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# An operational flood warning system for poorly gauged basins. Demonstration in the Guadalhorce basin (Spain)

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## Abstract

This paper deals with the presentation of a flood warning system (GFWS) developed for the specific characteristics of the Guadalhorce basin (3200 km<sup>2</sup>, SE of Spain), which is poorly gauged and often affected by flash and plain floods. Its complementarity with the European Flood Alert System (EFAS) has also been studied. At a lower resolution, EFAS is able to provide a flood forecast several days in advance.

The GFWS is adapted to the use of distributed rainfall maps (such as radar rainfall estimates) and discharge forecasts are computed using a distributed rainfall-runoff model. Due to the lack of flow measurements, the model parameters calibrated on a small watershed have been transferred in most of the basin area. The system is oriented to provide distributed warnings and fulfils the requirements of ungauged basins.

This work reports on the performance of the system on two recent rainfall events which caused several inundations. These results show how the GFWS performed well and was able to forecast the location and timing of flooding. It demonstrates that despite its limitations, a simple rainfall-runoff model and a relatively simple calibration could be useful for event risk management. Moreover, with low resolution and long anticipation, EFAS appears as a good complement tool to improve flood forecasting and compensate for the short lead times of the GFWS.

## 34 **1. Introduction**

35 Floods represent the most serious natural hazard in Europe, and flood management is a  
36 critical component of public safety (Hajat *et al.* 2003; Barredo, 2007). During the last 50  
37 years significant efforts to improve flood warning systems (FWS) have been carried out by  
38 the scientific, technical and administration sectors. Thus in the context of medium to large  
39 river basins, with response times of the order of tens of hours, forecasts, warnings and  
40 public preparedness for reducing casualties from extreme plain floods have clearly  
41 improved (Meon 2006). However, the achievements for forecasting flash floods,  
42 characterized by short-lasting storms affecting reduced areas of a watershed, have been less  
43 impressive. As flood forecasting is in many countries limited to the main streams or to  
44 specific watersheds with particular assets like hydropower dams, which are in most cases  
45 well-gauged river sections, it leaves large parts of the territory not covered by flood  
46 monitoring networks (see for instance: Borga *et al.* 2007; Costa and Jarett 2008; Gaume *et*  
47 *al.* 2009).

48 A major concern in the context of FWS operating in basins prone to flash floods is to  
49 monitor the variability of rainfall in space and time. In particular, the use of radar-based  
50 quantitative precipitation estimates (QPE) and nowcasts has been demonstrated to be an  
51 interesting tool for anticipating and quantifying the consequences of rainfall at the ground.  
52 Radar products are particularly interesting in areas frequently affected by severe storms  
53 with complex spatio-temporal patterns (of tens of km<sup>2</sup>) and response times of the order of  
54 tens of minutes to few hours (see for instance: Sempere-Torres *et al.* 1999; Berenguer *et al.*  
55 2005; Berne *et al.* 2005; Borga *et al.* 2006; Germann *et al.* 2009).

56 The use of distributed rainfall-runoff models represents a second key element in the  
57 production of distributed flow forecasts. Distributed models in general do not seem to  
58 perform significantly better than classic simple lumped models when they are used to  
59 forecast the discharges at a few specific points of gauged watersheds, although this topic is  
60 still a matter of discussion (e.g. Carpenter and Georgakakos 2006; Reed *et al.* 2004).  
61 However they provide much richer information than lumped models as they are able to  
62 consider the spatial distribution of model inputs (in particular, rainfall) and/or parameters,  
63 and produce distributed runoff simulations. In the case of ungauged watersheds,

64 regionalization techniques (see for example Blöschl and Sivapalan 1995) are frequently  
65 used to extrapolate model parameters estimated from closest gauged catchment.

66 In this context, two types of warnings can be delivered in the framework of FWS: (i)  
67 warnings based on rainfall measurements, and (ii) warnings based on simulated discharges.  
68 Both have advantages and limitations.

69 Basically, warnings based on rainfall can be delivered by comparing precipitation  
70 accumulations (on different time) to a corresponding reference associated to a probability  
71 of occurrence and a return period. As soil moisture condition is not taken into account, the  
72 results can sometimes be very different to those based on hydrological simulations (see  
73 Alfieri *et al.* 2011). A another well-known approach to issuing warnings based on rainfall is  
74 the Flash Flood Guidance, FFG (Georgakakos 2006). The FFG computes the amount of  
75 rainfall of a given duration required to cause flooding in a certain basin. If the  
76 corresponding observed or forecasted rainfall amounts (integrated for the same duration  
77 within the basin) exceeds the pre-computed threshold, a flood warning is issued. The FFG  
78 represents a first attempt to evaluate the potential flooding and can be employed at different  
79 time and scale resolutions (Norbiato *et al.* 2008). It requires information on the antecedent  
80 soil moisture conditions, but does not explicitly compute the discharge responsible for  
81 flooding.

82 Alternatively, FWSs may use rainfall-runoff model to issue warnings based on explicit  
83 discharge simulations and forecasts. They run at different resolutions depending on the  
84 characteristics of the floods that are to be forecasted. Covering whole Europe with a spatial  
85 resolution of 5 km, the European Flood Alert System (EFAS, Thielen *et al.* 2009) aims at  
86 alerting for floods in trans-national European river basins up to 10 days in advance using  
87 model inputs generated with an ensemble weather prediction system. At regional scale,  
88 there are several operational FWSs based on discharge simulations. Some examples can be  
89 cited: AIGA run by Meteo France<sup>1</sup> in the south-east of France (Lavabre and Gregoris  
90 2006), EHIMI run by ACA<sup>2</sup> in Catalonia (Corral *et al.* 2009) and PREVAH, run by WSL<sup>3</sup>

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1 French Meteorological Agency

2 Catalan Water Agency



91 in Switzerland (Viviroli *et al.* 2009). Further work is still under development and not yet  
92 operational (Reed *et al.* 2007; Javelle *et al.* 2010 for example). Note these regional models  
93 can be aggregated at the national scale as the UK National Flood Forecasting System  
94 (NFFS) or VIGICRUES run by SCHAPI<sup>4</sup> in France (Tanguy *et al.* 2005). Although they  
95 are devoted to a limited area, these regional systems are run at higher resolutions and,  
96 consequently, they are more adapted to forecast flash floods. These FWSs are generally  
97 based on a similar scheme: the distributed rainfall-runoff model is run to simulate the  
98 discharges in several locations of the basin, and these are compared to a database of pre-  
99 established flow thresholds to quantify the hazard at each location. A warning is issued  
100 when the simulated discharges exceed certain thresholds. The advantage of this method is  
101 the use of a discharge value to assess flood hazard. The main weakness generally related to  
102 discharge simulation is that model calibration requires stream gauges distributed over the  
103 watershed and available historical time series for its calibration.

104 Based on these considerations, a real-time FWS was implemented in 2009 in the  
105 Guadalhorce basin (Andalusia, Spain) in collaboration with regional stakeholders interested  
106 in flood warning. The main objective was to operationally deliver spatially-distributed early  
107 flood warnings, as a tool to raise the awareness of rescue services and increase their  
108 preparedness. To suit the short response time and high space resolution required for  
109 operational management of this basin, a specific and local FWS (referred to as GFWS  
110 hereafter) has been developed. The main challenge the GFWS had to face was the scarcity  
111 of stream gauges and the lack of historical hydrometeorological data. In part to overcome  
112 this situation, we chose to explore the two approaches presented above: flood warnings in  
113 the implemented system are based on both (i) distributed rainfall measurements, and (ii) the  
114 discharge simulations obtained with a distributed rain-runoff model.

115 This paper describes the GFWS implemented in the Guadalhorce basin and the  
116 methodology chosen to workaroud the lack of data. Results obtained during two recent  
117 flood events that affected the basin have been analysed. Flood warnings issued with the

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3 Swiss Federal Research Institute

4 French Hydro-meteorological Nacional Center in charge of Flood Forecasting

118 GFWS have been compared to effective flooding records collected by the emergency  
119 services. In addition, the complementarity between EFAS' low-resolution and long-  
120 anticipation warnings and high-resolution and short-anticipation warnings of the GFWS has  
121 been analysed from an operational point of view. The lead-times provided by both systems,  
122 and the time separating the warning issuance and the inundation occurrence, have been  
123 particularly discussed.

124 The paper is organized as follows. Section 2 presents the framework of study: the  
125 Guadalhorce basin and the compilation of historical and real-time hydro-meteorological  
126 data. Section 3 describes the distributed hydrological model and the calibration procedure.  
127 Section 4 presents the two configurations of the GFWS (based on rainfall and discharge).  
128 Two rainfall events that occurred at the beginning of 2010 and caused significant floods are  
129 presented in Section 5 as case studies. Section 6 briefly presents EFAS warning system and  
130 analyses the warnings delivered for both events. Finally, Section 7 summarizes the main  
131 results and concludes on future improvements.

132

## 133 **2. Case study:**

### 134 **2.1. The Guadalhorce basin**

135 The Guadalhorce basin (3200 km<sup>2</sup>) is located in Andalusia, South of Spain. The river  
136 passes through the city of Málaga (500,000 inhabitants) near the outlet of the  
137 Mediterranean Sea. The basin is bordered on the West by moderately high mountains (1900  
138 m amsl) and by a low plateau (500 m amsl) on the North. The dominant climate is warm-  
139 temperate Mediterranean, characterized by a marked dry season, with hot summers and  
140 generally mild winters. The warmest months are July and August with an average  
141 temperature of 23°C, and the coldest season covers the period between December and  
142 February with an average of 13°C. Annual precipitation is comprised between 500 and 600  
143 mm. Rainfall is concentrated during the period October to April (90% of the total amount).  
144 Historically, the Guadalhorce river represents a major risk for the city of Málaga and  
145 periodically causes floods along its course. Although the region is mainly rural with  
146 dominant bare land cover, stakes are numerous, with the population concentrated close to

147 Málaga and many activities related to tourism. For this reason, the regional government of  
148 Andalusia has decided to implement an operational FWS with the aim of minimizing risk to  
149 people and economic activity.

## 150 **2.2. Hydrometeorological data**

151 The studied watershed is covered by a quite scarce measuring instrumentation network. A  
152 total of 25 automatic hourly rain gauges are located within or near the basin (see Fig. 1),  
153 representing an average density of about one rain gauge per 180 km<sup>2</sup>. Such a density can  
154 appear insufficient to enable accurate high resolution rainfall estimates through spatial  
155 interpolations on small watersheds. Here, time and space scales suited to flash flood  
156 dynamics are small: sub-hourly time step and kilometric scale (e.g. Collier 2007; Creutin  
157 and Borga 2003; Moulin *et al.* 2009). Nevertheless, this rain gauge network should be  
158 enough for larger basins characterized by a response time at least higher than the rain gauge  
159 time step. The region of Málaga is also covered by a C-Band Doppler radar operated by the  
160 Meteorological Spanish Agency (AEMET). The radar is located at 1173 m amsl and fully  
161 covers the basin. The GFWS has been developed to operationally consider radar products  
162 characterized by a higher spatio-temporal resolution (1 km<sup>2</sup> and 10 minutes).

163 Four reservoirs and three hourly automatic gauge stations are also located in the upstream  
164 part of the Guadalhorce basin: Bobadilla (761 km<sup>2</sup>), Ardales (211 km<sup>2</sup>), and Teba (202  
165 km<sup>2</sup>) as illustrated in Fig. 1. They cover a third of the total basin area, leaving the remaining  
166 area ungauged (where Málaga is located). Measured discharges are also available in real  
167 time for operational purpose. Available historical discharge data have been compiled since  
168 2008 to calibrate the rainfall-runoff model.

169 Statistical climate data on historical precipitation are also available (MOPU 1990) as maps  
170 of maximum daily rainfall amounts (MOPU 1999), and Intensity-Duration-Frequency  
171 curves (IDF), as well as regionalised parameters for the application of the rational Method  
172 are described in MOPU (1990).

### 173 3. Rainfall-runoff model

174 A grid-based distributed rainfall-runoff model has been implemented and adjusted with the  
175 aim of computing warnings based on simulated discharges at every pixel of the grid inside  
176 the area of study. Such a distributed structure allows to take into account the spatial  
177 variability of precipitation. Due to the lack of historical hydrological data, and in order to  
178 simplify the calibration procedure, the model was chosen to be simple, robust, and  
179 depending on a reduced number of adjustable parameters.

#### 180 3.1. Presentation of the distributed rainfall-runoff model

181 The Guadalhorce basin has been split into hydrological cells of 1 km<sup>2</sup> that are connected to  
182 the outlet of the basin following a simplified drainage network based on the analysis of the  
183 topography. To take into account the effect of the three dams, it was considered that the  
184 drained area located upstream of each dam does not contribute to cells located downstream.  
185 Each 1-km<sup>2</sup> cell is treated as a hydrological unit, where a lumped model is applied. The  
186 lumped model employed here is based on the common Soil Conservation Service (SCS)  
187 Curve Number (CN) method (Mockus 1957) for computing excess rainfall, combined with  
188 the linear diffusive wave unit hydrograph for flow routing (Szymkiewicz 2002).

189 The SCS-CN method assumes that flood flows are essentially composed of surface runoff  
190 water or at least fast responding runoff processes. Because of its simplicity and minimal  
191 data requirements, the SCS-CN method is widely used in flash flood simulation (see for  
192 examples Borga *et al.* 2007; Rozalis *et al.* 2010; Versini *et al.* 2010, 2013). It is based on  
193 the water balance equation and a proportionality stating that the ratio of the amount of  
194 cumulative infiltration ( $F[i]$ , in mm) to the amount of potential maximum retention  
195 capacity ( $S$ , in mm) is equal to the ratio of the amount of total runoff volume ( $V[i]$ , in mm)  
196 to the maximum potential runoff volume. The latter being represented by the total rainfall  
197 amount from the beginning of the event  $P_{tot}[i]$ , to which the initial abstraction  $I_a$  (both in  
198 mm) is subtracted. Assuming  $F[i]=P_{tot}[i] - I_a - V[i]$ , the total runoff volume generated at the  
199 cell scale is computed as:

$$200 \quad V[i] = \frac{(P_{tot}[i] - I_a)^2}{P_{tot}[i] - I_a + S} \quad (1)$$

201 From this formula, the instantaneous runoff coefficient for time step  $i$ ,  $C[i]$ , can be  
 202 deduced. This coefficient has then to be multiplied by the rainfall intensity  $P[i]$  to estimate  
 203 the direct runoff,  $Q_f[i]$ :

$$204 \quad C[i] = \frac{\partial V[i]}{\partial P_{tot}[i]} = 1 - \frac{S^2}{(P_{tot}[i] - I_a + S)^2} \quad (2)$$

205 Retention capacity  $S$  is related to the  $CN$  coefficient which is usually estimated from the  
 206 soil properties and taking a value between 0 and 100. The original SCS equation was  
 207 adjusted for events with large amounts of precipitation accumulated during long periods  
 208 (several days). Thus, when the total amount of precipitation increases during an event, the  
 209 soil drainage process is not explicitly represented and there is no possibility for the system  
 210 to recover the basin's water retention capacity. The instantaneous runoff coefficient  
 211 increases simultaneously and the simulated direct runoff has a strong tendency to be  
 212 overestimated. In this study, an attempt was made to take into account the process  
 213 accumulating rainfall on an adapted time period. After several tests, a period of 24 hours  
 214 has been arbitrarily chosen to accumulate rainfall:

$$215 \quad Q_f[i] = P[i] \cdot \left[ 1 - \frac{S^2}{(P_{24h}[i] + S)^2} \right] \quad \text{when } P_{tot}[i] > I_a \quad (3)$$

$$216 \quad Q_f[i] = 0 \quad \text{otherwise}$$

217 Where  $P_{24h}[i]$  is the amount of precipitation in a 24-moving window which ends at time  
 218 step  $i$ , and from which the initial abstraction  $I_a$  is deduced.

219 Additionally, the conceptual function proposed by Weeks and Boughton (1987) has been  
 220 chosen to model the slow flow  $Q_s[i]$ :

$$221 \quad Q_s[i] = \Delta t \cdot \alpha \cdot Q_f[i] + Q_s[i-1] \quad \text{if } Q_f[i] > 0 \quad (4)$$

$$222 \quad Q_s[i] = Q_{ini} + [Q_s[i-1] - Q_{ini}] \cdot (1 - \Delta t \cdot \alpha) \quad \text{if } Q_f[i] = 0 \quad (5)$$

223 Where  $\alpha$  (with units of  $\text{time}^{-1}$ ) is a parameter to calibrate,  $\Delta t$  is the time step, and  $Q_{ini}$  is the  
224 initial flow computed with the observed runoff at the beginning of the event.

225 It assumes that there is a constant ratio between the runoff component  $Q_f[i]$  and the  
226 variation of the slow component between two time steps. Base flow is also recursively  
227 estimated from the previous value. It is initialized with the initial flow  $Q_{ini}$  measured in  
228 gauged cells at the beginning of the event, and extrapolated to the rest of the basin  
229 proportionally to the drainage area of each cell. When there is no direct runoff, the  
230 recession curve  $Q_s[i]$  becomes exponential. We have verified that the base flow assumption  
231 made does not affect the mass balance, and it is beneficial to improve the representation of  
232 the simulated discharge.

233 The total runoff  $Q_{tot}[i]=Q_f[i]+Q_s[i]$  generated at each cell is then routed downstream  
234 following the drainage network. A single unit hydrograph based on the linear diffusive  
235 wave function and Muskingum parameters (Szymkiewicz 2002) has been used:

$$236 \quad HU[i] = \frac{1}{\sqrt{2\pi \cdot (1 - 2X)}} \cdot \frac{N}{K} \cdot \left( \frac{K}{i \cdot \Delta t} \right)^{\frac{5}{2}} \cdot \exp \left[ -\frac{(i \cdot \Delta t - N \cdot K)^2}{2 \cdot (1 - 2X) \cdot K \cdot i \cdot \Delta t} \right] \quad (6)$$

237 Where  $HU[i]$  is the unit hydrograph at time step  $i$ ,  $X$  is the weighting factor (dispersion  
238 parameter) that varies between 0 and 0.5,  $K$  is the storage time for one path, and  $N$  the  
239 number of paths of the course.

240 A specific unit hydrograph ( $HU$ ) is defined for both kind of cell. One first  $HU$  is applied in  
241 each cell to represent the hillslope flow propagation. Then a second is applied on the river  
242 course connecting the hillslope cell to the downstream point of interest to represent the  
243 propagation of the stream flow. The linear diffusive wave function can represent both  
244 processes changing its parameters. For each cell, both hillslope and river routing parameters  
245 ( $N, X, K$ ) need also to be adjusted.

246

### 247 **3.2. Reduction of the number of parameters to calibrate**

248 As described above, the number of parameters to adjust is rather large. It has to be reduced  
249 to make the model robust and to limit uncertainty due to over-parameterisation (see Perrin  
250 *et al.*, 2001): (i) spatially distributed  $CN$  [used in Eq. (3)], the base flow parameter  $\alpha$  [see  
251 Eq. (4) and (5)] for the loss function and, (ii) spatially distributed routing parameters for  
252 both hillslope ( $N_h, X_h, K_h$ ) and river ( $N_r, X_r, K_r$ ) routing functions.

253 An *a priori* method has been used to estimate distributed  $CN$  values over the entire  
254 watershed. Geomorphological data (slope, geology and land cover) at cell scale have been  
255 used to compute the  $CN$  distribution within the basin with a 1-km resolution according to  
256 the recommendations of the Spanish Ministry of Public Works (MOPU 1990). Previous  
257 studies based on this method (Corral *et al.* 2000; 2002) have shown significant differences  
258 between effective field capacities and those obtained with this *a priori* method: simulated  
259 discharges have a clear tendency to be overestimated. For this reason, an average curve  
260 number correction factor ( $FCN$ ) has been calibrated to scale the map of  $CN$  values. Note  
261 that this kind of correction was already used in Borga *et al.* (2007) for example.

262 In many applications of the SCS method, the initial abstraction  $I_a$  does not take into account  
263 antecedent moisture condition and is deduced from the potential maximum retention  $S$ . In  
264 this study,  $I_a$  is not considered as a parameter and is assumed to be independent of  $S$ . It is  
265 firstly approximated as the difference between the total amounts of antecedent  
266 evapotranspiration and rainfall over the previous 15 days. Then,  $I_a$  is updated in real time  
267 from stream gauge measurements identifying by means of the hydrograph initial rising time  
268 (see more details in Corral *et al.* 2002).  $I_a$  represents the total amount of precipitation from  
269 the beginning of the event to the first initial hydrograph rising time (deducing the response  
270 time of the watershed).

271 The three parameters that govern both hillslope and river routing functions have also been  
272 simplified. Concerning the hillslope function,  $N_h$  is fixed to one path, and  $X_h$  to 0  
273 representing a maximum attenuation in peak discharge. Concerning the river function,  
274 applied on the river course to the outlet,  $N_r$  is assumed to represent the number of cells until  
275 the outlet; the remaining weighting factor  $X_r$  needs to be calibrated and is assumed to be

276 uniform over the basin. Both storage times  $K_h$  and  $K_r$  are computed as the ratio between  
277 hillslope or river course lengths (derived from the DTM) and flow velocities. These  
278 velocities  $v_l$  and  $v_r$  are also considered uniform over the basin and represent the last  
279 parameters to be calibrated.

280 Summarizing, the adjustment of the model required the calibration of 5 parameters: the  
281 curve number correction factor ( $FCN$ ), the base flow parameter ( $\alpha$ ), and three routing  
282 parameters [hillslope velocity ( $v_h$ ), river velocity ( $v_r$ ), river weighting factor ( $X_r$ )].

283

### 284 **3.3. Adjustment of the parameters**

285 The rainfall-runoff model described above has been calibrated using observed discharges  
286 available at the gauged watersheds (see Section 2). Eight rainfall events for 2008 have been  
287 selected for the adjustment of the model parameters. Radar data were not available for this  
288 period, so spatially interpolated rain gauge data have been used. The total rainfall amounts  
289 of these events were not very large (between 20 and 100 mm). The calibration of the model  
290 has been carried out with the observations measured at the Bobadilla stream gauge (no  
291 significant discharges were measured at the two other stations and/or the data were not  
292 available). Because the number of interesting rainfall events was rather small, we chose to  
293 calibrate the model manually, and to reproduce the most intense events. The results have  
294 been evaluated with the Nash criterion (Nash and Sutcliffe 1970) and are summarized in  
295 Table 1.

296 The performance of the model in term of Nash efficiency varies from one rainfall event to  
297 another. The simulations accuracy is acceptable in the light of the results obtained in  
298 comparable case studies (ungauged basins or poor instrumented framework), for which the  
299 model calibration was made with a longer historical database (for example: Borga 2008;  
300 Versini *et al.* 2010). The performance of the model is generally better for the largest rainfall  
301 events, where the effort of calibration was made (the more significant events are  
302 represented on Fig. 2). The hydrological response to smallest events appears a little more  
303 erratic and is probably linked to the non-linearity of the rainfall—runoff transformation. In  
304 this case, initial abstraction plays a major role and can strongly affect the simulated



305 discharges. Note that to achieve reasonable simulations, a curve number correction factor  
306 FCN of 0.5 has been chosen, implying that the map of CN calculated a priori, strongly  
307 overestimate discharges. This value may seem rather large, but tends to be common in  
308 flood simulation in Mediterranean basins (see Corral *et al.* 2002; Francés and Benito 1995).

309 Rainfall estimates based on spatial interpolation of rain gauge measurements could also  
310 represent a source of uncertainty. The coverage of the current rain gauge network may be  
311 insufficient to estimate reliable distributed rainfall in the gauged watershed used for  
312 calibration (Bobadilla), where no rain gauge is available inside (see Fig. 1). This may  
313 partially explain the differences between simulated and observed discharges.

314 The calibration of the rainfall-runoff model has been carried out under a number of  
315 limitations (given the scarcity of data, number of rain gauges, model structure...) that may  
316 have a significant impact on the performance of the model. This needs to be considered  
317 when analysing the results of the GFWS. Post-flood field investigation and new time series,  
318 as they become available, may be used to improve the rainfall-runoff model (specially its  
319 calibration).

320 Finally, the values of the parameters calibrated in the Bobadilla stream gauge (i.e.  $FCN$ ,  $\alpha$ ,  
321  $v_h$ ,  $v_r$  and  $X_r$ -) have been transferred to the remaining (ungauged) part of the basin,  
322 implicitly assuming a similar hydrological behaviour.

#### 323 **4. The GFWS**

324 The purpose of the GFWS, presented here, is to provide distributed warnings based on  
325 rainfall accumulations and runoff simulations (at the same resolution of 1 km<sup>2</sup>). In the  
326 current configuration, the warnings are computed at each time step from all the  
327 precipitation data available up to the present. Three different types of warnings related to  
328 hazard probability expressed in terms of return periods are delivered. Two of these are  
329 based on rainfall estimates and one on simulated discharges. Note that because of data  
330 collection and fast response of small basins, lead times provided by the GFWS are quite  
331 short (usually of the order of 1 hour).

#### 332 **4.1. Warnings based on rainfall estimates**

333 Without taking into account any hydrological process, the distributed rainfall data can bring  
334 a first interesting attempt related to the expected consequences of the rainfall event and to  
335 localize the potential inundations. Two different types of warnings can be computed for  
336 every cell of the studied area and using these precipitation fields: (i) based on estimated  
337 rainfall at point locations (cells of 1 km<sup>2</sup>), (ii) based on spatially aggregated rainfall at each  
338 point (i.e. accumulated within the area upstream of each point). These warnings have the  
339 advantage to be computed quickly and effectively, without any information other than  
340 rainfall.

##### 341 **4.1.1. Use of IDF curves**

342 IDF curves are used as a benchmark for estimating the return period associated with a given  
343 rainfall. IDF curves are widely used, and different techniques exist to compute them [see  
344 Ben-Zvi, (2009) for an exhaustive review]. In Spain a common methodology is that  
345 recommended by the Spanish Ministry of Public Works for drainage design studies (MOPU  
346 1990). It has been chosen in this study and has the following synthetic expression:

$$347 \quad P_D(T) = \frac{P_{24h}(T)}{24} \cdot FR^{\frac{28^{0.1} - D^{0.1}}{28^{0.1} - 1}} \quad (7)$$

348 Where  $P_D(T)$  is the rainfall (in mm) associated with a duration  $D$  (hours) and a return  
349 period  $T$ ,  $P_{24h}(T)$  is the daily accumulated rainfall (mm) for a return period  $T$ , and  $FR$  is a  
350 regional factor equal to 8.5 for the area of study.

351 The extension of IDF to radar rainfall estimates is not straightforward (as illustrated in  
352 Norbiato *et al.* 2007 and Wright *et al.* 2013). IDF curves are usually developed from rain  
353 gauge networks that are often characterized by low spatial density and short observation  
354 periods. Moreover, point-to-area transformation is achieved through area reduction factors  
355 ignoring local rainfall climatology or storm type (see section 4.1.3). Despite these  
356 limitations, the Spanish methodology has been applied here. Finally, IDF maps have been  
357 calculated with a resolution of 1 km<sup>2</sup>, for different return periods (2, 5, 10, 25, 50, 100, 200  
358 and 500 years) and different durations (1, 2, 3, 4, 6, 12 and 24 hours) for both point and  
359 spatial aggregated rainfall.

### 360 **4.1.2. Warning based on point rainfall**

361 This type of warning is calculated from the point rainfall measurements accumulated during  
362 one hour. It is assumed that this accumulation time is relevant to deliver information about  
363 the most critical situations at cell scale. It could be of interest for issuing warning in urban  
364 environment or for very sensitive points such as roads (e.g. Versini *et al.* 2010). The  
365 warning computation is based on a direct comparison, cell to cell, between estimated  
366 rainfall, and the IDF threshold values computed for  $D=1$  hour and different return periods  
367  $T$ . The value assigned to the warning in a particular cell is the maximum of the return  
368 period values that has been exceeded by accumulated rainfall estimates.

### 369 **4.1.3. Warnings based on aggregated rainfall**

370 In this case, the warning is computed to represent as well as possible the consequences of  
371 rainfall at watershed scale (every cell draining an area larger than 4 km<sup>2</sup>). With this aim,  
372 rainfall is accumulated for a duration  $D$  equal to the estimated concentration time of the  
373 basin. This concentration time is obtained from both river length and average slope data  
374 according to MOPU 1990). These same recommendations propose a correction factor to  
375 diminish the thresholds for areal rainfall amount which depends on the drained area  $S$ :

$$376 \quad k = 1 - \log\left(\frac{S}{15}\right) \quad \text{when } S > 15 \text{ km}^2 \quad (8)$$

$$377 \quad k = 1 \quad \text{otherwise}$$

378

### 379 **4.2. Warnings based on simulated discharges**

380 Warnings based on simulated discharges are computed with the distributed rainfall-runoff  
381 model for every cell where the drained area exceeds 10 km<sup>2</sup>. At these locations, the  
382 simulated discharges are compared with peak flow thresholds estimated for return periods  
383  $T=\{2, 5, 10, 25, 50, 100, 200, 500 \text{ years}\}$ . They are based on the Rational Method, as  
384 described in MOPU (1990).

385

## 386 **5. Test case studies**

387 The GFWS started operating in May 2009. Little after, two serious rainfall events occurred  
388 (in January and February 2010), both resulting in significant flooding in the region of  
389 Málaga. These two events were not used in the calibration of the rainfall-runoff model (see  
390 Section 3.3), and resulted the largest accumulations since the GFWS has started. As  
391 weather radar observations were not available for these events, the rainfall field was  
392 estimated by spatial interpolation of rain gauge measurements with a resolution of 1 hour.  
393 A third event has been selected. It corresponds to a minor event for which the C-band radar  
394 of the Spanish Meteorological Agency (AEMET) located near Málaga was operating.  
395 Although no inundation occurred during this event, it illustrates the use of radar QPE. The  
396 events and the associated performance of the GFWS are presented herein, also considering  
397 the information on the inundations in the Guadalhorce basin reported by the emergency  
398 services.

399

### 400 **5.1. Event of 6-7 January 2010**

#### 401 **5.1.1. Description of the rainfall event**

402 The maximum observed accumulations reached up to 70 mm on the southern portion of the  
403 Guadalhorce basin (see Fig. 3-a). The event started at about 23:00 UTC on 6 January 2010  
404 and lasted for 12 hours. However, most of the precipitation was registered between 08:00  
405 and 10:00 UTC (during this period rain gauges around Málaga registered accumulations of  
406 40 mm) as a consequence of a mesoscale convective system sweeping the basin.

407 The intense precipitation registered in the morning of 7 January caused flooding of houses,  
408 basements, garages and streets, mainly in the suburbs of Málaga and in Alhaurín de la  
409 Torre (Fig. 4): emergency services registered a hundred flooding incidences between 9:00  
410 and 10:00 UTC in these two cities. These areas are frequently affected by inundations and  
411 this event illustrates a typical case of urban flash flood due to an intense storm that is not  
412 rare in southern Andalusia.

413 During this event, two of the three stream gauges of the basin (Bobadilla, Teba) operated  
414 normally. These gauges (see Fig. 1) are located far upstream from the area mostly affected  
415 by precipitation (around the city of Málaga), and the total precipitation amounts in the sub-  
416 catchments drained at these points were relatively minor (around 30 mm). Consequently,  
417 the resulting observed discharges were not significant (see Table 2).

### 418 **5.1.2. Performance of the GFWS**

419 The comparison between stream gauge observations and the simulations obtained with the  
420 rainfall-runoff model at these locations show some agreement, as quantified in terms of the  
421 Nash efficiency (presented in Table 2). It is worth noting the performance of the model at  
422 the stream gauge in Teba, whose measurements were not used in the calibration of the  
423 rainfall-runoff model (stated in Section 3.3).

424 The GFWS was able to issue warnings in the areas where flooding actually occurred. Fig. 4  
425 shows the maximum warnings based on point rainfall (issued at 9:00 UTC), and based on  
426 aggregated rainfall and simulated discharges (both at 10:00 UTC). Concerning the former  
427 (Fig. 4a), a warning was issued around the city of Málaga and matching the area where the  
428 most intense convective cell affected the basin. The core of the warning (in green)  
429 corresponded to an hourly intensity over 35 mm/h, which correspond to a return period of  
430 around 5 years. Around this core, the 2-year return period warning level was reached in the  
431 blue area (which corresponds to an average hourly intensity over 25 mm/h). These patterns  
432 had some correspondence with the flooding that occurred in this area between 9:00 and  
433 10:00 UTC. These warnings were confirmed by those based on aggregated rainfall and  
434 simulated discharge in the area. Because these two use information on the spatial structure  
435 of the basin, they have advantage to localize more precisely the location of potential  
436 flooding. Both predicted the maximum threat of flooding at 10:00 UTC West of Málaga  
437 (Fig. 4-b and 4-c), where a small tributary stream crosses the suburbial industrial area, and  
438 at Alhaurín de la Torre (respectively, draining basins of 30 and 73 km<sup>2</sup>). Both criteria were  
439 consistent with each other and only differed on the assigned return periods: 2 years when  
440 assessed based on aggregated rainfall and 5 years when the computations are based on  
441 simulated discharges. This difference is due to the estimated initial abstractions almost

442 equal to 0. In any case, these warnings coincided very well with the reaches where flooding  
443 was reported within the basin.

444

## 445 **5.2. Event of 15-16 February 2010**

### 446 **5.2.1. Description of the rainfall event**

447 There are clear differences between this rainfall event and that presented in Section 5.1:  
448 Rainfall intensities were much lighter, maximum hourly intensities hardly exceeded 20  
449 mm/h, but it lasted significantly longer (it did not stop raining for about 24 hours), which  
450 resulted in progressive saturation of the soils of the basin. The area located near the coast  
451 was particularly affected, with substantial amounts of rainfall registered in Alhaurín de la  
452 Torre (totals reached up to 215 mm -nearly a third of the mean annual precipitation), and  
453 over 100 mm around Málaga (see Fig. 3-b). In terms of daily rainfall, and according to  
454 MOPU (1990), the 50 years return period (180 mm) was exceeded in Alhaurín de la Torre,  
455 and it was between 5 and 10 years (90 and 115 mm, respectively) in Málaga. Along the  
456 event, the accumulated precipitation caused several floodings in the morning of 16  
457 February 2010 (after 24 hours of precipitation). The rescue services did more than 40  
458 actions related to flooding (essentially homes and garages) in several municipalities in the  
459 province of Málaga: Alhaurín de la Torre, Coín, Campanillas and Cártama (see Fig. 5).  
460 These actions included the use of helicopters to evacuate people trapped at home or in  
461 flooded roads.

462 As in the previous event, the largest rainfall amounts occurred downstream the gauged  
463 watersheds (50 and 20 mm in the sub-basins of Ardales and Bobadilla, respectively). As a  
464 result, observed discharges were not significantly high, and the observed peaks were  
465 comparable to those of 6-7 January 2010 (see Table 2).

### 466 **5.2.2. Performance of the GFWS**

467 The hydrographs simulated with the rainfall-runoff model can be considered acceptable in  
468 terms of the Nash efficiency (see Table 2). Despite of the rough calibration, the model  
469 seems to reproduce correctly the hydrological response at the location of stream gauges.

470 The GFWS was able to issue consistent warnings in the flooded areas depending on the  
471 type of warning used (based on rainfall or simulated discharge). As explained above, the  
472 large rainfall accumulations recorded during this event were the result of the long duration  
473 of the event, rather than very intense precipitation. As a result, observed precipitation  
474 intensities did not exceed the thresholds to issue warnings based on hourly point rainfall at  
475 any time: The highest observed intensity in the basin was around 20 mm/h, lighter than the  
476 average value for the 2-year return period around 25 mm/h.

477 The highest warning levels issued based on aggregated rainfall and simulated discharges  
478 are presented in Fig. 5 (at 6:00 and 7:00 UTC, respectively). Aggregated rainfall exceeded  
479 the 2-year return period for the first time at 03:00 UTC in the main stream between Coín to  
480 Málaga. The levels progressively increased and at 6:00 UTC the 5-year return period was  
481 exceeded. At the same time, small tributaries to this main stream were also marked as  
482 potentially flooded. It is clear how the areas where the warnings were issued match the  
483 points where the main floods actually occurred (Alhaurín de la Torre, Coín, Cártama, and  
484 Málaga, circled with solid red ellipses), being the only exceptions Campanillas and the  
485 suburbs of Málaga where no warning was issued. After 3:00 UTC, warning levels  
486 decreased and remained only for the main stream. At 12:00, 4 hours after the rainfall had  
487 ceased, only the Guadalhorce stream located between Cártama and Málaga was identified  
488 as a risky area and remained so until the end of the day.

489 Warnings computed from simulated discharges were more intense and more numerous than  
490 those already calculated with the aggregated rainfall (the estimated initial abstractions were  
491 null). Indeed, the first warning appeared at 23:00 UTC, and at 3:00 UTC exceeded the  
492 return period of 5 years (i.e. higher than the 2-year one issued for aggregated rainfall). At  
493 7:00 UTC, the simulated discharges passing through Cártama and Alhaurín de la Torre  
494 were exceeding the 25-year return period, and in Coín, Campanillas and Málaga, the 10-  
495 year return period. The simulated peak discharge in Málaga outlet occurred at 10:00 and  
496 reached a value of 817 m<sup>3</sup>/s, although rescue services, based on ground observation,  
497 estimated the discharge to temporarily exceeded 2000 m<sup>3</sup>/s. The fact that drained area  
498 located upstream of each dam were not considered can explain this large difference.  
499 Warnings based on simulated flows, thus, corresponded very well with the floods that

500 occurred in this area. Unlike for the warnings based on aggregated rainfall, the flooding in  
501 Campanillas and the suburbs of Málaga at 7:00 UTC (see Fig. 5-b) were not missed:  
502 warnings of 10- and 5-year return period were issued at these points, respectively.

503 A flood warning (5-year return period) was also issued for the Ardales stream, downstream  
504 of one of the dams of the basin (Conde Guadalhorce dam, surrounded in Fig. 5-b), where  
505 no problem actually occurred. This area is not anthropized and for this reason was not  
506 affected. As the simulated discharge was not propagated downstream the dam, no warning  
507 was issued further.

### 508 **5.3. Event of 21 April 2011**

#### 509 **5.3.1. Rainfall inputs: processing of radar data**

510 The very-high resolution of radar QPE products both in space and time (for the case of the  
511 Málaga radar, 1km and 10 minutes) fits very well the requirements of flood monitoring in  
512 fast response basins such as the Guadalhorce basin, as it allows an accurate representation  
513 of the variability of the rainfall field and capture local intensities that could be missed by  
514 rain gauge networks. However, radar measurements require a thorough processing to  
515 convert them into Quantitative Precipitation Estimates.

516 In our case, we have implemented the chain of algorithms of the EHIMI package (Corral *et*  
517 *al.* 2009), which includes: (i) reduction of the effects of beam blockage by the orography  
518 using the approach of Delrieu *et al.* (1995), (ii) clutter elimination with the technique of  
519 Sánchez-Diezma *et al.* (2001), (iii) identification of the type of precipitation and  
520 extrapolation of elevated reflectivity measurements to the surface according to a double  
521 Vertical Profile of Reflectivity as described by Franco *et al.* (2006, 2008), and (iv)  
522 conversion of reflectivity into rain rate using a double Z-R relationship for stratiform and  
523 convective rain. Hourly accumulations were generated from instantaneous rainfall maps  
524 with an algorithm similar to that of Fabry *et al.* (1995).



### 525 **5.3.2. Description of the rainfall event**

526 The river rise of 21 April 2011 is the result of a widespread system that crossed Andalusia  
527 from west to east. Over the basin, 10 mm of rainfall were accumulated in 10 hours  
528 (approximately from 14:00 UTC to 24:00 UTC), with totals locally reaching up to 25 mm  
529 near Málaga and on the southern portion of the Guadalhorce basin (see Figure 6-a). The  
530 most intense precipitation was concentrated at about 17:00 UTC with local hourly  
531 intensities around 20 mm/h.

532 The event accumulation based on radar measurements does not show the artefacts that  
533 frequently affect radar rainfall products (due to e.g. sub-estimation “corridors” due to beam  
534 blockage or systematic holes from ground clutter filters). It is also noticeable that radar-  
535 based QPE values at gauge locations reasonably matches rain gauge records inside the  
536 basin (the differences can be attributed to remaining errors in radar QPE, errors in rain  
537 gauge measurements and representativeness errors, since the two systems measure rainfall  
538 at different scales).

### 539 **5.3.3. Performance of the GFWS**

540 During 21 April 2011, the GFWS did not deliver any warnings whatever the type (based on  
541 point rainfall, spatially aggregated rainfall or simulated flows). Despite some intense  
542 precipitation, no significant increase in discharge was noticed and no alert thresholds were  
543 exceeded. The propagation of rainfall through the drainage network reduced the magnitude  
544 of the hazard, which was already low in terms of point rainfall.

545 However, the benefit of using radar-based QPE is illustrated by the location of intense  
546 precipitation (about 20 mm/h) around Málaga and in the central part of the basin at 17:00  
547 UTC. As shown in Figure 6, there is no rain gauge at the location where the most intense  
548 precipitation occurred, and the field interpolated from rain gauges did not reproduce these  
549 local rainfall intensities (or any warning, see Fig. 6-c). Despite some possible  
550 overestimation of the radar-based QPE, this proves the use of weather radar may provide a  
551 better understanding of intense rainfall away from the rain gauge network. These  
552 differences could have been even more significant for more convective situations  
553 characterized by very intense local rainfall.

554 It has to be noticed that no flooding occurred during this event. This is also a satisfactory  
555 result for the GFWS, which can be interpreted as follows: First, spatial distribution of  
556 precipitation represented by radar-based QPE indicate the location and timing of the highest  
557 intensities, which can identify the possible consequences caused by direct rainfall as it may  
558 be the case of local floodings in urban areas. Second, the absence of warning in the river  
559 network shows there was no significant consequence in terms of discharges, showing that,  
560 for this particular case, the rainfall-runoff model did not overestimate the discharges  
561 produced by moderate rainfall.

#### 562 **5.4. General comments**

563 Regarding the two examples for which flooding occurred, warnings based on point rainfall  
564 seem to be well adapted to prevent from the consequences on the ground of intense  
565 precipitation. They are particularly useful to alert of urban flood where the rainfall is  
566 directly responsible for flooding. As the current GFWS does not take into account urban  
567 drainage (which requires a cadastral resolution), these warnings could be sufficient to  
568 localize the areas prone to flooding during intense precipitation event.

569 Although the model was calibrated for only one gauged basin and for few rainfall events,  
570 the results computed with the rainfall-runoff model for these two recent events are rather  
571 satisfactory: the simulated discharges calculated at the other stream gauges locations are  
572 quite similar to the observed ones. The fact that only warnings based on simulated  
573 discharge have pointed out every effective flooding for both events, illustrates the interest  
574 of working with a distributed rainfall-runoff model. This rather positive result could, at  
575 least in part, be attributed to the significant magnitude of the events, especially given the  
576 limitations of the model calibration.

577 Moreover, return period characterizing warnings based on simulated discharges appear to  
578 be higher than those based on aggregated rainfall for both studied rainfall events. Regarding  
579 the consequences at the ground and the frequency of the total amount of precipitation  
580 locally measured, discharge return periods seem to be the more representative. In these  
581 cases, the underestimation of aggregated rainfall-based warning may be due to different  
582 reasons. First, this method has intrinsic limitations due to the non-consideration of rainfall-

583 runoff transformation. Second, the antecedent soil moisture conditions, which have a  
584 significant role in the catchment response (see e.g. Merz and Blöschl 2009), is not  
585 considered. Despite the basic function used to estimate initial losses, the rainfall-runoff  
586 model is able to take into account soil moisture *via* the parameter  $I_a$  in Eq. 3. For both  
587 studied events, the estimated initial abstractions were almost equal to 0, which result to  
588 increase the amount of water producing runoff.

589 These provisional remarks have to be taken with caution because based on the analysis of  
590 only two events. They have to be confirmed in the future by studying the performances of  
591 the GFWS for new rainfall events.

592

## 593 **6. Combined use of EFAS with the GFWS for flood forecasting**

### 594 **6.1. The European Flood Alert System (EFAS)**

595 The European Flood Alert System (Thielen *et al.* 2009) issues flood warnings based on  
596 probabilistic flood forecasts with lead times up to 10 days at European scale. It is based on  
597 the hydrological model LISFLOOD (Van Der Knijff *et al.* 2010) and rainfall inputs come  
598 from a medium-range ensemble weather predictions (NWP-EPS), consisting of a first set of  
599 51 members generated at the European Centre for Medium-range Weather Forecasts  
600 (ECMWF) over a 80-km grid, and a second set of 16-member ensemble from the COSMO  
601 Consortium (COSMO-LEPS), run at 10-km grid resolution. Both sets of weather forecasts  
602 are included in the hydrological model to produce two ensembles of 51 and 16 members of  
603 flow forecasts. The hydrographs generated in such a way are then analysed to issue early  
604 warnings on the basis of a threshold exceedance analysis.

605 LISFLOOD was not adjusted for the Guadalhorce basin using discharge measurements (as  
606 it is for other European catchments). However, the discharge thresholds associated to flood  
607 warnings are directly defined based on a statistical analysis of simulated discharges over a  
608 historical 30-year period. The highest discharge obtained from these long-term simulations  
609 is used to set the “severe” situation (that is, when the model outputs exceed the 30-year  
610 maximum flow situation, a “severe” warning is issued). Similarly, the discharge value

611 corresponding to the 99% percentile of historical flow simulations is chosen as the  
612 threshold for which a “high” warning is issued. When comparing “high” discharges with  
613 records from level gauges in Europe where the model was calibrated, Thielen et al. (2009)  
614 reported that the value obtained for “high” warnings usually corresponds to return periods  
615 around 1 to 2 years.

## 616 **6.2. EFAS forecasts for the studied events**

617 EFAS did not issue any warning in advance for the case of 6-7 January 2010 (neither for 21  
618 April 2011), since rainfall accumulations were due to a local and intense rainfall core that  
619 NWP-EPS had missed.

620 Alternatively, for the second event (15-16 February 2010) the NWP-EPS did depict the  
621 main space and time features of the rainfall field. Consequently, EFAS delivered flood  
622 warnings with an anticipation of four days: probabilistic forecasts issued a significant flood  
623 warning on the main stream of the Guadalhorce River between the 3 dams and Málaga,  
624 leaving the secondary streams (where most of the inundations occurred) safe. From the 51  
625 ECMWF members, 80% forecasted floods, whereas the simulations of 2 of the 16 COSMO  
626 members exceed the threshold of “high” level 4 days in advance (8 out of 16 members 2  
627 days in advance). For this second event, the outlet peak flow simulated with LISFLOOD  
628 was around 160 m<sup>3</sup>/s. Although this is enough to exceed the “high” level warning in the  
629 Guadalhorce basin (around 142 m<sup>3</sup>/s, and, as discussed above, corresponding to a 1-2 years  
630 return period), it is far from the maximum discharges simulated with the GFWS (817 m<sup>3</sup>/s  
631 in Málaga) and the 25-year return period obtained for the GFWS simulations (see section  
632 5.2). We believe that the latter may be more accurate as it matches better the reports of  
633 local rescue services, which had not faced similar flooding for 20 years (reports based on  
634 eye witness estimated the peak flows in about 2000 m<sup>3</sup>/s, higher than the 100-year return  
635 period). It is worth insisting on that the version of EFAS currently running in the  
636 Guadalhorce basin is uncalibrated, and, therefore, flow simulations cannot be interpreted in  
637 absolute terms. Also, it is necessary to remark that no intermediate threshold is established  
638 between the “high” and “severe” warnings, which in cases such as the one analysed here  
639 could have helped. Note that a more general discussion on the matching between simulated  
640 discharges and reference thresholds is conducted in the last section.

### 641 **6.3. Use of EFAS warnings to extend lead-time**

642 In the case studies, most of the watersheds responsible for flooding are small (less than 100  
643 km<sup>2</sup>) and, consequently, characterized by short response times (less than 1 hours). In the  
644 operational framework, GFWS warnings based on weather radar and/or rain gauges  
645 measurements require the collection of rainfall measurements (which, currently, takes up to  
646 20 minutes). This means that it takes very short time after the warnings are issued for the  
647 inundations to occur in the smallest watersheds (or even equal to 0). This is often  
648 insufficient to prevent the concerned population from the flooding. Recent works (e.g.  
649 Creutin *et al.* 2009; Siccardi *et al.* 2005) have shown that when the social response time is  
650 longer than the catchment response time, the planning of management measures requires  
651 the use of forecast rainfall fields such as NWP-EPSs. That is why mid-term rainfall  
652 forecasts and EFAS warnings represent a good complementary tool for the GFWS.  
653 Delivering these forecasts some days in advance, despite the rough spatial accuracy, can be  
654 useful from a practical point of view. They can be used as pre-alarms to inform decision-  
655 makers about a possible flooding and advise the population, for example, to reduce their  
656 trips and to protect vulnerable items. Similarly, emergency services can prepare their teams  
657 and anticipate their future actions around the areas of possible flooding to intervene more  
658 rapidly the day in question. According to this configuration, the warnings issued by EFAS  
659 on the main stream of the Guadalhorce for the 15 and 16 February 2010 could help to limit  
660 damages. Warnings issued by the GFWS could have then been used to act more precisely  
661 on the affected tributaries.

## 662 **7. Discussion and Conclusion**

663 A local Flood Warning System has been implemented in the Guadalhorce basin, frequently  
664 affected by plain floods and flash floods. The system delivers distributed warnings over the  
665 entire basin based on the available sources of information: rainfall estimates and runoff  
666 simulations are compared to pre-computed values of hazard probability (separately for  
667 rainfall and runoff) to determine the warning level expressed in terms of return period.

668 The performance of the GFWS has been demonstrated on two major events that occurred in  
669 the basin at the beginning of 2010 (the most intense since the system is operating). In  
670 general, the warnings issued by the system matched the timing and location where actual

671 inundations occurred. The performance of the system during the presented cases has shown  
672 how the different warnings (based on rainfall estimates or on flow simulations) are well  
673 adapted to the types of hazard that affect the Guadalhorce basin. Indeed, results obtained  
674 for 7 January 2010 confirm that warnings based on point rainfall are well adapted to alert of  
675 urban or flash floods, as they are driven by very intense precipitation. As urban drainage is  
676 not considered in the system, the precise location of intense rainfall could be enough from  
677 the end-user point of view. On the other hand, results obtained on 16 February 2010  
678 illustrate the effectiveness of warnings based on aggregated rainfall and discharge  
679 simulations to forecast the inundations caused by stream overflows.

680 Moreover, on the analysed events, a significant difference has also been noticed between  
681 the return period characterizing warnings based on aggregated rainfall and simulated  
682 discharges. Those calculated with the rainfall-runoff model, usually higher, have also  
683 pointed out every effective flooding. This underlines the importance of taking into account  
684 rainfall-runoff transformation and antecedent soil moisture conditions.

685 In parallel, the European Flood Alert System (EFAS) has proved to be a valuable  
686 complementary tool for flood warning. It forecasted the consequences of the larger-scale  
687 and long-lasting event of 15-16 February 2010 four days in advance. Although it did not  
688 forecast the exact location of flooding and underestimated the magnitude of the event, it  
689 provided useful information to prepare the emergency services to operate. However, EFAS  
690 did not anticipate the event of 7 January 2010, for which GFWS showed a good  
691 performance. We attribute this miss mainly to the inability of the NWP-EPS model to  
692 depict the intense but very local precipitation system that produced the event. This kind of  
693 events show the interest of rapid-updating and high-resolution FWSs to issue warnings at  
694 resolutions that are closer to the scales at which flooding occurs in this basin (for the  
695 analysed events most of the inundations occurred in secondary streams for which EFAS  
696 does not produce flow forecasts).

697 The presented results illustrate the interest of using the GFWS for flood warning in the  
698 Guadalhorce basin, but it has to be recalled that this analysis is based on the study of only  
699 two rainfall events. These conclusions need to be confirmed in the future regarding the

700 performance of the GFWS on new events. In addition, there are a number of implicit  
701 hypotheses and limitations that are worth discussing:

702 (1) The selection of thresholds for issuing warnings with GWFS is arbitrary according to  
703 the usual practices in Spain (i.e. according to the MOPU 1990 and 1999 for runoff and  
704 rainfall respectively). This is so because long series of observations are inexistent in the  
705 basin. In particular, the method used for setting flow warning thresholds uses historical  
706 daily rainfall accumulations (implicitly assuming a very simple rainfall-runoff model to  
707 estimate design peak flows). This results in some sort of inconsistency when the discharges  
708 simulated with the rainfall-runoff model presented in section 3 are compared to the  
709 thresholds established with an obviously different model. The availability of longer series  
710 of hydrological records would allow establishing better thresholds (e.g. as suggested by  
711 IACOW 1982 and Reed *et al.* 2007). In any case, the used thresholds can still be considered  
712 as indicators of the relative degree of severity of the events, despite the fact that the  
713 associated return periods cannot be taken in absolute sense. For example the results  
714 presented above show a clear correspondence between the issued warnings and the reported  
715 inundations, and indicate relative significance of the events, but cannot be considered  
716 extreme (the 100-years return period was certainly not exceeded).

717 (2) The number of hydrometeorological sensors (both rain and stream gauges) in the basin  
718 poses an important challenge for the performance of the GFWS. The density of rain gauges  
719 (in average, 1 every 180 km<sup>2</sup>) and its time resolution (1 hour) limit the ability of the system  
720 to monitor the variability of the rainfall field at smaller scales, thus reducing the skill of the  
721 system to forecast flooding due to very local precipitation, especially in convective  
722 situations. However, this factor did not seem to be critical for the case of January 2010  
723 presented above: although intense rainfall was mainly localized in the southern part of the  
724 catchment and gauges recorded maximum accumulations of 40 mm in 2 hours (see section  
725 5.1), the system was able to diagnose the magnitude of the event and useful warnings were  
726 issued. On the other hand, the number of stream gauges and their location (around 40 km  
727 from the outlet of the basin) implies that the calibration of the rainfall-runoff model is  
728 mostly valid for the upper part of the basin. Consequently, the simulations obtained  
729 downstream (for instance in the area near Málaga, more urbanized than the upper part) are

730 based on an extrapolation of the calibrated parameters, which are assumed to be valid for  
731 the entire basin. The lack of flow measurements downstream does not allow any  
732 quantitative validation of the simulations.

733 (3) As it has been implemented here, the GFWS has been run with rainfall observations,  
734 and, consequently, the results presented above assess the ability of the GFWS to emulate  
735 the response of the catchment for two case studies. However, from the operational point of  
736 view, it is also fundamental to analyse the ability of the system to forecast the hydrological  
737 response of the basin (and resulting warnings) upon all the knowledge available up to the  
738 present (see Todini 1988). By only using rainfall observations, the flow forecasting skill is  
739 limited to the response time of the considered basin (Berenguer *et al.* 2005; Vivoni *et al.*  
740 2006). On top of that, the time resolution of rainfall records (1 hour for rain gauge records)  
741 and the data collection time (about 20 minutes) are factors that reduce the time between the  
742 forecasts/warnings are issued and the inundations occur. That means the current  
743 configuration of the GFWS (using only rain gauge data) may provide valuable flood  
744 warnings only for basins larger than 200 km<sup>2</sup>, with response times over 1 hour. In other  
745 words, the system evaluates what is happening in the smallest basins and has some  
746 predictive skill for the largest ones thanks to the response time of the basin.

747 In part, (2) can be addressed with the use of radar-based QPE maps (as illustrated for a  
748 minor event in Section 5.3): these allow monitoring the space and time variability of the  
749 rainfall field at resolutions fulfilling the requirements of rainfall-runoff model for small- to  
750 medium-sized basins (see, among many others, Sempere-Torres *et al.* 1999; Rossa *et al.*  
751 2005; Cole and Moore 2008; Corral *et al.* 2009; Delrieu *et al.* 2009). However, it has been  
752 classically recognized that there are a number of errors (listed, e.g. by Zawadzki 1984;  
753 Austin 1987; Joss and Waldvogel 1990) that affect radar-based QPE and that require the  
754 implementation of sophisticated algorithms to mitigate their effect (also, the blending of  
755 radar QPE maps with rain gauge measurements has shown significant improvements –see,  
756 e.g. Velasco-Forero *et al.* 2009 ; Schiemann *et al.* 2010 and references therein-).

757 Radar rainfall products also allow generating very short-term rainfall forecasts (nowcasts)  
758 that can be used to extend the time series of rainfall inputs to the rainfall-runoff model  
759 [critical in point (3) above]. Previous works on this subject show significant improvements



760 in the quality of forecasted hydrographs (see Berenguer *et al.* 2005; Versini 2012; Vivoni *et al.* 2006; Zappa *et al.* 2011): The anticipation of flow peaks could be extended for up to a  
761 few hours in small to medium basins and, when included in the GFWS, should enable  
762 improving the skill of the system for flood forecasting. Beyond these time horizons (critical  
763 for flood management and rescue services to prepare and plan their actions), rainfall  
764 forecasts based on the combination of radar-based products with numerical weather  
765 prediction (NWP) precipitation outputs (as suggested by Li and Lai 2004; Lin *et al.* 2005;  
766 Atencia *et al.* 2010) should be used. Also, other works (see Jasper *et al.* 2002; Zappa *et al.*  
767 2010 and references therein) have shown the interest of coupling NWP precipitation  
768 outputs for flood forecasting in small and medium catchments. In our case, it represents an  
769 opportunity to fulfil the gap between the lead-times provided by EFAS (several days in  
770 advance) and those provided by the GFWS (few hours in the best case). The 2 or 3 hours  
771 gained by this combination are critical in crisis management. They should be useful to  
772 anticipate the direct consequences of the current event and to optimize emergency services  
773 resources. It should also allow to better anticipate small-scale event and to deliver warning  
774 on smaller watersheds.  
775

776 In this sense, it should be noted that the GFWS is ready to use any gridded rainfall product.  
777 In particular, the GFWS is currently using the radar-based QPE and QPF products  
778 generated with the EHIMI packages using observations from the Málaga radar (not  
779 available for the analysed events). As discussed above, with the inclusion of these high-  
780 resolution precipitation products we expect a better performance of the system, especially  
781 for issuing warnings at local scales.

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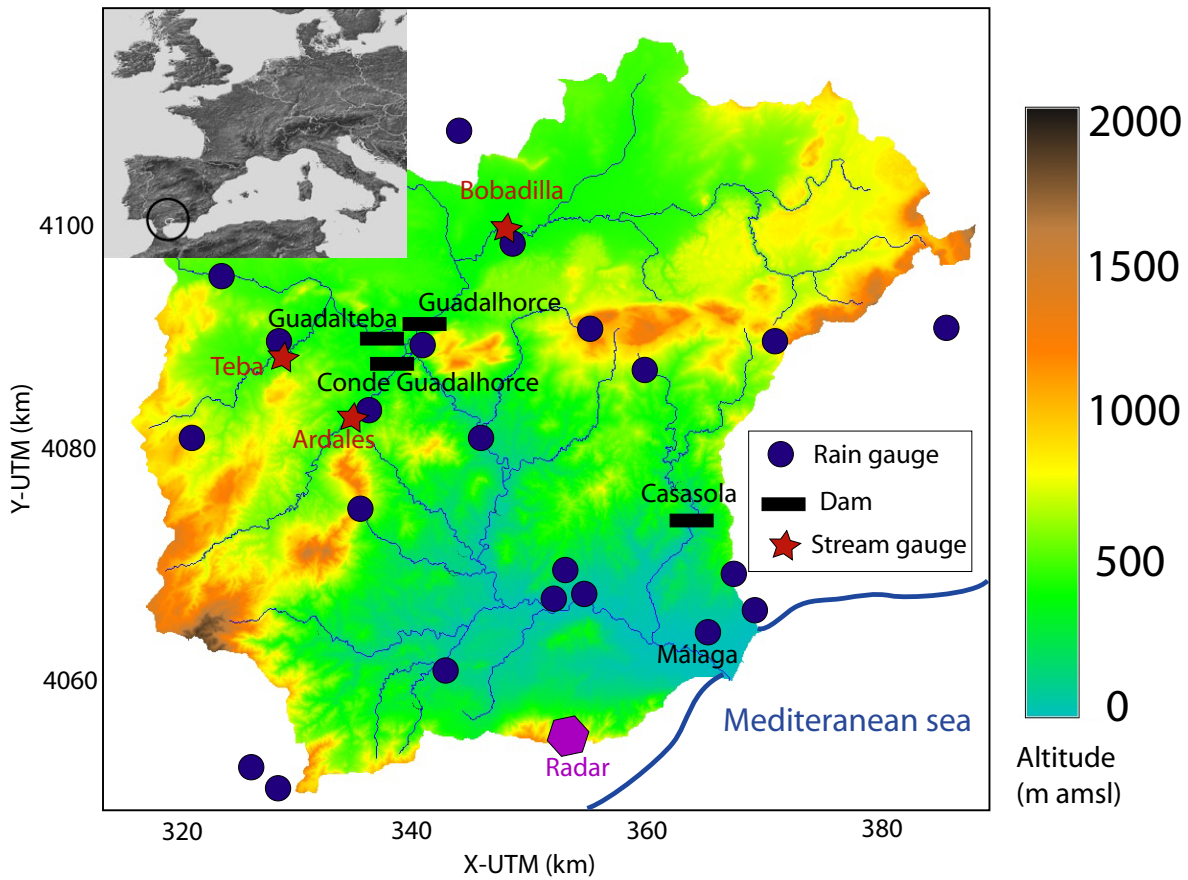
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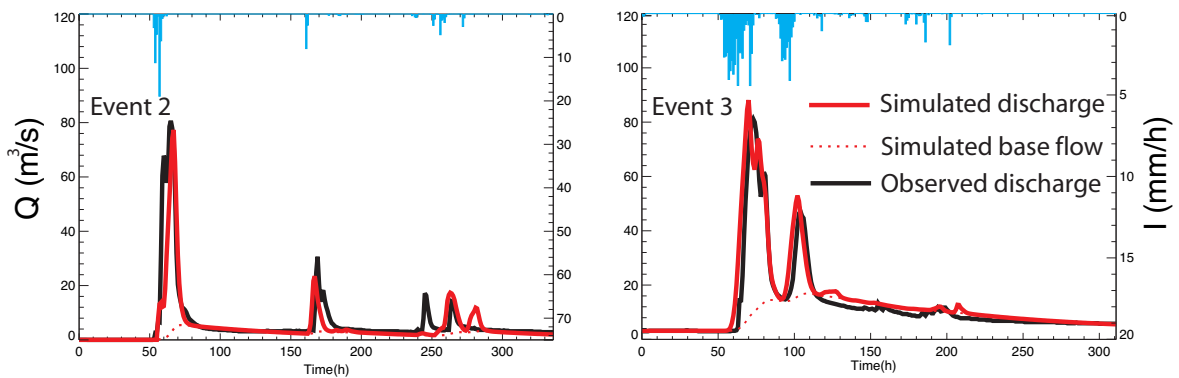
1007 **Figure captions**



1008

1009 Figure 1. The Guadalhorce basin and its hydro-meteorological sensors

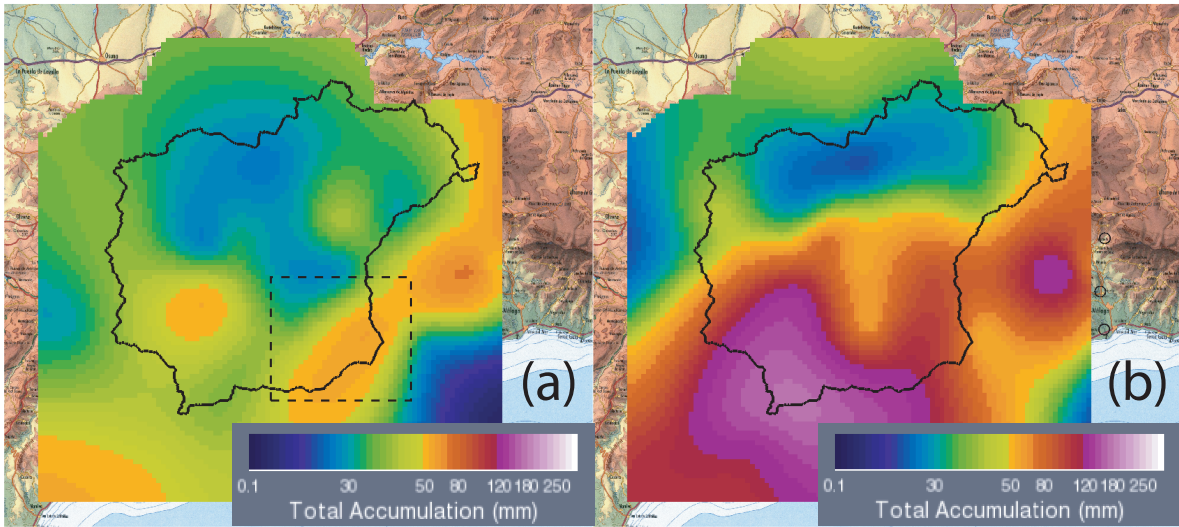
1010



1011

1012 Figure 2. Comparison between observed (black line) and simulated (red line) discharges on  
1013 Bobadilla basin. The left vertical axis represents the discharge (in m<sup>3</sup>/s). The right vertical  
1014 axis represents the rainfall intensity (in mm/h).

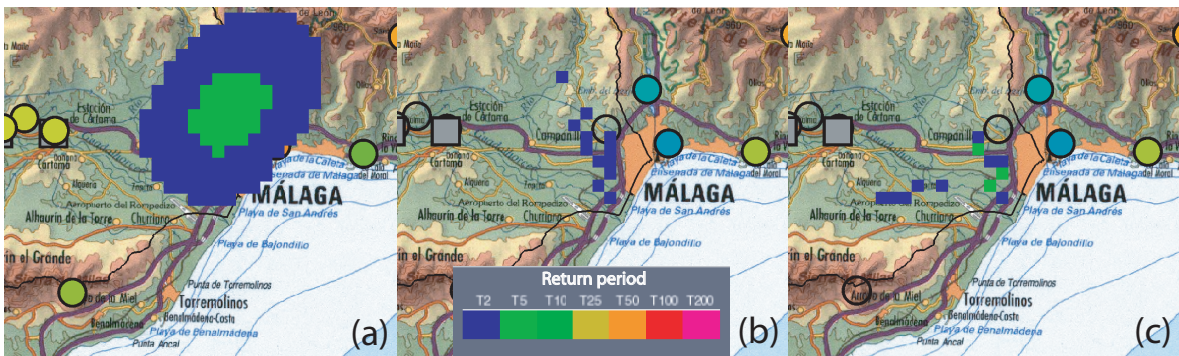
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1016

1017 Figure 3. Total estimated precipitation accumulation estimated from rain gauges for (a) 6-7  
 1018 January 2010, and (b) 15-16 February 2010.

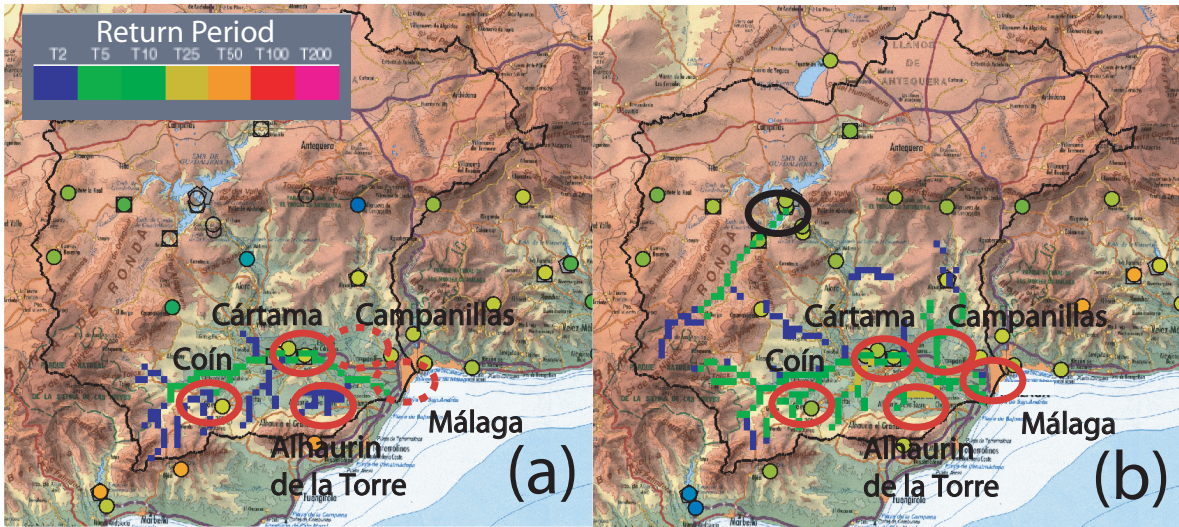
1019



1020

1021 Figure 4. Flood warnings issued on 7 January 2010 based on: (a) point rainfall at 9:00  
 1022 UTC, (b) aggregated rainfall at 10:00 UTC, and (c) simulated discharges at 10:00 UTC.  
 1023 This area around Málaga is the one defined by the dotted square in Fig. 3. The circles  
 1024 indicate the presence of the rain gauges. The solid red ellipses correspond to the effective  
 1025 flooding

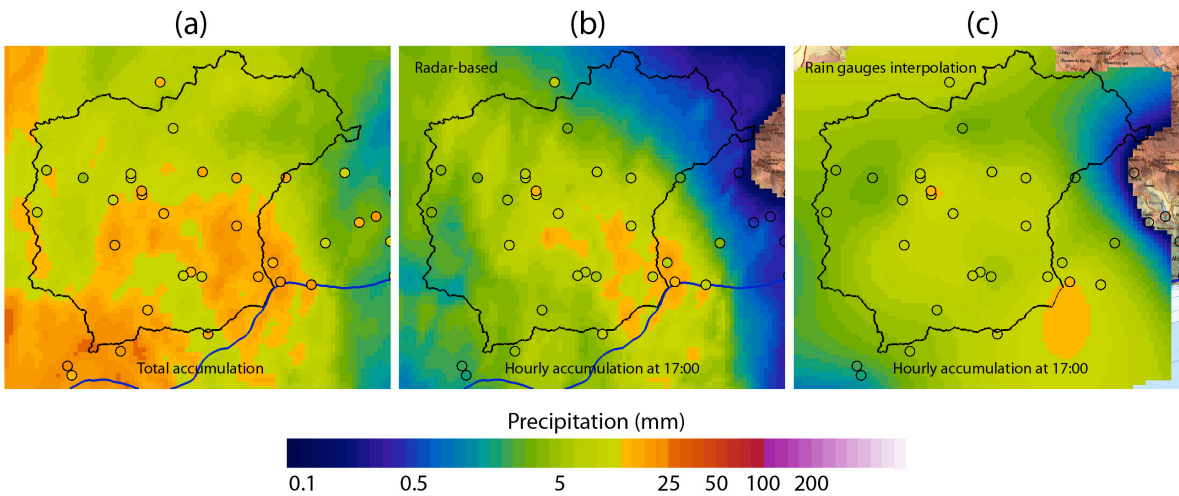
1026



1027

1028 Figure 5. Flood warnings issued on 16 February 2010 based on: (a) Aggregated rainfall at  
 1029 6:00, and (b) and simulated discharge at 7:00. Flooded locations are surrounded in red. The  
 1030 solid red ellipses correspond to the forecasted flooding and the dotted ellipses to the missed  
 1031 flooding. The black ellipse corresponds to the false alarm at Conde Guadalhorce dam.

1032



1033

1034 Figure 6. Results obtained for the 21 April 2011 event: (a) total precipitation accumulated  
 1035 from radar-based estimates, (b) hourly rainfall field at 17:00 UTC computed by using radar-  
 1036 based estimates, (c) hourly rainfall field at 17:00 UTC interpolated from rain gauges. The  
 1037 circles represent the rain gauges and their observed values.

1038

1039 **Table captions**

1040 Table 1

|                          | Event 1 | Event 2 | Event 3 | Event 4 | Event 5 | Event 6 | Event 7 | Event 8 |
|--------------------------|---------|---------|---------|---------|---------|---------|---------|---------|
| Qmax [m <sup>3</sup> /s] | 44.4    | 80.7    | 81.2    | 27.2    | 20.8    | 42.6    | 22.7    | 84.3    |
| Rainfall [mm]            | 59.6    | 78.6    | 82.3    | 57.1    | 34.4    | 23.3    | 24.5    | 97.5    |
| NE                       | -0.49   | 0.70    | 0.84    | -1.80   | -0.24   | 0.76    | 0.57    | 0.06    |

1041

1042 Table 1. Characteristics of the events selected for the calibration of the rainfall-runoff  
 1043 model in the Bobadilla watershed. In the table, Qmax is the maximum measured peak flow,  
 1044 Rainfall the total amount of precipitation on the sub-catchment, and NE the Nash efficiency  
 1045 characterizing the calibration assessment.

1046

1047 Table 2

| Event               | Bobadilla                              |      | Teba                                   |      | Ardales                                |      |
|---------------------|--|------|--|------|--|------|
|                     | Qmax [m <sup>3</sup> s <sup>-1</sup> ] | NE   | Qmax [m <sup>3</sup> s <sup>-1</sup> ] | NE   | Qmax [m <sup>3</sup> s <sup>-1</sup> ] | NE   |
| 6-7 January 2010    | 100                                    | 0.69 | 60                                     | 0.53 | -                                      | -    |
| 15-16 February 2010 | 80                                     | 0.62 | 65                                     | 0.57 | 33                                     | 0.35 |

1048

1049 Table 2. Characteristics of test case studies and results obtained with the rainfall-runoff  
 1050 model at the gauged watersheds. In the table, Qmax is the maximum measured peak flow,  
 1051 and NE the Nash efficiency characterizing the calibration assessment. Note that, as  
 1052 explained in Section 3.3, Teba and Ardales gauges were not used in the calibration of the  
 1053 rainfall-runoff model.

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