \in \mathbf{G}(S_a)$ .

EXAMPLE 101. For the affine  $\mathbf{F}(G)$ -space  $\mathbf{X}(G) = \mathbf{F}(G)$ ,  $\mathbf{X}(S,u) = \mathbf{G}(S_a)$  is the fixed point set of m(u) acting on  $\mathbf{G}(S) = \mathbf{F}(S)$  and  $\nu_{\mathbf{X}}(S,u) = 0$ .

**5.4.3.** Of course m(u) fixes  $\mathbf{X}(S,u)$  if and only if  $\nu_{\mathbf{X}}(S,u)=0$ , and this happens when m(u) already has finite order in  $\mathsf{N}_G(S)$ , which holds true for any  $u\in\mathsf{U}_a\setminus\{1\}$  if  $2a\notin\Phi(S,G)$ . Indeed, set  $\Phi'(S,G)=\{b\in\Phi(S,G):2b\notin\Phi(S,G)\}$ . This is again a root system and  $U_b\simeq\mathbb{G}_{a,K}^{n(b)}$  for some  $n(b)\geq 1$  for all  $b\in\Phi'(S,G)$ . Choose a set of simple roots  $\Delta'$  of  $\Phi'(S,G)$  containing a and choose for each  $b\in\Delta'$  a 1-dimensional K-subspace  $\mathsf{U}_b'$  in  $\mathsf{U}_b\simeq K^{n(b)}$ , with  $u\in\mathsf{U}_a'$ . Then by  $[\mathbf{5},7.2]$ , there is a unique split reductive subgroup G' of G containing S with  $\Phi(S,G')=\Phi'(S,G)$  such that the root subgroup  $U_b'$  of  $b\in\Delta'$  in G' is the subgroup of  $U_b$  determined by  $\mathsf{U}_b'$ , i.e.  $\mathsf{U}_b'=U_b'(K)$ . Then  $(Z_{G'}(S_a),S,a)$  is an elementary system in the sense of  $[\mathbf{14},\ XX\ 1.3]$  by  $[\mathbf{14},\ XIX\ 3.9]$ . Let  $f:S_{\mathcal{L}}\to Z_{G'}(S_a)$  be the corresponding morphism constructed in  $[\mathbf{14},\ XX\ 5.8]$  and let  $X\neq 0$  be the unique element of  $\mathcal{L}=\mathrm{Lie}(U_a')$  with  $f(\frac{1}{0},\frac{X}{1})=u$ . Since

$$\left(\begin{array}{cc} 1 & 0 \\ -X^{-1} & 1 \end{array}\right) \left(\begin{array}{cc} 1 & X \\ 0 & 1 \end{array}\right) \left(\begin{array}{cc} 1 & 0 \\ -X^{-1} & 1 \end{array}\right) = \left(\begin{array}{cc} 0 & X \\ -X^{-1} & 0 \end{array}\right)$$

in  $S_{\mathcal{L}}(K)$ , we find that

$$m(u)=f\left(\begin{array}{cc} 0 & X \\ -X^{-1} & 0 \end{array}\right), \quad m(u)^2=f\left(\begin{array}{cc} -1 & 0 \\ 0 & -1 \end{array}\right) \quad \text{and} \quad m(u)^4=1.$$

On the other hand if  $2a \in \Phi(G, S)$ , then [36, 1.15] provides examples where m(u) has infinite order. Note also that m(u) fixes  $\mathbf{X}(S, u)$  when there is a  $z \in \mathsf{Z}_G(S)$  such that  $zuz^{-1} = u^{-1}$ : since  $m(u^{-1}) = m(u)^{-1}$  and  $m(zuz^{-1}) = zm(u)z^{-1}$ ,

$$\begin{array}{lcll} \mathbf{X}(S,u^{-1}) & = & \mathbf{X}(S,u) \\ \nu_{\mathbf{X}}(S,u^{-1}) & = & -\nu_{\mathbf{X}}(S,u) \end{array} \quad \text{and} \quad \begin{array}{lcl} \mathbf{X}(S,zuz^{-1}) & = & \mathbf{X}(S,u) + \nu_{\mathbf{X},S}(z) \\ \nu_{\mathbf{X}}(S,zuz^{-1}) & = & \nu_{\mathbf{X}}(S,u) \end{array}$$

therefore  $zuz^{-1} = u^{-1}$  implies  $\nu_{\mathbf{X}}(S, u) = 0$ . Note also that since

$$(m(u)u_2m(u)^{-1})u_1u = m(u)$$
 and  $uu_2(m(u)^{-1}u_1m(u)) = m(u)$ 

we find that  $m(u_1) = m(u_2) = m(u)$ , thus

$$\mathbf{X}(S, u_1) = \mathbf{X}(S, u_2) = \mathbf{X}(S, u)$$
 and  $\nu_{\mathbf{X}}(S, u_1) = \nu_{\mathbf{X}}(S, u_2) = \nu_{\mathbf{X}}(S, u)$ .

**5.4.4.** For a subset  $\Omega \neq \emptyset$  of  $\mathbf{X}(S)$ , we denote by  $\mathsf{G}_{\Omega}$  the pointwise stabilizer of  $\Omega$  in  $\mathsf{G}$  and by  $\mathsf{G}_{S,\Omega}$  the subgroup of  $\mathsf{G}$  spanned by  $\mathsf{N}_G(S)_{\Omega} = \mathsf{G}_{\Omega} \cap \mathsf{N}_G(S)$  and

$$\{u \in \mathsf{U}_a \setminus \{1\} : a \in \Phi(G, S), \, \Omega \subset \mathbf{X}^+(S, u)\}$$

where for any  $a \in \Phi(G, S)$  and  $u \in U_a \setminus \{1\}$ ,

$$\mathbf{X}^+(S, u) = \mathbf{X}(S, u) + \{ \mathcal{F} \in \mathbf{F}(S) : a(\mathcal{F}) \ge 0 \}.$$

When  $\Omega = \{x\}$ , we simply write  $G_x = G_{\{x\}}$  and  $G_{S,x} = G_{S,\{x\}}$ . Thus

$$\mathsf{G}_{\Omega} = \cap_{x \in \Omega} \mathsf{G}_x$$
 and  $\mathsf{G}_{S,\Omega} \subset \cap_{x \in X} \mathsf{G}_{S,x}$ .

For  $\emptyset \neq \Omega' \subset \Omega \subset \mathbf{X}(S)$ ,  $\mathsf{G}_{\Omega} \subset \mathsf{G}_{\Omega'}$  and  $\mathsf{G}_{S,\Omega} \subset \mathsf{G}_{S,\Omega'}$ . Finally for  $g \in \mathsf{G}$  and  $S' = \mathrm{Int}(g)(S)$ ,  $\Omega' = g \cdot \Omega$ , one checks easily that

$$\operatorname{Int}(g)(\mathsf{G}_{\Omega}) = \mathsf{G}_{\Omega'}$$
 and  $\operatorname{Int}(g)(\mathsf{G}_{S,\Omega}) = \mathsf{G}_{S',\Omega'}$ .

**5.4.5. Example.** For the affine  $\mathbf{F}(G)$ -space  $\mathbf{X}(G) = \mathbf{F}(G)$  and  $u \in \mathsf{U}_a \setminus \{1\}$ ,

$$\mathbf{X}^+(S, u) = \{ \mathcal{F} \in \mathbf{F}(S) : a(\mathcal{F}) \ge 0 \}.$$

For  $\mathcal{F} \in \mathbf{F}(S)$ ,  $\mathsf{G}_{\mathcal{F}} = \mathsf{P}_{\mathcal{F}}$  and  $\mathsf{G}_{S,\mathcal{F}}$  is therefore the group spanned by  $\mathsf{N}_G(S) \cap \mathsf{P}_{\mathcal{F}}$  and the  $\mathsf{U}_a$ 's for  $a \in \Phi(G,S)$ ,  $a(\mathcal{F}) \geq 0$ . The  $\mathsf{U}_a$ 's with  $a(\mathcal{F}) > 0$  span  $\mathsf{U}_{\mathcal{F}}$  by [5, 3.11]. Moreover, the group  $\mathsf{N}_G(S) \cap \mathsf{P}_{\mathcal{F}} = \mathsf{N}_L(S)$  and the  $\mathsf{U}_a$ 's with  $a(\mathcal{F}) = 0$  together span  $\mathsf{L} = L(K)$  where L is the Levi subgroup of  $P_{\mathcal{F}}$  which contains  $Z_G(S)$ , by the Bruhat decomposition of  $\mathsf{L}$ , see [5, 5.15]. Therefore

$$\mathsf{G}_{S,\mathcal{F}} = \mathsf{P}_{\mathcal{F}} = \mathsf{G}_{\mathcal{F}}.$$

**5.4.6.** We next consider the following axioms:

ST (Stabilizers) For some (or every)  $S \in \mathbf{S}(G)$ ,

$$\forall x \in \mathbf{X}(S) : \mathsf{G}_{S,x} = \mathsf{G}_x.$$

 $ST^-$  For some (or every)  $S \in \mathbf{S}(G)$ ,

$$\forall x \in \mathbf{X}(S) : \mathsf{G}_{S,x} \subset \mathsf{G}_x.$$

 $ST_1^-$  For some (or every)  $S \in \mathbf{S}(G)$ ,

$$\forall \emptyset \neq \Omega \in \mathbf{X}(S) : \mathsf{G}_{S,\Omega} \subset \mathsf{G}_{\Omega}.$$

 $ST_2^-$  For some (or every)  $S \in \mathbf{S}(G)$  and any  $a \in \Phi(G,S)$ ,  $u \in \mathsf{U}_a \setminus \{1\}$ ,

$$\exists x \in \mathbf{X}(S, u) : ux = x.$$

 $UN^+$  For  $x \in \mathbf{X}(G)$ ,  $\mathcal{F} \in \mathbf{F}(G)$  and  $u \in \mathsf{U}_{\mathcal{F}}$ ,

$$\forall t \gg 0:$$
  $u(x+t\mathcal{F}) = x+t\mathcal{F}.$ 

Lemma 102. These axioms are related as follows:

$$ST \Longrightarrow ST^- \iff ST_1^- \iff ST_2^- \\ ST^- + L(s) \Longrightarrow UN^+ \Longrightarrow UN.$$

Under  $ST^-$ , for every  $S \in \mathbf{S}(G)$ ,  $a \in \Phi(G, S)$  and  $u \in U_a \setminus \{1\}$ ,  $\nu_{\mathbf{X}}(S, u) = 0$  and  $\mathbf{X}^+(S, u) = \{x \in \mathbf{X}(S) : ux = x\} = \{x \in \mathbf{X}(S) : ux \in \mathbf{X}(S)\}$ .

PROOF. Plainly,  $ST \Rightarrow ST^-$ ,  $ST^- \Leftrightarrow ST_1^-$  and  $UN^+ \Rightarrow UN$ . Fix  $S \in \mathbf{S}(G)$ ,  $a \in \Phi(G,S)$ ,  $u \in \mathsf{U}_a \setminus \{1\}$ . Since  $x \in \mathbf{X}(S,u)$  implies  $u \in \mathsf{G}_{S,x}$ ,  $ST^- \Rightarrow ST_2^-$ . On the other hand if u fixes some  $x \in \mathbf{X}(S)$ , it also fixes  $x + \mathcal{F}$  for every  $\mathcal{F} \in \mathbf{F}(S)$  with  $a(\mathcal{F}) \geq 0$  because  $a(\mathcal{F}) \geq 0 \iff U_a \subset P_{\mathcal{F}}$ , thus  $ST_2^- \Rightarrow ST^-$ . Under  $ST_2^-$ ,

$$\mathbf{X}^+(S, u) \subset \{x \in \mathbf{X}(S) : ux = x\} \subset \{x \in \mathbf{X}(S) : ux \in \mathbf{X}(S)\}.$$

Applying this to  $u_1, u_2 \in U_{-a} \setminus \{1\}$ , we obtain:  $u_1 \equiv u_2 \equiv \operatorname{Id}$  on  $\mathbf{X}(S) \setminus \mathbf{X}^+(S, u)$ . If x and ux belong to  $\mathbf{X}(S)$  but x does not belong to  $\mathbf{X}^+(S, u)$ , then ux also does not belong to  $\mathbf{X}^+(S, u)$ , however  $m(u)x = u_1uu_2x = u_1ux = ux$  does, a contradiction. This proves the required displayed equality, and  $\nu_{\mathbf{X}}(S, u) = 0$  since  $m(u) = u_1uu_2$  with  $u, u_1, u_2 \equiv \operatorname{Id}$  on  $\mathbf{X}(S, u) = \mathbf{X}(S, u_1) = \mathbf{X}(S, u_2)$ . Suppose finally that  $ST^-$  and L(s) hold. For  $x \in \mathbf{X}(G)$ ,  $\mathcal{F} \in \mathbf{F}(G)$  and any  $u \in U_{\mathcal{F}}$  with  $u \neq 1$ , pick  $S \in \mathbf{S}(G)$  with  $x \in \mathbf{X}(S)$  and  $\mathcal{F} \in \mathbf{F}(S)$  using L(s). Since  $U_{\mathcal{F}}$  is spanned by the  $U_a$ 's with  $u \in U_a$ ,  $u \in U_a$ ,

**5.4.7.** The next axiom is related to alcove-based retractions, see [27, 1.4].

*HA* (Half-apartments) For  $S_1, S_2, S_3 \in \mathbf{S}(G)$ , if  $\mathbf{X}(S_i) \cap \mathbf{X}(S_j)$  contains an half-subspace of  $\mathbf{X}(S_i)$  for every pair (i, j) in  $\{1, 2, 3\}^2$ , then

$$\mathbf{X}(S_1) \cap \mathbf{X}(S_2) \cap \mathbf{X}(S_3) \neq \emptyset.$$

Lemma 103. The axioms  $UN^+$  and HA imply  $ST^-$ .

PROOF. Fix  $S \in \mathbf{S}(G)$ ,  $a \in \Phi(G, S)$  and  $u \in U_a \setminus \{1\}$  and first note that

$${x \in \mathbf{X}(S) : ux \in \mathbf{X}(S)} = {x \in \mathbf{X}(S) : ux = x}.$$

Indeed if x and ux belong to  $\mathbf{X}(S)$ , pick  $\mathcal{F} \in \mathbf{F}(S)$  with  $a(\mathcal{F}) > 0$ . Then  $U_a \subset U_{\mathcal{F}}$ , thus  $ux + t\mathcal{F} = u(x + t\mathcal{F}) = x + t\mathcal{F}$  for  $t \gg 0$  by  $UN^+$  and ux = x since  $\mathbf{X}(S)$  is an affine  $\mathbf{F}(S)$ -space. For every  $t \in \mathbb{R}$ , we define

$$\mathbf{X}(S,u,t) = \mathbf{X}(S,u) + \{\mathcal{F} \in \mathbf{F}(S) : a(\mathcal{F}) = t\}$$

$$\mathbf{X}^+(S, u, t) = \mathbf{X}(S, u, t) + \{ \mathcal{F} \in \mathbf{F}(S) : a(\mathcal{F}) \ge 0 \}$$

$$\mathbf{X}^-(S, u, t) = \mathbf{X}(S, u, t) + \{ \mathcal{F} \in \mathbf{F}(S) : a(\mathcal{F}) \le 0 \}$$

If u fixes some  $x \in \mathbf{X}(S, u, t)$ , then also  $u \equiv \mathrm{Id}$  on  $\mathbf{X}^+(S, u, t)$  since

$$\forall \mathcal{F} \in \mathbf{F}(S) : a(\mathcal{F}) \geq 0 \iff U_a \subset P_{\mathcal{F}}.$$

By  $UN^+$ , u fixes some point in  $\mathbf{X}(S)$ , thus  $u \equiv \mathrm{Id}$  on  $\mathbf{X}^+(S, u, t)$  for  $t \gg 0$ . Let us now write  $u_1uu_2 = m(u)$  with  $u_1, u_2 \in \mathsf{U}_{-a} \setminus \{1\}$ . Then similarly for  $i \in \{1, 2\}$ ,

$${x \in \mathbf{X}(S) : u_i x \in \mathbf{X}(S)} = {x \in \mathbf{X}(S) : u_i x = x}$$

and  $u_i$  fixes  $\mathbf{X}^+(S, u_i, t) = \mathbf{X}^-(S, u, -t)$  for  $t \gg 0$ . Choose T > 0 such that

$$u \equiv \operatorname{Id} \text{ on } \mathbf{X}^+(S, u, T) \quad \text{and} \quad u_1 \equiv u_2 \equiv \operatorname{Id} \text{ on } \mathbf{X}^-(S, u, -T).$$

Then:  $\mathbf{X}(S)$  and  $u\mathbf{X}(S)$  contain the half-subspace  $\mathbf{X}^+(S, u, T)$ ,  $\mathbf{X}(S)$  and  $u_1^{-1}\mathbf{X}(S)$  contain  $\mathbf{X}^-(S, u, -T)$ , while  $u\mathbf{X}(S)$  and  $u_1^{-1}\mathbf{X}(S)$  contain

$$u\mathbf{X}^{-}(S, u, -T) = uu_2\mathbf{X}^{-}(S, u, -T) = u_1^{-1}m(u)\mathbf{X}^{-}(S, u, -T) = u_1^{-1}\mathbf{X}^{+}(S, u, T).$$

Thus by HA, there is a point  $x \in \mathbf{X}(S) \cap u\mathbf{X}(S) \cap u_1^{-1}\mathbf{X}(S)$ . Any such point is fixed by  $u^{-1}$  and  $u_1$ , thus also by  $m(u)u_2^{-1} = u_1u$ . In particular  $u_2^{-1}(x) = m(u)^{-1}(x)$  also belongs to  $\mathbf{X}(S)$ , so that again x is fixed by  $u_2$ , as well as  $m(u) = u_1uu_2$ . But then x belongs to  $\mathbf{X}(S,u) = \{x \in \mathbf{X}(S) : m(u)(x) = x\}$  and it is fixed by u, which proves  $ST_2^-$ , from which ST follows by the previous lemma.  $\square$ 

**5.4.8.** An affine  $\mathbf{F}(G)$ -building is *tight* if it satisfies ST. It then also satisfies the conclusion of lemma 102, and it is determined by its type. More precisely:

LEMMA 104. Suppose that  $\mathbf{X}(G)$  is a tight affine  $\mathbf{F}(G)$ -building and  $\mathbf{Y}(G)$  is an affine  $\mathbf{F}(G)$ -building which satisfies  $ST^-$ . Then  $\nu_{\mathbf{X}} = \nu_{\mathbf{Y}} \iff \mathbf{X}(G) \simeq \mathbf{Y}(G)$ .

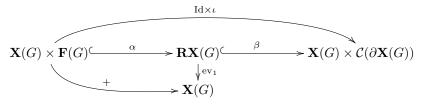
PROOF. We have to show that  $\nu_{\mathbf{X}} = \nu_{\mathbf{Y}}$  implies  $\mathbf{X}^e(G) \simeq \mathbf{Y}^e(G)$ . Suppose therefore that  $\nu_{\mathbf{X}} = \nu_{\mathbf{Y}}$ . Pick  $S \in \mathbf{S}(G)$ . By  $[\mathbf{30}, 2.1.9]$ , there is a finite subgroup of  $\mathsf{N}_G(S)$  which maps surjectively onto  $\mathsf{W}_G(S)$ , and which thus has unique fixed points  $x_S$  in  $\mathbf{X}^r(S)$  and  $y_S$  in  $\mathbf{Y}^r(S)$ . Let  $\theta_S : \mathbf{X}^e(G) \to \mathbf{Y}^e(G)$  be the unique isomorphism of affine  $\mathbf{F}(S)$ -spaces mapping  $(x_S, 0)$  to  $(y_S, 0)$ . Then  $\theta_S$  is  $\mathsf{N}_G(S)$ -equivariant, and it is the unique  $\mathsf{N}_G(S)$ -equivariant isomorphism of affine  $\mathbf{F}(S)$ -spaces from  $\mathbf{X}^e(S)$  to  $\mathbf{Y}^e(S)$  mapping  $\mathbf{X}^r(S)$  to  $\mathbf{Y}^r(S)$ . If  $\mathrm{Int}(g)(S) = S'$ , then  $g \circ \theta_S = \theta_{S'} \circ g$ . For  $x \in \mathbf{X}^e(S) \cap \mathbf{X}^e(S')$ , there is such a g in  $\mathsf{G}_x$  by R(i) for  $\mathbf{X}(G)$ . Thus g belongs to  $\mathsf{G}_{S,x}$  by ST for  $\mathbf{X}(G)$ , which equals  $\mathsf{G}_{S,\theta_S(x)}$  by definition. Then  $g \in \mathsf{G}_{\theta_S(x)}$  by our assumption on  $\mathbf{Y}(G)$ , thus  $\theta_{S'}(x) = \theta_{S'}(gx) = g\theta_S(x) = \theta_S(x)$ . Our isomorphisms  $\theta_S$  therefore glue to  $\theta : \mathbf{X}^e(G) \to \mathbf{Y}^e(G)$ , which is the desired isomorphism.  $\square$ 

REMARK 105. A tight affine  $\mathbf{F}(G)$ -building  $\mathbf{X}(G)$  can be retrieved from any apartment  $\mathbf{X}(S)$  together with its  $\mathsf{N}_G(S)$ -action. It is the quotient of  $\mathsf{G} \times \mathbf{X}(S)$  for the equivalence relation  $\sim$  induced by  $(g,x) \mapsto gx$ , which indeed only depends upon the apartment:  $(g,x) \sim (g',x')$  if and only if g' = gkn and  $x' = n^{-1}x$  for some  $k \in \mathsf{G}_{S,x}$  and  $n \in \mathsf{N}_G(S)$ .

## 5.5. Metric properties

Let  $\mathbf{X}(G)$  be an affine  $\mathbf{F}(G)$ -building. We shall here relate our mostly algebraic formalism to various notions pertaining to the non-canonical metric  $d=d_{\tau}$ : rays, tangent spaces and Busemann functions. For simplicity, we furthermore assume that  $(\mathbf{X}(G),d)$  is a CAT(0)-space.

## **5.5.1.** There is a G-equivariant commutative diagram



where the various new sets and maps are defined as follows:

•  $\mathbf{R}\mathbf{X}(G)$  is the set of all functions  $f: \mathbb{R}^+ \to \mathbf{X}(G)$  such that

$$\exists c_f \geq 0 \text{ s.t. } \forall t, u \in \mathbb{R}^+ : d(f(t), f(u)) = c_f |u - t|.$$

•  $\partial \mathbf{X}(G)$  is the visual boundary  $\{f \in \mathbf{RX}(G) : c_f = 1\} / \sim \text{ of } \mathbf{X}(G)$ , where the equivalence relation  $\sim$  is defined on the whole of  $\mathbf{RX}(G)$  by

$$f \sim g \iff t \mapsto d(f(t), g(t))$$
 is bounded.

•  $\mathcal{C}(\partial \mathbf{X}(G))$  is the cone  $(\mathbb{R}^+ \times \partial \mathbf{X}(G)) / \approx$  where the equivalence relation  $\approx$  just collapses  $\{0\} \times \partial \mathbf{X}(G)$  to a single point  $0 \in \mathcal{C}(\partial \mathbf{X}(G))$ , so that

$$f \mapsto [f] = \begin{cases} (c_f, \text{class of } f(c_f^{-1})) & \text{if } c_f \neq 0, \\ 0 & \text{if } c_f = 0, \end{cases}$$

identifies the quotient  $\mathbf{RX}(G)/\sim$  with the cone  $\mathcal{C}(\partial\mathbf{X}(G))$ .

•  $\alpha(x, \mathcal{F})(t) = x + t\mathcal{F}, \ \beta(f) = (f(0), [f]) \text{ and } ev_1(f) = f(1).$ 

By the axiom NE for  $\mathbf{X}(G)$ ,  $\beta \circ \alpha = \mathrm{Id}_{\mathbf{X}(G)} \times \iota$  for some G-equivariant map

$$\iota : \mathbf{F}(G) \hookrightarrow \mathcal{C}(\partial \mathbf{X}(G)).$$

The latter is injective: suppose that  $x+t\mathcal{F}\sim y+t\mathcal{G}$  and pick  $z\in\mathbf{X}(S)$  for some  $S\in\mathbf{S}(G)$  such that  $\mathcal{F},\mathcal{G}\in\mathbf{F}(S)$ . Then  $z+t\mathcal{F}\sim x+t\mathcal{F}\sim y+t\mathcal{G}\sim z+t\mathcal{G}$ , thus  $\mathcal{F}=\mathcal{G}$  since  $z+t\mathcal{F}\sim z+t\mathcal{G}$  in the affine  $\mathbf{F}(S)$ -space  $\mathbf{X}(S)$ . It follows that  $\alpha$  is also injective. Finally  $\beta$  is injective by convexity of the CAT(0)-distance d, and it is also surjective when  $(\mathbf{X}(G),d)$  is complete [8, II.8.2] (for instance in the discrete case, by lemma 100). By the axiom L(s),  $\alpha$  is bijective precisely when every geodesic ray in  $\mathbf{X}(G)$  is standard, in which case  $\iota$  and  $\beta$  are also bijective.

REMARK 106. The injectivity of  $\iota$  implies that the apartment map  $S \mapsto \mathbf{X}(S)$  is injective:  $\mathbf{X}(S)$  determines  $\mathcal{C}(\partial \mathbf{X}(S)) = \iota(\mathbf{F}(S))$ , thus also  $\mathbf{F}(S)$  and  $S \in \mathbf{S}(G)$ .

**5.5.2.** Fix  $x \in \mathbf{X}(G)$  and  $0 \neq \mathcal{F}, \mathcal{G} \in \mathbf{F}(G)$ , set  $y = x + \mathcal{F}$ ,  $z = x + \mathcal{G}$ . We may then define the following five different types of angles

$$0 \le \measuredangle_x(\mathcal{F}, \mathcal{G}) \le \measuredangle_x(\overrightarrow{xy}, \mathcal{G}) \le \measuredangle_x^c(y, z) \le \measuredangle(\overrightarrow{xy}, \mathcal{G}) \le \measuredangle^x(\mathcal{F}, \mathcal{G}) \le \pi.$$

First,  $\angle_x^c(y,z)$  is the angle at x in a comparison triangle for (x,y,z), so that

$$d(y,z) = (d(x,y)^2 + d(x,z)^2 - 2d(x,y)d(x,z)\cos\angle_x^c(y,z))^{1/2}.$$

More generally for every  $(t, u) \in \mathbb{R}_+$ , the distance  $d(x + t\mathcal{F}, x + u\mathcal{G})$  equals

$$\left(t^{2}\left\|\mathcal{F}\right\|^{2}+u^{2}\left\|\mathcal{G}\right\|^{2}-2tu\left\|\mathcal{F}\right\|\left\|\mathcal{G}\right\|\cos\mathcal{L}_{x}^{c}(x+t\mathcal{F},x+u\mathcal{G})\right)^{1/2}.$$

By [8, II.3.1], the comparison angle function

$$(t,u) \in \mathbb{R}^2 \mapsto \measuredangle_x^c(x+t\mathcal{F},x+u\mathcal{G}) \in [0,\pi]$$

is non-decreasing in both variables. We define

$$\begin{array}{lclcl} \measuredangle_x(\mathcal{F},\mathcal{G}) & = & \inf\left\{ \measuredangle_x^c(x+t\mathcal{F},x+u\mathcal{G}):t,u>0 \right\} & = & \lim_{t,u\to 0} \measuredangle_x^c(x+t\mathcal{F},x+u\mathcal{G}) \\ \measuredangle_x(\overrightarrow{xy},\mathcal{G}) & = & \inf\left\{ \measuredangle_x^c(y,x+u\mathcal{G}):u>0 \right\} & = & \lim_{u\to 0} \measuredangle_x^c(y,x+u\mathcal{G}) \\ \measuredangle(\overrightarrow{xy},\mathcal{G}) & = & \sup\left\{ \measuredangle_x^c(y,x+u\mathcal{G}):u>0 \right\} & = & \lim_{u\to \infty} \measuredangle_x^c(y,x+u\mathcal{G}) \\ \measuredangle^c(\mathcal{F},\mathcal{G}) & = & \sup\left\{ \measuredangle_x^c(x+t\mathcal{F},x+u\mathcal{G}):t,u>0 \right\} & = & \lim_{t,u\to \infty} \measuredangle_x^c(x+t\mathcal{F},x+u\mathcal{G}) \end{array}$$

We will also use the notations  $\angle_x(y,z) = \angle_x(\mathcal{F},\mathcal{G}) = \angle(\mathcal{F}_x,\mathcal{G}_x)$ .

**5.5.3.** Let us immediately observe that:

LEMMA 107. If  $\mathcal{G}$  belongs to  $\mathbf{G}(Z) \subset \mathbf{F}(G)$ , then

$$\angle_x(\mathcal{F},\mathcal{G}) = \angle_x(\overrightarrow{xy},\mathcal{G}) = \angle_x^c(y,z) = \angle(\overrightarrow{xy},\mathcal{G}) = \angle^x(\mathcal{F},\mathcal{G}).$$

PROOF. Pick  $S \in \mathbf{S}(G)$  with  $x \in \mathbf{X}(S)$ ,  $\mathcal{F} \in \mathbf{F}(S)$  using the axiom L(s) for  $\mathbf{X}(G)$ . Then also  $\mathcal{G} \in \mathbf{F}(S)$ , thus everything stays in the flat Euclidean affine  $\mathbf{F}(S)$ -space  $\mathbf{X}(S)$  on which all of our angles plainly agree.

**5.5.4.** The smallest of these angles, also denoted by  $\angle(\mathcal{F}_x, \mathcal{G}_x)$ , is the Alexandrov angle at x between the rays  $x + t\mathcal{F}$  and  $x + t\mathcal{G}$  [8, I.12]. It satisfies a triangle inequality: if  $\mathcal{H} \in \mathbf{F}(G)$  is yet another nonzero filtration, then by [8, I.14],

$$\angle_x(\mathcal{F},\mathcal{H}) \le \angle_x(\mathcal{F},\mathcal{G}) + \angle_x(\mathcal{G},\mathcal{H}).$$

The tangent cone at x is the quotient  $\mathbf{T}_x\mathbf{X}(G) = \mathbf{F}(G)/\sim_x$ , where  $\mathcal{F} \sim_x \mathcal{G}$  if and only if  $\|\mathcal{F}\| = \|\mathcal{G}\|$  and  $\mathcal{L}_x(\mathcal{F},\mathcal{G}) = 0$ . This definition agrees with [8, II.3.18] by the axiom R(s) for  $\mathbf{X}(G)$ . We denote by  $\mathrm{loc}_x(\mathcal{F}) = \mathcal{F}_x$  the class of  $\mathcal{F}$  in  $\mathbf{T}_x\mathbf{X}(G)$ . The norm  $\|-\|$  and Alexandrov angle  $\mathcal{L}_x(-,-)$  on  $\mathbf{F}(G)$  descend to a norm and angle on  $\mathbf{T}_x\mathbf{X}(G)$ , thereby justifying our notation  $\mathcal{L}(\mathcal{F}_x,\mathcal{G}_x) = \mathcal{L}_x(\mathcal{F},\mathcal{G})$ . We also define a scalar product and a distance function on  $\mathbf{T}_x\mathbf{X}(G)$  by the usual formulas:

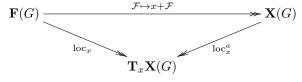
$$\langle \mathcal{F}_{x}, \mathcal{G}_{x} \rangle = \|\mathcal{F}_{x}\| \|\mathcal{G}_{x}\| \cos \angle (\mathcal{F}_{x}, \mathcal{G}_{x})$$
$$d(\mathcal{F}_{x}, \mathcal{G}_{x}) = \sqrt{\|\mathcal{F}_{x}\|^{2} + \|\mathcal{G}_{x}\|^{2} - 2\langle \mathcal{F}_{x}, \mathcal{G}_{x} \rangle}.$$

By definition of the Alexandrov angle,

$$d(\mathcal{F}_x, \mathcal{G}_x) = \lim_{t \to 0} \frac{1}{t} d(x + t\mathcal{F}, x + t\mathcal{G}).$$

These formulas for d respectively show that  $d(\mathcal{F}_x, \mathcal{G}_x) = 0$  if and only if  $\mathcal{F}_x = \mathcal{G}_x$ , and that  $d(\mathcal{F}_x, \mathcal{H}_x) \leq d(\mathcal{F}_x, \mathcal{G}_x) + d(\mathcal{G}_x, \mathcal{H}_x)$ . Thus d is indeed a distance on  $\mathbf{T}_x \mathbf{X}(G)$  and  $\mathcal{F} \sim_x \mathcal{G}$  if and only if  $\lim_{t\to 0} \frac{1}{t} d(x + t\mathcal{F}, x + t\mathcal{G}) = 0$ .

**5.5.5.** By the very definition of  $T_x X(G)$ , there is a commutative diagram



We may thus also define

**5.5.6.** Our second smallest angle actually equals the first one by [8, I.1.16]. Thus for  $y = x + \mathcal{F}$ , we have  $\angle_x(\overrightarrow{xy}, \mathcal{G}) = \angle(\mathcal{F}_x, \mathcal{G}_x)$ . Since

$$\lim_{t \to 0} \frac{1}{t} \left( d(y, x) - d(y, x + t\mathcal{G}) \right) = \|\mathcal{F}\| \|\mathcal{G}\| \cos \angle_x(\overrightarrow{xy}, \mathcal{G})$$

by definition of  $\angle_x(\overrightarrow{xy},\mathcal{G})$ , it follows that

$$\lim_{t \to 0} \frac{1}{t} \left( d(y, x) - d(y, x + t\mathcal{G}) \right) = \left\langle \operatorname{loc}_{x}^{a}(y), \operatorname{loc}_{x}\mathcal{G} \right\rangle.$$

**5.5.7.** By definition of our largest angle  $\angle^x(\mathcal{F},\mathcal{G})$ , we have

$$\lim_{t \to \infty} \frac{1}{t} d(x + t\mathcal{F}, x + t\mathcal{G}) = \sqrt{\|\mathcal{F}\|^2 + \|\mathcal{G}\|^2 - 2\|\mathcal{F}\| \|\mathcal{G}\| \cos \angle^x(\mathcal{F}, \mathcal{G})}.$$

For  $z_1, z_2 \in \mathbf{X}(G)$ ,  $x + t\mathcal{F} \sim z_1 + t\mathcal{F}$  and  $x + t\mathcal{G} \sim z_2 + t\mathcal{G}$ , thus also

$$\lim_{t \to \infty} \frac{1}{t} d(z_1 + t\mathcal{F}, z_2 + t\mathcal{G}) = \sqrt{\|\mathcal{F}\|^2 + \|\mathcal{G}\|^2 - 2\|\mathcal{F}\| \|\mathcal{G}\| \cos \angle^x(\mathcal{F}, \mathcal{G})}.$$

In particular,  $\angle^x(\mathcal{F}, \mathcal{G})$  is independent of x. Taking  $x \in \mathbf{X}(S)$  for some  $S \in \mathbf{S}(G)$  with  $\mathcal{F}, \mathcal{G} \in \mathbf{F}(S)$ , we find that  $\angle^x(\mathcal{F}, \mathcal{G}) = \angle(\mathcal{F}, \mathcal{G}) = \angle_x(\mathcal{F}, \mathcal{G})$ . Thus

$$d(\mathcal{F},\mathcal{G}) = \lim_{t \to \infty} \frac{1}{t} d(z_1 + t\mathcal{F}, z_2 + t\mathcal{G})$$

for every  $z_1, z_2 \in \mathbf{X}(G)$  and

$$\angle(\mathcal{F}, \mathcal{G}) = \max\{\angle(\mathcal{F}_x, \mathcal{G}_x) : x \in \mathbf{X}(G)\}, 
\langle \mathcal{F}, \mathcal{G} \rangle = \min\{\langle \mathcal{F}_x, \mathcal{G}_x \rangle : x \in \mathbf{X}(G)\}, 
d(\mathcal{F}, \mathcal{G}) = \max\{d(\mathcal{F}_x, \mathcal{G}_x) : x \in \mathbf{X}(G)\}.$$

**5.5.8.** Recall from [8, II.8.18-20] that for any  $y, z \in \mathbf{X}(G)$ , the function

$$t \mapsto d(y, z + t\mathcal{G}) - t \|\mathcal{G}\|$$

is non-increasing and bounded, the functions

$$y \mapsto d(y, z + t\mathcal{G}) - t \|\mathcal{G}\|$$

converge uniformly on bounded subsets of  $\mathbf{X}(G)$  as  $t \to \infty$  to

$$y \mapsto b_{z,\mathcal{G}}(y) = \lim_{t \to \infty} \left( d(y, z + t\mathcal{G}) - t \|\mathcal{G}\| \right),$$

and (for  $\mathcal{G} \neq 0$ ) the Busemann function in two variables

$$(x,y) \mapsto b_{\mathcal{G}}(x,y) = b_{z,\mathcal{G}}(y) - b_{z,\mathcal{G}}(x)$$

does not depend upon z. Note that the proof of this last statement in *loc. cit.*, which only uses the "if" part of [8, II.8.19], does indeed not require the ambient CAT(0)-space to be complete. For any  $\mathcal{G} \in \mathbf{F}(G)$  and  $x, y \in \mathbf{X}(G)$ , we set

$$\langle \overrightarrow{xy}, \mathcal{G} \rangle = \|\mathcal{G}\| \cdot \lim_{t \to \infty} \left( d(x, z + t\mathcal{G}) - d(y, z + t\mathcal{G}) \right)$$

which is thus well-defined, independent of z, and equal to  $\|\mathcal{G}\| \cdot b_{\mathcal{G}}(y, x)$  if  $\mathcal{G} \neq 0$ . For  $x, y, z \in \mathbf{X}(G)$ , we have  $\langle \overrightarrow{xz}, \mathcal{G} \rangle = \langle \overrightarrow{xy}, \mathcal{G} \rangle + \langle \overrightarrow{yz}, \mathcal{G} \rangle$ ,  $\langle \overrightarrow{yx}, \mathcal{G} \rangle + \langle \overrightarrow{xy}, \mathcal{G} \rangle = 0$ . Taking z = x in the formula defining  $\langle \overrightarrow{xy}, \mathcal{G} \rangle$ , we find that

$$\langle \overrightarrow{xy}, \mathcal{G} \rangle = d(x, y) \cdot ||\mathcal{G}|| \cdot \cos \angle (\overrightarrow{xy}, \mathcal{G})$$

by definition of our second largest angle  $\angle(\overrightarrow{xy},\mathcal{G})$ . The function

$$y \mapsto \langle \overrightarrow{xy}, \mathcal{G} \rangle = -\langle \overrightarrow{yx}, \mathcal{G} \rangle$$

is  $\|\mathcal{G}\|$ -Lipschitzian and concave (by convexity of d).

**5.5.9.** Returning to  $y = x + \mathcal{F}$  and  $z = x + \mathcal{G}$ , we obtain

$$\langle \mathcal{F}, \mathcal{G} \rangle \le \langle \overrightarrow{xy}, \mathcal{G} \rangle \le \frac{1}{2} \left( d(x, y)^2 + d(x, z)^2 - d(y, z)^2 \right) \le \langle \mathcal{F}_x, \mathcal{G}_x \rangle$$

with absolute values bounded by  $\|\mathcal{F}\| \|\mathcal{G}\|$ , as well as

$$d(\mathcal{F}_x, \mathcal{G}_x) \le d(y, z) \le \left(d(x, y)^2 + \|\mathcal{G}\|^2 - 2\langle \overrightarrow{xy}, \mathcal{G} \rangle\right)^{1/2} \le d(\mathcal{F}, \mathcal{G}).$$

In particular, the localization functions

$$(\mathbf{F}(G), d) \rightarrow (\mathbf{X}(G), d) \rightarrow (\mathbf{T}_x \mathbf{X}(G), d)$$

$$\mathcal{F} \mapsto x + \mathcal{F} = y \mapsto y_x = \mathcal{F}_x$$

are non-expanding. For  $S \in \mathbf{S}(G)$  with  $x \in \mathbf{X}(S)$ , they restrict to isometries

$$(\mathbf{F}(S), d) \simeq (\mathbf{X}(S), d) \simeq (\mathbf{T}_x \mathbf{X}(S), d)$$

where  $\mathbf{T}_x \mathbf{X}(S) = \log_x^a \mathbf{X}(S) = \log_x \mathbf{F}(S)$ . We refer to  $\mathbf{T}_x \mathbf{X}(S)$  as the apartment of S in  $\mathbf{T}_x \mathbf{X}(G)$ . It is a (complete thus) closed subset of  $\mathbf{T}_x \mathbf{X}(G)$ .

- **5.5.10.** Suppose that any two germs of geodesic segments in  $\mathbf{X}(G)$  issuing from the same point are contained in some apartment of  $\mathbf{X}(G)$ . This is for instance the case when the strengthening  $L(s)^+$  of L(s) holds for  $\mathbf{X}(G)$ . Then:
  - (1) The axiom R(s) holds for  $\mathbf{T}_x \mathbf{X}(G)$ , i.e. any two elements of  $\mathbf{T}_x \mathbf{X}(G)$  belong to  $\mathbf{T}_x \mathbf{X}(S)$  for some S in  $\mathbf{S}(x) = \{S \in \mathbf{S}(G) : x \in \mathbf{X}(S)\}$ ;
  - (2)  $\mathcal{F}_x = \mathcal{G}_x$  if and only if  $x + t\mathcal{F} = x + t\mathcal{G}$  for all sufficiently small  $t \geq 0$ ;
  - (3)  $(\mathbf{T}_x \mathbf{X}(G), d)$  is a CAT(0)-space; and
  - (4)  $v_2 \mapsto \langle v_1, v_2 \rangle$  is homogeneous, concave and  $||v_1||$ -Lipschitzian on  $\mathbf{T}_x \mathbf{X}(G)$ .

The first two properties are easy. To establish (3), first note that  $(\mathbf{T}_x\mathbf{X}(G), d)$  is a geodesic space by (1), so it remains to establish the CAT(0)-inequality. Let thus  $v, v_0, v_1$  be three points of  $\mathbf{T}_x\mathbf{X}(G)$  and choose  $S \in \mathbf{S}(x)$  such that  $v_0, v_1$  belong to  $\mathbf{T}_x\mathbf{X}(S)$ . Lift  $v_i$  to  $\mathcal{F}_i \in \mathbf{F}(S)$  and lift v to some  $\mathcal{F} \in \mathbf{F}(G)$ . For  $u \in [0, 1]$ , let  $\mathcal{F}_u = (1-u)\mathcal{F}_0 + u\mathcal{F}_1$  be the point at distance  $ud(\mathcal{F}_0, \mathcal{F}_1)$  from  $\mathcal{F}_0$  on the segment  $[\mathcal{F}_0, \mathcal{F}_1]$  of  $\mathbf{F}(S)$ . Then  $x_{u,t} = x + t\mathcal{F}_u$  is the point at distance  $ud(x_{0,t}, x_{1,t})$  from  $x_{0,t}$  on the segment  $[x_{0,t}, x_{1,t}]$  of  $\mathbf{X}(S)$  while  $v_u = \log_x \mathcal{F}_u$  is the point at distance  $ud(v_0, v_1)$  from  $v_0$  on the segment  $[v_0, v_1]$  of  $\mathbf{T}_x\mathbf{X}(S)$ . Set  $x_t = x + t\mathcal{F}$ . By the CAT(0)-inequality in  $\mathbf{X}(G)$  applied to the triangle  $(x_t, x_{0,t}, x_{1,t})$ ,

$$d(x_t, x_{u,t})^2 \le (1-u) \cdot d(x_t, x_{0,t})^2 + u \cdot d(x_t, x_{1,t})^2 - u(1-u) \cdot d(x_{0,t}, x_{1,t})^2.$$

Dividing by  $t^2$  and taking the limit as  $t \to 0$  gives

$$d(v, v_u)^2 \le (1 - u) \cdot d(v, v_0)^2 + u \cdot d(v, v_1)^2 - u(1 - u) \cdot d(v_0, v_1)^2$$

which is the CAT(0)-inequality for  $\mathbf{T}_x\mathbf{X}(G)$ . Given (3), the proof of (4) is entirely similar to that of corollary 77.

REMARK 108. By (2), the quotient  $\mathbf{T}_x\mathbf{X}(G)$  of  $\mathbf{F}(G)$  does not depend upon the chosen metric (i.e. chosen  $\tau$ ) for buildings satisfying the above condition on germs. Assuming instead that  $(\mathbf{X}(G), d)$  is complete, [8, II.3.19] shows that the completion of  $(\mathbf{T}_x\mathbf{X}(G), d)$  is always a CAT(0)-space.

**5.5.11.** If the axiom  $L(s)^+$  holds for  $\mathbf{X}(G)$ , then  $\mathcal{G} \mapsto \langle \overrightarrow{xy}, \mathcal{G} \rangle$  is d(x,y)-Lipschitzian. Indeed, for  $\mathcal{G}_1, \mathcal{G}_2 \in \mathbf{F}(G)$ , there is a subdivision  $x = x_0, \dots, x_n = y$  of the segment [x,y] of  $\mathbf{X}(G)$  and for each  $i \in \{1,\dots,n\}$ , tori  $S_{i,1}, S_{i,2} \in \mathbf{S}(G)$  such that  $[x_{i-1},x_i] \subset \mathbf{X}(S_{i,j})$  and  $\mathcal{G}_j \in \mathbf{F}(S_{i,j})$  for  $j \in \{1,2\}$  by lemma 96. Then

$$\langle \overrightarrow{xy}, \mathcal{G}_1 \rangle - \langle \overrightarrow{xy}, \mathcal{G}_2 \rangle = \sum_{i=0}^{n-1} \langle \overrightarrow{x_i x_{i+1}}, \mathcal{G}_1 \rangle - \langle \overrightarrow{x_i x_{i+1}}, \mathcal{G}_2 \rangle$$

with  $\langle \overrightarrow{x_i x_{i+1}}, \mathcal{G}_j \rangle = \langle \log_{x_i}^a(x_{i+1}), \log_{x_i}(\mathcal{G}_j) \rangle$ . Thus

$$|\langle \overrightarrow{xy}, \mathcal{G}_1 \rangle - \langle \overrightarrow{xy}, \mathcal{G}_2 \rangle| \le \sum_{i=0}^{n-1} \| \log_{x_i}^a(x_{i+1}) \| \cdot d(\log_{x_i}(\mathcal{G}_1), \log_{x_i}(\mathcal{G}_2))$$

because  $v_2 \mapsto \langle v_1, v_2 \rangle$  is  $||v_1||$ -Lipschitzian on  $\mathbf{T}_{x_i} \mathbf{X}(G)$ . Since

$$\|\log_{x_i}^a(x_{i+1})\| = d(x_i, x_{i+1})$$
 and  $d(\log_{x_i}(\mathcal{G}_1), \log_{x_i}(\mathcal{G}_2)) \le d(\mathcal{G}_1, \mathcal{G}_2)$ 

we obtain the desired inequality:

$$|\langle \overrightarrow{xy}, \mathcal{G}_1 \rangle - \langle \overrightarrow{xy}, \mathcal{G}_2 \rangle| \le \sum_{i=0}^{n-1} d(x_i, x_{i+1}) \cdot d(\mathcal{G}_1, \mathcal{G}_2) = d(x, y) \cdot d(\mathcal{G}_1, \mathcal{G}_2).$$

**5.5.12.** Convex projections. Let C be a closed convex subset of  $\mathbf{X}(G)$  which is complete in the induced topology. Then for every  $x \in \mathbf{X}(G)$ , there is a unique point p(x) in C such that  $d(x, p(x)) = d(x, C) = \inf \{d(x, y) : y \in C\}$ . We call

$$p: \mathbf{X}(G) \twoheadrightarrow C$$

the convex projection onto C. It is non-expanding, constant on the segment [x,p(x)], the map  $H:\mathbf{X}(G)\times[0,1]\to\mathbf{X}(G)$  associating to (x,t) the unique point at distance td(x,p(x)) from x on the segment [x,p(x)] is a continuous homotopy from  $\mathrm{Id}_{\mathbf{X}(G)}$  to p, and  $\angle_{p(x)}(x,y)\geq \frac{\pi}{2}$  for every  $y\in C$  by [8, II.2.4], thus also

$$\langle x, y \rangle_{n(x)} \le 0.$$

For any  $\mathcal{F} \in \mathbf{F}(G)$  such that  $p(x) + t\mathcal{F}$  belongs to C for all sufficiently small t > 0,

$$\left\langle \overrightarrow{p(x)x}, \mathcal{F} \right\rangle \leq \frac{1}{2} \left( d(x,C)^2 + \|\mathcal{F}\|^2 - d(x,p(x) + \mathcal{F})^2 \right) \leq \left\langle \log_{p(x)}^a(x), \log_{p(x)}(\mathcal{F}) \right\rangle \leq 0.$$

**5.6.** The affine 
$$\mathbf{F}(P/U)$$
-space  $\mathbf{T}_{P}^{\infty}\mathbf{X}(G)$ 

Let  $\mathbf{X}(G)$  be an affine  $\mathbf{F}(G)$ -building. Fix a parabolic subgroup P of G and let U be the unipotent radical of P.

- **5.6.1.** We have already seen that a Levi subgroup L of P determines:
- (1) a parabolic subgroup  $P_L^{\iota}$  of G opposed to P with  $P \cap P_L^{\iota} = L$ ,
- (2) a splitting map  $F^{-1}(P) \hookrightarrow \mathbf{G}(L)$ ,
- (3) an opposition map  $F^{-1}(P) \ni \mathcal{F} \mapsto \mathcal{F}_L^{\iota} \in F^{-1}(P_L),$
- (4) a section  $\mathbf{F}(P/U) \ni \mathcal{H} \mapsto \mathcal{H}_L \in \mathbf{F}(L)$  of  $Gr_P : \mathbf{F}(G) \twoheadrightarrow \mathbf{F}(P/U)$ ,
- (5) a fundamental domain  $\mathbf{X}(L) = \bigcup_{S \in \mathbf{S}(L)} \mathbf{X}(S)$  for the U-action on  $\mathbf{X}(G)$ ,
- (6) an L-equivariant, U-invariant retraction  $\mathbf{X}(G) \ni x \mapsto x_L \in \mathbf{X}(L)$ .

The splitting map takes  $\mathcal{F}$  to its unique splitting  $\mathcal{G}$  with  $Z_G(\mathcal{G}) = L$ . We have  $\mathcal{F}_L^{\iota} = \operatorname{Fil}(\iota \mathcal{G})$  and  $P_{\mathcal{F}_L^{\iota}} = P_L^{\iota}$ . The  $\operatorname{Gr}_P$ -map and its section are discussed in 4.1.15, and  $\operatorname{Gr}_P$  is defined everywhere by theorem 71. Finally (5) and (6) come from proposition 87, which also says that for any  $\mathcal{F}$  in the facet  $F^{-1}(P)$ ,

$$x_L = \lim_{t \to \infty} (x + t\mathcal{F}) + t\mathcal{F}_L^t \quad \text{in } \mathbf{X}(G).$$

**5.6.2.** For any  $x, y \in \mathbf{X}(G)$ , the following conditions are equivalent:

- (1)  $U \cdot x = U \cdot y$ ,
- (2)  $\lim_{t\to\infty} \mathbf{d}(x+t\mathcal{F},y+t\mathcal{F}) = 0$  for some (or every)  $\mathcal{F} \in F^{-1}(P)$ ,
- (3)  $\lim_{t\to\infty} d(x+t\mathcal{F},y+t\mathcal{F})=0$  for some (or every)  $\mathcal{F}\in F^{-1}(P)$ ,
- (4)  $x_L = y_L$  for some (or every) Levi subgroup L of P

Indeed  $(1) \Rightarrow (2)$  by the axiom UN,  $(2) \Rightarrow (3)$  is trivial,  $(3) \Rightarrow (4)$  because

$$(3) \stackrel{NE}{\Longrightarrow} \lim_{t \to \infty} d\left( (x + t\mathcal{F}) + t\mathcal{F}_L^{\iota}, (y + t\mathcal{F}) + t\mathcal{F}_L^{\iota} \right) = 0 \Longrightarrow (4)$$

and  $(4) \Rightarrow (1)$  is obvious. If  $\mathbf{X}(G)$  satisfies  $UN^+$ , they are also equivalent to:

(5)  $x + t\mathcal{F} = y + t\mathcal{F}$  for  $t \gg 0$ .

Indeed (1)  $\Rightarrow$  (5) by  $UN^+$  and plainly (5)  $\Rightarrow$  (3).

- **5.6.3.** For any  $\overline{S} \in \mathbf{S}(P/U)$ , there is a U-equivariant bijection between the set  $\mathbf{S}(P,\overline{S})$  of all  $S \in \mathbf{S}(G)$  with  $Z_G(S) \subset P$  such that  $P \to P/U$  induces an isomorphism from S to  $\overline{S}$ , and the set of all Levi subgroups L of P. It maps S to the unique Levi subgroup  $L_S$  containing  $Z_G(S)$  and L to the unique lift  $S_L$  of  $\overline{S}$  in  $L \simeq P/U$ , see lemma 63. In particular,  $\mathbf{S}(P,\overline{S})$  is a U-torsor.
  - **5.6.4.** There is a structure of affine  $\mathbf{F}(P/U)$ -space on  $U \setminus \mathbf{X}(G)$ ,

$$\mathbf{T}_P^\infty\mathbf{X}(G) = \left(\mathsf{U}\backslash\mathbf{X}(G), +, \mathbf{T}_P^\infty\mathbf{X}(-)\right).$$

The P/U-equivariant apartment map is defined by

$$\begin{aligned} \mathbf{T}_P^\infty \mathbf{X}(\overline{S}) &= & \mathsf{U} \backslash \cup_{S \in \mathbf{S}(P, \overline{S})} \mathbf{X}(S) \\ &= & \mathrm{image \ of} \ \mathbf{X}(S) \ \mathrm{in} \ \mathsf{U} \backslash \mathbf{X}(G) \ \mathrm{for \ any} \ S \in \mathbf{S}(P, \overline{S}). \end{aligned}$$

The P/U-equivariant pull map takes  $x \in U \setminus X(G)$  and  $\mathcal{H} \in \mathbf{F}(P/U)$  to

$$x + \mathcal{H} = \text{image of } x_L + \mathcal{H}_L \text{ in } \mathsf{U} \backslash \mathbf{X}(G)$$

where L is any Levi subgroup of P: if L' is another one, there is a unique  $u \in U$  such that  $\operatorname{Int}(u)(L) = L'$ . Then  $x_{L'} = ux_L$ ,  $\mathcal{H}_{L'} = u\mathcal{H}_L$ , thus  $x_{L'} + \mathcal{H}_{L'} = u(x_L + \mathcal{H}_L)$  and  $x_L + \mathcal{H}_L$  have the same image in  $U \setminus \mathbf{X}(G)$ . This defines an affine  $\mathbf{F}(P/U)$ -space: for any  $\overline{S} \in \mathbf{S}(P/U)$ ,  $x \mapsto x_L$  and  $\mathcal{H} \mapsto \mathcal{H}_L$  yield bijections  $\mathbf{T}_P^{\infty} \mathbf{X}(\overline{S}) \to \mathbf{X}(S_L)$  and  $\mathbf{F}(\overline{S}) \to \mathbf{F}(S_L)$ , thus + indeed induces a structure of affine  $\mathbf{F}(\overline{S})$ -space on  $\mathbf{T}_P^{\infty} \mathbf{X}(\overline{S})$ .

**5.6.5.** There is a P/U-invariant distance on  $\mathbf{T}_P^{\infty}\mathbf{X}(G)$ , given by the formulas:

$$d(x,y) = d(x_L, y_L)$$

$$= \lim_{t \to \infty} d(x_0 + t\mathcal{F}, y_0 + t\mathcal{F})$$

$$= \inf \{ d(x', y') : (x', y') \in \mathbf{X}(G)^2, (x', y') \mapsto (x, y) \}$$

In the first formula, L is any Levi subgroup of P. In the second formula,  $\mathcal{F} \in \mathbf{F}(G)$  belongs to the facet  $F^{-1}(P)$  and  $(x_0, y_0) \in \mathbf{X}(G)^2$  lifts (x, y). The three formulas agree: writing  $d_i$  for the function defined by the i-th formula, first note that  $d_2$  is well-defined (by NE), independent of the chosen lift (by UN), and not greater than  $d(x_0, y_0)$  (by NE). Therefore  $d_2(x, y) \leq d_3(x, y) \leq d_1(x, y)$ . But

$$d_{1}(x,y) = d\left(\lim_{t \to \infty} \left(\left(x_{0} + t\mathcal{F}\right) + t\mathcal{F}_{L}^{\iota}\right), \lim_{t \to \infty} \left(\left(y_{0} + t\mathcal{F}\right) + t\mathcal{F}_{L}^{\iota}\right)\right)$$

$$= \lim_{t \to \infty} d\left(\left(\left(x_{0} + t\mathcal{F}\right) + t\mathcal{F}_{L}^{\iota}\right), \left(\left(y_{0} + t\mathcal{F}\right) + t\mathcal{F}_{L}^{\iota}\right)\right)$$

$$\leq \lim_{t \to \infty} d\left(x_{0} + t\mathcal{F}, y_{0} + t\mathcal{F}\right) = d_{2}(x,y)$$

by NE, so that indeed  $d = d_1 = d_2 = d_3$ . It is obviously a P/U-invariant distance, and it restricts to a Euclidean norm on any apartment  $\mathbf{T}_P^{\infty}\mathbf{X}(\overline{S})$ . The projection

$$\operatorname{Gr}_P^{\infty}: \mathbf{X}(G) \twoheadrightarrow \mathbf{T}_P^{\infty} \mathbf{X}(G)$$

is non-expanding and restricts to an isometry on  $\mathbf{X}(L)$ .

**5.6.6.** If  $\mathbf{T}_P^{\infty}\mathbf{X}(G)$  satisfies R(s) and  $(\mathbf{X}(G),d)$  is a CAT(0)-metric space, then so is  $(\mathbf{T}_P^{\infty}\mathbf{X}(G),d)$ . Indeed, it is a geodesic space by R(s), so it remains to establish the CAT(0)-inequality. Let thus  $v,v_0,v_1$  be three points of  $\mathbf{T}_P^{\infty}\mathbf{X}(G)$  and choose  $\overline{S} \in \mathbf{S}(P/U)$  such that  $v_0,v_1$  belong to  $\mathbf{T}_P^{\infty}\mathbf{X}(\overline{S})$ . Lift  $\overline{S}$  to  $S \in \mathbf{S}(P,\overline{S})$  and  $v_i$  to  $x_i \in \mathbf{X}(S)$ , and lift v to  $x \in \mathbf{X}(G)$ . For  $u \in [0,1]$ , let  $x_u = (1-u)x_0+ux_1$  be the point at distance  $ud(x_0,x_1)$  from  $x_0$  on the segment  $[x_0,x_1]$  of  $\mathbf{X}(S)$ . Fix  $F \in \mathbf{F}(S)$  with  $P_F = P$ . Then for every  $t \geq 0$ ,  $x_{u,t} = x_u + t\mathcal{F}$  is the point at distance  $ud(x_0,x_1,x_{1,t})$  from  $x_0,t$  on the segment  $[x_0,t,x_{1,t}]$  of  $\mathbf{X}(S)$  and  $v_u = \mathrm{Gr}_P^{\infty}(x_u)$  is the point at distance  $ud(v_0,v_1)$  from  $v_0$  on the segment  $[v_0,v_1]$  of  $\mathbf{T}_P^{\infty}\mathbf{X}(\overline{S})$ . Set  $x_t = x + t\mathcal{F}$ . By the CAT(0)-inequality in  $\mathbf{X}(G)$ ,

$$d(x_t, x_{u,t})^2 \le (1 - u) \cdot d(x_t, x_{0,t})^2 + u \cdot d(x_t, x_{1,t})^2 - u(1 - u) \cdot d(x_{0,t}, x_{1,t})^2.$$

Taking the limit as  $t \to \infty$  gives

$$d(v, v_u)^2 \le (1 - u) \cdot d(v, v_0)^2 + u \cdot d(v, v_1)^2 - u(1 - u) \cdot d(v_0, v_1)^2$$

which is the CAT(0)-inequality for  $\mathbf{T}_{P}^{\infty}\mathbf{X}(G)$ .

REMARK 109. Suppose that for any  $x, y \in \mathbf{X}(G)$  and  $\mathcal{F} \in \mathbf{F}(G)$  there is an  $S \in \mathbf{S}(G)$  such that  $x + t\mathcal{F}$  and  $y + t\mathcal{F}$  belong to  $\mathbf{X}(S)$  for  $t \gg 0$ . Then also  $\mathcal{F} \in \mathbf{F}(S)$  and the axiom R(s) holds for  $\mathbf{T}_P^{\infty}\mathbf{X}(G)$ . If  $\mathbf{X}(G)$  satisfies  $UN^+$ , this condition on  $\mathbf{X}(G)$  is actually equivalent to the axiom R(s) for  $\mathbf{T}_P^{\infty}\mathbf{X}(G)$ .

- **5.6.7.** We shall always equip  $\mathbf{F}(P/U)$  with the scalar product, distance, norm... which are induced by the representation  $\mathrm{Gr}_{\mathcal{F}}^{\bullet}(\tau)$  of P/U. Here  $\mathcal{F}$  is any filtration in the facet  $F^{-1}(P)$ , and we view  $\mathrm{Gr}_{\mathcal{F}}^{\bullet}(\tau) = \oplus_{\gamma} \mathrm{Gr}_{\mathcal{F}}^{\gamma}(\tau)$  as a representation of P/U. If L is a Levi subgroup of P and  $\mathcal{G}$  is the corresponding splitting of  $\mathcal{F}$ , the restriction of  $\tau$  to L splits as  $\tau|L=\oplus\tau_{\gamma}$  with  $V(\tau_{\gamma})=\mathcal{G}_{\gamma}(\tau)$  and the isomorphism  $L\simeq P/U$  maps  $\tau_{\gamma}$  to the representation  $\mathrm{Gr}_{\mathcal{F}}^{\gamma}(\tau)$  of P/U, thus  $\tau|L$  to  $\mathrm{Gr}_{\mathcal{F}}^{\bullet}(\tau)$ . In particular,  $\mathrm{Gr}_{\mathcal{F}}^{\bullet}(\tau)$  is indeed a faithful representation of P/U and its isomorphism class  $\mathrm{Gr}_{P}^{\bullet}(\tau)$  does not depend upon  $\mathcal{F}$ . It follows from this conventions that the isomorphism  $\mathbf{F}(L)\simeq \mathbf{F}(P/U)$  is compatible with the scalar products, distances, norms... which are induced on  $\mathbf{F}(L)$  and  $\mathbf{F}(P/U)$  by the chosen faithful representation  $\tau$  of G.
- **5.6.8.** If  $\mathbf{T}_P^{\infty}\mathbf{X}(G)$  satisfies L(s), then  $d(x, x + \mathcal{H}) = \|\mathcal{H}\|$  for  $x \in \mathbf{T}_P^{\infty}\mathbf{X}(G)$  and  $\mathcal{H} \in \mathbf{F}(P/U)$ . Indeed, choose  $\overline{S} \in \mathbf{S}(P/U)$  with  $x \in \mathbf{T}_P^{\infty}\mathbf{X}(\overline{S})$  and  $\mathcal{H} \in \mathbf{F}(\overline{S})$ . Then  $x_L \in \mathbf{X}(S_L)$  and  $\mathcal{H}_L \in \mathbf{F}(S_L)$ , thus also  $x_L + \mathcal{H}_L \in \mathbf{X}(S_L)$ . In particular,  $(x + \mathcal{H})_L = x_L + \mathcal{H}_L$ , thus  $d(x, x + \mathcal{H}) = d(x_L, x_L + \mathcal{H}_L) = \|\mathcal{H}_L\| = \|\mathcal{H}\|$ : if the affine  $\mathbf{F}(P/U)$ -space  $\mathbf{T}_P^{\infty}\mathbf{X}(G)$  actually is an affine  $\mathbf{F}(P/U)$ -building, its "quotient" distance defined above agrees with its "building" distance defined in section 5.2.9.

**5.6.9.** Suppose again that  $(\mathbf{X}(G), d)$  is a CAT(0)-space. The Busemann scalar product of section 5.5.8 on  $\mathbf{X}(G)^2 \times \mathbf{F}(G)$ , namely

$$\langle \overrightarrow{xy}, \mathcal{F} \rangle = \|\mathcal{F}\| \cdot \lim_{t \to \infty} \left( d(x, z + t\mathcal{F}) - d(y, z + t\mathcal{F}) \right)$$

induces a function on  $\mathbf{T}_P^{\infty}\mathbf{X}(G)^2 \times F^{-1}(P)$ . Indeed for  $u, v \in \mathsf{U}$  and  $\mathcal{F} \in F^{-1}(P)$ ,

$$\lim_{t \to \infty} \left( d(ux, z + t\mathcal{F}) - d(vy, z + t\mathcal{F}) \right) = \lim_{t \to \infty} \left( d(x, u^{-1}z + t\mathcal{F}) - d(y, v^{-1}z + t\mathcal{F}) \right)$$
$$= \lim_{t \to \infty} \left( d(x, z + t\mathcal{F}) - d(y, z + t\mathcal{F}) \right)$$

by the triangle inequality and the axiom UN for  $\mathbf{X}(G)$ . If  $\mathbf{T}_P^{\infty}\mathbf{X}(G)$  moreover satisfies R(s), the resulting function depends only on the image  $\overline{\mathcal{F}} = \operatorname{Gr}_P \mathcal{F}$  of  $\mathcal{F}$  in

$$\mathbf{G}(\overline{R}(P)) = \mathbf{G}(Z(P/U)) \subset \mathbf{F}(P/U).$$

In fact, it is simply the corresponding Busemann scalar product

$$\langle \overrightarrow{xy}, \overline{\mathcal{F}} \rangle = \|\overline{\mathcal{F}}\| \cdot \lim_{t \to \infty} \left( d(x, z + t\overline{\mathcal{F}}) - d(y, z + t\overline{\mathcal{F}}) \right)$$

on the CAT(0)-space  $(\mathbf{T}_P^{\infty}\mathbf{X}(G), d)$ . Indeed, pick  $\overline{S} \in \mathbf{S}(P/U)$  with  $x, z \in \mathbf{T}_P^{\infty}\mathbf{X}(\overline{S})$ . Then  $x_L, z_L \in \mathbf{X}(S_L)$ ,  $\mathcal{F}$  equals  $(\overline{\mathcal{F}})_L$  and belongs to  $\mathbf{F}(S_L)$ ,  $z_L + t\mathcal{F}$  belongs to  $\mathbf{X}(S_L)$ , therefore  $(z + t\overline{\mathcal{F}})_L = (z_L + t(\overline{\mathcal{F}})_L)_L = (z_L + t\mathcal{F})_L = z_L + t\mathcal{F}$ , and finally

$$d(x, z + t\overline{\mathcal{F}}) = d(x_L, z_L + t\mathcal{F})$$

for all  $t \geq 0$ , which proves our claim since also  $\|\mathcal{F}\| = \|(\overline{\mathcal{F}})_L\| = \|\overline{\mathcal{F}}\|$ . However,  $z \mapsto z + t\overline{\mathcal{F}}$  is now a Clifford translation of  $\mathbf{T}_P^{\infty}\mathbf{X}(G)$  [8, II 6.14]: we have just seen that it corresponds to  $z_L \mapsto z_L + t\mathcal{F}$  on the isometric space  $\mathbf{X}(L)$ , and the latter map is non-expanding by NE with non-expanding inverse  $z_L \mapsto z_L + t\mathcal{F}_L^t$ . It is therefore an isometry, and a Clifford translation since  $d(z_L, z_L + t\mathcal{F}) = t \|\mathcal{F}\|$  for all  $z_L \in \mathbf{X}(L)$ . If  $\mathcal{F} \neq 0$ , it now follows from [8, II 6.15] that the comparison angle

$$t \mapsto \measuredangle_x^c(y, x + t\overline{\mathcal{F}})$$

is constant. Taking z=x in the defining formula for  $\langle \overrightarrow{xy}, \overline{\mathcal{F}} \rangle$ , we thus find that

$$\left\langle \overrightarrow{xy}, \overline{\mathcal{F}} \right\rangle = d(x,y) \cdot \|\mathcal{F}\| \cdot \cos \left( \lim_{t \to \infty} \angle_x^c(y, x + t \overline{\mathcal{F}}) \right)$$

equals

$$\langle \overrightarrow{xy}, \overline{\mathcal{F}}_x \rangle = d(x, y) \cdot \|\mathcal{F}\| \cdot \cos\left(\lim_{t \to 0} \mathcal{A}_x^c(y, x + t\overline{\mathcal{F}})\right).$$

Note that using [8, I.1.16] again, this is also equal to

$$\langle \log_x^a(y), \overline{\mathcal{F}}_x \rangle = d(x, y) \cdot ||\mathcal{F}|| \cdot \cos \left( \lim_{t \to 0} \angle_x^c(y_t, x + t\overline{\mathcal{F}}) \right)$$

where  $y_t = ty + (1 - t)x$  is the point at distance td(x, y) from x on the segment [x, y] of the CAT(0)-space  $\mathbf{T}_P^{\infty}\mathbf{X}(G)$ . In any case, we obtain yet another series of formulas for the relevant Busemann function on  $\mathbf{T}_P^{\infty}\mathbf{X}(G)$  or  $\mathbf{X}(G)$ .

REMARK 110. If the affine  $\mathbf{F}(P/U)$ -space  $\mathbf{T}_P^{\infty}\mathbf{X}(G)$  is an affine  $\mathbf{F}(P/U)$ -building, we may also directly apply lemma 107 to  $\mathcal{G} = \overline{\mathcal{F}} = \mathrm{Gr}_P(\mathcal{F})$ , thereby obtaining

$$\langle \overrightarrow{xy}, \mathcal{F} \rangle = \left\langle \operatorname{loc}_{\operatorname{Gr}_{P}^{\infty}(x)}^{a} \left( \operatorname{Gr}_{P}^{\infty}(y) \right), \operatorname{loc}_{\operatorname{Gr}_{P}^{\infty}(x)} \left( \operatorname{Gr}_{P}(\mathcal{F}) \right) \right\rangle$$

where the second scalar product is in the tangent space  $\mathbf{T}_{Gr_P(x)}(\mathbf{T}_P^{\infty}\mathbf{X}(G))$ .

**5.6.10.** If  $\mathbf{X}(L) + \mathbf{F}(L) \subset \mathbf{X}(L)$ , then  $\mathbf{X}(L)$  inherits from  $\mathbf{X}(G)$  a structure of affine  $\mathbf{F}(L)$ -space, and the restriction of  $\operatorname{Gr}_P^\infty : \mathbf{X}(G) \to \mathbf{T}_P^\infty \mathbf{X}(G)$  to  $\mathbf{X}(L)$  is an isomorphism of affine  $\mathbf{F}(L)$ -spaces – viewing  $\mathbf{T}_P^\infty \mathbf{X}(G)$  as an affine  $\mathbf{F}(L)$ -space through  $\mathbf{F}(L) \simeq \mathbf{F}(P/U)$ . Most of the above discussion then becomes much easier.

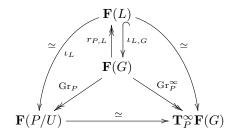
# 5.7. Example: F(G) as a tight affine F(G)-building

**5.7.1.** Recall from example 5.2.4 that  $(\mathbf{F}(G), +, \mathbf{F}(-))$  is a discrete affine  $\mathbf{F}(G)$ -space with trivial type. Under the identification  $\mathbf{F}(G) = \mathbf{F}(\omega_K^{\circ})$ , the pull map may be computed as follows: for  $\mathcal{F}_1, \mathcal{F}_2 \in \mathbf{F}(G)$ ,  $\rho \in \mathsf{Rep}^{\circ}(G)(K)$  and  $\gamma \in \mathbb{R}$ ,

$$(\mathcal{F}_1+\mathcal{F}_2)^{\gamma}(\rho)=\sum_{\gamma_1+\gamma_2=\gamma}\mathcal{F}_1^{\gamma_1}(\rho)\cap\mathcal{F}_2^{\gamma_2}(\rho).$$

We have already mentioned that  $\mathbf{F}(G)$  satisfies L(s) = R(s) by theorem 71 and L(i) = R(i) by corollary 72. Actually for  $\mathcal{F}, \mathcal{G} \in \mathbf{F}(G)$ , choosing S in  $\mathbf{S}(G)$  with  $\mathcal{F}, \mathcal{G} \in \mathbf{F}(S)$ , we find using 4.1.10 that  $\mathcal{F} + \eta \mathcal{G}$  belongs to a fixed closed chamber of  $\mathbf{F}(S)$  for all sufficiently small  $\eta \geq 0$ , from which the stronger axiom  $L(s)^+$  easily follows. We have also seen in example 5.4.5 that  $\mathbf{F}(G)$  satisfies the axiom ST. It thus satisfies  $UN^+$  and UN by lemma 102, and it is therefore a (tight) affine  $\mathbf{F}(G)$ -building by proposition 92. It also trivially satisfies the axiom HA, because every apartment contains the origin  $0 \in \mathbf{F}(G)$ . The latter is fixed by  $\mathbf{G}$ , and it follows from lemma 104 that  $\mathbf{F}(G)$  is, up to isomorphism, the unique affine  $\mathbf{F}(G)$ -building with a point fixed by  $\mathbf{G}$ . Indeed, any such building has trivial type and satisfies  $ST_2^-$ , thus also  $ST^-$  by lemma 102.

- **5.7.2.** The retractions of corollary 74 and proposition 87 agree, and so do the decompositions of sections 2.2.11 and 5.2.19 (with base point  $0 \in \mathbf{F}(G)$ ).
- **5.7.3.** The distance  $d = d_{\tau}$  of section 4.2.10 is equal to the corresponding distance on the affine  $\mathbf{F}(G)$ -building  $\mathbf{F}(G)$  defined in section 5.2.9. For  $\mathcal{F}, \mathcal{G} \in \mathbf{F}(G)$  and  $t \in [0,1]$ , the unique point at distance  $td(\mathcal{F},\mathcal{G})$  from  $\mathcal{F}$  on the segment  $[\mathcal{F},\mathcal{G}]$  in the CAT(0)-space  $(\mathbf{F}(G),d)$  is equal to the sum  $t\mathcal{G} + (1-t)\mathcal{F}$ , as defined above, of the rescaled filtrations  $t\mathcal{G}$  and  $(1-t)\mathcal{F}$  of  $\mathbf{F}(G)$ : this is obvious in any apartment.
- **5.7.4.** For a parabolic subgroup  $P = U \rtimes L$  of G with unipotent radical U and Levi subgroup L, there is a commutative diagram



where  $\iota_L : \mathbf{F}(L) \simeq \mathbf{F}(P/U)$  and  $\iota_{L,G} : \mathbf{F}(L) \hookrightarrow \mathbf{F}(G)$  are the L-equivariant maps functorially induced by the isomorphism  $L \simeq P/U$  and the embedding  $L \hookrightarrow G$ ,  $r_{P,L} : \mathbf{F}(G) \twoheadrightarrow \mathbf{F}(L)$  is the U-invariant L-equivariant retraction of corollary 74,  $\operatorname{Gr}_P$  is the P-equivariant morphism of section 2.3.4 (which is defined on the whole of  $\mathbf{F}(G)$  by theorem 71) and  $\operatorname{Gr}_P^{\infty} : \mathbf{F}(G) \twoheadrightarrow \mathbf{T}_P^{\infty}\mathbf{F}(G)$  is the P-equivariant quotient map onto  $\mathbf{T}_P^{\infty}\mathbf{F}(G) = \mathbf{U}\backslash\mathbf{F}(G)$ . Since  $\operatorname{Gr}_P$  is P-equivariant (thus U-invariant) and

 $\operatorname{Gr}_P \circ \iota_{L,G} = \iota_L$ , also  $\operatorname{Gr}_P = \iota_L \circ r_{P,L}$ . The right hand side triangles are plainly commutative, and this implies the existence of the bottom map bijection. One checks easily that it is an isomorphism of affine  $\mathbf{F}(P/U)$ -spaces. In particular:  $\mathbf{T}_P^{\infty}\mathbf{F}(G)$  is an affine  $\mathbf{F}(P/U)$ -building, its "quotient" and "building" metric agree by 5.6.8, thus  $\mathbf{F}(P/U) \to \mathbf{T}_P^{\infty}\mathbf{F}(G)$  is an isometry while  $\operatorname{Gr}_P : \mathbf{F}(G) \to \mathbf{F}(P/U)$  is non-expanding. This gives the following formula: for any  $\mathcal{F}, \mathcal{G}_1, \mathcal{G}_2 \in \mathbf{F}(G)$ ,

$$\lim_{t \to \infty} d\left(\mathcal{G}_1 + t\mathcal{F}, \mathcal{G}_2 + t\mathcal{F}\right) = d\left(\operatorname{Gr}_{\mathcal{F}}(\mathcal{G}_1), \operatorname{Gr}_{\mathcal{F}}(\mathcal{G}_2)\right) \le d(\mathcal{G}_1, \mathcal{G}_2)$$

where  $Gr_{\mathcal{F}} = Gr_{P_{\mathcal{F}}} : \mathbf{F}(G) \twoheadrightarrow \mathbf{F}(P_{\mathcal{F}}/U_{\mathcal{F}})$ . Also,

$$\langle \operatorname{Gr}_{P}(\mathcal{G}_{1}), \operatorname{Gr}_{P}(\mathcal{G}_{2}) \rangle \geq \langle \mathcal{G}_{1}, \mathcal{G}_{2} \rangle$$
  
 $\angle (\operatorname{Gr}_{P}(\mathcal{G}_{1}), \operatorname{Gr}_{P}(\mathcal{G}_{2})) \leq \angle (\mathcal{G}_{1}, \mathcal{G}_{2})$ 

since  $Gr_P$  contracts the distances and preserves the norms.

REMARK 111. Here is a more direct proof of the fact that  $Gr_P$  is non-expanding: starting with  $\mathcal{G}_1, \mathcal{G}_2 \in \mathbf{F}(G)$ , cut the segment  $[\mathcal{G}_1, \mathcal{G}_2]$  along its facet decomposition, going from  $\mathcal{H}_0 = \mathcal{G}_1$  to  $\mathcal{H}_n = \mathcal{G}_2$  with F constant on  $]\mathcal{H}_{i-1}, \mathcal{H}_i[$ . Then observe that  $Gr_P$  restrict to an isometry on any facet  $F^{-1}(Q)$ ,  $Q \in \mathbf{P}(G)$ : it restricts to an isometry on any apartment containing  $F^{-1}(P)$ , and there is at least one such apartment which also contains  $F^{-1}(Q)$  (along with its closure). Thus

$$d(\mathcal{G}_{1}, \mathcal{G}_{2}) = \sum_{i=1}^{n} d(\mathcal{H}_{i-1}, \mathcal{H}_{i})$$

$$= \sum_{i=1}^{n} d(\operatorname{Gr}_{P}(\mathcal{H}_{i-1}), \operatorname{Gr}_{P}(\mathcal{H}_{i}))$$

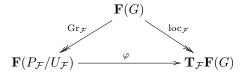
$$\geq d(\operatorname{Gr}_{P}(\mathcal{G}_{1}), \operatorname{Gr}_{P}(\mathcal{G}_{2}))$$

by the triangle inequality in  $\mathbf{F}(P/U)$ . One can also probably establish the inequalities using the explicit formulas for the scalar products, but this involves playing around with three filtrations. In any case, these approaches do not yield an exact formula relating the distances on  $\mathbf{F}(P/U)$  and  $\mathbf{F}(G)$ .

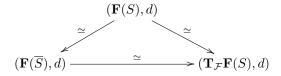
**5.7.5.** For  $\mathcal{F}, \mathcal{G} \in \mathbf{F}(G)$ , choose  $S \in \mathbf{S}(G)$  with  $\mathcal{F}, \mathcal{G} \in \mathbf{F}(S)$ . Then by 4.1.10, there is a facet  $F \subset \mathbf{F}(S)$  of  $\mathbf{F}(G)$  such that  $\mathcal{F}+t\mathcal{G}$  belongs to F for every sufficiently small t > 0. If  $P \supset Z_G(S)$  is the corresponding parabolic subgroup, then  $P \subset P_{\mathcal{F}}$  since  $\mathcal{F}$  belongs to the closure of F, thus also  $U_{\mathcal{F}} \subset U$  where U is the unipotent radical of P. In particular,  $\mathcal{F} + tu\mathcal{G} = u(\mathcal{F} + t\mathcal{G}) = \mathcal{F} + t\mathcal{G}$  for every  $u \in \mathsf{U}_{\mathcal{F}}$ , thus

$$loc_{\mathcal{F}}: \mathbf{F}(G) \twoheadrightarrow \mathbf{T}_{\mathcal{F}}\mathbf{F}(G) \qquad \mathcal{G} \mapsto germ \text{ of } (t \mapsto \mathcal{F} + t\mathcal{G})$$

is  $U_{\mathcal{F}}$ -invariant. Since  $Gr_{\mathcal{F}}$  induces a bijection  $U_{\mathcal{F}} \setminus \mathbf{F}(G) \simeq \mathbf{F}(P_{\mathcal{F}}/U_{\mathcal{F}})$ , it follows that there is a canonical  $P_{\mathcal{F}}$ -equivariant commutative diagram



For  $S \in \mathbf{S}(G)$  with  $\mathcal{F} \in \mathbf{F}(S)$ , it restrict to a commutative diagram of isometries



where  $\overline{S}$  is the image of S in  $P_{\mathcal{F}}/U_{\mathcal{F}}$ . Since any two elements  $x, y \in \mathbf{F}(P_{\mathcal{F}}/U_{\mathcal{F}})$  are contained in one such  $\mathbf{F}(\overline{S})$ , it follows that  $\varphi : \mathbf{F}(P_{\mathcal{F}}/U_{\mathcal{F}}) \to \mathbf{T}_{\mathcal{F}}\mathbf{F}(G)$  is an isometry. It is therefore also compatible with the relevant norms, angles and scalar products. This gives the following explicit formulas:

$$d\left(\operatorname{Gr}_{\mathcal{F}}(\mathcal{G}_1),\operatorname{Gr}_{\mathcal{F}}(\mathcal{G}_2)\right) = \lim_{t\to 0} \frac{1}{t} d(\mathcal{G}_1 + t\mathcal{F}, \mathcal{G}_2 + t\mathcal{F}) \le d(\mathcal{G}_1, \mathcal{G}_2)$$

and

$$\lim_{t\to 0} \frac{1}{t} \left( d(\mathcal{F} + \mathcal{G}_1, \mathcal{F}) - d(\mathcal{F} + \mathcal{G}_1, \mathcal{F} + t\mathcal{G}_2) \right) = \langle \operatorname{Gr}_{\mathcal{F}}(\mathcal{G}_1), \operatorname{Gr}_{\mathcal{F}}(\mathcal{G}_2) \rangle$$

for every  $\mathcal{G}_1, \mathcal{G}_2 \in \mathbf{F}(G)$ , with  $\|\mathrm{Gr}_{\mathcal{F}}(\mathcal{G})\| = \|\mathcal{G}\|$  and

$$\langle \operatorname{Gr}_{\mathcal{F}}(\mathcal{G}_1), \operatorname{Gr}_{\mathcal{F}}(\mathcal{G}_2) \rangle = \sum_{\gamma} \langle \operatorname{Gr}_{\mathcal{F}}^{\gamma}(\mathcal{G}_1, \tau), \operatorname{Gr}_{\mathcal{F}}^{\gamma}(\mathcal{G}_2, \tau) \rangle$$

Also:  $loc_{\mathcal{F}}(\mathcal{G}_1) = loc_{\mathcal{F}}(\mathcal{G}_2)$  if and only if  $U_{\mathcal{F}} \cdot \mathcal{G}_1 = U_{\mathcal{F}} \cdot \mathcal{G}_2$ .

REMARK 112. The previous results yield a  $P_{\mathcal{F}}$ -equivariant isometry between the tangent space  $\mathbf{T}_{\mathcal{F}}\mathbf{F}(G)$  at  $\mathcal{F}$  viewed as a point in the affine building and the "tangent space"  $\mathbf{T}_{\mathcal{F}}^{\infty}\mathbf{F}(G)$  at  $\mathcal{F}$  viewed as a boundary point. This reflects the homogeneity of the vectorial Tits building  $\mathbf{F}(G)$ : our isometry is induced by

(t small) 
$$\mathcal{F} + t\mathcal{G} \mapsto t^{-1}(\mathcal{F} + t\mathcal{G}) = \mathcal{G} + t^{-1}\mathcal{F}$$
 (t<sup>-1</sup> large)

**5.7.6.** There is also the localization map  $\log_{\mathcal{F}}^a : \mathbf{F}(G) \to \mathbf{T}_{\mathcal{F}}\mathbf{F}(G)$ , which sends  $\mathcal{G}$  to  $\log_{\mathcal{F}}^a(\mathcal{G}) = \log_{\mathcal{F}}(\mathcal{H})$  if  $\mathcal{G} = \mathcal{F} + \mathcal{H}$ . Define  $\operatorname{Gr}_{\mathcal{F}}^a = \varphi^{-1} \circ \operatorname{loc}_{\mathcal{F}}^a$ , so that

$$\mathbf{F}(G)$$

$$\operatorname{loc}_{\mathcal{F}}^{a}$$

$$\mathbf{F}(P_{\mathcal{F}}/U_{\mathcal{F}}) \xrightarrow{\varphi} \mathbf{T}_{\mathcal{F}}\mathbf{F}(G)$$

One checks easily in  $\mathbf{F}(S) \ni \mathcal{F}, \mathcal{G}$  that  $\mathrm{Gr}_{\mathcal{F}}^a(\mathcal{G}) + \overline{\mathcal{F}} = \mathrm{Gr}_{\mathcal{F}}(\mathcal{G})$ , where

$$\overline{\mathcal{F}} = \operatorname{Gr}_{\mathcal{F}}(\mathcal{F}) \in \mathbf{G}(Z(P_{\mathcal{F}}/U_{\mathcal{F}})) = \operatorname{Aut}(\mathbf{F}(P_{\mathcal{F}}/U_{\mathcal{F}}))$$

is the automorphism determined by  $\mathcal{F}$  (see 2.2.6, 5.1 and 5.2.18). Thus

$$\begin{split} \langle \operatorname{Gr}_{\mathcal{F}}^{a}(\mathcal{G}), \operatorname{Gr}_{\mathcal{F}}(\mathcal{H}) \rangle &= \langle \operatorname{Gr}_{\mathcal{F}}(\mathcal{G}), \operatorname{Gr}_{\mathcal{F}}(\mathcal{H}) \rangle - \langle \overline{\mathcal{F}}, \operatorname{Gr}_{\mathcal{F}}(\mathcal{H}) \rangle \\ &= \sum_{\gamma} \langle \operatorname{Gr}_{\mathcal{F}}^{\gamma}(\mathcal{G}, \tau), \operatorname{Gr}_{\mathcal{F}}^{\gamma}(\mathcal{H}, \tau) \rangle - \gamma \operatorname{deg}(\operatorname{Gr}_{\mathcal{F}}^{\gamma}(\mathcal{H}, \tau)) \end{split}$$

This gives an explicit formula for

$$\lim_{t\to 0} \frac{1}{t} \left( d(\mathcal{G}, \mathcal{F}) - d(\mathcal{G}, \mathcal{F} + t\mathcal{H}) \right) = \left\langle \operatorname{Gr}_{\mathcal{F}}^{a}(\mathcal{G}), \operatorname{Gr}_{\mathcal{F}}(\mathcal{H}) \right\rangle.$$

**5.7.7.** It is finally very easy to compute the Busemann functions:

$$b_{0,\mathcal{G}}(\mathcal{F}) = \lim_{t \to \infty} \left( d(\mathcal{F}, t\mathcal{G}) - t \|\mathcal{G}\| \right) = -\|\mathcal{F}\| \cos \angle (\mathcal{F}, \mathcal{G}).$$

It follows that for any  $\mathcal{F}, \mathcal{G}, \mathcal{H} \in \mathbf{F}(G)$ ,

$$\left\langle \overrightarrow{\mathcal{FG}},\mathcal{H}\right\rangle =\left\langle \mathcal{G},\mathcal{H}\right\rangle -\left\langle \mathcal{F},\mathcal{H}\right\rangle .$$

Thus if C is a closed convex subset of  $\mathbf{F}(G)$ ,  $\mathcal{F} \in C$  is the convex projection of some  $\mathcal{G} \in \mathbf{F}(G)$  and  $\mathcal{H} \in \mathbf{F}(G)$  satisfies  $\mathcal{F} + t\mathcal{H} \in C$  for all sufficiently t > 0, then

$$\langle \mathcal{G}, \mathcal{H} \rangle < \langle \mathcal{F}, \mathcal{H} \rangle$$
.

# 5.8. Example: a symmetric space

Let  $K = \mathbb{R}$  and G = GL(V), where V is an  $\mathbb{R}$ -vector space of dimension  $n \in \mathbb{N}$ .

**5.8.1.** By corollary 57, the tautological representation V of G identifies  $\mathbf{G}(G)$  and  $\mathbf{F}(G)$  with the sets  $\mathbf{G}(V)$  and  $\mathbf{F}(V)$  of all  $\mathbb{R}$ -graduations and  $\mathbb{R}$ -filtrations on V. Similarly, the action of G on the set  $\mathbb{P}^1(V)$  of  $\mathbb{R}$ -lines in V identifies  $\mathbf{S}(G)$  with

$$\mathbf{S}(V) = \left\{ \mathcal{S} \subset \mathbb{P}^1(V) : V = \bigoplus_{L \in \mathcal{S}} L \right\}.$$

We denote by  $\mathbf{F}(S)$  the apartment of  $\mathbf{F}(V)$  corresponding to  $S \in \mathbf{S}(V)$ . An  $\mathbb{R}$ -filtration  $\mathcal{F}$  on V thus belongs to  $\mathbf{F}(S)$  if and only if

$$\forall \gamma \in \mathbb{R}: \qquad \mathcal{F}^{\gamma} = \bigoplus_{L \in \mathcal{S}, \, \mathcal{F}^{\sharp}(L) > \gamma} L \quad \text{where} \quad \mathcal{F}^{\sharp}(L) = \sup\{\lambda : L \subset \mathcal{F}^{\lambda}\}.$$

We also identify  $\mathbf{C}(G)$  with  $\mathbb{R}^n_{\leq} = \{ \gamma_1 \leq \cdots \leq \gamma_n : \gamma_i \in \mathbb{R} \}$  by the map which sends  $t(\mathcal{F})$  to  $\underline{t}(\mathcal{F}) = (t_i(\mathcal{F}))_{i=1}^n$ , with  $\sharp \{i : t_i(\mathcal{F}) = \gamma\} = \dim_{\mathbb{R}} \mathrm{Gr}_{\mathcal{F}}^{\gamma}(V)$  for  $\gamma \in \mathbb{R}$ . The dominance order on  $\mathbf{C}(G)$  defined in section 5.1 corresponds to

$$(\gamma_i)_{i=1}^n \le (\gamma_i')_{i=1}^n \iff \begin{cases} \sum_{j=1}^n \gamma_j = \sum_{j=1}^n \gamma_j' & \text{and} \\ \sum_{j=i}^n \gamma_j \le \sum_{j=i}^n \gamma_j' & \text{for } 2 \le i \le n. \end{cases}$$

The length  $\|-\|: \mathbf{C}(G) \to \mathbb{R}_+$  attached to the tautological faithful representation V of G in 4.2.2 corresponds to the function  $\|-\|: \mathbb{R}^n_{<} \to \mathbb{R}_+$  given by

$$\|\gamma_1 \le \dots \le \gamma_n\| = \sqrt{\gamma_1^2 + \dots + \gamma_n^2}.$$

**5.8.2.** The exponential  $\exp : \mathbb{R} \to \mathbb{R}^{\times}$  defines an  $\mathbb{R}$ -valued section  $\exp$  of the multiplicative group  $\mathbb{D}(\mathbb{R})$ , whose evaluation at the character  $\gamma \in \mathbb{R}$  is given by

$$\gamma(\exp) = \exp(\gamma) \in \mathbb{R}^{\times}.$$

For  $\mathcal{G} \in \mathbf{G}(V)$ , we denote by  $\mathcal{G}^{\flat}$  the endomorphism of V which acts by  $\gamma \in \mathbb{R}$  on the direct summand  $\mathcal{G}_{\gamma}$  of V. Viewing  $\mathcal{G}$  as an  $\mathbb{R}$ -morphism  $\mathbb{D}(\mathbb{R}) \to G$ , we thus have  $\exp(\mathcal{G}^{\flat}) = \mathcal{G}(\exp)$  in  $\mathbf{G} = G(\mathbb{R})$ . The maps  $\mathcal{G} \mapsto \mathcal{G}^{\flat} \mapsto \exp(\mathcal{G}^{\flat})$  yield  $\mathbf{G}$ -equivariant bijections between  $\mathbf{G}(V)$ , the set of diagonalizable endomorphisms of V and the set of diagonalizable elements of  $\mathbf{G}$  with positive eigenvalues.

**5.8.3.** Let  $\mathbf{B}(V)$  be the space of all Euclidean norms  $\alpha$  on V, i.e. functions

$$\alpha: V \to \mathbb{R}_{\perp}$$

whose square  $\alpha^2$  is a positive definite quadratic form on V – thus  $\mathbf{B}(V)$  may also be viewed as the space of all scalar products on V, or as the space of all ellipsoids in V. The group  $\mathsf{G}$  acts transitively on  $\mathbf{B}(V)$  by  $(g \cdot \alpha)(v) = \alpha(g^{-1}v)$  and the stabilizer of  $\alpha$  is the orthogonal group  $\mathsf{O}(\alpha) \subset \mathsf{G}$ , thus  $\mathbf{B}(V) \simeq \mathsf{G}/\mathsf{O}(\alpha)$  is a smooth variety. We denote by  $\mathbf{G}(V,\alpha) \subset \mathbf{G}(V)$  the set of all  $\alpha$ -orthogonal  $\mathbb{R}$ -graduations on V, by  $\mathbf{Sym}(V,\alpha) = \mathbf{G}(V,\alpha)^{\flat}$  the set of all  $\alpha$ -symmetric endomorphisms of V, and by  $\mathsf{G}(\alpha) = \exp(\mathbf{Sym}(V,\alpha))$  the set of all  $\alpha$ -symmetric automorphisms of V with positive eigenvalues. Thus  $\mathbf{Sym}(V,\alpha)$  is the tangent space of  $\mathbf{B}(V)$  at  $\alpha$  and the polar decomposition in  $\mathsf{G}$  yields  $\mathsf{G} = \mathsf{G}(\alpha) \cdot \mathsf{O}(\alpha)$ . For  $\mathcal{F} \in \mathbf{F}(V)$  and  $\gamma \in \mathbb{R}$ , we denote by  $\mathcal{G}_{\alpha}(\mathcal{F})_{\gamma}$  the  $\alpha$ -orthogonal complement of  $\mathcal{F}_{+}^{\gamma}$  in  $\mathcal{F}^{\gamma}$ . Then  $\mathcal{G}_{\alpha}(\mathcal{F})$  is the unique splitting of  $\mathcal{F}$  in  $\mathbf{G}(V,\alpha)$  and  $\mathcal{G}_{\alpha} : \mathbf{F}(V) \to \mathbf{G}(V,\alpha)$  is an  $\mathsf{O}(\alpha)$ -equivariant section of  $\mathsf{Fil} : \mathbf{G}(V) \to \mathbf{F}(V)$ . We obtain a sequence of  $\mathsf{O}(\alpha)$ -equivariant bijections

$$\mathbf{F}(V) \xrightarrow{\mathcal{G}_{\alpha}} \mathbf{G}(V, \alpha) \xrightarrow{\flat} \mathbf{Sym}(V, \alpha) \xrightarrow{\exp} \mathsf{G}(\alpha) \xrightarrow{-\cdot \alpha} \mathbf{B}(V).$$

We set  $g_{\alpha}(\mathcal{F}) = \exp(\mathcal{G}_{\alpha}(\mathcal{F})^{\flat}) = \mathcal{G}_{\alpha}(\mathcal{F})(\exp) \in \mathsf{G}(\alpha)$  and define

$$\alpha + \mathcal{F} = q_{\alpha}(\mathcal{F}) \cdot \alpha$$
 in  $\mathbf{B}(V)$ .

Thus for any  $\alpha \in \mathbf{B}(V)$ ,  $\mathcal{F} \in \mathbf{F}(G)$  and  $v \in V$ ,

$$(\alpha + \mathcal{F})(v) = \alpha \left( \sum_{\gamma} e^{-\gamma} v_{\gamma} \right) : \quad v = \sum_{\gamma} v_{\gamma}, \quad v_{\gamma} \in \mathcal{G}_{\alpha}(\mathcal{F})_{\gamma}.$$

For  $S \in \mathbf{S}(V)$ , we denote by  $\mathbf{B}(S)$  the set of  $\alpha$ 's in  $\mathbf{B}(V)$  for which  $V = \bigoplus_{L \in S} L$  is an orthogonal decomposition. Thus for  $\alpha \in \mathbf{B}(S)$  and  $\mathcal{F} \in \mathbf{F}(S)$ , we find that

$$(\alpha + \mathcal{F})^2(v) = \sum_{L \in \mathcal{S}} (\alpha + \mathcal{F})^2(v_L) = \sum_{L \in \mathcal{S}} (e^{-\mathcal{F}^{\sharp}(L)}\alpha)^2(v_L)$$

where  $v = \sum_{L \in \mathcal{S}} v_L$  with  $v_L \in L$ , therefore also  $\alpha + \mathcal{F} \in \mathbf{B}(\mathcal{S})$ .

**5.8.4.** The above formulas show that  $\mathbf{B}(V) = (\mathbf{B}(V), \mathbf{B}(-), +)$  is an affine  $\mathbf{F}(V)$ -space. It is well-known that it satisfies R(s), and L(s) follows from the existence of the  $\alpha$ -orthogonal splittings. Moreover for any  $\alpha \in \mathbf{B}(V)$ , the pull map

$$\mathbf{F}(V) \to \mathbf{B}(V), \qquad \mathcal{F} \mapsto \alpha + \mathcal{F}$$

is an  $O(\alpha)$ -equivariant bijection. The Fischer-Courant theory tells us that the orbits of the diagonal action of G on  $\mathbf{B}(V) \times \mathbf{B}(V)$  are classified by a G-equivariant map

$$\underline{\mathbf{d}}: \mathbf{B}(V) \times \mathbf{B}(V) \to \mathbb{R}^n_{\leq}$$

whose *i*-th component  $\mathbf{d}_i : \mathbf{B}(V) \times \mathbf{B}(V) \to \mathbb{R}$  is given by

$$\mathbf{d}_i(\alpha,\beta) = -\log\left(\max\left\{\min\left\{\frac{\beta(x)}{\alpha(x)}: x \in W \setminus \{0\}\right\}: W \subset V, \dim_{\mathbb{R}} W = i\right\}\right).$$

Suppose that  $\alpha, \beta \in \mathbf{B}(S) \cap \mathbf{B}(S')$  and choose  $\mathbb{R}$ -basis  $e = (e_i)_{i=1}^n$  and  $e' = (e'_i)_{i=1}^n$  of V such that  $S = {\mathbb{R}e_i : i = 1, \dots, n}$ ,  $S' = {\mathbb{R}e'_i : i = 1, \dots, n}$ , e and e' are orthonormal for e, and e and e are orthonormal for e. Then necessarily

$$\forall i \in \{1, \dots, n\}: \quad \beta(e_i) = \exp\left(-\mathbf{d}_i(\alpha, \beta)\right) = \beta(e'_i)$$

The element  $g \in G$  mapping e to e' satisfies gS = S',  $g\alpha = \alpha$  and  $g\beta = \beta$ , which proves R(i). The resulting vectorial distance  $\mathbf{d}$  equals  $\underline{\mathbf{d}}$  under the identification  $\mathbf{C}(G) \simeq \mathbb{R}^n_{\leq}$ , i.e. for every  $\alpha \in \mathbf{B}(V)$  and  $\mathcal{F} \in \mathbf{F}(V)$ ,  $\underline{\mathbf{d}}(\alpha, \alpha + \mathcal{F}) = \underline{t}(\mathcal{F})$ . Indeed for any  $X \in \mathbf{Sym}(V, \alpha)$  and  $\beta = \exp(X) \cdot \alpha$ , we have

$$\underline{\mathbf{d}}(\alpha,\beta) = (\gamma_1, \cdots, \gamma_n)$$
 in  $\mathbb{R}^n$ 

where  $\gamma_1 \leq \cdots \leq \gamma_n$  are the eigenvalues of X counted with multiplicities.

**5.8.5.** Define 
$$\mathbf{d}^{i}(\alpha, \beta) = \sum_{j=0}^{i-1} \mathbf{d}_{n-j}(\alpha, \beta)$$
, so that  $\mathbf{d}^{i}(\alpha, \beta) = \max_{j=0}^{i} \mathbf{d}^{j}(\alpha|W, \beta|W) : W \in V$  distance.

$$\mathbf{d}^{i}(\alpha, \beta) = \max \left\{ \mathbf{d}^{i}(\alpha | W, \beta | W) : W \subset V, \dim_{\mathbb{R}} W = i \right\}$$
$$= \log \max \left\{ \frac{\Lambda^{i}(\alpha)(v)}{\Lambda^{i}(\beta)(v)} : v \in \Lambda^{i}(V) \setminus \{0\} \right\}$$

where  $\Lambda^{i}(\alpha)$  is the Euclidean norm on  $\Lambda^{i}(V)$  induced by  $\alpha$ . We have

$$\mathbf{d}^{n}(\alpha, \beta) = \log \left( \frac{\int_{\beta(v) \le 1} dv}{\int_{\alpha(v) \le 1} dv} \right)$$

for any Borel measure dv on V, therefore

$$\mathbf{d}^{n}(\alpha, \gamma) = \mathbf{d}^{n}(\alpha, \beta) + \mathbf{d}^{n}(\beta, \gamma),$$

$$\mathbf{d}^{n}(\alpha, g\alpha) = \log|\det(g)|,$$

$$\mathbf{d}^{n}(\alpha, \alpha + \mathcal{F}) = \sum_{\alpha} \gamma \dim_{\mathbb{R}} \operatorname{Gr}_{\mathcal{F}}^{\gamma}.$$

In particular, if  $\mathbf{d}^i(\alpha, \gamma) = \mathbf{d}^i(\alpha | W, \gamma | W)$  for some  $W \subset V$ ,  $\dim_{\mathbb{R}} W = i$ , then

$$\mathbf{d}^{i}(\alpha, \gamma) = \mathbf{d}^{i}(\alpha | W, \beta | W) + \mathbf{d}^{i}(\beta | W, \gamma | W) \le \mathbf{d}^{i}(\alpha, \beta) + \mathbf{d}^{i}(\beta, \gamma)$$

i.e.  $\underline{\mathbf{d}}$  satisfies the triangle inequality TR.

**5.8.6.** We next show that for any  $\alpha \in \mathbf{B}(V)$  and  $\mathcal{F}, \mathcal{G} \in \mathbf{F}(V)$ ,

$$2 \cdot \underline{\mathbf{d}}(\alpha + \mathcal{F}, \alpha + \mathcal{G}) \le \underline{\mathbf{d}}(\alpha + 2\mathcal{F}, \alpha + 2\mathcal{G})$$
 in  $\mathbb{R}^n_{<}$ .

Put  $f = g_{\alpha}(\mathcal{F})$ ,  $g = g_{\alpha}(\mathcal{G})$ . Then  $f^2 = g_{\alpha}(2\mathcal{F})$ ,  $g^2 = g_{\alpha}(2\mathcal{G})$  and we have to show  $2 \cdot \underline{\mathbf{d}}(f^{\alpha}, g^{\alpha}) \leq \underline{\mathbf{d}}(f^2 \alpha, g^2 \alpha)$ .

Let  $h\mapsto h^*$  be the involution of  $\mathsf{G}$  defined by  $\alpha$ , so that  $f^*=f, \ g^*=g$  and  $f^{-2}g^2$  is conjugated to  $gf^{-2}g=(gf^{-1})(gf^{-1})^*$ . For  $1\leq i\leq n$  and  $h\in \mathsf{G}$ , write  $\lambda_i(h)$  for the largest real eigenvalue of h acting on  $\Lambda^i(V)$  and denote by  $\langle -,-\rangle_{\alpha,i}$  the scalar product on  $\Lambda^i(V)$  attached to its Euclidean norm  $\Lambda^i(\alpha)$ . Then

$$\exp\left(\mathbf{d}^{i}(f^{2}\alpha, g^{2}\alpha)\right) = \max\left\{\frac{\Lambda^{i}(\alpha)(f^{-2}v)}{\Lambda^{i}(\alpha)(g^{-2}v)} : v \in \Lambda^{i}(V) \setminus \{0\}\right\}$$

$$= \max\left\{\frac{\Lambda^{i}(\alpha)(f^{-2}g^{2}v)}{\Lambda^{i}(\alpha)(v)} : v \in \Lambda^{i}(V) \setminus \{0\}\right\}$$

$$\geq \lambda_{i}(f^{-2}g^{2}) = \lambda_{i}(gf^{-2}g)$$

$$= \max\left\{\frac{\langle gf^{-2}gx, x\rangle_{\alpha, i}}{\langle x, x\rangle_{\alpha, i}} : x \in \Lambda^{i}(V) \setminus \{0\}\right\}$$

$$= \max\left\{\frac{\langle f^{-1}x, f^{-1}x\rangle_{\alpha, i}}{\langle g^{-1}x, g^{-1}x\rangle_{\alpha, i}} : x \in \Lambda^{i}(V) \setminus \{0\}\right\}$$

$$= \exp\left(2\mathbf{d}^{i}(f\alpha, g\alpha)\right)$$

with equality for i = n, which proves our claim. Thus  $\underline{\mathbf{d}}$  satisfies CO'' and CO.

**5.8.7.** For  $\alpha \in \mathbf{B}(V)$  and  $\mathcal{F} \in \mathbf{F}(V)$ , we denote by  $\mathrm{Gr}_{\mathcal{F}}(\alpha)$  the Euclidean norm on  $\mathrm{Gr}_{\mathcal{F}}^{\bullet}(V)$  induced by  $\alpha$  through the isomorphism  $V \simeq \mathrm{Gr}_{\mathcal{F}}(V)$  provided by the  $\alpha$ -orthogonal splitting  $\mathcal{G}_{\alpha}(\mathcal{F})$  of  $\mathcal{F}$ . We claim that for every  $\alpha, \beta \in \mathbf{B}(V)$ ,

$$\lim_{t \to \infty} \underline{\mathbf{d}}(\alpha + t\mathcal{F}, \beta + t\mathcal{F}) = \underline{\mathbf{d}}(\mathrm{Gr}_{\mathcal{F}}(\alpha), \mathrm{Gr}_{\mathcal{F}}(\beta)) \quad \text{in} \quad \mathbb{R}^n_{<}.$$

Indeed, choosing an isomorphism  $(\mathcal{G}_{\alpha}(\mathcal{F})_{\gamma}, \alpha | \mathcal{G}_{\alpha}(\mathcal{F})_{\gamma}) \simeq (\mathcal{G}_{\beta}(\mathcal{F})_{\gamma}, \beta | \mathcal{G}_{\beta}(\mathcal{F})_{\gamma})$  for every  $\gamma \in \mathbb{R}$ , we obtain an element  $g \in G$  which fixes  $\mathcal{F}$  and maps  $\alpha$  to  $\beta$ . It then also maps  $\alpha + t\mathcal{F} = g_{\alpha}(t\mathcal{F}) \cdot \alpha$  to  $\beta + t\mathcal{F} = gg_{\alpha}(t\mathcal{F}) \cdot \alpha$ , so that

$$\underline{\mathbf{d}}(\alpha + t\mathcal{F}, \beta + t\mathcal{F}) = \underline{\mathbf{d}}(\alpha, g_{\alpha}^{-1}(t\mathcal{F})gg_{\alpha}(t\mathcal{F}) \cdot \alpha).$$

Let  $L_{\alpha}(\mathcal{F})$  be the centralizer of  $\mathcal{G}_{\alpha}(\mathcal{F})$ , so that  $P_{\mathcal{F}} = U_{\mathcal{F}} \rtimes L_{\alpha}(\mathcal{F})$ . Write  $g = u \cdot \ell$  with  $u \in \mathsf{U}_{\mathcal{F}}$  and  $\ell \in \mathsf{L}_{\alpha}(\mathcal{F})$ , so that  $g_{\alpha}^{-1}(t\mathcal{F})gg_{\alpha}(t\mathcal{F}) = g_{\alpha}^{-1}(t\mathcal{F})ug_{\alpha}(t\mathcal{F}) \cdot \ell$ . Let then  $\mathfrak{u}_{\mathcal{F}} = \bigoplus_{\gamma > 0} \mathfrak{u}_{\gamma}$  be the weight decomposition of  $\mathfrak{u}_{\mathcal{F}} = \mathrm{Lie}(U_{\mathcal{F}})(\mathbb{R})$  induced by

$$ad \circ \mathcal{G}_{\alpha}(\mathcal{F}) : \mathbb{D}(\mathbb{R}) \to G \to GL(\mathfrak{g})$$

where  $\mathfrak{g} = \text{Lie}(G)(\mathbb{R})$ . Then  $g_{\alpha}(t\mathcal{F})$  acts on  $\mathfrak{u}_{\gamma}$  by  $\exp(t\gamma)$ , from which easily follows that  $g_{\alpha}^{-1}(t\mathcal{F})ug_{\alpha}(t\mathcal{F})$  converges to 1 in  $U_{\mathcal{F}}$  (for the real topology). It follows that

$$\lim_{t \to \infty} \underline{\mathbf{d}}(\alpha + t\mathcal{F}, \beta + t\mathcal{F}) = \underline{\mathbf{d}}(\alpha, \ell\alpha) = \underline{\mathbf{d}}(\mathrm{Gr}_{\mathcal{F}}(\alpha), \mathrm{Gr}_{\mathcal{F}}(\beta)).$$

Taking  $\beta = u\alpha$  with  $u \in U_{\mathcal{F}}$ , we obtain UN. On the other hand for any  $\beta$ , since

$$\mathbb{R}_+ \ni t \mapsto \underline{\mathbf{d}}(\alpha + t\mathcal{F}, \beta + t\mathcal{F}) \in \mathbb{R}^n_<$$

is convex and bounded, it is non-increasing, which proves NE.

5.8.8. Let  $d = \|\mathbf{d}\|$  be the G-invariant distance on  $\mathbf{B}(V)$  attached to the faithful representation V of  $\mathsf{G}$ , as in 5.2.9. We claim that the metric space  $(\mathbf{B}(V),d)$  is CAT(0). In particular, it is uniquely geodesic, thus  $\mathbf{B}(V)$  also satisfies UG. To establish our claim, fix  $\alpha \in \mathbf{B}(V)$ , choose an  $\alpha$ -orthonormal basis  $(e_i)_{i=1}^n$  of V and use it to identify  $\mathsf{G}$  with  $GL(n,\mathbb{R})$ ,  $\mathbf{Sym}(V,\alpha)$  with the vector space  $S(n,\mathbb{R})$  of symmetric matrices in  $M(n,\mathbb{R})$  and  $\mathsf{G}(\alpha)$  with the open cone  $P(n,\mathbb{R}) \subset S(n,\mathbb{R})$  of positive definite matrices. Let  $\langle -, - \rangle$  be the scalar product on V attached to  $\alpha$  and  $g \mapsto g^*$  the corresponding involution of  $\mathsf{G}$ . For  $p \in \mathsf{G}(\alpha)$ ,  $g \in \mathsf{G}$  and  $v \in V$ , set  $g \cdot p = gpg^*$  and  $\alpha_p(v) = \langle pv, v \rangle^{1/2}$ . This defines an action of  $\mathsf{G}$  on  $\mathsf{G}(\alpha)$  and  $p \mapsto \alpha_p$  is an isomorphism of differentiable manifold  $\mathsf{G}(\alpha) \to \mathsf{B}(V)$  such that

$$\alpha_{q \cdot p} = (g^*)^{-1} \cdot \alpha_p$$
 and  $\alpha + \mathcal{F} = \alpha_{q_{\alpha}(\mathcal{F})^{-2}}$  in  $\mathbf{B}(V)$ 

for any  $g \in \mathsf{G}$ ,  $p \in \mathsf{G}(\alpha)$  and  $\mathcal{F} \in \mathbf{F}(V)$ . In [8, II.10.31],  $\mathsf{G}(\alpha)$  is equipped with a G-invariant Riemannian structure. Let  $d_{\alpha}$  be the corresponding G-invariant Riemannian metric on  $\mathsf{G}(\alpha)$  or  $\mathsf{B}(V)$ . For  $X \in \mathbf{Sym}(V,\alpha)$  and  $p = \exp(X) \in \mathsf{G}(\alpha)$ ,

$$d_{\alpha}^{2}(\alpha, \alpha_{p}) = \operatorname{Tr}(X^{2})$$

by [8, II.10.42.(2)]. Thus for any  $\mathcal{F} \in \mathbf{F}(V)$ ,

$$d_{\alpha}^{2}(\alpha, \alpha + \mathcal{F}) = 4\operatorname{Tr}\left(\left(\mathcal{G}_{\alpha}(\mathcal{F})^{\flat}\right)^{2}\right) = 4\left\|\mathcal{F}\right\|^{2} = 4d^{2}(\alpha, \alpha + \mathcal{F})$$

since  $\alpha + \mathcal{F} = \alpha_p$  with  $p = \exp(-2\mathcal{G}_{\alpha}(\mathcal{F})^{\flat})$  in  $\mathsf{G}(\alpha)$ . Therefore  $d_{\alpha}(\alpha, \beta) = 2d(\alpha, \beta)$  for any  $\beta \in \mathbf{B}(V)$  by R(s) and  $d_{\alpha} = 2d$  on  $\mathbf{B}(V)$  since  $\mathsf{G}$  acts transitively on  $\mathbf{B}(V)$ . Since the metric space  $(\mathbf{B}(V), d_{\alpha})$  is CAT(0) by  $[\mathbf{8}, \text{ II}.10.39]$ , so is  $(\mathbf{B}(V), d)$ .

- **5.8.9.** We have thus established that  $(\mathbf{B}(V), \mathbf{B}(-), +)$  is an affine  $\mathbf{F}(V)$ -building. If  $S \in \mathbf{S}(G)$  corresponds to  $S \in \mathbf{S}(V)$ , the type map  $\nu_{\mathbf{B},S} : \mathsf{S} \to \mathbf{G}(S)$  maps  $s \in \mathsf{S}$  to the unique morphism  $\mathbb{D}_{\mathbb{R}}(\mathbb{R}) \to S$  whose composite with the character  $\chi_L$  through which S acts on  $L \in \mathcal{S}$  is the character  $\log |\chi_L(s)| \in \mathbb{R}$  of  $\mathbb{D}(\mathbb{R})$ .
  - **5.8.10.** The computations of section 5.8.7 show that  $Gr_{\mathcal{F}}$  induces an isometry

$$\operatorname{Gr}_{\mathcal{F}}: \mathbf{T}_{P_{\mathcal{T}}}^{\infty} \mathbf{B}(V) \simeq \prod_{\gamma} \mathbf{B} \left( \operatorname{Gr}_{\mathcal{F}}^{\gamma}(V) \right).$$

On the other hand, the tangent space  $\mathbf{T}_{\alpha}\mathbf{B}(V)$  as defined in section 5.5.4 is equal to the corresponding tangent space  $\mathbf{Sym}(V,\alpha)$  of the differential manifold  $\mathbf{B}(V)$ , its scalar product is given by  $\langle X,Y\rangle = \mathrm{Tr}(XY)$  and the localization map

$$loc_{\alpha} : \mathbf{F}(V) \twoheadrightarrow \mathbf{T}_{\alpha} \mathbf{B}(V)$$

maps  $\mathcal{F} \in \mathbf{F}(V)$  to the  $\alpha$ -symmetric endomorphism

$$\operatorname{loc}_{\alpha}(\mathcal{F}) = \left(\frac{d}{dt}g_{\alpha}(t\mathcal{F})\right)_{t=0} = \mathcal{G}_{\alpha}(\mathcal{F})^{\flat} \quad \text{in} \quad \operatorname{\mathbf{Sym}}(V,\alpha).$$

## CHAPTER 6

# **Bruhat-Tits** buildings

We first keep the assumptions and notations of the previous chapter. Thus G will be a reductive group over a field K, G = G(K) and  $\Gamma = (\mathbb{R}, +, \leq)$ . In addition, we assume that K is equipped with a non-trivial, non-archimedean absolute value

$$|-|:K\to\mathbb{R}^+.$$

However, we will eventually return to the setting of chapter 4, with G a reductive group over the valuation ring  $\mathcal{O}_K = \{x \in K : |x| \leq 1\}$  of K and  $\Gamma = \mathbb{R}$ . Note that then  $\mathbf{P}(G) = \mathbf{P}(G_K)$ ,  $\mathbf{F}(G) = \mathbf{F}(G_K)$  but  $\mathbf{S}(G) \subsetneq \mathbf{S}(G_K)$  and  $\mathbf{G}(G) \subsetneq \mathbf{G}(G_K)$ .

## **6.1.** The Bruhat-Tits building of GL(V)

**6.1.1.** Let G = GL(V), where  $V \neq 0$  is a K-vector space of dimension  $n \in \mathbb{N}$ . As in section 5.8, we thus have G-equivariant bijections

$$\begin{array}{lcl} \mathbf{S}(G) & \simeq & \mathbf{S}(V) & = & \left\{ \mathcal{S} \subset \mathbb{P}^1(V)(K) : V = \oplus_{L \in \mathcal{S}} L \right\}, \\ \mathbf{F}(G) & \simeq & \mathbf{F}(V) & = & \left\{ \mathbb{R} - \text{filtrations on } V \right\}, \\ \mathbf{G}(G) & \simeq & \mathbf{G}(V) & = & \left\{ \mathbb{R} - \text{graduations on } V \right\}. \end{array}$$

- **6.1.2.** A K-norm (or simply: norm) on V is a function  $\alpha: V \to \mathbb{R}^+$  such that
- (1)  $\alpha(v) = 0$  if and only if v = 0,
- (2)  $\alpha(\lambda v) = |\lambda| \alpha(v)$  for every  $\lambda \in K$  and  $v \in V$ , and
- (3)  $\alpha(u+v) \leq \max \{\alpha(u), \alpha(v)\}\$  for every  $u, v \in V$ .

The K-norm  $\alpha$  is split by  $S \in \mathbf{S}(V)$  if and only if

$$\forall v \in V : \quad \alpha(v) = \max \{ \alpha(v_L) : L \in \mathcal{S} \} \quad \text{where } v = \sum_{L \in \mathcal{S}} v_L, \, v_L \in L.$$

It is splittable if it is split by S for some  $S \in \mathbf{S}(V)$ . If K is locally compact, every K-norm on V is splittable by [16, Proposition 1.1].

**6.1.3.** We denote by  $\mathbf{B}(V)$  the set of all splittable K-norms on V, by  $\mathbf{B}(\mathcal{S})$  the subset of all K-norms split by  $\mathcal{S}$ . We let  $\mathsf{G}$  act on  $\mathbf{B}(V)$  by  $(g \cdot \alpha)(v) = \alpha(g^{-1}v)$ , and define the pull map  $+ : \mathbf{B}(V) \times \mathbf{F}(V) \to \mathbf{B}(V)$  by

$$(\alpha + \mathcal{F})(v) = \min \left\{ \max \left\{ e^{-\gamma} \alpha(v_{\gamma}) : \gamma \in \mathbb{R} \right\} : v = \sum_{\gamma \in \mathbb{R}} v_{\gamma}, \, v_{\gamma} \in \mathcal{F}^{\gamma} \right\}$$

where the sums  $\sum_{\gamma \in \mathbb{R}} v_{\gamma}$  have finite support. We have to verify that this operation is well-defined. Note first that the axiom L(s) follows from the second proof of [11, 1.5.ii]: for any  $\alpha \in \mathbf{B}(V)$  and  $\mathcal{F} \in \mathbf{F}(V)$ , there is an  $\mathcal{S} \in \mathbf{S}(V)$  with  $\alpha \in \mathbf{B}(\mathcal{S})$  and  $\mathcal{F} \in \mathbf{F}(\mathcal{S})$ . Let us then identify  $\mathbf{F}(\mathcal{S})$  with  $\mathbb{R}^{\mathcal{S}}$  by  $\mathcal{F} \mapsto \mathcal{F}^{\sharp}$  where

$$\mathcal{F}^{\sharp}(L) = \max \left\{ \gamma \in \mathbb{R} : L \subset \mathcal{F}^{\gamma} \right\}, \qquad \mathcal{F}^{\gamma} = \bigoplus_{L: \mathcal{F}^{\sharp}(L) \geq \gamma} L.$$

Then for  $v = \sum_{L \in \mathcal{S}} v_L$  in  $V = \bigoplus_{L \in \mathcal{S}} L$ , we find that

$$\inf \left\{ \max \left\{ e^{-\gamma} \alpha(v_{\gamma}) : \gamma \in \mathbb{R} \right\} \,\middle|\, \substack{v = \sum_{\gamma} v_{\gamma} \\ v_{\gamma} \in \mathcal{F}^{\gamma}} \right\} = \max \left\{ e^{-\mathcal{F}^{\sharp}(L)} \alpha(v_{L}) : L \in \mathcal{S} \right\}.$$

Indeed for  $v = \sum_{\gamma} v_{\gamma}$  with  $v_{\gamma} = \sum_{L} v_{\gamma,L}, v_{\gamma,L} \in L$  and  $v_{\gamma,L} = 0$  if  $\gamma > \mathcal{F}^{\sharp}(L)$ ,

$$\max \left\{ e^{-\gamma} \alpha(v_{\gamma}) : \gamma \in \mathbb{R} \right\} = \max \left\{ e^{-\gamma} \alpha(v_{\gamma,L}) : \gamma \in \mathbb{R}, L \in \mathcal{S} \right\}$$

$$\geq \max \left\{ e^{-\mathcal{F}^{\sharp}(L)} \alpha(v_{\gamma,L}) : \gamma \in \mathbb{R}, L \in \mathcal{S} \right\}$$

$$\geq \max \left\{ e^{-\mathcal{F}^{\sharp}(L)} \alpha(v_{L}) : L \in \mathcal{S} \right\}$$

since  $\alpha \in \mathbf{B}(\mathcal{S})$  (for the first equality) and  $v_L = \sum_{\gamma} v_{\gamma,L}$  (for the last inequality), which provides the non-trivial required inequality in the displayed formula. Thus

(6.1.1) 
$$(\alpha + \mathcal{F})(v) = \max \left\{ e^{-\mathcal{F}^{\sharp}(L)} \alpha(v_L) : L \in \mathcal{S} \right\}$$

from which follows that  $\alpha + \mathcal{F}$  is well-defined and again belongs to  $\mathbf{B}(\mathcal{S})$ .

**6.1.4.** The apartment and pull maps are plainly G-equivariant, and the above formula shows that the latter turns  $\mathbf{B}(\mathcal{S})$  into an affine  $\mathbf{F}(\mathcal{S})$ -space, thus

$$\mathbf{B}(V) = (\mathbf{B}(V), +, \mathbf{B}(-))$$

is an affine  $\mathbf{F}(G)$ -space. If  $S \in \mathbf{S}(G)$  corresponds to  $S \in \mathbf{S}(V)$ , the type map

$$\nu_{\mathbf{B},S}:\mathsf{S}\to\mathbf{G}(S)$$

maps s to the unique  $\mathcal{F} \in \mathbf{F}(S)$  with  $\gamma_L(\mathcal{F}) = \log |\chi_L(s)|$  for all  $L \in \mathcal{S}$ , where  $\chi_L : S \to \mathbb{G}_{m,k}$  is the character through which S acts on L.

**6.1.5.** In [27, §3], Parreau shows that the closely related set  $\Delta = \mathbb{R}_+^{\times} \setminus \mathbf{B}(V)$  is an affine building in the sense of [27, 1.1] (see also [11, 16]). The axioms R(s),  $R(i)^+$ , HA and  $L(s)^+$  for  $\mathbf{B}(V)$  respectively follow from the axioms A3, A2, A5 and proposition 1.8 for  $\Delta$  in [27]. For  $\alpha \in \mathbf{B}(V)$ ,  $\mathcal{F} \in \mathbf{F}(V)$  and  $u \in \mathsf{U}_{\mathcal{F}}$ , pick  $\mathcal{S} \in \mathbf{S}(V)$  such that  $\alpha \in \mathbf{B}(\mathcal{S})$  and  $\mathcal{F} \in \mathbf{F}(\mathcal{S})$  using L(s). Write  $\mathcal{S} = \{Kv_1, \dots, Kv_n\}$  with  $i \mapsto \gamma_i = \mathcal{F}^{\sharp}(Kv_i)$  non-increasing and identify  $\mathbf{B}(\mathcal{S})$  with  $\mathbb{R}^n$  by  $\alpha \mapsto (\alpha_1, \dots, \alpha_n)$  where  $\alpha_i = -\log(\alpha(v_i))$ . Then for  $t \geq 0$ ,  $t\mathcal{F} \in \mathbf{F}(\mathcal{S})$  acts on  $\mathbf{B}(\mathcal{S}) \simeq \mathbb{R}^n$  by

$$(\alpha_1, \cdots, \alpha_n) \mapsto (\alpha_1 + t\gamma_1, \cdots, \alpha_n + t\gamma_n)$$

and the matrix  $(u_{i,j})$  of  $u \in \mathsf{U}_{\mathcal{F}}$  in the basis  $(v_1, \cdots, v_n)$  of V satisfies  $u_{i,i} = 1$  and  $u_{i,j} \neq 0$  if and only if  $\gamma_i > \gamma_j$  for  $i \neq j$ . Moreover, u fixes  $\alpha$  if and only if  $\alpha_j - \alpha_i \leq -\log|u_{i,j}|$  for all  $1 \leq i,j \leq n$  by [27, 3.5]. Therefore u fixes  $\alpha + t\mathcal{F}$  for all  $t \gg 0$ , i.e.  $\mathbf{B}(V)$  satisfies  $UN^+$ . Thus by proposition 92,  $\mathbf{B}(V)$  is an affine  $\mathbf{F}(G)$ -building whose underlying metric space is CAT(0).

**6.1.6.** Let  $Z \simeq \mathbb{G}_{m,K}$  be the center of G, so that  $\mathbf{G}(Z) \simeq \mathbb{R}$  by the isomorphism which maps  $\mathcal{G} : \mathbb{D}_K(\mathbb{R}) \to Z$  to the unique weight  $\mathcal{G}^{\sharp} \in \mathbb{R}$  of the corresponding representation of  $\mathbb{D}_K(\mathbb{R})$  on V. For  $S \in \mathbf{S}(G)$  corresponding to  $S \in \mathbf{S}(V)$ , the projection from  $\mathbf{F}(S) = \mathbf{G}(S)$  to  $\mathbf{G}(Z)$  then maps  $\mathcal{F} \in \mathbf{F}(S)$  to the unique  $\mathcal{G}$  with

$$\mathcal{G}^{\sharp} = \frac{1}{n} \sum_{L \in \mathcal{S}} \mathcal{F}^{\sharp}(L).$$

It follows that the projection

$$\mathbf{d}^c : \mathbf{B}(V) \times \mathbf{B}(V) \to \mathbf{G}(Z)$$

of the distance  $\mathbf{d}: \mathbf{B}(V) \times \mathbf{B}(V) \to \mathbf{C}(G)$  maps  $(\alpha, \beta)$  to the unique  $\mathcal{G}$  with

$$\mathcal{G}^{\sharp} = \frac{1}{n} \sum_{i=1}^{n} \log \alpha(v_i) - \log \beta(v_i)$$

for any K-basis  $(v_1, \dots, v_n)$  of V such that  $\alpha, \beta \in \mathbf{B}(\mathcal{S})$  with  $\mathcal{S} = \{Kv_1, \dots, Kv_n\}$ . From [27, 3.2], we then deduce that the morphism

$$\nu_{\mathbf{B}}^c: \mathsf{G} \to \mathbf{G}(Z)$$

maps g to the unique  $\mathcal{G}$  with  $\mathcal{G}^{\sharp} = \frac{1}{n} \log |\det g|$ . In particular,  $|\det \mathsf{G}_{\alpha}| = 1$  for every  $\alpha \in \mathbf{B}(V)$ , and then [27, 3.5] implies ST:  $\mathsf{G}_{\alpha} = \mathsf{G}_{S,\alpha}$  for all  $\alpha \in \mathbf{B}(S)$ ,  $S \in \mathbf{S}(G)$ . Therefore  $\mathbf{B}(V)$  is a tight affine  $\mathbf{F}(G)$ -building.

**6.1.7.** If the valuation of K is discrete, the map

$$\alpha \mapsto L = \{x \in V : \alpha(x) \le 1\}$$

identifies the subset  $\mathbf{B}^{\circ}(V) \subset \mathbf{B}(V)$  of K-norms  $\alpha$  on V such that

$$\alpha(V \setminus \{0\}) = |K^{\times}|$$

with the set  $\mathcal{L}(V)$  of  $\mathcal{O}_K$ -lattices in V. Then  $\mathbf{B}^{\circ}(V)$  is stable under the action of G and of the subset  $\mathbf{F}^{\log|K^{\times}|}(V) \subset \mathbf{F}(V)$  of  $\log|K^{\times}|$ -filtrations on V. It is then convenient to either normalize the valuation by requiring that  $|K^{\times}| = e^{\mathbb{Z}}$ , or to rescale the pull map as in 5.2.20. Then  $\mathbf{F}^{\mathbb{Z}}(V)$  acts on  $\mathbf{B}^{\circ}(V) \simeq \mathcal{L}(V)$  by

$$L + \mathcal{F} = \sum_{i \in \mathbb{Z}} \pi^{-i} L \cap \mathcal{F}^i$$

for  $L \in \mathcal{L}(V)$  and  $\mathcal{F} \in \mathbf{F}^{\mathbb{Z}}(V)$ , where  $\pi \in \mathcal{O}_K$  is any uniformizer.

**6.1.8.** The space  $\mathbf{B}(V)$  is known to be a realization of the Bruhat-Tits building of G; for a more general case, see [11].

#### **6.2.** The Bruhat-Tits building of G

- **6.2.1.** For a general reductive group G over K, we have to make some assumption on the triple (G, K, |-|): the existence of a *valuation* on the root datum  $(\mathsf{Z}_G(S), (\mathsf{U}_a)_{a \in \Phi(G,S)})$  of  $\mathsf{G} = G(K)$ , in the sense of  $[\mathbf{9}, 6.2.1]$ . Here S is a fixed element of  $\mathbf{S}(G)$  and the notations are taken from section 5.4.1.
- **6.2.2.** Let then  $\mathbf{B}^r(G)$  and  $\mathbf{B}^e(G) = \mathbf{B}^r(G) \times \mathbf{G}(Z)$  be respectively the reduced and extended Bruhat-Tits buildings of G, as defined in  $[\mathbf{9}, \S 7]$  and  $[\mathbf{10}, 4.2.16 \& 5.1.29]$ . These two sets have compatible actions of G, they are covered by apartments  $\mathbf{B}^r(S)$  and  $\mathbf{B}^e(S) = \mathbf{B}^r(S) \times \mathbf{G}(Z)$  which are G-equivariantly parametrized by  $\mathbf{S}(G)$ ,  $\mathbf{B}^e(S)$  is an affine  $\mathbf{F}(S)$ -space on which  $\mathsf{N}_G(S)$  acts by affine transformations with linear part  $\nu_S^v : \mathsf{N}_G(S) \to \mathsf{W}_G(S)$  and the resulting action of  $\mathsf{Z}_G(S)$  is given by a morphism  $\nu_{\mathbf{B},S} : \mathsf{Z}_G(S) \to \mathbf{G}(S)$  which is uniquely characterized by the following property: for every morphism  $\chi : Z_G(S) \to \mathbb{G}_{m,K}$ , the induced morphism

$$\mathbf{G}(\chi|_S) \circ \nu_{\mathbf{B},S} : \mathsf{Z}_G(S) \longrightarrow \mathbf{G}(\mathbb{G}_{m,K})$$

maps z in  $\mathsf{Z}_G(S)$  to  $\log |\chi(z)|$  in  $\mathbb{R} = \mathbf{G}(\mathbb{G}_{m,K})$ . Similarly, the action of  $\mathsf{G}$  on  $\mathbf{G}(Z)$  is given by a morphism  $\nu_{\mathbf{B}}^c : \mathsf{G} \to \mathbf{G}(Z)$  which is uniquely characterized by the following property: for every morphism  $\chi : G \to \mathbb{G}_{m,K}$ , the induced morphism

$$\mathbf{G}(\chi|Z) \circ \nu_{\mathbf{B}}^c : \mathsf{G} \to \mathbf{G}(\mathbb{G}_{m,K})$$

maps g in G to  $\log |\chi(g)|$  in  $\mathbb{R} = \mathbf{G}(\mathbb{G}_{m,K})$ . There is a G-invariant distance

$$d: \mathbf{B}^e(G) \times \mathbf{B}^e(G) \to \mathbb{R}^+$$

inducing a Euclidean distance on each apartment, which turns  $\mathbf{B}^{e}(G)$  into a CAT(0)-space. Finally,  $\mathbf{B}^{e}(G)$  already satisfies our axiom R(s) by [9, 7.4.18.i] as well as the following strengthening of ST and  $R(i)^{+}$ :

For every subset  $\Omega \neq \emptyset$  of  $\mathbf{B}^e(S)$ , the pointwise stabilizer  $\mathsf{G}_{\Omega} \subset \mathsf{G}$  of  $\Omega$  equals  $\mathsf{G}_{S,\Omega}$  by  $[\mathbf{9}, 7.4.4]$ , and it acts transitively on the set of apartments containing  $\Omega$  by  $[\mathbf{9}, 7.4.9]$ .

We denote by  $+_S : \mathbf{B}^e(S) \times \mathbf{F}(S) \to \mathbf{B}^e(S)$  the given structure of affine  $\mathbf{F}(S)$ -space on  $\mathbf{B}^e(S)$ . These maps are compatible in the following sense:

$$g \cdot (x +_S \mathcal{F}) = (g \cdot x +_{g \cdot S} g \cdot \mathcal{F}).$$

**6.2.3.** Let us first prove L(s): starting with  $x \in \mathbf{B}^e(G)$  and  $\mathcal{F} \in \mathbf{F}(G)$ , choose a minimal parabolic subgroup  $B' \subset P_{\mathcal{F}}$  with Levi  $Z_G(S')$ , pick  $c \in \mathbf{B}^e(S')$  and form the sector  $C' = c' +_{S'} F^{-1}(B')$  in  $\mathbf{B}^e(S')$ . By  $[\mathbf{9}, 7.4.18.ii]$ , there is another apartment  $\mathbf{B}^e(S)$  containing x and a subsector C of C', which a priori is of the form  $C = c +_S F^{-1}(B)$  for some minimal parabolic B with Levi  $Z_G(S)$ . Since  $C \subset \mathbf{B}^e(S) \cap \mathbf{B}^e(S')$ , there is a  $g \in G$  fixing C and mapping S to S'. Then  $g \in B$  since  $G_C = G_{S,C} \subset B \cap G_c$ , thus  $\mathrm{Int}(g)(B) = B$  and  $Z_G(S') \subset B$ . Moreover

$$C = gC = g(c +_S F^{-1}(B)) = c +_{S'} F^{-1}(B)$$

thus actually B = B' because

$$C = c +_{S'} F^{-1}(B) \subset c' +_{S'} F^{-1}(B') = C'$$

in the affine  $\mathbf{F}(S')$ -space  $\mathbf{B}^e(S')$ . Now  $x \in \mathbf{B}^e(S)$  and also  $\mathcal{F} \in \mathbf{F}(S)$  since

$$Z_G(S) \subset B = B' \subset P_{\mathcal{F}}.$$

This proves L(s). Note also that for any  $\mathcal{G} \in \mathbf{F}(S) \cap \mathbf{F}(S')$  in the closure of  $F^{-1}(B)$ ,

$$c +_S \mathcal{G} = g(c +_S \mathcal{G}) = c +_{S'} \mathcal{G}$$

since g fixes c,  $c +_S \mathcal{G}$  and  $\mathcal{G}$ . In particular,  $c +_S t\mathcal{F} = c +_{S'} t\mathcal{F}$  for all  $t \in \mathbb{R}^+$ .

**6.2.4.** Suppose now that  $x \in \mathbf{B}^e(S_1) \cap \mathbf{B}^e(S_2)$  and  $\mathcal{F} \in \mathbf{F}(S_1) \cap \mathbf{F}(S_2)$  with  $S_1, S_2 \in \mathbf{S}(G)$ . We now show that  $x + S_1 \mathcal{F} = x + S_2 \mathcal{F}$  in  $\mathbf{B}^e(G)$ . For  $t \geq 0$ , put

$$x_i(t) = x +_{S_i} t\mathcal{F}$$
 in  $\mathbf{B}^e(S_i)$ .

The CAT(0)-property of d implies that  $t \mapsto d(x_1(t), x_2(t))$  is a convex function, and it is therefore sufficient to show that it is also bounded. Let us choose minimal parabolic subgroups  $Z_G(S_i) \subset B_i \subset P_{\mathcal{F}}$ , and form the corresponding sectors

$$C_i = x +_{S_i} F^{-1}(B_i)$$
 in  $\mathbf{B}^e(S_i)$ .

By [9, 7.4.18.iii], there is another apartment  $\mathbf{B}^e(S)$  which contains subsectors

$$C_1' \subset C_1$$
 and  $C_2' \subset C_2$ .

We have just seen that then  $Z_G(S) \subset B_i$  (thus  $\mathcal{F} \in \mathbf{F}(S)$ ) and  $C'_i = y_i +_S F^{-1}(B_i)$  in  $\mathbf{B}^e(S)$  for some  $y_i$ 's in  $\mathbf{B}^e(S)$ , with moreover

$$y_i(t) = y_i +_S t\mathcal{F} = y_i +_{S_i} t\mathcal{F}$$

for  $t \geq 0$ . Then  $t \mapsto d(y_1(t), y_2(t))$  and  $t \mapsto d(x_i(t), y_i(t))$  are constant by elementary computations in  $\mathbf{B}^e(S)$  and  $\mathbf{B}^e(S_i)$  respectively, thus  $t \mapsto d(x_1(t), x_2(t))$  is indeed bounded by the triangle inequality in  $(\mathbf{B}^e(G), d)$ .

**6.2.5.** We may at last define our pull map: for  $x \in \mathbf{B}^e(G)$  and  $\mathcal{F} \in \mathbf{F}(G)$ , choose  $S \in \mathbf{S}(G)$  with  $x \in \mathbf{B}^e(S)$  and  $\mathcal{F} \in \mathbf{F}(S)$  and set  $x + \mathcal{F} = x +_S \mathcal{F}$  in  $\mathbf{B}^e(G)$ : this does not depend upon the chosen S. Our pull map is plainly G-equivariant, and induces the given structure of affine  $\mathbf{F}(S)$ -space on  $\mathbf{B}^e(S)$ . Therefore

$$\mathbf{B}^e(G) = (\mathbf{B}^e(G), +, \mathbf{B}^e(-))$$

is an affine  $\mathbf{F}(S)$ -space.

- **6.2.6.** For  $x \in \mathbf{B}^e(G)$  and  $\mathcal{F}, \mathcal{G} \in \mathbf{F}(G)$ , choose  $S \in \mathbf{S}(G)$  with  $x \in \mathbf{B}^e(S)$ ,  $\mathcal{F} \in \mathbf{F}(S)$ , let F be the "facet" in  $\mathbf{B}^e(S)$  denoted by  $\gamma(x, E)$  in  $[\mathbf{5}, 7.2.4]$  with  $E = \{t\mathcal{F}: t > 0\}$ , let C be a "chamber" of  $\mathbf{B}^e(S)$  containing F in its closure. Using  $[\mathbf{9}, 7.4.18.ii]$  as above, we find that there is an apartment  $\mathbf{B}^e(S')$  containing C with  $\mathcal{G} \in \mathbf{F}(S')$ . It then also contains F by  $[\mathbf{9}, 7.4.8]$ , which means that for some  $\epsilon > 0$ , it contains  $x + \eta \mathcal{G}$  for every  $\eta \in [0, \epsilon]$ : this proves  $L(s)^+$ .
- **6.2.7.** We already have the axioms R(s),  $R(i)^+$ ,  $L(s)^+$  and ST, thus  $\mathbf{B}^e(G)$  is a tight affine  $\mathbf{F}(G)$ -building by proposition 92 and lemma 102. Note that the axiom HA also holds for  $\mathbf{B}^e(G)$  by [27, 1.4] and [9, 7.4.19]. If G = GL(V), then  $\mathbf{B}^e(G) \simeq \mathbf{B}(V)$  by lemma 104 (see also [16, 11, 27]).
- **6.2.8.** The CAT(0)-distance d used above may be chosen to be one of our  $d_{\tau}$ 's, for some faithful representation  $\tau$  of G. The affine  $\mathbf{F}(G)$ -space  $\mathbf{B}^e(G)$  is discrete when (K, |-|) is discrete, in which case  $(\mathbf{B}^e(G), d)$  is a complete metric space by lemma 100 or  $[\mathbf{9}, 2.5.12]$ . If (K, |-|) is complete, then every geodesic ray or line in  $\mathbf{B}^e(G)$  is contained in some apartment by  $[\mathbf{30}, 2.3.8]$  and R(s). Thus with the notations of section 5.5.1,  $\mathbf{F}(G) \simeq \mathcal{C}(\partial \mathbf{B}^e(G))$  if (K, |-|) is complete.
- **6.2.9.** The Bruhat-Tits building  $\mathbf{B}^e(G) = \mathbf{B}^e(G, |-|)$  depends upon the choice of the valuation |-| on K, and so does its structure of affine  $\mathbf{F}(G)$ -building. However for  $\nu>0$ , there is a G(K)-equivariant commutative diagram

$$\begin{array}{ccccc} \mathbf{B}^{e}(G,|-|) & \times & \mathbf{F}(G_{K}) & \stackrel{+}{\longrightarrow} & \mathbf{B}^{e}(G,|-|) \\ a \downarrow & b \downarrow & a \downarrow \\ \mathbf{B}^{e}(G,|-|^{\nu}) & \times & \mathbf{F}(G_{K}) & \stackrel{+}{\longrightarrow} & \mathbf{B}^{e}(G,|-|^{\nu}) \end{array}$$

where a is a canonical G(K)-equivariant map and  $b(\mathcal{F}) = \nu \mathcal{F}$ .

## 6.3. Functoriality for Bruhat-Tits buildings

**6.3.1.** Suppose for this section that the valuation ring of (K, |-|), namely

$$\mathcal{O}_K = \{ x \in K : |x| \le 1 \}$$

is Henselian. Then for every algebraic extension L of K, there is a unique absolute value  $|-|: L \to \mathbb{R}^+$  on L which extends  $|-|: K \to \mathbb{R}^+$ , and its valuation ring

$$\mathcal{O}_L = \{ x \in L : |x| < 1 \}$$

is the integral closure of  $\mathcal{O}_K$  in L, also Henselian. We say that L/K has a geometric property  $\mathcal{P}$  over  $\mathcal{O}_K$  if the corresponding morphism  $\operatorname{Spec}(\mathcal{O}_L) \to \operatorname{Spec}(\mathcal{O}_K)$  does.

PROPOSITION 113. Let G be a reductive group over  $\mathcal{O}_K$ .

(1) There is an extension L/K, finite étale and Galois over  $\mathcal{O}_K$ , splitting G.

(2) The Bruhat-Tits building  $\mathbf{B}^e(G_K)$  exists and contains a canonical point

$$\circ_{G,K}^e = \circ_G^e = (\circ_G^r, 0) \in \mathbf{B}^e(G_K) = \mathbf{B}^r(G_K) \times \mathbf{G}(Z(G_K))$$

with stabilizer  $G(\mathcal{O}_K)$  in G(K). The projection  $\circ_G^r$  of  $\circ_G^e$  is the unique fixed point of  $G(\mathcal{O}_K)$  in  $\mathbf{B}^r(G_K)$  if the residue field of  $\mathcal{O}_K$  is neither  $\mathbb{F}_2$  nor  $\mathbb{F}_3$ .

(3) The apartments of  $\mathbf{B}^e(G_K)$  containing  $\circ_G^e$  are the  $\mathbf{B}^e(S_K)$ 's for  $S \in \mathbf{S}(G)$ .

PROOF. Let S be a maximal split torus of G and let T be a maximal torus of  $Z_G(S)$  [1, XIV 3.20]. Then G and T are isotrivial by proposition 41, thus split by a finite étale cover of  $\operatorname{Spec}(\mathcal{O}_K)$  which we may assume to be connected and  $\operatorname{Galois}$ , i.e. of the form  $\operatorname{Spec}(\mathcal{O}_L) \to \operatorname{Spec}(\mathcal{O}_K)$  where  $\mathcal{O}_L$  is the normalization of  $\mathcal{O}_K$  in a finite étale  $\operatorname{Galois}$  extension L/K over  $\mathcal{O}_K$  by [21, 18.10.12]. Since  $\mathcal{O}_K$  is Henselian,  $\mathcal{O}_L$  is also the valuation ring of (L, |-|). Let  $(x_\alpha)$  be a Chevalley system for  $(G_{\mathcal{O}_L}, T_{\mathcal{O}_L})$ , as defined in [14, XXIII 6.2], giving rise to a Chevalley valuation  $\varphi_L$  for  $G_L$ , as explained in [9, 6.2.3.b] and [10, 4.2.1], thus also to the reduced Bruhat-Tits building  $\mathbf{B}^r(G_L)$  with its distinguished apartment  $\mathbf{B}^r(T_L)$  and the distinguished point  $\circ_G^r \equiv \varphi_L$  in  $\mathbf{B}^r(T_L)$ , as defined in [9, §7]. For f = 0, the group schemes  $\mathfrak{G}_f^0 \subset \mathfrak{G}_f \subset \mathfrak{G}_f^\dagger$  constructed in [10, 4.3-6] are all equal to  $G_{\mathcal{O}_L}$  [10, 4.6.22]. Thus by [10, 4.6.28],  $G(\mathcal{O}_L)$  is the stabilizer of the distinguished point  $\circ_G^e = (\circ_G^r, 0)$  of  $\mathbf{B}^e(T_L) \subset \mathbf{B}^e(G_L)$  in G(L), and  $\circ_G^r$  is the unique fixed point of  $G(\mathcal{O}_L)$  in  $\mathbf{B}^r(G_L)$  by [10, 5.1.39] if the residue field of  $\mathcal{O}_L$  is not equal to  $\mathbb{F}_2$  or  $\mathbb{F}_3$ , which we can always assume.

The pair  $(G_K, K)$  satisfies the conditions of the pair denoted by  $(H, K^{\natural})$  in [10, 5.1.1]. The Galois group  $\Sigma = \operatorname{Gal}(L/K)$  acts compatibly on G(L) and  $\mathbf{B}^e(G_L)$ . It therefore fixes  $\circ_G^e$ , which thus belongs to  $\mathbf{B}^e(T_L)^{\Sigma} = \mathbf{B}^e(S_K)$ . Applying this to  $Z_G(S)$  instead of G, we see that  $(G_K, K)$  also satisfies the assumption (DE) of [10, 5.1.5]. Then by [10, 5.1.20], the valuation  $\varphi_L$  descends to a valuation  $\varphi$  for  $G_K$ . The corresponding building  $\mathbf{B}^e(G_K)$  is the fixed point set of  $\Sigma$  in  $\mathbf{B}^e(G_L)$  by [10, 5.1.25]. The stabilizer of  $\circ_G^e \in \mathbf{B}^e(G_K)$  in G(K) equals  $G(\mathcal{O}_K) = G(K) \cap G(\mathcal{O}_L)$  and again by [10, 5.1.39],  $\circ_G^e$  is the unique fixed point of  $G(\mathcal{O}_K)$  in  $\mathbf{B}^r(G_K)$  if the residue field of  $\mathcal{O}_K$  is not equal to  $\mathbb{F}_2$  or  $\mathbb{F}_3$ . By construction,  $\circ_G^e$  belongs to  $\mathbf{B}^e(S_K)$ . Therefore [9, 7.4.9] proves our last claim, since  $G(\mathcal{O}_K)$  also acts transitively on  $\mathbf{S}(G)$ .

**6.3.2.** We denote by  $\mathbf{B}^e(G,K,|-|)$  the pointed affine  $\mathbf{F}(G_K)$ -building

$$\mathbf{B}^{e}(G, K, |-|) = (\mathbf{B}^{e}(G_{K}), \circ_{G}^{e})$$

attached to a reductive group G over  $\mathcal{O}_K$ . It easily follows from  $[\mathbf{10}, 5.1.41]$  that this construction is functorial in the Henselian pair (K, |-|). More precisely, let HV be the category whose objects are pairs (K, |-|) where K is a field and  $|-|: K \to \mathbb{R}^+$  is a non-trivial, non-archimedean absolute value whose valuation ring  $\mathcal{O}_K$  is Henselian. Then for every morphism  $f: (K, |-|) \to (L, |-|)$  in HV and every reductive group G over  $\mathcal{O}_K$ , there is a canonical morphism  $f: \mathbf{B}^e(G_K) \to \mathbf{B}^e(G_L)$  such that

$$f(\circ_G^e) = \circ_G^e$$
,  $f(gx) = f(g)f(x)$  and  $f(x+\mathcal{F}) = f(x) + f(\mathcal{F})$ 

for every  $x \in \mathbf{B}^e(G_K)$ ,  $g \in G(K)$  and  $\mathcal{F} \in \mathbf{F}(G_K)$ . The first and last property already determine this morphism uniquely: by the axiom T(s) for  $\mathbf{B}^e(G_K)$ , any element x of  $\mathbf{B}^e(G_K)$  equals  $\circ_G^e + \mathcal{F}$  for some for  $\mathcal{F} \in \mathbf{F}(G_K)$ .

REMARK 114. The above functoriality amounts to saying that the mapping

$$\mathbf{B}^e(G_K) \ni \circ_G^e + \mathcal{F} \mapsto \circ_G^e + f(\mathcal{F}) \in \mathbf{B}^e(G_L)$$

is well-defined and equivariant with respect to  $G(K) \to G(L)$ . This indeed implies the equivariance with respect to  $f : \mathbf{F}(G_K) \to \mathbf{F}(G_L)$  as follows. For  $S \in \mathbf{S}(G)$ mapping into  $S' \in \mathbf{S}(G_{\mathcal{O}_L})$ , the above mapping restricts to a well-defined map  $\mathbf{B}^e(S_K) \to \mathbf{B}^e(S_L')$  which is equivariant with respect to  $f : \mathbf{F}(S_K) \to \mathbf{F}(S_K')$ ; by the axiom L(s) for  $\mathbf{B}^e(G_K)$  and proposition 65, any pair  $(x, \mathcal{F})$  in  $\mathbf{B}^e(G_K) \times \mathbf{F}(G_K)$ is conjugated by some  $g \in G(K)$  to one in  $\mathbf{B}^e(S_K) \times \mathbf{F}(S_K)$ , thus

$$f(x+\mathcal{F}) = f(g^{-1})f(gx+g\mathcal{F}) = f(g^{-1})\left(f(gx) + f(g\mathcal{F})\right) = f(x) + f(\mathcal{F}).$$

THEOREM 115. The pointed affine  $\mathbf{F}(G)$ -building  $\mathbf{B}^e(G,K,|-|)$  is also functorial in the reductive group G over  $\mathcal{O}_K$ : for every morphism  $f:G\to H$  of reductive groups over  $\mathcal{O}_K$ , there is a unique morphism  $f:\mathbf{B}^e(G_K)\to\mathbf{B}^e(H_K)$  such that

$$f(\diamond_G^e) = \diamond_H^e, \quad f(gx) = f(g)f(x) \quad and \quad f(x+\mathcal{F}) = f(x) + f(\mathcal{F})$$

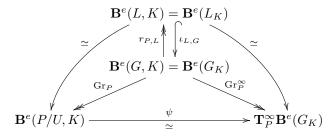
for every  $x \in \mathbf{B}^e(G_K)$ ,  $g \in G(K)$  and  $\mathcal{F} \in \mathbf{F}(G_K)$ .

This essentially follows from Landvogt's work in [23], which has no assumptions on the reductive groups over K but requires (K, |-|) to be quasi-local, in particular discrete. The main difficulty there is the construction of base points with good properties, which is here trivialized by the given points  $\circ_G^e$  and  $\circ_H^e$ . Note that again, the uniqueness of  $f: \mathbf{B}^e(G_K) \to \mathbf{B}^e(H_K)$  follows from the first and last displayed requirements, and its existence amounts to showing that the mapping

$$\mathbf{B}^e(G_K) \ni \circ_G^e + \mathcal{F} \mapsto \circ_H^e + f(\mathcal{F}) \in \mathbf{B}^e(H_K)$$

is well-defined and equivariant with respect to  $f:G(K)\to H(K)$ . Given the identification  $\mathbf{B}^e(GL(V))\simeq \mathbf{B}(V)$ , this theorem is closely related to the Tannakian theorem 118 below. We will prove the former as a corollary of the latter.

**6.3.3.** Assuming theorem 115, we may work out an analog of the discussion of section 5.7.4 for the pointed Bruhat-Tits building  $\mathbf{B}^e(G,K)$ . First, recall that  $\mathbf{P}(G_K) = \mathbf{P}(G)$  since  $\mathbb{P}(G)$  is projective over  $\mathcal{O}_K$ . Let thus  $P \in \mathbf{P}(G)$  be a parabolic subgroup of G with unipotent radical U. For every Levi subgroup L of P, there is a canonical commutative diagram



where  $\iota_L: \mathbf{B}^e(L,K) \simeq \mathbf{B}^e(P/U,K)$  and  $\iota_{L,G}: \mathbf{B}^e(L,K) \hookrightarrow \mathbf{B}^e(G,K)$  are the L(K)-equivariant maps functorially induced by  $L \simeq P/U$  and  $L \hookrightarrow G$ ,  $r_{P,L}$  is the U(K)-invariant, L(K)-equivariant retraction of proposition 87 onto the image  $\cup_{S \in \mathbf{S}(L_K)} \mathbf{B}^e(S)$  of  $\iota_{L,G}$ ,  $\operatorname{Gr}_P = \iota_L \circ r_{P,L}$  is a P(K)-equivariant map, and the right hand side triangle comes from 5.6.4. Both  $\operatorname{Gr}_P$  and  $\operatorname{Gr}_P^\infty$  identify there codomain with  $U(K) \setminus \mathbf{B}^e(G_K)$ , which yields the existence and unicity of the P(K)-equivariant bijection  $\psi: \mathbf{B}^e(P/U,K) \simeq \mathbf{T}_P^\infty \mathbf{B}^e(G_K)$  at the bottom of our diagram. Neither  $\psi$  nor  $\operatorname{Gr}_P$  depends upon the choice of L: if L' is another Levi subgroup of P, there

is a  $u \in U(\mathcal{O}_K)$  such that  $L' = uLu^{-1}$ . The automorphism  $Int(u) : G \to G$  then induces by functoriality a commutative diagram

$$\begin{split} \mathbf{B}^{e}(G,K) & \xrightarrow{r_{P,L}} \\ & \downarrow_{\mathrm{Int}(u)} \\ & \downarrow_{\mathrm{Int}(u)}$$

The first vertical map is also equal to the multiplication by u map on  $\mathbf{B}^e(G,K)$ :

$$Int(u)(\circ_G^e + \mathcal{F}) = \circ_G^e + u\mathcal{F} = u(\circ_G^e + \mathcal{F})$$

for all  $\mathcal{F} \in \mathbf{F}(G)$  since  $u \in G(\mathcal{O}_K)$  fixes  $\circ_G^e$ . Thus  $\operatorname{Gr}_P$  and  $\psi$  indeed do not depend upon the choice of L. One checks easily that  $\psi$  is an isomorphism of affine  $\mathbf{F}(P_K/U_K)$ -spaces. In particular:  $\mathbf{T}_P^{\infty}\mathbf{B}^e(G_K)$  is an affine  $\mathbf{F}(P_K/U_K)$ -building, its "quotient" and "building" metric agree by 5.6.8, thus  $\psi: \mathbf{B}^e(P/U,K) \to \mathbf{T}_P^{\infty}\mathbf{B}^e(G_K)$  is an isometry while  $\operatorname{Gr}_P: \mathbf{B}^e(G,K) \twoheadrightarrow \mathbf{B}^e(P/U,K)$  is non-expanding when everyone is equipped with the metrics induced by a chosen faithful representation  $\tau$  of  $G_K$ . This gives the following formula: for every  $x, y \in \mathbf{B}^e(G_K)$  and  $\mathcal{F} \in \mathbf{F}(G)$ ,

$$\lim_{t \to \infty} d_{\tau}(x + t\mathcal{F}, y + t\mathcal{F}) = d_{\mathrm{Gr}^{\bullet}_{\mathcal{F}}(\tau)}(\mathrm{Gr}_{\mathcal{F}}(x), \mathrm{Gr}_{\mathcal{F}}(y)) \le d_{\tau}(x, y)$$

where  $\operatorname{Gr}_{\mathcal{F}} = \operatorname{Gr}_{P_{\mathcal{F}}} : \mathbf{B}^e(G,K) \twoheadrightarrow \mathbf{B}^e(P_{\mathcal{F}}/U_{\mathcal{F}},K)$ . Also:

$$\langle \overrightarrow{xy}, \mathcal{F} \rangle = \left\langle \overrightarrow{\mathrm{Gr}_{\mathcal{F}}(x)\mathrm{Gr}_{\mathcal{F}}(y)}, \overline{\mathcal{F}} \right\rangle$$

for every  $x, y \in \mathbf{B}^e(G, K)$ , with  $\overline{\mathcal{F}} = \mathrm{Gr}_{\mathcal{F}}(\mathcal{F})$  in  $\mathbf{G}(Z(P_{\mathcal{F}}/U_{\mathcal{F}})) = \mathbf{G}(\overline{R}(P_{\mathcal{F}}))$ .

**6.3.4.** We may also establish some partial functoriality results when no base point is given, as in Landvogt's work. Fix a quasi-local (discrete, Henselian) pair (K, |-|). For any reductive group G over K, there is a finite Galois extension L of K splitting G such that the reduced building  $\mathbf{B}^r(G_L)$  contains a special point  $\circ$  fixed by  $\mathrm{Gal}(L/K)$ . Indeed, let first  $L_1$  be a finite Galois extension of K splitting G, and choose a facet F of  $\mathbf{B}^r(G_{L_1})$  fixed by  $\mathrm{Gal}(L_1/K)$ , for instance one which intersects  $\mathbf{B}^r(G_K)$ . Then the barycenter  $\circ$  of F is also fixed by  $\mathrm{Gal}(L_1/K)$ , and just like any barycenter of a facet of the Bruhat-Tits building of a split group, it becomes special over a sufficiently ramified extension L of  $L_1$ , which we may assume to be Galois over K. Write  $\circ_G^e = (\circ, 0)$  for the corresponding  $\mathrm{Gal}(L/K)$ -invariant point of  $\mathbf{B}^e(G_L)$  and let  $G_\circ$  be the reductive group over  $\mathcal{O}_L$  with generic fiber  $G_L$  such that  $G_\circ(\mathcal{O}_L)$  is the stabilizer of  $\circ_G^e$  in G(L). Since  $\circ_G^e$  is fixed by  $\mathrm{Gal}(L/K)$ , the Hopf  $\mathcal{O}_L$ -sub-algebra  $\mathcal{A}(G_\circ)$  of  $\mathcal{A}(G_L) = \mathcal{A}(G)_L$  is fixed by the action of  $\mathrm{Gal}(L/K)$ .

Let now  $\tau$  be a finite dimensional K-representation of G, corresponding to a morphism  $f: G \to H$ , with H = GL(V),  $V = V(\tau)$ . By [35, 1.5], every finitely generated  $\mathcal{O}_L$ -submodule M of  $V_L$  is contained in some  $\mathcal{A}(G_\circ)$ -sub-comodule F of  $V_L$  which is finitely generated (hence free) over  $\mathcal{O}_L$ . Since  $\mathcal{A}(G_\circ)$  is flat over  $\mathcal{O}_L$ , there is a smallest such F, which we denote by F(M). Since  $\mathcal{A}(G_\circ)$  is stabilized by  $\mathrm{Gal}(L/K)$ , the map  $M \mapsto F(M)$  is  $\mathrm{Gal}(L/K)$ -equivariant. Thus starting with a  $\mathrm{Gal}(L/K)$ -stable  $\mathcal{O}_L$ -lattice M of  $V_L$ , for instance the base change of an  $\mathcal{O}_K$ -lattice of V, we obtain an  $\mathcal{O}_L$ -model  $f: G_\circ \to H_\circ$  of  $f_L: G_L \to H_L$ , with  $H_\circ = GL(F(M))$ , such that the point  $\circ_H^\circ = (\circ, 0)$  corresponding to  $H_\circ$  in  $\mathbf{B}^e(H_L)$  is also fixed by  $\mathrm{Gal}(L/K)$ . Applying now the previous functoriality results to this

 $\mathcal{O}_L$ -morphism  $f: G_o \to H_o$ , we obtain: for every extension (L', |-|) of (L, |-|) in HV, there is a unique morphism  $f: \mathbf{B}^e(G_{L'}) \to \mathbf{B}^e(H_{L'})$  such that

$$f(\circ_G^e) = \circ_H^e$$
,  $f(gx) = f(g)f(x)$  and  $f(x + \mathcal{F}) = f(x) + f(\mathcal{F})$ 

for every  $x \in \mathbf{B}^e(G_{L'})$ ,  $g \in G(L')$  and  $\mathcal{F} \in \mathbf{F}(G_{L'})$ . Moreover, for every K-linear morphism  $\sigma: (L', |-|) \to (L'', |-|)$  between two such extensions,

$$f(\sigma x) = \sigma f(x)$$
 in  $\mathbf{B}^e(G_{L''})$ 

for every  $x \in \mathbf{B}^e(G_{L'})$ . Indeed if  $x = \circ_G^e + \mathcal{F}$  with  $\mathcal{F} \in \mathbf{F}(G_{L'})$ , then

$$f(\sigma x) = f(\sigma \circ_G^e + \sigma \mathcal{F}) = f(\circ_G^e + \sigma \mathcal{F}) = \circ_H^e + f(\sigma \mathcal{F})$$
$$= \sigma \circ_H^e + \sigma f(\mathcal{F}) = \sigma(\circ_H^e + f(\mathcal{F})) = \sigma f(x).$$

### 6.4. A Tannakian formalism for Bruhat-Tits buildings

**6.4.1.** Let again (K, |-|) be a field with a non-trivial, non-archimedean absolute value  $|-|: K \to \mathbb{R}^+$ , with valuation ring  $\mathcal{O}_K$  and residue field k. We denote by  $\mathsf{Norm}^\circ(K, |-|)$  the category whose objects are pairs  $(V, \alpha)$  where V is a finite dimensional K-vector space and  $\alpha: V \to \mathbb{R}^+$  is a splittable K-norm on V. A morphism  $f: (V, \alpha) \to (V', \alpha')$  is a K-linear morphism  $f: V \to V'$  such that  $\alpha'(f(x)) \leq \alpha(x)$  for every  $x \in V$ . This defines an  $\mathcal{O}_K$ -linear rigid  $\otimes$ -category with neutral object  $1_K = (K, |-|)$ . The  $\otimes$ -products, inner homs and duals

$$(V_1, \alpha_1) \otimes (V_2, \alpha_2) = (V_1 \otimes V_2, \alpha_1 \otimes \alpha_2)$$

$$\operatorname{Hom} ((V_1, \alpha_1), (V_2, \alpha_2)) = (\operatorname{Hom} (V_1, V_2), \operatorname{Hom} (\alpha_1, \alpha_2))$$

$$(V, \alpha)^* = (V^*, \alpha^*)$$

are respectively given by :  $\alpha_1 \otimes \alpha_2 = \operatorname{Hom}(\alpha_1^*, \alpha_2)$  under  $V_1 \otimes V_2 = \operatorname{Hom}(V_1^*, V_2)$ ,

$$\operatorname{Hom}(\alpha_{1}, \alpha_{2})(f) = \sup \left\{ \frac{\alpha_{2}(f(x))}{\alpha_{1}(x)} : x \in V_{1} \setminus \{0\} \right\},$$

$$\alpha^{*}(f) = \sup \left\{ \frac{|f(x)|}{\alpha(x)} : x \in V \setminus \{0\} \right\}.$$

In addition,  $Norm^{\circ}(K, |-|)$  is an exact category in Quillen's sense: a short sequence

$$(V_1, \alpha_1) \xrightarrow{f_1} (V_2, \alpha_2) \xrightarrow{f_2} (V_3, \alpha_3)$$

is exact precisely when the underlying sequence of K-vector spaces is exact and

$$\alpha_1(x) = \alpha_2(f_1(x)), \qquad \alpha_3(z) = \inf \{\alpha_2(y) : y \in f_2^{-1}(z)\}\$$

for every  $x \in V_1$  and  $z \in V_3$ . For  $\gamma \in \mathbb{R}$  and  $(V, \alpha) \in \mathsf{Norm}^{\circ}(K, |-|)$ , we set

$$B(\alpha, \gamma) = \{x \in V : \alpha(x) < \exp(-\gamma)\}\}$$

$$\overline{B}(\alpha, \gamma) = \{x \in V : \alpha(x) \le \exp(-\gamma)\}\}$$

These are  $\mathcal{O}_K$ -submodules of V and the functors  $(V, \alpha) \mapsto B(\alpha, \gamma)$  are easily seen to be exact. However,  $(V, \alpha) \mapsto \overline{B}(\alpha, \gamma)$  is also exact, because in fact every exact sequence in  $\mathsf{Norm}^\circ(K)$  is split by [11, 1.5.ii + Appendix]! If M is an  $\mathcal{O}_K$ -lattice in V (by which we mean a finitely generated, thus free,  $\mathcal{O}_K$ -submodule spanning V), we denote by  $\alpha_M$  the splittable K-norm on V with  $\overline{B}(\alpha_M, 0) = M$  defined by

$$\alpha_M(x) = \inf \left\{ |\lambda| : \lambda \in K, \, x \in \lambda M \right\} = \min \left\{ |\lambda| : \lambda \in K, \, x \in \lambda M \right\}.$$

**6.4.2.** For  $(K, |-|) \to (L, |-|)$ , there is an exact  $\mathcal{O}_K$ -linear  $\otimes$ -functor  $-\otimes L: \mathsf{Norm}^{\circ}(K, |-|) \to \mathsf{Norm}^{\circ}(L, |-|)$ 

defined by  $(V, \alpha) \otimes L = (V_L, \alpha_L)$  where  $V_L = V \otimes L$  and

$$\begin{array}{rcl} \alpha_L(v) & = & \inf \left\{ \max \{ |x_k| \, \alpha(v_k) \} : v = \sum v_k \otimes x_k, \ v_k \in V, \, x_k \in L \right\}, \\ & = & \min \left\{ \max \{ |x_k| \, \alpha(v_k) \} : v = \sum v_k \otimes x_k, \ v_k \in V, \, x_k \in L \right\}. \end{array}$$

For  $(V, \alpha) \in \mathsf{Norm}^{\circ}(K, |-|), \gamma \in \mathbb{R}$  and  $x \in V$ ,

$$B(\alpha_L, \gamma) = B(\alpha, \gamma) \otimes \mathcal{O}_L$$
,  $\overline{B}(\alpha_L, \gamma) = \overline{B}(\alpha, \gamma) \otimes \mathcal{O}_L$  and  $\alpha = \alpha_L | V$ .

If M is an  $\mathcal{O}_K$ -lattice in V, then  $\alpha_{M,L} = \alpha_{M \otimes \mathcal{O}_L}$ .

**6.4.3.** We shall also consider the category  $\operatorname{Norm}'(K)$  whose objects are triples  $(V, \alpha, M)$  where  $(V, \alpha)$  is an object of  $\operatorname{Norm}^{\circ}(K)$  and M is an  $\mathcal{O}_K$ -lattice in V, with the obvious morphisms. It is again an  $\mathcal{O}_K$ -linear  $\otimes$ -category. The formula

$$\operatorname{loc}^{\gamma}(V, \alpha, M) = \operatorname{image} \text{ of } \overline{B}(\alpha, \gamma) \cap M \text{ in } M_k = M \otimes_{\mathcal{O}_K} k$$

defines an  $\mathcal{O}_K$ -linear  $\otimes$ -functor with values in  $\mathsf{Fil}(k) = \mathsf{Fil}^\mathbb{R} \mathsf{LF}(k)$ ,

$$loc : Norm'(K) \to Fil(k).$$

Indeed by the axiom R(s) for  $\mathbf{B}(V)$ , there is an  $\mathcal{O}_K$ -basis  $(e_1, \dots, e_n)$  of M adapted to  $\alpha$ , thus  $\alpha(\sum x_i e_i) = \max\{|x_i| e^{-\gamma_i}\}$  where  $\gamma_i = -\log \alpha(e_i)$  and

$$\mathrm{loc}^{\gamma}(V,\alpha,M) = \oplus_{\gamma_i > \gamma} ke_i$$

from which easily follows that loc is well-defined and compatible with  $\otimes$ -products.

**6.4.4.** For an extension  $(K, |-|) \to (L, |-|)$  and a reductive group G over  $\mathcal{O}_K$ , we denote by  $\mathbf{B}'(\omega_G^{\circ}, L, |-|)$  or simply  $\mathbf{B}'(\omega_G^{\circ}, L)$  the set of all factorizations

$$\operatorname{\mathsf{Rep}}^{\circ}(G)(\mathcal{O}_K) \stackrel{\alpha}{\longrightarrow} \operatorname{\mathsf{Norm}}^{\circ}(L, |-|) \stackrel{\operatorname{forg}}{\longrightarrow} \operatorname{\mathsf{Vect}}(L)$$

of the fiber functor  $\omega_{G,L}^{\circ}$  through an  $\mathcal{O}_K$ -linear  $\otimes$ -functor  $\alpha$ . For  $\tau \in \mathsf{Rep}^{\circ}(G)(\mathcal{O}_K)$  and  $\alpha \in \mathbf{B}'(\omega_G^{\circ}, L)$ , we denote by  $\alpha(\tau)$  the corresponding L-norm on  $V_L(\tau)$ .

**6.4.5.** For  $g \in G(L)$  and  $\mathcal{F} \in \mathbf{F}(G_L)$ , the following formulas

$$(g \cdot \alpha)(\tau) = \tau_L(g) \cdot \alpha(\tau)$$
 and  $(\alpha + \mathcal{F})(\tau) = \alpha(\tau) + \mathcal{F}(\tau)$ 

respectively define an action of G(L) on  $\mathbf{B}'(\omega_G^{\circ}, L)$  and a G(L)-equivariant map

$$+: \mathbf{B}'(\omega_G^{\circ}, L) \times \mathbf{F}(G_L) \to \mathbf{B}'(\omega_G^{\circ}, L).$$

**6.4.6.** We define the canonical L-norm  $\alpha_{G,L}$  on  $\omega_{G,L}^{\circ}$  by the formula

$$\alpha_{G,L}(\tau) = \alpha_{V_{\mathcal{O}_T}(\tau)} = \alpha_{V(\tau),L}.$$

By propositions 38 and 41,  $G(\mathcal{O}_L)$  is the stabilizer of  $\alpha_{G,L}$  in G(L). We set

$$\mathbf{B}(\omega_G^{\circ}, L) \stackrel{\mathrm{def}}{=} \alpha_{G,L} + \mathbf{F}(G_L).$$

This is a  $G(\mathcal{O}_L)$ -stable subset of  $\mathbf{B}'(\omega_G^{\circ}, L)$  equipped with a  $G(\mathcal{O}_L)$ -equivariant map

$$\operatorname{can}: \mathbf{F}(G_L) \twoheadrightarrow \mathbf{B}(\omega_G^{\circ}, L), \qquad \operatorname{can}(\mathcal{F}) = \alpha_{G,L} + \mathcal{F}.$$

**6.4.7.** Any L-norm  $\alpha$  on  $\omega_{GL}^{\circ}$  induces an  $\mathcal{O}_K$ -linear  $\otimes$ -functor

$$\alpha' : \mathsf{Rep}^{\circ}(G)(\mathcal{O}_K) \to \mathsf{Norm}'(L)$$

by the formula  $\alpha'(\tau) = (V_L(\tau), \alpha(\tau), V_{\mathcal{O}_L}(\tau))$ , thus also an  $\mathcal{O}_K$ -linear  $\otimes$ -functor

$$loc(\alpha) : Rep^{\circ}(G)(\mathcal{O}_K) \to Fil(k_L), \quad loc(\alpha) = loc \circ \alpha'$$

where  $k_L$  is the residue field of  $\mathcal{O}_L$ . We may thus define

$$\mathbf{B}^{?}(\omega_{G}^{\circ}, L) = \{ \alpha \in \mathbf{B}'(\omega_{G}^{\circ}, L) : \operatorname{loc}(\alpha) \text{ is exact} \}.$$

This is a  $G(\mathcal{O}_L)$ -stable subset of  $\mathbf{B}'(\omega_G^{\circ}, L)$  equipped with a  $G(\mathcal{O}_L)$ -equivariant map

$$loc : \mathbf{B}^?(\omega_G^\circ, L) \to \mathbf{F}(G_{k_L}).$$

**6.4.8.** All of the above constructions are functorial in G, (K, |-|) and (L, |-|), using pre- or post-composition with the obvious exact  $\otimes$ -functors

LEMMA 116. For any reductive group G over  $\mathcal{O}_K$ , we have

$$\mathbf{B}(\omega_C^{\circ}, L) \subset \mathbf{B}^?(\omega_C^{\circ}, L) \subset \mathbf{B}'(\omega_C^{\circ}, L)$$

and the composition  $loc \circ can : \mathbf{F}(G_L) \to \mathbf{F}(G_{k_L})$  is the reduction map

$$\mathbf{F}(G_L) \stackrel{\simeq}{\longleftarrow} \mathbf{F}(G_{\mathcal{O}_L}) \stackrel{\mathrm{red}}{\longrightarrow} \mathbf{F}(G_{k_L}).$$

For any  $S \in \mathbf{S}(G_{\mathcal{O}_{\tau}})$ , the functorial map  $\mathbf{B}'(\omega_{S}^{\circ}, L) \to \mathbf{B}'(\omega_{C}^{\circ}, L)$  is injective.

PROOF. By proposition 65, any  $\mathcal{F} \in \mathbf{F}(G_L)$  belongs to  $\mathbf{F}(S_L)$  for some S in  $\mathbf{S}(G_{\mathcal{O}_L})$ . Pre-composing with  $\mathsf{Rep}^{\circ}(G)(\mathcal{O}_K) \to \mathsf{Rep}^{\circ}(S)(\mathcal{O}_L)$  yields the vertical maps of the commutative diagram

$$\mathbf{F}(S_L) \xrightarrow{\operatorname{can}} \mathbf{B}(\omega_S^{\circ}, L) \hookrightarrow \mathbf{B}'(\omega_S^{\circ}, L) \longleftrightarrow \mathbf{B}^?(\omega_S^{\circ}, L) \xrightarrow{\operatorname{loc}} \mathbf{F}(S_{k_L})$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$\mathbf{F}(G_L) \xrightarrow{\operatorname{can}} \mathbf{B}(\omega_G^{\circ}, L) \hookrightarrow \mathbf{B}'(\omega_G^{\circ}, L) \longleftrightarrow \mathbf{B}^?(\omega_G^{\circ}, L) \xrightarrow{\operatorname{loc}} \mathbf{F}(G_{k_L})$$

which reduces us to the case K = L, G = S treated below.

Lemma 117. Suppose that G = S is a split torus. Then all maps in

$$\mathbf{F}(S_L) \xrightarrow{\operatorname{can}} \mathbf{B}(\omega_S^{\circ}, L) \hookrightarrow \mathbf{B}'(\omega_S^{\circ}, L) \longleftrightarrow \mathbf{B}^?(\omega_S^{\circ}, L) \xrightarrow{\operatorname{loc}} \mathbf{F}(S_{k_L})$$

are isomorphisms of pointed affine  $\mathbf{G}(S)$ -spaces. Moreover, S(L) acts on

$$\mathbf{B}(\omega_S^{\circ}, L) = \mathbf{B}^?(\omega_S^{\circ}, L) = \mathbf{B}'(\omega_S^{\circ}, L)$$

by translations through the morphism

$$\nu_{\mathbf{B}|S}: S(L) \to \mathbf{G}(S)$$

which maps  $s \in S(L)$  to the unique morphism  $\nu_{\mathbf{B},S}(s) : \mathbb{D}_{\mathcal{O}_K}(\mathbb{R}) \to S$  whose composition with any character  $\chi$  of S is the character  $\log |\chi(s)| \in \mathbb{R}$  of  $\mathbb{D}_{\mathcal{O}_K}(\mathbb{R})$ .

PROOF. Put  $M = \operatorname{Hom}(S, \mathbb{G}_{m,\mathcal{O}_K})$  and let  $\rho_m$  be the representation of S on  $\mathcal{O}_K$  given by the character  $m \in M$ . For  $\tau \in \operatorname{Rep}^{\circ}(S)(\mathcal{O}_K)$ , let  $\tau = \oplus \tau_m$  be the weight decompositions of  $\tau$ . Recall from section 3.10.6 that the formulas

$$\mathcal{F}^{\gamma}(\tau) = \bigoplus_{\mathcal{F}^{\sharp}(m) > \gamma} V(\tau_m), \quad \mathcal{F}^{\sharp}(m) = \sup\{\gamma : \mathcal{F}^{\gamma}(\rho_m) \neq 0\}$$

yield isomorphisms between  $\mathbf{F}(S) = \mathbf{G}(S)$  and  $\mathrm{Hom}(M,\mathbb{R})$ . Similarly, the formulas

$$\alpha(\tau)(x) = \max \left\{ e^{-\alpha^{\sharp}(m)} \alpha_{V_{\mathcal{O}_L}(\tau_m)}(x_m) : m \in M \right\}, \quad \alpha^{\sharp}(m) = -\log \alpha(\rho_m)(1_{\mathcal{O}_K})$$

where  $x = \sum x_m$  is the decomposition of x in  $V_L(\tau) = \bigoplus V_L(\tau_m)$  yield isomorphisms between  $\mathbf{B}'(\omega_S^{\circ}, L)$  and  $\mathrm{Hom}(M, \mathbb{R})$ . One then checks easily that

$$\alpha_{S,L}^{\sharp} = 0, \quad (\alpha + \mathcal{F})^{\sharp} = \alpha^{\sharp} + \mathcal{F}^{\sharp} \quad \text{and} \quad s \cdot \alpha = \alpha + \nu_{\mathbf{B},S}(s)$$

as well as  $\log^{\gamma}(\alpha)(\tau) = \bigoplus_{\alpha^{\sharp}(m) \geq \lambda} V_{k_L}(\tau_m)$ , from which the lemma follows.  $\square$ 

- **6.4.9.** For  $S \in \mathbf{S}(G_{\mathcal{O}_L})$ , we identify  $\mathbf{B}(\omega_S^{\circ}, L)$  with its image in  $\mathbf{B}(\omega_G^{\circ}, L)$  and call it the apartment attached to S. The pull map on  $\mathbf{B}'(\omega_G^{\circ}, L)$  thus induces a structure of affine  $\mathbf{F}(S_L)$ -space on  $\mathbf{B}(\omega_S^{\circ}, L)$ , and the action of G(L) on  $\mathbf{B}'(\omega_G^{\circ}, L)$  restricts to an action of S(L) on  $\mathbf{B}(\omega_S^{\circ}, L)$ , by translations through the above morphism  $\nu_{\mathbf{B},S}: S(L) \to \mathbf{G}(S_L)$ .
- **6.4.10.** We now restrict our attention to Henselian fields, so that  $\mathbf{B}^e(G, L, |-|)$  is also well-defined, functorial in (L, |-|), and equal to  $\circ_G^e + \mathbf{F}(G_L)$  by T(s). Given the functorial properties of  $\mathbf{B}(\omega_G^\circ, L)$ , theorem 115 immediately follows from:

Theorem 118. The formula  $\circ_G^e + \mathcal{F} \mapsto \alpha_{G,L} + \mathcal{F}$  defines a functorial bijection

$$\alpha: \mathbf{B}^e(G, L, |-|) \to \mathbf{B}(\omega_G^{\circ}, L, |-|)$$

such that for every  $x \in \mathbf{B}^e(G_L)$ ,  $g \in G(L)$  and  $\mathcal{F} \in \mathbf{F}(G_L)$ ,

$$\alpha(\circ_G^e) = \alpha_G, \quad \alpha(g \cdot x) = g \cdot \alpha(x) \quad and \quad \alpha(x + \mathcal{F}) = \alpha(x) + \mathcal{F}.$$

PROOF. Fix an extension  $(L, |-|) \to (L', |-|)$  such that  $G' = G_{\mathcal{O}_{L'}}$  splits and consider the following diagram, where  $\mathcal{F} \in \mathbf{F}(G_L)$  and  $\mathcal{F}' \in \mathbf{F}(G_{L'}) = \mathbf{F}(G'_{L'})$ :

The bottom maps are respectively induced by post and pre-composition with

$$-\otimes L': \mathsf{Norm}^{\circ}(L) \to \mathsf{Norm}^{\circ}(L') \quad \text{and} \quad -\otimes \mathcal{O}_{L'}: \mathsf{Rep}^{\circ}(G)(\mathcal{O}_K) \to \mathsf{Rep}^{\circ}(G')(\mathcal{O}_{L'}).$$

If  $\alpha'$  is well-defined and equivariant with respect to the operations of G(L') and  $\mathbf{F}(G_{L'})$ , so is  $\beta'$ . Then  $\beta$  is well-defined and equivariant with respect to the operations of G(L) and  $\mathbf{F}(G_L)$ . But  $\mathbf{B}'(\omega_G^{\circ}, L) \to \mathbf{B}'(\omega_G^{\circ}, L')$  is injective, thus  $\alpha$  is also well-defined and equivariant with respect to the operations of G(L) and  $\mathbf{F}(G_L)$ . Its image equals  $\mathbf{B}(\omega_G^{\circ}, L)$  by definition, which is thus stable under the operations of G(L) and  $\mathbf{F}(G_L)$  on  $\mathbf{B}'(\omega_G^{\circ}, L)$ . Since  $\mathrm{loc}(\alpha_{G,L} + \mathcal{F}) = \mathcal{F}_{k_L}$  for every  $\mathcal{F} \in \mathbf{F}(G_L)$ , the restriction of  $\alpha$  to any apartment  $\mathbf{B}^e(S_L) = \circ_G^e + \mathbf{F}(S_L)$  for  $S \in \mathbf{S}(G_{\mathcal{O}_L})$  is injective. Since any pair of points in  $\mathbf{B}^e(G, L)$  is G(L)-conjugated to one in such an apartment by the axiom R(s) for  $\mathbf{B}^e(G, L)$ ,  $\alpha : \mathbf{B}^e(G, L) \to \mathbf{B}(\omega_G^{\circ}, L)$  is a bijection. This reduces us to the case where G is split over  $\mathcal{O}_K$  and K = L.

Suppose that  $\circ_G^e + \mathcal{F}_1 = \circ_G^e + \mathcal{F}_2 = x$  in  $\mathbf{B}^e(G_K)$  for some  $\mathcal{F}_1, \mathcal{F}_2 \in \mathbf{F}(G_K)$ , choose  $S_i \in \mathbf{S}(G_K)$  such that  $\mathcal{F}_i \in \mathbf{F}(S_i)$  and  $\circ_G^e \in \mathbf{B}^e(S_i)$  using L(s) for  $\mathbf{B}^e(G_K)$ , and then choose  $g \in G(K)$  fixing  $\circ_G^e$  and x such that  $\mathrm{Int}(g)(S_1) = S_2$  using R(i) for  $\mathbf{B}^e(G_K)$ . Then  $S_i \in \mathbf{S}(G)$  and  $g \in G(\mathcal{O}_K)$  by proposition 113, moreover  $g\mathcal{F}_1 = \mathcal{F}_2$  since  $\mathbf{B}^e(S_2)$  is an affine  $\mathbf{F}(S_2)$ -space. Thus  $g(\alpha_G + \mathcal{F}_1) = \alpha_G + \mathcal{F}_2$  in  $\mathbf{B}'(\omega_G^e, K)$ , since  $G(\mathcal{O}_K)$  fixes  $\alpha_G$ . But g fixes the point  $x = \circ_G^e + \mathcal{F}_1$  of  $\mathbf{B}^e(S_1)$ , thus g fixes  $\alpha_G + \mathcal{F}_1$  in  $\mathbf{B}'(\omega_G^e, K)$  by lemma 119 below, therefore  $\alpha_G + \mathcal{F}_1 = \alpha_G + \mathcal{F}_2$  and our map  $\alpha : \mathbf{B}^e(G, K) \to \mathbf{B}'(\omega_G^e, K)$  is indeed well-defined.

It is plainly  $G(\mathcal{O}_K)$ -equivariant. For any  $S \in \mathbf{S}(G)$ , the G(K)-equivariant map  $\alpha_S$  of lemma 119 below coincides with  $\alpha$  on  $\mathbf{B}^e(S_K)$ , thus  $\alpha$  equals  $\alpha_S$  everywhere since every point of  $\mathbf{B}^e(G_K)$  is conjugated to one in  $\mathbf{B}^e(S_K)$  by some element in  $G(\mathcal{O}_K)$ . Therefore  $\alpha$  is G(K)-equivariant. Since every pair in  $\mathbf{B}^e(G_K) \times \mathbf{F}(G_K)$  is conjugated to one in  $\mathbf{B}^e(S_K) \times \mathbf{F}(S_K)$  by some element in G(K), our  $\alpha$  is also compatible with the operations of  $\mathbf{F}(G_K)$ .

LEMMA 119. Suppose that G is split over  $\mathcal{O}_K$  and let  $(K, |-|) \to (L, |-|)$  be any extension in HV. Then for any  $S \in \mathbf{S}(G)$ , there is a unique map

$$\alpha_S: \mathbf{B}^e(S_L) \to \mathbf{B}'(\omega_S^{\circ}, L)$$

such that for all  $x \in \mathbf{B}^e(S_L)$  and  $\mathcal{F} \in \mathbf{F}(S_L)$ ,

$$\alpha_S(\circ_G^e) = \alpha_{G,L}$$
 and  $\alpha_S(x+\mathcal{F}) = \alpha_S(x) + \mathcal{F}$ 

Moreover, it extends uniquely to a G(L)-equivariant map

$$\alpha_S: \mathbf{B}^e(G_L) \to \mathbf{B}'(\omega_G^\circ, L).$$

PROOF. The uniqueness of both maps is obvious. Since  $\mathbf{B}^e(S_L)$  and  $\mathbf{B}(\omega_S^{\circ}, L)$  are affine  $\mathbf{G}(S_L)$ -spaces on which S(L) acts by translations through the same morphism  $\nu_{\mathbf{B},S}: S(L) \to \mathbf{G}(S_L)$ , the unique isomorphism of affine  $\mathbf{G}(S_L)$ -spaces

$$\alpha_S: \mathbf{B}^e(S_L) \to \mathbf{B}(\omega_S^{\circ}, L)$$

mapping  $\circ_G^e \in \mathbf{B}^e(S_L)$  to  $\alpha_{G,L} \in \mathbf{B}(\omega_S^\circ, L)$  is S(L)-equivariant. Since  $G(\mathcal{O}_L)$  fixes  $\circ_G^e \in \mathbf{B}^e(G_L)$  and  $\alpha_{G,L} \in \mathbf{B}'(\omega_G^\circ, L)$ , the induced embedding

$$\alpha_S: \mathbf{B}^e(S_L) \to \mathbf{B}'(\omega_G^{\circ}, L)$$

is also equivariant for the actions of  $N_G(S)(L) = N_G(S)(\mathcal{O}_L) \cdot S(L)$ . To extend the latter map to a G(L)-equivariant morphism on the whole tight building  $\mathbf{B}^e(G_L)$ , it remains to establish the following claim – see remark 105:

For every 
$$x \in \mathbf{B}^e(S_L)$$
, the  $G(L)$ -stabilizer of  $x \in \mathbf{B}^e(G_L)$  is contained in the  $G(L)$ -stabilizer of  $\alpha_S(x) \in \mathbf{B}'(\omega_G^{\circ}, L)$ .

This is true for  $x = \circ_G^e$ , where both stabilizers equal  $G(\mathcal{O}_L)$ . This is therefore also true for any x in  $S(L) \cdot \circ_G^e = \circ_G^e + \nu_{\mathbf{B},S}(S(L))$  since  $\alpha_S$  is S(L)-equivariant. To clarify the proof, note that the base change maps from K to L identify

$$\begin{array}{lclcl} F & = & \mathbf{F}(S_K) & \text{ with } & \mathbf{F}(S_L) & \subset & \mathbf{F}(G_L) \\ A & = & \mathbf{B}^e(S_K) & \text{ with } & \mathbf{B}^e(S_L) & \subset & \mathbf{B}^e(G_L) \\ B & = & \mathbf{B}(\omega_S^\circ, K) & \text{ with } & \mathbf{B}(\omega_S^\circ, L) & \subset & \mathbf{B}'(\omega_G^\circ, L) \end{array}$$

and the isomorphism of affine F-space  $\alpha_S: A \to B$  also does not depend upon L. What depends upon L is the subset  $\Lambda(L) = \circ_G^e + \nu_{\mathbf{B},S}(\mathbf{S}(L))$  of A on which we know the validity of our claim. So let us fix x and  $\alpha = \alpha_S(x)$  as above, as well as some  $g \in G(L)$  such that gx = x. By lemma 120 below, there is an extension

 $(L, |-|) \to (L', |-|)$  in HV such that  $\log |L'^{\times}| = \mathbb{R}$ . Then  $\Lambda(L') = A$ , thus  $g\alpha = \alpha$  in  $\mathbf{B}'(\omega_G^{\circ}, L')$  since gx = x in  $\mathbf{B}^e(G_{L'})$ . But  $\mathbf{B}'(\omega_G^{\circ}, L) \to \mathbf{B}'(\omega_G^{\circ}, L')$  is injective and G(L)-equivariant, thus also  $g\alpha = \alpha$  in  $\mathbf{B}'(\omega_G^{\circ}, L)$ , which proves our claim.  $\square$ 

Lemma 120. Let L be a field with a non-archimedean absolute value |-|. There is an extension (L', |-|) of (L, |-|) with L' algebraically closed and  $\log |L'^{\times}| = \mathbb{R}$ .

PROOF. By  $[\mathbf{6}, \operatorname{VI}, \S 8, \operatorname{Proposition } 9]$ , we may assume that L is algebraically closed. Then  $\log |L^{\times}|$  is a divisible subgroup of  $\mathbb{R}$ , i.e. a  $\mathbb{Q}$ -vector space. Let  $(\delta_i)_{i\in I}$  be a  $\mathbb{Q}$ -basis of  $\mathbb{R}/\log |L^{\times}|$  and lift each  $\delta_i$  to  $d_i \in \mathbb{R}$ . Let  $(t_i)_{i\in I}$  be independent variables and let L' be an algebraic closure of the purely transcendental extension  $M = K((t_i)_{i\in I})$  of K. By Zorn's lemma and  $[\mathbf{6}, \operatorname{VI}, \S 10, \operatorname{Proposition } 1]$ , there is a unique extension of |-| to a non-archimedean absolute value on M such that  $\log |t_i| = d_i$  for every  $i \in I$ . The latter again extends to L', and then  $\log |L'^{\times}|$  equals  $\mathbb{R}$ , being a divisible subgroup of  $\mathbb{R}$  which contains  $\log |L^{\times}|$  and all  $d_i$ 's.  $\square$ 

- **6.4.11.** The theorem implies various properties of  $\mathbf{B}(\omega_G^{\circ}, K)$ , for instance:  $\mathbf{B}(\omega_G^{\circ}, K)$  is a tight affine  $\mathbf{F}(G_K)$ -building. For an extension  $(K, |-|) \to (L, |-|)$ , the map  $\mathbf{B}(\omega_{G_{\mathcal{O}_L}}^{\circ}, L) \to \mathbf{B}(\omega_G^{\circ}, L)$  is an isomorphism of affine  $\mathbf{F}(G_L)$ -buildings. For a closed immersion  $G_1 \hookrightarrow G_2$ , the map  $\mathbf{B}(\omega_{G_1}^{\circ}, K) \to \mathbf{B}(\omega_{G_2}^{\circ}, K)$  is injective. For a central isogeny  $G_1 \twoheadrightarrow G_2$ , the map  $\mathbf{B}(\omega_{G_1}^{\circ}, K) \to \mathbf{B}(\omega_{G_2}^{\circ}, K)$  is an isomorphism. Thus  $\mathbf{B}(\omega_G^{\circ}, K)$  has canonical decompositions analogous to those of section 2.2.11. This last property also follows from 5.2.19.
- **6.4.12.** Fix a faithful representation  $\tau$  in  $\mathsf{Rep}^{\circ}(G)(\mathcal{O}_K)$  and drop it from the notations for the induced distances, angles, scalar products... For  $x, y \in \mathbf{B}^e(G, K)$ ,

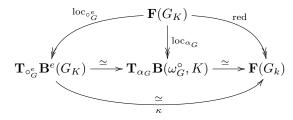
$$d(x, y) = d(\boldsymbol{\alpha}(x), \boldsymbol{\alpha}(y)) = d(\boldsymbol{\alpha}(x)(\tau), \boldsymbol{\alpha}(y)(\tau))$$

where the last distance is computed in the space of K-norms on  $V_K(\tau)$ .

**6.4.13.** Fix  $\mathcal{F}_1, \mathcal{F}_2 \in \mathbf{F}(G_K)$ . Suppose that for some  $\epsilon > 0$ ,

$$\forall t \in [0, \epsilon]: \qquad \alpha_G + t\mathcal{F}_1 = \alpha_G + t\mathcal{F}_2 \quad \text{in} \quad \mathbf{B}(\omega_G^{\circ}, K).$$

Then the reductions  $\mathcal{F}_{1,k}$  and  $\mathcal{F}_{2,k}$  are equal in  $\mathbf{F}(G_k)$  by lemma 116. Suppose conversely that  $\mathcal{F}_{1,k} = \mathcal{F}_{2,k}$ , and choose an apartment  $\mathbf{B}^e(S)$  in  $\mathbf{B}^e(G_K)$  containing the germs of  $t \mapsto \circ_G^e + t\mathcal{F}_i$  for  $i \in \{1,2\}$  – in particular, S belongs to  $\mathbf{S}(G)$  since  $\circ_G^e$  belongs to  $\mathbf{B}^e(S)$ . Then there are unique  $\mathcal{F}_i^*$  in  $\mathbf{F}(S)$  such that, for some  $\epsilon > 0$ ,  $\circ_G^e + t\mathcal{F}_i = \circ_G^e + t\mathcal{F}_i^*$  in  $\mathbf{B}^e(G_K)$  for all  $t \in [0, \epsilon]$ . But then also  $\alpha_G + t\mathcal{F}_i = \alpha_G + t\mathcal{F}_i^*$  in  $\mathbf{B}(\omega_G^\circ, K)$ , thus  $\mathcal{F}_{i,k} = \mathcal{F}_{i,k}^*$  in  $\mathbf{F}(G_k)$ , therefore  $\mathcal{F}_{1,k}^* = \mathcal{F}_{2,k}^*$  and  $\mathcal{F}_1^* = \mathcal{F}_2^*$  since the reduction map is injective on  $\mathbf{F}(S)$ , thus again  $\alpha_G + t\mathcal{F}_1 = \alpha_G + t\mathcal{F}_2$  for all  $t \in [0, \epsilon]$ . This yields canonical identifications



between the localization maps of 5.5.4 and the reduction map on  $\mathbf{F}(G_K)$ . By restriction to an apartment  $\mathbf{F}(S_K)$  with  $S \in \mathbf{S}(G)$ , one checks that the isomorphism

$$\kappa: \mathbf{T}_{\circ_G^e} \mathbf{B}^e(G_K) \xrightarrow{\simeq} \mathbf{F}(G_k)$$

is compatible with the distances, scalar products etc... attached to our chosen  $\tau$  as in 5.5.4 and 4.2.12, and also that  $\kappa$  fits in a commutative diagram

$$\mathbf{B}^{e}(G, K) \xrightarrow{\alpha} \mathbf{B}(\omega_{G}^{\circ}, K)$$

$$\downarrow^{\operatorname{loc}_{\circ_{G}^{e}}} \downarrow^{\operatorname{loc}}$$

$$\mathbf{T}_{\circ_{G}^{e}} \mathbf{B}^{e}(G_{K}) \xrightarrow{\kappa} \mathbf{F}(G_{k})$$

Thus for every  $\mathcal{F}, \mathcal{G} \in \mathbf{F}(G)$  and  $x, y \in \mathbf{B}^e(G_K)$ ,

$$\measuredangle_{\circ}(\mathcal{F},\mathcal{G}) = \measuredangle(\mathcal{F}_k,\mathcal{G}_k)$$
 and  $\measuredangle_{\circ}(x,y) = \measuredangle(\operatorname{loc} \circ \alpha(x),\operatorname{loc} \circ \alpha(y))$ 

where we have abbreviated  $\circ_G^e = \circ$ . In particular,

$$\lim_{t \to 0} \frac{1}{t} d(\circ + t\mathcal{F}, \circ + t\mathcal{G}) = d(\mathcal{F}_k, \mathcal{G}_k)$$

$$\lim_{t \to 0} \frac{1}{t} (d(x, \circ + t\mathcal{F}) - d(x, \circ)) = \langle \log \circ \alpha(x), \mathcal{F}_k \rangle$$

As for the vector valued distance  $\mathbf{d}: \mathbf{B}^e(G_K) \times \mathbf{B}^e(G_K) \to \mathbf{C}(G_K)$ , we have

$$\mathbf{d}(\circ, x) = t(\operatorname{loc} \circ \boldsymbol{\alpha}(x))$$
 in  $\mathbf{C}(G_K) = \mathbf{C}(G_k)$ .

**6.4.14.** For a parabolic subgroup P of G with unipotent radical U, the  $Gr_{P}$ -map of section 6.3.3 induces an analogous P(K)-equivariant map

$$\operatorname{Gr}_P: \mathbf{B}(\omega_G^{\circ}, K) \to \mathbf{B}(\omega_{P/U}^{\circ}, K).$$

For  $\mathcal{F} \in \mathbf{F}(G)$ , set  $\mathrm{Gr}_{\mathcal{F}} = \mathrm{Gr}_{P_{\mathcal{F}}}$ . For  $\rho \in \mathsf{Rep}^{\circ}(G)(\mathcal{O}_K)$ ,  $\gamma \in \mathbb{R}$  and  $\alpha \in \mathbf{B}'(\omega_G^{\circ}, K)$ , let  $\mathrm{Gr}_{\mathcal{F}}^{\gamma}(\alpha, \rho)$  be the K-norm on  $\mathrm{Gr}_{\mathcal{F}}^{\gamma}(\rho)_K$  induced by  $\alpha(\rho)$  on  $V_K(\rho)$ , i.e.

$$\operatorname{Gr}_{\mathcal{F}}^{\gamma}(\alpha,\rho)(\overline{x}) = \inf \left\{ \alpha(\rho)(x) : x \in \mathcal{F}_{K}^{\gamma}(\rho), \ x \equiv \overline{x} \bmod \mathcal{F}_{+,K}^{\gamma}(\rho) \right\}$$

for every  $\overline{x}$  in  $\operatorname{Gr}_{\mathcal{F}}^{\gamma}(\rho)_K = \mathcal{F}_K^{\gamma}(\rho)/\mathcal{F}_{+,K}^{\gamma}(\rho)$ . By the axiom L(s) for the  $\mathbf{F}(V_K(\rho))$ -building  $\mathbf{B}(V_K(\rho))$  of splittable K-norms on  $V_K(\rho)$ ,  $\operatorname{Gr}_{\mathcal{F}}^{\gamma}(\alpha,\rho)$  is a splittable K-norm on  $\operatorname{Gr}_{\mathcal{F}}^{\gamma}(\rho)_K$ . Viewing  $\operatorname{Gr}_{\mathcal{F}}^{\gamma}(\rho)$  as a representation of  $P_{\mathcal{F}}/U_{\mathcal{F}}$ , we have:

$$\forall \alpha \in \mathbf{B}(\omega_G^{\circ}, K) : \operatorname{Gr}_{\mathcal{F}}(\alpha) \left( \operatorname{Gr}_{\mathcal{F}}^{\gamma}(\rho) \right) = \operatorname{Gr}_{\mathcal{F}}^{\gamma}(\alpha, \rho).$$

Indeed, both sides only depend upon the  $U_{\mathcal{F}}(K)$ -orbit of  $\alpha$ , and we may thus assume that  $\alpha$  belongs to the image of  $\mathbf{B}(\omega_L^\circ,K)\to\mathbf{B}(\omega_G^\circ,K)$  for some fixed Levi subgroup L of  $P_{\mathcal{F}}$ , i.e.  $\alpha=\alpha_G+\mathcal{H}$  for some  $\mathcal{H}\in\mathbf{F}(L)$ . Then  $r_{P_{\mathcal{F}},L}(\alpha)=\alpha$ , thus  $\mathrm{Gr}_{\mathcal{F}}(\alpha)=\alpha_{P/U}+\overline{\mathcal{H}}$  where  $\overline{\mathcal{H}}$  is the image of  $\mathcal{H}$  in  $\mathbf{F}(P_{\mathcal{F}}/U_{\mathcal{F}})$ . On the other hand, the chosen L gives a splitting  $\mathcal{G}\in\mathbf{G}(Z(L))$  of  $\mathcal{F}$ , thus also a splitting  $\rho|_L=\oplus_{\gamma}\rho_{\gamma}$  with  $\rho_{\gamma}\in\mathsf{Rep}^\circ(L)(\mathcal{O}),V(\rho_{\gamma})=\mathcal{G}_{\gamma}(\rho)$ . Since  $\alpha$  is the image of  $\alpha_L+\mathcal{H}$  in  $\mathbf{B}^e(\omega_G^\circ,K)$ , this splitting is adapted to  $\alpha$ :  $\alpha(\rho)=\oplus\alpha_{\gamma}(\rho)$  where  $\alpha_{\gamma}(\rho)=\alpha_L(\rho_{\gamma})+\mathcal{H}(\rho_{\gamma})$ . Thus  $\mathrm{Gr}_{\mathcal{F}}^\gamma(\alpha,\rho)\simeq\alpha_{\gamma}(\rho)$  under the K-linear isomorphism  $V_K(\rho_{\gamma})\simeq\mathrm{Gr}_{\mathcal{F}}^\gamma(\rho)_K$  induced by the  $(L\to P_{\mathcal{F}}/U_{\mathcal{F}})$ -equivariant isomorphism  $\rho_{\gamma}\simeq\mathrm{Gr}_{\gamma}^\gamma(\rho)$ . It follows that indeed

$$\operatorname{Gr}_{\mathcal{F}}^{\gamma}(\alpha,\rho) = \alpha_{P/U}(\operatorname{Gr}_{\mathcal{F}}^{\gamma}(\rho)) + \overline{\mathcal{H}}(\operatorname{Gr}_{\mathcal{F}}^{\gamma}(\rho)) = \operatorname{Gr}_{\mathcal{F}}(\alpha)(\operatorname{Gr}_{\mathcal{F}}^{\gamma}(\rho)).$$

For the distances attached to our chosen  $\tau$ , we thus obtain

$$\lim_{t \to \infty} d_{\tau}(x + t\mathcal{F}, y + t\mathcal{F}) = d\left(\mathrm{Gr}_{\mathcal{F}}^{\bullet}(\boldsymbol{\alpha}(x), \tau), \mathrm{Gr}_{\mathcal{F}}^{\bullet}(\boldsymbol{\alpha}(y), \tau)\right)$$

for every  $x, y \in \mathbf{B}^e(G_K), \mathcal{F} \in \mathbf{F}(G)$ .

**6.4.15.** Combining the previous two computations, we also obtain a formula for the Busemann scalar product on  $\mathbf{B}^e(G_K)$ . Recall from section 6.3.3 (and 5.6.9) that for any  $x, y \in \mathbf{B}^e(G_K)$  and  $\mathcal{F} \in \mathbf{F}(G)$ , we have

$$\left\langle \overrightarrow{xy}, \mathcal{F} \right\rangle = \left\langle \overrightarrow{\mathrm{Gr}_{\mathcal{F}}(x)\mathrm{Gr}_{\mathcal{F}}(y)}, \overline{\mathcal{F}} \right\rangle = \left\langle \mathrm{loc}_{\mathrm{Gr}_{\mathcal{F}}(x)}^{a} \left( \mathrm{Gr}_{\mathcal{F}}(y) \right), \mathrm{loc}_{\mathrm{Gr}_{\mathcal{F}}(x)} \left( \overline{\mathcal{F}} \right) \right\rangle$$

where the second and third scalar product are respectively the Busemann scalar product on  $\mathbf{B}^e(P_K/U_K)$  and the scalar product on its tangent space at  $\mathrm{Gr}_{\mathcal{F}}(x)$ , with  $(P,U)=(P_{\mathcal{F}},U_{\mathcal{F}})$ . For  $x=\circ_G^e$ ,  $\mathrm{Gr}_{\mathcal{F}}(x)=\circ_{P/U}^e$  and we thus obtain

$$\langle \overrightarrow{xy}, \mathcal{F} \rangle = \langle \operatorname{loc} \left( \operatorname{Gr}_{\mathcal{F}}(\boldsymbol{\alpha}(y)) \right), \overline{\mathcal{F}}_k \rangle$$

with the scalar product of  $\mathbf{F}(P_k/U_k)$  attached to the faithful representation

$$Gr_{\mathcal{F}}^{\bullet}(\tau) = \bigoplus_{\gamma} Gr_{\mathcal{F}}^{\gamma}(\tau)$$

of P/U. Since  $\overline{\mathcal{F}}(Gr_{\mathcal{F}}^{\gamma}(\tau))$  is the  $\mathbb{R}$ -filtration with a single jump at  $\gamma$ ,

$$\langle \overrightarrow{xy}, \mathcal{F} \rangle = \sum_{\gamma} \gamma \cdot \deg \left( \log \left( \operatorname{Gr}_{\mathcal{F}}(\boldsymbol{\alpha}(y)) \right) \left( \operatorname{Gr}_{\mathcal{F}}^{\gamma}(\tau) \right) \right).$$

By definition of the morphism loc:  $\mathbf{B}(\omega_G^{\circ}, K) \to \mathbf{F}(G_k)$ ,

$$\operatorname{loc}\left(\operatorname{Gr}_{\mathcal{F}}(\boldsymbol{\alpha}(y))\right)\left(\operatorname{Gr}_{\mathcal{F}}^{\gamma}(\tau)\right) = \operatorname{loc}\left(\operatorname{Gr}_{\mathcal{F}}^{\gamma}(\tau)_{K}, \operatorname{Gr}_{\mathcal{F}}^{\gamma}(\boldsymbol{\alpha}(y), \tau), \operatorname{Gr}_{\mathcal{F}}^{\gamma}(\tau)\right).$$

The degree of this filtration is the degree of its determinant. Since the functors

$$\mathrm{loc}: \mathsf{Norm}'(K) \to \mathsf{Fil}(k) \quad \mathrm{and} \quad \mathrm{Gr}^{\bullet}_{\mathcal{F}}\left(\boldsymbol{\alpha}(y)\right)': \mathsf{Rep}^{\circ}(P/U)(\mathcal{O}_K) \to \mathsf{Norm}'(K)$$

are exact  $\otimes$ -functors, they both commute with the determinant. The degrees which occur in the last displayed formula for  $\langle \overrightarrow{xy}, \mathcal{F} \rangle$  are therefore given by

$$\deg\left(\log\left(\Lambda_{\mathcal{F}}^{\gamma}(\tau)_{K},\Lambda_{\mathcal{F}}^{\gamma}(\boldsymbol{\alpha}(y),\tau),\Lambda_{\mathcal{F}}^{\gamma}(\tau)\right)\right)$$

where  $\Lambda_{\mathcal{F}}^{\gamma}(\tau) = \det\left(\operatorname{Gr}_{\mathcal{F}}^{\gamma}(\tau)\right)$  is a rank one representation of P/U and

$$\Lambda_{\mathcal{F}}^{\gamma}(\boldsymbol{\alpha}(y),\tau) = \det\left(\mathrm{Gr}_{\mathcal{F}}^{\gamma}(\boldsymbol{\alpha}(y),\tau)\right) = \mathrm{Gr}_{\mathcal{F}}^{\bullet}\left(\boldsymbol{\alpha}(y)\right)(\Lambda_{\mathcal{F}}^{\gamma}(\tau))$$

is a K-norm on  $\Lambda_{\mathcal{F}}^{\gamma}(\tau)_K$ . For a rank one object  $(V, \alpha, L)$  in  $\mathsf{Norm}'(K)$ , the degree of  $\mathsf{loc}(V, \alpha, L)$  is simply the largest  $\gamma \in \mathbb{R}$  such that  $L \subset \overline{B}(\alpha, \gamma)$ . Equivalently,

$$\deg(\operatorname{loc}(V, \alpha, L)) = -\log(\sup \{\alpha(\ell) : \ell \in L\}) = -\log(\alpha(\ell_0))$$

where  $L = \mathcal{O}_K \cdot \ell_0$ . Thus, still assuming that  $x = \circ_G^e$ , we finally obtain

$$\langle \overrightarrow{xy}, \mathcal{F} \rangle = -\sum_{\gamma} \gamma \cdot \log \left( \sup \left\{ \Lambda_{\mathcal{F}}^{\gamma} \left( \boldsymbol{\alpha}(y), \tau \right) | \Lambda_{\mathcal{F}}^{\gamma}(\tau) \right\} \right)$$
$$= -\sum_{\gamma} \gamma \cdot \log \left( \Lambda_{\mathcal{F}}^{\gamma} \left( \boldsymbol{\alpha}(y), \tau \right) \left( e_{1}^{\gamma} \wedge \cdots \wedge e_{r_{\gamma}}^{\gamma} \right) \right)$$

where  $(e_1^{\gamma}, \dots, e_{r_{\gamma}}^{\gamma})$  is an  $\mathcal{O}_K$ -basis of  $Gr_{\mathcal{F}}^{\gamma}(\tau)$ . For a general x in  $\mathbf{B}^e(G_K)$ , we find:

$$\langle \overrightarrow{xy}, \mathcal{F} \rangle = \sum_{\gamma} \gamma \cdot \log \left( \frac{\Lambda_{\mathcal{F}}^{\gamma} (\boldsymbol{\alpha}(x), \tau)}{\Lambda_{\mathcal{F}}^{\gamma} (\boldsymbol{\alpha}(y), \tau)} \left( e_{1}^{\gamma} \wedge \cdots \wedge e_{r_{\gamma}}^{\gamma} \right) \right).$$

Note that if we are given some  $\mathcal{G} \in \mathbf{F}(P/U)$  with  $\mathrm{Gr}_{\mathcal{F}}(y) = \mathrm{Gr}_{\mathcal{F}}(x) + \mathcal{G}$ , then simply

$$\langle \overrightarrow{xy}, \mathcal{F} \rangle = \langle \mathcal{G}, \overline{\mathcal{F}} \rangle = \sum_{\gamma} \gamma \cdot \deg \left( \mathcal{G} \left( \operatorname{Gr}_{\mathcal{F}}^{\gamma}(\tau) \right) \right).$$

**6.4.16.** For every  $\nu > 0$ , there is a G(K)-equivariant commutative diagram

$$\begin{array}{ccccc} \mathbf{B}(\omega_{G}^{\circ},K,|-|) & \times & \mathbf{F}(G_{K}) & \stackrel{+}{\longrightarrow} & \mathbf{B}(\omega_{G}^{\circ},K,|-|) \\ a \downarrow & b \downarrow & a \downarrow \\ \mathbf{B}(\omega_{G}^{\circ},K,|-|^{\nu}) & \times & \mathbf{F}(G_{K}) & \stackrel{+}{\longrightarrow} & \mathbf{B}(\omega_{G}^{\circ},K,|-|^{\nu}) \end{array}$$

where  $a(\alpha) = \alpha^{\nu}$  and  $b(\mathcal{F}) = \nu \mathcal{F}$ . It is compatible with the analogous diagram of section 6.2.9 via the relevant  $\alpha$ -maps.

**6.4.17.** For  $x \in \mathbf{B}^e(G_K)$ , the K-norm  $\alpha(x) \in \mathbf{B}(\omega_G^{\circ}, K)$  is exact and extends to a K-norm on  $\omega_G'$  as in 3.6.4. Thus by proposition 42, it yields a K-norm  $\alpha(x)(\rho)$  on  $V_K(\rho)$  for any representation  $\rho$  of G on a flat  $\mathcal{O}_K$ -module  $V(\rho)$ . We set

$$\alpha_{\rm ad}(x) = \alpha(x)(\rho_{\rm ad}), \quad \alpha_{\rm reg}(x) = \alpha(x)(\rho_{\rm reg}) \quad {\rm and} \quad \alpha_{\rm adj}(x) = \alpha(x)(\rho_{\rm adj}).$$

PROPOSITION 121. Suppose that (K, |-|) is discrete, say  $|K^{\times}| = q^{\mathbb{Z}}$  with q > 1. Let  $(\mathfrak{g}_{x,r})_{r \in \mathbb{R}}$  be the Moy-Prasad filtration attached to x on  $\mathfrak{g}_K = \mathrm{Lie}(G_K)$ . Then

$$\forall x \in \mathbb{R}: \quad \mathfrak{g}_{x,r} = \left\{ v \in \mathfrak{g}_K : \alpha_{\mathrm{ad}}(x)(v) \leq q^{-r} \right\}.$$

PROOF. Given the definition of  $\mathfrak{g}_{x,r}$  (by étale descent from the quasi-split case) and proposition 113, we may assume that G splits over  $\mathcal{O}_K$ . Changing |-| to  $|-|^{\nu}$  with  $\nu=\frac{1}{\log q}$ , we may also assume that q=e. Fix  $S\in \mathbf{S}(G)$  with x in  $\mathbf{B}^e(S_K)$  and write  $x=\circ_G^e+\mathcal{F}$  for some  $\mathcal{F}\in \mathbf{F}(S_K)$ , so that also  $\alpha(x)=\alpha_G+\mathcal{F}$ . Let  $\mathfrak{g}=\mathfrak{g}_0\oplus\oplus_{\beta\in\Phi(G,S)}\mathfrak{g}_{\beta}$  be the weight decomposition of  $\mathfrak{g}$  and  $\mathcal{F}^{\sharp}:M\to\mathbb{R}$  the morphism corresponding to  $\mathcal{F}$ , where  $M=\mathrm{Hom}(S,\mathbb{G}_{m,\mathcal{O}_K})$ . Then for every  $r\in\mathbb{R}$ ,

$$\overline{B}(\boldsymbol{\alpha}_{\mathrm{ad}}(x),r) = \mathfrak{g}_{0,r} \oplus \oplus_{\beta \in \Phi(G,S)} \mathfrak{g}_{\beta,r}$$

where  $\mathfrak{g}_{\beta,r} = \overline{B}\left(\alpha_{\mathfrak{g}_{\beta}}, r - \mathcal{F}^{\sharp}(\beta)\right)$  for  $\beta \in \Phi(G, S) \cup \{0\}$ . For r = 0, this is the Lie algebra  $\mathfrak{g}_x$  of the group scheme  $\mathfrak{G}_x$  over  $\mathcal{O}_K$  attached to x in [10]. Comparing now this formula with the definition of  $\mathfrak{g}_{x,r}$  in [3, 2.1.3] proves our claim.

Let  $G_K^{\mathrm{an}}$  be the analytic Berkovich space attached to  $G_K$ . In [29, 2.2], the authors construct a canonical map  $\vartheta: \mathbf{B}^e(G_K) \to G_K^{\mathrm{an}}$ , thus attaching to every  $x \in \mathbf{B}^e(G_K)$  a multiplicative K-semi-norm  $\vartheta(x)$  on  $\mathcal{A}(G_K)$ .

PROPOSITION 122. For every  $x \in \mathbf{B}^e(G_K)$ ,  $\alpha_{\mathrm{adj}}(x) = \vartheta(x)$ . In particular, the K-norm  $\alpha_{\mathrm{adj}}(x)$  on  $\mathcal{A}(G_K)$  is multiplicative and  $\vartheta(x)$  is a norm.

PROOF. Equip  $G_K^{\rm an}$  with the action of G(K) induced by  $\rho_{\rm adj}$ . Then  $x\mapsto \vartheta(x)$  is G(K)-equivariant and compatible with extensions  $(K, |-|) \to (L, |-|)$  in the sense that  $\vartheta(x) = \vartheta(x_L)|\mathcal{A}(G_K)$  for every  $x \in \mathbf{B}^e(G_K)$  [29, Proposition 2.8]. The map  $x\mapsto \alpha_{\rm adj}(x)$  has the same properties. We may thus assume that G splits over  $\mathcal{O}_K$ , and again choosing L with  $\log |L^\times| = \mathbb{R}$ , we merely have to show that  $\vartheta(\circ_G^e) = \alpha_{\rm adj}(\circ_G^e) = \alpha_{\mathcal{A}(G)}$ . By definition:  $\{\vartheta(x)\}$  is the Shilov boundary of a K-affinoid subgroup  $G_x$  of  $G_K^{\rm an}$ . For  $x = \circ_G^e$ ,  $G_x$  is the affinoid group  $G^{\rm an}$  attached to G, and its Shilov boundary is the gauge norm attached to  $\mathcal{A}(G)$ , i.e.  $\alpha_{\mathcal{A}(G)}$ .  $\square$ 

Since the multiplication on  $\mathcal{A}(G)$  is a morphism  $\rho_{\text{reg}} \otimes \rho_{\text{reg}} \to \rho_{\text{reg}}$  in  $\text{Rep}'(G)(\mathcal{O}_K)$ , the K-norm  $\alpha_{\text{reg}}(x)$  on  $\mathcal{A}(G_K)$  is sub-multiplicative. Since for  $\tau \in \text{Rep}^{\circ}(G)(\mathcal{O}_K)$ , the co-module map  $V(\tau) \to V(\tau) \otimes \mathcal{A}(G)$  is a pure monomorphism  $\tau \hookrightarrow \tau_0 \otimes \rho_{\text{reg}}$  in  $\text{Rep}'(G)(\mathcal{O}_K)$ ,  $\alpha(x)(\tau)$  is the restriction of  $\alpha_{V(\tau_0)} \otimes \alpha_{\text{reg}}(x)$  to  $V_K(\tau)$ , thus  $\alpha_{\text{reg}}(x)$  determines  $\alpha(x)$  and  $\alpha_{\text{reg}}$  is a G(K)-equivariant embedding of  $\mathbf{B}^e(G_K)$  into the space of sub-multiplicative K-norms on  $\mathcal{A}(G)$  (equipped with the regular action).

#### **6.4.18.** Some final remarks:

- (1) We have not given an intrinsic characterization of the subset  $\mathbf{B}(\omega_G^{\circ}, K)$  of  $\mathbf{B}'(\omega_G^{\circ}, K)$ . We expect that  $\mathbf{B}(\omega_G^{\circ}, K) = \mathbf{B}^?(\omega_G^{\circ}, K)$ , or perhaps even that  $\mathbf{B}(\omega_G^{\circ}, K)$  is equal to the G(K)-stable subset of exact norms in  $\mathbf{B}'(\omega_G^{\circ}, K)$ .
- (2) Suppose that  $\mathcal{O}$  is a valuation ring of height > 1 with fraction field K. Then  $\Gamma = K^{\times}/\mathcal{O}^{\times}$  is a totally ordered commutative group which can not be embedded into  $\mathbb{R}$ . Let G be a reductive group over  $\mathcal{O}$ . Replacing  $\mathbb{R}$  with  $\Gamma$  in the above constructions, it might be possible to define a "Bruhat-Tits" building  $\mathbf{B}(\omega_G^{\circ}, K)$  with compatible actions of G(K) and  $\mathbf{F}^{\Gamma}(G_K)$ , made of factorizations of the fiber functor  $\omega_{G,K}^{\circ}: \operatorname{Rep}^{\circ}(G)(\mathcal{O}) \to \operatorname{Vect}(K)$  through a suitable category of " $\Gamma$ -norms". The type maps should be the tautological morphisms  $\nu: S(K) \to \mathbf{G}^{\Gamma}(S)$  mapping  $s \in S(K)$  to the unique morphism  $\nu(s): \mathbb{D}_K(\Gamma) \to S$  whose composite with a character  $\chi$  of S is the image of  $\chi(s)$  in  $\Gamma = K^{\times}/\mathcal{O}^{\times}$ .
- (3) There might also be a similar Tannakian formalism for the symmetric spaces of reductive groups over  $\mathbb{R}$ , with factorizations of fiber functors through a category of Euclidean spaces, using compact forms of the adjoint groups as base point.

```
\|-\|_{\tau}
                     Length on \mathbf{F}^{\Gamma}(G) or \mathbf{C}^{\Gamma}(G) defined page 67.
                     Angle on \mathbf{Std}^{\Gamma}(G) defined page 67.
\angle_{\tau}(-,-)

\begin{array}{l}
\mathcal{L}_{\tau}(\cdot,\cdot) \\
\mathcal{L}_{\tau}^{os}(-,-) \\
\mathcal{L}_{\tau}^{tr}(-,-) \\
\mathcal{L}_{x}(\mathcal{F},\mathcal{G})
\end{array}

                     Osculatory angle on \mathbf{C}^{\Gamma}(G) defined page 68.
                     Transverse angle on \mathbf{C}^{\Gamma}(G) defined page 68.
                     Alexandrov angle at x between x + t\mathcal{F} and x + t\mathcal{G}, page 92.
\angle_{x}^{c}(y,z)
\angle(\overrightarrow{xy},\mathcal{G})
                     Angle at x in a comparison Euclidean triangle for (x, y, z).
                     Busemann angle at x between y and x + t\mathcal{G}, page 92.
Scalar product on \mathbf{Std}^{\Gamma}(G) defined page 67.
                     Osculatory scalar product on \mathbf{C}^{\Gamma}(G) defined page 68.
                     Transverse scalar product on \mathbf{C}^{\Gamma}(G) defined page 68.
                     Busemann scalar product between [x, y] and x + t\mathcal{G}, defined page 94.
1_G^{\flat}
                      Counit 1_G^{\sharp}: \mathcal{A}(G) \to \mathcal{O}_S of \mathcal{A}(G).
                     Unit section 1_G: S \to G of a group scheme G over S.
1_G
                     Trivial representation of G on \mathcal{O}_S.
1_S
\mathcal{A}(G)
                     Hopf algebra of G.
                     Set of all triples (S, B, \mathcal{F}) with S \in \mathbf{S}(G) and \mathcal{F} \in F^{-1}(B) \subset \mathbf{F}^{\Gamma}(S),
\mathbf{ACF}^{\Gamma}(G)
                     Morphism ad : G \to G^{ad}.
ad
\mathbf{AF}^{\Gamma}(G)
                     Set of all pairs (S, \mathcal{F}) with S \in \mathbf{S}(G) and \mathcal{F} \in \mathbf{F}^{\Gamma}(S), page 62.
                     Functorial isomorphism \mathbf{B}^e(G,L) \to \mathbf{B}(\omega_G^{\circ},L) defined page 118.
                     Canonical L-norm on \omega_{G,L}^{\circ} defined page 116.
\alpha_{G,L}
\mathrm{Aut}^{\otimes}(\mathcal{F})
                     Sheaf of tensor automorphisms of a fiber functor \mathcal{X} preserving a \Gamma-
                     filtration \mathcal{F} on \mathcal{X}, page 27.
\mathrm{Aut}^{\otimes}(\mathcal{G})
                     Sheaf of tensor automorphisms of a fiber functor \mathcal{X} preserving a \Gamma-
                     graduation \mathcal{G} on \mathcal{X}, page 27.
\mathrm{Aut}^{\otimes}(\omega)
                     Sheaf of tensor automorphisms of \omega, page 27.
\mathrm{Aut}^{\otimes}(\omega^{\circ})
                     Sheaf of tensor automorphisms of \omega^{\circ}, page 31.
\operatorname{Aut}^{\otimes}(V)
                     Sheaf of tensor automorphisms of V, page 27.
\operatorname{Aut}^{\otimes}(V^{\circ})
                     Sheaf of tensor automorphisms of V^{\circ}, page 31.
B(\alpha, \gamma)
                     Open ball of radius \exp(-\gamma) for \alpha, page 115.
\overline{B}(\alpha, \gamma)
                     Closed ball of radius \exp(-\gamma) for \alpha, page 115.
\mathbf{B}(G)
                     Set of all minimal parabolic subgroups of G, page 60.
\mathbf{B}(\omega_G^{\circ}, L)
                     Space of all good L-norms on \omega_{G,L}^{\circ}, defined page 116.
                     Space of all L-norms on \omega_{G,L}^{\circ}, defined page 116.
\mathbf{B}'(\omega_G^{\circ}, L)
{\bf B}^?(\omega_G^\circ,L)
                     Space of all nice L-norms on \omega_{G,L}^{\circ}, defined page 117.
\mathbf{B}^e(G)
                     Extended Bruhat-Tits building of G, page 109.
\mathbf{B}^e(G,K)
                     Pointed extended Bruhat-Tits building for G over \mathcal{O}_K, page 112.
\mathbf{B}^r(G)
                     Reduced Bruhat-Tits building of G, page 109.
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\mathcal{C}(\partial \mathbf{X}(G))
                      Cone on the visual boundary of \mathbf{X}(G), page 91.
\mathbb{C}^{\dot{\Gamma}}(G)
                      Scheme of types of \Gamma-graduations of \Gamma-filtrations on G, page 14.
\mathbf{C}^{\Gamma}(G)
                      Cone of types of \Gamma-filtrations or \Gamma-graduations on G, page 62.
\mathbb{C}^{\Gamma}(G)^c
                      Central part of \mathbb{C}^{\Gamma}(G), page 19.
\mathbb{C}^{\Gamma}(G)^r
                      Reduced part of \mathbb{C}^{\Gamma}(G), page 19.
                      Comodule structure morphism V(\rho) \to V(\rho) \otimes \mathcal{A}(G) of \rho.
c_{\rho}
                      Map \mathbf{F}(G_L) \twoheadrightarrow \mathbf{B}(\omega_G^{\circ}, L), defined page 116.
can
\mathsf{C}^{\circ}
                      Category opposed to C.
                      Vectorial distance on an affine \mathbf{F}(G)-building, defined page 77.
\mathbf{d}
                      Distance on \mathbf{Std}^{\Gamma}(G) defined page 67.
d_{\tau}
                      Diagonalizable group scheme over S with character group M.
\mathbb{D}_S(M)
                      Visual boundary of \mathbf{X}(G), page 91.
\partial \mathbf{X}(G)
                      Dynkin scheme of G, defined page 12.
\mathbb{DYN}(G)
                      2.71828182846...
e
                      Facet morphism on \mathbb{G}^{\Gamma}(G), \mathbb{F}^{\Gamma}(G)) or \mathbb{C}^{\Gamma}(G), page 14.
F
\mathbb{F}^{\Gamma}(G)
                      Scheme of \Gamma filtrations on G, page 14.
\mathbf{F}^{\Gamma}(G)
                      Set of all \Gamma-filtrations on G, page 62.
\mathbb{F}^{\Gamma}(G)^c
                      Central part of \mathbb{F}^{\Gamma}(G), page 19.
\mathbb{F}^{\Gamma}(G)^r
                      Reduced part of \mathbb{F}^{\Gamma}(G), page 19.
\mathbb{F}^{\Gamma(\omega)}
                      Sheaf of \Gamma-filtrations on \omega, page 27.
\mathbb{F}^{\Gamma}(\omega^{\circ})
                      Sheaf of \Gamma-filtrations on \omega^{\circ}, page 31.
\mathbb{F}^{\Gamma(V)}
                      Sheaf of \Gamma-filtrations on V, page 27.
\mathbb{F}^{\Gamma}(V^{\circ})
                      Sheaf of \Gamma-filtrations on V^{\circ}, page 31.
                      Filtration opposed to \mathcal{F} with respect to a Levi L of P_{\mathcal{F}}, page 79.
\mathcal{F}_L^\iota
                      Functor Fil : \mathsf{Gr}^{\Gamma}\mathsf{LF} \to \mathsf{Fil}^{\Gamma}\mathsf{LF}, page 28.
Fil
                      Functor Fil : Gr^{\Gamma}QCoh \rightarrow Fil^{\Gamma}QCoh, page 24.
Fil
                      Morphism Fil: \mathbb{G}^{\Gamma}(G) \to \mathbb{F}^{\Gamma}(G) defined on page 14.
Fil
                      Morphism Fil: \mathbb{G}^{\Gamma}(X) \to \mathbb{F}^{\Gamma}(X) for X = V or \omega, page 27.
Fil
                      Morphism Fil: \mathbb{G}^{\Gamma}(X) \to \mathbb{F}^{\Gamma}(X) for X = V^{\circ} or \omega^{\circ}, page 31.
Fil
Fil(\mathcal{G})
                      \Gamma-filtration induced by a \Gamma-graduation \mathcal{G}, page 24.
\mathsf{Fil}^\Gamma\mathsf{LF}
                      Category of \Gamma-filtered finite locally free sheaves on schemes, page 28.
\mathsf{Fil}^{\Gamma}\mathsf{LF}(X)
                      Category of \Gamma-filtered finite locally free sheaves on X, page 28.
Fil<sup>1</sup> QCoh
                      Category of \Gamma-filtered quasi-coherent sheaves on schemes, page 24.
\mathsf{Fil}^{\Gamma}\mathsf{QCoh}(X)
                      Category of \Gamma-filtered quasi-coherent sheaves on X, page 24.
\overline{\mathcal{F}}
                      Morphism \mathbb{D}(\Gamma) \to \overline{R}(P_{\mathcal{F}}) attached to a \Gamma-filtration \mathcal{F}, page 16.
G
                      G = G(\mathcal{O}) for a group scheme G over a local ring \mathcal{O}.
G^{\mathrm{ab}}
                      Abelianization G^{ab} = G/G^{der} of G.
G^{\mathrm{ad}}
                      Adjoint group G^{ad} = G/Z(G) of G.
G^{\mathrm{der}}
                      Derived group of G.
\mathbb{G}^{\Gamma}(G)
                      Scheme of \Gamma-graduations on G, defined page 11.
\mathbf{G}^{\Gamma}(G)
                      Set of all \Gamma-graduations on G, page 62.
\mathbb{G}^{\Gamma}(G)^c
                      Central part of \mathbb{G}^{\Gamma}(G), page 19.
\mathbb{G}^{\Gamma}(G)^r
                      Reduced part of \mathbb{G}^{\Gamma}(G), page 19.
\mathbb{G}^{\Gamma}(\omega)
                      Sheaf of \Gamma-graduations on \omega, page 27.
\mathbb{G}^{\Gamma}(\omega^{\circ})
                      Sheaf of \Gamma-graduations on \omega^{\circ}, page 31.
\mathbb{G}^{\Gamma(V)}
                      Sheaf of \Gamma-graduations on V, page 27.
\mathbb{G}^{\Gamma}(V^{\circ})
                      Sheaf of \Gamma-graduations on V^{\circ}, page 31.
G^{\mathrm{ss}}
                      Semi-simplification G^{ss} = G/R(G) of G.
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\mathbb{G}_a
                     Additive group over \operatorname{Spec}(\mathbb{Z}), \mathbb{G}_a(R) = R.
\mathbb{G}_m
                     Multiplicative group over \operatorname{Spec}(\mathbb{Z}), \mathbb{G}_m(R) = R^{\times}.
\mathsf{G}_\Omega
                     Pointwise stabilizer of \Omega in G, page 89.
                     Apartment based avatar of G_{\Omega}, page 89.
\mathsf{G}_{S,\Omega}
                     Sections of a sheaf \mathcal{F} over X.
\Gamma(X,\mathcal{F})
\Gamma(X/S)
                     Sections of a morphism X \to S.
                     Scheme of pairs of parabolic subgroups of G in generic relative posi-
\mathbb{GEN}(G)
                     tion, page 20.
\mathbb{GEN}^{\Gamma}(G)
                     Scheme of pairs of \Gamma-filtrations on G in generic relative position, page
                     Functor Gr : \operatorname{Fil}^{\Gamma} \mathsf{LF} \to \operatorname{\mathsf{Gr}}^{\Gamma} \mathsf{LF}, page 28.
\operatorname{Gr}
                     Functor Gr : Fil^{\Gamma}QCoh \rightarrow Gr^{\Gamma}QCoh, page 24.
\operatorname{Gr}
                     Morphism \operatorname{Gr}^{\bullet}:\operatorname{Aut}^{\otimes}(\mathcal{F})\to\operatorname{Aut}^{\otimes}(\operatorname{Gr}_{\mathcal{F}}^{\bullet}), page 27.
\operatorname{Gr}^{\bullet}
\operatorname{Grr}
                     Growling sound indicative of frustration with useless generalities.
\mathsf{Gr}^\Gamma \mathsf{LF}
                     Category of \Gamma-graded finite locally free sheaves on schemes, page 28.
\mathsf{Gr}^{\Gamma}\mathsf{LF}(X)
                     Category of \Gamma-graded finite locally free sheaves on X, page 28.
\mathsf{Gr}^\Gamma \mathsf{QCoh}
                     Category of \Gamma-graded quasi-coherent sheaves on schemes, page 24.
\mathsf{Gr}^{\Gamma}\mathsf{QCoh}(X)
                     Category of \Gamma-graded quasi-coherent sheaves on X, page 24.
Gr_{\mathcal{F}}(\mathcal{M})
                     \Gamma-graded quasi-coherent sheaf associated with a \Gamma-filtration \mathcal{F} on a
                     quasi-coherent sheaf \mathcal{M}, page 24.
\operatorname{Gr}_{\mathcal{F}}^{\gamma}(\tau)
                     Graded piece of the \Gamma-filtration \mathcal{F}(\tau) on V(\tau).
Gr_P
                     P(K)-equivariant map \mathbf{B}^e(G,K) \to \mathbf{B}^e(P/U,K), page 113.
Gr_P
                     P-equivariant map \mathbf{F}(G) \rightarrow \mathbf{F}(P/U), page 100.
Gr_P(\alpha)
                     K-norm on \omega_{P/U}^{\circ} induced by a K-norm \alpha on \omega_{G}^{\circ}, page 121.
Gr_P(\mathcal{F})
                     \Gamma-filtration on P/U induced by a \Gamma-filtration \mathcal{F} on G in standard
                     relative position with a parabolic subgroup P of G, page 22.
\operatorname{Gr}_P^\infty
                     Projection \mathbf{X}(G) \twoheadrightarrow \mathbf{T}_{P}^{\infty} \mathbf{X}(G), page 98.
Group
                     Category of groups.
S-\mathsf{Group}
                     Category of group schemes over S.
H^{\circ}
                     Neutral component of a group scheme H.
Hom
                     Sheafified version of Hom.
HV
                     Category of Henselian valued fields, page 112.
\mathcal{I}(G)
                     Augmentation ideal of \mathcal{A}(G).
Int(g)
                     Inner automorphism h \mapsto ghg^{-1}.
                     Opposition involution of \mathbb{G}^{\Gamma}(G) or \mathbb{C}^{\Gamma}(G), defined page 16.
\iota
                     Opposition involution of \mathbb{OPP}(G) or \mathbb{O}(G), defined page 12.
\iota
                     Opposition involution on the apartment of S in \mathbf{F}^{\Gamma}(G), page 62.
\iota_S
                     Opposition involution on the apartment of S in P(G), page 61.
K_0(G)
                     Grothendieck ring of Rep^{\circ}(G)(S), page 44.
LF
                     Category of finite locally free sheaves on schemes, page 28.
LF(X)
                     Category of finite locally free sheaves on X, page 28.
                     Lie algebra of G.
Lie(G)
                     Functor Norm'(K) \to Fil(k), defined page 116.
loc
                     Map \mathbf{B}^{?}(\omega_{G}^{\circ}, L) \to \mathbf{F}(G_{k_{L}}), defined page 117.
loc
loc_r
                     Projection \mathbf{F}(G) \to \mathbf{T}_x \mathbf{X}(G), page 93.
loc_x^a
                    Localization \mathbf{X}(G) \to \mathbf{T}_x \mathbf{X}(G), page 93.
Comultiplication \mu_G^{\natural} : \mathcal{A}(G) \to \mathcal{A}(G) \otimes \mathcal{A}(G) of \mathcal{A}(G).
\mu_G^{
atural}
N_G(x)
                     Normalizer of x in G.
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Norm^{\circ}(K)
                 Category of splittable normed finite K-vector spaces, page 115.
Norm'(K)
                 Category of normed K-spaces with a lattice, defined page 116.
                 Type morphism of an \mathbf{F}(G)-building \mathbf{X}(G) defined page 75.
\nu_{\mathbf{X}}
                 Morphism \mathsf{Z}_G(S) \to \mathbf{G}(S) defined page 75.
\nu_{\mathbf{X},S}
                 Smallest element of O(G), page 62.
\mathbb{O}(G)
                 Scheme of types of parabolic subgroups of G, defined page 12.
\mathbf{O}(G)
                 Set of all types of parabolic subgroups of G, page 60.
                 Canonical point of \mathbf{B}^e(G_K) attached to G over \mathcal{O}_K, page 112.
\circ_G^e
\circ^r_G
                 Canonical point of \mathbf{B}^r(G_K) attached to G over \mathcal{O}_K, page 112.
                 Fiber functor \omega_X : \mathsf{Rep}(G)(S) \to \mathsf{QCoh}(X), page 25.
\omega_X
                 Fiber functor \omega_X^{\circ} : \mathsf{Rep}^{\circ}(G)(S) \to \mathsf{LF}(X), page 30.
\omega_X^{\circ}
\mathbb{OPP}(G)
                 Scheme of pairs of opposed parabolic subgroups of G, page 12.
\mathbf{OPP}(G)
                 Set of all pairs of opposed parabolic subgroups of G, page 60.
                 Osculatory section os: \mathbb{O}(G) \to \mathbb{TSTD}(G), defined page 20.
os
\mathbb{P}(G)
                 Scheme of parabolic subgroups of G, defined page 12.
\mathbf{P}(G)
                 Set of all parabolic subgroups of G, page 60.
P_{\mathcal{F}}
                 Parabolic subgroup of G fixing \mathcal{F}, page 16.
P_u
                 Universal parabolic subgroup of G_{\mathbb{P}(G)}.
\Phi(S,G)
                 Roots of S in Lie(G).
                 3.14159265359...
QCoh
                 Category of quasi-coherent sheaves on schemes, page 24.
                 Category of quasi-coherent sheaves on X, page 24.
\mathsf{QCoh}(X)
R(G)
                 Radical of G.
R^u(P)
                 Unipotent radical of P.
R_{\mathbb{O}(G)}
                 A torus over \mathbb{O}(G) defined on page 12.
                 Radical of the universal Levi subgroup of G_{\mathbb{OPP}(G)}.
R_{\mathbb{OPP}(G)}
                 Radical \overline{R}(P_u) of P_u/U_u, a torus over \mathbb{P}(G).
R_{\mathbb{P}(G)}
                 Retraction r_{P,L}: \mathbf{F}^{\Gamma}(G) \to \mathbf{F}^{\Gamma}(L), defined page 70.
r_{P,L}
                 Retraction r_{P,L}: \mathbf{X}(G) \to \mathbf{X}(L), defined page 79.
r_{P,L}
Rep(G)
                 Fibered category of algebraic representations of G on quasi-coherent
                 sheaves, page 25.
Rep(G)(X)
                 Category of algebraic representations of G on quasi-coherent sheaves
                 over X, page 25.
Rep^{\circ}(G)
                 Fibered category of algebraic representations of G on finite locally
                 free sheaves, page 30.
\mathsf{Rep}^{\circ}(G)(X)
                 Category of algebraic representations of G on finite locally free sheaves
                 over X, page 30.
Rep'(G)(S)
                 Full sub-category of Rep(G)(S) defined on page 36.
                 Adjoint representation of G on \mathcal{I}(G)/\mathcal{I}(G)^{n+1}, page 41.
\rho^n
\rho^{\vee}
                 Dual of \rho.
                 Trivial representation of G on V(\rho).
\rho_0
                 Adjoint representation of G on Lie(G).
\rho_{\rm ad}
                 Adjoint representation of G on \mathcal{A}(G), page 41.
\rho_{\mathrm{adj}}
                 Adjoint representation of G on \mathcal{I}(G), page 41.
\rho_{\mathrm{adi}}^{\circ}
                 Dual of \rho^n, page 41.
\rho_n
                 Regular representation of G on \mathcal{A}(G), page 34.
\rho_{\rm reg}
Ring
                 Category of commutative rings.
\overline{R}(P)
                 Radical of P/U, where U is the unipotent radical of P.
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\mathbf{RX}(G)
                  Rays in \mathbf{X}(G), page 91.
\mathbf{S}(G)
                  Set of all maximal split tori of G, page 60.
                  Set of triples (S, B, P) in \mathbf{S}(G) \times \mathbf{B}(G) \times \mathbf{P}(G) with Z_G(S) \subset B \subset P,
\mathbf{SBP}(G)
                  page 60.
                  Category of schemes.
Sch
Sch/S
                  Category of schemes over S.
Set
                  Category of sets.
                  Par-equivalence on \mathbb{G}^{\Gamma}(G), defined page 15.
\sim_{\operatorname{Par}}
                  Set of all pairs (S, P) in \mathbf{S}(G) \times \mathbf{P}(G) with Z_G(S) \subset P, page 60.
\mathbf{SP}(G)
STD(G)
                  Scheme of pairs of parabolic subgroups of G in standard relative
                  position, defined page 19.
\mathbf{Std}(G)
                  Set of all pairs of parabolic subgroups of G in standard relative po-
                  sition, page 64.
                  Pull-back of STD(G) \hookrightarrow P(G)^2 through Z \to P(G)^2.
STD(Z)
\mathbb{STD}^{\Gamma}(G)
                  Scheme of pairs of \Gamma-filtrations on G in standard relative position,
                  defined page 20.
\mathbf{Std}^{\Gamma}(G)
                  Set of all pairs of \Gamma-filtrations on G in standard relative position,
                  page 64.
                  Type morphism t: \mathbb{P}(G) \to \mathbb{O}(G), defined page 12.
t
                  Type morphism t: \mathbb{F}^{\Gamma}(G) \to \mathbb{C}^{\Gamma}(G), defined page 14.
t
                  Type morphism t_2: \mathbb{STD}(G) \to \mathbb{TSTD}(G), defined page 19.
t_2
                  Type morphism t_2: \mathbb{STD}^{\Gamma}(G) \to \mathbb{TSTD}^{\Gamma}(G), defined page 20.
t_2
                  Quotient of \mathbf{X}(G) by the unipotent radical U of P, page 96.
\mathbf{T}_{D}^{\infty}\mathbf{X}(G)
\mathbf{T}_x\mathbf{X}(G)
                  Tangent space at x in \mathbf{X}(G), defined page 93.
                  Transverse section tr: \mathbb{O}(G) \to \mathbb{TSTD}(G), defined page 20.
\mathbb{TSDT}(G)
                  Scheme of types of pairs of parabolic subgroups of G in standard
                  relative position, defined page 19.
\mathbb{TSDT}^{\Gamma}(G)
                  Scheme of types of pairs of \Gamma-filtrations on G in standard relative
                  position, defined page 20.
U_a
                  Root subgroup of G for a \in \Phi(G, S), page 88.
U_{\mathcal{F}}
                  Unipotent radical of P_{\mathcal{F}}.
U_u
                  Unipotent radical of P_u.
                  Fiber functor V: \mathsf{Rep}(G) \to \mathsf{QCoh}/S, page 25.
V^{\circ}
                  Fiber functor V^{\circ} : \mathsf{Rep}^{\circ}(G) \to \mathsf{LF}/S, page 30.
W_G(S)
                  Weyl group of S in G, W_G(S) = N_G(S)/Z_G(S).
X(\rho)
                  Filtered set of subrepresentations of \rho on finite locally free subsheaves
                  of V(\rho), page 36.
                  Pull-back or base change of some X over S through T \to S.
X_T
Z(G)
                  Center of G.
Z_G(x)
                  Centralizer of x in G.
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