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► To cite this version:

Eric Pante, Jawad Abdelkrim, Amélia Viricel, Delphine Gey, Scott France, et al.. Use of RAD sequencing for delimiting species. *Heredity*, 2015, 114, pp.450-459. <10.1038/hdy.2014.105>. <hal-01064545>

HAL Id: hal-01064545

<https://hal.science/hal-01064545v1>

Submitted on 17 Sep 2014

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HAL Authorization

1 Heredity – Original Article

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3 **Use of RAD sequencing for delimiting species**

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6

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20 Running title: Use of RAD sequencing for delimiting species

21 Word count: 6 999 words

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23

24 **Abstract**

25

26 RAD-tag sequencing is a promising method for conducting genome-wide evolutionary
27 studies. However, to date, only a handful of studies empirically tested its applicability
28 above the species level. In this communication, we use RAD-tags to contribute to the
29 delimitation of species within a diverse genus of deep-sea octocorals, *Chrysogorgia*, for
30 which few classical genetic markers have proved informative. Previous studies have
31 hypothesized that single mitochondrial haplotypes can be used to delimit
32 *Chrysogorgia* species. Based on two lanes of Illumina sequencing, we inferred
33 phylogenetic relationships among twelve putative species that were delimited using
34 mitochondrial data, comparing two RAD analysis pipelines (Stacks and pyRAD). The
35 number of homologous RAD loci decreased dramatically with increasing divergence,
36 as >70% of loci are lost when comparing specimens separated by two mutations on
37 the 700 nt long mitochondrial phylogeny. Species delimitation hypotheses based on
38 the mitochondrial *mtMutS* gene are largely supported, as six out of nine putative
39 species represented by more than one colony were recovered as discrete, well-
40 supported clades. Significant genetic structure (correlating with geography) was
41 detected within one putative species, suggesting that individuals characterized by the
42 same *mtMutS* haplotype may belong to distinct species. Conversely, three *mtMutS*
43 haplotypes formed one well-supported clade within which no population structure
44 was detected, also suggesting that intra-specific variation exists at *mtMutS* in
45 *Chrysogorgia*. Despite an impressive decrease in the number of homologous loci
46 across clades, RAD data helped us to fine-tune our interpretations of classical
47 mitochondrial markers used in octocoral species delimitation, and discover previously
48 undetected diversity.

49

50 **Keywords (3-6):** phylogenomics, octocoral, Stacks, PyRAD, SNP, species delimitation

51 **Introduction**

52 The advent of next-generation sequencing tools has permitted significant advances in
53 our understanding of evolutionary processes such as speciation (e.g. Ekblom and
54 Galindo 2011), but some other practical applications of genomic data have been less
55 explored, including phylogenomics and species delimitation. Among genomic
56 approaches that are applicable to these fields, the usefulness of restriction-site-
57 associated DNA tag (RAD-tag; Baird *et al.*, 2008) sequencing has been investigated in
58 few studies to date. This methodology typically provides short sequences (~ 100-150
59 bp) flanking the cut sites of a restriction enzyme (or several enzymes), generally
60 yielding thousands of loci distributed throughout the genome. This approach does not
61 require a reference genome, and can therefore be applied to non-model organisms.
62 However, some technical difficulties remain for groups where very little genomic
63 knowledge is available (see Davey *et al.*, 2011). For instance, the choice of restriction
64 enzyme(s) and methodology (single-digest versus double-digest RAD) is key to
65 estimating the number of expected cut sites and coverage, but relies on prior
66 knowledge of genome size and GC content.

67 Despite these difficulties, RAD-tag sequencing constitutes one of the reduced
68 genomic approaches that are suitable for investigating inter-specific evolutionary
69 questions. Published RAD-tag sequencing research beyond the species level
70 includes *in silico* studies (*Drosophila*, mammals, and yeasts in Rubin *et al.*, 2012;
71 *Drosophila* in Cariou *et al.*, 2013) and empirical work (e.g. Restionaceae flowering
72 plants in Lexer *et al.*, 2013; cetaceans in Viricel *et al.*, 2014), which both suggest this
73 approach is promising for taxa having diverged up to 60 million years ago. For

74 instance, RAD-tag sequencing has proven useful in species delimitation and
75 phylogenies within recently and rapidly diverged groups (e.g. Orobanchaceae
76 flowering plants in Eaton and Ree 2013; swordtails in Jones *et al.*, 2013; *Heliconius*
77 butterflies in Nadeau *et al.*, 2013; cichlids in Wagner *et al.*, 2013; geckos in Leaché *et*
78 *al.*, 2014). Comparatively, reconstructing the phylogeny of more distantly related taxa
79 has been the topic of a single study (*Carabus* beetles, Cruaud *et al.*, 2014), to the best
80 of our knowledge. Herein we use this approach on a group of deep-sea octocorals for
81 which little genomic data are available. Thus, our contribution constitutes one of the
82 first studies investigating the use of RAD-tag sequencing for practical species
83 delimitation within a taxonomic group composed of divergent species (up to 16
84 million years ago).

85 Deep-sea octocorals are one of the groups for which RAD-tag sequencing can
86 significantly advance our understanding of evolutionary patterns. As for shallow-
87 water octocorals, deep-water octocorals present significant challenges for
88 taxonomists, with few morphological characters being available for species
89 delimitation (e.g., McFadden *et al.*, 2010). In addition, several studies have shown
90 conflicting patterns of morphological and molecular data (France 2007; Dueñas and
91 Sánchez 2009; Pante and France 2010), suggesting that an integrative approach to
92 taxon delimitation must be applied in this group (e.g. Schlick-Steiner *et al.*, 2010).
93 Octocorals, as with other anthozoans (e.g. scleractinians and sea anemones), are also
94 plagued with remarkably low levels of mitochondrial genome evolution that renders
95 the use of classical barcoding gene regions such as *cox1* of limited use (McFadden *et*
96 *al.*, 2011). Comparatively, a few studies have successfully used nuclear markers within
97 octocoral species (e.g. Concepcion *et al.*, 2008; Mokhtar-Jamaï *et al.*, 2011), but these
98 are either not widely useable across octocorals (e.g. SRP54; France and Pante
99 unpublished observations), or not informative at multiple phylogenetic scales (e.g.

100 microsatellites). Multi-copy markers have been employed (e.g. Herrera *et al.*, 2010),
101 however their use implies that lack of concerted evolution within and across genomes
102 will not blur the phylogenetic signal (Vollmer and Palumbi 2004; Calderón et al 2006).
103 In this group, RAD-tag genotyping may therefore offer a panel of markers to help
104 describe patterns of population structure, delimit species, and investigate
105 phylogenetic relationships. This technique may however be difficult to implement in
106 this group. Indeed, the composition of the deep-sea octocoral genome is unknown
107 (size, GC content, prevalence of cut sites for restriction enzymes, etc.); the size of
108 known cnidarian genomes, for instance, varies between 224 Mb and 1.8 Tb (Animal
109 Genome Size Database; Gregory, 2014). In addition, sampling of deep-sea animals can
110 be associated with a loss of quality of genomic DNA samples, particularly when
111 sampling in tropical waters using trawls or dredges.

112 The genus *Chrysogorgia* (Calcaxonia: Chrysogorgiidae) is a noteworthy model
113 for testing the utility of RAD sequencing for delimiting octocoral species, as it is
114 diverse (62 nominal species described, 93% of which were based solely on
115 morphology), widely distributed, and can be locally abundant (Watling *et al.*, 2011).
116 The large geographic, bathymetric, and ecological distributions of
117 some *Chrysogorgia* species (Pante *et al.*, 2012b) question whether taxa are
118 appropriately delimited, and whether cryptic diversity is important in the group. In
119 the northwestern Atlantic, congruence exists between morphological and genetic data,
120 suggesting that a relatively short fragment of the mitochondrial *mtMutS* gene can be
121 used to formulate “Primary Species Hypotheses” (Pante and Watling 2012). It is
122 suspected that little to no intra-specific variation exists for this marker within the
123 group (McFadden *et al.*, 2011), but the null hypothesis that single mutations
124 at *mtMutS* are diagnostic of species limits must be evaluated using genetic data from

125 markers informative within and above the species level. RAD loci allow to test
126 whether lineages that putatively belong to different species do not exchange genes.

127 In this communication we test the utility of RAD-tag genotyping for delimiting
128 species in *Chrysogorgia* using the genealogical criterion defined by Taylor *et al.*,
129 (2001). More specifically, we test whether single mutations on the mitochondrial
130 *mtMutS* gene can be used as a criterion for grouping *Chrysogorgia* colonies into
131 separate, putative species (or, more specifically, “Primary Species Delimitation
132 hypotheses” as in Puillandre *et al.*, 2012). We compare the results from two analysis
133 pipelines, Stacks (Catchen *et al.*, 2013) and PyRAD (Eaton, 2014), which significantly
134 differ in the method employed for detecting homologous loci.

135

136 **Material and methods**

137 *Specimen collection and mtDNA typing*

138 *Chrysogorgia* specimens were collected from the SE slope of New Caledonia (NC) and
139 adjacent seamounts of the Norfolk Ridge (82 colonies; *Terrasses* cruise, 2008), from
140 Papua New Guinea (PNG; 8 colonies; *BioPapua* cruise, 2010), and from the
141 northwestern Atlantic (1 colony, *Extreme Coral 2010* cruise; Tables 1 and S1). Pacific
142 specimens were retrieved from dredges and trawls (details on cruises of the Tropical
143 Deep Sea Benthos research program: Bouchet *et al.*, 2008; details on the *BioPapua*
144 cruise: Pante *et al.*, 2012a); the Atlantic specimen was collected using the Jason II ROV
145 (Woods Hole Oceanographic Institution). Specimens were fixed in 80% ethanol as
146 soon as possible after collection. Genomic DNA was extracted using a CTAB protocol
147 according to France *et al.* (1996). A 700-bp fragment of the mitochondrial *mtMutS*

148 gene (identified as more informative than *cox1* or 18S in chrysogorgiids, Pante *et al.*,
149 2012b) was amplified using the ND4L2475F – MUT3458R primer pair and sequenced
150 using an ABI PRISM (R) 3100 or 3130xl Genetic Analyzer (primer information, PCR
151 and sequencing conditions: Pante *et al.*, 2012b). Sequences were checked for quality
152 and edited in Sequencher (TM) 4.7 (Gene Codes), aligned by eye (a single, 3 bp indel
153 was present in the alignment), and haplotypes were submitted to GenBank (Table S1).
154 Divergence times among putative species were estimated using the molecular clock
155 from Lepard (2003), which was calculated for the shallow-water octocoral genus
156 *Lepogorgia* based on *mtMutS* genetic distances for clades located on either sides of the
157 Isthmus of Panama (0.14–0.25%/million years).

158 *Library construction, RAD sequencing, and quality control*

159 Genomic DNA quality was evaluated by 1% agarose gel electrophoresis, and
160 quantified using a Thermo Scientific Nanodrop ND-1 000 spectrophotometer. DNA
161 was sent to Eurofins Genomics (Ebersberg, Germany) for RAD-tag library preparation
162 and sequencing. Libraries were constructed from 1-2 µg of DNA per colony using the
163 *SbfI* restriction enzyme. This enzyme was chosen because it was successfully used in
164 RADseq experiments with marine invertebrates (sea-anemones, Reitzel *et al.*, 2013;
165 abalone, Gruenthal *et al.*, 2014), and was expected to allow an acceptable compromise
166 between prevalence of cut sites and depth of coverage, based on RADcounter (the
167 University of Edinburgh, <https://www.wiki.ed.ac.uk/display/RADSequencing/Home>).
168 As the genome size and GC content of *Chrysogorgia* (or other octocorals, to the best of
169 our knowledge) are not known, we estimated the prevalence of *SbfI* cut sites based on
170 a range of genome sizes and GC content, based on information from the Animal
171 Genome Size Database (see Introduction) and with a GC content of 40% (e.g. Soza-
172 Ried *et al.*, 2009). Barcodes 6-9 nucleotides long and differing by at least 2 nucleotides

173 were used to differentiate multiplexed samples (Table S1). Sequencing was performed
174 on two lanes of the Illumina (R) HiSeq (TM) 2 000 instrument (Illumina Inc., San Diego
175 CA, USA) using the single read, 100 nucleotide configuration. Raw HiSeq output was
176 processed using the CASAVA v1.8.2 software pipeline (Illumina Inc., San Diego CA,
177 USA), and de-multiplexed and quality filtered using the process_radtags.pl module
178 (default quality settings) of the Stacks v.0.99994 pipeline (Catchen *et al.*, 2013). A
179 single sequencing error was tolerated in the barcode. Reads were truncated to 91
180 nucleotides. Quality (as measured by phred scores and percentage of sequence
181 overrepresentation) was checked before and after treatment by process_radtags using
182 FastQC v.0.10.1 (<http://www.bioinformatics.babraham.ac.uk/projects/fastqc/>).

183 *Exploration of the divergence parameter space*

184 Two main pipelines specifically designed for analysis of RADseq data are currently
185 available. The most used to date is the Stacks pipeline. It constructs a catalog of loci for
186 a set of samples mainly based on three parameters: the minimum stack depth
187 parameter m (i.e. the minimum number of reads allowed per allele), the intra-
188 individual divergence parameter M (i.e. the maximum number of mutations that can
189 be observed between stacks within a sample), and the inter-individual divergence
190 parameter n (i.e. the maximum number of mutations that can be observed between
191 loci across samples).

192 PyRAD (Eaton 2014) is a more recently developed pipeline and differs from
193 Stacks in several ways, the most important one being that it allows the presence of
194 indels, since the clustering process of reads into loci uses alignment tools. This is
195 anticipated to be an advantage compared to the first pipeline when considering more
196 phylogenetically distant species. PyRAD relies on a large number of parameters used
197 at different steps of the process. Most of them are related to reads quality control,

198 detection of homology and filtering of paralogs. Two main parameters are of
199 particular importance: the minimum depth coverage Mindepth (minimum depth
200 necessary to make a statistical base call at each position of a cluster) and the similarity
201 threshold Wclust (similarity value to be used for the alignment during both the within
202 and across-sample clustering).

203 For both pipelines, these parameter settings are expected to influence greatly
204 the number of markers available for intra- and inter-specific comparisons and it is
205 necessary to explore which parameter combinations maximize the number of
206 orthologous loci (Viricel *et al.*, 2014). To explore the effect of these parameters at
207 different phylogenetic depths, we randomly selected pairs of specimens that (1) were
208 separated by 0 to 16 mutations at *mtMutS* (representing different levels of
209 phylogenetic divergence), and (2) were characterized by 1 to 1.5 million reads (to
210 alleviate potential effects of depth of coverage on the number of assembled loci). For
211 each level of divergence, we used three replicate pairs of specimens. We refer to
212 specimens with *mtMutS* haplotypes differing by few mutations as pairs of closely-
213 related colonies, and those with haplotypes differing by many mutations as distantly-
214 related colonies.

215 In Stacks, *m* was kept to 3 (the default value); *M* was incremented from 1 to
216 10 in two cases (specimens separated by 0 and 12 mutations at *mtMutS*), and from 1
217 to 7 in all other cases. Similarly, *n* was incremented from 1 to 10 (0 and 12 mutations
218 cases) and from 1 to 8 (all cases). All combinations of *M* and *n* were not tested: only
219 similar values of *M* and *n* were used together (two settings were used: $M=n$ and
220 $M+1=n$), as to (1) keep maximum levels of intra- and inter-individual divergence
221 levels close, and (2) keep the number of Stacks analyses to a reasonable number. A
222 total of 408 Stacks catalog construction tests were therefore performed using the

223 `denovo_map.pl` script available in Stacks. Catalogs were parsed with the `populations.pl`
224 script, where each sample was considered as a separate population, no missing data
225 were allowed, and a minimum of 10 reads per SNP was set.

226 In PyRAD v. 2.0, combinations of two values for `Mindepth` (3 and 6) and 3
227 values for `Wclust` (0.89, 0.93 and 0.96) were tested, resulting in 156 analyses. For
228 these analyses, the maximum number of sites per read with a quality < 20 (`NQual`)
229 was set to 4, the minimum number of samples in a final locus (`MinCov`) was set to 1
230 and the maximum proportion of shared polymorphic sites in a locus (`MaxSH`) was set
231 to 10%. For this last parameter, which aims at detecting paralogs, preliminary tests
232 showed that in our case, changing this value did not drastically affect the number of
233 loci and SNPs detected. Finally, optional parameters were kept to default values.

234 *Comparison of Stacks and PyRAD*

235 To evaluate what proportion of loci was detected by both PyRAD and Stacks, a custom
236 BLASTN search was performed (BLAST toolkit v. 2.2.25; Zhang *et al.*, 2000). Local
237 BLAST databases were constructed using PyRAD sequences (locus file containing
238 consensus sequences for each individual; PyRAD parameters `m=6` and `Wclust=93%`
239 and `89%`) for three groups of specimens with different numbers of reads (Table 2).
240 Stacks loci for these specimens (based on the locus file produced by the `populations`
241 script, for which a single allele was retained per locus; `denovo_map` parameters `m=3`,
242 `M=4`, `n=4`, and `m=3`, `M=10`, `n=12`) were then compared to the PyRAD database using
243 BLASTN (percent identity set to 93% and 89%, word size 80 and 84 nt, ungapped
244 alignments). The XML output of BLASTN searches was then parsed in bash using `grep`.

245 *Phylogenetic reconstruction and species delimitation*

246 RAxML v. 8.0.9 (Stamatakis 2006; Stamatakis *et al.*, 2008) was used on the

247 CIPRES Portal (Miller *et al.*, 2010) to infer phylogenetic relationships among
248 *Chrysogorgia* colonies, based on mitochondrial and nuclear sequences, using the
249 GTRCATI model and automating boot-stopping. The mitochondrial phylogeny was
250 inferred from the first 700 nt of the *mtMutS* gene (see above); the nuclear phylogeny
251 was inferred using concatenated RAD loci obtained based on two parameter sets in
252 Stacks, and one parameter set in PyRAD. The first Stacks set (“m3M4n4”, denovo_map
253 parameters m=3, M=4, n=4; populations script parameters m=6, p=2, r=0.5)
254 corresponds to parameters that maximize the total number of loci detected while
255 minimizing divergence parameters (see “Exploration of the divergence parameter
256 space” section above). For this analysis, each *mtMutS* haplotype was considered as a
257 separate population. The Stacks populations script parameters that were used signify
258 that 50% missing data were allowed within each population, a locus had to be present
259 in at least two populations to be included in the output and a minimum of 6 reads per
260 SNP was required. The second Stacks set (“m3M10n12”, Stacks script denovo_map
261 parameters m=3, M=10, n=12; populations script parameters m=6, p=2, r=0.5)
262 allowed more divergence between loci. The PyRAD dataset (“m6s93”) was
263 constructed with m=6 and Wclust=93% (details above). In all analyses, the Atlantic
264 colony JAC1018 was used as the outgroup.

265 Once clades were delimited with RAxML, a Discriminant Analysis on
266 Principal Components (DAPC, Jombart *et al.*, 2010) was used to explore genetic
267 structure within three clades represented by 18 to 31 colonies (see below). This
268 method takes into account the multilocus genotype of each individual and forms
269 clusters based on genetic similarity without considering a model of evolution. We also
270 used TESS (Durand *et al.*, 2009) to investigate population structure using the
271 conditional auto-correlative (CAR) admixture model with a spatially explicit, Bayesian
272 framework. In TESS, the Deviance Information Criterion (DIC) was used to compare

273 population structure in the presence of different numbers of clusters (the maximum
274 number of cluster K was set to the total number of individual in the tested clade; for
275 example, K was set from 2 to 18 for clade 1). Five replicate runs were used per K, with
276 1 200 MCMC steps and a 200-step burnin. The best K was determined by minimizing
277 DIC and its variance; once the best K determined, a longer analysis with 12 000 steps
278 and a 2 000-step burnin was run to obtain reliable individual assignments. The
279 populations script in Stacks was re-run to keep only one SNP per locus, in order to
280 minimize the probability of co-analyzing linked markers. The Stacks m3M4n4 dataset
281 was chosen for these analyses for two reasons: (1) the DAPC and TESS analyses are
282 run within clades at shallow phylogenetic depths, and (2) as only one SNP / locus is
283 retained, divergence level should be kept minimal to prevent the inclusion of non-
284 homologous loci. The DAPC analysis was run using adegenet in R (Jombart 2008; R
285 Development Core Team 2014).

286

287 **Results**

288

289 *Mitochondrial typing and RAD-tag sequencing*

290

291 A total of 12 *mtMutS* haplotypes were detected among the 91 colonies investigated, 10
292 of which were from NC, 3 from PNG, 1 from the northwestern Atlantic, and 2 being
293 shared between NC and PNG. The biogeography of these mitochondrial haplotypes at
294 these locations is further discussed in Pante *et al.* (2012ab). A total of 236 million raw
295 reads, corresponding to 35 463 Mbp were produced on two HiSeq2000 lanes. The
296 number of quality-filtered reads (in millions) per colony varied between 0.04
297 (TER11108) and 5.82 (TER2044), with a median of 1.6. There was a significant
298 correlation between the number of quality-filtered reads per colony and haplotypes

299 (Kruskal-Wallis chi-squared = 25.11, df = 13, p-value = 0.02), haplotypes 6 and 10, for
300 instance, yielded fewer reads than other haplotypes (haplotype 10 colonies were
301 sampled from depths down to 880 m, and haplotype 6 colonies had remarkably small
302 polyps that may have been particularly sensitive to prolonged times to preservation).

303

304 *Loci, SNPs, and indel cataloguing using Stacks and PyRAD*

305

306 Results from both pipelines (Stacks and PyRAD) show variations in the number of loci
307 and SNPs depending on the set of parameters used (Figure 1a-e, 1g-k), as well as the
308 mitochondrial genetic distance between samples (Figure 1f). For Stacks, as the
309 mitochondrial genetic distance among included samples decreases, both the total
310 number of loci and the number of polymorphic loci increases (Figure 1ab). The former
311 ranges from a few loci to more than 2 000, whereas the latter ranges from a few loci to
312 ~1 000, depending on the set of parameters used. When related to time of divergence
313 (in MY, based on mtDNA), the total number of loci obtained decreases exponentially
314 (Figure 1f). Inversely, the percentage of polymorphic loci is lower for more closely-
315 related colonies (~40%) than for distantly related-colonies (~90%; Figure 1c). These
316 three measures (number of loci, number of polymorphic loci and percentage of
317 polymorphic loci) show the same response to an increase in divergence parameters M
318 and n, namely a rapid increase followed by a plateau. This plateau is reached for the
319 m3M4n4 set of parameters. Conversely, the number of SNPs increases drastically
320 without reaching a plateau, from a few SNPs for the most stringent set of parameters
321 and the most distantly-related colonies to around 3 000 for the most closely-related
322 colonies and the most relaxed set of parameters (Figure 1d). Thus, the effect of
323 increasing mitochondrial genetic distance among samples or decreasing stringency of

324 parameters is to increase SNPs densities, from one SNP every 250 bp to one SNP every
325 20 bp (Figure 1e).

326

327 Results of the PyRAD analyses follow the general trends observed for the Stacks
328 pipeline. These trends are an increase in total number of loci and polymorphic loci
329 (Figure 1gh) for more relaxed parameters sets, as well as for more closely-related
330 colonies. As for Stacks, more distantly-related specimen pairs have fewer loci than for
331 closely-related ones, but a larger proportion of those is polymorphic (Figure 1i). While
332 the percentage of polymorphic loci shows similar ranges of values for Stacks and
333 PyRAD, the total number of loci as well as the number of polymorphic loci are almost
334 doubled (from 2 000 to almost 4 000 and from 1 000 to almost 2 000, respectively).

335 The same pattern is observed for the number of SNPs and SNP densities (Figure 1jk):
336 PyRAD output differs from Stacks output by a factor of almost two, resulting in SNPs
337 densities twice as high (from one SNP every 130 bp to one SNP every 20bp). Finally,
338 unlike Stacks, PyRAD allows for indels within loci. The percentage of loci containing
339 indels increases with less stringent sets of parameters (Figure 1l). Depending on the
340 pair of samples considered, this measure varies from a few percent to almost 40 %.
341 For PyRAD, the number of catalogued loci decreased rapidly with the number of
342 specimens included in the analysis (with significant drops corresponding to the
343 number of individuals in the haplotype clades revealed by the phylogenetic
344 reconstruction, see below) (Figure 2). Most loci bore <3 SNPs even when 10
345 polymorphisms were allowed on a single RAD locus (Figure 2).

346 We measured the proportion of loci catalogued by Stacks that was also
347 detected by PyRAD using custom BLASTN database searches. Overall, 0.6 to 42.7% of
348 loci detected by Stacks were present in the PyRAD catalog. This pattern is partly
349 explained by the proportion of PyRAD loci with indels (see above), but might also be

350 influenced by the differential detection of repeated regions (i.e. deleveraging
351 algorithm in Stacks), or the number of reads per individual (the proportion of loci in
352 common between Stacks and PyRAD was lower for individuals with fewer reads;
353 Table 2).

354

355 *Phylogenetic reconstruction and species delimitation*

356

357 The automatic boot-stopping method implemented in RAxML yielded 1 000 bootstrap
358 replicates for the mitochondrial phylogeny (91 taxa x 700 nt), 500 replicates for the
359 Stacks RAD phylogenies (91 taxa x 1 080 352 nt, 11 872 loci for the first dataset, and 1
360 146 054 nt, 12 594 loci for the second dataset), and 200 replicates for the PyRAD
361 phylogeny (91 taxa x 6 120 523 nt, 69 851 loci). The proportion of gaps and
362 undetermined characters ranged between 83 and 84% for Stacks and was 92% for
363 PyRAD. The three RAD phylogenies were similar but not identical, the second Stacks
364 dataset being better resolved than the first, and the PyRAD dataset being better
365 resolved than the Stacks sets (nodes with bootstrap >70%: 19% for m3M4n4, 29% for
366 m3M10n12, 40% for m6s93; Figure 3). Divergence levels were much higher in the
367 RAD phylogenies compared to the mitochondrial phylogeny. For instance, the groups
368 composed of haplotypes 9 and 10 were separated by a distance of 0.001
369 substitution/site on the *mtMutS* tree, while these clades were separated by 0.27 and
370 0.25 substitutions/sites on the m3M4n4 and m3M10n12 RAD phylogenies,
371 respectively (Figure 3).

372 Out of nine mitochondrial haplotypes represented by more than one
373 individual, six formed well-supported monophyletic groups on the RAD phylogenies,
374 for all datasets. One of these clades (corresponding to haplotype 10) contained
375 specimens from both NC and PNG. The group formed by mitochondrial haplotype 7

376 was polyphyletic on the RAD phylogenies, with specimens grouping in two well-
377 supported clades on the PyRAD phylogeny: one composed of five closely-related NC
378 specimens and one composed of three more divergent PNG colonies (this clade was
379 split in two on the Stacks phylogenies). Specimens characterized by *mtMutS* haplotype
380 7 may therefore belong to at least three distinct species. On the other hand, specimens
381 characterized by three distinct mitochondrial haplotypes (2, 8, 13) clustered into a
382 single, well-supported clade (with the exception of one individual, TER13034,
383 haplotype 8, which clusters well outside this clade). These three haplotypes, which
384 form a paraphyletic group on the mitochondrial phylogeny and are one to two
385 mutations different from each other, would therefore be considered as one
386 evolutionary unit based on the RAD phylogenies (and population clustering analyses
387 with DAPC and TESS failed to detect structure within this clade; see below). Finally,
388 out of three singleton haplotypes (J, 13, 14), two (J, 14) sit on long branches and are
389 clearly differentiated from other haplotypes using RAD-tag data.

390 We ran a DAPC on the three clades that contained the most colonies (clade 1:
391 18 colonies of haplotype 9; clade 2: 20 colonies of haplotype 4; clade 3: 31 colonies of
392 haplotypes 2, 8, 13). Within these clades, 3 685, 1 470 and 8 201 loci were retained
393 (with 25, 42 and 55% missing data, respectively). In all three cases, DAPC failed to
394 detect intra-clade genetic structure, as the most likely number of group (based on BIC,
395 discounting the scenario in which each sample belongs to its own group), in each case,
396 was one (Figure S1). The spatially-explicit admixture model implemented in TESS also
397 failed to detect genetic structure within clades 1 and 3, but suggested the presence of
398 three clusters in clade 2, these clusters being composed of colonies sampled (1) on the
399 slope of New Caledonia, (2) Munida Seamount (Norfolk Ridge), and (3) Jumeaux Ouest
400 Seamount (Norfolk Ridge; Figure S1). The population genetics of *Chrysogorgia* will be
401 further discussed in a separate study.

402

403 *Detection of environmental contaminants*

404

405 As octocoral DNA was extracted from whole polyps rather than dissected,
406 internal tissue, some loci may come from environmental contaminants such as
407 bacteria. To evaluate the prevalence of such loci, we blasted all the loci that were
408 catalogued for the m3M4n4 Stacks dataset from individual JAC1018 (n = 1 202). The
409 BLASTN algorithm (Altschul *et al.*, 1997) was used to match RAD loci to the non-
410 redundant NCBI nucleotide database, using 10^{-3} as a statistical significance threshold
411 (e-value). Most sequences (92.6%) could not be assigned to a match in the nucleotide
412 database and 4.5% of loci were similar to bacterial sequences (78-100% similarity
413 between match and query). A single locus matched human mitochondrial DNA (84%
414 similarity); other matches (n = 34) included other invertebrates and plant sequences.
415 Given (1) the small prevalence of potential contaminants, (2) our inability to
416 determine whether these loci really belong to contaminant DNA or correspond to
417 coral sequences which closest matches are non-cnidarian taxa, and (3) the large
418 number of Stacks analyses performed (>400), we decided to run our analyses without
419 trying to filter loci from exogenous DNA sources.

420

421

422 **Discussion**

423

424 A critical decision in RAD analyses is the way the sequencing data are filtered to get to
425 the final SNP dataset. This process goes through several steps to ensure that the final
426 loci will correspond to homologous sequences. The main filters involve several quality
427 filters (sequencing quality, sequencing depth) as well as several similarity thresholds

428 aimed at identifying the different allelic states of homologous loci. Finally, for each
429 sample, an algorithm is used to tell apart sequencing errors from real mutations in
430 order to conduct the final SNP calling. Even though the overall process is quite similar
431 for Stacks and PyRAD analyses pipelines, a strict comparison of their results is not
432 straightforward since they use sets of parameters that differ to some extent. A main
433 difference between these two pipelines is in the assessment of similarity of loci: Stacks
434 uses a strict similarity criterion (maximum number of mutations) in order to cluster
435 reads into loci, whereas PyRAD uses an overall similarity criterion, after an alignment
436 step, allowing for the presence of indels within clusters. This should be a critical
437 difference when comparing genetically more-distant samples as indels are more likely
438 to occur, and would thus result in sequences being assigned to different loci using
439 Stacks (which will then be excluded from the final catalog since not present in both
440 individuals) while PyRAD would theoretically allow these reads to be considered as
441 homologous loci.

442

443 Our results show that more loci are recovered using the PyRAD pipeline.
444 Despite these differences, general trends are similar using both pipelines. First, fewer
445 loci and SNPs are recovered when comparing more genetically distant samples. This
446 result is expected and has been anticipated through simulation (Cariou *et al.* 2013)
447 and observed empirically (Cruaud *et al.* 2014). Our data show an exponential decay of
448 the number of loci recovered as a function of divergence time of samples. Second, the
449 stringency of the filtering process has a significant effect on the number of loci and
450 SNPs identified. Indeed, higher minimum depth of sequencing thresholds and higher
451 similarity threshold lead to fewer loci being identified. This trend is observed
452 regardless of the level of genetic divergence between samples, but it seems to be
453 accentuated when samples are more closely related.

454

455 Despite the similarities in general trends, quantitative and qualitative
456 differences are observed in the outputs of each pipeline. Indeed, whatever the set of
457 parameters used, almost twice as many loci are identified using PyRAD compared to
458 Stacks. This difference cannot be solely attributed to the management of indels since
459 our results show that the percentage of loci containing indels is usually around 5-20%
460 and never reaches 40% whatever the genetic distance between samples and the
461 parameters set. Another interesting result is that PyRAD is not simply adding extra
462 loci to the total loci identified by Stacks: only half of the loci identified using Stacks are
463 also present in the PyRAD loci catalogs. It is thus necessary to invoke other filtering
464 processes and differences in algorithm to explain these differences in output. More
465 thorough analyses would be needed in order to identify precisely what are the main
466 sources of divergence in the processing of raw data, in addition to the treatment of
467 indels.

468

469 One major result is the remarkable loss of homologous loci with increasing
470 divergence among specimens with different mitochondrial haplotypes. For instance,
471 compared to specimens sharing the same haplotype, specimens two mutations apart
472 at *mtMutS* (estimated divergence of 1-2 My) had on average 70% fewer homologous
473 loci (Stacks analysis at m3M7n8). Within the genus, specimens from mitochondrial
474 clades 16 mutations apart (i.e. the highest divergence level included in our study,
475 estimated between 9 and 16 My) share 97% fewer loci. This rate of loss of
476 homologous RAD-tags is far greater than what has been observed in cetaceans (Viricel
477 *et al.*, 2014), for which 66% of homologous loci were retained at the inter-familial
478 level (short-beaked common dolphins, *Delphinus delphis*, vs. harbour porpoise,
479 *Phocoena phocoena*; estimated divergence of 14-19 My) compared to the intra-specific

480 level (within *Delphinus delphis*). Comparisons within cetaceans were performed using
481 the same custom pipeline as used in the present study, using Stacks parameters
482 m3M3n3 (the results for corals were similar when comparing m3m3n3 to m3M7n8).

483

484 The differences observed between our study and that of Viricel *et al.* (2014)
485 may be explained by various factors. For example, the choice of restriction enzyme
486 was different (*Sbf1* here, *Not1* for Viricel *et al.*), and differences in genome
487 composition (most importantly GC content and size) are unknown. While both studies
488 were conducted with two lanes of Illumina HiSeq2000 sequencing (conducted by
489 Eurofins Genomics in both cases), throughput may have been influenced by the quality
490 of genomic DNA (trawled deep-sea samples here, stranded animals for Viricel *et al.*).
491 These various factors may have significantly influenced the number of cut sites. Our
492 comparisons might also be significantly affected by the precision of the molecular
493 clocks available. Divergence times between cetacean families were inferred based on
494 fossil evidence (see references in Viricel *et al.*, 2014), while no such fossil-calibrated
495 molecular clock exists, to the best of our knowledge, for octocorals. The *mtMutS*
496 divergence rates estimated by Lepard (2003) are based on a group of shallow-water
497 octocorals that may evolve faster than the deep-sea *Chrysogorgia* (a long standing
498 question in deep-sea biology is whether evolutionary process take longer in deeper
499 water, compared to shallower waters; e.g. Wilson and Hessler 1987), and rely on a
500 geological event (rising of the Isthmus of Panama), which can introduce further bias.

501

502 The exploration of divergence parameter space, as outlined above, was made
503 using pairs of specimens, and not allowing any missing data. Stacks and PyRAD can
504 build catalogs with loci shared by a set proportion of individuals within pre-defined
505 groups. Hence, our phylogenetic matrix based on over 12K loci (Stacks parameters

506 m3M10n12) resolved most deeper nodes of the tree despite 83 to 84% of missing
507 data. Similarly, Cruaud *et al.* (2014) constructed a phylogeny of 18 species of the
508 beetle genus *Carabus*, and found that the deepest node of the tree (17 My divergence
509 between species) was characterized by 67% of missing data but strong statistical
510 support. Jones *et al.* (2013) reconstructed phylogenetic relationships among
511 congeneric species of swordtail and platyfish (*Xiphophorus* sp.) that diverged <3 My,
512 and estimated up to 70% missing data (ingroup data; their Table S2). They noted,
513 however, that missing data had little effect on tree topology and branch support. The
514 rate of loss of homologous loci observed in swordtail and platyfish is more on par with
515 what we observed for *Chrysogorgia* than what was reported for cetaceans and *Carabus*
516 beetles, and further emphasizes that (1) the utility of RAD sequencing for phylogenetic
517 reconstruction may be taxon-dependent, and (2) molecular clocks must be critically
518 interpreted. It must be underlined, however, that notable differences in tree
519 topologies were observed between the three inferred RAD phylogenies, such as deep
520 but well-supported nodes (e.g. relative positions of clade 3 and haplotypes 6, 7 and 8).

521

522 RAD-tag sequencing has also proven very useful in testing the criterion used
523 for our primary species delimitation hypotheses, namely that single mitochondrial
524 *mtMutS* haplotypes discriminate species that fit within the General Lineage Concept of
525 species as defined by de Queiroz (1998). Indeed, a large numbers of variable loci could
526 be catalogued within and among closely-related colonies (sharing the same *mtMutS*
527 haplotype, and therefore putatively belonging to the same species) and more
528 distantly-related colonies (separated by 1-16 mutations at *mtMutS*, putatively
529 belonging to different species), allowing us (1) to plot our primary delimitation
530 hypotheses onto well-supported phylogenies, and (2) to explore the spatial structure
531 of populations. Three patterns were evidenced from the data: (1) in the majority of

532 cases we noted a complete congruence between *mtMutS* haplotypes and RAD clades
533 (6/9 non-singleton haplotypes and 2/3 singleton haplotypes); (2) in one case
534 incomplete congruence was noted (with PyRAD, haplotype 7 corresponding to two
535 RAD clades (one NC, one PNG) that did not form a monophyletic group; (3) in one case
536 a single RAD clade included specimens with different (but closely-related) haplotypes.
537 This result is significant for octocoral taxonomy and systematics, as *mtMutS* has been
538 widely used to assist species delimitation across a large number of families (e.g.
539 review of McFadden *et al.*, 2010). While morphological, mitochondrial (Pante and
540 Watling, 2012) and genomic data (this study) all point to the utility of *mtMutS* for
541 delimiting *Chrysogorgia* species, its resolution should be interpreted in two ways.
542 First, as we did not find 100% congruence between RAD clades and *mtMutS*
543 haplotypes, and tested only a restricted set of putative species, *mtMutS* should still be
544 considered as one of the first steps in an integrative taxonomic loop incorporating
545 more variable markers (e.g. Schlick-Steiner *et al.*, 2010; Kekkonen and Hebert 2014).
546 Second, the evolutionary speed of *mtMutS* may well vary among octocorals, and its
547 resolving power may therefore vary from one group to another (e.g. Baco and Cairns,
548 2012). Nevertheless, combining mitochondrial markers such as *mtMutS* and RAD-tag
549 data will without doubt be of tremendous value for testing the large number of
550 outdated species hypotheses within the Octocorallia.

551

552

553 **Acknowledgments**

554

555 The authors thank the participants of the national research group “Génomique
556 Environnementale” for stimulating discussions on the use of RAD-tags for inferring
557 phylogenies, in particular R. Debruyne and A. Cruaud. Samples used in this study were

558 collected during the *Terrasses* and *BioPapua* cruises (PIs S. Samadi and L. Corbari) as
559 part of the MNHN/IRD Tropical Deep-Sea Benthos programme (Bouchet *et al.*, 2008),
560 and during the *Extreme Corals 2010* cruise in the northwestern Atlantic (PIs SW Ross
561 and SD Brooke; funding from NOAA's Deep Sea Coral Research and Technology
562 Program). We warmly thank the participants and crew members on these cruises for
563 they indispensable help at sea. This study was funded by the "Institut Écologie et
564 Environnement" of the CNRS ("Appel à Projets en Génomique Environnementale,"
565 organizers Dominique Joly and Denis Faure), the French Muséum national d'Histoire
566 naturelle (Action Thématique du Muséum "Taxonomie moléculaire: DNA Barcode et
567 gestion durable des collections") and by the Agence National de la Recherche (ANR
568 12-ISV7-0005-01 French-Taiwanese project TF-DeepEvo). Parts of the analysis were
569 run on the YMIR super-computer (partly funded by the European Union (contract
570 31031-2008, European Regional Development Fund) of the University of La Rochelle
571 (many thanks to Mikael Guichard, Marc-Henri Boisis-Delavaud and Frédéric Bret). We
572 also thank Julio Pedraza (UMS 2700, MNHN) for his help with bioinformatics. Salary
573 for EP was covered by a grant to the Poitou-Charentes region (Contrat de Projet État-
574 Région 2007- 2013). Finally, the authors thank the editor and two anonymous
575 reviewers for their constructive comments.

576

577 **Conflict of Interest:** The authors declare no conflict of interest.

578

579 **Data Archiving:** Mitochondrial haplotypes were deposited on GenBank (Table S1).

580 Phylogenetic data were deposited on Dryad: doi:xxxxx.

581

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726

727 **Titles and Legends to Figures**

728

729 **Figure 1.** Comparison of locus detection for Stacks (a-f) and PyRAD (g-l). The number
730 of loci, SNPs and indels detected for specimens separated by 0-16 mutations at the
731 mitochondrial *mtMutS* gene are shown for the different read coverage (m
732 parameter) and divergence levels (M and n parameters, see text). In PyRAD
733 analyses, “s” corresponds to the “Wclust” parameter.

734

735 **Figure 2.** Information content of the locus catalog built by PyRAD for all 91
736 *Chrysogorgia* specimens. Wclust: percent divergence permitted between loci
737 within and across specimens; in addition to the 93% Wclust level used to infer the
738 *Chrysogorgia* phylogeny, the 89% Wclust level was tested here.

739

740 **Figure 3.** Maximum likelihood phylogenetic trees inferred using RAxML for the
741 mitochondrial *mtMutS* data (a), and RAD loci (b-d). Bootstrap node support (1000
742 replicates for a, 500 replicates for b-c, 200 for d) is presented only for nodes with
743 $\geq 70\%$ support. At the tips, colored dots, which represent *mtMutS* haplotype
744 membership (each color represents a unique haplotype), are followed by
745 specimen identifiers and haplotype numbers. Each tree was rooted to the Atlantic
746 specimen (JAC1018, haplotype J). Genetic structure within clades 1, 2 and 3 were
747 further investigated using a DAPC and TESS (see text and Figure S1). Scale bars:
748 substitution / site.

749

750 **Figure S1.** Population genetic structure within three clades of the phylogenetic
751 analysis. a-c: Bayesian Information Criterion (BIC) values for each tested number
752 of DAPC cluster. For each clade, the maximum number of clusters was set as the

753 number of individuals minus one (a: clade 1, b: clade 2,c: clade 3). d-e: Boxplots of
754 Deviance Information Criterion (DIC) values for each value of K. g: Longer TESS
755 analysis (12 000 MCMC steps) performed on clade 2 colonies, for K=3. g: On the
756 left, the phylogenetic relationships between colonies within clade 2 are
757 represented based on the PyRAD dataset, and colored squared at the tips
758 represent geography (orange: Jumeau Ouest Seamount, green: Munida Seamount,
759 blue: New Caledonia slope). On the right, q values (ancestry proportions inferred
760 from the CAR admixture model) are given for each individual from clade 2.

761

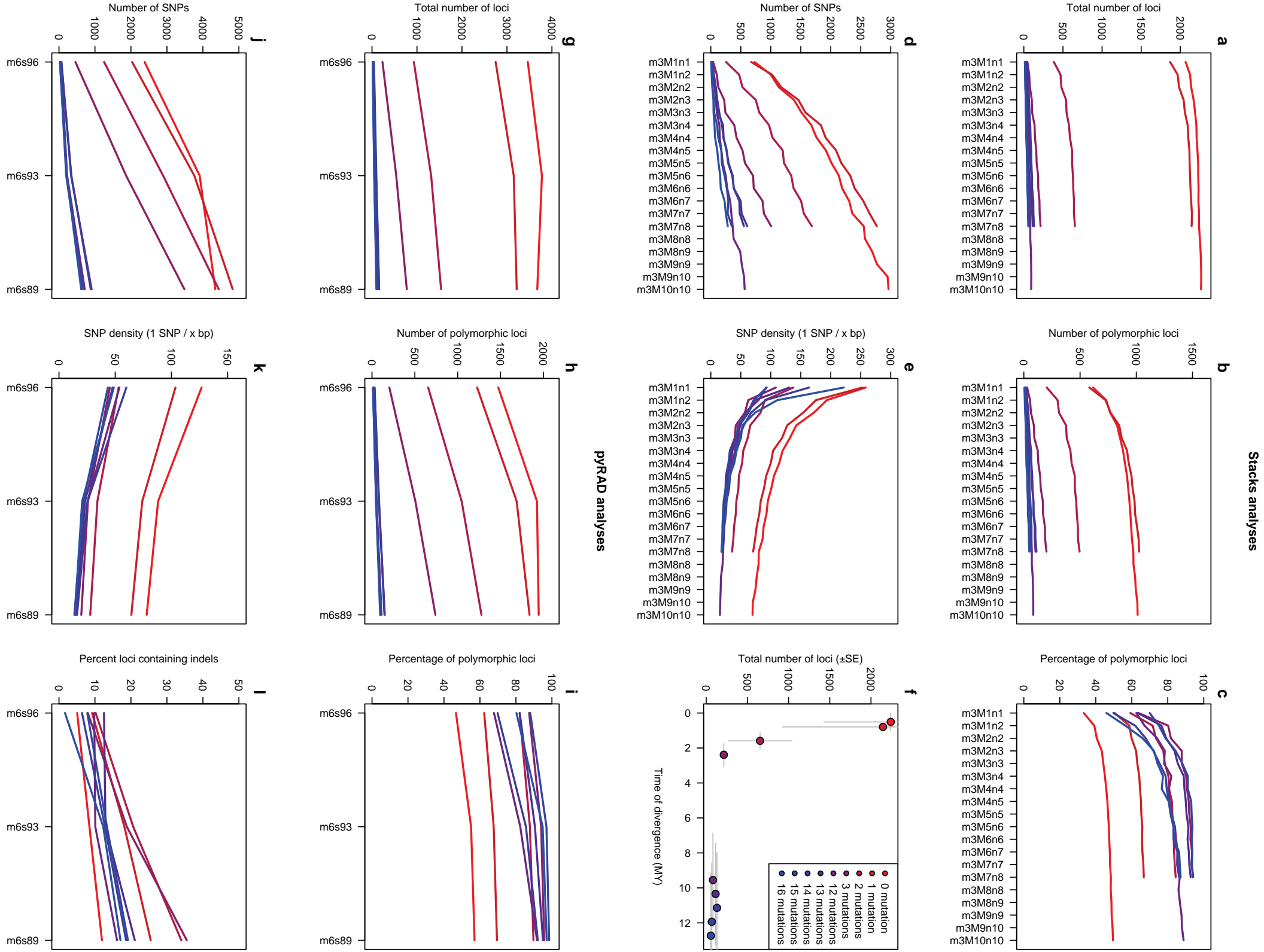
762 **Table 1.** Summary table of haplotype information (sample size, geographical spread,
763 depth range, habitat (seamounts vs. slopes) and *mtMutS* vs. RAD delimitation. NC:
764 New Caledonia, PNG: Papua New Guinea

765

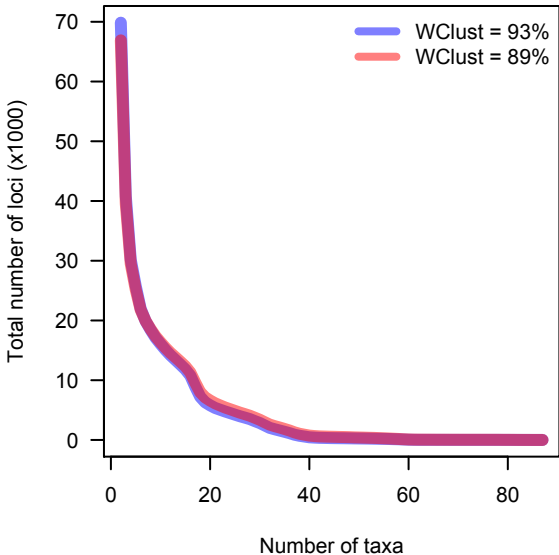
766 **Table 2.** Results of the BLASTN alignments performed between Stacks and PyRAD
767 sequences. The number of loci detected within nine individuals (with high,
768 medium and low read numbers) is presented for the two analyses performed on
769 the entire set of 91 specimens. The number of quality-filtered reads is given in
770 million.

771

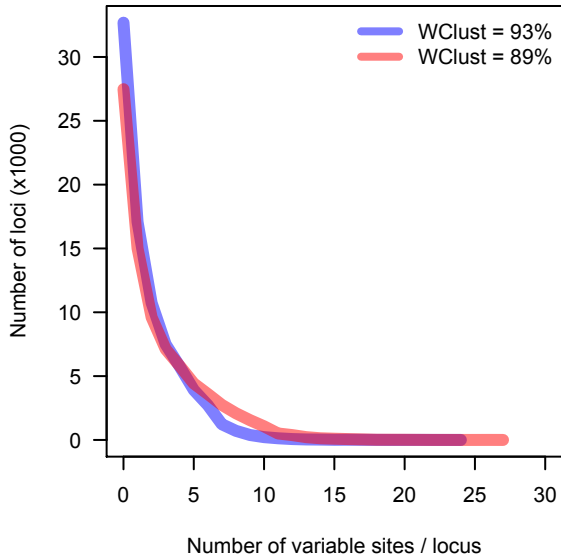
772 **Table S1.** Excel table with information on collection (location, date, coordinates,
773 depth), mitochondrial haplotypes (haplotype number and GenBank accession
774 number), and number of quality-filtered reads for the 91 *Chrysogorgia* specimens
775 used in this study. The 6-9 nucleotide barcodes used to distinguish specimens
776 after Illumina sequencing are also included.



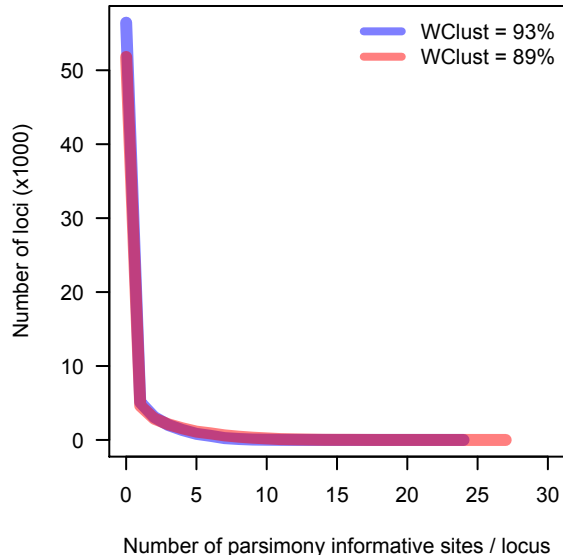
**Number of loci
across taxa**



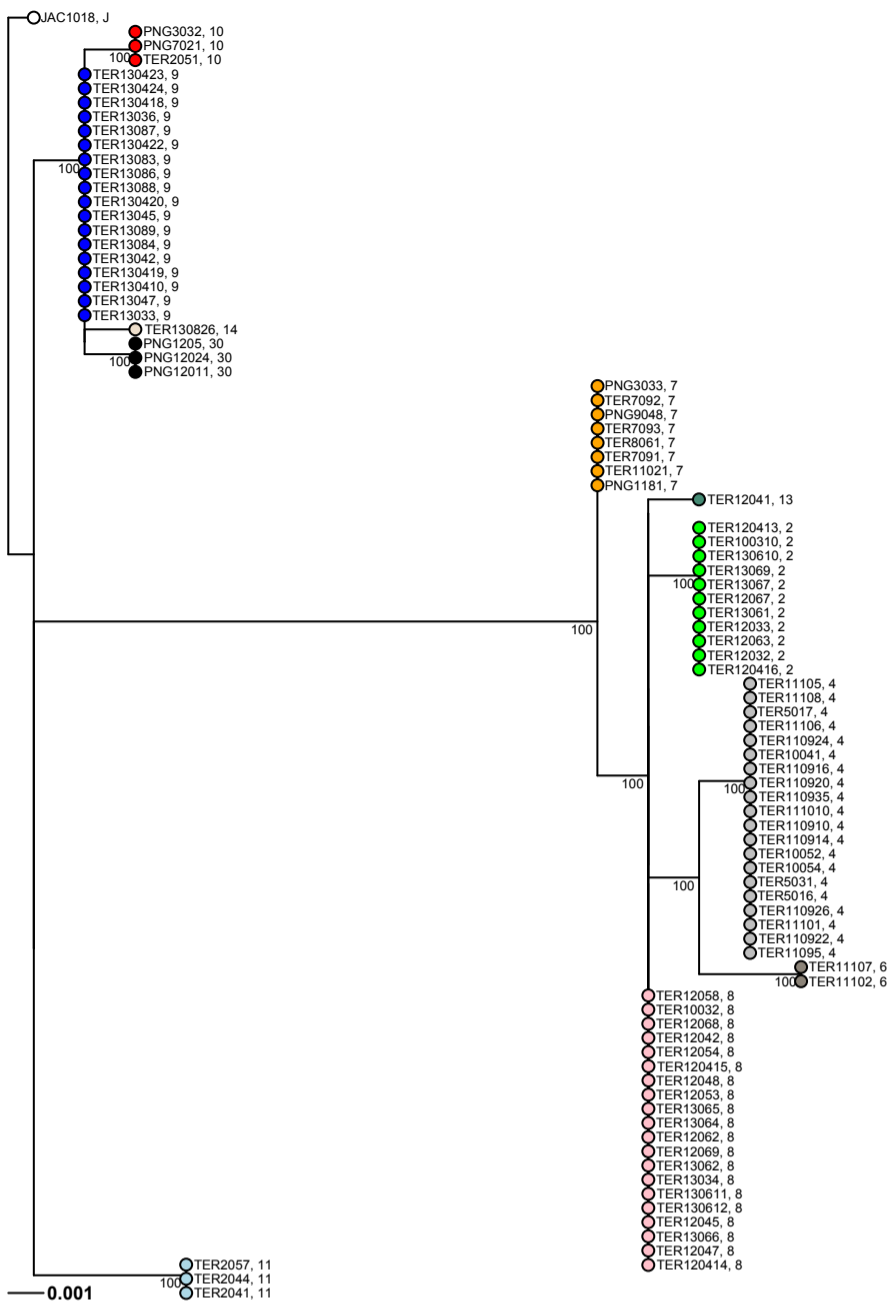
**Density of variable sites
across loci**



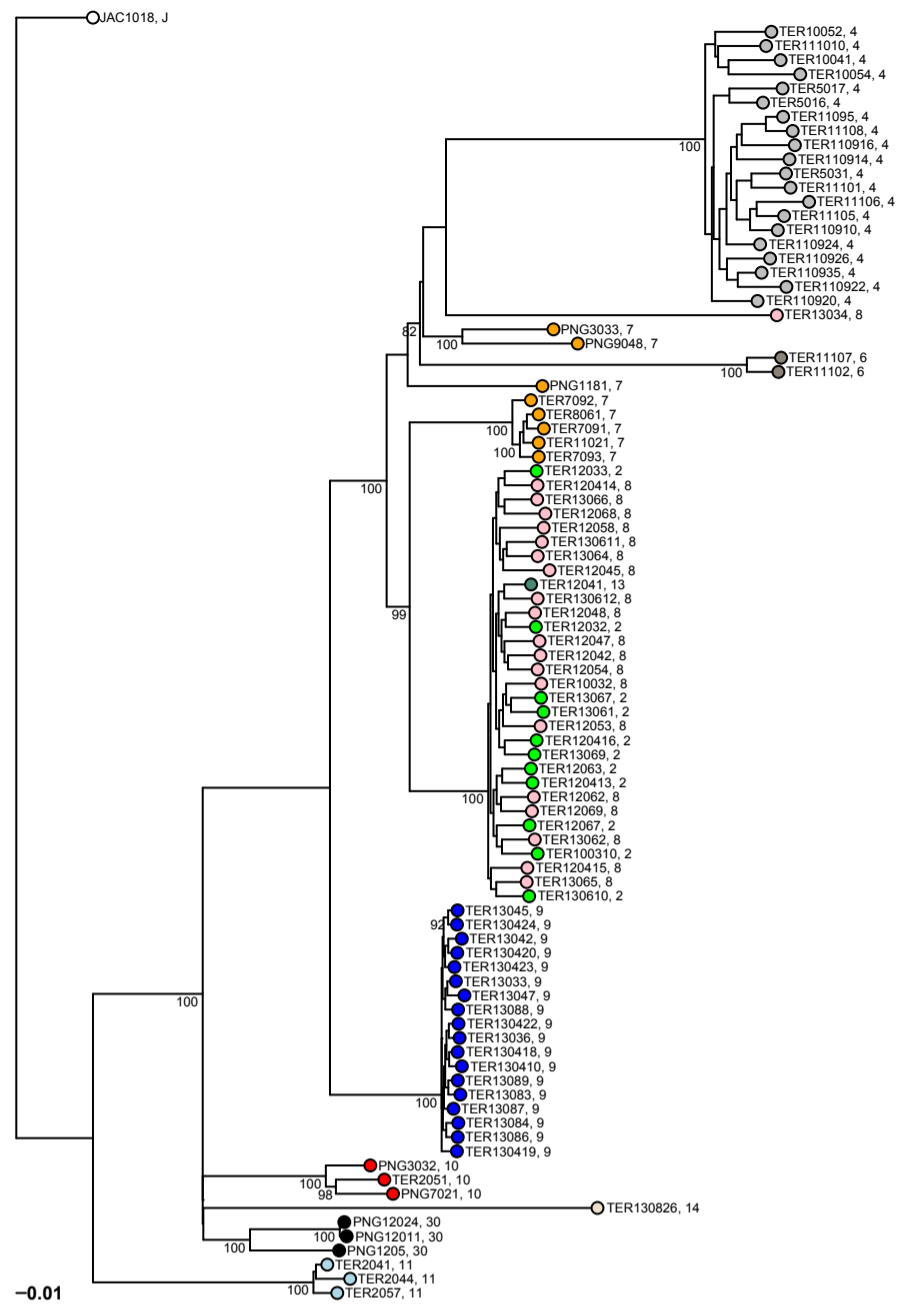
**Density of parsimony-informative sites
across loci**



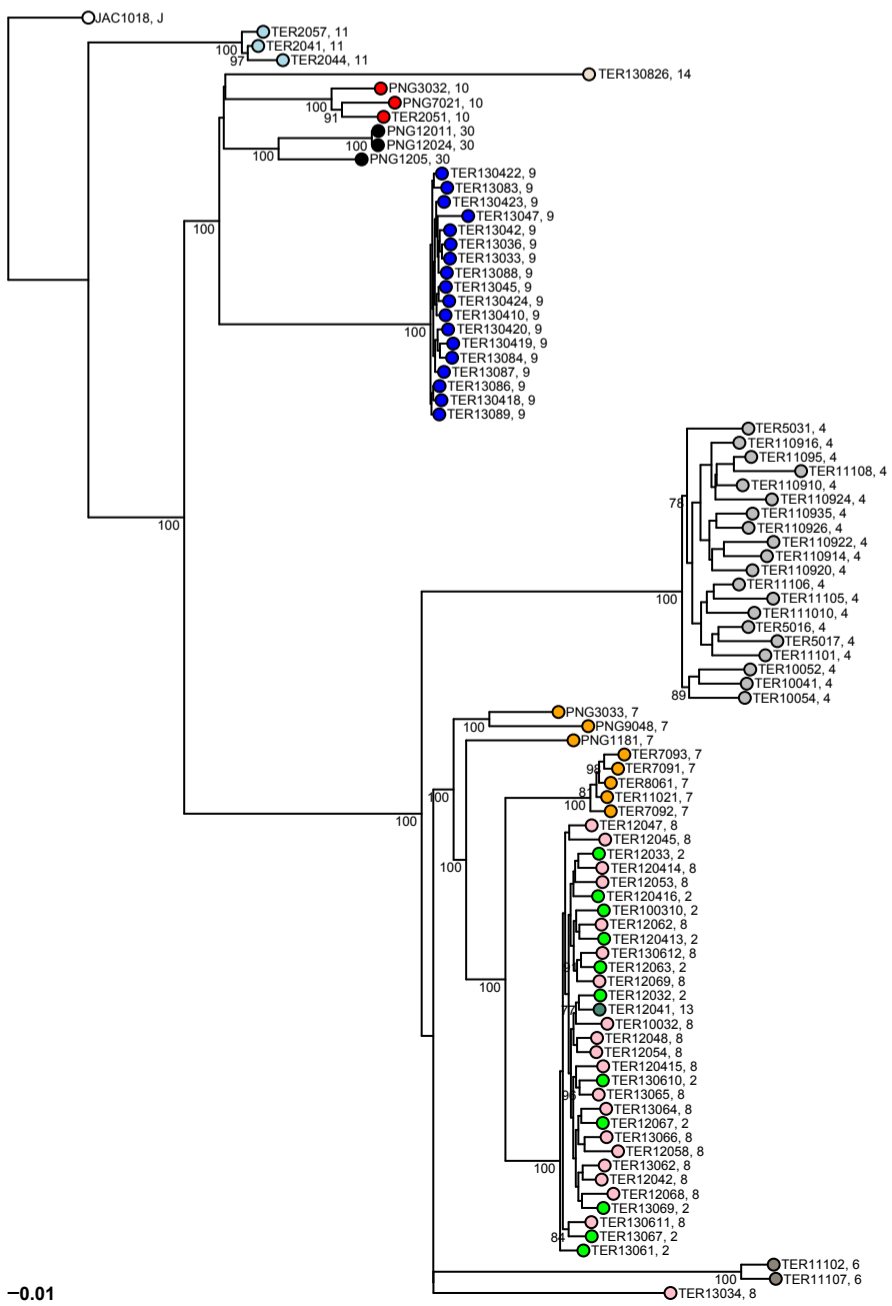
a. Mitochondrial, *mtMutS*



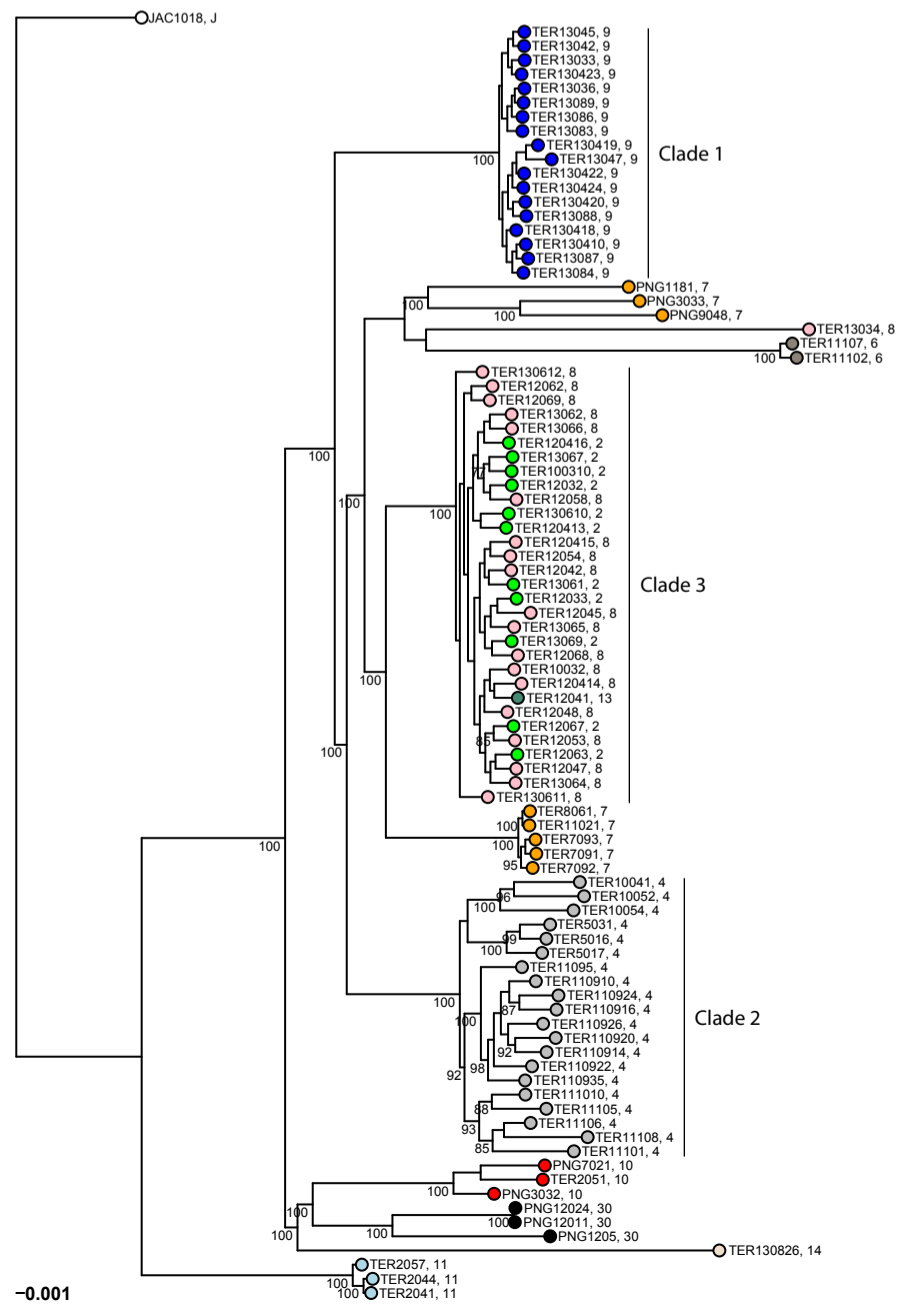
b. RAD-tags, Stacks m3M4n4 dataset



c. RAD-tags, Stacks m3M10n12 dataset



d. RAD-tags, PyRAD m6s93 dataset



Haplotype	N. colonies	Geography	Habitat	Depth range (m)
J	1	Atlantic	slope	627 - 627
2	11	NC	slope	390 - 500
4	20	NC	slope & seamoun	150 - 330
6	2	NC	seamount	270 - 310
7	8	NC-PNG	slope & seamoun	300 - 880
8	20	NC	slope	390 - 500
9	18	NC	slope	390 - 450
10	3	NC	slope & seamoun	458 - 880
11	3	NC	seamount	750 - 840
13	1	NC	slope	460 - 490
14	1	NC	slope	400 - 420
30	3	PNG	slope	220 - 1020

Delimitation

mtMutS / RAD congruence
mtMutS / RAD incongruence
mtMutS / RAD congruence
mtMutS / RAD congruence
mtMutS / RAD incongruence
mtMutS / RAD incongruence
mtMutS / RAD congruence
mtMutS / RAD congruence
mtMutS / RAD congruence
mtMutS / RAD incongruence
mtMutS / RAD congruence
mtMutS / RAD congruence

Specimen	Haplotype	read.category	N. reads (M)	89% divergence	
				N. loci (pyRAD)	N. loci (Stacks)
TER2044	11	high	5.82	6580	866
JAC1018	J	high	5.49	3305	1851
TER7092	7	high	4.04	6867	1363
TER130424	9	median	1.61	6151	1198
TER13064	8	median	1.61	6876	4183
TER13087	9	median	1.60	5959	1131
TER11101	4	low	0.09	1046	228
TER13047	9	low	0.08	1145	396
TER11108	4	low	0.04	441	50

93% divergence			
Intersect (%)	N. loci (pyRAD)	N. loci (Stacks)	Intersect (%)
7.84	6720	607	5.54
24.57	2717	1202	21.46
13.03	6862	1246	11.40
12.73	6323	850	8.86
39.89	6584	4607	42.72
13.81	6189	821	9.26
1.15	944	138	0.64
9.96	1107	297	8.67
2.49	384	32	1.04