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A Novel Approach for Ontological Representation of Analytic Hierarchy Process

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Abstract. The Analytic Hierarchy Process (AHP) is one of the widely accepted and frequently used priority assessment methods. A number of studies, which employed the AHP method, have already been carried out in different domains to support their multi-criteria decision-making definitions and applications. However, few researchers have paid attentions to extract and represent the important concepts and their relationships from the AHP method itself. The aim of this study is firstly to propose a way for representing the AHP method as an AHP Ontology together with a set of reasoning rules. Then, a prototype assessment tool is developed to show the possibility of obtaining more flexibility and reusability of this ontological representation.

Introduction

The Analytic Hierarchy Process, which is proposed by Saaty [1] since the 1970s, is one of the widely accepted and frequently used mathematic analysis method that supports multi-criteria decision-making. A significant number of research projects employed the AHP method to support decision-making in their works and have already been benefited from it [2]. Meanwhile, keeping pace with these increasing needs, the development of decision-making tools based on the AHP method has attracted many attentions of some software companies, such as, Decision Lens¹, Expert Choice², and Logical Decision³. However, among these research projects and implemented toolkits, little work has been done in specifying and formalizing the important concepts together with their relationships from the AHP method itself.

Recently, this existing issue is noticed by some researchers, who began to apply a kind of incomplete ontological representation of the AHP method in their decision-making approaches. For example, Kornyshova and Deneckère [3] proposed a Decision Making Ontology, which aims to formalize the concepts and their relationships inside the knowledge that related to decision-making procedures and the AHP method, for supporting the decision-making during the information systems engineering. Tran, et al. [4] developed a QoS ontology to describe the information about Web Services in detail and a QoS-based algorithm that adopted the AHP method as the evaluation mechanism to assist Web Services ranking. Wasielewska, et al. [5] presented a domain ontology, which captures the concepts that are needed by an AHP algorithm, for assisting an user in specifying required resources to execute a job and then to evaluate the contract proposals based on the those resources and their comparisons. Although efforts have been made by these researches, several existing drawbacks still can be found as follows: (i) Some researches only propose a class hierarchy in an ontology to capture the concepts from the AHP method, but without specifying the relationships

³ Logical Decision: http://www.logicaldecisions.com/

¹ Decision Lens: http://www.decisionlens.com/

² Expert Choice: http://expertchoice.com/

between these concepts; (ii) There exist some researches, which formalized both concepts and their relationships from the AHP method. However, the evaluation mechanisms in the AHP method are always designed as part of fixed algorithms that are embedded inside priority assessment tools; (iii) The ontological representation of the AHP method is usually mixed with the information that are needed to be assessed, which lacks of flexibility and reusability. Therefore, there remain needs for an approach to address these limitations.

The purpose of this paper is to propose a way to ontologically represent the AHP method, which not only specifies the concepts and their relationships that are necessary for applying the AHP method, but also realizes the priority assessment and consistency evaluation mechanisms in the AHP method through corresponding reasoning rules. In the remains of this paper, a brief introduction of the AHP method is firstly given to describe the background of our proposition. Then, the proposed ontological representation is presented to highlight our contribution. After that, a priority assessment prototype, based on the ontological representation, is used to show the possibility of obtaining more flexibility and reusability. At the end, we conclude this paper and discuss on future works.

The Analytic Hierarchy Process (AHP)

The priority assessment in the AHP method is based on the mathematical analysis of a set of pairwise comparisons, in which, the priority scales between each two related terms are relying on the professional judgments of domain experts [6]. Of course, these human judgments may not be always consistent. The AHP method also provides a theory of consistency evaluation to assist experts to improve their judgments and to obtain better consistency [7]. In general, the process of applying the AHP method can be divided into the following five steps:

- (1) Define a problem area and determine a goal (the top level) of the decision-making.
- (2) Select a set of evaluation criteria (the intermediate level) and a set of alternatives (the lowest level) to structure a decision hierarchy. An example of this hierarchy can be seen in Fig. 1.

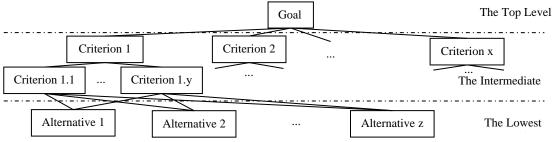


Fig. 1. An Example of the Decision Hierarchy

(3) Generate a set of pairwise comparison matrices, in which, all compared terms in a matrix are in the same level of the decision hierarchy and all comparisons in a matrix are with respect to a related term from upper level. Let $term_1, \ldots, term_n$ be the set of criteria or alternatives in a matrix and the set of comparison weights between each two terms are w_{11}, \ldots, w_{nn} that are with respect to a $term_j$ in the upper level. An example of this matrix can be seen in Fig. 2.

$$term_{1} \dots term_{n}$$

$$term_{1} \begin{bmatrix} w_{11} & \dots & w_{1n} \\ \dots & \dots & \dots \\ w_{n1} & \dots & w_{nn} \end{bmatrix}$$

$$term_{n} \begin{bmatrix} w_{11} & \dots & w_{1n} \\ \dots & \dots & \dots \\ w_{n1} & \dots & w_{nn} \end{bmatrix}$$

Fig. 2. An Example of the Comparison Matrix

(4) Calculate the global priority of each criterion in the decision hierarchy and use them to weight the final priority of each alternative. In this paper, we only introduce one way to calculate the priority of each term in a matrix, which can be decomposed into five sub-steps:

- a. Sum up the weights in each column in a matrix. Let c_i be the sum value of the column i, where i=1,...,n. Then $c_i=\sum_{x=1}^n w_{xi}$.
- b. Divide the weight of each comparison pair by the sum value of its column. Let q_{ji} be the quotient at the raw j and the column i of that matrix, where i=1,...,n and j=1,...,n. Then $q_{ji}=w_{ji}/c_i$
- c. Calculate the average values in each row to obtain the priority of the term in that row. Let p_y be the priority of $term_y$, where y = 1,...,n, then $p_y = \frac{1}{n} \left(\sum_{z=1}^n q_{yz} \right)$.
- d. The global priority of a criterion is obtained from its temporary priority in the matrix multiplied by the global priority of the term in its upper level that the matrix respects.
- e. The final priority of an alternative is the sum of all its temporary priorities in the related matrices multiplied by the global priority of the corresponding criterion.
- (5) Evaluate the consistency of the priority assessment. This checking process can be decomposed into three sub-steps:
 - a. Calculate the Principal Eigenvalue (PE) of a matrix. Let λ_{\max} be the PE of the matrix, then $\lambda_{\max} = \sum_{z=1}^n c_z * p_z$.
 - b. Calculate the Consistency Index (CI) of a matrix. Let *CI* be the CI of the matrix, then $CI = \frac{\lambda_{\max} n}{n 1}.$
 - c. Calculate the Consistency Ratio (CR) of a matrix based on the Random Consistency Index (RI, as shown in Table 1). If the CR< 10%, this measurement is consistent and acceptable. Let CR be the CR of the matrix, then CR = CI / RI

Table 1. Part of The Random Consistency Indexes [8]

n	1	2	3	4	5	6	7	8	9	10
RI	0	0	0.58	0.9	1.12	1.24	1.32	1.41	1.45	1.49

The Ontological Representation of the AHP Method

Based on the above-introduced AHP method, an ontological representation is proposed to deal with the three existing drawbacks that we mentioned in the first section. In order to use an existing reasoning engine to assist the creation of the decision hierarchy and the calculation process, the ontological representation is in line with the OWL2⁴ standard and results in an ontology, which is named AHP Ontology. An overview of the main structure of this ontology is presented in Fig. 3.

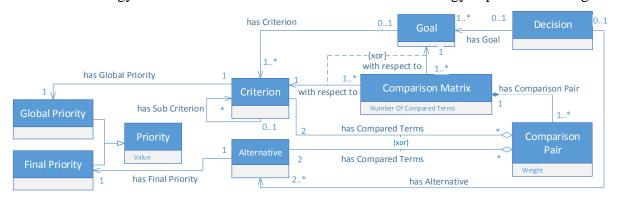


Fig. 3. An Overview of the Main Structure of the AHP Ontology

⁴ OWL 2: http://www.w3.org/TR/owl2-overview/

To be more specific, following the ontology creation guideline that is presented in [9], the AHP Ontology is introduced with more details in the remains of this section.

Domain Determination. The scope of the AHP Ontology is defined as the decision-making domain. For the step (1), (2), and (3) of the AHP method, which we mentioned in previous section, this ontology is supposed to use appropriate Classes, Properties, and Individuals to represent the main concepts and their relationships. Meanwhile, for the step (4) and (5), this ontology should also contain a number of reasoning rules that can perform priority assessment and consistency evaluation.

Define Classes and Class Hierarchy. As can be seen from Table 2, nine main classes together with their descriptions are listed.

Classes		Descriptions	
Decision		The judgment of selecting an alternative for an objective.	
Goal		The objective that a decision making intends to achieve.	
Alternative		The available candidate that can be chosen during the decision-making.	
Criterion		The rule or principle for comparing something.	
Priority	GlobalPriority	The indicator that shows the importance of a Criterion over others.	
	FinalPriority	The indicator that shows the importance of an Alternative over others.	
ComparisonPair		The pair that compares two terms with respect to a Criterion or a Goal.	
ComparisonMatrix		The matrix that is composed of a number of Comparison Pairs.	

Table 2. The Classes and Their Descriptions

Define Properties and Property Restrictions. As can be seen from Table 3 and Table 4, ten object properties and five data properties together with their restrictions are listed.

Object Properties	Descriptions (D) and Property Restrictions (R)
hasGoal	D: Each Decision has one or more Goal as its objectives.
	R: Decision hasGoal min 1 Goal
hasAlternative	D: Each Decision Making has at least two Alternatives to be selected.
	R: Decision has Alternative <i>min 2</i> Alternative
hasCriterion	D: Each Goal has one or more Criterion for the priority assessment.
	R: Goal hasCriterion min 1 Criterion
hasSubCriterion	D: Each Criterion can be decomposed into zero or more sub Criteria.
	R: Criterion hasSubCriterion some Criterion
hasFinalPriority	D: Each Alternative has exactly 1 Final Priority as the result of a decision-making
	R: Alternative has Final Priority exactly 1 Final Priority
hasGlobalPriority	D: Each Criterion has exactly 1 Global Priority with respect to Goal
	R: Criterion hasGlobalPriority exactly 1 GlobalPriority
hasComparisonPair	D: Each Comparison Matrix has one or more Comparison Pairs
	R: ComparisonMatrix hasComparisonPair min 1 ComparisonPair
withRespectTo	D: Each Comparison Matrix is with respect to exactly one Criterion or Goal
	R: ComparisonMatrix withRespectTo exactly 1 (Criterion or Goal)
hasFirstTerm	D: Each Pair of Comparison contains two terms that compare to each other.
	It has exactly one Alternative or Criterion as the first term.
	R: ComparisonPair hasFirstTerm exactly 1 (Alternative or Criterion)
hasSecondTerm	D: Each Pair of Comparison contains two terms that compare to each other.
	It has exactly one Alternative or Criterion as the second term.
	R: ComparisonPair hasSecondTerm exactly 1 (Alternative or Criterion)

Table 3. Object Properties and Their Restrictions

Table 4. The Data Properties and Their Restrictions

Data Properties	Descriptions (D) and Property Restrictions (R)
hasValue	D: Each Priority has exactly 1 Value as the indictor of importance.
	R: Priority has Value <i>exactly 1</i> double
hasNumberOfCompared	D: Each Matrix has exactly 1 Number to show the size of that matrix,
-Terms	namely, how many terms are compared to each other in that matrix.
	R: ComparisonMatrix HasNumberOfComparedTerms exactly 1 integer
hasI	D: Each Comparison Pair has exactly 1 Number to show which column it is
	located in a matrix
	R: ComparisonPair hasI exactly 1 integer
hasJ	D: Each Comparison Pair has exactly 1 Number to show which row it is
	located in a matrix
	R: ComparisonPair has J exactly 1 integer
hasWeight	D: Each Comparison Pair has exactly 1 Weight, which is the comparison of
	importance from its Frist Term to its Second Term
	R: ComparisonPair hasWeight exactly 1 double

Create Reasoning Rules for the Calculation. In order to perform the priority assessment and consistency evaluation through a reasoning engine, a number of Properties are added to support the creation of reasoning rules. Limited by the space, in this paper, only a small part of the reasoning rules that are used to calculate a 3×3 matrix is presented as an example. Table 5 and Table 6 list one additional object property and ten additional data properties together with their descriptions and restrictions for the example.

Table 5. The Additional Object Property for a 3×3 matrix

Object Property	Description (D) and Property Restriction (R)		
hasSuggestedAlternative	D: Each Decision has one or more alternatives as suggested selections		
	R: Decision hasSuggestedAlternative min 1 Alternative		

Table 6. The Additional Data Properties for a 3×3 matrix

Data Properties	Descriptions (D) and Property Restrictions (R)
hasAggregateOfFirstColumn	D: Each Matrix has exactly 1 aggregate of weights in its first column
	R: ComparisonMatrix hasAggregateOfFirstColumn exactly 1 double
hasAggregateOfSecondColumn	D: Each Matrix has exactly 1 aggregate of weights in its second column
	R: ComparisonMatrix hasAggregateOfSecondColumn exactly 1 double
hasAggregateOfThirdColumn	D: Each Matrix has exactly 1 aggregate of weights in its third column
	R: ComparisonMatrix hasAggregateOfThirdColumn exactly 1 double
hasFirstRowPriority	D: Each Matrix has exactly 1 priority (a temporary priority inside a
	matrix) for the first term of those comparison pairs in its first row.
	R: ComparisonMatrix hasFirstRowPriority exactly 1 double
hasSecondRowPriority	D: Each Matrix has exactly 1 priority (a temporary priority inside a
	matrix) for the first term of those comparison pairs in its second row.
	R: ComparisonMatrix hasSecondRowPriority exactly 1 double
hasThirdRowPriority	D: Each Matrix has exactly 1 priority (a temporary priority inside a
	matrix) for the first term of those comparison pairs in its third row.
	R: ComparisonMatrix hasThirdRowPriority exactly 1 double
hasPrincipalEigenvalue	D: Each Matrix has exactly 1 Principal Eigenvalue
	R: ComparisonMatrix hasPrincipalEigenvalue exactly 1 double
hasConsistencyIndex	D: Each Matrix has exactly 1 Consistency Index
	R: ComparisonMatrix hasConsistencyIndex exactly 1 double
hasConsistencyRatio	D Each Matrix has exactly 1 Consistency Ratio
	R: ComparisonMatrix hasConsistencyRatio exactly 1 double
isConsistent	D: Each Matrix has a boolean value to tell whether it is consistent or not
	R: ComparisonMatrix isConsistent exactly 1 boolean

Taking into account the concepts and their relationships in the AHP Ontology and following the rule syntax that are proposed in Jena⁵, some rules that correspond to each sub-step of the step (4) and (5) in the AHP method are created. An example rule is presented in Fig. 4, which is related to the sub-step "a" and to the sub-step "e" in the step (4). The first part of the example rule sums up of all the weights in the first column of a 3×3 matrix, and the second part shows how to use the priority assessment results to suggest an alternative that has the top priority.

```
@prefix ex: http://www.semanticweb.org/interop/ontologies/2014/3/AHPOntology#
                                                                           Get all matricx individuals
[3CalculateFirstColumn: (?NMatrix ex:hasNumberOfComparedTerms ?n)
                                                                          with 3 compared terms.
               equal(?n,3)
                                                                       For each found matrix individual,
               (?NMatrix ex:hasComparisonPair ?ComparisonPair1)
                                                                       get all its comparison pairs and put
               (?NMatrix ex:hasComparisonPair ?ComparisonPair2)
                                                                      them in three sets
               (?NMatrix ex:hasComparisonPair ?ComparisonPair3)
               (?ComparisonPair1 ex:hasI ?i1)
                                                equal(?i1,0)
                                                                        For the 1st set of comparison pairs,
               (?ComparisonPair1 ex:hasJ ?j1)
                                                equal(?j1,0)
                                                                       get the weight of the comparison pair
                                                                       at the 1st column and 1st row
               (?ComparisonPair1 ex:hasWeight ?Weight1)
               (?ComparisonPair2 ex:hasI ?i2)
                                                equal(?i2,0)
                                                                       For the 2st set of comparison pairs,
               (?ComparisonPair2 ex:hasJ ?j2)
                                                                       get the weight of the comparison pair
                                                equal(?j2,1)
               (?ComparisonPair2 ex:hasWeight ?Weight2)
                                                                      at the 1st column and 2nd row
                                                                       For the 3rd set of comparison pairs,
               (?ComparisonPair3 ex:hasI ?i3)
                                                equal(?i3,0)
                                                                       get the weight of the comparison pair
               (?ComparisonPair3 ex:hasJ ?j3)
                                                equal(?j3,2)
                                                                      at the 1st column and 3rd row
               (?ComparisonPair3 ex:hasWeight ?Weight3)
               sum(?Weight1,?Weight2,?Weight12)
                                                                      Get the sum of the weights
               sum(?Weight12,?Weight3,?AggregateOfFirstColumn)
                                                                                     Each found matrix
               (?NMatrix ex:hasAggregateOfFirstColumn ?AggregateOfFirstColumn)] receives a
                                                                                     corresponding result
     We omit the rest of the calculations in this example
[3GetTopPriorityAlternative: (?Decision rdf:type ex:Decision) \rightarrow Get all decision individuals.
             (?Decision ex:hasAlternative ?Alternative1)
                                                                   For each found decision individual,
             (?Decision ex:hasAlternative ?Alternative2)
                                                                   get all its alternatives and put them in
             (?Decision ex:hasAlternative ?Alternative3)
             notEqual(?Alternative1,?Alternative2)
                                                    ► Make these sets different from each other
             notEqual(?Alternative2,?Alternative3)
             (?Alternative1 ex:hasFinalPriority ?FPAlternative1)
             (?Alternative2 ex:hasFinalPriority ?FPAlternative2)
                                                                 Get the final priority for each alternative
             (?Alternative3 ex:hasFinalPriority ?FPAlternative3)
             (?FPAlternative1 ex:hasValue ?Value1)
             (?FPAlternative2 ex:hasValue ?Value2)
                                                       Get the Value for each final priority
             (?FPAlternative3 ex:hasValue ?Value3)
             lessThan(?Value1,?Value2)
                                           Get the alternative that has top final priority
             lessThan(?Value2,?Value3)
                                                                     Suggest the top priority alternative to
             (?Decision ex:hasSuggestedAlternative ?Alternative3)]
                                                                     its corresponding decision
```

Fig. 4. Part of the Implementation of Jena Reasoning Rules for a 3×3 Matrix

The Prototype Tool based on the AHP Ontology

In order to show how the AHP Ontology with its rules can be applied in reality, we prototyped a priority assessment tool, which is partially based on the framework that we proposed in our previous research [10]. As shown on the left side of Fig. 6, the assessment framework contains four main modules. The Protégé⁶ environment is employed as an ontology editor and browser, which is used to

⁵ Jena Rule Syntax: http://jena.apache.org/documentation/inference

⁶ Protégé: http://protege.stanford.edu/

represent the AHP method into the AHP Ontology with its rules. The AHP Ontology with its rules are saved in the Knowledge Cloud repository. The Jena Reasoner is employed to perform priority assessment and consistency evaluation. The priority assessment tool acts as an intermediate to manage the communications between the users and the other three modules. As can be seen on the right side of Fig. 6, the priority assessment workflow describes how the AHP Ontology with its rules are used in this prototype.

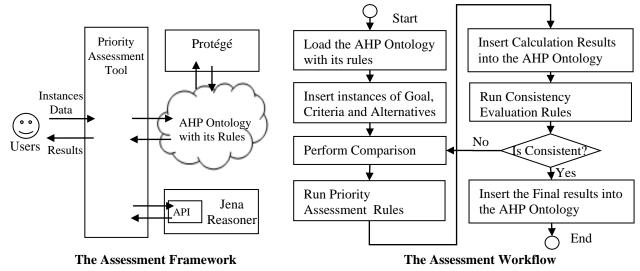


Fig. 6. The Assessment Framework and the Assessment Workflow

The priority assessment example, which is presented in the thesis [11], is employed as an example to verify this prototype. As it can be seen from Fig. 7, the results that are produced by this prototype shows the AHP Ontology with its rules are capable of performing the priority assessment and consistency evaluation appropriately.

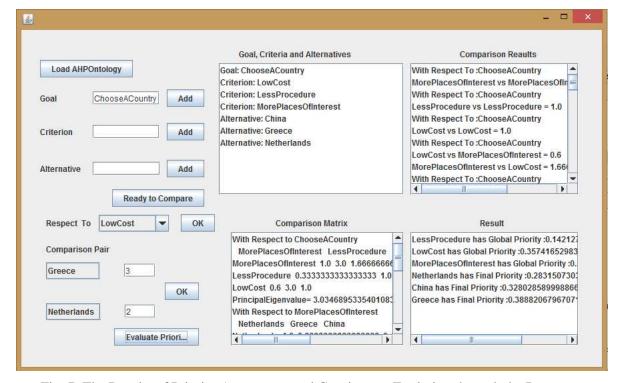


Fig. 7. The Results of Priority Assessment and Consistency Evolution through the Prototype

Conclusions

In summary, this paper reviews some researches that used ontological representations, which related to the AHP Method, to support their decision-making approaches. Based on the investigation, it identifies three existing drawbacks in current research works. The main contribution of this paper is presenting a novel approach that ontologically represents the AHP Method. It not only offers a way to clearly specify and formalize the concepts, relationships and rules in the AHP Method, but also shows the possibility of obtaining more flexibility and reusability through the ontological representation. Furthermore, with some adjustments of the priority assessment and consistency evaluation rules, we are able to extend the AHP method to adopt different needs. Of course, it should be more interesting, therefore, to ontological represent other kinds of decision-making methods into the knowledge cloud. Our results are encouraging and should be used in a real assessment case. In the next stage, one of our perspective is to apply in the research, which relates to assessment of public administration interoperability [12], to validate the applicability of this study.

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