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A numerical-analytical coupling computational method for homogenization of effective thermal conductivity of periodic composites

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Abstract

Background: In the framework of periodic homogenization, the conduction problem can be formulated as an integral equation whose solution can be represented by a Neumann series. From the theory, many efficient numerical computation methods and analytical estimations have been proposed to compute the effective conductivity of composites.

Methods: We combine a Fast Fourier Transform (FFT) numerical method based on the Neumann series and analytical estimation based on the integral equation to solve the problem. Specifically, the analytical approximation is used to estimate the remainder of the series

Results: From some numerical examples, the coupling method have shown to improve significantly the original FFT iteration scheme and results are also superior to the analytical estimation.

Conclusions: We have proposed a new efficient computation method to determine the effective conductivity of composites. This method combines the advantages of the FFT numerical methods and the analytical estimation based on integral equation.

Keywords: NIH approximation; Fourier transform; Effective conductivity; Neumann series

Background

Composite materials can exist in nature or be fabricated by purpose. Due to their technological importance, micromechanical approaches are developed to determine the overall behavior of composites from the properties of their constituents. The general procedure comprises two steps: the construction of a representative model, containing information on heterogeneities (morphologies, inclusion shape, volume fraction, local physical properties, etc.), and the analysis of the model by some mathematical methods. Analytical methods are often based on a simplification of inclusion shapes and potential theory, spherical harmonic functions, etc. Many exact and approximate closed-form solutions have been derived by such methods for materials having a linear behavior [1-7]. However, if the microstructure is known in all its complexity, numerical methods must be used. Among the numerical methods, finite element method (FEM) and boundary element method (BEM) are widely used for homogenization problems. These methods have

been reported in numerous works [8-12]. A more recent method, introduced in the 1990s and described thereafter, uses extensively the Fourier transform and the introduction of a 'reference material'.

From a theoretical point of view, the introduction of a reference material allows formulation of the localization problem in the form of a Lippman-Schwinger-Dyson-type integral equation, whose solution can be represented by a Neumann series. The most convenient way to solve this integral equation is its formulation using Fourier transform of the equations governing the localization problem [13-19]. Some notable variants and improvements of this method can be found in the literature [18,20-23]. However, it is known that fast Fourier transform (FFT) iterative schemes are very sensitive to the contrast ratio between the phases and may not converge for infinite contrasts. Therefore, an important step when using FFT iterative schemes is to estimate the remainder of the Neumann series whose sum is computed up to a finite number of terms. This paper is devoted to this fundamental question.

In this paper, the remainder of the Neumann series is estimated by a combination between FFT schemes and the Nemat-Nasser-Iwakuma-Hejazi (NIH) [24,25] estimation of the effective properties. For two-phase systems with spherical inclusions, the NIH estimation in thermal problems leads to closed-form solutions which agree with numerical results for a large range of volume fractions. However, the NIH estimation departs from the sum of the Neumann series at high concentrations of inclusions. Since both NIH approximation and FFT schemes are based on integral equations, we use the former to estimate analytically the remainder of the Neumann series and derive the improved effective properties.

The present paper contains four parts. After a brief introduction of the paper's context, the 'Methods' Section is dedicated to the computational methods. The problem statement, FFT methods, and the FFT-NIH coupling are also presented in this section. Implementations of the coupling are discussed in the 'Results and discussion' Section. Finally, concluding remarks are given in the 'Conclusions' Section.

Methods

Problem statement and integral equation formulation

A periodic composite material is constructed by repeating infinitely a unit rectangular cell V of dimensions a_1, a_2, a_3 along three directions x_1, x_2, x_3 . The homogenization of its thermal conductivity is reduced to solving the periodic heat transfer problem defined by the system of equations:

$$\mathbf{q}(\mathbf{x}) = \mathbf{K}(\mathbf{x})\mathbf{e}(\mathbf{x}) \quad \forall \mathbf{x} \in V$$

$$\mathbf{e}(\mathbf{x}) = -\nabla T(\mathbf{x}), \quad \forall \mathbf{x} \in V$$

$$\nabla \cdot \mathbf{q} = 0, \quad \forall \mathbf{x} \in V$$

$$T - \mathbf{E} \cdot \mathbf{x} \quad \text{periodic},$$

$$\mathbf{q} \cdot \mathbf{n} \quad \text{antiperiodic}.$$
(1)

In (1), q(x) is the flux vector, T(x) the temperature, e(x) the (minus) temperature gradient, K(x) the local second-order conductivity, and n the outward normal vector on the surface of the unit cell. Solution of (1) allows computation of the volume averages of e and

q, denoted, respectively, by E and Q and finally the overall conductivity K^{eff}. In summary, we can write

$$Q = K^{eff}E$$
, $E = \langle e \rangle_V$, $Q = \langle q \rangle_V$. (2)

Here and from now on, we use the angular brackets $\langle . \rangle_V$ to denote the volume average over V, for example,

$$\langle \phi \rangle_V = \frac{1}{V} \int_V \phi dx.$$
 (3)

Instead of solving the system of (1), the integral equation approach reformulates the boundary value problem using a reference material with arbitrary conductivity K⁰. This allows the introduction of the *free gradient* e* through the following formula:

$$q(x) = K^{0}(e(x) - e^{*}(x)) \text{ or } K^{0}e^{*}(x) = \delta Ke(x)$$
 (4)

with

$$\delta K = K^0 - K(x). \tag{5}$$

Since any V-periodic function ϕ can be represented by a Fourier series,

$$\phi(\mathbf{x}) = \sum_{\xi} \widehat{\phi}(\xi) e^{i\xi \cdot \mathbf{x}}, \quad \widehat{\phi}(\xi) = \langle \phi(\mathbf{x}) e^{-i\xi \cdot \mathbf{x}} \rangle_V,$$
(6)

applying Fourier analysis to (1) and (4) yields an integral equation for e*(x):

$$\delta \mathbf{K} \left[\mathbf{E} + \sum_{\xi \neq 0} e^{i\xi \cdot \mathbf{x}} \widehat{\mathbf{\Gamma}}^{0}(\xi) \mathbf{K}^{0} \widehat{\mathbf{e}}^{*}(\xi) \right] = \mathbf{K}^{0} \mathbf{e}^{*}(\mathbf{x}),$$
or
$$\delta \mathbf{K} \left[\mathbf{E} + \mathbf{\Gamma}^{0} * \mathbf{K}^{0} \mathbf{e}^{*}(\mathbf{x}) \right] = \mathbf{K}^{0} \mathbf{e}^{*}(\mathbf{x}).$$
(7)

The Green tensor $\widehat{\Gamma}^{0}(\xi)$ in (7), the Fourier representation of the periodic Green operator Γ^{0} , is defined by the following formula:

$$\widehat{\Gamma}^{0}(\xi) = \frac{\xi \otimes \xi}{\xi \cdot K^{0} \xi}.$$
(8)

The infinite sums in (6) and (7) involve all vectors ξ with components ξ_i satisfying the conditions

$$\xi_i = \frac{\pi n_i}{a_i}, \quad n_i = 0, \pm 1, \dots, \pm \infty, \quad i = 1, 2, 3.$$
 (9)

Method of resolution

Full field solution of (1) and the effective properties can be determined by FFT-based methods at any accuracy. By recasting (7), a more convenient form is obtained [18,26,27]:

$$e(x) = E + \Gamma^{0} * \delta Ke(x). \tag{10}$$

A classical way of solving the integral equation is to sum the Neumann series

$$\mathbf{e}(\mathbf{x}) = \sum_{j=0}^{\infty} (\mathbf{\Gamma}^0 * \delta \mathbf{K})^j \mathbf{E}, \tag{11}$$

under the condition that the Neumann series is convergent, which is achieved for a specific range of admissible values of K^0 . To solve (10), iterative schemes are usually employed. For example, starting from the initial value $e^0(x) = E$, one can repeatedly compute e^1, e^2, \dots, e^N via the recurrence relation

$$e^{N+1} = E + \Gamma^0 * \delta K e^N. \qquad (12)$$

Stopping the recurrence at N_0 iterations produces the sum of the N_0 first terms in the Neumann series (11). It is worthwhile mentioning that relation

$$e = E + \Gamma^0 * K^0 e \tag{13}$$

holds for all rotational free vector e and leads to another equivalent form of (12):

$$e^{N+1} = e^N - \Gamma^0 * q^N$$
, $q^N = Ke^N$. (14)

Although the FFT-based methods produce e(x) at convergence, the main concern is the convergence rate at high contrast ratio. The basic iterative scheme described in (12) and (14) is called the primal iterative scheme (PIS). In the literature, there have been numerous works to improve the convergence of the basic method such as dual iterative scheme (DIS) [20,28], polarization-based iterative scheme (PBIS) [21,22], accelerated scheme (AS) [18], augmented Lagrangian scheme (ALS) [16], etc.

Instead of finding the full field solution of (1), the mean value of e* and the effective thermal conductivity K^{eff} can be estimated from (7) with NIH approximation [24,25]. Such an approximation has been shown to predict very well the overall elastic and thermal properties of two-phase composites for a large range of volume fractions of inclusions [25,29]. However, it fails at higher concentrations. Generally, the estimation of K^{eff} requires only the computation of a lattice sum which admits closed-form expressions in many cases, as seen thereafter.

Residual integral equation and estimation of the remainder of the Neumann series

The main scope of this paper is to combine the advantages of the analytical approximation and FFT numerical methods to improve the prediction of the effective properties. The material under consideration is a two-phase matrix-inclusion composite with conductivity of both phases being K^M (matrix) and K^I (inclusion). The volume fraction of the inclusions is f, and the distribution of the inclusions in the unit cell is taken to be general at this stage.

Starting with any conventional FFT method (e.g., PIS, PBIS), we assume that after N iterations, we have obtained e^N which is an estimation of the exact solution \tilde{e} . In the coupled method, we consider the residual r^N at step N defined by

$$\mathbf{r}^{N} = \left[\mathbf{K}(\mathbf{x}) - \mathbf{K}^{M}\right](\widetilde{\mathbf{e}} - \mathbf{e}^{N}). \tag{15}$$

Knowing the average of \mathbf{r}^N allows computation of the macroscopic flux $\widetilde{\mathbf{Q}}$ associated to $\widetilde{\mathbf{e}}$. Indeed, by averaging (15) over V and accounting for the following properties:

$$(\tilde{e})_V = E$$
, $r^N = 0$ outside Ω , (16)

we can deduce that

$$f(\mathbf{r}^N)_{\Omega} = \widetilde{\mathbf{Q}} - \mathbf{Q}^N - \mathbf{Q}^*, \quad \mathbf{Q}^N = \langle \mathbf{K}\mathbf{e}^N \rangle_V,$$

 $\widetilde{\mathbf{Q}} = \langle \mathbf{K}\widetilde{\mathbf{e}} \rangle_V, \quad \mathbf{Q}^* = \mathbf{K}^M [\mathbf{E} - \langle \mathbf{e}^N \rangle_V].$ (17)

When e^N is computed from the primal iterative scheme, $\langle e^N \rangle_V = E$ is always true and Q^* always vanishes (for other schemes, this quantity is known). The other terms Q^N

and \widetilde{Q} in (17), respectively, are the macroscopic flux calculated by the conventional FFT method and the real flux. They are different by a correcting term $f(\mathbf{r}^N)_{\Omega}$ which will be estimated through the NIH approach. Substituting (15) into (10) with the matrix as the reference material and accounting for (12), we obtain the following integral equation for \mathbf{r}^N :

$$r^{N} = w^{N} - [K(x) - K^{M}] \Gamma^{M} * r^{N},$$
 (18)

in which w^N is known from the expressions

$$\mathbf{w}^{N} = [\mathbf{K}(\mathbf{x}) - \mathbf{K}^{M}][\epsilon - \mathbf{e}^{N}], \quad \epsilon = \mathbf{E} - \mathbf{\Gamma}^{M} * [\mathbf{K}(\mathbf{x}) - \mathbf{K}^{M}] \mathbf{e}^{N}.$$
 (19)

Since the convolution $\Gamma^M * r^N$ admits the Fourier representation

$$\Gamma^{M} * \mathbf{r}^{N} = \sum_{\mathbf{k} \neq 0} e^{i\mathbf{k} \cdot \mathbf{x}} \widehat{\Gamma}^{M}(\xi) \widehat{\mathbf{r}}^{N}(\xi),$$
 (20)

averaging both sides of (18) over the inclusion Ω yields

$$\langle \mathbf{r}^{N} \rangle_{\Omega} = \langle \mathbf{w}^{N} \rangle_{\Omega} - \left[\mathbf{K}^{I} - \mathbf{K}^{M} \right] \sum_{\xi \neq 0} \langle e^{i\xi \cdot \mathbf{x}} \rangle_{\Omega} \widehat{\mathbf{\Gamma}}^{M}(\xi) \widehat{\mathbf{r}}^{N}(\xi).$$
 (21)

Next, the NIH approximation is applied to $\widehat{\mathbf{r}}^N(\boldsymbol{\xi})$ as follows:

$$\hat{\mathbf{r}}^{N}(\xi) = \frac{1}{V} \int_{V} \mathbf{r}^{N} e^{-i\xi \cdot \mathbf{x}} d\mathbf{x} \simeq f \langle e^{-i\xi \cdot \mathbf{x}} \rangle_{\Omega} \langle \mathbf{r}^{N} \rangle_{\Omega}.$$
 (22)

By denoting $I(\xi)$, $P(\xi)$ the following shape functions:

$$I(\xi) = \langle e^{i\xi \cdot x} \rangle_{\Omega}, \quad P(\xi) = fI(\xi)I(-\xi),$$
 (23)

we can now obtain the average of the residual term $(r^N)_{\Omega}$ through the new relation

$$\langle \mathbf{r}^N \rangle_{\Omega} = \left[\mathbf{I} + [\mathbf{K}^I - \mathbf{K}^M] \sum_{\xi \neq 0} P(\xi) \widehat{\mathbf{\Gamma}}^M(\xi) \right]^{-1} [\mathbf{K}^I - \mathbf{K}^M] \langle \epsilon - \mathbf{e}^N \rangle_{\Omega}.$$
 (24)

Substituting (24) back into (17), we obtain Q_{oor}^N , an improved estimation of the macroscopic flux \tilde{Q} . It is noteworthy that if we apply the property at convergence (13) to e^N in (19), the term $\epsilon - e^N$ can be computed from the expression

$$\epsilon - e^N = -\Gamma^M * q^N$$
, $q^N = Ke^N$. (25)

The method presented in this paper can be used in coupling with any FFT-based iterative scheme. An algorithm presenting the implementation with the basic scheme (PIS) is presented in Algorithm 1 and used later in this work. In the following, this scheme will be stopped before convergence, in view to evaluate the performance of the estimation of the remainder of the series.

Algorithm 1 Algorithm of the iterative scheme PIS coupled with NIH approximation

$$e^{0}(x) = E$$

$$q^{N}(x) = K(x).e^{N}(x)$$

$$\widehat{q}^{N}(\xi) = \mathcal{F}(q^{N}(x))$$
compute $\langle w^{N} \rangle_{\Omega}$, $\langle r^{N} \rangle_{\Omega}$, Q_{cor}^{N}
convergence test
$$\widehat{e}^{N+1}(\xi) = \widehat{e}^{N}(\xi) - \widehat{\Gamma}^{0}(\xi).\widehat{q}^{N}(\xi)$$

$$e^{N+1}(x) = \mathcal{F}^{-1}(\widehat{e}^{N+1}(\xi))$$

Although the original NIH estimation is obtained by making approximation to (7) instead of (10), the NIH estimation can also be recovered as a special case of (24). Indeed, by replacing e^N with a zero field 0 and repeating the same steps to derive Q_{cor}^N , the final result is the flux Q^{NIH} defined by

$$Q^{NIH} = K^M E + f \left[I + [K^I - K^M] \sum_{\xi \neq 0} P(\xi) \widehat{\Gamma}^M(\xi) \right]^{-1}$$

$$[K^I - K^M] E, \qquad (26)$$

It is clear that, from (26), the effective conductivity is obtained in the same form as in the previous work [25].

Coupled method in special cases

The coupled algorithm is significantly accelerated if the shape functions $I(\xi)$ or $P(\xi)$ are determined from closed-form expressions, for example, in the case of ellipsoidal inclusions. Firstly, it is no longer necessary to compute numerically the Fourier transform of the characteristic function [28]. Secondly, the lattice sum $\sum_{\xi \neq 0} P(\xi) \widehat{\Gamma}^M(\xi)$ can also be estimated by a closed-form expression.

To illustrate these ideas, we consider the special cases where the spherical inclusions of radius R are located at the lattice points of cubic lattice systems (see Figure 1). The matrix and inclusions are assumed to be isotropic with conductivities k_M and k_I :

$$K^{M} = k_{M}I, \quad K^{I} = k_{I}I,$$
 (27)

with I being the identity tensor. The Green tensor associated to the matrix admits a simple form:

$$\widehat{\Gamma}^{M}(\xi) = \overline{\xi} \otimes \overline{\xi}/k_{M}, \quad \overline{\xi} = \xi/|\xi|.$$
(28)

By considering the symmetry with respect to $\xi_i = 0$ planes and the permutation invariance, the lattice sum $\sum_{\xi \neq 0} P(\xi) \widehat{\Gamma}^M(\xi)$ can be simplified into

$$\sum_{\xi \neq 0} P(\xi) \widehat{\Gamma}^{M}(\xi) = \frac{1}{3k_{M}} \sum_{\xi \neq 0} P(\xi). \qquad (29)$$

Finally, the improved estimation \mathbf{Q}_{cor}^{N} is reduced to

$$Q_{cor}^{N} = Q^{N} - \frac{fk_{M}(\Gamma^{M} * q^{N})_{\Omega}}{\frac{k_{M}}{k_{M} - k_{I}} - \frac{1}{3} \sum_{\xi \neq 0} P(\xi)}.$$
 (30)

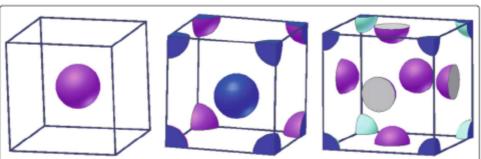


Figure 1 Unit cell of cubic lattice structures (from left to right: simple cubic, body-centered cubic, face-centered cubic).

The macroscopic flux from NIH approximation (26) has also a simple form:

$$Q^{NIH} = \left(k_M - \frac{fk_M}{\frac{k_M}{k_M - k_I} - \frac{1}{3} \sum_{\xi \neq 0} P(\xi)}\right) E,$$
 (31)

where the term inside the parenthesis is the effective conductivity k_{eff} predicted by the approach. Since $P(\xi)$ decays rapidly with $|\xi|$, the infinite sum $\sum_{\xi \neq 0} P(\xi)$ can be estimated by keeping several initial terms and approximating the remainder with an improper integral:

$$\sum_{\xi \neq 0} P(\xi) \simeq \sum_{0 < |\xi| < \xi_c} P(\xi) + \frac{a^3}{2\pi^2} \int_{\xi_c}^{\infty} P(\xi) \xi^2 d\xi. \tag{32}$$

The parameter ξ_c defines the number of initial terms of the sum that we keep in the approximation formula. The final analytical expression is given in the following:

Simple cubic system

$$P(\xi) = P(\xi) = \frac{9f(\eta \cos \eta - \sin \eta)^2}{\eta^6},$$

$$\sum_{\xi \neq 0} P(\xi) \simeq \sum_{0 < |\xi| < \xi_c} P(\xi) + \frac{3 - \cos 2\eta_c}{\pi \eta_c} + \frac{2 \sin^2 \eta_c}{\pi \eta_c^3}$$

$$- \frac{2 \sin 2\eta_c}{\pi \eta_c^2} - \frac{2}{\pi} \text{Si}(2\eta_c) + 1, \quad \eta = \xi R, \quad \eta_c = \xi_c R,$$
(33)

with $Si(\eta)$ being the sine integral

$$Si(\eta) = \int_{0}^{\eta} \frac{\sin \eta'}{\eta'} d\eta'. \qquad (34)$$

Body-centered cubic system

$$P(\xi) = \frac{9f}{4} \frac{[\eta \cos \eta - \sin \eta]^2}{\eta^6} [1 + \cos \pi (n_1 + n_2 + n_3)]^2,$$

$$\sum_{\xi \neq 0} P(\xi) \simeq \sum_{0 < |\xi| < \xi_c} P(\xi) + \frac{3 - \cos 2\eta_c}{\pi \eta_c} + \frac{2 \sin^2 \eta_c}{\pi \eta_c^3}$$

$$- \frac{2 \sin 2\eta_c}{\pi \eta_c^2} - \frac{2}{\pi} \text{Si}(2\eta_c) + 1.$$
(35)

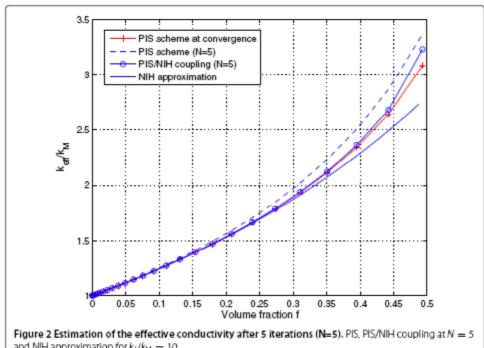
· Face-centered cubic system

$$P(\xi) = \frac{9f}{16} \frac{[\eta \cos \eta - \sin \eta]^2}{\eta^6} [\cos \pi n_1 + \cos \pi n_2 + \cos \pi n_3 + \cos \pi (n_1 + n_2 + n_3)]^2,$$

$$\sum_{\xi \neq 0} P(\xi) \simeq \sum_{0 < |\xi| < \xi_c} P(\xi) + \frac{1}{4} \left[\frac{3 - \cos 2\eta_c}{\pi \eta_c} + \frac{2 \sin^2 \eta_c}{\pi \eta_c^3} - \frac{2 \sin 2\eta_c}{\pi \eta_c^2} - \frac{2}{\pi} \text{Si}(2\eta_c) + 1 \right]. \tag{36}$$

Results and discussion

In this section, we study the results coming from the implementation of the coupled method for the case of a simple cubic system. The representative cell is a cube with the spherical inclusion located at its center (the first figure from the left in Figure 1). The



and NIH approximation for $k_l/k_M = 10$.

periodic problem with prescribed temperature gradient E is solved by three approaches: the NIH approximation (31), the conventional PIS, and the coupled method. The last two methods are based on the same iterative scheme, and in the coupled method, the reevaluation of the effective conductivity after each iteration is done using (30). All results are compared with the results coming from the conventional PIS method at convergence. The analytical expression of $\sum_{\xi \neq 0} P(\xi)$ described in (33) is used to accelerate the computation and to improve the accuracy. Regarding the iterative scheme, the number of harmonic

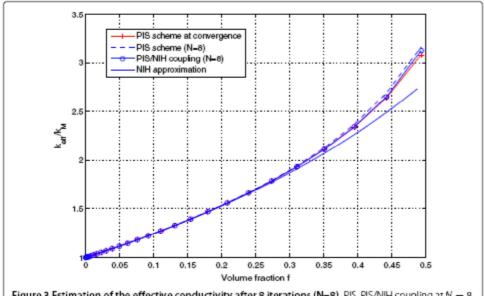
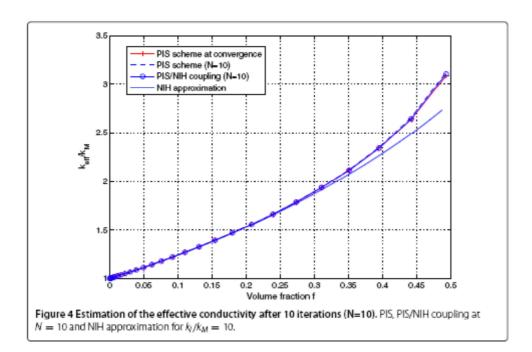
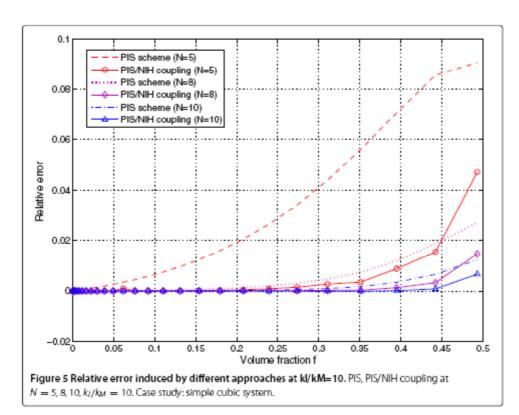


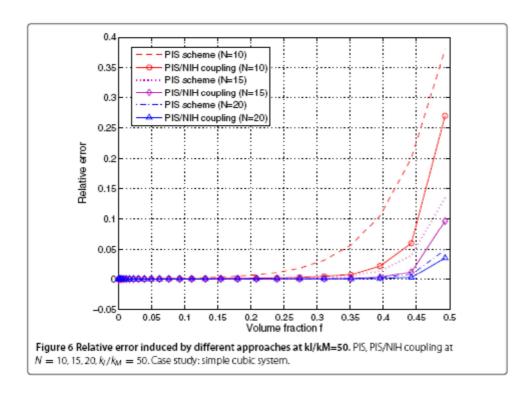
Figure 3 Estimation of the effective conductivity after 8 iterations (N=8). PIS, PIS/NIH coupling at N=8and NIH approximation for $k_l/k_M = 10$.



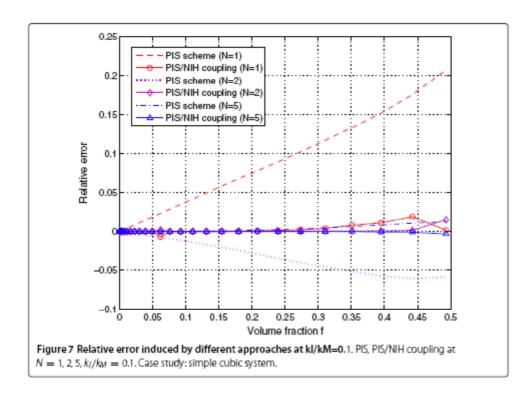
terms retained in the Fourier series is limited to 128*128*128, i.e., $|n_i| < 128$, i = 1, 2, 3, and the precision of the computation $\varepsilon = 0.001$ is adopted. Different contrast ratios k_I/k_M ranging from 0.1 to 50 are considered in this work, and the results of the three approaches are discussed and compared.

From Figures 2,3,4, all curves, associated to the first case $k_I/k_M = 10$, are quite close at small volume fraction f but separate at high f. The significant improvement of the coupled





method can be found at a small number of iterations, e.g., N=5. In all cases under consideration, the coupling reduces significantly the difference between the conventional FFT method and the exact solution (see Figures 5,6,7). The coupled method results are also much more accurate than those issued from the pure NIH approximation which fails at high f.



Numerical examples at different contrast ra tios k_I/k_M also demonstrate a considerable improvement of the coupling in comparison with the basic FFT method. More particularly, for small $k_I/k_M = 0.1$, it generates a very good approximation of $k_{\rm eff}$ even at N = 1, 2, where the error of the FFT method is of order 20%. At high $k_I/k_M = 50$, the coupling performs less well but still reduces the relative error, the effect being important for lower number of iterations.

Conclusions

A coupled method is developed for computing the effective conductivity of periodic composites. The method uses a FFT iterative scheme to solve the localization problem and the NIH approximation to estimate analytically the remainder at any iteration N of the Neumann series. As a result, a new expression for the effective conductivity is derived on the basis of the current flux and temperature gradient field. Numerical tests on various cases have shown that the expression coming from the coupled method improves considerably the results issued from the uncoupled methods for small numbers of iterations. The contribution of the coupling improves the results at any contrast ratio and any volume fraction.

The application domain of the coupled method is large. Although the numerical examples given in this work concern the PIS scheme and spherical inclusions, the method can be applied to any existing FFT-based methods and arbitrary inclusion shapes to improve the accuracy of the predicted properties. The method can be extended to deal with other physical problems such as elasticity, piezoelectricity, etc.

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