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GROWTH STRESSES AND CELLULOSE STRUCTURAL PARAMETERS IN TENSION AND NORMAL WOOD FROM THREE TROPICAL RAINFOREST ANGIOSPERMS SPECIES

Julien Ruelle,^{a, b*} Hiroyuki Yamamoto,^b and Bernard Thibaut^a

Few studies have been conducted about relation between cellulose parameters and biomechanical properties of wood in tropical angiosperms species. For this purpose, on 13 trees from 3 species of French Guyana tropical rainforest in a clear active process of restoring verticality, *i*) growth strains were measured in situ in order to determine the occurrence of tension wood within samples and *ii*) cellulose structural parameters were estimated on all the samples using X-ray diffraction method. Crystallite size was estimated from the full-width at half-maximum of the Miller index (002) arc diffraction and angle T was measured following Cave's method. Relationships between these parameters and growth stresses were good and the variations between normal and tension wood were significant, i.e. a lower angle T and a larger crystallite size in tension wood. In order to have a good estimation of the microfibril angle in the main layer of the secondary wall for each species, an experimental calibration was done between angle T and microfibril angle observed with scanning electron microscopy.

Keywords: Cellulose, Microfibril angle, Crystallite size, Tension wood, Tropical rainforest, Growth stresses

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INTRODUCTION

In order to restore verticality, trees are able to bend their trunk by the formation of a highly stressed wood, called reaction wood, producing a biomechanical dissymmetry between the upper and lower side of the axis. In gymnosperms the wood of the lower side of the axis is highly compressed and is called compression wood. On the contrary angiosperms will produce a highly tensile-stressed wood on the upper side called tension wood (Archer 1986). Reaction wood is generally associated with marked changes in anatomical structure. Compression wood shows rounder cells, intercellular spaces and cracks in the cell wall (Dadswell and Wardrop 1949). Tension wood is characterised in some species by the occurrence of fibres with a particular morphology and chemical composition due to the development of the so-called gelatinous layer (G-layer). This layer is essentially made up of strongly crystalline cellulose with a very low microfibril angle.

In both strategies a parameter involved in this biomechanical state is the geometry and structure of cellulose, one of wood's main constituents. Orientation of the microfibrils of cellulose in the main layer of the secondary wall of wood cell plays an important role in the generation mechanism of growth stresses (Yamamoto 1998; Yamamoto et

al. 1998) and also strongly affects many wood properties as longitudinal modulus of elasticity or longitudinal shrinkage (Barnett 2004; Washusen et al. 2001). Other cellulose structural parameters vary from normal to reaction wood; Andersson et al. (2003) and Washusen and Evans (2001) showed a variation of cellulose crystallite size respectively in compression wood of *Picea abies* and tension wood of *Eucalyptus globulus*.

Few studies have been done on tropical species so that, in this work, we try to highlight relationships between some cellulose structural parameters, i.e. microfibril angle and crystallite size measured by X-ray diffraction method, and growth stresses in 13 trees among 3 species from French Guyana tropical rainforest. X-ray diffraction method allows a rapid assessment of wood microstructure, but in the case of microfibril angle estimation a calibration is needed between the angle T , a parameter from X-ray diffractogram defined by Cave (1966), and microfibril angle (MFA) observed with optical techniques. Meylan (1967) made an empirical calibration on *Pinus radiata* using Cave's method and Yamamoto et al. (1993) made the same on two gymnosperms and two angiosperms species whose normal wood shows thin fibre cell walls and tension wood does not show G-layer. In this study we decided to make a calibration for the three studied species in order to see if the existing calibration could provide a good estimation for microfibril angle in tropical species with G-layer and/or with thick fibre cell walls.

EXPERIMENTAL

Plant Material

Three species in the tropical rainforest distributed in three families (Table 1) were selected. This selection was based on the anatomical aspect of tension wood observed from previous experiments (Fig. 1), in order to study various types of tension wood:

- *Eperua falcata* Aublet for tension wood with G layer,
- *Laetia procera* (Poepp.) Eichler for the multilayered feature in tension wood,
- *Simarouba amara* Aublet for the lack of difference in normal vs. tension wood.

Table 1. List of Trees Studied and their Diameter at Breast Height

Family	Genus species	Trees	Diameter at Breast Height (cm)
Caesalpinaceae	<i>Eperua falcata</i> Aublet	Ef 1	21.96
		Ef 2	24.19
		Ef 3	22.60
Flacourtiaceae	<i>Laetia procera</i> (Poepp.) Eichler	Lp1	19.10
		Lp2	22.92
		Lp3	28.33
		Lp4	21.80
		Lp5	26.42
Simaroubaceae	<i>Simarouba amara</i> Aublet	Sa1	23.87
		Sa2	25.78
		Sa3	16.87
		Sa4	27.00
		Sa5	40.00

Trees were selected in the same zone in French Guyana, near Kourou. Trees diameter at breast height ranged between 16.8 and 40 cm (Table 1). All the trees were in a clear active process of restoring verticality after some accidental inclination. This was verified in situ by mechanical measurement of growth strains (GS) by the “single hole” method (Almeras et al. 2005; Fournier et al. 1994). This method gives the value of the displacement between two pins hammered onto the trunk (after local debarking) at a 45 mm distance from each other. A hole (20 mm depth and 20 mm diameter) is drilled at the mid-point between the two pins. A displacement is measured (in μm) and converted into a strain (in %) using a calibration factor: 9.6×10^{-4} corresponding to a calibration made on *Eperua Falcata* (Fournier et al. 1994). Eight measures (every 45°) were realised at breast height on each tree, Position 1 corresponding to the upper side of the leaning trunk.

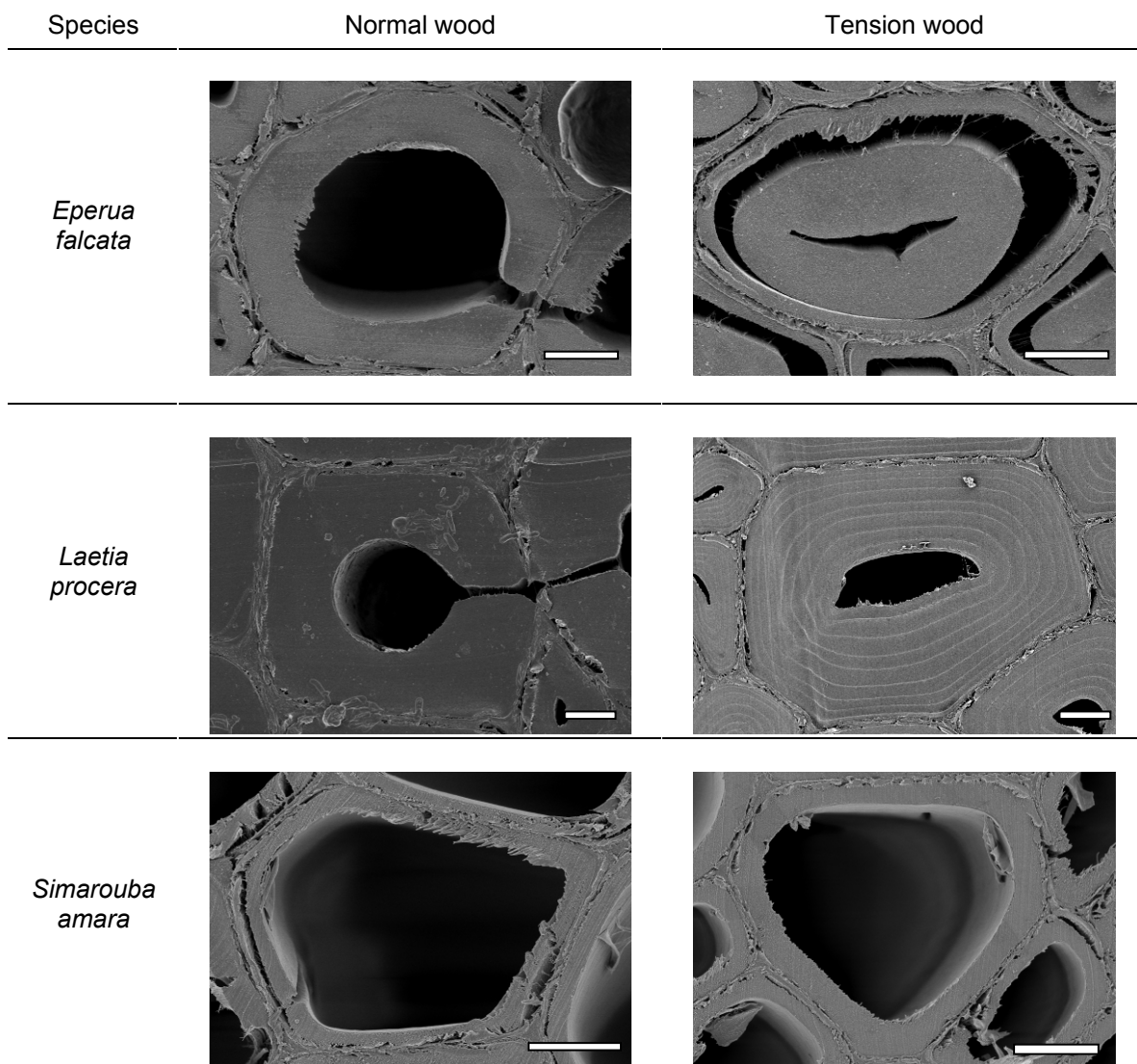


Fig. 1. Cross section of normal wood (on the left) and tension wood (on the right) of the three species studied observed with Scanning Electronic Microscopy. Bars, 5 μm

Normal wood of the three species has a typical secondary wall as shown in Fig. 2. Tension wood from *Simarouba amara* and *Laetia procera* has a S₃ layer in the secondary wall.

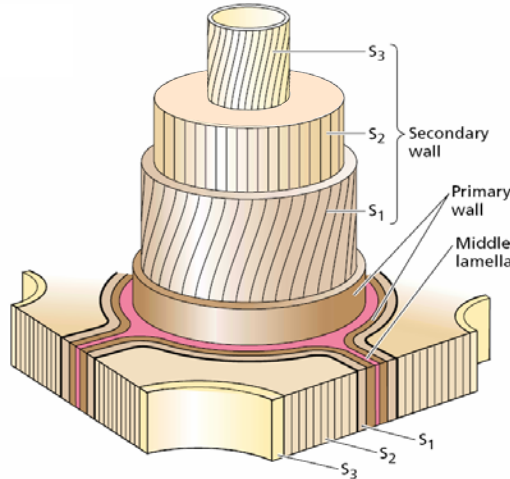


Fig. 2. Diagram of the cell wall organization often found in cells with thick secondary wall (Taiz and Zeiger 2002)

Two wood samples were taken, as close as possible to the GS measurement zone, above and below the hole. Observations were made on both samples to ensure the homogeneity of the studied wood above and below the GS measurement zone (Fig. 3).

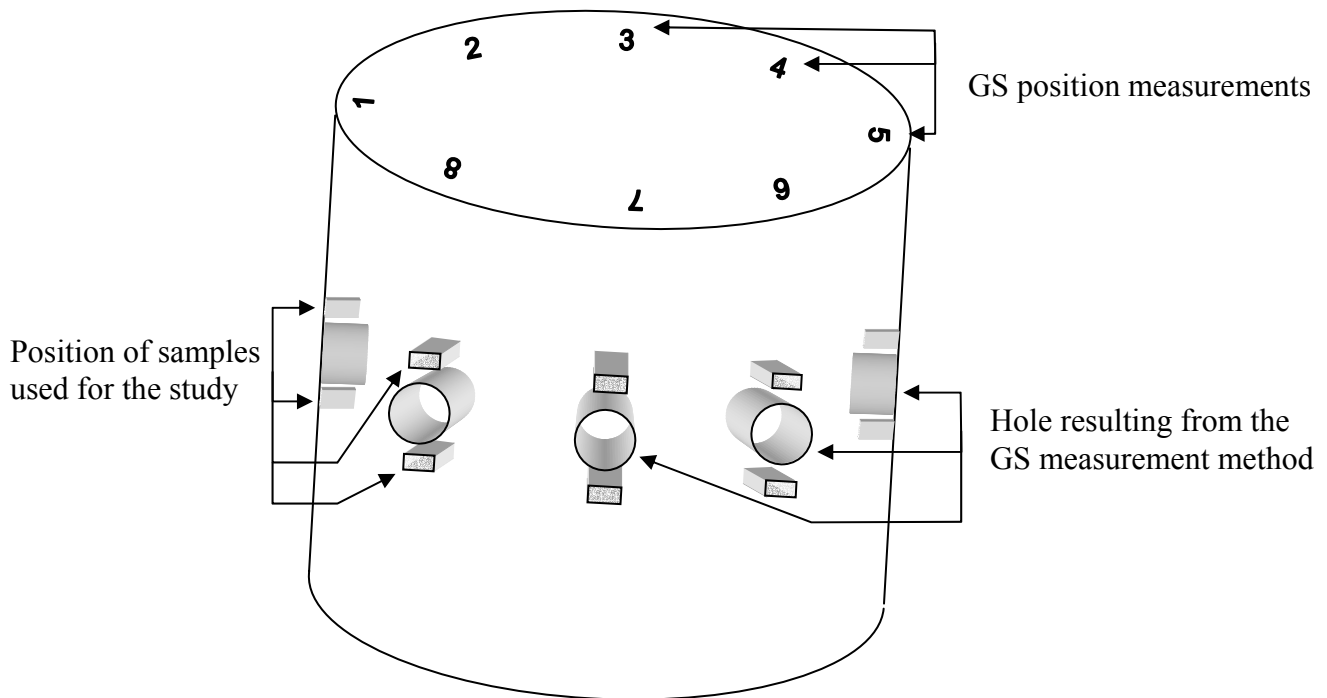


Fig. 3. Localization of specimen used for the experiments

Measurements show clearly that wood layers on the upper side exhibited a very much higher tensile stress than in all other places (Table 2) including lower side, which does not present significant difference in mechanical stressing with side places. Upper wood positions with very high growth strains are called tension wood in the next paragraphs, while all other locations are named normal wood.

Table 2. Growth Strains ($\times 10^{-6}$) mean value and number of positions used for upper and lower side for each tree

Trees	Tension wood (TW) growth strains values		Normal wood (NW) growth strains values		Upper / lower side ratio means
	Mean of the upper side	Number of positions	Mean of the lower side	Number of positions	
Ef 1	1312	3	429	3	3
Ef 2	2282	3	710	3	3
Ef 3	2122	3	582	3	4
Lp1	2714	3	666	3	6
Lp2	2035	2	515	3	4
Lp3	2701	3	666	3	4
Lp4	1590	3	582	3	3
Lp5	2592	3	446	3	6
Sa1	1302	3	480	3	3
Sa2	1648	3	426	3	4
Sa3	954	3	285	3	3
Sa4	3219	3	179	3	18
Sa5	1616	3	502	3	3

From the data reported by Archer (1986) and from more recent studies (Clair et al. 2006; Yoshida et al. 2002), these growth strains values in tension wood are in the high range of reported values.

Method Used for Cellulose Structural Parameters Measurement

MFA estimation with X-ray diffraction method

Measurements were made using an X-ray diffractometer (Shimadzu XD-D1w) under the following conditions. A point-focused X-ray beam (Cu-K α X-ray, beam diameter 1 mm) was applied to tangential sections: 1 mm thick x 15 mm long. An X-ray diffraction apparatus with a symmetrical transmission mode was used. The scattered X-ray was detected by a Na-I scintillation counter behind a receiving slit of width and length of 1 mm x 1 mm. Each sample was rotated around its normal axis at a rotation speed of six degrees per minute in a position of $2\theta = 22.4$ degrees and the diffraction intensity was recorded on a chart at a speed of 2 cm per minute.

As show in Fig. 4 angle T was obtained from the diffraction intensity around (002) arc (Cave 1966). Cave's (1966) (Eq. 1) and Yamamoto's (1993) (Eq. 2) formula gives an estimation of the mean MFA using this angle T :

$$MFA = 0.6T \quad (1)$$

$$MFA = 1.575 \times 10^{-3} T^3 - 1.431 \times 10^{-1} T^2 + 4.693T - 36.19 \quad (2)$$

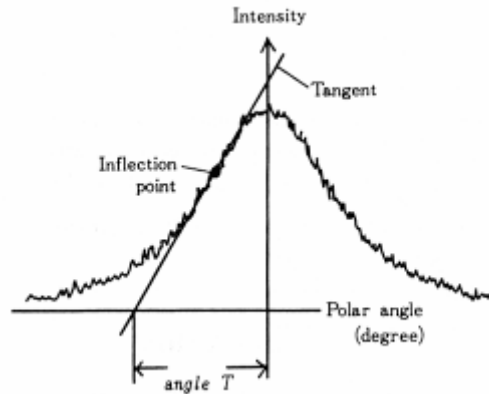


Fig. 4. Measurement procedure of angle T from a (002) arc diffraction

Crystallite size estimation with X-ray diffraction method

Measurements were made with the reflection technique using an X-ray diffractometer (Shimadzu XD-D1w) under the following conditions. The incident X-ray radiation was from the Cu $K\alpha$ band ($\lambda = 0.154\text{nm}$) with a power of 35kV and 35mA passed through a Ni filter and collimated by a slit of 0.1 degree. The wood samples were fixed in a sample holder so that the fibre axis was vertical. The diffraction intensity for (200) was registered in the angular range from 10 to 40 degrees.

The crystallite size in the direction perpendicular to the 002 crystal plane was calculated using the Scherrer equation (Washusen and Evans 2001):

$$L = 0.9 \frac{\lambda}{H \cos \theta} \quad (3)$$

where L is the crystallite size (in nm) perpendicular to the plane; λ is X-ray wavelength; H is the full-width at half-maximum (FWHM) in radian; and θ is the Bragg angle.

A Kolmogorov-Smirnov test was performed to compare crystallite size and angle T value between tension and normal wood.

Microfibril angle measurement with Field-Emission Scanning Electron Microscopy (FE-SEM)

Many techniques exist for the direct observation of MFA in wood. During previous experiments we tried to observe MFA in tropical species by use of the iodine crystal technique (Senft and Bendtsen 1985), but only few results were obtained on *Simarouba amara*. This fact may be linked to the thickness of the wall fibre; *Simarouba amara* is the only thin-walled tropical species we studied in these previous experiments. The application of the iodine crystal technique seems to be very difficult to apply on

thick-walled species, so that we choose to directly observe MFA with Field-Emission Scanning Electron Microscopy (FE-SEM).

Observations were made in axial planes. Sample geometry was $5 \times 1 \times 7 \text{ mm}^3$ (R \times T \times L). Samples were dehydrated through a graded ethanol series and then processed using the *t*-butyl alcohol freeze-drying method. In order to observe the cellulose fibrils of some layers, a lignin extraction treatment (NaClO_2 0.6%, CH_3COOH 0.13% in distilled water during 40 hours) (Wise et al. 1946) was performed on longitudinal sections. The dried samples were mounted on aluminium stubs and lightly sputter-coated with platinum. Samples were observed by FE-SEM (Hitachi, S-4500) at an accelerating voltage of 3 kV.

Microfibril angle (MFA) measurements were made from direct longitudinal observations by FE-SEM on samples from various trees. The choice of samples used for this measurement was based on the results from X-ray diffraction method results, in order to scan a wide range of angle T value in each species (Fig. 9). We had to look at samples where the S_3 layer was removed during sample preparation in order to observe microfibril angle from the S_2 layer or the G-layer. As the direct observation of MFA is very tedious we had to take a limited number of samples. These measurements were made on about 20 fibrils per picture. Images used for this measurement are shown in Fig. 5.

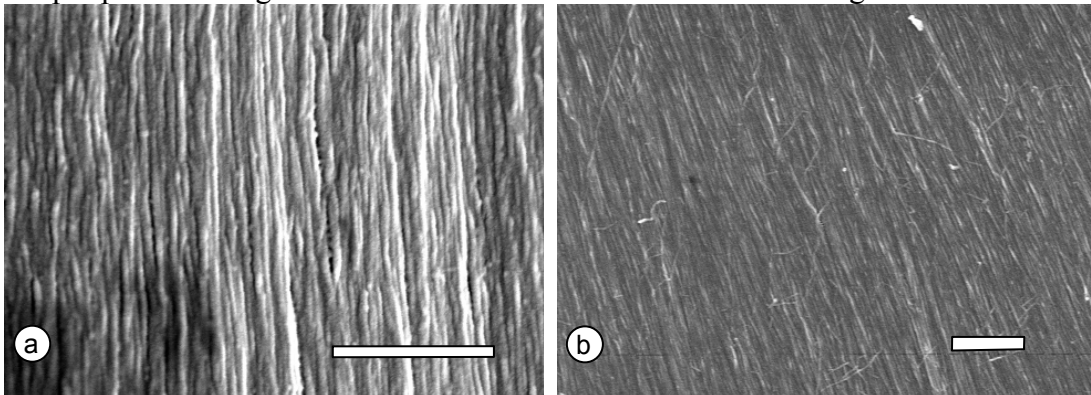


Fig. 5. Example of images used for the measurements of microfibril angle on tension wood (a) and normal wood (b) of *Laetia procera*. Bars, 500 nm

RESULTS AND DISCUSSION

Growth Strains and Cellulose Structural Parameters

Results from crystallite size measurement show that the crystallite size was larger in tension wood sample ($p < 0.001$) (Fig. 6). The relationship between growth stress and crystallite size (Fig. 7) shows that both parameters increased in the same way, even though the tendency is less clear for *Eperua falcata*. This variation observed for *Eperua falcata* is mainly due to the large value of crystallite size observed in sample showing low growth strain values. These samples correspond to lateral wood, located between the lower and the upper side of the trunk. They could actually contain both tension and normal wood, which can explain the discrepancy between growth strain values and crystallite size.

The means for crystallite size were 3.31 nm and 2.65 nm for tension and normal wood, respectively (Fig. 6). Washusen and Evans (2001) also highlight wider crystallite size in the tension wood of *Eucalyptus globulus* Labill. Jahan and Mun (2005) showed an increasing of crystallite size on *Trema orientalis* wood samples after a selective removal of lignin and hemicelluloses. Tension wood is known to contain less lignin and a different content of hemicelluloses compared to normal wood (Timell 1967). Thus we can observe from literature and our results that the evolution of cellulose crystallite size usually goes with a modification of the matrix composition. This fact was already observed (Fahlen and Salmen 2003), and authors hypothesized that this evolution of crystallite size was due to a modification of the interaction existing between cellulose microfibrils and components of the matrix. But this variation remains unexplained, and we would consider a modification at the level of cellulose biosynthesis to explain it.

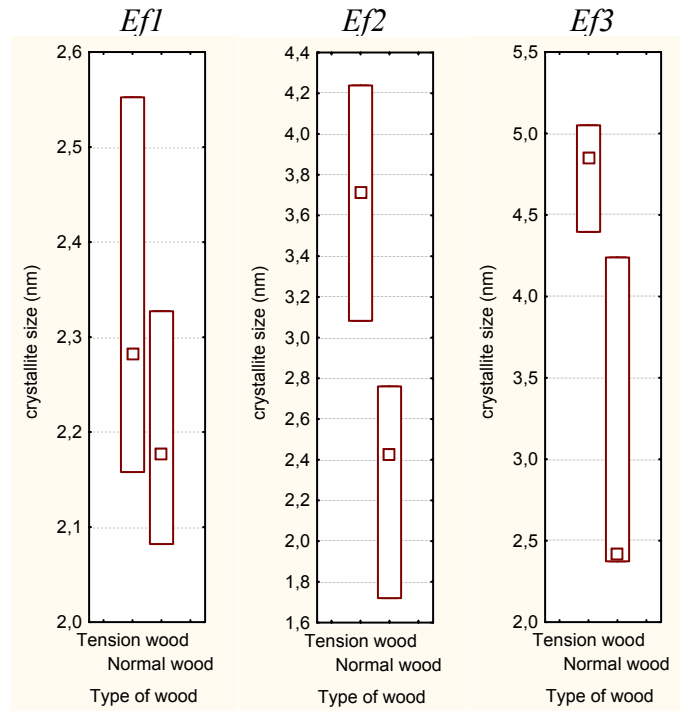


Fig. 6A. Box-and-whisker plots of crystallite size values of each kind of wood for each studied tree

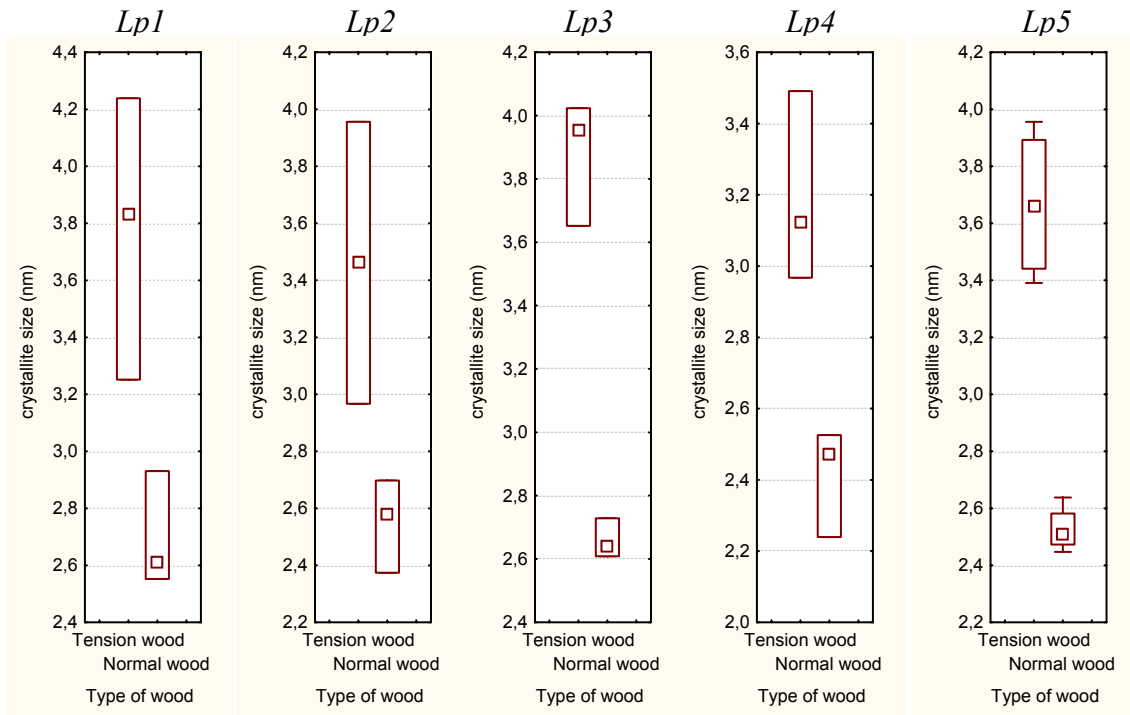


Fig. 6B. Box-and-whisker plots of crystallite size values of each kind of wood for each studied tree

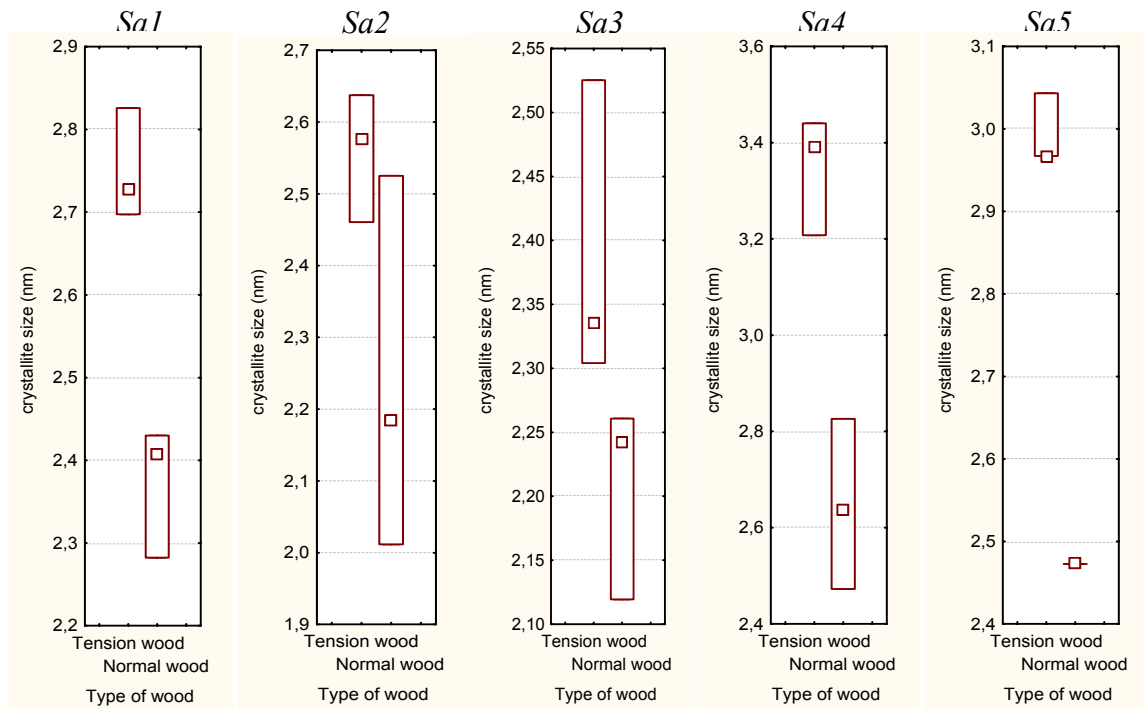


Fig. 6C. Box-and-whisker plots of crystallite size values of each kind of wood for each studied tree

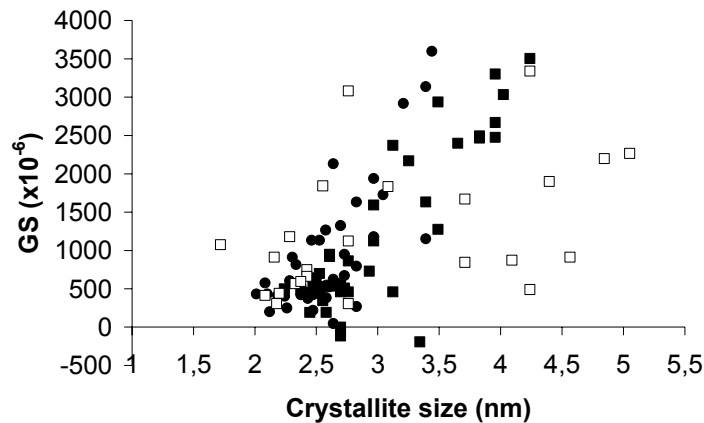


Fig. 7. Relationship between growth strains ($\times 10^{-6}$) and crystallite size (nm).
 □; *Eperua falcata*, ■; *Laetia procera*, ●; *Simarouba amara*

Usually the angle T is used to estimate average MFA in wood (Abasolo et al. 2000; Cave 1966; Lofty et al. 1973; Yamamoto et al. 1993). In this study we checked the relationship between this parameter and the growth stress measured on samples (Fig. 8). The observed relationship was good, i.e. $r^2 = 0.5178$ for *Eperua falcata*, 0.7011 for *Laetia procera* and 0.4697 for *Simarouba amara*. The general tendency, i.e. a decreasing of angle T in high tensile stress wood, was the same for the three studied species.

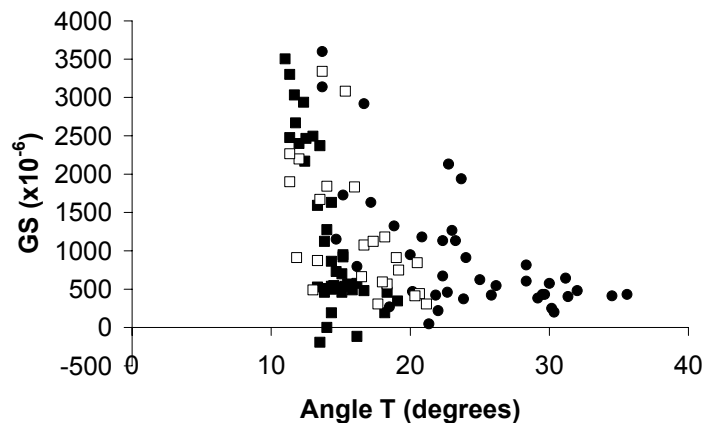


Fig. 8. Relationship between growth strains ($\times 10^{-6}$) and angle T values (degrees).
 □; *Eperua falcata*, ■; *Laetia procera*, ●; *Simarouba amara*

At an interspecific level the mean values of angle T were 15.85° and 19.91° in tension and normal wood, respectively, showing that angle T was lower in tension wood samples ($p < 0.001$). The value range for angle T (Fig. 9) in *Eperua falcata* and *Laetia procera* were very similar, with a minimum close to 11° and a maximum close to 20° . In *Simarouba amara* the range was larger than in other species, starting at about 14° and with a maximum at about 35° . The main difference that exists between the two first species and *Simarouba amara* is the density, directly linked with the cell wall thickness.

Without a true calibration of angle T value with average MFA we cannot tell if these differences between species reflect MFA variation.

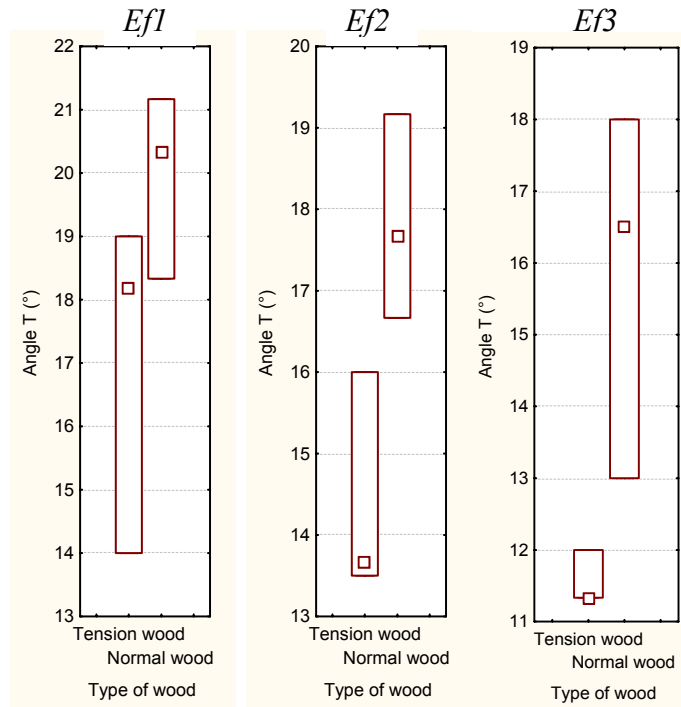


Fig. 9A. Box-and-whisker plots of angle T values of each kind of wood for each studied tree

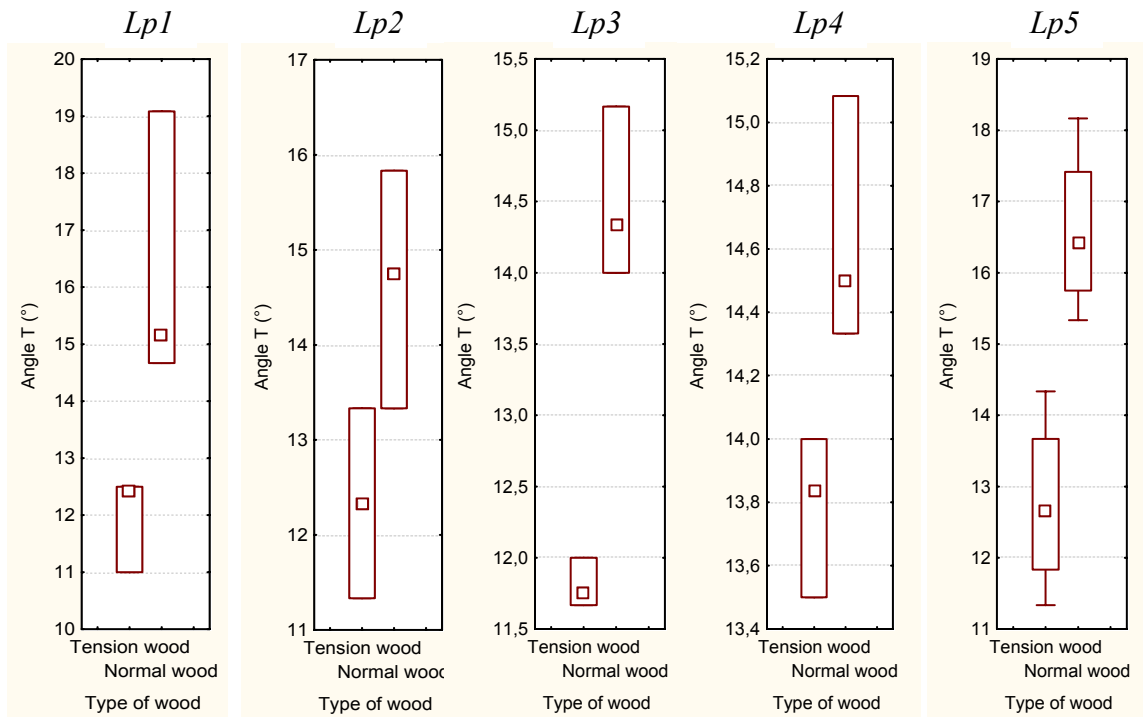


Fig. 9B. Box-and-whisker plots of angle T values of each kind of wood for each studied tree

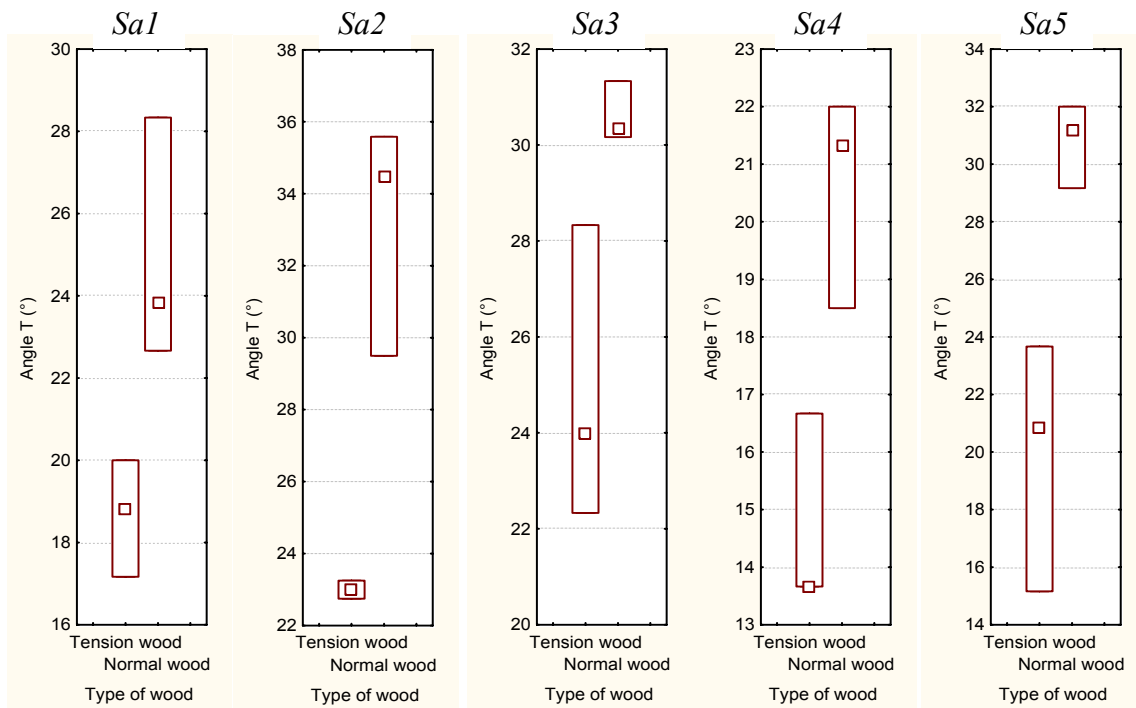


Fig. 9C. Box-and-whisker plots of angle T values of each kind of wood for each studied tree

Relationship between Angle T and Direct Observation of MFA

Fig. 10 shows the relationships between angle T and MFA measured with SEM observations for each species. Representations of Cave's (Eq. 1) and Yamamoto's formula (Eq. 2) are represented in order to make a comparison between these various calibrations.

First of all we see that relationships between angle T and directly observed MFA were very good for every studied species. This fact shows that the X-ray method is a really efficient tool to estimate MFA in wood, as long as a calibration is available for the interpretation of the (002) peak diffraction pattern. Observation of results in Fig. 10 and Fig. 11 indicates that the range of values for MFA is almost the same for all the species. This point leads us to think that thickness of cell wall has, with cell wall shape (Sarén and Serimaa 2005), a strong influence on results from X-ray diffraction method. Such influence can be seen in the relationships between angle T and directly observed MFA in thick-walled species, which deviate from thin-walled species and from Cave's findings. Cave assumed that the state of cellulose crystal is invariable when MFA changes; we observed that cellulose crystallite size varies from tension to normal wood. This also explains the observed deviation from Cave's relationships for all the species.

The real aim of this calibration was not to estimate more accurately the average MFA of the sample, but to find a relation between the MFA of the main layer of the secondary wall of samples, considered by many authors as a critical factor in the mechanical behaviour of wood (Barnett 2004; Megraw et al. 1998) and the criteria given by the application of Cave's method i.e. angle T .

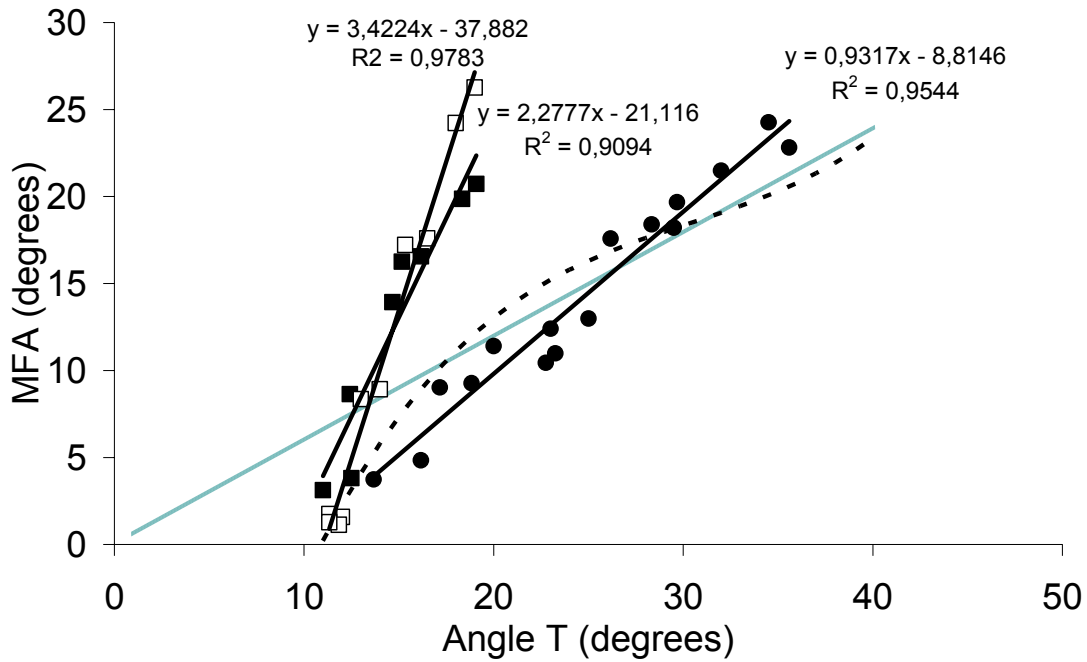


Fig. 10. Relationships between MFA measured with SEM observations and angle T from X-ray diffraction method. □; *Eperua falcata*, ■; *Laetia procera*, ●; *Simarouba amara*. Light gray line is Cave's formula (Eq. 1) and dashed curve is Yamamoto's formula (Eq. 2)

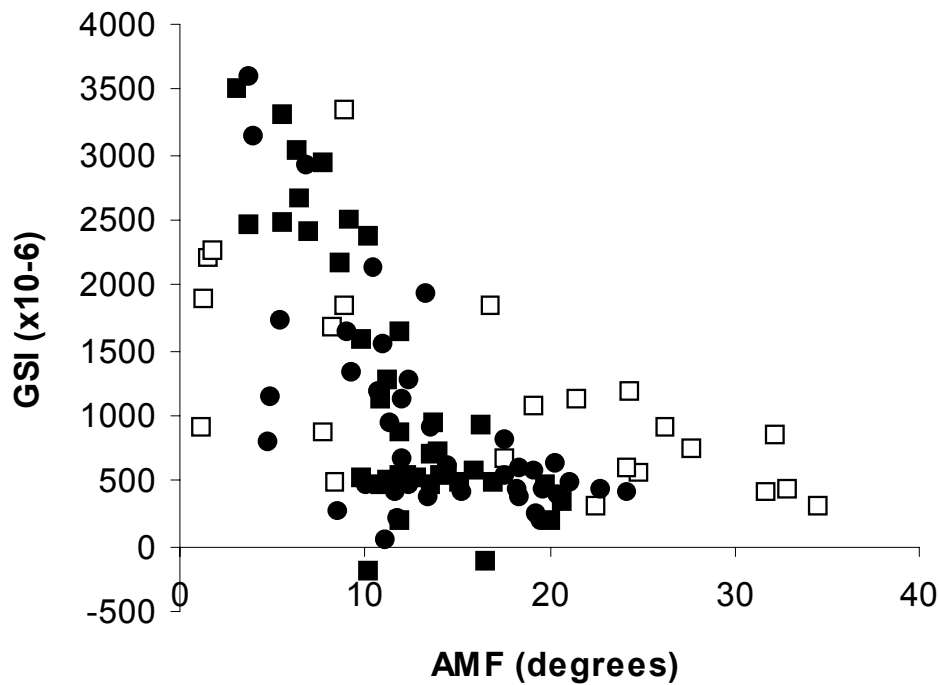


Fig 11. Relationship between growth strains ($\times 10^{-6}$) and angle T values (degrees). □; *Eperua falcata*, ■; *Laetia procera*, ●; *Simarouba amara*

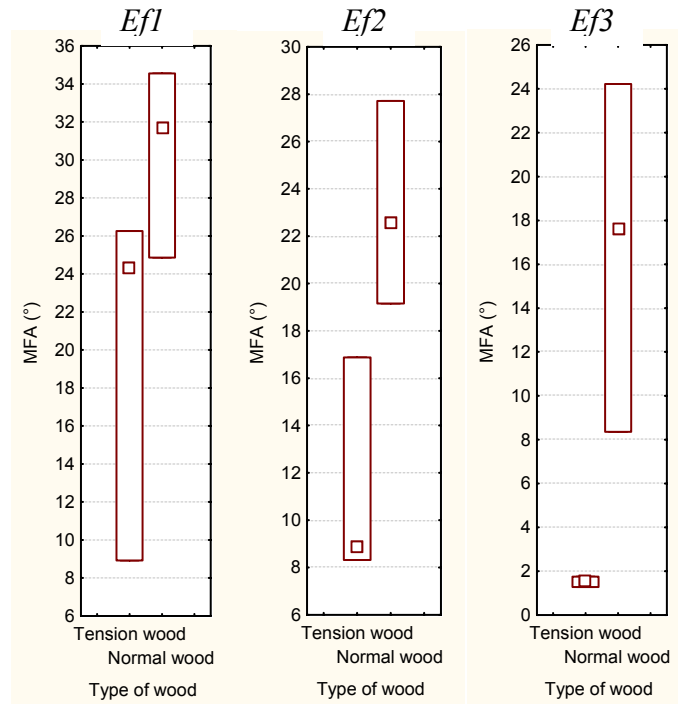


Fig. 11A. Box-and-whisker plots of MFA values of each kind of wood for each studied tree

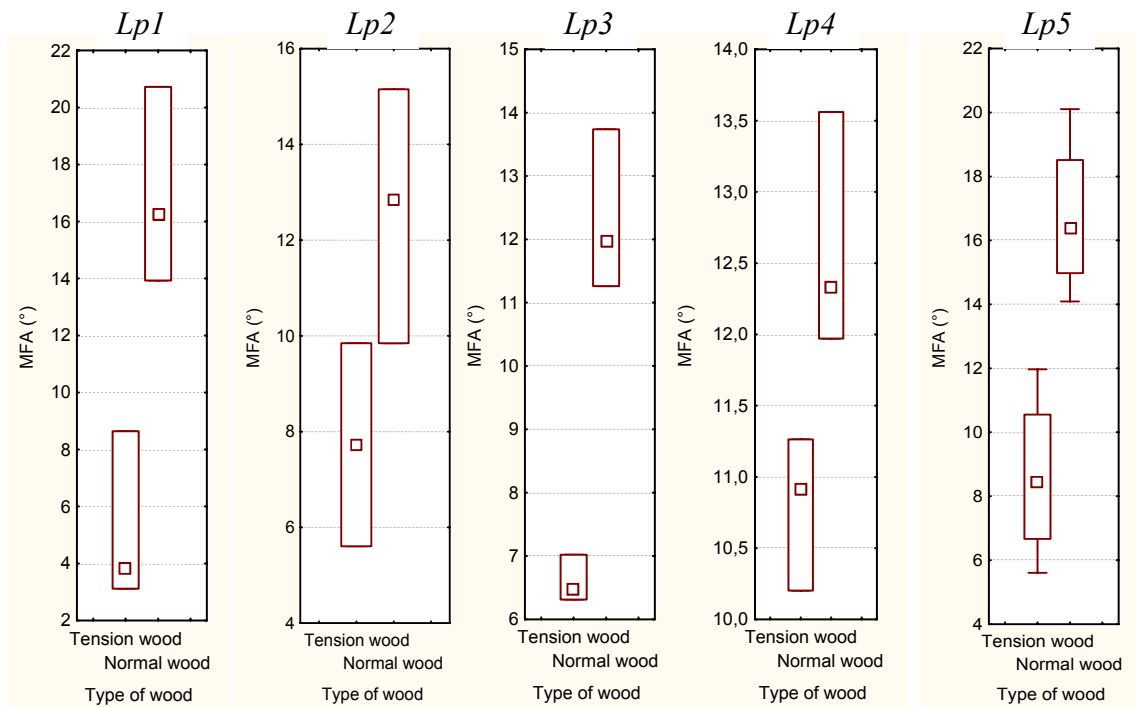


Fig. 11B. Box-and-whisker plots of MFA values of each kind of wood for each studied tree

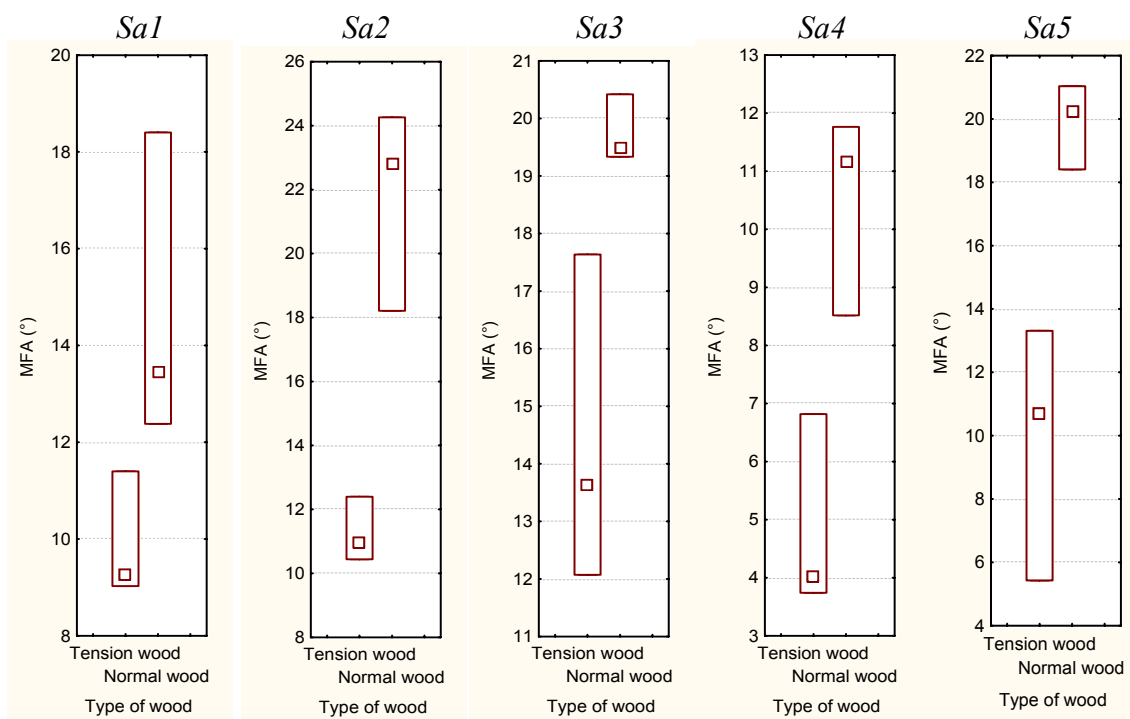


Fig. 11C. Box-and-whisker plots of MFA values of each kind of wood for each studied tree

It follows from these results that MFA estimation of *Simarouba amara* by means of Cave's and particularly Yamamoto's formula is not so far from the present observations. Yamamoto's formula was calculated in order to estimate MFA from X-ray diffraction method for a wide range of angle T values, in softwood species and hardwood species with thin-walled fibres and with tension wood that does not show gelatinous layer. This explains the good approximation of *Simarouba amara* MFA.

CONCLUSIONS

1. The cellulose structural parameters estimated during this work show good relationships with growth stresses: cellulose crystallite size increases in tension wood while angle T , which shows a strong relationship with directly observed MFA, decreases. The modification of the state of cellulose in the cell wall of fibres is a key for the explanation of growth stress generation, but the phenomena leading to these modifications still remains unclear. An important step in the understanding of the impact of cellulose structure variation on properties is the collection of data from various species and various kind of wood. This work was dedicated to this first step of understanding.
2. X-ray diffraction can provide lot of information on wood ultrastructure. The relationship between angle T and directly observed MFA was very good but a calibration is needed, especially for thick-walled fibres species, in order to estimate

the mean MFA of the main layer of the secondary wall. The deviations from Cave's (Eq. 1) and Yamamoto's (Eq. 2) formula observed for these species confirm the influence of cell wall geometry on X-ray diffraction measurements. Many authors discussed the influence of the method used and cell shape on X-ray diffraction results, but from an empirical point of view, a study devoted to calibration for many hardwood species would provide a useful tool for the analysis of cellulose microfibril orientation influence on growth stress generation.

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