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# Equilibrated tractions for the Hybrid High-Order method

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#### Abstract

We show how to recover equilibrated face tractions for the hybrid high-order method for linear elasticity recently introduced in [1], and prove that these tractions are optimally convergent.

#### Résumé

Tractions équilibrées pour la méthode hybride d'ordre élevé. Nous montrons comment obtenir des tractions de face équilibrées pour la méthode hybride d'ordre élevé pour l'élasticité linéaire rrécemment introduite dans [1] et prouvons que ces tractions convergent de manière optimale.

#### 1. Introduction

In this work, we devise a reformulation the Hybrid High-Order method for linear elasticity introduced in [1] that identifies its local equilibrium (or conservation) properties and, at the same time, shows how balanced (or conservative) face tractions can be constructed by element-by-element post-processing. This is an important complement to the original analysis, as local equilibrium is an essential property in practice.

Our focus is on the linear elasticity problem described hereafter. Let  $\Omega \subset \mathbb{R}^d$ ,  $d \in \{2,3\}$ , denote a bounded connected polygonal or polyhedral domain. For  $X \subset \overline{\Omega}$ , we denote by  $(\cdot, \cdot)_X$  and  $\|\cdot\|_X$  respectively the standard inner product and norm of  $L^2(X)$ , and a similar notation is used for  $L^2(X)^d$  and  $L^2(X)^{d \times d}$ . For a given external load  $\mathbf{f} \in L^2(\Omega)^d$ , we consider the following problem: Find  $\mathbf{u} \in H_0^1(\Omega)^d$  such that

$$2\mu(\nabla_{\mathbf{s}}\boldsymbol{u},\nabla_{\mathbf{s}}\boldsymbol{v})_{\Omega} + \lambda(\nabla\cdot\boldsymbol{u},\nabla\cdot\boldsymbol{v})_{\Omega} = (\boldsymbol{f},\boldsymbol{v})_{\Omega}.$$
 (1)

with  $\mu > 0$  and  $\lambda \geqslant 0$  real numbers representing the scalar Lamé coefficients and  $\nabla_s$  denoting the symmetric gradient operator. More general boundary conditions can be considered. The material is organized as follows: in Section 2 we outline the original formulation of the HHO method; in Section 3 we derive the local equilibrium formulation based on a new local displacement reconstruction.

#### 2. The Hybrid High-Order method

We consider admissible mesh sequences in the sense of [2, Sect. 1.4]. Each mesh  $\mathcal{T}_h$  in the sequence is a finite collection  $\{T\}$  of nonempty, disjoint, open, polytopic elements such that  $\overline{\Omega} = \bigcup_{T \in \mathcal{T}_h} \overline{T}$  and  $h = \max_{T \in \mathcal{T}_h} h_T$  (with  $h_T$  the diameter of T), and there is a matching simplicial submesh of  $\mathcal{T}_h$  with locally equivalent mesh size and which is shape-regular in the usual sense. For all  $T \in \mathcal{T}_h$ , the faces of T are collected in the set  $\mathcal{F}_T$ . Additionally, interfaces are collected in the set  $\mathcal{F}_h^i$  and boundary faces in  $\mathcal{F}_h^b$ . The diameter of a face  $F \in \mathcal{F}_h$  is denoted by  $h_F$ . For the sake of brevity, we abbreviate  $a \lesssim b$  the inequality  $a \leqslant Cb$  for positive real numbers a and b and a generic constant C which can depend on the mesh regularity, on  $\mu$ , d, and the polynomial degree, but is independent of h and  $\lambda$ . We also introduce the notation  $a \simeq b$  for the uniform equivalence  $a \lesssim b \lesssim a$ .

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Let a polynomial degree  $k \ge 1$  be fixed. The local and global spaces of degrees of freedom (DOFs) are

$$\underline{\mathbf{U}}_{T}^{k} := \mathbb{P}_{d}^{k}(T)^{d} \times \left\{ \underset{F \in \mathcal{F}_{T}}{\times} \mathbb{P}_{d-1}^{k}(F)^{d} \right\} \quad \forall T \in \mathcal{T}_{h}, \qquad \underline{\mathbf{U}}_{h}^{k} := \left\{ \underset{T \in \mathcal{T}_{h}}{\times} \mathbb{P}_{d}^{k}(T)^{d} \right\} \times \left\{ \underset{F \in \mathcal{F}_{h}}{\times} \mathbb{P}_{d-1}^{k}(F)^{d} \right\}. \tag{2}$$

A generic collection of DOFs from  $\underline{\mathbf{U}}_h^k$  is denoted by  $\underline{\mathbf{v}}_h = \left( (\mathbf{v}_T)_{T \in \mathcal{T}_h}, (\mathbf{v}_F)_{F \in \mathcal{F}_h} \right)$ , and, for a given  $T \in \mathcal{T}_h$ ,  $\underline{\mathbf{v}}_T = \left( \mathbf{v}_T, (\mathbf{v}_F)_{F \in \mathcal{F}_T} \right) \in \underline{\mathbf{U}}_T^k$  indicates its restriction to  $\underline{\mathbf{U}}_T^k$ . For all  $T \in \mathcal{T}_h$ , we define a high-order local displacement reconstruction operator  $p_T^k : \underline{\mathbf{U}}_T^k \to \mathbb{P}_d^{k+1}(T)^d$  by solving the following (well-posed) pure traction problem: For a given  $\underline{\mathbf{v}}_T \in \underline{\mathbf{U}}_T^k$ ,  $p_T^k \underline{\mathbf{v}}_T$  is such that

$$(\nabla_{\mathbf{s}} \boldsymbol{p}_{T}^{k} \underline{\mathbf{v}}_{T}, \nabla_{\mathbf{s}} \boldsymbol{w})_{T} = (\nabla_{\mathbf{s}} \mathbf{v}_{T}, \nabla_{\mathbf{s}} \boldsymbol{w})_{T} + \sum_{F \in \mathcal{F}_{T}} (\mathbf{v}_{F} - \mathbf{v}_{T}, \nabla \boldsymbol{w} \ \boldsymbol{n}_{TF})_{F} \qquad \forall \boldsymbol{w} \in \mathbb{P}_{d}^{k+1}(T)^{d}, \tag{3}$$

and the rigid-body motion components of  $\boldsymbol{p}_T^k \underline{\mathbf{v}}_T$  are prescribed so that  $\int_T \boldsymbol{p}_T^k \underline{\mathbf{v}}_T = \int_T \mathbf{v}_T$  and  $\int_T \nabla_{\mathrm{ss}}(\boldsymbol{p}_T^k \underline{\mathbf{v}}_T) = \sum_{F \in \mathcal{F}_T} \int_F \frac{1}{2} (\boldsymbol{n}_{TF} \otimes \mathbf{v}_F - \mathbf{v}_F \otimes \boldsymbol{n}_{TF})$  where  $\nabla_{\mathrm{ss}}$  is the skew-symmetric gradient operator. Additionally, we define the divergence reconstruction  $D_T^k : \underline{\mathbf{U}}_T^k \to \mathbb{P}_d^k(T)$  such that, for a given  $\underline{\mathbf{v}}_T \in \underline{\mathbf{U}}_T^k$ ,

$$(D_T^k \underline{\mathbf{v}}_T, q)_T = (\nabla \cdot \mathbf{v}_T, q)_T + \sum_{F \in \mathcal{F}_T} (\mathbf{v}_F - \mathbf{v}_T, q \ \mathbf{n}_{TF})_F \qquad \forall q \in \mathbb{P}_d^k(T).$$

$$(4)$$

We introduce the local bilinear form  $a_T: \underline{\mathbf{U}}_T^k \times \underline{\mathbf{U}}_T^k \to \mathbb{R}$  such that

$$a_T(\underline{\mathbf{w}}_T, \underline{\mathbf{v}}_T) := 2\mu \left\{ (\nabla_{\mathbf{s}} p_T^k \underline{\mathbf{w}}_T, \nabla_{\mathbf{s}} p_T^k \underline{\mathbf{v}}_T)_T + s_T(\underline{\mathbf{w}}_T, \underline{\mathbf{v}}_T) \right\} + \lambda (D_T^k \underline{\mathbf{w}}_T, D_T^k \underline{\mathbf{v}}_T)_T, \tag{5}$$

where the stabilizing bilinear form  $s_T: \underline{\mathbf{U}}_T^k \times \underline{\mathbf{U}}_T^k \to \mathbb{R}$  is such that

$$s_T(\underline{\mathbf{w}}_T, \underline{\mathbf{v}}_T) := \sum_{F \in \mathcal{F}_T} h_F^{-1}(\pi_F^k(\mathbf{P}_T^k \underline{\mathbf{w}}_T - \mathbf{w}_F), \pi_F^k(\mathbf{P}_T^k \underline{\mathbf{v}}_T - \mathbf{v}_F))_F,$$
(6)

and the second displacement reconstruction  $P_T^k: \underline{\mathbf{U}}_T^k \to \mathbb{P}_d^{k+1}(T)$  is such that, for all  $\underline{\mathbf{v}}_T \in \underline{\mathbf{U}}_T^k$ ,  $P_T^k\underline{\mathbf{v}}_T := \mathbf{v}_T + (p_T^k\underline{\mathbf{v}}_T - \pi_T^kp_T^k)$ . Let  $\underline{\mathbf{I}}_T^k: H^1(T)^d \to \underline{\mathbf{U}}_T^k$  be the reduction map such that, for all  $T \in \mathcal{T}_h$  and all  $\mathbf{v} \in H^1(T)^d$ ,  $\underline{\mathbf{I}}_T^k\mathbf{v} = (\pi_T^k\mathbf{v}, (\pi_F^k\mathbf{v})_{F \in \mathcal{F}_T})$ . The potential reconstruction  $p_T^k$  and the bilinear form  $s_T$  are conceived so that they satisfy the following two key properties:

(i) Stability. For all  $\underline{\mathbf{v}}_T \in \underline{\mathbf{U}}_T^k$ ,

$$\|\boldsymbol{\nabla}_{\mathbf{s}}\boldsymbol{p}_{T}^{k}\underline{\boldsymbol{\mathsf{v}}}_{T}\|_{T}^{2} + s_{T}(\underline{\boldsymbol{\mathsf{v}}}_{T},\underline{\boldsymbol{\mathsf{v}}}_{T}) \simeq \|\boldsymbol{\nabla}_{\mathbf{s}}\boldsymbol{\mathsf{v}}_{T}\|_{L^{2}(T)^{d\times d}}^{2} + j_{T}(\underline{\boldsymbol{\mathsf{v}}}_{T},\underline{\boldsymbol{\mathsf{v}}}_{T}), \tag{7}$$

with bilinear form  $j_T: \underline{\mathbf{U}}_T^k \times \underline{\mathbf{U}}_T^k \to \mathbb{R}$  such that  $j_T(\underline{\mathbf{w}}_T, \underline{\mathbf{v}}_T) := \sum_{F \in \mathcal{F}_T} h_F^{-1}(\mathbf{w}_T - \mathbf{w}_F, \mathbf{v}_T - \mathbf{v}_F)_F$ .

(ii) Approximation. For all  $\mathbf{v} \in H^{k+2}(T)^d$ ,

$$\left\{ \|\nabla_{\mathbf{s}}(\boldsymbol{v} - \boldsymbol{p}_T^k \underline{\boldsymbol{I}}_T^k \boldsymbol{v})\|_T^2 + s_T (\underline{\boldsymbol{I}}_T^k \boldsymbol{v}, \underline{\boldsymbol{I}}_T^k \boldsymbol{v}) \right\}^{1/2} \lesssim h_T^{k+1} \|\boldsymbol{v}\|_{H^{k+2}(T)^d}. \tag{8}$$

We observe that, unlike  $s_T$ , the bilinear form  $j_T$  only satisfies  $j_T(\underline{\boldsymbol{I}}_T^k \boldsymbol{v}, \underline{\boldsymbol{I}}_T^k \boldsymbol{v}) \lesssim h^k \|\boldsymbol{v}\|_{H^{k+1}(T)^d}$ . The discrete problem reads: Find  $\underline{\boldsymbol{u}}_h \in \underline{\boldsymbol{U}}_{h,0}^k := \{\underline{\boldsymbol{u}}_h \in \underline{\boldsymbol{U}}_h^k \mid \boldsymbol{u}_F \equiv \boldsymbol{0} \quad \forall F \in \mathcal{F}_h^b \}$  such that

$$a_h(\underline{\mathbf{u}}_h, \underline{\mathbf{v}}_h) := \sum_{T \in \mathcal{T}_h} a_T(\underline{\mathbf{w}}_T, \underline{\mathbf{v}}_T). = \sum_{T \in \mathcal{T}_h} (f, \mathbf{v}_T)_T \qquad \forall \underline{\mathbf{v}}_h \in \underline{\mathbf{U}}_{h,0}^k. \tag{9}$$

The following convergence result was proved in [1]:

**Theorem 1** (Energy error estimate). Let  $\mathbf{u} \in H_0^1(\Omega)^d$  and  $\underline{\mathbf{u}}_h \in \underline{\mathbf{U}}_{h,0}^k$  denote the unique solutions to (1) and (9), respectively, and assume  $\mathbf{u} \in H^{k+2}(\Omega)^d$  and  $\nabla \cdot \mathbf{u} \in H^{k+1}(\Omega)$ . Then, letting  $\hat{\underline{\mathbf{u}}}_h \in \underline{\mathbf{U}}_{h,0}^k$  be such that  $\hat{\underline{\mathbf{u}}}_T := \underline{\mathbf{I}}_T^k \mathbf{u}$  for all  $T \in \mathcal{T}_h$ , the following holds (with  $\|\underline{\mathbf{v}}_T\|_{a,T}^2 = a_T(\underline{\mathbf{v}}_T,\underline{\mathbf{v}}_T)$  for all  $\underline{\mathbf{v}}_T \in \underline{\mathbf{U}}_T^k$ ):

$$\sum_{T \in \mathcal{T}_{i}} \|\underline{\mathbf{u}}_{T} - \widehat{\underline{\mathbf{u}}}_{T}\|_{a,T}^{2} \lesssim h^{2(k+1)} \left( \|\mathbf{u}\|_{H^{k+2}(\Omega)^{d}} + \lambda \|\nabla \cdot \mathbf{u}\|_{H^{k+1}(\Omega)} \right)^{2}. \tag{10}$$

 $Moreover, \ assuming \ elliptic \ regularity, \ \textstyle \sum_{T \in \mathcal{T}_h} \| \boldsymbol{u} - \boldsymbol{p}_T^k \underline{\boldsymbol{u}}_T \|_{L^2(T)^d}^2 \lesssim h^{2(k+2)} \left( \| \boldsymbol{u} \|_{H^{k+2}(\Omega)^d} + \lambda \| \boldsymbol{\nabla} \cdot \boldsymbol{u} \|_{H^{k+1}(\Omega)} \right)^2.$ 

### 3. Local equilibrium formulation

The difficulty in devising an equivalent local equilibrium formulation for problem (9) comes from the stabilization term  $s_T$ , which introduces a non-trivial coupling of interface DOFs inside each element. In this section, we introduce post-processed discrete displacement and stress reconstructions that allow us to circumvent this difficulty. For a given element  $T \in \mathcal{T}_h$ , define the following bilinear form on  $\underline{\mathbf{U}}_T^k$ :

$$\widetilde{a}_{T}(\underline{\mathbf{w}}_{T},\underline{\mathbf{v}}_{T}) := 2\mu \left\{ (\nabla_{s} p_{T}^{k} \underline{\mathbf{w}}_{T}, \nabla_{s} p_{T}^{k} \underline{\mathbf{v}}_{T})_{T} + j_{T} (\underline{\mathbf{w}}_{T},\underline{\mathbf{v}}_{T}) \right\} + \lambda (D_{T}^{k} \underline{\mathbf{w}}_{T}, D_{T}^{k} \underline{\mathbf{v}}_{T})_{T}, \tag{11}$$

where the only difference with respect to the bilinear form  $a_T$  defined by (5) is that we have stabilized using  $j_T$ . Denoting by  $\|\cdot\|_{\widetilde{a},T}$  the local seminorm induced by  $\widetilde{a}_T$  on  $\underline{\mathbf{U}}_T^k$ , one can prove that for all  $\underline{\mathbf{v}}_T \in \underline{\mathbf{U}}_T^k$ ,

$$\|\underline{\mathbf{v}}_T\|_{\tilde{a},T} \simeq \|\underline{\mathbf{v}}_T\|_{a,T}.\tag{12}$$

We next define the isomorphism  $\underline{\mathbf{c}}_T^k:\underline{\mathbf{U}}_T^k\to\underline{\mathbf{U}}_T^k$  such that

$$\widetilde{a}_T(\mathbf{c}_T^k \mathbf{w}_T, \mathbf{v}_T) = a_T(\mathbf{w}_T, \mathbf{v}_T) + (2\mu)j_T(\mathbf{w}_T, \mathbf{v}_T) \qquad \forall \mathbf{v}_T \in \mathbf{U}_T^k, \tag{13}$$

and rigid-body motion components prescribed as above. We also introduce the stress reconstruction  $S_T^k: \underline{\mathbf{U}}_T^k \to \mathbb{P}_d^k(T)^{d \times d}$  such that

$$\mathbf{S}_T^k := (2\mu \nabla_{\mathbf{s}} \mathbf{p}_T^k + \lambda \mathbf{I}_d D_T^k) \circ \mathbf{\underline{c}}_T^k. \tag{14}$$

**Lemma 2** (Equilibrium formulation). The bilinear form  $a_T$  defined by (5) is such that, for all  $\underline{\mathbf{w}}_T, \underline{\mathbf{v}}_T \in \underline{\mathbf{U}}_T^k$ 

$$a_{T}(\underline{\mathbf{w}}_{T},\underline{\mathbf{v}}_{T}) = (S_{T}^{k}\underline{\mathbf{w}}_{T},\nabla_{s}\mathbf{v}_{T})_{T} + \sum_{F \in \mathcal{F}_{T}} (\boldsymbol{\tau}_{TF}(\underline{\mathbf{w}}_{T}),\mathbf{v}_{F} - \mathbf{v}_{T})_{F}, \tag{15}$$

with interface traction  $\boldsymbol{\tau}_{TF}: \underline{\mathbf{U}}_T^k \to \mathbb{P}_{d-1}^k(F)^d$  such that

$$\boldsymbol{\tau}_{TF}(\underline{\mathbf{w}}_{T}) = \boldsymbol{S}_{T}^{k}\underline{\mathbf{w}}_{T} \cdot \boldsymbol{n}_{TF} + h_{F}^{-1} \left[ \left( (\underline{\mathbf{c}}_{T}^{k}\underline{\mathbf{w}}_{T})_{F} - \mathbf{w}_{F} \right) - \left( (\underline{\mathbf{c}}_{T}^{k}\underline{\mathbf{w}}_{T})_{T} - \mathbf{w}_{T} \right) \right]. \tag{16}$$

*Proof.* Let  $\underline{\widetilde{\mathbf{w}}}_T := \underline{\mathbf{c}}_T^k \underline{\mathbf{w}}_T$ . We have, using the definitions (13) of  $\underline{\mathbf{c}}_T^k$  and (11) of the bilinear form  $\widetilde{a}_T$ ,

$$\begin{split} a_T(\underline{\mathbf{w}}_T, \underline{\mathbf{v}}_T) &= \widetilde{a}_T(\underline{\widetilde{\mathbf{w}}}_T, \underline{\mathbf{v}}_T) - (2\mu) j_T(\underline{\mathbf{w}}_T, \underline{\mathbf{v}}_T) \\ &= 2\mu \left\{ (\nabla_\mathbf{s} \boldsymbol{p}_T^k \underline{\widetilde{\mathbf{w}}}_T, \nabla_\mathbf{s} \boldsymbol{p}_T^k \underline{\mathbf{v}}_T)_T + j_T(\underline{\widetilde{\mathbf{w}}}_T - \underline{\mathbf{w}}_T, \underline{\mathbf{v}}_T) \right\} + \lambda (D_T^k \underline{\widetilde{\mathbf{w}}}_T, D_T^k \underline{\mathbf{v}}_T)_T \\ &= (S_T^k \underline{\mathbf{w}}_T, \nabla_\mathbf{s} \mathbf{v}_T)_T + \sum_{F \in \mathcal{F}_T} (S_T^k \underline{\mathbf{w}}_T \cdot \boldsymbol{n}_{TF}, \mathbf{v}_F - \mathbf{v}_T)_F + (2\mu) j_T(\underline{\widetilde{\mathbf{w}}}_T - \underline{\mathbf{w}}_T, \underline{\mathbf{v}}_T), \end{split}$$

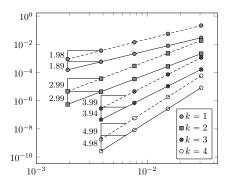
where we have concluded observing that, using (3) with  $\boldsymbol{w} = \boldsymbol{p}_T^k \underline{\tilde{\boldsymbol{w}}}_T$  and (4) with  $q = D_T^k \underline{\tilde{\boldsymbol{w}}}_T$ , and recalling the definition (14) of  $\boldsymbol{S}_T^k$ ,  $(2\mu \boldsymbol{\nabla}_s \boldsymbol{p}_T^k \underline{\tilde{\boldsymbol{w}}}_T, \boldsymbol{\nabla}_s \boldsymbol{p}_T^k \underline{\boldsymbol{v}}_T)_T + \lambda (D_T^k \underline{\tilde{\boldsymbol{w}}}_T, D_T^k \underline{\boldsymbol{v}}_T)_T = (\boldsymbol{S}_T^k \underline{\boldsymbol{w}}_T, \boldsymbol{\nabla}_s \boldsymbol{v}_T)_T + \sum_{F \in \mathcal{F}_T} (\boldsymbol{S}_T^k \underline{\boldsymbol{w}}_T \cdot \boldsymbol{n}_{TF}, \boldsymbol{v}_F - \boldsymbol{v}_T)_F$ . To obtain (15), it suffices to use the definition of  $j_T$ .

**Lemma 3** (Equilibrated interface tractions). Let  $\underline{\mathbf{u}}_h \in \underline{\mathbf{U}}_{h,0}^k$  denote the unique solution to (9). Then, for all  $F \in \mathcal{F}_h^i$  such that  $F \subset \partial T_1 \cap \partial T_2$ , the following holds:

$$\boldsymbol{\tau}_{T_1F}(\underline{\mathbf{u}}_{T_1}) + \boldsymbol{\tau}_{T_1F}(\underline{\mathbf{u}}_{T_2}) = \mathbf{0}. \tag{17}$$

Proof. Take as an ansatz collection of DOFs in (9)  $\underline{\mathbf{v}}_h = ((\mathbf{v}_T)_{T \in \mathcal{T}_h}, (\mathbf{v}_F)_{F \in \mathcal{F}_h}) \in \underline{\mathbf{U}}_{h,0}^k$  such that  $\mathbf{v}_F$  is arbitrary in  $\mathbb{P}_{d-1}^k(F)^d$ , whereas  $\mathbf{v}_{F'} \equiv \mathbf{0}$  for all  $F' \in \mathcal{F}_h \setminus \{F\}$  and  $\mathbf{v}_T \equiv \mathbf{0}$  for all  $T \in \mathcal{T}_h$ . Then, using (15) with  $\underline{\mathbf{w}}_T = \underline{\mathbf{u}}_T$  in (9), it is inferred that  $a_h(\underline{\mathbf{u}}_h, \underline{\mathbf{v}}_h) = (\boldsymbol{\tau}_{T_1F}(\underline{\mathbf{u}}_{T_1}) + \boldsymbol{\tau}_{T_2,F}(\underline{\mathbf{u}}_{T_2}), \mathbf{v}_F)_F = 0$ , which proves the desired result since  $\boldsymbol{\tau}_{T_1F}(\underline{\mathbf{u}}_{T_1}) + \boldsymbol{\tau}_{T_2F}(\underline{\mathbf{u}}_{T_2}) \in \mathbb{P}_{d-1}^k(F)^d$ .

To conclude, we show that the locally post-processed solution yields a new collection of DOFs that is an equally good approximation of the exact solution as is the discrete solution  $\underline{\mathbf{u}}_h$ . Consequently, the equilibrated face numerical tractions defined in (16) optimally converge to the exact tractions.



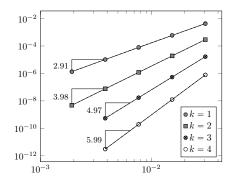


Figure 1: Convergence results in the energy-norm (left) and  $L^2$ -norm (right) for the solution to (9) (solid lines) and its post-processing based on  $\underline{\mathbf{c}}_T^k$  (dashed lines). The right panel shows that the post-processing has no sizable effect on element unknowns.

**Proposition 4** (Convergence for  $\underline{\mathbf{c}}_T^k \underline{\mathbf{u}}_T$ ). Using the notation of Theorem 1, the following holds:

$$\sum_{T \in \mathcal{T}_{k}} \|\underline{\mathbf{c}}_{T}^{k}\underline{\mathbf{u}}_{T} - \underline{\hat{\mathbf{u}}}_{T}\|_{a,T}^{2} \lesssim h^{2(k+1)} \left(\|\mathbf{u}\|_{H^{k+2}(\Omega)^{d}} + \lambda \|\nabla \cdot \mathbf{u}\|_{H^{k+1}(\Omega)}\right)^{2}. \tag{18}$$

*Proof.* Let  $T \in \mathcal{T}_h$ . Recalling (13), we have

$$\begin{split} \widetilde{a}_T(\underline{\mathbf{c}}_T^k\underline{\mathbf{u}}_T - \underline{\hat{\mathbf{u}}}_T, \underline{\mathbf{v}}_T) &= a_T(\underline{\mathbf{u}}_T, \underline{\mathbf{v}}_T) + (2\mu)j_T(\underline{\mathbf{u}}_T, \underline{\mathbf{v}}_T) - \widetilde{a}_T(\underline{\hat{\mathbf{u}}}_T, \underline{\mathbf{v}}_T) \\ &= a_T(\underline{\mathbf{u}}_T - \underline{\hat{\mathbf{u}}}_T, \underline{\mathbf{v}}_T) + (2\mu)s_T(\underline{\hat{\mathbf{u}}}_T, \underline{\mathbf{v}}_T) + (2\mu)j_T(\underline{\mathbf{u}}_T - \underline{\hat{\mathbf{u}}}_T, \underline{\mathbf{v}}_T). \end{split}$$

Hence, using the Cauchy–Schwarz inequality followed by the stability property (7) and the norm equivalence (12) for  $\underline{\mathbf{v}}_T$ ,

$$\begin{split} |\widetilde{a}_T(\mathbf{\underline{c}}_T^k\mathbf{\underline{u}}_T - \widehat{\mathbf{\underline{u}}}_T, \mathbf{\underline{v}}_T)| \leqslant \left\{ \|\mathbf{\underline{u}}_T - \widehat{\mathbf{\underline{u}}}_T\|_{a,T}^2 + (2\mu)s_T(\widehat{\mathbf{\underline{u}}}_T, \widehat{\mathbf{\underline{u}}}_T) + (2\mu)j_T(\mathbf{\underline{u}}_T - \widehat{\mathbf{\underline{u}}}_T, \mathbf{\underline{u}}_T - \widehat{\mathbf{\underline{u}}}_T) \right\}^{1/2} \|\mathbf{\underline{v}}_T\|_{\tilde{a},T} \\ \lesssim \left\{ \|\mathbf{\underline{u}}_T - \widehat{\mathbf{\underline{u}}}_T\|_{a,T}^2 + (2\mu)s_T(\widehat{\mathbf{\underline{u}}}_T, \widehat{\mathbf{\underline{u}}}_T) \right\}^{1/2} \|\mathbf{\underline{v}}_T\|_{\tilde{a},T}. \end{split}$$

Using (12) followed by the latter inequality, we infer that

$$\|\underline{\mathbf{c}}_T^k\underline{\mathbf{u}}_T - \widehat{\underline{\mathbf{u}}}_T\|_{a,T} \lesssim \|\underline{\mathbf{c}}_T^k\underline{\mathbf{u}}_T - \widehat{\underline{\mathbf{u}}}_T\|_{\widetilde{a},T} = \sup_{\underline{\mathbf{v}}_T \in \underline{\mathbf{U}}_T^k \backslash \{\mathbf{0}\}} \frac{\widetilde{a}_T(\underline{\mathbf{c}}_T^k\underline{\mathbf{u}}_T - \widehat{\underline{\mathbf{u}}}_T,\underline{\mathbf{v}}_T)}{\|\underline{\mathbf{v}}_T\|_{\widetilde{a},T}} \lesssim \left\{ \|\underline{\mathbf{u}}_T - \widehat{\underline{\mathbf{u}}}_T\|_{a,T}^2 + (2\mu)s_T(\widehat{\underline{\mathbf{u}}}_T,\widehat{\underline{\mathbf{u}}}_T) \right\}^{1/2}.$$

The estimate (18) then follows squaring the above inequality, summing over  $T \in \mathcal{T}_h$ , and using (10) and (8), respectively, to bound the terms in the right-hand side.

To assess the estimate (18), we have numerically solved the pure displacement problem with exact solution  $\mathbf{u} = \left(\sin(\pi x_1)\sin(\pi x_2) + \frac{1}{2}x_1,\cos(\pi x_1)\cos(\pi x_2) + \frac{1}{2}x_2\right)$  for  $\mu = \lambda = 1$  on a h-refined sequence of triangular meshes. The corresponding convergence results are presented in Figure 1. In the left panel, we compare the quantities on the left-hand side of estimates (10) and (18). Although the order of convergence is the same, the original solution  $\underline{\mathbf{u}}_h$  displays better accuracy in the energy-norm. This is essentially due to face unknowns, as confirmed in the right panel, where the square roots of the quantities  $\sum_{T \in \mathcal{T}_h} \|\mathbf{u}_T - \hat{\mathbf{u}}_T\|_T^2$  and  $\sum_{T \in \mathcal{T}_h} \|\mathbf{c}_T^k \underline{\mathbf{u}}_T - \hat{\mathbf{u}}_T\|_T^2$  (both of which are discrete  $L^2$ -norms of the error) are plotted.

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