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# Asynchronous Event-Based Multi-kernel Algorithm for High Speed Visual Features Tracking

Xavier Lagorce Cédric Meyer Sio-Hoi Ieng David Filliat Ryad Benosman

**Abstract**—This paper presents a number of new methods for visual tracking using the output of an event-based asynchronous neuromorphic dynamic vision sensor. It allows the tracking of multiple visual features in real-time, achieving an update rate of several hundred kilohertz on a standard desktop PC. The approach has been specially adapted to take advantage of the event-driven properties of these sensors by combining both spatial and temporal correlations of events in an asynchronous iterative framework. Various kernels, such as Gaussian, Gabor, combinations of Gabor functions and arbitrary user-defined kernels are used to track features from incoming events. The trackers described in this paper are capable of handling variations in position, scale and orientation through the use of multiple pools of trackers. This approach avoids the  $N^2$  operations per event associated with conventional kernel-based convolution operations with  $N \times N$  kernels. The tracking performance was evaluated experimentally for each type of kernel in order to demonstrate the robustness of the proposed solution.

**Keywords**—*Neuromorphic sensing, Event-based vision, Visual tracking*

## I. INTRODUCTION

VISUAL object recognition and tracking is useful in many applications, such as video surveillance, traffic monitoring, motion analysis, augmented reality and autonomous robotics. Most object tracking techniques rely on sequences of static frames which limits algorithmic efficiency when dealing with highly dynamic scenes. Conventional frame-based video cameras can acquire data at frequency as high as several tens of kilohertz, nevertheless this amount of data remains difficult to process in real-time due to the large amount of redundant acquired information. Real-time processing at high acquisition rates usually requires different techniques such as: sub-sampling of the field of view [1], the use of specific hardware implementations or a restriction to simple tracking

algorithm such as image's centroid and moments computation [2].

This paper presents an event-based approach to fast visual tracking of features using the output of an asynchronous neuromorphic event-based camera. Neuromorphic cameras (sometimes called silicon retinas) mimic the biological visual systems [3][4]. The Asynchronous Time-based Image Sensor (ATIS) camera used in this work reacts to changes of scene contrast and records only dynamic information, thus reducing the data quantity [5][6]. The presented algorithms rely on the change detection circuit of the ATIS, namely it only deals with relative change events. It can also be applied to other sensors such as the Dynamic Vision Sensor (DVS) [4] on which the ATIS is based.

The presented tracking algorithm is computationally inexpensive and is thus capable of tracking objects and updating their properties at a rates in the order of hundreds of kilohertz. Firstly, an asynchronous event-based Gaussian blob tracking algorithm is developed and examined. The properties of the Gaussian kernel allow adaptation to the events' spatial distribution by continuously correcting the Gaussian size, orientation and location with each incoming event. This provides the object's position, size, and orientation simultaneously. In a second stage, the model is extended by using oriented Gabor kernels that allow tracking specific oriented edges. This work also considers the combination of several oriented kernels that are useful in tracking specific focal plane structures such as corners. Finally, a general kernel approach is presented. It can use almost any arbitrary kernel and can be seen as a generalization of the process, with the only constraint that their center of mass has to be aligned with the center of the kernels (see section III-C4).

## II. TIME ENCODED IMAGING

Biomimetic event-based cameras are a novel type of vision devices that - like their biological counterparts - are driven by "events" happening within the scene. They are not like conventional vision sensors which, by contrast, are driven by artificially created timing and control signals (e.g. frame clock) which have no relation whatsoever to the source of the visual information [7]. Over the past few years, a variety of these event-based devices have been implemented, including temporal contrast vision sensors that are sensitive to relative luminance change, gradient-based sensors sensitive to static edges, edge-orientation sensitive devices and optical-flow sensors. Most of these vision sensors output visual information about the scene in the form of asynchronous address events (AER) [8] and encode the visual information in the time dimension

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and not as voltage, charge or current. The presented pattern tracking method is designed to work on the data delivered by such a time-encoding sensor and takes full advantage of the high temporal resolution and the sparse data representation.

The ATIS used in this work is a time-domain encoding vision sensors with  $304 \times 240$  pixels resolution. [6]. The sensor contains an array of fully autonomous pixels that combine an illuminance relative change detector circuit and a conditional exposure measurement block.

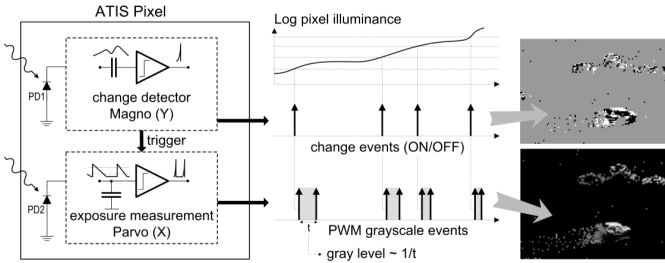


Fig. 1. Functional diagram of an ATIS pixel [5]. Two types of asynchronous events, encoding change and brightness information, are generated and transmitted individually by each pixel in the imaging array.

As shown in the functional diagram of the ATIS pixel in Fig. 1, the relative change detector individually and asynchronously initiates the measurement of an exposure/gray scale value only if - and immediately after - a brightness change of a certain magnitude has been detected in the field-of-view of the respective pixel. The exposure measurement circuit in each pixel individually encodes the absolute instantaneous pixel illuminance into the timing of asynchronous event pulses, represented as inter-event intervals.

Since the ATIS is not clocked like a conventional camera, the timing of events can be conveyed with a very accurate temporal resolution in the order of microseconds. The time-domain encoding of the intensity information automatically optimizes the exposure time separately for each pixel instead of imposing a fixed integration time for the entire array, resulting in an exceptionally high dynamic range and improved signal to noise ratio. The pixel-wise change detector driven operation yields almost ideal temporal redundancy suppression, resulting in a maximally sparse encoding of the image data.

In what follows we will rely only on change detector events as the timings of events is the main needed information to perform tracking.

### III. MULTI KERNEL EVENT-BASED FEATURES TRACKING

This section describes the tracking algorithms developed in this paper. After reviewing the state of the art of asynchronous tracking using event-base silicon retinas, we describe how bivariate normal distributions are used to track clouds of events. Then, we will generalize our approach to more arbitrary kernels. The next two sub-sections tackle the problem of tracking several objects at once in a scene and how several trackers interact with one another in a pool mechanism. To conclude this section, a global algorithm is presented and a number of possible optimizations are proposed.

#### A. State of the Art

Image segmentation, feature extraction, optical flow and high level motion filters are usually used to track moving objects but they are known to be computationally expensive tasks. Real-time processing with frame rates reaching several hundreds of hertz can lead to more robust tracking algorithms [9]. However, it is currently an almost impossible task to perform such tasks in real-time unless dedicated hardware is used. Dedicated hardware solutions introduce additional implementation complexity that limits the efficiency of such vision algorithms. Processing at such a high frame rate is only applicable for tackling simple tasks such as the detection of the center of mass (or centroid) of moving objects [10], [11].

The newly developed event-based silicon retinas (Dynamic Vision Sensor [7], Asynchronous Time-based Image Sensor [5]) inspired by the physiology of biological retinas are promising sensors for fast vision applications. These sensors convey a sparse stream of asynchronous time-stamped events suitable for object tracking as only dynamic information is captured. Several tracking algorithms have been developed for this type of sensor. An event clustering algorithm is introduced for traffic monitoring, where clusters can change in size but are restricted to a circular form [12], [13]. A fast sensory motor system has been built to demonstrate the sensor's high temporal resolution properties in [14]. Several event-based algorithms and a remarkable JAVA framework for the Dynamic Vision Sensor can be found at [15]. In [16], a pencil balancing robot is developed to stabilize a pencil using a fast event-based Hough transform. The sensor has been recently applied to track particles in microrobotics [17] and in fluid mechanics [18]. It was also used to track a micro-gripper's jaws to provide a real-time haptic feedback from the micro meter scale world (lengths and sizes of objects around 1e-6m) [19].

To date, the currently developed methods that operate on events focus on the extraction of features such as lines in the whole focal plane. In other cases, they are too general to deal with particular cases where trackers should locally follow a specific oriented edge or a local shape. We will then extend the existing work to provide a more general framework allowing the tracking of specific local features using an event-based methodology. The tracking approach proposed here is inspired by the mean-shift technique that has also been extensively used in conventional frame-based visual tracking [20], [21], [22].

#### B. Gaussian Blob tracking

A stream of visual events can be mathematically defined as follows: let  $ev(\mathbf{u}, t) = [\mathbf{u}, t, pol]^T$  be a quadruplet giving the pixel position  $\mathbf{u} = [x, y]^T$ , the time  $t$  of the event and  $pol$ , its polarity that can be  $-1$  or  $1$ . When an object moves, the pixels generates events which geometrically form a point cloud that represents the spatial distribution of the observed shape. A moving object generates events that follow a spatial distribution that can be, in a first stage, roughly approximated by a bivariate normal distribution  $\mathcal{N}(\mu, \Sigma)$ , also called bivariate Gaussian distribution). The parameters of  $\mathcal{N}(\mu, \Sigma)$  provide the

object's position, size and orientation. The Gaussian mean  $\mu$  indicates the object's position while the covariance matrix  $\Sigma$  represents its size and orientation. Let us suppose that several Gaussian trackers have already been initialized on the focal plane's locations of several moving objects. When a new event occurs, it is assigned a score (up to the normalization term) for each tracker, inspired by the probability this event would be generated by the Gaussian distribution associated to this tracker which can be calculated by :

$$p_i(\mathbf{u}) = \frac{1}{2\pi} |\Sigma_i|^{-\frac{1}{2}} e^{-\frac{1}{2}(\mathbf{u}-\mu_i)^T \Sigma_i^{-1} (\mathbf{u}-\mu_i)} \quad (1)$$

where  $\mathbf{u} = [x, y]^T$  is the pixel location of the event.  $\mu_i = [\mu_{ix}, \mu_{iy}]^T$  represents the  $i^{th}$  tracker's location and  $\Sigma_i \in \mathbb{R}^{2 \times 2}$  is its covariance matrix:

$$\Sigma = \begin{bmatrix} \sigma_x^2 & \sigma_{xy} \\ \sigma_{xy} & \sigma_y^2 \end{bmatrix} \quad (2)$$

Fig. 2 is showing an example of an ellipse shape tracked by such a Gaussian tracker.

If one of the computed scores is superior to a predefined threshold  $p_i(\mathbf{u}) > \delta p$  (usually set to 0.1), then this tracker will adapt its parameters to follow this incoming event. If several trackers respond to the same event, the one with the maximum score is always chosen. After the most probable tracker has been selected, its parameters can be updated using a simple weighting strategy by integrating the last distribution with the current event information (see Eq (3) and (4)). Since only the chosen tracker is examined hereafter, the subscript  $i$  indicating the tracker number is omitted for clarity. The position and size of a Gaussian tracker can be updated as follows:

$$\mu_t = \alpha_1 \mu_{t-1} + (1 - \alpha_1) \mathbf{u} \quad (3)$$

$$\Sigma_t = \alpha_2 \Sigma_{t-1} + (1 - \alpha_2) \Delta \Sigma \quad (4)$$

where  $\alpha_1$  and  $\alpha_2$  are the update factors. They should be adjusted according to the event rate and the nature of the observed scene. These values are typically set between  $10^{-2}$  and  $10^{-1}$  and are chosen according to the size and velocity of the tracked objects. Namely how many events should be received to drag the shape from its old position to the new one and to change its size and shape according to the incoming events' rates.

The covariance difference  $\Delta \Sigma$  is computed using the current tracker's location  $\mu_t = [\mu_{tx}, \mu_{ty}]^T$  and event's location  $\mathbf{u}$ :

$$\Delta \Sigma = \begin{bmatrix} (x - \mu_{tx})^2 & (x - \mu_{tx})(y - \mu_{ty}) \\ (x - \mu_{tx})(y - \mu_{ty}) & (y - \mu_{ty})^2 \end{bmatrix}. \quad (5)$$

Finally, we define the activity of each tracker  $\mathcal{A}_i$  that is updated at each incoming event  $ev(\mathbf{u}, t)$ , following an exponential decay function which describes the temporal dimension of the Gaussian kernel.

$$\mathcal{A}_i(t) = \begin{cases} \mathcal{A}_i(t - \Delta t) e^{-\frac{\Delta t}{\tau}} + p_i(\mathbf{u}), & \text{if } ev(\mathbf{u}, t) \text{ belongs to tracker } i \\ \mathcal{A}_i(t - \Delta t) e^{-\frac{\Delta t}{\tau}}, & \text{otherwise.} \end{cases} \quad (6)$$

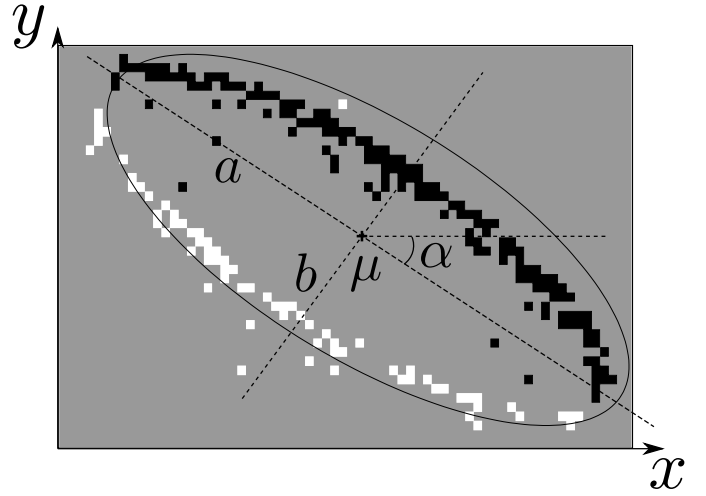


Fig. 2. Error ellipse of the covariance matrix of a Gaussian tracker following a black ellipse moving under a white background. Position, size and orientation of the tracker automatically adapt to the visual stimuli. Black and white dots represent respectively OFF and ON events.

where  $\Delta t$  is the time difference between current and previous events and  $\tau$  tunes the temporal activity decrease. This activity measure is useful for inhibition and repulsion procedures that will be explained latter. It allows us to shape the interaction between trackers.

*Remark:* The object's size and orientation can be retrieved by computing the principal components of the covariance matrix. Computationally, the lengths of the error ellipse's axes are the two principal components of the covariance matrix, which can be explicitly calculated by decomposing the two eigenvalues  $\lambda_{min}$  and  $\lambda_{max}$ . The semi-axes  $a$  and  $b$  and the orientation angle  $\alpha$  of the ellipse can be computed as follows:

$$a = K \sqrt{\lambda_{max}} \quad (7)$$

$$b = K \sqrt{\lambda_{min}} \quad (8)$$

$$\alpha = \frac{1}{2} \arctan\left(\frac{2\sigma_{xy}}{\sigma_y^2 - \sigma_x^2}\right) \quad (9)$$

where  $K$  is a scaling factor describing the distribution's confidence level.  $K$  is given by the confidence intervals, it provides a tuning parameter linking the Gaussian distribution parameters to the size of the event cloud it is fitted to in the image plane. In practice, this value can be set to 1. Readers wishing to know more about the computation of confidence intervals should refer to [23].

Computing these parameters can provide additional information if the trackers are used to identify unknown objects in a scene. The size and orientation of the Gaussian distributions yield information about the shape or orientation of the tracked objects and can be used either to discriminate between interesting and irrelevant objects or to build more complex objects from several trackers.

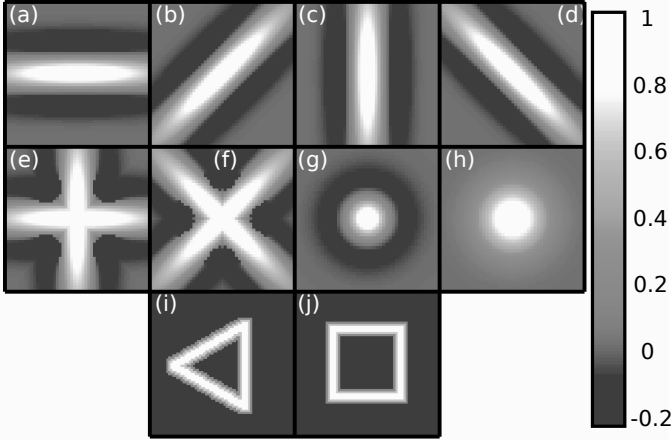


Fig. 3. Examples of kernels used for tracking. (a) to (d) Gabor oriented kernels. (e) and (f) Combinations of Gabor kernels. (g) Laplacian of Gaussian. (h) Gaussian kernel. (i) and (j) Handmade arbitrary kernels.

### C. Multi -kernel features tracking

1) *Principle*: the principle is very similar to the Gaussian tracker, except that the Gaussian kernel is replaced by various other kernels. The examples that will be illustrated here are Gabor oriented kernels, combinations of Gabor functions, Laplacian of Gaussian and more general handmade kernels such as a triangle and a square. Some of these kernels are shown in Fig. 3.

The feature tracker generalizes to almost any kernel even for kernels with no analytical form. If  $K_i$  has no closed form, then it is a numerically defined function. In this case, the update of size and orientation cannot be performed easily as this implies the estimation of rotation and scaling that go beyond the scope of this paper. We chose, in this case, to restrict kernels to a fixed size (scale) and orientation. Due to the low computational cost, this can easily be supplemented by the use of multiple layers of kernels of different scales and orientations as will be shown in section IV.

We introduce a local inhibition procedure that prevents the tracker from being active if the same localized area of the kernel is always excited. The activity of tracker  $i$ , is then given by Eq (10).

$$\mathcal{A}_i(t) = \begin{cases} \mathcal{A}_i(t - \Delta t)e^{-\frac{\Delta t}{\tau}} + \\ \quad G_{inhib,i}(ev, \mathbf{u})K_i(x_i - x, y_i - y), \\ \quad \text{if } ev(\mathbf{u}, t) \text{ occurs into receptive field of tracker } i \\ \mathcal{A}_i(t - \Delta t)e^{-\frac{\Delta t}{\tau}}, \text{ otherwise,} \end{cases} \quad (10)$$

where  $\mathbf{u} = [x_i, y_i]^T$  is the position of tracker  $i$  and  $K_i$  is the matrix representing the tracker's kernel and  $G_{inhib}$ , the inhibition gain, is computed as follows :

$$G_{inhib,i}(ev, \mathbf{u}) = 1 - e^{-\Delta t_{ev, \mathbf{u}} / \tau_{inhib}} \quad (11)$$

Local inhibition is ensured considering  $\Delta t_{ev, \mathbf{u}}$  as the temporal difference between event  $ev$  and the last occurrence of an event into a small spatial neighborhood of the point  $[x_i - x, y_i - y]^T$  in matrix  $K_i$  (we usually considered a neighborhood of one or two pixels radius). This mechanism is equivalent to a local inhibition of neighboring locations of matrix  $K_i$  where the event occurred. Namely the activated location of the filters and its surrounding will no longer be able to drive the tracker even if an event reactivates that location. In that case,  $\Delta t_{ev, \mathbf{u}}$  will go towards 0 thus leading  $G_{inhib}$  to 0. As the temporal distance increases,  $G_{inhib}$  will gradually tend towards 1. This ensures that a kernel needs to receive events in all its area to lead to the tracker's activation. This inhibition is followed by an exponential reactivation of the inhibited area thus allowing the filter to be active to events arriving at that location.

2) *Gabor oriented kernels and combinations of Gabors*: it is well known that some areas of our visual cortex V1 respond preferentially to oriented stimuli [24]. Consequently, we used Gabor functions to build a model of orientation selective kernels. The response of a  $\theta$ -oriented Gabor kernel  $\mathcal{K}_{G\theta}(\mathbf{v}, \sigma)$  located at position  $\mathbf{v} = [x_G, y_G]^T$  to the incoming event  $ev(\mathbf{u}, t)$  is given by Eq (12):

$$G(e, \mathcal{K}_{G\theta}(\mathbf{v}, \sigma)) = e^{\left(-\frac{x_{\mathbf{u}, \mathbf{v}}^2 + \gamma^2 y_{\mathbf{u}, \mathbf{v}}^2}{2\sigma^2}\right)} \cos\left(2\pi \frac{x_{\mathbf{u}, \mathbf{v}}}{\lambda}\right), \quad (12)$$

where  $x_{\mathbf{u}, \mathbf{v}} = (x - x_G) \cos \theta + (y - y_G) \sin \theta$  and  $y_{\mathbf{u}, \mathbf{v}} = -(x - x_G) \sin \theta + (y - y_G) \cos \theta$ .

To ensure a correct orientation selectivity, we set the parameters  $\gamma = \frac{\sigma}{15}$  and  $\lambda = 4\sigma$ . Gabor kernels are illustrated in Fig. 3 (a) to (d). To track a particular feature like a cross, we also built kernels by combining Gabor functions following orthogonal orientations, as shown Fig. 3 (e) and (f).

3) *Laplacian of Gaussian kernel*: this kernel is inspired by center-surround biological structures and can be represented by the *Laplacian of Gaussian* function (LoG), shown in Fig. 3(g). This kernel has a behavior similar to Gaussian kernels shown in Fig. 3(h) but is also sensitive to the size of the tracked events' cloud. A cloud whose size exceeds the bright central ring (see Fig. 3(g)) will induce negative contributions to the activity of events occurring in the dark ring area. This compensates for the effect of centered events, preventing the tracker from being activated.

4) *General kernels*: in fact the algorithm can use any kernel, with the only constraint being that the center of mass of the matrix that represents the kernel has to be aligned with the center of the kernel. This is a weak constraint since any general kernel can be spatially shifted to meet this restriction arising from the position's update principle. Since the tracker is attracted by the neighboring events, its position will naturally match the local events' center of mass. To test if the events' cloud matches the desired feature, both must share the same spatial locations.

As an example, general kernels are shown using a square and a triangle (see Fig. 3 (i) and (j)). These kernels are generated using a binary representation of a simple geometric shape (+1 on lines, -1 elsewhere) followed by a dilatation algorithm and a smoothing.

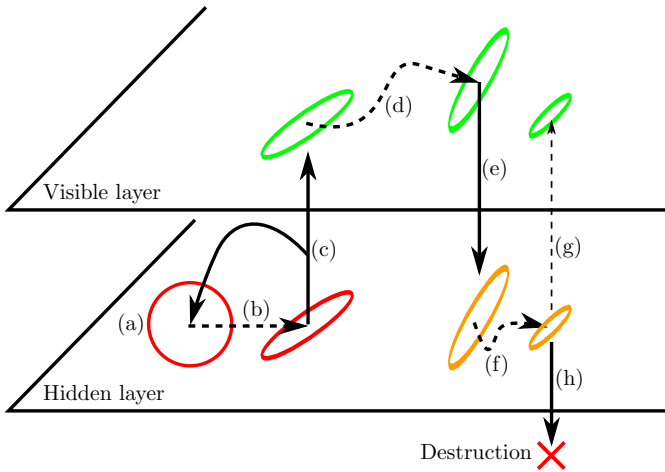


Fig. 4. Activation scheme of trackers (Gaussian tracker in the example). (a) A tracker is initialized in the hidden layer with default parameters. (b) It starts to follow the event cloud from a moving object and adapts to its shape. (c) When its activity goes above  $\mathcal{A}_{up}$  the tracker is upgraded to the visible layer and a new independent tracker is created in the hidden layer with its initial position and parameters. (d) The tracker follows the event cloud. (e) When its activity decreases and falls under  $\mathcal{A}_{up}$ , the tracker is downgraded to the hidden layer but keeps its current parameters and position. (f) Another step of tracking. (g) Eventually, the activity may rise again over  $\mathcal{A}_{up}$  and the tracker can be upgraded again. (h) Finally, if its activity falls under  $\mathcal{A}_{down}$ , the tracker is deleted.

#### D. Multi-target tracking

The algorithm is first initialized with a hidden layer of pre-constructed trackers that are uniformly distributed among the whole field of view. Hidden trackers refer to those not displayed on the screen as active trackers. A hidden tracker is one that does not represent a real object but serves to seed a potential tracker.

A tracker will be automatically attracted to the nearest events' cloud. When a new event occurs, and no active tracker responds to it, it directly feeds the nearest hidden tracker with the same update rule as described by equation (3) (see Fig. 4(b)). When the activity of a hidden tracker increases above a predefined threshold  $\mathcal{A}_{up}$  (Fig. 4(c)), the tracker is upgraded to the visible layer, and a new hidden tracker is added with default parameters so that the hidden layer always has a fixed number of trackers distributed across the scene. When its activity falls under  $\mathcal{A}_{down}$ , the visible tracker is deleted (Fig. 4(h)). In the intermediary step, between  $\mathcal{A}_{up}$  and  $\mathcal{A}_{down}$  (Fig. 4(f)), the tracker is downgraded to the hidden layer but keeps its actual parameters and position. The evolution criteria combines both spatial and temporal correlation of events. Parameters  $\mathcal{A}_{down}$ ,  $\mathcal{A}_{up}$  and the temporal decrease constant  $\tau$  have to be tuned according to the event rate and the desired behavior.

#### E. Mutual repulsion and attraction to initial position

In case of strong localized activity, all neighboring trackers can be attracted to the same location. In order to prevent the different trackers following the same cloud, a mutual repulsion process is added. Each time a tracker is activated by an

event, the distance between each tracker pair is computed and the trackers that are too close are shifted away from each other, following the weighted repulsion function described in equation (13). The location  $\mu_i$  of a tracker is updated compared to the location of another tracker at  $\mu_j$  as follows:

$$\mu_i \leftarrow \mu_i - \alpha_{rep} e^{-\frac{\|\mu_i - \mu_j\|}{d_{rep}}} \frac{\mathcal{A}_j^2}{\mathcal{A}_i^2 + \mathcal{A}_j^2} (\mu_j - \mu_i), \quad (13)$$

where parameters  $\alpha_{rep}$  and  $d_{rep}$  set the repulsion behavior. The mutual repulsion between trackers  $i$  and  $j$  is designed to ensure a correct repartition of trackers based on the distance that separates them but also on the activity of both trackers. The more a tracker is active (i.e. is efficiently tracking an event cloud), the less it will be influenced by its neighbors.

Due to this mutual repulsion, inactive trackers are often pushed far from their initial position by moving active trackers. Thus empty areas could grow on the field of view. To compensate this possibility, we also added an attraction force that impacts on each inactive tracker in the following way:

$$\mu_i \leftarrow \begin{cases} \mu_i^0, & \text{if } \|\mu_i - \mu_i^0\| > d_{max} \\ \mu_i + \alpha_{att}(\mu_i^0 - \mu_i), & \text{otherwise.} \end{cases} \quad (14)$$

where  $\mu_i^0$  is the initial position of tracker  $i$ . Thus, an inactive tracker that moved too far away from its initial position without being activated will be reset to its initial position.

Two additional constraints are added. Trackers that are near the border and about to move out of the focal plane are deleted. The borders are one pixel thick and a tracker is deleted if the distance of its center to the border is below its typical size. For a Gaussian blob this typical size is the length of the ellipse's smallest axis (axis  $b$  in Fig. 2). For a symmetric tracker (e.g. square, triangle) the size is the circumscribed circle's radius. The second constraint prevents the  $\Sigma$  matrix going below a low threshold value for the Gaussian trackers to limit the tracking to objects with a reasonable size. These additional constraints do not have much impact on the overall tracking performance as the sensor is usually configured to capture the scene in center of the focal plane.

#### F. Global algorithm

To summarize, the whole process of event-based features tracking is given by the following algorithm 1.

1) *Remarks:* For simplicity, repulsion and attraction are computed every time a new event occurs, but as trackers do not move that fast, it is possible to perform computation less frequently. When adding this optimization, processing the repulsion and attraction step can usually be done every millisecond and still yield good performances.

Events are considered regardless of their polarity as we are interested in the global position and orientation of the object. In what follows we will focus on a single polarity. This will be carried out to provide a precise measure of accuracy of the positioning of a tracker for a thin contour.

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**Algorithm 1** Event-based features tracking Algorithm
 

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for every incoming event  $ev(\mathbf{u}, t)$  do
  Update the activity  $\mathcal{A}_i$  of each tracker of the visible layer
  using Eq (6) or (10).
  Update the best candidate tracker's position (and size)
  using equations (3) (and (4)).
  for every tracker of both layer do
    if  $\mathcal{A}_i > \mathcal{A}_{up}$  then
      Upgrade tracker to visible layer.
    else if  $\mathcal{A}_i < \mathcal{A}_{down}$  then
      Delete tracker  $i$ 
    else
      Downgrade tracker to hidden layer, and keep the
      same parameters and position.
    end if
  end for
  for every pair of trackers of both layers do
    Update tracker position using repulsion equation (13)
  end for
  for every tracker of hidden layer do
    Update tracker position using attraction equation (14)
  end for
end for

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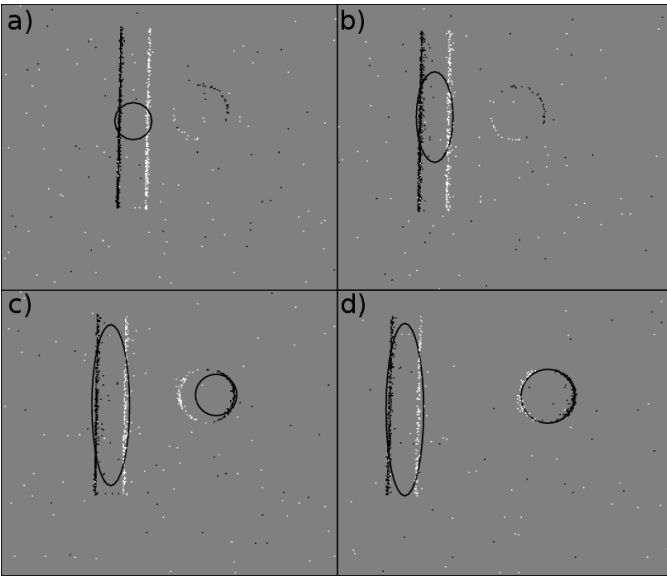


Fig. 5. Events cloud accumulated over 15ms (for each subfigure), ON and OFF events are represented by white and black points respectively. Gaussian trackers are attracted and deformed in response to the distribution of events clouds corresponding to different objects. (a) The activity of a hidden tracker crosses the  $\mathcal{A}_{up}$  threshold, it then becomes visible. (b) The tracker rapidly adapts to the events cloud's distribution. (c) While the first tracker matches the rectangle object, another object starts to move, causing a second tracker to update (d).

#### IV. RESULTS

The experiments have been carried out using the ATIS camera considering only its change detection output and gray levels have not been used. All programs have been written in

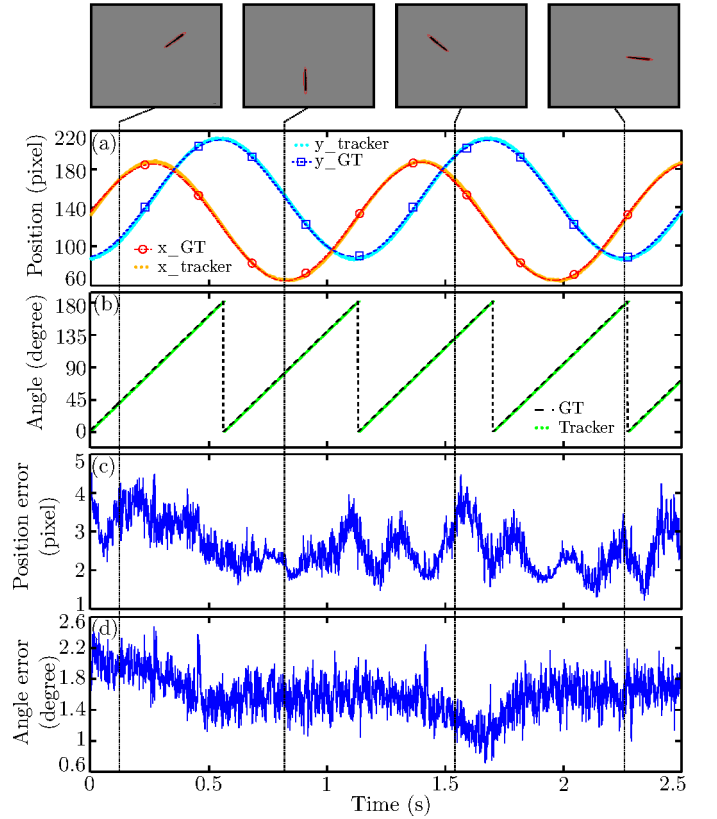


Fig. 6. Gaussian tracker accuracy in position and angle. (a) Object position in x (dashed line with circle markers) and y (dashed line with square markers). Underlying dots represent the tracker's positions. They are hardly distinguishable from the ground truth measure (GT). (b) Object's angle (dashed line) and tracker's angle (underlying dots). (c) and (d) Tracking error respectively in position and angle computed every millisecond. On top are shown snapshots (accumulation of events during 10ms) of the segment at different timestamps during motion and the ellipse representing the active Gaussian tracker's position, size and orientation.

C++ under linux. We experimentally set the parameters related to the activity of trackers to  $\mathcal{A}_{up} = 0.15$  and  $\mathcal{A}_{down} = 0.1$ . Parameters related to the extraction of orientations from the covariance matrix have been set to  $K = 1$  (Eq (7,8)) and  $\tau = 20$  ms (Eq (6)). The repulsion and attraction rates have been set to  $d_{max} = 40$  (Eq (14)) and  $d_{rep} = 20$  (Eq (13)). These have been set according to the mean size of observed objects. The repulsion and attraction rates have been set to  $\alpha_{att} = 0.01$  (Eq (14)) and  $\alpha_{rep} = 0.1$  (Eq (13)). Parameters  $\tau = 5ms$  (Eq (10)) and  $\tau_{inhib} = 10\mu s$  (Eq (11)) have low values so that trackers adapt to fast motions.

As in every low level image processing technique, these values can unfortunately only be experimentally adjusted from the statistics of observed scenes. We found these values to be optimal for all performed experiments. They appear to comply with a large variety of conventional indoor environments for

fast and slow stimuli<sup>1</sup>.

### A. Gaussian blob trackers

Fig. 5 shows the Gaussian trackers growth and adaptation to events clouds for two independently moving objects (a rectangle and a disc, note that the rectangle only produces two lines of events because it moves in a parallel direction to its two missing edges). The settings of parameters were adjusted experimentally to:  $\alpha_1 = 0.2$  (Eq (3)),  $\alpha_2 = 0.01$  (Eq (4)). The adjustment factors have been set smaller for the covariance matrix so that the trackers' size does not collapse in case few events are generated by the target. In practice, the value of the position of the tracker has to move fast to keep up with the motion, while we assume that the shape of the object will change at a slower rate.

We evaluated the Gaussian tracker accuracy, for position, angle and size. In a first experiment, the object tracked is a segment rotating along a circle at constant speed. During ten rotations, only one Gaussian tracker activated and followed the segment. As shown in Fig. 6, ground truth position in  $x$  and  $y$  (respectively lines with circle and square markers) are barely distinguishable from the tracker's position which is output every millisecond for display purpose but internally updated asynchronously every time an event occurred. The position tracking error is computed as the euclidian distance between the segment's ground truth position and the tracker's position every millisecond:  $(2.61 \pm 0.62 \text{ pixels}, \text{mean} \pm \text{STD})$ . The segment angle (dashed line Fig. 6 (b)) is also tracked (underlying points) with a very high precision ( $1.59 \pm 0.26$  degree). Due to symmetries, angles are shown from 0 to 180 degree. Ground truth's position, angle and scale were measured by hand-clicking the segment's extremities periodically on reconstructed frames.

To measure the tracking error using the Gaussian tracker, we moved the same line segment closer and further in front of the retina so that its length changes as we moved closer and farther away. Fig. 7 shows the ground truth evolution of the length of the line segment (black dashed line) and the corresponding Gaussian tracker's major axis (small dots). The corresponding tracking error (bottom curve) is  $1.9 \pm 1.6$  pixel.

Finally we performed an experiment where a pen is thrown in front of the camera. The result of its real-time tracking is shown in Fig.8. The pen is successfully tracked in real-time. Some trackers are activated by the person's motion, such as his head and hand that are then also tracked. The mean error of tracking is 1.2 pixel, it corresponds to the distance between the gravity center of the pen and that of the tracker. The ground truth has been performed by manually labeling the gravity center of the pen on reconstructed frames.

### B. Gabor kernels

All Gabor trackers sizes have been initially set to  $\sigma = 3$  with  $\gamma = \sigma/15$  and  $\lambda = 4\sigma$  (Eq (12)). The kernel for each

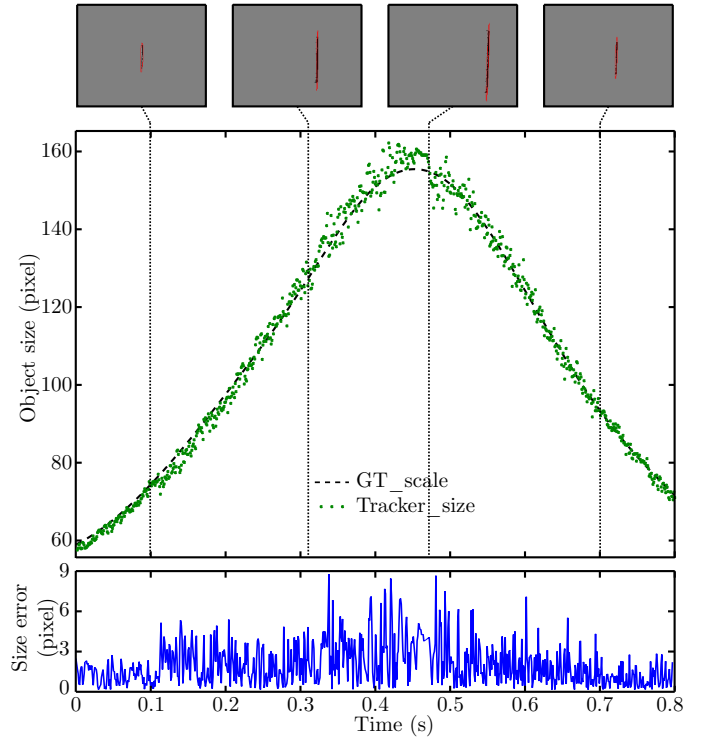


Fig. 7. Gaussian tracker accuracy in size. (a) Object's ground truth size (black dashed line), and Gaussian tracker's major axis (underlying dots). (b) Size's error computed every millisecond. On top are shown snapshots (accumulation of events during 10ms) of the segment at different timestamps during motion. The Gaussian tracker is shown as an ellipse.

orientation has a size of  $17 \times 12$  pixel and is constant through the experiment (so the size and orientation of each filter will not change during tracking). Four Gabor oriented kernels (see Fig. 9) are used to track the thrown pen. As shown by Fig. 9, the oriented trackers successfully track the rotating pen. From a handmade ground truth we found that the mean distance between the position of tracked oriented edges and the center of trackers has a mean value of 1.4 pixel. The oriented filters show a 100% of success in detecting orientation.

### C. Gabor combinations and general kernels

In order to evaluate the spatio-temporal precision of the algorithm, we tested it with more complex kernels. We used combinations of Gabor functions (crosses, Fig. 3 (e) and (f)) and more general handmade kernels (triangle and square Fig. 3 (i) and (j)) were used with a  $6 \times 3$  initialization grid of hidden trackers for each type of kernel. As in previous experiments, active trackers are represented in Fig. 10 by corresponding superimposed shapes. The stimuli were printed on a sheet of paper that was held by hand and moved in front of the sensor. During the experiment, one unique tracker per feature was active, it successfully followed its corresponding shape.

Fig. 11 shows the spatiotemporal precision of the algorithm, showing the ground truth (line with circle markers) and the corresponding active tracker's positions over time (small dots).

<sup>1</sup>This paper has supplementary downloadable material available at <http://ieeexplore.ieee.org>, provided by the authors. This consists of a video showing the described tracking methods operating in different conditions such as real-world data and some of the experiments presented in this paper. This material is 12 MB in size



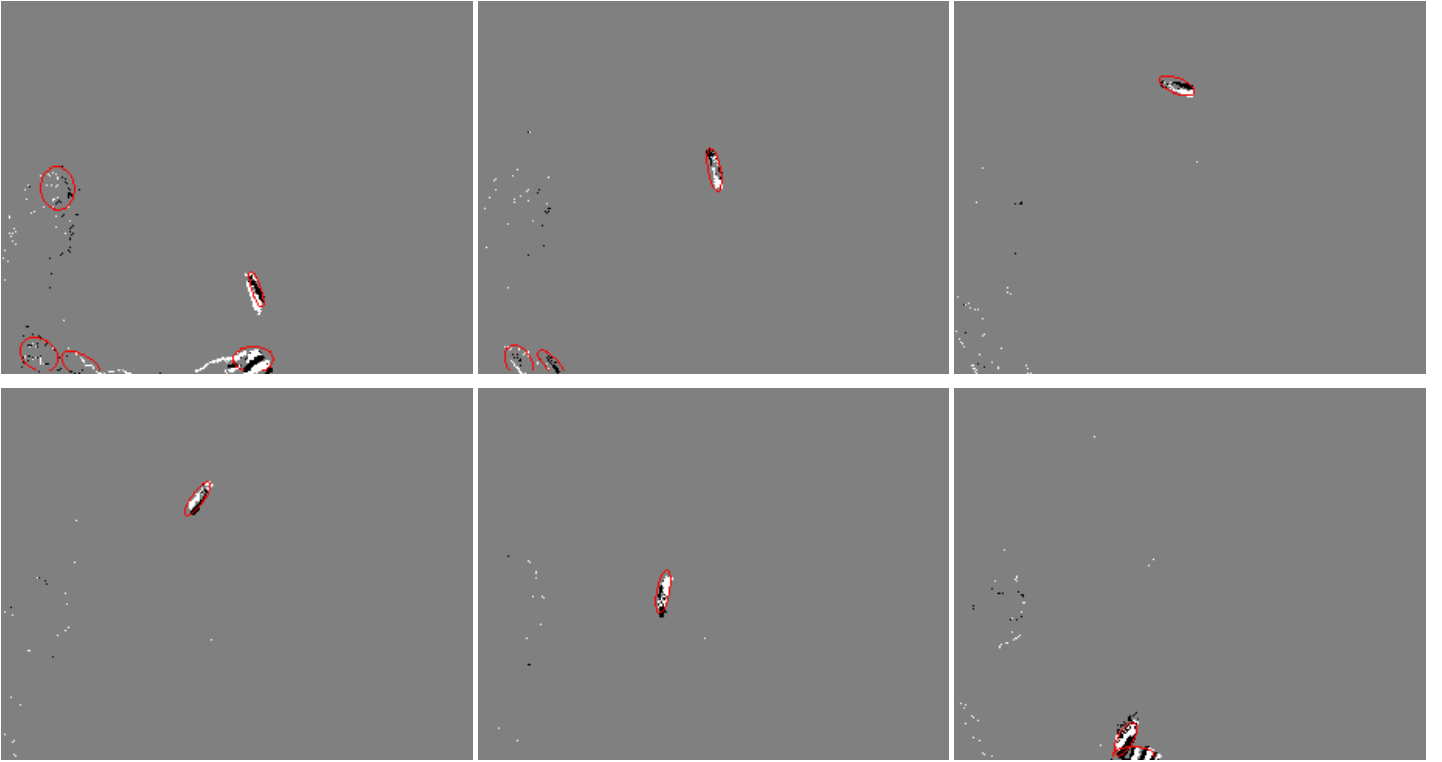


Fig. 8. From top to down and left to right: sequence of snapshots where the Gaussian blob trackers follow a pen thrown in the air. The trackers stick to the pen’s position. Blobs are also activated when the person on the left of the scene is moving. They are successfully tracking the person’s features and eventually disappear in absence of motion.

The ground truth is computed every  $10ms$  (line with circle markers) accumulating OFF events between two measures and hand-clicking the feature center. We used a single polarity to provide a precise measurement of the accuracy of the trackers when following a single contour. In order not to overload Fig 11, the tracker’s position is showed every  $1ms$ . The activities and positions are updated asynchronously every time an event occurs.

The tracking error is computed as the euclidian distance between the tracker’s position and the ground truth. It is shown in Fig. 12 for all used kernels. The two crosses kernels show a low error of  $0.81 \pm 0.42$  pixel and  $0.70 \pm 0.38$  pixel (mean  $\pm$  STD). The error of the square kernel is a bit higher ( $1.12 \pm 0.66$  pixel) but remains lower than one pixel. However, the last kernel (triangle) has a significantly higher tracking error. This is again due to the event-based acquisition mechanism. When the relative motion is parallel to a segment of the shape, no event is acquired from this segment. As shown in Fig. 13, during vertical motion, the vertical segments of the triangle, rectangle and straight cross disappear.

Fig. 13 shows the motion of the object (dashed circle), and the different positions (segments along the circle). These positions are also reported on Fig. 12 and correspond to the configurations that lead to an increase of the triangle tracking error (bottom plot).

#### D. Computational cost

We estimated the average time per event spent to update each tracker by measuring the total computation time required by the algorithm in experiments showing a uniform event rate when using a single computation thread. On the computer used in the experiments (Intel Xeon E5520 at 2.27 GHz), this time is approximately  $25ns$  per event and per tracker for a kernel size of  $70 \times 70$  if the kernel’s matrix has been pre-computed and processing regulation steps (trackers’ repulsion/attraction every millisecond) when running on a single core. Consequently, in a typical natural scene (that statistically generates 200000 events per second), we estimate that it is possible to compute in real-time around 200 of these kernels with one single core of our cpu. The total performance of a multi-threaded implementation using all of the cpu’s cores has not been verified experimentally.

The Gaussian trackers are obviously the most computationally expensive ones due to the need to provide a value of the exponential. For the other filters, the computation costs are similar. We precomputed the kernel matrices changes for every position of the incoming event in the kernel. This turns out to be computationally inexpensive as the cost becomes that of accessing a lookup table in memory.

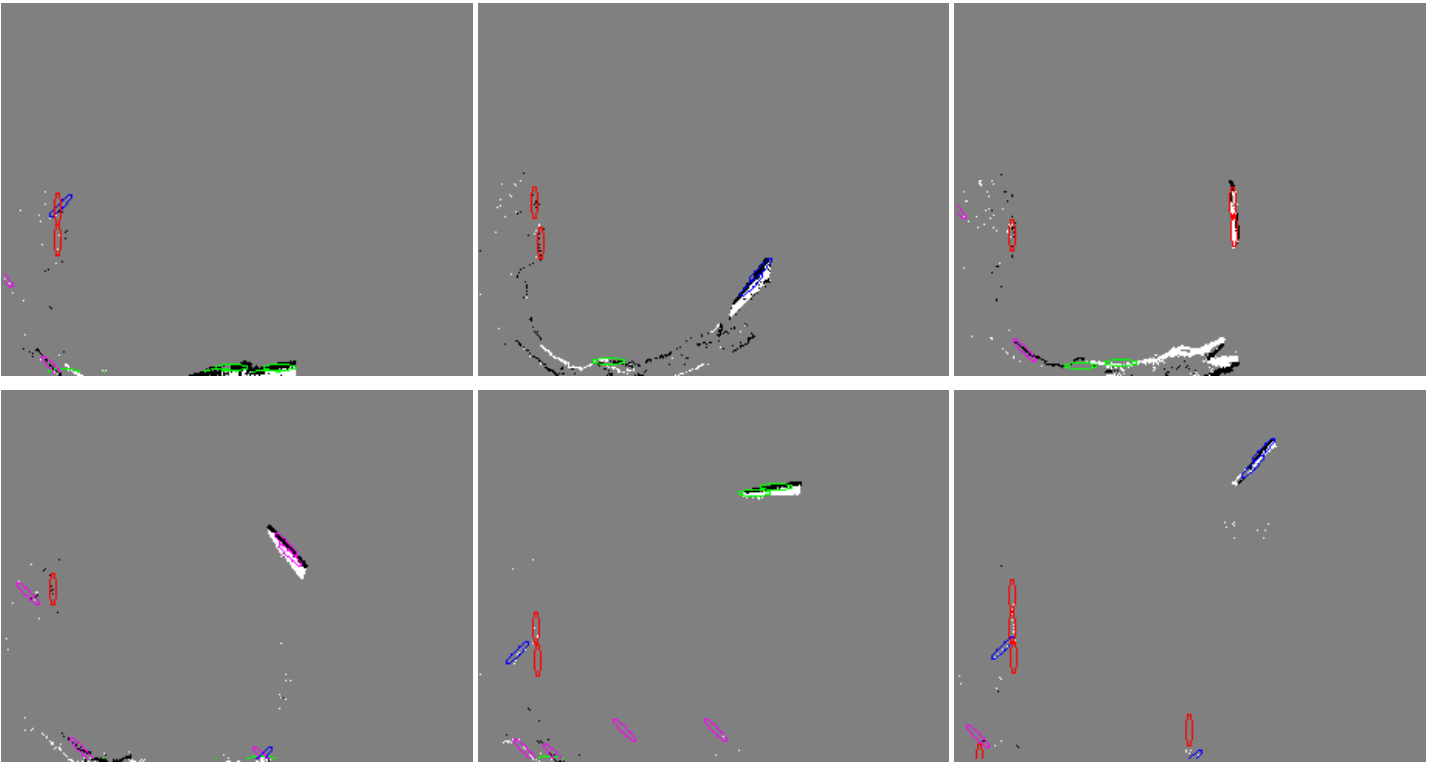


Fig. 9. From top to down and left to right: sequence of snapshots where oriented Gabor trackers follow the rotation of a pen thrown in the air. The orientation of the pen is successfully tracked. This experiment uses four pools each containing one particular Gabor tracker with a given size and orientation (though tracking a total of four different orientations independently). Oriented trackers are activated sequentially while the pen performs a full  $360^\circ$  rotation. The orientation filters are also activated by the person's movements when they happen. Note how the kernels track the pen but never overlap. This is due to the repulsion mechanism.

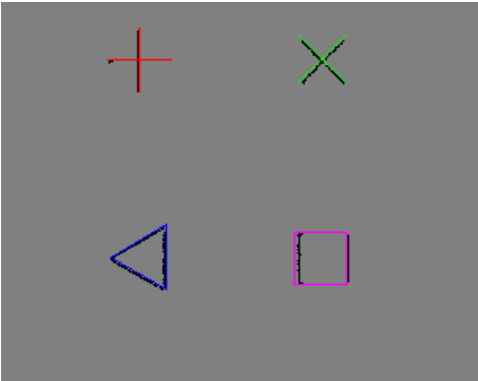


Fig. 10. Tracking results for specific features using combinations of Gabors and general kernels. Each active kernel is represented by the corresponding shape. The snapshot has been created accumulating events (black dots) during  $10ms$ .

### E. Retrieving feature scale and orientation

Feature trackers use kernels with fixed size and orientation but it is also possible to track a feature even if its size and orientation evolve during time by computing in parallel multiple layers of trackers. Each layer behaves as described in previous sections. This allows to track features' size and orientation in a discretized space. In Fig. 14 we show the

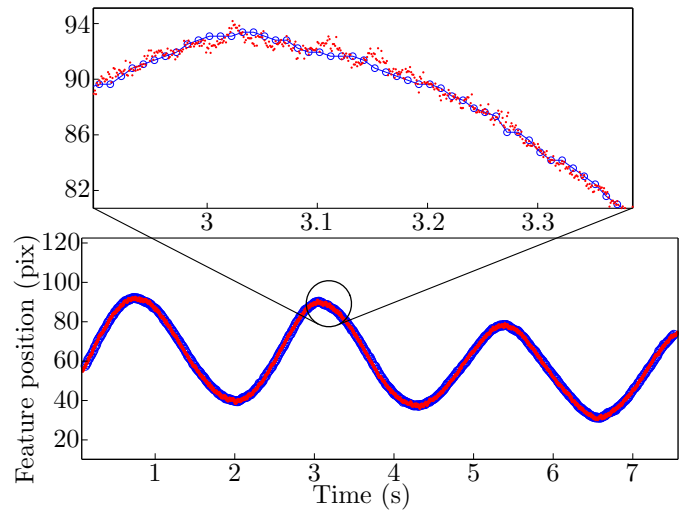


Fig. 11. Horizontal position of the first active tracker (straight cross in top left corner of Fig. 10) over time (small dots), and the ground truth position of the tracked shape (line with circle markers).

continuous tracking of a cross that rotates around its center at a constant speed. Due to the cross radial symmetry, we discretized the orientation space into 10 orientations from 0 to

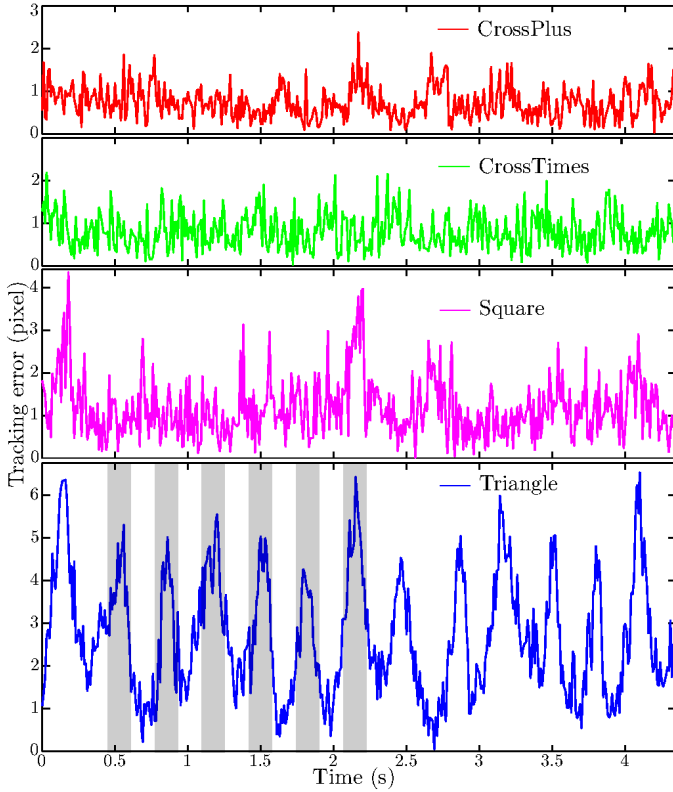


Fig. 12. Tracking errors for all used features. The error is computed as the euclidian distance between the tracker’s position and the ground truth position of the corresponding shape. Gray areas show the periods of time of a single rotation. When the motion direction is parallel to one of the triangle’s segments, this leads to an increase of the tracking error. This is an expected result.

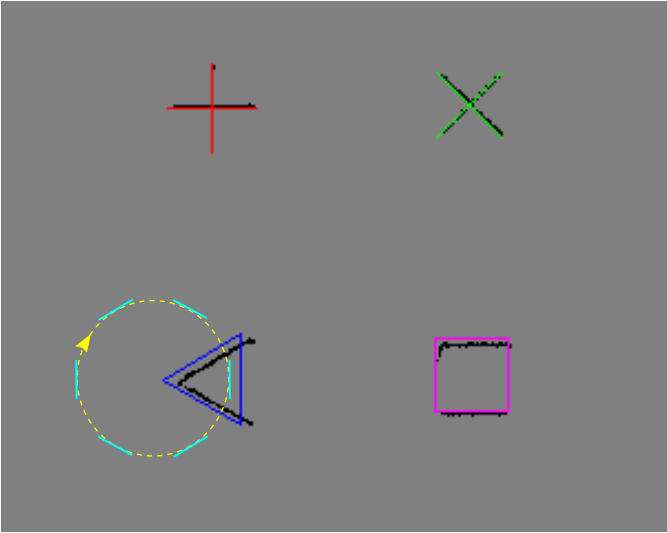


Fig. 13. Active trackers’ positions (straight shapes) and the corresponding ground truth (black dots). Dashed circle indicates the approximate triangle’s motion. Segments on this circle represent the positions where motion is parallel to one side of the triangle, leading to an increase of tracking error.

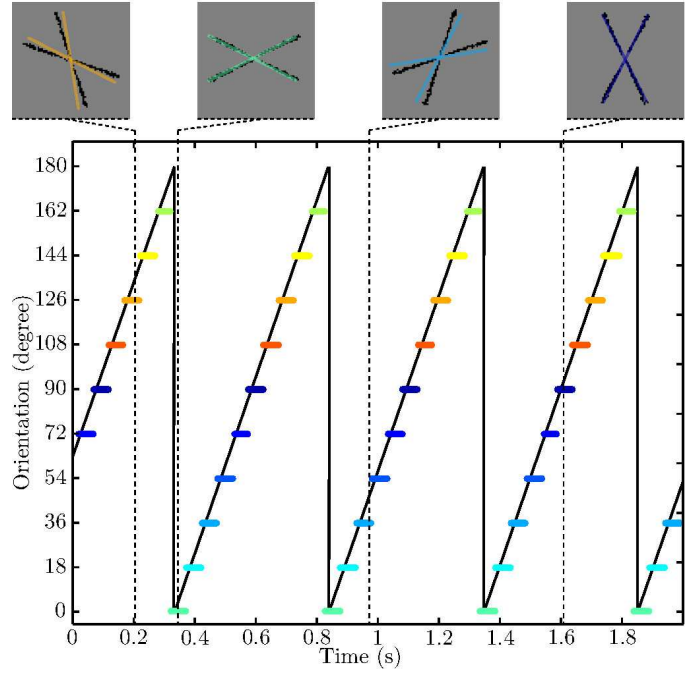


Fig. 14. Continuous tracking of a rotating cross. Each point on the lower plot represents the activation of an oriented tracker. Its orientation is represented by its level. The orientation’s ground truth (black line) was measured every 100ms and interpolated considering constant rotational speed. Upper images represent accumulations of OFF events during 10ms at different timestamps and corresponding active trackers. Only OFF events are used here to ensure a precise measurement of trackers to a single contour.

180 degree and also added the ground truth.

The orientation of active tracker regularly alternates to follows the feature’s orientation. We can in principle merge the 10 oriented trackers used here into a single rotation-invariant tracker for this cross by computing a global activity as the maximum of each oriented tracker activity.

Similarly, we can create scale-invariant feature trackers by combining multiple trackers whose kernels represent a unique feature at different scales. Fig. 15 shows the continuous tracking of a square that was moved back and forth in front of the camera. Six scaled square trackers were used corresponding to sizes of 16, 32, 48, 64, 80 and 96 pixels.

## V. CONCLUSION AND DISCUSSION

This paper presents an event-based methodology to track shapes. It allows the following of specific shapes at a very low computational cost thus matching the high temporal resolution of event-based vision sensors. It can adapt to orientation and change of scale. Experiments have shown the stability and repeatability of the algorithm. Because of its low computational cost, the method can track multiple targets in parallel. The same technique is also used to make the algorithm scale and orientation invariant. The method is particularly adapted to applications such as robotics vision based navigation, Simultaneous Localization And Mapping (SLAM) or object recognition. These usually impose tight

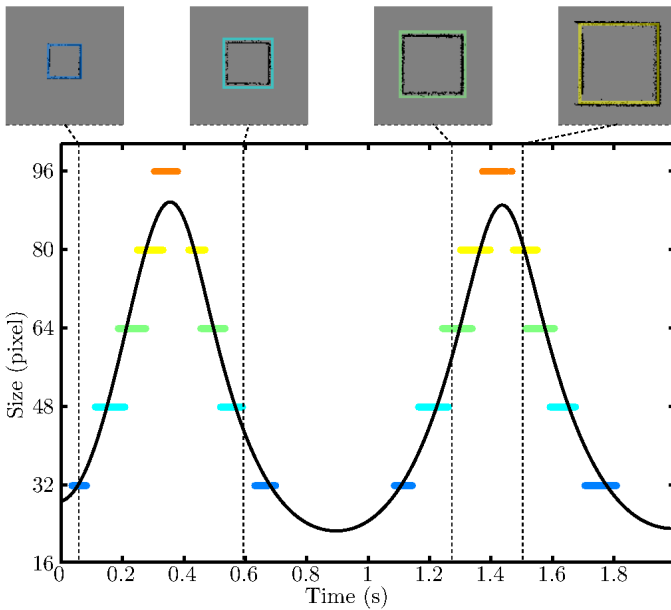


Fig. 15. Continuous tracking of a moving square. Each point on the lower plot represents the activation of a specific scale tracker. Its size is represented by its level. The Ground truth (black line) was measured every 10ms. Upper images represent accumulations of events during 10ms.

constraints on computation times and energy consumption.

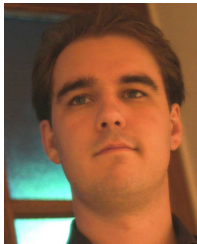
The method relies on several parameters that need to be tuned. This is generally the case for almost every image processing method. Free-parameters techniques in computer vision is still an open problem. In this paper we provided a set of parameters that we have shown to be efficient for a wide variety of applications including highly dynamic scenes. This work is currently being extended to link trackers together in a coherent spatial arrangement to match complex shapes such as faces and human body. These techniques are known as part-based approaches [25], they are currently too computationally greedy in the conventional frame-based approach to be implemented at several kilohertz. Finally, it is important to emphasize the particular innovation of the method. The architecture avoids the  $N^2$  operations per event associated with conventional kernel-based convolutional operations with  $N \times N$  kernels. Our transfer function based approach enables us to compute (or retrieve from a look-up table) only one value per tracker for each incoming event which is dependant on the position of the event with respect to the center of the trackers. This is a major feature as, due to the number of incoming events, a full convolution would prevent from real-time implementation on current off-the-shelf computers.

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