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# Generation of acoustic solitary waves in a lattice of Helmholtz resonators

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# Abstract

This paper addresses the propagation of high amplitude acoustic pulses through a 1D lattice of Helmholtz resonators connected to a waveguide. Based on the model proposed by Sugimoto (J. Fluid. Mech., 244 (1992), 55-78), a new numerical method is developed to take into account both the nonlinear wave propagation and the different mechanisms of dissipation: the volume attenuation, the linear visco-thermic losses at the walls, and the nonlinear absorption due to the acoustic jet formation in the resonator necks. Good agreement between numerical and experimental results is obtained, highlighting the crucial role of the nonlinear losses. Different kinds of solitary waves are observed experimentally with characteristics depending on the dispersion properties of the lattice.

*Keywords:* nonlinear acoustics, solitary waves, Helmholtz resonator, fractional derivatives, shock-capturing schemes

## 1 1. Introduction

The dynamics of nonlinear waves in lattices has been the object of a great interest in the scientific community. This theme has stimulated researches in

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a wide range of areas, including the theory of solitons and the dynamics of 4 discrete networks. Works have been led in electromagnetism and optics [29], 5 and numerous physical phenomena have been highlighted, such as dynamical 6 multistability [63, 25, 36], chaotic phenomena [22, 64], discrete breathers 7 [34, 6, 20] and solitons or solitary waves [37, 38]; for a review, see [28]. 8 Solitary waves have been observed and studied firstly for surface wave in 9 shallow water [53]. These waves can propagate without change of shape 10 and with a velocity depending of their amplitude [50]. This phenomenon 11 has been studied in many physical systems, for instance in fluid dynamics, 12 optics, plasma physics. For a review, see [19] and the citations in [14]. 13

In the field of acoustics, numerous works have shown the existence of 14 solitary waves in uniform or inhomogeneous rods [12, 31, 3], periodic chains 15 of elastics beads [33, 2, 11, 13, 44], periodic structures such as lattices or 16 crystals [9, 23, 26], elastic layers [32, 41, 42], layered structures coated by 17 film of soft material [30] and microstructured solids [18]. As we can see, most 18 studies concern elastic waves in solids. Indeed, only a few works deal with 19 acoustic waves in fluid, even if experimental observations of solitary waves 20 have been made in the atmosphere [10, 48, 17] or in the ocean [61, 39, 1]. 21

One reason of this lack originates from the fact that the intrinsic disper-22 sion of acoustic equations is too low to compete with the nonlinear effects, 23 preventing from the occurrence of solitons. To observe the latter waves, geo-24 metrical dispersion must be introduced. It has been the object of the works 25 of Sugimoto and his co-authors [59, 56, 58, 60], where the propagation of non-26 linear waves was considered in a tube connected to an array of Helmholtz res-27 onators. A model incorporating both the nonlinear wave propagation in the 28 tube and the nonlinear oscillations in the resonators has been proposed. The-29 oretical and experimental investigations have shown the existence of acoustic 30 solitary waves [59]. 31

The present study extends the work of Sugimoto. We examine the valid-32 ity of his theoretical model to describe the propagation of nonlinear acous-33 tic waves in a tunnel with Helmholtz resonators. For this purpose, we de-34 velop both a new numerical method and real experiments. Compared with 35 our original methodology presented in [40], improvements are introduced to 36 model numerically the attenuation mechanisms. The combination of highly-37 accurate numerical simulations and experimental results enables to study 38 quantitatively the generation of solitary waves, and also to determine the 39 role of the different physical phenomena (such as the linear and nonlinear 40 losses) on wave properties. 41

The paper is organized as follows. Section 2 introduces the model of Sug-42 imoto [60]. Section 3 presents the evolution equations. The nonlocal frac-43 tional derivatives modeling the viscothermic losses are transformed into a set 44 of memory variables satisfying local-in-time ordinary differential equations. 45 Sugimoto's model is then transformed into a first-order system of partial dif-46 ferential equations. Section 4 details the numerical methods. The coefficients 47 of the memory variables are issued from a new optimization procedure, which 48 ensures the decrease of energy. A splitting strategy is then followed to inte-49 grate the evolution equations. Compared with [40], another novelty concerns 50 the integration of a nonlinear differential equation describing the nonlinear 51 losses. Section 5 introduces the experimental setup, the acquisition chain, 52 and some validation tests. Section 6 compares the experimental results and 53 the simulated results, confirming the validity of the theoretical model [56] 54 and the existence of acoustic solitary waves. 55

# <sup>56</sup> 2. Problem statement

57 2.1. Configuration



Figure 1: Sketch of the guide connected with Helmholtz resonators.

The configuration under study is made up of an air-filled tube connected with uniformly distributed cylindrical Helmholtz resonators (figure 1). The geometrical parameters are the radius of the guide R; the axial spacing between resonators D; the radius of the neck r; the length of the neck L; the <sup>62</sup> radius of the cavity  $r_h$ ; and the height of the cavity H. The cross-sectional <sup>63</sup> area of the guide is  $A = \pi R^2$  and that of the neck is  $B = \pi r^2$ , the volume of <sup>64</sup> each resonator is  $V = \pi r_h^2 H$ . Corrected lengths are introduced: L' = L + 2r<sup>65</sup> accounts for the viscous end corrections, and the corrected length  $L_e = L + \eta$ <sup>66</sup> accounts for the end corrections at both ends of the neck, where  $\eta \approx 0.82 r$ <sup>67</sup> is determined experimentally [56].

The physical parameters are the ratio of specific heats at constant pressure and volume  $\gamma$ ; the pressure at equilibrium  $p_0$ ; the density at equilibrium  $\rho_0$ ; the Prandtl number Pr; the kinematic viscosity  $\nu$ ; and the ratio of shear and bulk viscosities  $\mu_v/\mu$ . The linear sound speed  $a_0$ , the sound diffusivity  $\nu_d$ , the dissipation in the boundary layer C, and the characteristic angular frequencies of the resonator  $\omega_0$  and  $\omega_e$ , are given by:

$$a_{0} = \sqrt{\frac{\gamma p_{0}}{\rho_{0}}}, \qquad \nu_{d} = \nu \left(\frac{4}{3} + \frac{\mu_{v}}{\mu} + \frac{\gamma - 1}{\Pr}\right), \qquad C = 1 + \frac{\gamma - 1}{\sqrt{\Pr}},$$

$$\omega_{0} = a_{0} \sqrt{\frac{B}{L V}} = a_{0} \frac{r}{r_{h}} \frac{1}{\sqrt{L H}}, \qquad \omega_{e} = \sqrt{\frac{L}{L_{e}}} \omega_{0}.$$

$$(1)$$

#### 74 2.2. Model of Sugimoto

Given a characteristic angular frequency  $\omega$ , the main assumptions underlying Sugimoto's model are [56]:

• low-frequency propagation  $(\omega < \omega^* = \frac{1.84 a_0}{R})$ , so that only the plane mode propagates and the 1D approximation is valid [7];

• weak acoustic nonlinearity in the tube (small Mach number) [24];

• continuous distribution of resonators  $(\lambda \gg D)$ , where  $\lambda = 2 \pi a_0/\omega$ .

The wave fields are split into simple right-going waves (denoted +) and leftgoing waves (denoted -) that do not interact together during their propagation. The variables are the axial velocity of the gas  $u^{\pm}$  and the excess pressure in the cavity  $p^{\pm}$ . The excess pressure in the tube is denoted by  $p'^{\pm}$ . In the linear theory, it is related to  $u^{\pm}$  by

$$p^{\prime \pm} = \pm \rho_0 \, a_0 \, u^{\pm}. \tag{2}$$

Each simple wave is modeled by a coupled system of a partial differential equation (PDE) and a ordinary differential equation (ODE):

$$\begin{cases} \frac{\partial u^{\pm}}{\partial t} + \frac{\partial}{\partial x} \left( \pm a u^{\pm} + b \frac{(u^{\pm})^2}{2} \right) = \pm c \frac{\partial^{-1/2}}{\partial t^{-1/2}} \frac{\partial u^{\pm}}{\partial x} + d \frac{\partial^2 u^{\pm}}{\partial x^2} \mp e \frac{\partial p^{\pm}}{\partial t}, \text{(3a)}\\ \frac{\partial^2 p^{\pm}}{\partial t^2} + f \frac{\partial^{3/2} p^{\pm}}{\partial t^{3/2}} + g p^{\pm} - m \frac{\partial^2 (p^{\pm})^2}{\partial t^2} + n \left| \frac{\partial p^{\pm}}{\partial t} \right| \frac{\partial p^{\pm}}{\partial t} = \pm h u^{\pm}, \quad \text{(3b)}\end{cases}$$

<sup>86</sup> with the coefficients

$$a = a_0, \quad b = \frac{\gamma + 1}{2}, \quad c = \frac{C a_0 \sqrt{\nu}}{R^*}, \quad d = \frac{\nu_d}{2}, \quad e = \frac{V}{2 \rho_0 a_0 A D},$$
  
$$f = \frac{2 \sqrt{\nu}}{r} \frac{L'}{L_e}, \quad g = \omega_e^2, \quad h = \omega_e^2 \frac{\gamma p_0}{a_0}, \quad m = \frac{\gamma - 1}{2 \gamma p_0}, \quad n = \frac{V}{B L_e \rho_0 a_0^2}.$$
  
(4)

The PDE (3a) models nonlinear acoustic waves in the tube (coefficients a and b). Viscous and thermal losses in the boundary layer of the tube are introduced by the coefficient c [8]. The diffusivity of sound in the tube is also introduced by the coefficient d.

The ODE (3b) models the air oscillation in the neck of the resonators thanks to the coefficients f and g [45, 46]. Compared to the ODE used in [40], the following modifications have been introduced:

• the natural angular frequency of the resonator  $\omega_0$  has been replaced by the corrected angular frequency  $\omega_e$  (1),

• f has been multiplied by  $L'/L_e$ ,

• new coefficients *m* and *n* have been introduced, describing nonlinear attenuation processes.

The coefficient m models the nonlinearity due to the adiabatic process in the cavity, whereas the semi-empirical coefficient n accounts for the jet loss resulting from the difference in inflow and outflow patterns [56, 60]. As it will be illustrated later, these nonlinear losses need to be be included to get good agreement with the experimental measurements.

The coupling between (3a) and (3b) is done by the coefficients e and h. If the resonators are suppressed  $(H \to 0 \text{ and thus } V \to 0)$ , then the coefficient  $e \to 0$ : no coupling occurs, and the classical Chester's equation is recovered [43]. Fractional operators of order -1/2 and 3/2 are involved in the system (3), via the coefficients c and f. These operators model the viscothermal losses in the tube and in the resonators, respectively proportional to  $1/(i\omega)^{1/2}$  and  $(i\omega)^{3/2}$  in the frequency domain. In (3a), the Riemann-Liouville fractional integral of order 1/2 of a causal function w(t) is defined by

$$\frac{\partial^{-1/2}}{\partial t^{-1/2}}w(t) = \frac{H(t)}{\sqrt{\pi t}} * w = \frac{1}{\sqrt{\pi}} \int_0^t (t-\tau)^{-1/2} w(\tau) \, d\tau, \tag{5}$$

where \* is the convolution product in time, and H(t) is the Heaviside step function [47]. The Caputo fractional derivative of order 3/2 in (3b) is obtained by applying (5) to  $\partial^2 p^{\pm}/\partial t^2$ .

116 2.3. Dispersion regimes

Sugimoto's model (3) relies on a low-frequency assumption. In this case, the set of discrete Helmholtz resonators separated by portions of tube are replaced by a continuous surfacic distribution of resonators. To examine the validity of this model in our experimental configuration, one can compare the dispersion relations obtained respectively by the continuous model and by the discrete one, the latter being deduced from a Floquet-Bloch analysis [57].

<sup>124</sup> In the linear regime, the lossy continuous model proposed by Sugimoto <sup>125</sup> leads to the following dispersion relation [57]:

$$(QD)^2 = \left(1 - \sqrt{2}\left(1 - i\right)\frac{C}{R^*} \left(\frac{\nu}{\omega}\right)^{1/2} + i\frac{\nu_d \omega}{a_0^2}\right)^{-1} \left(1 - \frac{\kappa}{Z_2(\omega)}\right) \left(\frac{\omega D}{a_0}\right)^2,\tag{6}$$

where Q is the Bloch wave number,  $\kappa = V/(AD)$  and

$$Z_2(\omega) = \left(\frac{\omega}{\omega_e}\right)^2 - 1 + \frac{\sqrt{2}\left(1-i\right)}{r} \frac{L'}{L_e} \left(\frac{\nu}{\omega_e}\right)^{1/2} \left(\frac{\omega}{\omega_e}\right)^{3/2}$$

<sup>126</sup> On the contrary, the dispersion relation of the discrete model writes [52]

$$\cos QD = \cos(kD) + \frac{U(k)}{2k}\sin(kD),\tag{7}$$

where  $k = \omega/a_0$  is the wave number and U(k) is the equivalent potential of the Helmholtz resonators given by

$$U(k) = \frac{B}{Ak} \frac{\tan(kL_e) + \alpha \tan(kH)}{1 - \alpha \tan(kL_e) \tan(kH)},$$
(8)

with  $\alpha = (r_h/r)^2$ . The losses in the waveguide and resonators are modeled by introducing an imaginary part in the wavenumber k as presented in [57]. Results from equations (6) and (7) will be compared in section 5.3.

## <sup>132</sup> 3. Evolution equations

#### 133 3.1. Diffusive approximation

The fractional integral (5) is non local in time and relies on the full history of w(t), which is numerically memory-consuming. An alternative approach is based on a diffusive representation of fractional derivatives, and then on its approximation. This method has already been presented in [40] and we just recall the main steps: following [15], equation (5) is recast as

$$\frac{\partial^{-1/2}}{\partial t^{-1/2}}w(t) = \int_0^{+\infty} \phi(t,\theta) \, d\theta, \tag{9}$$

where the diffusive variable  $\phi$  satisfies the local-in-time ordinary differential equation

$$\begin{cases} \frac{\partial \phi}{\partial t} = -\theta^2 \phi + \frac{2}{\pi} w, \\ \phi(0,\theta) = 0. \end{cases}$$
(10)

To approximate the integral (9), a quadrature formula on  $N_q$  points is used, with weights  $\mu_{\ell}$  and nodes  $\theta_{\ell}$ :

$$\frac{\partial^{-1/2}}{\partial t^{-1/2}}w(t) \simeq \sum_{\ell=1}^{N_q} \mu_\ell \,\phi_\ell(t),\tag{11}$$

where the diffusive variables  $\phi_{\ell}(t) = \phi(t, \theta_{\ell})$  satisfy the ODE (10). Similarly, the derivative of order 3/2 is written

$$\frac{\partial^{3/2}}{\partial t^{3/2}}w(t) \simeq \sum_{\ell=1}^{N_q} \mu_\ell \left(-\theta_\ell^2 \,\xi_\ell + \frac{2}{\pi} \,\frac{dw}{dt}\right),\tag{12}$$

<sup>145</sup> where the  $\xi_{\ell}(t) = \xi(t, \theta_{\ell})$  satisfy the ODE

$$\begin{cases} \frac{\partial\xi}{\partial t} = -\theta^2 \xi + \frac{2}{\pi} \frac{dw}{dt}, \\ \xi(0,\theta) = 0. \end{cases}$$
(13)

The determination of weights and nodes  $\mu_{\ell}$  and  $\theta_{\ell}$  is discussed in section 4.1.

# 147 3.2. First-order systems

Equations (3), (11), (10), (12) and (13) governing the evolution of rightgoing and left-going simple waves are put together. On obtains two first-order systems

$$\begin{cases} \frac{\partial u^{\pm}}{\partial t} + \frac{\partial}{\partial x} \left( \pm a u^{\pm} + b \frac{(u^{\pm})^2}{2} \right) = \pm c \sum_{\ell=1}^{N_q} \mu_\ell \, \phi_\ell^{\pm} + d \frac{\partial^2 u}{\partial x^2} \mp e q^{\pm}, \\ \frac{\partial p^{\pm}}{\partial t} = q^{\pm}, \\ \frac{\partial q^{\pm}}{\partial t} = \frac{1}{1 - 2mp^{\pm}} \left( \pm h u^{\pm} - g p^{\pm} - f \sum_{\ell=1}^{N_q} \mu_\ell \left( -\theta_\ell^2 \xi_\ell^{\pm} + \frac{2}{\pi} q^{\pm} \right) + 2m(q^{\pm})^2 - n|q^{\pm}| q^{\pm} \right) \\ \frac{\partial \phi_\ell^{\pm}}{\partial t} - \frac{2}{\pi} \frac{\partial u^{\pm}}{\partial x} = -\theta_\ell^2 \phi_\ell^{\pm}, \qquad \ell = 1 \cdots N_q, \\ \frac{\partial \xi_\ell^{\pm}}{\partial t} = -\theta_\ell^2 \xi_\ell^{\pm} + \frac{2}{\pi} q^{\pm}, \qquad \ell = 1 \cdots N_q, \end{cases}$$

$$(14)$$

with null initial conditions. A source term at x = 0 models the acoustic source of right-going wave

$$u^{+}(0,t) = s(t). \tag{15}$$

,

<sup>153</sup> The rigid end of the tube is modeled by Dirichlet conditions on the velocity

$$u^{-}(L,t) = -u^{+}(L,t), \qquad (16)$$

hence  $u^+(L,t)$  acts as a source for the system of left-going waves. In the third equation of (14), a division by  $1 - 2mp^{\pm}$  occurs. In practice, this terms does not vanish: in the low-frequency regime, one has from (3b) that  $gp^{\pm} \approx hu^{\pm}$ which leads to  $p^{\pm}/p_0 \approx \gamma u^{\pm}/a_0$ . From the definition of m in (4), it follows that

$$2mp^{\pm} \approx (\gamma - 1) \frac{u^{\pm}}{a_0},\tag{17}$$

which is lower than 1 under the hypothesis of weak nonlinearity  $(|u^{\pm}| \ll a_0)$ . The  $(3 + 2N_q)$  unknowns for each simple waves are gathered in the two vectors

$$\mathbf{U}^{\pm} = \left(u^{\pm}, \, p^{\pm}, \, q^{\pm}, \, \phi_1^{\pm}, \, \cdots, \, \phi_{N_q}^{\pm}, \, \xi_1^{\pm}, \, \cdots, \, \xi_{N_q}^{\pm}\right)^T.$$
(18)

 $_{162}$  Then the nonlinear systems (14) can be written in the form

$$\frac{\partial}{\partial t}\mathbf{U}^{\pm} + \frac{\partial}{\partial x}\mathbf{F}^{\pm}(\mathbf{U}^{\pm}) = \mathbf{G}\,\frac{\partial^2}{\partial x^2}\mathbf{U}^{\pm} + \mathbf{S}^{\pm}(\mathbf{U}^{\pm}),\tag{19}$$

163 where  $\mathbf{F}^{\pm}$  are the flux functions

$$\mathbf{F}^{\pm} = \left(\pm au^{\pm} + b \,\frac{(u^{\pm})^2}{2}, \, 0, \, 0, \, -\frac{2}{\pi}u^{\pm}, \, \cdots, \, -\frac{2}{\pi}u^{\pm}, \, 0, \, \cdots, \, 0\right)^T.$$
(20)

The Jacobian matrices  $\frac{\partial \mathbf{F}^{\pm}}{\partial \mathbf{U}^{\pm}}$  in (20) are diagonalizable with real eigenvalues:  $\pm a + b u^{\pm}$ , and 0 with multiplicity  $2 N_q + 2$ , which ensures propagation with finite velocity. These eigenvalues do not depend on the quadrature coefficients  $\mu_{\ell}$  and  $\theta_{\ell}$ . The diagonal matrix  $\mathbf{G} = \text{diag}(d, 0, \dots, 0)$  incorporates the volume attenuation. Lastly,  $\mathbf{S}^{\pm}$  are the source terms

$$\mathbf{S}^{\pm} = \begin{pmatrix} \pm c \sum_{\ell=1}^{N} \mu_{\ell} \phi_{\ell}^{\pm} \mp e \, q^{\pm} \\ q^{\pm} \\ \frac{1}{1 - 2mp^{\pm}} \left( \pm hu^{\pm} - gp^{\pm} - f \sum_{\ell=1}^{N} \mu_{\ell} \left( -\theta_{\ell}^{2} \, \xi_{\ell}^{\pm} + \frac{2}{\pi} \, q^{\pm} \right) + 2m(q^{\pm})^{2} - n|q^{\pm}|q^{\pm} \right) \\ -\theta_{\ell}^{2} \, \phi_{\ell}^{\pm}, \qquad \ell = 1 \cdots N_{q} \\ -\theta_{\ell}^{2} \, \xi_{\ell} + \frac{2}{\pi} \, q^{\pm}, \qquad \ell = 1 \cdots N_{q} \end{pmatrix}$$
(21)

As soon as  $m \neq 0$  and  $n \neq 0$ ,  $\mathbf{S}^{\pm}(\mathbf{U}^{\pm})$  is no longer a linear operator (m =

<sup>170</sup> 0 = n has been considered in [40]). The Jacobian matrices  $\mathbf{T}^{\pm} = \frac{\partial \mathbf{S}^{\pm}}{\partial \mathbf{U}^{\pm}}$  are

$$\mathbf{T}^{\pm} = \begin{pmatrix} 0 & 0 & \mp e \ \pm c \ \mu_1 & \cdots \ \pm c \ \mu_N & 0 & \cdots & 0 \\ 0 & 0 & 1 & 0 & \cdots & 0 & 0 & \cdots & 0 \\ \frac{\pm h}{\Delta^{\pm}} & -\frac{g}{(\Delta^{\pm})^2} & T_{22}^{\pm} & 0 & \cdots & 0 & \frac{f}{\Delta^{\pm}} \ \mu_1 \ \theta_1^2 & \cdots & \frac{f}{\Delta^{\pm}} \ \mu_N \ \theta_N^2 \\ 0 & 0 & 0 & -\theta_1^2 & & & & \\ \vdots & \vdots & \vdots & \ddots & & & & \\ 0 & 0 & 0 & & -\theta_N^2 & & & & \\ 0 & 0 & \frac{2}{\pi} & & & -\theta_1^2 & & \\ \vdots & \vdots & \vdots & \vdots & & \ddots & & \\ 0 & 0 & \frac{2}{\pi} & & & & -\theta_1^2 & & \\ \end{array} \right)$$
(22)

,

171 with

$$\Delta^{\pm} = 1 - 2mp^{\pm}, \qquad T_{22}^{\pm} = \frac{1}{\Delta^{\pm}} \left( 4mq^{\pm} - 2n|q^{\pm}| - \frac{2}{\pi}f \sum_{\ell=1}^{N_q} \mu_{\ell} \right).$$
(23)

# 172 4. Numerical methods

## 173 4.1. Quadrature coefficients

In [40], a detailed discussion on the possible strategies to compute the  $2N_q$  quadrature coefficients  $\mu_\ell$  and  $\theta_\ell$  in (21) has been proposed, and a linear optimization was preferred. The nodes  $\theta_\ell$  were distributed linearly on a logarithmic scale on the frequency range of interest, and then the weights were determined by a simple least-squares method. One drawback is that negative weights  $\mu_\ell$  may be obtained, which may yield a non-physical increase of energy [4].

<sup>181</sup> Here we improve the optimization procedure to get positive weights  $\mu_{\ell}$ . <sup>182</sup> Dispersion analysis shows that the original model of Sugimoto (3) and its <sup>183</sup> diffusive counterpart (14) differ only in their symbols

$$\begin{cases} \chi(\omega) = (i\,\omega)^{-1/2}, \\ \tilde{\chi}(\omega) = \frac{2}{\pi} \sum_{\ell=1}^{N_q} \frac{\mu_\ell}{\theta_\ell^2 + i\,\omega}. \end{cases}$$
(24)

For a given number  $K_q$  of angular frequencies  $\omega_k$ , one introduces the objective function

$$\mathcal{J}\left(\{(\mu_{\ell},\theta_{\ell})\}_{\ell}; N_{q}, K_{q}\right) = \sum_{k=1}^{K_{q}} \left|\frac{\tilde{\chi}(\omega_{k})}{\chi(\omega_{k})} - 1\right|^{2} = \sum_{k=1}^{K_{q}} \left|\frac{2}{\pi}\sum_{\ell=1}^{N_{q}} \mu_{\ell} \frac{(i\omega_{k})^{1/2}}{\theta_{\ell}^{2} + i\omega_{k}} - 1\right|^{2}$$
(25)

to be minimized w.r.t parameters  $\{(\mu_{\ell}, \theta_{\ell})\}_{\ell}$  for  $\ell = 1, \ldots, N_q$ . A nonlinear optimization with the positivity constraints  $\mu_{\ell} \geq 0$  and  $\theta_{\ell} \geq 0$  is chosen for this purpose. The additional constraint  $\theta_{\ell} \leq \theta_{\max}$  is also introduced to avoid the algorithm to diverge. These  $3N_q$  constraints can be relaxed by setting  $\mu_{\ell} = {\mu'_{\ell}}^2$  and  $\theta_{\ell} = {\theta'_{\ell}}^2$  and solving the following problem with only  $N_q$  constraints

$$\min_{\{(\theta'_{\ell},\mu'_{\ell})\}_{\ell}} \mathcal{J}\left(\{(\mu'_{\ell}^{'2},\theta'_{\ell}^{'2})\}_{\ell}; N_q, K_q\right) \quad \text{with } {\theta'_{\ell}}^2 \le \theta_{\max} \text{ for } \ell = 1, \dots, N_q.$$
(26)

As problem (26) is nonlinear and non-quadratic w.r.t. nodes  $\theta'_{\ell}$ , we implement the algorithm SolvOpt [27, 49] based on the iterative Shor's method [54]. Initial values used in the algorithm must be chosen with care; for this purpose we propose to use the coefficients obtained by the modified Jacobi approach [5]: see method 3 of [40]. Finally, the angular frequencies  $\omega_k$  for  $k = 1, ..., K_q$ in (25) are chosen linearly on a logarithmic scale over a given optimization band [ $\omega_{\min}, \omega_{\max}$ ], i.e.

$$\omega_k = \omega_{\min} \left(\frac{\omega_{\max}}{\omega_{\min}}\right)^{\frac{k-1}{K_q-1}}.$$
(27)

The choice of  $\omega_{\min}$  and  $\omega_{\max}$  depends on the configuration under study (tube alone or coupled system with resonators) and has been detailed in [40]. Besides the positivity of the quadrature coefficients, a great improvement of accuracy is observed numerically when using the nonlinear optimization described above.

#### 204 4.2. Numerical scheme

In order to integrate the systems (19), a grid is introduced with a uniform spatial mesh size  $\Delta x = L/N_x$  and a variable time step  $\Delta t_n$ . The approximation of the exact solution  $\mathbf{U}^{\pm}(x_j = j \Delta x, t_n = t_{n-1} + \Delta t_{n-1})$  is denoted by  $\mathbf{U}_j^{n\pm}$ . A splitting strategy is followed here, ensuring both simplicity and <sup>209</sup> efficiency. Instead of integrating the original equations (19), propagative <sup>210</sup> equations

$$\frac{\partial}{\partial t}\mathbf{U}^{\pm} + \frac{\partial}{\partial x}\mathbf{F}^{\pm}(\mathbf{U}^{\pm}) = \mathbf{G}\,\frac{\partial^2}{\partial x^2}\mathbf{U}^{\pm}$$
(28)

<sup>211</sup> and forcing equations

$$\frac{\partial}{\partial t} \mathbf{U}^{\pm} = \mathbf{S}^{\pm} \left( \mathbf{U}^{\pm} \right) \tag{29}$$

are solved successively. The discrete operators to solve (28) and (29) are denoted by  $\mathbf{H}_{a}^{\pm}$  and  $\mathbf{H}_{b}^{\pm}$ , respectively. Strang splitting [62] is then used between  $t_{n}$  and  $t_{n+1}$ , solving successively (28) and (29) with adequate time increments:

• 
$$\mathbf{U}_{j}^{(1)\pm} = \mathbf{H}_{b}^{\pm}(\frac{\Delta t_{n}}{2}) \mathbf{U}_{j}^{n\pm},$$
  
•  $\mathbf{U}_{j}^{(2)\pm} = \mathbf{H}_{a}^{\pm}(\Delta t_{n}) \mathbf{U}_{j}^{(1)\pm},$  (30)  
•  $\mathbf{U}_{j}^{(n+1)\pm} = \mathbf{H}_{b}^{\pm}(\frac{\Delta t_{n}}{2}) \mathbf{U}_{j}^{(2)\pm}.$ 

<sup>216</sup> Provided that  $\mathbf{H}_{a}^{\pm}$  and  $\mathbf{H}_{b}^{\pm}$  are second-order accurate and stable operators, <sup>217</sup> the time-marching (30) gives second-order accurate approximations of the <sup>218</sup> original equations (19).

As explained in [40], the propagative equation (28) is solved by a standard second-order TVD scheme for nonlinear hyperbolic PDE [35] combined with a centered finite-difference approximation. The discrete operator  $\mathbf{H}_a$  is stable under a usual CFL condition.

<sup>223</sup> Contrary to [40] where  $\mathbf{S}^{\pm}$  was a constant linear operator, the forcing <sup>224</sup> equations (29) can no longer be solved exactly. Here, they are solved by a <sup>225</sup> second-order implicit trapezoidal method [62]

$$\mathbf{U}^{(n+1)\pm} = \mathbf{U}^{n\pm} + \frac{\tau_n}{2} \left( \mathbf{S}^{\pm}(\mathbf{U}^{n\pm}) \right) + \mathbf{S}^{\pm}(\mathbf{U}^{(n+1)\pm}) \right),$$
(31)

with  $\tau_n = \Delta t_n/2$ . The nonlinear systems (31) are solved iteratively by the Newton-Raphson method. In practice, a single iteration is accurate enough. Linearizing the equations and using the Jacobian (22), the discrete operator  $\mathbf{H}_b^{\pm}$  recovers the semi-implicit trapezoidal scheme

$$\mathbf{U}^{(n+1)\pm} = \mathbf{U}^{n\pm} + \tau_n \left( \mathbf{I} - \frac{\tau_n}{2} \mathbf{T}^{n\pm} \right)^{-1} \mathbf{S}^{\pm}(\mathbf{U}^{n\pm}), \qquad (32)$$

<sup>230</sup> which is unconditionnally stable.

Once time-marching is completed, the source terms (15) and (16) are updated at the grid nodes 0 (for the right-going wave) and  $N_x$  (for the leftgoing wave). The forcing term  $s(t_n)$  in (15) is obtained from (2) and from the pressure  $p'(0, t_n)$  measured experimentally by the first microphone: see section 5 for details on that topic.

#### <sup>236</sup> 5. Experimental set-up and validation

#### 237 5.1. Lattice sample



Figure 2: experimental set-up; all the dimensions are detailed in mm. (a): description of the Helmholtz resonators lattice. (b): description of one Helmholtz resonator.

The experimental set-up shown in figure 2-(a) consists in a 6.2 m long cylindrical waveguide connected to an array of 60 Helmholtz resonators periodically distributed. All the cavities have the same height H, which may varies between 0 and  $H_{max} = 0.165$  m, as described in figure 2-(b). The physical and geometrical parameters are given in table 1. The physical data correspond to air at 15 °C.

$\gamma$	$p_0$ (Pa)	$ ho_0 ~({ m kg/m^3})$	Pr	$\nu (m^2/s)$	$\mu_v/\mu$
1.403	$10^{5}$	1.177	0.708	$1.5710^{-5}$	0.60
R (m)	<i>D</i> (m)	<i>r</i> (m)	L (m)	$r_h$ (m)	$H_{max}$ (m)
0.025	0.1	0.01	0.02	0.0215	0.165

Table 1: physical parameters of air at 15 °C, and geometrical data.

The first resonator lies 0.2 m after the beginning of the tube. The end of 244 the lattice is closed by a rigid cork located at D/2 after the last resonator. 245 Then, the waves impinging the lattice end are reflected and travel in the 246 opposite direction (keeping the cell length constant) into the lattice, allowing 247 to increase the lattice length from 6 m to 12 m. Numerical modeling of this 248 configuration amounts to solve (3) by considering a 0.2 m long waveguide 249 with no resonator, connected to a 5.95 m long lattice of resonators closed by 250 a rigid end, in accordance with the experimental set-up. 251

A second experimental system, consisting in a waveguide with no array of resonator, is used in section 5.4 to highlight the influence of the Helmholtz resonators in the nonlinear process. This waveguide has exactly the same features than the previous one. Numerical modeling of this configuration amounts to solve (3a) on a 6.15 m long waveguide closed by a rigid end, with e = 0.

#### <sup>258</sup> 5.2. Source and acquisition

The input signal is generated by the explosion of a balloon. The latter is introduced into a 20 cm long waveguide connected to the main tube and is inflated until its explosion. The shape of the generated impulsion (width and amplitude) depends on the balloon length at the explosion time, varying slightly from one experiment to the other.

The excess pressure  $p' = p'^+ + p'^-$  is measured with 3 PCB 106B microphones. They are located at the beginning of the system (20 cm before the first resonator) and at 2 different positions into the lattice, depending on the experiment. The sensibility of the microphones is 0.045 V/kPa, and a PCB 441A101 conditioning amplifier is used for each of them. The acquisition is made by a National Instrument BNC 2110 card with a sample frequency of 250 kHz, connected to a computer.

The input signal shown in the figure 3-(a) can be described by a gate-271 signal with a high amplitude around 30 kPa, and a width around 1.5 ms 272 with the presence of a tail caused by reflexion at the end of the source tube. 273 The initial excess pressure consists of a compression wave. The figure 3-(b) 274 shows the spectrum of the input signal and points out that the frequency 275 range excited by the source is mostly included in [0-650] Hz. This input 276 signal, generated by the balloon explosion, is measured at each experiment. 277 It is then injected in the numerical scheme and acts as a forcing term s: see 278 section 4.2. In other words, our resolution method requires only the input 270 data signal as initial conditions to solve the system (3). It is an important 280 difference with the resolution method in [60] which requires the fitting of the 281 experimental signal after some distance of propagation. 282



Figure 3: acoustic source measured at the entry of the tube. (a): time history of the excess pressure  $p'^+(0,t)$ . (b): Fourier transform of the signal.

## 283 5.3. Linear dispersion in a Helmholtz resonator lattice

The goal of this section is to examine the validity of the model (3) to describe the experimental configuration under study. For this purpose, figure 4 compares the dispersion curves obtained with the continuous description of resonators (6) and with the discrete description (7). Three different heights of resonators are considered: H = 16.5 cm ( $f_0 = 345$  Hz), H = 7 cm ( $f_0 = 586$ Hz), and H = 2 cm ( $f_0 = 1027$  Hz). In each case,  $f_0 = \omega_0/(2\pi)$  is the resonance frequency of the Helmholtz resonators (1). Good agreement between these two families of dispersion curves is obtained on a large frequency domain, up to the Bragg band gap at 1800 Hz. Because of the continuous approximation, equation (6) cannot predict the Bragg band gap due to the lattice periodicity. However, the first hybridization band gap (due to Helmholtz resonance) is well described by the continuous model.

A second observation deduced from figure 4 concerns the dispersive be-297 havior of the medium under study. Recall that the upper limit of the source 298 frequency range  $f_{\text{max}}$  is around 650 Hz. If  $f_0 \gg f_{\text{max}}$  (i.e. H = 2 cm), 299 we observe a linear frequency dependance of QD in  $[0, f_{\text{max}}]$ , which implies 300 that the dispersion is weak (figure 4-(c)). On the contrary, when  $f_0$  lies in 301 the source frequency range (figure 4-(a) for H = 16.5 cm and figure (4b) for 302 H = 7 cm), the dispersion is strong. This impacts strongly the shape of the 303 waves, as detailed in section 6.4. 304



Figure 4: dispersion relation of an array of Helmholtz resonators for H = 16.5 cm (a), H = 7 cm (b) and H = 2 cm (c). The open circles correspond to the continuous model (6). The continuous red line corresponds to the discrete model (7).

#### 305 5.4. Tube without resonators

Before considering the interaction of waves with the lattice of resonators, 306 we consider the simple case of a uniform tube. Figure 5 shows the profiles 307 of the measured and simulated excess pressure  $p'/p_s$  at the position x = 6.15308 m in a waveguide without resonator, where  $p_s$  is the magnitude of the input 309 signal. The blue and red lines correspond to the simulated and experimental 310 results, respectively. The initial pressure wave has evolved to a triangular 311 shape wave during the propagation, due to a well-known nonlinear process 312 [24, 43].313



Figure 5: time history of the excess pressure  $p'/p_s$  in a tube with no array of resonators, at x = 6.15 m. The blue and red lines represent the experimental and simulated profiles, respectively.

The good agreement between the simulated and measured pressure highlights the validity of the lossy nonlinear model for the waveguide propagation described by the equation (3a) where the coupling term with the resonators is canceled. The model describing the losses in the waveguide propagation by fractional derivatives is verified by this comparison and will not be discussed further. Note lastly that the volume attenuation and the viscothermic losses in the tube are insufficient to prevent from the occurrence of shocks [55].

# 321 6. Experiments in a tube with resonators

# 322 6.1. Existence of solitary waves

Figure 6 presents the experimental and simulated temporal profiles  $p'/p_s$ at x = 2.1 m, in a waveguide connected to an array of Helmholtz resonators.



Figure 6: time history of the excess pressure  $p'/p_s$  at x = 2.1 m in a tube with an array of resonators. The heights of resonators are: H = 7 cm (a), H = 13 cm (b). The blue line represents the experimental pressure. The red and black lines represent the simulated pressure, with (red) or without (black) nonlinear losses.

The heights of resonators are H = 7 cm (figure 6-(a)) and H = 13 cm (figure 325 6-(b)), respectively. In the case H = 7 cm (resp. H = 13 cm), the resonance 326 frequency  $f_0$  of the Helmholtz resonators is  $f_0 \simeq 586$  Hz (resp.  $f_0 \simeq 414$ 327 Hz). The blue line depicts the experimental results. The red line depicts the 328 numerical results where all the physical phenomena are incorporated, leading 329 to the full system (3). The black line depicts the numerical solution obtained 330 without incorporating the nonlinear losses in the resonator necks: m = n = 0331 in (3b). 332

Unlike the waveguide without resonators, where a triangular wave is obtained (figure 5), the lattice produces a wave with a smooth and symmetrical shape (figure 6). This constitutes a signature of solitary waves.

Good agreement between experimental and simulated waves is obtained

when the nonlinear losses are taken into account. On the contrary, the linear viscothermic losses alone are insufficient to predict the right amplitude, which is overestimated compared to the experimental results. Moreover, spurious oscillations are observed in the linear case, that are suppressed when nonlinear losses are incorporated.

In addition, the comparison between the heights H = 7 cm (figure 6-(a)) 342 and H = 13 cm (figure 6-(b)) highlights the influence of the resonators on the 343 evolution of the pulse. The solitary wave being the result of a competition 344 between the nonlinearity and the dispersion in the media, it is very sensitive 345 to the cavity length. The decrease of the Helmholtz resonance frequency 346 leads to an increase of the wave attenuation, an increase of the pulse width, 347 and a decrease of the wave celerity. These results corroborate the theoretical 348 analysis performed in [60] and confirm the existence of an acoustic solitary 349 wave. 350

#### 351 6.2. Spatio-temporal evolution

In this section, we illustrate the evolution of solitary waves during their propagation, in the case H = 13 cm. Figure 7 displays the experimental results (top panel) and the simulated results with nonlinear lossy attenuation (bottom panel), in the space  $\times$  time plane.

Experimentally (figure 7-(a)), the signals have been recorded at 15 dif-356 ferent positions of microphones regularly spaced, from 0.2 m to 4.4 m inside 357 the lattice with a spacing 0.3 m. Since only two microphones were available, 358 acquisition was performed during 8 successive experiments, the pair of micro-359 phones being successively shifted. A new source was used in each experiment, 360 leading to small deviations from one experiment to the other. These 8 sources 361 were used as initial data for the corresponding numerical simulations. For 362 both experiments and simulations, we present the ratio between the excess 363 pressure in the waveguide and the source amplitude  $p'/p_s$ . 364

Figure 7 clearly shows the propagation of a solitary wave without change 365 of shape and with a constant velocity characterized by a constant slope in the 366 space  $\times$  time plane, both experimentally and numerically. The symmetry of 367 figure 7 with respect to time t = 29 ms illustrates the reflexion of the solitary 368 wave at the closed end of the tube. A second reflexion at the opposite closed 369 end is visible in the experimental case, but it is not simulated numerically. 370 The experimental and simulated results are in good agreement, for both the 371 shape and the velocity of the waves. 372

![](_page_20_Figure_0.jpeg)

Figure 7: spatio-temporal evolution of the waves in a waveguide with an array of resonators of height H = 13 cm. (a) experiments, (b) simulations. The horizontal axis represents the time t.

373 6.3. Attenuation

Figure 8 illustrates the attenuation of acoustic solitary waves during their propagation, in the case of resonators with height H = 13 cm. Experimen-

![](_page_21_Figure_0.jpeg)

Figure 8: magnitude of the solitary wave in terms of the propagation distance in the lattice, with Helmholtz resonators of height H = 13 cm. Blue line presents the experimental results. Red and black lines present the numerical results obtained with the full model (red) and without the nonlinear attenuation (black).

tal results are shown in blue line, and simulated results are shown in red 376 and black lines. The red line has been computed by incorporating all the 377 mechanisms of attenuation (in particular the nonlinear attenuation in the 378 neck), whereas the black line incorporates only the linear viscothermic losses 379 (m = n = 0 in (3b)). All these results are deduced from the experimental 380 and simulated data presented in the previous section. Good agreement be-381 tween experiments and simulations is obtained when the nonlinear absorption 382 processes are taken into account. 383

The evolution of the attenuation in terms of the distance highlights two 384 regimes in the wave propagation: a strong attenuation during the first 2 385 meters (50%), followed by a weaker attenuation during the remaining prop-386 agation. Two different mechanisms are involved in the attenuation process 387 to explain this observation. Firstly, the nonlinear absorption taking place in 388 the resonators is preponderant during the first meters, due to the high am-389 plitude of the initial pulse, which leads to a strong decrease. Secondly and 390 owing to the weaker amplitude, the cumulative effects of linear losses during 391 the propagation prevails, resulting in a lower attenuation. These mechanisms 392

are deduced from the simulations without the nonlinear absorption: in the first regime, the attenuation is largely underestimated while for the second regime the slope of the decay is well found.

![](_page_22_Figure_1.jpeg)

Figure 9: time history of the excess pressure  $p'/p_s$  measured experimentally in the case of Helmholtz resonators of height H = 13. The measures are done from x = 0.2 m to x = 2.6 m, with a spacing 0.3 m.

To highlight these two different regimes, figure 9 shows the time evolution 396 of the wave recorded during the first 3 meters. The shape of the initial high 397 amplitude pulse is greatly modified, leading to a symmetrical and smooth 398 shape after 2 m of propagation. In addition, a strong attenuation is observed 399 (50 % of amplitude decay). After, the wave shape remains constant and the 400 attenuation becomes weaker. Again, these results show the crucial role of 401 nonlinear absorption process in the evolution of a high amplitude pulse to a 402 solitary wave. 403

# 404 6.4. Influence of the dispersion

Here we study the influence of the Helmholtz resonance frequency on the features of the solitary waves (velocity, amplitude and shape). Experimental

and simulated time evolutions of  $p'/p_s$  are compared in figure 10 in the case 407 of heights H = 2 cm (figure 10-(a)) and H = 16.5 cm (figure 10-(b)). Three 408 waves are observed from the left to the right, corresponding successively to 409 the direct wave at the receivers x = 2.8 m and 5.95 m, and to the reflected 410 wave at x = 2.8 m. In the case H = 2 cm ( $f_0 = 1027$  Hz), the dispersion is 411 weak in the frequency range of the source (see figure 4), contrary to the case 412  $H = 16.5 \text{ cm} (f_0 = 345 \text{ Hz})$  where the dispersion is strong. Comparing these 413 two cases shows the essential role of the dispersion on the characteristics of 414 the wave. 415

![](_page_23_Figure_1.jpeg)

Figure 10: time history of the excess pressure  $p'/p_s$  at x = 2.8 m and x = 5.95 m. (a) H = 2 cm, corresponding to  $f_0 = 1027$  Hz. (b) H = 16.5 cm, corresponding to  $f_0 = 345$  Hz.

Weak dispersion combined with nonlinear propagation (figure 10-(a)) leads to a narrow, compact and less attenuated solitary wave with a high velocity. In this case, the velocity and the shape are well recovered by the simulation. For strong dispersion (figure 10-(b)), the wave is more attenuated and its shape becomes larger. The simulated half-width and the amplitude of the wave are in good agreement with the experimental ones. However, a slight shift of the positions of waves is observed.

![](_page_24_Figure_0.jpeg)

Figure 11: (a) velocity, (b) attenuation factor and (c) half-width of the pulse versus resonance frequencies of the resonators. Experimental and numerical results are shown in red line and black line, respectively.

A systematic study is then performed by considering six cavity heights: 423 H = 2, 3, 7, 10, 13, 16.5 cm. The experimental and simulated excess pressure 424 p' is measured at x = 2.8 m and 5.95 m in the lattice. The velocity is deduced 425 from the traveltime of the maximum of the wave. The attenuation factor is 426 given by the ratio of the maximum amplitudes at the two receivers. The 427 shape is characterized by the half-width of the solitary wave at x = 5.95 m. 428 All the results are displayed in the figure 11-(a,b,c), where the experi-429 mental results and the numerical results are shown in red and black line, 430 respectively. Experiments and simulations are in good agreement, denot-431 ing the good description of the physics by the model and the efficiency of 432 the numerical method. As expected, the features of the solitary wave are 433

highly dependent on the Helmholtz resonance frequencies. High resonance frequency (small H) yields large velocity, low attenuation (attenuation factor close to 1) and narrow wave. The velocity of the wave is close to the sound speed. For low resonance frequency, conversely, the velocity decreases and the attenuation and the width of the wave increases. These observations confirm the main properties of the solitary waves, theoretically analyzed in [58].

# 441 7. Conclusion

We have studied numerically and experimentally the propagation of high 442 amplitude pulses in a lattice of Helmholtz resonators. We have proposed 443 a new time-domain numerical method to describe the linear viscothermic 444 losses in the waveguide and the nonlinear absorption due to the acoustic jet 445 formation in the necks of resonators. The comparisons between numerical 446 and experimental results has validated the theoretical model (3) proposed 447 by Sugimoto, as long as the dissipation processes are correctly incorporated. 448 Two different regimes of propagation have been observed. Firstly, a strong 449 attenuation regime, dominated by the nonlinear absorption process, reduces 450 largely the amplitude of waves and reshapes the acoustic pulses to generate 451 a solitary wave. Secondly, linear losses in the waveguide produce a lower 452 mitigation of the solitary wave leading to an almost-constant shape. 453

The properties of the acoustic solitary waves have been studied in terms of the dispersion of the lattice. In the case of low dispersion, the solitary wave is compact with a narrow shape. Its velocity is close to the sound celerity and its attenuation is weak. In the case of a strong dispersion, the shape of the solitary wave is broader, its velocity is smaller and its attenuation is large.

The numerical and the experimental studies show the great importance 460 of losses in the generation of acoustic solitary waves in periodic locally reso-461 nant structures. It contributes to promising research in the field of nonlinear 462 acoustic propagation in metamaterials and acoustic transmission filters. Fu-463 ture works will be devoted to the study of nonlinear acoustic propagation 464 in disordered systems. In particular, our numerical and experimental set-465 ups will be used to investigate the competition between nonlinear dynamics, 466 dispersion processes and disorder effects. 467

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