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# PROPERTIES OF GAUSS DIGITIZED SETS AND DIGITAL SURFACE INTEGRATION

JACQUES-OLIVIER LACHAUD AND BORIS THIBERT

ABSTRACT. This paper presents new topological and geometrical properties of Gauss digitizations of Euclidean shapes, most of them holding in arbitrary dimension  $d$ . We focus on  $r$ -regular shapes sampled by Gauss digitization at gridstep  $h$ . The digitized boundary is shown to be close to the Euclidean boundary in the Hausdorff sense, the minimum distance  $\frac{\sqrt{d}}{2}h$  being achieved by the projection map  $\xi$  induced by the Euclidean distance. Although it is known that Gauss digitized boundaries may not be manifold when  $d \geq 3$ , we show that non-manifoldness may only occur in places where the normal vector is almost aligned with some digitization axis, and the limit angle decreases with  $h$ . We then have a closer look at the projection of the digitized boundary onto the continuous boundary by  $\xi$ . We show that the size of its non-injective part tends to zero with  $h$ . This leads us to study the classical digital surface integration scheme, which allocates a measure to each surface element that is proportional to the cosine of the angle between an estimated normal vector and the trivial surface element normal vector. We show that digital integration is convergent whenever the normal estimator is multigrid convergent, and we explicit the convergence speed. Since convergent estimators are now available in the literature, digital integration provides a convergent measure for digitized objects.

October 1, 2014

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## 1. INTRODUCTION

Understanding what are the properties of real objects that can be extracted from their digital representation is a crucial task in knowledge representation and processing. In most applications, a real object or a scene is known only through some discrete finite representation, generally a digital image produced by some complex system, involving acquisition, sampling, quantization, and processing. This process is often called *digitization* or *sampling* and is realized by devices like CCD or CMOS cameras, document scanners, CT or MRI scanners. Since the digitization process aims to be as faithful as possible to the real data, it is very natural to look at topological and geometrical properties that can be inferred from digital data for rather elementary digitization processes and classes of real Euclidean objects.

This paper focuses on several global and local topological and geometric properties that are preserved by Gauss digitization. Given a real (Euclidean) shape  $X \subset \mathbb{R}^d$  and a sampling gridstep  $h > 0$ , its *Gauss digitization* is defined as a subset of the  $d$ -dimension digital space  $\mathbb{Z}^d$ , such that  $D_h(X) := (\frac{1}{h} \cdot X) \cap \mathbb{Z}^d$ , where operator  $\cdot$  denotes the dilation by some scale. It is thus one of the simplest conceivable digitization scheme. We study here more specifically the *local* connections between the boundary  $\partial X$  of the Euclidean shape and the boundary  $\partial_h X$  of its digitization (as an union of  $d-1$ -dimensional cubic faces, see below). It is clear that one cannot expect that many properties of real shapes be preserved by digitization for arbitrary digitization step  $h$ , just by some combinatorial argument. However one can expect that, as the grid step

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gets finer ( $h$  tends toward 0), we can recover most of the properties of the real shape from its digitization. Indeed, the literature shows that topological properties may be preserved for fine enough digitization grids for specific class of shapes, at least in dimension 2. For geometrical properties, their “preservation” is rephrased in terms of accuracy of estimation. Thus, given some geometric estimator, the estimated quantity should tend towards the geometric quantity of the Euclidean shape as the digitization gridstep gets finer. The estimator is then said to be *multigrid convergence* with a speed depending on how the estimation error decreases toward zero. The objective of many works in the literature is to define geometric estimators and to prove their multigrid convergence. We review first previous works on topological and geometrical properties inferred from digital data before describing our contributions with more details.

Topological properties of digitizations. The inference of topological properties has been extensively studied especially in the 2D case, mainly with morphological tools. We may quote the seminal works of Pavlidis [33] and Serra [36] who established the first homeomorphism theorems for sufficiently smooth shapes *digitized* on a square or hexagonal grid with *Gauss digitization*, provided the grid step is fine enough. A key ingredient for topology preservation independently discovered in their works was the *R-regularity*, later called *par(R)-regularity*. These results were extended to several other digitization schemes (square subset, intersection, *v*-area) by Latecki *et al.* [16, 25]. Along the same lines, a global digitization scheme called Hausdorff discretization was proposed in [35, 41]. It was shown that connectivity is preserved by this scheme. Finally, Stalling and Köthe [39] achieves very general topology preservation theorems for arbitrary sampling grids, that applies not only to Gauss digitization but also to convolutions by a point spread function. It is worthy to note that their theorems are general enough to include most previously known homeomorphisms results [33, 36, 16, 25]. Extending previous results to non *R*-regular shapes appears quite challenging. Giraldo *et al.* showed that finite polyhedra can be digitized such that the homotopy type is preserved [15]. The more flexible *R*-stability property (a shape and its *R*-offset has same homotopy type) was proposed in [29]. This approach allows topological stability even for plane partitions.

Fewer works address the case of  $d$ -dimensional images, for  $d \geq 3$ . One underlying reason is that topology preservation cannot be achieved in general already for  $d = 3$ . It is indeed easy to construct smooth sets, but with bad digitization at some arbitrary small step  $h$ . For instance, Stalling and Köthe ([39], Theorem 3) exhibits a cylinder of radius  $R$ , the axis of which is aligned with the straight line  $x = y$  and contains the point  $(0, 0, \epsilon' - R)$ , where  $0 < \epsilon' \ll h$ . The cylinder extremities are smoothed as spheres. Even for small  $h$ , its Gauss digitization induces a non-manifold digitized boundary. Worse, this issue arises for all classical digitization schemes. However, they show that objects keep identical homotopy tree through Gauss digitization ([39], Theorem 1).

Several routes for solving the homeomorphism issue were proposed by Stalling *et al.* [40]. A first idea is to refine the digitized object on a twice finer grid by majority interpolation, and this leads to a manifold digital surfaces close to the real object boundary (Theorem 19). They also propose to reconstruct from the digitized object an approximate surface based either on a union of ball, a modification of a marching-cubes algorithm, or a smoothing of the latter surface. Homeomorphism is achieved in all cases for fine enough grids.

The projection map. Another path to handle topological or geometrical inference problems is a more functional approach: the distance function to a shape and the associated projection map. It is a key tool since it encodes information on the shape and it is Hausdorff stable, whatever the dimension. The distance function of a compact set  $K$  is defined on  $\mathbb{R}^d$  by  $d_K(x) := \min\{\|x - y\|, y \in K\}$ . We say that the reach of  $K$  is greater than  $R$  if its distance function  $d_K$  is differentiable on  $K^R \setminus K$ , where  $K^R$  is the  $R$ -offset of  $K$ . In that case, the map  $\xi : K^R \rightarrow K$

that associates to each  $x \in K^R$  its (unique) closest point on  $K$  is called the *projection map*. It is a useful tool because it allows to compare the compact set  $K$  with positive reach to another shape lying in its neighborhood.

Note that the  $R$ -offsets allow to recover stable topological (and geometrical) properties. If the shape  $K$  has positive reach and if a point cloud  $P$  is dense enough around  $K$ , then for some suitable values of  $R$ , the  $R$ -offsets of  $P$  are homotopy equivalent to  $K$  [5, 32]. This result has been extended for digitizations close to  $K$  in the Hausdorff sense. They are shown to be homotopy equivalent to  $K$ , for suitable values of digitization step size [1].

Global geometric properties of digitizations. Inferring geometric properties of Euclidean object from their digitization has a long history. Until recently, most works focused on global geometric properties. For instance, The area (in 2D) or volume (in 3D) may indeed be estimated just by counting the number of digital samples and this fact was known by Gauss and Dirichlet as reported for instance in [19]. Further results show that volumes and also moments may be estimated by appropriate counting with even superlinear convergence for smooth enough classes of shapes [17, 21].

It is harder to define length/perimeter estimators in 2D or area estimators in 3D with proven convergence. For length/perimeter, for specific classes of shapes, several approaches offer guarantees like segmentation into digital straight segments [21],  $\epsilon$ -sausage approach [19], and minimum length polygon [38]. A more local approach based on tangent estimation and integration leads also to multigrid convergence with speed  $O(h^{\frac{1}{3}})$  [23, 22]. Few results exist for 3D area estimation. Most approaches try to assign weights to local configurations in order to minimize the maximal error [27, 42], but such approaches cannot achieve multigrid convergence [18]. Polyhedrization with digital planes for area estimation [20] is an interesting extension of 2D methods, but no theoretical guarantees have been established.

Finally three methods offer (some) theoretical guarantees. Area estimation by integration of normals, first proposed in [26] and more formalized in [6], has the advantage of defining an elementary area measure, which in turn can provide the global area measure but may also be used for integration of other quantities. However their results rely on assumptions that are not satisfied by the Gauss digitization boundary. A second approach estimates the volume of an appropriate thickened version of the surface, and deduced the area [40]. Their algorithm is not applicable as is on data since it requires to loop over finer and finer digitizations of the continuous object. Besides it is in fact very similar to Steiner tube formula dating from 1840. A third approach relies on Cauchy-Crofton integral formula and estimates area by statistical intersection of the volume with lines [28]. It is important to note that all three methods do not provide an error bound. The speed of convergence of these estimators is thus unknown, even for specific classes of shapes.

Local geometric properties. It is often interesting to estimate more local geometric quantities like normal vector or tangent planes, curvatures or principal directions. Since accuracy is ambiguous at a given sampling, the definition of multigrid convergence is adapted to local geometric quantities (e.g., see [9]). Several estimators are multigrid convergent: (i) digital straight segment recognition defines parameter-free convergent estimators of normal/tangent in 2D [11, 23, 22], (ii) polynomial fitting induces convergent estimators of derivatives of any order in 2D [34], (iii) binomial convolution leads also to convergent estimators of derivatives in 2D [12, 13]; (iv) the recently introduced integral invariants define multigrid convergent estimators of normals, 2D curvature, mean curvature [7], and also 3D principal curvatures and principal directions [8].

Note that the distance function to a shape and its projection map also encode information on the normals and on the curvatures. If  $K$  is a shape with positive  $\mu$ -reach (a much less restrictive condition than positive reach), then the offset of point cloud approximating  $K$

provides estimation of the normals [2] and of the curvature measures [3, 4] of  $K$  at a given scale. Voronoi covariance measure [30] may also be adapted to digital data to define multigrid convergent normal estimators in arbitrary dimension [10].

Contributions. We establish both topological preservation and multigrid convergence results. After recalling useful notations and definitions in Section 2, Section 3 establishes elementary results on Gauss digitized sets. We connect in Lemma 1 two notions: the  $R$ -regularity of shapes known in digital geometry [16, 25, 33, 36] and the *reach* of compact sets known in geometric measure theory [14] and computational geometry. Such shapes have a good behaviour with respect to digitization. Then we establish that  $\partial X$  and  $\partial_h X$  are close to each other whatever the dimension (Theorem 1). This proximity is realized by the projection  $\xi$  of  $\partial_h X$  onto  $\partial X$  induced by the Euclidean distance.

We then address the homeomorphism problem between these two sets, which is caused by the possible non-manifoldness of the digitized boundary [39]. Although this problem is unavoidable starting from dimension 3, it is worthy to study where non-manifoldness arises and if it is likely to arise often. With this information, it is then easier to take them into account, for instance to correct the digital dataset [37]. In Section 4, we show local sufficient conditions which guarantee that the digitized boundary is a manifold at this location (Theorem 2). They indicate that both sets  $\partial X$  and  $\partial_h X$  are “almost” homeomorphic, and that the area of non-homeomorphic places reduces generally toward 0 as the gridstep  $h$  gets finer and is reduced to 0. Furthermore, only places of  $\partial X$  with a normal very close to some axis direction may induce a non-manifold place in  $\partial_h X$ . This fact is illustrated on Fig. 1 as parts painted in dark grey on digitized boundary. Hence our approach is very different from the one of Stalling *et al.* [40]. Instead of building a digitized surface different from the Gauss digitized boundary to get an homeomorphism, we characterize the rare places where the Gauss digitized boundary may not be a manifold.

Afterwards we establish in Section 5 several results related to the projection map between  $\partial X$  and  $\partial_h X$ . Even for smooth convex shapes, the projection map is not everywhere injective. However Theorem 3 shows that the size of the non-injective part on  $\partial X$  decreases linearly in  $h$ . Fig. 1 shows in light grey places where projection  $\xi$  might not be injective. Obviously, it includes zones in dark grey where the digitized boundary is not even a manifold.

Finally, using results from geometric measure theory, Section 6 shows the conditions under which digital integration on  $\partial_h X$  is multigrid convergent toward integration on  $\partial X$ , for an arbitrary integrable function from  $\mathbb{R}^d$  to  $\mathbb{R}$ . Given some digital normal estimator, digital integration is defined as proposed in [26, 6] by summation over digital  $d - 1$ -cells of the function value weighted by the inner product between trivial and estimated normal (see Definition 1). Theorem 4 demonstrates that digital integration is multigrid convergent toward usual integration as long as the normal estimator is multigrid convergent. The convergence speed is also fully explicit and is upper bounded on one hand by the convergence speed of the normal estimator and on the other hand by the gridstep  $h$ . Since multigrid convergent normal estimators exist in arbitrary dimension [23, 8, 10], our theorem proves that both local and global area estimation by digital integration is multigrid convergent, and it gives a well-defined measure on digitized boundary.

## 2. PRELIMINARY NOTIONS AND DEFINITIONS

As defined in the introduction, Gauss digitization is a regular sampling of the characteristic function of  $X$ , with a parameterized sampling density  $h$ . A digitized set is a subset of the digital space and is not a subset of  $X$ . However we wish to compare the *topological boundary* of  $X$ , denoted by  $\partial X$  with the boundary of its digitization. Digital sets are thus embedded into  $\mathbb{R}^d$  according to the grid step  $h$  as follows.

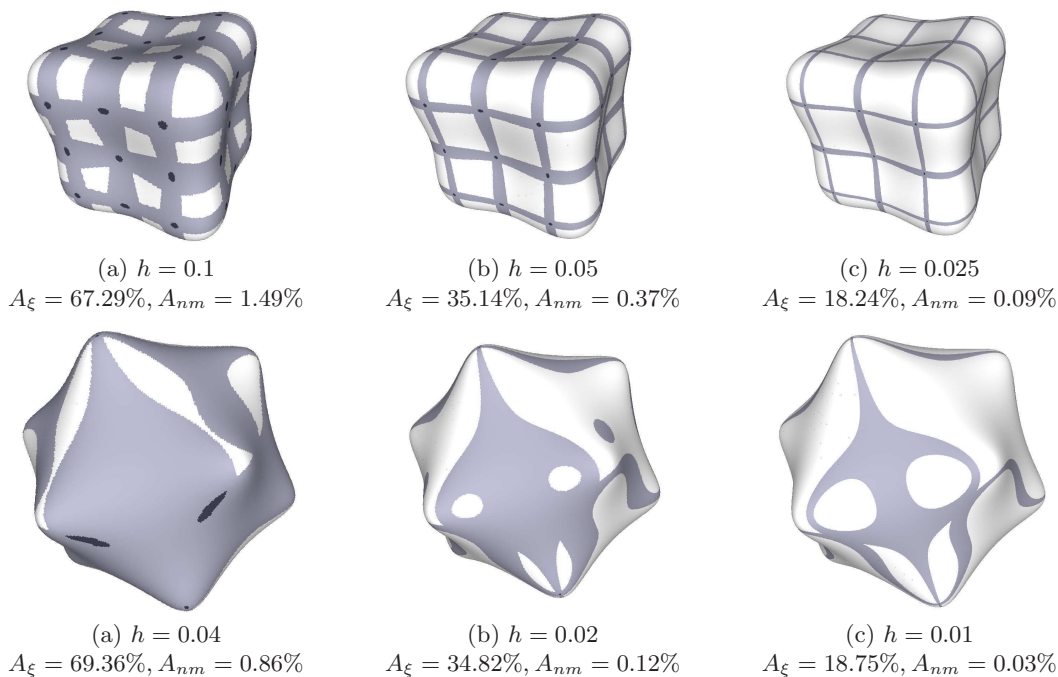


FIGURE 1. Illustration of Theorem 2 and Theorem 3 on several Gauss digitizations of two polynomial surfaces (top row displays a Goursat's smooth cube and bottom row displays Goursat's smooth icosahedron). Zones in dark grey indicates the surface parts where the Gauss digitization might be non manifold (Theorem 2); their relative area is denoted by  $A_{nm}$ . Zones in light grey (and dark grey) indicates the surface parts where projection  $\xi$  might not be an homeomorphism (Theorem 3); their relative area is denoted by  $A_{\xi}$ . Clearly, both zones tends to area zero as the gridstep gets finer and finer, while parts where digitization might not be manifold are much smaller than parts where  $\xi$  might not be homeomorphic.

A unit closed  $d$ -dimensional cube of  $\mathbb{R}^d$  centered on some  $\mathbf{z} \in \mathbb{Z}^d$  is denoted by  $Q_{\mathbf{z}}$ . Any  $h \cdot Q_{\mathbf{z}}$  is called an  $h$ -cube. The  $h$ -cube embedding of a digital set  $Z$  is naturally defined as  $\mathcal{Q}_h Z := \cup_{\mathbf{z} \in Z} h \cdot Q_{\mathbf{z}}$ . If  $Z$  is the digitization of  $X$  at step  $h$ , it can be seen that the topological boundary of  $\mathcal{Q}_h$  is a  $d-1$ -dimensional staircase surface approaching  $\partial X$ . This digitized boundary is called later on  $h$ -boundary of  $X$  and denoted by  $\partial_h X$ . It is thus defined as

$$(1) \quad \partial_h X := \partial \left( h \cdot \cup_{\mathbf{z} \in (\frac{1}{h} \cdot X) \cap \mathbb{Z}^d} Q_{\mathbf{z}} \right).$$

The  $h$ -boundary of  $X$  is the embedded digital surface naturally associated to  $\partial X$  at step  $h$ . We show in Theorem 1, Section 3.2, that  $\partial_h X$  gets closer to  $\partial X$  as  $h$  tends toward 0, whatever the dimension of the space.

Primal cubical grid at step  $h$ . For several proofs, we need to consider the space as a cubical complex. Therefore, we subdivide the space into  $h$ -cubes. We need to distinguish cubes, faces, edges and so on. This is why we assign coordinates in  $(\frac{1}{2}\mathbb{Z})^d$  to each cell of the space. To do so, we proceed in a standard manner by cartesian product. Let us associate to each  $z \in \frac{1}{2}\mathbb{Z}$  the set



$I_h(z)$ , such that for  $z \in \mathbb{Z}$ ,  $I_h(z) := ]h(-\frac{1}{2} + z); h(\frac{1}{2} + z)[$ , and  $I_h(z) := \{hz\}$  otherwise. Now, for arbitrary  $\mathbf{z} \in (\frac{1}{2}\mathbb{Z})^d$ , we set  $I_h(\mathbf{z}) := I_h(z_1) \times \dots \times I_h(z_d)$ , where  $z_i$  is the  $i$ -th coordinate of  $\mathbf{z}$ .

The set of sets  $\mathbb{F}_h := \cup_{\mathbf{z} \in (\frac{1}{2}\mathbb{Z})^d} I_h(\mathbf{z})$  trivially forms a partition of the Euclidean space  $\mathbb{R}^d$ , and is called the *primal cubical grid* at step  $h$ . Elements of  $\mathbb{F}_h$  are called *cells*. The grid  $\mathbb{F}_h$  is a cell complex of dimension  $d$ . The partial order relation  $\preceq$  is defined as  $c_1 \preceq c_2$  whenever  $c_1 \subset \overline{c_2}$ . The dimension of each cell  $c$  is the number of axes where the cell is open, and the set of  $k$ -dimensional cells is denoted by  $\mathbb{F}_h^k$ . By construction, there is exactly one  $I_h(\mathbf{z})$  equal to a cell  $c$  of  $\mathbb{F}_h$ : the vector  $\mathbf{z}$  forms the *digital coordinates* of the cell  $c$ . We also use the notation  $\dot{c}$  to designate the centroid of the cell  $c$ . It is easily checked that  $\mathbf{z} = h\dot{c}$ .

By construction, the  $h$ -boundary of  $X$  is decomposable as a pure subcomplex of  $\mathbb{F}_h$  of dimension  $d - 1$ . Any one of its  $d - 1$ -cell is bordered by two incident  $d$ -cells  $c, c'$  in  $\mathbb{F}_h^d$ . Their digital coordinates  $\mathbf{z}$  and  $\mathbf{z}'$  are such that one is in  $D_h X$  and the other not.

Dual cubical grid at step  $h$ . It is obvious that we could have obtained a shifted cellular grid by inverting the role of integer and half-integer numbers. Let us associate to each  $z \in \frac{1}{2}\mathbb{Z}$  the set  $\tilde{I}_h(z)$ , such that for  $z \in \mathbb{Z}$ ,  $\tilde{I}_h(z) := \{hz\}$ , and  $\tilde{I}_h(z) := ]h(-\frac{1}{2} + z); h(\frac{1}{2} + z)[$  otherwise. Similarly, we extend  $\tilde{I}_h$  to arbitrary  $\mathbf{z} \in (\frac{1}{2}\mathbb{Z})^d$ . The set of sets  $\tilde{\mathbb{F}}_h := \cup_{\mathbf{z} \in (\frac{1}{2}\mathbb{Z})^d} \tilde{I}_h(\mathbf{z})$  is then called the *dual cubical grid* at step  $h$ , whose elements are called *dual cells*. It is clearly a cell complex, with the same definitions of partial order  $\preceq$  and dimension. Digital coordinates and centroid are also defined similarly.

The sets  $\mathbb{F}_h^k$  and  $\tilde{\mathbb{F}}_h^{d-k}$  have a natural duality isomorphism induced between cells and dual cells with identical coordinates. If we denote the duality operator on cells with the  $\tilde{\cdot}$  operator, we clearly have for  $c_1, c_2 \in \mathbb{F}_h$ ,  $c_1 \preceq c_2 \Leftrightarrow \tilde{c}_2 \preceq \tilde{c}_1$ .

Medial axis, projection, and reach. For a compact set  $K \subset \mathbb{R}^d$ , let  $d_K$  be the distance function to  $K$ , namely for every  $x \in \mathbb{R}^d$   $d_K(x) = \min_{y \in K} \|x - y\|$ . The *medial axis*  $\text{MA}(K)$  of  $K$  is the subset of  $\mathbb{R}^d$  whose points have at least two closest points on  $K$ . Any point  $x$  of  $\mathbb{R}^d \setminus \text{MA}(K)$  has only one closest point on  $K$  which we denote by  $\xi_K(x)$ . The mapping  $\xi_K$  is called *projection* and is defined for almost every point of  $\mathbb{R}^d$ . The *reach* of  $K$ , denoted by  $\text{reach}(K)$ , is the infimum of  $\{d_K(y), y \in \text{MA}(K)\}$  [14]. Note that when  $K$  is a manifold of class  $C^2$ , its reach is smaller than the inverse of the maximal curvature. The projection map  $\xi$  is continuous on  $\mathbb{R}^d \setminus \text{MA}(K)$ , and more precisely

**Proposition 1.** *Let  $K$  be a compact set with positive reach. Then for every  $p \in K$  and every  $\alpha \in [0, 1[$ , the projection  $\xi_K$  is  $\frac{1}{1-\alpha}$  Lipschitz in the ball centered on  $p$  with radius  $\text{areach}(K)$ .*

In the particular case where  $K = \partial X$  is the boundary of a compact domain of  $\mathbb{R}^d$ , we have the following equivalence: the reach of  $\partial X$  is strictly positive iff  $\partial X$  is an hyper surface of class  $C^{1,1}$ , which means that it is of class  $C^1$  and that the normal is Lipschitz. In particular, by Rademacher Theorem, this implies that  $\partial X$  is of class  $C^2$  almost everywhere. In the following, we will denote by  $\xi = \xi_{\partial X}$  the projection map on  $\partial X$ .

$R$ -regularity or  $\text{par}(R)$ -regularity. The  $R$ -regularity property was independently proposed by Pavlidis [33] and Serra [36]. Gross, Conrad and Latecki introduced the similar definition of *par(R)-regularity* in [16], that is the shapes whose normal vectors do not intersect each other, when they are embedded as segments of length  $2R$ . We prefer here to present the definition given in [25] with inside and outside osculating balls. A closed ball  $\text{iob}(x, R)$  of radius  $R$  is an *inside osculating ball* of radius  $R$  to  $\partial X$  at point  $x \in \partial X$  if  $\partial X \cap \partial \text{iob}(x, R) = \{x\}$  and  $\text{iob}(x, R) \subseteq X^\circ \cup \{x\}$ . A closed ball  $\text{oob}(x, R)$  of radius  $R$  is an *outside osculating ball* of radius  $R$  to  $\partial X$  at point  $x \in \partial X$  if  $\partial X \cap \partial \text{oob}(x, R) = \{x\}$  and  $\text{oob}(x, R) \subseteq (\mathbb{R}^d \setminus X) \cup \{x\}$ . A set  $X$  is then *par(R, +)-regular* if there exists an outside osculating ball of radius  $R$  at each  $x \in \partial X$ . A set  $X$  is *par(R, -)-regular* if there exists an inside osculating ball of radius  $R$  at each  $x \in \partial X$ .

The  $\text{par}(R)$ -regularity is the conjunction of these two properties. This definition implies the other definition.

### 3. FIRST PROPERTIES OF THE BOUNDARY OF GAUSS DIGITIZED SETS

In this section, we show that the notion of reach, which is classical in geometric measure theory, and the notion of  $\text{par}(R)$ -regularity, which is known in digital geometry, are related (Lemma 1). We then show that the boundary of  $X$  is close to its  $h$ -boundary in the Hausdorff sense, and we give tight bounds on the distance (Theorem 1) for arbitrary dimensions. Hence, digitized surfaces tends to the original surface in the Hausdorff sense. Furthermore, the closest point is given by the projection map.

**3.1. About  $R$ -regularity and positive reach.** In the case where  $X$  is a  $d$ -dimensional object, the reach of  $\partial X$  and the  $R$ -regularity of  $X$  are related as follows.

**Lemma 1.** *Let  $X$  be a  $d$ -dimensional compact domain of  $\mathbb{R}^d$ . Then*

$$\text{reach}(\partial X) \geq R \iff \forall R' < R \quad X \text{ is } \text{par}(R')\text{-regular.}$$

*Proof.* Suppose that the reach of  $\partial X$  is strictly less than  $R$ . Thus there exists a point  $x$  that has two closest points  $y_1$  and  $y_2$  on  $\partial X$  and such that  $d(x, \partial X) = R' < R$ . For simplicity, we suppose that  $x \in X$ . (If  $x$  is outside  $X$ , the proof works similarly.) Let  $R''$  be such that  $R' < R'' < R$ . We are going to show that there does not exist any inside osculating ball at  $x$  of radius  $R''$ .

The interior of the closed ball  $B_x(R')$  of center  $x$  and of radius  $R' = \|x - y_1\| = \|x - y_2\|$  does not intersect  $\partial X$  and this ball intersects  $\partial X$  in at least the two points  $y_1$  and  $y_2$ . Then, the ball  $B_{\tilde{x}}(R'')$  of center  $\tilde{x} = y_1 + R''(x - y_1)/\|x - y_1\|$  and of radius  $R''$  contains the point  $y_2$  in its interior, thus cannot be an inside osculating ball. Consider now any other ball  $B_{\bar{x}}(R'')$  of radius  $R''$  whose center  $\bar{x}$  is not on the line  $(y_1, x)$  and such that  $y_1 \in \partial B_{\bar{x}}(R'')$ . Since  $X$  is  $\text{par}(R'')$ -regular, there exists an outside osculating ball  $B_{x'}(R'')$  whose center  $x'$  is aligned with  $y_1$  and  $x$  (since the two balls  $B_x(R'')$  and  $B_{x'}(R'')$  are tangent). Therefore the interior of the two balls  $B_{\bar{x}}(R'')$  and  $B_{x'}(R'')$  intersect, thus  $B_{\bar{x}}(R'')$  cannot be an interior ball (since  $B_{x'}(R'')$  is an outside ball). This contradicts the fact that  $X$  is  $\text{par}(R'')$ -regular.

Let us show the reverse. Since  $\text{reach}(\partial X) \geq R$ , we know that  $\partial X$  is a  $(d - 1)$ -manifold of class  $C^1$ . Let  $y \in \partial X$ . There exists a unit normal  $n_y$  to  $\partial X$  at  $y$ . Furthermore, for any  $R' < R$ , the point  $y + n_y R'$  is at a distance  $R'$  from  $\partial X$ . Hence the ball  $B_{y+n_y R'}(R')$  only intersects  $\partial X$  at the point  $y$ . Similarly, the ball  $B_{y-n_y R'}(R')$  also only intersects  $\partial X$  at the point  $y$ , which implies that  $X$  is  $\text{par}(R')$ -regular.  $\square$

**Remark 1.** *If  $X$  is a  $d$ -dimensional compact domain of  $\mathbb{R}^d$  whose boundary  $\partial X$  has a reach greater than  $R$ , then for  $R' < R$ , any point  $x \in \partial X$  has an inside osculating ball of radius  $R'$  and an outside osculating ball of radius  $R'$ .*

**3.2. Hausdorff distance between  $\partial X$  and its digital counterpart.** A 2D version of this theorem is given in [22], Lemma B.9. The theorem below is valid for arbitrary dimensions. For  $x \in \partial X$ , we denote by  $n(x, l)$  the segment of length  $2l$ , centered on  $x$  and aligned with the normal vector at  $x$ .

**Theorem 1.** *Let  $X$  be a compact domain of  $\mathbb{R}^d$  such that the reach of  $\partial X$  is greater than  $R$ . Then, for any digitization step  $0 < h < 2R/\sqrt{d}$ , the Hausdorff distance between sets  $\partial X$  and*



$\partial_h X$  is less than  $\sqrt{d}h/2$ . More precisely:

$$(2) \quad \forall x \in \partial X, \exists y \in \partial_h X, \|x - y\| \leq \frac{\sqrt{d}}{2}h \text{ and } y \in n(x, \frac{\sqrt{d}}{2}h),$$

$$(3) \quad \forall y \in \partial_h X, \exists x \in \partial X, \|x - y\| \leq \frac{\sqrt{d}}{2}h \text{ and } y \in n(x, \frac{\sqrt{d}}{2}h).$$

Remark that this bound is tight. The closest point on  $\partial X$  to a point  $y \in \partial_h X$  is its projection  $\xi(y)$ .

*Proof.* We prove first (2). Let  $x \in \partial X$ . Since  $\partial X$  has reach  $R$ , there is an inside osculating ball of radius  $\frac{\sqrt{d}}{2}h$  at  $x$  (from Remark 1 and  $h < \frac{2R}{\sqrt{d}}$ ). There is also an outside osculating ball of same radius at  $x$ . Let us denote by  $c_i$  and  $c_e$  their respective centers. Point  $c_i$  (resp.  $c_e$ ) belongs to at least one  $h$ -cube of center  $p_i$  (resp.  $p_e$ ), i.e. some  $h \cdot Q_z$ . Since  $p_i$  is at a distance less than or equal to  $\frac{\sqrt{d}}{2}h$  from  $c_i$  (half-diameter of  $h$ -cube), point  $p_i$  belongs to the inside osculating ball at  $x$  and is thus a point inside  $X$ . Similarly point  $p_e$  belongs to the complementary set of  $X$ .

The straight segment  $[c_i c_e]$  is by definition the segment  $n(x, \frac{\sqrt{d}}{2}h)$ . We show by contradiction that this segment intersects  $\partial_h X$ . Let  $\mathcal{D}$  be the subset of  $h$ -cubes that intersect  $[c_i c_e]$ . We already know that  $\mathcal{D}$  contains at least two  $h$ -cubes, one of center  $p_i$  that is inside  $X^\circ$ , one of center  $p_e$  that is outside  $X$ . By connectedness of segment  $[c_i c_e]$ , there is a covering sequence  $(P_j)_{j=0..l}$  of  $h$ -cubes included in  $\mathcal{D}$  so that: (i)  $P_0$  has center  $p_i$ , (ii)  $P_l$  has center  $p_e$ , (iii)  $\forall 0 \leq j < l, P_j \cap P_{j+1} \neq \emptyset$ . Since  $h$ -cubes are closed, it is easy to derive from  $(P_i)$  an enriched covering sequence  $(P'_j)_{j=0..l'}$  of same extremities such that any two consecutive  $h$ -cubes have a  $d-1$ -dimensional intersection. Since  $P'_0$  has center inside  $X$  and  $P'_{l'}$  has center outside  $X$ , there is an index  $k$  so that  $P'_k$  has center inside  $X$  and  $P'_{k+1}$  has center outside  $X$ . By definition,  $P'_k \cap P'_{k+1} \subset \partial_h X$ . Now,  $[c_i c_e]$  intersects both  $P'_k$  and  $P'_{k+1}$  and, by convexity, their intersection. Let us denote by  $y$  this intersection. We have  $y \in P'_k \cap P'_{k+1} \subset \partial_h X$ . Since  $y \in [c_i c_e] = n(x, \frac{\sqrt{d}}{2}h)$ ,  $y$  is at distance to  $x$  less than  $\frac{\sqrt{d}}{2}h$ .

We prove now (3). Let  $y \in \partial_h X$ . By the definition of  $h$ -boundary (cf. (1)), there must exist two  $h$ -cubes of center  $p_1$  and  $p_2$  such that  $p_1 \in X$  and  $p_2 \notin X$  and they share a face (i.e.  $\|p_1 - p_2\|_1 = h$ ). The closed straight segment  $[p_1 p_2]$  thus intersects  $\partial X$  at least once, say at  $x'$ . By Pythagora's theorem, this point is at a distance less than  $\frac{\sqrt{d}}{2}h$  from  $y$ . Since this distance is smaller than the reach of  $\partial X$ , there is a unique point  $x$  onto  $\partial X$  that is closest to  $y$ . This implies that  $\|y - x\| \leq \|y - x'\| \leq \frac{\sqrt{d}}{2}h$ . Furthermore, since  $\partial X$  is of class  $C^1$ , the point  $y$  belongs to the line-segment normal to  $\partial X$  at  $x$ . Putting these two facts together gives  $y \in n(x, \frac{\sqrt{d}}{2}h)$ .  $\square$

#### 4. MANIFOLDNESS OF THE BOUNDARY OF GAUSS DIGITIZED SETS

In the whole section, the set  $X$  is a compact domain of  $\mathbb{R}^d$ , with  $R := \text{reach}(\partial X)$ . Hence,  $X$  is  $\text{par}(R')$ -regular for any  $0 < R' < R$  (Lemma 1). Although Theorem 1 states that the  $h$ -boundary of  $X$  tends to the boundary of  $X$  in the Hausdorff sense, starting from  $d = 3$  and as said in the introduction, the  $h$ -boundary of  $X$  may however not be a manifold. Focusing on  $d = 3$ , we thus exhibit local sufficient conditions which guarantee that the  $h$ -boundary is locally a 2-manifold (see Theorem 2 below). These conditions indicates that only places of  $\partial X$  with a normal very close to some axis direction may induce a non-manifold place in the  $h$ -boundary (dark grey zones in Fig. 1). Even better, if the shape is not flat at these places, these zones tend to area zero with finer digitization gridsteps.

**Theorem 2** (Manifoldness sufficient condition). *Let  $X$  be some compact domain of  $\mathbb{R}^3$ , with  $R := \text{reach}(\partial X)$  and  $h < 0.178R$ . Let  $y$  be a point of  $\partial_h X$ .*

- i) If  $y$  does not belong to the closure of some 1-cell  $s$  of  $\partial_h X$  that intersect  $\partial X$ , then  $\partial_h X$  is homeomorphic to a 2-disk around  $y$ .
- ii) If  $y$  belongs to the closure of some 1-cell  $s$  of  $\partial_h X$  such that  $\partial X \cap s$  contains a point  $P$  and if the angle  $\alpha_y$  between  $s$  and the normal to  $\partial X$  at  $P$  satisfies  $\alpha_y \geq 1.20h/R$ , then  $\partial_h X$  is homeomorphic to a 2-disk around  $y$ .

The proof relies on the determination of necessary conditions for the presence of *crossed* configurations in the digitized set  $D_h(X)$ . A digital set without crossed configuration has the property to be *well-composed*. And a well-composed set has a boundary that is a 2-manifold. The following subsections detail the steps of the proof of Theorem 2.

**4.1. Terminology.** Let  $1_X$  be the indicator function of  $X$ . Hence, for any  $\mathbf{z} \in \mathbb{Z}^3$ ,  $\mathbf{z} \in D_h(X) \Leftrightarrow 1_X(h\mathbf{z}) = 1$ . Any dual 3-cell  $\tilde{v}$  of  $\tilde{\mathbb{F}}_h^3$  is a cube of side  $h$  and whose eight vertices  $(\tilde{v}_i)_{0 \leq i \leq 7}$  are points of  $(h\mathbb{Z})^3$ , numbered according to the lexicographic ordering of their  $z, y, x$  coordinates. The 8-configuration of  $X$  at  $\tilde{v}$  is the 8-tuple  $1_X(\tilde{v}) := (1_X(\tilde{v}_0), \dots, 1_X(\tilde{v}_7))$ . Let  $\tilde{s}$  be a dual 2-cell that is a face of  $\tilde{v}$ . It is a square of side  $h$  whose four vertices  $\tilde{s}_0, \tilde{s}_1, \tilde{s}_2, \tilde{s}_3$  are points of  $(h\mathbb{Z})^3$ , numbered counterclockwise when turning around  $s$  from above. They form a subset of  $(\tilde{v}_i)_{0 \leq i \leq 7}$ . The 4-configuration of  $X$  at  $\tilde{s}$  is the 4-tuple  $1_X(\tilde{s}) := (1_X(\tilde{s}_0), 1_X(\tilde{s}_1), 1_X(\tilde{s}_2), 1_X(\tilde{s}_3))$ .

A *crossed 8-configuration* is any rotation or complementation of  $(1, 0, 0, 0, 0, 0, 0, 1)$  (there are 8 such configurations). A crossed 8-configuration at a dual 3-cell  $\tilde{v}$  induce a non-manifold vertex in the  $h$ -boundary of  $X$ , precisely at the primal 0-cell  $v$ . A *crossed 4-configuration* is either the 4-configuration  $(1, 0, 1, 0)$  or the 4-configuration  $(0, 1, 0, 1)$ . It is obvious that a crossed 4-configuration at a dual 2-cell  $\tilde{s}$  induce a non-manifold edge in the  $h$ -boundary of  $X$ , precisely at the primal 1-cell  $s$ . We recall (and adapt with our notations) Proposition 2.1 of [24].

**Proposition 2** ([24]). *The  $h$ -boundary of  $X$  is a 2-dimensional manifold if and only if  $X$  has no crossed configurations in any dual 2-cell or 3-cell of  $\tilde{\mathbb{F}}_h$ . (In this case,  $D_h X$  is called a well-composed picture.)*

Non-manifoldness is thus determined by the presence of crossed configurations. We will thus exhibit sufficient conditions that prevent them to appear.

**4.2. Relations between crossed configurations and grid step.** We study the presence of crossed configurations depending on whether the boundary  $\partial X$  intersects or not cells of the cubical grid sampled at step  $h$ . The first lemma is straightforward.

**Lemma 2.** *If  $\partial X$  does not intersect a dual 2-cell  $\tilde{s}$  of  $\tilde{\mathbb{F}}_h^2$ , then the 4-configuration of  $X$  at  $\tilde{s}$  is not crossed.*

*Proof.* Then  $\tilde{s} \subset \mathbb{R}^3 \setminus \partial X = X^\circ \cup (\mathbb{R}^3 \setminus X)$ . Since  $\tilde{s}$  is connected while the previous union is disjoint, we have two cases, either  $\tilde{s} \subset X^\circ$  and the 4-configuration is  $(1, 1, 1, 1)$ , or  $\tilde{s} \subset \mathbb{R}^3 \setminus X$  and the 4-configuration is  $(0, 0, 0, 0)$ .  $\square$

The second case tackled below is more involved. The idea is to look at how inner or outer osculating balls contains vertices of  $s$  or  $\tilde{s}$ . It appears that crossed 4-configurations cannot arise when  $h$  is small enough.

**Lemma 3.** *Let  $h \leq 0.178R$ . If  $\partial X$  intersects a dual 2-cell  $\tilde{s}$  of  $\tilde{\mathbb{F}}_h^2$  but does not intersect the corresponding primal 1-cell  $s$ , then the 4-configuration of  $X$  at  $\tilde{s}$  is not crossed.*

*Proof.* This lemma is illustrated on Fig. 2. We may assume that at least one vertex of  $\tilde{s}$  is inside  $X$  while the primal 1-cell  $s$  (a segment of length  $h$  whose extremities are denoted by  $s_0$

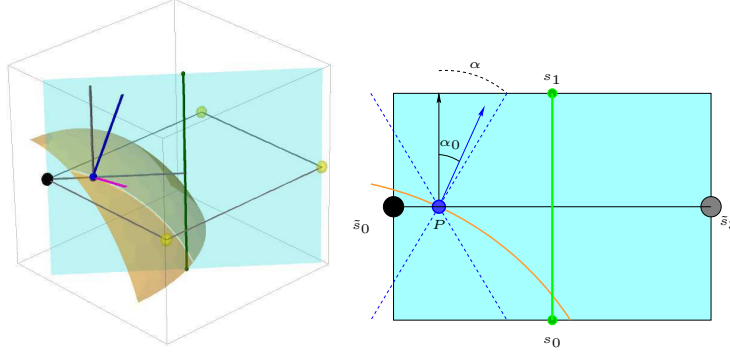


FIGURE 2. Illustration of Lemma 3. **Left.** The point  $\tilde{s}_0$  in black belongs to  $X^\circ$  while the segment  $s$  in green lies in  $\mathbb{R}^3 \setminus X$ . Point  $P$  in blue belongs to  $\partial X$  and its normal vector is also in blue. The angle between the normal at  $P$  and the axis of  $s$  is denoted by  $\alpha$ . The inside osculating ball of radius  $R$  at  $P$  is drawn in orange. **Right.** In this cross-section view (plane containing  $\tilde{s}_0$  and  $s$ ), the projection of the normal at  $P$  makes an angle  $\alpha_0 \leq \alpha$  with the the segment  $s$ .

and  $s_1$ ) lies outside  $X$ . If this is not the case, it suffices to pick one vertex of  $\tilde{s}$  that lies outside  $X$  while the primal 1-cell lies inside  $X^\circ$ , the reasoning being symmetrical. Without loss of generality, let  $\tilde{s}_0$  be this vertex in  $X^\circ$ , and let  $Q$  be the center of  $\tilde{s}_0\tilde{s}_2$ . The segment  $[\tilde{s}_0Q]$  is a connected set that joins a point in  $X$  to a point in  $\mathbb{R}^3 \setminus X$  (since  $Q \in s$ ). Hence, there exists a point  $P \in [\tilde{s}_0Q] \cap \partial X$ . There is thus an inside osculating ball  $B_{in}$  and an outside osculating ball  $B_{out}$  of radius  $R$  at  $P$ . Let  $\alpha$  be the angle between the normal  $\vec{n}$  to  $\partial X$  at  $P$  and the segment  $s$  (oriented in the same direction). Let  $\vec{\tilde{n}}$  be the projection of  $\vec{n}$  onto the plane  $\tilde{\Pi}$  supporting  $\tilde{s}$ . The angle between  $\vec{\tilde{n}}$  and the oriented segment  $\tilde{s}_0\tilde{s}_2$  is denoted by  $\beta$ . The angle  $\alpha$  can be taken in  $[0, \frac{\pi}{2}]$ , while  $\beta$  can be taken in  $[0, \pi]$  (negative  $\beta$  implies a reasoning on  $\tilde{s}_3$  instead of  $\tilde{s}_1$ ). In the following, the frame is centered on  $Q$ , with  $x$ -axis aligned with  $[\tilde{s}_0\tilde{s}_2]$ ,  $z$ -axis aligned with segment  $s$ ,  $y$ -axis aligned with  $[\tilde{s}_1\tilde{s}_3]$ .

The idea of the proof is that, since the inside osculating ball  $B_{in}$  at  $P$  does not touch  $s$ , the angle  $\alpha$  may not be too small, which in turn prevents crossed configurations to occur. Indeed, this situation is depicted on Fig. 2. Setting the coordinates of  $P$  to be  $(-\epsilon, 0, 0)$ , the center  $C_{in}$  of  $B_{in}$  has coordinates  $(\epsilon - R \cos \beta \sin \alpha, -R \sin \beta \sin \alpha, -R \cos \alpha)$ . The vertex  $s_0$  has coordinates  $(0, 0, -\frac{h}{2})$ . Since  $s_0 \notin B_{in}$ , we have

$$\begin{aligned}
 R^2 \leq \|C_{in}s_0\|^2 &\Leftrightarrow hR \cos \alpha - 2\epsilon R \cos \beta \sin \alpha \leq \frac{h^2}{4} + \epsilon^2 \\
 &\Rightarrow R(\cos \alpha - \sqrt{2} \cos \beta \sin \alpha) < \frac{3h}{4} \quad (\text{since } \epsilon < \frac{\sqrt{2}}{2}h \text{ and } h > 0) \\
 (4) \quad &\Rightarrow \frac{\sqrt{2}}{2} \left(1 - \frac{3h}{4R}\right) < \tan \alpha \quad (\text{since } \cos \beta \in [0, 1])
 \end{aligned}$$

So if  $h < cR$ , where  $c < 4/3$ , then the angle  $\alpha$  is lower bounded above 0.

To prove that the configuration at  $\tilde{s}$  is not crossed, it is sufficient to prove either that  $\tilde{s}_1 \in B_{in}$  or that  $\tilde{s}_2 \in B_{out}$ . Considering that  $\tilde{s}_1 = (0, -\frac{\sqrt{2}}{2}h, 0)$  and  $\tilde{s}_2 = (\frac{\sqrt{2}}{2}h, 0, 0)$ , we derive

in a similar manner the following relations:

$$\begin{aligned}
 \tilde{s}_1 \in B_{in} &\Leftrightarrow \|C_{in}\tilde{s}_1\|^2 < R^2 \Leftrightarrow \frac{h}{R \sin \alpha} < (\sqrt{2} \sin \beta - 2\frac{\epsilon}{h} \cos \beta) \\
 (5) \quad &\Leftrightarrow \frac{h}{R \sin \alpha} < \sqrt{2} \sin \beta - \sqrt{2} \cos \beta. \quad (\text{since } \epsilon < h/\sqrt{2})
 \end{aligned}$$

$$\begin{aligned}
 \tilde{s}_2 \in B_{out} &\Leftrightarrow \|C_{out}\tilde{s}_2\|^2 < R^2 \Leftrightarrow \frac{h}{R \sin \alpha} < (\frac{\sqrt{2}}{2} \cos \beta + \frac{\epsilon}{h} \cos \beta) \\
 (6) \quad &\Leftrightarrow \frac{h}{R \sin \alpha} < \frac{\sqrt{2}}{2} \cos \beta. \quad (\text{since } 0 \leq \epsilon)
 \end{aligned}$$

It is thus enough for  $h/(R \sin \alpha)$  to be lower than the maximum of both bounds  $a$  and  $b$  of (5) and (6). It is easier to compute the bound  $\frac{\sqrt{2}}{2} \sqrt{a^2 + b^2}$ , which is lower than  $\max(a, b)$ . It follows easily

$$(7) \quad \tilde{s}_1 \in B_{in} \text{ or } \tilde{s}_2 \in B_{out} \Leftrightarrow \frac{h}{R \sin \alpha} < \frac{\sqrt{2}}{2} \sqrt{2 + \frac{1}{2} \cos^2 \beta - 4 \sin \beta \cos \beta}.$$

Minimum is achieved for  $\beta = \tan^{-1} \left( \frac{1+\sqrt{65}}{8} \right)$ . The term on the right evaluate to  $\approx 0.34237$ . It suffices then to find the best constant  $c$  for  $h < cR$  for which angle  $\alpha$  is maximal in both (4) and (7). Numerical approximation gives 0.178.  $\square$   $\square$

We turn ourselves to the last case, where we show that the direction of the normal to  $\partial X$  plays a role in the manifoldness of its digitized counterpart.

**Lemma 4.** *Assume  $\partial X$  intersects a primal 1-cell  $s$  of  $\mathbb{F}_h^1$  at some point  $P$ . Let  $\alpha$  be the angle between the normal  $\vec{n}$  at  $P$  and the vector  $\vec{u}$  aligned with direction  $s$ . Then the 4-configuration of  $X$  at  $\tilde{s}$  is not crossed whenever  $1.260 \frac{h}{R} < \alpha$ .*

*Proof.* The idea is to measure the distance between vertices  $\tilde{s}_i$  (for  $i \in \{0, 1, 2, 3\}$ ) and the center of the inside (resp. outside) osculating ball at  $P$ . If this distance is smaller than  $R$  then we know that the value of  $1_X(\tilde{s}_i)$  is 1 (resp. 0). Indices  $i$  are taken modulo 4. The distance of  $P$  to  $\tilde{s}$  is denoted by  $\epsilon$ . Without loss of generality, the angle  $\alpha$  is taken in  $[0, \frac{\pi}{2}]$ . and the distance  $\epsilon$  is non negative. Otherwise, a symmetric reasoning can be applied with the outside osculating ball. Let  $\Pi_i$  be the plane containing both point  $\tilde{s}_i$  and segment  $s$ . The frame is centered on  $P$  with  $x$ -axis directed as  $[\tilde{s}_i \tilde{s}_{i+2}]$  and with  $z$ -axis directed as  $s$ , and oriented such that  $\tilde{s}_i$  has non positive  $z$ -coordinate. As in the proof of the previous lemma, let  $\vec{n}$  be the projection of the outer normal at  $P$  onto the plane  $\tilde{\Pi}$  supporting  $\tilde{s}$ . The angle between  $\vec{n}$  and the oriented segment  $[\tilde{s}_i \tilde{s}_{i+2}]$  is denoted by  $\beta_i$ .

Since  $\alpha$  and  $\beta_i$  represents the latitude and longitude of vector  $\vec{n}$ , the center  $C_{in}$  of the inside osculating ball has coordinates  $-R(\sin \alpha \cos \beta_i, \sin \alpha \sin \beta_i, \cos \alpha)$ . Furthermore, point  $\tilde{s}_i$  has coordinates  $(-\frac{\sqrt{2}}{2}h, 0, -\epsilon)$ . We deduce

$$\begin{aligned}
 1_X(\tilde{s}_i) = 1 &\Leftrightarrow \|C_{in}\tilde{s}_i\|^2 < R^2 && \text{(Inside osculating ball is in } X^\circ) \\
 &\Leftrightarrow \frac{h^2}{2} + \epsilon^2 < \sqrt{2}Rh \sin \alpha \cos \beta_i + 2\epsilon R \cos \alpha \\
 (8) \quad &\Leftrightarrow \frac{3}{4} \frac{h}{R} < \sqrt{2} \sin \alpha \cos \beta_i + 2\frac{\epsilon}{h} \cos \alpha. && (\text{since } |\epsilon| < \frac{h}{2})
 \end{aligned}$$

When angle  $\beta_i \in [-\frac{\pi}{4}, \frac{\pi}{4}]$ , (8) gives

$$(9) \quad \begin{aligned} 1_X(\tilde{s}_i) = 1 &\Leftrightarrow \frac{3}{4} \frac{h}{R} < \sin \alpha && (\text{since } \cos \beta_i \geq \frac{\sqrt{2}}{2} \text{ and } \epsilon > 0) \\ &\Leftrightarrow 1.179 \frac{h}{R} < \alpha && (\text{since } \frac{\alpha}{\pi/2} \leq \sin \alpha \text{ and } \frac{3\pi}{8} \approx 1.1781) \end{aligned}$$

□

Clearly there is at least one  $\beta_j \in [-\frac{\pi}{4}, \frac{\pi}{4}]$ . Hence  $1_X(\tilde{s}_j) = 1$  for  $1.179 \frac{h}{R} < \alpha$ . We prove either that the opposite vertex to  $\tilde{s}_j$  on  $\tilde{s}$  is outside  $X$ , i.e.  $1_X(\tilde{s}_{j+2}) = 0$ , or that one of the neighboring vertex of  $\tilde{s}_j$  is inside  $X$ , i.e.  $1_X(\tilde{s}_{j+1}) = 1$  or  $1_X(\tilde{s}_{j-1}) = 1$ . We prove the case  $\beta_j \in [0, \frac{\pi}{4}]$ , hence we determine the bounds for which either  $1_X(\tilde{s}_{j+2}) = 0$  or  $1_X(\tilde{s}_{j+1}) = 1$ . Negative values of  $\beta_j$  are tackled similarly with  $1_X(\tilde{s}_{j-1}) = 1$ .

One easily checks that, in the frame  $\Pi_j$ ,  $\tilde{s}_{j+1} = (0, -\frac{\sqrt{2}}{2}h, -\epsilon)$ ,  $\tilde{s}_{j+2} = (\frac{\sqrt{2}}{2}h, 0, -\epsilon)$  and the center  $C_{out}$  of the outside osculating ball has symmetric coordinates to  $C_{in}$ , i.e.  $C_{out} = -C_{in}$ . With computations similar to (9), we derive

$$(10) \quad \begin{aligned} 1_X(\tilde{s}_{j+2}) = 0 &\Leftrightarrow \|C_{out}\tilde{s}_{j+2}\|^2 < R^2 \\ &\Leftrightarrow \frac{3}{4} \frac{h}{R} < \sqrt{2} \sin \alpha \cos \beta_j - 2 \frac{\epsilon}{h} \cos \alpha. \end{aligned}$$

$$(11) \quad \begin{aligned} 1_X(\tilde{s}_{j+1}) = 1 &\Leftrightarrow \|C_{in}\tilde{s}_{j+1}\|^2 < R^2 \\ &\Leftrightarrow \frac{3}{4} \frac{h}{R} < \sqrt{2} \sin \alpha \sin \beta_j + 2 \frac{\epsilon}{h} \cos \alpha. \end{aligned}$$

Its is sufficient to have either Equation (10) or Equation (11) to get a non crossed configuration. We look therefore at the maximum of both values. Denoting  $f(\alpha, \nu) := \sqrt{2} \sin \alpha \cos \beta_j - 2\nu \cos \alpha$  and  $g(\alpha, \nu) := \sqrt{2} \sin \alpha \sin \beta_j + 2\nu \cos \alpha$ , we rewrite those equations as:

$$(12) \quad \begin{aligned} 1_X(\tilde{s}_{j+2}) = 0 \text{ or } 1_X(\tilde{s}_{j+1}) = 1 &\Leftrightarrow \frac{3}{4} \frac{h}{R} < \max(f(\alpha, \frac{\epsilon}{h}), g(\alpha, \frac{\epsilon}{h})) \\ &\Leftrightarrow \frac{3}{4} \frac{h}{R} < \frac{\sqrt{2}}{2} \sqrt{f^2(\alpha, \frac{\epsilon}{h}) + g^2(\alpha, \frac{\epsilon}{h})} \end{aligned}$$

Simple calculations give:

$$(13) \quad \begin{aligned} f^2(\alpha, \nu) + g^2(\alpha, \nu) &= 8 \cos^2 \alpha \nu^2 - 2\sqrt{2} \sin \alpha \cos \alpha (\cos \beta_j - \sin \beta_j) \nu + 2 \sin^2 \alpha \\ &\geq 8 \cos^2 \alpha \nu^2 - 2\sqrt{2} \sin \alpha \cos \alpha \nu + 2 \sin^2 \alpha := h_\alpha(\nu), \end{aligned}$$

since  $\beta_j \in [0, \frac{\pi}{4}]$ . The degree two polynomial  $h_\alpha(\nu)$  has discriminant  $-56 \sin^2 \alpha \cos^2 \alpha$ , which is non positive for arbitrary  $\alpha \geq 0$ . Hence,  $h_\alpha(\nu)$  takes minimum value at  $\nu_\alpha = \frac{\sqrt{2}}{8} \tan \alpha$ . Simple calculations lead to

$$(14) \quad f^2(\alpha, \nu) + g^2(\alpha, \nu) > h_\alpha(\nu_\alpha) = \frac{7}{4} \sin^2 \alpha > \frac{7}{\pi^2} \alpha^2,$$

since  $\sin \alpha \geq \alpha/(\pi/2)$ . Inserting inequality (14) into (12) implies:

$$(15) \quad \begin{aligned} 1_X(\tilde{s}_{j+2}) = 0 \text{ or } 1_X(\tilde{s}_{j+1}) = 1 &\Leftrightarrow \frac{3}{4} \frac{h}{R} < \frac{\sqrt{2}}{2} \frac{\sqrt{7}}{\pi} \alpha \\ &\Leftrightarrow 1.260 \frac{h}{R} < \alpha && (\text{since } \frac{3\sqrt{14}\pi}{28} \approx 1.2594) \end{aligned}$$

If both (9) and (15) hold, then the configuration at  $\tilde{s}$  is one of  $(1, 1, ?, ?)$ ,  $(1, ?, 0, ?)$  and circular permutations. Hence the configuration is not crossed when  $1.260 \frac{h}{R} < \alpha$ .

To get an idea of the practical implication of previous Lemma, if one consider a shape with reach 1, then there might be a non-manifold zone on its digitization at gridstep  $\frac{1}{10}$  only at places where the normal makes an angle smaller than  $7.5^\circ$  with one axis. For instance, this is less than 2.57% of the area on a sphere. We have now all the pieces to finish the proof of Theorem 2.

*of Theorem 2.* According to Proposition 2, the manifoldness of  $\partial_h X$  is determined by the absence of crossed configurations. Non manifoldness at a primal vertex  $v$  occurs only if the 8-configuration of  $X$  at  $\tilde{v}$  is crossed. Theorem 13 of [40] together with the equivalence of par-regularity and reach given by Lemma 1 show that  $h < 0.5R$  implies that the 8-configuration is not crossed. Non manifoldness at a primal edge  $s$  occurs only if the 4-configuration of  $X$  at  $\tilde{s}$  is crossed. This case is fully studied in Lemma 2, Lemma 3 and Lemma 4. Non manifoldness at a primal 2-cell is impossible by construction. This concludes the proof.  $\square$

### 5. SIZE OF THE NON INJECTIVE PART

Here, the set  $X$  is a compact domain of  $\mathbb{R}^d$ , whose boundary  $\partial X$  has reach strictly greater than  $R$ . We assume that  $h \leq R/\sqrt{d}$ , which implies by Theorem 1 that the Hausdorff distance between  $\partial X$  and  $\partial_h X$  is less than  $R/2$ . Therefore the projection map  $\xi$  on  $\partial X$  is well defined on  $\partial_h X$ . However, this map is not one-to-one in general.

The aim of this section is to show that the subset of  $\partial X$  for which  $\xi$  is not injective from  $\partial_h X$ , otherwise said the part of  $\partial X$  with multiplicity greater than one through projection, is small. We define the following set

$$(16) \quad \text{mult}(\partial X) = \{x \in \partial X, \text{ s.t. } \exists y_1, y_2 \in \partial_h X, y_1 \neq y_2, \xi(y_1) = \xi(y_2) = x\}.$$

**Theorem 3.** *If  $h \leq R/\sqrt{d}$ , then one has*

$$\text{Area}(\text{mult}(\partial X)) \leq K_1(h) \text{Area}(\partial X) h,$$

where

$$K_1(h) = \frac{4d^2}{R} + O(h) \leq \frac{d^2}{R} \frac{3^{d+1}}{R}.$$

Here and in the sequel, the constant appearing in  $O(h)$  only involves the dimension  $d$  and the reach  $R$ . Furthermore, the  $(d-1)$ -dimensional Hausdorff measure is denoted by  $\text{Area}$  and the  $d$ -dimensional Hausdorff measure is denoted by  $\text{Vol}$ .

**5.1. Sketch of proof.** The assumption  $h \leq R/\sqrt{d}$  implies by Theorem 1 that the Hausdorff distance between  $\partial_h X$  and  $\partial X$  is less than  $\sqrt{d}h/2$ . In particular, one has for every  $y \in \partial_h X$ ,  $\|y - \xi(y)\| \leq \sqrt{d}h/2$ . Furthermore, Theorem 1 also implies that the restriction of the projection map to  $\partial_h X$  is surjective. However, it may not be injective in general. We introduce the set  $\text{mult}(\partial_h X) = \xi^{-1}(\text{mult}(\partial X))$ . Clearly, the map

$$\xi : \partial_h X \setminus \text{mult}(\partial_h X) \rightarrow \partial X \setminus \text{mult}(\partial X)$$

is one-to-one. For any point  $x \in \partial X$ , we denote by  $\mathbf{n}(x)$  the outward unit normal vector to  $\partial X$  at  $x$  and by  $\mathbf{n}_h(y)$  the outward unit normal vector to  $\partial_h X$  at  $y$ . The outline of the proof is the following:

- i) We show that the scalar products between normals of  $\partial_h X$  and  $\partial X$  is always greater than  $-2\sqrt{d}h/R$ .
- ii) We show that  $\text{mult}(\partial X) \subset \xi(P(h))$ , where

$$P(h) := \{y \in \partial_h X, \mathbf{n}(\xi(y)) \cdot \mathbf{n}_h(y) \leq 0\}.$$



- iii) We show that the jacobian of  $\xi$  at  $y$  is approximately  $|\mathbf{n}(\xi(y)) \cdot \mathbf{n}_h(y)|$ , hence the jacobian of its restriction to  $P(h)$  is in  $O(h)$ .
- iv) We conclude that  $\text{Area}(\text{mult}(\partial X))$  is in  $O(h)$ .

## 5.2. Angle relation between object boundary and its digitization.

**Lemma 5.** *Let  $x_1, x_2 \in \partial X$ , with  $\|x_1 - x_2\| \leq R$ . Then*

$$(17) \quad \|\mathbf{n}(x_1) - \mathbf{n}(x_2)\| \leq \frac{2}{R} \|x_1 - x_2\|.$$

This lemma is well known and is a consequence of the Lipschitz property of  $\xi$ . We recall here the proof for the sake of completeness.

*Proof.* From the assumption  $\|x_1 - x_2\| < R$  and  $x_1, x_2 \in \partial X$ , the segment  $[x_1 x_2]$  is at a distance less than  $R/2$  from  $\partial X$ . Thus the curve  $\xi([x_1 x_2])$  is connecting  $x_1$  and  $x_2$  on the surface. From the mean value theorem, one has

$$\|\mathbf{n}(x_1) - \mathbf{n}(x_2)\| \leq \sup_{x \in \xi([x_1 x_2])} \|DN(x)\| \text{length}(\xi([x_1 x_2])),$$

where  $DN(x)$  is the differential of the Gauss map (*i.e.*, the normal map) of  $\partial X$  at the point  $x$  and  $\|A\|$  is the maximal absolute value of the eigen values of the linear map  $A$ . Here  $\|DN(x)\|$  is bounded by the maximal principal curvature of  $\partial X$  at  $x$ , hence is bounded by  $1/R$ . Every point  $x \in [x_1 x_2]$  is at a distance less than  $R/2$  from  $\partial X$ . Furthermore, Proposition 1 implies that  $\xi$  is 2-Lipschitz in a neighborhood of size  $R/2$  of  $\partial X$ . We then get

$$\text{length}(\xi([x_1 x_2])) \leq 2 \|x_1 - x_2\|,$$

which allows to conclude.  $\square$

**Lemma 6.** *Let  $p \in X$  and  $q \notin X$ , then there exists  $x \in \partial X \cap [pq]$  such that  $\mathbf{n}(x) \cdot \vec{pq} \geq 0$ .*

*Proof.* First of all,  $X \cap [pq]$  is not empty (it contains at least  $p$ ) and is compact. In this compact set, we define  $x$  as the closest point to  $q$ . It is also clear that  $x \in \partial X$ . Assume that  $\mathbf{n}(x) \cdot \vec{pq} < 0$ , then the inside osculating ball at  $x$  of radius  $R$  intersect of  $(xq)$ . This is a contradiction since  $x$  was the closest point of  $X$  to  $q$  along this segment.  $\square$

**Lemma 7.** *For any  $y \in \partial_h X$ , the angle between the normal at  $y$  and the normal of its projection  $x = \xi(y)$  onto  $\partial X$  satisfies:*

$$(18) \quad \mathbf{n}(x) \cdot \mathbf{n}_h(y) \geq -\frac{2}{R} \sqrt{d}h.$$

*Proof.* Let  $x = \xi(y)$ . If  $\mathbf{n}(x) \cdot \mathbf{n}_h(y)$  is positive, the result is obvious. We suppose now that  $\mathbf{n}(x) \cdot \mathbf{n}_h(y) < 0$ . Since  $y \in \partial_h X$ , it belongs to a primal 2-cell  $c$ , whose dual 1-cell  $\tilde{c}$  is a segment  $[pq]$ , where  $p \in X$  and  $q \notin X$ . Note that the normal  $\mathbf{n}_h(y)$  at  $y$  on  $\partial_h X$  points in the same direction as the vector  $\vec{pq}$ . Then we apply Lemma 6 for segment  $[pq]$ , and we denote by  $x_2$  the point of  $\partial X \cap [pq]$  such that  $\mathbf{n}(x_2) \cdot \mathbf{n}_h(y) \geq 0$ . By Theorem 1, equation (3), we have that  $\|x - y\| \leq \frac{\sqrt{d}}{2}h$ . Since  $y \in c$  and  $x_2 \in [pq] = \tilde{c}$ , we also have  $\|y - x_2\| \leq \frac{\sqrt{d}}{2}h$ . We conclude by the triangle inequality that  $\|x - x_2\| \leq \sqrt{d}h$ . Since  $h < \frac{R}{\sqrt{d}}$ , one has  $\|x - x_2\| \leq R$ , and one can apply Lemma 5

$$|\mathbf{n}(x) \cdot \mathbf{n}_h(y)| \leq |(\mathbf{n}(x) - \mathbf{n}(x_2)) \cdot \mathbf{n}_h(y)| \leq \|\mathbf{n}(x) - \mathbf{n}(x_2)\| \leq \frac{2}{R} \sqrt{d}h.$$

$\square$

### 5.3. Parameterization of $\text{mult}(\partial X)$ .

**Lemma 8.** *For every  $x \in \text{mult}(\partial X)$ , there exists  $y \in \partial_h X$ , such that*

$$\xi(y) = x \quad \text{and} \quad \mathbf{n}(x) \cdot \mathbf{n}_h(y) \leq 0.$$

*Proof.* Let  $x \in \text{mult}(\partial X)$  and  $[ab] = n(x, \sqrt{dh}/2)$  the segment centered in  $x$  of length  $\sqrt{dh}$  and aligned with the normal  $\mathbf{n}(x)$ . We suppose that this segment touches several  $(d-1)$ -faces of  $\partial_h X$  and is not in the tangent plane of one of these faces (otherwise, the conclusions holds directly). To get the proof, it is sufficient to show that there is an orthonormal axis-aligned frame  $(\vec{e}_j)_{j=1, \dots, d}$  such that: (i) we have  $\forall 1 \leq j \leq d, \vec{ab} \cdot \vec{e}_j \geq 0$ , (ii) one of the intersected face of  $\partial_h X$  has a normal  $+\vec{e}_{j_1}$ , (iii) another intersected face of  $\partial_h X$  has a normal  $-\vec{e}_{j_2}$ .

Let  $\sigma_1, \sigma_2$  be two  $d-1$ -faces of  $\partial_h X$  intersected by  $[ab]$ . We may consider the vector  $\vec{ab}$  to be in the first orthant of the space, with some choice of the reference frame  $(\vec{e}_j)_{j=1, \dots, d}$ . The segment  $[ab]$  crosses several cubes of  $\mathbb{F}_h^d$ , from which one can extract a covering face-adjacent subsequence of cubes  $(c_i)_{i=1..m}$ . Because  $\vec{ab}$  is in the first orthant, we have that  $\forall 1 \leq i < m, \exists k_i \in \{1, \dots, d\}, \frac{\dot{c}_i \dot{c}_{i+1}}{\|\dot{c}_i \dot{c}_{i+1}\|} = +\vec{e}_{k_i}$ .

The faces  $\sigma_1$  and  $\sigma_2$ , being intersected by the segment, are the faces of some cubes  $c_{i_1}$  and  $c_{i_2}$ . Furthermore, the segment being not in their tangent planes, these faces are the intersection of consecutive cubes in the sequence  $(c_i)$ , and we have  $\sigma_1 = c_{i_1} \cap c_{i_1+1}$  and  $\sigma_2 = c_{i_2} \cap c_{i_2+1}$ . We choose first  $i_1 < i_2$ .

Two cases arise, either  $\dot{c}_{i_1} \in X$  or not. In the first case, necessarily  $\dot{c}_{i_1+1} \notin X$  and the normal at  $\sigma_1$  is then  $+\vec{e}_{k_{i_1}}$ . Now since  $\sigma_2 \subset \partial_h X$ , either  $\dot{c}_{i_2}$  or  $\dot{c}_{i_2+1}$  belongs to  $X$ . Since  $i_1 < i_2$ , there must be some  $i_3, i_1 + 1 \leq i_3 \leq i_2$ , with  $\dot{c}_{i_3} \notin X$  and  $\dot{c}_{i_3+1} \in X$ . The face  $c_{i_3} \cap c_{i_3+1}$ , which may be  $\sigma_2$ , thus belongs to  $\partial_h X$ . Its normal vector is  $-\vec{e}_{k_{i_3}}$ , which concludes this case.

The other cases are solved identically.  $\square$

**5.4. Jacobian of the projection.** We consider here the restriction  $\xi' := \xi|_{\partial_h X}$  of  $\xi$  to  $\partial_h X$ . Recall that the  $(d-1)$ -jacobian  $J\xi'(y)$  of  $\xi'$  at a point  $y$  measures the distortion of area induced by the map  $\xi'$  near  $y$ , that is

$$J\xi'(y) := \lim_{\epsilon \rightarrow 0} \frac{\text{Area}(\xi'(\mathbb{B}(y, \epsilon)))}{\text{Area}(\mathbb{B}(y, \epsilon))},$$

where  $\mathbb{B}(y, \epsilon)$  denotes the  $(d-1)$ -dimensional ball of radius  $\epsilon$  centered at  $y$  on  $\partial_h X$ .

**Lemma 9.** *For almost every  $y \in \partial_h X$  (for the  $(d-1)$ -Hausdorff measure), the  $(d-1)$ -jacobian of  $\xi' = \xi|_{\partial_h X}$  is given by*

$$J\xi'(y) = |\mathbf{n}(\xi(y)) \cdot \mathbf{n}_h(y)| K_2(h)$$

where

$$K_2(h) = 1 + O(h) \leq \left( \frac{1}{1 - \frac{\sqrt{d}}{2R} h} \right)^{d-1} \leq 2^{d-1}.$$

*Proof.* First remark that if  $\mathbf{n}(\xi(y)) \cdot \mathbf{n}_h(y) = 0$ , then  $J\xi'(y) = 0$  and the result holds. If  $y \in \partial_h X$  is such that  $\mathbf{n}(\xi(y)) \cdot \mathbf{n}_h(y) \neq 0$ , then the map  $\xi'$  is injective in a neighborhood of  $y$ . Furthermore, since  $\partial X$  is of class  $C^2$  almost everywhere, we know that for almost every  $y \in \partial_h X$  such that  $\mathbf{n}(\xi(y)) \cdot \mathbf{n}_h(y) \neq 0$ ,  $\partial X$  is of class  $C^2$  at the point  $\xi(y)$ . Let us take such a point  $y$ . It is known that  $\xi$  is differentiable at  $y$  and one has [31, Lemma 3, section 13.2.2]

$$D\xi(y) = (Id_{\xi(y)} - \|y - \xi(y)\| Dn(\xi(y)))^{-1} \circ \pi_{\xi(y)},$$

where  $\pi_{\xi(y)}$  is the orthogonal projection onto the plane tangent to  $\partial X$  at the point  $\xi(y)$ ,  $Id_{\xi(y)}$  is the identity on the plane tangent to  $\partial X$  at the point  $\xi(y)$ , and  $Dn$  is the differential of the

normal map to  $\partial X$ . The same formula still holds if we replace  $\xi$  by its restriction  $\xi'$ . The absolute value of the determinant of the restriction of  $\pi_{\xi(y)}$  to the cell containing  $y$  is equal to  $|\mathbf{n}(\xi(y)) \cdot \mathbf{n}_h(y)|$ . Furthermore, since the curvatures (that are the eigenvalues of  $D\mathbf{n}$ ) are bounded by  $1/R$  and  $\|y - \xi(y)\| \leq \sqrt{d}h/2$ , one has

$$\left(\frac{1}{1 + \frac{\sqrt{d}h}{2R}}\right)^{d-1} \leq |\det((Id_{\xi(y)} - \|y - \xi(y)\| D\mathbf{n}(\xi(y)))^{-1})| \leq \left(\frac{1}{1 - \frac{\sqrt{d}h}{2R}}\right)^{d-1}.$$

Hence, knowing that  $J\xi'(y) = |\det(D\xi'(y))|$ , we get

$$|\mathbf{n}(\xi(y)) \cdot \mathbf{n}_h(y)| \left(\frac{1}{1 + \frac{\sqrt{d}h}{2R}}\right)^{d-1} \leq J\xi'(y) \leq |\mathbf{n}(\xi(y)) \cdot \mathbf{n}_h(y)| \left(\frac{1}{1 - \frac{\sqrt{d}h}{2R}}\right)^{d-1}.$$

□

**5.5. Relating areas of continuous and digitized boundaries.** We determine an explicit upper bound for the area of the digitized boundary  $\partial_h X$  with respect to the area of the continuous boundary  $\partial X$ . We denote by  $\partial X^\epsilon$  the  $\epsilon$ -offset of  $\partial X$ , that is to say the Minkowski sum of  $\partial X$  with the ball of radius  $\epsilon$ ), or equivalently

$$\partial X^\epsilon := \{x \in \mathbb{R}^d, \|x - \xi(x)\| \leq \epsilon\}.$$

**Lemma 10.** *It holds that  $\text{Area}(\partial_h X) \leq \text{Area}(\partial X) K_3(h)$ , where*

$$K_3(h) = 2d^{\frac{3}{2}} + O(h) \leq \frac{d^{\frac{3}{2}} 3^{d+1}}{2^d}.$$

*Proof.* By Theorem 1, Equation (3), any point on  $\partial_h X$  is at distance lower than  $\frac{\sqrt{d}}{2}h$  from  $\partial X$ . Therefore, all faces of  $\partial_h X$  are included in the  $\frac{\sqrt{d}}{2}h$ -offset of  $\partial X$ . Let us denote by  $F(h)$  the subset of the cellular grid  $\mathbb{F}_h^d$  that lies in this offset  $\partial X^{\frac{\sqrt{d}}{2}h}$ , and by  $N(h)$  the number of (hyper)cubes of  $F(h)$ .

Every face of  $\partial_h X$  is some face of a cube of  $F(h)$ . Hence, you may not have more faces in  $\partial_h X$  than they are faces of cubes of  $F(h)$ . Since each cube has  $2d$  faces, it follows that:

$$\text{Area}(\partial_h X) \leq 2d \times h^{d-1} \times N(h)$$

From the fact that  $F(h) \subset \partial X^{\frac{\sqrt{d}}{2}h}$ , one has

$$h^d N(h) = \text{Vol}(F(h)) \leq \text{Vol}(\partial X^{\frac{\sqrt{d}}{2}h}),$$

which implies with the previous equation that

$$\text{Area}(\partial_h X) \leq 2d \times h^{d-1} \times \frac{\text{Vol}(\partial X^{\frac{\sqrt{d}}{2}h})}{h^d} \leq \frac{2d}{h} \text{Vol}(\partial X^{\frac{\sqrt{d}}{2}h}).$$

We put  $\epsilon = \frac{\sqrt{d}}{2}h$ . We are now going to bound the volume of  $\partial X^\epsilon$ . The tube formula expresses this volume as a polynomial in  $\epsilon$  of degree  $d$ . Since  $\partial X$  is of class  $C^2$  almost everywhere, the coefficients are related to the principal curvatures but, here, every one of them can be upper bounded by  $1/R$ . Hence, the volume is upper bounded as:

$$\text{Vol}(\partial X^\epsilon) \leq \text{Area}(\partial X) \times 2 \left( \epsilon + \binom{d}{1} \frac{1}{R} \epsilon^2 + \binom{d}{2} \frac{1}{R^2} \epsilon^3 + \dots + \binom{d}{d} \frac{1}{R^{d-1}} \epsilon^d \right)$$

From this, we get that  $\text{Vol}(\partial X^\epsilon) \leq \text{Area}(\partial X) \times 2(\epsilon + O(\epsilon^2))$  and thus

$$\text{Area}(\partial_h X) \leq \frac{2d}{h} \times \left[ 2 \times \frac{\sqrt{d}}{2}h + O(h^2) \right] \text{Area}(\partial X) = \left[ 2d^{\frac{3}{2}} + O(h) \right] \text{Area}(\partial X).$$

One may also remark that since  $\epsilon \leq \frac{R}{2}$ , then we have an explicit upper bound  $\text{Vol}(\partial X^\epsilon) \leq 2 \left(\frac{3}{2}\right)^{d+1} \text{Area}(\partial X)\epsilon$ , which implies

$$\text{Area}(\partial_h X) \leq 2 \frac{2d}{h} \left(\frac{3}{2}\right)^{d+1} \text{Area}(\partial X) \frac{\sqrt{d}}{2} h \leq 2 d^{\frac{3}{2}} \left(\frac{3}{2}\right)^{d+1} \text{Area}(\partial X).$$

□

**5.6. End of proof of Theorem 3.** From Lemma 8, one has  $\text{mult}(\partial X) \subset \xi(P(h))$ , where

$$P(h) := \{y \in \partial_h X, \mathbf{n}(\xi(y)) \cdot \mathbf{n}_h(y) \leq 0\}.$$

Therefore  $\text{Area}(\text{mult}(\partial X)) \leq \text{Area}(\xi(P(h)))$ . Let  $y \in P(h)$ . By Lemma 7, one has

$$|\mathbf{n}(\xi(y)) \cdot \mathbf{n}_h(y)| \leq \frac{2\sqrt{d}}{R} h,$$

which implies by Lemma 9 that for almost every  $y \in P(h)$

$$J\xi'(y) \leq \frac{2\sqrt{d}}{R} h K_2(h).$$

hence

$$\text{Area}(\text{mult}(\partial X)) \leq \frac{2\sqrt{d}}{R} h K_2(h) \text{Area}(P(h)).$$

Now, since  $P(h) \subset \partial_h X$ , one has by Lemma 10

$$\text{Area}(P(h)) \leq \text{Area}(\partial_h X) \leq K_3(h) \text{Area}(\partial X).$$

Putting this all together, one gets

$$\text{Area}(\text{mult}(\partial X)) \leq \frac{2\sqrt{d}}{R} h K_2(h) K_3(h) \text{Area}(\partial X).$$

We conclude by putting

$$K_1(h) = \frac{2\sqrt{d}}{R} K_2(h) K_3(h).$$

## 6. DIGITAL SURFACE INTEGRATION

In this section, we prove the convergence of a digital surface integral. Given a function  $f : \mathbb{R}^d \rightarrow \mathbb{R}$ , we let  $\|f\|_\infty := \max_{x \in \mathbb{R}^n} |f(x)|$  and denote  $\text{Lip}f := \max_{x \neq y} |f(x) - f(y)| / \|x - y\|$  its Lipschitz constant, which can be infinite. We define the bounded-Lipschitz norm by  $\|f\|_{\text{BL}} := \|f\|_\infty + \text{Lip}(f)$ . Given a normal estimator  $\hat{\mathbf{n}}$  defined on  $\partial_h X$ , we define the error of the normal estimation by

$$\|\hat{\mathbf{n}} - \mathbf{n}\|_{\text{est}} := \sup_{y \in \partial_h X} \|\mathbf{n}(\xi(y)) - \hat{\mathbf{n}}(y)\|.$$

We introduce the following digital surface integral.

**Definition 1.** Let  $Z$  be a digital set, sampled at some gridstep  $h$ . Let  $f : \mathbb{R}^d \rightarrow \mathbb{R}$  be an integrable function and  $\hat{\mathbf{n}}$  be a digital normal estimator. We define the digital surface integral by

$$\text{DI}_h(f, Z, \hat{\mathbf{n}}) := \sum_{c \in \mathbb{F}_h^{d-1} \cap \partial \mathcal{Q}_h Z} h^{d-1} f(\dot{c}) |\hat{\mathbf{n}}(\dot{c}) \cdot \mathbf{n}(\dot{c})|,$$

with  $\mathbf{n}(\dot{c})$  the trivial normal to the  $(d-1)$ -cell  $c$ .

We prove the multigrid convergence of the digital surface integral toward the surface integral.

**Theorem 4.** *Let  $X$  be a compact domain whose boundary has positive reach  $R$ . For  $h \leq \frac{R}{\sqrt{d}}$ , the digital integral is multigrid convergent toward the integral over  $\partial X$ . More precisely, for any integrable function  $f : \mathbb{R}^d \rightarrow \mathbb{R}$ , one gets*

$$\left| \int_{\partial X} f(x) dx - \text{DI}_h(f, D_h(X), \hat{\mathbf{n}}) \right| \leq \text{Area}(\partial X) \|f\|_{\text{BL}} \left( O(h) + O(\|\hat{\mathbf{n}} - \mathbf{n}\|_{\text{est}}) \right).$$

Note that as before, the constant involved in the notation  $O(\cdot)$  only depends on the dimension  $d$  and the reach  $R$ .

**6.1. Multiplicity of the projection.** We show in the section that the multiplicity of  $\xi'$  is bounded almost everywhere for the  $(d-1)$ -Hausdorff measure. One introduces the subset  $C := \{\xi(y), \text{ s.t. } y \in \partial_h X, \mathbf{n}(\xi(y)) \cdot \mathbf{n}_h(y) = 0\}$  of  $\partial X$ .

**Lemma 11.** *One has the following properties*

- For every  $x \in \partial X \setminus C$ , the multiplicity  $\mu_x$  is less than  $\mu := d \lfloor \sqrt{d} + 1 \rfloor$ .
- For almost every point  $y \in \xi'^{-1}(C)$  one has  $J\xi'(y) = 0$ .
- The area of  $C$  is equal to 0.

*Proof.* Let  $x \in \partial X \setminus C$  and  $y \in \xi'^{-1}(x)$ . Then  $y$  belongs to the segment  $n(x, \sqrt{d}h/2)$  centered in  $x$ , of length  $\sqrt{d}h$  and aligned with the normal to  $\partial X$  at  $x$ . Since  $x \notin C$ , this segment is not contained in a plane orthogonal to  $\mathbf{n}_h(y)$ . Since its length is less than  $\sqrt{d}h$ , it cannot cross more than  $\lfloor \sqrt{d} + 1 \rfloor$  cells of  $\mathbb{F}_h^{d-1}$  orthogonal to  $\mathbf{n}_h(y)$ . The same bound holds for  $(d-1)$  other directions the cells of  $\mathbb{F}_h^{d-1}$ . Hence  $\mu_x \leq d \lfloor \sqrt{d} + 1 \rfloor$ .

Let now  $x \in C$ . Then there exists  $y \in \xi'^{-1}(x)$  such that the segment  $n(x, \sqrt{d}h/2)$  is contained in an hyperplane  $P_y$  orthogonal to  $\mathbf{n}_h(y)$ . The number of intersections of  $n(x, \sqrt{d}h/2)$  with the cells of  $\mathbb{F}_h^{d-1}$  that are not parallel to  $P_y$  are bounded as previously by  $(d-1) \lfloor \sqrt{d} + 1 \rfloor$ . For every  $y' \in P_y \cap \xi'^{-1}(x)$ , one has  $\mathbf{n}(\xi(y')) \cdot \mathbf{n}_h(y') = 0$ , hence the jacobian of  $\xi'$  vanishes. Furthermore, in a neighborhood of  $x$ ,  $C$  is included in  $\partial X \cap P_y$  which is a curve. Hence the area of  $C$  is equal to 0.  $\square$

**6.2. Proof of Theorem 4. Step 1.** We first show that

$$(19) \quad \int_{\partial X} f(x) dx = \int_{\partial X \setminus \text{mult}(\partial X)} f(x) dx + K_1(h) \text{Area}(\partial X) \|f\|_{\infty} h.$$

We start by cutting the integral of  $f$  into two parts

$$\int_{\partial X} f(x) dx = \int_{\partial X \setminus \text{mult}(\partial X)} f(x) dx + \int_{\text{mult}(\partial X)} f(x) dx.$$

According to Theorem 3 (Section 5), the second term is bounded by

$$\left| \int_{\text{mult}(\partial X)} f(x) dx \right| \leq \text{Area}(\text{mult}(\partial X)) \|f\|_{\infty} \leq K_1(h) \text{Area}(\partial X) \|f\|_{\infty} h.$$

**Step 2.** The map  $\xi$  induces a bijection from  $\partial_h X \setminus \text{mult}(\partial_h X)$  to  $\partial X \setminus \text{mult}(\partial X)$ . It is also a diffeomorphism since  $\partial_h X$  is within the reach of  $\partial X$  by Theorem 1. By the change of variable formula, one obtains:

$$(20) \quad \int_{\partial X \setminus \text{mult}(\partial X)} f(x) dx = \int_{\partial_h X \setminus \text{mult}(\partial_h X)} f(\xi(y)) J\xi(y) dy.$$

**Step 3.** We now want to show that

$$\int_{\partial_h X \setminus \text{mult}(\partial_h X)} f(\xi(y)) \mathbf{J}\xi(y) dy = \int_{\partial_h X} f(\xi(y)) \mathbf{J}\xi(y) dy + K_1(h) \text{Area}(\partial X) \mu \|f\|_\infty h.$$

By Lemma 11 and the general coarea formula, one gets

$$\begin{aligned} \left| \int_{\text{mult}(\partial_h X)} f(\xi(y)) \mathbf{J}\xi(y) dy \right| &= \left| \int_{\text{mult}(\partial_h X) \setminus \xi'^{-1}(C)} f(\xi(y)) \mathbf{J}\xi(y) dy \right| \\ &= \left| \int_{\text{mult}(\partial X) \setminus C} \mu_x f(x) dx \right| \\ &\leq \text{Area}(\text{mult}(\partial X)) \mu \|f\|_\infty \\ &\leq K_1(h) \text{Area}(\partial X) \mu \|f\|_\infty h. \end{aligned}$$

**Step 4.** We now show that

$$\int_{\partial_h X} f(\xi(y)) \mathbf{J}\xi(y) dy = \int_{\partial_h X} f(\xi(y)) |\mathbf{n}(\xi(y)) \cdot \mathbf{n}_h(y)| dy + \|f\|_\infty \text{Area}(\partial X) O(h).$$

Lemma 9 implies that

$$|\mathbf{J}\xi'(y) - |\mathbf{n}(\xi(y)) \cdot \mathbf{n}_h(y)|| = O(h).$$

We then have (with Lemma 10)

$$\begin{aligned} \int_{\partial_h X} |f(\xi(y))| |\mathbf{J}\xi'(y) - |\mathbf{n}(\xi(y)) \cdot \mathbf{n}_h(y)|| dy &\leq \|f\|_\infty \text{Area}(\partial_h X) O(h) \\ &\leq \|f\|_\infty K_3(h) \text{Area}(\partial X) O(h). \end{aligned}$$

**Step 5.** We now show that

$$\begin{aligned} &\int_{\partial_h X} f(\xi(y)) |\mathbf{n}(\xi(y)) \cdot \mathbf{n}_h(y)| dy - \text{DI}_h(f, D_h(X), \hat{\mathbf{n}}) \\ &= \text{Area}(\partial X) \left( \text{Lip}(f) O(h) + \|f\|_\infty O(\|\hat{\mathbf{n}} - \mathbf{n}\|_{\text{est}}) \right). \end{aligned}$$

We split the integral on each face of  $\partial_h X$ .

$$\int_{\partial_h X} f(\xi(y)) |\mathbf{n}(\xi(y)) \cdot \mathbf{n}_h(y)| dy = \sum_{c \in \mathbb{F}_h^{d-1} \cap \partial_h X} \int_c f(\xi(y)) |\mathbf{n}(\xi(y)) \cdot \mathbf{n}_h(y)| dy.$$

For every square face  $c$  of  $\partial_h X$  (a  $d-1$ -cell of  $\mathbb{F}_h^{d-1}$ ), one has

$$h^{d-1} f(\dot{c}) |\mathbf{n}(\dot{c}) \cdot \hat{\mathbf{n}}(\dot{c})| = \int_c f(\xi(y)) |\mathbf{n}(\xi(y)) \cdot \mathbf{n}_h(y)| dy.$$

For every  $y \in c$ , one has  $\mathbf{n}_h(y) = \mathbf{n}(\dot{c})$ , and then

$$\begin{aligned} &f(\xi(y)) |\mathbf{n}(\xi(y)) \cdot \mathbf{n}_h(y)| - f(\dot{c}) |\mathbf{n}(\dot{c}) \cdot \hat{\mathbf{n}}(\dot{c})| \\ &= f(\xi(y)) |\mathbf{n}(\xi(y)) \cdot \mathbf{n}(\dot{c})| - f(\dot{c}) |\mathbf{n}(\xi(y)) \cdot \mathbf{n}(\dot{c})| + f(\dot{c}) |\mathbf{n}(\xi(y)) \cdot \mathbf{n}(\dot{c})| - f(\dot{c}) |\mathbf{n}(\dot{c}) \cdot \hat{\mathbf{n}}(\dot{c})| \\ &\leq \left| f(\xi(y)) - f(\dot{c}) \right| \left| \mathbf{n}(\xi(y)) \cdot \mathbf{n}(\dot{c}) \right| + \left| f(\dot{c}) \right| \left| \left| \mathbf{n}(\xi(y)) \cdot \mathbf{n}(\dot{c}) \right| - \left| \mathbf{n}(\dot{c}) \cdot \hat{\mathbf{n}}(\dot{c}) \right| \right| \\ &\leq \left| f(\xi(y)) - f(\dot{c}) \right| + \left| f(\dot{c}) \right| \left| \mathbf{n}(\xi(y)) - \hat{\mathbf{n}}(\dot{c}) \right| \\ &\leq \text{Lip}(f) \|\xi(y) - \dot{c}\| + \|f\|_\infty \|\mathbf{n} - \hat{\mathbf{n}}\|_{\text{est}} \\ &\leq \text{Lip}(f) \sqrt{d}h + \|f\|_\infty \|\mathbf{n} - \hat{\mathbf{n}}\|_{\text{est}}. \end{aligned}$$



Above, we use the relation that, for vectors  $\mathbf{a}, \mathbf{b}, \mathbf{u}$ ,  $|\mathbf{a} \cdot \mathbf{u}| - |\mathbf{b} \cdot \mathbf{u}| \leq |(\mathbf{a} - \mathbf{b}) \cdot \mathbf{u}|$ . This relation comes from triangle inequalities. We deduce that (using also Lemma 10)

$$\begin{aligned} & \left| \int_{\partial_h X} f(\xi(y)) |\mathbf{n}(\xi(y)) \cdot \mathbf{n}_h(y)| dy - \text{DI}_h(f, D_h(X), \hat{\mathbf{n}}) \right| \\ & \leq \text{Area}(\partial_h X) \left( \text{Lip}(f) \sqrt{d} h + \|f\|_\infty \|\mathbf{n} - \hat{\mathbf{n}}\|_\infty \right) \\ & \leq \text{Area}(\partial X) K_3(h) \left( \text{Lip}(f) \sqrt{d} h + \|f\|_\infty \|\mathbf{n} - \hat{\mathbf{n}}_{\text{est}}\| \right). \end{aligned}$$

**End of proof.** Putting together the equations of Steps 1-5, one gets

$$\begin{aligned} & \left| \int_{\partial X} f(x) dx - \text{DI}_h(f, D_h(X), \hat{\mathbf{n}}) \right| \\ & \leq \text{Area}(\partial X) \left( (\text{Lip}(f) + \|f\|_\infty) O(h) + \|f\|_\infty O(\|\hat{\mathbf{n}} - \mathbf{n}\|_{\text{est}}) \right). \end{aligned}$$

**Experimental evaluation.** We briefly evaluate numerically the digital surface integral formula for the purpose of area estimation of a 3D digital shape. Fig. 3 illustrates the area estimation error of digital surface integration for several digital normal estimators. Of course, the naive summation of the areas of each 2-cell leads to a non-convergent estimation that overestimates the true area by almost 45% (*naive digital area*). If the normal is estimated by averaging the trivial cell normals of cells at distance at most  $t$  (called *trivial normal of radius  $t$* ), then better area estimations are obtained (around 1% for  $t = 2$ ). Still they are not convergent. If we use the exact ellipsoid normals (*true normal*) or convergent normal estimators like integral invariants (*II*, [7, 8]) or Voronoi Covariance Measure (*VCM*, [10]), then the digital surface integral appears convergent toward the true area. Even better, experimental convergence speed looks like  $O(h^2)$ .

**Discussion.** We have presented numerous properties of Gauss digitized sets in arbitrary dimension, with a special focus on the relations between the continuous boundary of the shape and the boundary of its digitization at some gridstep  $h$ . Although these sets are close in the Hausdorff sense through the projection map, they are not related by a homeomorphism starting from dimension 3. We have characterized precisely places where the digitized boundary is not a manifold in dimension 3. Their area is rapidly decreasing with the grid step ( $O(h^2)$  on non-flat parts). Furthermore, we have determined where the projection map is an not homeomorphism in arbitrary dimension, and it appears also that the problematic places on the shape boundary have an area that decreases toward zero in  $O(h)$ . Thanks to this result, we have proven the validity of the digital surface integral as a multigrid convergent integral estimator, as long as the digital normal estimator is also multigrid convergent. Bounds have been made explicit and justify *a posteriori* previous papers using digital surface integration for area estimation [26, 6]. Experimental evaluations confirm this result. It remains to understand why the convergence speed is better than expected. It seems related to the fact that places likely to induce a non homeomorphic projection are probably overestimated, and thus introduce a larger error on the integration. We are currently examining this issue. However, we cannot expect to achieve better than  $O(h^2)$  error since even true normals induce this error.

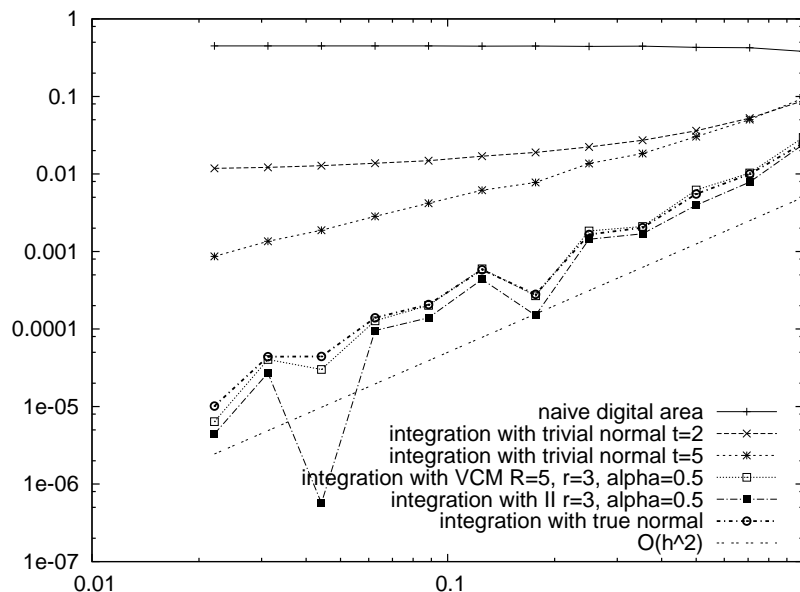


FIGURE 3. Area estimation error of the digital surface integral (Definition 1) with several digital normal estimators. The shape of interest is 3D ellipsoid of half-axes 10, 10 and 5, for which the area has an analytical formula giving  $A \approx 867.188270334505$ . The abscissa is the gridstep  $h$  at which the ellipsoid is sampled by Gauss digitization. For each normal estimator, the digital surface integral  $\hat{A}$  is computed with  $f = 1$ , and the relative area estimation error  $\frac{|\hat{A}-A|}{A}$  is displayed in logscale.

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